

Revision 1

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2 **Synthesis of Ferrian and Ferro-Saponites: Implications for the structure**
3 **of (Fe,Mg)-smectites synthesized in reduced conditions**

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Revision 1

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Abstract

19 Clay minerals are widely distributed on the surface of Earth, Mars, and Ceres in the Solar
20 systems. Among clay minerals, smectites can record the history of the environment through
21 exchange of interlayer cations with those in water, or through redox reactions with the
22 atmosphere. Therefore, characterization of chemical compositions and crystal structures of
23 smectites is crucial for revealing paleoenvironment. For instance, the crystal structure
24 within octahedral sheets of iron-bearing smectites changes to trioctahedral sheets under
25 reduced or dioctahedral sheets under oxidized conditions. Orbital infrared and X-ray
26 diffraction (XRD) analyses by Mars orbiters/rovers revealed the presence of
27 (Fe,Mg)-smectites on the surface of Mars; however, it has been difficult to characterize the
28 properties of these (Fe,Mg)-smectites, which are rare on the surface of Earth. In this study,
29 we synthesized ferrian (ferric ion-rich) and ferrous (ferrous ion-rich) (Fe,Mg)-saponite and
30 revealed the effect of valence states and iron contents on the crystal structures. These
31 saponites were synthesized using a hydrothermal method under reduced conditions. The
32 crystal structures and valence states of iron were analyzed by XRD, Fourier-transform
33 infrared spectroscopy, transmission electron microscopy, Mössbauer spectroscopy, and
34 X-ray absorption near edge measurements. The synthesized clays were trioctahedral

Revision 1

35 swelling clays and were identified as saponites. The valence state of iron in these
36 synthesized saponites is altered by oxygen and a reducing agent in water; however, the
37 trioctahedral structures are maintained under both oxidizing and reduced conditions,
38 following a reversible reaction. This mechanism can be interpreted by the desorption and
39 adsorption of hydrogen in the hydroxyls of the octahedral sheets of the smectite layers. The
40 maximum basal spacing of the (02*l*) lattice plane in the octahedral sheets was defined by
41 compiling various smectite data. When the basal spacing of (02*l*) is larger than the
42 maximum in dioctahedral smectites, smectite can be identified as trioctahedral smectite.
43 The redox state of iron in the octahedral sheet cannot be determined from the basal spacing
44 of (02*l*). We revealed that the iron content in the trioctahedral sheet has a linear relationship
45 with the length of the lattice parameter *b*. This provides a method to estimate the iron
46 content in saponite from the XRD profile. The XRD profiles of smectites found at the
47 Yellowknife Bay on Mars can be explained only by trioctahedral smectites, and the iron
48 content in the octahedral sheet is roughly estimated to be 0.5–1.7 in a half-unit cell. These
49 results indicate that the presence of (Fe,Mg)-saponite implies a reduced environment during
50 the formation and that this iron-bearing saponite has oxidation and reduction capabilities
51 depending on the environment.

Revision 1

52 **Keywords:** Reduction, oxidization, smectites, XRD, XANES, clay mineralogy, Mars

Revision 1

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Introduction

54 Clay minerals are widely distributed on the surface of Earth (e.g., Fagel 2007), Mars
55 (e.g., Ehlmann et al. 2011; Carter et al. 2013; Michalski et al. 2015; Bishop et al. 2018), and
56 Ceres, the icy dwarf planet in the main belt (e.g., De Sanctis et al. 2015, 2020; Ammannito
57 et al. 2016). Among these clay minerals, (Fe,Mg)-smectites that are commonly discovered
58 play an important role in understanding the history of Mars. Owing to their high affinity for
59 water, smectites, and in particular, their chemical compositions and exchangeable interlayer
60 cations may record the history of the Martian paleoclimate (e.g., Fukushi et al. 2019).
61 Moreover, the valence state of iron in smectite is one of the key properties for revealing the
62 redox state during smectite formation.

63 Visible/near-infrared (VNIR) spectra measured by Martian orbital instruments may be
64 used to identify the valence state of iron in smectite. It has been recently revealed that the
65 VNIR spectra of the synthesized ferrous (Fe^{2+}) saponite could be distinguished from those
66 of ferric dioctahedral smectite (such as nontronite) (Chemtob et al. 2015). The oxidation
67 state of octahedral iron in smectites with a wide range of chemical compositions, including
68 Fe(III), Fe(II), Mg, and Al, can also be identified from the VNIR spectra (Fox et al. 2021).
69 These studies provide critical data for the identification of ferrous smectites in VNIR

Revision 1

70 spectra. On the current surface of Mars, however, detectable ferrous smectites are likely
71 limited possibly due to oxidative chemical weathering in sediment sources and/or during
72 fluvial transports (Bristow et al. 2018; Mangold et al. 2019), and photochemically produced
73 oxidants (Chemtob et al. 2015, 2017).

74 Nevertheless, unoxidized samples below the surface can be found by a rover. For
75 example, X-ray diffraction (XRD) data for samples below the surface were provided by the
76 Chemistry and Mineralogy (CheMin) instrument onboard the Curiosity rover at
77 Yellowknife Bay (YKB) (Vaniman et al. 2014). It is believed that the redox state can be
78 revealed for the octahedral structures of smectites, because the divalent cations fully
79 occupy these sites, called a trioctahedral sheet, while the trivalent cations, owing to the
80 charge balance, can occupy only two-thirds of these sites, called a dioctahedral sheet. This
81 difference in the structure of the octahedral sheets can be identified by X-ray diffraction
82 (XRD) of the (060) plane in the smectite. The 060 reflection, however, cannot be measured
83 by the CheMin instrument; instead, the 02*l* reflections were used to identify the octahedral
84 structures in the spectra obtained by the CheMin (Treiman et al. 2014; Vaniman et al. 2014;
85 Bristow et al. 2015).

86 A natural trioctahedral smectite (ferrian saponite) on Earth can be used as equivalent to

Revision 1

87 explain the 02l peak position of clays at YKB; however, surprisingly, most iron in natural
88 saponite was characterized as ferric (Fe^{3+}) (Treiman et al. 2014), which is expected to be
89 unstable in the trioctahedral sheet. The synthesized ferrous (Fe^{2+}) saponite can also be a
90 candidate for understanding the structure of YKB smectites (Chemtob et al. 2015; Fox et al.
91 2021) from XRD profiles. These results imply that the structure of the octahedral sheet may
92 not be used to identify the oxidation state of iron; however, it is unclear why the ferric ions
93 are stable in the trioctahedral sheet. These studies were conducted on highly oxidized or
94 reduced saponites. The results imply that the intermediate ratio of ferric and ferrous ion
95 may also explain the smectites at YKB.

96 In this study, we attempted to synthesize ferrian (ferric-ion-rich) and ferro (ferrous
97 ion-rich) saponites. These saponites were used to reveal the possibility of constraining the
98 oxidation state of iron in saponite from the XRD profile and to interpret the mechanism of
99 stabilization of ferric ion in trioctahedral smectite. Two hydrothermal synthetic methods
100 have been proposed for obtaining ferrous saponite under reduced conditions. One is a
101 sol-gel method in an anoxic chamber (Chemtob et al. 2015, 2017; Fox et al. 2021), while
102 the other method used a reducing agent in aqueous solutions (Baldermann et al. 2014). In
103 this study, we employed the latter method. The difference with the previous work

Revision 1

104 (Baldermann et al. 2014) is the presence of Al in the reactants; therefore, the details of the
105 method were slightly modified. The Fe/Mg ratio in the starting materials was fixed at one
106 as the upper limit of the trioctahedral smectite measured by the Curiosity rover (Vaniman et
107 al. 2014). The ratio of ferric/ferrous ion in the saponite was controlled by oxidation and
108 reduction of synthesized saponite, as reported for dioctahedral smectites (Stucki et al. 1984;
109 Fialips et al. 2002; Lee et al. 2006).

