1 2	A Multivariate Statistical Approach for Mineral Geographic Provenance Determination using
3	Laser-Induced Breakdown Spectroscopy and Electron Microprobe Chemical Data: A Case Study
4	of Copper-bearing Tourmalines
5	
6 7	
8	Barbara L. Dutrow ¹ , Nancy J. McMillan ² , Darrell J. Henry ¹
9	
10	
11 12	
13	1 Department of Geology & Geophysics Louisiana State University Baton Rouge LA 70803
14	USA; Dutrow@lsu.edu, glhenr@lsu.edu
15	, <u> </u>
16	2 Department of Geological Sciences, New Mexico State University, Las Cruses, NM 88003
17	nmcmilla@nmsu.edu
18	
19 20	
20 21	

22	ABSTRACT
23 24	The geographic provenance of minerals provides key insights into a range of geologic
25	problems including the source of gem materials. The tourmaline supergroup is unparalleled in its
26	ability to record and preserve extensive chemical signatures of its formational environment. To
27	evaluate the likelihood that tourmalines of similar compositions from separate geographic
28	localities could be differentiated, a multivariate statistical approach has been utilized on two
29	complementary datasets. These chemical analytical datasets of copper-bearing "paraíba"
30	tourmaline include a qualitative Laser Induced Breakdown Spectroscopy (LIBS) data set and a
31	quantitative electron microprobe (EMP) data set.
32 33	Fifty-four samples of copper-bearing tourmalines from known source locations from
34	Brazil (São José de Batalha of Paraíba state and the neighboring Rio Grande do Norte state),
35	from Mozambique, and from Nigeria, were analyzed using LIBS with a subset of these samples
36	analyzed by EMP. Datasets obtained by each method were evaluated with multivariate statistics
37	(PCA, PLSR). Although the sample set is limited, sequential PLSR modeling of the spectra
38	clearly distinguished the four localities with high success: > 95% for LIBS and > 87% for EMP.
39	The statistical analyses of the two techniques, LIBS and EMP, suggest that each technique
40	emphasizes different elements for discrimination when considered in context of the available
41	data. The elements Cu, Mn, Fe, Mg, Ti, Zn, K, H, Co, and V were significant in LIBS
42	chemometric models. Statistically significant elements in EMP models were Mn, Cu, Al, Ca, K,
43	and F. Each technique results in a robust determination for geographic provenance of
44	tourmalines with comparible compositions. The significant distinguishing chemical elements
45	reflect geochemical distinctions in each host environment that are imparted on the tourmaline.

46	Multivariate statistics applied to LIBS and EMP data provide an effective tool for provenance
47	discrimination of paraíba tourmalines, distinguishing Brazilian-sourced samples from African-
48	sourced materials. These data provide new methods for separating the geographic origin of
49	minerals with very similar composition such as demonstrated here for copper-bearing
50	tourmalines.
51	Keywords: tourmaline, provenance, Paraiba, LIBS, EMP
52 53	INTRODUCTION
54 55	The determination of the geographic origin (provenance) of minerals separated from their
56	original host rock can provide significant insights to various geological processes. Provenance
57	studies can relate to a geographic origin or locality, which may be associated with a spatially
58	restricted geologic unit or to a host rock environment. For example, provenance elucidates
59	shifting patterns of modern and ancient sedimentation (e.g., Morton et al. 2005), provides key
60	information on paleogeographic/tectonic reconstructions (e.g., von Eynatten and Gaupp 1999),
61	establishes a basis for identification of valuable minerals mined in conflict zones (e.g., Hark et
62	al. 2012; McManus et al. 2020) or the likely sources of some gemstones (e.g., Palke et al. 2018)
63	and refines exploration strategies key to identifying sources of needed critical materials (e.g.,
64	Lohmeier et al. 2021). Additionally, geographic origin of gem materials is a complex and
65	important problem in the world economy as companies and organizations strive to maintain and
66	certify a supply chain free of conflict minerals. In other cases, substantial price differences of
67	gemstones result from their different geographic origins. Commonly, mineral chemistry is
68	utilized to provide provenance information. This chemical distinction is challenging when

Revision 1.0

differences among possible source areas are subtle or exhibit considerable overlap in chemicalparameters or when age criteria alone are insufficient.

71 Many minerals retain chemical signatures of their formational environment, but no mineral 72 embeds the range of chemical fingerprints better than the minerals of the tourmaline supergroup. 73 Even during a complex, multistage geologic history that can include crystallization, weathering, 74 reburial, metamorphosis, regrowth and deformation, tourmaline retains textural and chemical 75 signatures of its earlier evolutionary history (e.g., Henry and Guidotti 1985; Henry and Dutrow 76 1996; van Hinsberg et al. 2011a,b). Tourmaline's utility as a petrogenetic indicator stems, in 77 part, from its (1) complex crystal chemistry, providing structural and chemical flexibility to 78 incorporate a wide range of chemical constituents of multiple valence states and sizes, to imprint 79 a signature of its chemical environment of formation, (2) stability over an extensive range 80 pressures (P) and temperatures (T) encompassing nearly all crustal and upper-mantle conditions, 81 (3) ability to form in widely varying rock and fluid compositions, and (4) minimal volume 82 diffusion such that its imprinted chemical signature remains intact (see summaries by Henry and 83 Dutrow 1996; Dutrow and Henry 2011; van Hinsberg et al. 2011b).

The rich chemical signatures, coupled with its mechanical and chemical stability, make tourmaline a unique target for establishing *new* methodologies for provenance studies. In some instances, chemical distinctions among sources are subtle, yet critical to define. An excellent test case, and one of economic interest, is the sourcing of copper-bearing tourmalines. Determining their geographic origin, or provenance, is challenging and has important financial implications. Copper-bearing elbaitic or liddicoatitic tourmaline is widely prized as a gemstone due to

- 90 its vivid, saturated, 'neon' blue hues that are caused by the incorporation of Cu^{2+} as a
- 91 chromophore (Fig. 1; e.g., Rossman et al. 1991). Originally discovered in the 1980s in Brazil

Revision 1.0

92	near the São José da Batalha Mine in the state of Paraíba (Koivula and Kammerling 1989) and
93	later in the 1990s in the nearby state of Rio Grande do Norte (e.g., Fritsch et al. 1990; Shigley et
94	al. 2001), these exquisite Cu-bearing specimens became known as Paraíba tourmalines (Fig. 1).
95	Subsequently, other localities hosting similarly colored Cu-bearing tourmalines were found as
96	elbaitic tourmaline in Nigeria in 2001 (Smith et al. 2001) and Mozambique in 2004 (Wentzell
97	2004; Abduriyim and Kitawaki 2005; Laurs et al. 2008; Katsurada and Sun 2017). The African
98	tourmalines were found originally in secondary alluvial deposits. Chemically, all of these
99	tourmalines are classified as elbaite or fluor-elbaite species, with a general formula of Na
100	(Li _{1.5} Al _{1.5}) Al ₆ (Si ₆ O ₁₈) (BO ₃) ₃ (OH) ₃ (OH) or F replacing one OH (for species nomenclature see
101	Henry et al. 2011; Henry and Dutrow 2018) and Cu ²⁺ substituting into the octahedral site that
102	typically accommodates Li-Al. In 2017, Cu-bearing fluor-liddicoatites – Ca (Li ₂ Al) Al ₆ (Si ₆ O ₁₈)
103	$(BO_3)_3$ (OH) ₃ (F) - were discovered and were attributed to a locality in Mozambique (Katsurada
104	and Sun 2017). The varietal name, "paraíba" tourmaline, is used to refer to any of the saturated
105	blue, green, and violet tourmalines containing $Cu^{2+} \pm Mn^{2+}$ as chromophores (LMHC 2012).
106	Paraíba tourmaline sources for gemstones are difficult, if not impossible, to distinguish based on
107	color alone. Yet, the Brazilian material from the original mine area can command prices that are
108	5-10 times higher than those of their African counterparts of comparable quality and size.
109	Consequently, provenance is an essential component of the tourmaline's value as a gemstone.
110	Major-element tourmaline "environmental" diagrams such as the Al-Fe-Mg ternary
111	(Henry and Guidotti 1985) are not effective for determination of paraíba tourmaline sources
112	because most have elbaitic composition except for the liddicoatitic tourmalines which are easily
113	distinguished based on their elevated Ca contents. Consequently, this necessitates the use of
114	other criteria such as minor and trace elements to potentially fingerprint the likely source of

