

1 **Revision 1**

2 **Characterization of nano-minerals and nano-particles in supergene rare earth element**  
3 **mineralization related to chemical weathering of granites**

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5 Zebang Yi<sup>a</sup>, Wei Fu<sup>\*a</sup>, Qing Zhao<sup>a</sup>, Haotian Lu<sup>a</sup>, Xuanni Fu<sup>a</sup>, Peiqiang Li<sup>a</sup>, Peng Luo<sup>a</sup>, Zhixuan Han<sup>a</sup>,  
6 Zhiqiang Tan<sup>c</sup>, Cheng Xu<sup>a,b</sup>

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8 <sup>a</sup> Guangxi Key Laboratory of Hidden Metallic Ore Deposits Exploration, Guilin University of Technology,  
9 Guilin541004, China

10 <sup>b</sup> School of Earth and Space Sciences, Peking University, Beijing 100871, China

11 <sup>c</sup>State Key Laboratory of Environmental Chemistry and Ecotoxicology, Research Center for Eco-  
12 Environmental Sciences, Chinese Academy of Sciences, Beijing 100085, China

13  
14 \* Corresponding author:

15 Name: Wei Fu

16 Address: College of Earth Sciences, Guilin University of Technology. Jian'gan Road No.12, Guilin 541004,  
17 China

18 E-mail: [fuwei@glut.edu.cn](mailto:fuwei@glut.edu.cn)

19 Tel. /fax: +86 0773 5897019

20  
21 **Abstract:** Ion adsorption-type rare earth element (REE) ore deposits in South China are a major  
22 source of heavy rare earth elements (HREE) around the world which are of considerable  
23 economic and strategic significance. In these ores, REE is enriched in the clay minerals,  
24 specifically kaolinite and halloysite which are derived from their parent granitoid by the  
25 weathering process. However, the mechanisms of supergene REE mineralization remain unclear.  
26 We investigated the nature and origin of supergene REE mineralization, based on a nano-scale  
27 study of a typical REE-mineralized granite regolith profile ( $\Sigma\text{REE}_{\text{max}} = 1201 \text{ ppm}$ ) in the Dazhou

28 super-large ion adsorption-type REE deposit, Guangxi Province, South China. Bulk  
29 mineralogical and geochemical analysis, coupled with novel nano-characterization techniques  
30 (i.e., hollow fiber flow field-flow fractionation inductively coupled plasma–mass spectrometry  
31 [HF5-ICP-MS], scanning electron microscopy [SEM], and transmission electron microscopy  
32 [TEM]), were used to determine the nature of the nano-minerals and nano-particles in the  
33 regolith samples. X-ray diffraction and SEM-EDS analyses revealed that ion-adsorption clay  
34 minerals are dominated by platy-shaped kaolinite and rod-like halloysite (10Å and 7Å) within  
35 the regolith. The average clay mineral contents decreased from 38% to 15% from the fully  
36 weathered horizon to the semi-weathered horizon. Whereas the proportion of halloysite increased  
37 in the clay mineral fraction in the deep horizons. The REE-bearing nano-particles consist  
38 predominantly of macromolecules of organic matter (2–5 nm) and clay minerals (5–40 and 40–  
39 80 nm) according to the HF5-ICP-MS analysis. There is a close association between REE and Al  
40 contents in particles with sizes of 5–40 nm in the semi-weathered horizons and 40–80 nm in the  
41 highly weathered horizons, which indicates that nano-scale clay minerals (halloysite and  
42 kaolinite, respectively) are important REE carriers. In addition, nano-scale secondary REE  
43 mineral phases, including oxide, silicate, and phosphate, were identified by the SEM and TEM  
44 observations. These phases are typically adsorbed onto the surfaces of clay minerals, specifically  
45 rod-like halloysite, but have different occurrences in the regolith profile. Cesium-oxide  
46 (cerianite) and Ce-silicate (cerite) occur mainly in the upper horizon of the regolith profile,  
47 whereas low-crystallinity REE phosphates (rhabdophane-(La)) occur mainly in the lower horizon  
48 of the profile. Our results indicate that nano-minerals and nano-particles affect REE enrichment  
49 and fractionation during granite weathering. Migration and accumulation of REE-bearing nano-  
50 minerals were caused by leaching and neof ormation of REE-bearing nano-minerals during

51 secondary precipitation. These processes contribute to the formation of supergene REE  
52 mineralization in granite regolith.

53

## 54 **1. Introduction**

55 Ion adsorption-type rare earth element (REE) deposits (also known as weathered crust,  
56 elution-deposited, or regolith-hosted REE deposits) are a critical metal resource, which are  
57 widely exploited in the renewable energy and high technology industries (Kynicky et al. 2012;  
58 Xu et al. 2017; Gulley et al. 2018; Schulz et al. 2018). Such deposits were discovered in the  
59 1960s in Jiangxi Province, China. Investigations of this type of REE deposit are of significance  
60 for the development and exploitation of REE resources (Kynicky et al. 2012), particularly with  
61 respect to the mobilization, fractionation, and enrichment of the REEs during weathering  
62 (Compton et al. 2003). Ion adsorption-type REE deposits in granite-derived regolith have been  
63 intensively studied, which has highlighted the role of REE activation, migration, and re-  
64 enrichment of REEs from the parent granite during *in situ* supergene weathering (Wu et al. 1990;  
65 Bao and Zhao 2008; Sanematsu et al. 2013; Estrade et al. 2019; Fu et al. 2019). In such deposits,  
66 most of the REEs are thought to be adsorbed on various materials, including secondary clay  
67 minerals and Fe–Mn oxyhydroxides, in the form of exchangeable cations and hydrated  
68 complexes (Sanematsu and Watanabe 2016; Borst et al. 2020). However, this adsorption may  
69 also involve primary and secondary REE-bearing nano-particles or charged colloidal particles. In  
70 addition, some studies have reported that the REEs occur as independent nano-scale REE  
71 particles that are adsorbed onto clay minerals (Taunton et al. 2000b; Berger et al. 2014; Liu et al.  
72 2016). This indicates that REE enrichment is closely related to the formation of secondary nano-  
73 minerals in granite regolith.

74 Nano-minerals are minerals with grains sizes of <100 nm (Christian et al. 2008; Hochella  
75 2008). An increasing number of natural nano-minerals have been discovered in various  
76 geological settings, especially in different components of ore deposits (Palenik et al. 2004;  
77 Yudovskaya et al. 2006; Deditius et al. 2011; Ciobanu et al. 2012). Weathering processes are  
78 important in the formation of natural nano-minerals (Schindler et al. 2019). Previous studies  
79 have shown that weathering-derived regolith contains various nano-minerals (Chen et al. 2010;  
80 Mukai et al. 2020). These nano-minerals occur on the surfaces of weathered mineral grains or are  
81 preserved after their migration (Schindler and Hochella 2015, 2016). The morphology, structure,  
82 and occurrence of nano-minerals are variable and are affected by weathering processes (Banfield  
83 and Eggleton 1989; Ciobanu et al. 2011; Hough et al. 2011). Therefore, investigations of nano-  
84 minerals may provide unique insights into the formation of ion adsorption-type REE deposits,  
85 and guide exploration, exploitation and geometallurgy of such resources.

86 Rare earth element enrichment in granite-derived regolith is largely related to the adsorption  
87 of REEs on clay minerals, particularly kaolinite and halloysite (Wu et al. 1990; Sanematsu and  
88 Watanabe 2016; Yang et al. 2019; Li and Zhou 2020; Mukai et al. 2020). The concentrations of  
89 REEs in fine clay minerals (< 2  $\mu$  m) are typically double those of the fully weathered horizons  
90 in regolith, the  $\Sigma$ REE in the fine clay minerals and the fully weathered horizons are 1682. 01  
91 ppm and 804. 69 ppm, respectively (Zhou et al. 2018). Although kaolinite and halloysite both  
92 belong to the kaolinite group, these minerals have distinct morphologies and structures.  
93 Generally, kaolinite shows a pseudo-hexagonal platy morphology, whereas, halloysite displays a  
94 tubular morphology which endows it with a larger surface area compared to the platy-shaped  
95 kaolinite (Chakhmouradian and Wall 2012; Yusoff et al. 2013). Moreover, the clay mineral type,  
96 grain size, and abundance vary with depth and degree of weathering in regolith, which causes

97 different REE adsorption, fractionation characteristics, and subsequently causes the REE  
98 concentrations variation within granite-derived regolith. The nature of REE adsorption by clay  
99 minerals and their relationship between REE enrichment and clay mineralogy in granite-derived  
100 regolith require further study.

101 As such, we investigated the Dazhou REE-rich granite regolith in southeast Guangxi  
102 Province, South China, which was chosen because a super-large REE deposit has been proven by  
103 exploration works in the regolith of study area (Fig. 1 A, B). It provided an ideal case for  
104 reflecting the representative features of ion adsorption-type REEs mineralization related to  
105 chemical weathering of granites. Using a combination of nano-mineral and geochemical  
106 analytical approaches, the specific objectives of our study were to determine the distribution and  
107 occurrence of nano-scale REE phases in the granite regolith profile, and further to explore their  
108 geochemical associations with REE enrichment and fractionation. It helps to elucidate the role of  
109 nano-minerals and nano-particles in affecting supergene REEs mineralization within subtropical  
110 granite weathering terrains.

111

## 112 **2. Geological background and regolith geology**

113 The study area is located in the Darongshan–Shiwandashan granitic suite, which is in  
114 southwestern South China (Fig. 1). The suite is located in the NE–SW-trending Hercynian–  
115 Indosinian fold belt in Qin Zhou. This suite extends from near Wuzhou in the north to Dongxing  
116 in the southwest, and is controlled by the Cenxi–Bobai and Lingshan–Tengxian faults and their  
117 secondary faults. Hercynian (mainly Permian) to Indosinian (mainly Triassic) intrusive and  
118 volcanic rocks are widely exposed in the granitic belt over an area of >10,000 km<sup>2</sup> (Jiao et al.  
119 2015). From northeast to southwest, the belt can be divided into five main units: Darongshan

120 batholith, Pubei batholith, Jiuzhou pluton, Taima pluton and Nadong pluton (Chen et al. 2011).  
121 The exposed strata are of Cambrian–Cretaceous, Paleogene, and Neogene age (Chen et al. 2011;  
122 Fu et al. 2019).

123 The Dazhou granite is located at the northwestern margin of the Darongshan granite in the  
124 Darongshan–Shiwandashan granite belt in Pingnan county, Guangxi Province, and is one of the  
125 few Hercynian K-feldspar granites in South China (Wang 1991). The Dazhou granite is ~64 km  
126 in length and 2-8 km in width, and exposed over an area of 238 km<sup>2</sup> (Li 2014). The granite body  
127 is elongated in a NE–SW direction, parallel to the Lingshan–Tengxian fault zone. The Dazhou  
128 granite was intruded into Cambrian, Ordovician, and Devonian metamorphic and sedimentary  
129 rocks, which were partially buried by Lower Jurassic and Cretaceous strata. The Dazhou granite  
130 is a single-stage batholith consisting mainly of medium- to coarse-grained biotite hornblende  
131 moyite and medium-grained biotite hornblende adamellite granite. The Dazhou granite is  
132 gradationally zoned from medium- to coarse-grained biotite hornblende moyite (with some  
133 feldspar phenocrysts) in its core to fine- to medium-grained biotite hornblende moyite at its  
134 margin. Fine-grained biotite hornblende moyite occurs locally at the margins of the batholith.  
135 The main minerals in the granite are K-feldspar, plagioclase, quartz, and biotite, along with a  
136 minor amphibole and accessory apatite, monazite, zircon, magnetite, ilmenite, and allanite (Li  
137 2020). The Dazhou granite is an S-type granite with an age of *ca.* 283 Ma (Min et al. 1995).