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Materials and Methods

112 Synthesis of iron saponite

113 The synthetic method was similar to that of ferrous saponite synthesis proposed by
114 Baldermann et al. (2014). The reducing agent was prepared by adding 0.025 g sodium
115 dithionite ($\text{Na}_2\text{S}_2\text{O}_4$) to 50 mL ultrapure water (resistivity of 18.2 $\text{M}\Omega$ cm). Initial solutions
116 were obtained by adding 2 g of sodium orthosilicate (Na_4SiO_4), iron (II) sulfate (FeSO_4
117 $7\text{H}_2\text{O}$), magnesium chloride ($\text{MgCl}_2 \cdot 6\text{H}_2\text{O}$), and AlCl_3 ($\text{AlCl}_3 \cdot 6\text{H}_2\text{O}$) powders of reagent
118 grade to the reducing agent to meet the desired stoichiometry (Table 1). The difference
119 among samples is the concentrations of Al and Si in the initial solutions. The concentrations
120 of Al in FS007, FS009, and FS010 were prepared to satisfy the stoichiometry of 0.5, 0.3,

Revision 1

121 and 0 ($/O_{12}$ in the chemical formula of saponite), respectively. The Si concentrations in
122 these samples were determined to satisfy the sum of Al and Si concentrations to be four
123 ($/O_{12}$). The pH of the initial solutions was 12.7, which was higher than that of the previous
124 method (Baldermann et al. 2014). This high pH prevents the precipitation of Al hydroxide
125 from the solution. The solutions were transferred to Teflon-lined stainless-steel autoclaves
126 and heated in an oven at 180 °C for five days. The solutions were cooled to room
127 temperature within a few hours, and precipitates were obtained by vacuum filtration on a
128 0.45 μm PTFE membrane filter. The precipitates on the filter were rinsed with ultrapure
129 water to remove residual salts and dried at 40 °C in an oven.

130 To obtain ferro-saponite, the reduction was attempted using a method similar to
131 that proposed for di-octahedral smectites (Stucki et al. 1984; Fialips et al. 2002; Lee et al.
132 2006). The recovered sample (150 mg) was dispersed in 100 mL of ultrapure water for
133 one–five days. The suspension (40 mL) was mixed with 20 mL of buffer solution by
134 combining 2 parts of 1.2 M sodium citrate with 1 part of 1 M sodium bicarbonate. This
135 suspension was moved to a glove box, and the air was replaced with N_2 gas. Then, 300 mg
136 sodium dithionite was added to the suspension and heated at 70 °C for 1 h or 24 h. The
137 suspension was transferred to a centrifuge tube and centrifuged at 18,000 rpm for 15 min,

Revision 1

138 followed by one wash with methanol under centrifugation. Then, the supernatant was
139 discarded, and the precipitate was dried in a vacuum desiccator.

140 **Chemical analysis**

141 The synthesized sample (25 mg) was dissolved in a mixture of 5 mL of 35% nitric
142 acid and 0.5 mL of hydrofluoric acid at 120 °C for 16 h, allowed to cool, and diluted to 250
143 mL with Milli-Q water. The concentrations of elements (Na, Mg, Al, Si, S, K, Ca, and Fe)
144 were measured using an SPS3520UV-DD-type inductively coupled plasma optical emission
145 spectrometry (ICP-OES) instrument (Hitachi High-Tech Corp.). The chemical composition of
146 the synthesized samples was also analyzed using a field-emission electron microscope
147 (JEM-3100FEF, JEOL Ltd.) in scanning mode equipped with an SDD detector for energy
148 dispersive X-ray spectroscopy (STEM-EDS). The samples were prepared on carbon grids. An
149 accelerating voltage of 300 kV was applied for electron microscopy.

150 The structural formulas for the synthesized smectites were calculated as follows: (1)
151 The sum of positive charges was calculated from the molar ratio of the cations. (2) The
152 number of cations in the half-unit cell was calculated by normalizing the total positive charge
153 to compensate for 22 units of negative charge. (3) Based on the number of cations, cations
154 were assigned to smectite structures. All Na atoms were assigned to the interlayer. All Si and

Revision 1

155 Al atoms were assigned to the tetrahedral sheet, because Al^{3+} preferentially occupy
156 tetrahedral sites in saponite (He et al. 2014). All Mg atoms were assigned to the octahedral
157 sheet. Fe was assigned to the octahedral sheet as ferrous ions until the full occupancy of three
158 ions per half-unit cell was reached. Residual Fe was assigned to the tetrahedral sheet as ferric
159 ions. In this procedure, the sum of positive charge changes depended on the ratio of
160 ferrous/ferric ions; therefore, the ratio was determined iteratively to satisfy the full occupancy
161 of the octahedral sheets by Mg and Fe ions.

162 The valence states of Fe ions in the samples were analyzed by X-ray absorption near
163 edge structure (XANES) measurements. Iron K-edge XANES spectra were measured at
164 beamline BL12C at the Photon Factory (PF), Institute of Materials Structure Science, High
165 Energy Accelerator Research Organization (KEK), Tsukuba, Japan. Incident X-ray beam was
166 monochromatized with a Si-111 double-crystal monochromator and focused to $0.5 \times 0.5 \text{ mm}^2$
167 with a bent cylindrical mirror. Energy calibration was performed by defining the pre-edge
168 peak maximum of hematite fixed at 7.110 keV. The measurements were performed at room
169 temperature under ambient air conditions. All spectra were recorded in the transmission mode
170 using two ion chamber detectors. All spectra were normalized to a unit step in the absorption
171 coefficient. No radiation damage that caused a change in the valence of Fe was found during

Revision 1

172 the data acquisition based on the repeated scans for the XANES analysis. The XANES
173 spectra of two typical clay minerals were measured for a comparison. Pure biotite was
174 purchased from Nichika Inc. and the ratio of ferric ions is low ($\text{Fe}^{3+}/\Sigma \text{Fe} = 0.03$). Nontronite
175 (NAu-1) is a source clay of the Clay Minerals Society.

176 Iron speciation in some of the saponite and biotite samples were also conducted by
177 Mössbauer (MB) spectroscopy. The powdered sample without any chemical pretreatment
178 was gently pressed into a brass sample holder (16 mm in diameter, 1 mm thick) for the
179 measurement. MB spectra were measured using a Topologic Systems MFD-110D
180 spectrometer with a $^{57}\text{Co}/\text{Rh}$ source at room temperature. The Doppler velocity was set to
181 the range between -10 to 10 mm/s to cover sextet peaks. Isomer shifts were defined with
182 respect to the centroid of the spectrum of a metallic iron foil. The obtained spectra were
183 fitted to Lorentzian line shapes using a least-squares method with restrictions of half-width
184 (HW) and peak intensity of each quadruple doublet constrained to be equal. The range of
185 fitting parameters were constrained to be consistent with those reported for clay minerals
186 (Johnston and Cardlie. 1978; Vandenberghe and Grave. 2013). A least squares fitting was
187 conducted by using the program *MossA* (Prescher et al. 2012). The relative content of each
188 iron species was calculated from the peak area determined by the fitting of three doublets

Revision 1

189 corresponding to the ferrous ion, ferric ion in octahedral and tetrahedral sites. These areas
190 were corrected by a recoilless fraction ratio of 1.21 as the ferric to ferrous ions (De Grave
191 and Van Alboom. 1991; Morris et al. 1995).

192 **Identification of mineral species**

193 The mineral species of the samples were analyzed by X-ray diffraction (XRD) and
194 Fourier-transform infrared (FT-IR) methods. The XRD profiles were measured under dry
195 conditions (relative humidity <2%) in a chamber filled with N₂ gas using an Ultima IV
196 instrument (Rigaku). X-rays were emitted from a Cu K α source (wavelength: 0.15418 nm) at
197 40 kV and 30 mA. The scanning speed was 4 °/min with a step size of 0.02 °. The XRD
198 profiles of some typical smectites were measured for a comparison. Montmorillonite
199 (Kunipia-F) and saponite (Smecton SA) were the source clays of the Clay Science Society of
200 Japan and these were purchased from Kunimine Industries Co., Ltd. The FT-IR spectra were
201 obtained in the attenuated total reflectance (ATR) mode using an IRAffinity-1 instrument
202 (Shimadzu Corp.) over the range of 400–4000 cm⁻¹. The morphology and crystal structures
203 of the samples were analyzed by transmission electron microscopy (TEM) and electron
204 diffraction (ED) using a JEM-3100FEF JEOL microscope. The samples were prepared on
205 carbon grids. An accelerating voltage of 300 kV was applied.