Revision 1.0

115	paraíba tourmalines. For gemmy paraíba tourmaline, most attempts at provenance evaluations
116	rely on quantities of a limited number of trace and minor element constituents (e.g., Cu, Zn, Ga,
117	Sr, Sn, Pb), obtained via LA-ICP-MS, or isotopes, obtained via Secondary Ion Mass
118	Spectrometry (Ludwig et al. 2011), that are plotted in simple binary or ternary diagrams or in a
119	serial combination of these diagrams as a means to deconvolute the overlapping chemical
120	signatures distinctive of a source (e.g., Abduriyim et al. 2006; Peretti et al. 2009; Palke et al.
121	2018; Okrusch et al. 2016; see review by Katsurada et al. 2019). Although these types of
122	provenance diagrams have met with varying degrees of success, they do not holistically consider
123	the entire range of paraíba tourmaline chemistry available for provenance evaluation.
124	This contribution explores use of a multivariate statistical approach for enhanced
125	provenance determination that considers a wider spectrum of chemical information available
126	from two distinctively different, but complementary, newly acquired chemical analytical datasets
127	of paraíba tourmaline: Laser Induced Breakdown Spectroscopy (LIBS) spectra and electron
128	microprobe (EMP) chemical analyses. The purpose of this study is to determine if multivariate
129	statistics can reveal whether one or both datasets can be more effective or, at least
130	complementary, provenance indicators for minerals with very similar compositions.
131 132	METHODS
133 134	The LIBS analytical sample set consists of 54 copper-bearing tourmalines with known
135	provenance from four distinct localities (Fig. 2). Samples were obtained from highly reputable
136	gem dealers specializing in paraíba tourmaline (See Appendix 1 for sample information).
137	Representing Brazil are 24 grains from two localities: São José de Batalha, Paraíba state (SJdB;
138	the original Paraíba locality; 6 grains, 5.93 carats (cts), color-zoned blue, purple) and Rio Grande

139	do Norte state (RGdN; 15 grains, color-zoned blue, purple; Fig. 2a,b). In addition, three samples
140	displaying neon-blue colors are identified as from "Brazil" but with unknown specific localities,
141	two samples are in matrix and one is a single crystal. Mozambique (Moz) is represented by 24
142	tourmaline grains with a spectrum of colors including pink, blue, purple and green (total weight
143	of 51.73 cts; see Fig. 2c). Nigeria (Nig) is represented by 11 grains (totaling 28.28 cts; Fig. 2d).
144	Nigerian grains are largely green to blue green. Most rough crystals measured less than 1 cm in
145	size and were without the matrix material.
146	LIBS analyses
147	LIBS is a relatively recent analytical technique that is finding utility in the geosciences
148	(e.g., see reviews by Fabre 2020; Harmon and Senesi 2021). The information-rich spectra
149	contain signatures of all elements in concentrations above detection limits (e.g., Cremers and
150	Radziemski 2013), molecular emissions, select isotopic ratios (e.g., Smith et al. 2002; Doucet et
151	al. 2011: Russo et al. 2011), and some structural information (Serrano et al. 2015) resulting in a
152	detailed chemical fingerprint of the material analyzed. To take advantage of the rich chemical
153	dataset embedded in tourmaline, this LIBS study uses the spectrum of relative peak intensities of
154	each tourmaline rather than absolute quantities of individual elements within the tourmaline.
155	Minimal sample preparation is required for LIBS (see e.g., McMillan et al. 2018;
156	McMillan et al. 2019 for additional information). Rough samples were cleaned with isopropyl
157	alcohol to remove oils and surface residue and air dried. Most tourmalines are individual grains
158	or clusters of grains. Originally, samples were mounted on a plexiglass sheet with BlueTac to
159	secure the grains; later the BlueTac was eliminated. The sheet was placed into the sample holder
160	in the LIBS instrument chamber. LIBS data were acquired prior to EMP data analyses to avoid

Revision 1.0

any possible contamination from EMP sample preparation such as polishing and carbon coatingof the grains.

163	Tourmalines were analyzed with an Applied Spectra J200 LIBS instrument, at
164	Materialytics, Inc., fitted with a Q-switched Quantel ULTRA 100 Big Sky Nd:YAG laser
165	operated at a fundamental wavelength of 266 nm and < 6 ns pulse width. The instrument utilized
166	an Andor Mechelle ME 5000 spectrograph ($\lambda/\Delta\lambda = 5000$) and an Andor iStar ICCD (intensified
167	charge coupled device) camera, model DH334T-18F-03. Analytical conditions were a laser
168	power of 150 mJ, with a delay of 0.5 microseconds between the time of the laser shot and light
169	collection, a gate width (time of light collection) of 10 microseconds, and a nominal spot size of
170	50 micrometers (subsequent analyses demonstrated a larger ablation pit of nearly 80
171	micrometers). Spectra were obtained at 1 atm at room temperature in an argon atmosphere to
172	confine the LIBS plasma and thus enhance emission intensity. Where grain size allowed, 64
173	shots were obtained per sample in an 8 x 8 grid with spacing of 100 micrometers between shots –
174	an area covering about 1 mm x 1 mm. An ancillary study suggested that 64 shots were optimal
175	for characterizing the samples (McMillan et al. 2019). At each analytical location, a cleaning
176	shot was done prior to the analytical shot. The spectral emission was collected over the 26,000+
177	channels of the detector/spectrometer system to assemble the spectrum in the wavelength range
178	from 200 – 1000 nm for each analytical shot. Spectra were truncated at 771 nm which preserves
179	the potassium peaks at 766.5 and 769.9 nm but masks the primary argon peaks at higher
180	wavelengths. Multiple shots per sample and their corresponding spectra are averaged and
181	normalized to the mean peak intensity to produce a single spectrum per sample. Averaging LIBS
182	spectra helps mitigate variations caused by inherent shot-to-shot variability (McMillan and
183	Dutrow, in press). Background correction was not applied. Intensities were converted to log

Revision 1.0

184	values for modeling purposes. Where necessary, identification of LIBS peak positions utilized
185	the on-line NIST database of optical emission lines (Kramida et al. 2022).
186	Acquisition of such a large dataset requires statistical methods and/or machine-learning
187	techniques for data analyses and interpretation. This study employs the multivariate statistical
188	techniques Principal Component Analysis (PCA, Esbensen 2004) and Partial Least Squares
189	Regression (PLSR; Wold et al. 2001; Esbensen 2004) to quantitatively classify spectra with
190	reference to the geographical source of the tourmaline. The strong emission response of some
191	major elements required masking of select peaks from the spectra to allow subtler chemical
192	variations to be enhanced. For these tourmalines, masking of peaks for the elements Si, Al, Li,
193	Na as well as the Ca peaks at 393.3, 396.8, and 422.7 nm resulted in improved models. While
194	other multivariate statistical techniques may be advantageous, for this test case, methods used
195	previously were followed (e.g., McMillan et al. 2018).
196	Multivariate Statistical Modeling
197	PCA is a dimension-reducing multivariate technique that calculates linear regressions, or

198 Principal Components (PCs), through the data set in multivariate space (24,350 variables). A

199 PCA score plot (sample analyses in n-dimensional space projected onto the plane of two

200 principal components, e.g., PC 1 and PC 2) displays the spectral/compositional relationships of

201 the dataset in the two directions of the principal components. This comparison is used to

202 determine the order in which the geographic localities (SJdB, RGdN, Moz, Nig) are modeled

203 beginning with the compositionally most distinct group modeled first (Multari et al. 2010;

204 Kochelek et al. 2015; McMillan et al. 2018).

205 PLSR models were used to quantitatively discriminate between the samples of the
206 locality of interest and all other localities. PLSR is similar to PCA but includes the value of an

Revision 1.0

207	independent variable, in this case the Provenance Variable (PV), in the regression. Spectra of
208	samples from the locality of interest were assigned a Provenance Variable value of 1; spectra of
209	samples from all other localities were assigned a PV value of 0. To calibrate the model, 50% of
210	the spectra from the geographic localities were selected; spectra from the 50% remaining
211	samples were used for test-set validation in a later step. Because the database contained one
212	spectrum per sample, no individual sample was present in both the calibration and validation
213	sets, although samples from a given geographic locality were present in both sets. Statistical
214	modeling was accomplished using the Unscrambler ® software by Camo. The NIPALS
215	(Nonlinear Iterative Partial Least Squares) algorithm was applied with 15 PLSR components; no
216	weighting was applied to variables. All models are mean-centered (see also McMillan et al.
217	2018 for further discussion).
218	To quantitatively assign a spectrum to a locality group, a numerical value that separates
219	calculated Provenance Variable values for the two groups in the calibration set is defined: the
220	Value of Apparent Distinction (VAD, Kochelek et al. 2015). The VAD is calculated as the value
221	that gives the highest number of correctly assigned samples during calibration. Any sample with
222	a calculated PV value greater than or equal to the VAD is classified as a tourmaline within the
223	group of interest; those with calculated locality variables less than the VAD are classified as
224	belonging to the group of the remaining localities. Once a VAD is assigned, it does not change
225	during validation.
226	PLSR models were validated using test-set validation. PV values are calculated for
227	tourmaline spectra not used to calibrate the PLSR model. The VAD determined during
228	calibration is used to predict whether each spectrum in the validation set belongs to the locality

of interest or the group of the remaining localities. The prediction accuracy is calculated as the

