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4	Machine Learning Applied to Apatite Compositions for Determining Mineralization Potential
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ABSTRACT

20 Apatite major and trace element chemistry is a widely used tracer of mineralization, as it 21 sensitively records the characteristics of the magmatic-hydrothermal system at the time of its 22 crystallization. Previous studies have proposed useful indicators and binary discrimination 23 diagrams to distinguish between apatites from mineralized and unmineralized rocks; however, 24 their efficiency has been found to be somewhat limited in other systems and larger scale datasets. 25 This work applied a machine learning (ML) method to classify the chemical compositions of apatites from both fertile and barren rocks, aiming to help determine the mineralization potential 26 27 of unknown system. Approximately 13,328 apatite compositional analyses were compiled and 28 labeled from 241 locations in 27 countries worldwide, and three apatite geochemical datasets 29 were established for XGBoost ML model training. The classification results suggest that the 30 developed models (accuracy: 0.851-0.992; F1 score: 0.839-0.993) are much more accurate and 31 efficient than conventional methods (accuracy: 0.242–0.553). Feature importance analysis of the 32 models demonstrates that Cl, F, S, V, Sr/Y, V/Y, Eu*, (La/Yb)_N, and La/Sm are important 33 variables in apatite that discriminate fertile and barren host rocks and indicates that V/Y and Cl/F 34 ratios and the S content, in particular, are crucial parameters to discriminating metal enrichment 35 and mineralization potential. This study suggests that ML is a robust tool for processing high-36 dimensional geochemical data and presents a novel approach that can be applied to mineral 37 exploration.

38 Keywords: Apatite; Major and Trace Element; Machine Learning; Mineralization Potential; 39 XGBoost

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INTRODUCTION

Apatite (Ca₅[PO₄]₃[F,Cl,OH]) is a ubiquitous accessory mineral in most igneous and 41 42 metamorphic rocks and derived clastic sediments and is relatively resistant to weathering 43 (O'Sullivan et al., 2020). It is considered to be an ideal indicator mineral, given its chemical 44 composition sensitivity to the crystallization environment (Bruand et al., 2017; Mao et al., 2016). 45 Trace elements and volatile chemistry and isotopic signature of apatites can characterize diverse 46 crystallization environments, including magmatic systems (Cao et al., 2022; Gao et al., 2020; Li et al., 2021; Long et al., 2023; Palma et al., 2019; Qu et al., 2021; Tang et al., 2021; Xu et al., 47 48 2023; Zhang et al., 2021), low-grade metamorphic systems (Bea and Montero, 1999; El Korh et al., 2009; Henrichs et al., 2018; Nutman, 2007), and sedimentary environments (Joosu et al., 49 50 2016). Accordingly, the trace element chemistry of apatite is widely used to characterize the 51 lithology of source rocks (Belousova et al., 2002), including tracing detrital provenance (Bruand 52 et al., 2017; Dill, 1994; O'Sullivan et al., 2018; O'Sullivan et al., 2020), and used to constrain 53 petrogenetic process (Chu et al., 2009; La Cruz et al., 2020; Sun et al., 2022; Tollari et al., 2008; 54 Zafar et al., 2019), especially for revealing the origin and evolution of magma (Gao et al., 2020; 55 Meng et al., 2021; O'Reilly and Griffin, 2000). Moreover, the major and trace element chemistry 56 of apatite is applied to mineral exploration (Belousova et al., 2002; Cao et al., 2012; Mao et al., 57 2016; Sha and Chappell, 1999; Xu et al., 2015). A series of indicators, including Sr/Y, Mn, 58 Eu/Eu*, Th/U, La/Sm, and (Ce/Yb)_N (Belousova et al., 2002), and several binary classification 59 diagrams, such as Sr vs. F (Mn, Y, (La/Yb)_N, Eu/Eu*), F/Cl vs. F (Azadbakht et al., 2018; Cao et 60 al., 2012; Zhong et al., 2018), Cl vs. Eu/Eu* (Mao et al., 2016), V/Y vs. REE+Y, Cl vs. SO₃, and ⁸⁷Sr/⁸⁶Sr vs. Cl/F, are commonly used to diagnose the metallogenic fertility of magmatic rocks. 61 62 (Xu et al., 2021). Unfortunately, as interest in apatite has recently increased and numerous major

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63 and trace element data have been reported (Adlakha et al., 2018; Bruand et al., 2019; Cao et al., 64 2022; Chakhmouradian et al., 2017; Chen and Zhang, 2018; Chen et al., 2019; Gao et al., 2020; 65 Glorie et al., 2019; Henrichs et al., 2018; Hoshino et al., 2017; La Cruz et al., 2020; Li et al., 66 2021; Liu et al., 2021; Long et al., 2023; Lupulescu et al., 2017; Meng et al., 2021; Mercer et al., 67 2020; Palma et al., 2019; Ou et al., 2021; Sun et al., 2022; Tang et al., 2021; Xie et al., 2018; Xu 68 et al., 2023; Yang et al., 2018; Zafar et al., 2019; Zhang et al., 2021), it is challenging to validate 69 these individual indicators and binary discrimination techniques due to a large area overlap of 70 compositional spots, suggesting that those traditional low-dimensional classifiers that seemed to 71 work well in specific systems might be invalid in other systems or datasets of larger scales. 72 Consequently, a novel data processing method that can handle high-dimensional compositional 73 data is imperative for identifying robust indices to aid in exporing various systems for new 74 mineral resources.