Revision 1

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Results and discussion

208 Mineral species in precipitates

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The XRD profiles of the precipitates are shown in Figure 1. Typical smectites are also plotted for comparison. These precipitates show characteristic reflections of 2:1-type clay minerals as 001 at d-spacings (d) of $\sim 11\text{--}15$ Å, for 02 l and 11 l reflection $d = 4.57\text{--}4.59$ Å, for 20 l and 13 l $d = 2.59\text{--}2.63$ Å, and for 060 $d = 1.54$ Å. The shift in the 00 l peaks as a function of relative humidity (not shown here) indicates that these minerals are smectite. The 060 reflection at $d = 1.54$ Å is clearly larger than those of dioctahedral smectites (nontronite and montmorillonite); therefore, these synthesized smectites are categorized as trioctahedral smectite such as Mg-saponite. No clear difference was observed among the synthesized samples (FS007, 009, and 010), although some impurities of hematite were identified in the FS007 sample. Such impurities can be formed because of the insufficient removal of oxygen from the initial solution. The difference in the 001 peak position depended on the dry state before the XRD measurements. The swelling behavior will be studied in future work.

The FT-IR spectra of the precipitates are shown in Figure 2. The low frequency of

Revision 1

223 the Si-O stretching band at 950 cm^{-1} , the O-H bending band at 650 cm^{-1} , and a single main
224 maximum at $\sim 420\text{ cm}^{-1}$ indicate that the precipitants are typical trioctahedral clays (Russell
225 and Fraser 1994). The position of the Si-O stretching band was lower than that of a
226 common saponite ($\sim 1000\text{ cm}^{-1}$). This can be interpreted as the presence of Fe^{3+} tetrahedral
227 sites substituted for Si (Goodman et al. 1976). The difference in Al content can be identified
228 by the absorbance at $\sim 740\text{ cm}^{-1}$. This wavenumber has been interpreted by tetrahedral
229 Al-O-Si in-plane vibrations (Russell and Fraser 1994) indicating that the Al ions substitute
230 for Si in tetrahedral sheets. The absorptions at 1640 and $\sim 3400\text{ cm}^{-1}$ correspond to the
231 bending and stretching modes of the interlayer water, respectively. The IR band at ~ 3600
232 cm^{-1} can be attributed to the stretching mode of the octahedral metal ($\text{M} = \text{Mg}$ or Fe) ions
233 and the OH group (M_3OH). The frequency can be interpreted by the presence of Fe ions in
234 the trioctahedral sheets as observed for iron-rich biotite. The broad and low frequency of
235 the band may be interpreted as the presence of some OH groups in a locally dioctahedral
236 environment such as vermiculite (Russell and Fraser 1994).

237 **Chemical compositions of trioctahedral smectites**

238 The chemical compositions of the precipitates analyzed by ICP-OES are listed in
239 Table S1. The chemical compositions of the oxides are listed in Table 2. The calculated

Revision 1

240 chemical formulas of the trioctahedral smectites are listed in Table 3. The chemical formula
241 of FS007 was not calculated because of the presence of hematite and magnetite in the
242 sample. The layer charge of FS009 (-0.86) calculated from the summation of charge
243 without Na ions is larger than the charge of interlayer Na ions ($+0.54$) to neutralize the
244 charge. This may be attributed to the presence of ferric ions in the octahedral sheets. Based
245 on the XRD and FT-IR analyses, as well as on the chemical compositions of the precipitates,
246 the synthesized crystals were identified as trioctahedral iron saponites.

247 **Oxidation and reduction**

248 To obtain ferrian saponite, trioctahedral iron saponite (FS009) was oxidized by
249 dispersing it in water for one day. The XRD patterns of the dried samples (FS009O) are
250 shown in Figure 3. There is no clear difference from the original saponite (FS009) in the
251 reflections assigned to smectite by oxidation. Some reflections from magnetite and hematite
252 in the sample (FS009O) appeared after oxidation. The d -spacing of the 060 reflection was
253 1.54 \AA , indicating that the smectite was still trioctahedral saponite after oxidization. The
254 TEM images and ED patterns of FS009O are shown in Fig. 4(a)–(c). The ED patterns of the
255 low-resolution TEM area are characterized by diffraction from smectites. A clear layered
256 structure was observed with a thickness of $\sim 1 \text{ nm}$. These analyses indicate that the major

Revision 1

257 mineral in FS009O is trioctahedral smectite.

258 Iron saponite was reduced to obtain ferro-saponite. The XRD profiles in Fig. 3 show no
259 difference from FS009O in the reflections assigned to saponite, but the reflections from
260 magnetite and hematite disappeared. No significant difference in the reduction time was
261 observed between 1 and 24 h. The TEM images and the ED patterns of FS009R1h, as
262 shown in Figure 4 (d)–(f), indicate that the reduction did not change the morphology. The
263 difference compared to the FS009O sample was the disappearance of impurities observed
264 in the ED profiles of FS009O, as expected from the XRD profiles.

265 The XANES spectra of reduced (FS009R24h and FS009R1h) and oxidized (FS009O)
266 FS009 samples are shown in Figure 5 with biotite and nontronite (NAu-1) as endmembers
267 of ferrous and ferric clay minerals for a comparison. Most iron in biotite and nontronite can
268 be assigned to ferrous and ferric ion, respectively. The shape of FS009O was similar to that
269 of NAu-1, while those of FS009R24h and FS009R1h were similar to that of biotite,
270 indicating that the valence and coordination environment of iron changed by reduction from
271 ferric to ferro-saponites. The edge position defined at the normalized absorbance of 0.5
272 roughly corresponds to the ratio of ferric and ferrous ions (Chemtob et al. 2015, 2017). The
273 edge positions shown as open circles in Figure 5 change from high to low energy by

Revision 1

274 reduction. The energies in the spectra of the reduced saponites (FS009R1h and FS009R24h)
275 were clearly higher than that of biotite, indicating that these saponites are not perfect
276 ferrous saponite but rather ferro-saponite. A rough estimation of $\text{Fe}^{3+}/\Sigma \text{Fe}$ was conducted,
277 as listed in Table 4, following the previous linear fitting method (Chemtob et al. 2015,
278 2017) under the assumptions that $\text{Fe}^{3+}/\Sigma \text{Fe} = 1$ for NAu-1 and 0 for biotite. The estimation
279 of uncertainty in this linear fitting method is difficult, but the uncertainty can be ± 0.06 from
280 the difference in two spectra of biotite measured on different days; therefore, the difference
281 between FS009R1h and FS009R24 (0.06) may not be significant, indicating that the 1-h
282 reduction is sufficient to reach equilibrium in the reduction state.

283 The MB spectra of reduced (FS009R24 and FS009R1h) and oxidized (FS009O) FS009
284 samples are presented in Figure 6 with biotite for a comparison. The fitting parameters are
285 listed in Table 5. The measurements were conducted for a small amount of available
286 samples of saponites after several months since these saponites were synthesized; therefore,
287 oxidation may progress more than the samples analyzed by the XANES spectra. The signal
288 to noise (S/N) ratio in the MB spectrum of FS009O was low; therefore, the two doublets
289 representing octahedral ferric and ferrous ion were used for the fit. The spectra of fresh
290 biotite showed sufficient S/N ratio and it can be explained by ferrous ions. Estimated $\text{Fe}^{3+}/$

Revision 1

291 Σ Fe ratio (0.09 ± 0.04) is consistent with the 0.03 estimated by a titration measurement
292 reported by Nichika Inc. Reduced saponites (FS009R1h and FS009R24h) can be explained
293 by the mixed sites of ferrous ion, ferric ion in octahedral and tetrahedral sites. Uncertainties
294 are large, but approximately 10% tetrahedral ferric ion can be present in both saponites.
295 The spectrum of oxidized saponite (FS009O) was mostly explained by the ferric ion. The
296 presence of tetrahedral Fe^{3+} can be interpreted by the high pH (12.7) of the initial solutions
297 as reported in Baron et al. (2016). They confirmed that the Fe^{3+} content in tetrahedral sheet
298 in smectite increases with increasing the pH at the end of the synthesis. In our experimental
299 conditions, perfect reduced conditions may not be hold during synthesis and the Fe^{3+} ion
300 were incorporated in the tetrahedral sheets.

301 A comparison of estimated $\text{Fe}^{3+} / \Sigma \text{Fe}$ ratio between XANES and MB spectra is presented
302 in Figure 7. Note that the error bars of XANES results would be a minimum because the
303 error was estimated from the variation of two biotite samples. There is a difference in
304 absolute values between the XANES and MB results; however, the tendency is similar.
305 Several reasons of the difference in the absolute values can be considered such as the linear
306 fitting in XANES spectra, the empirical value of recoilless fraction ratio in MB spectra, and
307 oxidation of saponite samples before the MB measurements. Here we assume that the

Revision 1

308 estimates by XANES and MB spectra are the minimum and maximum estimates in the
309 synthesized saponites, respectively.

