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Revision 1

A simple and effective capsule sealing technique for hydrothermal experiments

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Abstract

23 Capsule sealing has always been a key procedure in hydrothermal experiments to
24 explore the composition and properties of geo-fluids and their influence on various
25 geological processes. Previously reported capsule sealing techniques have primarily
26 focused on either weld-sealing or cold-sealing methods which have some disadvantages
27 and limitations. Here, we report on a newly developed, simple and effective capsule
28 sealing technique incorporating operations from the cold-sealing and weld-sealing
29 techniques. The technique includes three steps: first, preparing inner and outer tubes, both
30 with a flat bottom at one end; subsequently, reverse-buckling the tubes to form a
31 preliminary seal; and finally, welding shut the tiny slit at one end of the tubes. The new
32 capsule sealing technique was tested in experiments for fluid inclusion synthesis. Fluid
33 inclusions were successfully synthesized in 10 runs over a range of conditions
34 (800~900 °C, 1~1.5 GPa). Considering the insignificant mass changes recorded and the
35 occurrence of free fluid from the recovered capsules, the new capsule sealing technique
36 was proven to be reliable. The simple and effective capsule sealing technique has the
37 following advantages over the previous techniques. First, the capsule sealing technique is
38 simple, effective and easy to operate. The technique does not require a capsule body and
39 lid with a complex structure, nor does it require dies or special assistive tools. The critical
40 weld-sealing operation is easier to complete due to the narrow and uniform slit
41 surrounded by more metal, during which loss of volatilization is prevented by the
42 preliminary seal. Second, the capsules can be sealed with uniform thickness and regular

43 shape, prechecked for leakage in an oven, and annealed under high temperature and high
44 pressure with less deformation, which could improve the success rate of experiments.
45 Third, the theoretically required capsule materials can be changed (such as precious
46 metals, alloys, etc.), as can the dimensions required to construct a capsule with the
47 desired size and wall thickness (large volume or thick wall). Thus, sealed capsules are
48 suitable not only for piston cylinders but also for multi-anvil presses and other gas-media
49 or hydrothermal-media apparatus, such as autoclaves and pressure vessels, which means
50 a wider range of temperatures and pressures and thus more fields of application.

51 **Keywords:** Capsule sealing, cold-sealing, weld-sealing, hydrothermal experiments, fluid
52 inclusion synthesis

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Introduction

64 Fluids existing widely in the crust and upper mantle have been implicated in many
65 geological processes at different scales involving material cycles and energy transfer,
66 such as slab subduction, magmatic emplacement, volcanic eruptions, seismic activities,
67 mineralization and so forth (Hack and Mavrogenes 2006a). Determining the composition
68 and properties of geo-fluids and their influence on various geological processes has
69 always been the goal of geologists. To understand geological fluids, hydrothermal
70 experiments are an important means. One key difficulty in hydrothermal experiments is
71 how to seal liquid samples in capsules and maintain a good seal under high-temperature
72 and high-pressure conditions related to real geological processes. Several capsule
73 weld-sealing and cold-sealing techniques have been invented and successfully applied in
74 hydrothermal experiments (Arndt and Rombach 1976; Audétat and Bali 2010; Ayers et al.
75 1992; Becker et al. 1983; Brodholt and Wood 1994; Cemič et al. 1990; Hack and
76 Mavrogenes 2006a; Lerchbaumer and Audétat 2012; Manning and Boettcher 1994;
77 Sneeringer and Watson 1985).

78 In weld-sealing techniques, a capsule charged with fluid and other starting materials
79 is squeezed a few millimeters below its upper end (e.g., a three-corner crimp or milk
80 carton-like fold) between thin jaws of a vice or an ashtray-like lid is inserted
81 concave-outward in the open end and then welded shut under cooling by cold water, dry
82 ice or liquid nitrogen (Brodholt and Wood 1994; Manning and Boettcher 1994;
83 Sneeringer and Watson 1985). In general, the resulting capsules have a high

84 length-to-width ratio and an asymmetrical geometry, which is disadvantageous for
85 piston-cylinder or multi-anvil experiments in which the capsules should be as short and
86 compact as possible to reduce temperature gradients. Furthermore, it is worth mentioning
87 that in addition to fluid volatilization loss, welding the capsules shut is relatively difficult
88 due to the thin rims, local large gap and certain capsule materials (such as copper, silver,
89 etc., at least in our laboratory).