Revision 1.0

230	percent of correctly assigned test-set spectra for which locality information is known. For
231	example, Model 1 evaluates São José da Batalha (SJdB) samples. Applying the VAD of 0.45 to
232	the spectra not used in the calibration set, all of the São José da Batalha samples are predicted to
233	be from this locality as well as one African sample, and the other samples are predicted to belong
234	to the group of remaining samples (Fig. 3). Thus, Model 1 is 96% successful, one sample is
235	miscategorized. Once validated, the decision tree of PLSR plots is developed for each remaining
236	group of samples (RGdN, Moz, Nig).
237	Each PLSR model identifies spectra that belong to one group (i.e. the geographic
238	locality). After a group is distinguished, those samples are removed from the dataset and all
239	subsequent models. In this case, São José da Batalha samples in Model 1 are removed. The order
240	of the models may be critical to obtaining sufficient separation of samples. Each model is
241	determined by choosing the compositionally most distinct group at each step, as defined by the
242	relationships on a PCA score plot. Because the most distinct group is always eliminated, the
243	samples near the final decision tree are those with the most compositional similarities.
244	Typically, samples in those groups are indistinguishable from each other when modeled in the
245	presence of the other samples, but the small differences between them can be extracted and used

to separate these groups when they are modeled in isolation after the other groups are removed.

247 Electron Microprobe Analysis (EMP)

To test the applicability of the multivariate statistical approach on widely available tourmaline compositional data from EMP, a subset of 15 tourmaline samples for which LIBS data were obtained (Fig. 4a-c; 5 grains – Brazil; 6 Mozambique; 4 Nigeria; and two additional samples), were analyzed by wavelength dispersive spectrometry using the JEOL 8230 electron microprobe at LSU. Quantitative compositional analyses for major and minor elements were

Revision 1.0

253	obtained at an accelerating potential of 15kV and a 10 nA beam current using a 2-micrometer
254	spot size, with Na analyzed first. Natural minerals and synthetic materials were used as
255	standards including andalusite (Al), diopside (Ca, Mg, Si), fayalite (Fe), chromite (Cr), kaersutite
256	(Ti), rhodonite (Mn), willemite (Zn), chalcopyrite (Cu), galena (Pb), albite (Na), sanidine (K),
257	fluorite or fluor-phlogopite (F), tugtupite (Cl) with synthetic Bi ₂ Te ₃ (Bi), V-diopside glass (V),
258	and GaAs (Ga). EMP detection limits are given in the Supplemental Data. Lithium, H or B
259	cannot be effectively analyzed by the EMP and were not included in the data modeled. Two
260	well-characterized elbaite tourmalines served as secondary standards. Count times for major
261	elements were 10 s on the peak, 20 s on the background and for minor and trace elements 60 s
262	peak, 30 s background. Analytical precision is estimated to be ± 1 percent relative for the major
263	elements and \pm 5 % for the minor elements. Where color zoning is apparent, analytical traverses
264	were made across the samples; in other cases, $10 - 30$ analytical spots per grain were randomly
265	selected.
244	

Mineral formulae were normalized following the recommended procedures Henry et al. 266 (2011) permitting B, H and Fe^{3+} to be calculated based on stoichiometry and charge balance and 267 268 Li estimated by the procedures of Pesquera et al. (2016). Calculating atoms per formula unit 269 (apfu) served as an additional quality check for EMP data but the normalized data are not used 270 for the statistical analysis. To avoid calculation artifacts, oxide weight percentages of measured 271 elements were used for multivariate statistical modeling and are given in the Supplemental Data. 272 Evaluating the efficacy of multivariate statistical models for separating provenance of 273 paraíba tourmaline using EMP data followed the same methodology as for separating the LIBS 274 data. However, only 18 variables per chemical analysis are available for modeling. Although the 275 data set comprised 295 analyses, only 15 samples were analyzed. All analyses for each sample

Revision 1.0

276	were restricted to either the calibration or the validation set to ensure that the models focus on
277	fundamental characteristics of the tourmalines rather than simply identifying analyses from the
278	same sample. Because of the low number of samples, calibrations were based on analyses from
279	2-4 samples per country and models were validated with two samples from each country. As a
280	result, the calibration set comprised analyses from four (Mozambique), three (Brazil), or two
281	(Nigeria) samples and the validation set comprised analyses from two samples from each
282	country.
283	RESULTS
284	Copper-bearing tourmalines analyzed in this study included elbaite or fluor-elbaite
285	species; no samples of the rare Cu-bearing fluor-liddicoatite species were included.
286	Representative EMP analyses for each geographic locality are given in Table 1. Cu-bearing
287	fluor-liddicoatites are Ca-dominant from Mozambique (Katsurada and Sun 2017) and their
288	geographic origin is easily determined based on the Ca-dominance of the tourmaline.
289	Multivariate statistics using LIBS data
290	LIBS spectra (unmasked) for the Cu-bearing elbaites display prominent Na, Al, Si, Li,
291	and B peaks, in addition to Cu and Mn peaks as expected (Fig. 5). In several samples, LIBS
292	detected minor and trace elements such as K, Mg, Bi, Zn, Ga, and Sr. The presence of these
293	elements were confirmed by previous LA-ICP-MS analyses of paraíba tourmaline (Z. Sun,
294	personal communication). Although Ca and Mg are minor components, the high intensity of
295	these emission lines reflects the relatively low ionization energy of the alkaline earth elements
296	(Cremers and Radziemski 2013).
297	The decision tree for these sample suites consists of three models (Fig. 6; Dutrow et al.
298	2019). In an initial PCA that includes all the tourmaline spectra from the four localities (São

Revision 1.0

299	José da Batalha, Brazil, SJdB; Rio Grande do Norte, Brazil, RGdN; Mozambique; and Nigeria),
300	no single group clustered tightly and the groups overlapped in PC1-PC2 space (Fig. 7). The
301	SJdB spectra were chosen as the first group to model because the São José da Batalha, Brazil
302	PLSR model had the highest success rate of all possible first models. Model 1, which classifies
303	spectra as either belonging to the SJdB group or to the group of all other tourmalines, is excellent
304	(Fig. 6), despite the overlap of groups in PCA space (Fig. 7). The calibration shows separation
305	between the groups with a VAD of 0.45 (Fig. 3). The validation is 96% successful, correctly
306	classifying 25 of 26 samples. The one false positive is a sample of Nigerian tourmaline
307	classified as SJdB.
308	The spectra of SJdB tourmalines were removed from all subsequent models. Model 2
309	classifies spectra as belonging to RGdN or to the group of all other tourmalines (Mozambique
310	and Nigeria). There is clear separation between the two groups in the calibration of Model 2
311	(Fig. 3), which used a VAD = 0.50 value. The validation is 96% successful, correctly predicting
312	the provenance of 22 of 23 samples (Fig. 3,6). Again, one Nigerian sample yielded false positive
313	results. This sample is the same as that which was incorrectly classified as SJdB in Model 1.
314	Finally, Model 3 discriminates between tourmaline spectra from Nigeria and
315	Mozambique (Fig. 6). Spectra are well-separated in the calibration with a VAD= 0.52 (Model 3;
316	Fig. 3). The calibration is 94% successful, correctly classifying 16 of 17 samples. One Nigerian
317	sample was misclassified as belonging to the Mozambique group; however, it is a different
318	sample than the false positive sample in Models 1 and 2. The consistent misclassification of
319	Nigerian samples suggests that the sample set is too small to be representative of the actual
320	dispersion of compositions. Alternatively, on visual examination, this sample has a saw mark
321	which may have left a surface contamination or varied the surface texture of the sample that

affected plasma properties. Overall, the decision tree correctly classified 63 of 66 spectra (one

