Cathodoluminescence of iron oxides and oxyhydroxides

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ABSTRACT

Iron oxides and oxyhydroxides show promise as superconductor materials and as repositories of paleo-environmental information. However, there are no microscale non-destructive analytical techniques to characterize their combined mineralogy, chemical composition, and crystal properties. We address this by developing cathodoluminescence mounted on a scanning electron microscope (SEM-CL) as an in situ, non-destructive method for the crystallographic and petrographic study of iron oxides and oxyhydroxides. We show that goethite, hematite, and magnetite display different SEM-CL spectra, which may be used for mineral identification. We further show that different formation pH, manganese substitution for iron in goethite and hematite, and titanium substitution for iron in magnetic cause shifts in the SEM-CL spectra of these minerals. These spectral shifts are not always detectable as a change in the emission color but are easily discernable by quantitative analysis of the spectra. Together with subtle but observable variations in the SEM-CL spectra of natural goethite and hematite, we suggest that these dependences of the SEM-CL spectra on pH and chemical composition may be used as a means of identifying multiple episodes of mineralization and recrystallization. We apply the newly developed SEM-CL methods to two polished sections of natural samples and show that quantitative analysis of the spectra obtained allows the identification of differences between varieties of the same mineral that are not observable by other means. Like the application of SEM-CL to geologic samples in this study, we suggest that this approach may be used to explore the in situ chemistry and crystallinity of various natural and manufactured iron oxides and oxyhydroxides.

Keywords: Scanning electron microscope, petrography, mineralogy, non-destructive spectroscopy

INTRODUCTION

Applications of cathodoluminescence (CL) imaging in geoscience and materials science harness the characteristic emission of visible light from crystalline materials bombarded by high-energy electrons to study the internal structure and composition of natural and engineered materials. Examples include determination of trace element concentrations in minerals, mapping of defects in semiconductors, and constraining the (re)crystallization history of rocks (Boggs and Krinsley 2006). Variations in the CL spectrum, typically described in terms of changes in the color of emitted light, arise from impurities or defects and may be related to variations in the material’s formation conditions (e.g., parent fluid chemical composition, temperature, rate). Consequently, in geosciences, CL has been used to study the formation and alteration of minerals of various origins (Machel et al. 1991; Taraschcan and Waychunas 1995; Boggs and Krinsley 2006).

Goethite (α-FeOOH), hematite (α-Fe2O3), and magnetite (Fe3O4) are the three most common naturally occurring Fe oxides and oxyhydroxides (Cornell and Schwertmann 2003). They occur in various marine sediments and sedimentary rocks, some of which are major economic sources of Fe, as well as in soils, bog deposits, and spring deposits (Schwertmann and Cornell 1991; Yapp 2001). In addition to their economic significance, the widespread occurrence and geologic abundance of Fe oxides and oxyhydroxides suggest their potential as repositories of information about various environmental and Earth-history properties. Indeed, Fe oxides have been used to study the geologic history of seawater oxygen isotopes (Galili et al. 2019), the evolution of atmospheric oxygen concentrations (Holland 1984 and references therein), past ocean redox conditions (Sperling et al. 2015; Stolper and Keller 2018), the genesis and subsequent alteration of banded iron formations (Ahn and Buseck 1990; Bekker et al. 2010; Rasmussen et al. 2016; Konhauser et al. 2017), and past (micro)biological Fe-related metabolic activity (Heimann et al. 2010; Craddock and Dauphas 2011). For all such applications, it is necessary to assess the fidelity of the Fe oxides and oxyhydroxides as repositories of paleo-environmental information, and this is hindered by the difficulty in applying petrographic methods to study these minerals. For example, all Fe oxides and oxyhydroxides are opaque in transmitted light microscopy but bright in scanning-electron microscopic imaging (Goldstein et al. 2017). Reflective light microscopy techniques have been used in the past to study Fe oxides, both visually (e.g., Deer et al. 1992) and by inspection of reflectance at specific wavelengths (e.g., Pirard and Lebichot 2004). However, limited lateral resolution, a relatively low detection limit, and color and wavelength band overlaps between different Fe oxides (e.g., lepidocrocite and goethite; Scheinost et al. 1998) limit the utility of such approaches and motivate the development of alternative methods, such as CL.

Traditionally, CL imaging has been used in the analysis of silicate, phosphate, and carbonate minerals, while for other
minerals, among which are Fe oxides and oxyhydroxides, the method has been considered inapplicable due to much poorer self-luminescence (Frimmel 1997; Šťastná and Přikryl 2009; Črne et al. 2014). Moreover, even low concentrations of Fe are documented to effectively quench CL emission of otherwise highly luminescent minerals (Machel et al. 1991; Tarashchan and Waychunas 1995). The low CL of Fe oxides and oxyhydroxides is thought to originate from weak ligand field splitting for complexes of transition metals in the fourth row of the periodic table, which results in rapid deactivation of charge-transfer states (Šima 2015).

The study of low-luminescence minerals, including Fe oxides and oxyhydroxides, faces two main challenges. First, emission from high-luminescence minerals (e.g., quartz and carbonate minerals) obscures the emission from the weakly luminescent minerals of interest. Second, very few reference CL spectra of the low-luminescence minerals are available for interpretation of measured spectra of natural and synthetic materials. In part, these two challenges are related to the historic use of CL systems mounted on optical microscopes (OM-CL), which lack the range of magnifications, the spatial resolution, and the sensitivity of newer, scanning electron microscope-based instruments (SEM-CL). In SEM-CL instruments, detection of spatially small, weakly luminescent features is possible, and interference from high-luminescence minerals is low due to a smaller interaction volume between the electron beam and the sample (Goldstein et al. 2017).

Given the prominence and utility of Fe oxides and oxyhydroxides in natural environments and the rock record, as well as their inaccessibility to petrographic approaches, we developed SEM-CL as a means of overcoming obstacles to the application of CL to these minerals. We analyzed both synthetic (laboratory-precipitated) and geologic samples and decomposed their spectra to quantify variations that do not manifest as emission color changes discernable by eye. By integrating multiple analytical methods, we verified that different Fe oxide and oxyhydroxide minerals have unique CL spectra, which can be used as a non-destructive, in situ mineral identification tool. In addition, by studying a series of laboratory-precipitated Mn-substituted hematite and goethite, we show that metal impurities in the lattice of Fe oxide and oxyhydroxide minerals modify the minerals’ CL spectra. This, in addition to other possible effects of the mineral formation conditions on CL spectra, suggests the utility of SEM-CL as a tool to distinguish Fe oxides and oxyhydroxides formed under different environmental and chemical conditions (i.e., to detect different generations of a mineral) within a single geologic sample. We demonstrate this utility by applying the developed methods to two natural rock samples.

