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#### 1 **Revision 2**

A new emerald occurrence from Kruta Balka, Western Peri-Azovian region, 2 Ukraine: Implications for understanding the crystal chemistry of emerald 3 4 GERHARD FRANZ<sup>1</sup>, OLEKSII VYSHNEVSKYI<sup>2</sup>, MICHAIL TARAN<sup>2</sup>, VLADIMIR KHOMENKO<sup>2</sup>, MICHAEL 5 WIEDENBECK<sup>3</sup>, FERRY SCHIPERSKI<sup>1</sup>, JÖRG NISSEN<sup>4</sup> 6 7 8 <sup>1</sup>Institute for Applied Geosciences, Technical University Berlin, D-10587 Berlin, Germany <sup>2</sup>The National Academy of Sciences of Ukraine, M. P. Semenenko Institute of Geochemistry, 9 Mineralogy and Ore Formation, 34, Palladina av., Kyiv, 03142, Ukraine 10 11 <sup>3</sup>GFZ German Research Centre for Geosciences, Telegrafenberg, D-14473 Potsdam, Germany <sup>4</sup>ZE Electron microscopy, Technical University Berlin, D-10623 Berlin, Germany 12 13 14 15 ABSTRACT We investigated emerald, the bright-green gem varietal of beryl, from a new locality at Kruta 16 17 Balka, Ukraine and compare its chemical characteristics with those of emerald from selected 18 occurrences worldwide (Austria, Australia, Colombia, South Africa, Russia) in order to clarify the 19 types and amounts of substitutions as well as the factors controlling such substitutions. On selected crystals Be and Li were determined by secondary ion mass spectrometry, which showed that the 20 21 generally assumed value of 3 Be atoms per formula unit (apfu) is valid; only some examples such 22 as emerald from Kruta Balka deviate from this (resulting in 2.944 Be apfu). An important substitution in emerald (expressed as exchange vector with the additive component  $Al_2Be_3Si_6O_{18}$ ) is 23  $(Mg.Fe^{2+})NaAl_{1}\square_{1}$  leading to a hypothetical end-member  $NaAl(Mg.Fe^{2+})[Be_3Si_6O_{18}]$  called 24 femag-beryl with Na occupying a vacancy position  $(\Box)$  in the structural channels of beryl. Based on 25 both our results and data from the literature, emeralds worldwide can be characterized based on the 26 27 amount of femag-substitution. Other minor substitutions in Li-bearing emerald include the 28 exchange vectors  $LiNa_2Al_{-1}\Box_{-2}$  and  $LiNaBe_{-1}\Box_{-1}$ , where the former is unique to the Kruta Balka 29 emeralds. Rarely, some Li can also be situated at a channel site, based on stoichiometric 30 considerations. Both Cr- and V-distribution can be very heterogeneous in individual crystals, as shown for material from Kruta Balka, Madagascar and Zambia, but taking average values available 31 32 for emerald occurrences, the Cr/(Cr+V) ratio (Cr#) in combination with the Mg/(Mg+Fe) ratio 33 (Mg#) and the amount of femag-substitution allows emerald occurrences to be characterized. The 34 'ultramafic' schist-type emeralds with high Cr# and Mg# come from occurrences where the Fe-Mg-

Cr-V component is controlled by the presence of ultramafic meta-igneous rocks. Emeralds with 35 highly variable Mg# come from 'sedimentary' localities, where the Fe-Mg-Cr-V component is 36 controlled by metamorphosed sediments such as black shales and carbonates. A 'transitional' group 37 38 has both metasediments and ultramafic rocks as country rocks. Most 'ultramafic' schist type 39 occurrences are characterized by a high amount of femag-component, whereas those from the 40 'sedimentary' and 'transitional' groups have low femag content. Growth conditions derived from the zoning pattern - combined replacement, sector and oscillatory zoning - in the Kruta Balka emeralds 41 indicate disequilibrium growth from a fluid along with late-stage Na-infiltration. Inclusions in 42 43 Kruta Balka emeralds (zircon with up to 11 wt% Hf, tourmaline, albite, Sc-bearing apatite) point to 44 a pegmatitic origin. 45

46 Keywords: beryl, substitution mechanisms, ion microprobe analysis, electron microprobe analysis,

47 optical spectroscopy, infrared spectroscopy, Kruta Balka, Ukraine

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### 49 INTRODUCTION

Beryl, ideally Al<sub>2</sub>Be<sub>3</sub>[Si<sub>6</sub>O<sub>18</sub>], is the most abundant Be-mineral; solid solution between beryl and
other components has been the subject of several earlier investigations (e.g. reviews by Černý 2002;
Hawthorne and Huminicki 2002). The crystal chemistry of emerald, its green gem varietal of beryl,

has also been studied extensively (e.g. Groat et al. 2008; Marshall et al. 2012; Loughrey et al. 2013;

54 Hewton et al. 2013; Aurisicchio et al. 2018). The structure of this cyclosilicate (space group

55 *P6/mcc*; Gibbs et al. 1968) consists of  $Si_6O_{18}$ -rings lying in planes parallel to (0001), connected by

56 Be-tetrahedra and Al-octahedra. Stacking of the rings creates channels with two additional positions

57 ( $\Box$ ), a large one (0,0,1/4; 2a) above the center of the rings, a smaller one (0,0,0; 2b) at the level of

58 the rings.

A number of substitutions are known to be important in emerald (Table 1). Taking

 $60 \quad {}^{ch} \Box {}^{vi} Al_2 {}^{iv} Be_3 [{}^{iv} Si_6 O_{18}]$  as the additive component, the simple exchange vector  $Fe^{3+}Al_{-1}$  creates the

61 Fe<sup>3+</sup>-end-member stoppaniite, ScAl<sub>1</sub> leads to the Sc-analogue bazzite, and  $Cr^{3+}Al_{1}$  and  $V^{3+}Al_{1}$  are

62 responsible for the emerald color (end-members are not known). The vacancy positions can be

63 occupied by  $H_2O$  as a function of P and T (Pankrath and Langer 2002), but also with large cations

64 such as  $Na^+$  (at 2b) and  $Cs^+$  (at 2a), allowing for charge balance of coupled substitutions on the

- 65 tetrahedral (Be) and octahedral (Al) sites. The coupled exchange vector LiCsBe<sub>-1</sub>□<sub>-1</sub> creates
- 66 pezzottaite CsAl<sub>2</sub>Be<sub>2</sub>Li[Si<sub>6</sub>O<sub>18</sub>] ('tetrahedrally substituted beryl'; Aurisicchio et al. 1988). Its Na-
- 67 analog avdeevite (Na,Cs)(Be<sub>2</sub>Li)Al<sub>2</sub>[Si<sub>6</sub>O<sub>18</sub>] was found by Agakhanov et al. (2019). In many beryls,
- 68 especially in emerald, there is an important substitution (Mg,F $e^{2+}$ )NaAl<sub>-1 $\Box$ -1</sub>, leading to a
- 69 hypothetical end-member  $NaAl(Mg,Fe^{2+})Be_3[Si_6O_{18}]$  called 'femag-beryl' (Schaller et al. 1962).

70 Lithium can also be incorporated via  $LiNa_2Al_{1}\Box_{-2}$ , (Beus 1966; 'octahedrally substituted beryl'; 71 Aurisicchio et al. 1988). The high-temperature polymorph of cordierite, indialite, is isostructural with beryl, though it does not show significant solid solution with beryl. However, synthetic Be-72 73 cordierite Mg<sub>2</sub>[Al<sub>2</sub>BeSi<sub>6</sub>O<sub>18</sub>] (Hölscher and Schreyer 1986) is a possible candidate for an additional substitution involving tetrahedral and octahedral sites, <sup>iv</sup>Al<sub>2</sub><sup>vi</sup>Mg<sub>2</sub><sup>vi</sup>Al<sub>2</sub><sup>iv</sup>Be<sub>-2</sub>, simplified to MgBe<sub>-1</sub>. 74 75 In recent years the number of known emerald occurrences has grown as well as increasing levels 76 of research into emerald's formation conditions (e.g. Groat et al. 2002; Hewton et al. 2013; Loughrey et al. 2013; Marshall et al. 2004, 2012, 2017; Pignatelli et al. 2015; Renfro et al. 2017). 77 78 With regards to emerald's crystal chemistry, a well-established data base of electron microprobe 79 analyses (EPMA) already exists (see references above). EPMA, however, can only provide partial 80 analyses, because Be as the major and characteristic element, and Li as an important minor element 81 cannot be determined, which introduces uncertainty in the formula calculation. Only recently Auristicchio et al. (2018) presented a data set including Be and Li determinations by secondary ion 82 mass spectrometry (SIMS). In addition, the unknown ratio  $Fe^{3+}/Fe^{2+}$  as well as unknown water 83 contents make a straight-forward formula calculation impossible. 84 85 We describe emerald from Kruta Balka in the Archean-Paleoproterozoic part of the eastern block 86 of the Ukrainian Shield, a new occurrence first reported by Taran et al. (2005), where the 87 exceptional Li substitution is LiNa<sub>2</sub>Al<sub>-1</sub> -2. This Ukrainian locality is related to pegmatites at the contact to both meta(ultra)mafic rocks and metapelites, and the emeralds are characterized by both 88 89 of the coloring elements Cr and V. Growth conditions of these emeralds, derived from the zoning 90 patterns and the observed mineral inclusions, indicate a pegmatitic origin. In addition, we determined Be and Li contents of beryl from several emerald occurrences by 91 92 SIMS and, together with EPMA data, determined the cation assignment with constraints on substitution mechanisms in emerald. We show that for most emeralds the assumption of 3.000 Be 93 94 atoms per formula unit (apfu) is valid and confirm that in addition to the substitutions  $Cr^{3+}Al_{-1}$ ,  $V^{3+}Al_{1}$ ,  $Fe^{3+}Al_{1}$ , and  $(Mg, Fe^{2+})NaAl_{1}\square_{1}$ , minor Li is incorporated not only via LiNaBe<sub>1</sub> $\square_{1}$ , but 95 also via LiNa<sub>2</sub>Al<sub>-1</sub>D<sub>-2</sub> albite rarely. In a few cases, Li occupies a channel position. In some emeralds 96 97 there may be an additional substitution with the Be-cordierite component MgBe<sub>-1</sub> (Hölscher and

- 98 Schreyer, 1986). Compilation of emerald analysis of known occurrences worldwide leads to the
- 99 conclusions that on the basis of the amount of femag substitution, Mg/(Mg+Fe) (= Mg#), and their
- 100 Cr/(Cr+V) (= Cr#) ratios, the critical element concentrations Mg, Fe, Cr, and V are controlled by
- 101 ultramafic country rocks at some localities, which are distinct from occurrences where
- 102 (meta)sedimentary rocks provide the source of Mg, Fe, Cr, and V. At some localities (transitional),
- 103 such as Kruta Balka, both types of country rocks are present.
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### 105 SAMPLES AND ANALYTICAL METHODS

### 106 SIMS analysis

107 Thick sections of emerald samples (Habachtal, Austria; Ural Mts., Russia; Muzo, Columbia;

108 Leyendorp, South Africa; Western Australia; Table EA 1) were prepared from the same seven

109 crystals from which thin sections were cut for EPMA. We used the Cameca ims 6f SIMS

110 instrument at GFZ-Potsdam to quantify Li and Be concentrations at a spatial resolution of *circa* 

111 5  $\mu$ m. The actual analyses employed a nominally 12.5 kV, mass filtered <sup>16</sup>O<sup>-</sup> primary beam operated

112 at 1 nA current. In order to suppress surface related contaminants, which is necessary for the

113 quantification of Li at low and trace concentrations, we conducted a 5 min pre-burn using a 25 x

114  $25 \ \mu m$  raster over each analysis location. This was followed by an unrastered 3 min pre-burn used

115 to establish equilibrium sputtering conditions.

116 Secondary ions were extracted with a 10 kV acceleration potential. The mass spectrometer was

operated at a mass resolving power of  $M/\Delta M = 3500$  which is more than adequate for eliminating

all significant isobaric interferences. A 750 µm diameter field aperture, equivalent to a 60 µm

119 diameter field-of-view at the sample surface, was used in conjunction with a 50 eV energy bandpass

120 using no energy off-set. Our method provided a count rate of around 300 kHz on the <sup>28</sup>Si mass

station. A single analysis consisted of 5 blocks of 5 cycles each of the peak stepping sequence: 6.9

122 Da (0.1 s per cycle; needed for the pre-burn),  $^{7}$ Li (2 s),  $^{9}$ Be (2 s),  $^{27}$ Al (2 s) and  $^{28}$ Si (2 s). Thus a

single analysis required *circa* 8 min including the automatic 3 min pre-burn. We assessed whether the use of  ${}^{27}$ Al or  ${}^{28}$ Si provided the better quality data. Based on the observed repeatability of the results from our reference materials it was concluded that the use of  ${}^{28}$ Si for normalization was

126 more robust, and hence we did not make use of the acquired  $^{27}$ Al data.

