1 Revision 1

2 Water in the Crystal Structure of CaSiO₃ Perovskite

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9 Abstract

10 While the water storage capacities of the upper 700-km depths of the mantle have been constrained by high-pressure experiments and diamond inclusion studies, the storage capacity of 11 12 the lower mantle remains controversial. A recent high-pressure experimental study on CaSiO₃ 13 perovskite, which is the third most abundant mineral in the lower mantle, reported possible 14 storage of H₂O up to a few weight percent. However, the substitution mechanism for H in the phase remains unknown. We have conducted a series of density functional theory calculations 15 16 under static-lattice conditions and high pressures to elucidate hydration mechanisms at the atomic scale. All of the possible dodecahedral ($Ca^{2+} \rightarrow 2H^+$) and octahedral ($Si^{4+} \rightarrow 4H^+$) 17 substitution configurations for a tetragonal perovskite lattice have very small energy differences. 18 19 suggesting coexistence of multiples of H configurations in CaSiO₃ perovskite at mantle pressures 20 and temperatures. The dodecahedral substitutions decrease the bulk modulus, resulting in smaller unit-cell volume of hydrous CaSiO₃ perovskite under pressure, consistent with the experimental 21 22 observations. Although the octahedral substitutions also decrease the bulk modulus, they increase the unit-cell volume at 1 bar. The H atoms substituted in the dodecahedral sites develop 23 much less hydrogen bonding with O atoms, leading to a large distortion in the neighboring SiO₆ 24 25 octahedra. Such distortion may be responsible for the non-cubic peak splittings observed in 26 experiments on hydrous CaSiO₃ perovskite. Our calculated infrared spectra suggest that the 27 observed broad OH modes in CaSiO₃ perovskite can result from the existence of multiples of H configurations in the phase. Combined with the recent experimental results, our study suggests 28 that CaSiO₃ can be an important mineral phase to consider for the H₂O storage in the lower 29

- 30 mantle.
- 31 **Keywords:** CaSiO₃ perovskite; water; mantle; first-principles calculation
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33 Introduction

Global cycles involving volatile elements, such as hydrogen, are important for a range of process in Earth and planetary systems, including interior-atmosphere interaction, mantle mixing and convection, and surface tectonics (Bolfan-Casanova, 2005; Hirschmann, 2006; Ohtani et al., 2016). Laboratory studies have shown that some nominally anhydrous minerals (NAMs) in the mantle transition zone can contain a large amount of H₂O in the crystal structure (Kohlstedt et al., 1996; Smyth, 1994; Bell and Rossman, 1992), which has been recently supported by

40 diamond inclusion studies (Pearson et al., 2014).

41 However, the H₂O storage capacities of the major nominally anhydrous mineral phases in the

42 lower mantle have been controversial. Earlier studies proposed a possible large storage for

43 bridgmanite (Murakami et al., 2002; Litasov et al., 2003). Later, it was suggested that existence

44 of small hydrous inclusions can bias the earlier results and that the H₂O storage capacity of

45 bridgmanite is very low compared with the nominally anhydrous mineral phases in the mantle

46 transition zone (Bolfan-Casanova, 2005; Panero et al., 2015). However, a more recent study

47 reported a large amount of H₂O stored in bridgmanite synthesized from melt (Fu et al., 2019).

48 Therefore, the H₂O storage capacity of bridgmanite remains uncertain. It appears that

49 ferropericlase can contain only a very small amount of H₂O (Bolfan-Casanova et al., 2003).

50 CaSiO₃ perovskite is the third most abundant phase in the pyrolitic lower mantle composition

51 (Kesson et al., 1998; Lee et al., 2004). It is one of the main mineral phases in subducting oceanic

52 crust materials (Hirose et al., 2005; Ricolleau et al., 2010; Grocholski et al., 2012). Inclusions in

53 diamond crystals from the deep mantle support the existence of CaSiO₃ perovskite in the lower

54 mantle (Smith et al., 2018; Nestola et al., 2018). The importance of the crystal structure and

⁵⁵ elastic properties of CaSiO₃ perovskite has been also highlighted for the topmost lower mantle

and the core-mantle boundary region in some recent studies (Thomson et al., 2019; Gréaux et al.,

57 2019). Astrophysical studies have shown that some stars may produce a larger amount of Ca

58 (Hinkel and Unterborn, 2018). Earth-like exoplanets around those stars may therefore contain a

59 larger amount of CaSiO₃ perovskite in their lower mantle. Accordingly, it is important to

60 measure possible storage of H₂O and its impact for the equation of state for understanding the

61 geophysics and geochemistry of those planets. Although some studies have suggested possible

H₂O storage in this mineral phase (Murakami et al., 2002; Németh et al., 2017; Chen et al.,

63 2020), it has been difficult to characterize the amount of H₂O possibly stored in the mineral. The

64 main reason has been that CaSiO₃ perovskite is not quenchable to 1 bar, which makes it difficult

to conduct H quantification (such as secondary ion mass spectrometry, SIMS) as the technique

66 requires the recovery of high-pressure samples to 1 bar.

67 In the most recent experimental study, Chen et al. (2020) proposed a percent level solubility of H₂O in the crystal structure of CaSiO₃ perovskite. Hampered by the amorphization and the 68 69 limitations in characterization techniques, the quantity and substitution mechanism remain unclear. Nevertheless, they observed a number of important changes in CaSiO₃ perovskite upon 70 71 hydration at high pressures. In particular, (1) H substitution is found to decrease the unit-cell volume of CaSiO₃ perovskite and (2) tetragonal distortion persists to mantle-related temperatures 72 73 for hydrous CaSiO₃ perovskite. The latter behavior is in contrast with observations for anhydrous 74 CaSiO₃ perovskite in that stability of cubic structure known at mantle-related temperatures for 75 the anhydrous case (Kurashina et al., 2004a; Chen et al., 2018). Chen et al. (2020) also found the 76 formation of δ -AlOOH in an experiment where Al-bearing CaSiO₃ was heated in an H₂O 77 medium. The result indicates that H₂O changes the partition behavior of Al such that Al does not dissolve in CaSiO₃ in a presence of H_2O unlike anhydrous system where up to 10 wt% solubility 78 79 of Al has been documented (Gréaux et al., 2011). The observation also suggests that $Si^{4+} \rightarrow Al^{3+}$ + H⁺ mechanism (Pawley et al., 1993; Navrotsky, 1999; Litasov et al., 2003, 2007) would not be 80 a major factor for the storage of H₂O in the case of CaSiO₃ perovskite and direct substitution of 81 Ca (Ca²⁺ \rightarrow 2H⁺) (Beran et al., 1996) and Si (Si⁴⁺ \rightarrow 4H⁺) (Spektor et al., 2011) should be 82 considered. However, because of the experimental difficulties, most notably the inability of X-83 84 ray diffraction to directly resolve H atom locations, these experiments could not confirm the H substitution hypothesis in CaSiO₃ perovskite. Density functional theory (DFT) based simulation 85 provides an important tool to examine such proposed substitution mechanisms. The calculation 86 can also address if H₂O substitution can lead to the observed changes in unit-cell parameters and 87 88 symmetry of CaSiO₃ perovskite in Chen et al. (2020). While DFT methods have been used for

