

Revision 3: Arsenic Clustering in Arsenian Pyrite: A Combined Photoemission and Theoretical Modelling Study

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ABSTRACT

Arsenian pyrite is known to have a positive correlation with gold in most auriferous refractory deposits, and thus understanding the chemical speciation of arsenic in localized environments in arsenian pyrite provides important basis for determining its reactivity and mobility. However, arsenic is fast-oxidizing amongst elements in the Fe-As-S system and hence it may exist in various chemical states, which renders it difficult to establish arsenic nature under pristine conditions, particularly in arsenian pyrite. Herein, arsenian pyrite samples were subjected to synchrotron soft X-ray spectroscopy beamline under ultra-high vacuum conditions and As-3d as well as S-2p spectra were collected. A comparison between the spectrum of bulk As-3d in the samples with its bulk counterpart in arsenopyrite revealed a 0.6 eV shift towards lower binding energies. This observation was similar to loellingite (FeAs₂) where the binding energy shift was attributed to high electron density on As of the As-As dimer. Formation of As clusters resulting in comparable binding energy shifts was also proposed from the spectroscopic studies. The experiments were complemented by a series of first principles calculations simulating four experimentally observed pyrite surface where superficial S atoms were randomly substituted by As. As such, six arsenian pyrite crystal surfaces were modelled, two of which constituted superficial As clusters replacing both S and Fe atoms. The surfaces were geometrically optimized, and surface energies were calculated along with the corresponding electronic structure providing a detailed distribution of partial charges for superficial atoms obtained from Löwdin population analysis. The calculated partial charges of atoms located at the surface arsenian pyrite indicated that while the electron density on the As atom of As-S dimers in arsenian pyrite is less negative than the As in bulk arsenopyrite, it is more negative for the As atom of As-As dimers, which were only seen in the superficial As clusters. This firmly validated the description of As presence in arsenian pyrite as local clusters inducing localized lattice strain due to increased bond distances. Our findings offer a good background for future studies into the reactive sites in arsenian pyrite and how that compares with associated minerals, arsenopyrite and pyrite.

35 Keywords: X-ray photoemission spectroscopy, density functional theory, pyrite, arsenian
36 pyrite

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39

40 1. Introduction

41 The most abundant metal sulfide on the surface of Earth, including terrestrial and marine
42 sediments, is pyrite (FeS_2). Pyrite space group is $Pa\bar{3}$ where two interpenetrating FCC
43 structures form a halite structure. The corners of the cube and face centers are occupied by Fe
44 atoms and sulfur dimers orienting along the $\langle 111 \rangle$ direction are located in the middle of the
45 twelve edges as well as in the cube center. Consequently, each unit cell consists of four formula
46 units of FeS_2 and each Fe is surrounded by six S as nearest neighbors forming a distorted
47 octahedral and the bonding is described by d^2sp^3 hybridization of Fe valence orbitals whilst
48 each S is tetrahedrally coordinated by three Fe and its dimer partner (Murphy and Strongin,
49 2009). Marcasite is another essential FeS_2 phase with space group $Pn\bar{m}n$.

50 A broad range of potential applications has been attributed to pyrite. For example, it is a
51 semiconductor with a band gap of ~ 0.95 eV, which is suited to photovoltaic cells and an optical
52 absorption coefficient on the order of 10^5 cm^{-1} (much higher than that of materials like GaAs).
53 Nevertheless, it has a very low open-circuit voltage, which causes the low efficiency of pyrite
54 photoelectrochemical cells (Sun et al., 2011).

55 On the other hand, valuable sulfide ores often contain pyrite and it is well established that,
56 pyrite surface reactivity is instrumental in processes that rely heavily on their physico-chemical
57 properties for separation and value extraction (Hu Yuehua et al., 2009). However, pyrite is
58 known to have poor cleavage and while the most observed cleavage direction of pyrite is (100),
59 other cleavages comprising (021), (111) and (110) have also been experimentally detected
60 (Murphy and Strongin, 2009). Such surfaces can be studied by either X-ray photoelectron
61 spectroscopy (XPS) or ab initio simulations (von Oertzen et al., 2005).

62 Pyrite with As content above about 1 wt.% As is called arsenian pyrite (Stepanov et al., 2021;
63 Wells and Mullens, 1973) and have been found to concentrate valuable metals such as gold
64 (Arehart et al., 1993; Cook and Chryssoulis, 1990). In such mineralogical occurrence, a
65 positive correlation between gold and arsenic, supported by sampling from different geological
66 settings is observed (Palenik et al., 2004). Using first-principle and Monte Carlo calculations,
67 Reich and Becker proposed (Reich and Becker, 2006; Reich et al., 2005) up to 6 wt.% arsenic
68 substitution in pyrite beyond which exsolution occurred with formation of pyrite and
69 arsenopyrite as separate phases. Based on experimental and natural data the study by Stepanov
70 et al. (Stepanov et al., 2021) has proposed an alternative model that equilibrium solubility of
71 As in pyrite is low and high-As pyrite is formed as metastable phase. Along the same line, the

72 limiting concentration of gold in arsenian pyrite was determined and expressed as $c_{Au} =$
73 $0.02 \times c_{As} + 4 \times 10^{-5}$ (Reich and Becker, 2006; Reich et al., 2005). A maximum Au/As ratio
74 of ~ 0.02 was deduced for the upper compositional limit of Au and As such that, Au at
75 concentration above this limit would form nanoparticulate gold, whereas solid solution of gold
76 was predominant below this limit.

77 Understanding the distribution of As in the superficial layers of arsenian pyrite is of great
78 theoretical and practical importance. Homogenous arsenian pyrite has not been found in nature,
79 however back-scattered electron (BSD) images have shown arsenic-rich rim in pyrite from
80 some deposits (Deditius et al., 2008; Liang et al., 2013; Sung et al., 2009). These arsenic-rich
81 zones have varying arsenic concentrations and arsenic could either substitute for S (Simon et
82 al., 1999) or Fe (Bunz et al., 2014; Deditius et al., 2008) in pyrite. In other studies, formation
83 of As-clusters in pyrite have been proposed using high resolution transmission electron
84 microprobe (HRTEM) analysis (Savage et al., 2000). In localized arsenic enriched zones,
85 knowledge of the chemical bonding of arsenic in pyrite is very relevant because it provides
86 useful information on the surface features of the minerals and how that relates to chemical
87 processes such as oxidation and release of arsenic, and helps in the design and optimization of
88 surface sensitive separation processes such as flotation.

89 While there are many ab initio studies on pyrite, a relatively smaller number of such
90 investigations deal with the role of As in pyrites. Blanchard et al. (Blanchard et al., 2007b)
91 explored the structure of bulk pyrite, the substitution of S by As, and the energetic of (001)
92 pyrite surface. Their findings pointed towards substitution of S by AsS groups in bulk pyrite.
93 Implementing DFT simulations, Chen et al. (Chen et al., 2013) found out that the existence of
94 As is highly conducive to incorporating Au in pyrite bulk. Le Pape et al. (Le Pape et al., 2017)
95 also used DFT calculations to simulate different substitution mechanisms for the presence of
96 As in pyrite. Their calculations confirmed that substitution of S by As is more favourable than
97 Fe. As another example, Santos et al. (Dos Santos et al., 2017) used DFT method with Hubbard
98 correction to study the interface between pyrite and arsenopyrite, whereby the miscibility of
99 these two phases was found not favourable attesting the observation of coarse coexisting
100 crystals of pyrite with low As content and arsenopyrite.

