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5 **Nanocrystalline apatites: the fundamental role of water**

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39 **Abstract**

40 Bone is a natural nanocomposite. Its mineral component is nanocrystalline calcium
41 phosphate apatite, whose synthetic biomimetic analogs can be prepared by wet chemistry. The
42 initially formed crystals, whether biological or synthetic, exhibit very peculiar
43 physicochemical features. In particular, they are nanocrystalline, nonstoichiometric and
44 hydrated. The surface of the nanocrystals is covered by a non-apatitic hydrated layer with
45 mobile ions, which may explain their exceptional surface reactivity. For their precipitation *in*
46 *vivo* or *in vitro*, for their evolution in solution, for the evolving 3D organization of the
47 nanocrystals, and for their consolidation to obtain bulk ceramic materials, water appears to be
48 a central component that has not received much attention. In this mini-review, we explore
49 these key roles of water on the basis of physicochemical and thermodynamic data obtained by
50 complementary tools including FTIR, XRD, ion titrations, oxide melt solution calorimetry,
51 and cryo-FEG-SEM. We also report new data obtained by DSC, aiming to explore the types
52 of water molecules associated with the nanocrystals. These data support the existence of two
53 main types of water molecules associated with the nanocrystals, with different characteristics
54 and probably different roles and functions. These findings improve our understanding of the
55 behavior of bioinspired apatite-based systems for biomedicine and also of biomineralization
56 processes taking place *in vivo*, at present and in the geologic past. This paper is thus intended
57 to give an overview of the specificities of apatite nanocrystals and their close relationship
58 with water.

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61 **Keywords:** Nanocrystalline apatite, Water, Hydroxyapatite, Bone, DSC, cryo SEM, Thermodynamics,
62 Enthalpy
63

Introduction

Nanocrystalline apatites constitute the mineral portion of bone and dentin (Gomez-Morales et al., 2013; LeGeros and LeGeros, 1984). In these biominerals, nanocrystals are composed of a crystalline core of nonstoichiometric calcium phosphate apatite, deriving from hydroxyapatite $\text{Ca}_{10}(\text{PO}_4)_6(\text{OH})_2$ and containing trace amounts of other mineral ions such as sodium, strontium, fluoride etc. This core is covered by a non-apatitic ionic hydrated layer (Cazalbou et al., 2004a; Eichert et al., 2005,2008; Jäger et al., 2006; Rey et al., 1989,1990,2007; Grossin et al, 2010; Vandecandelaere et al., 2012; Gomez-Morales et al., 2013; Wang et al., 2013), conferring exceptional surface reactivity which is exploited *in vivo* for regulating ionic concentrations in body fluids in homeostasis processes (Bonjour, 2011; Rey et al., 1989, 1990, 2009; Driessens et al., 1986). The overall composition of bone apatite can generally be rather satisfactorily described as $\text{Ca}_{10-x}(\text{PO}_4)_{6-x}(\text{HPO}_4,\text{CO}_3)_x(\text{OH},\frac{1}{2}\text{CO}_3)_{2-x}$ with $0 \leq x \leq 2$ (Eichert et al., 2008; Rey et al., 2009), and compilation of cortical bone analyses suggested the following averaged chemical composition (Legros et al., 1987): $\text{Ca}_{8.3}(\text{PO}_4)_{4.3}(\text{HPO}_4,\text{CO}_3)_{1.7}(\text{OH},\frac{1}{2}\text{CO}_3)_{0.3}$. In these formulas, carbonation can be present by substitution of hydroxyl groups in the so-called apatitic-channels of the lattice (A-type carbonates) or of phosphate groups (B-type). Labile carbonate (LC) species corresponding to surface carbonate ions within the surface layer can also be identified. The overall degree of carbonation is low for early, immature apatitic deposits, but it progressively increases up to several weight percents upon aging. For charge compensation, some PO_4^{3-} ions are substituted by HPO_4^{2-} ions (which, in the apatitic lattice, occupy the same type of sites as B-type carbonates).

It is possible to prepare biomimetic analogs to bone apatite, either carbonate-substituted or non-carbonated, by soft chemistry using close-to-physiological synthesis routes such as coprecipitation (Delgado-Lopez et al., 2012; Iafisco et al., 2011, 2012; Vandecandelaere et al.,

89 2012; Pasteris et al., 2012). These synthetic compounds not only help us to understand
90 biomineralization phenomena, but also encourage the development of bio-inspired materials
91 for bone regeneration and, more recently, in nanomedicine, e.g., for oncology (Drouet et al.,
92 2015; Kramer et al., 2014; Iafisco et al., 2012, 2013; Bouladjine et al., 2009), gene delivery
93 (Hossain et al., 2010; Sokolova et al., 2006; Chowdhury and Akaike, 2005) and hematology
94 (Stefanic et al., 2017). For such applications, raw precipitates must be processed into either
95 3D scaffolds, 2D surface coatings on appropriate substrates, or even 1D individualized
96 nanoparticles; this processing step may lead to non-negligible modifications of the initial
97 nanocrystals.

98 Whether for synthesis by precipitation in aqueous solution, nanocrystal evolution in
99 solution or in natural bone environment *in vivo*, or biomaterials processing (potentially
100 involving drying, consolidation and sterilization steps), one actor remains ubiquitous: water.
101 This component indeed appears as a major ingredient for deciphering apatite nanocrystal
102 formation and fate in humid conditions. However, to date, focus has rarely been placed on this
103 “constituent of life” from a physicochemical point of view when dealing with apatite.

104 In such nonstoichiometric apatite compounds, water molecules could possibly occupy
105 several sites: on the surface of the crystals (within the hydrated ionic layer), but also possibly
106 in some ion vacancies in the lattice (vacancies in OH sites, in calcium sites, or in the oxygen
107 vacancy left in phosphate sites by the CO₃-for-PO₄ substitution in the case of B-type
108 carbonate). **Figure 1** shows in a schematic way the possible positions of ion vacancies in the
109 apatite lattice. Neuman et al. (1953a,b) discussed the hydration state of bone and synthetic
110 apatite, and mentioned that “the water associated with the crystals of both synthetic hydroxyl
111 apatite and bone when in aqueous media does not appear to be due either to capillary
112 condensation or to the formation of a crystalline hydrate”, thus suggesting a different
113 involvement of water molecules, although they concluded that “the concept of the hydration

114 shell requires considerable clarification”. In his review, Glimcher (1959) pointed out for
115 precipitated apatites the presence of “excess water [that] cannot be separated from the crystals
116 by mechanical centrifugation at 80 000 g”, later referring to water being part of “a large
117 hydration shell of water ‘bound’ to the crystals”, and concluded by adding: “the very large
118 surface tension and capillarity effects between such crystal surfaces could well ‘trap’ a large
119 amount of water in addition to a bound monolayer, and still resist separation from the crystals
120 by mechanical centrifugation”. These conclusions underlined the ubiquitous presence of
121 water, although no clarification of the type of interactions with the mineral was presented. By
122 way of birefringence measurements at various temperatures from 60 ° to 400°C for 2-hour
123 periods, Carlström et al. (1963) examined the water bound to enamel and concluded the
124 existence of two different types: one “very loosely bound” that they attributed to the organic
125 matrix and a larger part “firmly bound to the mineral phase”. However no details were given
126 on the water specifically linked to the mineral. Also that report dealt with relatively large
127 enamel crystals, closer to stoichiometric hydroxyapatite than bone crystals. In bone, Timmins
128 and Wall (1977) reported a review on the presence of water associated more or less strongly
129 with the tissue, but no experimental data were given for the water related to the mineral phase.
130 LeGeros et al. (1978) reported some data for water associated to enamel and precipitated
131 apatites, for which they pointed out two types of associated water, referred to as “adsorbed”
132 and “lattice” water, released sequentially upon heating. The latter authors considered the
133 “adsorbed” water as of the water type previously considered by Carlström as linked to the
134 organic matrix, and they attributed the “lattice” water to “H₂O-for-OH” and/or “HPO₄-for-
135 PO₄” substitutions in the apatitic lattice. Taking into account their heating conditions (to 400
136 °C at 5 °C/min), the decomposition of HPO₄²⁻ ions from the lattice is indeed expected to
137 generate H₂O molecules either in condensation to form pyrophosphate (P₂O₇⁴⁻) ions or in
138 reaction with carbonate ions to give CO₂ and H₂O. However, again, little is known about the

139 water initially present (not formed upon decomposition of other chemical species). Starting in
140 2006, cold sintering (e.g. spark plasma sintering) was applied to nanocrystalline apatites
141 (Drouet et al., 2006, 2009; Grossin et al., 2010), pointing out the possibility to consolidate
142 these compounds at low temperature, typically around 150 °C. To explain this possibility
143 despite a low thermal activation of ion diffusion in these conditions, the role of the hydrated
144 layer, and thus of water, was then evidenced for the first time. Indeed, a high mobility of the
145 ions contained in surface hydrated environments is thought to allow ion diffusion and
146 therefore to favor crystal-crystal interactions despite the low temperature. Rollin-Martinet et
147 al. (2011) realized cryo-FEG-SEM analyses of synthetic apatite nanocrystals during the
148 precipitation stage, unveiling the formation of bundles of aligned nanocrystals surrounded by
149 a seemingly amorphous shell suspected to be water-rich. Wang et al. (2013) reported a similar
150 role of water in the orientation of apatite crystals in bone tissue. They also concluded that
151 “structuring water molecules strongly interact with the mineral when a disordered mineral
152 layer coats the crystalline core of the mineral particles”. This statement parallels the
153 conclusions drawn from low temperature sintering experiments; indeed, in both cases (in bone
154 and for the consolidation of nanocrystalline apatites), the organization of the nanocrystals is
155 linked to (controlled by?) the hydrated layer present on the nanocrystals. Also, the “disordered
156 mineral layer” corresponds perfectly to the hydrated and ionic surface layer present on the
157 nanocrystals previously reported (Vandecandelaere et al., 2012; Káflak and Kolodziejcki,
158 2008; Cazalbou et al., 2004a, 2004b; Lu et al., 2000; Rey et al., 1989, 1990; Roufosse et al.,
159 1984). Granke et al. (2015) explored the mechanical behavior of bone and related it to its
160 water content to complement other works on this topic (Unal and Akkus, 2015; Nyman et al.,
161 2006). In addition, Nyman et al. (2008) developed an NMR-based methodology to follow the
162 “mobile” and “bound” water associated with human femurs; where “mobile” water is
163 considered to fill microscopic pores (found in Haversian canals, canaliculi, and lacunae) while

164 “bound water” refers to a “structural water layer bridging mineral and collagen”. The
165 eventuality of different types of water molecules was also reported by Wilson et al. (2005,
166 2006) from NMR experiments, where water was suspected to “occupy the vacancies created
167 by substitutions and defects in the crystal lattice” but also to be involved in more superficial
168 chemical environments for mediating mineral-organic matrix interactions. Yoder et al.
169 (2012a,b) and Pasteris et al. (2014), reported NMR, TGA and Raman spectroscopy data on
170 carbonated apatites and interpreted them by considering that water molecules resided in
171 apatite channels, although no direct correlation was found in these works between the density
172 of vacancies and the amount of water incorporated in the apatite lattice. In older works, using
173 essentially TGA, LeGeros et al. (1979) and Labarthe et al. (1973) reported also on water
174 trapped in the lattice of type B carbonated apatites, considered to be associated with oxygen
175 vacancies in sodium-free carbonated apatites but independent of the carbonate content in
176 sodium-containing carbonated apatites as confirmed by Pasteris et al. (2014). The presence of
177 incorporated water in nonstoichiometric apatites has also been proposed on the basis of
178 Rietveld refinement of XRD data as in Ivanova et al. (2001), either in apatite channels or in
179 replacement of the oxygen vacancy left by substituting PO_4 by CO_3 .

180 As can be seen from the above, water appears strongly involved in mineralized tissues,
181 including substantial interaction with the mineral component, although several conceptions
182 have been expressed along the years (water associated to the organic component, water in
183 porosity, water from the hydrated layer, adsorbed water, water in OH vacancies or in calcium
184 vacancies or in the oxygen vacancies in the lattice,...), as summarized chronologically by
185 Pasteris (2012). Today, the water-mineral system requires specific attention more than ever,
186 with consideration of several complementary aspects involving water and apatite
187 nanocrystals. In the present mini-review, we wish to give water the central role it deserves, by
188 providing a global picture of the fundamental role that it plays in the genesis, evolution, and

189 interaction schemes involving apatite nanocrystals. For easier reading, the text is divided into
190 several successive subsections referring to the synthesis of the nanocrystals and their
191 evolution in solution (including thermodynamic aspects), the impact of drying, the exploration
192 of the different “types” of water molecules associated with the nanocrystals, the role of water
193 in the 3D organization of the nanocrystals, their consolidation at “low” temperature by cold
194 sintering to obtain bioceramics, and finally some considerations relevant to the *in vivo* setting.

196 **Materials and Methods**

197 Biomimetic apatites were produced and characterized by TEM, FTIR, XRD and TGA as
198 described previously (Vandecandelaere et al., 2012).