90 The cold-sealing technique was first reported by Arndt and Rombach (1976) and
91 subsequently modified by Becker et al. (1983) and Cemič et al. (1990). In the technique,
92 a matching lid was cast directly by cold pressurization onto a charged cylindrical
93 thick-walled capsule body machined out of a solid metal rod. To reduce the high cost of
94 preparing thick-walled capsules from noble metal rods, Ayers et al. (1992) developed a
95 technique in which thin noble metal sleeves were hammered into thick-walled (typically
96 0.5 mm wall thickness) cylinders machined from transition metals. Large volume
97 capsules (23~32 mm in length, 9~15 mm in diameter), which consist of a capsule body
98 and lid with special structures, have been used by Hack & Mavrogenes (2006) and
99 Spandler et al. (2007, 2014). Though the cold-sealing methods mentioned above well
100 avoid potential problems associated with the weld-sealing technique (fluid volatilization
101 loss, difficulties in operation and arc welder costliness), such cold-sealing methods
102 require the aid of compression from a piston-cylinder apparatus to accomplish complete
103 sealing. Consequently, on the one hand, the cold-sealing capsules cannot be prechecked
104 for leakage to prevent possible failures in follow-up experiments, and on the other hand,

105 when the cold-sealing capsules are pressurized on an apparatus from more than one
106 direction, such as multi-anvil press, the reliability of sealing may be greatly reduced due
107 to the complicated differential stress. Combining the advantages of weld-sealing and
108 cold-sealing, Audétat and Bali (2010) established a method of sealing volatile-rich
109 samples into platinum capsules using a minimum amount of metal. However, the
110 technique is complex, needs to be performed with the help of special dies and is only
111 suitable for soft noble metals such as platinum or Pt₉₅Rh₀₅ alloy.

112 Here, we describe a simple and effective method in which fluids can be perfectly
113 sealed in copper (or silver, nickel, iron, gold, platinum, alloy, etc.) capsules without fluid
114 loss. The resulting capsules have a regular shape (cylindrical) and uniform thickness and
115 thus fit easily into high-pressure assemblies of piston cylinders and multi-anvils. The
116 sealed capsule could also be used in conventional hydrothermal- and gas-media
117 apparatuses. In contrast to some of the techniques mentioned above, the technique is
118 simple to operate and effective with a high success rate.

119 **Methods**

120 Figure 1 illustrates the simple and effective capsule sealing technique. The technique
121 includes three steps. First, capsule preparation. The capsule is mainly composed of two
122 cylindrical tubes (indicated in Figure 1a and 1b, inner and outer tubes with a flat bottom
123 at one end), which can be machined from a solid metal rod or fashioned by a punch
124 process. The former approach is suitable for base metals or transition metals that are
125 relatively cheap and hard, such as copper, nickel, iron and so forth, while the latter is