studying the physical properties and crystal structure of CaSiO₃ perovskite (Chizmeshya et al.,

90 1996; Akber-Knutson et al., 2002; Magyari-Köpe et al., 2002; Jung and Oganov, 2005; Caracas

et al., 2005; Stixrude et al., 2007; Kawai and Tsuchiya, 2015; Thomson et al., 2019), to our

92 knowledge, there is no DFT study examining the possible substitution of H₂O in CaSiO₃

93 perovskite. MgSiO₃ perovskite (bridgmanite) has a similar structure with a lower symmetry

94 (orthorhombic). Hernández et al. (2013) has conducted DFT calculation for H substitution in

bridgmanite. However, they focused primarily on the partitioning of H among bridgmanite,

ringwoodite, and periclase, and only one configuration was used to represent each of the

97 dodecahedral and the octahedral substitutions.

In this study, we explore the energetics and impact of $Ca^{2+} \rightarrow 2H^+$ and $Si^{4+} \rightarrow 4H^+$ substitutions

on the energetics and the crystal structure of CaSiO₃ perovskite. The focus of our study is to

100 examine if the experimentally observed changes in unit-cell parameters and symmetry of CaSiO₃

101 perovskite can be explained by these substitution mechanisms. In addition, we calculated

102 infrared spectra of a few chosen H configurations and compared them with the reported IR

103 spectra for the OH vibration in CaSiO₃ perovskite.

104

105 Method

106 **Density functional theory calculation**

107 We conducted the density functional theory (DFT) calculations using both the GPAW code

108 (Enkovaara et al., 2010) and the VASP code (Kresse and Furthmüller, 1996) with generalized

109 gradient approximations (GGA) for the exchange correlation potentials. For GGA we used PBE

110 exchange-correlation functional (Perdew et al., 1996). For the projected augmented wave (PAW)

(Blöchl, 1994) method adopted here a plane-wave cutoff of 1000 eV was sufficient to converge

112 the unit-cell electronic energy to the meV level, and reduce the residual atomic forces to 0.03 eV/

- 113 Å. We tested the calculations for a higher cutoff energy (1200 eV) and a lower residual atomic
- 114 forces (0.01 eV/Å). We found negligible changes in these tests, such as less than 0.0006 Å
- changes in unit-cell parameters, 0.01 Å changes in the bond distances, and 0.02° changes in the
- bond angles. The outcomes obtained from GPAW and VASP were found to be essentially

117 indistinguishable.

- 118 We adapted the tetragonal structure reported in Chen et al. (2018) for the anhydrous CaSiO₃
- 119 perovskite model. The space group of the initial model structure is *I4/mcm* with approximately 7-
- 120 degree anti-phase rotations of the octahedra in the framework with rotation vectors along one
- primary axis the a0a0c rotation group in the Glazer notation (Glazer, 1972). The *c*-axis is
- doubled compared to the perovskite aristotype. We constructed a $2 \times 2 \times 1$ supercell of the
- tetragonal cell and then remove all the symmetry constraints for calculations of a P1 cell.
- 124 Therefore, our 'Anhy' model cell contains a total of 80 atoms (or 16CaSiO₃). We used
- 125 Monkhorst-Pack sampling (Monkhorst and Pack, 1976): a total of 96 points (4×4×6). A greater
- sampling for $(6 \times 6 \times 8)$ does not lead to any significant difference in the crystal structure results.
- 127 We conducted simultaneous optimization for the atomic positions and lattice constants using the
- 128 Broyden-Fletcher-Goldfarb-Shanno algorithm (BFGS) in the Atomic Simulation Environment
- 129 (Larsen et al., 2017) in the static lattice approximation (e.g., no zero-point motion or thermal
- 130 corrections). The calculations were conducted at seven different pressures: 0, 20, 40, 60, 80, 100,
- 131 and 120 GPa.

132 Hydrogen substitution models

- 133 For storage of H₂O in CaSiO₃ perovskite, we consider two possible substitution mechanisms:
- 134 dodecahedral Ca substitution (hereafter CaH models),

$$135 \quad Ca^{2+} \to 2H^+, \tag{1}$$

- 136 and octahedral Si substitution (hereafter SiH models),
- $137 \quad \mathrm{Si}^{4+} \to 4\mathrm{H}^+. \tag{2}$

138 The Ca and Si substitutions result in 0.99 and 1.96 wt% H₂O in the crystal structure of CaSiO₃

- 139 supercell we consider in this study, respectively. We also examined two Ca vacancies for two
- 140 CaH models (see below). The content of H₂O in this case is 2.02 wt%.
- 141 In the CaH models, two H atoms bond with two O atoms out of 12O atoms in a dodecahedral site
- 142 (Fig. 1). From the symmetry of the Ca-O dodecahedron in the tetragonal perovskite structure
- 143 expected for anhydrous CaSiO₃, we identified three groups of O atoms ("S", "E", and "L"). For
- 144 each of the "S" and "L" cases, the four O atoms form a tetrahedral motif as depicted by different

145 colors in Fig. 1. The "S" and "L" oxygen atoms are distinguished by their distances from the

