

1 Revision 2

2 **Radiation-induced defects in montebrasite: an electron paramagnetic resonance study of O⁻**
3 **hole and Ti³⁺ electron centers**

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13 **Abstract**

14 Montebrasite is a lithium aluminum phosphate mineral with the chemical formula
15 $\text{LiAlPO}_4(\text{F}_x, \text{OH}_{1-x})$ and considered a rare gemstone material when presenting good crystallinity. In
16 general, montebrasite is colorless, sometimes pale yellow or pale blue. Many minerals that do not
17 have color contain hydroxyl ions in their crystal structure can develop color centers after ionization
18 or particle irradiation, examples of which are topaz, quartz and tourmaline. The color centers in
19 these minerals are often related to O⁻ hole centers, where the color is produced by bound small
20 polarons inducing absorption bands in the near UV to the visible spectral range. In this work,
21 colorless montebrasite specimens from Minas Gerais state, Brazil, were investigated by electron
22 paramagnetic resonance (EPR) for radiation-induced defects and color centers. Although gamma
23 irradiation (up to a total dose of 1 MGy) did not visibly modify color, a 10 MeV electron irradiation
24 (80 MGy) induced a pale greenish-blue color. Using EPR, O⁻ hole centers were identified in both
25 gamma- or electron-irradiated montebrasite samples showing superhyperfine interactions with two
26 nearly equivalent ²⁷Al nuclei. In addition, two different Ti³⁺ electron centers were also observed.

27 From the gamma irradiation dose dependency and thermal stability experiments, it is concluded that
28 production of O⁻ hole centers is limited by simultaneous creation of Ti³⁺ electron centers located
29 between two equivalent hydroxyl molecules. In contrast, the concentration of O⁻ hole centers can be
30 strongly increased by high-dose electron irradiation independent of the type of Ti³⁺ electron centers.
31 From detailed analysis of the EPR angular rotation patterns, microscopic models for the O⁻ hole and
32 Ti³⁺ electron centers are presented, as well as their role in the formation of color centers discussed
33 and compared to other mineral specimen.

34 **Keywords:** montebrasite, EPR, irradiation, electron-hole center, O⁻ hole center, Ti³⁺, color
35 enhancement.

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INTRODUCTION

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Nowadays, most gemstone minerals receive color improvements by radiation and/or thermal treatments to transform uncolored to colored material. The color-enhancement procedures leave the gemstones more attractive with increased market values. While radiation treatments induce color centers, in general, heat treatments anneal them out. In some very few cases, heat may also be used to facilitate diffusion processes, which induce color in surface of gemstones (Nassau 2001). Most common radiation treatments for color-enhancement of gemstones make use of ionization radiation, such as gamma irradiation from a ^{60}Co source, or high-energetic electrons from a linear Van-de-Graaff accelerator. Ionization radiation frequently induces electron and hole centers localized near impurity centers. In addition, electron irradiation, as well as other particle irradiation like high-energetic protons or neutrons, may produce also Frenkel pairs, i.e. correlated vacancy and interstitial defects, by atomic displacements in the crystal lattice.

Electron paramagnetic resonance (EPR) is the technique of choice used to identify radiation-induced defects, which are in most cases paramagnetic in nature and often induce color changes in gemstones. For example, some Fe- or Al-containing quartz minerals turn violet (amethyst) or smoky-colored, respectively, by gamma irradiation. A color change in quartz can also be induced by 200°C – 300°C thermal treatments, which may result in brown, orange, yellow or green coloration. In contrast, electron or neutron irradiation is the best choice to produce the deep blue colors (Swiss and London blue) in otherwise colorless topaz, whereas gamma irradiation is chosen only for topaz from very specific locations, and even so the color is much less intense (Sky blue).

One of the most important color centers in gemstone minerals is the O^- hole center, which acts as a bound small polaron (Schirmer 2006). Due to its charge transfer process, small concentrations of such O^- hole centers may induce medium to saturated colors with an absorption band centered in the near UV or the VIS spectral region. For example, the brown and blue colors in topaz (Silva et al. 2005; Krambrock et al. 2007), the irradiation-induced yellow color in tourmaline (Krambrock et al. 2004) and the smoky color in Al-containing quartz are all caused by O^- hole

66 centers (Meyer et al. 1984). It is important to note that the generation of O^- hole centers by
67 ionization radiation is in general limited by available electron centers, whereas O^- hole centers
68 produced by electron irradiation do not depend on them. The effect of O^- hole centers on inducing
69 different coloration in minerals is still under investigation owing to mixed results. For example, O^-
70 hole centers are known to form in Brazilianite, and because of the firm localization of the hole
71 (Requardt et al. 1982) it appears to introduce only absorption bands outside the visible spectrum in
72 the near UV with a band tail entering the visible spectral range that still results in a yellow color.
73 For euclase, the O^- centers are present but the mineral is still colorless. As mentioned before, many
74 minerals that contain hydroxyl ions in their crystal structure are known to form O^- hole centers
75 following irradiation, such as topaz $Al_2SiO_4(F,OH)_2$ (Silva et al. 2005; Krambrock et al. 2007),
76 apatite $Ca_5(PO_4)_3(OH,F,Cl)$ (Mengeot et al. 1975), jeremejevite $Al_6B_5O_{15}(F,OH)_3$ (Li et al. 2012),
77 tourmaline-elbaite $Na(Li,Al)_3Al_6(BO_3)_3 Si_6O_{18}(OH)_4$ (Krambrock et al. 2004) and kaolinite
78 $Al(SiO_5(OH)_4)$ (Clozel et al. 1995) . This is also the case for the montebrasite (Bershov and
79 Martirosyan 1970) which is investigated in this work.

80 Montebrasite has a triclinic structure (C_1). The structure is characterized by corner sharing
81 distorted Al octahedra, which are interconnected along the c -axis by (F, OH) ions. Laterally, these
82 are cross-linked by nearly perfect PO_4 tetrahedra. The octahedra and tetrahedra form cavities, which
83 are occupied by Li ions in two slightly different off-center positions. Also, the two Al octahedra
84 within the primitive unit cell, $Al(1)O_4(F,OH)_2$ and $Al(2)O_4(F,OH)_2$, are structurally slightly
85 different. For the first octahedra, the distances to the oxygen ligands are 1.900, 1.899 and 1.918 Å,
86 while for the second they are 1.906, 1.856 and 1.920 Å (Groat et al. 2003). If the Li off-center
87 positions are omitted the space group of montebrasite may be described as monoclinic, similar to
88 that of titanite with cell parameters: $a = 6.713$ (6.645), $b = 7.708$ (7.733) and $c = 7.019$ (6.919) Å
89 with $\beta = 117.93^\circ$ (117.44°) (Groat et al. 1990).