Revision 1.0

322

323	spectrum per sample) resulting in an cumulative prediction accuracy of 95%. The overall true
324	positive rate (only considering the location assigned to PV 1) is 94% (16 of 17; Fig. 3).
325	Based on the success of the previous geographic modeling, the geographic origin of two
326	unknown Brazilian samples was predicted. Using the LIBS decision tree developed, both
327	unknown samples classify as being from the Rio Grande do Norte, Brazil, locality.
328	Multivariate statistics using EMP data
329	A more widely used analytical technique for characterizing tourmaline mineral chemical
330	is by electron probe microanalyses (EMP). As such, this multivariate statistical approach was
331	developed using an EMP analytical dataset obtained for a subset of the tourmalines for which
332	LIBS data had been acquired (see Supplemental Data for all oxide weight percentages used for
333	multivariate statistics). Importantly, in addition to the major elements, Cu, Mn and F are present
334	in the tourmalines in amounts readily analyzed by the EMP. F is not easily detected by LIBS but
335	is with EMP. Vanadium, Cr and Pb are at, or below, EMP detection limits (Supplemental Data).
336	Modeling EMP data with multivariate statistics followed similar procedures as the
337	modeling for the LIBS data. Because of the smaller sample set size, both Brazilian localities
338	were combined. The character of the EMP data set is different than the LIBS data set, in which
339	each sample is represented by a single spectrum. For EMP data, 10-30 points were analyzed for
340	each of the 15 tourmaline samples (Brazil: 5; Mozambique: 6; Nigeria: 4) resulting in a total of
341	295 analyses. This data set captures the variability within each sample well, but there are too
342	few samples to be representative of the variability within each country of origin.
343	A PCA score plot for the calibration EMP analyses in the models shows good clustering
344	for analyses of each tourmaline sample but lacks distinct clustering of samples from each country

Revision 1.0

345	(Fig. 8). Some relationships are consistent with those found via LIBS. For example, the
346	Brazilian samples plot at negative values of PC2 over a large range of PC1 values. The Nigerian
347	and Mozambican samples cover broad areas that intersect near the origin of the score plot.
348	Analysis of more samples could help the PCA discern different relationships that might provide
349	better separation of the groups.
350	PLSR is a supervised method, where the variables (EMP analyses) are correlated with

351 known Provenance Variables (PV). Because of this, PLSR models can be successful, regardless

352 of messy relationships in PCA. Model 1 in the EMP decision tree (Fig. 9) separates Brazilian

tourmaline analyses from the group of Mozambican and Nigerian samples. The calibration is

354 96% successful, correctly predicting the origin of 173 of 180 calibration samples with a VAD of

355 0.51 (Fig. 10). The validation is also 96% successful, correctly predicting the origin 110 of 115

analyses. Five Brazilian analyses are predicted to belong to the group of all others; there are nofalse positives.

358 Model 2 is more complex. The calibration (Fig. 10) establishes relatively consistent 359 Provenance Variable values for Mozambican calibration analyses with an average near 1 360 (average = 0.91, range = 0.36-1.36, standard deviation = 0.18). In contrast, the PV values 361 calculated for Nigerian samples, while less than 1, are different from each other. One sample 362 clusters at an average of 0.45 and the other with an average of 0.03 (Fig. 10). Because one 363 Nigerian sample has relatively high calculated PV values, the VAD that results in the best model 364 success is 0.62. This VAD value results in a calibration accuracy of 97% (112 correct 365 predictions of 115), with two false negatives and one false positive. However, this VAD value is 366 not the best choice for the validation (Fig. 10). A higher VAD would have yielded a higher 367 success, as all of the Mozambican validated analyses have fairly high calculated PV values, as do

Revision 1.0

368	19 of the 40 Nigerian validation analyses. This results in a prediction accuracy of 75% for this
369	model (Fig. 9, 10; 56 of 75 analyses). More samples with analytical data are needed to calculate
370	more successful models. Overall, the EMPA decision tree correctly predicts the country of
371	origin of 87% of the analyses.
372	DISCUSSION
373	These combined results underscore the utility of multivariate analyses for separating
374	likely geographic source localities of compositionally similar minerals as demonstrated by
375	elbaitic tourmalines. Significantly, these outcomes result in separation of geographic localities
376	using considerably different mineral chemical acquisition techniques. For both techniques, the
377	high prediction accuracy of modeling suggests that even with a limited dataset, subtle variations
378	in chemical components, when taken as a whole, can provide important signatures of the source
379	region. While the power of the data-rich LIBS spectra coupled with multivariate statistics has
380	been previously demonstrated for separating locality information (e.g., Hark et al. 2012;
381	McMillan et al. 2012; Schenk and Almirall 2012; Kochelek et al. 2015; Gyftokostas et al. 2020),
382	multivariate statistics has not been demonstrated as a useful tool for separating localities using
383	the widely available EMP data. For the LIBS technique, intensity of the emission lines reflects a
384	combination of the elemental abundance and the emissivity properties. Separating localities in
385	this dataset required masking peaks from select major elements in part, because they hid more
386	subtle and meaningful chemical variations. In contrast, for the quantitative EMP data, subtle
387	differences in minor elements facilitated separation of geographic localities. However, because
388	of the smaller sample suite, only broad categories could be distinguished. More EMP data from
389	additional samples of each locality would further refine this procedure.
390	Loading plots (Fig.11) exhibit the influence of each variable (elemental concentration for

391	EMPA and wavelength intensity for LIBS) on the direction of the principal component through
392	the data set. Variables with values close to zero have minimal impact on the PC and are
393	approximately the same in the samples as in the model. Variables with high positive values
394	strongly influence the direction of the PC, have different values in the samples, and increase in
395	concentration/intensity in the positive direction of the PC on a score plot. Variables with high
396	negative values are similar except that they increase in concentration/intensity in the negative
397	direction of the PC. PCA models for each pair of localities were calculated for both LIBS and
398	EMP datasets (Figs. 7 and 8, respectively); representative loading plots are presented in Figure
399	11. For the EMP data, score plots of PCA data indicated a more significant influence of
400	elements Mn, Cu, Al, Ca, and F with lesser influences of K when separating sources (Fig. 11).
401	Previous paraíba provenance determinations typically use the quantities of six elements (Cu, Zn,
402	Ga, Sr, Sn, Pb), obtained by LA-ICP-MS, for discrimination of geographic source (e.g.,
403	Katsurada et al. 2019). While Cu-Zn-Pb are more readily acquired by EMP and Ga to some
404	degree, Pb and Sn are generally below EMP detection limits (typically <0.001 wt% oxide).
405	Although these elements are below detection in EMP datasets, they are not for LIBS data
406	acquisition. The dominant elements in LIBS loading plots are Cu, Mn, Fe, Mg, Ti, Zn, K, H, Co,
407	V, Li, and Na. Interestingly, Ca, Sr, Sn, and Pb were not observed in loading plots for the LIBS
408	data, suggesting these elements did not exert a major influence on the separation of localities for
409	tourmalines studied here (Fig. 11). That implies less that there is something missing from LIBS,
410	but, perhaps, that different elements may enhance geographic discrimination (e.g. K, Bi, Mn, F).
411	Such information allows development of alternative diagrams for facilitating provenance
412	determination with compositions determined by LA-ICP-MS. Additionally, this study indicates
413	that statistical analyses of the two techniques, LIBS and EMP, emphasize different elements.

Revision 1.0

414 Even with the analytical limitations of each technique, robust results for geographic provenance415 are attained.

416	While the high success for discriminating provenance of remarkably similar tourmaline
417	compositions is encouraging, there are caveats. The paraíba sample set analyzed here is
418	relatively small with limited variability, in part due to the rarity and cost of materials. No Ca-
419	dominant Cu-bearing tourmalines were among those analyzed, although these are
420	straightforward to distinguish chemically by their Ca concentrations. Not all Cu-bearing
421	tourmalines analyzed display the characteristic "neon" blue hue of the prized Paraíba tourmalines
422	(Fig. 1). Green, greenish-blue and violet hues were included in the sample sets to capture the
423	likely range of chemical variability for Cu-bearing tourmalines. Additionally, a large area is
424	needed for the optimal number of LIBS analyses coupled with the 80-micrometer spot size. If the
425	sample is zoned, the LIBS analytical spot can include overlapping chemical zones unlike data
426	obtained with the EMP. Other multivariate techniques such as Bayesian Statistics (e.g.,
427	McManus et al. 2018) or machine learning algorithms might enhance the discrimination further.
428	Overall, these data demonstrate that spectra obtained by LIBS can be used to provide
429	provenance discrimination when coupled with multivariate statistics. Analyses are rapid with
430	minimal required sample preparation. Loading plots facilitate identification of important
431	elements in discriminating sample localities and can be used to decipher potentially new criteria
432	for provenance determination. Moreover, multivariate analyses of EMP data also allow
433	categories to be differentiated based on more readily obtained chemical data. Application of the
434	multivariate statistics to EMP data suggest that K, Bi, Mn and F may be additional provenance
435	discriminators. Together these data elucidate elements most useful for geographic discrimination
436	of localities and the sourcing of paraíba tourmaline.