- 146 dodecahedral center where a Ca atom exists. Two of those four O atoms (S or L) can form
- 147 bonding with two H atoms, resulting in two different cases: H atoms bond with (1) two O atoms
- 148 at the same z locations (such as S1-S2, S3-S4, L5-L6, and L7-L8 in the figure; CaH-SS or CaH-
- LL in Tab. 1), and (2) two O atoms at the different z locations (such as S1-S3, S1-S4, L5-L7, and
- 150 L5-L8; CaH-SS' or CaH-LL').
- 151 The O atoms in the third group have intermediate distances from the center of the dodecahedron
- 152 (E's in Fig. 1). They form a square. Considering degeneracy, there are two cases to consider: H
- atoms bond with (1) two O atoms at the opposite corners (such as E9-E10; CaH-EE2 where 2
- 154 means second neighbor) and (2) two O atoms at the same edge (such as E9-E11; CaH-EE where
- absence of any number at the end means next neighbor).
- 156 We also consider cases where two H atoms bond with O atoms in different groups (Tab. 1). For
- 157 example, CaH-SE2 has H atoms bonded to O(S) and O(E) at the second neighbor, such as S1-E9.
- 158 CaH-SL'3 has H atoms bonded to O(S) and O(L) in two layers separated by O(E) atoms and they
- are third neighbors, such as S1-L7 in Fig. 1. For some cases which will be discussed later in this
- 160 paper, "S" and "L" O atoms become indistinguishable after optimization. We identified 10
- 161 energetically distinct structures for the CaH model group as shown in Tab. 1.
- 162 For the octahedral site hydration models (Eq. 2), we have identified four possible configurations
- 163 for H substitution (Tab. 1). Because oxygen atoms at both S and L in an octahedron are bonded
- 164 with neighboring Ca atoms at both distances, these oxygen atoms are no longer distinct with each
- 165 other and therefore SiH-SSLE is, for example, equivalent to SiH-LLSE. However, SLEE and
- 166 SSEE are distinct configurations. The oxygen atoms at L and S are located in the equatorial
- 167 plane of an octahedron as shown in Fig. 2. Two O(S)'s are located diagonal positions in the
- 168 plane. Therefore, in SLEE, H atoms bond to two neighboring oxygen atoms in the plane, while in
- 169 SSEE, H atoms bond to O atoms at the diagonal positions (SLEE is the most energetically
- 170 unfavorable SiH configuration).
- 171 The starting crystal structures for the hydration models were constructed from the optimized
- anhydrous CaSiO₃ perovskite model. We removed Ca or Si atoms to create defects from the
- anhydrous structure and then added compensating H atoms at 1 Å away from the covalently
- bonded O atoms. The starting models of CaH assume that H atoms points to the center of the

dodecahedral site from the docking O atoms. In the SiH starting models, the H atoms point at

adjacent O atoms in the octahedral sites. If H-unbonded O atoms are available in the octahedron,

177 H atoms are aligned to point to those oxygen atoms.

178 The structures were calculated by relaxing all atomic positions (including H), cell shape, and

179 unit-cell volume. We conducted calculations for the same computational conditions employed in

180 the anhydrous model above (Tab. 1). While initially distinct, some models become identical to

- 181 each other after optimization, such as CaH-SS' and CaH-LL', and CaH-SS and CaH-LL, as noted
- 182 in the table. Also, we found that SiH-SSLL structure becomes SiH-SSLE at 20 GPa. For the
- 183 purpose of understanding the impact of higher concentration of H defects in CaH configurations,
- 184 we conducted calculations for CaH-EE2 and CaH-SL'3, which are CaH-EE2×2 and CaH-SL'3×2,
- 185 respectively.

186 The pressure-volume data we obtained from the DFT calculations were fit to Vinet equation

187 (Vinet et al., 1989) for the effects of H₂O substitution on the compressibility of CaSiO₃

188 perovskite. In order to simplify the comparison, we first conducted fitting for bulk modulus (K_0)

only, while we fix unit-cell volume at 1 bar (V_0) to the calculated values and pressure derivative

190 of K_0 (K'_0) to 4.45 which is obtained for the anhydrous case (Tab. 2). We also conducted fitting

191 for both K_0 and K'_0 . The fitting was conducted using the Pytheos package (Shim, 2017). The K_0

and K'_0 we obtained for anhydrous CaSiO₃ perovskite are in agreement with previous studies

193 (Chizmeshya et al., 1996; Akber-Knutson et al., 2002; Magyari-Köpe et al., 2002; Jung and

194 Oganov, 2005; Kawai and Tsuchiya, 2015; Noguchi et al., 2013; Chen et al., 2018).

195 Calculation of infrared spectra

196 The infrared intensities were obtained by first re-optimizing the desired structural model to a 197 high degree of convergence (residual cell stress and atomic forces less than 0.01 kbar and 0.0001 198 eV/Å, respectively), and then calculating the Γ -point phonon frequencies and corresponding 199 displacement vectors using density functional perturbation theory as implemented in the VASP 200 code. In this part of the procedure, the dielectric response and Born effective charge tensor are systematically obtained (using the LEPSILON flag), then combined with the Γ -point phonon 201 202 eigenvectors to obtain the infrared intensity of each mode (Porezag and Pederson, 1996). The 203 resulting discrete spectra were then convoluted with a Gaussian function (width of 50 $\rm cm^{-1}$).

204

205 **Results and Discussions**

206 Energetics of the hydrogen substitutions in CaSiO₃ perovskite

As shown in Tab. 1 and Fig. 3, the energy difference between CaH models are smaller than ~ 0.5 meV per atom for the pressure range we considered. This energy difference corresponds to 12 K and therefore that all the configurations we consider here are plausible for the H substitution mechanism and multiples of different H configurations considered here may exist together at mantle pressures and temperatures.

Among the models we studied, the CaH-EE2 model is similar to the arrangement of H atoms

213 proposed for natural hydrous CaTiO₃ perovskite by Beran et al. (1996). The energy for the

structure was the highest among the model we studied at 0 GPa. With compression, the structure

215 becomes relatively more stable than other structures we considered. Although details were not

216 provided, a stable H configuration in the dodecahedral site reported for bridgmanite in

217 Hernández et al. (2013) appears to be similar to CaH-SS' which is the most stable H

218 configuration at 0 GPa in our study (Fig. 3a).