90 In this work, both gamma and electron irradiation are used to study the formation of O^- hole
91 centers and to see whether these centers may cause color changes in montebrasite. Previous single-

92 crystal electron paramagnetic resonance (EPR) investigations of natural montebrasite samples from
93 Brazil revealed the presence of different transition metal-related impurities such as iron, vanadium
94 and niobium all substituting for octahedral Al^{3+} ions (Dias et al. 2011). Most of these samples were
95 colorless at neutral conditions, prior to any irradiation or heat treatments, indicating that the
96 transition metal ions have, due to their low concentrations, only a negligible influence on the color.
97 In many cases, color transitions can also be induced by rare earths following high energy
98 irradiation, but this is not the case since rare earth ions, at sufficient concentrations for that, have
99 not been observed before in montebrasite. In this study, detailed EPR investigations of gamma and
100 electron irradiation-induced effects and defects are presented. A dominant O^- hole center, often
101 found after irradiation in many minerals, is analyzed together with two different Ti^{3+} electron
102 centers. Based on the EPR spin Hamiltonian parameters found for these centers, and on their
103 estimated concentration, as well as their behavior with radiation dose and heat, a model for the
104 formation process of the irradiation-induced defects is proposed, and the color enhancement
105 processes are discussed.

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107 **EXPERIMENTAL**

108 All the samples studied in this work have been analyzed before for impurities (Dias et al.
109 2011). Previous investigations have shown that the montebrasite samples used in this study are OH-
110 rich with low content of fluorine anions (OH^- 96%; F^- 4%). Naturally colorless and pale yellow-
111 colored single crystal specimens of montebrasite from the Linópolis area in the Divino das
112 Laranjeiras municipality of Minas Gerais state (Brazil) were chosen for our investigation. Samples
113 were oriented using the perfect cleavage plane (100), cut and polished to parallelepipeds of about 3
114 x 3 x 3 mm^3 . Gamma irradiations were performed using a panoramic ^{60}Co source (CDTN, Belo
115 Horizonte, Brazil) with flux of 15 kGy/h and total dose ranging from 10 to 1000 kGy. Electron
116 irradiations under water cooling were performed with a linear Van de Graaff accelerator (Aceletron,

117 Rio de Janeiro, Brazil) with a total dose of 80 MGy. Isochronal annealing experiments were done in
118 a conventional tubular furnace (Lindberg Blue) at ambient atmospheres.

119 EPR experiments were carried out on a modified Miniscope 400 spectrometer using the X-
120 band (9.44 GHz) for analysis (Magnettech, Germany). The automated microwave bridge is coupled
121 to a rectangular microwave resonator that is inserted into a 9'' electromagnet (Varian, USA). A low
122 voltage, high current source (Walker, USA) allows magnetic field scans from 0 to 0.8 T. The
123 resonator is mounted on a Helium flux cryosystem ESR-900 (Oxford, England) that permits sample
124 temperatures ranging between 4.2 to 300 K. The samples are fixed to a sample holder, which
125 coupled to an automated goniometer allowed for measuring angular dependencies in one plane with
126 high precision. Typical EPR measurements of the irradiation-induced defects in single-crystalline
127 montebrasite samples were performed in the temperature range from 10 to 300 K, using a 330 mT
128 center field, sweep range of about 60 mT, 100 kHz modulation, field modulation of about 0.2 mT,
129 resulting in a scan time of about 1 min. For some of the experiments, after each irradiation step, the
130 samples were measured and, in the sequence, thermally treated at about 400°C, before a new
131 irradiation dose was applied. In most cases, due to the high sensitivity of our EPR spectrometer, one
132 EPR scan was enough for a very good signal-to-noise ratio. The DPPH (1,1-Diphenyl-2-
133 Picrylhydrazyl) marker was used for calibration of the g factors ($g = 2.0037$).

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135 **EXPERIMENTAL RESULTS**

136 The present work study electron and hole centers produced by radiation and their thermal
137 stability apart from the radiation-induced color changes in montebrasite. For this study we have
138 chosen specifically those colorless samples denoted AAM in our previous publication (Dias et al.
139 2011), that presented only EPR spectra due to Fe^{3+} and VO^{2+} impurities in low concentration for
140 non-irradiated montebrasite crystals.

141 Figures 1 (a, b, c) present the room-temperature EPR spectra of sample AAM measured in
142 the natural state, after gamma irradiation with a dose of 200 kGy and electron irradiation with a

143 dose of 80 MGy, respectively. The EPR spectra were measured with the magnetic field aligned with
144 the a-axis of montebrasite. After gamma irradiation, two paramagnetic defects dominate the EPR at
145 room temperature (Figure 1b). Based on the spin Hamiltonian parameters, as it will be shown later,
146 one is due to an O⁻ hole center and the other due to Ti³⁺ electron center. These two paramagnetic
147 centers were also detected with low intensity in the natural samples together with the VO²⁺ center
148 (Figure 1a). Finally, the EPR spectrum of the electron-irradiated sample is dominated by the O⁻ hole
149 center (Figure 1c).

150 The EPR spectrum of the O⁻ hole centers shows eleven lines with intensity ratios, confirmed
151 later by a lineshape analysis, of approximately 1:2:3:4:5:6:5:4:3:2:1. Such EPR spectrum is
152 expected for a spin $S = 1/2$ paramagnetic center showing superhyperfine (shf) interaction with two
153 equivalent nuclear spins $I = 5/2$. The montebrasite with two formula units per unit cell ($Z = 2$) and
154 chemical formula $\text{LiAl}(\text{PO}_4)(\text{F}_{0.04}, \text{OH}_{0.96})$ has two nearly equivalent ²⁷Al nuclei ($I = 5/2$, 100%
155 abundance). Figure 1d presents the measured EPR spectrum compared with that of a fit using the
156 Easyspin[®] software (Stoll and Schweiger 2006) for an O⁻ center interacting with two equivalent
157 ²⁷Al nuclei.

158 The EPR spectrum of the Ti^{3+(I)} electron center, measured at room temperature in the
159 gamma irradiated sample, consists of three dominant EPR lines with intensity ratio of 1:2:1 (Figure
160 1e). The identification of this center with Ti³⁺ ions ($3d^1$; $S = 1/2$) is based on the hyperfine
161 interaction due to the two Ti isotopes with nuclear spins ⁴⁷Ti ($I = 5/2$, 7.4 % abundance) and ⁴⁹Ti
162 ($I = 7/2$, 5.4 % abundance). These two isotopes produce six and eight low-intense satellite lines
163 (Figure 1e). This room temperature Ti-related electron center was labeled Ti^{3+(I)}. Considering
164 charge and ionic radii, the Ti³⁺ ions are expected to replace Al³⁺ ions in the montebrasite structure,
165 which are diamagnetic (Ti⁴⁺) prior to irradiation. The intensity ratio of 1:2:1 of the EPR lines is
166 characteristic for superhyperfine (shf) interaction with two equivalent nuclei with nuclear spin $I =$
167 $1/2$, which in montebrasite may be due to the interaction of two equivalent ¹⁹F or two ¹H nuclei
168 from hydroxyl OH⁻ ions. Furthermore, double integration of the EPR spectra of O⁻ and of Ti³⁺ ions

169 in the gamma-irradiated sample shows that the concentration of both the O⁻ hole centers and the
170 Ti³⁺(I) electron centers are nearly the same. This observation can be explained if an O²⁻ impurity ion
171 replaces a regular OH⁻ or F⁻ ion, and gamma irradiation is capable of transferring an electron from it
172 to the Ti⁴⁺ ion forming O⁻ hole centers and Ti³⁺ electron centers.