**Materials and Methods**

The methodology was developed to achieve three objectives. The first was the production of a set of monomineralic reference materials with a well-constrained formation environment, which would be systematically analyzed and included in a new SEM-CL database of Fe oxides and oxyhydroxides. We accomplished this by synthesizing Fe oxides and oxyhydroxides in the laboratory by several different techniques and analyzing the samples by various methods, as described below. The second objective was to constrain the effect of metal substitution for Fe on the SEM-CL emission spectrum of Fe oxides and oxyhydroxides. For this purpose, we produced a set of Mn-substituted goethites and hematites, which were synthesized with increasing substitution of Mn for Fe in the crystal lattice. The third objective was to test the methods developed here on natural samples containing Fe oxides and oxyhydroxides within their original matrix of both high- and low-luminescence minerals. For this purpose, we produced and analyzed a polished section of two rock samples, as described below.

Information from several analytical methods was combined to provide an understanding of the studied materials and relate their properties to their SEM-CL spectra. X-ray powder diffraction (XRD) was used to determine the mineralogy and constrain the effects of Mn substitution for Fe on mineral lattice parameters. Fourier transform infrared (FTIR) spectroscopy was used for mineral identification and to provide information on the molecular structure of the Mn-substituted goethite and hematite. Inductively coupled plasma mass spectrometry (ICP-MS) was used to measure the amount of Mn incorporated into the Mn-substituted goethite and hematite lattice and to determine the elemental composition of the various Fe oxides and oxyhydroxides studied. Raman microscopy was used for in situ mineral identification as a means of validating the SEM-CL results. Lastly, energy-dispersive X-ray spectroscopy on a scanning electron microscope (SEM-EDS) was used to examine the elemental composition of the natural samples studied and to locate the Fe oxides and oxyhydroxides within the sample’s matrix for later analysis by SEM-CL.

**Synthetic Fe oxides and oxyhydroxides**

Samples of goethite (SynG1, SynG2, SynG3), hematite (SynH1, SynH2, SynH3), and magnetite (SynM1) were synthesized by different methods, which are described in Online Materials Appendix A. We additionally examined a commercial magnetite (SynM2). At the end of the synthesis (i.e., in all cases except the commercial magnetite), the solids were moved to 50 mL tubes, collected by centrifugation, and placed in a 0.5 or 1 mol/L HCl solution for 1 h to remove remaining poorly crystalline phases. The tubes were agitated using a vortex shaker to resuspend the products in the acid. The acidified solids were centrifuged and washed three times with doubly distilled water and dried in a vacuum oven set to 60 °C for 72 h. The dry powders were examined by both XRD and SEM. In all cases, no phase other than the Fe oxide or hydroxide of interest was identified (Online Materials Figs. A1 and A2).

**Natural Fe oxide and oxyhydroxide samples**

Three natural goethite samples (NatG1, NatG2, NatG3), three natural hematite samples (NatH1, NatH2, NatH3), and one Ti-rich magnetite sample (NatM1) were analyzed. Brief descriptions of all natural samples are provided in Online Materials Table A1. These natural samples served as comparisons to the synthetic samples and as sources of natural spectra to the SEM-CL database. Except for NatG2, which was analyzed as a pressed pellet, natural samples were prepared and analyzed as polished sections. Prior to analysis by SEM-CL, samples were screened to verify that they contained the minerals of interest (Online Materials Appendix A).

Two additional natural samples served to demonstrate the application of the methods developed in this study. These samples were analyzed as polished sections and did not undergo mineral purification prior to SEM-CL analysis. The first sample (App01) is an ooidal ironstone from the Agbaja Formation [66–72 million years old, Nape Basin, west-central Nigeria (Umenor 1987)]. The ooids (finely laminated concentric grains) are composed of goethite and are themselves embedded in a matrix of goethite. The SEM-CL analysis of this sample was performed in mapping mode, which was possible due to the near-absence of high-luminescence minerals. The second sample (App02) is a banded iron formation from the Raptian Group [717–661 million years ago, Mackenzie Mountains, Northwest Territories (Canada; Young 1976)]. The mineralogy of this sample is simple and consists mostly of hematite and jarosite. The hematite occurs in iron-rich bands alongside abundant quartz. Strong luminescence by the quartz did not allow SEM-CL analysis of this sample in mapping mode, and we used this sample to demonstrate another mode of analysis, multiple spot analyses.

**Powder X-ray diffraction**

X-ray diffractograms were obtained on a Bruker AXS diffractometer using a CuKα (1.54184 Å) X-ray source and a LynxEye detector. Patterns were obtained by step scanning from 15° to 50° 20 in 0.01° increments at a scan rate of 0.35° per min for the Mn-substituted hematite and goethite, and from 10° to 80° 20 in 0.02° increments at a scan rate of 0.95° per min for the other samples. The X-ray diffractograms were automatically compared with the International Center for Diffraction Data (ICDD) database and the Crystallography Open Database (COD). The unit-cell dimensions of the substituted goethite and hematite were calculated from the peak positions using a Rietveld (unit-cell lattice parameter) refinement method with the MDI Jade 9 software. The peak widths were used in the Scherrer formula (Patterson 1939) to calculate the mean crystalline dimension (MCD) of the (104) and (110) lattice planes for hematite and the (110) and (020) lattice planes for goethite. With American Mineralogist, vol. 108, 2023
the exception of the two samples on which we tested application of the developed SEM-CL methods, natural samples underwent a mineralogical purification step prior to XRD analysis (Online Materials Appendix A), which yielded >92% of the mineral of interest and prevented the interference of high-luminescence minerals in the SEM-CL analysis.