127 One of the largest challenges for our SIMS analyses was the identification and characterization 128 of suitable reference materials. In total six samples of beryl, both natural and synthetic in origin, 129 were tested by SIMS for having homogeneous Li and Be distributions. From these results we 130 selected three of these materials based both on their apparent homogeneities and their spread in Li 131 and Be contents. These three samples were then analyzed for their Li and Be contents by solution 132 ICP-MS analyses (Table EA 2). The Si contents of these samples, required for the normalization 133 procedure, were based on earlier EPMA data collected from different fragments of the same 134 crystals. Based on 28 SIMS analyses of the reference materials we estimate our repeatability for Be 135 determinations to be *circa* 1% (rel.) and the repeatability for Li to be *circa*  $\pm$ 2% at high 136 concentrations and *circa*  $\pm$ 8% at low Li abundances (1 sd). Based on an intercomparison of the 137 three reference materials using the average divergence of the 28 reference material measurements 138 from the calibration line calculated from the ICP-MS results, we estimate the trueness of our 139 method for Be to be 0.5%. Using the same approach applied only to the two Li-rich reference

140 samples, we estimate our trueness for Li results to be 2.6%. In the case of the Li-poor synthetic

141 reference beryl the SIMS quantification yielded systematically low concentration values by a factor

of 65%. This suggests that Li blank and/or blank correction issues may be affecting the SIMS 142

and/or ICP-MS data at sub-10  $\mu$ g g<sup>-1</sup> level. Any such effect would have only a negligible impact on 143

144 the Li concentrations, which we determined by SIMS in our "unknown" samples. The

145 concentrations of Be and Li in these unknowns are compiled in Table 2.

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# **EPMA** and colorimetry analysis

147 The JEOL JXA-8530F field emission microprobe at TU Berlin was used to investigate the same crystals that were studied with the SIMS method. EPMA data were acquired using a 15 kV, 20 nA 148

149 beam with a defocused 15 µm probe diameter and our calibration was based on Astimex mineral

150 reference materials (olivine for Mg, hematite for Fe, rutile for Ti, and alusite for Si and Al, albite for

151 Na) and pure metal (Cr, Mn, V,). Matrix corrections of WDS analyses were done in ZAF mode.

152 Peak counting times were 20 s and counting times for background 10 s on each side of the peak. For

153 other emeralds we used a focused beam of 2 µm. The relative counting uncertainties of X-ray

154 intensities were 0.26% for Si, 0.51% for Al, 4.36% for Fe, 11.07% for Cu, 17.33% for Cr and

155 26.86% for Ni. Lithium contents for selected crystals from this data set were determined at the

156 University of Kiel, Germany from HF/HClO<sub>2</sub> digestion in teflon autoclave at 150 °C with ~20 mg

157 hand-picked, high-purity emerald using atomic absorption spectroscopy (data listed in Table EA1).

158 H<sub>2</sub>O contents were measured by colorimetry (Karl-Fischer method; at University of Bochum) with

159 an Alimex, model CA-02 instrument, for which the relative standard deviation is estimated as  $\pm 3\%$ 

160 (data listed in Table EA1). Analytical details of EPMA for some samples, including a pegmatitic,

161 relatively pure beryl from Namibia, were used to check the reliability of the EPMA and the formula

162 calculation procedure, are given in Franz et al. (1986).

163 Three samples of Kruta Balka beryl (localities for KB #16 and #18 see Figs. 1, 2; sample KBE is

164 from the same area, but its exact sampling location has not been provided) were studied by both

165 EPMA and spectroscopy. Two additional single crystals KB-1 and KB-2 were selected for mapping

of element distribution in the wave-length dispersive mode of the JEOL microprobe at TU Berlin. 166

167 An additional small emerald sample was used for X-ray diffraction (XRD).

168 The chemical compositions of KB #16 and #18 and of the inclusions were determined using C-169 coated samples with a field-emission scanning electron microscope JSM-6700F equipped with an 170 energy-dispersive spectrometer JED-2300 (JEOL) at IGMOF NAS of Ukraine (Kyiv) at operating 171 conditions of 20 kV accelerating voltage, 1.0 nA beam current, 1 µm beam size, and a counting 172 time of 60 s. Pure Si, Ti, Zr, Hf, Al, Cr, V, Fe, Mn and synthetic MgO, CaF<sub>2</sub>, Na<sub>3</sub>AlF<sub>6</sub>, KCl were 173 used for calibration. Raw counts were corrected for matrix effects with the ZAF algorithm

174 implemented by JEOL. The sample KB #18 was also analyzed at IGMOF NAS of Ukraine (Kyiv)

using a JEOL JCXA-733 electron microprobe, equipped with three vertical wavelength dispersive

176 spectrometers (analytical conditions: accelerating voltage of 15 kV, beam current 20 nA, beam

177 diameter of 2 µm, peak counting times of 30 s, for background 10 s on each side of the peak,

178 routine ZAF correction). Reference materials were pure metals Ti, Cr, V, Fe, Mn, natural quartz and

albite, and synthetic compounds MgO, CaF<sub>2</sub>, KCl. The KBE sample was investigated at TU Berlin.

180 Some of the data on crystals KB#16 and KB#18 and of inclusions were already presented in Taran

181 et al. (2005).

### 182 **Optical Spectra**

183 Optical absorption and diffuse reflectance spectra between 350-2500 nm and 350-1000 nm,

respectively, were measured with a single-beam spectrophotometer consisting of a SpectraPro-275

185 grating monochromator at the Academy of Sciences, Kiev, combined with a polarized

186 mineralogical microscope MIN-8. The diameter of the measuring light spot was  $\leq 0.5$  mm. A

187 description of the spectrophotometer and procedure used for registration of absorption and

reflectance spectra can be found in e.g. Taran and Langer (2001) and Taran et al. (2004). The

refractive indices for each of the two samples #16 and #18 yielded values of  $n_{\omega} = 1.588-1.590$ ,  $n_{\varepsilon} =$ 

190 1.580-1.582,  $\Delta n = 0.008 \pm 0.002$  (Taran et al. 2005). The slight variations of the values within each

191 sample are due to their chemical heterogeneity and this level of variation is similar to that found for

192 other deposits worldwide (Anderson 1990; Feklichev 1964; Gavrilenko 1998; Gromov 1990;

193 Tretyakova and Benavides 1987). The specific gravity was determined by hydrostatic weighing as

194  $2.70-2.72 \text{ g cm}^{-3}$  (Taran et al. 2004), which is slightly lower than that from emeralds of most other

deposits, for which the specific gravity is usually  $2.72-2.75 \text{ g cm}^{-3}$ , probably caused by their

196 relatively low Fe contents.

### 197 **Polarized infrared spectra**

198 Polarized infrared absorption spectra of the KBE sample were measured at room temperature in the spectral range 6000 - 1500 cm<sup>-1</sup> by means of a Bruker FTIR spectrometer IFS 66 equipped with 199 200 an IR-microscope at TU Berlin. Spectra were scanned with a measuring spot of 60 µm diameter and at a spectral resolution of 2 cm<sup>-1</sup>. The time-averaged signal was collected over 200 scans. The 201 202 reference spectra were measured in air. Curve fitting of overlapping absorption bands was 203 performed with the program PeakFit. For the FTIR transmission measurements, fragments of the 204 KBE beryl crystal were cut along c axis, ground down to thickness of 55  $\mu$ m and polished on both 205 sides.

### 206 X-ray diffraction

207 XRD was conducted on a D2 Phaser (Bruker, Germany) at TU Berlin. The sample was ground in
 208 a corundum mortar for several minutes and placed on a Si-single crystal sample holder.

209 Measurement parameters were set to a  $2\theta$  stepsize of  $0.01^\circ$  in the range of 5-85°2 $\theta$  with 5 s per step.

210 The Rietveld method was applied to the observed data using the crystallography data analysis

211 software GSAS-II (Version 3816). Structural data from Evdokimova et al. (1989) were used as

212 initial phase.

Refined parameters were applied in following sequence: background (Chebyschev model using 7 coefficients), zero offset, sample displacement, lattice constants, crystallite size, atom position and thermal motion (refined in the listed order). The latter two were only refined for Si and Al. Data of crystal chemistry from microprobe analyses was implemented in the model. Limits of the refinement were set from 10 to 80° 20 to avoid high background signals from the initial part of the diffractogram. Corresponding atomic site fractions are listed in Table EA 5.

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### Compilation of literature data set

220 To compare the new data from Kruta Balka with emeralds worldwide, we compiled a data base 221 of EPMA results from the literature, including our new data for several localities, and present an 222 average composition for each locality; for analyses and references see below. For this data base all 223 analyses were calculated on the basis of 18 O and 3.000 apfu Be, irrespective if BeO determinations 224 were available, thereby achieving a comparable data set. As it will be shown in the Discussion, the 225 assumption of 3.000 apfu Be introduces only a minor uncertainty for the other cations. All Fe was calculated as  $Fe^{2+}$ , although it is known that some of  $Fe_{tot}$  is trivalent, because  $Fe^{3+}/Fe^{2+}$  data are 226 227 extremely scarce.

228 This data set contains all localities known to us, including new analyses for a sample from 229 Namibia, which are not yet published, and unpublished data for Ethiopia, Davdar (China), and 230 McKenzie Mts. (Canada) (Marshall, pers. comm.). It should be noted that such a data set is 231 necessarily heterogeneous, because the number of spot analyses, measured elements and methods, 232 number of samples, and purpose of sampling (gemological vs. petrological or crystal chemistry) 233 differ among the studies. The reader should be aware that these are *typical* compositions, and these 234 data must be used with caution for provenance fingerprinting. For comparison with individual data 235 the reader is referred to the literature about a specific deposit and to the study of Aurisicchio et al. 236 (2018), who also gave trace element contents of emerald samples from several occurrences. A 237 statistically significant study would require a large number of samples from one locality, e.g. 238 Kochelek et al. (2015) studied between 10 and 30 samples from each locality for a provenance 239 analysis of ruby and sapphire; such a large number of samples is available from only a few of the 240 known emerald localities.

241

242 **Results** 

### 243 Kruta Balka emeralds

244 Geological setting. Bright green emerald-like beryl in Ukraine was first found in 1964-1967 245 during exploration for rare-metal pegmatites of the Kruta Balka area (Fig. 1) in the Western Peri-Azovian region, where Archean and Early Proterozoic metamorphic complexes with 246 247 metamorphosed alkali intrusions and pegmatites are widespread. The rare-metal pegmatite 248 occurrence of Kruta Balka, carrying significant contents of Be, Ta, Li, and Cs, is located in the 249 valley of the Berda River, 31 km north of Berdyansk. Its detailed geological characteristics are 250 presented in Chornokur and Yaskevych (2010) and Shatalov (2017). The pegmatites are localized at 251 the boundaries of the Precambrian Sorokin greenstone belt (also named as Sorokinskaya graben-252 syncline), which is in the western part of the Pryazovskyi domain of the Ukrainian Shield (Fig. 1). 253 This domain is interpreted as a deep linear zone of rifting in the Archaean granulite-facies gneissic 254 basement, visible today as a narrow band of supracrustal formations 35-40 km in length and with a 255 width of up to 2 km. It is bordered by sub-parallel deep faults. The level of erosion within its 256 boundaries can reach 5 km, hence only its deeper parts have been preserved. 257 The rocks at Kruta Balka are Archean granodiorites, metabasites (amphibolites, pyroxenites), 258 meta-ultrabasites (dunites etc.) and clastic metasediments of the Osipenkovo suite (Fig. 2a). The 259 thickness of metabasites and meta-ultrabasites ranges from a few meters up to 300 m, and their 260 length along strike can reach several km. The metasediments are dominantly metapelites (different 261 types of micaschists with garnet, biotite, muscovite, staurolite, tourmaline). Metapsammites, 262 metaconglomerates, biotite-, amphibole- and feldspathic quartzites, and calcareous rocks are present 263 in minor amounts.

264 Pegmatite veins and bodies are present with thicknesses from 0.5 m to several tens of meters 265 (Fig. 2b). They mainly consist of elongated bodies with plate-like or mushroom-like geometries 266 with an aspect ratio of 6:1 or more, and a variable morphology, depending on the rheological 267 properties of the host rocks; in granitoids such veins have consistent forms. The width of the 268 pegmatites gradually decreases as one approaches the metamorphic host rocks. The thickness of 269 those veins nearest to the mafic and ultramafic rocks sharply increases, forming numerous branched 270 apophyses. Where pegmatite veins penetrate into metasediments, they quickly bifurcate and wedge 271 out.

Pegmatites vary in composition as a function of their vertical position. Thin, mainly microclinebearing veins dominate in the lower part, whereas quartz-albite and albite-spodumene-rich veins dominate in the upper part. The largest bodies near to the surface, partially exposed at the erosion level, are quartz-albite pegmatites with quartz cores. Accessory minerals include tourmaline, garnet, magnetite, apatite, beryl, tantalite, columbite, chrysoberyl, eschinite, spodumene, and petalite. The K-Ar age of muscovite from the pegmatites is 2240 (+72/-14) Ma (Rozanov and Lavrinenko 1979).