199 Differential scanning calorimetry (DSC) was performed using a DSC 204 Phoenix Series
200 (Netzsch, Selb, Germany) coupled with a TASC 414/4 controller. The apparatus was
201 calibrated against melting temperatures of In, Hg, Sn, Bi, CsCl, and Zn, applying two
202 10 °C/min temperature ramps, as recommended (Della Gatta et al., 2006). The calibration was
203 verified before each experiment using indium, with an accuracy of ± 0.5 °C and ± 0.5 J/g. The
204 samples were placed in stainless steel capsules (120 μ L) and the mass was measured with an
205 accuracy of ± 0.1 mg. The samples were heated from -50 to 300 °C at 10 °C/min under a
206 continuous flow of nitrogen.

207 A field-emission-gun scanning electron microscope (JEOL 7400) equipped with a
208 cryogenic system (Gatan Alto 2500), or “Cryo-FEG-SEM”, was used to examine the
209 morphology of freshly precipitated, wet apatite nanocrystals at various timepoints during their
210 maturation in solution. For each analysis, one droplet was sampled with a pipette out of the
211 precipitating medium and deposited on the sample holder. The system was then frozen and
212 transferred into a chamber cooled with liquid nitrogen.

213 Technical details on the consolidation of nanocrystalline apatites by cold sintering and
214 related mechanical testing were reported by Grossin et al. (2010). The relative density of the
215 samples was calculated from the ratio between their apparent density (evaluated from the
216 dimensions of the consolidated cylindrical pellets and their mass) and their true density
217 (determined by He pycnometry with a Micromeritics AccuPyc 1330 apparatus, with 10
218 successive measurements on each sample).

219 Ion exchange was carried out by immersing 200 mg of nanocrystalline apatite sample in
220 50 ml of a 1 M aqueous solution of magnesium chloride $MgCl_2 \cdot 6H_2O$, at room temperature
221 under stirring, for 30 min. The suspension was then filtered, washed with deionized water and
222 freeze-dried. Ca and Mg concentrations were then determined by ICP-AES analyses
223 (uncertainty on alkaline-earth titration: 5%).

224 High temperature oxide melt calorimetry was carried out in a Tian-Calvet twin calorimeter,
225 as previously described (Rollin-Martinet et al., 2013; Ushakov et al., 2001). A minimum of 8
226 values were obtained for each composition, and uncertainties are two standard deviations of
227 the mean.

228

229

Results and Discussion

Synthesis of nanocrystalline apatites via wet chemistry

231 Apatite samples precipitated at moderate temperature, typically under the boiling point of
232 the solution, generally exhibit a nonstoichiometric chemical composition, with less calcium
233 and hydroxide than the theoretical hydroxyapatite (HAP) formula $Ca_{10}(PO_4)_6(OH)_2$ (Gomez-
234 Morales et al., 2013; LeGeros and LeGeros, 1984). Although the preparation of stoichiometric
235 HAP in the dry state can be done rather easily by calcining in (moist) air a variety of reactants
236 used in stoichiometric proportions, e.g., by mixing $CaCO_3$ and beta tricalcium phosphate

237 $\text{Ca}_3(\text{PO}_4)_2$ (Elliott, 1994), obtaining truly stoichiometric HAP from wet chemistry is a delicate
238 process as calcium and hydroxide crystallographic sites need to be completely filled. This is
239 generally realized at (or close to) boiling temperature, under alkaline pH, and usually via
240 dropwise addition of the calcium and phosphate reactants under constant stirring (Raynaud et
241 al., 2002). Therefore, many wet syntheses of apatite – especially in mild conditions close to
242 physiological – produce nonstoichiometric compositions. This is the case in bone *in vivo*, and
243 also in the preparation of biomimetic analogs (Vandecandelaere et al., 2012). For instance,
244 chemical titrations for an apatite matured 1 day at 22 °C and pH 7.2 (prior to filtration and
245 freeze-drying) resulted in the mean composition $\text{Ca}_{8.64}(\text{PO}_4)_{4.88}(\text{HPO}_4)_{1.12}(\text{OH})_{0.39}$,
246 corresponding to a Ca/P molar ratio of 1.44 ± 0.02 , significantly lower than the value of 1.67
247 for stoichiometric HAP. An example of samples precipitated at 22°C is given in **Figure 2**.
248 TEM observation (**Figure 2a**) confirms the nanometric dimensions of the constitutive
249 crystals, and shows an elongated crystal shape with a tendency to form platelets. X-ray
250 diffraction (XRD) analysis reveals (**Figure 2b**) the low intensity and large FWHM of the
251 peaks when compared to well-crystallized stoichiometric HAP (Vandecandelaere et al., 2012;
252 Grynpas, 1976). This low crystallinity can be attributed both to the nanometric size of the
253 crystals and to the existence of internal crystal strain due to nonstoichiometry and other point
254 defects in the crystal. Additionally, Fourier transform infrared (FTIR) spectroscopy of
255 biomimetic nanocrystalline apatite detects (**Figure 2c**) not only the characteristic vibrations of
256 calcium phosphate apatite, but also an enhanced contribution of water molecules, as
257 evidenced by a broad band in the range $2700\text{-}3500\text{ cm}^{-1}$ assignable to O-H stretching in H_2O ,
258 as well as an increase of the HOH bending contribution of water at 1640 cm^{-1} . Moreover, as
259 for bone apatite (Rey et al., 1990), other spectral features differ from those of well-
260 crystallized stoichiometric HAP. This is particularly visible in the $\nu_4(\text{PO}_4)$ spectral domain
261 where, in addition to the libration band of apatitic OH^- ions around 632 cm^{-1} and the three

262 typical contributions of apatitic PO_4^{3-} groups (around 601, 575 and 560 cm^{-1} , marked in red on
263 **Figure 2d**), other bands are also clearly detectable in biomimetic apatites: at lower
264 wavenumbers (attributed to HPO_4 vibrations in either “apatitic” ($\sim 550 \text{ cm}^{-1}$) or “non-apatitic”
265 (534 cm^{-1}) chemical environments), and at high wavenumbers (around 617 cm^{-1} , assigned to
266 “non-apatitic” PO_4^{3-} ions). Details of the vibrational spectroscopy features have been reported
267 elsewhere (Rey et al., 2014b) and peak assignments in biomimetic apatites have been
268 specifically discussed in a previous study (Vandecandelaere et al., 2012). While the “apatitic”
269 domains refer to ions located in regular apatite crystallographic sites, “non-apatitic”
270 environments refer to ionic locations that do not correspond to positions within the
271 hydroxyapatite lattice. The latter are located on the surface of the nanocrystals where they are
272 associated with water and form a non-apatitic hydrated ionic layer (Eichert et al., 2005; Rey et
273 al., 2014a).

274 Therefore, several physicochemical features differ significantly between stoichiometric
275 HAP and nanocrystalline biomimetic apatites: (i) the latter are constituted of nanosized
276 crystals, (ii) they are nonstoichiometric with vacancies in the calcium and hydroxide sites and
277 contain HPO_4^{2-} ions (partly replaced by CO_3^{2-} ions in bone or in synthetic carbonated
278 analogs), (iii) they exhibit an elongated/platelet crystal shape, and (iv) they expose a non-
279 apatitic hydrated ionic layer on their surface. These phenomena represent the four leading
280 conditions defining “biomimetic” or “bone-like” apatite samples. Since these systems are
281 composed of “nano” and “hydrated” crystals, the use of high temperatures (e.g., approaching
282 or beyond the boiling point of the solution), especially for extended periods should be avoided
283 in order to obtain/preserve biomimetic apatite compounds. Consequently, synthesis routes
284 involving at least one wet chemistry step are central.

287 Hydrated surface layer

288 As a part of the surface layer, water molecules appear as a key component during crystal
289 genesis. The formation of a hydroxyapatite $\text{Ca}_{10}(\text{PO}_4)_6(\text{OH})_2$ crystallographic unit cell
290 (hexagonal system, space group $\text{P6}_3/\text{m}$, $Z = 1$) involves 44 atoms distributed in the form of 18
291 ions. Organization of all these ions to elaborate a crystal is thus bound to take time, especially
292 at “low” temperature (e.g., at room or physiological temperature) and under atmospheric
293 pressure. We believe that the hydrated ionic layer on the surface of the nanocrystals might be
294 seen as a “remnant” of the apatite growth process in solution. At neutral/physiological pH, the
295 speciation of phosphate ions clearly favors protonated forms ($\text{HPO}_4^{2-}/\text{H}_2\text{PO}_4^-$) over the
296 deprotonated form PO_4^{3-} which essentially does not exist in these conditions (see phosphoric
297 acid speciation diagram, e.g., Luong et al., 2017). Apatite is however quite insoluble
298 (solubility product $\sim 10^{-57}$ for stoichiometric hydroxyapatite $\text{Ca}_{10}(\text{PO}_4)_6(\text{OH})_2$, Chander and
299 Fuerstenau, 1984; Elliott, 1994); therefore formation of this calcium phosphate phase can
300 occur even at pHs where only a small amount of phosphate ions PO_4^{3-} is available because the
301 activity product of the ions involved in the apatite precipitation equilibrium (Ca^{2+} , PO_4^{3-} , OH^-)
302 exceeds the solubility product. In (close-to) physiological conditions, it is not surprising that
303 initial phosphate incorporation upon precipitation may involve protonated phosphate ions, and
304 HPO_4^{2-} ions are indeed clearly detected by FTIR especially in surface “non-apatitic”
305 environments (see **Figure 2d**). Some recent papers have introduced the concept of
306 prenucleation clusters involving Ca^{2+} and HPO_4^{2-} ions (Mancardi et al., 2016; Habraken et al.,
307 2013) forming before nucleation. Although experimental evidence for such clusters is difficult
308 to obtain, there is at least a consensus on the strong involvement of protonated phosphate ions
309 at the early stages of apatite nanocrystal formation. In contrast, in the crystal core,
310 deprotonated phosphates become more abundant to approach the HAP composition.

311 The crystal surface probably cannot be described as a sharp interface between the solid and

312 the solution (**Figure 3(I)**), but instead as an *interphase* which could be visualized as an
313 extended surface domain progressively allowing the “transition” from the solution to the
314 crystal core as illustrated on **Figure 3(II)**. Solid state NMR data (recorded on dried samples)
315 seem to support this assessment, revealing that the surface layer of moderately mature apatite
316 samples reaches a depth on the nanometer scale (Jager et al., 2006). When apatite
317 nanocrystals are in contact with solution, three components coexist: the solution, the hydrated
318 layer, and the apatite crystal core. The surface layer belongs to the crystal, even after freeze-
319 drying, as shown in Figure 1c/d. This *interphase* involves two “interfaces” (**Figure 3(III)**): a
320 first one between the solution and the hydrated ionic layer, and a second deeper interface
321 between the surface layer and the crystal core itself. 2D solid state NMR (Wang et al., 2012;
322 Rey et al., 2007; Sfihi and Rey, 2002) pointed out correlations through heteronuclear dipolar
323 interaction (for example via $^1\text{H} \rightarrow ^{31}\text{P}$ HetCor experiments), allowing to distinguish RMN-
324 active nuclei that are spatially close to each other. On the one hand, apatitic species like
325 apatitic phosphates and carbonates contained in the crystal core and apatitic OH^- ions
326 correlated with each other. On the other hand, non-apatitic species correlated with each other;
327 indeed non-apatitic (hydrogen)phosphate and labile carbonate species correlated with water
328 molecules. These findings indicate that non-apatitic species are localized in a separate domain
329 as apatitic ones, thus corroborating the “hydrated layer model” already proposed on the basis
330 of vibrational spectroscopy data. It should be emphasized that although XRD data do not
331 provide structural information on the surface layer which is thin and appears “amorphous-
332 like” in the dry state, some degree of organization exists within this layer. Indeed, the spectral
333 signatures of non-apatitic ionic species (e.g., HPO_4^{2-} ions, PO_4^{3-} ions), whether analyzed by
334 FTIR, Raman or NMR, systematically fall at the same positions, suggesting some constancy
335 of chemical environments in synthetic samples and in bone crystals.

336

337 **The concept of maturation in solution**

338 This surface layer should not be considered as a “simple” hydration layer solely made of
339 water molecules, nor as a Stern electrical double layer, referring to the accumulation of ions
340 and counter-ions from the solution in the form of a double layer on the surface of a solid
341 (Grahame, 1947). Instead, on apatite nanocrystals, this layer contains water closely associated
342 with ions located in chemical environments (**Figure 3(IV)**) that lead to very specific
343 spectroscopic signatures (see for example **Figure 2d**). Upon “maturation”, ions gradually fill
344 crystallographic positions corresponding to the apatitic lattice (**Figure 3(IV to VI)**).