310 The estimates for FS009R24h and FS009R1h indicate that 30% of the ferric ions cannot
311 be reduced by the reduction method in this study. These ferric ions may be stable in
312 tetrahedral sheets, because the pre-edge peaks at ~7112 eV, the typical peak of iron in
313 tetrahedral sites, were clearly observed in these samples (Wilke et al. 2001). From the
314 analysis of MB spectra, approximately 10% of ferric ion can be present in the tetrahedral
315 sites. In FS009O, 15-30% of the ferrous ions cannot be oxidized which is consistent with
316 the oxidation of ferrous saponite in air oxidation experiments (Chemtob et al. 2017). These
317 ferrous ions may be stable in the trioctahedral sheets stabilized by Mg ions. Further
318 oxidation can occur in H₂O₂ solutions which has a higher redox potential than O₂; however,
319 this oxidation makes the ejection of ferric ions from the octahedral sheets and the
320 octahedral sheets transforms to a dioctahedral structure (Chemtob et al. 2017).

321 We attempted to measure the valence state of iron in our small samples using electron
322 energy loss spectroscopy (EELS) in TEM; however, it was impossible to remove the effect
323 of electron irradiation-induced oxidation, as confirmed for silicate perovskite (Lauterbach
324 et al. 2000). Most clay samples, including biotite, were damaged by the electron beam, and

Revision 1

325 the peaks of the EELS spectra shifted to that of Fe³⁺, similar to that observed in the
326 previous work. Such a change did not occur in the synthesized ilmenite (Fe²⁺TiO₃) samples.

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Implications

329 Possibility to identify the smectite species and Fe content by 02l reflection

330 The detailed XRD profiles around 02l reflections of the synthesized ferrian
331 (FS009O) and ferro-saponites (FS009R24h) are presented in Figure 8. To compare our
332 samples with those measured at the YKB in the Gale crater (Vaniman et al. 2014), the 2θ
333 angle was recalculated using the wavelength of a Co-Kα X-ray source. These ferrian and
334 ferro-saponites can explain the 02l reflections of smectites found at the YKB, as reported
335 for Griffith Park ferrian saponite (Treiman et al. 2014). This indicates that the current
336 oxidation states of iron in the octahedral sheet could not be determined from the XRD
337 profile. The question remains whether the Fe content in the smectite can be determined
338 from the XRD profile.

339 A linear correlation between the lattice parameter *b* and Fe content has been
340 confirmed in octahedral sheets of dioctahedral smectites (Eggleton 1977; Brigatti 1983). In
341 most cases, the *b* values were calculated from the 060 reflections; therefore, the linear

Revision 1

342 correlation holds between the d spacings of the 060 reflections (d_{060}) and the Fe contents
343 (Köster et al. 1999). The question is whether this relationship still holds for d_{02l} values
344 because there is no data for d_{060} for the YKB samples. Moreover, this correlation was not
345 confirmed for trioctahedral smectites.

346 The relationships between d_{060} and d_{02l} in our results and those of previous studies
347 (Nagelschmidt 1938; Earley et al. 1952; Sudo 1954; Weir and Greene-Kelly 1962; Eggleton
348 1977; Brigatti 1983; Köster et al. 1999; Miyawaki et al. 2010; Baldermann et al. 2014;
349 Treiman et al. 2014; Chemtob et al. 2015; Fox et al. 2021) are shown in Figure 9. A linear
350 relationship was confirmed between d_{060} and d_{02l} , although the d_{02l} value is slightly smaller
351 than that calculated by $3d_{060}$, which is shown as a solid line. This trend was reported for the
352 synthesized iron smectite, with the small values of d_{02l} interpreted as a result of turbostratic
353 disorder in smectites (Fox et al. 2021). In these smectites, the maximum intensity of 020
354 does not necessarily coincide with that of d_{020} (Moore and Reynolds, Jr 1997). Even after
355 considering the effects of turbostratic disorder in smectites, the shaded d_{02l} of smectite at
356 YKB can only be explained by trioctahedral smectite.

357 The Fe contents in the octahedral sheets are plotted in Figure 10 as a function of
358 the lattice parameter b calculated from d_{060} . As reported in previous studies (Eggleton 1977;

Revision 1

359 Brigatti 1983), a linear relationship can be confirmed for dioctahedral smectites (dashed
360 lines fitted to open symbols), although the deviation is large for iron-rich montmorillonite
361 (Fox et al. 2021). This deviation can be attributed to the presence of Mg ions in the
362 octahedral sheets. The ionic radius of a Mg ion in a six-coordinated state (0.72 Å) is larger
363 than that of a ferric ion (0.65 Å), and both are larger than that of an Al ion (0.53 Å)
364 (Shannon and Prewitt 1969); therefore, the increased fraction of Mg ions in the dioctahedral
365 sheets of these minerals increases the *b* value, though the Fe content is low.

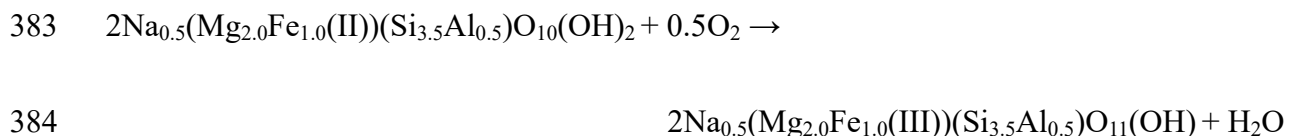
366 Such a linear relationship seems to hold for trioctahedral smectites (solid line fitted
367 to solid symbols in Fig. 10). The deviation of di-trioctahedral Fe smectite and Fe saponite
368 (Fox et al. 2021) can be explained by the presence of small Al ions in the octahedral sheet.
369 As the *b* value of smectites at the YKB can be estimated to be 9.18–9.27 Å from the
370 plausible d_{060} values (1.53–1.545 Å) in Figure 9, the Fe content in the octahedral sheets can
371 be 0.5–1.7 in a half unit cell, as calculated by the solid line. This is a rough estimate, and
372 the range should be refined by constraining the chemical compositions in octahedral sheets
373 and by increasing the statistical confidence level by collecting more data on synthesized
374 trioctahedral samples.

375

Revision 1

376 **A plausible mechanism of stable trioctahedral sheets occupied by trivalent ferric ions**

377 The high d_{060} values of oxidized and reduced smectites indicate that the octahedral
378 sheet keeps the trioctahedral structure through these redox states and most octahedral sites
379 are occupied by cations. From the point of charge neutralization, the substitution of O^{2-} for
380 OH^- in the structure may occur during oxidization (Treiman et al. 2014). From the ideal
381 chemical composition of ferrous saponite ($Na_{0.5}(Mg_{2.0}Fe_{1.0})(Si_{3.5}Al_{0.5})O_{10}(OH)_2$), we
382 consider the following oxidation reaction:



385 If this reaction is possible, desorption of hydrogen should occur without destruction of the
386 octahedral sheets during oxidization. On the other hand, the incorporation of hydrogen in
387 the structure may occur during the reduction process; therefore, the reaction can be
388 reversible. This reaction occurs in trioctahedral biotite and vermiculite (Farmer et al., 1971).
389 The synthesized ferrous saponite can be oxidized in water as FS009O, and this ferrian
390 saponite can be reduced to FS009R1h. The reaction is reversible, which supports the
391 hypothesis. The maximum number of hydrogen atoms in this formula is two; therefore, this
392 limits the maximum number of ferrous ions in the octahedral sheet to two in this formula.

Revision 1

393 The Fe content in the octahedral sheet of the studied samples is less than the maximum;
394 therefore, all ferrous ions in the octahedral sheet can be oxidized.

395 To verify the above discussion, the chemical compositions of FS009O and
396 FS009R1 were estimated using STEM-EDS and the $\text{Fe}^{3+}/\Sigma \text{Fe}$ ratios listed in Table 4.
397 Selected chemical compositions of these smectites (FS009O and FS009R1h) estimated by
398 STEM-EDS are listed in Table S2. This analysis can omit impurities such as magnetite and
399 hematite due to a small beam spot, and it may reflect the local composition if the
400 composition is heterogeneous. Here, the average chemical compositions from three
401 analyzed points were used for the following discussion. The chemical formula of these
402 saponites was determined as follows: (1) The initial chemical formula was determined
403 using the STEM-EDS data, similar to the procedure described for the ICP-OES data. (2) All
404 ferrous ions estimated from Table 4 were assigned as octahedral iron. The residual iron in
405 the octahedral sheet is assigned to ferric ions. (3) To neutralize the charge, the number of H
406 atoms was adjusted. The calculated chemical formulae are presented in Table 6. The
407 number of H atoms in FS009O was clearly smaller than that in FS009R1h. This may
408 support the mechanism of the oxidation reaction through desorption of H.