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278 Emerald is found in the extensions of grey muscovite-biotite veins near their contacts with the 279 country rocks (Fig. 3). Mica-rich veins with emerald are typically up to 3-4 cm thick and are 280 included in a pegmatitic rock composed predominantly of albite and, to a lesser extent, grey quartz. 281 Such veins usually start from the contact and can penetrate into the pegmatite to a depth of 2 m. 282 Drill cores showed that to a depth of 50-70 m the pegmatitic veins are relatively rare, but below this 283 level they can constitute up to half the volume of the rock. 284 Emerald crystals of predominantly hexagonal-prismatic habit with a poorly developed prism  $(10\overline{1}1)$  and a well-developed pinacoid (0001) form aggregates or individual, non-orientated crystals, 285 286 typically 5-20 mm in length and 3-15 mm in diameter, which are intimately intergrown with both 287 greenish (Cr-bearing) and colorless muscovite (Fig. 4). The faces  $(11\overline{2}1)$  are rare. The color 288 distribution is generally patchy. 289 Chemical composition. The average chemical compositions of the Kruta Balka (KB) samples 290 are given in Table 3 (individual data points see Table EA 4a), including Li-determinations by flame 291 photometry (Rozanov and Lavrinenko 1979), with an average value of 0.41 wt% Li<sub>2</sub>O. Formula 292 calculations assuming 3.000 Be apfu yield calculated BeO contents between 13.45 to 13.73 wt%. 293 Specific features of the KB emeralds are their low MgO and FeO contents, which do not exceed 294 1.0 wt%, and a high Na<sub>2</sub>O content of ~1.8 wt%. The average concentrations of Cr and V are 295 0.015 apfu and  $\sim$ 0.004 apfu, respectively, but there is a distinct chemical heterogeneity, which 296 mimics the patchy optical color distribution, reaching up to 0.012 apfu V (equivalent to 0.20 wt% 297 V<sub>2</sub>O<sub>3</sub>). As an example to highlight the general heterogeneity of KB emeralds, the data are compared 298 to Cr-V data (Fig. 5) from the Sandawana, Zambia (Zwaan et al. 1997) and the Mananjary, 299 Madagascar (Table EA 1) localities, which show similar heterogeneities in Cr contents. 300 The two crystals KB-1 and KB-2, selected for mapping of the element distribution, show 301 different types of growth zoning. The central part of KB-1 (Fig. 6), which has abundant 302 inclusions, shows replacement structures of former euhedral crystals, with Cr-Mg-poor dark 303 areas (see center of Cr- and Mg-images). All four elements Mg, Fe, Cr, and V are correlated, 304 though hardly visible in the images because of low concentrations; Al and Mg are inversely 305 correlated. Cr is also enriched in biotite, in late stage chlorite, and in muscovite intergrowths 306 with biotite, whereas large muscovite inclusions in emeralds are generally Cr-poor. Contrary 307 to Cr, V is slightly concentrated in apatite. Towards the rim of the emerald crystal, the Cr 308 distribution is oscillatory. The Na distribution in KB emeralds does not correlate with the 309 trends seen for other element concentrations; Na is enriched in an irregular network of um-310 wide veins where some veins are oriented subparallel and -perpendicular to c. Scandium, a 311 trace element often observed in beryl, is enriched in apatite, but not in KB emeralds. The

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312 concentrations of Sc in general correlate with Cr, Mg, and V distributions.

313 Element mapping of the inclusion-free crystal KB-2 (Fig. 7) does not show replacement 314 structures, but rather a combination of sector and oscillatory zoning. The growth zones are parallel to the prism faces, the basal pinakoid (0001) and pyramids  $(11\overline{2}2)$ , and are obvious in alternation 315 316 of Cr-rich and Cr-poor zones. In these zones Al and Cr are anti-correlated; likewise, Mg and Sc 317 (and Fe; hardly visible in the image because of low concentration) are anti-correlated. The zigzag 318 pattern of Mg distribution in the internal part of the crystal indicates sector zoning (see dashed lines 319 in Mg-image) with the c-sector enriched in Mg whereas the a-sector is enriched in Sc. Traces of 320 sectors follow the growth direction, overprinted by zones without sectors, indicating several growth 321 pulses. The outer part of the crystal is oscillatory zoned, well visible in Cr parallel to the prism 322 faces, but also in Al, Mg, and Sc oscillation. In these outer parts of the crystal Cr is preferentially 323 enriched in planes perpendicular to the **c**-axis. In the exterior part of this crystal Na is positively 324 correlated with Mg, but also enriched in narrow areas along cracks oriented subparallel and -

325 perpendicular to the crystal's **c**-axis.

326 **XRD.** Next to beryl, muscovite, quartz and corundum (impurity from the corundum mortar) 327 were identified in minor amounts (<1 wt%). Unit cell parameters were determined to a =328 9.219±0.014,  $c = 9.208\pm0.007$  and  $V = 677.8\pm1.7$  (uncertainty given as  $6\sigma$ ; details of site fractions 329 in Table EA 5). The Rietveld model was found to be insensitive to changes in fractions of Li and Be

at the tetrahedral position (x = 0.5; y = 0.0; z = 0.25). Even a complete substitution of Be by Li,

331 with constant fractions of all other elements, did not change the model significantly.

**Inclusions.** Most of the solid inclusions (identified by EDS analyses; Table EA 4b-f) in KB emeralds (Fig. 8) are muscovite, dravite-shorl as numerous almost black, elongated prismatic crystals, and minor albite ( $\leq 0.01$  mol% K-feldspar and anorthite each) and biotite. Chlorite and an unidentified Al-rich sheet silicate that are in parallel growth with muscovite occur along cracks and

at the margins of muscovite inclusions. Calculation of the  $Li_2O$  content of the tourmaline inclusions

from EPMA (normalized to 31 O and 4 OH) yielded 0.39 wt% Li<sub>2</sub>O, corresponding to 0.259 apfu

Li, which is close to the value determined for beryl from Kruta Balka. The amount of vacancy

positions in the tourmaline is  $\sim 0.2$  apfu, its Mg# is  $\sim 0.5$  (Table EA 4c). Euhedral zircon crystals 10

340 to  $30 \ \mu m$  in size have up to  $11 \ wt\% \ HfO_2$  (Table EA 4e). Apatite crystals with a remarkable

341 enrichment of Sc ( $\sim 0.7$  wt% Sc<sub>2</sub>O<sub>3</sub>) were also observed (Table EA 4f).

342 Spectroscopy. The optical absorption and diffuse reflectance spectra of KB emeralds (Fig. 9)
 343 confirm the isomorphic substitution of Al by Cr. In the visible range (~380-750 nm) of the

absorption spectrum of sample #18 (Fig. 9a) there are distinct characteristic bands and lines, caused

by electronic dd-transitions of  $Cr^{3+}$ -ions. The two broad and intense absorption bands with maxima

346 at 410-440 nm and 600-640 nm are caused by electron spin-allowed transition  ${}^{4}A_{2g} \rightarrow {}^{4}T_{2g}$  and  ${}^{4}A_{2g}$ 

 $\rightarrow$  <sup>4</sup> $T_{1g}$  of <sup>[vi]</sup>Cr<sup>3+</sup>, respectively (Wood and Nassau, 1968). The splitting of the bands into two 347 components differing by energy and polarization is due to a reduction in symmetry of the structural 348 349 position of  $Cr^{3+}$  from  $O_h$  to  $D_3$  (Platonov et al. 1979), which is also found in the absorption spectra of synthetic emeralds (e.g. Taran and Klyachin 1990). The narrow absorption lines (called R-lines), 350 overlapping the long-wave spin-allowed band (the most intense line at 684 nm or 14620 cm<sup>-1</sup>) are 351 caused by spin-forbidden transitions of <sup>[vi]</sup>Cr<sup>3+</sup>. By gemological definition beryl is considered as 352 353 emerald only when the narrow R-lines, especially the most intense one at 684 nm, is discernable in 354 a visual gemological spectroscope (Anderson 1990). The absorption lines in the spectrum of sample #18 unambiguously proof that  $Cr^{3+}$  substitutes for  $Al^{3+}$ . 355

A series of sharp narrow absorption lines in the NIR-range in both  $E \parallel c$ - and  $E \perp c$ -polarization is caused by overtones and combined vibrations of H<sub>2</sub>O molecules. The general features of the spectrum indicate the predominance of type II water, i.e. the water molecules in the structural channels neighboring large alkali ions (Wood and Nassau 1968).

360 In the diffuse reflectance spectrum of sample #16 (Fig. 9b) there are absorption bands and lines 361 of  $Cr^{3+}$ , close in energy and shape to those in the absorption spectrum of sample #18 (Fig. 9a).

Furthermore, at around ~830 nm there is a broad intense band, which in an unpolarized reflectance spectrum indicates the predominance of the  $E\perp c$ -polarized band of  $[iv]Fe^{2+}$ , substituting for Be ions in the distorted tetrahedral sites of the structure. The sharp narrow lines of water vibrations are not seen, since the most intense of them are beyond the spectral range studied (cf. Fig. 9).

The FTIR spectrum measured in the range 6000-1500 cm<sup>-1</sup> in polarization  $E \parallel c$  consists of three 366 367 very intense bands caused by fundamental vibrations of H<sub>2</sub>O molecules captured in two different 368 positions in beryl channels. They are centered at 1632 and 1620 (double peak), at 3592 and 3698 cm<sup>-1</sup>, and weaker bands at 3234, 3346, 3522, 3984, and 5274 cm<sup>-1</sup> (Fig. 10a-c). The narrow 369 band with maximum at 3698 cm<sup>-1</sup> is due to  $v_3$  asymmetrical stretching mode of H<sub>2</sub>O I molecules 370 with symmetry axis  $\perp c$ ; the more intense and broad band at 3592 cm<sup>-1</sup> represents v<sub>1</sub> symmetric 371 stretching and the double peak in vicinity 1630-1620 cm<sup>-1</sup> represents v<sub>2</sub> bending modes of H<sub>2</sub>O II 372 molecules with symmetry axis ||c (e.g. Wood and Nassau 1968; Goldman et al. 1977; Łodziński et 373 374 al. 2005).

The IR spectrum measured in polarization  $E\perp c$  consists of a very strong and broad envelope with maximum near 3655 cm<sup>-1</sup>, medium to weak bands at 5274, 3607, 3593, 1710, 1635 with a broad shoulder at 1655, 1600, and 1545 cm<sup>-1</sup>. The central envelope near 3655 cm<sup>-1</sup> represents v<sub>3</sub> asymmetrical stretching vibrations of H<sub>2</sub>O II. Three bands in the middle IR region (a narrow one at 1600 cm<sup>-1</sup>, a broad shoulder at 1655 cm<sup>-1</sup>, and a band at 1545 cm<sup>-1</sup>) are the central v<sub>2</sub> bending mode of H<sub>2</sub>O I and their symmetrically spaced satellites, caused by combining with H<sub>2</sub>O rotation

12

frequency (Wood and Nassau 1968). A broad band near 1930 cm<sup>-1</sup> in both polarizations is the first
vibrational overtone from the silicate ring (Taran et al. 2017).

In addition, we found a narrow, strongly polarized  $E\perp c$  band at 2359 cm<sup>-1</sup> (Fig. 10d) indicative of relatively high amounts of CO<sub>2</sub> in the channels, which is not common for most beryls. Assignments of all vibrational bands mentioned here are based on classical interpretation by Wood and Nassau (1968) and on our data from a diverse collection of beryls and ring silicates (e.g. Taran et al. 2017).

### 388 Be-Li determination of other emerald samples

389 The Be contents of the selected emerald samples determined by SIMS (Table 2) span a

390 considerable range from 12.7 to 13.9 wt% BeO, the maximum close to the values for ideal

anhydrous beryl (13.96 wt%) or 13.51 wt% for hydrous beryl with 1 H<sub>2</sub>O pfu (corresponding to

392 3.24 wt%); the low values were obtained from crystals with a large amount of substitution at the

393 octahedral position. Within a given crystal, core and rim analyses differ only slightly.

Li-contents determined by SIMS (Table 2) for two crystals (Ural Mts.) are between 0.2 and

395 0.4 wt%, for the other crystals on the order of 0.02 to 0.07 wt%. The analyses with higher Li-

- 396 content have the same order of magnitude as do the  $Li_2O$ -determinations made by dissolution and 397 spectrometry; for crystal 78-14 the SIMS values are slightly higher, for sample 78-78 they are
- 398 slightly lower.

399 Other elements contents determined by EPMA (Table EA 3), such as Cr, V, Fe, Mg, and

400 alkalies, are consistent with the range of results reported in the literature. Water contents for

401 selected samples are all between 2.07 and 2.31 wt%. Calculated mineral formulae, based on 18

402 oxygen atoms, are presented in Table 4. Cations were assigned to positions in the structure

403 according to the following sequence: All Si is attributed to the  $Si_6O_{18}$ -ring; excess Si is placed into

404 the Be-tetrahedron. If Si is  $\leq 6.000$  apfu, it is filled with small amounts (0.01 to 0.05 apfu) of <sup>iv</sup>Al

405 and <sup>iv</sup>Be (sample 78-78, Ural Mts.) or with <sup>iv</sup>Al only (sample 78-14, Ural Mts.).

The Be tetrahedra are then filled with between 2.920 to 3.000 Be; any small deficit is filled with

407 <sup>iv</sup>Li. Most samples have ≤0.008 apfu <sup>iv</sup>Li, except for some analysis (rim 78-14 Ural Mts., 78-16

408 South Africa, rim 80-47 Austria), which all have 0.025 apfu<sup>iv</sup>Li. To fill the Be-site completely,

409 small amounts of 0.010 to 0.075  $^{iv}$ Al are necessary. The rest of Al together with Mg, Fe<sup>2+</sup><sub>tot</sub>, Mn, Cr,

410 V, Ti, and traces of Ca are assigned to the Al-octahedral site, which is finally filled with <sup>vi</sup>Li to

411 achieve a value of 2.000. Excess Li for the samples from Ural Mts. is then assigned as <sup>ch</sup>Li (channel

412 site), together with Na, Cs, and traces of K. The formulae and uncertainties are discussed below.