126 preferred for noble metals owing to their softness and costliness. The punch process
127 requires a set of special dies, consisting mainly of a base with two round holes and two
128 matching levers. Figure 2 roughly shows the special dies for fabricating gold capsule with
129 an outer diameter (O.D.) in 5.0 mm, inner diameter (I.D.) in 4.6 mm. A cylindrical gold
130 slug (5.0 mm O.D., 3.0 mm Height) is placed into the round hole (5.00 mm Diameter)
131 pre-coated with lubricating oil on the base, and the matching pressure lever (4.80 mm
132 Diameter) fixed to a manual hydraulic press is laid on the gold slug with the central axes
133 of both aligned. With the manual hydraulic press, the lever is gradually pressed into the
134 gold slug and a gold outer tube with the desired size and shape similar to that in Figure 1a
135 can be produced after final shaping. The gold inner tube can also be fabricated with the
136 same method. The detailed dimensions of the inner and outer tubes, which can also be
137 scaled down to adapt to different assemblies, are shown in figure 1a and figure 1b,
138 respectively. The inner tubes (e.g., 11.0 mm O.D., 10.0 mm I.D., 16.0 mm Length) and
139 outer tubes (e.g., 12.0 mm O.D., 11.0 mm I.D., 17.0 mm Length) both have a flat bottom
140 at one end (1.0 mm in thickness). Machining accuracy is preferably controlled within
141 0.02 mm. In testing experiments, the tubes for copper capsules were processed by
142 ourselves on the lathe in our lab and those for silver capsules were customized in bulk
143 with the punch process. After the tubes are properly prepared, starting materials with a
144 certain amount of fluid or only solids rich in volatiles are successively charged into the
145 inner tube as fully and tightly as possible. Then the outer tube is capped on the inner tube
146 and subsequently pressed down with a hammer or hydraulic press until the inside of the

147 bottom of the outer tube is in tight contact with the open end of the inner tube (illustrated
148 in Figure 1c). By virtue of a reverse-buckling operation, a preliminary seal between the
149 fluid-bearing inner tube and outer tube is produced (indicated in Figure 1f), which can
150 prevent loss of fluid via volatilization during the following weld-sealing. Third, the tiny
151 slit between the outer and the inner tubes is shut at one end by standard arc welding
152 (indicated in Figure 1d). The circular slit is generally very narrow (<0.1 mm in width) and
153 is surrounded by a large mass metal (indicated in Figure 1e), which makes the
154 weld-sealing much easier. The fact that the welding goes smoothly without hissing,
155 sudden bursts, or difficult-to-close holes demonstrates that no fluid from the capsules is
156 lost during this process (Audétat and Bali 2010). Based on the three steps above, the
157 capsules are finally sealed and maintain a regular shape (cylindrical) and uniform
158 thickness (1 mm). Our tests in which the capsules were weighed between the production
159 of the preliminary seal and the final welding showed that the loss of volatiles and metal
160 during welding is negligible (see Table 1). The sealed capsules were also prechecked for
161 leakage by holding in a 110°C vacuum drying oven for more than 10 hours. All capsules
162 passed the inspection with a mass change of less than 1mg (see Table 1). In fact, one
163 important reason why such a good seal can be achieved by this technique is that the
164 sealing effectiveness lies not only on the welded seam at one end of the capsule, as in
165 other sealing methods, but also on the larger sealing area formed between the walls of the
166 inner and outer tubes under high pressure (see Figure 1f, the walls were completely fused
167 together in the testing experiments). To date, capsules constructed from pure Cu and pure

168 Ag have been employed successfully in experiments for fluid inclusion synthesis. The
169 sealing effectiveness is essentially not changed, though copper or silver could interfere
170 with oxygen fugacity or diffuse into the run products to some extent (Audétat et al. 2018).
171 Aspects such as oxygen fugacity or diffusion could also be utilized or avoided by
172 choosing suitable capsule materials according to the experimental requirements.
173 Theoretically, the capsule sealing technique could be used with any metal and is
174 especially suitable for experiments with a small fluid/solid mass ratio. If more fluid is
175 incorporated, there is a risk that the charged fluid may overflow during the
176 reverse-buckling operation. To avoid fluid overflow, the inner tube containing massive
177 fluid could be frozen before the reverse-buckling operation. Another problem associated
178 with the sealing method is that some air may be entrapped in the capsule; compacting
179 solid charges as tightly as possible when loading the inner tube would minimize the
180 disturbance.

181 **Hydrothermal experiments: synthesis of fluid inclusions**

182 Experimental synthesis of fluid inclusions has been widely used in many fields of
183 earth science for several decades (Doppler et al. 2013; Hack and Mavrogenes 2006b;
184 Spandler et al. 2007; Spandler et al. 2014; Tasy et al. 2016; Zhou et al. 2016). In
185 experiments, the fluid and host mineral must be completely sealed in the capsule, until a
186 certain amount of fluid is captured by the host mineral during fracture healing and (or)
187 overgrowth of crystal surfaces. Thus, to examine a new capsule sealing technique, the
188 experimental synthesis of fluid inclusion is not only a good test but also an important

189 practical field of application (e.g., Hack and Mavrogenes 2006a).