138 The study area has a subtropical monsoonal climate, and is characterized by hot and humid  
139 weather (annual average temperature ~21.7°C), abundant rainfall (annual rainfall ~1650 mm),  
140 and well-developed vegetation. Under these conditions, chemical weathering of the granite is  
141 intense, and the batholith is strongly weathered and characterized by moderately undulating low  
142 mountains and hills with elevation varying from ~40 to 350 m above sea level. Regolith is

143 widely developed and well-preserved, with a thickness of 5–30 m, although locally it can be >50  
144 m thick. The samples were collected from a profile located at 23°18'45.40"N and  
145 110°33'11.09"E (Fig. 1). The profile is clearly zoned and can be divided from top to bottom into  
146 a topsoil layer, and fully weathered (FWH), highly weathered (HWH), and semi-weathered  
147 (SWH) horizons, based on color, structure, and mineralogy (Fig. 2). The topsoil layer is also  
148 known as the residual slope layer, and is mostly brown in color and contains quartz and clay  
149 minerals. The FWH is mostly red to reddish brown in color and comprises soft soil in which clay  
150 minerals are the predominant mineral phases. The HWH is yellow–brown in color, and has a  
151 loose structure and high content of clay minerals and low content of quartz. The FWH and HWH  
152 are the main REE-rich layers. The SWH is yellow–brown to yellow–white in color, contains  
153 residual fragments of the parent rock, and has a sandy texture. The SWH consists of clay  
154 minerals and a small amount of silicate rock-forming minerals (Fig. 2).

155

### 156 **3. Sampling and analytical methods**

157 Eleven samples (LC-01 to -11) were collected from the various layers in a typical regolith  
158 profile of the Dazhou granite (Fig. 2). The regolith samples of ~500 g were collected at sampling  
159 intervals of 0.5 m using a hand shovel and were stored in polyethylene bags. Three samples from  
160 the FWH, four samples from the HWH, four samples from the SWH and a bedrock sample were  
161 collected within regolith profile. After sampling, the collected samples were sieved through a 2  
162 mm sieve to remove gravel and large organic material. The sieved material was air-dried at room  
163 temperature. In this study, samples from three horizons (FWH, HWH, and SWH) were selected  
164 for analysis.

165

### 166 **3.1 Sample digestion and ICP–MS analysis**

167 For the analysis of REE, approximately 50 mg of sample powder was weighed and placed  
168 in a Teflon bomb. A mixture of 1 mL of distilled HNO<sub>3</sub> and 1 mL of HF was slowly added to the  
169 Teflon bomb. The bomb was then sealed and placed in a stainless steel pressure jacket and heated  
170 to 190°C in an oven for >24 h. After cooling, the Teflon bomb was opened, placed on a hotplate  
171 at 140°C and evaporated to incipient dryness, and then 1 mL of HNO<sub>3</sub> was added and evaporated  
172 to dryness again. Once dry, 1 mL of HNO<sub>3</sub>, 1 mL of MQ water, and 1 mL of 1 ppm In were  
173 added to the bomb, which was then resealed and placed in an oven at 190°C for >12 h. The final  
174 solution was transferred to a polyethylene bottle and diluted to 100 g with 2% HNO<sub>3</sub>. The  
175 reagent blanks were subjected to the same procedures as the samples.

176 Elemental concentrations of the digested samples were determined with an Agilent 7900  
177 inductively coupled plasma–mass spectrometer (ICP–MS). The analytes chosen were Sc, Y, La,  
178 Ce, Pr, Nd, Sm, Eu, Gd, Tb, Dy, Ho, Er, Tm, Yb, Lu. Instrumental precision was constrained by  
179 analysis of laboratory standard solutions at the beginning and the end of the ICP-MS analysis.  
180 Uncertainties of the REE analyses are less than ±6% (1σ). The ICP–MS analyses were conducted  
181 at the Guangxi Key Laboratory of Hidden Metallic Ore Deposits Exploration, Guilin University  
182 of Technology, Guilin, Guangxi Province, China.

183

### 184 **3.2 X-ray diffraction analysis**

#### 185 **3.2.1 Bulk mineralogy**

186 The X-ray diffraction (XRD) data were obtained with a Philips X'Pert MPD diffractometer,  
187 which uses a Cu target and is operated at 40 kV and 100 mA. The XRD analyses were  
188 undertaken at the Guangxi Key Laboratory of Optical and Electronic Materials and Devices,



189 Guilin University of Technology. The scanning rate was  $2^{\circ} \text{ min}^{-1}$  over a  $2\theta$  range of  $5^{\circ}$ – $90^{\circ}$ . For  
190 routine XRD phase identification, a particle size of less than  $20 \mu\text{m}$  is required, which is  
191 achieved by standard grinding and mounting in an agate mortar and pestle. Qualitative analysis  
192 was first conducted to determine the mineralogy of the samples with Highscore software (Degen  
193 et al. 2014). The Rietveld method was then used to obtain quantitative mineral data, which was  
194 conducted with TOPAS software (Academic version 5.1) in combination with coding program  
195 jEdit (Coelho 2018; jEdit 2022). The basis of the quantitative analysis is the full spectrum fitting  
196 refinement (Hill and Howard 1987). This comprises a numerical simulation of the XRD pattern  
197 using the least squares method based on both the instrumental conditions used for data collection  
198 and crystallographic information of the phases identified in the samples (Rietveld 2014).  
199 Background determination followed an algorithm by Sonneveld and Visser (1975). During  
200 Rietveld refinements, the scale factor, which is related to the weight fraction of the phases, was  
201 allowed to vary along with all other parameters. Following Andrade et al. (2018), the first  
202 refinement step was to correct for sample displacement with respect to the focal circle of the  
203 instrument. The next step was to adjust the cell parameters for all the minerals phases, based on  
204 their crystallographic information files, which is an independent fit to the peak positions, shapes  
205 and intensities. The results are then corrected to maximize the agreement between the calculated  
206 and experimental peak broadening which was made for phases  $> 5 \text{ wt.}\%$  to obtain more accurate  
207 quantitative mineral data.

208

### 209 **3.2.2 Clay mineralogy**

210 After removing organic materials and carbonate using 10% hydrogen peroxide ( $\text{H}_2\text{O}_2$ ) and  
211 0.1 N hydrochloric acid (HCl), respectively, the regolith samples were separated for clay mineral

212 (<2  $\mu\text{m}$ ) differentiation according to Stokes' settling velocity principle by sedimentation. We  
213 used the sedimentation method by placing the sample in a beaker with an inner diameter of 7 cm  
214 and a height of 10 cm at an experimental temperature of 19°C. The sedimentation time was  
215 calculated as 4 hours and 10 minutes according to the Stokes formula, and the upper 5 cm of  
216 liquid was extracted, followed by centrifugation at 5000 rpm for 10 minutes, and the smear was  
217 made into a natural slice; the natural slice was heated in an oven at 60 °C for 24 hours to make  
218 ethylene glycol saturated slides for the subsequent test. Subsequently, the oriented glass with  
219 clay minerals was measured by standard X-ray diffraction (XRD) using a D8 ADVANCE  
220 diffractometer with CuK $\alpha$  radiation at the Key Laboratory of Marine Geology and Environment,  
221 Institute of Oceanology, CAS. The clay mineralogy determination was based primarily on the  
222 position of the (001) series of basal reflections on the XRD diagram of the ethylene-glycol  
223 salvation.

224

### 225 **3.3 Hollow fiber flow field-flow fractionation inductively coupled plasma–mass** 226 **spectrometry analysis**

#### 227 **3.3.1 Nano-particle extraction**

228 Nano-particles from the HWH and SWH (LC-04 and LC-09) samples were extracted using  
229 the aqueous method of Regelink et al. (2013) and Yi et al. (2020) for hollow fiber flow field-flow  
230 fractionation ICP–MS (HF5–ICP–MS) analysis. The nano-particle extraction consisted of four  
231 steps, as follows. (1) A 2 g regolith sample was mixed with 40 mL of sodium pyrophosphate  
232 ( $\text{Na}_4\text{P}_2\text{O}_7$ ; TSPP) solution in a 50 mL centrifuge tube and placed on a shaker at 200 rpm for 24 h,  
233 to promote ion exchange and remove the nano-particles from the sample matrix.  
234 (2) The suspensions were then ultrasonicated for 0.5 h to disaggregate microaggregates and

235 release the nano-particles.

236 (3) The suspension was then centrifuged to obtain the desired nano-particles with sizes of <100  
237 nm according to the following equation:

$$t = \frac{\eta \lg(R_1/R_2)}{3.81N^2r^2\Delta d^2}$$

238 This equation calculates the time (t) required for centrifugation.  $R_1$  is the distance from the liquid  
239 level in the centrifugal tube to the center of the rotor axis (in cm),  $R_2$  is the distance from the  
240 suspended particles to the center of the rotor axis (in cm), N is the centrifugation speed (in  
241 revolutions  $s^{-1}$ ), r is the particle radius in the suspension,  $\Delta d$  is the density difference between the  
242 nano-particles and aqueous solution (assumed to be  $1.65 \text{ g cm}^{-3}$ ), and  $\eta$  is the viscosity of the  
243 medium ( $0.01005 \text{ g cm}^{-1} \text{ s}^{-1}$  at  $20^\circ\text{C}$ ).

244 (4) The extracted nano-particles were removed from the centrifuge tubes and transferred to  
245 acid-washed centrifuge tubes and stored in the dark at  $4^\circ\text{C}$  until further analysis. The nano-  
246 particle extraction procedure was performed at the Guangxi Key Laboratory of Hidden Metallic  
247 Ore Deposits Exploration, Guilin University of Technology, Guilin, Guangxi Province, China.

248

### 249 **3.3.2 HF5–ICP–MS setup**

250 The sample separation and fractionation methods of the hollow fiber flow field-flow  
251 fractionation (HF5) system have been described elsewhere (Tan et al. 2015). In brief, nano-  
252 particle separation and fractionation were conducted in a cylindrical hollow fiber (HF)  
253 membrane instead of the thin, ribbon-like, open channel in the HF5 system, and the flow field  
254 was applied perpendicular to the HF axial direction. The samples were separated and fractionated  
255 based on their different hydrodynamic diameters, which results in a different elution time within  
256 the channel. The sample fractions eluted during the fractionation process were then analyzed by

257 an ICP–MS coupled to the HF5 system. The entire analytical run cycle consists of three on-line  
258 steps: (1) focusing/relaxation of the nano-particle suspension and isolation of the nano-particles;  
259 (2) separation, fractionation, and characterization of the nano-particles in the channel of the HF5  
260 system; and (3) identification and quantification of the nano-particles by coupling to the ICP–  
261 MS.

262 The HF5 carrier solution consisted of 0.02% (w/v)  $\text{NaN}_3$  (Biograde; Fisherbrand) and 0.1%  
263 (v/v) FL-70 (Fisherbrand) in deionized water ( $>18 \text{ M}\Omega \text{ cm}^{-1}$ ). The detector flow was set to 1.0  
264  $\text{mL min}^{-1}$ , and a cross flow of  $1.2 \text{ mL min}^{-1}$  was applied for 24 min. The sample injection  
265 volume was  $100 \mu\text{L}$ , with a 6 min focus time. Standard polystyrene nanospheres (PS NPs) with  
266 diameters of 20, 40, and 80 nm (NIST; Gaithersburg, USA) were used to calibrate the particle  
267 size with respect to elution time. An ultraviolet–visible spectrometer (Agilent 1200 VWD) was  
268 used to record the elution times of the PS NPs for the calibration. The ultraviolet–visible  
269 spectrometer and ICP–MS parameters are presented in Table 1. The ICP–MS analyses were  
270 carried out at the State Key Laboratory of Environmental Chemistry and Ecotoxicology,  
271 Research Center for Eco-Environmental Sciences, Chinese Academy of Sciences, Beijing, China.