101 In this work, we report on a combined photoemission and ab initio study of arsenian pyrite.
102 The photoemission spectra from samples of arsenian pyrite collected by Synchrotron soft X-
103 ray spectroscopy are juxtaposed by a series of first principles simulations of the four faces of

104 pyrite in the presence of As atoms on the top layers substituting superficial S. To improve the
105 predictions of DFT calculations for some strongly correlated materials such as TMs with partly
106 filled shells, DFT+U will be implemented where the correlation phenomenon resulting in a
107 strong on-site Coulomb repulsion among electrons in a narrow d band, is represented by a
108 spherically averaged Hubbard parameter U allowing for the increase in energy due to the
109 insertion of an extra electron on a particular site together with a parameter J describing the
110 screened exchange energy. As such, localized fully occupied d orbitals on one particular site
111 are shifted to lower energies, whilst empty d orbitals are moved to higher energies (Rohrbach
112 et al., 2003).

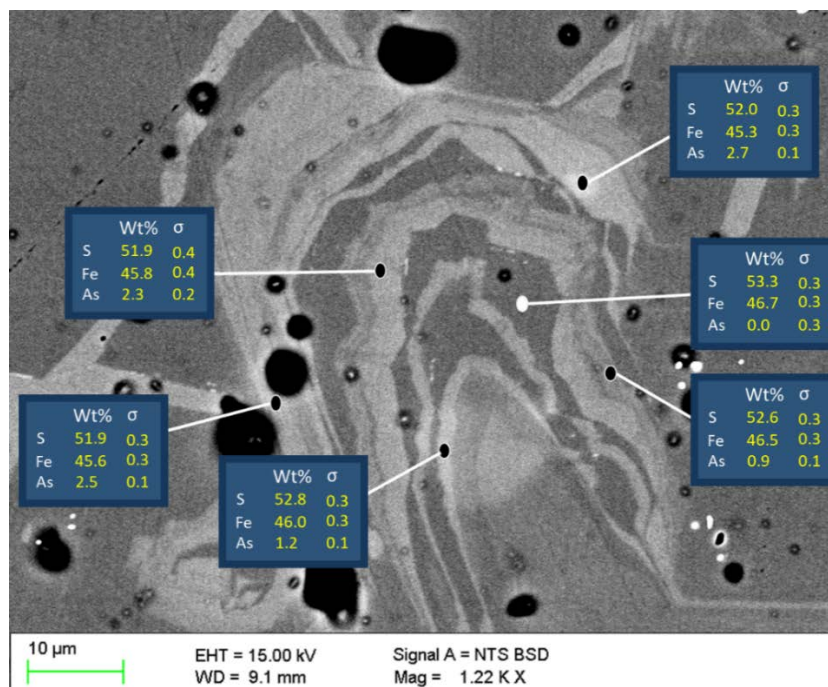
113 The inclusion of arsenic in pyrite may take place by different substitution mechanisms and
114 resulting in different oxidation state. As explained in the review paper *Phase relations of*
115 *arsenian pyrite and arsenopyrite*, while substitution of S by As is the most widespread one, the
116 replacement of Fe by As has also been observed (Stepanov et al., 2021). Consequently, we
117 further increase the number of As replacing both S and Fe atoms to create a cluster near the
118 (100) and (021) surfaces and study its impact on the superficial atomic and electronic structure
119 of pyrite.

120

121 **2. Method**

122 **2.1 Synchrotron X-ray Photoelectron (SRXPS) Analysis**

123 Laths (4 mm × 4mm × 6mm) were cut from an arsenian pyrite sample obtained from a Bolivian
124 mine. Internal zoning of pyrite has been identified using scanning electron microscope (SEM)
125 under backscattered electron (BSD) mode (Camscan CFSE CS44, Cambridge, UK; and Merlin,
126 ZEISS, Australia). Spot analysis using energy-dispersive X-ray (EDX) at an accelerating
127 voltage of 15 kv gave As concentrations oscillating from low As below limit of detect to high
128 As concentration of 1.5-2.7 wt% as shown in Figure 1.



129

130 **Figure 1.** Back-scattered electron image of arsenian pyrite showing arsenic rich (bright) and
131 As-poor (dark) zones in pyrite. Stoichiometry of dark spot match pure pyrite (Forson et. al.
132 2022, in preparation).

133 For comparison, pyrite and arsenopyrite samples acquired from GeoDiscoveries Australia and
134 sourced from a Peruvian mine were prepared in the same way and analysed along with the
135 arsenian pyrite sample. These samples were mounted onto the cleaver holder supported in
136 place by aluminum packing pieces and a screw.

137 All spectra were collected at the Australian Synchrotron soft X-ray spectroscopy beamline
138 equipped with an APPLE II UNDULATOR, 1200 line/mm monochromator grating and 20 µm
139 monochromator exit slit. On this end station, energy resolution better than 0.2 eV is attainable
140 at photon energies below 800 eV and resolution of about 0.2 eV at higher photon energies
141 (~1100 eV). A SPECS Phoibos 150 electron analyser was installed with the sample positioned
142 80° to the detector. A manipulator was used to move samples from one chamber to the other.

143 Mounted laths were introduced into the crystal cleaver assembly, fractured under ultra-high
144 vacuum (pressure of 1×10^{-8} Torr) and the pristine surface analysed straight away at a pressure
145 of 2×10^{-10} Torr or better maintained throughout the analysis time. As 3d spectra were collected
146 with a pass energy of 10 eV at photon energy of 1487 eV, 210 eV and 100 eV. S 2p spectra
147 were collected with pass energy of 10 eV at photon energy of 1497 eV and 210 eV. Peak fitting

148 was carried out on CasaXPS software employing a Shirley-type background subtraction for all
149 signals.

150 **2.2 Calculation details**

151 The Vienna ab initio software package (VASP) was used (Kresse and Furthmuller, 1996)
152 implementing the projector augmented wave method to represent the combined potential of
153 core electrons and nuclei (Kresse and Joubert, 1999). The Perdew–Burke–Ernzerhof gradient
154 approximation was implemented to represent the exchange–correlation functional (Perdew et
155 al., 1996). Hubbard U corrections, which are parametrized and incorporated to DFT
156 calculations is adopted whereby Coulomb interactions are accounted for to model intra-atomic
157 electronic correlations and the on-site Coulomb correction is represented by the difference
158 between the Coulomb (U) and exchange terms (J) (Dudarev et al., 1998). We follow Ceder and
159 coworkers (Sun et al., 2011) to set U=3 and J=1, which proved to provide results in good
160 agreement with experimental measurements for bulk FeS₂ and MnS₂ (Persson et al., 2006). A
161 cut-off energy of 400 eV was chosen for the plane wave basis and the self-consistent electronic
162 optimization was converged to 10⁻⁶ eV (Mahjoub et al., 2019). A 2 × 2 × 1 mesh of Γ -centered
163 k-points was used to sample the Brillouin zone. Geometry optimization was performed using
164 spin polarized calculations.

165 For (100) surface, a slab comprising 2 × 2 × 5 cell was adopted with a vacuum layer of 15 Å
166 on top. The stoichiometric (2 × 2) cell consists of eight Fe and sixteen S atoms per surface the
167 S-terminated slab contains eighty Fe and hundred and sixty S atoms (Zhang et al., 2012). The
168 experimental and theoretical lattice constant of the bulk pyrite are 5.416 Å (Murphy and
169 Strongin, 2009) and 5.422 Å (Zhang et al., 2012) respectively and we adopted the latter value.
170 All other surfaces are also stoichiometric S-terminated with a 15 Å vacuum on top. The
171 dimensions of the slabs corresponding to the studied surfaces are given in Table 1. Further,
172 superficial S atoms of all studied surfaces were randomly substituted by three As solutes. In
173 addition, clusters of As were randomly formed on the superficial layers of (100) and (021)
174 surfaces.