345 The concept of maturation can be investigated experimentally by analyzing apatites left in
346 the precipitating medium for an increasing period of time prior to filtration, washing and
347 (freeze)drying. In carbonate-free conditions, the effect of maturation had been explored in
348 detail (Vandecandelaere et al., 2012). **Figure 4** shows variables of crystal evolution versus the
349 maturation time, quantified by the Ca/P molar ratio of the precipitate, the overall XRD
350 pattern, as well as the mean crystallite dimensions estimated using Scherrer’s formula. The
351 physicochemical characteristics of the solid evolve upon aging in solution: (i) the Ca/P ratio
352 increases, indicating an evolution toward HAP stoichiometry (although not reaching it in
353 these conditions), (ii) XRD patterns progressively show increasing crystallinity, and (iii) mean
354 crystallite dimensions systematically increase. These modifications indicate a continuous
355 progression of the crystallization process over the time spent in wet conditions. More in-depth
356 examination of the ionic contents upon maturation also shows that the amount of non-apatitic
357 chemical environments and of overall associated water (followed by TGA) decrease, while
358 the apatitic OH⁻ content increases (**Figure 5**). These findings indicate that maturation favors
359 the development of the crystalline core at the expense of the surface hydrated ionic layer
360 (**Figure 3(VI)**). It may be noted that the situation is somewhat different in the presence of
361 carbonates: in this case, evolution towards stoichiometry and concomitant increase of OH⁻

362 contents are less noticeable; in contrast a clear increase of carbonation is noticed upon
363 maturation (Eichert, 2001).

364 It is interesting to examine the maturation process in more detail, by considering the
365 chemical species involved. As indicated above, in the case of carbonate-free samples an
366 increase of the overall Ca/P ratio of the solid phase is observed experimentally. At the
367 atomic/molecular level, this evolution could theoretically be explained either by an increased
368 incorporation of calcium ions in the crystal lattice (increase of numerator), or by a release of
369 phosphate ions (decrease of denominator). However, in physiological or physiological-like
370 conditions corresponding to nanocrystalline apatite precipitation, the amount of free Ca^{2+} ions
371 in solution remains very low (Drouet, 2013) due to the presence of calcium-binding agents
372 such as phosphates, carbonates and proteins. Therefore, the increase of Ca/P may better be
373 explained as a release of phosphate ions expelled from the solid phase during its progression
374 toward stoichiometry (in carbonated conditions CO_3^{2-} ions get progressively incorporated). A
375 proposed overall maturation scheme is represented schematically in **Figure 6**. Both calcium
376 and phosphate ions from the hydrated layer progressively enter the apatitic core. Concerning
377 phosphate, a larger incorporation of PO_4^{3-} ions rather than HPO_4^{2-} is expected in the
378 hydroxyapatite structure; PO_4^{3-} arising from the deprotonation of HPO_4^{2-} , which
379 simultaneously releases protons. Some Ca^{2+} ions are also incorporated to balance electrical
380 charges. Remaining HPO_4^{2-} ions can then combine with the released protons to form
381 monovalent H_2PO_4^- that leaves the crystal, accompanied by the excess of calcium ions. In
382 parallel, a small amount of OH^- can also enter the apatitic core accompanied by calcium ions
383 for preservation of the electroneutrality of the crystal, but this is not represented in **Figure 6**
384 for the sake of simplicity.

387 **The key role of thermodynamics**

388 The chemical evolution undergone by apatite nanocrystals either *in vivo* or in biomimetic
389 analogs appears inevitable as long as apatite nanocrystals are in humid conditions. To explore
390 the thermodynamic basis of this process, oxide melt solution calorimetry has been performed
391 on biomimetic apatites corresponding to increasing maturation times (Rollin-Martinet et al.,
392 2013). These measurements allowed determination of the corresponding standard enthalpy of
393 formation ΔH_f° of the related apatite compounds via the use of an appropriate thermodynamic
394 cycle. Results, reported in **Table 1**, show that the value of ΔH_f° for the anhydrous apatite
395 samples (enthalpy of formation from the elements in their standard state, at 298 K and 1 bar)
396 becomes significantly more exothermic upon maturation: enthalpy change is thus associated
397 with the maturation process.

398 Taking into account entropy estimations (Rollin-Martinet et al., 2013), it also becomes
399 possible to evaluate the corresponding Gibbs free energy of formation ΔG_f° , which is also
400 shown in **Table 1**. Again, a clear trend towards more negative values is seen, with a tendency
401 to evolve towards stoichiometric hydroxyapatite. These results thus indicate that the
402 nanocrystals become increasingly stable as maturation progresses. Comparing the ΔG_f° values
403 between more and less mature samples allows estimation of the Gibbs free energy
404 accompanying the maturation process, denoted ΔG_{matur} (see below). For this task however, it
405 is necessary also to take into account all the chemical species involved in the maturation
406 process, including participating aqueous ions from the solution (thermodynamic data taken
407 from reference literature sources Robie and Hemingway, 1995 and Wagman et al., 1982). As
408 discussed above and shown schematically in **Figure 6**, maturation is probably accompanied
409 by a release of phosphate rather than an uptake of free Ca^{2+} ions. Considering the following
410 simplified reaction scheme (**Eq. 1**) to describe the change in composition of the apatite phase
411 during maturation:

412



414

415 $\Delta G_{maturation}(i \rightarrow f)$ is given by $\Delta G^\circ_{maturation}(i \rightarrow f) + RT * \ln(K)$ where the equilibrium constant K
416 is the activity product $(H_2PO_4^-_{(aq)})^{\delta_2} * (H^+_{(aq)})^{\delta_3}$ (which is close to the corresponding molar
417 concentrations product for sufficiently dilute solutions where activity coefficients are close to
418 1). Taking as initial state the composition of the 20-min matured sample, and considering
419 physiological conditions (pH = 7.4 and $(H_2PO_4^-) \cong 10^{-4}$ M), this leads to negative values of
420 $\Delta G_{maturation}$ (at 298 K), ranging from 0 to -117 ± 23 kJ/mol. The value corresponding to
421 evolution to stoichiometric HAP is -185 ± 15 kJ/mol. Although the effect of carbonation is
422 not taken into account at this stage (thermodynamic data being not available yet for
423 carbonated nanocrystalline apatites), and despite the simplified character of **Eq. 1**, these
424 calculations confirm that H_2O is essential and that maturation is strongly thermodynamically
425 driven and give an order-of-magnitude estimate of the associated energetics.

426 The thermodynamic analysis suggests that immature apatite nanocrystals (e.g., as obtained
427 right after bone remodeling) will inexorably evolve toward more mature compositions as long
428 as they remain in humid conditions. This is not a trivial result as it underlines the fact that
429 even before any “biological” considerations, bone remodeling can be seen as dictated by
430 physical chemistry (thermodynamics) where apatite nanocrystals which have become too
431 mature, stable, and less reactive, have to be dissolved (via osteoclast cells) and re-precipitated
432 (via osteoblast cells) in a renewed immature state. Indeed, bone apatite plays an active role in
433 homeostasis *in vivo* (Driessens et al., 1986), which requires that the high surface reactivity of
434 the constituting nanocrystals (especially via their surface hydrated layer) allow surface ion
435 exchanges to regulate plasma chemical composition, particularly of calcium and phosphate
436 species.

437 **The impact of drying**

438 Interruption of the maturation process can be achieved by separating the solid from the
439 supernatant liquid, which will ultimately require some drying procedure. However, since
440 water molecules are part of the crystal surface, drying is not a trivial task. The object of the
441 present paper is not to examine and compare systematically various drying protocols; it aims,
442 however, to stress the likely modification of the nanocrystal integrity upon such treatments.
443 One way to inspect potential modifications is FTIR spectroscopy, as it is informative about
444 the chemical environment of constitutive chemical species such as phosphates. **Figure 7**
445 reports the analysis of the $\nu_3(\text{PO}_4)$ domain, and compares wet and dry states' spectral features
446 for a typical nanocrystalline apatite (matured a few min at 20 °C). A clear deformation of the
447 spectrum can be evidenced upon (freeze)drying: although fine details can be observed on the
448 freshly precipitated sample still wet (very close to the state of well preserved bones,
449 Grunenwald et al., 2014), only a smoothed envelope of vibrational features can be detected
450 for the dried state. This effect suggests further disordering (seen in band broadening) of the
451 surface layer, probably related to the loss of some water. Drying procedures are necessary for
452 the preparation of most bone implants and care should be taken when selecting operating
453 conditions as any (post)treatment of the nanocrystals may affect their integrity and should be
454 examined in detail. This does not preclude the use of nanocrystalline apatite-based systems in
455 medicine, as dried biomimetic apatites were shown to be biologically active and are the
456 closest systems to natural bone. But one must stress that these compounds are hydrated and
457 nanosized and thermodynamically metastable, and therefore they remain potentially sensitive
458 to external treatments, e.g., for material processing, storage or sterilization (Vandecandelaere
459 et al., 2012).

460 From another angle, for the physical-chemical exploration of nanocrystalline apatites,
461 drying/heating experiments can be envisioned to explore the progressive release of water, e.g.

462 via TGA (see for example Yoder et al., 2012b). However, it may be remarked that the
463 characteristic temperature of each contribution in thermal analyses is bound to depend upon
464 the conditions of testing; faster heating leading to observation of peak maxima at higher
465 temperature. In addition, importantly for carbonated samples, HPO_4^{2-} ions, if present, may
466 interact with the carbonates during their thermal decomposition by reactions of the type:
467 $2 \text{HPO}_4^{2-} + \text{CO}_3^{2-} \rightarrow 2 \text{PO}_4^{3-} + \text{H}_2\text{O} + \text{CO}_2$ leading to significant lowering of the carbonate
468 decomposition temperature, e.g., as low as 250 °C (Legros et al., 1982). Such interactions
469 generate also superimposed effects, which increase the difficulty in accurately attributing
470 weight losses to specific types of water in carbonated apatites.

472 **Type of associated water molecules**

473 Even after (freeze)drying, apatite nanocrystals remain hydrated. Therefore a question arises
474 as to the type of water molecules remaining in such nanocrystalline apatites. In the present
475 contribution, to investigate this question, differential scanning calorimetry (DSC)
476 measurements were performed, for the first time to our knowledge, on non-carbonated
477 nanocrystalline apatites having undergone increasing maturation times. The samples were
478 heated from -50 to 300 °C at 10 °C/min under a continuous flow of nitrogen. **Figure 8a**
479 reports the typical heat flow signals obtained, with comparison to those of stoichiometric
480 hydroxyapatite (HAP_{st}). For all nanocrystalline apatite samples studied, clear endothermic
481 peaks were seen (not taking into account the small artifact detected around 0 °C assignable to
482 ice residue occurring systematically either on the reference or on the measurement
483 compartment). More precisely, two main wide contributions were detected upon heating, with
484 maxima observed at an average value of 63 °C (336 K) and 113 °C (386 K). In contrast, no
485 such endotherm was detected for stoichiometric HAP (where only a slight endothermic
486 contribution could be seen at higher temperatures, around 200 °C (473 K), due to the

487 monoclinic → hexagonal phase transition, Suda et al., 1995). The occurrence of these peaks
488 thus appears specific to immature nanocrystalline apatites. Note that TGA data also point to
489 the loss of weight in this temperature range that can reasonably be attributed to water in these
490 non-carbonated samples (Rollin-Martinet et al., 2013), and this weight loss was then used in
491 the thermodynamic study to determine the total amount of water associated with the apatite
492 compounds.

493 The endothermic contributions detected here may reasonably be attributed to the water
494 constituting this hydrated interphase, plus the eventual water contained in the apatite structure
495 itself (e.g., in apatite channels or in replacement of the oxygen vacancy left by the CO₃-for-
496 PO₄ substitution), rather than to external/intercrystalline trapped water. Our observations of
497 multiple peaks are similar to DSC data on lyophilized bovine bone, where three endothermic
498 events were observed around 45, 91, and 126 °C (Galia et al., 2011). In that study, the authors
499 tentatively attributed the peak at 45 °C to collagen denaturation and the peak at 91 °C to so-
500 called “water associated with apatite”, while the peak at 126 °C was not discussed. Attribution
501 of the 45 °C peak to collagen denaturation however appears questionable as this event
502 generally occurs at higher temperature (Trębacz 2005). If the crystal/collagen interaction
503 involves water, this peak may possibly be linked to alteration of this water at the interface.
504 We believe that the peaks observed at 91 and 126 °C are related to the same origin (water
505 loss) as in the present case on precipitated nanocrystalline apatites. Similarly, two
506 endothermic overlapping events may be seen in the range 20-300 °C on DSC curves reported
507 by Capanema et al. (2015) on niobium-doped precipitated apatite; these events were attributed
508 to “physically adsorbed water” but were not further discussed.

509 At this stage, it may be remarked that authors should be careful when referring to “adsorbed
510 water” associated with apatite nanocrystals. Care should in particular be taken when dealing
511 with water released well above 200 °C, which may hardly be assigned to simply “adsorbed”,

512 as documented by Pasteris (2012). Furthermore, the terms “adsorbed water” are probably not
513 the most appropriate to describe the water contained in the hydrated (and ionic) surface layer
514 on apatite nanocrystals; indeed using this terminology may erroneously lead readers to
515 consider it similar to the physisorbed water present on most solid surfaces exposed to moist,
516 while H₂O from the surface layer on apatite nanocrystals is instead closer from compositional
517 water (even if it is not located in the apatitic core of the crystals). The terms “surface water”
518 or “hydrated layer H₂O” should probably be preferred.