272

### 273 **3.4 Scanning electron microscopy**

274 The micro- to nano-scale morphology of clay and REE minerals in the regolith samples  
275 were observed with a  $\Sigma$  IGMA 300 field emission scanning electron microscope (FE-SEM). The  
276 SEM imaging and analyses were conducted in the Guangxi Key Laboratory of Hidden Metallic  
277 Ore Deposits Exploration, Guilin University of Technology. The particles were examined by  
278 back-scattered electron (BSE) and secondary electron (SEI and In-lens) microscopy, and  
279 quantitative chemical analyses were obtained by energy dispersive X-ray spectrometry (EDS).

280 The optimal resolution of the SEM is 1.3 nm. The SEM was operated at an accelerating voltage  
281 of 0.1–30 kV, with a beam current of 4 pA to 20 nA. The analytical errors of the elemental  
282 concentrations are approximately  $\pm 3\%$ .

283

### 284 **3.5 Transmission electron microscopy**

285 Nano-particles in the regolith samples were examined with a JEM-2100F transmission  
286 electron microscope (TEM) (point resolution = 0.25 nm; line resolution = 0.104 nm, LaB<sub>6</sub>  
287 source; maximum accelerating voltage of 200 kV) equipped with an energy dispersive X-ray  
288 spectrometer. The TEM analyses were undertaken at the Guangxi Key Laboratory of Optical and  
289 Electronic Materials and Devices, Guilin University of Technology. TEM samples were prepared  
290 using the drop deposition method as described in previous studies (Baalousha et al. 2014; Prasad  
291 et al. 2015). TEM grids were functionalized using positively charged Poly-L-Lysine (1% w/v in a  
292 water solution; Ted Pella, USA) to enhance the attachment of negatively charged nano-particles  
293 to the grid surface. Crystallographic information was obtained from selected area electron  
294 diffraction (SAED) analysis. Analysis of the diffraction patterns was undertaken with Digital  
295 Micrograph™ 3.11.1 software.

296

## 297 **4. Results**

### 298 **4.1 Whole-rock rare earth element geochemistry of the granite regolith**

299 The variations in the pH, total REE ( $\Sigma$ REE), light REE (LREE), heavy REE (HREE), and  
300  $\delta$ Ce values in the regolith profile of the Dazhou granite are shown in [Figure 3](#). The  $\Sigma$ REE and  
301 LREE values exhibit different trends, whereas, the HREE shows different trend compared to the  
302  $\Sigma$ REE and LREE values. The  $\Sigma$ REE and LREE values increased slightly within the FWH (LC-1-

303 LC-3), increased sharply from the FWH to the HWH (LC-3- LC-7) and the upper SWH (LC-8-  
304 LC-9), and diminished sharply in the bottom SWH (LC-10- LC-11), which show an "arch back"  
305 distribution pattern along the vertical profile according to their concentration variation in  
306 different horizons. The HREE values increased slightly within the FWH and HWH (LC-1- LC-  
307 7), increased sharply within the SWH (LC-8- LC-9), and diminished sharply from the upper  
308 SWH to the bottom SWH. In general,  $\Sigma$ REE contents vary from 176 to 1201 ppm, with an  
309 average value of 638 ppm within the profile. From the chondrite-normalized REE profile (Fig.  
310 4a), the LREE-rich patterns (LREE/HREE > 3) with a negative Eu anomalies of the soil horizons  
311 fundamentally inherit from the parent rock. With increasing depth, Ce anomalies change from  
312 positive in the FWH (LC-1- LC-3) to negative in the HWH (LC-4- LC-6) and upper SWH (LC-  
313 7- LC-9). In the middle and lower parts of the profile (LC-4- LC-9),  $\Sigma$ REE contents vary from  
314 848 to 1201 ppm (Fig. 3), and the REEs are strongly enriched (Fig. 4b).

315 Measured pH values of the Dazhou regolith samples vary from 5.02 to 6.03 (Fig. 3). The pH  
316 values increase from the FWH to SWH, and the lowest and highest pH values were measured at  
317 the top and bottom of the profile, respectively. The mean pH values of FWH, HWH and SWH  
318 are 5.07, 5.35, and 5.79. These pH values indicate changes in the chemical conditions in different  
319 horizons of the profile: in South China, the atmospheric precipitation is weakly acidic (Sun et al.  
320 2010; Huo et al. 2011), and the topsoil contains more humid acid (Fu et al. 2019); when leaching  
321 downward, weathering process continues consume the  $H^+$  from the precipitate fluids causing the  
322 pH rises within the regolith (Li et al. 2017).

323

## 324 **4.2 Modal proportion of bulk minerals from XRD patterns**

325 Bulk mineral compositions compositions were identified in the regolith horizons based on

326 the XRD patterns, and their relative proportions were determined (Fig. 5). Figure 5 shows the  
327 representative XRD results for the FWH (samples LC-1 and -2), HWH (samples LC-4 and -5),  
328 and SWH (samples LC-9 and -10) in the granite regolith profile. With progressive weathering,  
329 the proportion of quartz gradually increases to 40% on average in the SWH, 42% on average in  
330 the HWH, and 55% on average in the FWH. The proportion of orthoclase gradually decreases  
331 from an average of 42% in the SWH to an average of 35% in the lower HWH (LC-6 and LC-7),  
332 and diminished in the upper HWH and FWH. The abundance of kaolinite group minerals is 15%  
333 on average in the SWH, 32% on average in the HWH, and 38% on average in the FWH. Goethite  
334 and hematite occurs as a trace mineral with average amount < 2% throughout the soil profile.  
335 Generally, goethite occurs in the SWH and lower HWH contains, whereas with progressive  
336 weathering, hematite appears in the upper HWH and FWH.

337

### 338 4.3 Clay mineralogy

339 The clay fraction (< 2 $\mu$ m) in the Dazhou granite regolith are mainly kaolinite and halloysite  
340 (a mixture of 7 Å and 10 Å specie) according to their highest peak intensities at ~7.2 Å and 10.0  
341 Å (Fig. 6). Other minerals includes montmorillonite, muscovite/illite appear in a relatively low  
342 abundance, with relatively low peak intensities. It can be seen in Figure 6, peak height of ~8.7°  
343 (10 Å) continues to increase from FWH to SWH, whereas the peak height of ~12.3° (7.2 Å)  
344 continues to decrease from FWH to SWH. This indicates that the abundance of halloysite  
345 gradually decreases with progressive weathering in the regolith profile, whereas the abundance  
346 of kaolinite increases from the SWH to the FWH. The < 2 $\mu$ m fraction of the SWH (LC-9) and  
347 HWH (LC-6) samples (showing the highest and lowest  $\Sigma$ REE contents within the SWH and  
348 HWH, respectively) were further selected to measure the SSA using the BET method ( $S_{\text{BET}}$ ). The

349  $S_{\text{BET}}$  of these two samples were  $14.5 \text{ m}^2/\text{g}$  and  $4.6 \text{ m}^2/\text{g}$ , respectively. This suggests that with the  
350 increasement of the proportion of halloysite, it significantly increase the overall SSA of the clay  
351 fraction in the regolith profile.

352 SEM images show that the kaolinite in the regolith samples has a sheet- and plate-like habit  
353 (i.e., an irregular hexagonal shape) (Fig. 7 A, B), whereas the halloysite typically exhibits a  
354 euhedral and tubular or acicular habit (Fig. 7 C- F). The edges of some platy kaolinite crystals  
355 are curved, and nano-tubular halloysite is developed on platy kaolinite (Fig. 7 C- F). There are  
356 differences in the species of clay minerals in the HWH and SWH. The clay minerals in the HWH  
357 are mainly platy kaolinite, whereas those in the SWH are mainly platy kaolinite and rod-shaped  
358 or hollow-tubular halloysite (Fig. 7). The SEM observation is consistent with the XRD results.

#### 359 **4.4 Nano-scale clay and rare earth element geochemistry**

360 Samples of the HWH (LC-4) and SWH (LC-9) were selected for HF5-ICP-MS analysis.  
361 Based on the HF5-ICP-MS data, REEs (Ce, La, Nd, and Y) are predominantly present as nano-  
362 particles with their peak ranges of 2–5, 5–20, and 20–80 nm (Fig. 8). The first REE peak with a  
363 hydrodynamic diameter of 2–5 nm is characterized by strong  $UV_{254}$  absorbance. This can be  
364 attributed to the elution of organic-rich or -bound REE nano-colloids (Siripinyanond et al., 2002;  
365 Regelink et al., 2013; Stolpe et al., 2013). REE fractionation is evident between these two  
366 different samples (HWH and SWH) which presents as REE peaks associated with different Al  
367 peaks for the 5–80 nm size range. In the SWH sample, the Al peak occurs mainly in the particle  
368 size ranges of 5–40 and 40–80 nm, which may represent two different clay minerals with  
369 different morphologies and sizes (i.e., the rod-like halloysite smaller in size and platy kaolinite  
370 larger in size). The main REE peak is mainly associated with the particle size range of 5–40 nm,  
371 indicating that the REEs are mainly associated with halloysite. In the HWH sample, Al is only



372 associated with the particle size range of 40–80 nm, which may suggest that the clay minerals in  
373 this layer are mainly platy kaolinite with a larger hydrodynamic diameter. The REE peak in the  
374 HWH is mainly associated with the particle size range of 20–80 nm, and largely coincides with  
375 the Al peak. This may indicate that some REEs have been desorbed from the kaolinite. The HF5–  
376 ICP–MS results for the SWH and HWH reveal a significant loss of fine clay particles (5–40 nm)  
377 in the upper horizons (HWH) and relative enrichment of fine particles in the lower horizons  
378 (SWH) that are REE-bearing (Fig. 8).

379

#### 380 **4.5 Rare earth element mineralogy**

381 FE–SEM and TEM–EDS were used to examine the occurrence of the REE particles.  
382 Compared with the clay minerals, the REE-bearing nano-particles are brighter in BSE images.  
383 However, the SEM images provide better constraints on the morphology of the nano-minerals.  
384 Therefore, these two methods were both used in this study.

##### 385 **4.5.1 Scanning electron microscopy observations**

386 In the SEM and BSE images, several bright spots of nano-scale (<100 nm) to nearly  
387 micron-size (1  $\mu\text{m}$ ) were identified at the surfaces or edges of the clay minerals in the regolith  
388 samples. The EDS analysis revealed that these bright particles consist mainly of LREEs, in  
389 particular, La, Ce, Nd, and less HREE, in particular, Y. Based on the elemental composition of  
390 the REE particles, they can be divided into two sub-groups: individual Ce oxide particles, and  
391 La, Nd, and Y phosphate particles. SEM imaging showed that the REE particle-bearing clay  
392 minerals are different in the various regolith horizons. In the HWH, the REE particles are mainly  
393 adsorbed onto platy kaolinite, whereas in the SWH, the REE particles are mainly adsorbed onto  
394 needle- or rod-like halloysite (Fig. 9). These nano-scale observations are consistent with the

395 HF5–ICP–MS results that Ce and La, Nd, Y are separately associated with Al in different size  
396 range (Fig. 9). Although SEM imaging can provide information about the particle size and REE  
397 composition, it cannot further characterize the nano-structure of the REE particles. Thus, further  
398 investigations were conducted by TEM.

