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2 **Thermoelastic properties of natural zircon – Part I: Non-metamict zircon**

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8

9 **Abstract**

10 The thermoelastic properties and thermal expansion of natural single-crystal zircon without detectable
11 radiation damage were determined in the temperature range between 100 K and 1650 K on five
12 samples from Cambodia, Sri Lanka, and Tanzania. Up to about 1500 K, the results are in excellent
13 agreement and fully reversible. The average adiabatic elastic stiffness coefficients at room temperature
14 in GPa are $c_{11} = 426.8(6)$, $c_{12} = 68.1(4)$, $c_{13} = 152.0(4)$, $c_{33} = 490.8(9)$, $c_{44} = 113.1(2)$ and $c_{66} = 49.0(1)$.
15 Polycrystalline averaging for the aggregate bulk and shear modulus (Voigt-Reuss-Hill average) yield K
16 $= 229.3(4)$ GPa and $G = 109.3(1)$ GPa. From 293 K to 1573 K, the elastic stiffnesses soften almost
17 linearly with the temperature coefficients in MPa/K: $dc_{11}/dT = -45.36(7)$, $dc_{12}/dT = -2.03(7)$, $dc_{13}/dT = -$
18 $9.59(7)$, $dc_{33}/dT = -42.85(12)$, $dc_{44}/dT = -9.60(3)$, and $dc_{66}/dT = -2.19(1)$. Below room temperature, the
19 behavior of the c_{ij} is non-linear, with decreasing absolute values of the temperature coefficients. Above
20 about 1500 K, a temperature- and time-dependent irreversible elastic stiffening accompanied by an
21 increasing ultrasound dissipation was observed in all samples. These anomalies are interpreted to be the
22 consequence of increased dislocation densities.

23

24

25 **Introduction**

26 Zircon is a mineral of high geological relevance due to its widespread distribution in the continental
27 crust, its resistance to chemical and physical degradation, and its tendency to concentrate
28 trace elements, particularly lanthanides and actinides that allow for its use in age dating (e.g., Erwing,
29 2003).

30 Due to its favorable properties like high melting point and low thermal conductivity, zircon also finds
31 practical industrial applications (Depmeier, 2009). For example, zircon-related synthetic materials such
32 as xenotime-type REPO_4 have been explored as potential environmental barrier coating (Han et al.,
33 2020). Another important field of application that has been considered is the long-time storage of
34 radioactive fission products (Erwing et al., 1994) since zircon serves as an excellent matrix for
35 immobilization by incorporating radioactive elements in the crystal structure. However, each
36 radioactive decay causes local damage in the crystal structure, i.e., the total damage depends on the
37 content of radiogenic elements and the age of the zircon crystal. The increasing degree of
38 metamictization with increasing α -particle dosage is reflected by a decrease in density, an increase in
39 cell parameters, and a severe change of elastic and optical properties, for example.

40 The first complete elastic data sets for non-metamict zircon at ambient conditions were published by
41 Bhimasenachar and Venkataratnam (1955), and Ryzhova, Aleksandrov and Korobhova (1966). Later
42 Özkan, Cartz, and Jamieson (1974) revised the data. The elastic behavior of zircon with and without
43 radiation damage was studied by Özkan and Cartz (1974), Özkan, Cartz, and Jamieson (1974), and
44 Özkan (1976), suggesting a systematic decrease of elastic stiffness coefficients with increasing degree
45 of metamictization. Temperature derivatives of elastic coefficients of non-metamict zircon were
46 determined between 295 K and 523 K (Özkan, Cartz, Fisher, 1975; Özkan, 2008) and more recently by
47 Ehlers et al. (2022) between 293 K and 1172 K. An accurate knowledge of thermoelastic properties is
48 relevant in geosciences since zircon is a common inclusion mineral with a promising potential for use
49 in piezobarometry (e.g., Angel et al. 2015).

50 Therefore, our work aims to investigate in-situ temperature-dependent healing mechanisms of
51 radioactively damaged zircon utilizing thermal expansion and thermoelastic properties. Both methods
52 are well suited to studying changes in bond strength. For reference, this first publication focuses on the
53 thermal expansion and thermoelastic behavior of non-metamict zircon.

54

55 **EXPERIMENTAL**

56 **A. Sample description**

57 Five gem-quality samples of natural zircon from three different localities were investigated (Figure 1
58 and Table 1). The colorless zircon I1 from Cambodia was reported to be a heated specimen. Zircon I2
59 from Sri Lanka was also likely thermally treated since the orange color was typical for heated
60 gemstones and was retained even after experiments at high temperatures. The brownish zircon samples
61 I3a-c from Tanzania, all taken from the same batch, were reportedly not subjected to any heat
62 treatment.

63

64 **B. Chemical and structural characterization**

65 The chemical compositions were determined by electron probe microanalysis (EPMA) on polished
66 samples of each crystal using an SXFiveFE electron microprobe from Cameca (Gennevilliers, France)
67 with an accelerating potential of 20 keV and a current of 80 nA. The samples were analyzed for their
68 SiO₂, ZrO₂, CaO, FeO, HfO₂, and UO₂ content by collecting data at 15 to 25 points distributed over
69 each sample (standards: Si and Zr on Zircon₂₄₃, Ca on Diopside₂₁₀, Fe on Fayalite₂₁₂, Hf on S₁₇₂, U on
70 U glass).

71 Single crystal diffraction (SXRD) data were collected on a four-circle kappa diffractometer (Xcalibur,
72 Rigaku Oxford Diffraction) equipped with Sapphire2 CCD-detector using graphite-monochromatized
73 MoK α radiation. The CrysAlisPro software package (version 1.171.36.24 by Rigaku Oxford
74 Diffraction) was used for data reduction, including analytical absorption correction. Each structure was

75 solved with direct methods and refined employing a non-linear least-squares procedure as provided by
76 the SHELX software package (Sheldrick, 2008). The composition as derived from EPMA was used in
77 the refinement.

78 Powder X-ray diffraction (PXRD) data were recorded with a Siemens D5000 diffractometer in
79 modified Debye-Scherrer geometry using Cu $K_{\alpha 1}$ radiation ($\lambda = 1.54059 \text{ \AA}$). The diffractometer was
80 equipped with a curved germanium (111) primary monochromator and a Braun linear position-sensitive
81 detector (2θ coverage = 6°). The powder samples were sealed in borosilicate glass capillaries (0.3 mm
82 in diameter). Accurate cell parameters were determined with Fullprof software package (Rodríguez-
83 Carvajal, 1993).

84

85 **C. Sample preparation**

86 All physical properties reported here are referred to a Cartesian reference system with axes \mathbf{e}_1 , \mathbf{e}_2 , and
87 \mathbf{e}_3 , which are parallel to the axes \mathbf{a} , \mathbf{b} , and \mathbf{c} of the crystallographic reference system of tetragonal
88 zircon (space group $I4_1/amd$).

89 Rectangular parallelepipeds suitable for resonant ultrasound spectroscopy and dilatometry were
90 prepared from all raw crystals. The crystals were cut using a low-speed diamond saw (Isomet, Buehler)
91 and polished on diamond discs (mesh 600 and 1200). Opposite faces were parallel to within $\pm 1 \text{ \mu m}$, and
92 deviations from the ideal orientation as controlled by Bragg and Laue diffraction techniques were less
93 than 0.5° . Sample edge lengths l_i were between 2.546 mm and 6.691 mm, corresponding to sample
94 volumes between 36.64 mm^3 and 100.91 mm^3 . The aspect ratio of all cuboids is smaller than 2. The
95 geometric densities $\rho_{geo} = m/l_1l_2l_3$ were calculated from sample mass m and edge lengths l_i (Table 1).
96 Additionally, for the investigation of thermal expansion, thick plates with parallel faces perpendicular
97 to [001] were fabricated from zircons I1 and I2 and with parallel faces perpendicular to [100] from
98 zircon I2. The thicknesses of these samples were in the range of 3.250 mm to 6.794 mm.

99

100

101 **D. Elastic coefficients**

102 The adiabatic elastic properties were studied with resonant ultrasound spectroscopy (RUS) (e.g.,
103 Migliori, 1997; Leisure, 1997). A frequency response analyzer FRA5087 from NF corporation was
104 employed for signal generation and detection at ambient temperatures. In order to approximate the
105 boundary conditions of a freely vibrating sample, the rectangular parallelepipeds were gently clamped
106 at opposite corners between two horizontally arranged ultrasonic transducers, keeping the mechanical
107 load on the sample below about 50 mN. Four resonance spectra on each sample were collected in the
108 frequency range between 100 kHz and 2000 kHz, with the sample mounted in different orientations.
109 The six independent elastic stiffnesses c_{ij} of each sample were derived by a non-linear least-squares
110 procedure from the measured frequencies of 60 eigenmodes, corresponding to a 10-fold
111 overdetermination of the system of non-linear equations. In order to minimize truncation effects, 2925
112 normalized Legendre polynomials were used to approximate each component ξ_i of the displacement
113 vector (program rusref, version V01.13.01).

114 For RUS measurements at non-ambient temperatures, two different devices built in-house were used
115 for data collection; one operating in the temperature range 103 K – 673 K and one covering the range
116 from room temperature to 1773 K. For both setups, the frequency response analyzer (FRA5087) was
117 employed in combination with a high-speed bipolar amplifier BA4825 by NF corporation for signal
118 generation and detection. The samples were clamped at opposite corners between two horizontally
119 arranged ultrasonic transducer rods made of corundum ceramic. A liquid nitrogen cooling system and a
120 furnace with a resistance heater were employed in the low-temperature measurements. The temperature
121 was controlled by a Eurotherm 2704 and a system power supply of type 6675A from Agilent. All low-
122 temperature experiments were carried out in He purge gas atmosphere at a flow rate of about 2 l/h. The
123 high-temperature setup only differs concerning the type of furnace used. Here type S thermocouples
124 and a furnace with SiC heating element were employed, and the experiments were carried out in the air.