173 The EPR spectrum in Figure 1c for the electron-irradiated sample is dominated by the O⁻
174 hole centers showing again 11 lines due to interaction of two nearly equivalent ²⁷Al nuclei. The
175 lineshape of this spectrum is identical to the one of the O⁻ centers produced by gamma-irradiation.
176 The absolute intensity after double integration of the derivative-like EPR spectrum shows that the
177 concentration of the O⁻ centers is, for comparison purposes, about a factor of five times higher
178 compared to that obtained with gamma irradiation. It is also important to note that electron
179 irradiation did not induce a significant concentration paramagnetic Ti³⁺(I) electron centers.

180 Low-temperature EPR measurements (15 K) showed that all samples (non-irradiated,
181 gamma-, and e-irradiated) contain other Ti³⁺ electron center (see for example, Figure 1f) as
182 identified also by the hyperfine satellite lines of the two Ti isotopes ⁴⁷Ti and ⁴⁹Ti. This Ti³⁺ electron
183 center has a different *g* factor and does not show the shf interaction with two equivalent nuclei with
184 *I* = 1/2. It was labeled Ti³⁺(II) and its intensity was not changed by the irradiation procedure.

185 For the analysis of the EPR spectra of the different paramagnetic centers shown in Figures
186 1(d-f), the EPR spectra were first analyzed individually. For that, the Easyspin[®] software was used
187 by taking into account the following spin Hamiltonian in triclinic symmetry:

$$188 \quad H = \beta S g \mathbf{B} + \sum_i (\mathbf{S} \mathbf{A} \mathbf{I}_i - \beta_n \mathbf{I}_i g_n \mathbf{B}) \quad (1)$$

189 In eq. 1, the first term represents the electronic Zeeman interaction, the second the hyperfine
190 (hf) and/or superhyperfine (shf) interaction and the third the nuclear Zeeman interaction.
191 Quadrupole interaction was omitted. The symbols have their usual meaning in the EPR literature
192 (Spaeth et al. 1992). For the individual EPR spectra, fits with Voigt line shapes (mix of
193 Lorentzian/Gaussian) were used.

194 In order to analyze the geometry, symmetry and magnetic interactions of the different
195 radiation-induced paramagnetic centers in montebbrasite, EPR angular dependencies were measured
196 in three mutually perpendicular crystal planes defined as the P1: $c^*b^*c^*$, P2: c^*ac^* and P3: b^*ab^*
197 planes. The asterisks conventionally indicate directions that are perpendicular to others. The EPR
198 angular dependencies are shown in Figures 2(a-c) for the O^- and the $Ti^{3+}(I)$ present in gamma-
199 irradiated sample, and in Figures 2(d-f) for the $Ti^{3+}(II)$ spectra measured at low temperature (15 K)
200 in electron-irradiated sample. Within the measurement accuracy, and taking into account small
201 frequency shifts for the incident microwave, the O^- center produced by electron irradiation has the
202 same EPR angular dependence compared with that after gamma irradiation.

203 The shf interactions of the two Al nuclei are not always fully resolved (Figures 2(a-c)). In
204 order to get the symmetry of the g tensor representative of the O^- hole centers, the central positions
205 of the individual EPR lines (denoted as line positions) were determined directly from the spectra as
206 a function of sample orientation. Then, the line positions were fitted simultaneously, using a least
207 squares fit procedure, for the three mutual perpendicular planes, with the appropriate spin
208 Hamiltonian within the EPRNMR[@] software (Mombourquette and Weil 2006). The results of such
209 an analysis are presented in Figure 3 where the dots correspond to measured line positions, and the
210 red solid lines the fits for the O^- , $Ti^{3+}(I)$ and $Ti^{3+}(II)$ centers. The spin Hamiltonian parameters were
211 determined by the simultaneous fit of all line positions in the three perpendicular crystal planes
212 using exact diagonalization of the spin Hamiltonian. Table 1 lists the spin Hamiltonian parameters
213 and their errors that resulted from this analysis. For the O^- center, the angular dependencies were
214 identical for both the gamma-irradiated and electron-irradiated samples.

215 The symmetry of the g tensor of the O^- hole center is not pseudo-axial as frequently
216 observed, but is clearly indicative of low symmetry (orthorhombic or less). The principal directions
217 of the g tensor are indicated in Figure 3(a-c). Table 1 lists the spin Hamiltonian parameters and its
218 principal directions in polar coordinates measured from the a -axis. It is important to note that g_{zz} is
219 nearly aligned with the diagonal of the ab plane and most importantly, nearly perpendicular to the

220 *c*-axis, as expected considering that the hole resides in a p-orbital. Although the line position plots
221 shown in Figure 3 present only one of the two inequivalent sites ($Z = 2$ for montebrasite) in each of
222 the three mutually perpendicular planes, individual spectral fits indicated that, for some
223 orientations, at least two inequivalent sites with very similar spin Hamiltonian parameters had to be
224 included in the analysis. However, due to the low resolution in the spectra only one site was
225 analyzed.

226 The superhyperfine (shf) interaction with the two nearly equivalent ^{27}Al nuclei of the O^-
227 hole centers was also not well-resolved for all crystal orientations. The shf interaction is much
228 smaller than the electronic Zeeman interaction. Therefore, first order perturbation theory may be
229 applied. Analyzing the individual EPR spectra in the three crystal planes and assuming pseudo-axial
230 symmetry of the shf interaction tensor, the maximum and minimum values of the shf interaction can
231 be estimated, where shf_{max} and shf_{min} correspond to $(a + 2b) = 0.805(3)$ mT and $(a - b) = 0.687(2)$
232 mT values, respectively. From these values, the isotropic and uniaxial components of the shf tensor
233 a and b are obtained. Their principal values (in MHz) are also listed in the Table 1. The analysis
234 shows that the principal direction of the shf interaction is nearly along the *c*-axis, i.e. more exactly
235 ($c + 20^\circ$) in the *ac* plane. The analysis also shows that the anisotropic shf interaction b (dipole
236 interaction) is only about 6% of the isotropic interaction a . Such values are frequently observed for
237 O^- hole centers in minerals and will be discussed below.