175 The free surface energy (σ) can be calculated as: (Alfonso, 2010; Zhang et al., 2012):

$$176 \quad \sigma = (E_{FS}(N_{Fe}, N_S) - N_{Fe}\mu_{Fe} - N_S\mu_S)/2S \quad (1)$$

177 where E_{FS} represents the total energy of the slab-containing cell with two free surfaces and S
178 is the surface area. μ_{Fe} and μ_S are chemical potentials with the constraint $\mu_{Fe} + 2\mu_S = \mu_{FeS_2}$
179 and N_{Fe} and N_S are the number of corresponding atoms in the simulation cell. The fix atoms
180 representing bulk were located within half a lattice constant from the middle layer whilst all
181 others were free to relax. In addition, to increase the length of the free surface layers above the
182 fixed bulk atoms, we considered the bottom-most layer atoms fixed (Krishnamoorthy et al.,
183 2012; von Oertzen et al., 2005) as long as their out of plane coordinates are equal or less than
184 a lattice constant.

185 In the case of surface with As impurity, the aforementioned constraint on chemical potentials
186 of Fe and S still holds and the surface energy will be expressed as

$$187 \quad \sigma = (E_{FS}(N_{Fe}, N_S, N_{As}) - N_{Fe}\mu_{Fe} - N_S\mu_S - N_{As}\mu_{As})/2S \quad (2)$$

188

189 **3. Results**

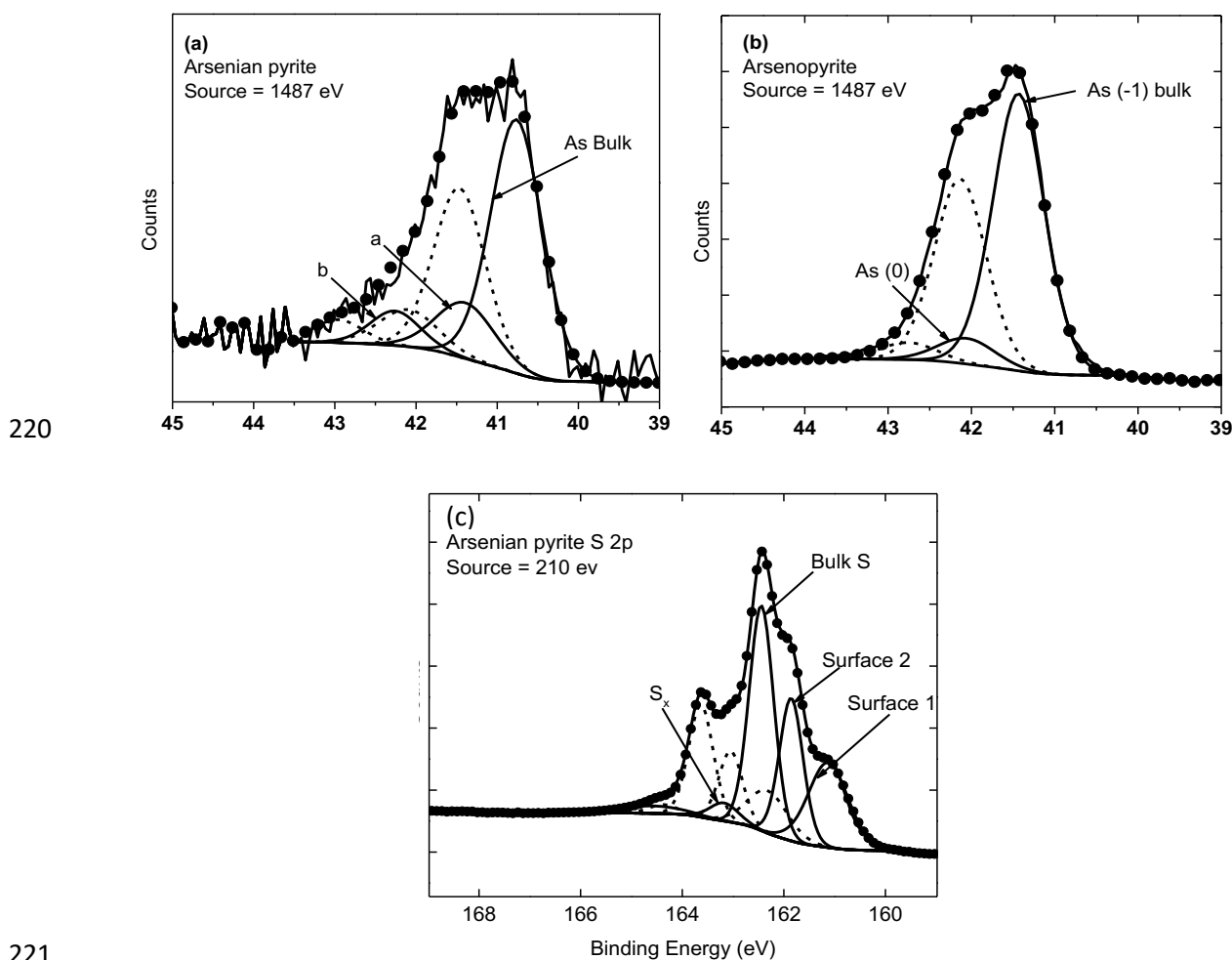
190 **3.1 experimental observations**

191 All arsenic peaks were fitted with doublets, As 3d_{5/2} and As 3d_{3/2}, with an energy splitting of
192 0.7 eV and the same FWHM (Nesbitt et al., 2002). By theory, the As 3d_{3/2} feature found at
193 higher binding energy was constrained to an intensity, exactly two thirds that of the lower
194 binding energy As 3d_{5/2} peak (Jones et al., 2003; Nesbitt et al., 2002).

195 Relative to the background, the intense peak located at 40.8 eV was assigned to bulk fully
196 coordinated arsenic (Figure 2). The lower binding energy of the bulk As compared to common
197 minerals in the Fe-As-S system suggests As is present at a nominal oxidation state of -1 similar
198 to arsenopyrite and loellingite (Nesbitt et al., 2002; Schaufuss et al., 2000). In such
199 environments, it is more likely that As will substitute for S rather than Fe (Pierre et al., 2018).
200 This bulk As 3d spectrum of arsenian pyrite in comparison with arsenopyrite reveal a binding
201 energy shift of about 0.6 eV, with bulk As 3d spectrum of arsenopyrite found at higher binding
202 energy with respect to arsenian pyrite. Harmer and Nesbitt (2004) made similar observation
203 comparing the bulk As signal of loellingite and arsenopyrite (with space groups of $P2_1/c$ and
204 $Pnmm$ respectively), where a difference of 0.5 eV existed between the two mineral phases.
205 These authors argued that the observed difference could signify a higher electron density on
206 As atoms of As-As dimers of loellingite compared to those of the As-S dimer of arsenopyrite.

207 S has a higher electronegativity compared to As, thus, the decreased electron density on the As
208 atom of As-S dimer could be the result of displaced electron density by S of As-S dimer.

209 Electronic structure calculations (Blanchard et al., 2007a) for arsenic substitution in pyrite
210 showed that substitution of one As₂ unit for S₂ group in pyrite was energetically unfavorable.
211 Assuming this assertion is valid, a second explanation is provided for the lower binding energy
212 of the bulk As spectra of arsenian pyrite. Savage et al. (Savage et al., 2000) in a study using
213 high resolution transmission electron microprobe (HRTEM) and X-ray absorption fine-
214 structure spectroscopy (EXAFS) reported that As present in arsenian pyrite exists as local As
215 clusters in lattice of pyrite. Such substitution mechanism could produce localised lattice strain
216 from an increased chemical bond distances producing a corresponding shift in the binding
217 energy (Richter et al., 2004). It was thus suggested that the lower binding energy of the bulk
218 component may indicate the existence of As clusters in the arsenian pyrite sample, investigated
219 further herein using first principle calculations.