219 SiH-SSEE has somewhat higher energy than SiH-SSLE, while the energy difference between the

220 SiH-SSLE and SiH-SSEE models decreases with compression (Fig. 3b). H configurations similar

221 to SiH-SSEE was documented as the lowest energy one alongside with SiH-SSLL (which

transforms to SiH-SSLE at 20 GPa for CaSiO₃ perovskite) for bridgmanite in Hernández et al.

223 (2013). SiH- SSEE is similar to the H configuration proposed for H in hydrous stishovite by

224 Spektor et al. (2011). In this configuration, four out of six O atoms in an SiO₆ octahedron are

bonded to H atoms and the other two remain unbonded to H. The four H atoms point at H-

unbonded O atoms and they form a tetrahedron (the dotted lines in Fig. 2d). In SiH-SSEE, the

227 centroids of the 4H polyhedron and the 6O octahedron match well. However, they are about 0.2

²²⁸ Å off from each other in Si-SSLE. The most notable difference between these two structures is

229 that the average H-H distance is greater in SiH-SSLE at lower pressures compared with SiH-

230 SSEE (Fig. 4). This can be seen in the histograms in Fig. 5 which also show a much broader

231 range of H–H distances in SSLE than SSEE. Among the broad range, SSLE shows very long H–

H distances at lower pressures, which may explain the slightly lower energy of the structure at

233 lower pressures. The observation suggests that the smaller H–H distance and therefore stronger

repulsion between them could make the SSEE configuration less stable than SSLE at lower

235 pressures in CaSiO₃ perovskite.

236 It appears that H–H distance may not be the sole factor to decide the stability of H configurations

237 in the CaH models as it is clear through comparison between Figs 3a and 5. In addition, while the

average H–H distance becomes larger in SSEE than SSLE in SiH models at 50 GPa, SSLE still

remains to be lower energy configuration up to 120 GPa, suggesting that there are other factors,

such as tilting of the octahedra to accommodate the distortion around the defect sites.

241 Unit-cell parameters and compressibility

Chen et al. (2020) found that hydrous CaSiO₃ perovskite has a smaller unit-cell volume than anhydrous CaSiO₃ perovskite. They also showed that the peak splitting of the 200 line (the Miller index is for a pseudo-cubic cell) persists to mantle-related temperatures, suggesting the stabilization of a tetragonal structure at the conditions in the hydrous case. This observation is in sharp contrast with anhydrous CaSiO₃ perovskite where stability of a cubic structure has been found at mantle related temperatures and high pressures (Kurashina et al., 2004b; Chen et al., 2018).

249 Our results show that the unit-cell volume of hydrous CaSiO₃ perovskite does not change more 250 than 0.07% from that of anhydrous CaSiO₃ perovskite at 1 bar for CaH (Fig. 6a). In the case of SiH, we found much larger volume expansion by hydration, more than 1.5%. Previous studies 251 252 have found that the octahedral site substitution can significantly increase the unit-cell volume of 253 hydrous stishovite (Spektor et al., 2011, 2016; Nisr et al., 2017, 2020). However, the SiH models 254 have twice as much H as the CaH models. We also calculated CaH with two Ca defects for the H substitution, CaH-EE2×2 and CaHx2-SL'3×2. They both show increases in volume up to 5% at 255 256 1 bar, suggesting that more hydration might possibly increase the volume for CaH at 0 GPa.

257 The unit-cell volume of hydrous CaSiO₃ perovskite becomes smaller than anhydrous case at

pressures above 20 GPa for the CaH models (Fig. 6a). The CaH-EE2×2 and CaH-SL'3×2

259 models suggest that more hydration would decrease the volume at high pressure even further.

260 Because the SiH models decrease the bulk modulus, the unit-cell volumes of hydrous CaSiO₃

perovskite from the SiH models become similar to the anhydrous CaSiO₃ perovskite with an
increase in pressure. Both Fig. 6a and equation of state fit we presented in Tab. 2 show that H
substitution makes CaSiO₃ perovskite more compressible.

From the smaller unit-cell volume of hydrous CaSiO₃ perovskite observed at high pressure and 264 265 300 K, Chen et al. (2020) inferred that the dodecahedral site substitution is more likely than the 266 octahedral site (SiH) substitution, because the dodecahedral site (CaH) substitution involves with removal of much larger sized Ca²⁺. Our DFT calculations found that the dodecahedral site 267 substitution (the CaH models) can result in a smaller unit-cell volume of hydrous CaSiO₃ 268 269 perovskite at mantle-related pressures, in qualitative agreement with the experimental 270 observation. The experiments found approximately up to 1% reduction in the unit-cell volume at pressures between 2 and 45 GPa. At the similar pressure range we found 0 to 0.5% decrease in 271 272 unit-cell volume for 1–2 wt% H₂O in the CaH models. Chen et al. (2020) estimated 0.5–1 wt% 273 H₂O for their samples. Therefore, both studies are in general agreement in the magnitude of the 274 changes in unit-cell volume for hydration level of a few percent.

Fig. 6b compares the c/a axial ratio of hydrous CaSiO₃ perovskite with anhydrous case. We

found a little difference between the *a* and *b* axes, suggesting that tetragonal description for the

277 unit-cell dimension is acceptable. At 300 K, Chen et al. (2018) reported 1.005 for the *c/a* ratio in

anhydrous CaSiO₃ perovskite. Our DFT calculation suggests 1.02 for anhydrous case at 0 K

279 without zero-point motion. Considering the fact that anhydrous CaSiO₃ perovskite transforms to

a cubic structure at high temperature and the ratio therefore decreases with temperature, our DFT

calculation is in reasonable agreement with the experimental report. Our c/a values agree well

with those reported by Stixrude et al. (2007) through DFT calculation between 0 and 140 GPa at

283 0 K, 1.013–1.020.

284 Chen et al. (2020) reported persistence of the 200 peak splitting, therefore $c/a \neq 1$, in hydrous

285 CaSiO₃ perovskite at mantle-related temperatures. The observation is in contrast with the known

thermal behavior of anhydrous CaSiO₃ perovskite in that the peak splitting disappears, therefore

287 c/a becomes 1, at temperatures greater than ~500 K (Kurashina et al., 2004a; Chen et al., 2018).