125 All samples were first measured with the low-temperature setup between 103 K and 323 K, collecting
126 resonance spectra every 20 K. The high-temperature measurement started at 303 K, with spectra
127 collected every 10 K or 20 K. Heating/cooling rates between the temperature steps were 2 K/min. The
128 maximum temperature was 1053 K for sample I, 1473 K for samples I2 and I3c, 1573 K for sample
129 I3c, and 1673 K for sample I3a. For the accurate determination of the c_{ij} , experimental data of
130 thermally induced strains were used to correct the sample dimensions and density for thermal
131 expansion effects (cf. section E).

132 Additionally, we collected 20 subsequent resonance spectra at 1573 K, each run lasting about 20 min,
133 on sample I3a.2 having no defined shape or orientation to study the time-dependence of
134 eigenfrequencies and ultrasound attenuation at a constant temperature. For quantification of the
135 ultrasound attenuation the inverse quality factor of selected resonances defined by

$$136 \quad Q^{-1} = \frac{\Delta f}{f_0} \quad (1)$$

137 with resonance frequency f_0 and its full-width at half-maximum Δf was determined by fitting modified
138 split Lorentzian functions to resonance peaks.

139

140

141 **E. Thermal expansion**

142 The coefficients of thermal expansion were derived from temperature-induced strains as determined by
143 a commercial inductive gauge dilatometer type DIL402C from Netzsch (Selb, Germany). Two different
144 experimental setups covered the temperature range from 100 K to 1700 K. The low-temperature setup
145 (100 K – 700 K) consisted of a sample holder made of fused silica, thermocouples of type E, a liquid
146 nitrogen cooling system, and a furnace with a resistance heater. The high-temperature setup (295 K –
147 1700 K) was equipped with a sample holder made of corundum ceramic, type S thermocouples, and a
148 furnace with a SiC heating element. The dilatometer was first calibrated with standard rod-shaped

149 samples of different lengths made of fused silica and corundum ceramic, both supplied by Netzsch. All
150 experiments were carried out applying heating/cooling rates of 2 K min^{-1} in air (high-temperature
151 setup) and, respectively, in He purge gas atmosphere at a flow rate of about 2 l/h (low-temperature
152 setup).

153 The strain was measured on samples exclusively fabricated for DIL measurements that were not
154 previously subjected to heating experiments of samples I1, I2, and I3a in [001] and for I2 additionally
155 in [100]. The measurements covered a temperature range between 100 K and 1673 K.

156 The strain was also investigated on sample I1 which had been previously heated up to 1053 K in RUS
157 experiments.

158

159 **RESULTS**

160

161 **A. Chemical and structural composition**

162 The chemical compositions, determined with electron microprobe analysis, are listed in Table 1.

163 Only HfO_2 was found in significant quantities (see. Table 1). The contents of UO_2 , CaO , and FeO were
164 within error limits and were, therefore, neglected. The samples yielded no hint of any zoning regarding
165 the distribution of the investigated elements. Based on ionic radii (Shannon, 1976) Hf was presumed to
166 substitute Zr, and the density determined from powder X-ray diffraction ρ_x was corrected accordingly
167 (Table 1). Cell parameters differ by less than 0.0004 \AA for all samples. Under experimental error, no
168 systematic difference between X-ray and geometric density could be detected. Gemstones I1 and I2 are
169 thermally treated zircons, i.e., possible radiation defects that were originally present have probably
170 largely healed (Erwing, 2003). Therefore, the only very small differences in density and lattice
171 parameters of all samples suggest no relevant radiation defects.

172

173 **B. Elastic coefficients at ambient temperatures**

174 Elastic stiffness coefficients c_{ij} of samples at ambient temperatures are listed in Table 2. The R -values
175 defined as

$$R = \frac{\sum (\omega_{ci}^2 - \omega_{oi}^2)}{\sum \omega_{oi}^2} \quad (2)$$

176
177 with ω_{oi} observed circular frequencies and ω_{ci} calculated ones are small (Table 2), indicating the high
178 quality of the refinements. The uncertainties of the c_{ij} of the five samples represented by the standard
179 deviations derived from the covariance matrix of the fully converged least-squares refinement are
180 smaller than 0.13 % for the c_{ii} and 1.31% and 0.18% for the transverse interaction coefficients c_{12} and
181 c_{13} , respectively. There is no discernible difference in the elastic behavior between heated samples I1
182 and I2 and non-heated samples I3a-c. The coefficients are excellently reproducible, with variations of
183 less than 0.5% for the $c_{ii} \pm$ and 1.5% and 0.6% for the transverse interaction coefficients c_{12} and c_{13} ,
184 respectively. for all samples.

185 While older data by Ryzhova et al. (1966) (revised by Özkan et al. 1974) differ significantly, the c_{ij}
186 values reported by Özkan and Cartz (1974) determined with the ultrasonic phase comparison method
187 agree well for their higher-density zircons (Table 3) and are slightly off for the lower-density
188 specimens. The shear stiffness coefficients are generally in good agreement with the literature data.
189 However, the longitudinal and transverse interaction coefficients of non-metamict zircon by Ehlers et
190 al. (2022), also determined with resonant ultrasound spectroscopy, agree less, with their c_{11} and c_{33}
191 being higher by 1.1-2%, and c_{12} and c_{13} even higher by 5.3-10.6 %, despite a lower reported density
192 (Table 2). The authors already noted these differences in comparison to the results of Özkan and Cartz
193 (1974). They attributed this pattern of deviation to a systematic error in their data set caused by the
194 small dimensions of their sample. However, this argument is not valid because the relative contribution
195 of the individual c_{ij} to the eigenmodes does not change with the size but only with the aspect ratio of
196 the sample. Moreover, the dimensions of the sample used by Ehlers and co-workers are within the

197 range of our samples (Table 1) and we do not observe such a systematic deviation. Although the cause
198 is still unclear, Ehlers et al. (2022) have corrected for the deviations by introducing appropriate scale
199 factors in their fit of a third-order Birch-Murnaghan equation of state. The resulting adiabatic Reuss
200 bulk modulus of 225.0(1.2) GPa at room temperature agrees within one standard deviation with the
201 averaged value 226.5(4) GPa obtained in this work (Table 3).

202

203 **C. Elastic coefficients at non-ambient temperatures**

204 Thermoelastic properties of non-metamict zircon samples are also well reproducible for all samples
205 (Figure 2). Between 52 and 72 eigenfrequencies were analyzed for each temperature step, yielding R -
206 values between 0.4 to 1.9 ‰. R -values significantly increase at temperatures above about 1400 K.
207 Elastic coefficients c_{ij} could be determined up to 1573 K. No offset of elastic stiffnesses between
208 heating and cooling has been observed if the samples were subjected to temperatures up to a maximum
209 of 1473 K. The colorless sample I1 and orange sample I2 did not change their optical appearance after
210 heating, supporting the presumption that the raw material had already been heated. The brown samples
211 I3a-c lost their color after heating (sample I3a after first heating to 1053 K). No effect is visible in the
212 RUS spectra that could be correlated with the color change. The color is likely a result of a comparably
213 small number of isolated point defects that function as color centers.

214 The elastic stiffness coefficients $c_{ij}(T)$ decrease approximately linearly between ambient temperatures
215 and 1573 K. Below ambient temperatures, the temperature dependence of the c_{ij} Debye
216 deviates from linear behavior. For comparison with previously published adiabatic data, $c_{ij}(T)$ were
217 linearly fitted between 293 K and 1573 K (Table 4). Due to the excellent reproducibility, all data points
218 of all samples were fitted together. Thermoelastic data for zircon from Özkan (2008) agree well with
219 our results (Figure 2). Although the individual c_{ij} reported by Ehlers et al. (2022) are larger, the
220 temperature dependence, however, is similar. The elastic stiffnesses decrease with temperature with no

221 significant change of the elastic anisotropy as indicated by the ratio of the maximum and minimum of
222 longitudinal elastic stiffness $c'_{11}{}^{\max}/c'_{11}{}^{\min}$ changing only from 1.656 to 1.659 between 295 K and 1473
223 K. Data sets including c_{ij} , bulk and shear moduli of each sample at each investigated temperature are
224 available as supplementary material.

225 From about 1500 K, the eigenfrequencies start shifting to higher frequencies, which is accompanied by
226 an increase in ultrasound attenuation, indicating an elastic stiffening of the samples with increasing
227 temperature (Figure 3). On samples I2 and I3c, heated to 1473 K, these effects are barely noticeable,
228 whereas, on samples I3b and I3a, heated to higher temperatures, these effects are more pronounced. All
229 samples heated over 1473 K cracked shortly after cooling to room temperature started, making further
230 quantitative data analysis impossible. In order to get insight into the kinetics of the processes at high
231 temperatures, a qualitative time-dependent series of experiments was conducted at $T = 1573$ K on a
232 second sample cut from I3a (I3a.2). As shown in Figure 4, the eigenfrequencies of the sample shifted
233 monotonously by about 3.2 kHz over 7 hours, accompanied by an increase in ultrasound attenuation.
234 The increase of the eigenfrequencies flattens with time, indicating a potential saturation of the observed
235 effect.