413

414 **DISCUSSION** 

# 415 SIMS data and substitutions involving Si-Be-Li

13

416 The calculated formulae for emeralds, for which Be and Li contents were determined by SIMS, 417 are listed in Table 4 together with the analyses of emerald from the data set of Aurisicchio et al. 418 (1988) (based on Be- and Li-analyses by wet chemistry, other elements by EPMA combined with 419 single XRD refinement). Some of their emerald crystals are from the same localities as studied here 420 (Colombia, Russia, Austria); the two data sets agree well. Almost all crystals show a slight excess 421 of Si, which is attributed to the Be site, up to 0.049 apfu (Table 4), with the exception of the crystals 422 from Ural Mts., which show a deficit with values of between 5.942 and 5.977 Si apfu. An error in 423 the SiO<sub>2</sub>-determination of 1% relative (i.e. approximately  $\pm 0.7$  wt% SiO<sub>2</sub>) translates into a range of 424 approximately  $\pm 0.005$  Si apfu. Therefore an excess of  $\sim 0.05$  Si apfu is significant. In our site 425 assignement, a deficit in Si is compensated by Be and Al (crystal #78-78) or by Al alone (78-14; 426 80-47r). The crystal #78-78 is unusual, because it is the only one with Be > 3.000 apfu: Be of 3.031 427 and 3.082 apfu for core and rim analyses, respectively. It is also the crystal with the highest amount 428 of Li (0.105 apfu) in the entire data set. All other crystals show a slight deficit of Be (lowest value 429 of 2.870 apfu, Table 4 for a crystal from Brazil; Aurisicchio et al. 1988). This deficit is balanced by 430 a combination of tetrahedral Li, Al, and Si with the highest values for Li (0.037 apfu) and Al 431 (0.085 apfu) for the crystal from Brazil. Thus, in all emeralds except those from Ural Mts., Li is 432 exclusively situated at the Be-site. In order to fulfill the assumption that the octahedral position 433 must be filled with 2.000 apfu and the Be-site with 3.000 apfu (no vacancies), in the Ural Mts. 434 emeralds Li must be partly housed in the octahedral and in the channel position. 435 A critical parameter for the formula calculation is the uncertainty of the SiO<sub>2</sub>-determination, 436 because with circa 64 wt% it dominates the chemical composition. We assume an uncertainty for 437 the SiO<sub>2</sub>-determination of 1% relative for both Si and for BeO, which is twice the estimated 438 uncertainty of the Be determination. One thing to remember is that the relative uncertainty on the Si 439 is fully propagated onto the SIMS Be values. Hence, Be uncertainty can never be as good as that for Si in relative terms. A calculated formula with a corrected value for SiO<sub>2</sub> by +0.5 wt% and for BeO 440 441 by -0.14 wt% for crystal # 78-78 still yields a Si-deficit of 0.039 apfu and a Be excess of 0.011 apfu 442 (average for core and rim). For both the uncorrected and the corrected formulae, there is no site 443 available for tetrahedral Li, and it can only be partially accommodated by the octahedral sites; some 444 Li must be assigned to the channel position, because the octahedral site is completely filled with tri-445 and divalent cations. The core and rim analyses of the second crystal from Ural Mts. (78-14) have 446 only a small Si deficit of 0.015 and 0.023 apfu, filled by <sup>iv</sup>Al, and for Be of 0.025 and 0.002 apfu, filled by <sup>iv</sup>Li. For these domains the Li must be accommodated as <sup>vi</sup>Li and <sup>ch</sup>Li. Similarly, crystal 447 448 #26 (Ural Mts.; from Auristicchio et al., 1988) has the octahedral position filled with 2.003 apfu, 449 some Li (0.020 apfu) is tetrahedral, and the rest of Li (0.019 apfu) must be assigned to the channel 450 position.

451 None of the other emeralds discussed here show such peculiarities. In summary, the available 452 data (Table 4) confirm that for emeralds the Si-site is mostly filled with Si, i.e. there is no 453 Tschermaks substitution, only small excesses or deficits are possible as seen in crystals from Ural 454 Mts. and Habachtal. The Be-site shows mostly a small deficit, only our crystal 78-78 from Ural 455 Mts. has excess Be (assigned to the Si-site). The observed small amounts of Li are in most cases 456 accommodated at the Be-site (Colombia, Austria, Brazil, Mozambique, Pakistan), only for the 457 crystals from Ural Mts. it is partly distributed to the octahedral- and the channel sites. These data 458 also agree with those from Aurisicchio et al. (2018) with SIMS data for Be and Li, although in that 459 study the analytical uncertainties for Be (5% rel.) and Li (10% rel.) are too large to confidently 460 assign small amounts to specific crystal sites.

461 The general procedure analyzing beryls is that Be-contents are not determined and formulae 462 were calculated assuming the theoretical content of 3.000 Be apfu. Because we want to check this 463 procedure for beryl with unknown Be-contents, we also calculated a theoretical BeO<sub>calc</sub> content 464 from the EPMA of these samples (Fig. 11). For most of the crystals the calculated contents are too 465 high by ~0.2 wt%, and a similar relation is found in the data set of Aurisicchio et al. (1988). Only in 466 the case of the anomalous crystal from Ural Mts. (78-78; Table 4) is the measured BeO content 467 higher than the calculated one.

468 By combining our SIMS-data for Be and the EPMA analyses for the SiO<sub>2</sub>-contents we can

469 confirm that Be = 3.000 is a good first assumption for all emeralds (Fig. 12). A regression line

(wt% BeO = 0.2281\*wt% SiO<sub>2</sub> - 1.3239; R<sup>2</sup> = 0.927) calculated from all our own analytical data 470

471 (Table EA 4) is almost identical to the line that connects the ideal beryl and hydrous beryl

472 compositions. If the calculated BeO content is higher, as for the Kruta Balka (Ukraine), the Mavis

473 Lake (Canada) and Manyara (Madagascar) deposits, a slightly lower Be-site occupation is implied.

474 The effect of Fe-substitution ('ideal hydrous Fe-end-member') is negligible, as long as the total

475 amount of Fe is low, as is the case for most emeralds. Analyses plotting below the line (such as 476

Norway and Um Kabu, Sikait, and Zabara from Egypt) are characterized by Si values that are 477

478

#### 479 The Kruta Balka occurrence

significantly higher than 6.000 apfu.

480 The chemical composition of the beryls from Kruta Balka and their spectroscopic characteristics 481 clearly show that they are emeralds, both in the popular usage (Conklin 2002) and in a

482 mineralogical strict sense (Anderson 1990; Schwarz and Schmetzer 2002). In the NIR range,

483 polarized broad bands at ~800-1000 nm are also characteristic of emerald from most deposits, aside

- 484 from some almost iron-free crystals from Muzo and Chivor in Columbia (e.g. Tretyakova and
- 485 Benavides 1987, found in such emeralds only 0.01 wt% FeO). These bands (Figs. 7 and 8) are

487

15

caused by electronic spin-allowed transitions of  $Fe^{2+}$  in both octahedral (Al<sup>3+</sup>) and tetrahedral (Be<sup>2+</sup>)

sites (e.g. Wood and Nassau 1968). The former one causes the doublet-structured Ellc-polarized

488 band, split by Jahn-Teller effect with maxima at around 850 and 1000 nm, the latter causes a more 489 intense  $E \perp c$ -polarized single band at around 830 nm. The presence of these features in the spectra 490 from the Kruta Balka samples is consistent with the microprobe data (Tab. 3), which show up to 0.5 wt% FeO<sub>tot</sub>. Note that a relatively high intensity of the absorption bands of  $Fe^{2+}$ , when their short-491 492 wave tail reaches the visible range, may contribute to a bluish hue of the green color of the sample. We can roughly estimate both  ${}^{Be}Fe^{2+}$  and  ${}^{Al}Fe^{2+}$ -content from the spectra as ~0.004 and 493 ~0.008 apfu, respectively (Taran and Vyshnevskyi 2019). The  $Fe^{3+}$ -content cannot be estimated, 494 because a weak spin-forbidden band of the ion at  $\sim 26.820$  cm<sup>-1</sup>, used for that is hidden by an 495 absorption edge and broad band of  $Cr^{3+}$ . There can also be some <sup>Be</sup>Fe<sup>3+</sup>, less than <sup>Be</sup>Fe<sup>2+</sup>, which 496 497 again we are unable to quantify. 498 Comparing the calculated BeO content of the Kruta Balka emerald analyses with the measured 499 SiO<sub>2</sub> content (Fig. 12), they plot above the line connecting the ideal with the substituted beryls (Fig. 500 12). This indicates either an over-determination of the calculated BeO content or an 501 underestimation of the SiO<sub>2</sub> content by EPMA. However, since both methods (WDS and EDS) with 502 three different instruments on three different crystals yielded similar results for SiO<sub>2</sub>, 503 underestimation seems unlikely, suggesting that in these emeralds the Be-content is possibly 504 slightly below 3.000. The formula calculated with a value corrected by -0.30 wt% BeO (taken from 505 Fig. 12) and with the average Li<sub>2</sub>O content for beryl from Kruta Balka (Rozanov and Lavrinenko 506 1979), vields 2.944 Be apfu. 507 The infrared spectra of beryl show the presence of both types H<sub>2</sub>O I and H<sub>2</sub>O II in the channels. 508 Clearly observable structures in the vicinities of  $v_2$  and  $v_1$  bands of H<sub>2</sub>O II lead us to conclude that 509 both are represented by envelopes formed from at least two overlapping separate narrower bands. For  $v_2$  their maxima are located at 1620 and 1632 cm<sup>-1</sup> (Fig. 10c), and at 3600, 3592 with a shoulder 510 at 3585 cm<sup>-1</sup> for  $v_1$ . Fukuda and Shinoda (2008) proposed that Na-associated water molecules in 511 beryl may exist in two configurations, either as doubly coordinated H<sub>2</sub>O-Na-OH<sub>2</sub> (H<sub>2</sub>O IId), or as 512 singly coordinated H<sub>2</sub>O-Na (H<sub>2</sub>O IIs). They assumed that in the case of H<sub>2</sub>O IId, a v<sub>2</sub> band has its 513 maximum at 1620 to 1624 cm<sup>-1</sup> and  $v_3$  band at 3660 to 3664 cm<sup>-1</sup>, while the same bands of H<sub>2</sub>O IIs 514 are centered at 1633 to 1637 and 3643 cm<sup>-1</sup>, respectively (Fukuda and Shinoda 2008; Fridrichova et 515 al. 2016). Thus, we assign the peak at 1620 cm<sup>-1</sup> to vibrations of H<sub>2</sub>O IId, whereas the  $v_2$  maxima at 516 1632 cm<sup>-1</sup> is assigned to H<sub>2</sub>O IIs. The position of the central point of the broad  $v_3$  band at 3655 cm<sup>-1</sup> 517 518 is also situated between predicted wave numbers for pure H<sub>2</sub>O IId and H<sub>2</sub>O IIs configurations. This 519 indicates that the amounts of H<sub>2</sub>O II molecules in both configurations are nearly equal. Taking the 520 dominant intensities of H<sub>2</sub>O II bands into consideration, we can assume that this species is much

521 more (at least 2 times) abundant compared to  $H_2O$  I. Total water content was not analyzed, but 522 through a comparison of respective bands' intensities (Fig. 10a-c) with those in the spectra of

523 samples with known water content (Table EA 4) we estimate about 1.5 wt% of total  $H_2O$  in the KB 524 samples.

525 With these values, the 'best estimate' for the crystal chemical formula for the KB emeralds is

 $526 \qquad (Na_{.324}K_{.004}Cs_{.020}Li_{.016})_{0.364}(Al_{1.815}Cr_{.015}V_{.004}Fe^{2+}._{018}Mg_{.063}Mn_{.002}Ca_{.002}Ti_{.001}Li_{.076})_{2.000}[Be_{2.944}Li_{0.056}Si_{.015}K_{.015}K_{.014}K_{$ 

527  $_{5.937}Al_{0.063}O_{18}]H_2O_{0.920}$ , neglecting small amonts of Fe<sup>2+</sup> at the Be-site and Fe<sup>3+</sup>. The very high Na

528 contents are likely explained by a combination of the tetrahedral substitution  $LiNaBe_{1}\Box_{-1}$  and the

529 octahedral substitution  $LiNa_2Al_{-1}\Box_{-2}$ . As shown above that the tetrahedral substitution accounts for

530 0.056 Be apfu, but the total amount of Na(+K+Cs) is 0.349 apfu, which leaves enough Na for

531 charge compensation for  $LiNa_2Al_{-1}\Box_{-2}$ .

532 The estimated  $H_2O$  content of 1.5 wt% (from FTIR), equivalent to 0.920  $H_2O$  pfu, is consistent

533 with the total amount of  $H_2O$  II bound to  $Na^+$  ( $H_2O$  IId +  $H_2O$  IIc). This value is low compared to

534 emeralds worldwide and does not fit the generally observed correlation between Na<sub>2</sub>O and H<sub>2</sub>O

535 (Marshall et al. 2016), which is based on the crystal-chemical argument of the combination of Na

536 with H<sub>2</sub>O molecules (e.g. Hawthorne and Černý 1977). However, experimental data (Pankrath and

537 Langer 2001) clearly show that the water content in Na-free beryl is a function of  $P_{H2O}$  and T; thus

the Na-content is a complex function of *P*, *T*, crystal chemistry and the presence of other Na-

bearing phases (e.g. albite, tourmaline, fluid; see discussion below). Unquantified amounts of CO<sub>2</sub>

540 were identified as a narrow band at 2359 cm<sup>-1</sup>, in the same range as first reported by Wood and

541 Nasssa (1967) for CO<sub>2</sub> molecules in the channels. In the absence of fluid inclusion data there is no

542 clear indication for the source of  $CO_2$ , but the geological situation at Kruta Balka with carbonates

among the country rocks suggests metamorphic fluids.