All the hydration models we studied show a significant deviation of c/a from 1. The SiH models

show little difference from anhydrous case (Fig. 6b). The CaH-SS', CaH-EE2, and CaH-SS

models in general increase the c/a ratio similar to the anhydrous case but the magnitude of the

- 291 deviation from c/a = 1 is smaller. The CaH-SL'3, CaH-EE, and CaH-SE2 models show an
- 292 opposite effect on the c/a ratio to the anhydrous case. From the comparison between CaH-EE2
- and CaH-EE2×2 models and between CaH-SL'3 and CaH-SL'3×2 models, it can be inferred that
- 294 more H can increase the deviation of the c/a ratio from 1.
- 295 Thermal effects on the c/a ratio were not included in our calculations. So results do not directly
- address the question of whether the c/a ratio remains different from 1 or the peak splitting is still
- 297 observable in diffraction patterns at mantle-related temperatures. However, the larger magnitude
- of distortion found particularly in 2 wt% H₂O CaH cases may suggest that the peak splitting
- 299 could remain observable at high temperature if the rate of decrease in the c/a ratio toward 1
- 300 remains the same in those hydrous cases. However, fundamental reasons that hydrous CaSiO₃
- 301 perovskite can be non-cubic at high temperatures will be discussed in the next section.

302 Bonding between hydrogen and oxygen atoms in hydrous CaSiO₃ perovskite

- The tetragonal distortion in the hydrous CaSiO₃ perovskite can be interpreted in two different ways: either by an ordered model that involves the ordering of the hydrogen-bearing defects, or a disordered model that results from a structural average of the defects occupied randomly. In this section, we discuss mostly for the former cases.
- For the interpretation based on defect ordering, recent studies have suggested an important role of hydrogen bonding formation (O····H–O) and its potential symmetrization (O–H–O) for the crystal structure and physical properties of hydrous phases at high pressures and high tempera tures, such as hydrous stishovite (Spektor et al., 2011; Nisr et al., 2017), δ -AlOOH (Tsuchiya et al., 2002), and ε -FeOOH (Gleason et al., 2013). It is believed that hydrogen bonding forms for a H-to-O distance less than ~2 Å (Legon and Millen, 1987).
- Fig. 7a shows histograms of the distances between neighboring O and H atoms in the studied
- 314 hydration models for CaSiO₃ perovskite. For CaH-SS', CaH-SE2, CaH-SL'3, SiH-SSLE, and
- 315 SiH- SSEE, we found increased population of the bond distances between 1 and 2 Å with
- 316 compression. For example, in CaH-SS' at 120 GPa, an oxygen atom in the dodecahedral site
- 317 approaches the second-neighbor H within 1.35 Å, while the O–H covalent bond stretches to 1.1
- 318 Å. This behavior can be explained by enhanced hydrogen bonding (O…H) in these structures,
- leading to the increased population between 1 and 2 Å. As a consequence, the O–H covalent

bonding weakens, leading to an increase in the O–H distances. This behavior is more pronounced
in the SiH models than the CaH models (reflected in more populations of the bonds between 1
and 2 Å), likely because of the shorter distances among O atoms around the octahedral sites
compared with those around the dodecahedral sites.

324 Fig. 7b shows histograms of the $\angle O$ -H···O angles within a distance (between O and H) of 2.0 Å. For the CaH-SS' and CaH-SL'3 configurations, the O-H···O bond angles remain between 140 325 and 150° to the highest pressure, while CaH-SE2 develops the O-H…O bond angles between 80 326 and 100° degrees particularly at pressures higher than 80 GPa. The CaH-SS' and CaH-SL'3 327 328 configurations are the two lowest energy ones in the CaH group (Fig. 3). Therefore, structures 329 which can facilitate the formation of stronger hydrogen bonding with more straight $\angle O-H\cdots O$ 330 configuration can be energetically more advantageous. It is notable that CaH-SL'3 has shorter H– H distances (so more H-H replusion) than CaH-SE2 at 120 GPa (Fig. 5). However, CaH-SL'3 331 332 becomes the most stable configuration at the pressure (Fig. 3). Therefore, formation of nearly straight O–H···O bond may play an important role for the stabilization of H in CaSiO₃ 333

334 perovskite.

335 In the SiH structures, larger population of shorter O···H bonds (or enhanced hydrogen bonding) form with O atoms at 80–120° (Fig. 7). Again, closer distances among O atoms around the 336 337 octahedral sites are likely the reason. In the case of δ -AlOOH and ε -FeOOH, more straight O– H…O bonds have been suggested (Tsuchiya et al., 2002). The bond has been predicted to 338 339 become symmetric with compression. In these structures, no octahedra are defective of cations and the H atoms bond with O atoms into tunnel like structures in CaCl₂ type structure. Our 340 341 calculation shows that more deformed shapes of the defect polyhedroa (the octahedron for SiH models and the dodecahedron for the CaH models) can facilitate O atoms to develop closer 342 second neighbor distances with H and many of those O atoms exist at angles much lower than 343 180°, although they tend to be less energetically favorable than near straight O–H…O bond. 344 The bonding between O and H atoms can induce some distortion in the crystal structure of 345 346 CaSiO₃ perovskite. Fig. 8 shows the Si–O bond distances from the models in this study. The 347 anhydrous (Anhy) structure has two groups of Si–O bond distances related to the tetragonal 348 distortion of CaSiO₃ perovskite. Although the Si–O bond distances decrease with pressure, they

349 maintain such grouping in the anhydrous case. Much more broad distribution of the Si-O

distances was found in hydrous CaSiO₃ perovskite, while they maintain the same trend of overall
 population shifting to lower Si–O distances with pressure.

All CaH configurations show a small population of long Si–O bond distances persisting
throughout the pressure range we studied as indicated by arrows for CaH-SL'3 as an example in
Fig. 8. However, such a population does not exist in SiH. In SiH configurations, all O atoms
have more similar interactions with H atoms in the defective site because of hydrogen bonding as
discussed above and hence introduces less distortion to the Si–O bonding in the neighboring

357 octahedra.