409

Revision 1

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415

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Revision 1

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List of figure captions

548 Fig. 1. XRD profiles of precipitates (FS007, 009, and 010) and typical standard samples of

549 synthetic saponite (Smecton SA), nontronite (NAu-1), and montmorillonite (Kunipia-F).

550 The numbers indicate the d -spacing of smectite in Å. The Bragg reflections from basal

551 planes were labeled as $00l$ without showing the d -spacing value, because the values vary

552 depending on the humidity. Reflections originating from minor minerals were labeled as

553 quartz (Qz), magnetite (Mag), and hematite (Hm).

554

555 Fig. 2. FTIR spectra of precipitates from three solutions with different Al contents. The

556 arrows indicate the absorbance which is possibly related to the tetrahedral Al-O-Si

557 vibrations (Russell and Fraser 1994).

558

559 Fig. 3. XRD profiles of FS009 and samples that were oxidized (FS009O), reduced for one

560 hour (FS009R1h), and for 24 hours (FS009R24h). The reflections from magnetite and

561 hematite were indicated by Mag and Hm, respectively.

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Revision 1

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564 Fig. 4. Low-resolution TEM images, ED patterns, and high-resolution TEM images of
565 FS009O (a, b, c) and FS009R1h saponites (d, e, f). The ED patterns (b and e) were
566 measured based on the TEM images (a and d).

567

568 Fig. 5. Fe K-edge XANES spectra of biotite, FS009R24h, FS009R1h, FS009O, and
569 nontronite (NAu-1). Open circles indicate the energy of edge at the normalized absorbance
570 of 0.5. Dashed lines indicate the minimum and maximum energies of edges in the measured
571 samples. Low energy of edge corresponds to the high ratio of ferrous ion in the sample.

572

573 Fig. 6. Mössbauer spectra of (a) biotite, (b) FS009R24h, (c) FS009R1h, and (d) FS009O.
574 Open circles are measured data. Solid line indicates the sum of each component from ferric
575 and ferrous ions.

576

577 Fig. 7. Ferric iron/total iron ($\text{Fe}^{3+} / \Sigma \text{Fe}$) ratios in biotite and saponites estimated from the
578 K-edge XANES spectra (open symbols) and from the Mössbauer spectra (solid symbols).

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Revision 1

580 Fig. 8. A comparison of XRD profiles around $02l$ and $11l$ reflections among FS009O,
581 FS009R24h, NAu-1, and two samples (John Klein and Cumberland) from the Yellowknife
582 Bay in Gale crater (Vaniman et al. 2014).

583

584 Fig. 9. Relationship between d_{02l} and d_{060} for smectites (Mnt: montmorillonite
585 (Nagelschmidt 1938; Earley et al. 1952; Miyawaki et al. 2010), Fe-rich Mnt (Fox et al.
586 2021), Bei: beidellite (Nagelschmidt 1938; Weir and Greene-Kelly 1962), Non: nontronite
587 (Nagelschmidt 1938; Eggleton 1977; Our sample), Mg-Sap: Mg-saponite (Nagelschmidt
588 1938; Cahoon 1954; Miyawaki et al. 2010), Di-trioctahedral Fe-Sme: Di-trioctahedral
589 Fe-smectite (Fox et al. 2021), Fe-Sap: Fe-saponite (Sudo 1954; Baldermann et al. 2014;
590 Chemtob et al. 2015; Fox et al. 2021). Open and solid symbols are categorized to
591 di-octahedral and tri-octahedral smectites, respectively. Solid line indicates the ideal
592 correlation described as $d_{02l} = 3d_{060}$. Shaded zone indicates the d_{02l} values of smectites
593 found in the Yellowknife Bay. Our data includes several synthesized samples of Fe-Sap in
594 addition to FS007, FS009, and FS010.

595

596 Fig. 10. Iron content in octahedral sheets (Fe_{Oct}) in a half-unit cell versus lattice parameter b .

Revision 1

597 The abbreviations of mineral names are the same as those in Fig. 9. Dashed and solid lines
598 indicate the fitted linear lines to open and solid symbols, respectively. Shaded zone
599 indicates the estimated range in the b parameter of smectites found in Yellowknife Bay.
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Revision 1

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Table 1. Molar ratio of elements in the initial solutions.

603

Element	FS007	FS009	FS010
Si	3.49	3.69	4.00
Mg	1.51	1.51	1.49
Fe	1.51	1.50	1.50
Al	0.50	0.30	0.00

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Table 2. Estimated oxide concentration in trioctahedral smectites.

Oxide (wt%)	FS007	FS009	FS010
Na ₂ O	4.2	3.2	2.8
MgO	11.4	11.9	11.8
Al ₂ O ₃	9.8	5.7	0.0
SiO ₂	35.7	37.4	38.3
FeO	22.8	20.5	17.9
Fe ₂ O ₃	3.0	4.4	6.9
H ₂ O	13.1	16.8	22.4

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Revision 1

610 **Table 3. Calculated chemical formula of trioctahedral smectites.**

Sample	Chemical formula
FS009	$\text{Na}_{0.54}(\text{Mg}_{1.53}\text{Fe}_{1.47})(\text{Si}_{3.22}\text{Al}_{0.57}\text{Fe}_{0.29})\text{O}_{10}(\text{OH})_2$
FS010	$\text{Na}_{0.50}(\text{Mg}_{1.61}\text{Fe}_{1.38})(\text{Si}_{3.52}\text{Fe}_{0.48})\text{O}_{10}(\text{OH})_2$

611

612 **Table 4. Estimated $\text{Fe}^{3+} / \Sigma \text{Fe}$ by XANES spectra. The range in biotite was obtained by**
613 **measuring several samples.**

Sample	$\text{Fe}^{3+} / \Sigma \text{Fe}$
Biotite	0.09 ± 0.06
FS009R24h	0.35 ± 0.06
FS009R1h	0.29 ± 0.06
FS009O	0.69 ± 0.06

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Revision 1

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Table 5. Mössbauer parameters at room temperature

Sample	Cation	IS ^a	QS ^b	FWHM ^c	Area (%) ^d	Fe ³⁺ /Σ Fe ^e
		(mm/s)	(mm/s)	(mm/s)		
FS009O	Fe ²⁺	1.00 (7) ^f	2.70 (14)	0.27 (21)	12 (7)	0.86 (8)
	Fe ³⁺	0.32 (3)	0.82 (5)	0.48 (7)	88 (7)	
FS009R1h	Fe ²⁺	1.10 (2)	2.62 (4)	0.25 (5)	34 (7)	0.62 (21)
	Fe ³⁺ (Oct) ^g	0.35 (5)	0.77 (8)	0.45 (11)	53 (9)	
	Fe ³⁺ (Tet) ^h	0.05 (14)	0.30 (28)	0.36 (38)	13 (10)	
FS009R24h	Fe ²⁺	1.13 (3)	2.51 (6)	0.33 (6)	23 (4)	0.73 (15)
	Fe ³⁺ (Oct)	0.34 (2)	1.02 (7)	0.57 (6)	69 (6)	
	Fe ³⁺ (Tet)	0.27 (6)	0.35 (11)	0.31 (23)	8 (7)	
Biotite	Fe ²⁺	1.11 (1)	2.51 (1)	0.47 (1)	90 (2)	0.09 (4)
	Fe ³⁺ (Oct)	0.50 (11)	0.60 (15)	0.48 (22)	6 (2)	
	Fe ³⁺ (Tet)	-0.04 (3)	0.35 (7)	0.20 (7)	4 (1)	
Constraints ⁱ	Fe ²⁺	1-1.2	1.6-3.2	0.2-1		
	Fe ³⁺	-0.2-0.5	0.2-1.3	0.2-1		

Revision 1

Fe ³⁺ (Oct)	0.25-0.5	0.2-1.3	0.2-1
Fe ³⁺ (Tet)	-0.2-0.3	0-0.35	0.2-1

620 ^a IS = isomer shift. ^b QS = quadrupole splitting. ^c FWHM = full width at a
621 half-maximum. ^dUncorrected Area by the recoilless fraction. ^e Calculated by using the
622 recoilless fraction ratio of 1.21. ^f Number in parentheses represent fitting uncertainty for
623 final digit(s). ^g Ferric ion in octahedral site. ^h Ferric ion in tetrahedral site. ⁱ Constraints
624 in the fit range of parameters.