238 The EPR spectra of the angular dependencies of the two Ti^{3+} electron centers $\text{Ti}^{3+}(\text{I})$ at 300
239 K and $\text{Ti}^{3+}(\text{II})$ at 15 K were also analyzed in detail, using a similar approach as that used for the O^-
240 center. They are shown in the Figures 3(d-f) and 3(g-i), respectively. As mentioned before, the
241 identification of these centers was based on a lineshape analysis with a spin Hamiltonian that
242 includes a hyperfine interaction of the unpaired electron with the two titanium isotopes ^{47}Ti ($I = 5/2$,
243 7.4 %) and ^{49}Ti ($I = 7/2$, 5.4 %). This model perfectly adjusted the EPR lineshapes producing 6 and
244 8 hf satellite lines, respectively, with expected intensity ratios due to the natural, isotopic
245 abundances. Figure 1e shows the measured EPR spectrum of the $\text{Ti}^{3+}(\text{I})$ center with three shf lines

246 for $\mathbf{B} \parallel \mathbf{a}$ together with a fit of the spectrum including the hyperfine interaction due to the Ti
247 isotopes. The g factor for this direction is 1.9400, typical for an electron center with negative g shift
248 in relation to g_e (Pake and Estle 1973). The hyperfine interaction of the Ti isotopes is $a(^{47}\text{Ti})/h =$
249 46.5(1) MHz (the nuclear g factors are nearly the same for both Ti isotopes ^{47}Ti and ^{49}Ti) and the
250 shf interaction of two equivalent protons (^1H) is $a(^1\text{H})/h = 24.9(1)$ MHz. It is important to mention
251 that the signs of the hyperfine/superhyperfine coupling constants are associated with the distribution
252 of the electron/hole wavefunctions over the nuclear spins involved in the interactions. In the case of
253 the ^1H and $^{47,49}\text{Ti}$ hyperfine interactions, and unlike for the O^- interaction with two nearly equivalent
254 ^{27}Al nuclei, the isotropic hf parameter is positive, consistent with the fact that the orbital occupied
255 by the unpaired electron of the Ti atom points directly towards the hydrogen nuclei.

256 The dominant fingerprint of the $\text{Ti}^{3+}(\text{I})$ EPR spectra is the intensity ratio of 1:2:1 of three
257 EPR lines indicating the shf interaction with two equivalent nuclei with nuclear spin $I = 1/2$. Figures
258 3 (d-f) show the angular dependencies of the experimental EPR line positions (black circles)
259 together with the calculated fits (red solid lines) of the spin Hamiltonian from eq. 1. The fit shows
260 good agreement with the measured line positions. The g tensor of this $\text{Ti}^{3+}(\text{I})$ center shows low
261 symmetry, indicating an orthorhombic or even lower symmetry. The central line of the EPR spectra
262 always shows twice the intensity of the two satellite lines. The spectra are consistent with Ti^{3+}
263 substituting for Al^{3+} ions in the montebasite structure. The spin Hamiltonian parameters of the
264 $\text{Ti}^{3+}(\text{I})$ center used in the fit (red lines in Figures 3(d-f)) are also shown in Table 1. The principal
265 value g_{zz} is nearly aligned with the diagonal of the ab plane, while the principal value of the shf
266 tensor ($A_{zz} = A_{\parallel} = a + 2b$)/h is pointing approximately along the direction of the bond between Ti_{Al}
267 and the two protons from next nearest neighbor hydroxyl ions. If two equivalent fluorine neighbors
268 would originate the shf interaction, the maximum shf interaction should be expected, due to the
269 atomic arrangement in the montebasite crystal structure, to be aligned nearly with the c -axis, which
270 is not the case. Therefore, we conclude that the $\text{Ti}^{3+}(\text{I})$ center is substituting Al^{3+} ions with two
271 equivalent OH^- neighbors.

272 The second Ti^{3+} center, labeled $\text{Ti}^{3+}(\text{II})$, has spin Hamiltonian parameters of $g = 1.8090(1)$
273 and $a(^{47}\text{Ti})/h = 83.1(1)$ MHz when the magnetic field is along the a -axis (see Figure 1f). This center
274 does not show any resolved shf interaction with two equivalent protons, at least within the
275 individual linewidth. Sections of the measured EPR angular dependencies of this center are shown
276 in Figure 2(c-e) for the three mutually perpendicular crystal planes of the electron-irradiated
277 sample, measured at the low temperature of 15 K. At this temperature, the EPR spectra of the O^-
278 hole center are slightly saturated since their individual lines are broadened and the overall lineshape
279 is changed. Figures 3(g-i) represent the line positions of the EPR angular dependencies, together
280 with a fit of the g tensor. The spin Hamiltonian parameters of $\text{Ti}^{3+}(\text{II})$ are also listed in Table 1.

281 The analysis shows that the anisotropy of the g tensor of $\text{Ti}^{3+}(\text{II})$ is much more strongly
282 distorted, and that the principal direction of the g tensor is nearly aligned with the a -axis. From
283 charge and ionic radius considerations, this Ti^{3+} center should also replace Al^{3+} ions in the
284 montebasite structure. The missing shf interaction with two equivalent ^{19}F or ^1H might be
285 explained if we assume that this Ti^{3+} center substitutes for Al^{3+} where the nearest neighbors are O^{2-}
286 ions substituting for OH^- or F^- ions along the c -axis. These two extra negative charges might
287 compensate two extra positive charges of impurity ions like, for example, the V^{5+} substituting for
288 Al^{3+} , remembering that vanadium as impurity has also been detected with EPR, as V^{4+} hole center
289 (Dias et al. 2011).

290 Correlation experiments including irradiation dose dependence and isochronal annealing
291 show that impurity ions and intrinsic defects may exchange charges. Figure 4a and 4b show the
292 concentrations in ppm (wt.) of the O^- and $\text{Ti}^{3+}(\text{I})$ centers in the AAM sample as a function of
293 gamma irradiation dose and of the isochronal (30 min.) annealing temperature, respectively.

294 Figure 4a shows that concentrations of the O^- and $\text{Ti}^{3+}(\text{I})$ are influenced by gamma
295 irradiation dose. As mentioned before, the concentration of both centers was calculated by double
296 integration of the EPR spectra with its usual uncertainties reproduced in the error bars (Schmitz et
297 al. 1979). It is clear that the concentration of both O^- and $\text{Ti}^{3+}(\text{I})$ increases with the gamma

298 irradiation dose between 0 and 100 kGy, after it saturates. Isochronal annealing experiments in the
299 200 – 300°C temperature range simply invert this behavior; the concentrations of both centers are
300 thermally stable until approximately 150°C after which they were drastically reduced. At 300°C,
301 both irradiation-induced centers disappeared. Figure 4 shows also that both O^- and Ti^{3+} centers are
302 of the same order of concentration (~ 10 ppm wt.%). For quantification and calibration of the EPR
303 spectra a $CuSO_4 \cdot 5H_2O$ concentration standard was used.

304 Figure 5 shows the optical absorption spectra of the non-irradiated and the e^- -irradiated
305 montebrasite sample. The spectrum of the gamma-irradiated sample, which is omitted for clarity, is
306 similar to that of the natural non-irradiated sample with negligible absorbance in the visible range
307 and only a slightly higher absorption in UV spectral region. The absorption spectrum of the high-
308 dose (80 MGy) electron-irradiated sample is strongly increased in the UV spectral region, doubling
309 the absorbance from 0.6 up to 1.35 at 350 nm. This change in the UV absorbance is also reflected in
310 the blue spectral region of the visible spectrum and is accompanied by the appearance of a low-
311 intense absorption band in the yellow spectral region (580 nm). Both absorption bands form an
312 absorbance valley between 425 and 525 nm leaving the sample with a pale greenish-blue color (see
313 insert in the Figure 5). Gamma irradiation did not modify the color for the doses applied (up to 1
314 MGy), and the sample was still without color after irradiation. In contrast, electron irradiation (10
315 MeV) up to a dose of 80 MGy turned the sample pale greenish-blue.