399

#### 400 **4.5.2 Transmitted electron microscopy observations**

401 TEM was used to analyze the suspensions extracted from the regolith samples. Figure 10  
402 shows the TEM data and EDS composition of a nano-mineral. Figure 10a shows a TEM image of  
403 a Ce-rich nano-particle with a size of ~100 nm, along with EDS data for the mineral after  
404 removing the composition of the TEM grid. The nano-particle contains mainly Ce, Si and O, and  
405 a small amount of Fe, Na, Mg and Ca, indicating it is a REE silicate. Figure 10b also shows the  
406 selective area electron diffraction (SAED) pattern of the nano-particle. The SAED pattern  
407 exhibits polycrystalline rings, with corresponding d-values of 1.63, 1.91, 2.69, and 3.12 Å,  
408 respectively. These measured d-values are consistent with the (311), (220), (200), and (111)  
409 planes of cerite and, as such, this REE-bearing nano-particle can be identified as a nano-cerite  
410  $(\text{Ce, Ca})_9(\text{Mg, Fe}^{3+})(\text{SiO}_4)_3(\text{SiO}_3\text{OH})_4(\text{OH})_3$ .

411 Figure 10c shows another TEM image of a Ce-rich nano-particle with a size of ~100 nm.  
412 The nano-particle contains mainly Ce and O, indicating it is a REE oxide. According to the FFT  
413 pattern created from the HRTEM image (Figure 10e), this particle was identified as a nano-  
414 cerianite ( $\text{CeO}_2$ ).

415 REE-bearing particles containing La, Nd and Y with P and O were also frequently observed  
416 among the SWH samples. These nano-particles show a poor crystallinity, SEAD pattern is  
417 unable to acquire during the TEM observation. Several sets of lattices were occasionally

418 observed in the HRTEM mode. Thus, only the FFT pattern created from the HRTEM image can  
419 be used for the phase identification. The poor crystallinity of these REE phosphates may  
420 indicate a rapid crystallization of these REE phosphates from a fluid. [Figure 11a](#) shows a La, Nd  
421 and Y-bearing phosphate closely attached onto a rod-like halloysite. [Figure 11b](#) shows another  
422 La, Nd and Y-bearing phosphate with its size of ~200 nm. According to the FFT pattern created  
423 from the HRTEM image ([Figure 11d](#)), it corresponds to the (110) and (201) plane of the  
424 rhabdophane. It thus identified as a nano-sized rhabdophane-(La).

425

## 426 **5. Discussion**

### 427 **5.1 Clay mineral features and their effects on rare earth element enrichment**

428 Based on the SEM and TEM observations, the REE-bearing particles are primarily adsorbed  
429 onto the surfaces of clay minerals (i.e. kaolinite and halloysite), mostly halloysite ([Fig. 9 and 11](#)).  
430 The HF5–ICP–MS results also support this observation. In the 40–80 nm particle size range, the  
431 REE and Al peaks in the HWH sample are only partially coincident after treatment with the  
432 TSPP solution ( $\text{Na}_4\text{P}_2\text{O}_7$ ). This indicates that promotion of ion exchange in the aqueous solution  
433 led to some REEs being desorbed from the kaolinite surfaces and, as such, the REEs are only  
434 weakly adsorbed onto kaolinite. In addition, the absence of a REE peak associated with the  
435 particle size range of 40–80 nm in the SWH indicates the REEs have been desorbed from  
436 kaolinite. The peaks of the REEs and Al coincide for the particle size range of 5–40 nm in the  
437 SWH, indicating that the REEs are still mostly adsorbed onto halloysite after TSPP treatment.  
438 Zhou et al. (2022) conducted a similar REE ( $\text{Eu}^{3+}$ ) desorption research from halloysite and  
439 kaolinite. The study found that, after exposure into 0.002 mol/L  $(\text{NH}_4)_2\text{SO}_4$  for 24H which is  
440 similar to the concentration of the TSPP applied in this study, the desorption amounts of  $\text{Eu}^{3+}$

441 from halloysite and kaolinite were 0.10 mol/L and 0.19 mol/L, respectively. These results show  
442 that halloysite has a higher REE retention capacity as compared with kaolinite, which may  
443 explain the relative loss of REEs in the upper part of the HWH and relative enrichment of REEs  
444 in the lower part of the HWH and in the SWH.

445 Kaolinite and halloysite are both 1:1 dioctahedral clay minerals belonging to the kaolinite  
446 group (Lázaro 2015). Their adsorption capacity is mainly determined by inner- and outer-sphere  
447 complexation of the REEs with the chemically active aluminum hydroxyl (Al–OH) groups,  
448 known as aluminol surface (also known as the gibbsite basal plane), which mainly located on the  
449 at the edges of platy kaolinite and the internal surface of halloysite (Yang et al. 2019; Borst et al.  
450 2020). Fan et al. (2015) observed that Ce nano-particles were wrapped in a hollow halloysite  
451 tube in the samples from Zudong ion-adsorption HREE deposit, Jiangxi Province, China using  
452 TEM imaging, which provided direct evidence of the surface complexation between the inner  
453 surface of halloysite and REEs. In addition to inner- and outer-sphere complexation, a recent  
454 study also revealed that REE<sup>3+</sup> ions penetrated the interlayer of tubular halloysite after  
455 immersion in a low-concentration REE solution for three years, whereas this was not the case for  
456 kaolinite (Zhou et al. 2021). This was explained by the stronger REE retention capacity of  
457 halloysite as compared with kaolinite.

458 Although the siloxane groups exposed at the outer surfaces of kaolinite and halloysite,  
459 which show negative zeta potential over a pH range of 2.5 to 8.5, have a weaker affinity for  
460 REEs compared to their aluminol surface (Yuan et al. 2008; Tan et al. 2015; Veerabadran et al.  
461 2011). Adsorption of REE by the siloxane groups are only involved at the alkaline pH when the  
462 aluminol groups are saturated, thus the interaction between REE and siloxane groups was  
463 subordinate (Tertre et al. 2006). However, the adsorption of the REEs can be promoted by the

464 development of surface breakages and defects, which exposes the Al–OH groups and allows  
465 isomorphous substitution of the clay structural unit layer (e.g., Al<sup>3+</sup> for Si<sup>4+</sup>) on the siloxane  
466 surfaces (Joussein et al. 2005; Yuan et al. 2008). Due to its tubular morphology and smaller size,  
467 halloysite has a higher specific surface area (SSA) as compared with platy kaolinite, and thus it  
468 has more surface defects than kaolinite (Zhou et al. 2022). The isomorphous substitution in  
469 halloysite is also reported significant than that in kaolinite (Borst et al. 2020). The Si/Al ratio of  
470 the well-ordered kaolinite, poorly ordered kaolinite and halloysite are 1.01, 0.97 and 0.68,  
471 suggesting a higher isomorphous substitutions amount of Si by Al in the halloysite (Tari et al.  
472 1999).

473 Previous studies have shown that the exposed hydroxyl groups on the alumina and siloxane  
474 surfaces on halloysite and kaolinite have variable charges that are pH-dependent (Strawn and  
475 Sparks 1999; Laveuf and Cornu 2009). The REE adsorption capacity varies with pH, due to the  
476 (de)protonation of hydroxyl groups on the clay surfaces (Bradbury and Baeyens 2002; Tertre et  
477 al. 2006). The point zero charge (PZC) of kaolinite is 2.0–4.5, whereas the PZC of halloysite is  
478 generally <2 (Kosmulski, 2018, and references therein). Therefore, as the pH increases with  
479 depth in the regolith profile, more negatively charged surface sites exist on the halloysite and  
480 kaolinite, which thus increase the REE adsorption capacity. As mentioned above, halloysite has a  
481 larger SSA than kaolinite and, as such, has more surface functional sites. Experimental studies  
482 have revealed that the REE adsorption capacity of clay minerals increases linearly between pH  
483 values of 3.0 and 7.5 (Yuan 2018). When pH = 2 and is close to or lower than the PZC of  
484 halloysite and kaolinite, the two clay minerals are in a REE-saturated adsorption state, and the  
485 REE adsorption capacity is low. When pH = 4, the REE adsorption capacity of the two clay  
486 minerals increases more than tenfold, and halloysite has about twice the REE adsorption capacity

487 of kaolinite (Gao et al. 2018; Zhou et al. 2022).

488 In addition, the XRD patterns show that the proportion of halloysite-10Å increases with  
489 greater depth in the Dazhou regolith profile (Fig. 6). Halloysite-10Å is mainly developed in the  
490 SWH and the lower HWH, which are less weathered parts of the profile, whereas the upper  
491 horizons contain higher proportions of kaolinite and halloysite-7Å. This suggests that with  
492 progressive weathering, halloysite-10Å was gradually dehydrated and transformed into other  
493 kaolinite species. Li and Zhou (2020) documented the irreversible dehydration of metastable  
494 halloysite-10Å to halloysite-7Å and subsequent transition to crystalline kaolinite within regolith.  
495 The occurrence of hydrated halloysite-10Å is reported to increase the REE adsorption capacity  
496 as compared with halloysite-7Å (Ram et al. 2019). The greater cation exchange capacity of  
497 halloysite-10Å as compared with halloysite-7Å and kaolinite is attributed to the more extensive  
498 substitutions of Al<sup>3+</sup> for Si<sup>4+</sup> occurred in the tetrahedral sheet of halloysite-10Å (Kautz and Ryan  
499 2003; Joussein et al. 2005). As such, more REEs occur in the halloysite-10Å-rich layers in the  
500 regolith.

501

## 502 **5.2 Occurrence of rare earth element nano-particles**

503 The  $\delta\text{Ce}$  and  $\Sigma\text{REE}$  contents indicate that Ce is enriched in the upper horizons ( $\delta\text{Ce} > 2$ ,  
504  $\Sigma\text{REE}_{\text{max}} = 208.14$  ppm, FWH) and depleted in the lower horizons ( $\delta\text{Ce} < 1$ ,  $\Sigma\text{REE}_{\text{max}} = 984.17$   
505 and 1201.01 ppm, HWH and SWH) of the profile, with the latter being enriched in the other  
506 REEs (Fig. 3). This reflects the loss of the REEs, apart from Ce, from the upper horizons, due to  
507 chemical leaching and weathering. The SEM and TEM observations are consistent with the  
508 geochemical data, whereby Ce was observed as Ce-oxide and Ce-silicate in the upper horizons,  
509 whereas other REEs (La, Nd, and Y) were observed as secondary REE phosphates with poor

510 crystallinity in the lower horizons. EDS analyses of these REE phosphates revealed they are Ce-  
511 depleted (Ce less than 1% wt%, below the detection limit of the EDS), indicating that Ce  
512 fractionation from the other REEs occurred in the upper horizons. These particle phases are  
513 mainly hosted by clay minerals (halloysite and kaolinite).

514 The anomalous behavior of Ce can be attributed to the different chemical properties of Ce  
515 as compared with the other REEs (Compton et al. 2003). Under near-surface oxidizing  
516 conditions, Ce<sup>3+</sup> is rapidly oxidized to Ce<sup>4+</sup>, and occurs mainly as insoluble Ce oxides or  
517 oxyhydroxides. As such, the formation of secondary Ce phases reduces the mobility of Ce and  
518 results in the positive Ce anomalies in the upper part of the regolith (Estrade et al. 2014; Janots et  
519 al. 2015; Ram et al. 2019; Denys et al. 2021). The other REEs have similar geochemical  
520 properties, and occur mainly as REE<sup>3+</sup>. The REE<sup>3+</sup> are readily leached by fluids in the upper part  
521 of the regolith profile, and then become enriched in the lower part of the profile (Taunton et al.  
522 2000b).