625

626 **Table 6. Calculated chemical formula of oxidized and reduced FS009.**

Sample	Chemical formula
FS009O	Na _{0.43} (Mg _{1.70} Fe(II) _{0.54} Fe(III) _{0.76})(Si _{3.27} Al _{0.39} Fe _{0.43})O _{10.80} (OH) _{1.20}
FS009R1h	Na _{0.51} (Mg _{2.01} Fe(II) _{0.85} Fe(III) _{0.14})(Si _{3.36} Al _{0.47} Fe _{0.21})O _{10.18} (OH) _{1.82}

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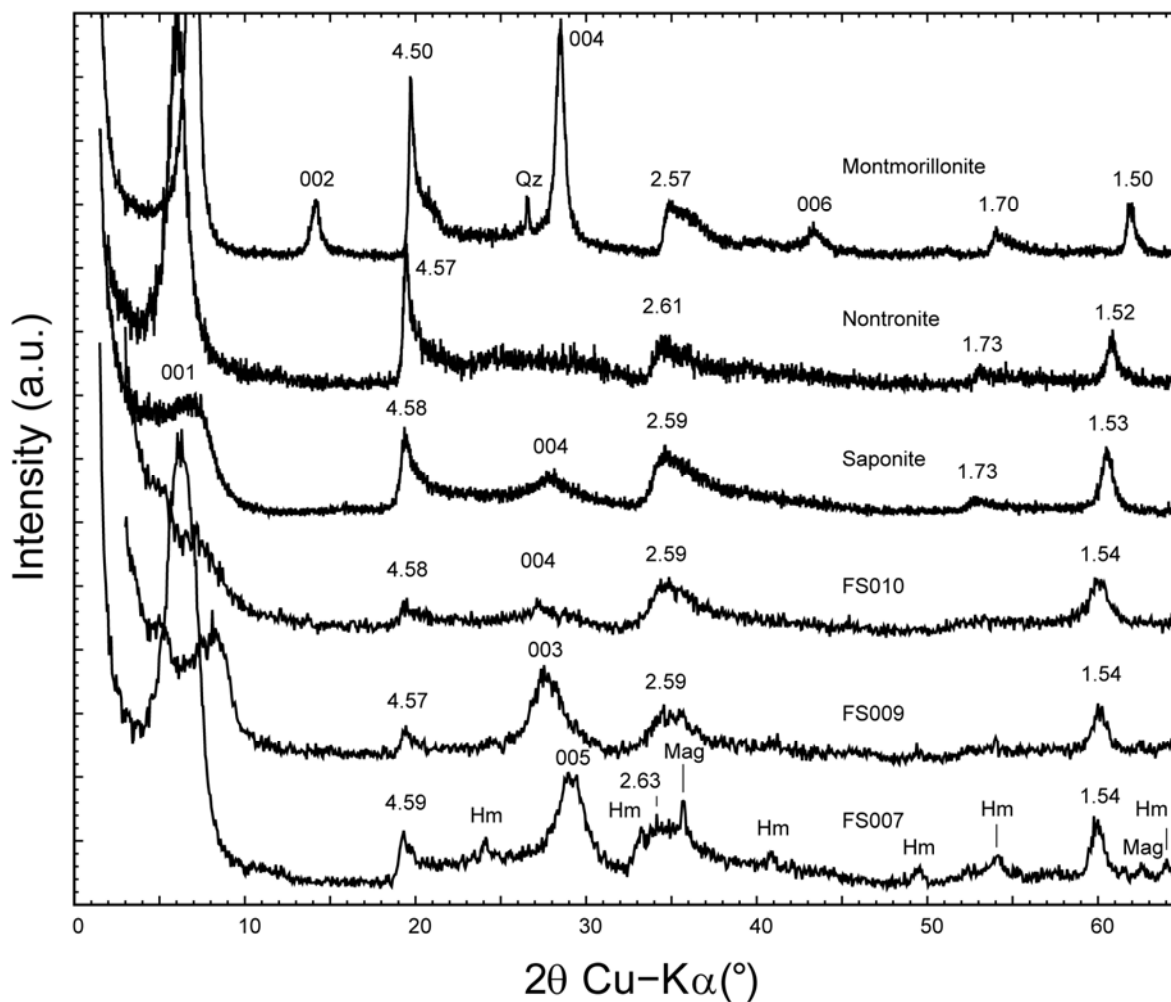
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Revision 1

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632 **Figure 1** XRD profiles of precipitates (FS007, 009, and 010) and typical standard samples

633 of synthetic saponite (Smecton SA), nontronite (NAu-1), and montmorillonite (Kunipia-F).

634 The numbers indicate the *d*-spacing of smectite in Å. The Bragg reflections from basal

635 planes were labeled as 00*l* without showing the *d*-spacing value, because the values vary

636 depending on the humidity. Reflections originating from minor minerals were labeled as

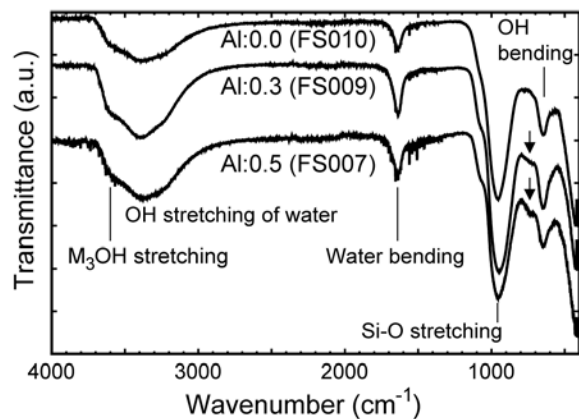
Revision 1

637 quartz (Qz), magnetite (Mag), and hematite (Hm).

Revision 1

638

Figure 2



639

640 **Figure 2** FTIR spectra of precipitates from three solutions with different Al contents. The

641 arrows indicate the absorbance which is possibly related to the tetrahedral Al-O-Si

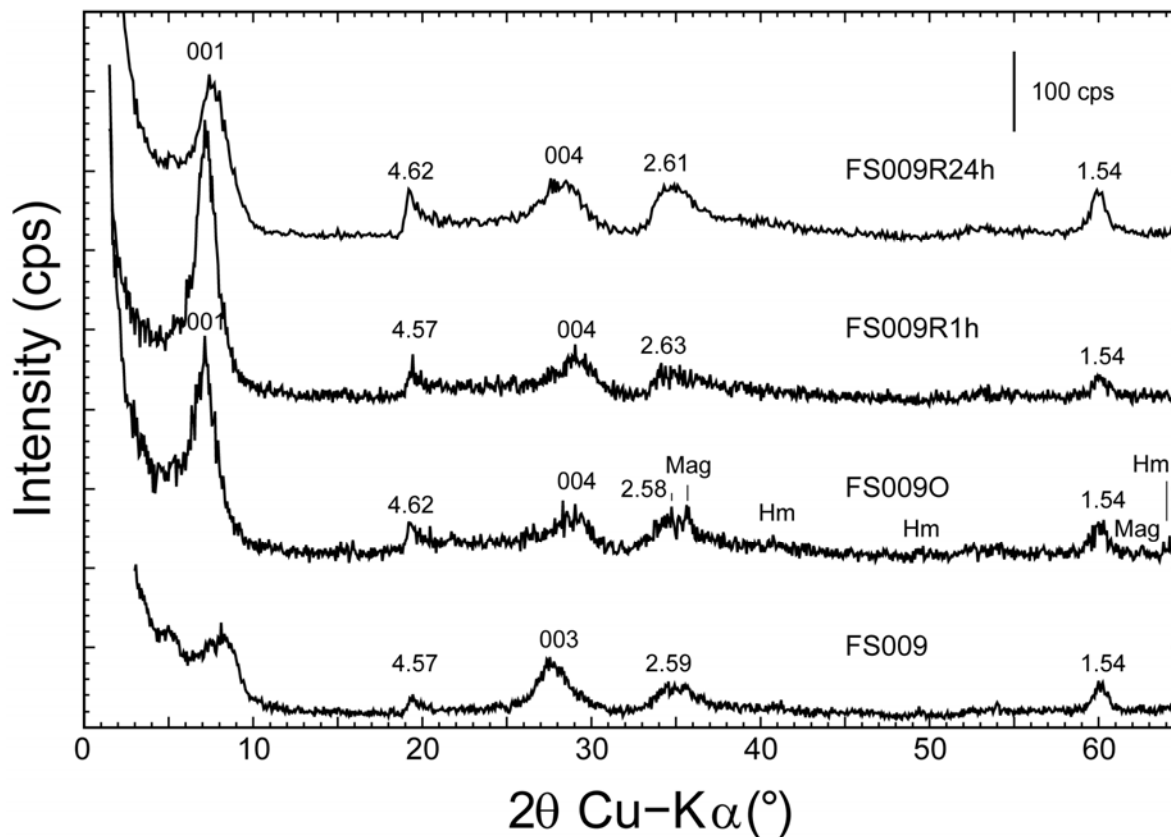
642 vibrations (Russell and Fraser 1994).