519 Deconvolution of the DSC signals was carried out using the multiple peak fitting tool of the
520 Origin® 8.5 software and considering each (large) contribution as a Gaussian curve, thus
521 allowing us to evaluate the relative proportion of each peak (see an example in **Figure 8b**).
522 **Table 2** reports the results obtained. Considering the “low” temperature of the first
523 endothermic peak, the associated water molecules released may be in a first approximation
524 considered as energetically equivalent to liquid water, as is customary for loosely-bound
525 water. In this case, the energy $\Delta H_1(\text{H}_2\text{O})$ required to eliminate one mole of water falls close
526 to 42.5 kJ/mol allowing an estimate of the associated number of moles of water
527 $n_1(\text{H}_2\text{O}) = \Delta H_{\text{peak 1}} / \Delta H_1(\text{H}_2\text{O})$. Subtracting this value from the total water content determined
528 from TGA then allows evaluation of the number of moles of water associated with peak 2,
529 $n_2(\text{H}_2\text{O})$. Finally, it is possible to estimate the energy needed to expel one mole of water in
530 peak 2 (using the C_p of liquid water), giving $\Delta H_2(\text{H}_2\text{O}) = 48.4 \pm 7.7$ kJ/mol at peak 2
531 temperature. This corresponds, at 25 °C (298 K), to 52.1 ± 7.7 kJ/mol. This mean value is
532 somewhat larger than the enthalpy of vaporization of water at 298 K (44 kJ/mol), suggesting
533 that this H₂O is indeed somewhat more strongly bound, but the difference is not beyond
534 experimental error.

535 At least two contributions can thus be evidenced by DSC. The presence of water within the
536 surface layer on the nanocrystals is undeniable as shown in the above and following sections

537 and recently evidenced again by Wang et al. (2013) by solid state NMR, and release from this
538 surface water is thus expected upon heating. Some authors (e.g., Pasteris et al., 2014,
539 Goldenberg et al., 2015), however, have concluded at least for carbonated systems that a non-
540 negligible amount of water molecules could fill apatite channels. At this stage, it is not
541 possible to distinguish, from these DSC data, which portion of the associated water might be
542 attributed to the surface layer H₂O and which contribution may be related to intracrystalline
543 water.

544 Upon maturation no clear trend could be identified for n₁(H₂O), reaching a mean around 2
545 moles H₂O per unit formula (**Figure 9a**). This may be linked, however, to the different
546 “histories” of the freeze-dried samples in contact with the atmosphere for various periods of
547 time. In contrast there is a progressive decrease of n₂(H₂O). The decrease in total water
548 content observed is indeed expected, since the overall hydration of the nanocrystals is
549 experimentally found to decrease with maturation.

550 In order to inspect the tendency for heated nanocrystalline apatites to partially rehydrate
551 upon contact with moisture, a second DSC run was performed after one week of re-exposure
552 to room atmosphere at ~ 20 °C on a sample (hap-1d) that already had undergone a DSC
553 experiment up to 300 °C (**Figure 9b**). Interestingly, the second run again shows the two
554 clearly detectable peaks at essentially the same temperatures, although with lower intensities.
555 These findings thus show that dehydrated apatite partially rehydrates upon simple re-exposure
556 to water vapor. These observations further support dehydration/partial rehydration data
557 reported by Yoder et al. (2012b). However it is remarkable to note that upon such rehydration,
558 both DSC peaks are affected, indicating that the water related to these two peaks is at least
559 partly reversibly released, which in turn suggests partial “water refilling” of (at least) the
560 surface layer.

561 These results again point out the key role of water that systematically “associates with”

562 apatite nanocrystals when they are exposed to a wet environment. The question, however, still
563 remains as to whether intracrystalline water is also present or not in all nanocrystalline apatite
564 samples. For carbonated apatites, several authors agreed on the presence of water in the
565 apatitic lattice, like Ivanova et al. (2001) on the basis of Rietveld refinements of XRD data, or
566 else LeGeros et al. (1978, 1979), Bonel et al. (1975) and Labarthe et al. (1973) on the basis of
567 thermal analyses sometimes assisted by IR analyses, and as reviewed by Pasteris et al. (2014).
568 However, whether this conclusion may be widened to all nanocrystalline apatites including
569 non-carbonated ones is still unclear. There is a lack of direct correlation between the amount
570 of such intracrystalline water and the number of OH vacancies (Pasteris et al., 2014); the
571 amount of H₂O remaining essentially unchanged for all of samples tested in that work. These
572 findings are reminiscent of those obtained in an earlier work by LeGeros et al. (1979) but
573 appear surprising as water molecules, if incorporated in the apatitic channels, would be
574 expected to fill these positions more easily in the case of more OH-deficient samples.
575 However, other parameters such as the co-presence of Na⁺ ions might also come into play,
576 although no clear mechanism can yet be formulated precisely. Indeed, the two studies cited
577 above involved sodium ions, while experiments performed in sodium-free conditions led to
578 different results, pointing then to a correlation between the level of carbonation and the total
579 associated water (Labarthe et al., 1973). Also, intracrystalline water might otherwise be
580 located, in carbonated samples, in other positions than apatitic channels, as in the oxygen
581 vacancy left by the substitution of a phosphate by a B-type carbonate group or in calcium
582 vacancies (e.g. Bonel et al. 1975, Ivanova et al., 2001); and water location may even depend
583 on formation conditions. Compositional differences that affect the size of the apatite channels
584 may also affect the amount of intracrystalline water (Goldenberg et al., 2015). In the present
585 DSC study on non-carbonated samples, the re-observation of the two DSC peaks by simple
586 exposure to ambient air seems to favor the hypothesis of surface water refilling rather than a

587 more internal phenomenon, but additional investigations on various types of nanocrystalline
588 apatite are needed to continue to explore the different locations/types of water molecules,
589 depending on their conditions of formation.

591 **Role of surface water on the spatial organization of apatite nanocrystals**

592 It is interesting at this stage to inspect the role of surface water molecules in the 3D
593 organization of the nanocrystals in a situation where alteration due to drying has been limited
594 as far as possible. In a specific set of experiments, freshly precipitated apatite nanocrystals
595 were left to mature at 25 °C in the mother solution between 1 minute and 1 week, prior to
596 sampling the precipitating medium and analyzing it immediately by cryo-FEG-SEM; the
597 cryogenic mode was selected to limit insofar as possible any alteration of the samples. **Figure**
598 **10** shows the typical morphologies obtained. A clear evolution is seen in the course of
599 maturation in solution. At $t = 1$ min, the precipitate appears as a three dimensional network of
600 spheroid-like particles with a mean diameter around 15 nm, these spheroids tending to
601 organize themselves in pseudo-filaments or relatively linear chains. Progressively, the
602 spheroids evolve toward a more acicular morphology, typically over the first 12 h. At $t = 12$ h,
603 they have almost totally transformed into a three dimensional arrangement of elongated
604 particles, with a mean length around 50-70 nm and a width close to 10 nm. From then on, the
605 elongated particles are clearly visible and seem to organize in a parallel fashion as “bundles”
606 linked by an amorphous-like domain. At $t = 1$ week, the particles reach a mean length of
607 about 100 nm and a width of about 7-8 nm and the bundles are still clearly visible. A
608 schematic representation of these morphological modifications is given on **Figure 10**, bottom.
609 It may be noted that the mean size of the spheroids (at $t = 1$ min) is of the same order as the
610 crystallite dimensions estimated from XRD data and Scherrer’s formula (see **Figure 4c**),
611 suggesting that these spheroids could be individual crystallites. In contrast, for longer

612 maturation times, the elongated particles exhibit dimensions close to 100 nm which is
613 significantly larger than individual crystallites. We can then assume the formation of
614 polycrystalline particles involving crystallites in strong interaction with each other
615 (aggregation). We have studied the effect of dilution of the precipitation medium on the
616 general aspect of the precipitate, and similar conclusions have been drawn; in particular,
617 bundles of particles were still present but in the form of more separated “islands” dispersed in
618 water. Within each bundle, an amorphous-like domain was still noticed. It may be suggested
619 that the interaction of adjacent nanocrystals via their surface hydrated layers plays a major
620 role in this 3D organization of apatite particles, in particular their tendency to orient in
621 parallel to form bundle-like superstructures. This 3D organization seems to be characteristic
622 of apatite nanocrystals as this has never been reported to our knowledge for well-crystallized
623 hydroxyapatite (which does not exhibit a hydrated layer on its constitutive crystals). This
624 difference may be due to the elongated or flattened morphology of the particles, favoring
625 alignment between most-developed crystal surfaces; but the involvement of the hydrated
626 domains on the nanocrystals, capable of interacting with each other, also appears as a
627 probable hypothesis. The exact mechanism by which adjacent nanocrystals, by way of their
628 hydrated layers, can interact is still unclear. It may involve electrostatic interaction and/or
629 hydrogen bonding between water molecules or HPO_4^{2-} ions; the high mobility of ions within
630 this layer may also help interlacing between two adjacent layers by facilitating diffusive
631 pathways. It may be assumed that a similar scenario of apatite particle alignment should also
632 appear in bone *in vivo*. Wang et al. (2013) have indeed noticed a similar tendency for bone
633 crystals to orient in parallel which is in good agreement with our data on synthetic biomimetic
634 analogs. Such alignment, particularly in a favored direction, may be important in bone repair
635 processes. Indeed, the callus tissue formed upon fracture healing also was found to exhibit
636 preferential crystal orientations (Liu et al., 2010). From another perspective, low gravity

637 environments in space have been shown to accelerate bone loss. Although bone cell activity
638 was shown to be altered under microgravity (Nabavi et al., 2011), the underlying mechanism
639 is unclear; whether crystal alignment may be influential in the response of bone to
640 microgravity is still unknown.

641

642 **Consolidation behavior**

643 These findings point out the strong tendency for apatite nanocrystals to interact with each
644 other via their hydrated surface layers. It is thus interesting to investigate the possibility to
645 consolidate these systems into bulk ceramics utilizing this propensity for self-organization.
646 This has been done by way of spark plasma sintering (SPS) at “low” temperature, typically
647 below 300 °C. The first trials of such “cold sintering” having been performed in 2006 (Drouet
648 et al., 2006) and revisited later in detail by Grossin et al. (2010) for hap-1d. Consolidation of
649 nanocrystalline apatite by SPS was shown to be effective. Sintering for 13 min at 150 °C
650 under 100 MPa was found to be the best compromise between a high densification rate and a
651 limitation of nanocrystals alteration. Bulk ceramic pieces were obtained with mechanical
652 properties allowing applications for bone repair. For example, the flexural strength measured
653 from 7 samples of hap-1d by biaxial flexural tests reached 11.3 ± 5.9 MPa. This value is
654 rather high for a material sintered at 150 °C (the non-negligible standard deviation probably
655 results from the defects present in the raw material consolidated by SPS). In contrast, SPS
656 treatment beyond 150 °C led to a delamination phenomenon. We can propose that (i) the
657 consolidation process at such low temperatures is made possible by the presence of interacting
658 surface layers on adjacent nanocrystals allowing easier ion diffusion, and that (ii) the
659 delamination observed at “higher” temperatures is linked to significant dehydration of apatite
660 nanocrystals, altering the surface layer and preventing efficient ion diffusion. This ability for
661 apatite nanocrystals to consolidate appears to be a very peculiar property of these compounds

662 as they remain noticeably hydrated. This conclusion is also supported in the present work by
663 the consolidation of nanocrystalline apatites having different maturation times, using the
664 same SPS protocol as above (13 min at 150 °C, 100 MPa). However, the relative density of
665 the ceramics noticeably decreased for samples of increasing maturation times (typically from
666 77 % for hap-20 min down to 53 % for hap-3 weeks). In addition to the small size of the
667 crystals favoring re-orientation under mechanical pressure, the extent of the hydrated layer
668 appears to have a direct effect on their sinterability. The consolidation/sintering of
669 nanocrystalline apatites at “low” temperature may be made possible thanks to the high
670 mobility of ions contained in the hydrated layer. To check surface ion mobility, rapid (few
671 minutes) ion exchange experiments were carried out as previously (Drouet et al., 2008;
672 Eichert et al., 2008). Here, we immersed nanocrystalline apatites in a solution containing
673 Mg^{2+} ions at high concentration (1 M) for 30 min, and followed the replacement of (surface)
674 Ca^{2+} ions by Mg^{2+} by ICP-AES by analyzing the solids before and after ion exchange. **Figure**
675 **11** reports the results obtained in terms of “exchangeable” and “non-exchangeable” Ca^{2+} ions.
676 These data show that samples matured for short periods of time exhibit a noticeable amount of
677 exchangeable ions (e.g., up to 8 % of the total Ca^{2+} content), while this amount decreases with
678 maturation time. These results illustrate the high mobility of surface ions from nanocrystalline
679 apatites. In the context of consolidation, as in SPS, this high mobility could allow diffusion
680 phenomena even at “low/moderate” temperatures, while not necessitating strong thermal
681 activation, thus allowing cold sintering. A partial loss of water also appears essential for the
682 consolidation (Grossin et al., 2010), as it allows strong interaction between adjacent crystals.