316

317 **DISCUSSIONS**

318 Two types of O^- hole-centers are distinguishable according to the classification scheme by
319 Marfunin (Marfunin 1979). There are O^- centers that can be formed by irradiation from oxygen
320 impurities such as oxygen substituting for halogen ions like F^- in apatite $Ca_5(PO_4)_3(F,OH,Cl)$, or in
321 silicate minerals where the O^- is nearby an impurity/defect. One well-known example for the latter
322 is the Si- O^- -Al center in smoky quartz. In general, the g factors of the O^- centers are often axial or
323 pseudo-axial. This first type of O^- center according to Marfunin (Marfunin 1979) belongs to the σ

324 variety with $g_{\parallel} < g_e$ and $g_{\perp} > g_e$, while the other belongs to the π variety with inverted g-factor shifts
325 (Marfunin 1979). For both, often a superhyperfine structure due to neighbor nuclei is observed. One
326 type of O^- hole center, for example, which is confirmed to be responsible for the dark blue color
327 (commonly known as London-blue) in neutron-irradiated topaz, is formed on normal OH^- lattice
328 sites (Silva et al. 2005). However, the classification scheme of Marfunin does not describe perfectly
329 this color center in topaz, because the deviation of the g-factor from that of free electron is
330 unusually large. Even though, the g values of the O^- hole center in montebrasite are closely
331 comparable to those in jeremejevite (Li et al. 2012) and kaolinite (Clozel et al. 1995)). These O^-
332 centers, together with that in neutron-irradiated topaz can still be considered as variants of
333 Marfunin's second type, i.e. those known as π -type. The strong deviation from the axial symmetry
334 of these O^- hole centers has been attributed by Schirmer (Schirmer 2006) to a spontaneous
335 distortion followed by a symmetry reduction in the ground state compatible with a static Jahn-Teller
336 effect (Li et al. 2012).

337 Other similarity of the O^- center in montebrasite with the O^- color centers in the neutron-
338 irradiated blue topaz (Silva et al. 2005) has to do with charge compensation. In both cases, the
339 charge compensating electron centers are probably being created in very high concentrations by
340 these high-energetic particle irradiations, independent on the sample's origin and on the impurity
341 content (Krambrock et al. 2007). To some extent, the electron centers do indeed exist in low
342 concentrations in natural topaz, because of natural irradiation over long geological times. However,
343 when we consider the EPR results for the O^- center in montebrasite produced by electron
344 irradiation, and also for the London-blue topaz (Silva et al. 2005), there must be some kind of
345 charge compensating electron center, though not paramagnetic, at sufficient concentrations to
346 guarantee charge neutrality of the lattice.

347 In most minerals with structural OH^- groups in the lattice, as those listed above in the
348 introduction, the O^- center formation by particle irradiation involves kicking off hydrogen atoms H^0
349 by inelastic collisions, leaving behind O^- hole centers (Hill and Lehmann 1978; Krambrock et al.

350 2004; Silva et al. 2005). Depending on the radiation energy or temperature, atomic hydrogen
351 centers are frequently unstable at room temperature and form neutral molecular H₂ (Krambrock et
352 al. 2004).

353 In several minerals, regardless of whether they are oxides, ionization radiation may produce
354 O⁻ hole centers. More precisely, they are produced by gamma irradiation in a completely different
355 way, as for example is the case for euclase (Dias et al. 2009). The O⁻ hole centers in euclase are
356 formed by a metastable charge transfer transition, in which nearby point defects capture the extra
357 electron forming an electron centers, i.e. Ti³⁺. Commonly, these point defects are substitutional
358 transition metal impurities, which may exist in different charge states or, on the other hand, intrinsic
359 defects like vacancies. However atomic hydrogen H⁰, which may be produced directly by particle
360 irradiation from the breakdown of OH⁻ groups, is representing an electron trap (Hill and Lehmann
361 1978; Krambrock et al. 2004). In the case of ionizing radiation, the charge transfer is metastable and
362 can be reversed by heat, usually for thermal treatments above room temperature, and recreated after
363 irradiation. Despite the processes are different, the result is usually the same O⁻ center, independent
364 of the type of radiation. There is, however, a strong difference in the two processes with respect to
365 the overall concentration of the O⁻ centers. For the charge transfer process, the concentration of the
366 O⁻ hole centers is limited by the available amount of electron traps due to impurities. For particle
367 irradiation, the O⁻ are produced in the lattice together with the electron traps. This is why the colors
368 produced by gamma irradiation are usually paler than those produced by high-energetic electrons,
369 and why the colors get saturated at doses around 100 to 200 kGy by the concomitant formation of
370 charge compensating electron centers.

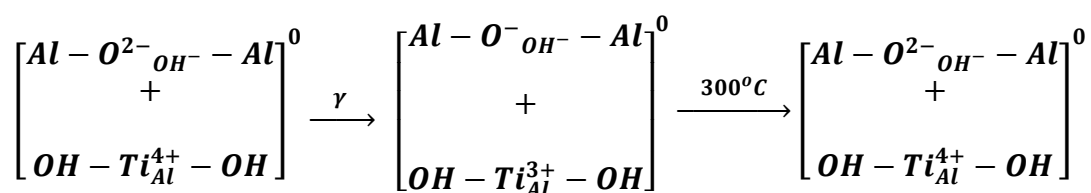
371 In this work, the investigation of radiation-induced defects in gamma-irradiated samples by
372 EPR allowed for the identification of an O⁻ hole center with two nearly equivalent Al neighbors, as
373 shown in the Figure 6. Figure 6a shows the atomic arrangement of the O⁻ hole center together with
374 the principal directions of the its *g* and Al shf tensor. As expected, the *p*-orbital of the O⁻ hole center
375 represented by the principal direction of the *g* tensor is nearly perpendicular to the connection line

376 of the two equivalent Al neighbors, meaning that it is nearly aligned with the diagonal of the *ab*
377 plane, and perpendicular to the *c*-axis. On the other hand, the principal direction of the shf tensor is
378 oriented along the (*c* + 20°) in the *ac* plane. The small anisotropic shf interaction *b*, about 6%, and
379 the large isotropic shf interaction *a* of the O⁻ center, which should be negative, is explained
380 consistently by the theory of exchange polarization transferred hyperfine interaction (Adrian et al.
381 1985). Both the isotropic and anisotropic ²⁷Al shf values for the O⁻ center in montebrasite,
382 (*a* = -18.1 MHz and *b* = 1.1 MHz), are similar, albeit slightly smaller, to the ones obtained for O⁻
383 centers in yellow tourmaline (*a* = -23.2 MHz and *b* = 0.6 MHz) (Krambrock et al. 2004), blue topaz
384 (*a* = -26.6 MHz and *b* = 1.3 MHz) (Silva et al. 2005) and jeremejevite (Li et al. 2012). For the latter,
385 by means of a combination of experimental EPR results and theoretical DFT calculations, the shf
386 tensor components were found to A₁ = -0.881 mT, A₂ = -0.951 mT and A₃ = -0.972 mT with an
387 isotropic shf parameter *a* of about *a* = -26 MHz) (Li et al. 2012).