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Revision 1

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Figure 3



645

646 **Figure 3** XRD profiles of FS009 and samples that were oxidized (FS009O), reduced for

647 one hour (FS009R1h), and for 24 hours (FS009R24h). The reflections from magnetite and

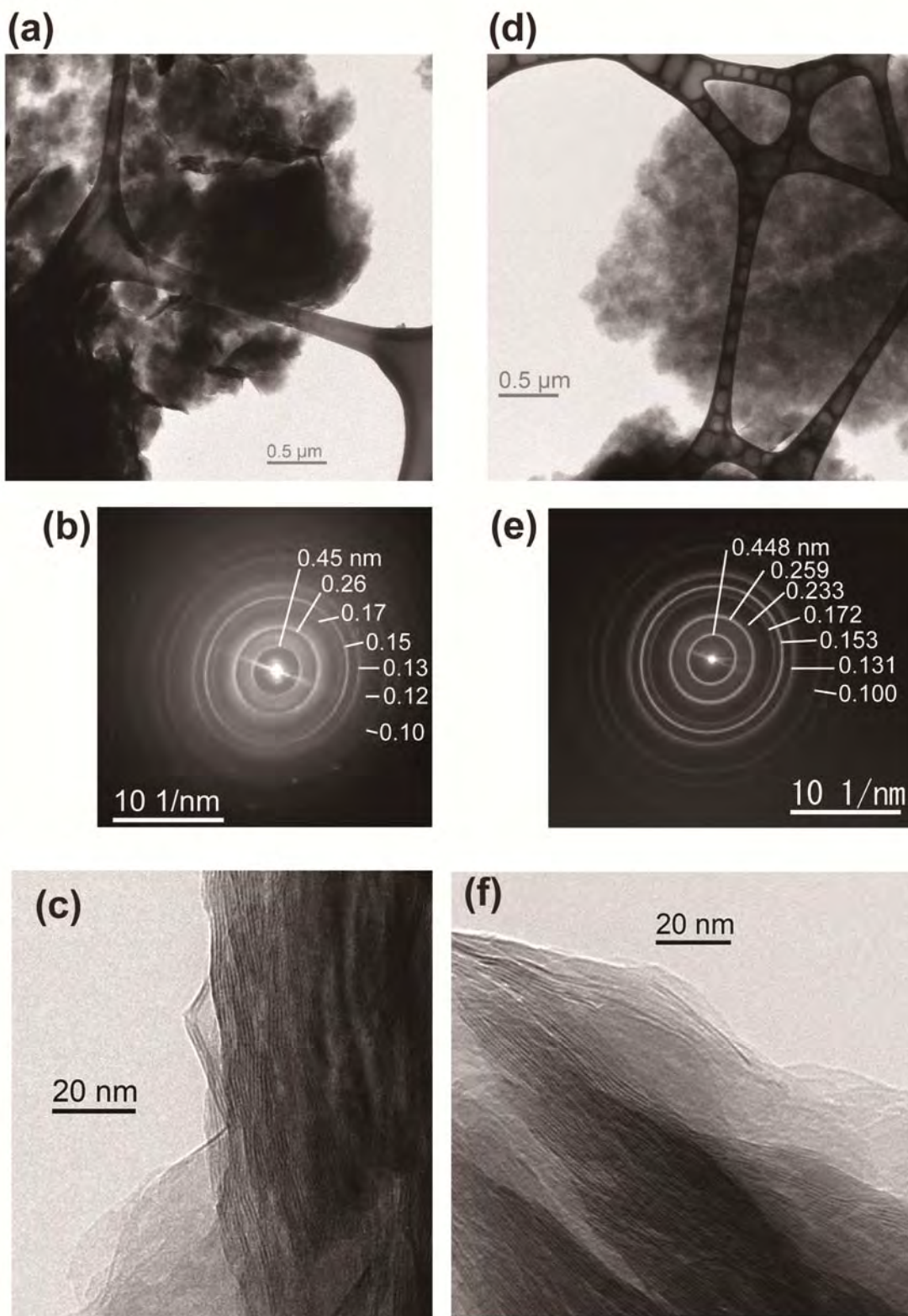
648 hematite were indicated by Mag and Hm, respectively.

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Revision 1

Figure 4



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46

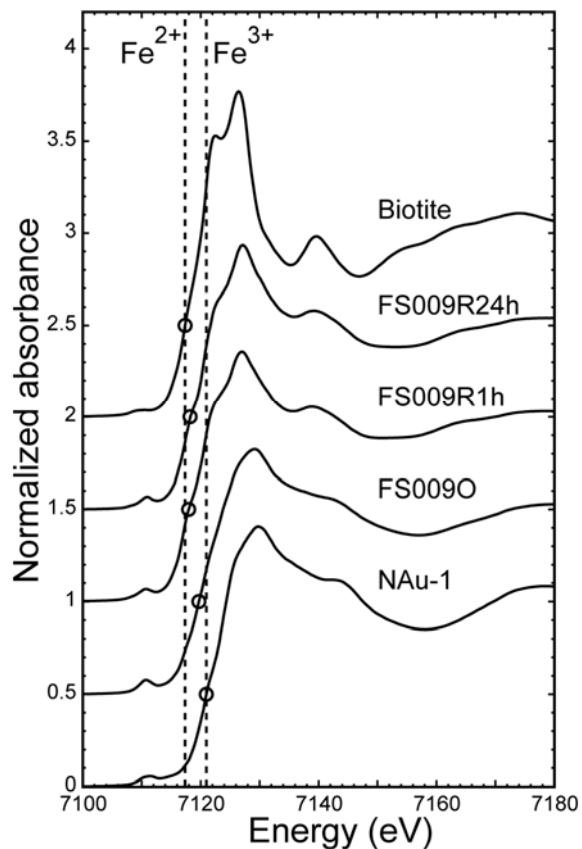
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652 **Figure 4** Low-resolution TEM images, ED patterns, and high-resolution TEM images of
653 FS009O (a, b, c) and FS009R1h saponites (d, e, f). The ED patterns (b and e) were
654 measured based on the TEM images (a and d).
655

Revision 1

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Figure 5



657

658 **Figure 5** Fe K-edge XANES spectra of biotite, FS009R24h, FS009R1h, FS009O, and

659 nontronite (NAu-1). Open circles indicate the energy of edge at the normalized absorbance