In the CaH models, these long Si–O distances are from the O atoms that are also bonded to H

atoms (Si–O–H; see Fig. 2a,b). This type of O atoms also exists in the SiH models, but the main

360 difference is that hydrogen bonding occurs to much less of a degree in CaH than in SiH.

361 Therefore, the H atom in CaH weakens the covalent Si–O bonding much more than SiH, and the

362 effect is more preferential for particular O atoms. Consequently, in CaH, the SiO₆ octahedra are

363 much more distorted from their ideal shapes than those in SiH. Such distorted SiO₆ octahedra in

the CaH configurations would make hydrous CaSiO₃ perovskite intrinsically non-cubic. This

365 situation would not change with heating as long as OH remains within the structure. This is in

366 contrast to anhydrous case where the tetragonal distortion is mainly from the slight misfit of Ca

in the dodecahedral sites at lower temperatures, which ultimately disappears by thermal vibration

368 with heating. Therefore, the experimentally observed persistence of non-cubic peak splitting in

diffraction patterns of hydrous CaSiO₃ perovskite can be explained by the intrinsic structural
 distortion by H substitution (in particular CaH configurations).

Alternatively, if the symmetry-equivalent H-bearing defects of equal energy are fully disordered, 371 372 then the tetragonal distortion of the perovskite can be due to a change in the apparent average cation sizes in the perovskite. We have noted that the apparent size of Si increases with the 373 374 substitution of 4H onto Si vacancies, while the apparent size of Ca decreases with the substitution of 2H. Interestingly, if the hydrogen configurations on each defect are randomly 375 distributed in the symmetry-equivalent configurations, the apparent octahedral size changes are 376 377 isotropic on the scale of the macroscopic crystal sample. This means that the octahedral groups can remain nearly ideal (on average), and the result is that both types of substitution (CaH and 378 SiH) will decrease the tolerance factor of the perovskite, defined as the bond length ratio (Ca-379

380 O)/($\sqrt{2}$ ×(Si–O)). This has the well-known effect of driving the cubic perovskite (tolerance factor

 ~ 1) toward a tetragonal rotational subgroup (tolerance factor ~ 0.95) similar to the example that is

- indicated by our data. Our current data cannot distinguish between the disordered possibility
- described here, or the ordered possibilities described above high-quality crystallographic data
- on single crystals in the diamond-anvil cell will be required to distinguish between these
- 385 possibilities.

386 Energy difference between dodecahedral and octahedral hydrogen

387 substitutions

388 The relative stability of CaSiO₃ perovskite with the CaH and SiH configurations is determined

by their Gibbs energy of formation relative to standard reference states $O_2(g)$, Si(s), $H_2(g)$ and Ca(s):

391
$$\operatorname{Ca}_{1-x}\operatorname{H}_{2x}\operatorname{SiO}_3 \to (1-x)\operatorname{Ca}(s) + \operatorname{Si}(s) + x\operatorname{H}_2 + 3/2\operatorname{O}_2(g)$$
 (3)

392 and

393
$$\operatorname{CaSi}_{1-x}\operatorname{H}_{4x}\operatorname{O}_3 \to \operatorname{Ca}(s) + (1-x)\operatorname{Si}(s) + 2x\operatorname{H}_2 + 3/2\operatorname{O}_2(g),$$
 (4)

394 respectively. As a first order approximation, we estimate of the relative formation energies by 395 calculating the ground state of the elemental reference states using the same numerical procedures and PAW-GGA pseudopotentials as in the CaH and SiH models. The diatomic 396 molecules were treated by placing each species in a fixed but slightly distorted cubic cell of 397 dimension ~ 10 Å and optimizing the internal structural coordinates. This procedure yielded the 398 bond lengths of 1.229 Å and 0.750 Å for (triplet) O₂(g) and H₂(g), respectively. Calcium and 399 400 silicon were optimized as face-centered cubic structure and diamond cubic structure yielding cell lengths of 5.53 Å and 5.44 Å, respectively. Repeating the Ca and Si calculations using $2 \times 2 \times 2$ 401 supercells (cell dimensions ~10-11 Å) led to a negligible change in their respective energies. 402 403 Using only the electronic DFT (neglecting vibrational free energy corrections) of the lowest 404 energy models (LL'-90 for CaH and SSLE for SiH) we obtain ΔE (CaH) = -1368 kJ/mol and 405 $\Delta E(\text{SiH}) = -1351 \text{ kJ/mol}$, a difference of approximately 1%. This result suggests that at ambient pressure the formation energies of the CaH and SiH hydrous phases are similar. 406

407 Infrared-active OH vibrational modes

408 Chen et al. (2020) reported OH vibration model in infrared (IR) spectra of hydrous CaSiO₃

409 perovskite. The phase was synthesized from a hydrous starting material in LHDAC at 19 GPa

410 and 1700 K (Fig. 9c). The documented OH vibrational features were broad (a width of ~200

411 cm⁻¹) at high pressures between 5 and 19 GPa. At their lowest pressure, 0.65 GPa, the OH

412 feature becomes broader (\sim 300 cm⁻¹) before it disappears at 1 bar where CaSiO₃ perovskite

413 amorphizes.

414 We conducted calculations for a few selected models in Fig. 9a,b. Significant differences were

found between CaH and SiH models: the OH spectra of CaH structures are much simpler with a

416 peak near 3600 cm^{-1} at 0 GPa, while the spectra of SiH consist of at least 2 peaks spread over a

417 wide wavenumber range between 2800 and 3800 cm⁻¹. The most likely reason for the difference

418 is that: while the OH in the dodecahedral sites do not interact with one another because of the

419 large H–H distances (Fig. 5), the OH in the octahedral site has much more complicated bonding

420 environment and interaction with nearby H and O atoms (Figs 5 and 7).

421 For the comparison with experimentally reported spectra, we assume that the thermal effects are

422 small between 0 K (for our computation) and 300 K (for the experiments). Our calculation found

423 $100-200 \text{ cm}^{-1}$ decrease in the wavenumbers of the OH modes during compression from 0 to 30

424 GPa. Assuming a linear response of mode frequencies to pressure, we estimate a $20-40 \text{ cm}^{-1}$

425 decrease in the mode frequency between our calculation (0 GPa) and the OH IR spectra at 5.5

426 GPa by Chen et al. (2020). Therefore, given the broad nature of the OH vibration observed in

427 experiment, the compressional effect is small.