683

684 **Extrapolation to the *in vivo* context**

685 Although most of the data given above concern non-carbonated apatites, it is very likely
686 that similar general conclusions can be made for their carbonated counterparts. Indeed,

687 carbonate ions are known as growth inhibitors for apatite (Sallis, 1998); a carbonated apatite
688 exhibits a more developed hydrated surface layer than its non-carbonated counterpart for the
689 same aging time in solution. *In vivo*, the ion exchange capabilities of apatite nanocrystals
690 represent a way for bone mineral to be active in homeostasis. The natural ability of apatite
691 nanocrystals to align in a parallel way when in wet conditions is likely to occur also *in vivo*,
692 and results from Wang et al. (2013) corroborate this assumption. Like their biomimetic
693 analogs, bone nanocrystals are expected to be thermodynamically metastable, leading to
694 unavoidable evolution toward more stable compositions, closer to stoichiometry. This is
695 probably one non-biological reason for the necessity of bone remodeling, where more mature
696 crystals (becoming less surface-reactive) are progressively dissolved and replaced by new
697 immature, highly reactive nanocrystals.

698

699 **Implications**

700 All of the above has illustrated the key role of water in the genesis, evolution in solution,
701 3D particle organization, and general behavior (e.g., via consolidation) of nanocrystalline
702 apatites, whether in bone or in synthetic analogs. The presence of water associated with
703 apatite nanocrystals should therefore not be overlooked, but instead H₂O should be considered
704 as a component of the crystal's composition, at least on its surface. Its elimination, e.g., via
705 drying/heating processes, may fundamentally modify the crystals surface features and
706 reactivity. The surface layer covering the nanocrystals appears as an interphase with
707 potentially gradual properties linking the crystals to the surrounding medium. This hydrated
708 interphase might be seen as a remnant of the apatite growth process in solution. Whether it
709 involves, in early stages, the formation of prenucleation clusters is still undetermined. In any
710 case the apatite and its hydrated layer should be considered, in our opinion, as appearing
711 simultaneously. Intermediate metastable phases, e.g., amorphous calcium phosphate or

712 “ACP”, may transiently be formed in the precipitating medium, depending on the apatite
713 formation conditions. ACP is likely involved for example in the preparation of synthetic
714 analogs where concentrations/supersaturation are generally higher than *in vivo*; and apatite
715 may then crystallize from the amorphous phase. A question can then arise as whether the
716 formation and evolution/growth of apatite nuclei within this ACP might structure the crystal
717 and its surface layer, but this is still under study. Another phenomenon should also be
718 considered when dealing with the formation of apatite from an amorphous phase without
719 changing the Ca/P ratio: the internal hydrolysis of PO_4^{3-} ions. In this process, the
720 simultaneous formation of OH^- and HPO_4^{2-} ions arises from reaction of water with PO_4^{3-} ions.
721 It is not clear however whether this reaction occurs in bone or not. IR and Raman
722 spectroscopies only point to limited amounts of OH^- even for mature bone (e.g. Pasteris et al.,
723 2004; Rey et al., 1995). NMR seems in contrast to identify larger hydroxide contents (e.g.
724 Cho et al., 2003), but this internal hydrolysis reaction might explain the relative divergence
725 between vibrational spectroscopies and NMR, taking into account the fact that NMR
726 generates some sample heating and necessitates longer acquisition times than Raman or IR.

727 The location of water molecules in bone apatite nanocrystals and biomimetic analogs is still
728 being debated and explored. The presence of water within the surface layer on the
729 nanocrystals is obvious, but additional water is also reported for carbonated apatites to be
730 trapped in the structure although incorporation mechanisms have still to be clarified. As a
731 perspective, additional exploration of the water environments within the surface layer and
732 inside the structure is needed to better comprehend biomineralization phenomena as well as
733 biomimetic apatite-based biomaterials processing. In addition, methods should be further
734 developed for quantifying the relative volume of the hydrated layer covering apatite
735 nanocrystals, and the relative amounts of water in the various reservoirs associated with
736 nanocrystalline apatites.

737

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746

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1011 **Table captions:**

1012
1013 **Table 1:** Experimental ΔH_{ds} values and derived $\Delta H_{f,oxides}$, ΔH_f° and ΔG_f° for nanocrystalline apatites with
1014 increasing maturation states

1015
1016 **Table 2:** DSC data for nanocrystalline apatites corresponding to increasing maturation times

1017 1018 **Figure captions:**

1019
1020 **Figure 1:** Possible position of vacancies in the apatite lattice, where some water molecules could potentially
1021 reside (beside the water contained within the surface hydrated layer on the nanocrystals): a) vacancies in OH-
1022 (on the axis of apatitic channels) and Ca²⁺ sites (CaI and CaII) represented on the basis of the hydroxyapatite
1023 crystal lattice, b) oxygen vacancy left in the case of CO₃-for-PO₄ substitution (B-type carbonate). In this
1024 schematic representation, no distortion of the PO₄ tetrahedron nor of the CO₃ plane are shown.

1025
1026 **Figure 2:** Typical characteristics of biomimetic nanocrystalline apatites (e.g. matured 1 day (a,b,c) or 3 weeks
1027 (d)) and of stoichiometric HAP sintered at 1000 °C-1 h (b,c). a) TEM micrograph, b) XRD pattern ($\lambda_{copper} =$
1028 1.78892 Å), c) FTIR spectra and d) detail on the $\nu_4(\text{PO}_4)$ FTIR spectral domain

1029
1030 **Figure 3:** Schematic representation of an apatite nanocrystal in contact with an aqueous medium (carbonate free
1031 conditions)

1032
1033 **Figure 4:** Effect of apatite maturation in solution (at 22 °C) on (a) Ca/P molar ratio, (b) XRD pattern ($\lambda_{copper} =$
1034 1.514 Å), and (c) mean crystallite dimensions as estimated from Scherrer's formula

1035
1036 **Figure 5:** Evolution of ionic contents of nanocrystalline apatites versus maturation (at 22 °C): (a) Ca²⁺ and OH⁻
1037 contents per unit formula and (b) example of H₂O content per unit formula (as measured from TGA for one
1038 given set of batches freeze-dried for 3 days) and evolution of non-apatitic HPO₄²⁻ environments (in relative
1039 proportion from FTIR analysis of the $\nu_4(\text{PO}_4)$ band: peak area ratio between n.ap. HPO₄ band at 534 cm⁻¹ and
1040 overall $\nu_4(\text{PO}_4)$ band area)

1041
1042 **Figure 6:** General scheme for apatite maturation in solution (carbonate free conditions)

1043
1044 **Figure 7:** Effect of drying on the $\nu_3(\text{PO}_4)$ domain as followed by FTIR spectroscopy for a nanocrystalline apatite
1045 sample matured few min at 20 °C

1046
1047 **Figure 8:** : a) DSC signal upon heating (at 10 °C/min) nanocrystalline apatites from -50 up to 300 °C under
1048 nitrogen flow, for various maturation times. Curves have been shifted intentionally for facilitating the reading. b)
1049 Example of deconvolution of DSC signal for hap-1 day

1050
1051 **Figure 9:** Evolution of $n_1(\text{H}_2\text{O})$ and $n_2(\text{H}_2\text{O})$ as evaluated from DSC data: a) for samples with increasing
1052 maturation times and b) effect of re-exposure to atmosphere on sample hap-1d

1053
1054 **Figure 10:** Cryo-FEG-SEM observations of nanocrystalline apatites matured at 25 °C between 1 min and 1 week
1055 and directly analyzed (initial magnification: x 150 000), and schematic representation of the evolutionary change
of morphology

1056
1057 **Figure 11:** Evolution of exchangeable Ca²⁺ ions by Mg²⁺ by surface ion exchanges, for apatites with increasing
maturation times

1061 Table 1: Experimental ΔH_{ds} values and derived $\Delta H_{f,oxides}$, ΔH_f° and ΔG_f° for nanocrystalline apatites with increasing
 1062 maturation states

1063

Sample	ΔH_{ds} (apatite, hydrated) (kJ/mol)	ΔH_f° (apatite, hydrated) (kJ/mol)	$\Delta H_{f,oxides}$ (kJ/mol)	ΔH_f° (apatites, anhydrous) (kJ/mol)	ΔG_f° kJ/mol
Nanocrystalline apatites:					
20 min	1197.7 ± 10.0 (10)	-13756.8 ± 12.2	-1952.2 ± 12.5	-12058.9 ± 12.2	-11323.1 ± 12.2
3 hour	1198.2 ± 15.0 (9)	-13370.7 ± 16.5	-2073.9 ± 16.8	-12174.9 ± 16.5	-11439.7 ± 16.5
1 day	1241.7 ± 9.5 (8)	-13393.4 ± 11.8	-2152.7 ± 12.1	-12364.4 ± 11.8	-11616.0 ± 11.8
3 days	1088.6 ± 9.1 (9)	-13352.3 ± 11.5	-2032.8 ± 11.8	-12342.1 ± 11.5	-11595.0 ± 11.5
5 days	1077.4 ± 5.1 (9)	-13373.3 ± 8.7	-2030.5 ± 9.2	-12457.0 ± 8.7	-11692.6 ± 8.7
1 week	1137.2 ± 9.1 (10)	-13362.2 ± 11.5	-2119.5 ± 11.9	-12546.1 ± 11.5	-11783.9 ± 11.5
3 weeks	1172.8 ± 20.2 (9)	-13708.7 ± 21.4	-2141.3 ± 21.6	-12771.0 ± 21.4	-11994.2 ± 21.4
HAP st.	1027.7 ± 21.4 (11)	-13477 ± 10	-2283.5 ± 23.0	-13477 ± 10	-12674.2 ± 10

* numbers in parentheses refer to the number of calorimetry experiments performed

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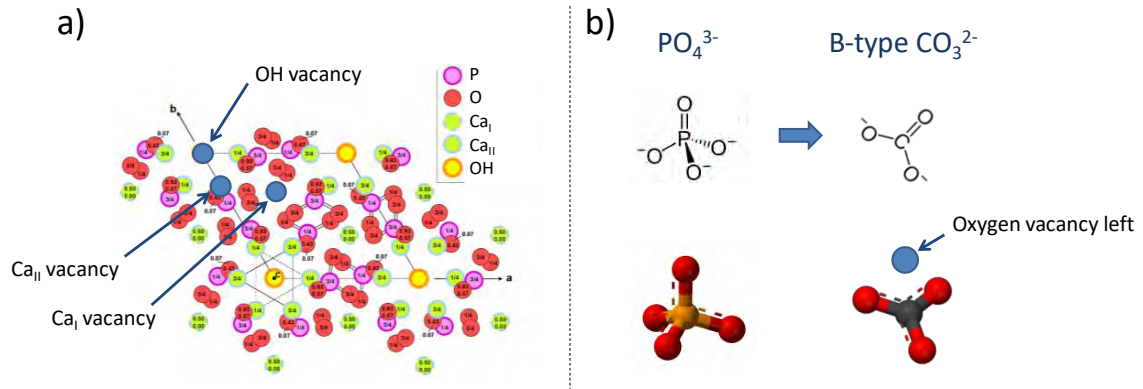
Table 2: DSC data for nanocrystalline apatites corresponding to increasing maturation times

Maturation time	ΔH_{total} (kJ/mol)	$\Delta H_{peak 1}$ (kJ/mol)	$\Delta H_{peak 2}$ (kJ/mol)	$n_1(H_2O)$ from peak 1 per mole of apatite	$n_2(H_2O)$ from peak 2 per mole of apatite
20 min	176	88	88	2.1	1.8
3 h	178	110	68	2.6	1.4
1 d	143	72	72	1.7	1.5
3 d	169	90	78	2.1	1.6
5 d	147	70	76	1.7	1.6
1 w	137	80	57	1.9	1.2
3 w	143	95	47	2.3	1.0
1 d re-exposed	92	45	47	1.1	1.0

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1084 Figure 1: Possible position of vacancies in the apatite lattice, where some water molecules could potentially reside (beside the
1085 water contained within the surface hydrated layer on the nanocrystals): a) vacancies in OH⁻ (on the axis of apatitic channels)
1086 and Ca²⁺ sites (Ca_I and Ca_{II}) represented on the basis of the hydroxyapatite crystal lattice, b) oxygen vacancy left in the case
1087 of CO₃²⁻-for-PO₄³⁻ substitution (B-type carbonate). In this schematic representation, no distortion of the PO₄ tetrahedron nor of
1088 the CO₃ plane are shown.