236 In Figure 5 adiabatic bulk moduli (Voigt-Reuss-Hill average) obtained in this work are compared to
237 values from the literature for non-metamict zircon. The comparison includes data from DFT
238 calculations as well as experiments. In cases where c_{ij} were available, the Voigt-Reuss-Hill average was
239 calculated. Bulk moduli for Reuss case are only included in temperature-dependent data.

240 Values for the bulk modulus calculated in the athermal limit scatter significantly. DFT calculations for
241 the bulk modulus at 0 K range between 186.6 GPa and 251 GPa (Bannikov et al., 2011, Chaplot et al.,
242 2006, Du et al., 2012, Dutta & Mandal, 2012, Mittal et al., 2000, Terki et al., 2005, Xiang et al., 2015).
243 Although there is no clear separation, the generalized gradient approximation (GGA) tends to deliver
244 lower values (range 186.6 – 231.8 GPa), while the local density approximation (LDA) gives, on
245 average higher values (range 224.1 – 251 GPa).

246 The experimental data for the bulk modulus at ambient temperatures (Ryzhova et al. 1966, Özkan and
247 Cartz 1974, Özkan et al., 1974, and Ehlers, et al., 2022) scatter less (range 206 – 228 GPa), however,
248 still significantly more than the experimental bulk modulus at room temperature obtained in this work
249 (range 228.8 – 229.9 GPa).

250 Since no experimental data at 0 K is available, the temperature-dependent adiabatic bulk modulus
251 (VRH) of all obtained data from this work were approximated with an equation proposed by Varshni
252 (1970) based on a single-frequency Einstein model

$$253 \quad K(T) = K^0 - \frac{s}{e^{t/T} - 1} \quad (3)$$

254 where K^0 is the bulk modulus at 0 K, and s and t are parameters describing the evolution of K with
255 temperature T . The resulting fit parameters were $K^0 = 232.3(1)$ GPa, $s = 6.6(5)$ GPa, and $t = 328(24)$
256 K. These values are in best agreement with the GGA-based calculation by Dutta and Mandal (2012).

257 The experimental data by Ehlers et al. (2022) yielded a slightly higher value, while the bulk modulus
258 from Özkan et al. (1975) is slightly lower. The data, however, fit very well with newer data from Özkan
259 (2008) that were estimated based on earlier experimental work. Taking into account only the slope, all
260 published experimental $K(T)$ are in reasonable agreement with our data.

261 Calculations of $K(T)$ were performed by Terki et al. (2005), Du et al. (2012), and Chiker et al. (2016)
262 using DFT in combination with the quasi-harmonic Debye model. Since the calculations from Du et al.
263 (2012), and Chiker et al. (2016) should deliver the bulk modulus for the Reuss case, our data was
264 approximated with the Varshni fit for the Reuss modulus as well (Fig. 5).

265 While the difference between isothermal and adiabatic approximation is significant, the calculated
266 slopes of $K(T)$ differ even stronger from each other, with no good agreement with any experimental
267 data.

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269

270

271 **D. Thermal expansion**

272 The experimental thermal strain is reproducible on heated (for example, in previous RUS experiments)
273 and unheated samples (Figure 6). Samples I1 and I2 were heat treated prior to any experiments;
274 nevertheless, sample I3a, with no previous heat treatments, delivered comparable data. For comparison
275 to literature data, strains were calculated from temperature-dependend cell parameters reported by
276 Subbarao and Gokhale (1968) (X-ray diffraction), Bayer (1971) (X-ray diffraction), Mursic et al.
277 (1992) (neutron powder diffraction), Chaplot et al. (2002) (synchrotron X-ray diffraction) and Austin
278 (1931), who determined the macroscopic thermal expansion using the Pulfrich-Fizeau interference
279 method. All literature data agree reasonably well, with Austin (1931) and Mursic et al. (1992)
280 providing the best match to our data. Mursic et al. (1992), however, observed an anomaly characterized
281 by decreasing thermal expansion starting at around 1100 K on synthetic zircon that was not
282 reproducible in our measurements on natural zircon. Since the thermal expansion data are well
283 reproducible on all investigated samples, the data points, excluding deviations at high temperatures,
284 were fitted with an Einstein model (Figure 7), for the strains ε_{11} and ε_{33} , respectively. Based on the
285 phonon density of states calculated by Chaplot et al. (2006) a two-frequency model was chosen:

$$\varepsilon_{ij}(T) = \varepsilon_{ij}^0 + \alpha_{ij}^{h1} \frac{\Theta_E^1}{-1 + e^{\frac{\Theta_E^1}{T}}} + \alpha_{ij}^{h2} \frac{\Theta_E^2}{-1 + e^{\frac{\Theta_E^2}{T}}} \quad (4)$$

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287

288

289 Additionally, the curves were fitted with polynomials $\varepsilon_{ij} = \varepsilon_0 + \alpha_{ij}(T - T_0) + \beta_{ij}(T - T_0)^2 + \gamma_{ij}(T - T_0)^3 + \delta_{ij}(T$
290 $- T_0)^4$ with $T_0 = 295$ K in order to best approximate the measured strain curves. Fit parameters of both
291 approaches are listed in Table 5.

292 While the Einstein model describes the thermal expansion very well below 1500 K, significant
293 deviations are observed at higher temperatures (Figure 7), i.e., in the same temperature range where

294 anomalies in the evolution of the resonance frequencies occur (see section IIID). Data files including
295 thermal strain and thermal expansion for all samples can be found in the supplement.

296

297 **DISCUSSION**

298 The zircon structure consists of ZrO_8 dodecahedra and SiO_4 tetrahedra (Figure 8). For each polyhedron,
299 half of the bonds are arranged in the plane perpendicular to $[100]$, while the other half is arranged in the
300 plane perpendicular to $[010]$ due to symmetry. The bond valences (Brown, 2006), as a measure of bond
301 strength, of the Si – O bonds are roughly twice as high as those of the Zr – O bond. Hence, the SiO_4
302 tetrahedra can be considered rigid units of the structure. Each ZrO_8 dodecahedron is edge-connected to
303 two SiO_4 tetrahedra and four other ZrO_8 dodecahedra, with no direct connection between the SiO_4
304 tetrahedra. Therefore, the structure can be described as consisting of intersecting ZrO_8 chains along
305 $\langle 100 \rangle$, which are additionally linked by SiO_4 tetrahedra along $\langle 001 \rangle$ (Figure 8a).

306 The longitudinal elastic stiffness is almost isotropic in the $\{100\}$ planes (Figure 8a), with a slightly
307 higher value along $[001]$. Any compression along the tetragonal axis would reduce the Zr—O bond
308 length or change the bond angles of both types of cation polyhedra. Slightly more favorable is the
309 compression in $[100]$, which can be compensated by changes in Zr—O bond length without
310 geometrical changes in the SiO_4 tetrahedra. Within the (001) plane, the elastic anisotropy is more
311 pronounced (Figure 8b), with maxima along $\langle 100 \rangle$ and minima along $\langle 110 \rangle$. The edge-connections
312 between SiO_4 tetrahedra and ZrO_8 dodecahedra are exclusively orientated in $\langle 100 \rangle$, while none of
313 these bonds have a contribution in $\langle 110 \rangle$, making the latter an elastic softer direction. A compression
314 along $\langle 110 \rangle$ can be obtained by a change of bond angles only.

315 The absolute value of the maximum longitudinal elastic stiffness in $\langle 001 \rangle$ decreases more than the one
316 of the minimal stiffness in $\langle 110 \rangle$ (e.g., between 303 K and 1473 K by 51 GPa compared to 32 GPa);
317 hence elastic anisotropy remains nearly constant in the entire investigated temperature range (Figure 9).

318 In contrast to the elastic behavior, a pronounced anisotropy characterizes the thermal expansion tensor
319 with the maximum along the tetragonal axis (Figure 10). According to Hazen and Finger (1979),
320 thermal expansion is mainly influenced by the ZrO_8 dodecahedra. Since those are edge-connected to
321 the more rigid SiO_4 tetrahedra in the (001) plane, the contribution to thermal expansion is larger along
322 [001]. Another contribution is correlated to the change in bond angles of the SiO_4 tetrahedra. At
323 ambient temperature, the bond angles in the SiO_4 tetrahedra are not ideal, with angles between 97° and
324 116° . Mursic et al. (1992) observed an increase in the smaller bond angle with temperature, which
325 necessarily leads to a simultaneous decrease of the larger angle. Thus the SiO_4 tetrahedra become more
326 idealized at higher temperatures. Oxygen atoms of smaller bond angles are edge-connected to the ZrO_8
327 dodecahedra. As a result of the change in bond angles, the ZrO_8 chains move closer to one another,
328 leading to a negative contribution to the thermal expansion in the (001) plane.