190 **Experimental methods**

191 The simple and effective technique described above was tested in 10 consecutive
192 multi-anvil high-temperature and high-pressure experiments for fluid inclusion synthesis
193 (details are shown in Table 1). The traditional fracture healing method for fluid inclusion
194 synthesis was used in the test experiments (Sterner and Bodnar 1984).

195 Fluid (pure water or solution with 15wt% NaCl), pre-cracked labradorite core and
196 metabasalt powder (Loss on ignition of the whole rock is up to 8.58 wt%) were loaded
197 into Cu or Ag capsules of three sizes: 5.0 mm I.D., 7.0 mm O.D., 12.0 mm outer length;
198 6.0 mm I.D., 8.0 mm O.D., 12.0 mm outer length; and 10.0 mm I.D., 12.0 mm O.D., 17.0
199 mm outer length. The amount of loaded fluid ranged from 12 μL to 16 μL in the smaller
200 capsules and was approximately 100 μL in the larger capsules. The sealed copper (or
201 silver) capsule was fitted into assemblies consisting of a boron nitride cell, a graphite
202 heater, pyrophyllite inserts and a pyrophyllite cube (indicated in Figure 3). The
203 temperature was controlled using type-K thermocouples and a Eurotherm temperature
204 controller with an uncertainty of $\pm 5^\circ\text{C}$. The pressure was converted from the load and
205 was accurate to 0.1 GPa (Ren and Li 2018; Shan et al. 2007). All the runs were carried
206 out by pressurization to the designed pressure first and then heating to the desired
207 temperature. The pressurization rate was less than 1 GPa/h, and the heating rate was
208 20 $^\circ\text{C}/\text{min}$. The runtime was chosen to be between 48 hours and 72 hours (Table 1).
209 Quenching was performed at a cooling rate of 50 $^\circ\text{C}/\text{min}$ with synchronous pressure

210 decline. After quenching, the capsules were recovered, cleaned, pierced with a scalpel
211 and further made into doubly polished thin wafers. It is noted that the capsules were
212 weighed with an electronic scale (with accuracy in 0.1 mg) after being loaded, welded
213 shut, prechecked and annealed, respectively. Additionally, in two runs (D4 and D7) no
214 additional fluids were added into the capsules and the capsules were not welded shut
215 according to the third step either. So fluid inclusions could only be formed by capturing
216 the fluid from de-volatilization of the metabasalt and the effectiveness of cold-sealing
217 based on the first two steps could be tested in the two runs.

218 **Results and discussion**

219 Capsule weights and their changes after being welded shut, prechecked and annealed
220 are shown in Table 1. Weights of capsules from the 8 runs decrease slightly after the
221 weld-sealing (ΔM_1), with the maximum decrease up to 0.6mg from the run D15, which
222 indicates that the loss of volatiles and metal during welding is negligible. After
223 pre-inspection, the changes of the capsule weight (ΔM_2) relative to that after welding are
224 less than 0.3mg and also not significantly affected by the mass of the fluid and size of the
225 capsule. After quenching, all the recovered capsules remained nicely cylindrical but were
226 shortened by 5~10% during the experiment. The capsule weights are all slightly lower
227 than those after prechecked (indicated as ΔM_3 in Table 1) and the change seems to be
228 related to the annealing time and the amount of fluid charged into the capsules. It is
229 inferred that the measured mass loss of the recovered capsules may be caused by the
230 out-of-capsule diffusion of hydrogen produced by water decomposition (Ayers et al. 1992;

231 Katsuta and Mclellan 1979; Magnusson and Frisk 2017). Nevertheless, tiny mass changes
232 (ΔM_2 and ΔM_3) showed that the capsules were kept well sealed during the
233 pre-inspection and annealing stage. When the capsules from the 10 runs were opened, a
234 fluid phase bubbled freely from the piercing point with hissing at the same time. Under a
235 microscope, a large number of fluid inclusions were found in thin wafers from the 10
236 runs, of which 4 runs (D4, D9, D10, and D11) were the most typical for the synthesized
237 fluid inclusions (see Figure 4).