222 **Figure 2.** As 3d spectra of fractured (a) arsenian pyrite and (b) arsenopyrite at 1487 eV
223 indicating a shift in bulk binding energies. S 2p of arsenian pyrite at 210 eV revealing
224 contribution from various surface species is shown in (c). The doublets of the individual
225 peaks are represented by short dashes

226 The S 2p spectra was fitted with doublets separated by 1.19 eV and an optimum ratio of 0.50
227 between the intensity of the 2p_{3/2} and 2p_{1/2} components (Figures 1a and 1b). Each doublet
228 was constrained to have the same FWHM. To interpret the peak contributions, the S 2p of
229 pyrite by Schaufuss, A.G. et al. (Schaufuß et al., 1998) was used. The major component at
230 162.4 eV was identified as the bulk signal with a slight shift in binding energy (0.1 eV) with
231 respect to the bulk signal from pyrite (Schaufuß et al., 1998). The minor peak at 161.2 eV and
232 161.9 eV (Figure 2a) were in similar peak positions as pyrite with equivalent FWHMs, thus,
233 they were assigned to surface peaks emanating from fracture of S-S and Fe-S or As-S bonds
234 respectively. Contribution from the high binding energy component at 163.2 eV may have been
235 from a bulk polysulphide-like species, formed from possible exsolution of sulphur at As-rich
236 boundaries. The explanation offered is supported by the absence of oxygen, which could form
237 components at such high binding energies.

238 **3.2 Theoretical results**

239 **3.2.1 Surface energies**

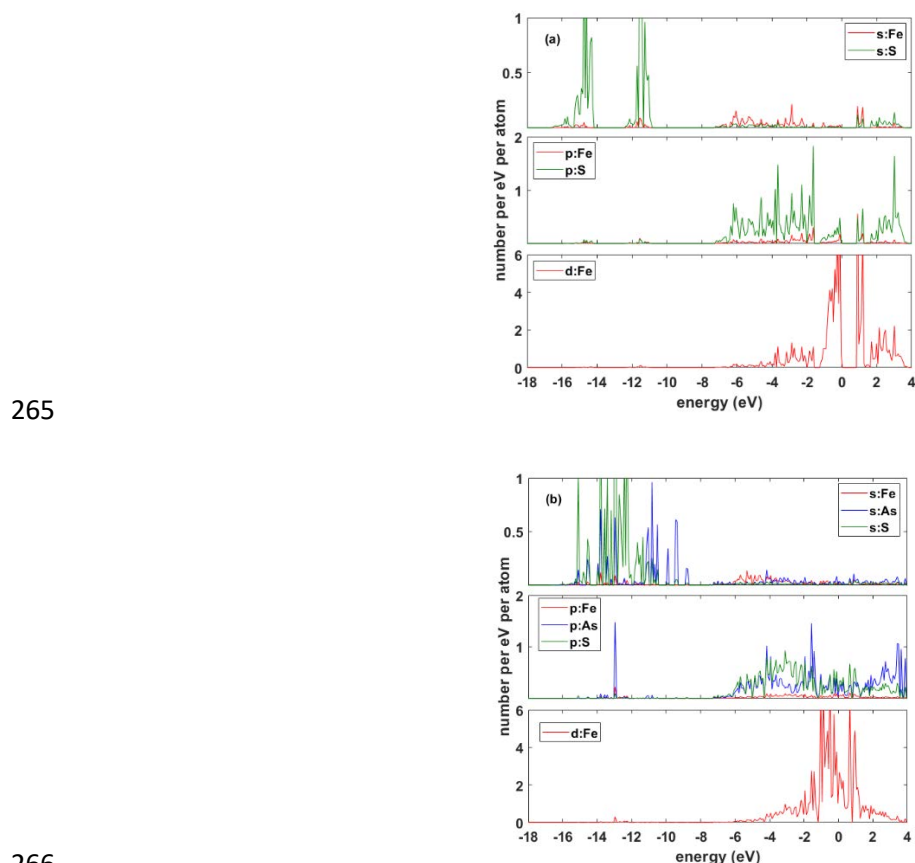
240 As shown in Table 1, the number of atoms, cell size, and in particular, the slab dimension
241 normal to the surface used herein are larger than the above-reviewed references except for
242 Zhang et al. (2012) (Zhang et al., 2012). The surface energies are listed in Table 1 for PBE+U
243 methods which are consistent to those provided in the reference by Zhang et al. (2012) (Zhang
244 et al., 2012). The order of stability of surfaces can thus be arranged as (100) > (111) > (110) >
245 (021). While the substitution of superficial As atoms leads to a decrease in surface energies
246 and hence makes them more stable as shown in Table 1, the order of stability remains the same.
247 Difference in superficial energies could result in sector zoning, that is a major indication of
248 surface-controlled growth as speculated by Stepanov et al. (Stepanov et al., 2021).

249 Were the fixed atoms representing the bulk considered at the bottom of the slab, the surface
250 energies would be 0.993, 1.348, 1.375, 1.409 J/m² for (100), (111), (110), and (021)
251 respectively. It is also noticed that the surface energies provided by DFT+U scheme are
252 generally smaller than those by PBE.

253 3.2.2 Electronic density of states

254 The superficial electronic density of states was computed using both spin-polarized and non-
255 spin polarized calculations for the slabs wherein bottom atoms were considered fixed to
256 represent bulk, thereby maximizing the number of surface layers free to relax. The band gap
257 provided by DFT+U scheme for bulk agrees very well with the experimental value (0.95 eV)
258 (Ennaoui et al., 1993). For low spin (100) surface we found a value of 0.95 eV, which is close
259 to the findings of Sun et al. (2011) (Sun et al., 2011). For other surfaces, no band gap was
260 detected in both low and high spin approaches. The ground state energies of the supercells
261 representing the simulated surfaces indicated the low spin (LS) state as the favoured over the
262 high spin (HS) state.

263 Figures 2(a) and (b) show the angular momentum projected density of states (pDOS) of (100)
264 surface without and with As atoms respectively.



267 **Figure 3:** Local pDOS of superficial layers up to 2.7 Å from the (100) surface in (a) pyrite
268 and (b) pyrite with a cluster of As.

269 It is seen that in the vicinity of the Fermi energy (E_F) DOS is dominated by the d-orbital of Fe
270 followed by the contribution of p-orbital of S, whereas well below E_F , the DOS is governed by
271 the s-orbital of S. The DOS peak profile also indicates strong hybridization between the p bands
272 of S and d band Fe. The same description holds as As substitutional atoms are introduced in
273 the superficial layers thereby increasing the number of valence electrons and shifting E_F to the
274 lower energies (Savage et al., 2000) leading to the elimination of the narrow bandgap of the
275 pyrite surface.