Revision 1.0

437	GEOLOGIC IMPLICATIONS
438	Determining the provenance of mineral grains separated from their host rock has, for example,
440	revolutionized paleogeographic reconstructions, and provided new data on uplift histories and
441	drainage basin development. While many provenance studies rely on zircon ages, expanding the
442	types of detrital minerals used for provenance determination adds new, unexpected opportunities
443	for past geologic reconstructions – the tourmaline source rock types, and for some compositions,
444	the geographic locality can be distinguished. Additionally, in this time of conflict minerals, it is
445	critically important to be able to source conflict gems and metals. This study provides a case
446	study for new methods that allow minerals of very similar compositions to be separated based on
447	chemical parameters. This study shows, for the first time, the power of multivariate statistics
448	applied to EMP data for separating tourmaline localities. Multivariate statistics applied to LIBS
449	and EMP data provide a robust tool for provenance discrimination of Paraiba tourmalines,
450	distinguishing Brazilian-sourced samples from African-sourced materials. Accurate sourcing of
451	gemstones has economic implications as does the sourcing of conflict stones, particularly when
452	economic sanctions may be in place.
453	ACKNOWLEDGMENTS
454	Funding for this project was provided through NSF-IF 1551434 (to Dutrow and Henry)
455	and 1551415 (to McMillan) and is gratefully acknowledged. Robert Wagner- Beija Flor Gems
456	donated select Brazilan samples from São José da Batalha, Paul Wild donated the Nigerian and
457	Mozambican materials and Brendan Laurs facilitated this connection for African material; all are
458	thanked for their generosity. Materialytics kindly allowed usage of their LIBS instrument, with
459	special thanks to Catherine McManus and her team. Shoshauna Farnsworth-Pinkerton and
460	Janelle Hansen facilitated data acquisition. Brian Cook supplied additional information on the
461	Brazilian localities, his insights are appreciated. Matt Wortel, Univ Iowa, is thanked for excellent

Revision 1.0

462	craftsmanship in making and polishing grain mounts. Insightful reviews by Russ Harmon and
463	Beatrice Celata helped clarify and improve the paper and are gratefully appreciated.
464 465	R EFERENCES:
466 467	Abduriyim, A., and Kitawaki, H. (2005) Gem News International: Cu- and Mn-bearing
468	tourmaline—More production from Mozambique. Gems & Gemology, 41, 360-361.
469	Abduriyim, A., Kitawaki, H., Furuya, M., and Schwarz, D. (2006) "Paraiba"-type copper-
470	bearing tourmaline from Brazil, Nigeria, and Mozambique: Chemical Fingerprinting by LA-ICP-
471	MS. Gems & Gemology, 42, 4–21.
472	Cremers, D.A., and Radziemski, L.J. (2013) Handbook of Laser-Induced Breakdown
473	Spectroscopy. 283 p. John Wiley & Sons Ltd. Chichester UK.
474	Doucet, F.R., Lithgow, G., Kosierb, R., Bouchard, P., and Sabsabi, M. (2011) Determination of
475	isotope ratios using Laser-Induced Breakdown Spectroscopy in ambient air at atmospheric
476	pressure for nuclear forensics. Journal of Analytical Atomic Spectrometry, 26, 536-541.
477	Dutrow, B.L., Farnsworth-Pinkerton, S., Henry, D.J., McMillan, N.J. and Niepagen, N. (2019)
478	Copper-bearing tourmaline sources: evidence from Laser-Induced Breakdown Spectroscopy
479	(LIBS) and electron microprobe analyses (EMP). GSA Abstracts with Programs. 51, No. 5, doi:
480	10.1130/abs/2019AM-340108
481	Dutrow, B.L., and Henry, D.J. (2016) Fibrous Tourmaline: A sensitive probe of fluid
482	compositions and petrologic environments. The Canadian Mineralogist, 54, 311-335.
483	Esbensen, K.H. (2004) Multivariate Data Analysis in practice: An introduction to multivariate

- data analysis and experimental design. Multivariate Data Analysis, 598 p. CAMO Process AS,
 Oslo, Norway.
- 486 Fabre, C. (2020) Advances in Laser-Induced Breakdown Spectroscopy analysis for geology: A
- 487 critical review. Spectrochimica Acta Part B, 166, 105799.
- 488 Fritsch, E., Shigley, J.E., Rossman, G.R., Mercer, M.E., Muhlmeister, S.M., and Moon, M.
- 489 (1990) Gem-quality cuprian-elbaite tourmalines from São José da Batalha, Paraíba, Brazil. Gems
- 490 & Gemology, 26, 189–205. http://dx.doi.org/10.5741/GEMS.26.3.189
- 491 Hark, R.R., Remus, J.J., East, L.J., Harmon, R.S., Wise, M.A., Tansi, B.M., Shughrue, K.M.,
- 492 Dunsin, K.S., and Liu, C. (2012) Geographical analysis of "conflict minerals" utilizing laser-
- 493 induced breakdown spectroscopy. Spectrochimica Acta Part B, B74-75, 131-136.
- 494 Gyftokostas, N., Stefas, D., and Couris, S. (2020) Olive oils classification via Laser-Induced
- 495 Breakdown Spectroscopy. Applied Sciences, 10, 3462.
- 496 Harmon, R.S. and Senesi, G.S. (2021) Laser-Induced Breakdown Spectroscopy A geochemical
- 497 tool for the 21st century. Applied Geochemistry, 128, 104929.
- 498 Henry, D.J., and Dutrow, B.L. (1996) Metamorphic tourmaline and its petrologic applications.
- 499 In: E.S. Grew and L.M. Anovitz, L.M., Eds., Boron: mineralogy, petrology and geochemistry,
- 500 33, p. 503-557, Reviews of Mineralogy, Mineralogical Society of America, Washington, D.C
- 501 Henry, D.J., and Dutrow, B.L. (2018) Tourmaline studies through time: contributions to
- 502 scientific advancements. Journal of Geosciences, 63, 77-98.
- 503 Henry, D.J., and Guidotti, C.V. (1985) Tourmaline as a petrogenetic indicator mineral an

- 504 example from the staurolite-grade metapelites of NW Maine. American Mineralogist, 70, 1-15.
- 505 Henry, D.J., Novák, M., Hawthorne, F.C., Ertl, A., Dutrow, B.L., Uher, P., and Pezzotta, F.
- 506 (2011) Nomenclature of the tourmaline-super- group minerals. American Mineralogist, 96, 895–
- 507 913. http://dx.doi.org/10.2138/am.2011.3636
- 508 Katsurada, Y., and Sun, Z. (2017) Cuprian liddicoatite tourmaline. Gems & Gemology, 53, 34–
- 509 41. http://dx.doi.org/10.5741/GEMS.53.1.34
- 510 Katsurada, Y., Sun, Z., Breeding, B.L., and Dutrow, B.L. (2019) Geographic origin
- 511 determination of Paraíba tourmaline. Gems & Gemology, 55, 648-659.
- 512 Kochelek, K.A., McMillan, N.J., McManus, C.E., and Daniel, D.L. (2015) Provenance
- 513 determination of sapphires and rubies using laser-induced breakdown spectroscopy and
- 514 multivariate analysis. American Mineralogist, 100, 1921-1931.
- Koivula, J.J. and Kammerling, R.C. (1989) Gem news: Paraíba tourmaline update. Gems &
 Gemology, 25(4), 248.
- 517 Kramida, A., Ralchenko, Yu., Reader, J. and NIST ASD Team (2022). NIST Atomic Spectra
- 518 Database (version 5.10), [Online]. Available: https://physics.nist.gov/asd [Tue Nov 07 2023].
- 519 National Institute of Standards and Technology, Gaithersburg, MD.
- 520 DOI: https://doi.org/10.18434/T4W30F
- 521 Laboratory Manual Harmonisation Committee (LMHC) (2012) LMHC Information Sheet #6:
- 522 "Paraíba tourmaline" Version 7, https://static1.squarespace.com/static/5bfbb7e6cc8fed3bb9293
- 523 Laurs, B.M., Zwaan, J.C., Breeding, C.M., Simmons, W.B., Beaton, D., Rijsdijk, K.F., Befi, R.,

Revision 1.0

- and Falster, A.U. (2008) Copper-bearing (Paraíba-type) tourmaline from Mozambique. Gems &
- 525 Gemology, 44, 4–30. http://dx.doi.org/10.5741/GEMS.44.1.4
- 526 Lohmeier, S., Lottermoser, B.G., Strauss, K., Adolffs, T., Sindern, S, and Gallhhofer, D. (2021)
- 527 Nearshore marine garnet and magnetite placers in the Erongo and S-Kunene regions, Namibia.
- 528 Journal of African Earth Sciences, 180. https://doi.org/10.1016/j.jafrearsci.2021.104221
- 529 Ludwig, T., Marschall, H.R., von Strandmann, P.P., Shabaga, B.M., Fayek, M., and Hawthorne,
- 530 F. C. (2011) A secondary ion mass spectrometry (SIMS) re-evaluation of B and Li isotopic
- 531 compositions of Cu-bearing elbaite from three global localities. Mineralogical Magazine, 75,
- 532 2485-2494.
- 533 McManus, C.E., Dowe, J., and McMillan, N.J. (2018) Quantagenetics® analysis of laser-induced
- 534 breakdown spectroscopic data: Rapid and accurate authentication of materials: Spectrochimica
- 535 Acta Part B, B145, 79-85.
- 536 McManus, C.E., McMillan, N.J., Dowe, J., and Bell, J. (2020) Diamonds certify themselves:
- 537 Multivariate statistical provenance analysis. Minerals, 10, 916, http://doi:10.3390/min10100916
- 538 McMillan, N.J., Montoya, C., and Chesner, W.H. (2012) Correlation of limestone beds using

laser-induced breakdown spectroscopy and chemometric analysis. Applied Optics, 51, B213-B222.