Attenuated total reflectance Fourier transform infrared spectroscopy (ATR-FTIR)

Infrared spectra of the Mn-substituted goethite and hematite were evaluated on a Bruker ALPHA FTIR spectrometer equipped with a diamond crystal ATR module. The spectral data were collected from 400 to 4000 cm\(^{-1}\) with a 4 cm\(^{-1}\) resolution. The results of 24 scans were averaged to reduce noise. The detection limit of Mn for routine analysis is ~0.1 wt%.

Inductively coupled plasma mass spectrometry (ICP-MS)

The synthetic samples were dried in a vacuum oven overnight (at 105 °C), weighed (5–10 mg) and dissolved in 3 mL of 12 M ICP-MS-grade hydrochloric acid. After complete digestion, the solutions were diluted, measured on an Agilent 7700 quadrupole ICP-MS, and calibrated against measurements of a fresh multi-element standard on the same instrument in the same analytical session. The detection limit of the Agilent 7700 quadrupole ICP-MS is at the sub-ppb range for the elements of interest.

Raman microscopy

Raman microscopy was applied only to the ooidal ironstone from the Agbaja Formation (sample App01) to verify the Fe oxide mineralogy. Samples were analyzed by Raman using a Horiba LabRAM HR Evolution spectrometer equipped with a HeCd 325 nm laser (Kimmon). The system has an 800 mm focal length spectograph with interchangeable gratings and is mounted with an open-aperture, front-illuminated, cooled charge-coupled device detector. Images were collected simultaneously using a secondary electron detector (Olympus BX-4M) with a LMU-40X-NUV + 40/0.5 numerical aperture objective (Thorlabs). Samples were analyzed (532 nm excitation) with a dispersive grating of 600 gr/mm. The laser spot size was typically smaller than 1 µm when using the x50 objective.

Cathodoluminescence by scanning electron microscopy (SEM-CL)

The SEM-CL spectra were measured on a Gatan MonoCL4 Elite system equipped with a retractable diamond-turned mirror. The spectral range was set to 300–800 nm with a band pass of 20 nm by choosing the 150 lines/mm grating centered on 550 nm and a 1 mm entrance slit. The CL system is installed on a Zeiss Gemini SEM 500, a high-resolution SEM equipped with a two-mode field emission gun. Measurements were performed at 15 kV with an aperture of 60 µm in analytical high-current gun mode. Imaging of CL spectra was performed both in 2D array mode and in multi-point mode. The pixel size and exposure time were fixed for each sample according to its CL intensity (lower intensity required larger pixel size or longer exposure time). Images were collected simultaneously using a secondary electron detector (Everhardt-Thornely).

We conducted two types of SEM-CL measurements. The first was used mainly on our synthetic minerals, in which a pellet of about 10 mg was made using a hand press (PIKE Technologies). Pellets were mounted on SEM stubs using carbon tape and coated with a layer of carbon 10 nm thick, using carbon thread evaporation (Safematic CCU-010). The second type of measurement, which was mainly applied to natural samples, was as a round, 1" polished section (Online Materials Appendix A). As natural samples are usually heterogeneous, a greater number of analyses per sample were performed. To guarantee success in identifying and analyzing the minerals of interest in the polished sections, we performed powder XRD analyses on aliquots of the same rocks from which the sections were prepared. In addition, each round section was explored by SEM-EDS (Bruker X-Flash 60 mm SSD detector) to detect several suitable regions of interest, prior to examination with the SEM-CL detector (Online Materials Appendix A). We find the high-magnification capabilities of new SEM instruments together with the protocols for prior verification and location of the Fe oxides and oxyhydroxides to be key for the CL study of these minerals in natural materials, which often consist mainly of high-luminosity minerals such as quartz, calcite, and dolomite.

All measured spectra were normalized, so that their intensity varied between zero and unity, and fit using a Matlab program of our own development (Online Materials Appendix A). The fit was composed of the minimal number of Gaussian-shaped absorption bands required to reproduce the spectra. For all three minerals studied, three Gaussians were sufficient (e.g., Fig. 1). As no CL spectra of the Fe oxides and oxyhydroxides are available, the wavelength range allowed for the fitted Gaussian peak centers was informed by previous studies of Fe oxide photoluminescence (Shen et al. 2012; Rufus et al. 2016, 2019; Wang et al. 2018) and by the spectra obtained in this study. The statistics of, and covariation among, the parameters of the three Gaussian bands (peak intensity, peak center wavelength in nm, full width at half-maximum in nm) were studied. Samples with low signal-to-noise ratios (e.g., when luminescence intensity was low) were excluded from the statistics. The threshold for exclusion of spectra was a sum of squared errors (SSE) between the best-fit model curve and the measured data of 0.1. Given the number of data points in a single spectrum (~160), the SSE of threshold of 0.1 is equivalent to an average absolute error of ~0.025 normalized intensity units at each of the individual wavelengths in the spectrum.

X-ray diffraction

Our measurements confirmed the presence of the mineral of interest in all natural and synthetic samples (Online Materials Fig. A1) and in the Mn-substituted goethite and hematite samples (Online Materials Fig. A2). No minerals other than the minerals...
of interest were detectable by XRD, except in NatH1, NatH2, and NatH3, in which minor amounts of quartz were detected (Online Materials Fig. A1).

The XRD patterns of the Mn-substituted samples were used to constrain the lattice parameters upon Mn incorporation. In the Mn-substituted orthorhombic goethite (n = 5), the “a” and “c” dimensions of the unit-cell decrease linearly (R2 = 0.8797 and R2 = 0.9743, respectively) and the “b” dimension increases linearly (R2 = 0.9882) with increasing Mn mol% (Table 1; Online Materials Fig. A3). With increasing Mn substitution for Fe, the goethite mean crystalline dimension MCD (110) remains constant, perhaps with a slight increase (R2 = 0.6334), whereas the MCD (020) decreases (R2 = 0.8477). That is, goethite laths become narrower with increasing Mn substitution for Fe.