276 **3.2.3 Charge population analysis**

277 In the context of linear combination of atomic orbitals (LCAO) the molecular orbital can be
278 square-integrated over all space and thus obtain the overlap population (OP). Mulliken
279 partitioned the integration and attributed the overlap population to bonding (Dronskowski,
280 2005). The atom-centered electrons are customarily called atomic net population (NP). The
281 addition of NP and OP gives the total number of electrons. Atomic gross population can be
282 defined as the addition of NP to the symmetrically split overlap population (OP/2). As such,
283 the atomic charge is the difference between the atomic number Z and gross population.
284 Mulliken population analysis has been widely used in quantum chemical studies. Nevertheless,
285 there are deficiencies in this scheme, amongst others, is the symmetrical splitting of overlap
286 population, not least in strongly polar covalent bonds. Löwdin suggested an alternative analysis
287 wherein symmetrical splitting of OP is substituted by the one which preserves the molecular
288 dipole moment (Dronskowski, 2005). To provide an orthogonal basis, Löwdin implemented a
289 symmetric transformation for all orbitals and this orthogonalization removed all overlap terms
290 thereby avoiding overlap partition problem in Mulliken scheme. We have calculated both
291 Mulliken and Löwdin charges, although only the latter was visualized for the atoms on and the
292 vicinity of surfaces.

293 First, the computed Löwdin charges of pyrite with the space group of Pa3, arsenopyrite
294 (FeAsS) with a monoclinic structure, and loellingite (FeAs₂) in the bulk state are listed in Table
295 2. Next, the relaxed surfaces listed in Table 1 are investigated both in pure state and with As
296 atoms randomly substituting sulfurs on the top layers (Blanchard et al., 2007a) and the Löwdin
297 charges of the superficial atomic layers are calculated. The charges of As and S atoms are then
298 compared with the charges of their counterparts in bulk arsenopyrite and pyrite respectively as
299 benchmark and the difference ($\Delta C_L = C_L^{surface} - C_L^{bulk}$) was provided in Table 3 together with
300 schematics (Momma and Izumi, 2011) of the corresponding surface configurations. Thus, a

301 negative value for ΔC_L indicates a surplus electronic charge whereas a positive value implies
302 electronic charge deficit with respect to bulk. Included in the results are the Löwdin charges of
303 two clusters of As in the vicinity of (100) and (021) surfaces (Tables 3(c) and 3(f) respectively),
304 which were created by substituting both S and Fe (Bunz et al., 2014) species with As. It is
305 worth noting that while the charges are to a large extent correlated to the coordination number
306 (CN) of the species, the values may fluctuate due to the fluctuation of bond distances caused
307 by broken surface bonds. As such, in each atomic layer, the charge of elements with a given
308 CN is represented by their mean value (Ertural et al., 2019).

309 **4. Discussions**

310 We begin by (100) surface charges (Table 3(a)) wherein the S atoms in the first atomic layer
311 secure surplus charges of -0.10 and -0.05 for 3-folded and 4-folded CNs respectively. In the
312 second atomic layer, while all S atoms are 4-fold coordinated, they show both deficit (+0.04)
313 and surplus charges (-0.01). The latter S is bonded to 6-fold coordinated Fe (with a charge of
314 0.43) and 4-fold coordinated S much similar to the bulk, whereas the former bonds to a 5-fold
315 coordinated Fe (with an unadjusted charge of +0.57). Indeed, we observe four values for the
316 superficial S i.e., one is equal to the bulk S, two securing surplus charges and one enduring
317 deficit charges comparing to the bulk. As a consequence, it is expected that in the spectra of
318 2p-S, two peaks shift to lower binding energies (BE) and one peak moves to higher BE, the
319 latter corresponding to S_x in Figure 2(c).

320 As for the (100) surface with $3\times$ As, atoms in the top layer are seen to have displaced to some
321 extent in particular those located in the vicinity of the solutes. Table 3(b) indicates that
322 compared to the bulk state of arsenopyrite or loellingite, As atoms invariably lose charge to
323 neighbouring S and not least the 3-fold coordinated As, which loses almost 75% of its charge
324 in bulk state ending up with a +0.12 charge deficit. While a larger range of surplus charges are
325 calculated for S, they can be categorized in three different mean values; two of which are with
326 surplus and one with a deficit charge with respect to the bulk.

327 Next, we turn attention to a cluster of $12\times$ As atoms located near the (100) surface where local
328 disorder seems to prevail both in atomic configuration and in charge distribution as provided
329 in Table 3(c). While the CN of S ranges from 2 to 4, Fe may have a CN of 3 to 6. For As, the
330 coordination varies from 2 to 4 and an ever-varying chemical environment appears where As
331 atoms belonging to As-S dimers suffer an even worse charge deficit than their counterpart in
332 bulk arsenopyrite. However, an exception is noticed where an As-As dimer with a bond length

333 of 2.4 \AA , which is slightly shorter than that of loellingite ($\sim 2.55 \text{ \AA}$) is formed in the cluster,
334 exhibiting an opposite trend, and securing a surplus charge.

335 Turning attention to the topmost layer of (021), the CN of S reduces to one Fe atom, which is
336 four-fold coordinated. As a result, S gains a surplus charge of -0.15 compared to the bulk as
337 shown in Table 3(d). The S atoms in the next sublayer is coordinated with three Fe, the latter
338 being six, five and four-fold coordinated respectively. Thus, the surplus charge of S increases
339 to -0.26 compared to the bulk. Further down, while the coordination of S atoms remains three,
340 a S-S dimer is formed and as a consequence, the surplus charge decreases to -0.06. No
341 significant change can be seen for the charge of four-folded S atoms in the second layer.
342 However, in the third layer, charge distribution on the three folded and four-folded S atoms is
343 significantly different from those S in the first and second layers with the same number of
344 nearest neighbours. This different charge distribution can be attributed to different CN's of
345 their nearest neighbour Fe atoms, which are six-fold (for S with -0.01 surplus) and five and two
346 six-fold coordinated (for S with +0.05 deficit charge).

347 The three As substituted on the (021) surface creates more disorder than on (100) and hence an
348 S atom with two nearest neighbours appear on the top layer (one of which is bonded with a
349 superficial As) whereby increasing the mean surplus charge to -0.20. The three-folded As in
350 the first layer belongs to an As-S dimer and expectedly shows a deficit charge of +0.07. As
351 anticipated, the charge distribution on the four-folded As in the second layer (including an As-
352 S bond) undergoes a deficit of +0.18 with respect to the arsenopyrite bulk, the charge of four-
353 folded As atom in the first layer almost stays the same. This can be associated with the small
354 CN of the S atom (i.e., 2) and its very strong bonding with its neighbouring Fe.

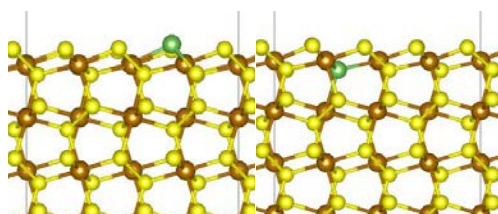
355 Moving on to the cluster of $15 \times \text{As}$ at (021), the local configuration appears rather more chaotic
356 than the case of As cluster on (100). Similar to the previous cluster, the As atoms bonding with
357 a nearby S endure significant charge deficit. However, the As atom in the first layer
358 neighbouring an atom of Fe and forming an As-As dimer with a bond length of 2.4 \AA , achieves
359 a charge surplus of -0.03 compared to the As in bulk arsenopyrite. As for S atoms, they exhibit
360 roughly two different levels of charge surplus with respect to the S in bulk pyrite.

361 The distribution of charges on (110) and (111) surfaces, listed in Tables 3(g) and 3(i)
362 respectively, are almost similar to the (100) and (021) surfaces. While the superficial S atoms
363 near (110) surface exhibit both surplus and deficit charges species than the bulk pyrite, in the
364 vicinity of (111) surface, the three and four-fold coordinated S atoms secure surplus charges

365 without deficit. The addition of As on these surfaces disrupts the chemical environment
366 yielding both surplus and deficit charges for surface S atoms with respect to the bulk pyrite as
367 provided in Tables 3(h) and 3(j). The As atoms form As-S dimers and while they lose charge
368 and endure deficit with respect to bulk arsenopyrite, they do not become positively charged as
369 for some As atoms in the cluster cases.