- 541 McMillan, N.J., Curry, J., Dutrow, B.L., and Henry, D.J. (2018) Identification of the host
- 542 lithology of tourmaline using Laser-Induced Breakdown Spectroscopy for application in
- sediment provenance and mineral exploration. The Canadian Mineralogist, 56, 393-410.
- 544 McMillan, N.J., Dutrow, B.L., Henry, D.J., and McManus, C.E. (2019) Methods for tourmaline

- 545 analyses by laser-induced breakdown spectroscopy. Abstracts with Programs Geological
- 546 Society of America. Geological Society of America (GSA): Boulder, CO, United States,
- 547 Phoenix, AZ.
- 548 Morton, A.C., Whitham, A.G., and Fanning, C.M. (2005) Provenance of Late Cretaceous to
- 549 Paleocene submarine fan sandstones in the Norwegian Sea: Integration of heavy mineral, mineral
- 550 chemical and zircon age data. Sedimentary Geology, 182, 3-28.
- 551 Multari, R.A., Cremers, D.A., Dupre, J.M., and Gustafson, J.E. (2010) The use of laser-induced
- 552 breakdown spectroscopy for distinguishing between bacterial pathogen species and strains.
- 553 Applied Spectroscopy, 64, 750-9.
- 554 Okrusch, M., Ertl, A., Schüssler, U., Tillmanns, E., Bratz, H., and Bank, H. (2016) Major-and
- 555 trace-element composition of Paraíba-type tourmaline from Brazil, Mozambique and Nigeria.
- 556 The Journal of Gemmology, 35, 120-139.
- 557 Palke, A.C., Wong, J., Verdel, C., and Avila, J.N. (2018) A common origin for Thai/Cambodian
- rubies and blue and violet sapphires from Yogo Gulch, Montana, USA? American Mineralogist,103, 469-479.
- 560 Peretti, A., Bieri, W., Reusser, E., Hametner, K., and Gunther, D. (2009) Chemical variations in
- 561 multicolored "Paraíba"-type tourmalines from Brazil and Mozambique: implications for origin
- and authenticity determination. Contributions to Gemology, 9, 1-84.
- 563 Pesquera, A., Gil-Crespo, P.P., Torres-Ruiz, F., Torres-Ruiz, J., and Roda-Robles, E. (2016) A
- 564 multiple regression method for estimating Li in tourmaline from electron microprobe analyses.
- 565 Mineralogical Magazine, 80, 1129-1133.

- 566 Rossman, G.R., Fritsch, E., and Shigley, J.E. (1991) Origin of color in cuprian elbaite from São
- 567 José da Batalha, Paraíba, Brazil. American Mineralogist, 76, 1479–1484.
- 568 Russo, R.E., Bol'shakov, A.A., Mao, X., McKay, C.P., Perry, D.L., and Sorkhabi, O. (2011)
- 569 Laser Ablation Molecular Isotopic Spectrometry: Spectrochimica Act Part B, 66, 99-104.
- 570 Schenk, E.R., and Almirall, R. (2012) Elemental analysis of cotton by laser-induced breakdown
- 571 spectroscopy. Applied Optics, 49. C153-C160.
- 572 Serrano, J., Moros, J., and Laserna, J.J. (2015) Sensing signatures mediated by chemical
- 573 structure of molecular solids in laser-induced plasmas. Analytical Chemistry, 87, 2794-2801.
- 574 Shigley, J.E., Cook, B.C., Laurs, B.M. and de Oliveira Bernardes, M. (2001) An update on
- 575 "Paraíba" tourmaline from Brazil. Gems & Gemology, 37, 260–276.
- 576 http://dx.doi.org/10.5741/GEMS.37.4.260
- 577 Smith, C.P., Bosshart, G., and Schwarz, D. (2001) Gem News International: Nigeria as a new
- 578 source of copper-manganese-bearing tourmaline. Gems & Gemology, 37, 239-240.
- 579 Smith, C.A., Martinez, M.A., Veirs, D.K., and Cremers, D.A. (2002) Pu-239/Pu-240 isotope
- 580 ratios determined using high resolution emission spectroscopy in a laser-induced plasma.
- 581 Spectrochimica Acta Part B: Atomic Spectroscopy, 57, 929-937.
- van Hinsberg, V.J., Henry, D.J., and Marschall, H.R. (2011a) Tourmaline: An ideal indicator of
- 583 its host environment. Canadian Mineralogist, 49, 1-16.
- van Hinsberg, V.J., Henry, D.J., and Dutrow, B.L. (2011b) Tourmaline as a petrologic forensic
- 585 mineral: A unique recorder of its geologic past. Elements, 7(5), 327-332.

Revision 1.0

- 586 von Eynatten, H., and Gaupp, R. (1999) Provenance of Cretaceous synorogenic sandstones in the
- 587 Eastern Alps: constraints from framework petrography, heavy mineral analysis and mineral
- 588 chemistry. Sedimentary Geology, 124, 81-111.
- 589 Wentzell, C.Y. (2004) Lab Notes: Copper-bearing color-change tourmaline from Mozambique.
- 590 Gems & Gemology, 40, 50–251.
- 591 Wold, S., Sjöström, M., and Eriksson, L. (2001) PLS-regression: a basic tool of chemometrics.
- 592 Chemometrics and Intelligent Laboratory Systems, 58, 109-130.
- 593

594 List of Figure Captions595

Figure 1. Photo of a rough and facetted Paraíba tourmaline displaying the desired brillant neon
blue color. Crystal weighs 8.80 ct, from Brazil. The facetted stone is a 10.91 ct neon blue Paraiba
tourmaline (no heat) from the Batalha mine, Brazil. Cut gem courtesy of a Private Collector and
Mona Lee Nesseth, Custom Estate Jewels. Photo composite: Robert Weldon/© GIA. Used by
permission of GIA.

601

602 Figure 2. Selection of rough Paraiba tourmaline samples from the four localities used for LIBS

603 investigation. (a) São José de Batalha, Brazil: Paraíba tourmaline samples with blue, green,

604 purple and pink colors, and with notable color zoning, (b) Rio Grande do Norte, Brazil: Paraíba

605 tourmaline samples with similar blue, green, purple, and pink colors, (c) Mozambique: Paraíba

606 tourmaline samples with blue, green, lavender and pink colors, and (d) Nigeria: Blue and green

607 Paraíba tourmaline samples (see Appendix 1 for more details).

Revision 1.0

609	Figure 3. Graphs showing the calibration (left column) and validation (right column) results for
610	the LIBS decision tree based on geographic locality. Samples used in the calibration set were not
611	used in the validation set, leading to a different data distribution. The value of apparent
612	distinction (VAD) determined in the calibration, shown as a dashed line, remains the same in the
613	validation set. Note the change in scale. Each model indicates the number of samples correctly
614	identified of the total number of samples from that locality and is given as a percent success.
615	Because Mozambique is the final locality distinguished, it has the same success as the final
616	model – Nigeria. Overall, 95% of validation spectra were correctly classified. See text for details.
617	
618	Figure 4. Selection of polished paraíba tourmaline samples, in epoxy, used for EMP data
619	collection with sample numbers. (a) Color-zoned Brazilian paraíba tourmaline grain from the
620	São José de Batalha (SJdB) and Rio Grande do Norte (BZ) localities. White arrow shows the
621	location of a detailed EMP traverse. (b) Variety of colored Paraiba tourmaline grains from
622	Mozambique. (c) Paraíba tourmaline grains from Nigeria. (see Table 1; Appendix 1; and
623	Supplemental Data for more information).
624	
625	Figure 5. Representative LIBS spectrum from each of the four different localities for paraíba
626	tourmaline, stacked to show alignment of peaks. Brazilian localities are separated into: Brazil,
627	SJdB for São José de Batalha; and Brazil, RGdN for Rio Grande do Norte. Selected major and
628	minor elements are labeled. The black vertical line combines a number of features, two Ca and
629	two Al emission lines within the labeling line.
630	