544 The **c**/**a** ratio of the KB emerald of 0.999 classifies them as 'tetrahedrally substituted' beryls in 545 the nomenclature of Aurisicchio et al. (1988), although the chemical composition requires that

546 substitution of Li at the octahedral position is equally important; in addition, some Li must be

547 assigned to the channel position. Within the channels, Li likely occupies the 2b-position with a

548 planar [6]-fold coordination and a distance to oxygen O<sub>1</sub> of 2.548 Å (Hawthorne and Černý 1977).

549 The 2a-site with a [12]-fold coordination is much too large, and such a high coordination number

has not been observed in Li-compounds (Gagné and Hawthorne 2016). Hawthorne and Černý

551 (1977) also assigned 0.049 Li apfu to the 2b site in their crystal structure refinement of a Cs-Li

552 beryl.

553 The Li for KB emeralds is sourced from pegmatites, which are rich in Li, as indicated by the

554 presence of spodumene and petalite, and the Li-bearing tourmaline inclusions in emeralds.

555 Emeralds from Crabtree Mts, USA are also from a locality with spodumene-bearing pegmatites

17

556 (Wise and Anderson 2006), and they are also closely associated with tourmaline. The high Hf-557 content of zircon inclusions (up to 11 wt% HfO<sub>2</sub>) is consistent with the association of the KB

emeralds to albite-spodumene pegmatites with a complex Be-Li-Cs-Ta-mineralization; high-Hf

zircons are characteristic for pegmatites with Ta-Nb-mineralization (Speer 1982).

560

### 561 Substitutions in emeralds and Cr-V sources for emerald

562 In order to compare the KB emeralds with other deposits we compiled critical major element 563 values from all to us known localities worldwide (Table 5; complete data set in Table EA 6). This 564 data reveals a wide range in Al<sub>2</sub>O<sub>3</sub> contents ranging between ~11 and 19 wt%. The calculated 565 content of wt% BeO, assuming 3.000 Be apfu for all these analyses, varies between ~13 and 14 566 wt%. We use these data together with the determined SiO<sub>2</sub> contents by EPMA (Fig. 12) to show if 567 the assumption of 3.000 Be apfu is robust. Figure 12 also shows the ideal compositions for both beryl and other possible end-members, as well as reference data from a relatively pure beryl from a 568 569 pegmatite (Table EA 3) and data from emerald and synthetic beryl reported on by Aurisicchio et al. 570 (1988). All data should lie between ideal beryl and these end-members. The theoretical values for 571 ideal beryl (hydrous and anhydrous), femag-beryl (shown for hydrated Fe and Mg end-members) 572 and for Li-octahedrally substituted beryl LiNa<sub>2</sub>Al<sub>-1</sub>□<sub>-2</sub> lie on a line, because the ratio BeO:SiO<sub>2</sub> does 573 not vary significantly. However, the tetrahedral substitutions LiNaBe<sub>-1</sub>, (avdeevite) and 574 LiCsBe<sub>1 $\square$ </sub> (pezzotaite) change the ratio strongly, and due to the high atomic weight of Cs the 575 pezzotaite component also significantly influences the weight percentages of  $SiO_2$  and BeO. In fact,

all analyses of emeralds cluster near to the line connecting ideal anhydrous beryl with the

577 hypothetical end-members for hydrated femag-beryl, confirming our assumption. For those, which

are off the line a correction needs to be applied.

579 For a further characterization of emerald composition, we use the Mg# and Cr# as characteristic 580 values. This is based on the original concept for emerald genesis (Fersman 1929), in which Be is 581 supplied by pegmatites, and metasomatic reactions with metamorphosed ultramafic country rocks 582 (serpentinites and others) supplied the chromophore elements Cr and V. Ultramafic-ultrabasic rocks in general are mantle-derived, and therefore their Mg# is restricted to values between ~0.80 and 583 584 ~0.90. Lower and higher values indicate other sources. Chromium is always concentrated in 585 (metamorphosed) ultramafic-ultrabasic rocks (see e.g. Cawthorn et al. 2005, for enrichment of both 586 elements in mafic and ultramafic rocks), but in common ultramafic rocks V is present only at low 587 concentrations (e.g. Cawthorn et al. 2005), and therefore Cr# in emeralds are high. 588 The average Mg# of emeralds worldwide (Fig. 13) range from ~0.15 to 0.99, but cluster between 589 0.80 and 0.90. The average Cr# vary between 0 and 1.0, but most values are  $\geq$ 0.70. The reader

should be aware that the characterization presented here is done with the average *typical* values;

individual analyses may deviate strongly (Fig. 5). Here we refer to localities with Mg# between

592 0.80 and 0.90 and  $Cr\# \ge 0.90$  as 'ultramafic' schist type, associated with metamorphosed ultramafic-

593 ultrabasic host rocks.

594 Metasediments represent another possible source for Cr and V, typically in the form of organic-

rich rocks such as blackshales and impure limestones, as they are known organophiles (e.g.

596 Tribovillard et al. 2006). Incorporation of these elements into minerals during metamorphism is

597 well known for garnet and epidote (e.g. Bačík et al. 2017), and for oxide minerals (Di Cecco et al.

598 2018). In sediments, however, both elements are redox-sensitive, and can become enriched or

599 depleted during diagenetic and metasomatic events, and can be coupled or decoupled, which results

600 in highly variable Cr#. These localities are called 'sedimentary', referring to the sedimentary origin

of Cr and V. The best-known examples are the Cordilleran-type emeralds from Colombia. Hewton

602 et al. (2013) discovered a similar locality in the Canadian Cordillera (Mc Kenzie Mts.), but also

other Canadian localities (Lened with skarn as host rocks, and Yukon territories) belong to thistype.

Localities with Mg# between 0.70 and 0.80 and Cr# down to 0.70 are referred to as
'transitional', i.e. their host rocks are (meta)ultramafic-basic rocks, which may contain a
sedimentary component. For the KB emeralds, this situation is well documented (Fig. 2). Here,
country rocks of the pegmatites are both metamorphosed ultramafic rocks and meta-black shales.
For each individual sample the Mg# and Cr# depend strongly on the local situation within the

610 deposit.

611 The Alpine-type localities (from the Alpine-Himalaya-chain) are 'ultramafic' (Habachtal,

612 Austria; Bulgaria) or 'sedimentary' (Binntal, Switzerland; Sharbag-Swat and Gandao, Pakistan;

613 Afghanistan; Kazakhstan). The occurrence at Binntal, Switzerland is hosted in quartz veins and vein

breccia fragments within a magnetite-bearing meta-quartzite (Marshall et al. 2017), which provided

an extreme Mg# of 0.99. The majority of Gondwana-basement-type localities from Brazil, Africa,

616 Madagascar, Australia, and India are 'ultramafic' or 'transitional', with the exception of Sunbawanga

617 and Manyari (Tanzania), Jos (Nigeria), Salinhina (Brazil), and Emmaville (Australia). Emerald

618 localities from the Eurasian basement settings (Norway; Dyakou, Davdar, in China) are all

619 'sedimentary'.

In many emeralds the femag substitution  $(Mg,Fe^{2+})NaAl_{-1}\Box_{-1}$  is dominant (e.g. review by Groat et al. 2008). The maximum degree of femag substitution has been observed for emeralds from Egypt, Madagascar and Brazil (Fig. 14), and so far no indication for a miscibility gap has been reported (see also Aurisicchio et al. 1988). However, there are two clusters of data, and we divide the diagram at a value of 0.250 apfu in two groups with low and high substitutions. Theoretically, the substitution can reach 1 (Mg,Fe) + 1 Na apfu, limited only by the number of 2b channel sites at

the level of the Si-rings. However, Na<sup>+</sup> is generally associated with water positioned within the 626 627 channels, requiring the water molecule in the channel site 2a to have its negative bipole oriented toward the Na<sup>+</sup> (H<sub>2</sub>O II orientation; Wood and Nassau 1968). Therefore, two H<sup>+</sup> near to the next 628 629 ring would cause extra positive charge, which does not allow  $Na^+$  to occupy this neighboring 630 position, reducing the total amount of Na to 0.5 apfu; this can be considered to represent the end-631 member of the solid solution. However, only for the samples from Sandawana (Zimbabwe) is Na (+ 632 minor K, Cs) close to 0.500 apfu, for all others it is below 0.400 apfu (Fig. 15). This indicates an 633 additional substitution mechanism for Mg, such as MgBe<sub>-1</sub> (see below).

634 Several individual analyses of emeralds from Ianapera, Madagascar (Andrianjakavah et al. 2009) 635 go slightly above this limit (not plotted in Fig. 14). However, these are among the rare emeralds 636 reported that have high K contents (0.156 apfu), even exceeding their Na contents (0.138 apfu). The 637 only other localities with elevated K content are those from Tanzania (Moroz et al. 2002), where up 638 to 0.33 wt% K<sub>2</sub>O have been reported. With such large amounts of K in the channel the model above 639 about the water arrangement may no longer be strictly valid.

The fact that in most emeralds the K-content is near the detection limit is astonishing, because the majority of the ultramafic schist-type localities are situated in biotite-rich country rocks, where K-saturation can safely be assumed. We argue therefore that the absence of K in the beryl channel is due to the intermediate size of  $K^+$  between Na<sup>+</sup>, which fits well at the 2b ring center position, and Cs<sup>+</sup>, which fits well at the 2a position between two rings. The Ianapera emerald locality is situated in an area, where granulite facies conditions were achieved (see Andrianjakavah et al. 2009), and possibly such high *P-T* conditions favored the incorporation of K into the channels of beryl.

647 A high femag substitution requires saturation with both Mg+Fe and Na. Saturation of Mg+Fe is 648 indicated by the presence of Fe-Mg minerals, predominantly biotite, chlorite, amphibole, talc, and 649 also tourmaline, which is in many deposits a characteristic accessory mineral. In quartz vein 650 assemblages where no Mg-Fe minerals are present, emeralds reveal little or no femag substitution, 651 such as those from Jos, Nigeria (Schwarz et al. 1996; Vapnik and Moroz 2000). They have only 652 0.08 wt% MgO and in the calculated formula (Al+V+Cr) ~2.000 apfu, with a negligible amount of 653 femag substitution. Thus we conclude that the amount of femag substitution is partly controlled by 654 the local availability of Mg+Fe.

The femag substitution requires the availability of an equivalent amount of Na, and the availability of Na can be another limiting factor for the femag substitution. There are numerous studies of fluid inclusions in emerald, which show a wide range in salinities (see review by Groat et al. 2008) from almost nil (e.g. Swat, Pakistan; Seal 1989) up to 40 wt% NaCl<sub>equ</sub> (e.g. the Colombian emeralds; Groat et al. 2008). An example, where at a high Mg+Fe whole rock content is associated with a low femag substitution in emerald of only ~0.1 apfu, is our sample from Western Australia

661 (Fig. 14); the crystal is embedded in a matrix of almost monomineralic phlogopite, such that a

saturation in the Mg+Fe component is assured, leading us to postulate a very low concentration ofNa in the fluid.

- A typical Na-mineral in equilibrium with emerald is tourmaline, which is a key Mg-Fe mineral
- 665 in Kruta Balka (together with muscovite), Lened (Canada), the Crabtree Mts. (USA), and
- 666 Debelgetey (Kazakhstan). All these localities belong to the low substitution group. The exchange
- 667 vector (Mg,Fe<sup>2+</sup>)NaAl<sub>-1 $\Box$ -1</sub> also operates in tourmaline [e.g. dravite-schorl
- 668  $Na(Mg,Fe)_3Al_6Si_6O_{18}(BO_3)_3(OH)_4 Mg$ -foitite-foitite  $\Box(Mg,Fe)_2AlAl_6Si_6O_{18}(BO_3)_3(OH)_4]$ . If
- tourmaline crystallized (due to a high B-content in the system) and if it controls the Mg,Fe + Na
- 670 content in the sample, then there is no Mg,Fe + Na left over for the femag-component in beryl.
- 671 Experimental studies on tourmaline have shown that this substitution depends strongly on fluid
- 672 composition, as well as on *P* and *T* (von Goerne et al. 2001, 2011; Berryman et al. 2016). Using the
- 673 experimental data by von Goerne et al. (2001) about Na-distribution between tourmaline and fluid,
- a Na-concentration in the fluid of  $\sim 0.6 \text{ mol } \text{L}^{-1}$  Na is calculated for the KB emeralds, with very Ca
- 675 low concentrations in the fluid (using Ca-poor tourmaline-albite pairs; von Goerne et al. 2011).
- 676 For most data there is a fairly strong positive correlation between Mg+Fe and Na (+ minor K,
- 677 Cs) (Fig. 14). For those analyses which plot above the line for the exchange vector
- $(Mg,Fe^{2+})NaAl_{1}\square_{-1}$  a certain amount of  $Fe^{3+}$  can be assumed, which shifts many analyses towards
- the ideal line. However, for a number of localities (Sikait, Zabara, Um Kabu from Egypt; St.
- 680 Terezinha, Socotó from Brazil; Mananjary, Ianapera, from Madagascar; Chantete, Kagem from
- Zambia) the data remain above the line, even when all Fe is assumed to be  $Fe^{3+}$ , indicating an
- additional substitution for Al by Mg. This is also seen in Figure 14, where several localities
- 683 (Manyara, Tanzania; Ianapera, Madagascar; Mariinskoye, Russia; Socotó and Tauá, Brazil) lie
- above the line for the ideal substitution. This additional component, which does not reduce the Al-
- 685 content of the beryl, and which does not include Na, is possibly the Be-cordierite component
- $Mg_2[Al_2BeSi_6O_{18}]$  (Hölscher and Schreyer 1986); the substitution MgBe<sub>-1</sub> increases the Mg-content
- but does not change the amount of Al and Si in beryl. For the Tanzania and Madagascar deposits,
- high-grade metamorphism has been postulated (Moroz et al. 2002; Andrianjakavah et al. 2009), and
- it is likely that high temperatures should favor this substitution. Analyses below the line (Fig. 14)
- 690 indicate higher amounts of Na (+K+Cs) and the replacement of Be by Li+Na and Al by Li+2Na;
- they are significant in the samples from Kruta Balka (Ukraine), Mavis Lake (Canada), Crabtree
- 692 Mts. (USA), Binntal (Switzerland), and Dyakou (China).
- 693 As discussed above, the *P*-*T* conditions also influence the amount of femag substitution. 694 Emeralds from high-grade Gondwana-type basement localities generally show a high amount of 695 femag substitution. For the Colombian emeralds, low temperature conditions of  $\leq$ 350°C near to the
  - remag substitution. For the coloniolan emeralas, low temperature conditions of <u>-</u>950° C hear to the