428 Our calculation shows that the energy differences between various substitutional configurations

429 are small among the CaH models and among the SiH models at 0 K. In addition, energy

430 differences between the CaH and SiH models are small. Therefore, it is likely that multiples of

431 different configurations exist together at mantle-related conditions (Tab. 1 and Fig. 3).

432 Combination of SiH-SSLL and SiH-SSLE could explain the majority of the intensities observed

in the OH region from experiment. These two SiH configurations have lowest energies among

the considered SiH configurations at lower pressures (Tab. 1). As discussed before, SiH-SSLL

becomes SiH-SSLE at 20 GPa. The sample by Chen et al. (2020) was synthesized at 19 GPa in

436 experiments and 1700 K. Thermal effects could affect the relative stabilities of these two

437 configurations. Therefore, both SiH-SSLL and SiH-SSLE could exist together in the

438 experimentally synthesized sample.

439 The experimentally measured spectra show much smaller intensity at the frequency region

440 expected for the OH vibration from the CaH configurations. However, our calculation shows

441 much smaller absorbance of the OH modes from CaH compared with SiH (approximately a

factor of 10). Therefore, it is feasible that CaH configurations exist in a significant fraction in the

443 hydrous CaSiO₃ perovskite but the intrinsically smaller absorbance from the OH from CaH

444 created reduced intensities compared with the SiH configurations.

445 Fu et al. (2019) reported IR active OH vibration from single crystal (Mg,Fe)(Al,Si)O₃

bridgmanite. They found two major modes at ~3220 and ~3470 cm⁻¹ (Fig. 9d). While the modes

447 are broader, wavenumbers of these two modes are similar to those observed in CaSiO₃

448 perovskite at ~3250 and ~3500 cm⁻¹ (Chen et al., 2020). The similarity may support comparison

of our calculation on CaSiO₃ perovskite with the experimentally observed OH spectra from

450 bridgmanite. The reported vibrational mode frequencies from bridgmanite are particularly

451 comparable to the two IR modes predicted for the SiH-SSLE configuration (Fig. 7b). Although it

452 is uncertain if the peak at 3700 cm^{-1} in bridgmanite is from the sample rather than noise, if the

453 mode is indeed from the sample, it can be related to OH in the dodecahedral sites. While a

454 greater number of configurations is possible for bridgmanite because of its lower symmetry, our

455 approach here can be used for calculating the energetics of different OH configurations and their

456 IR spectra in bridgmanite in future studies, which will be important for understanding

457 experimental observations regarding H₂O storage in bridgmanite (Murakami et al., 2002; Bolfan-

458 Casanova et al., 2003; Litasov and Ohtani, 2003; Fu et al., 2019)

459

460 **Summary and Implications**

461 The main findings of our study can be summarized as below:

• We consider all the possible dodecahedral ($Ca^{2+} \rightarrow 2H^+$; CaH models) and octahedral

463 (Si²⁺ \rightarrow 4H⁺; SiH models) substitution configurations for hydrogen in a tetragonal

464 perovskite lattice of CaSiO₃. The energy differences among the H configurations in the

465 CaH and the SiH models are sufficiently small that multiples of H configurations will

466 likely exist together at mantle-related pressures and temperatures.

- Although distortion in the lattice can play an important role to stabilize diverse H
 configurations, our calculations suggest that (1) H–H repulsion, (2) hydrogen bonding,
 (2) and O–H…O angle are important factors for the energetics of the H configurations.
- The impact of the dodecahedral substitutions on the crystal structure is more consistent
 with the X-ray diffraction observations. All the CaH models predict unit-cell volume
 decrease by H substitution. The CaH configurations result in much weaker hydrogen
 bonding. Therefore, O–H covalent bonding remains relatively strong, which introduces
 severe distortion in the neighboring SiO₆ octahedra. Such enhanced distortion appears to
 be more consistent with persistence of non-cubic crystal structure of CaSiO₃ perovskite in
 hydrous environments at high temperatures found in experiments (Chen et al., 2020).
- Although some uncertainty remains, the magnitude of distortion predicted by DFT
 calculations for ~1 wt% H₂O in CaSiO₃ perovskite is consistent with the experimentally
 reported distortions in the crystal structure, suggesting a few weight percent level H₂O
 storage capacity of CaSiO₃ perovskite.
- The infrared-active OH vibrational modes documented in recent experiments on CaSiO₃
 perovskite (and bridgmanite) are more consistent with the SiH configurations. The
 observed large widths of the mode can be explained by existence of multiples of H
 configurations in the experimentally synthesized hydrous CaSiO₃ perovskite.

The persistence of a non-cubic distortion to mantle temperatures in hydrous CaSiO₃ perovskite 485 opens up an interesting possibility for seismic investigation of hydrous regions in the lower 486 mantle. CaSiO₃ perovskite represents as much as 23 wt% in the subducting basaltic layer (Hirose 487 488 et al., 2005). The non-cubic distortion in hydrous CaSiO₃ perovskite at mantle temperatures could result in high elastic anisotropy in the hydrous subducting regions and therefore may open 489 490 up a possibility to detect such hydrated structures in seismology. In fact, some seismic studies 491 have found anisotropy around the subduction zones in the lower mantle (Chen and Brudzinski, 2003; Panning and Romanowicz, 2006; Foley and Long, 2011). 492

Astrophysical measurements have found that some stars are rich in Ca compared with the Sun
(Hinkel and Unterborn, 2018). If terrestrial planets form in the star systems, they would contain

495 more CaSiO₃ perovskite in the mantle, potentially making the lower mantle an important region

496 for H₂O storage. We also showed that the equation of state of CaSiO₃ perovskite can be affected

497 by hydration. Our equations of state (Tab. 2) can be useful to model the internal structures of

498 such exoplanets combined with measured mass-radius relations.

499 In order to further develop a deeper understanding of water storage in the deep mantle, it would

500 be important to explore thermal effects in *ab initio* calculations in future studies. The systematic

search for a range of possible H configurations we conducted here can be extended to lower

502 symmetric perovskite structured phases in the lower mantle, i.e., bridgmanite, which is important

to further advance our knowledge on water storage in the most volumetric layer in the Earth's

504 interior, lower mantle.