329 In zircon the anisotropy of the thermal expansion and linear compressibility, as expressed by the 2nd
330 rank tensors (α_{ij}) and (β_{ij}), causes the corresponding longitudinal effects $\varepsilon_{11}^T = u_{1i}u_{1j}\varepsilon_{ij}^T = u_{1i}u_{1j}\alpha_{ij}\Delta T$
331 and $\varepsilon_{11}^P = -u_{1i}u_{1j}\beta_{ij}\Delta P$ to change with the direction $\mathbf{u} = u_{1i}\mathbf{e}_i$ in the crystal (u_{1i} are direction cosine). ε_{ij}
332 denote the components of the strain tensor, T and P are temperature and pressure, respectively, and the
333 Einstein sum convention applies. The anisotropy is illustrated in Figure 11, where the hydrostatic
334 pressures

$$335 \quad \Delta P_e = \frac{\varepsilon_{11}^T}{u_{1i}u_{1j}\beta_{ij}} \quad (5)$$

336 required to compensate for the temperature-induced strains ε_{11}^T , ε_{33}^T and $\varepsilon_{11}^T + \varepsilon_{22}^T + \varepsilon_{33}^T = \Delta V/V$
337 starting from room temperature ($\Delta T = 0$) are shown. ΔP_e were calculated using experimental thermal
338 strains and adiabatic elastic stiffnesses $c_{ij}(T)$ obtained in this work (see supplementary data files), but
339 neglecting any pressure dependence. The reference surface in Figure 11 exemplifies the spatial
340 variation of ΔP_e at 1273 K. As outlined by Angel et al. (2019), the required pressure is maximal along

341 the stiffest direction [001] and minimal in the plane perpendicular to the tetragonal axis. A more
342 general measure of the anisotropy is therefore provided by

343
$$\frac{\alpha_{33} - \beta_{11}}{\beta_{33} - \alpha_{11}} \quad (6).$$

344 Above room temperature this factor varies only slightly between about 3.0 and 3.6. The anisotropy of
345 ΔP_e will have two consequences for the stress fields in and around zircon inclusions trapped in other
346 minerals. First, the anisotropy of the stress field in the host around the inclusion will be increased
347 relative to that expected for an isotropic inclusion with the same bulk properties as zircon. Second, in
348 stiff hosts, the zircon inclusions will themselves exhibit significant internal non-hydrostatic stress.

349
350 The situation is unclear when comparing the irreversible elastic anomalies observed above about 1500
351 K to unusual effects at high temperatures described in the literature. On severely radiation-damaged
352 zircon Farges (1994) observed the appearance of monoclinic zirconia at temperatures above about 1573
353 K, while Vance & Boland (1975) reported the disappearance of the ZrO_2 phase after annealing to 1573
354 K. Experiments on high purity zircon revealed the onset of thermal dissociation to form ZrO_2 and SiO_2
355 at temperatures not lower than 1800 K (e.g., Anseau et al., 1976; Kaiser et al., 2008). On the other
356 hand, an increase of dislocation loops was observed by Bursil and MacLaren (1966) when annealing
357 severely radiation damaged samples at temperatures above about 1523 K. According to Granato and
358 Lücke (1956a, 1956b) an increase in dislocation density is correlated with an increase in ultrasound
359 attenuation. Another consequence of increasing dislocation density would be elastic stiffening due to
360 increasing internal stresses, which matches our observations. Moreover, as local inhomogeneities pin
361 the dislocations, the resulting inhomogeneous stress fields reinforced by thermal expansion effects
362 might be responsible for the cracking of the samples on cooling.

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366 **Implications**

367 The temperature-dependent elastic properties of non-metamict zircon were studied on five samples of
368 different origins by resonant ultrasound spectroscopy extending the knowledge of the elastic behavior
369 of zircon to lower and higher temperatures compared to previous work. Our results at room temperature
370 are in reasonable agreement with literature data on non-metamict zircon investigated using different
371 techniques. The excellent reproducibility and reversibility of the thermoelastic properties in the
372 temperature range of 100 K to 1500 K suggest a high reliability of the adiabatic elastic stiffnesses
373 obtained in this work. Moreover, up to 1500 K the behavior of the elastic stiffnesses and of the thermal
374 expansion coefficients can be well explained by individual vibrations of the atoms in their local
375 potentials. Thus, the thermoelastic data can be used as reference data to identify and quantify
376 pathological behavior of other zircon crystals. The new data also allow for improved fits of equations
377 of state. However, concerning applications in elastic barometry, the significantly anisotropic elastic
378 response of zircon on temperature and pressure changes, respectively, represents an additional
379 challenge. Above about 1500 K and thus well below the onset of thermal decomposition at 1800 K, an
380 irreversible elastic stiffening occurs, that could be related to an increase in dislocation density due to
381 internal stresses.

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385 **Acknowledgment**

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389 authors.

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 533 **TABLE 1.** Chemical composition, lattice parameters and density of zircon crystals. Additionally, the
 534 edge lengths of oriented cuboidal samples used for RUS experiments are given.

| Zircon | | I1 | I2 | I3a | I3b | I3c |
|---------------------------|--|-------------|-------------|-------------|------------|------------|
| Treatment | | heated | heated* | no | no | no |
| Chemical composition mol% | SiO ₂ | 50.28(8) | 49.92(21) | 50.04(10) | 50.27(16) | 50.07(18) |
| | ZrO ₂ | 49.35(14) | 49.70(17) | 49.53(10) | 49.21(15) | 49.45(18) |
| | HfO ₂ | 0.37(1) | 0.38(1) | 0.43(1) | 0.52(1) | 0.48(1) |
| Lattice parameters | <i>a</i> (Å) | 6.60367(7) | 6.60373(8) | 6.60337(8) | 6.60356(4) | 6.60334(5) |
| | <i>c</i> (Å) | 5.97875(14) | 5.97902(13) | 5.97872(13) | 5.97896(8) | 5.97886(9) |
| Density | ρ_x (gcm ⁻³) | 4.686(9) | 4.687(13) | 4.690(7) | 4.693(11) | 4.692(13) |
| | ρ_{geo} (gcm ⁻³) | 4.677(3) | 4.676(1) | 4.677(4) | 4.675(2) | 4.676(1) |
| Sample dimensions | <i>l</i> ₁ (mm) | 4.365(1) | 3.570(1) | 3.873(1) | 3.079(1) | 3.683(1) |
| | <i>l</i> ₂ (mm) | 3.455(1) | 3.120(1) | 3.358(1) | 4.675(1) | 3.820(1) |
| | <i>l</i> ₃ (mm) | 6.691(1) | 4.169(1) | 5.403(1) | 2.545(1) | 4.042(1) |

535 * heat treatment was presumed based on specific properties of the sample (see section A).

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TABLE 2. Adiabatic elastic stiffnesses c_{ij} of non-metamict zircon at room temperature obtained in this work on as-prepared samples using the RUS method. Numbers in parentheses of c_{ij} are the standard deviations derived from the covariance matrix of the fully converged refinement. ρ_{geo} geometrical density derived from sample mass and dimensions, R final residual, Δf_{av} and Δf_{max} average and maximum difference $|f_{\text{calc}} - f_{\text{obs}}|$ between calculated and observed frequencies.

| Zircon | I1 | I2 | I3a | I3b | I3c |
|-------------------------------|----------|----------|----------|----------|----------|
| c_{11} (GPa) | 426.6(3) | 427.5(2) | 426.0(2) | 426.9(2) | 427.0(3) |
| c_{12} (GPa) | 67.9(9) | 68.5(6) | 67.8(6) | 68.6(8) | 67.6(6) |
| c_{13} (GPa) | 151.8(3) | 152.7(2) | 151.8(2) | 151.9(2) | 152.0(2) |
| c_{33} (GPa) | 490.5(6) | 492.0(5) | 489.8(6) | 490.4(3) | 491.3(5) |
| c_{44} (GPa) | 113.3(1) | 113.5(1) | 113.1(1) | 113.3(1) | 113.5(1) |
| c_{66} (GPa) | 48.9(1) | 49.1(1) | 49.0(1) | 49.0(1) | 49.0(1) |
| $R \cdot 1000$ | 0.37 | 0.61 | 0.67 | 0.81 | 1.08 |
| Δf_{av} (kHz) | 0.19 | 0.44 | 0.40 | 0.61 | 0.71 |
| Δf_{max} (kHz) | 0.94 | 1.6 | 1.23 | 1.93 | 2.79 |

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TABLE 3. Comparison of room temperature adiabatic elastic properties of non-metamict zircon with literature data. c_{ij} elastic stiffness coefficients, ρ density, c_{ij}^{iso} aggregate elastic stiffnesses (VRH-average), K bulk modulus (VRH-average), K_V Voigt modulus, K_R Reuss modulus, $c_{11}^{max}/c_{11}^{min}$ ratio of maximum and minimum of longitudinal elastic stiffness. Methods: PE - pulse echo, PSP - pulse superposition, RUS – resonant ultrasound spectroscopy.

| Reference | Ryzhova et al., 1966 * | Özkan et al., 1974 | Özkan et al., 1974 | Ehlers et al., 2022 | This work (average) |
|-----------------------------|------------------------|--------------------|--------------------|---------------------|---------------------|
| Method | PE | PSP | PSP | RUS | RUS |
| ρ (gcm ⁻³) | 4.70 | 4.649 | 4.675 | 4.661 | 4.676(1) |
| c_{11} (GPa) | 330.1 | 422.9 | 424.4 | 431.4(2.9) | 426.8(6) |
| c_{12} (GPa) | 107.5 | 71.4 | 69.2 | 75.3(3.1) | 68.1(4) |
| c_{13} (GPa) | 154.2 | 148.7 | 150.2 | 160(4.3) | 152.0(4) |
| c_{33} (GPa) | 380.5 | 490.3 | 489.6 | 500.4(4.5) | 490.8(9) |
| c_{44} (GPa) | 73.3 | 112.7 | 113.3 | 113.46(6) | 113.3(2) |
| c_{66} (GPa) | 39.7 | 48.7 | 48.2 | 48.92(2) | 49.0(1) |
| c_{11}^{iso} (GPa) | 305.2 | 372.7 | 373.1 | 381.7 | 375.0(5) |
| c_{44}^{iso} (GPa) | 74.2 | 108.7 | 108.7 | 109.1 | 109.3(1) |
| K (GPa) | 206.3 | 227.8 | 228.1 | 236.3 | 229.3(4) |
| K_V (GPa) | 208.1 | 230.4 | 230.8 | 239.3 | 232.1(4) |
| K_R (GPa) | 204.5 | 225.1 | 225.4 | 233.3 | 226.5(4) |
| $c_{11}^{max}/c_{11}^{min}$ | 1.47 | 1.66 | 1.66 | 1.66 | 1.66(1) |