In the hexagonal hematite, the “a” and “c” dimensions of the unit-cell increase linearly (n = 5, R2 = 0.8838, and R2 = 0.9928, respectively) with increasing Mn substitution for Fe (Table 2; Online Materials Fig. A4). Over an increase in Mn from 0 to ~29 mol%, the “a” and “c” dimensions increase by ~0.5% and ~1.4%, respectively. The hematite MCD (104) (R2 = 0.6650) was used to represent the crystal size along the “c” axis, while the MCD (110) (R2 = 0.9198) was used to represent the crystal size along the “a”-“b” plane (Li et al. 2016). In this case, increasing Mn substitution for Fe, the ratio MCD (104)/MCD (110) remains relatively constant (~0.2–0.3), suggesting that the crystal morphology of hematite remains generally unchanged.

We note that the relatively small number of samples (n = 5) in both the Mn-substituted goethite and hematite renders the statistics of the unit-cell parameters unreliable (Jenkins and Quintana-Ascencio 2020). Hence, we advocate caution in using the unit-cell parameter values inferred from our measurements, and we report R2 simply to illustrate the linear relationship between Mn substitution and the unit-cell parameters of goethite and hematite.

**Fourier transform infrared spectroscopy**

Goethite and hematite were positively identified in the FTIR measurements (Online Materials Appendix A). Goethite was identified on the basis of the bulk O-H stretching at ~3100 cm−1, the in-plane and out-of-plane bands at ~890 and 790 cm−1, respectively, and the Fe-O stretch parallel to unit-cell axis a at ~610 cm−1. Hematite was identified on the basis of two Fe-O vibrational mode absorption bands at ~430 and ~530 cm−1, which are perpendicular to the “c” direction (Rendon and Serna 1981). All goethite and hematite absorption bands become wider with increasing Mn substitution for Fe (Online Materials Fig. A5), as expected from the associated increase in the diversity of O-H and Fe-O bonding environments.

**CL spectra of Fe oxides and oxyhydroxides**

All CL spectra of pure Fe oxides and oxyhydroxides measured in this study are available as reference spectra (Online Materials). The three examined Fe oxides and oxyhydroxide minerals display distinct CL spectra (Figs. 1–3; true-color representations in Online Materials Figs. A6–A8), both in the wavelength of maximal emission (magnetite < goethite < hematite) and in the overall structure (Fig. 2). Synthetic and natural samples of each of the individual minerals studied display broadly similar spectra (Fig. 3). All spectra were deconvolved into three Gaussian bands. The center, full-width at half of the maximum intensity, and the maximum intensity of band i are denoted λi, FWHMi, and Ii, respectively.

A total of 1750 goethite spectra were acquired from the synthetic and natural samples (1 spectrum each from SynG1, SynG2, SynG3, NatG1, NatG2, NatG3, and 1744 spectra from...
The CL spectra of Mn-substituted goethite and hematite show a clear change as the Mn mol% increases (Fig. 4). For goethite, the spectrum widens with increasing Mn substitution, mostly through an increase in the full-width at half maximum (FWHM) of the emission band at ~600 nm (Online Materials Fig. A11). This widening is accompanied by minor changes in the wavelength of peak emission, which remains near 600 nm. Together with the overall symmetric widening of the spectrum with increasing Mn content, this results in very subtle blue-shifting of goethite’s yellow emission (Fig. 4b). For hematite, as Mn increasingly substitutes for Fe, the spectrum widens asymmetrically. A shoulder in the left limb of the CL spectrum grows, driven by an increase in the intensity of the band centered at ~480 nm (Online Materials Fig. A11). In addition, the wavelength of peak emission decreases by ~30 nm, and together with the greater contribution of the low-wavelength (i.e., bluer) shoulder to the total emission, this spectral shift manifests as an observable change in hematite’s color of emission (Fig. 4c).

**Case studies of natural samples**

To test the method developed here, we analyzed Fe oxides and oxyhydroxides within a whole-rock matrix. The Agbaja ooidal ironstone (App01) contains goethite ooids embedded in a goethite matrix, with little to no high-luminescence minerals. The absence of “luminescence pollution” allowed the application of SEM-CL mapping to relate variations in the goethite properties to the petrography of the samples (Fig. 5).
We analyzed 1938 points in mapping mode, 1744 of which yielded workable spectra (i.e., high enough intensity and signal-to-noise ratio). Most of the pixels that did not yield workable spectra are located at the domain edges, where concavity in the detector results in low sensitivity. The true-color SEM-CL map shows minor differences between the ooids and matrix, and more notable differences within the ooids themselves (Fig. 5). These color differences are observable even though XRD analyses of ooid and matrix material that were micro-drilled under a microscope showed no mineral other than goethite (Online Materials Figs. A12 and A13). We suspected, following the SEM-CL imaging and SEM-EDS elemental mapping, that the color and spectral differences within the ooids reflect the presence of thin layers of hematite, which could not be detected by bulk XRD (Online Materials Figs. A13–A15). Indeed, the existence of thin layers of hematite within the predominantly goethite ooids were confirmed by micro-Raman spectroscopy (Online Materials Fig. A16). Maps of the spectral fit parameter values accentuate the differences between the varieties of goethite present in the sample, as well as between the goethite and hematite (Fig. 5).

The banded iron formation from the Rapitan Group (App02) contains, in addition to hematite, an abundance of high-luminescence minerals, mostly quartz, but also small amounts of calcium phosphate and calcium-magnesium carbonate minerals (Online Materials Figs. A12, A17, and A18). Due to the masking of the Fe oxide CL emission by the presence of these much more luminescent minerals, SEM-CL mapping is not possible in this case. Instead, we performed multiple SEM-CL point-analyses to identify variations in the spectra of hematite. We analyzed a total of 218 points, which were selected on the basis of SEM-EDS (high Fe, low Si) and SEM-CL mapping (low luminescence, indicating Fe-bearing minerals). Of these points, 163 yielded workable spectra, and hematite was the only Fe oxide or oxyhydroxide identified in these spectra. Hematite emission color variations were small, but variations in some of the spectral fit parameters were notable (Fig. 6).

**Discussion**

Investigation of the relative timing and conditions of growth and recrystallization of Fe oxides and oxyhydroxides (and other opaque minerals) in geologic samples is complicated by the limitations of existing petrographic techniques. The SEM-CL methods developed and presented here may allow such investigations. Furthermore, these techniques may allow the application of CL to other minerals that have not been amenable to study by traditional OM-CL. Coupled with SEM-EDS, SEM-CL is a non-destructive tool for in situ mineralogical identification, chemical characterization and petrographic study of such minerals. As such, SEM-EDS-CL has advantages over methods that require the samples to be powdered (e.g., powder XRD), leading to loss of spatial context, as well as advantages over methods that provide only mineralogical information (e.g., micro-Raman) or only chemical information (e.g., XRF).