Revision 1.0

631	Figure 6. Decision tree for PSLR modeling of LIBS spectra for paraíba tourmalines shown with								
632	percent correctly predicted (success) for each locality. After locality samples are modeled, they								
633	are removed from all subsequent models. Numerical value of 1 refers to samples belonging to								
634	that model dataset, 0 indicates all others. See Fig. 3 and text for details.								
635									
636	Figure 7. PCA score plot calculated using LIBS spectra of paraíba tourmaline samples from								
637	four localities, shown by different symbols. Samples from different localities overlap and lack								
638	distinct data clustering per locality. PC1 accounts for 36% of the variance in the dataset; PC2								
639	accounts for 13%. PCA plots are used to determine the sequence of PLSR models.								
640									
641	Figure 8. PCA score plot for all EMP analyses used in this study. Specific samples and								
642	localities are given in different colors and symbols by sample number. Analyses from each								
643	sample plot in discrete clusters, but clear distinctions between tourmalines from different								
644	countries are not apparent.								
645									
646	Figure 9. PLSR decision tree based on EMP data with percent of the samples correctly identified								
647	as belonging to the known locality shown as success. Both localities in Brazil were grouped								
648	together because of the small sample set and represented by "Brazil". See Fig. 6 for details.								
649									
650	Figure 10. Calibration (left) and validation (right) results for EMP decision tree. The dashed								
651	line indicates the selected VAD, value of apparent distinction. Each colored symbol represents a								
652	different locality as given. Overall, 87% of validation analyses were correctly classified.								
653									

Revision 1.0

- **Figure 11.** Representative loading plots for PCA models of tourmaline compositions by EMP
- 655 (A. and B.) and LIBS (C. and D.). Variables (elements for EMP and peaks for LIBS) that
- 656 influence the direction of the principal component through the data set have high positive or
- negative values, depending on the direction of the influence. These elements exist at different
- 658 concentrations in the samples modeled. Variables with values close to zero do not vary
- 659 significantly among the samples.

660

662 663 664

Appendix Text Appendix 1: Tourmaline samples used in the LIBS and EMP study

Sample location / region	Sample number (all used for LIBS)	EMP	Sample type	Description	Source
Brazil					
Rio Grande del Norte	18-BZ-Rgdn-bd-1		Crystals (xls)	chip, prismatic zoned crystals with pink core and blue rims; In Ab	Dutrow
Rio Grande del Norte	18-BZ-Rgdn-bd-2		xls	billet with 2 lg xls, prismatic zoned xl - pink-blue, other chips with pink/blue/black, all low peaks	Dutrow
Rio Grande del Norte	18-BZ-Par-1		xl	1.5 cm xl, zoned - blue-pink, cats eye fibers on one side	Brian Cook (purchase)
Rio Grande del Norte	18-BZ-Par-2	x	xl	1 cm xl, zoned - blue-pink	Brian Cook (purchase)
Rio Grande del Norte	18-BZ-Par-3		xl	1 cm xl, zoned - blue-pink. Half xl	Brian Cook (purchase)
Rio Grande del Norte	18-BZ-Par-4		xl	1 cm thin xl, zoned - blue- pink	Brian Cook (purchase)
Rio Grande del Norte	18-BZ-Par-5		grain	0.5 cm xl, zoned - mostly pink some blue	Brian Cook (purchase)
Rio Grande del Norte	18-BZ-Par-6		grain	0.5 cm grain mostly dk pink	Brian Cook (purchase)
Rio Grande del Norte	18-BZ-Par-7		grain	0.5 cm grain mostly dk pink	Brian Cook (purchase)
Rio Grande del Norte	18-BZ-Par-8		grain	0.5 cm grain mostly dk pink	Brian Cook (purchase)
Rio Grande del Norte	18-BZ-Par-9	x	grain	0.3 cm grain mostly dk pink	Brian Cook (purchase)
Brazil – unknown region	18-BZ-Par-unk - 1	x	4 grains	t.s. billet, thin section	Dutrow
Brazil – unknown region	18-BZ-Par-unk - 2	x	grain	2x2 cm single xl; zoned with pink/blue	Dutrow
São José de Batalha	19-BZ-SJdB-par-10	x	grain	1 cm thin xl, zoned - blue- pink	Beija Flor Gems - Robert Van Wagoner (donation)
São José de Batalha	19-BZ-SJdB-par-11		grain	3 mm thin xl, zoned - blue- pink	Beija Flor Gems - Robert Van Wagoner (donation)

São José de Batalha	19-BZ-SJdB-par-12	x	grain	3 mm thin xl, zoned - blue- pink	Beija Flor Gems - Robert Van Wagoner (donation)
São José de Batalha	19-BZ-SJdB-par-13		grain	3mm thin xl, zoned - blue- pink	Beija Flor Gems - Robert Van Wagoner (donation)
São José de Batalha	19-BZ-SJdB-par-14	x	grain	5 mm thin xl, zoned - blue- pink	Beija Flor Gems - Robert Van Wagoner (donation)
São José de Batalha	19-BZ-SJdB-par-15		grain	3mm thin xl, zoned - blue- pink	Beija Flor Gems - Robert Van Wagoner (donation)
	Mozambique				
	18-Moz-Par-1		grain	1 cm pale blue with pink rim	Paul Wild (donation)
	18-Moz-Par-2		grain	blue - 6 mm	Paul Wild (donation)
	18-Moz-Par-3		grain	pale green-blue - 1 cm	Paul Wild (donation)
	18-Moz-Par-4		grain	pale green - I cm	Paul Wild (donation)
	18-Moz-Par-5	X	grain	blue-green	Paul Wild (donation)
	18-Moz-Par-6		grain	pink - 5 mm	Paul Wild (donation)
	18-Moz-Par-7		grain	blue - green - 5 mm	Paul Wild (donation)
	18-Moz-Par-8		grain	pale-blue - 3mm	Paul Wild (donation)
	18-Moz-Par-9		grain	pale-blue green - 7 mm	Paul Wild (donation)
	18-Moz-Par-10	x	grain	light purple	Paul Wild (donation)
	18-Moz-Par-11		grain	blue-green - 6 mm	Paul Wild (donation)
	18-Moz-Par-12		grain	pink - 1 cm	Paul Wild (donation)
	18-Moz-Par-13		grain	pale-green - 5 mm	Paul Wild (donation)
	18-Moz-Par-14	x	grain	blue-green	Paul Wild (donation)
	18-Moz-Par-15		grain	light-blue- green - 7 mm	Paul Wild (donation)
	18-Moz-Par-16		grain	light-green - 1 cm	Paul Wild (donation)
	18-Moz-Par-17		grain	blue - 1 cm	Paul Wild (donation)

18-Moz-Par-18	x	grain	blue	Paul Wild (donation)
18-Moz-Par-19		grain	pink - 7 mm	Paul Wild (donation)
18-Moz-Par-20	x	grain	blue and pink	Paul Wild (donation)
18-Moz-Par-21		grain	green - 1 cm	Paul Wild (donation)
18-Moz-Par-22		grain	pale-blue - 1 cm	Paul Wild (donation)
18-Moz-Par-23	x	grain	pink	Paul Wild (donation)
18-Moz-Par-24		grain	light-blue - 1 cm	Paul Wild (donation)
Nigeria				Paul Wild (donation)
18-Nig-Par-1		grain	dark green - 1.5 cm	Paul Wild (donation)
18-Nig-Par-2		grain	green - 1 cm	Paul Wild (donation)
18-Nig-Par-3	x	grain	green	Paul Wild (donation)
18-Nig-Par-4		grain	light blue-green 1 cm	Paul Wild (donation)
18-Nig-Par-5		grain	light green - 1 cm	Paul Wild (donation)
18-Nig-Par-6		grain	light green - 5 mm	Paul Wild (donation)
18-Nig-Par-7	x	grain	green	Paul Wild (donation)
18-Nig-Par-8		grain	light green - 5 mm	Paul Wild (donation)
18-Nig-Par-9	x	grain	green	Paul Wild (donation)
18-Nig-Par-10	x	grain	green	Paul Wild (donation)
18-Nig-Par-11		grain	light green - 3 mm	Paul Wild (donation)