388 Figures 6b and 6c show respectively the atomic arrangements of the two Ti-related electron
389 centers Ti³⁺(I) and Ti³⁺(II). Both were identified by their central hf interaction due to the isotopes
390 ⁴⁷Ti (*I* = 5/2, 7.4 %) and ⁴⁹Ti (*I* = 7/2, 5.4 %) and assumed to substitute for Al³⁺ ions. Both Ti³⁺ -
391 related centers, Ti³⁺(I) and Ti³⁺(II), show low symmetry, compatible with the triclinic structure of
392 montebrasite. Both centers have *g* values with a negative *g* shift in relation with that of the free
393 electron, indicating that they indeed represent electron centers (Pake and Estle 1973). Although it is
394 difficult to interpret the principal directions of the *g* tensors of both Ti³⁺-related electron centers, the
395 EPR spectra of the Ti³⁺(I) center present a splitting due to the interaction of two equivalent nuclei
396 with nuclear spins of *I* = ½. These two equivalent nuclei are identified as two equivalent protons
397 from hydroxyl ions next to Ti due to the orientation of the principal axis of the shf tensor, which is
398 pointing nearly along the diagonal in the *ab* plane. If the two equivalent nuclei would be fluorine,
399 the principal axis should point nearly along the *c*-axis, which is not the case. The principal axes of
400 the interaction tensors of both Ti-related centers, Ti³⁺(I) and Ti³⁺(II), are shown in the Figures 6b
401 and 6c, respectively.

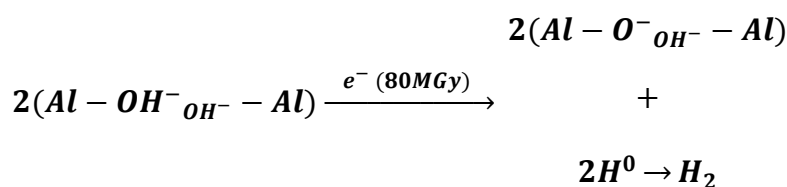
402 Because the $Ti^{3+}(II)$ center does not present the shf interaction with two equivalent nuclear
 403 spins $I = 1/2$, we assume that $Ti^{3+}(II)$ has two impurity O^{2-} neighbors, instead of two OH^- or F^-
 404 neighbors. Different to the $Ti^{3+}(I)$ center, the g tensor of $Ti^{3+}(II)$ is much more distorted and the
 405 principal axis of its g tensor is nearly aligned with the a -axis (see Figure 6c), which may be caused
 406 by an unknown nearby impurity or defect like, for example, Ti pairs in dislocations.

407 The concentration of the three paramagnetic electron and hole centers were investigated as a
 408 function of gamma-irradiation dose and after isochronal annealing (see Figure 4). For the gamma-
 409 irradiation, the O^- hole-center concentration seems to be directly related to the concentration of the
 410 electron center identified as the room-temperature $Ti^{3+}(I)$ substituting for Al^{3+} , with two nearby
 411 hydroxyls, in a very similar way as was observed for euclase (Dias et al. 2011). For gamma
 412 irradiation, the concentrations of these two centers saturate in our montebbrasite sample at an
 413 estimated concentration of about 10 ppm wt., for doses above 100 kGy. On the other hand, for
 414 isochronal annealing of the same sample above $200^\circ C$, they start to decrease with both centers
 415 vanishing totally above $300^\circ C$. Since no color is produced after irradiation, we presume that the O^-
 416 concentration is below the threshold for inducing measurable absorption bands in the visible range.
 417 The formation of the O^- hole centers along with the Ti^{3+} electron centers by gamma irradiation can
 418 be thus summarized as follow:



419 Charge compensation thus, guarantees the overall lattice neutrality. On the other hand, the
 420 $Ti^{3+}(II)$ center is observed only at low temperatures in all types of samples: non-irradiated, gamma-
 421 and electron-irradiated samples. Its concentration does not dependent on the irradiation type or
 422 dose, nor on the annealing temperature. Therefore, it cannot be accounted as a compensating
 423 electron center for the O^- hole centers.

424 A different situation arises in the same sample after high-energetic electron irradiation. The
425 same O^- hole center is created, but without the correlated $Ti^{3+}(I)$ electron center. Besides that, the O^-
426 center concentration is approximately five times higher than that for gamma irradiation, with one
427 absorption band in the near UV and another weaker band at 580 nm appearing simultaneously to the
428 O^- center. These two absorption bands leave a transmittance valley between 450 - 500 nm and
429 originate a pale greenish-blue color (Figure 5). This time an O^- concentration threshold for the color
430 seems to be reached at about 50 ppm wt.% for the electron-irradiated sample. One must bear in
431 mind, however, that this color is not strong since the visible spectrum changes only slightly with the
432 weak intense 580 nm band. The scheme below shows the formation process of O^- centers by
433 electron irradiation:



434 It is analogous to that for O^- centers produced by high-energetic electrons and neutrons in
435 topaz (Silva et al. 2005). In this case, the OH^- ions are the source for both, the O^- hole centers and
436 atomic hydrogen, H^0 , as the corresponding electron centers. Because H^0 was not detected by EPR,
437 we have to assume that they diffuse and form EPR-silent H_2 molecules. However, in order to
438 investigate the irradiation-induced formation of atomic hydrogen in montebasite, low-temperature
439 irradiation followed by low-temperature EPR experiments, without heating the samples up to room
440 temperature, would be necessary.

441

442 IMPLICATIONS

443 The implications of the present study are important for color improvements by irradiation
444 and heat in gemstone materials using ionization and electron irradiations. This is particularly
445 relevant for most gemstone minerals that contain hydroxyl ions in their crystalline structure. These
446 implications are important for the identification of the radiation-induced color centers, the factors
447 that stabilize them and the understanding of their optical properties. For many oxide materials, the

448 irradiation-induced color centers are related with O^- hole centers which are stabilized near acceptor
449 defects. Their identification is done by electron paramagnetic resonance (EPR). The color produced
450 from such O^- hole centers is based on a phenomenological theory and explained by bound small
451 polarons; the trapped hole jumps between equivalent positions in the neighborhood of an acceptor,
452 similar to a charge transfer process inducing optical absorption bands from the near UV to the
453 visible spectral range. In most cases, the stabilizing acceptor for the bound hole is not known. In
454 this context, the microscopic structure and symmetry of three unknown irradiation-induced
455 paramagnetic centers in the rare gemstone montebbrasite were identified by EPR from the analysis of
456 their spin Hamiltonian parameters. Two of them, the O^- hole center interacting with two nearest
457 equivalent nuclei from Al^{3+} ions and the $Ti^{3+}(I)$ electron center interacting with two nearby
458 equivalent protons from structural hydroxyl ions are simultaneously created by gamma irradiation
459 and destroyed by heat at about 200 °C. Both act as a matched pair of charge compensating centers.
460 The maximum gamma irradiation-induced concentration of O^- centers is limited by available
461 electron centers. For this limited O^- hole center concentration, no visible color in the montebbrasite
462 was produced. On the other hand, using high-energetic electron irradiation, the O^- hole center
463 concentration was about 5 times higher compared with gamma irradiation. Since no $Ti^{3+}(I)$ electron
464 centers are identified in reasonable concentration in this process, the formation of the O^- centers
465 follows other mechanism, probably related with the formation of atomic hydrogen, H^0 , with likely
466 recombination and formation of diamagnetic molecular hydrogen H_2 . The O^- centers created by
467 electron irradiation are probably related with a low-intense absorption band centered in the visible
468 spectral range at 580 nm and an intense broad absorption band covering a large UV spectral range,
469 responsible for the pale greenish–blue color. For the correlation of the color with the O^- centers in
470 electron-irradiated samples, as well as the model for charge compensation, and its concentration
471 dependence with irradiation dose and thermal treatments more experiments are required.
472