523 Secondary phosphate can readily form by interface precipitation with REEs on mineral  
524 surfaces (Patel et al. 2017; Kashiwabara et al. 2018). The formation of REE phosphates involves  
525 the dissolution of primary REE-bearing phosphate (e.g., xenotime, monazite, and apatite), and  
526 the precipitation of REE phosphate (Ichimura et al. 2020; Voutsinos et al. 2021). Common  
527 LREE-bearing secondary phosphate mineral phases in the weathering environment include  
528 churchite (Y(PO<sub>4</sub>)·2H<sub>2</sub>O), rhabdophane ((Ce, Y, La, etc.)(PO<sub>4</sub>)·H<sub>2</sub>O), and florencite  
529 (CeAl<sub>3</sub>(PO<sub>4</sub>)<sub>2</sub>(OH)<sub>6</sub>). Several studies have observed the precipitation of secondary rhabdophane  
530 and florencite directly onto the surface of primary apatite during its dissolution (Banfield and  
531 Eggleton 1989; Taunton et al. 2000b; Voutsinos et al. 2021). Secondary REE phosphate minerals  
532 generally have a very low solubility ( $K_{SP} = 10^{-24.5}$ ) (Byrne and Kim, 1993; Liu and Byrne, 1997).

533 However, the secondary REE phosphates were only observed on the surfaces of primary REE  
534 phosphates from the incipient weathering zone, although these secondary REE phosphates are  
535 thought to be highly insoluble (Braun et al. 1998; Taunton et al. 2000b). The SEM and TEM  
536 observations also suggest that secondary REE phosphates are absent from the uppermost  
537 horizons, which is consistent with these previous studies. This may indicate that the secondary  
538 REE phosphates in the uppermost horizons were leached downward as weathering proceeded to  
539 greater depths.

### 540 **5.3 Controls on rare earth element mobilization and fractionation**

541 Like most metallic elements, the solubility of the REEs and REE phosphates increases with  
542 decreasing pH (Valsami-Jones et al. 1998; Cetiner et al. 2005). The dissolution rate of primary  
543 REE phosphates increases with decreasing pH, and the dissolution rate at pH = 5 ( $\sim 5.14 \times 10^{-10}$   
544 mol m<sup>-2</sup> s<sup>-1</sup>) is about one order of magnitude higher than at pH = 6 ( $\sim 5.69 \times 10^{-11}$  mol m<sup>-2</sup> s<sup>-1</sup>)  
545 (Guidry and Mackenzie 2003). Therefore, the primary REE phosphates were rapidly weathered,  
546 which released REE<sup>3+</sup> and PO<sub>4</sub><sup>3-</sup> into the associated fluids. Despite its low solubility, secondary  
547 REE phosphates are rare in highly weathered horizons (Braun et al. 1998; Taunton et al. 2000b;  
548 Ichimura et al. 2020). Köhler et al. (2005) reported that aqueous Nd concentrations in  
549 equilibrium with rhabdophane increased from a few tens of ppt to  $\sim 10,000$  ppt when the pH  
550 decreased from 5.5 to 4.5, in the presence of aqueous Ca<sup>2+</sup>, PO<sub>4</sub><sup>3-</sup>, and F<sup>-</sup> with concentrations of  
551  $10^{-4}$ ,  $10^{-5}$ , and  $5 \times 10^{-7}$  mol/kg, respectively. These conditions are typical of slightly acidic fluids  
552 in near-surface soils. The mean pH of the FWH is 5.07, and thus it can be speculated that the  
553 formation of secondary REE phosphates was inhibited or the newly formed REE phosphates  
554 were rapidly dissolved in the surface environment (Fig. 3). In addition, Nd concentrations of a  
555 few tens of ppt to  $<10$  ppt are supersaturated for solutions with pH = 5.5–6.5, which is similar to



556 the pH of the lower horizons (i.e., the lower part of the HWH and the SWH). The low pH in the  
557 upper horizons favored the weathering, dissolution, and migration of the REEs, whereas the  
558 elevated pH in the lower horizons promoted the re-precipitation of the REEs.

559 Microbial uptake is also a key aspect in the enrichment and leaching of REEs in a regolith  
560 profile (Johannesson et al. 2004; Tyler 2004; Ma et al. 2011). Fu et al. (2019) reported that the  
561 soil organic matter (SOM) and organic-bound REE concentrations decreased from the uppermost  
562 to lower horizons in regolith in the Darongshan region. There is a significant increase in loss-on-  
563 ignition values towards the surface, indicative of the accumulation of microorganisms and SOM  
564 in the uppermost horizons (Fig. 3), which are negatively correlated with  $\Sigma$ REE contents.  
565 Microbial activity is considered to accelerate rock weathering by acidolysis, complexolysis, and  
566 redoxolysis processes in the surface horizons, including of primary phosphate minerals, which  
567 promotes the release of  $\text{REE}^{3+/4+}$  into solutions in soils (Taunton et al. 2000a; Brandl 2001). In  
568 addition, secondary REE phosphate surfaces have been observed to be coated by microbes in  
569 relict primary REE phosphate pits, indicating the uptake of phosphate by organisms (Taunton et  
570 al. 2000b; Welch et al. 2002). SOM is negatively charged and can strongly absorb and/or chelate  
571 to REE cations (Wu et al. 2001). The complexation of SOM and  $\text{REE}^{3+/4+}$  further enhances the  
572 adsorption of REEs onto the surfaces of Fe–Mn oxyhydroxides and clay minerals, which is  
573 important for the mobility and transport of REEs in a regolith (Davranche et al. 2008). Our HF5–  
574 ICP–MS results identified significant loss of fine clay particles in the upper horizons of the  
575 Dazhou regolith profile (Fig. 8). These fine-grained clays with a higher REE adsorption capacity  
576 accumulated in the lower horizons. Therefore, the OM-rich upper horizons acted as an effective  
577 barrier to the formation of secondary REE phosphates and limited the REE enrichment in these  
578 horizons.

579

## 580 **6 Implications**

581       This study has shown how chemical weathering, clay mineralogy, and secondary REE nano-  
582 particles and nano-minerals affect the fractionation and enrichment of REEs in granite regolith.  
583 There are differences in the clay mineral type, content, and grain size between the upper and  
584 lower horizons. The upper horizons have lost fine-grained clays and consist mainly of platy  
585 kaolinite, whereas the proportion of tubular halloysite increases with depth. Compared with the  
586 platy kaolinite, the halloysite is smaller, and thus has a higher SSA and CEC that enhances REE  
587 adsorption and enrichment. As a result, the REEs remain adsorbed and enriched in the lower part  
588 of the HWH and in the SWH in the Dazhou granite regolith.

589       The development of this REE-rich granite regolith was closely related to the formation of  
590 secondary REE phosphates and oxides. Significant REE fractionation is the result of weathering  
591 of primary minerals and the formation of secondary REE-bearing particles. Previous studies have  
592 shown that, compared with regolith with low P<sub>2</sub>O<sub>5</sub> contents, regolith with high P<sub>2</sub>O<sub>5</sub> contents  
593 contains a large amount of secondary REE phosphates (Bern et al. 2017). Moreover, the P<sub>2</sub>O<sub>5</sub>  
594 contents are negatively correlated with the ion-exchangeable REE contents (Sanematsu et al.  
595 2015; Bern et al. 2017). The formation of cerite in the upper horizons causes Ce depletion in the  
596 lower horizons, which has been widely documented in previous studies of weathering profiles  
597 (Compton et al. 2003; Ma et al. 2011; Fu et al. 2019). The fractionation of secondary REE-  
598 bearing particles was due to chemical leaching and changing geochemical conditions in the  
599 profile. The formation of secondary REE minerals is clearly critical to the development of ion  
600 adsorption-type REE deposits.

601       A recent study has shown that the traditional sequential extraction method, which had been

602 widely used in REE speciation studies of regolith, may lead to inaccurate results due to the use of  
603 hydroxylamine hydrochloride (HaH;  $\text{NH}_2\text{OH}\cdot\text{HCl}$ ) in the Mn oxide speciation step (Denys et al.  
604 2021). The use of HaH may lead to Ce being adsorbed onto the surfaces of Mn and Fe  
605 oxyhydroxides and partial dissolution of REE phosphates and carbonates, which compromises  
606 the REE speciation data. With further improvements in the selective extraction procedure, more  
607 authigenic REE phosphate phases are expected to be identified. Therefore, in addition to cation-  
608 exchange fractionation of the REEs, the formation of secondary REE nano-particles likely results  
609 in REE fractionation and enrichment in granite regolith. These secondary REE-bearing nano-  
610 particles or nano-minerals may have a larger role in supergene REE enrichment than previously  
611 thought.

612

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619

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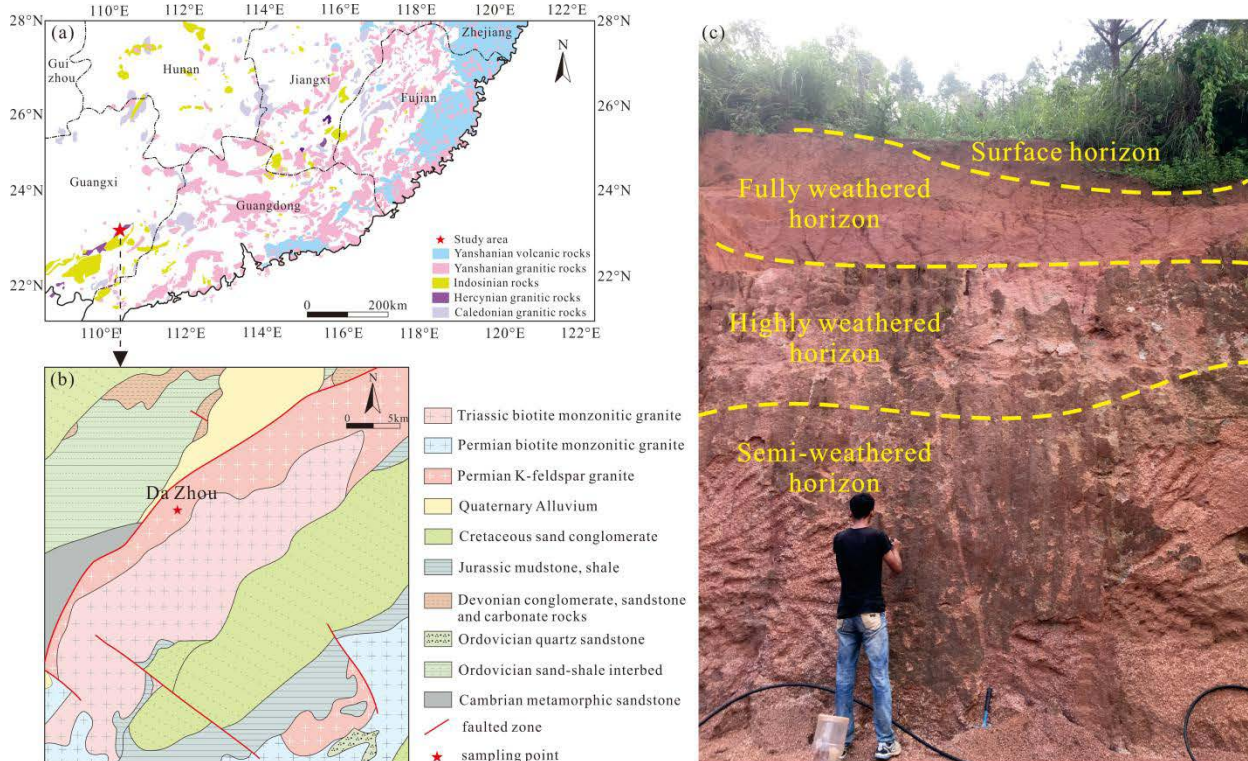
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871 **Figure Caption**