538 * Data revised by Özkan et al., 1974

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TABLE 4. Temperature derivatives in MPa/K of the single crystal adiabatic elastic stiffnesses of non-metamict zircon. Error values were derived from fit procedure.

| Temperature range | dc_{11}/dT | dc_{12}/dT | dc_{13}/dT | dc_{33}/dT | dc_{44}/dT | dc_{66}/dT | Reference |
|-------------------|--------------|--------------|--------------|--------------|--------------|--------------|---------------------|
| 298 K – 523 K | -45.2 | -5.5 | -8.6 | -43.0 | -8.7 | -2.3 | Özkan, 2008 |
| 293 K – 1172 K | -42.6 | 0.1 | -5.5 | -36.7 | -9.4 | -2.0 | Ehlers et al., 2022 |
| 293 K – 1573 K | -45.36(7) | -2.03(7) | -9.59(7) | -42.85(12) | -9.60(3) | -2.19(1) | This Work |

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TABLE 5. Fit parameters for double-frequency Einstein model and 4th order polynomial fit of the experimental thermal strain curves. Error values were derived from fit procedure.

| Einstein model | | | | | |
|--|---|---|---------------------------------------|---|---|
| ij | $\varepsilon_{ij}^0 (10^{-4} \text{ K}^{-1})$ | $\alpha_{ij}^{h1} (10^{-6} \text{ K}^{-1})$ | $\Theta_E^1 (\text{K})$ | $\alpha_{ij}^{h2} (10^{-6} \text{ K}^{-1})$ | $\Theta_E^2 (\text{K})$ |
| 11 | -3.25(2) | 3.88(1) | 1638(3) | 1.38(1) | 184(6) |
| 33 | -5.82(1) | 3.49(2) | 1837(6) | 4.76(2) | 476(3) |
| Polynomial fit ($T_0 = 295 \text{ K}$) | | | | | |
| | $\varepsilon_{ij}^0 (10^{-6})$ | $\alpha_{ij} (10^{-6} \text{ K}^{-1})$ | $\beta_{ij} (10^{-9} \text{ K}^{-2})$ | $\gamma_{ij} (10^{-12} \text{ K}^{-3})$ | $\delta_{ij} (10^{-16} \text{ K}^{-4})$ |
| 11 | -21.9(3) | 1.895(2) | 3.758(9) | -2.205(14) | 4.380(69) |
| 33 | -0.5(2) | 4.093(1) | 4.642(6) | -2.955(10) | 7.846(47) |

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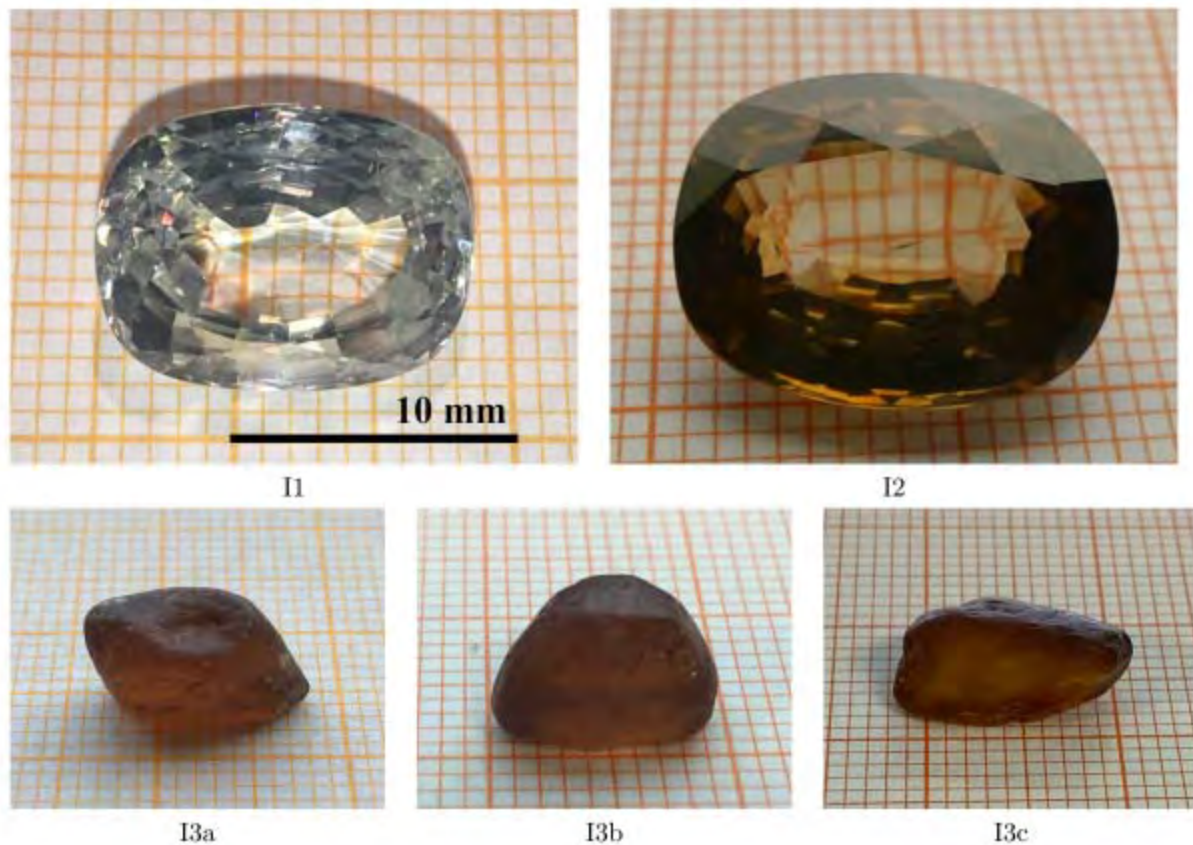
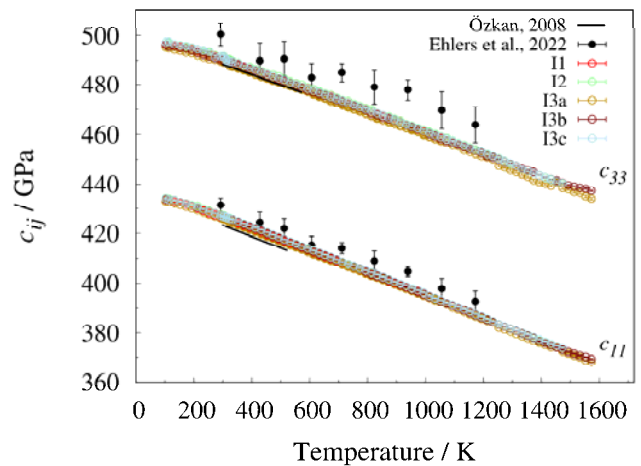
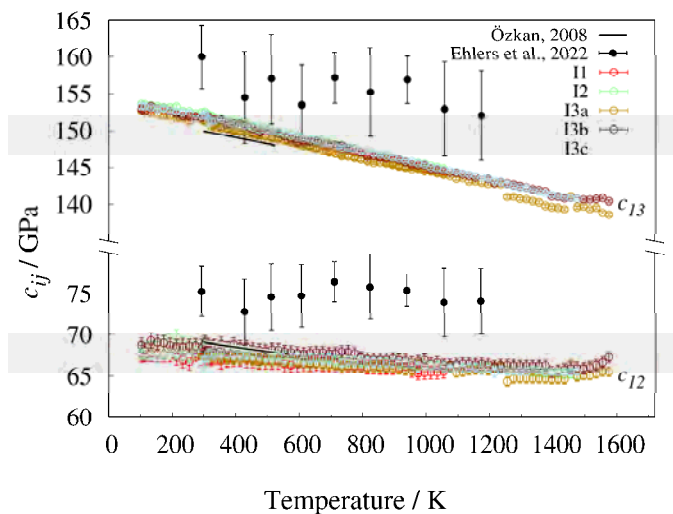


FIGURE 1. Raw crystals used for sample fabrication: I1 (Cambodia), I2 (Sri Lanka), I3a-c (Tanzania).