696 lower P-T-stability of beryl (Barton and Young 2002) are well constrained (e.g. Pignatelli et al. 697 2015); a high Na-activity has been established due to the high salinity in fluid inclusions, and 698 Mg+Fe are provided by dolomite and chlorite. For the locality Peñas Blancas in the Eastern 699 Cordillera the amount of femag substitution is ~0.2 apfu (Pignatelli et al. 2015), and thus this is the 700 maximum femag component at low *P-T*. The emeralds from Austria, which crystallized during 701 prograde metamorphism (Grundmann and Morteani 1989), show a systematic core-rim relationship 702 with increasing femag component towards the rim (Franz et al. 1986), correlated with an increasing 703 temperature. Auristicchio et al. (1988) have shown that with increasing femag substitution the size 704 and distortion of the Al-octahedron increases, and this is consistent with increasing temperature 705 favoring the femag substitution. The effect of pressure might be the opposite, although no data are 706 available for supporting this assumption.

707

### 708 **CONCLUSIONS**

709 The analysis of emeralds is simplified by the assumption of 3.000 Be apfu in emerald being in general valid. EPMA are sufficient for a first characterization, despite the fact that  $Fe^{3+}/Fe^{2+}$  cannot 710 711 be distinguished and water contents are unknown. Comparison between the calculated BeO-content 712 with measured SiO<sub>2</sub>-contents (Fig. 12) is useful to check this assumption, and only in case of 713 significant deviation from the ideal compositions a correction might be applied or Be measured 714 directly with other methods (e.g. SIMS). Silicon values are mostly near to the ideal value of 6.000 715 apfu. Because of the low atomic weights of Be and Li, and because of the high amount of SiO<sub>2</sub> in 716 beryl, low analytical uncertainties are required when measuring these elements. Otherwise, no 717 robust conclusions can be made for substitutions involving Si-Be-Li.

718 Li-contents are in many cases quite important and necessary for calculating a correct mineral 719 formula. If Li-contents are available, normalization to Be+Li = 3.000 apfu is possible, because in 720 most emeralds Li substitutes for Be via LiNaBe.1 .1 (see Table 1 for possible substitutions; Table 4 721 for cation distribution in selected emeralds). The tetrahedral coordination of Li is also the most 722 frequently observed (Gagné and Hawthorne 2016). Nevertheless, in KB emeralds Li is also 723 observed on the octahedral site, substituting for Al via LiNa<sub>2</sub>Al<sub>-1</sub>D<sub>-2</sub> (which is also common in other 724 Li-compounds, Gagné and Hawthorne 2016), and at the channel position 2b, with a planar [6]-725 coordination. Our data provide more evidence for Li as a minor channel constituent, as discussed 726 for CsLi-beryls (Hawthorne and Černý 1977).

Water molecules (at the 2a position) and Na (at 2b) are common at the channel position in
emeralds. The Na-atoms at 2b would be underbonded, if there were no water molecules bonded to
Na, which argues for a positive correlation between Na- and water contents, but Na only controls
the minimum possible amount of one H<sub>2</sub>O molecule bonded to Na (Hawthorne and Černý 1977).

22

The positive correlation in emeralds, first observed by Zimmermann et al. (1997; refined correlation by Marshall et al. 2016) was used to calculate water contents in emeralds, but it must be used with caution, because even in Na-free synthetic beryls water content increases to 3 wt% as a function of P and T (Pankrath and Langer 2001).

735 To explain the anomalous Na-contents of the KB emeralds (likely connected with Li), mapping 736 of the Na-contents has been proven to be extremely useful. The Na-distribution is irregular in a 737 vein-like and patchy network (Fig. 6) and in areas parallel to cracks (Fig. 7), likely correlated with 738 the strong infiltration by Na inducing LiNa<sub>2</sub>Al<sub>-1</sub>D<sub>-2</sub> replacement during late-stage hydrothermal 739 activity. Our preliminary comparison of element maps of emeralds from other localities confirms 740 that this method yields important information on the crystallization processes of emeralds. 741 In most emeralds the femag-component is an important factor controlling the Na-content via  $(Mg,Fe^{2+})$ NaAl<sub>-1</sub> $\square_{-1}$ . The maximum of 0.5 Na apfu is however rarely reached; more common is a 742 substitution of up to 0.4 Na apfu (Fig. 15). An apparently higher substitution (Fig. 14) suggests the 743 presence of  $Fe^{3+}$  (instead of  $Fe^{2+}$ ) as the stoppaniite component (Fig. 15) and/or a Be-cordierite 744 component MgBe<sub>-1</sub>. The femag-component is a complex function of P and T, saturation of Na 745 746 (controlled by other Na-minerals such as albite and tourmaline, and the fluid phase), and saturation

of Mg,Fe<sup>2+</sup> (mostly controlled by biotite, amphibole, talc, and tourmaline). Again, mapping of the
 element concentrations (mainly Mg; Figs. 6 and 7) provides valuable information about the growth
 conditions.

750 At Kruta Balka the close connection of emerald mineralization to granites and pegmatites 751 strongly suggests that these provided the source of Be and Li. The small amount of femag 752 substitution points to low *P*-*T* conditions and/or a low Na-concentration in the fluid; tourmaline composition at Kruta Balka indicates  $\sim 0.6 \text{ mol } \text{L}^{-1}$  Na in fluid (compared to the experimental fluid-753 tourmaline partitioning data by von Goerne et al. 2001) and a very low concentration of Ca in fluid 754 755 as shown by Ca-poor tourmaline-albite pairs (von Goerne et al. 2011). The replacement structures 756 seen in the cores (Fig. 6) clearly indicate a metasomatic origin, where a Be-bearing fluid possibly 757 replaced an Al-Si-mineral such as feldspar. Inclusions of Hf-rich zircon and Sc-rich apatite are of pegmatitic origin. Sector zoning in the core (Fig. 7) indicates several pulses of growth. The outer 758 759 part of the crystal, with oscillatory zoning and the highest amount of Cr, Sc, and V (Figs. 6, 7) 760 indicate further growth of emerald and incorporation of these elements from the fluid. Oscillatory 761 zoning of Cr, which is in most cases enriched on the growing prism faces (Fig. 7), is indicative of rapid disequilibrium growth from a fluid. 762

763 **IMPLICATIONS** 

The observations resulting from the study of Kruta Balka imply that detailed crystal chemical information combined with the spatial distribution of certain elements can give important

indications of what is involved in the genesis of emeralds, which is also the basis for many
classification systems. Such information might even allow the otherwise difficult distinction
between metamorphic vs. metasomatic deposits where element mobility has been on the thin
section scale.

770 A number of different classification schemes have been proposed for emerald deposits, based on 771 their Be source, metamorphic grade, tectonic environment, metasomatic history, and fluid sources 772 as established by their stable isotope signatures (see reviews by Giuliani et al. 1988, 2018; Barton 773 and Young 2002; Schwarz et al. 2002; Groat et al. 2008; Aurisicchio et al. 2018; and references 774 therein). We suggest using the Mg# and Cr# (Fig. 13) of emeralds, which are controlled by their 775 sources, and the amount of femag substitution (Fig. 14) to present a simple characterization of 776 emerald deposits. We have identified three categories of emerald occurrences: (1) the 'ultramafic' 777 schist-type occurrence with Mg# between ~0.80 and ~0.90, and Cr# between 1.0 and 0.8, which is 778 the common one, (2) a 'sedimentary' group, where the source of Mg, Fe, Cr, and V are 779 (meta)sediments such as blackshales and impure limestones, with highly variable Mg# and Cr#, and 780 (3) a 'transitional' group, where the source includes both, ultramafic rocks and metasediments, for 781 which Kruta Balka is an excellent example.

782 This classification serves only as a first step. We propose a subdivision into low ( $\leq 0.25$  apfu) and 783 high substitution (0.25 - 0.5 apfu) of femag-component. Deposits classified as 'sedimentary' and 784 'transitional' typically show a low femag-component, 'ultramafic' deposits a high femag-component. 785 If emeralds from deposits with a high femag-component, likely connected with the ultramafic schist 786 type, show elevated K contents, they might come from an area with high-grade metamorphism. 787 Pardieu et al. (2015) also used the K-content of emeralds for fingerprinting their origin. Further 788 refinement of the classification (and more information for provenance analysis) could be obtained 789 from trace elements. In addition to the commonly used elements such as Li, Cs and Ga (Aurisicchio 790 et al. 2018; Schwarz 2016), Sc is very promising not only because of a high potential for separation 791 of different deposits (Schwarz 2016), but also for the crystallization history, when analyzing its 792 spatial distribution in a crystal.

Furthermore, our data imply that analysis of solid inclusions provide important information for the source of Be. Zircon with an extreme Hf-content is a strong indication of Li-Cs-Ta pegmatites, In addition, tourmaline analysis (which allow calculating a theoretical Li-content) could point to an elevated content of Li in the emeralds. Tourmaline analyses allow obtaining some information about the fluid phase, and in combination with fluid inclusion data (which are often available for emerald studies) tourmaline can assist in deciphering the origin and genesis of emeralds. Knowledge of all this information might also help in prospecting. Assuming that only a small piece

800 of emerald is available, its analysis can assist in identifying the source rocks, which can then be

- searched for in the field.
- 802

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- 1042
- 10431044 Figure captions
- 1045 Fig. 1: Position of the Ukrainian Shield in the East European Platform and its tectonic zones
- 1046 (modified from Claesson et al. 2006). Domains: Volynskyi (North-West); RT, Ros-

1047 Tykichskyi; P, Podilskyi (Dnister-Buh); K, Kirovohradskyi (Inhulskyi); MD,

1048 Serednoprydniprovskyi; A, Pryazovskyi. Suture zones: HSZ, Holovanivska; KSZ, Kryvorizka;

1049 OSZ, Orikhiv-Pavlohradska. Beryl occurrences: emerald KB = Kruta Balka, heliodor V =

1050 1051 Volynske.

1052 Fig. 2: Schematic geological map (**a**) and cross section (**b**) of Kruta Balka pegmatite occurrence.

1053 The host rocks of emerald are both, meta-ultramafic to metabasic rocks and metasediments, rich in 1054 organic matter.

1055

1056 Fig. 3: 3D-image of pegmatite vein with the sampling locations of emerald crystals #16 and

1057 #18; the locality of the KBE sample is not recorded in detail, but from a similar position.

1058 Cross-hatched area shows erosion cut of the vein; from Taran et al. (2005), with permission of

- 1059 the Mineralogical Journal, Ukraine.
- 1060

Fig. 4: Hand specimen of emerald from Kruta Balka, Ukraine, with abundant muscovite andalbite

1063

1064 Fig. 5: Cr and V content (in apfu) of emeralds from Kruta Balka; shown are the average 1065 compositions (large open circles) of three crystals and the individual point analyses (small 1066 dots), to illustrate the strong heterogeneity within a crystal and from crystal to crystal. Many 1067 crystals from emerald occurrences worldwide show such heterogeneity; two examples are 1068 given for the Mananjary deposit, Madagascar (squares; sample 81-193, four crystals; Table EA 1069 1), which is characterized by a large amount of Cr, and for the Sandagawa deposit, Zambia 1070 (diamonds; Zwaan et al. 1997), characterized by intermediate Cr and V contents. Note 1071 different scales of Cr and V; Cr is generally more abundant.