Two scales of difference are evident among the SEM-CL spectra obtained (Figs. 1–4; Online Materials A6–A8). The first is the prominent difference between the spectra of hematite, goethite, and magnetite, which may be used for mineral identification purposes. The second involves more subtle differences among spectra of the same mineral, which are caused by the multiple controls on the mineral SEM-CL spectrum. Such spectral differences may be used to study episodes of mineral formation or recrystallization or to extract information about variations in the controls on the SEM-CL spectrum. In this context, we explore Mn substitution and the mineral synthesis method (an imperfect proxy for the formation mechanism) as such controls. Among other factors, solution composition and pH, formation temperature, formation mechanism, and precipitation rate all have the capacity, in theory, to affect the extrinsic or intrinsic luminescence centers of a mineral.

We emphasize that the spectral deconvolution algorithm yields best-fit positions, widths and intensities of Gaussian bands, but that the physical meaning of these bands is unclear. We discuss possible explanations for the Gaussian components of the spectrum and show the utility of the spectral deconvolu-

![Figure 4. SEM-CL spectra of Mn-substituted goethite and hematite. (a) Comparison of Mn-free goethite and hematite spectra. (b) Goethite spectrum with increasing Mn substitution for Fe. (c) Hematite spectrum with increasing Mn substitution for Fe. The color under individual spectra represents their true color of emission.](image-url)
The SEM-CL spectra of goethite, hematite, and magnetite

Goethite, hematite, and magnetite display distinct SEM-CL emission spectra (Figs. 1–3). Differences between mineral CL emission spectra are typically attributed to the crystal properties and structure (Boggs and Krinsley 2006). Although SEM-CL emission by Fe oxides and oxyhydroxides has never been studied systematically, inferences may be made from photoluminescence (PL) and visible and near-infrared (Vis-NIR) studies. Goethite, hematite, and magnetite are characterized by band gaps of 2.1, 2.2, and 2.5 eV, respectively (Cornell and Schwertmann 2003; Taufiq et al. 2018). These band gaps correspond to a wavelength of 590, 560, and 495 nm, respectively, imposing lower limits on the wavelength of emission (upper limits on the energy) from these minerals. Capture in luminescence centers of the electrons decaying from an excited state to the ground state increases the emission wavelength, typically by no more than a few tens of nanometers. For example, the PL emission spectrum of hematite nanoparticles includes a major band at 575 nm (compared to the band gap at 560 nm), which has been attributed to band edge emission (Rufus et al. 2019). Similarly, the strongest SEM-CL emission bands that we observed in hematite (λ2 of ~615 nm, band gap at 560 nm), goethite (λ2 of ~600 nm, band gap at 590 nm), and magnetite (λ2 of ~515 nm, band gap at 495 nm) may be explained by band edge emission. The shift in the band position is to longer wavelengths, as expected. However, other
than for hematite, the apparent shift is smaller than the 20 nm spectral resolution of our CL spectra and is therefore uncertain.

In studies of hematite PL, the emission band at 400–500 nm has been attributed to the crystal field splitting of an FeO$_6$ octahedron (Pal et al. 2014). Informed by this work, we suggest that the low-wavelength SEM-CL emission bands observed here (hematite, goethite, and magnetite λ$_1$ of ~477, ~475, and 456 nm, respectively) are similar phenomena. According to crystal field theory, the interaction between a transition metal and ligands arises from the attraction between the positively charged metal cation and the negative charge on the non-bonding electrons of the ligand. A change in the relative proportions of different cations in octahedral sites, as in our Mn-substituted hematite and goethite, is expected to manifest as a change in the parameters of the emission band at ~450–480 nm. Indeed, in addition to changes in the other spectral components (discussed in elsewhere in this paper), substitution of Mn for Fe in the FeO$_6$ octahedron invoked a shift of ~30 nm in the peak center and an approximate doubling in the intensity of the 450–480 nm emission band. Our third Gaussian fit component, with an emission peak at 680–720 nm, is similar to a PL emission band at the 688 nm, which has been attributed to shifts in the band edge emission in nanoscale hematite particles, or to surface defects (Rufus et al. 2016).

Interestingly, Vis-NIR reflectance spectra also share similarities with SEM-CL spectra, possibly since electronic transitions contribute to both (exclusively to SEM-CL spectra and together with vibrational transitions to Vis-NIR spectra). Given the lower energy of excitation (i.e., visible light), electronic transitions are less dominant in Vis-NIR reflectance spectra than in their SEM-CL counterparts. Peak emission in the SEM-CL spectra (~600–650 nm for goethite, hematite, and magnetite) appears as a shoulder in these minerals’ Vis-NIR reflectance spectra, which peak at longer wavelengths (~750–800 nm; Online Materials 1 Fig. A19). Further studies are needed to systematically explore similarities and differences between the SEM-CL and Vis-NIR reflectance spectra.

The above attribution of spectral components to different phenomena is supported by differences in the SEM-CL spectra of natural and synthetic samples. The natural samples generally display a more intense 400–500 nm emission band (e.g., Fig. 3) relative to the synthetic samples. If this band is due to crystal field splitting of an octahedrally coordinated metal (Fe or substituting cation), as suggested in previous work (Pal et al. 2014), the enhanced emission may be explained by metal substitution for Fe in the mineral lattice. Such substitution is common in natural environments (e.g., Trolard et al. 1995), and is expected to be minor in our synthetic samples. Cation substitution for Fe in natural samples is also consistent with the broader emission spectra of these samples relative to synthetic samples.

It should be noted that previous research on other minerals suggests that CL spectral differences are also expected to arise from other factors, such as the mineral’s formation pH, grain size, crystal-growth parameters, and parent-solution chemistry (e.g., Ramseyer and Mullis 1990), and that both intrinsic and extrinsic defects can affect the SEM-CL characteristics of a mineral (Rusk 2012; Frelinger et al. 2015). To fully comprehend the controls on the SEM-CL spectra of Fe (oxyhydr)oxides, systematic experimental work and analyses of natural samples are required.