238 Based on the evidence above, it could be claimed that a perfect capsule sealing was
239 achieved and the capsule sealing technique is feasible for high-temperature and
240 high-pressure experiments. Provided that the capsules were not kept complete sealing
241 during the runtime, fluid or volatiles in the capsule would escape instantaneously and
242 thoroughly under high pressure and neither would be synthesized the fluid inclusions.
243 Another assumption, that inclusions may be formed before the fluid loss, could be
244 excluded by the occurrence of free fluid from the recovered capsules. Furthermore, ΔM_3
245 was less than 1mg and fluid inclusions were successfully synthesized in the two runs (D4
246 and D7), which demonstrated that the capsules were also sealed perfectly only by
247 cold-sealing on the basis of the first two steps. In fact, it is an important reason why such
248 a good seal can be achieved by this technique. The sealing effectiveness lies not only on
249 the welding seam at one end of the capsule, as in other sealing methods, but also on the
250 larger sealing area formed between the walls of the inner and outer tubes due to the
251 cold-sealing (the walls were completely fused together). Furthermore, the sealed capsule

252 has a uniform thickness (1mm) and a regular shape (cylindrical), which can reduce the
253 risk of capsule rupture caused by deformation.

254 **Implications**

255 The simple and effective capsule sealing technique has been proven to be reliable by
256 the successful experimental synthesis of plagioclase hosted fluid inclusions. In
257 comparison with cold-sealing or weld-sealing, the proposed technique has the following
258 advantages. First, the capsule sealing technique is simple, effective and easy to operate.
259 The technique does not require a capsule body and lid with a complex structure, nor does
260 it require dies or special assistive tools. The critical weld-sealing operation is easier to
261 complete due to the narrow and uniform slit surrounded by more metal, during which loss
262 of volatilization is prevented by the preliminary seal. Second, the capsules can be sealed
263 with uniform thickness and regular shape, prechecked for leakage in an oven, and
264 annealed under high temperature and high pressure with less deformation, which could
265 improve the success rate of experiments. Third, the theoretically required capsule
266 materials can be changed (such as precious metals, alloys, etc.), as can the dimensions
267 required to construct a capsule with the desired size and wall thickness (large volume or
268 thick wall). Thus, the sealed capsule is suitable not only for piston cylinders but also for
269 multi-anvil presses and other gas-media or hydrothermal-media apparatuses, such as
270 autoclaves and pressure vessels, which means a wider range of temperatures and
271 pressures and thus more fields of application.

272 Therefore, this technique could be used in most high-temperature and high-pressure

273 experiments currently reported with fluids, such as experiments involving fluid inclusion
274 synthesis (as verified in this study), mineral solubility determination, fluid-rock reactions
275 related to phase relations, element solubility, diffusion, partition, fractionation (Bakker
276 and Doppler 2016; Ballhaus et al. 1994; Doppler et al. 2013; Hack and Mavrogenes
277 2006b; Loucks and Mavrogenes 1999; Simon et al. 2007; Spandler et al. 2007; Spandler
278 et al. 2014; Tasy et al. 2016; Zhou et al. 2016), etc.

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371

372

Figure captions

373 **FIGURE 1.** Schematic of the simple and effective capsule sealing technique. **(a)**
374 Cross-sectional view of the inner tube. **(b)** Cross-sectional view of the outer tube. **(c)**
375 Reverse-buckling operation between outer and charged inner tubes. **(d)** The final
376 weld-sealing. **(e)** Top view of the upper part of the capsule (not proportional). The dashed
377 line outlines the circular welded seam with the width less than 0.1 mm. **(f)** Schematic of

378 the capsule seal accomplished by both of the cold-sealing and the weld-sealing (not
379 proportional). The reverse-buckling operation makes a preliminary seal at the lower part
380 first, then the welding shut operation makes the weld-sealing at the upper part by
381 continuous solder joints and the rising confining pressure finally contributes to a solid
382 seal between walls of the inner and outer tubes.