Location	Brazil, Rio	Grande do N	lorte		Brazil, São Jo	sé de Batalh	а		Mozambiqu	Je			Nigeria			
Sample #	18-BZ-Par	-2bc			19-BZ-SJbB-1	2			18-Moz-Pai	r-20			18-Nig-Par-10			
Analysis	#12	Grain average	Max	Min	#15	Grain average	Max	Min	#8	Grain average	Max	Min	#10	Grain Average	Max	Min
$B_2O_3^a$	11.07	11.03			11.04	11.04			11.05	11.08			10.99	11.05		
SiO ₂	37.32	37.39	37.96	36.93	37.45	37.46	38.22	36.95	38.09	38.16	38.51	37.53	37.60	37.93	37.93	37.16
AI_2O_3	41.75	40.79	42.00	38.82	41.25	41.47	42.23	40.92	40.72	41.10	42.79	40.56	41.08	41.06	41.66	40.56
TiO ₂	0.00	0.03	0.10	0.00	0.03	0.01	0.06	0.00	0.01	0.01	0.06	0.00	0.08	0.06	0.08	0.00
V_2O_3	0.00	0.00	0.03	0.00	0.00	0.00	0.02	0.00	0.00	0.01	0.03	0.00	0.00	0.00	0.02	0.00
Cr_2O_3	0.00	0.00	0.02	0.00	0.00	0.00	0.01	0.00	0.00	0.00	0.01	0.00	0.00	0.00	0.01	0.00
$Fe_2O_3^{a}$	0.00	0.05	0.00	0.00	0.00	0.00	0.20	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
FeO	0.00	0.00	0.18	0.00	0.00	0.03	0.00	0.00	0.01	0.02	0.04	0.00	0.02	0.19	0.19	0.00
MnO	0.59	1.44	2.34	0.06	0.14	0.73	1.47	0.01	1.02	0.86	1.02	0.56	1.41	1.82	1.82	1.36
MgO	0.00	0.01	0.08	0.00	0.00	0.01	0.09	0.00	0.01	0.00	0.01	0.00	0.00	0.01	0.01	0.00
ZnO	0.00	0.45	2.24	0.00	0.00	0.08	0.51	0.00	0.15	0.15	0.19	0.04	0.03	0.00	0.05	0.00
CuO	1.47	1.03	1.89	0.34	1.41	0.98	1.67	0.44	0.40	0.32	0.42	0.18	0.36	0.23	0.50	0.20
Li ₂ O ^b	1.84	1.82			1.99	1.86			1.99	1.97			1.82	1.76		
CaO	0.37	0.23	0.51	0.06	0.26	0.31	0.55	0.08	0.76	0.71	0.82	0.31	0.35	0.30	0.38	0.28
PbO	0.02	0.01	0.05	0.00	0.00	0.01	0.03	0.00	0.07	0.08	0.12	0.04	0.00	0.00	0.05	0.00
Na ₂ O	2.08	2.14	2.57	1.87	2.05	1.98	2.19	1.82	1.99	1.97	2.08	1.90	1.97	2.09	2.15	1.97
K ₂ O	0.02	0.01	0.02	0.00	0.01	0.01	0.02	0.00	0.01	0.01	0.02	0.01	0.02	0.01	0.02	0.00
Bi ₂ O ₃	0.03	0.05	0.34	0.00	0.14	0.06	0.28	0.00	0.01	0.02	0.06	0.00	0.15	0.08	0.16	0.07
F	1.10	1.03	1.34	0.68	0.80	0.94	1.33	0.75	1.22	1.08	1.29	0.71	0.95	1.07	1.20	0.80
Cl	0.00	0.00	0.01	0.00	0.00	0.00	0.01	0.00	0.00	0.00	0.01	0.00	0.00	0.00	0.01	0.00
H_2O^a	3.25	3.32			3.43	3.33			3.09	3.14			3.20	3.12		
Subtotal	100.89	100.83			99.99	100.31			100.60	100.68			100.02	100.76		
O=F	0.46	0.43			0.34	0.40			0.51	0.46			0.40	0.45		
Total	100.43	100.40			99.66	99.91			100.09	100.23			99.62	100.32		
	15 Y+Z+7	Г cation norm	nalization													
B site: B ^a	3.000	3.000			3.000	3.000			3.000	3.000			3.000	3.000		

 TABLE 1. Representative Paraiba tourmaline analyses of blue regions, average grain compositions and Maximum/Minimum values of the grains.

	F 0.04	5 000	5.00		5 000	F 00F	5.044	F 0 4 7
T site: Si	5.861	5.893	5.89	97 5.898	5.990	5.985	5.944	5.947
В	0.000	0.000	0.00	0.000	0.000	0.000	0.000	0.000
Al	0.139	0.107	0.10	0.102	0.010	0.015	0.056	0.053
T site total	6.000	6.000	6.00	6.000	6.000	6.000	6.000	6.000
	7 726	7 575	7 6	G 7 602	7 5 4 9	7 507	7 654	7 65 2
AI (total)	7.720	1.373	7.0.	7.095) /.340	1.591	7.034	7.032
Z site: Al	6.000	6.000	6.00	6.000	6.000	6.000	6.000	6.000
Cr ³⁺	0.000	0.000	0.00	0.000	0.000	0.000	0.000	0.000
V ³⁺	0.000	0.000	0.00	0.000	0.000	0.000	0.000	0.000
Fe ^{3+ a}	0.000	0.000	0.00	0.000	0.000	0.000	0.000	0.000
Mg	0.000	0.000	0.00	0.000	0.000	0.000	0.000	0.000
Z site total	6.000	6.000	6.00	6.000	6.000	6.000	6.000	6.000
	1 507	1 469	1 5	-2 1 5 0 1	1 520	1 500	1 500	1 500
Y SITE: AI	1.587	1.468	1.5:	1.591	1.538	1.582	1.598	1.599
 3+	0.000	0.003	0.00	0.002	0.001	0.001	0.009	0.003
V ³⁺	0.000	0.000	0.00	0.001	0.000	0.001	0.000	0.001
Cr ³⁺	0.000	0.000	0.00	0.000	0.000	0.000	0.000	0.000
Fe ^{s+}	0.000	0.006	0.00	0.000	0.000	0.000	0.000	0.000
Fe ²⁺	0.000	0.000	0.00	0.004	0.001	0.002	0.002	0.009
Mn ²⁺	0.078	0.193	0.03	L9 0.097	0.135	0.114	0.188	0.211
Mg	0.000	0.003	0.00	0.002	0.003	0.001	0.000	0.000
Zn	0.000	0.052	0.00	0.009	0.018	0.017	0.004	0.001
Cu	0.174	0.123	0.10	57 0.117	0.047	0.037	0.043	0.040
Li ^a	1.160	1.151	1.2	57 1.178	3 1.256	1.245	1.155	1.137
Y-site total	3.000	3.000	3.00	3.000	3.000	3.000	3.000	3.000
X site: Ca	0.061	0.039	0.04	15 0.052	0.129	0.120	0.058	0.052
Ph	0.001	0.000	0.0	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0.003	0.003	0.000	0.001
Na	0.633	0.653	0.6	0.000 07 0.603	0.607	0.599	0.605	0.628
Νa K	0.000	0.003	0.0	1 0.003	0.007	0.003	0.003	0.020
к вi ³⁺	0.004	0.003				0.003	0.005	
DI Vicito vecene:	0.001	0.002	0.0	0.002			0.000	0.005
A-site vacancy	1.000	0.503	0.3		0.259	0.275	0.328	0.311
x-site total	1.000	1.000	1.00	JO T.000	1.000	1.000	1.000	1.000

V+W site: OH	3.401	3.488	3.602	3.501	3.245	3.286	3.377	3.328
V site: OH ^a	3.000	3.000	3.000	3.000	3.000	3.000	3.000	3.000
V site: O	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
W site OH ^a	0.401	0.488	0.602	0.501	0.245	0.286	0.377	0.328
W site: F	0.546	0.512	0.398	0.467	0.605	0.538	0.474	0.505
W site: Cl	0.000	0.001	0.000	0.001	0.000	0.000	0.000	0.000
W site O	0.053	0.000	0.000	0.031	0.150	0.176	0.149	0.166
V,W-site total	4.000	4.000	4.000	4.000	4.000	4.000	4.000	4.000
	Fluor-	Fluor-		Filesite	Fluor-	Fluor-	Fluor-	Fluor-
species	elbaite	elbaite	Elbalte	Elbaile	elbaite	elbaite	elbaite	elbaite

Notes: Minimum detection limits of minor and trace elements (in wt% oxides): $TiO_2 = 0.007$; V_2O_3 , $Cr_2O_3 = 0.013$; FeO, MnO, MgO = 0.016; ZnO = 0.026; PbO= 0.021; K_2O = 0.009.

^a Calculated based on stoichiometry and/or charge balance.

^b Calculated based on the Li-estimation procedures of Pesquera et al. (2016)



Figure 2

















Mozambique

94% success



□ Brazil RGdN ■ Brazil SJdB ○ Mozambique △ Nigeria

Figure 8







96% success







75% success

Nigeria 75% success



Brazil, both locations

Mozambique

Nigeria