Differences among SEM-CL spectra of the same mineral

Differences among the SEM-CL spectra of samples of the same mineral are smaller than differences between the different Fe oxides and oxyhydroxides. Nevertheless, given the need for a tool to distinguish between oxides formed in different mineralization conditions or episodes, these small differences may be harnessed for several geochemical or petrographic applications. With the exception of NatM1, which will be discussed below, the studied minerals exhibit only minor variations in the visible emission color (Online Materials 1 Figs. A6–A8). Therefore, it is likely that these Fe oxides and oxyhydroxides under traditional OM-CL apparatus, would yield little insight into the (re)mineralization history of the studied samples. We propose that such

Figure 6. SEM-CL color and spectral parameters of point analyses of hematite in the Rapitan iron formation (App02).
insight may be gained by the ability to quantitatively analyze the full spectra of the Fe oxides and oxyhydroxides, acquired by SEM-CL on small spatial scales (nm to μm).

The spectrum of SynG1 is shifted to higher wavelength (maximal emission at ~617 nm, relative to the spectra of SynG2 and SynG3 (maximal emission at ~604 and ~595 nm, respectively). In addition, SynG1 displays a less-pronounced shoulder around 450 nm than SynG2 and SynG3 (Fig. 3). Differences in formation temperature between SynG1 (60 °C) and SynG2 (70 °C) are minor, as are differences in their chemical composition (Online Materials1 Table A3), suggesting that these factors did not cause the spectral differences. Furthermore, SynG2 was precipitated from a ferric-nitrate solution, whereas SynG1 and SynG3 were precipitated from a ferric-chloride solution, suggesting that the major element composition of the solutions did not cause the spectral differences. The synthesis of SynG1 did differ from those of SynG2 and SynG3 in its pH (~7 as opposed to ~13.6 and ~11, respectively). Irrespective of the exact mechanism by which the synthesis pH affected the spectrum, the existence of the spectral differences suggest sensitivity to this parameter.

The samples NatG1 and NatG3 both come from ooids that formed in a shallow marine setting characterized by venting of hydrothermal fluid (Online Materials1 Table A1). The measured temperature of the sediments at the NatG1 formation site is 42 °C (Heikoop et al. 1996). The formation temperature of NatG3 was not directly measured. However, the temperature of the vented fluids at the collection site range from 40 to 140 °C (Di Bella et al. 2019). Despite a distance of ~12,000 km between the formation sites of these ooids, NatG1 and NatG3 exhibit similar SEM-CL spectra (Figs. 3; Online Materials1 Fig. A6). The spectral similarity between these goethite samples may be related to the similar chemical composition (seawater) and temperature of the solutions from which they formed. In such similar formation environments, the amount and type of elemental substitutions in the goethite and the compounds sorbed to its surface are expected to be similar. Indeed, the two samples’ SEM-EDS maps (Online Materials1 Figs. A20 and A21) show similar elemental compositions (notably, Fe, Mg, Cl, S, K, P). The presence of poorly crystalline Fe hydroxides (ferrihydrite) in both samples, together with their similar chemistry and depositional environment, suggests that both were formed by transformation of ferrihydrite into goethite at or near the sediment surface.

The spectrum of NatG2 differs subtly from those of the hydrothermally influenced goethite samples (NatG1 and NatG3). Specifically, the spectrum of NatG2 displays a maximum at ~613 nm, compared with ~591 nm in NatG1 and NatG3, and a less-pronounced shoulder around 450 nm (Figs. 3; Online Materials1 Fig. A6). The less pronounced shoulder may be related to a lesser degree of elemental substitution in NatG2, which is an oxidation product of pyrite (FeS2) rather than a precipitate from a seawater-like solution. The higher wavelength of emission may be related to the existence of slightly different luminescence centers, which decrease the emission peak energy from the band gap by different amounts. We note that the overall differences of the spectrum of NatG2 from those of NatG1 and NatG3 are qualitatively similar to the proposed pH-related differences between the spectrum of SynG1 and those of SynG2 and SynG3 (Online Materials1 Fig. A3). As pyrite oxidation often results in acidification of the solutions involved, it is possible that the spectrum of NatG2 reflects formation at a lower pH than NatG1 and NatG3, which formed in seawater-like solutions. Irrespective of the exact reasons for the spectral differences between NatG2 and the other natural goethite samples, the existence of these differences suggests that different formation pathways and environments may result in slightly different spectra of the same mineral.

The SEM-CL spectrum of SynH3 is broadly similar to those of SynH1 and SynH2 (Fig. 3b), as expected given their similar mineralogy, and despite the formation of SynH3 by thermal dehydration of goethite (at 500 °C) rather than aging of ferrihydrite (as in the case of SynH1 and SynH2). Specifically, all three synthetic hematite samples display very similar spectra between ~400 and ~600 nm. At the higher wavelengths, the decrease in emission intensity in SynH1 and SynH2 is concave upwards, whereas in SynH3 it is sub-linear, suggesting a lesser importance of the band at ~700–750 nm. Given suggestions that this spectral feature may be related to surface defects (Rufus et al. 2016), it is possible that the heating to 500 °C resulted in annealing of defects and a weakening of the associated band edge emission.

The natural hematite spectra are very similar at wavelengths >~600 nm but differ at lower wavelengths (Fig. 3b). Specifically, there is high variability in the intensity of the emission shoulder at ~450 nm. The physical environment of formation in unlikely to be the cause of these spectral differences, given that NatH1 and NatH2, both of which come from ooidal ironstones of broadly similar age (i.e., formed from ancient seawater with a similar chemical composition), display maximally different spectra (Fig. 3b). As the band at ~450 nm is suggested to relate to metal substitution for Fe in the octahedral site, it is possible that the observed spectral differences arise from differences in trace metal concentrations in these samples (Online Materials1 Figs. A22–A24).

The spectra of the synthetic magnetite samples, SynM1 and SynM2 differ substantially in the wavelength of maximal emission (~536 and ~599 nm, respectively), and these differences may be related to different synthesis conditions (Fig. 3c). SynM1 formed by precipitation from synthetic seawater, whereas SynM2 is a commercial reagent with a synthesis method unknown to us, but which is unlikely to be precipitation in seawater-like solutions. The spectral differences are substantial enough to manifest as an observable emission color difference (Online Materials1 Fig. A8).