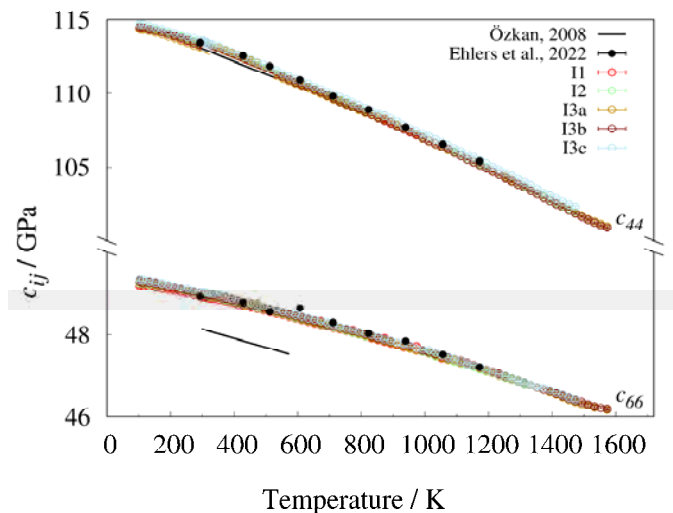
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(a)



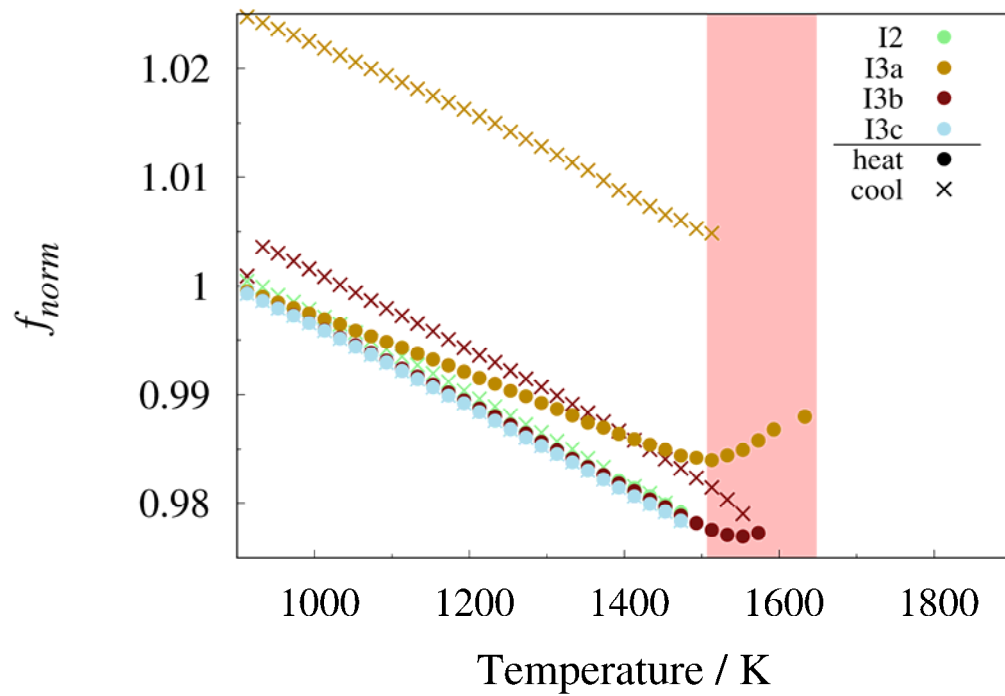
(b)



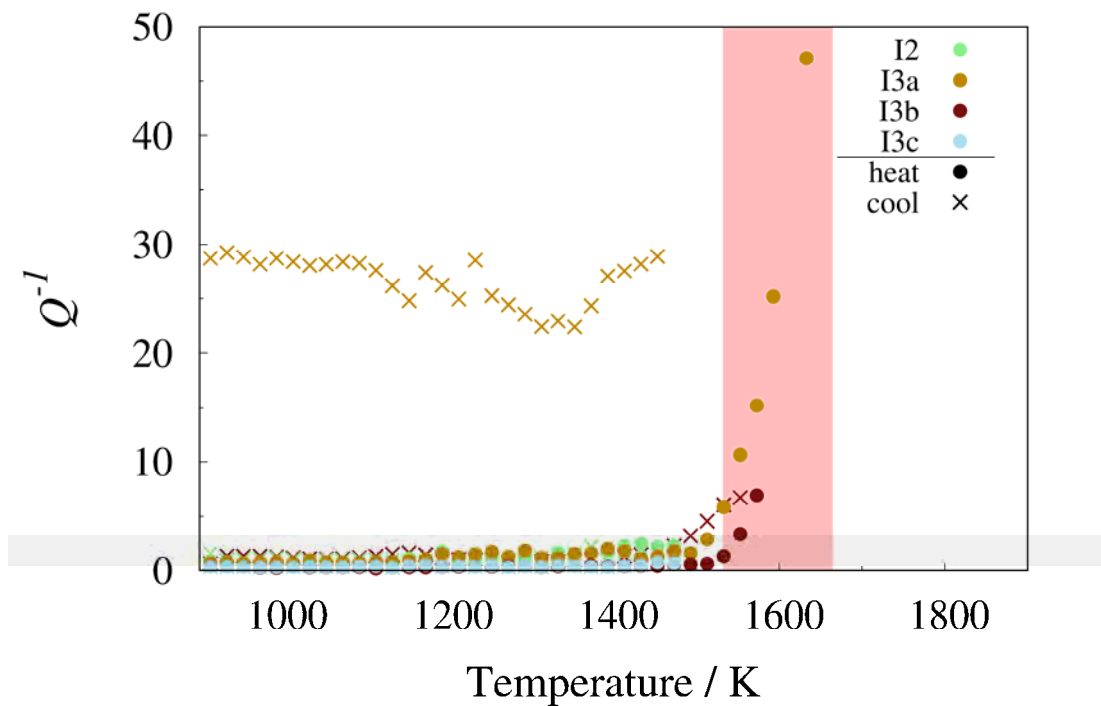
(c)

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FIGURE 2. Temperature-dependence of elastic stiffnesses of non-metamict zircon. (a) longitudinal elastic stiffnesses, (b) transverse interaction coefficients, (c) shear stiffnesses.



(a)



(b)

FIGURE 3. (a) Frequency (normalized to the value at 900 K) and (b) inverse quality factor of a characteristic eigenmode of each sample heated >1450 K.

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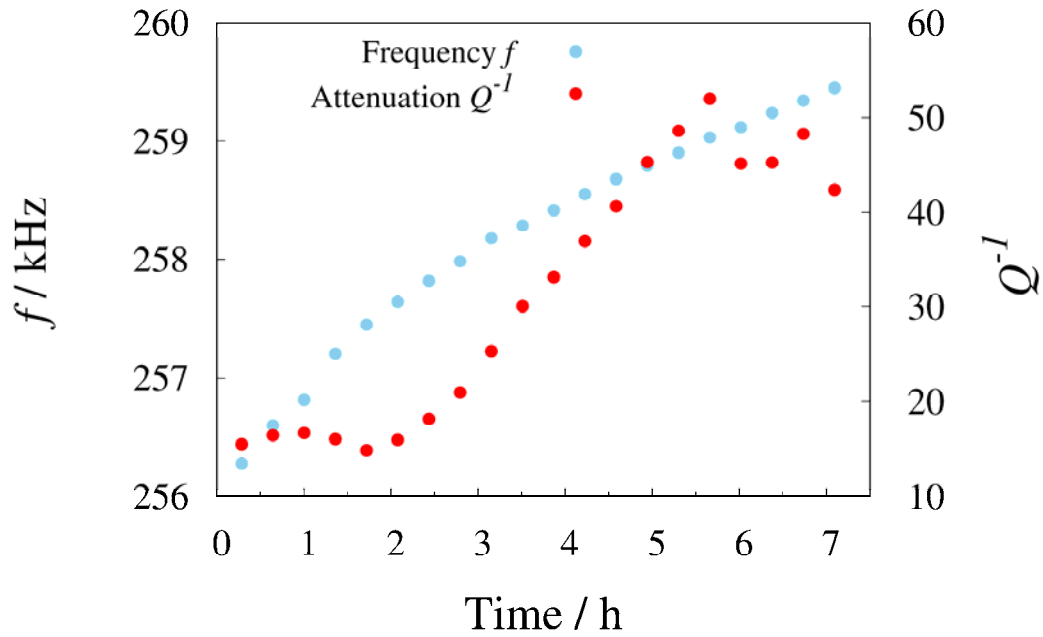


FIGURE 4. Time-dependent change in frequency and inverse quality factor of a selected eigenmode of sample I3a-2 at 1573 K

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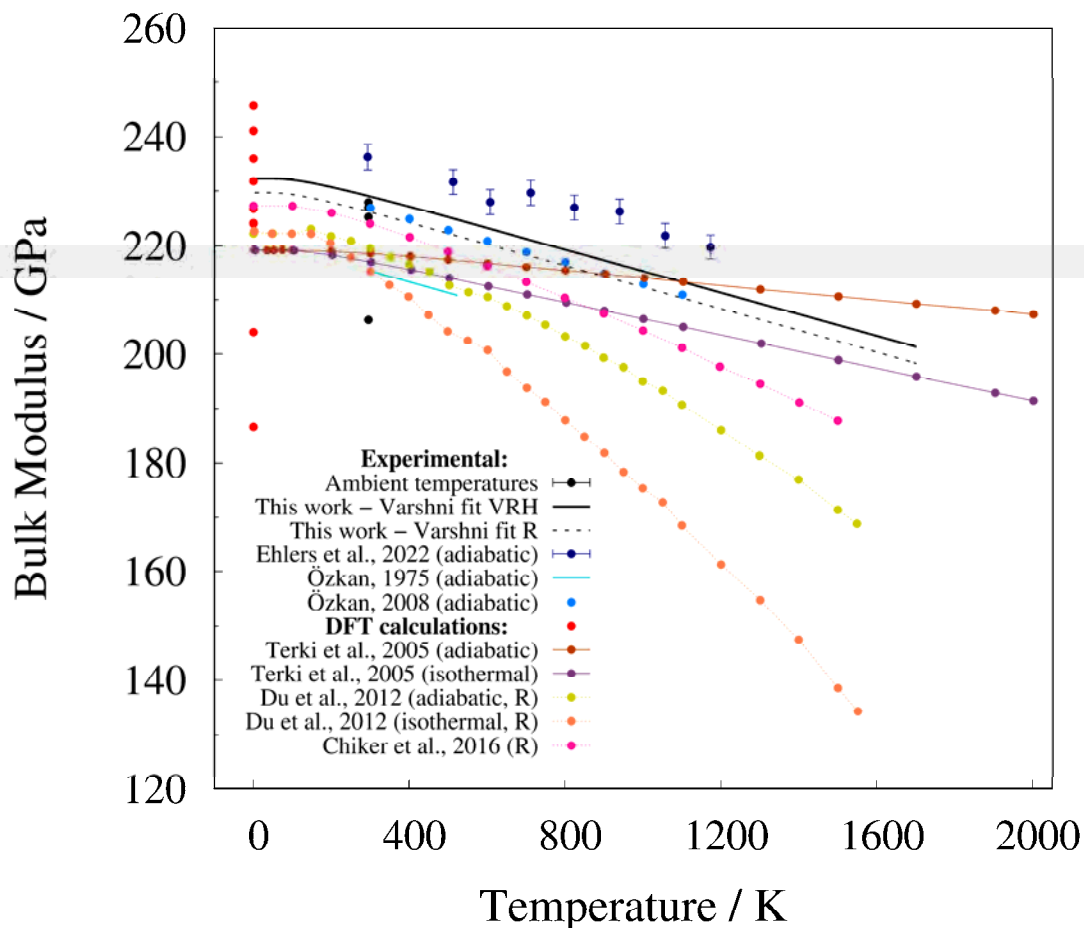