383

384 **FIGURE 2.** Drawing of the dies used for the punch process. **(a)** The matching pressure
385 levers. **(b)** Front view of the die. **(c)** Top view of the die. Note the precision of the dies is
386 preferably controlled in 0.01mm, and the specific dimensions of the dies can be adjusted
387 according to the desired size of the capsule.

388

389 **FIGURE 3.** Assembly used for fluid inclusion synthesis in testing experiments.

390

391 **FIGURE 4.** Transmitted-light photomicrographs of the synthesized fluid inclusion in
392 typical runs. **(a)**. Two-phase fluid inclusions from experiment D4 (1 GPa, 900 °C). **(b)**.
393 Two-phase fluid inclusions from experiment D9 (1 GPa, 800 °C). **(c)**. Two-phase fluid
394 inclusions from experiment D10 (1.2 GPa, 900 °C). **(d)**. Two-phase fluid inclusions from
395 experiment D11 (1.5 GPa, 800 °C).

396

397

398 **Table1** Details of experimental runs

Run #	Capsule type (mm)	Mass of starting materials added (mg)			Charged capsule (g)	ΔM_1 (mg)	ΔM_2 (mg)	ΔM_3 (mg)	Pressure (GPa)	Temperature (°C)	Duration (h)
		Metabasalt	Labradorite	Fluid							
D4	5/7/12 Cu	285.8	37.2	/	2.4750	/	/	-0.5	1.0	900	48
D7	5/7/12 Cu	266.2	42.5	/	2.5320	/	/	-0.7	1.0	800	48
D9	5/7/12 Cu	230.8	35.3	16.0 ^a	2.5193	-0.3	-0.3	-1.2	1.0	800	48
D10	10/12/17 Cu	2333.2	47.6	100.0 ^a	8.3281	-0.4	-0.1	-2.1	1.2	900	48
D11	10/12/17 Ag	2431.7	44.4	100.0 ^a	10.3265	-0.5	0.0	-3.2	1.5	800	72
D12	5/7/12 Cu	334.0	47.9	12.0 ^a	2.5794	-0.4	-0.3	-0.7	1.0	900	48
D13	5/7/12 Cu	334.2	31.0	12.0 ^b	2.6179	-0.5	+0.1	-0.9	1.0	900	48
D15	6/8/12 Cu	545.4	42.4	12.0 ^b	3.1535	-0.6	+0.1	-0.8	1.0	900	48
D16	6/8/12 Cu	511.2	46.7	12.0 ^b	2.9757	-0.2	-0.2	-1.3	1.0	900	72
D17	6/8/12 Cu	586.8	47.5	12.0 ^b	3.1930	-0.5	-0.2	-0.8	1.0	800	48

399 Notes: Capsule type includes capsule material and dimension. “5/7/12 Cu” indicates that the resulting capsule was constructed by copper with an
400 inner diameter in 5 mm, outer diameter in 7 mm and outer length in 12 mm. The fluid marked with the superscript “ a ” is pure water, and that
401 with the superscript “ b ” is the brine solution with 15wt% NaCl. “ ΔM_1 ” indicates the weight change of the capsule after being welded shut
402 relative to that of the charged capsule; “ ΔM_2 ” shows the weight change of the capsule after being prechecked relative to that of the welded
403 capsule; “ ΔM_3 ” reveals the weight change of the capsule after being annealed relative to that of the prechecked capsule. All weight data in
404 Table 1 were determined using an average of five times’ weighing results with an error of ± 0.1 mg.