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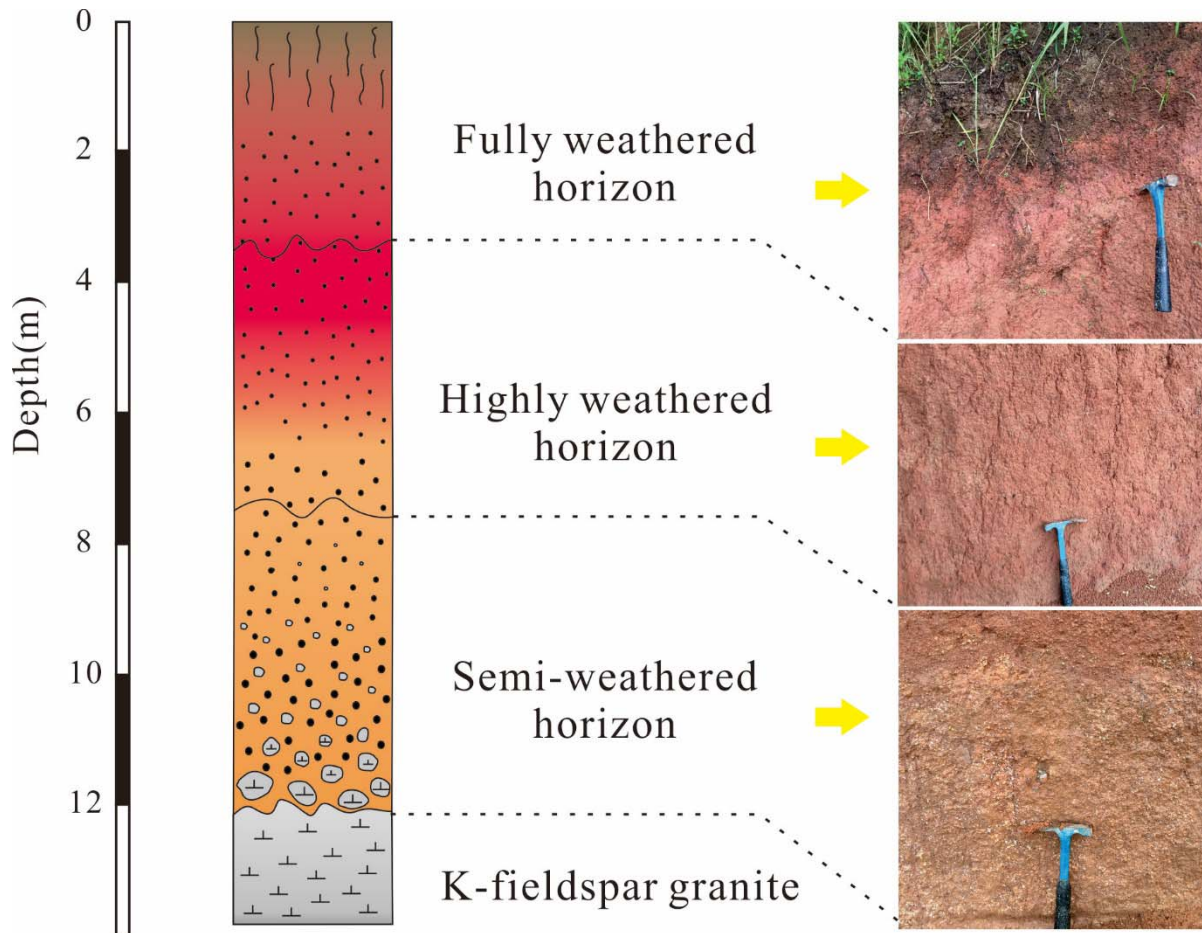
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**Figure 1.** (a) Simplified map showing the distribution of granitic rocks in South China, and the sampling locations of the Dazhou batholith. (b) Simplified geological map of the study area, showing the sampling locations of the regolith profiles. (c) Photograph of a weathering profile.



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**Figure 2.** Representative weathering profile for the batholith of the Dazhou, showing the sample locations and lithostratigraphic units.

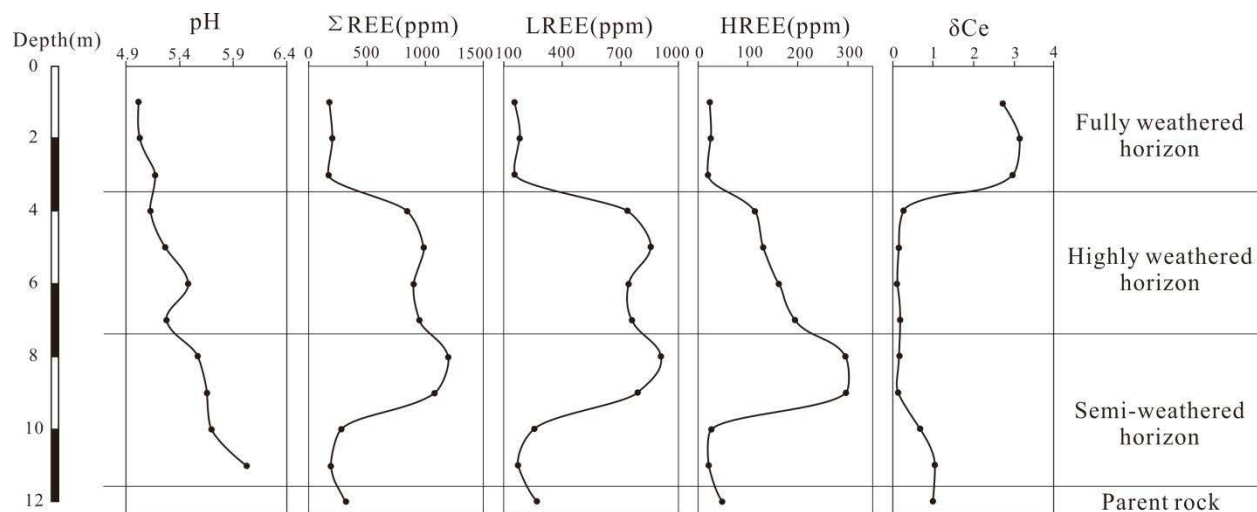
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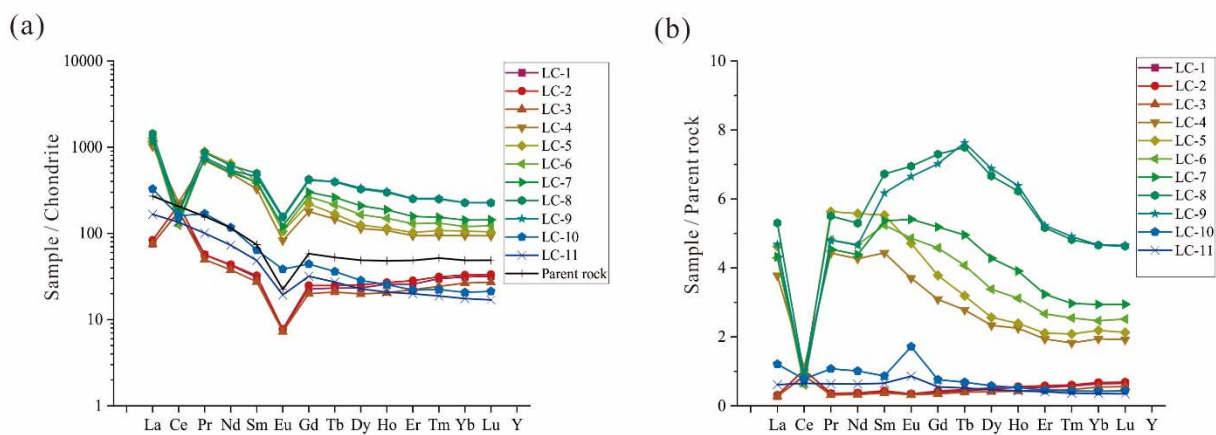
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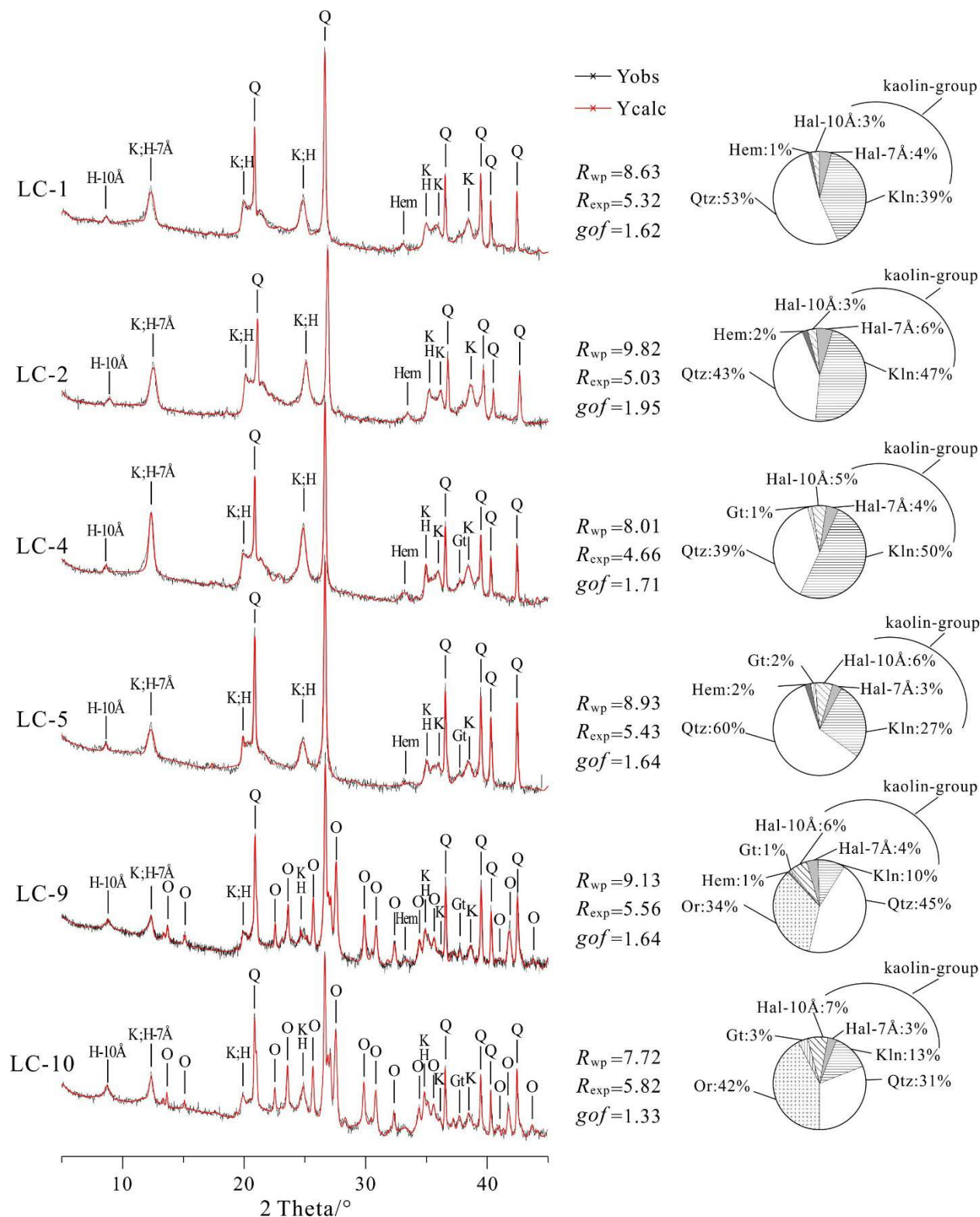
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887 **Figure 3.** Variations in ΣREE, LREE, HREE, δCe, and pH values in the weathering profiles  
888 developed on the Dazhou batholith. Notes: LREE= La, Ce, Pr, Nd, Pm, Sm, Eu and Gd; HREE=  
889 Tb, Dy, Ho, Er, Tm, Yb, Lu and Y.  
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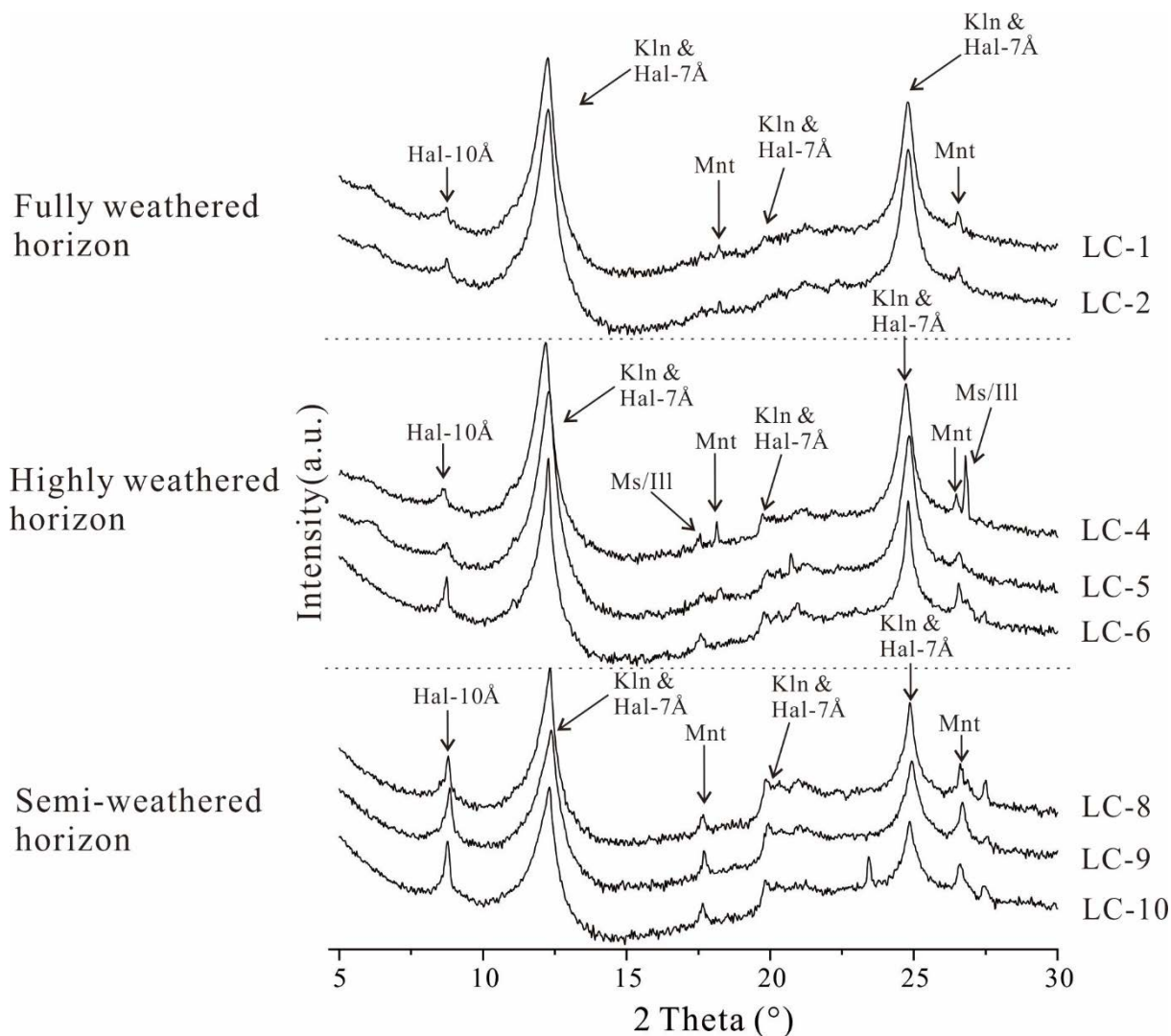
893 **Figure 4.** (a) Chondrite-normalized REE profiles for the different soil horizons and the parent  
894 rock. (b) Average REE concentration profiles for the different soil horizons normalized to the  
895 parent rock composition.