The spectrum of NatM1 differs from all other spectra in this study, in that it displays two major peaks, the larger of which occurs at ~725 nm (Figs. 3c; Online Materials1 Fig. A8). In the absence of this peak, the spectrum of NatM1 would display relatively intense emission at ~450 nm, and this may be related to its high-Ti content, although more systematic investigations are required to verify this suggestion. (Online Materials1 Fig. A25). However, the surface of NatM1 also displays clear etch pits (Fig. 7), and this may be the cause of the intense emission at ~725 nm (Rufus et al. 2016), which dominates the spectrum.

Mn-substituted goethite and hematite

To explore the effects of metal substitution for Fe on the SEM-CL spectrum, we synthesized and analyzed Mn-substituted
goethite and hematite. We studied the Mn-substituted goethite and hematite by FTIR and XRD to constrain structural changes upon Mn-substitution. Previous studies have shown that Mn is incorporated into the goethite structure in trivalent form (Mn$^{3+}$), even if the Mn is present in solution in divalent form (Mn$^{2+}$; Sileo et al. 2001), suggesting oxidation on the mineral surface. The crystal radius of octahedrally coordinated Mn$^{3+}$ is larger than that of Fe$^{3+}$ (0.72 and 0.69 Å, respectively; Shannon 1976), and substitution of Mn$^{3+}$ for Fe$^{3+}$ is expected to result in larger octahedra in both goethite and hematite. The XRD results show clear changes in the unit-cell dimensions with increasing Mn concentrations (Table 1; Online Materials Fig. A3), which are plausibly explained by Mn substitution for Fe in the octahedral sites of goethite and hematite. In goethite, the observed shortening of the “a” and “c” axes and lengthening of the “b” axis with increasing Mn concentrations (Table 1; Online Materials Fig. A3), are consistent with a gradual change in the structure from goethite to the Mn-oxide groutite (α-FeOOH to α-MnOOH; Stiers and Schwertmann 1985; Ebinger and Schulze 1989). Lengthening does not occur along all crystal axes, as octahedra in goethite share vertices or edges, and some of the effects of larger octahedra are accommodated by changes in the angles between the octahedra. In hematite, we observe a lengthening of both the “a” and “c” axes with increasing Mn concentration (Table 2; Online Materials Fig. A3). Such a lengthening is expected given that octahedra share faces in hematite, limiting the ability of the crystal structure to accommodate larger octahedra, other than by an increase in cell size.

The above changes in the goethite and hematite lattice with increasing Mn substitution for Fe translate into changes in the SEM-CL spectra (Fig. 4). In goethite, a slight increase in the relative intensity of the band at ~450 nm is observed, but this does not result in a major change in the spectrum shape. Instead, the goethite spectrum appears to widen in an approximately symmetric manner (Fig. 4b). This broadening is observed mostly in bands 2 and 3 (Online Materials Fig. A11), which are suggested to be related to intrinsic luminescence centers (e.g., Pal et al. 2014). As such, the broadening may be the outcome of an increase in the diversity of luminescence centers, related to the accommodation of the larger MnO$_6$ octahedra by changes in the angles between the octahedra. In hematite, the increase in the intensity of the band at ~450 nm is more pronounced (Online Materials Fig. A11D), and in addition to broadening, the spectrum gains intensity at low wavelengths relative to the Mn-free hematite. This results in a noticeable change in the color of emission, from orange in the Mn-free hematite to light yellow in the hematite with 28.5 mol% Mn (Fig. 4c). Broadening in the FTIR spectra of both goethite and hematite is observed (Online Materials Fig. A5), likely associated with an increase in the diversity of O-H and Fe-O bonding environments, and consistent with our explanation for the peak broadening observed in the SEM-CL spectra.

Our results raise the possibility of using SEM-CL not only for the identification of Fe oxides and oxyhydroxides, but also to detect varieties of Fe (oxyhydr)oxides within the same rock or soil sample that formed in solutions with a different chemical composition. For example, Mn concentrations in groundwater solutions may differ from those in seawater-derived porewater, and hematite formed from these two different solutions is, therefore, expected to differ in its SEM-CL spectrum (Fig. 4), allowing distinction between early diagenetic and late-stage hematite. Similarly, previous work on natural goethite samples (Fitzpatrick and Schwertmann 1982) showed that Al substitution for Fe in goethite can serve as an indicator of the mineral formation setting. Low-Al mol% in goethite is common in hydromorphic (characterized by excess water) soils and carbonate-rich environments (including marine settings), whereas high-Al mol% is typical in non-hydromorphic, highly weathered environments. If Al substitution for Fe in the goethite lattice affects the emission spectrum of goethite, SEM-CL could be used as an in situ, non-destructive method to identify goethite and distinguish a probable marine origin from a terrestrial weathering origin. The development of SEM-CL as a means of detecting polygenicity and provenance of Fe (oxyhydro)oxides through the effect of impurities on the luminescence spectrum requires further experimental and theoretical work.

**Natural case studies**

The Agbaja ooidal ironstone (App01). In the sample from the Agbaja ooidal ironstone (App01), both the yellow matrix and the lustrous black ooids are made of goethite (Online Ma-

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**Figure 7.** SEM images of natural magnetite 1 (NatM1), showing a high density of etch pits, which may be the source of the intense emission band at ~725 nm.

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The SEM-EDS maps show that Fe is present throughout the sample, Si is present almost exclusively in the matrix, and the Al concentration in the matrix is higher overall than in the ooids, especially near the ooid rims. In addition, the maps show alternating Al- and P-rich and Al- and P-poor layers within the ooids.

The SEM-CL map of an ooid and its neighboring matrix in App01 shows color variations that are unexpected given the monomineralic nature of these samples, as constrained by XRD (Online Materials Fig. A13). Surprisingly, the greatest differences in SEM-CL color are not observed between the ooid and the matrix but within the ooid itself. The orange banding, which appears to be associated with more porous Al- and P-poor bands in the ooid (Fig. 5), is reminiscent of the emission color of hematite (Figs. 1 and 4; Online Materials Fig. A7), though no hematite was detected by XRD. Indeed, micro-Raman analysis of selected points in the ooid and matrix (marked as 1–3 in Fig. 5) verifies the existence of hematite, as suggested by the SEM-CL map. This demonstrates the utility of SEM-CL in in situ distinction between minerals with an abundance too low to be detected by XRD and in close spatial proximity.