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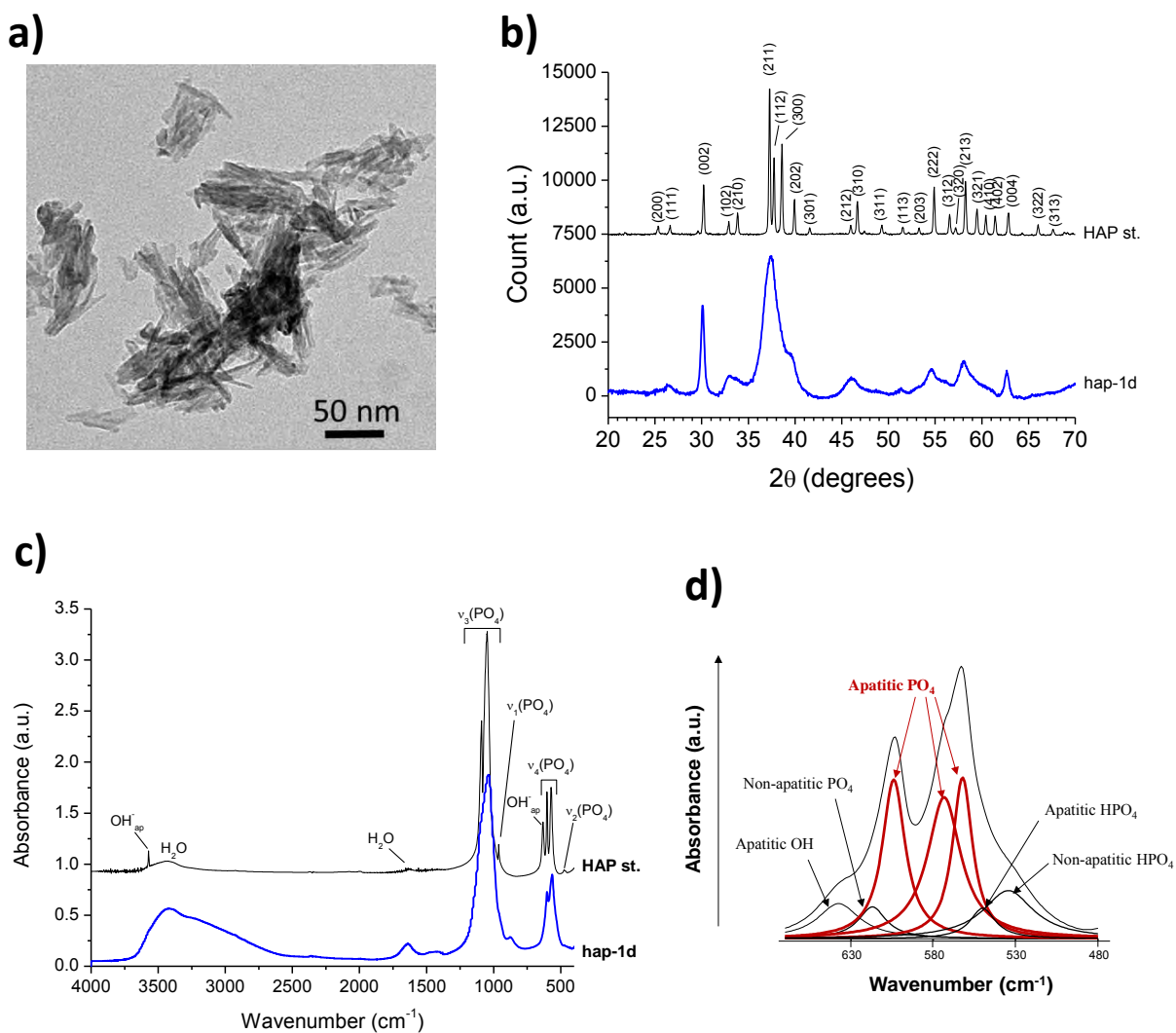
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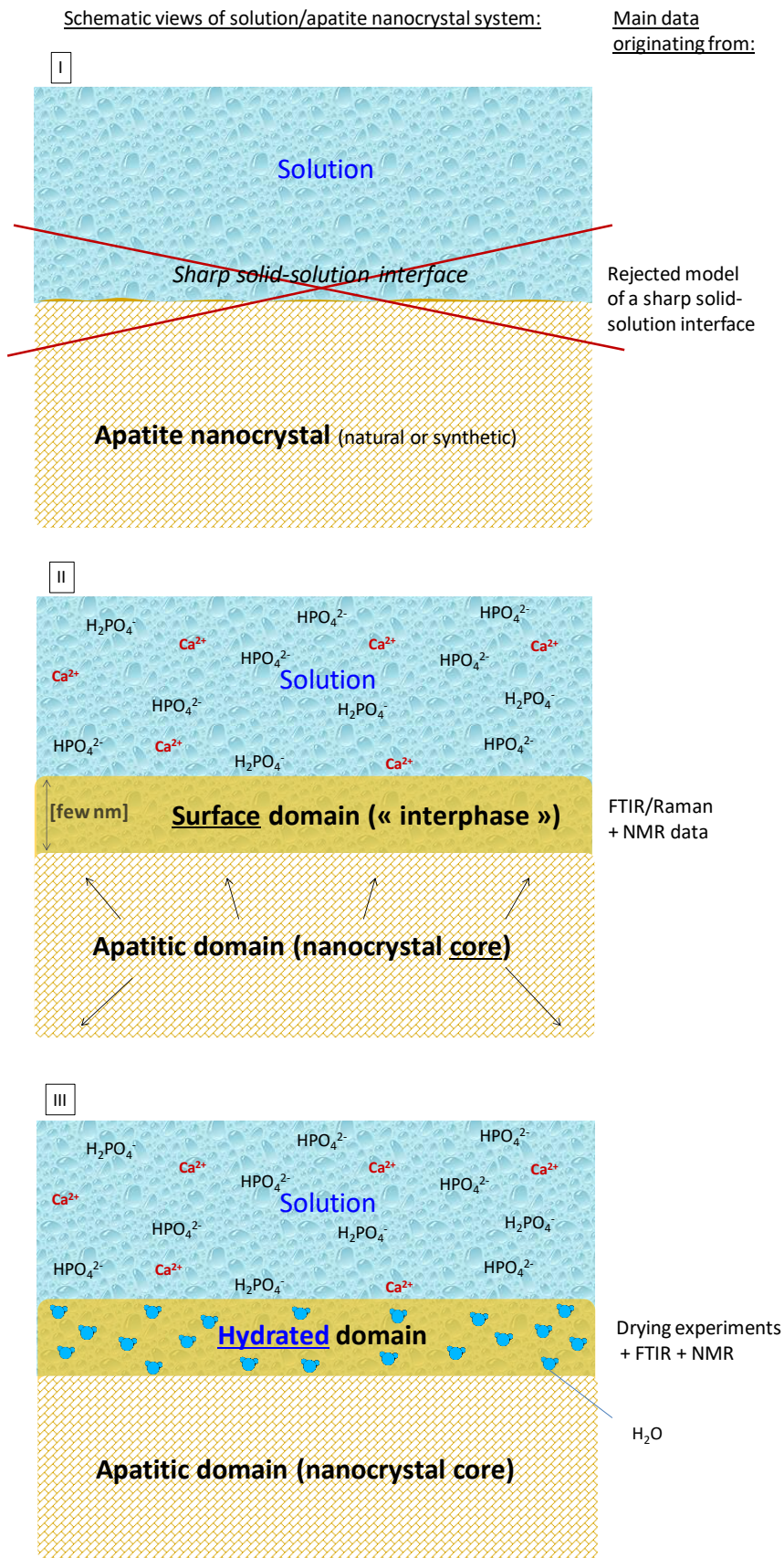
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1094 Figure 2: Typical characteristics of biomimetic nanocrystalline apatites (e.g. matured 1 day (a,b,c) or 3 weeks (d)) and of
 1095 stoichiometric HAP sintered at 1000 °C-1 h (b,c). a) TEM micrograph, b) XRD pattern ($\lambda_{\text{cobalt}} = 1.78892 \text{ \AA}$), c) FTIR spectra
 1096 and d) detail on the $\nu_4(\text{PO}_4)$ FTIR spectral domain
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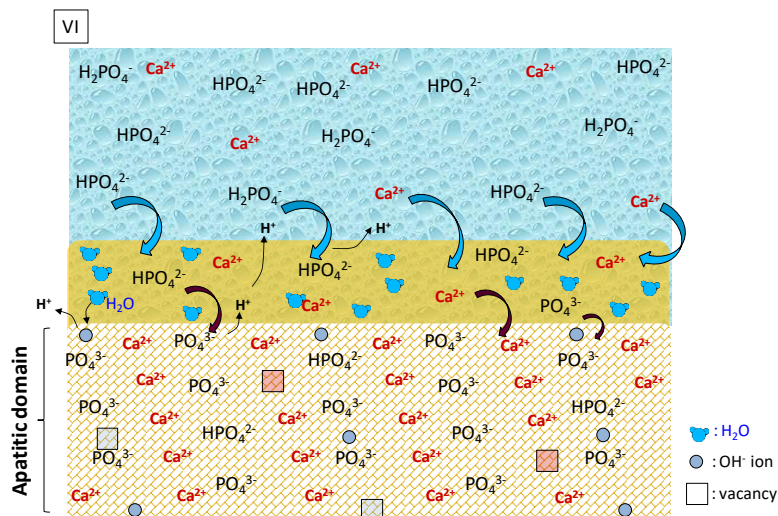
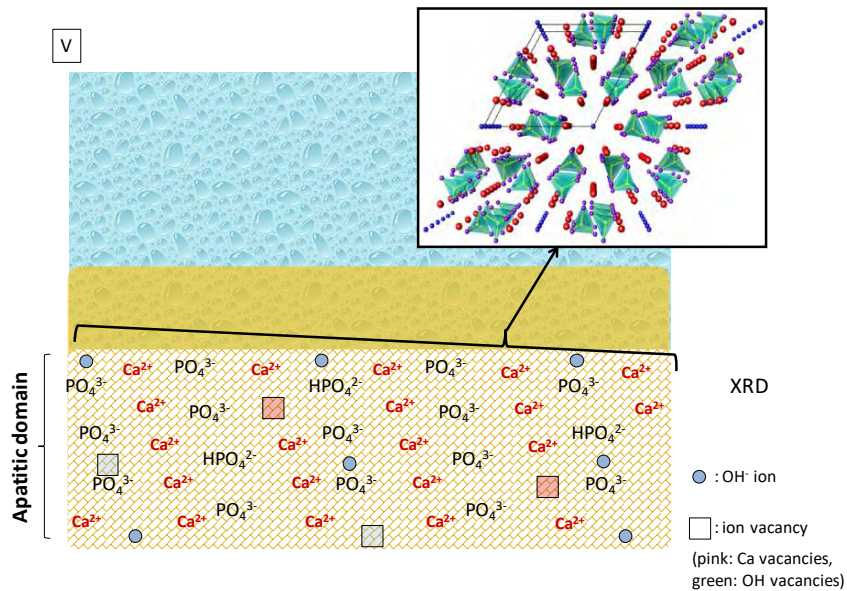
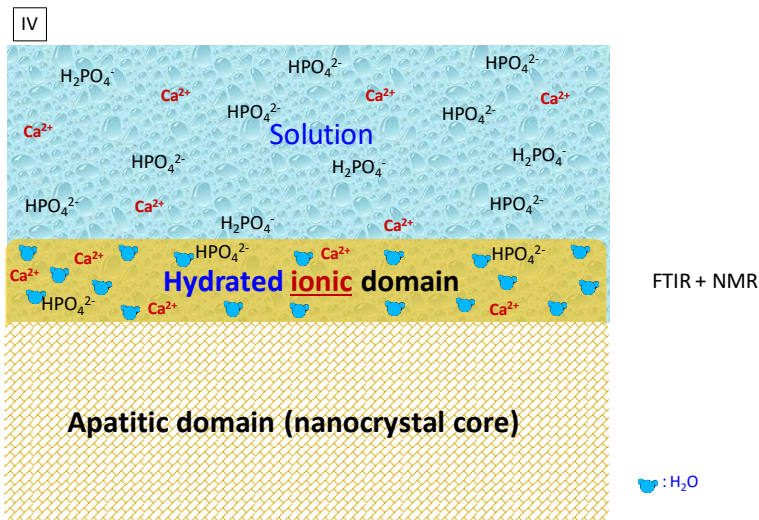
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1101 Figure 3: Schematic representation of an apatite nanocrystal in contact with an aqueous medium (carbonate free conditions)
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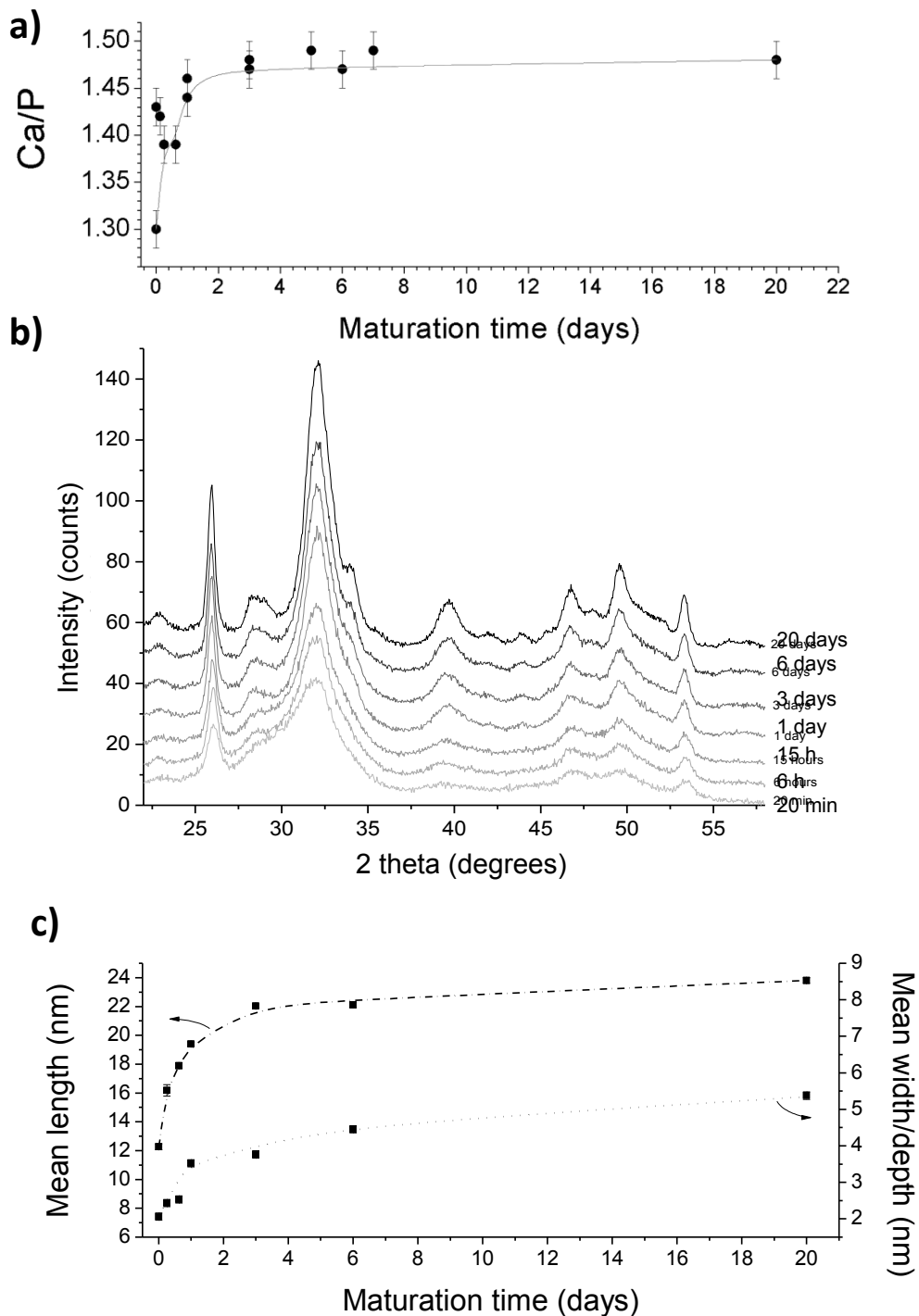
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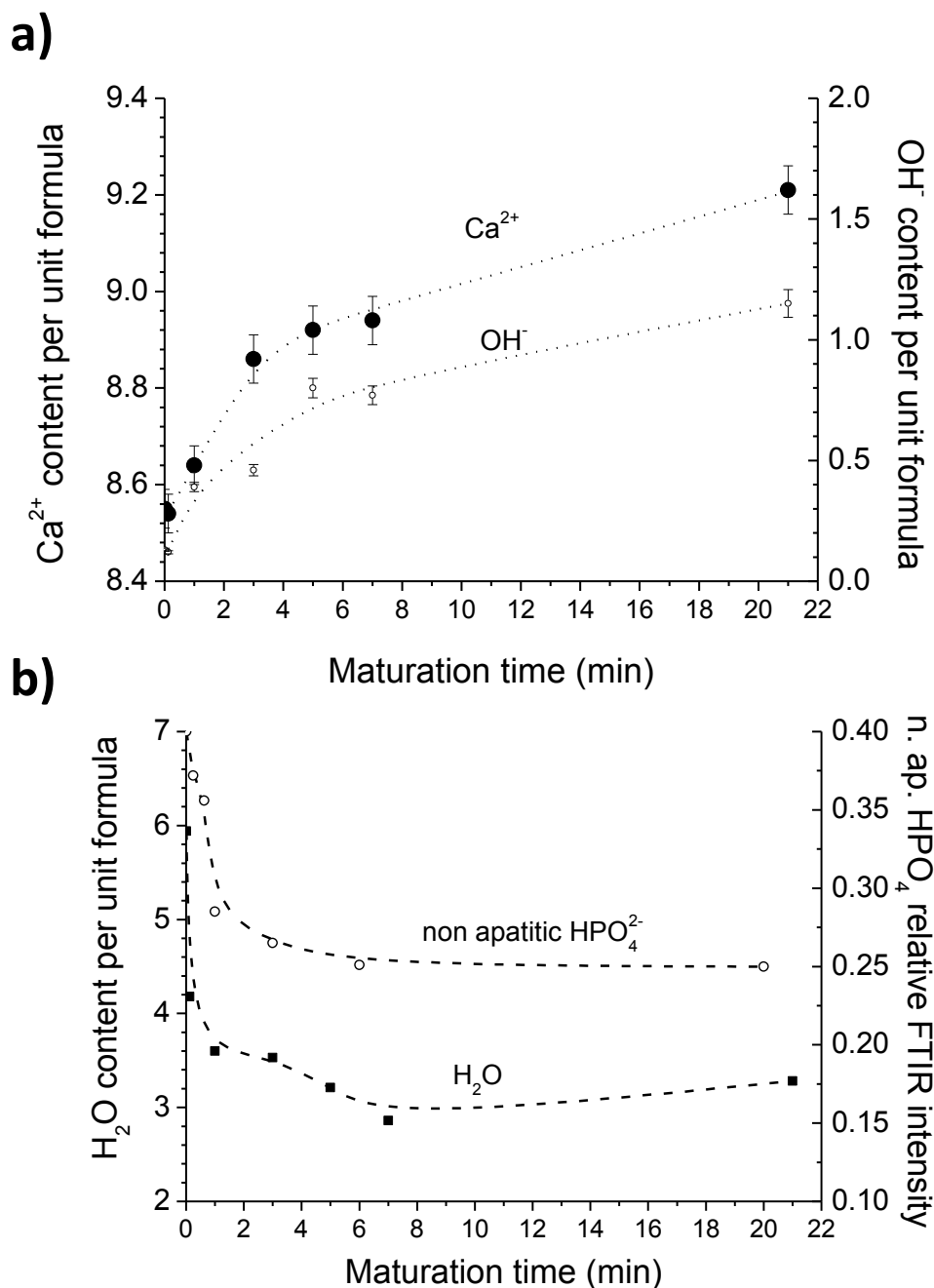
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Figure 4: Effect of apatite maturation in solution (at 22 °C) on (a) Ca/P molar ratio, (b) XRD pattern ($\lambda_{Cu} = 1.514 \text{ \AA}$), and (c) mean crystallite dimensions as estimated from Scherrer's formula