370 It is noteworthy that the nearest neighbours and coordination numbers of Tables 2 and 3 were
371 provided considering the bonding distance of S-S, S-Fe, S-As, and Fe-As similar to those in
372 pyrite and arsenopyrite, namely, ~ 2.2 , 2.837, 2.846, and 2.506 \AA respectively. The bond
373 distance between two As atoms in the relaxed structure of cluster-containing surfaces found to
374 be $\sim 2.4 \text{ \AA}$ which is very close to that in loellingite i.e., 2.49 \AA (the distance to the next nearest
375 As neighbours is 2.88 and 3.30 \AA (Nesbitt et al., 2002)). Inspired by the insight and analysis
376 of Nesbitt et al. (Nesbitt et al., 2002) on the likelihood of polymer formation between
377 superficial As atoms of fractured surfaces of pyrite or loellingite, we assessed the distances
378 between all pairs of As in the relaxed structure of As clusters. It was found that As forms
379 respectively a tetramer and a trimer in the superficial clusters of (100) and (021) surfaces and
380 the largest distance between two adjacent As atoms equal to $\sim 2.97 \text{ \AA}$.

381 Finally, while the context of the present work is thermodynamics rather than kinetics,
382 calculating the energetic of As substitution at different sites provides a remarkable clue to the
383 existence of an all-important driving force for the migration of As (Nesbitt et al., 1995) to more
384 favourable superficial sites. As such, an As was substituted individually in two different sites
385 on (100) surface and the system was relaxed as shown in Figure 5. It was found that the
386 supercells representing a slab with an As located individually on those different surface sites
387 have excess energies of 0.24 and 1.07 eV respectively compared to the supercell with no
388 superficial impurity. This is consequently reflected on their calculated surface energies as 0.895
389 and 0.836 J/m^2 respectively.



390
391 **Figure 5:** As substituted individually in two different sites (green) on the (100) surface.

392 **5. Implications**

393 The combined XPS X-ray spectroscopy and DFT study presented herein provides an
394 understanding of possible arsenic environment in arsenian pyrite. The oxidation of pyrite and
395 release of arsenic from sulfarsenide minerals generates environmental poisonous by-products.
396 The enrichment of As in pyrite has been suggested to enhance its susceptibility to natural
397 processes such as weathering (Savage et al., 2000). On the other hand, the fate of invisible gold
398 mineralisation has often been tied to As substitution in pyrite where a positive correlation is
399 proposed. Our findings offer a good background for future studies into the reactive sites in
400 arsenian pyrite and how that compares with associated minerals, arsenopyrite and pyrite.

401 **Acknowledgement**

402 The experimental investigation was undertaken on the Soft X-ray beamline at the Australian
403 Synchrotron, part of ANSTO. We would like to specially thank Dr Bruce Cowie for his
404 assistance on beamtime (Proposal number 15669).

405 **Appendix: Hubbard parameters:** LDAU=TRUE, LDAUTYPE=2 (Dudarev approach),
406 LDAUL= 2 -1 (the former refers to Fe and the latter to S), LDAUU= 3.00 0.00, and LDAUJ=
407 1.00 0.00.

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Surface	Cell dimension ($\times 5.422 \text{ \AA}$)	Number of Atom	Surface energy (J/m^2)	
			Pyrite	Pyrite+3As
(100)	2. \times 2. \times 7.5	240	0.826	0.840
(110)	2.828 \times 2. \times 7.	288	1.241	1.314
(111)	2.828 \times 2.449 \times 6.	288	1.117	1.073
(012)	2.236 \times 2. \times 7.232	240	1.289	1.398

411 **Table 1:** Simulation cell dimensions, number of atoms, and surface energy of the surfaces studied
412 herein.

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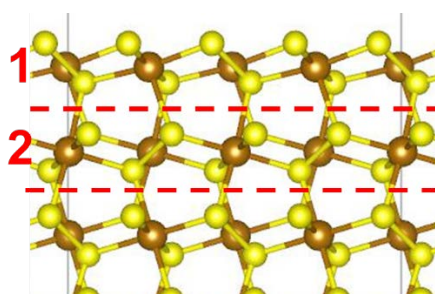
Bulk	Fe	CN	S	CN	As	CN
Pyrite	0.44	6 (6S)	-0.22	4 (S, 3Fe)	NA	NA
Arsenopyrite	0.47	6 (3S, 3As)	-0.27	4 (As, 3Fe)	-0.20	4 (S, 3Fe)
Loellingite	0.44	6 (6As)	NA	NA	-0.22	4 (As, 3Fe)

415 **Table 2:** Löwdin charges at bulk state

416

surface (100)				ΔC_L		
Surface	element	CN	NN	min	max	mean
1a	S	3	S, 2Fe	-0.10	-0.10	-0.10
1c	S	4	S, 3Fe	-0.05	-0.05	-0.05
2a	S	4	S, 3Fe	0.04	0.04	0.04
2c	S	4	S, 3Fe	-0.01	-0.01	-0.01

417 Table 3(a): Löwdin atomic charge difference for (100) superficial layers. ΔC_L is the charge
418 difference with respect to bulk arsenian pyrite. CN and NN are coordination number and
419 nearest neighbours respectively.



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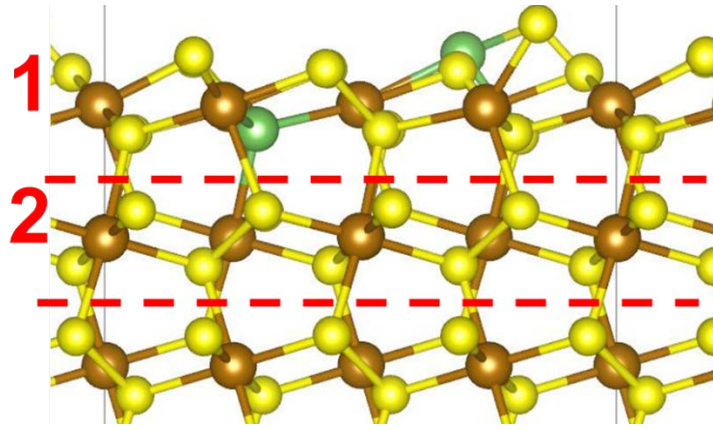
421 Figure 4(a): Schematic of (100) surface. Broken lines are drawn to guide eyes to atomic layers where
422 the charges are located.

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surface (100) + 3×As				ΔC_L		
Surface	element	CN	NN	min	max	mean
1a	S	3	As, 2Fe	-0.08	-0.17	-0.17
1a	As	3	S, 2Fe	0.12	0.12	0.12
1a	S	3	S, 2Fe	-0.02	-0.12	-0.09
1c	As	4	S, 3Fe	0.12	-0.01	0.06
1c	S	4	S, 3Fe	0.05	-0.09	0.00
1c	S	4	4Fe	-0.21	-0.21	-0.21
2a	S	4	S, 3Fe	0.14	0.08	0.11
2c	S	4	S, 3Fe	0.09	0.06	0.08

425 Table 3(b): Löwdin atomic charge difference for (100)+3×As superficial layers. For S and As,
426 ΔC_L is the charge difference with respect to bulk arsenian pyrite and bulk arsenopyrite
427 respectively. CN and NN are coordination number and nearest neighbours respectively.