FIGURE 5. Comparison of bulk moduli obtained in this work with published values derived from experimental data as well as from DFT calculations. Temperature-dependent calculations were a combination of DFT and the quasi-Debye model. For citations of published data see text. All values are VRH averages, unless explicitly stated as Reuss modulus by R.

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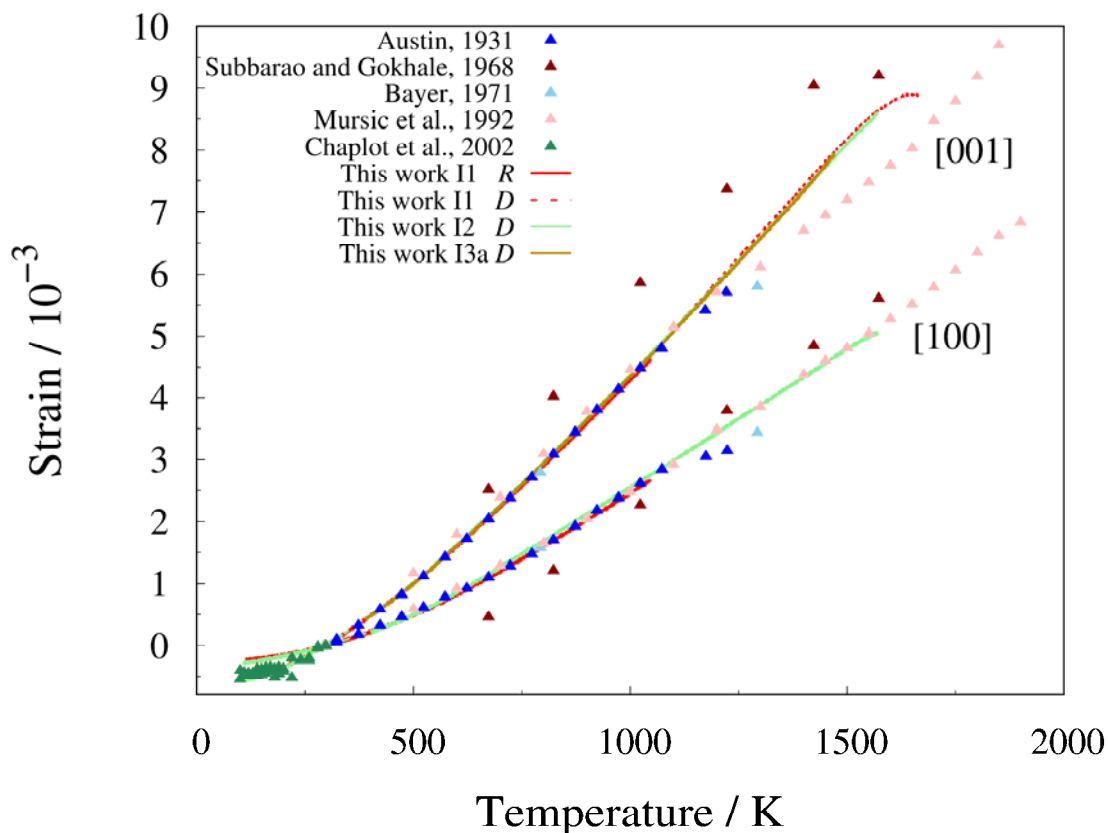


FIGURE 6: Comparison of thermal strains observed on non-metamict zircon samples in this work with corresponding data from literature. *D* denotes initially as-prepared samples, while *R* refers to RUS samples that were previously subjected to high temperatures.

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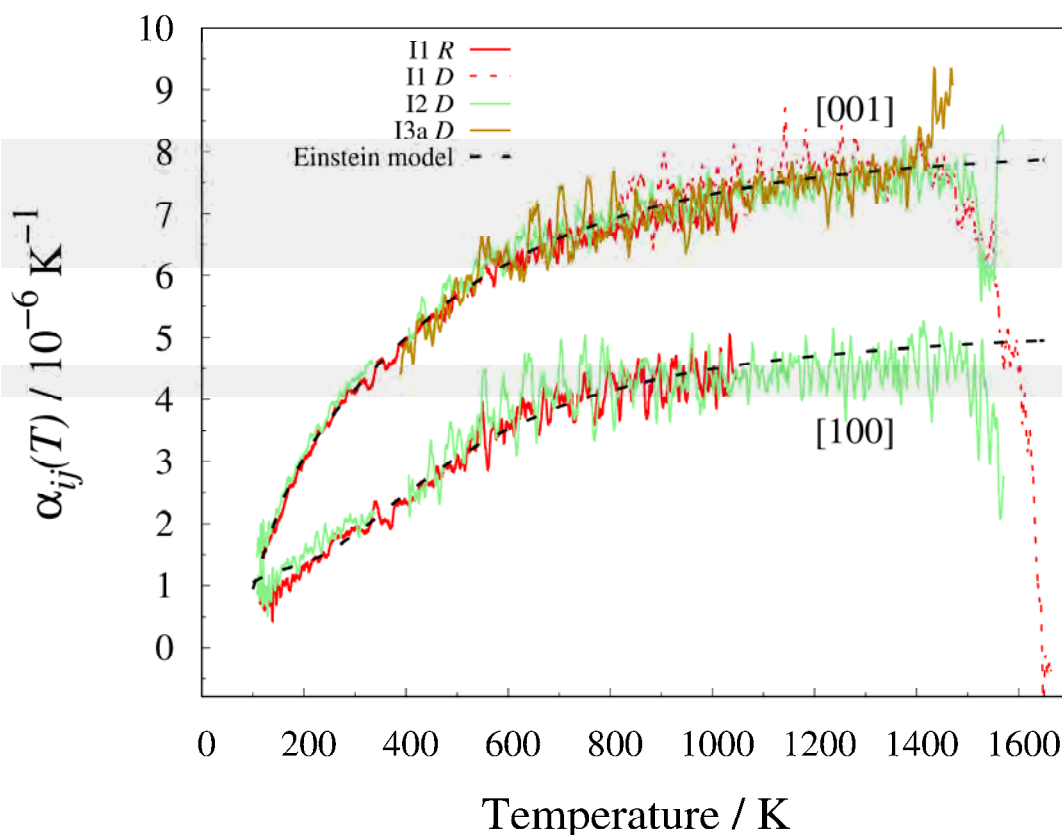


FIGURE 7. Thermal expansion of non-metamict zircon as first derivative of the experimental strain curves (solid lines) obtained in this work and fitted using a double-frequency Einstein model (dashed lines).