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476

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537

538 LIST OF FIGURES CAPTIONS

539

540 **FIGURE 1** - EPR spectra of montebrasite sample: (a) prior to irradiation (b) after gamma
541 irradiation (dose of 200 kGy) and (c) after electron irradiation (dose of 80 MGy) measured at 300 K
542 and with microwave frequency of ~9.46 GHz. The magnetic field was parallel to the **a**-axis. Figures
543 (d-f) show the experimental EPR spectra in black lines together with a fit of the spectra (red lines).
544 For the parameters used in the fits, see text and Table 1. Spectra (d) and (e) were measured at 300 K
545 for a gamma-irradiated sample, whereas the spectrum in (f) was measured at 15 K for the electron-
546 irradiated samples, where its EPR line intensity was larger.. An EPR spectrum of the O⁻ hole center
547 is shown in (d), whereas the two Ti-related electron centers, Ti³⁺(I) and Ti³⁺(II), are shown in (e)
548 and (f) respectively.

549 **FIGURE 2** - Angular dependence of EPR spectra of montebrasite samples after gamma (200 kGy)
550 and electron (80 MGy) irradiation measured in three mutual perpendicular crystal planes: P1:

551 $\mathbf{c}^*\mathbf{b}^*\mathbf{c}^*$, P2: $\mathbf{c}^*\mathbf{a}\mathbf{c}^*$ and P3: $\mathbf{b}^*\mathbf{a}\mathbf{b}^*$, at room temperature (a-c), for the O^- and the $\text{Ti}^{3+}(\text{I})$ centers.
552 Figures (d-f) show angular dependences of the EPR spectra of the $\text{Ti}^{3+}(\text{II})$ center in the electron
553 irradiated sample, measured at 15K and in the same three planes. The colored lines indicate the
554 spectra when the magnetic field is along the crystal directions \mathbf{a} (orange), \mathbf{b}^* (blue) and \mathbf{c}^* (red). For
555 the low temperature measurements, the O^- lines, despite being partially saturated, are still visible at
556 the lower fields.

557 **FIGURE 3** - Fit of the EPR angular dependences of the montebrasite sample in three mutual
558 perpendicular crystal planes: P1: $\mathbf{c}^*\mathbf{b}^*\mathbf{c}^*$, P2: $\mathbf{c}^*\mathbf{a}\mathbf{c}^*$ and P3: $\mathbf{b}^*\mathbf{a}\mathbf{b}^*$. The black dots represent the
559 center of the line positions shown in the Figure 2, and the red solid lines are from the fit of the spin
560 Hamiltonian of eq. 1 using exact diagonalization. The Figures (a-c), are for the O^- centers while the
561 (d-f) for the $\text{Ti}^{3+}(\text{I})$ centers, all measured at room temperature. Figures (g-i) represent the fit of the
562 EPR angular dependences for the $\text{Ti}^{3+}(\text{II})$ center measured at 15K and in the same three planes.

563
564 **FIGURE 4** – Concentrations in ppm (wt.) of the O^- (black triangle) and $\text{Ti}^{3+}(\text{I})$ (red circle) (a) as a
565 function of the gamma-irradiation dose and (b) as a function of the isochronal annealing
566 temperature (30 min.) measured for AAM sample after gamma irradiation.

567 **FIGURE 5** – Optical absorption spectra in the UV-VIS spectral range of non-irradiated and e^- -
568 irradiated montebrasite sample measured at room temperature. The spectra were artificially
569 displaced for better view. The inset shows a photo of the electron-irradiated sample exhibiting the
570 greenish-blue color and the non-irradiated colorless sample.

571 **FIGURE 6** – Atomic structure of the three paramagnetic centers identified in gamma- and electron-
572 irradiated montebrasite: a) the O^- center; b) the $\text{Ti}^{3+}(\text{I})$ center; and c) the $\text{Ti}^{3+}(\text{II})$ center. The color
573 coding for the ions/molecules are as follow: blue (Al^{3+}), red (O^{2-}), orange (Ti^{3+}) and white (OH^-).
574 The two nearly equivalent Al neighbors are indicated as Al1 and Al2. The g and hyperfine tensor

575 ellipsoids, as well as the arrows representing their principal **z**-axes directions are shown in green
576 and blue, respectively.

577 **TABLES**

578

579 **TABLE 1** – Spin Hamiltonian parameters and their errors of O⁻ hole centers and the Ti³⁺(I) and
580 Ti³⁺(II) electron centers in gamma (Ti³⁺ (I) centers) and electron (Ti³⁺ (II) center) irradiated
581 montebrasite: principal values and directions (given by polar angles Θ (zenite) and Φ (azimute) in
582 relation of *a*-axis) of *g* tensor. All data used in the analysis were collected at 300 K, with exception
583 of the data used for the Ti³⁺(II) analysis (collected at 15 K). The *g*-tensors of O⁻ centers derived
584 from the analysis of the angular dependencies for the electron and gamma-irradiated samples are
585 compared.

O⁻ hole center										
Gamma-irradiated sample – 300 K						Electron-irradiated sample – 300 K				
g-tensor			2 × ²⁷Al shf (MHz)			g-tensor				
g_{xx}	g_{yy}	g_{zz}	a/h	b/h		g_{xx}	g_{yy}	g_{zz}		
1.9946 (2)	2.0075 (2)	2.0280 (2)	-18.1 (1)	1.1 (1)		1.9930 (2)	2.0049 (2)	2.0270 (1)		
Θ	53 (1)	141 (1)	78 (1)			50 (1)	40(1)	92 (1)		
Φ	106 (1)	131 (1)	205 (1)			355 (1)	179 (1)	267 (1)		
Ti³⁺ (I)										
Gamma-irradiated sample - 300 K						^{47,49}Ti hf(MHz)				
g-tensor			A_{xx}/h	A_{yy}/h	A_{zz}/h	a/h	b/h	b'/h		
g_{xx}	g_{yy}	g_{zz}								
1.9294 (1)	1.9386 (1)	1.9532 (1)	20.1 (9)	21.0 (7)	25.2 (7)	22 (2)	1.5 (2)	0.4 (1)		
Θ	57 (1)	44 (1)	71 (1)	87 (1)	19 (1)	A_{hf}/h (MHz) for B // a				
Φ	253 (1)	121 (1)	253 (1)	162 (1)	62 (1)	46.5 (1)				
						2 × ¹H A_{hf}/h (MHz) for B // a				
						24.9 (1)				
Ti³⁺ (II)										
Electron-irradiated sample - 15 K						^{47,49}Ti hf (MHz) for B // a				
g-tensor										
g_{xx}	g_{yy}	g_{zz}								
1.782 (3)	1.873 (4)	1.951 (2)	83.1 (1)							
Θ	32 (1)	58 (1)	91 (1)							
Φ	348 (1)	167 (1)	77 (1)							