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1115 Figure 5: Evolution of ionic contents of nanocrystalline apatites versus maturation (at 22 °C): (a) Ca^{2+} and OH^- contents per
1116 unit formula and (b) example of H_2O content per unit formula (as measured from TGA for one given set of batches freeze-
1117 dried for 3 days) and evolution of non-apatitic HPO_4^{2-} environments (in relative proportion from FTIR analysis of the $\nu_4(\text{PO}_4)$
1118 band: peak area ratio between non-apatitic HPO_4 band at 534 cm^{-1} and overall $\nu_4(\text{PO}_4)$ band area)
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1125 Figure 6: General scheme for apatite maturation in solution (carbonate-free conditions)

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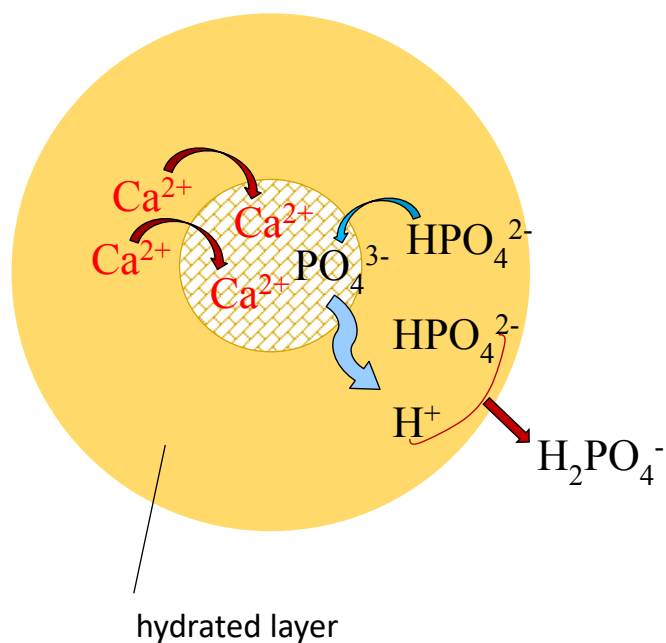
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The maturation process from a chemical viewpoint:

- Evolution **towards stoichiometry**: incorporating Ca^{2+} and phosphate ions from the hydrated layer (Ca more than phosphates; $\text{Ca/P} \nearrow$)

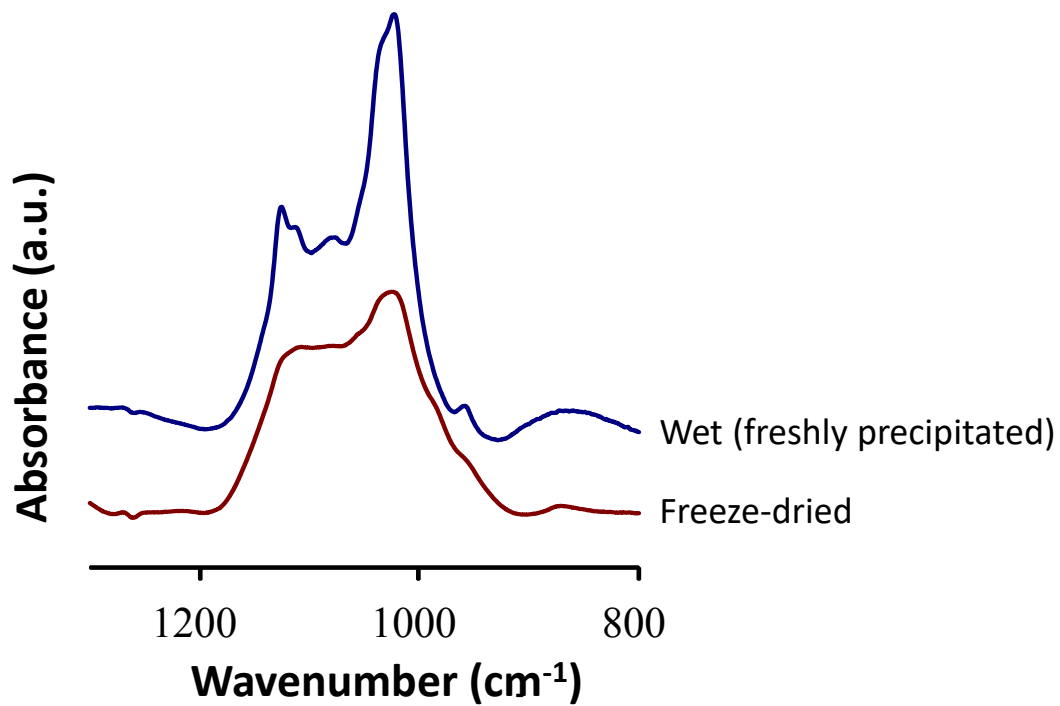
-Release of protons in the hydrated layer + **excess phosphates** lead to a release H_2PO_4^- into the solution (acidification)