1072

1073 Fig. 6: Element mapping of crystal KB-1, Kruta Balka; orientation of the crystal's c-axis is indicated in corresponding BSE image. Al and Mg are inversely correlated, Mg and Cr (and V, 1074 1075 Fe; hardly visible because of low concentrations) are positively correlated. Cr is also enriched 1076 in biotite (Bt), in late stage chlorite (Chl), and in muscovite (Ms) intergrown with biotite, but 1077 not in large muscovite inclusions. Cr distribution in emerald is indicative of oscillatory zoning 1078 and of replacement structures (note Cr-Mg-poor dark areas in center of Cr- and Mg-image). 1079 Na is completely independent of other element concentrations, enriched in an irregular 1080 network of µm-sized veins; some veins are oriented subparallel and -perpendicular to c. Sc, a 1081 trace element often observed in beryl, is enriched in apatite (Ap). For further discussion see

	32
1082	text.
1083	
1084	Fig. 7: Element mapping of inclusion-free crystal KB-2, Kruta Balka; orientation of the
1085	crystal's c-axis (and growth direction), traces of prism face (hki0) and basal pinakoid (0001)
1086	are indicated in corresponding BSE image. Al and Cr are inversely correlated, and Mg and Sc
1087	(and Fe; hardly visible because of low concentration) are inversely correlated. The zigzag
1088	pattern of Mg distribution in the internal part of the crystal indicates sector zoning (see dashed
1089	lines) with the <b>c</b> -sector enriched in Mg, the <b>a</b> -sector enriched in Sc. Oscillatory Cr zoning
1090	indicates general preferential Cr incorporation perpendicular to the c-axis; arrows in Cr-map
1091	point to irregularities in zoning on prism and pinakoid. Na is positively correlated with Mg,
1092	but enriched along cracks oriented subparallel and perpendicular to c (indicated by arrows).
1093	For further discussion see text.
1094	
1095	Fig. 8: BSE images of the Kruta Balka emeralds (a #16, c-h #18). Abbreviations: Brl beryl, Bt
1096	biotite, Ms muscovite, Tour tourmaline, Zrn zircon. Zircon inclusions have up to 11 wt%
1097	$HfO_2$ , tourmaline is Li-bearing with a calculated content of 0.39 wt% Li <sub>2</sub> O corresponding to
1098	0.259 Li apfu.
1099	
1100	Fig. 9: (a) Polarized optical absorption spectrum of emerald from Kruta Balka, sample #18 (b)
1101	Optical diffuse reflectance spectrum of sample #16; from Taran et al. (2005), with permission of the
1102	Mineralogical Journal, Ukraine.
1103	
1104	Fig. 10: Polarized FTIR spectra of emerald from Kruta Balka, sample KBE (a) range 6000 to 1500
1105	$cm^{-1}$ ; (b) detailed area with fundamental H <sub>2</sub> O-stretching bands; (c) middle IR-part with bands of
1106	H <sub>2</sub> O-bending vibration; (d) CO <sub>2</sub> asymmetric stretching band (comparatively strong for beryls)
1107	
1108	Fig. 11: Comparison of measured BeO contents (wt%) with the calculated BeO contents from
1109	EPMA, assuming 3.000 Be pfu, for emerald crystals from different localities; + data from
1110	Aurisicchio et al. (1988) for emeralds and beryl from emerald localities, photometric
1111	determination of BeO (localities in italics); x Aurisicchio et al. (1988) for synthetic flux grown
1112	beryl. For most samples the calculated BeO content is slightly overestimated, indicating a
1113	small amount of substitution of Be. The vertical error bar is 1% relative for BeO measured by
1114	SIMS and the horizontal error bar is for $0.26\%$ relative for SiO <sub>2</sub> measured by EPMA and used
1115	to calculate BeO, whence the major impact of the $SiO_2$ measurement on calculated BeO
1116	content.

### 1117

1118 Fig. 12: SiO<sub>2</sub> contents (wt%) of emeralds from localities worldwide determined by EPMA, compared to the calculated BeO content, assuming 3.000 Be pfu (Table 5). Included are also 1119 1120 the data for ideal compositions (diamonds), anhydrous beryl (labeled *ideal*) and beryl with a 1121 maximum content of 3.2 wt% H<sub>2</sub>O (labeled *hydrous*), beryl with octahedral Al = Li + 2Na 1122 substitution (labeled *ideal Al-Li*), hydrous femag beryls with 0.5 Al = 0.5 Na + 0.5 Mg and 0.5 Na = 0.5 Mg and 0.5 Na = 0.5 Mg and 0.5 Na = 0.5 Mg and 01123 Al = 0.5 Na + 0.5 Fe (labeled *ideal hydrous Mg, ideal hydrous Fe*), connected by the dashed line; the tetrahedral substitution Be = Li + Na (labeled *ideal Be-Li*) produces beryl with 65.79 1124 wt% SiO<sub>2</sub>, 11.41 wt% BeO (indicated by the arrow) and pezzotaite has the lowest BeO-content 1125 1126 with 10.26 wt%. The reference data from pure pegmatitic beryl (open circles, rim and core analysis) lie close to the line and underline the general validity of the assumption 3.000 Be 1127 1128 pfu. Average analysis from Kruta Balka emeralds (# 16, 18 and KBE), Manyara (Tanzania), 1129 and Mavis Lake (Canada) lie above the line, indicating overestimation of the calculated BeO 1130 content by approximately 0.3 wt%. Data from Norway and the Egyptian localities Zabar, 1131 Sikait, and Um Kabu below the line; all these analyses show high Si between 6.042 and 6.081

- 1132 apfu. For further discussion see text.
- 1133

1134 Fig. 13: Characterization of emerald deposits, based on Cr # = Cr/(Cr+V) vs. Mg# =

1135 Mg/(Mg+Fe). Many emerald deposits (24 out of 52) are characterized by high ratios between

1136 0.8 and 0.9, which is the *ultramafic* schist type occurrence, where Cr as the coloring element

1137 is predominant, originating from metamorphosed mafic-ultramafic rocks. A small group (11

localities) is considered as *transitional*, where the source for Mg+Fe+Cr+V are both mafic-

1139 ultramafic rocks and metasediments; Kruta Balka is a typical example for this group, and most

1140 of the Australian deposits belong to this group. All other localities (17) are considered as

sedimentary with regard to their origin of highly variable Cr# and Mg#. The Cordilleran-type

1142 occurrences from Colombia and Canada (Yukon, McKenzie Mts., Lened) are typical

1143 examples, but also the Chinese deposits Davdar and Dyaku, Binntal (Switzerland), Pakistan

- 1144 (Sharbag-Swat, Gandao).
- 1145

Fig. 14: Sum of trivalent (Al+Cr+V+Sc) and divalent cations (Mg+Fe+Mn; in apfu) of emeralds. All analyses are calculated with  $Fe_{tot} = Fe^{2+}$  and on the basis of 3.000 Be apfu. The dotted vertical line separates localities with a low amount of substitution from those with a high amount, arbitrarily set at 0.25 apfu; the Ukrainian Kruta Balka occurrence belongs to the group with a low amount of substitution, as most of the deposits classified as transitional and

- 1152 component. Exchange vectors are shown in the inset lower left; the dashed line indicates the 1153 effect of the ideal femag substitution  $^{VI}(Al,Cr,V,Sc) = ^{VI}(Mg, Fe_{tot}^{2+},Mn) + ^{channel}(Na,K,Cs),$ 1154 which goes up to 0.5 Mg+Fe+Mn, which is considered as the actual end-member. For further 1155 discussion see text.
- 1156

Fig. 15: Sum of divalent cations (Mg, Fe<sup>2+</sup>, Mn) and monovalent cations (Na, K, Rb, Cs) of emeralds' EPMA from Kruta Balka, compared to analyses from the literature and from our data base (for data base and references see Table 5). The dashed line indicates the effect of the ideal femag substitution <sup>VI</sup>(Al,Cr,V,Sc) = <sup>VI</sup>(Mg, Fe<sub>tot</sub><sup>2+</sup>,Mn) + <sup>channel</sup>(Na,K,Cs). The Kruta Balka emeralds are exceptional showing a high amount of the substitution <sup>VI</sup>(Al,Cr,V,Sc) = <sup>VI</sup>(Li) + 2 <sup>channel</sup>(Na,K,Cs); other data points below the line include emerald from Dyaku,

- 1163 China, Mavis Lake, Canada, Binntal, Switzerland, from Crabtree Mts, USA (Wise and
- 1164 Anderson, 2006), which also show a significant amount of Li. The emeralds from
- 1165 Sandawana/Tanzania are the only ones, which reach 0.5 apfu for both parameters. Data points
- above the line indicate the presence of some  $Fe^{3+}$ ; open diamonds connected with a dotted line
- 1167 indicate analyses where  $Fe_{tot}$  is assumed to be all  $Fe^{3+}$ , and which stll plot significantly above
- 1168 the line, indicating a different substitution. For further discussion see text.
- 1169
- 1170
- 1171
- 1172 Deposit items

# 1173 List of Tables for Electronic Appendix EA

- 1174 Table EA 1: Geological origin and preliminary characterization of emerald samples for comparison
- 1175 by means of SIMS (Li, Be determination, see Table 2; in bold) and EPMA; Li<sub>2</sub>O contents were
- 1176 determined by spectrometry,  $H_2O$  contents by coulorimetry; c = core; r = rim
- 1177 Table EA 2: Method of Li-Be determination with SIMS (GFZ Potsdam)
- 1178 Table EA 3: a) Point analyses of Kruta Balka emeralds and b-f) analysis of inclusions
- 1179 Table EA 4: EPMA of emeralds from different localities worldwide, this study
- 1180 Table EA 5: Details of XRD Rietveld parameters for refinement
- 1181 Table EA 6: Average *typical* EPMA of emerald from localities worldwide, and calculated formulae.
- 1182
- 1183

# 

1185 Tables

1186	Table 1: Major substitutions	in emerald with	pure beryl Al <sub>2</sub> Be	[Si <sub>6</sub> O <sub>18</sub> ] as additive	component
	5		1 2 =		1

sites	exchange vector	channel	channel	octahedral	tetrahedral	
involved	exchange vector	2a (ch)	2b (ch)	Al (oct)	Be (tet)	
ch	$H_2O\square_{-1}$	H <sub>2</sub> O				hydrous beryl
oct	CrAl <sub>-1</sub> VAl <sub>-1</sub>			Cr V		'emerald'
	ScAl <sub>-1</sub> Fe <sup>3+</sup> Al <sub>-1</sub>			Sc Fe <sup>3+</sup>		bazzite stoppaniite
ch-oct	Na(Mg,Fe <sup>2+</sup> )□ <sub>-1</sub> Al <sub>-1</sub>		Na	Mg,Fe <sup>2+</sup>		'femag-beryl'
ch-tet	NaLi□.1Be-1		Na	-	Li	avdeevite
	CsLi□_1Be-1	Cs			Li	pezzotaite
ch-oct	Na₂Li□₋₂Al		Na	Li		-
oct-tet	MgBe-1			Mg	Al	'Be-cordierite' (synthetic)

1188 Note: Names not approved by the International Mineralogical Association are italicized.

- 36
- 1191 Table 2: Results of SIMS determination of BeO and  $Li_2O$  of emeralds, calculated BeO contents on the basis of EMP analyses assuming 3.000 Be pfu
- 1192 and  $Li_2O$ -determination by photometry
- 1193

Sample	Locality	BeO wt% (SIMS)		BeO wt% (calc)		Li <sub>2</sub> O wt% (SIMS)		Li <sub>2</sub> O wt% (photometry)	
		core	rim	core	rim	core	rim	core	rim
78-14	Ural Mountains, Russia	13.55	13.64	13.68	13.70	0.20	0.21	0.274	0.32
78-16	Leydendorp, Transvaal	12.71	12.73	12.87	12.81	0.077	0.053	na	
78-40	West Australia	13.22	13.11	13.13	13.17	0.078	0.065	na	
78-78	Takowaja, Ural Mountains, Russia	13.58; 13.9(c-r)	13.906	13.10	13.17	0.26; 0.28(c-r)	0.403	0.215	
78-83	Mouzo, Colombia	13.56	13.40	13.85	13.92	0.011	0.013	na	
80-47	Habachtal, Austria	13.24	13.21	13.49	13.52	0.020	0.067	na	
80-51	Habachtal, Austria	13.09; 13.10(c-r)	12.81	13.42		13.42 0.015; 0.019(c-r) 0.0		na na	

1194 c-r transitional core to rim; na not analyzed
1196 Table 3: EPMA data from emeralds from Kruta Balka, Ukraine; Li<sub>2</sub>O by photometry from Rozanov

1197 and Lavrinenko (1979)

Sample #	#16	#18	KBE	average	corrected <sup>2</sup>
$n^1$	15	10	4		
SiO <sub>2</sub> wt%	64.81	65.10	63.77	64.56	
TiO <sub>2</sub>	0.01	0.01	n.d.	0.01	
$Al_2O_3$	17.62	17.57	16.84	17.34	
$Cr_2O_3$	0.15	0.15	0.31	0.20	
$V_2O_3$	0.07	0.06	0.01	0.05	
FeO <sub>tot</sub>	0.20	0.18	0.30	0.23	
MnO	0.02	0.05	n.d.	0.04	
MgO	0.35	0.35	0.67	0.46	
CaO	0.02	0.03	n.d.	0.02	
Na <sub>2</sub> O	1.76	1.89	1.81	1.82	
K <sub>2</sub> O	0.03	0.01	0.05	0.03	
Li <sub>2</sub> O	0.41	0.41	0.41	0.41	
Cs <sub>2</sub> O	0.55	0.41	0.61	0.52	
BeOcalc	13.61	13.66	13.45	13.62	13.32
H <sub>2</sub> O					1.50
sum	99.62	99.89	98.22	99.31	100.51
apfu					
Si	5.913	5.918	5.917	5.915	5.937
Ti	0.001	0.001	0.000	0.001	0.001
Al	1.893	1.882	1.841	1.873	1.878
Cr	0.011	0.010	0.022	0.015	0.015
V	0.005	0.005	0.000	0.003	0.004
Fe <sup>2+</sup> tot	0.015	0.014	0.023	0.018	0.018
Mn	0.002	0.004	0.000	0.003	0.003
Mg	0.048	0.048	0.093	0.063	0.063
Ca	0.002	0.003	0.000	0.002	0.002
Na	0.311	0.332	0.325	0.323	0.324
Κ	0.004	0.002	0.006	0.004	0.004
Li	0.150	0.149	0.152	0.150	0.152
Ċs	0.022	0.016	0.024	0.018	0.020
Be	3.000	3.000	3.000	3.000	2.944
H <sub>2</sub> O					0.920