In combination with SEM-EDS, the mineral identification capabilities of SEM-CL allow distinction between two different phases of goethite formation—under relatively Al-poor conditions during the formation of the ooids and more Al-rich conditions during the formation of goethite in the matrix. The combined chemical-mineralogical information shows that the Al is present in association with the goethite itself rather than in silicates. The low concentrations of Al and P in the micrometers-thick hematite layers suggest that the transformation of goethite to hematite occurred in the presence of fluids that transported the Al and P away. If this were not the case, the concentrations of these elements in the hematite and goethite would be similar, or there would be an enrichment of these elements in the interface between the goethite and hematite. The Al enrichment observed in the matrix goethite in immediate contact with the ooid rims may be related to the Al excluded from the hematite layers in the ooid.

In addition to the emission color differences that seem to be related to different mineralogy (goethite vs. hematite), subtle true color variations are observed within the goethite itself (Fig. 5). These differences are more pronounced between the ooid and matrix, and they may be related to the different concentrations of Al, Si, and Ti in these components of the rock, as revealed by the SEM-EDS map (Online Materials Figs. A14 and A15). As discussed above, the presence of impurities is expected to affect the amount and nature of extrinsic luminescence centers. The emission color variations within the goethite in the ooids are almost imperceptible to the human eye, giving the impression that the goethite in the ooids is homogeneous. However, the spectral deconvolution reveals easily observed patterns in some of the spectral parameters, which allow even further classification of the goethite (white rectangle in Fig. 5). Hence, emission color and spectral parameter maps obtained by SEM-CL may provide spatial information about episodes of mineralization and inhomogeneity within single minerals.

The Rapitan iron formation (App02). Due to the presence of high-luminescence minerals in close association with Fe oxides and oxyhydroxides, it is not always possible to apply SEM-CL in mapping mode, as we did in App01. To develop an application of SEM-CL for such samples, we studied the Rapitan iron formation (App02), which is composed mostly of hematite and jasper [quartz with an Fe(III) impurity], with minor amounts of calcium phosphate and calcium-magnesium carbonate minerals (Online Materials Figs. A17 and A18). The proximity of quartz and calcium phosphate to the hematite in the Rapitan iron formation samples does not allow application of SEM-CL in mapping mode. Instead, we performed 218 spot analyses, which yielded 163 workable spectra (i.e., with a high enough signal-to-noise ratio).

The range of variation in the emission color and spectral parameters within the Rapitan samples (Fig. 6) is comparable to the variation observed in the hematitic parts of the Agbaja ooids (Fig. 5). It is difficult to confidently explain this variation (e.g., variable degrees of substitution of metals for Fe in the hematite), and we note that previous work, based on petrographic relationships, has suggested that the Rapitan iron formation is composed of no fewer than five types of hematite (Klein and Beukes 1993). Though petrographic relationships observed in our samples indicate a single, early origin for the hematite, it is possible that multiple episodes of mineralization are documented by the SEM-CL spectral variation we observe. Regrettably, we did not obtain information about the location of the point analyses. We suggest that coupled spectral-spatial data (point SEM-CL spectra + x-y coordinates) would allow the display of emission color or spectral parameters overlaid on an image of the sample (e.g., as colored markers) and that this mode of display could reveal spatial patterns in the hematite SEM-CL spectra.

**Implications**

The means for a non-destructive, coupled, in situ characterization of Fe (oxyhydrous)oxide chemical composition, mineralogy, and crystallinity, as well as the degree of preservation and alteration history of these minerals in natural samples has been lacking. We bridged this gap by developing methods for analyzing Fe (oxyhydrous)oxides by SEM-CL. We showed that hematite, goethite, and magnetite have unique SEM-CL spectra, allowing identification of these minerals and possibly others. Furthermore, we found that the mineral-specific spectrum varies slightly, but meaningfully, from sample to sample, plausibly due to differences in the conditions of mineral formation. For example, the spectra of both goethite and hematite change with increasing substitution of Mn for Fe, and it is likely that substitution of other cations or anions in the (oxyhydr)oxide structure would similarly affect the SEM-CL spectrum. Thus, the chemical composition of the fluids from which the Fe (oxyhydr)oxides formed is expected to affect their SEM-CL spectra. Spectral differences are also expected in response to formation pH and grain size, among other possible parameters of the mineral’s formation environment. Systematic experimental work and analyses of natural samples are required to fully understand the controls on the SEM-CL spectra of Fe (oxyhydr)oxides, but even without complete knowledge of these controls, our findings suggest the potential of SEM-CL for identification of episodes or generations of mineralization in natural samples. Indeed, application of the newly developed methods to two natural samples revealed mineralogical transformations that...
were undetected by other techniques, as well as spectral differences within Fe (oxy)hydroxides, perhaps suggesting multiple episodes of mineral formation or alteration that were otherwise difficult to distinguish. Applications of Fe-oxide SEM-CL in robotic exploration of planetary surfaces may be more difficult due to the interference of high-luminescence minerals and the necessity of performing the analysis under vacuum. We suggest that the application of SEM-CL to geologic samples, this approach may be used for non-destructive, in situ study of the chemistry, mineralogy and crystallinity of a wide range of natural and manufactured Fe oxides and oxyhydroxides. Applications that come to mind include detection of impurities, defects or variations in crystallinity in superconductor materials. Lastly, our results suggest the potential of SEM-CL techniques for the study of other low-luminescence, Fe-bearing minerals, such as Fe sulfides (e.g., pyrite, pyrrhotite) and Fe silicates (e.g., glauconite, berthierine).

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Endnote:

1Deposit item AM-23-88843, Online Materials. Deposit items are free to all readers and found on the MSA website, via the specific issue’s Table of Contents (go to http://www.minsocam.org/MSA/AmMin/TOC/2023/Aug2023_data/ Aug2023_data.html).