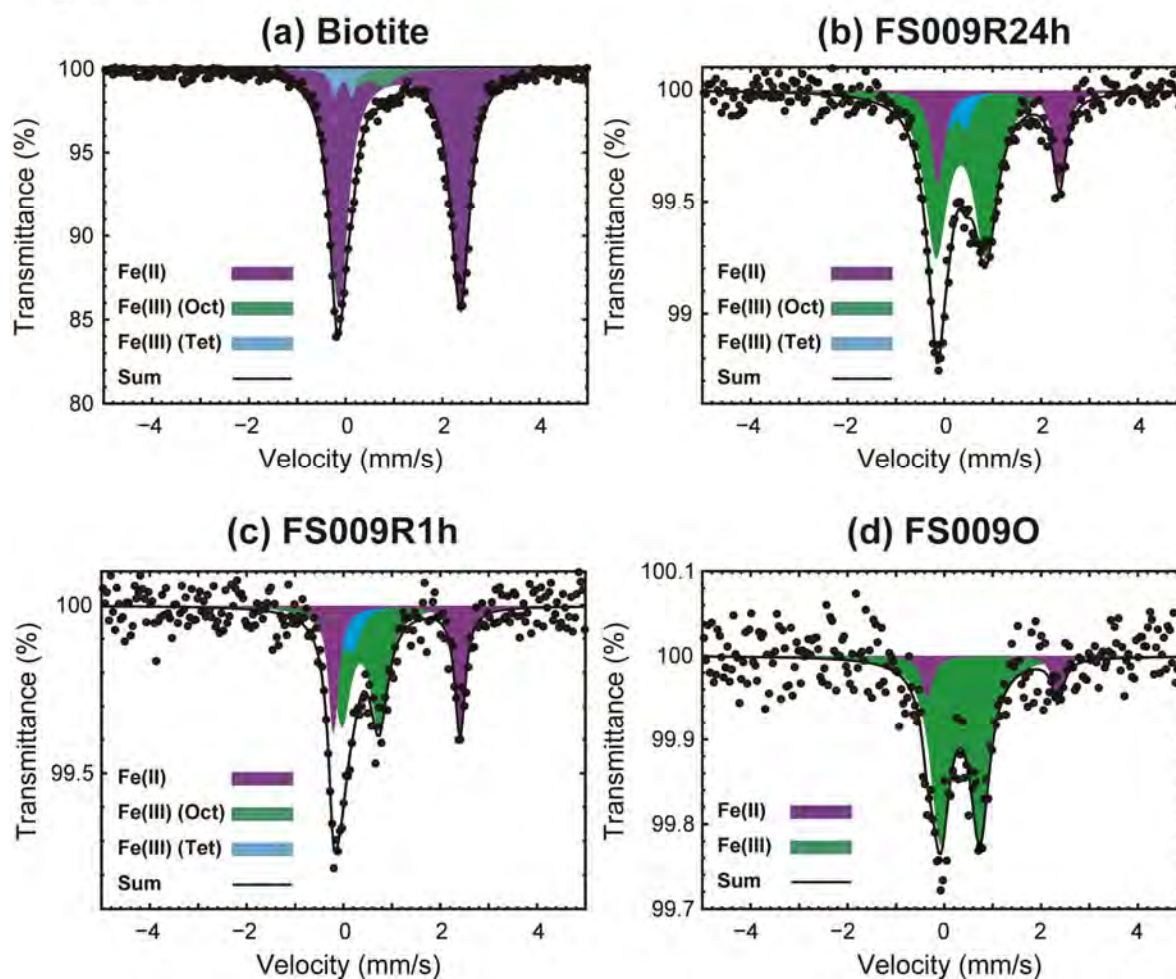
660 of 0.5. Dashed lines indicate the minimum and maximum energies of edges in the measured

661 samples. Low energy of edge corresponds to the high ratio of ferrous ion in the sample.

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Revision 1

Figure 6



663

664 **Figure 6** Mössbauer spectra of (a) biotite, (b) FS009R24h, (c) FS009R1h, and (d) FS009O.

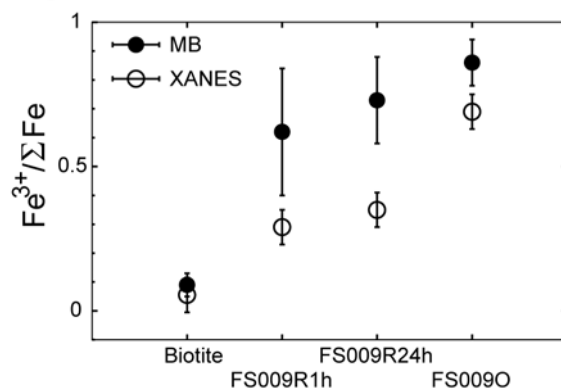
665 Open circles are measured data. Solid line indicates the sum of each component from ferric

666 and ferrous ions.

667

Revision 1

Figure 7



668

669 **Figure 7** Ferric iron/total iron ($Fe^{3+}/\Sigma Fe$) ratios in biotite and saponites estimated from the

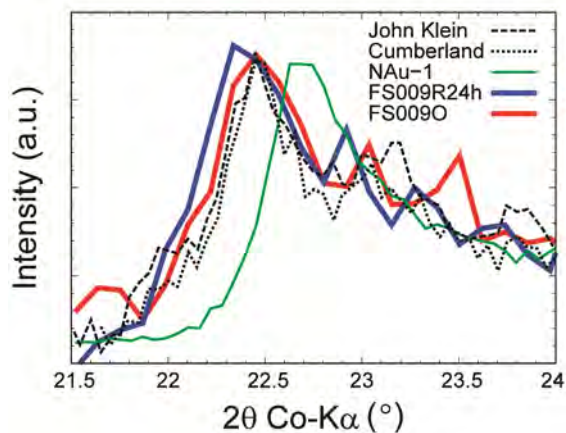
670 K-edge XANES spectra (open symbols) and from the Mössbauer spectra (solid symbols).

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Revision 1

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Figure 8



673

674 **Figure 8** A comparison of XRD profiles around 02l and 11l reflections among FS009O,

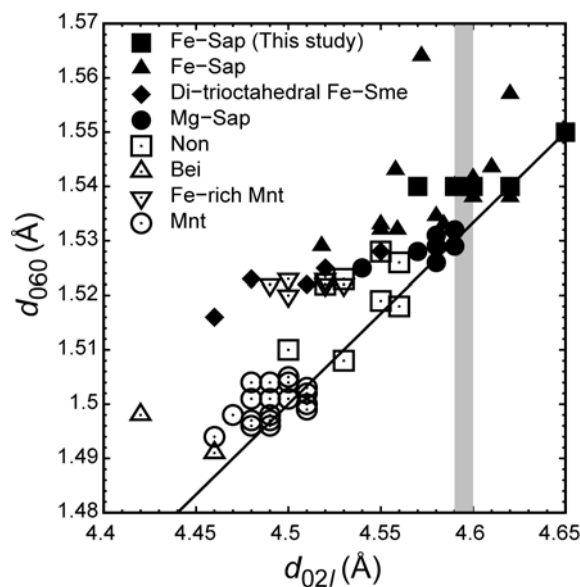
675 FS009R24h, NAu-1, and two samples (John Klein and Cumberland) from the Yellowknife

676 Bay in Gale crater (Vaniman et al. 2014).

677

Revision 1

Figure 9

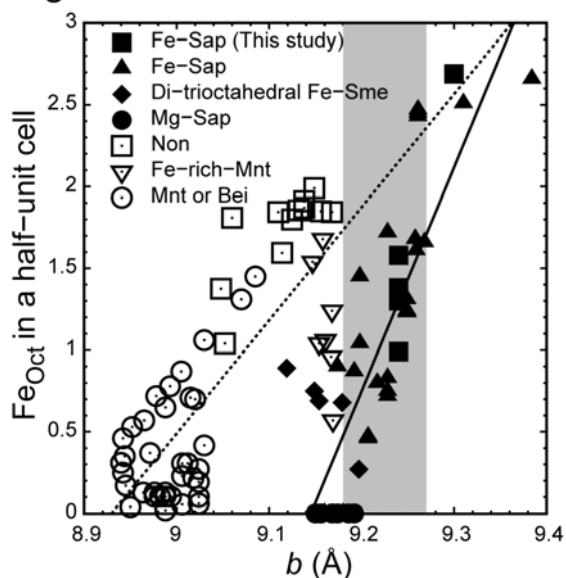


678

679 **Figure 9** Relationship between d_{02l} and d_{060} for smectites (Mnt: montmorillonite
680 (Nagelschmidt 1938; Earley et al. 1952; Miyawaki et al. 2010), Fe-rich Mnt (Fox et al.
681 2021), Bei: beidellite (Nagelschmidt 1938; Weir and Greene-Kelly 1962), Non: nontronite
682 (Nagelschmidt 1938; Eggleton 1977; Our sample), Mg-Sap: Mg-saponite (Nagelschmidt
683 1938; Cahoon 1954; Miyawaki et al. 2010), Di-trioctahedral Fe-Sme: Di-trioctahedral
684 Fe-smectite (Fox et al. 2021), Fe-Sap: Fe-saponite (Sudo 1954; Baldermann et al. 2014;
685 Chemtob et al. 2015; Fox et al. 2021). Open and solid symbols are categorized to
686 di-octahedral and tri-octahedral smectites, respectively. Solid line indicates the ideal
687 correlation described as $d_{02l} = 3d_{060}$. Shaded zone indicates the d_{02l} values of smectites
688 found in the Yellowknife Bay. Our data includes several synthesized samples of Fe-Sap in
689 addition to FS007, FS009, and FS010.

Revision 1

Figure 10



690

691 **Figure 10** Iron content in octahedral sheets (Fe_{Oct}) in a half-unit cell versus lattice

692 parameter b . The abbreviations of mineral names are the same as those in Fig. 9. Dashed

693 and solid lines indicate the fitted linear lines to open and solid symbols, respectively.

694 Shaded zone indicates the estimated range in the b parameter of smectites found in

695 Yellowknife Bay.

696