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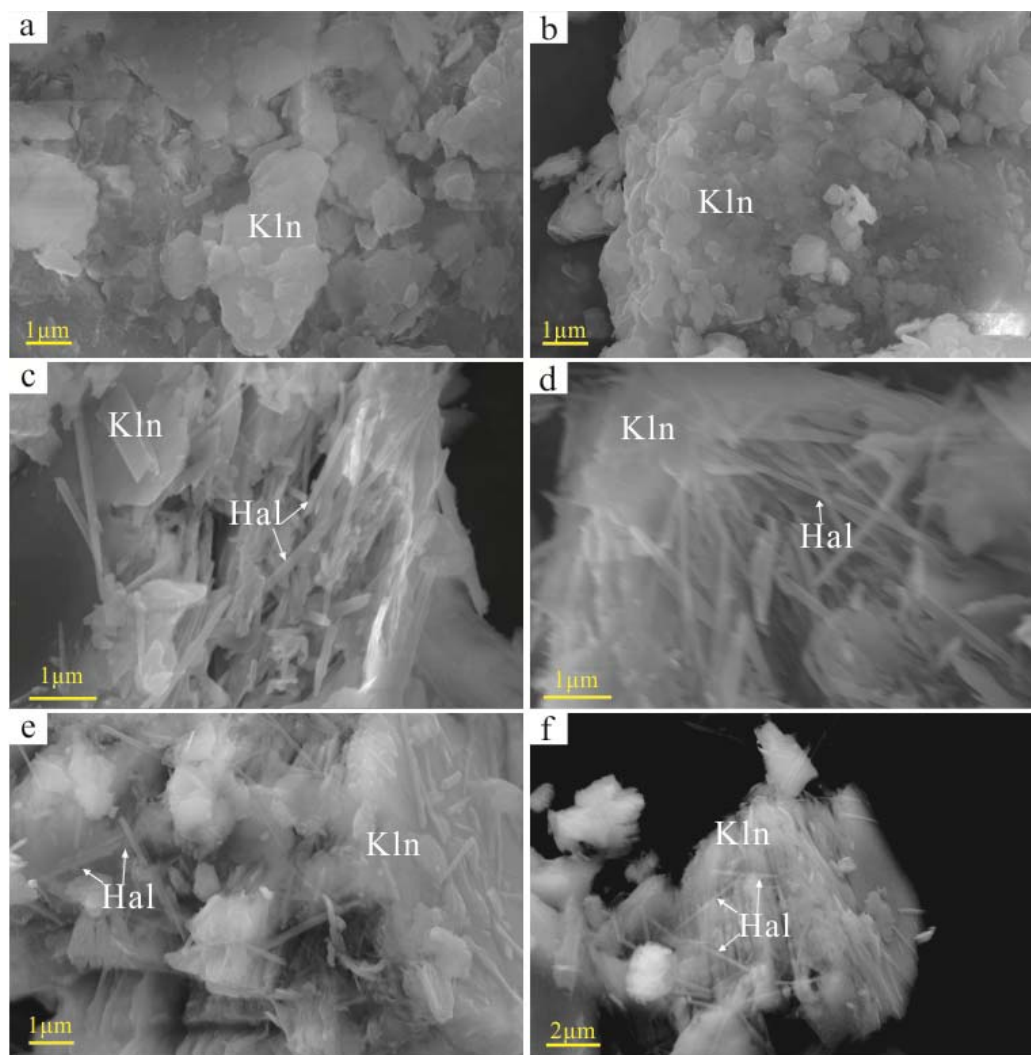
898 **Figure 5.** Representative X-ray diffraction patterns and semi-quantitative analyses of the  
 899 weathering profiles. K-Kal = kaolinite; H-Hal = halloysite; Q-Qzt = quartz; O-Or = orthoclase;  
 900 G-Gt = goethite; He-Hem = hematite. Notes: kaolin-group minerals include: kaolinite, halloysite-  
 901 7Å and halloysite-10 Å.  
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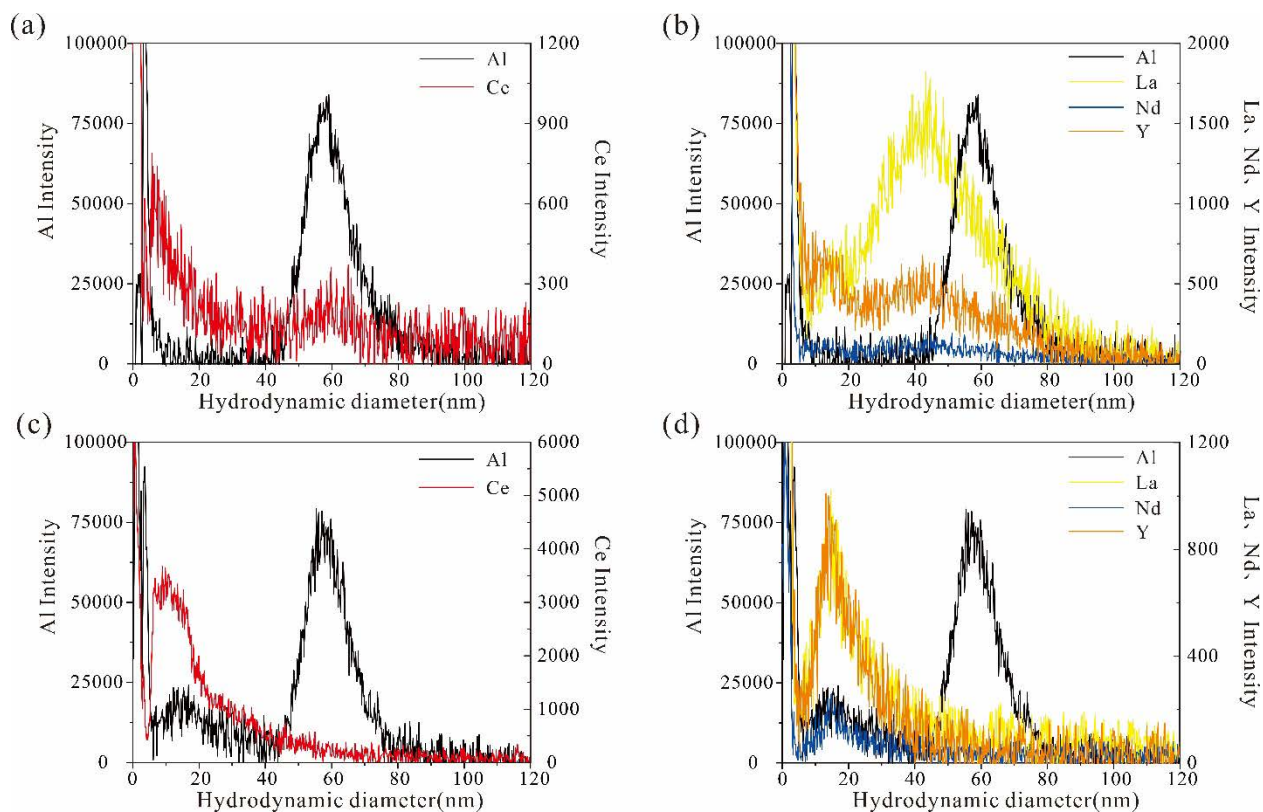
**Figure 6.** Representative X-ray diffraction patterns of the clay fraction (<math>< 2 \mu\text{m}</math>) from different regolith horizons. Kal = kaolinite; Hal = halloysite; Mnt = montmorillonite; Ms = muscovite; Ill = illite.