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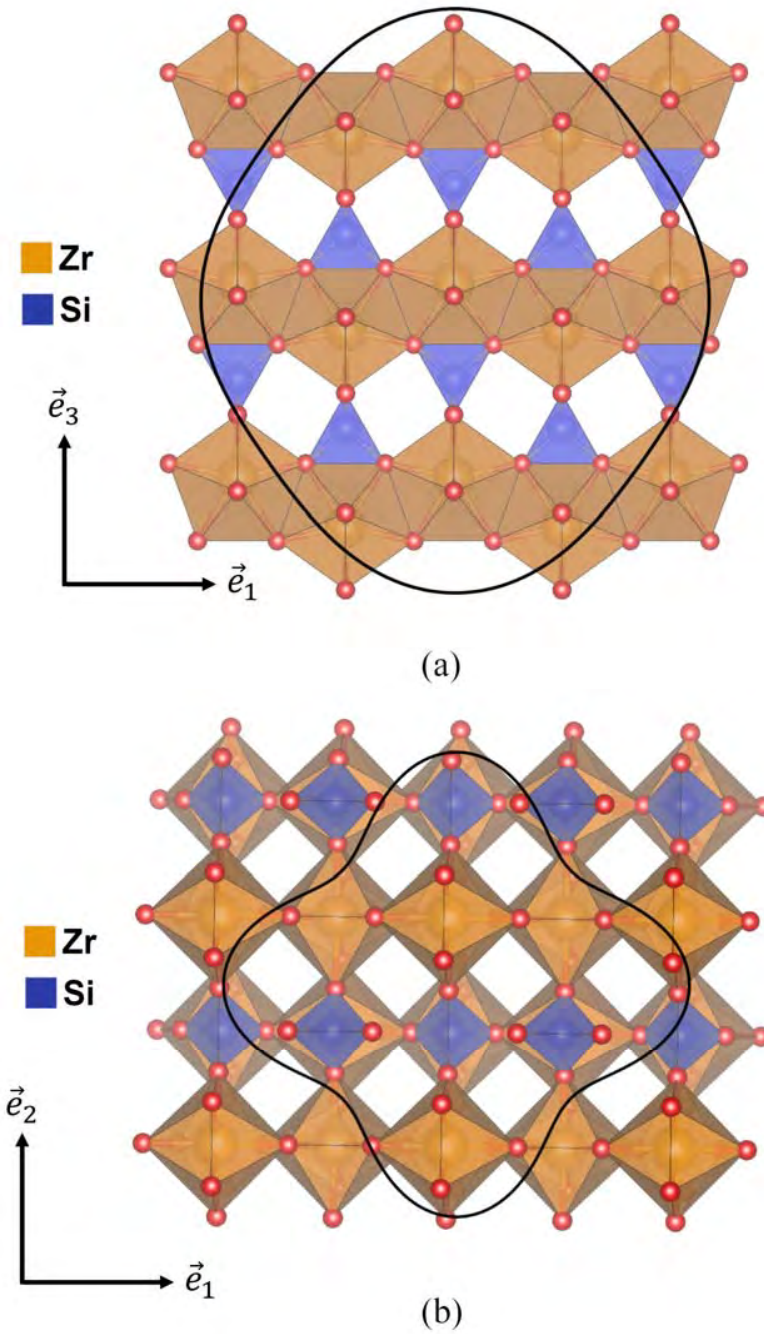


FIGURE 8. Projections of the crystal structure and corresponding cuts of the representation surfaces of the longitudinal elastic stiffnesses c'_{11} for zircon II on the plane perpendicular to (a) \mathbf{e}_2 and (b) \mathbf{e}_3 .

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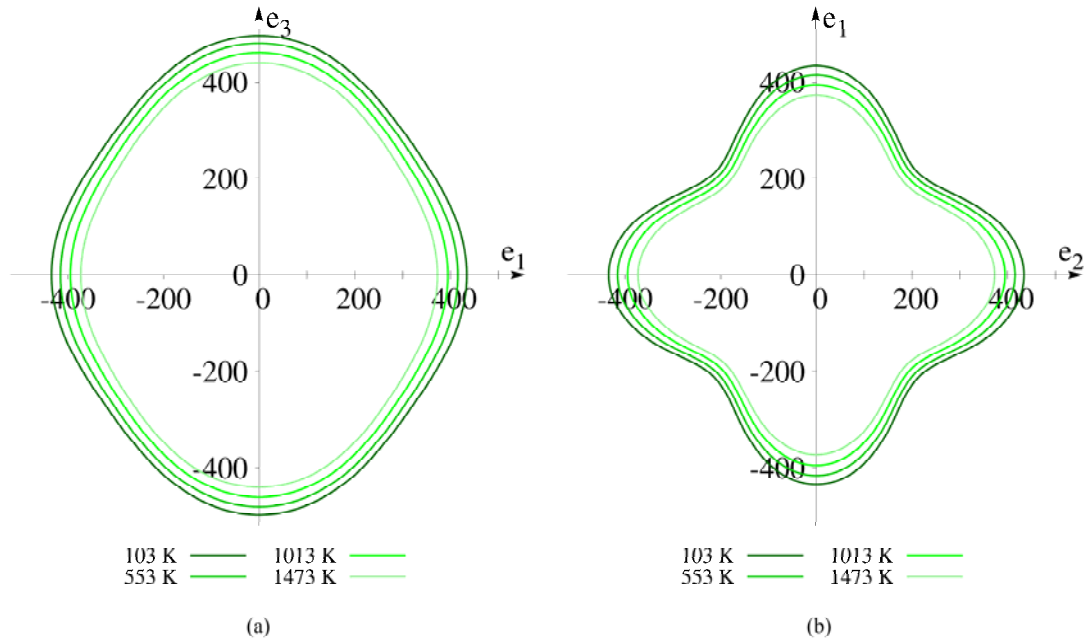


FIGURE 9: Cuts of the representation surfaces of the longitudinal elastic stiffness of zircon I2 at different temperatures perpendicular to (a) e_2 and (b) e_3 (units: GPa).

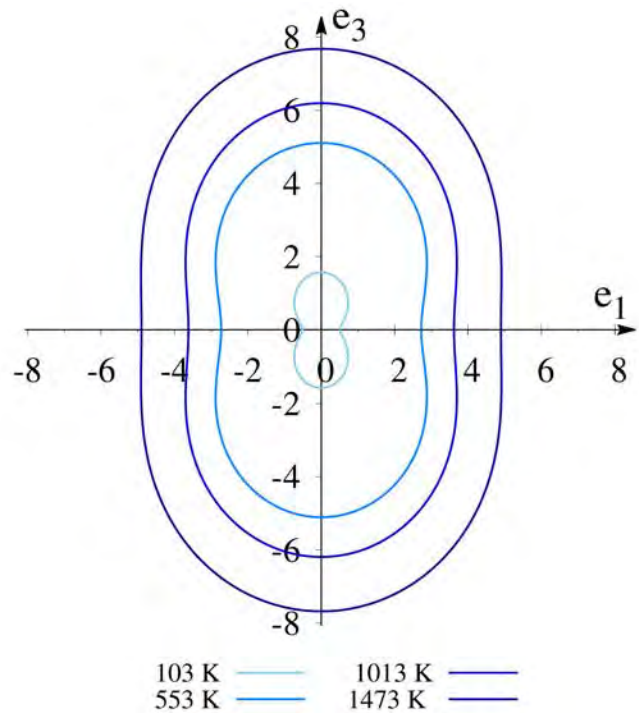
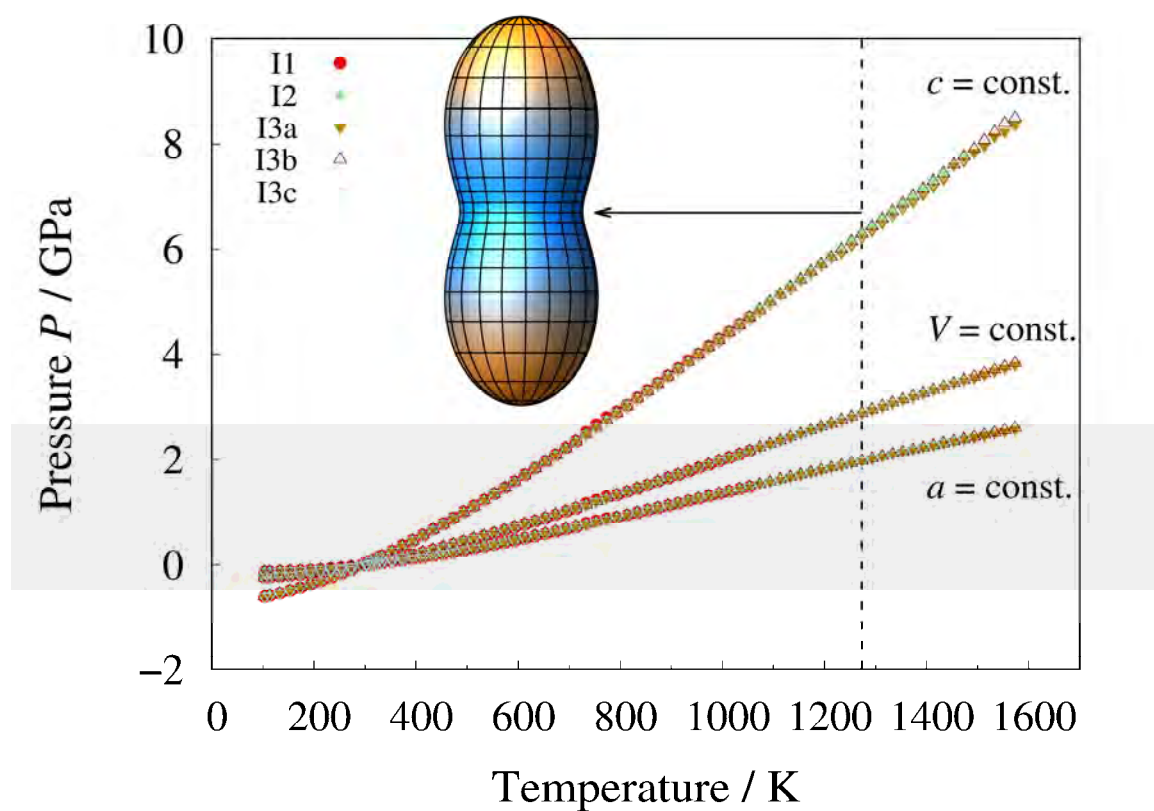


FIGURE 10: Cuts of the representation surfaces of longitudinal thermal expansion of zircon I2 at different temperatures perpendicular to e_2 (units: 10^{-6} K^{-1}).

860
861
862
863
864
865



866
867
868
869
870

FIGURE 11: Hydrostatic pressure required to compensate effect of thermal expansion on a and c lattice parameters as well as on volume. The representation surface illustrates the anisotropy of the compensation pressure at 1273 K (tetragonal axis vertical).