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Figure 4(b): Schematic of (100) surface with three As.

surface (100)+As cluster				ΔC_L		
Surface	element	CN	NN	min	max	mean
1a	S	2	2Fe	-0.12	-0.18	-0.16
1b	S	3	S(As), 2Fe	-0.03	-0.15	-0.11
1c	As	2	2S	0.29	0.29	0.29
1d	As	2	S, Fe	0.20	0.20	0.20
2d	S	3	As, 2Fe	-0.07	-0.15	-0.11
2e	S	4	S(As), 3Fe	-0.16	-0.29	-0.21
2e	As	3	S, 2Fe	0.09	0.09	0.09
2d	As	3	3S	0.38	0.38	0.38
3a	As	3	As, 2Fe	-0.07	-0.07	-0.07
3a	As	3	S, Fe, As	0.23	0.23	0.23
3a	S	3	As, 2Fe	-0.04	-0.04	-0.04
3b	S	4	S(As), 3Fe	0.04	-0.09	-0.01
3c	As	3	3As	0.22	0.22	0.22
3c	As	3	S, 2As	0.29	0.29	0.29
3d	As	4	S, 2Fe, As	0.20	0.20	0.20
3d	S	4	S(As), 3Fe	0.03	-0.13	-0.03

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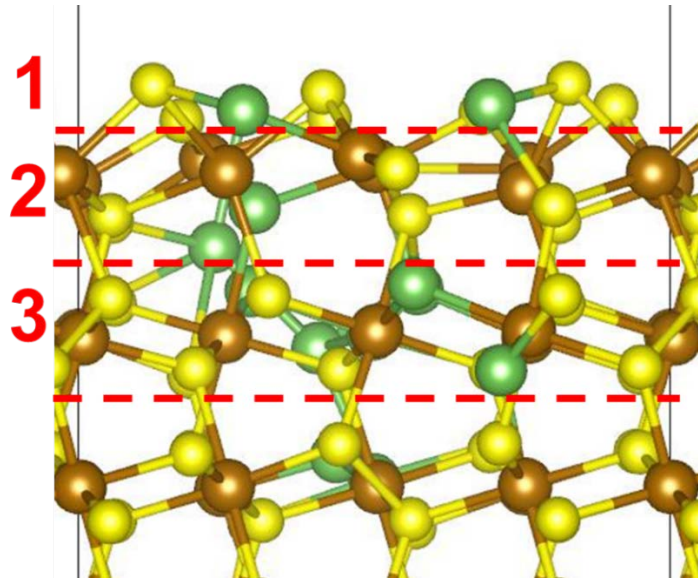
Table 3(c): Löwdin atomic charge difference for (100) with As cluster. For S and As, ΔC_L is the charge difference with respect to bulk arsenian pyrite and bulk arsenopyrite respectively.

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CN and NN are coordination number and nearest neighbours respectively.

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Figure 4(c): Schematic of (100) surface with an As cluster.

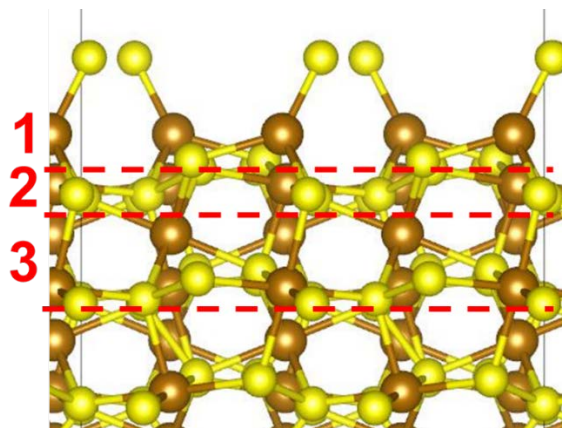
surface (021)				ΔC_L		
Surface	element	CN	NN	min	max	mean
1a	S	1	Fe	-0.15	-0.15	-0.15
1c	S	3	3Fe	-0.26	-0.26	-0.26
2b	S	3	S, 2Fe	-0.06	-0.06	-0.06
2c	S	4	S, 3Fe	0.01	0.01	0.01
3b	S	3	3Fe	-0.01	-0.01	-0.01
3c	S	4	S, 3Fe	0.05	0.04	0.05

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Table 3(d): Löwdin atomic charge difference for (021) superficial layers. ΔC_L is the charge difference with respect to bulk arsenian pyrite. CN and NN are coordination number and nearest neighbours respectively.



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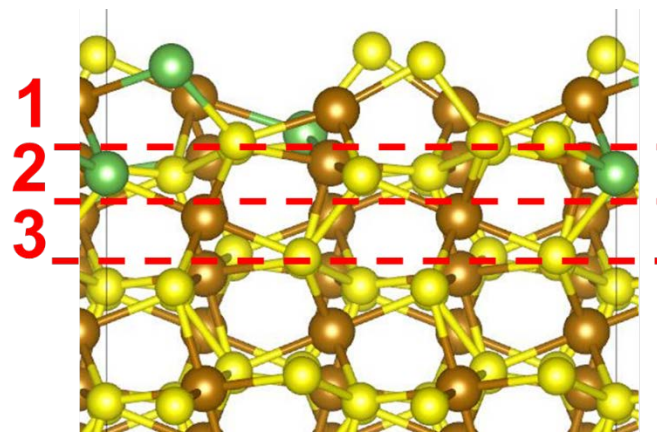
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Figure 4(d): Schematic of (210) surface.

surface (021)+3×As				ΔC_L		
Surface	element	CN	NN	min	max	mean
1a	S	2	S (As), Fe	-0.17	-0.24	-0.20
1	As	3	S, 2Fe	0.07	0.07	0.07
1	As	4	S, 3Fe	-0.01	-0.01	-0.01
1c	S	3	S, 2Fe	0.03	-0.11	-0.02
1d	S	4	S (As), 3Fe	-0.07	-0.09	-0.08
2b	S	4	S, 3Fe	0.07	0.03	0.06
2	As	4	S, 3Fe	0.18	0.18	0.18
3b	S	4	S, 3Fe	0.06	-0.03	0.01

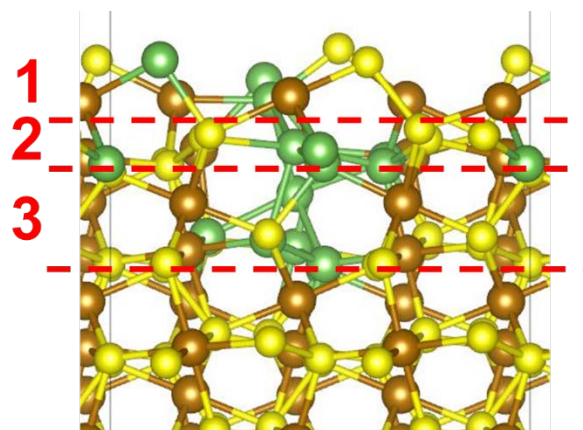
444 Table 3(e): Löwdin atomic charge difference for (021)+3×As superficial layers. For S and As,
 445 ΔC_L is the charge difference with respect to bulk arsenian pyrite and bulk arsenopyrite
 446 respectively. CN and NN are coordination number and nearest neighbours respectively.