505

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514

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Figure 1: The dodecahedral arrangement of O atoms around a Ca atom (not shown for clarity)

and the octahedral arrangement of O atoms around a Si atom (not shown for clarity) in CaSiO₃

perovskite for the consideration of H docking sites. The O atoms with the "S", "E", and "L"

labels are for the atoms at shorter (red), intermediate (gray; at equatorial plane of the

dodecahedron), and longer (blue) distances. For a Si vacancy, "S" and "L" are identical to each

other because those O atoms are coordinated with neighboring Ca atoms at both short and long

681 distances.

682

Figure 2: Crystal structure of hydrous CaSiO₃ perovskite obtained from calculation results at 100

684 GPa: (a) and (b) the dodecahedral site hydration models (equation 1), and (c) and (d) the

octahedral site hydration models (equation 2). More information on the hydrogen substitution

models can be found in Tab. 1. The light gray and the dark gray spheres are Si and O atoms,

687 respectively. The red spheres are H atoms. In (c) and (d), the yellow octahedron at the center is

the Si defect site for hydration. In those diagrams, the dashed lines connect four H atoms which

689 forms a tetrahedral shape. The numbers are the Si–O bond distances in Å.

690

Figure 3: Energies of (a) CaH models with respect to CaH-SS'. (b) SiH models with respect to
SiH-SSLE at high pressures.

693

694 Figure 4: The average H–H distances in the SiH models at high pressures.

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Figure 5: The H–H distances in the models we studied. The colors of the bars change from blueto red with an increase in pressure.

698

699 Figure 6: Fractional differences in unit-cell volume between hydrous and anhydrous CaSiO₃

perovskite, (a) CaH and (b) SiH models. The b/a ratio for (c) CaH and (d) SiH models. The c/a

ratio for (e) CaH and (f) SiH models.

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Figure 7: (a) Distances between O and H atoms and (b) angles between O–H…O in the hydration
models we studied at a range of pressures up to 120 GPa. The colors of the bars change from
blue to red with an increase in pressure. Note that no O…H bond exists in (O–H distances shorter
than 2 Å) in CaH-SS, CaH-EE, and CaH-EE2 structures throughout the pressure range we
investigated.

708

- 709 Figure 8: The Si–O distances in the first neighbor. The colors of the bars change from blue to red
- 710 with pressure. The arrows highlight a small but significant of population with very long Si–O
- distances. Such a population can be found in all CaH structures, while it is absent in the SiH
- 712 structures.

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- Figure 9: Infrared spectra (IR) calculated for OH in (a) the dodecahedral sites (CaH) and (b) the
- octahedral sites (SiH) in CaSiO₃ perovskite. We also presented experimentally measured IR
- 716 active OH modes of (c) CaSiO₃ perovskite from Chen et al. (2020) and (d) (Mg,Fe)(Al,Si)₃
- 717 perovskite (bridgmanite) from Fu et al. (2019).

718 Table 1: Energy calculated for variety of H substitution configurations at 0 GPa and static 719 conditions. The CaH and SiH models are for the dodecahedral (a total of 81 atoms in the cell) 720 and the octahedral (a total of 83 atoms in the cell) substitution configurations, respectively. S, 721 E, and L represent oxygen atoms at shorter distances, intermediate distances, and longer 722 distance from the dodecahedral center, respectively. ' is to note for an O atom at different z723 (such as S1 and S4 in Fig. 1). Numbers 2 and 3 after the O notations indicate second and third 724 neighbors between the oxygen atoms. If a number is not provided there, it means those oxygen 725 atoms are next neighbors. The models which become identical to each other after optimization are shown in parenthesis. * indicates models used for high pressure calculations. Degen.: 726 727 degeneracy for different H coordination. Static lattice energies (e.g., neglecting zero-point energy) are listed relative to the lowest energy structure (denoted as $\Delta E = 0$) in the "CaH" and 728 729 "SiH" models, respectively.

Model	Degeneracy	$\Delta E \text{ (meV)}$
CaH-SS' (or LL')* CaH-SE2 (or LE2)* CaH-SL'2 CaH-SS (or LL)* CaH-EE* CaH-SL'3* CaH-EE2* CaH-SL CaH-SL	4 8 4 2 4 4 2 8 8	$\begin{array}{c} 0 \\ 6 \\ 32 \\ 731 \\ 33 \\ 86 \\ 220 \\ 732 \\ 236 \\ 377 \\ 401 \end{array}$
CaH-SE CaH-LE	8	401 405733
SiH-SSLE (or LLSE)* SiH-SSLL* SiH-SSEE (or LLEE)* SiH-SLEE	8 1 2 4	0 190 ₇₃₄ 350 410

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736

737 Table 2: Equation of state parameters for anhydrous and hydrous CaSiO₃ perovskite obtained

from fitting to Vinet equation. We present two fitting results: (1) pressure-derivative of bulk

modulus (K'_0) fixed for $K'_0 = 4.45$ (K_0^*) and (2) K'_0 varied. In the fitting, bulk modulus (K_0) was

varied while unit-cell volume at 1 bar (V_0) was fixed. The $K'_0 = 4.45$ results make the

comparison among the models more straightforward, although the fitting strategy yielded higher

r42 estimated uncertainties (numbers in the parenthesis).

Model	V_0 (Å ³)	Ko*(GPa)	K ₀ (GPa)	K'_0
Anhy	47.392	211.72(4)	211.7(3)	4.45(1)
CaH-SS'	47.393	203.36(5)	202.9(3)	4.47(1)
CaH-SS	47.428	204.61(7)	205.5(1)	4.41(1)
CaH-SE2	47.389	203.26(6)	204.1(2)	4.42(1)
CaH-EE	47.424	203.52(11)	205.0(1)	4.39(1)
CaH-EE2	47.424	205.47(4)	205.0(1)	4.47(1)
CaH-SL'3	47.397	205.27(8)	204.2(4)	4.50(1)
SiH-SSLE	48.217	192.53(34)	187.8(2)	4.64(1)
SiH-SSEE	48.123	193.76(38)	188.5(2)	4.66(1)







(a) CaH-EE2

(b) CaH-SL'3