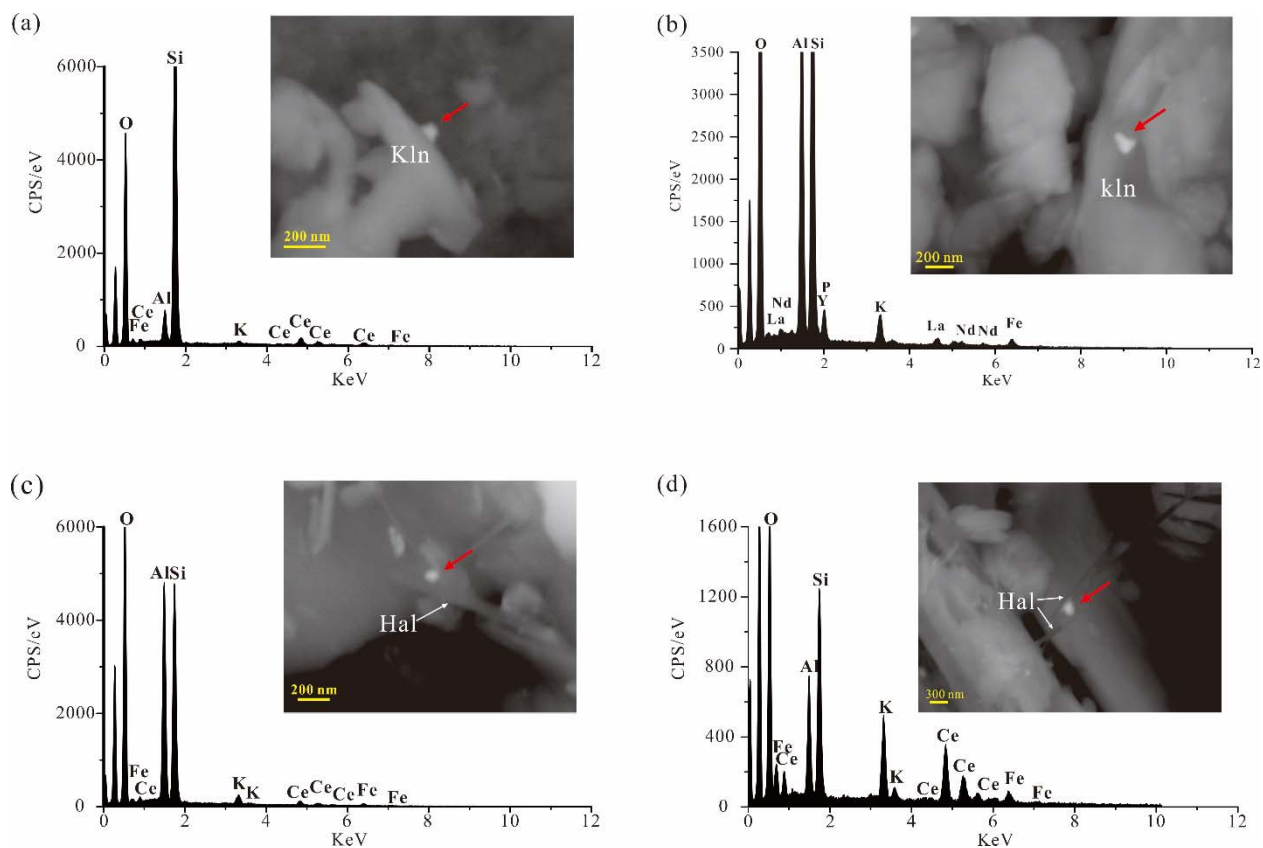
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**Figure 7.** Scanning electron microscopy images of clay minerals in the weathering profiles. (a–b) Clay minerals in highly weathered horizons. (c–f) Clay minerals in semi-weathered horizons. Kln = kaolinite; Hal = halloysite.



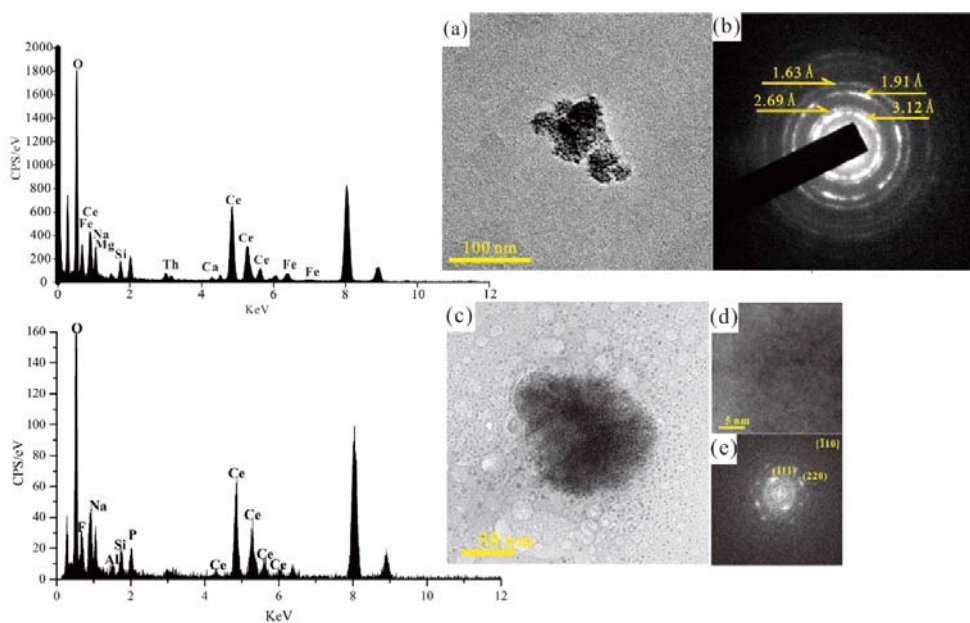
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**Figure 8.** Size distribution of Al- and REE-bearing nano-particles measured by hollow fiber flow field-flow fractionation coupled with inductively coupled plasma-mass spectrometer from different weathering horizons. (a–b) highly weathered horizons and (c–d) semi-weathered horizons.



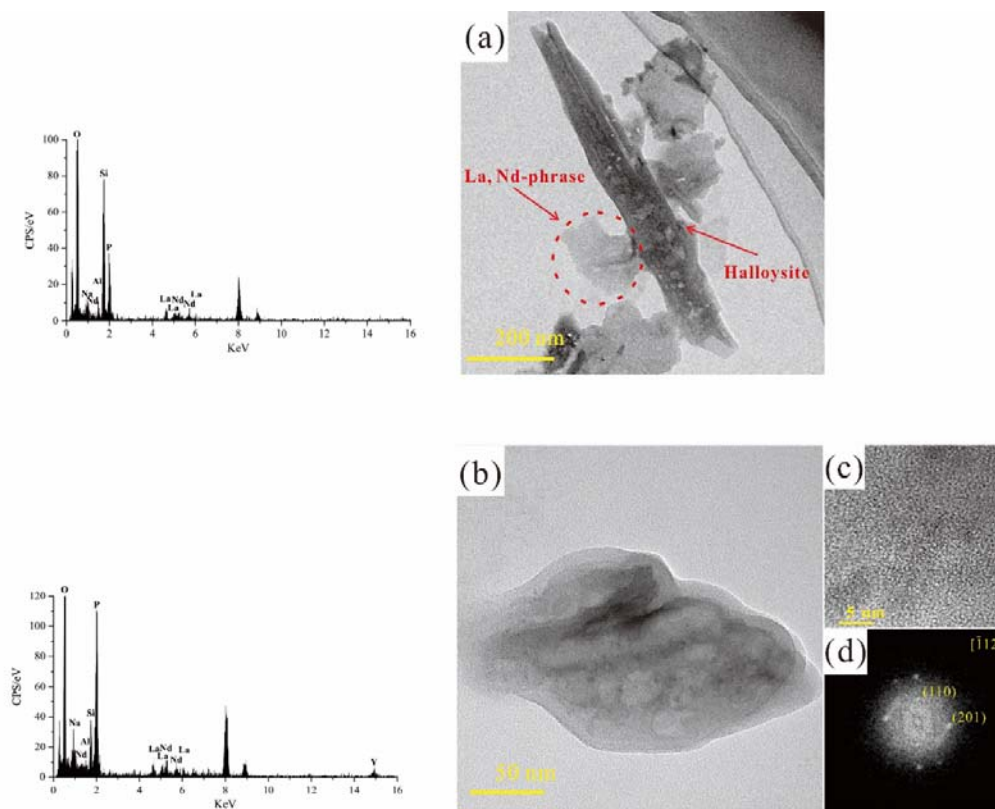
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**Figure 9.** Scanning electron microscopy images of Ce nano-particles in (a–b) highly weathered horizons and (c–d) semi-weathered horizons. Arrows mark the Ce nano-particles. Kln = kaolinite; Hal = halloysite.



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**Figure 10.** Transmitted electron microscopy images and diffraction patterns of (a) Ce-bearing particles (b) SAED pattern showing the basis for the identification of the phase as cerite. (c) Ce-bearing particles (d) HRTEM image shows the nanocrystallites and (e) FFT image showing the basis for the identification of the phase as cerianite.



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**Figure 11.** Transmitted electron microscopy images and diffraction patterns of (a) a La-Nd-bearing particle adhere onto the surface of a halloysite (b) La-Nd-Y-bearing nano-particle (c) HRTEM image shows the nanocrystallite and (d) FFT image showing the basis for the identification of the phase as rhabdophane-(La). Arrows mark the nano-particles.

945 **Table Caption**

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947 **Table 1.** Operating parameters of the ultraviolet–visible spectrometer and inductively coupled  
948 plasma–mass spectrometer.

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| <b>UV–vis spectrometer</b> |   |
|----------------------------|---|
| Wavelength                 | 254 nm for PS NPs   |
| <b>ICP-MS</b>              |   |
| RF Power                   | 1500 W  |
| Nebulizer flow rate        | 0.40 mL/min   |
| Plasma gas flow rate       | 15 L/min  |
| Dwell time                 | 500 ms  |
| Isotopes monitored         | <sup>27</sup> Al, <sup>89</sup> Y, <sup>139</sup> La, <sup>140</sup> Ce, <sup>141</sup> Pr, <sup>144</sup> Nd, <sup>147</sup> Pm, <sup>150</sup> Sm, <sup>152</sup> Eu, <sup>157</sup> Gd,<br><sup>159</sup> Tb, <sup>163</sup> Dy, <sup>165</sup> Ho, <sup>167</sup> Er, <sup>170</sup> Tm, <sup>173</sup> Yb, <sup>175</sup> Lu |

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