1199

1200 1) n = number of point analyses; 2) calculated BeO contents are corrected by deducting 0.3 wt%

1201 from the average BeO content calculated for 3.000 Be per formula unit

Table 4: Cation coefficients and cation distribution of emeralds of this study (BeO and  $Li_2O$  determined by SIMS) and from Aurisicchio et al. (1988; labeled as 'Auris'), based on 18 oxygen, arranged with increasing octahedral substitution

1	2	0	6

Sample	Locality		Channe	el				Octahed	ra				Be tetra	hedra	Si	i tetraheo	dra
No.		Na	Cs	Li	Al	Mg	Fe <sup>2+</sup>	Cr	V	Li	ΣVΙ	Be	Li	Si/Al	Si	Al	Be
		(+K)					(+Mn)				(+Ca)			-			
78-83c	Colombia	0.061	-	-	1.915	0.041	0.004	0.005	0.004	-	1.987	2.918	0.005	0.002/0.075	6.000	-	-
78-83r	Colombia	0.062	0.001	-	1.911	0.038	0.010	0.006	0.001	-	1.966	2.935	0.004	0.031/0.030	6.000	-	-
10 Auris	Muzo	0.088			1.780	0.120	0.024	0.027	0.047	-	1.998	2.961	-	0.024/0.014	6.000	-	-
78-78c	Ural Mts.	0.100	0.002	0.092	1.906	0.069	0.011	0.004	0.001	0.013	2.001	3.000	-	-	5.942	0.027	0.03
78-78r	Ural Mts.	0.097	0.002	0.104	1.916	0.059	0.012	0.002	0.001	-	2.000	3.000	-	-	5.925	-	0.08
78-14c	Ural Mts.	0.132	0.002	0.032	1.932	0.036	0.008	0.001	0.000	0.044	2.000	2.998	0.002	-	5.977	0.023	-
78-14r	Ural Mts.	0.118	0.002	0.027	1.921	0.045	0.013	-	-	0.021	2.000	2.975	0.025	-	5.985	0.015	-
26 Auris	Ural Mts.	0.091	-	0.019	1.924	0.060	0.019	-	-	-	2.003	2.979	0.020	0.001/-	6.000		
78-40c	Westaustralia	0.096	-	-	1.865	0.075	0.025	0.007	0.001	-	1.977	2.893	0.024	0.016/0.066	6.000	-	-
78-40r	Westaustralia	0.100	0.001	-	1.853	0.122	0.047	0.034	0.001	-	1.990	2.913	0.029	0.011/0.047	6.000	-	-
9 Auris	Brazil	0.340	-	-	1.800	0.158	0.039	-	-	-	1.997	2.870	0.037	0.008/ <b>0.085</b>	6.000		
24 Auris	Mocambique	0.243	-	-	1.680	0.258	0.063	-	-	-	2.001	2.900	0.015	0.008/	6.000		
78-16c	South Africa	0.179	0.012	-	1.681	0.249	0.074	0.007	0.002	-	2.025	2.903	0.025	0.042/0.030	6.000	-	-
78-16r	South Africa	0.251	0.010	-	1.652	0.257	0.076	0.008	0.001	-	1.995	2.900	0.025	0.037/0.039	6.000		
23 Auris	Austria	0.316	-	-	1.623	0.321	0.030	0.026	-	-	2.000	2.960	0.015	0.025/	6.000		
80-47c	Austria	0.369	0.001	-	1.596	0.342	0.049	0.002	0.001	-	1.995	2.953	0.007	0.006/0.034	6.000	-	-
80-47r	Austria	0.397	0.001	-	1.611	0.354	0.045	0.000	0.002	-	2.006	2.965	0.025	-/0.010	5.970	0.030	-
80-51c	Austria	0.417	0.001	-	1.528	0.381	0.071	0.024	0.002	-	1.982	2.929	0.008	0.006/0.057	6.000	-	-
80-51r	Austria	0.404	0.002	-	1.524	0.371	0.070	0.023	0.002	-	1.991	2.931	0.007	0.008/0.054	6.000	-	-
4 Auris	Pakistan	0.344	-	-	1.468	0.362	0.096	0.072	-	-	1.998	2.908	0.011	<b>0.049</b> /0.032	6.000		

1207 Note: Extreme values are marked in bold.  $Fe_{tot} = Fe^{2+}$ ; traces of Rb, Sc, and Ti have been neglected; traces of Mn have been added to Fe, of K to Na, of

1208 Ca to sum of octahedral cations; c = core, r = rim.

Table 5: Summary of emerald EPMA data from localities worldwide; listed are wt% SiO<sub>2</sub> and wt% BeO, calculated for 3.000 Be apfu, and other 1213 critical parameters in apfu for classification and characterization of emeralds. For complete analyses and calculated formulae see Table EA 6.

Country Locality	Afghanistan Panjsher	Australia Emmaville	Australia Poona	Australia Menzies	Australia West. Aust	Austria Habachtal	Brazil Bahia	Brazil Salinhina	Brazil Carnaíba	Brazil Fazenda Bomfin
SiO <sub>2</sub> (wt%)	66.59	66.94	65.78	64.98	65.55	64.09	66.77	65.21	64.08	64.75
BeO (wt%)	13.84	13.95	13.70	13.54	13.69	13.36	13.87	13.51	13.33	13.50
Al+Sc+Cr+V	1.833	1.994	1.919	1.834	1.920	1.621	1.852	1.523	1.717	1.661
Mg+Fe+Mn	0.175	0.020	0.069	0.170	0.11	0.386	0.131	0.479	0.289	0.360
Na+K+Rb+Cs	0.134	0.011	0.081	0.172	0.10	0.358	0.131	0.363	0.200	0.329
Mg#	0.71	0.23	0.73	0.70	0.67	0.89	0.71	0.90	0.85	0.86
Cr#	0.89	0.87	0.73	0.88	0.93	0.95	0.72	0.03	0.95	0.95
references	Groat et al.	Loughrey et	Morteani&	Schwarz	this study	Franz et al.	Schwarz	Schwarz	Eidt &	Santiago et al.
	2008	al. 2012	Rhede	1991	sample 78-	1986	1990	1990	Schwarz	2018
	Aurisicchio		Aurisicchio		40	Aurisicchio			1988	
	et al. 2018		et al. 2018			et al. 2018			Aurisicchio.	
						this study			et al. 2018	

1215

Table 5 ctd. 

Brazil Itabira Belmont	Brazil St Terezinha	Brazil Socotó	Brazil Tauá	Bulgaria Rila	Canada Tsa da Glisza	Canada Yukon	Canada Mavis Lake	Canada Lened	Canada McKenzie Mts
66.28	66.59	64.98	65.12	64.37	64.40	64.24	63.58	65.17	63.67
13.86	13.84	13.62	13.62	13.42	13.41	13.37	13.41	13.62	13.28
1.862	1.539	1.758	1.645	1.769	1.808	1.792	1.925	1.888	1.756
0.198	0.481	0.352	0.423	0.196	0.198	0.215	0.109	0.145	0.270
0.124	0.325	0.197	0.326	0.189	0.186	0.195	0.215	0.147	0.237
0.80	0.80	0.84	0.81	0.82	0.81	0.95	0.74	0.81	0.79
0.90	0.95		0.94	1.00	0.95	0.95	0.92	0.00	0.03
Hänni et al. 1987	Schwarz 1990	Schwarz et al.	Schwarz et	Groat et al.	Groat et al.	Groat et al.	Brand et al.	Lake et al.	Hewton et al.
	Groat et al.	1988a, 1990	al. 1988b	2008	2002	2002	2009	2017	2013
	2008	ŕ						Marshall et	
								al. 2004	

## 1221 Table 5 ctd.

China Davdar	China Dyaku	Colombia Muzo, Chivor	Egypt Zabara	Egypt Um Kabu	Egypt Sikait	Ethiopia Kenticha	India Raigsh	Kazachstan Delbegetey	Madagascar Ianapera	Madagascar Mananjary
65.98	63.31	66.61	65.90	65.39	66.26	64.97	66.15	65.99	63.97	63.04
13.7	13.25	13.78	13.64	13.43	13.65	13.53	13.71	13.83	13.34	13.10
1.848	1.867	1.840	1.489	1.516	1.576	1.669	1.624	2.000	1.577	1.580
0.142	0.165	0.110	0.484	0.425	0.355	0.332	0.343	0.026	0.501	0.448
0.113	0.202	0.107	0.192	0.274	0.150	0.302	0.301	0.004	0.156	0.249
0.91	0.84	0.91	0.88	0.83	0.89	0.818	0.88	0.26	0.80	0.75
0.32	0.12	0.55	1.00	1.00	1.00	0.928	1.00	0.80	0.93	0.98
Marshal et al.	Marshal pers	Groat et al.	Aurisicchio.	Grundmann	Grundmann	Aurisicchio.	Aurisicchio.	Groat et al.	Groat et al.	Aurisicchio. et
2012	comm	2008	et al. 2018	& Morteani	& Morteani	et al. 2018	et al. 2018	2008	2008	al. 2018
		Aurisicchio. et		2008	2008	Marshall			Vapnik et al.	Vapnik et al.
		al. 2018		Groat et al.	Aurisicchio	unpub			2005	2006
		Pignatelli et al.		2008	et al. 2018	-				this study
		2015			Groat et al.					-
					2008					

Table 5 ctd.

Mocambique Morrua	Namibia Maltahöhe	Nigeria Jos	Norway Bryrud/Eidsvoll	Pakistan Khaltaro	Pakistan Charbag-Swat	Pakistan Gandao	Russia Malyshesvk	Russia Mariinskoye	Russia Takowaya
63.84	63.92	66.21	67.23	65.93	63.22	63.17	65.57	63.05	64.11
13.29	13.29	13.83	13.89	13.77	13.18	13.65	13.69	13.25	13.37
1.728	1.566	1.972	1.918	1.861	1.626	1.603	1.865	1.742	1.895
0.306	0.450	0.055	0.022	0.155	0.393	0.420	0.143	0.362	0.081
0.199	0.381	0.040	0.015	0.174	0.373	0.379	0.145	0.238	0.101
0.80	0.82	0.171	0.53	0.78	0.95	0.81	0.82	0.91	0.83
0.89	0.90	0.415	0.13	0.95	0.95	0.30	0.40	0.96	1.00
Aurisicchio. et al. 2018 Aurisicchio et al. 1988 this study	this study	Aurisicchio. et al. 2018 Vapnik & Moroz 2000 Groat et al. 2008	Schwarz 1991 Loughrey et al. 2013	Groat et al. 2008 Laurs et al. 1996	Groat et al. 2008	Groat et al. 2008	Aurisicchio. et al. 2018	Groat et al. 2008	this study

Table 5 ctd. 1229

Spain Franqueira	South Africa Leydsdorp	Switzerland Binntal	Tanzania Sumbawanga	Tanzania Manyara	Ukraine Kruta Balka	USA Crabtree Mts.	Zambia Miku/Kitwe	Zambia Chantete	Zambia Kagem	Zimbabwe Sandawana
66.06	63.61	65.44	66.28	63.50	64.56	64.34	63.43	64.09	62.90	65.05
13.72	13.23	13.66	13.82	13.40	13.62	13.40	13.31	13.32	13.06	13.66
1.753	1.702	1.838	1.968	1.821	1.891	1.794	1.714	1.682	1.635	1.574
0.237	0.325	0.170	0.052	0.334	0.083	0.199	0.326	0.353	0.400	0.465
0.214	0.213	0.215	0.023	0.210	0.345	0.232	0.345	0.222	0.228	0.488
0.81	0.77	0.99	0.41	0.88	0.78	0.87	0.80	0.84	0.81	0.89
0.90	0.83	0.29	0.86	0.00	0.78	0.94	0.92	0.92	0.91	0.96
Martín-Izard et al. 1995 this study	Schwarz et al. 1996 Nwe & Mortani 1983 this study	Marshall et al. 2017	Aurisicchio. et al. 2018	Groat et al. 2008	this study	Wise & Anderson 2006 this study	Aurisicchio. et al. 2018 Groat et al. 2008	Groat et al. 2008	Groat et al. 2008	Aurisicchio. et al. 2018 Zwaan 2006

## 1232 List of Tables for Electronic Appendix EA

- 1233 Table EA 1: Geological origin and preliminary characterization of emerald samples for comparison by means of SIMS (Li, Be determination, see Table
- 1234 2; in bold) and EPMA; Li<sub>2</sub>O contents were determined by spectrometry,  $H_2O$  contents by coulorimetry; c = core; r = rim
- 1235 Table EA 2: Method of Li-Be determination with SIMS (GFZ Potsdam)
- 1236 Table EA 3: a) Point analyses of Kruta Balka emeralds and b-f) analysis of inclusions
- 1237 Table EA 4: EPMA of emeralds from different localities worldwide, this study
- 1238 Table EA 5: Details of XRD Rietveld parameters for refinement
- 1239 Table EA 6: Average *typical* EPMA of emerald from localities worldwide, and calculated formulae.

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Fig. 7







Fig. 9b

Fig. 9a