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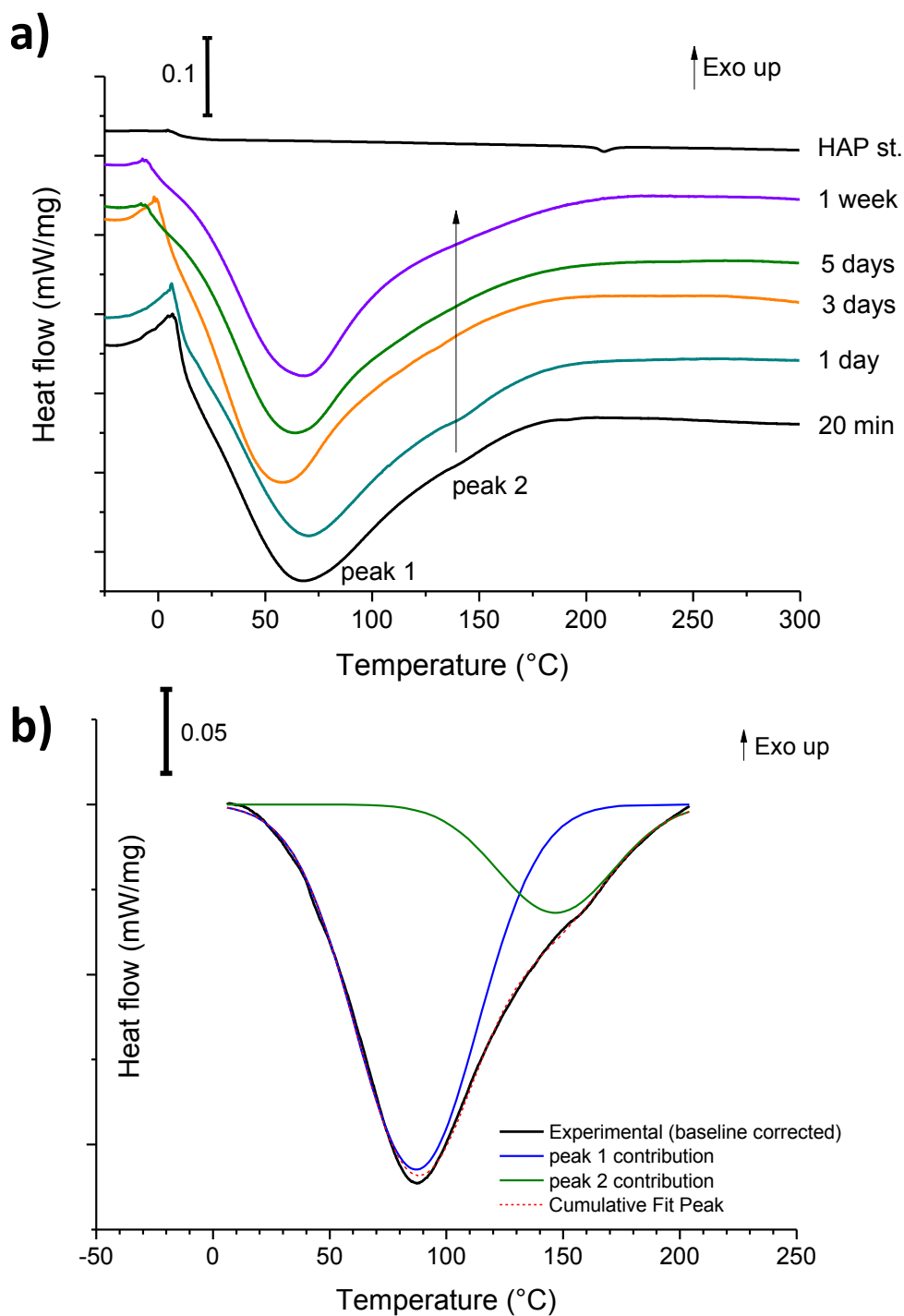
1131 Figure 7: Effect of drying on the $\nu_3(\text{PO}_4)$ domain as followed by FTIR spectroscopy for a nanocrystalline apatite sample
1132 matured few min at 20 °C
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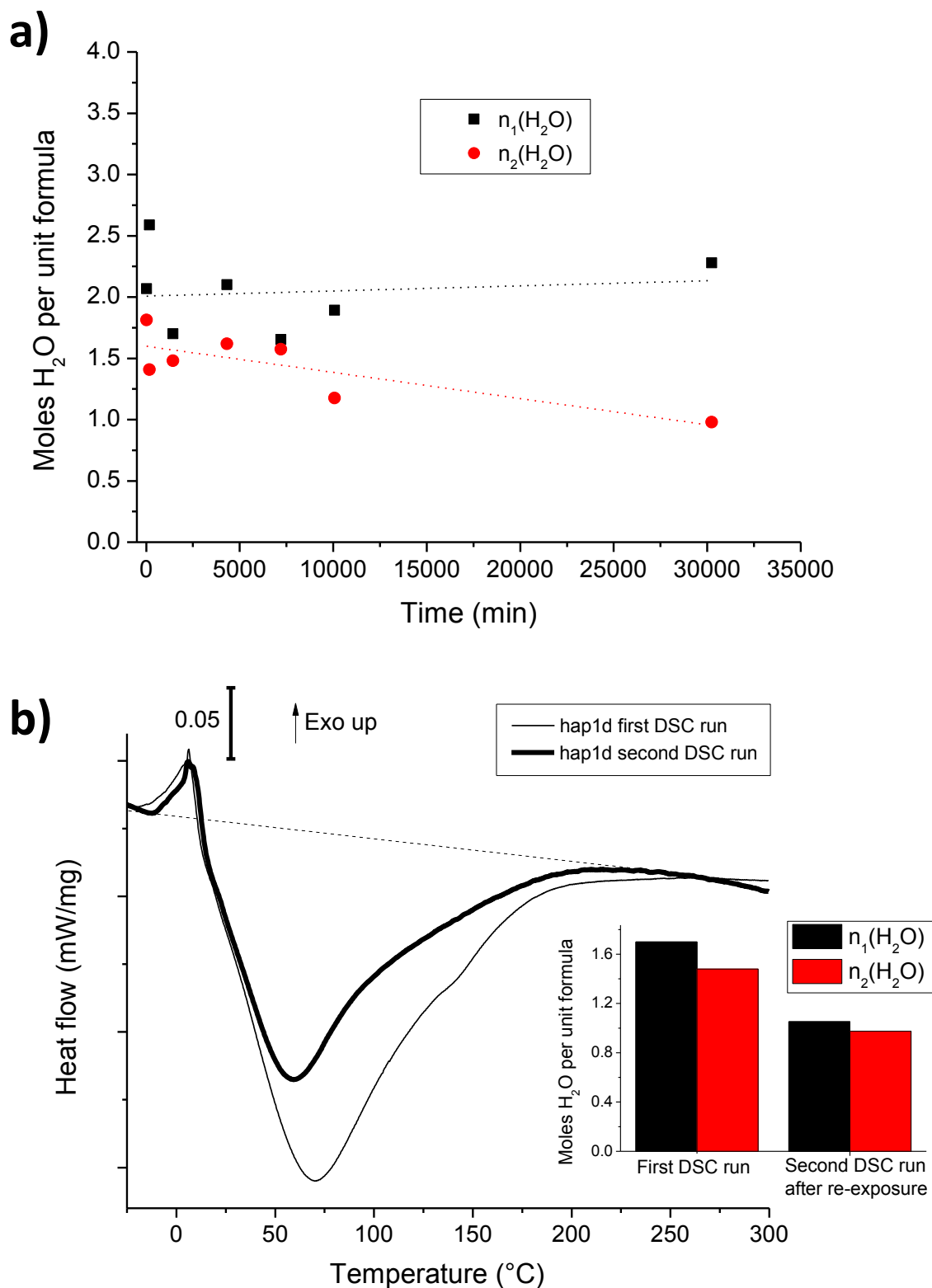
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Figure 8: a) DSC signal upon heating (at 10 °C/min) nanocrystalline apatites of various maturation times from -50 to 300 °C under nitrogen flow. Curves have been shifted intentionally to facilitate the reading. b) Example of deconvolution of DSC signal for nanocrystalline apatite matured for one day (hap-1 day)



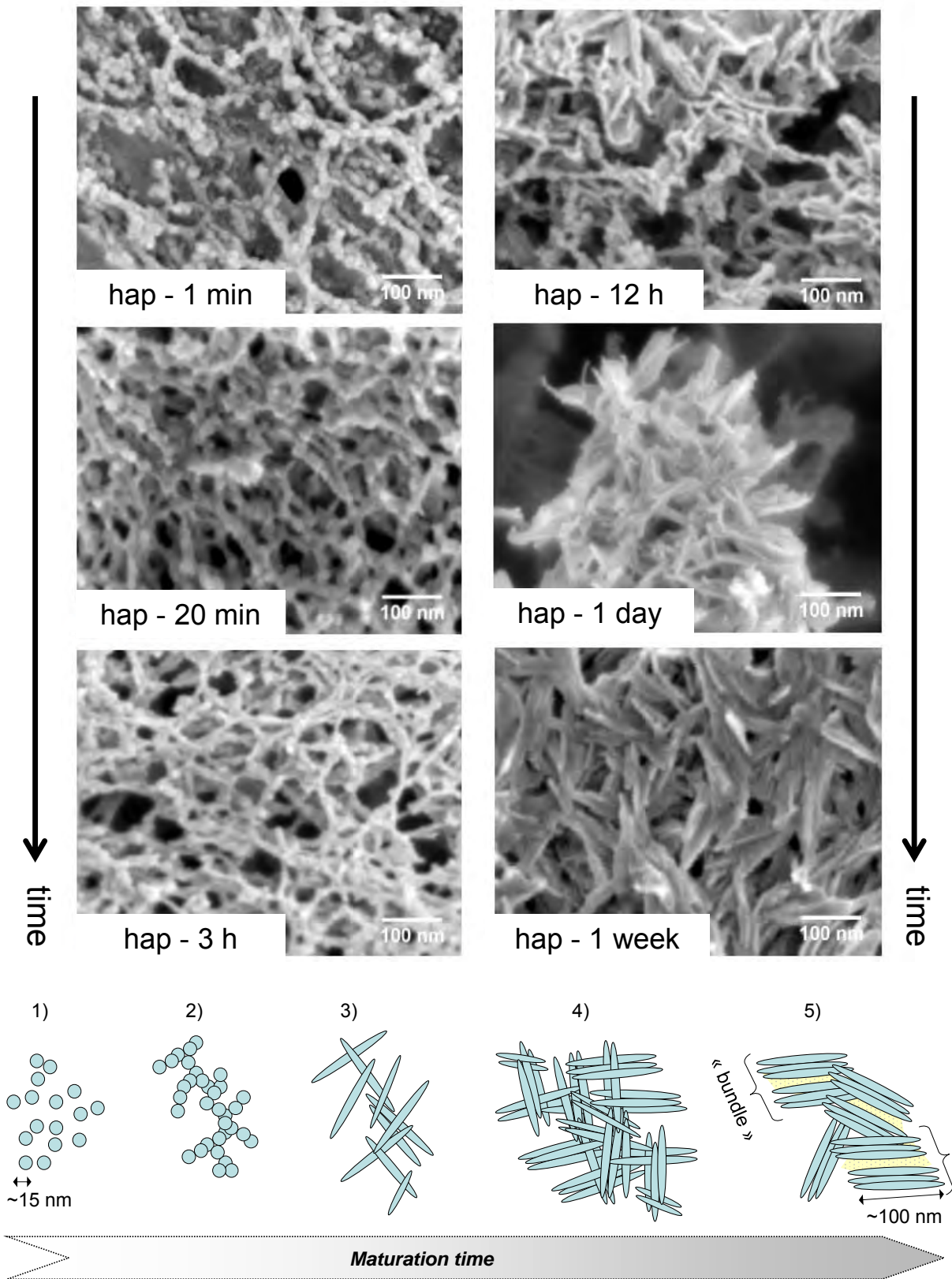
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1146 Figure 9: Evolution of $n_1(\text{H}_2\text{O})$ and $n_2(\text{H}_2\text{O})$ as evaluated from DSC data: a) for samples with increasing maturation times
 1147 and b) effect of re-exposure to atmosphere on sample hap-1d
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1153 Figure 10: Cryo-FEG-SEM observations of nanocrystalline apatites matured at 25 °C between 1 min and 1 week and directly
1154 analyzed (initial magnification: x 150 000), and schematic representation of the evolutionary change of morphology
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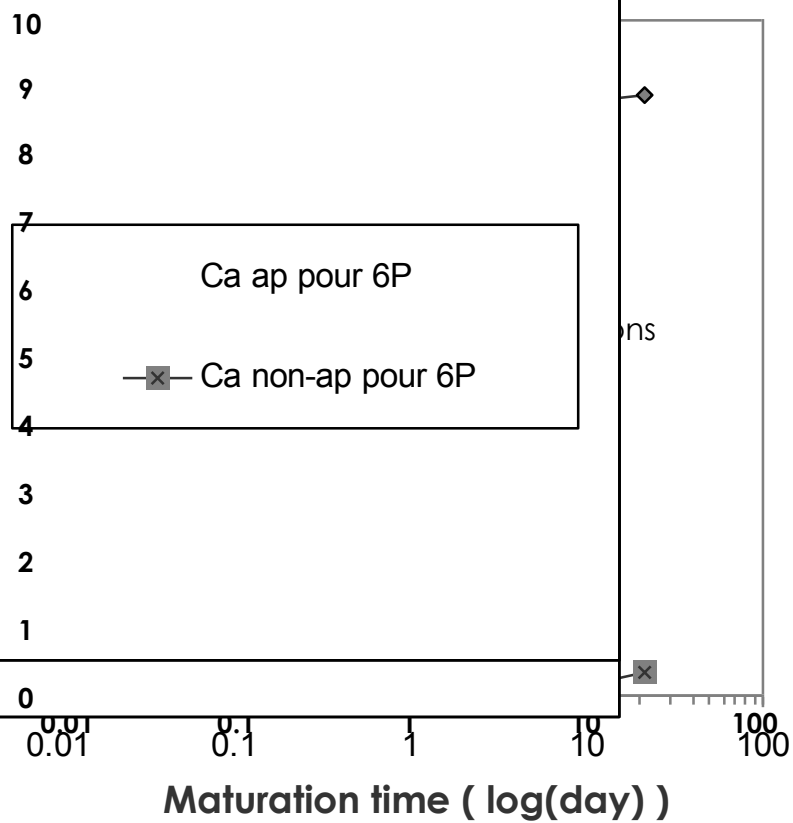
Répartition Ca ap et non-ap

apatites with increasing maturation

Répartition

Ca ap

Ca non



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