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 448 Figure 4(e): Schematic of (021) surface with three As.
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surface (021)+As cluster				ΔC_L		
Surface	element	CN	NN	min	max	mean
1	As	2	S, Fe	0.01	0.01	0.01
1a	S	2	S(As), Fe	-0.12	-0.21	-0.16
1	As	2	As, Fe	-0.03	-0.03	-0.03
1	As	2	S, Fe	0.23	0.23	0.23
2a	S	4	S(As), 3Fe	0.03	-0.15	-0.06
2a	S	3	As, 2Fe	-0.19	-0.19	-0.19
2a	S	3	As, S, Fe	-0.01	-0.01	-0.01
2	As	3	As, 2Fe	0.06	0.06	0.06
2	As	1	S	0.29	0.29	0.29
2	As	3	S, 2Fe	0.20	0.20	0.20
2	As	4	2S, 2Fe	0.21	0.21	0.21
2	As	3	S, 2Fe	0.13	0.13	0.13
2	As	3	S, 2Fe	0.18	0.18	0.18
2c	S	4	S(As), 3Fe	0.00	-0.11	-0.03
3b	S	4	S(As), 3Fe	0.04	-0.11	-0.01
3b	S	3	3Fe	-0.01	-0.01	-0.01
3	As	3	S, 2Fe	0.21	0.21	0.21
3	As	4	4S	0.42	0.42	0.42
3	As	0	-	0.20	0.20	0.20
3	As	4	As, S, 2Fe	0.12	0.12	0.12

461 Table 3(f): Löwdin atomic charge difference for (021) with As cluster. For S and As, ΔC_L is
 462 the charge difference with respect to bulk arsenian pyrite and bulk arsenopyrite respectively.
 463 CN and NN are coordination number and nearest neighbours respectively.

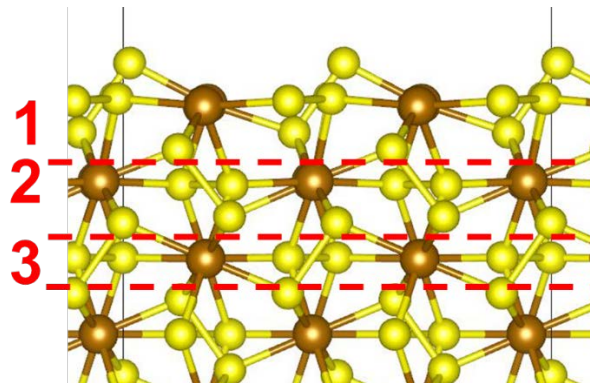


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Figure 4(f): Schematic of (021) surface with an As cluster.

surface (110)				ΔC_L		
Surface	element	CN	NN	min	max	mean
1a	S	2	S, Fe	-0.18	-0.18	-0.18
1c	S	3	S, 2Fe	-0.11	-0.13	-0.12
1d	S	4	S, 3Fe	0.07	-0.04	0.02
2b	S	4	S, 3Fe	0.04	0.03	0.04
2c	S	4	S, 3Fe	0.00	-0.01	0.00
3b	S	4	S, 3Fe	-0.02	-0.03	-0.03

471 Table 3(g): Löwdin atomic charge difference for (110) superficial layers. ΔC_L is the charge
 472 difference with respect to bulk arsenian pyrite. CN and NN are coordination number and
 473 nearest neighbours respectively.



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Figure 4(g): Schematic of (110) surface.

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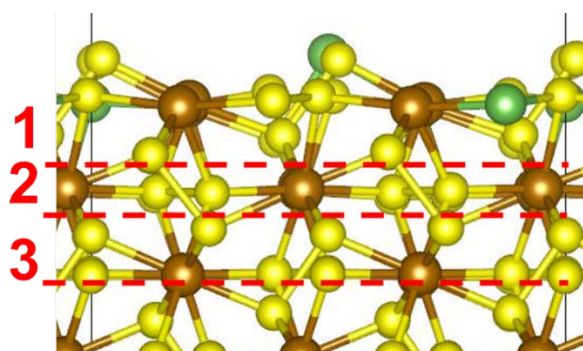
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surface (110)+3×As				ΔC_L		
Surface	element	CN	NN	min	max	mean
1	As	3	S, 2Fe	0.14	0.14	0.14
1a	S	2	S, Fe	-0.22	-0.29	-0.25
1b	S	3	S, 2Fe	-0.13	-0.20	-0.16
1	As	3	S, 2Fe	0.02	0.02	0.02
1	As	4	2S, 2Fe	0.03	0.03	0.03
1d	S	4	S, 3Fe	0.13	-0.14	0.01
2b	S	4	S, 3Fe	0.05	0.00	0.03
3a	S	4	S, 3Fe	0.01	-0.01	0.00

490 Table 3(h): Löwdin atomic charge difference for (110)+3×As superficial layers. For S and As,
 491 ΔC_L is the charge difference with respect to bulk arsenian pyrite and bulk arsenopyrite
 492 respectively. CN and NN are coordination number and nearest neighbours respectively.



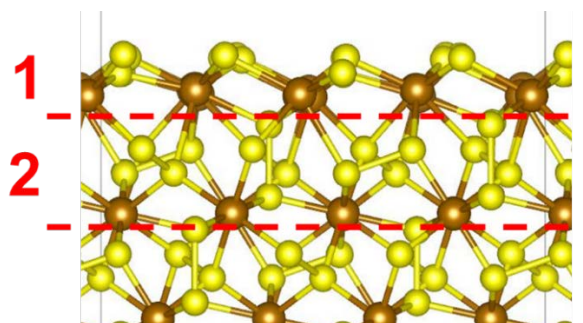
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494 Figure 4(h): Schematic of (110) surface with 3x As atoms.

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surface (111)				ΔC_L		
Surface	element	CN	NN	min	max	mean
1a	S	3	3Fe	-0.22	-0.29	-0.24
2b	S	4	S, 3Fe	0.01	-0.06	-0.03

496 Table 3(i): Löwdin atomic charge difference for (111) superficial layers. ΔC_L is the charge
 497 difference with respect to bulk arsenian pyrite. CN and NN are coordination number and
 498 nearest neighbours respectively.



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500 Figure 4(i): Schematic of (111) surface.

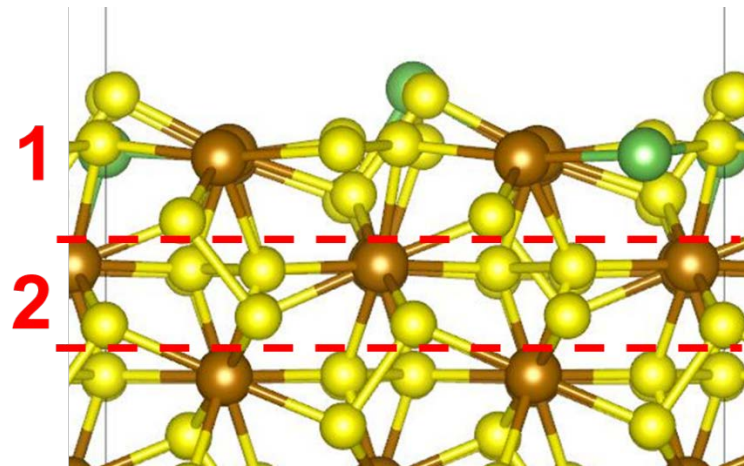
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surface (111)+3×As				ΔC_L		
Surface	element	CN	NN	min	max	mean
1	As	3	2S, Fe	0.14	0.14	0.14
1a	S	2	S, Fe	-0.08	-0.16	-0.13
1b	S	3	S(As), 2Fe	-0.11	-0.23	-0.16
1b	S	2	2Fe	-0.24	-0.24	-0.24
1	As	3	S, 2Fe	0.02	0.01	0.02
1d	S	4	S, 3Fe	0.11	-0.14	0.01
2a	S	4	S, 3Fe	0.05	0.02	0.04
2c	S	4	S, 3Fe	0.01	0.00	0.01

504 Table 3(j): Löwdin atomic charge difference for (111)+3×As superficial layers. For S and As,
 505 ΔC_L is the charge difference with respect to bulk arsenian pyrite and bulk arsenopyrite
 506 respectively. CN and NN are coordination number and nearest neighbours respectively.



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Figure 4(j): Schematic of (111) surface with 2x As atoms.

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