586

Figure 1

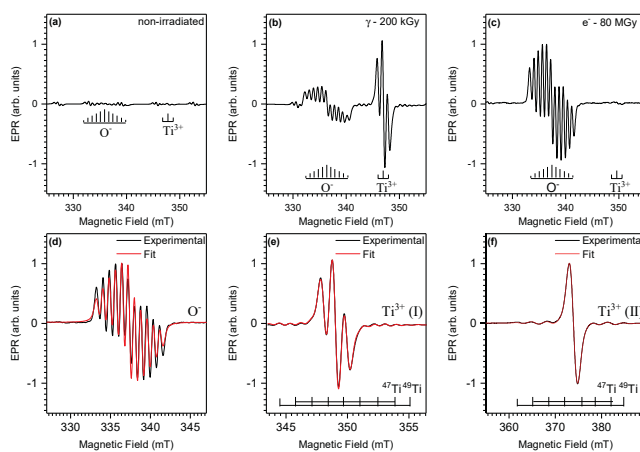


Figure 2

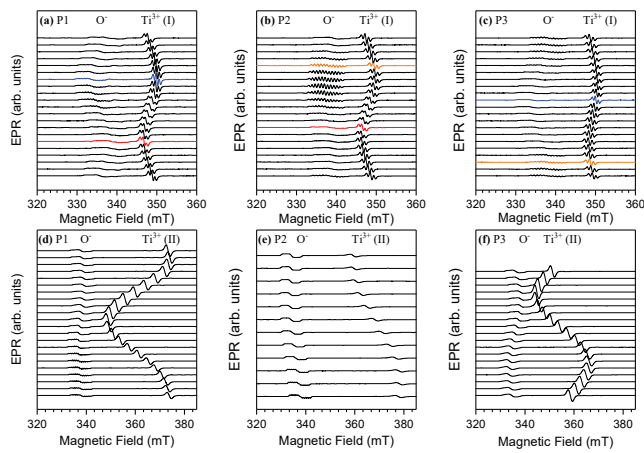


Figure 4

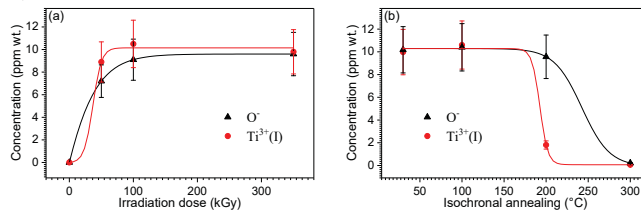


Figure 5

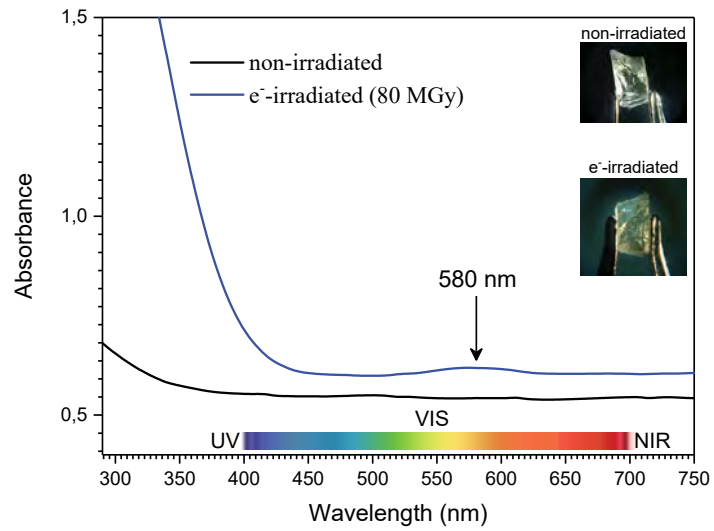
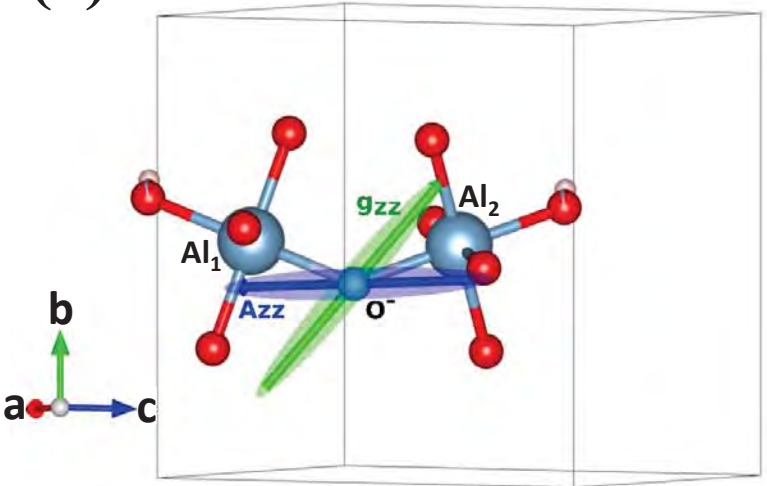
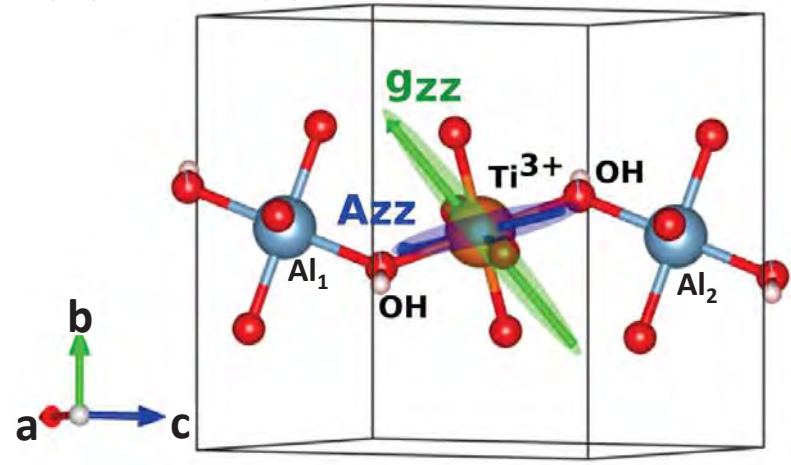


Figure 6

(a) O^-



(b) $Ti^{3+}(I)$



(c) $Ti^{3+}(II)$