Figure 1

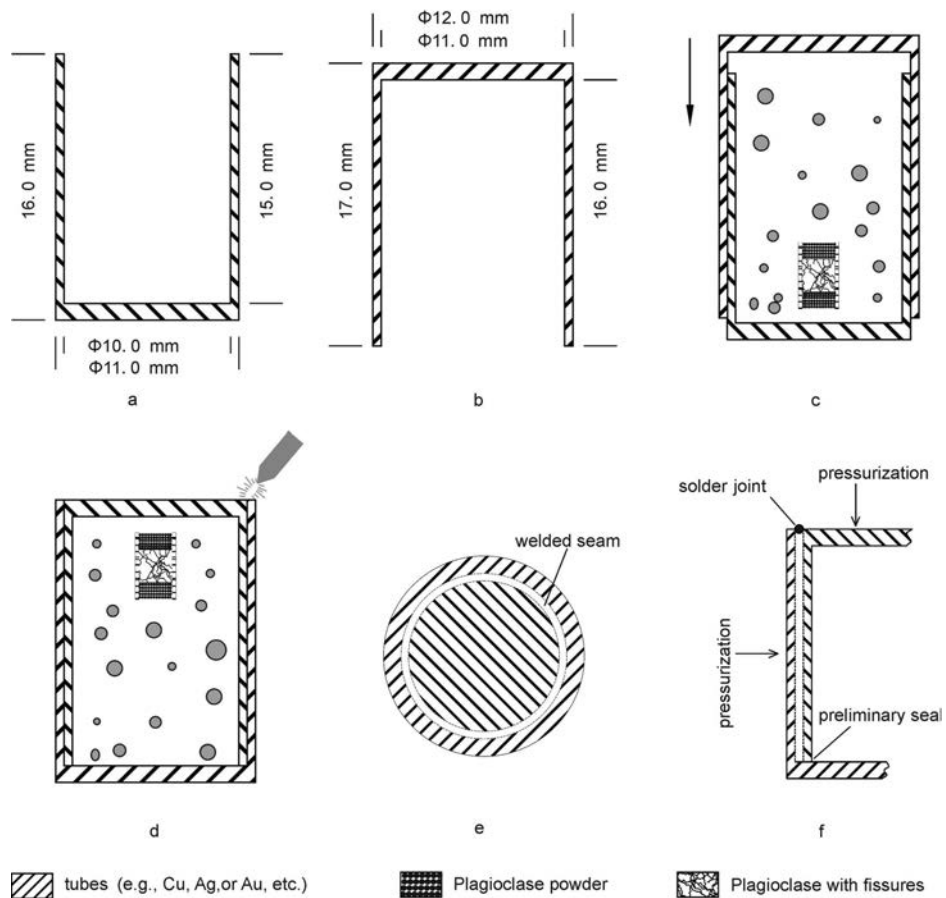


Figure 2

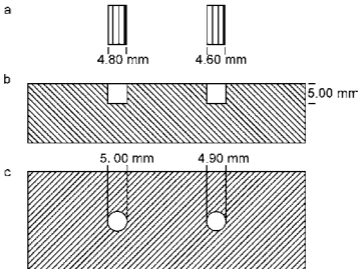


Figure 3

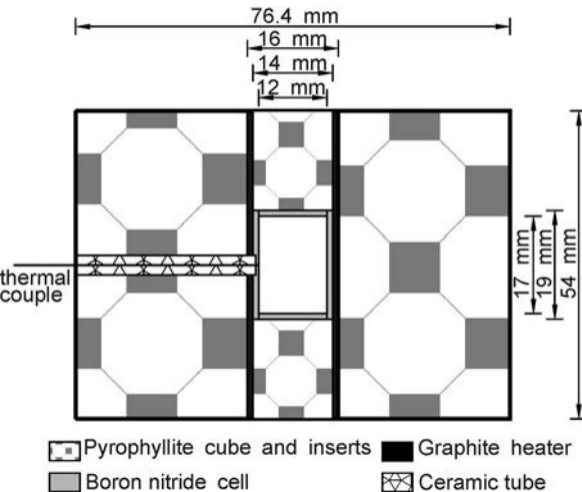


Figure 4