75 The field of machine learning (ML) encompasses the use of computational algorithms to discern 76 patterns within datasets, which can subsequently be applied to make predictions. ML offers a 77 robust toolkit for decoding latent information within high-dimensional data. Over the past few 78 years, there has been an explosion of interest in the applications of ML to solid Earth geoscience 79 (Li et al., 2023). ML has been widely applied in earthquake phase detection and seismicity 80 classification (Cianetti et al., 2021; Linville, 2022), geophysical data processing and image 81 interpretation (Xiao et al., 2021), geophysical inversion (Cai et al., 2022), and multi-physical and 82 multidisciplinary information integration. Given the complexity and diversity of geochemistry 83 data, ML-based classification methods have emerged as a promising approach that outperforms 84 conventional methods, especially in large-scale geological processes, such as in predicting 85 mantle metasomatism worldwide (Qin et al., 2022), revealing source compositions of intraplate

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86 basaltic rocks (Guo et al., 2021), identifying primary water concentrations in mantle pyroxene 87 (Chen et al., 2021), determining the quartz-forming environments (Wang et al., 2021), and 88 classifying the source rocks of detrital zircons (Zhong et al., 2023a, 2023b). In the field of 89 mineral exploration, two studies tried to apply ML to characterize magma fertility based on 90 zircon compositional data, aiming to identify porphyry copper mineralization potential (Zhou et 91 al., 2022; Zou et al., 2022). Tan et al. (2023) employed partial least squares discriminant analysis 92 (PLS-DA) to the apatite trace element dataset (4,298 data) to distinguish between apatites from 93 different types of deposits and rocks. Their plots could not directly discriminate ore magmatic 94 and hydrothermal apatites, but showed a great potential in classifying barren and ore magmatic 95 apatites from granitoid-related deposits and highlighted the role of V, Eu, and Sr for classification. 96

97 Here, three global datasets of the major and/or trace element chemistry of apatites were compiled 98 from both mineralized and unmineralized rock samples, and a series of XGBoost models were 99 trained to determine the mineralization potential. The classification results compared with 100 traditional binary diagrams demonstrated an improvement in accuracy and efficiency in 101 discriminating whether apatite is derived from a fertile rock suite or a barren suite. In addition, 102 the feature importance analysis suggested that V/Y and Cl/F ratios and the S content are crucial 103 to metal enrichment and mineralization.

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104 DATA COMPILATION AND LABELING

105 **Data Compilation**

All of the apatite compositional data used for modeling were collected and compiled from 241 locations in 27 countries worldwide (Figure 1) from preexisting literature. Each location included multiple samples and analyses. This raw dataset (Table S1) contains 13,382 rows of compositional data, including spot analyses and mean values for those references in which spot analyses were not given. Figure 2 shows an overview of the elements and geochemical parameters contained in this dataset. All the data and related sources can be found at https://github.com/YuyuJo/Supplementary-file-for-AM-9115R.

113 Data Labeling

114 Analyses of apatites collected from rock samples with obvious mineralization that formed in 115 association with mineral deposits were labeled as "Mineralized." The analyses of apatites in 116 barren rocks were labeled as "Unmineralized." Descriptions regarding the deposit and rock 117 samples (including location, mineralization, and alteration information) can be easily found in 118 the literatures when collecting apatite data. Based on these criteria, 9,104 and 4,278 analyses 119 were labeled as "Mineralized" and "Unmineralized," respectively. The deposit type of each data 120 was also identified based on the classification in Mao et al. (2016). For data with "Mineralized" 121 labels, their deposit types included porphyry (no. = 2,251), skarn (5,075), orogenic Au (875), 122 carbonatite deposits (207), iron oxide Cu-Au (IOCG, 80), Kiruna type (IOA, 579), orogenic Ni-123 $Cu \pm platinum$ group element (28), and epithermal Au–Ag (9).

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124 Sub-Dataset Construction

The raw dataset was divided into three subsets to further differentiate the role of major and trace elements. The analyses of samples containing CaO, P_2O_5 , SO₃, Cl, and F were selected as "Major" dataset, and the analyses of samples including trace elements were selected as "Trace" dataset. The analyses with both major and trace elements were set into the "Major and Trace" dataset.

130 To preprocess the collected data, the initial step involved handling the missing values, whereby 131 any element with missing values >60% of the entire column was excluded. After this filtering, 132 the "Major" dataset comprised 5,618 analyses (Table S2). The features therein included CaO, P₂O₅, SO₃, F, Cl, FeO, MnO, Na₂O, SiO₂, and Cl/F. The "Trace" dataset (Table S3) contained 133 134 9,979 data and included V, Mn, Rb, Sr, Y, Zr, La, Ce, Pr, Nd, Sm, Eu, Gd, Tb, Dy, Ho, Er, Tm, 135 Yb, and Lu. Additionally, certain geochemical parameters, which are considered significant for 136 mineralization and magma evolution, were computed and added to the "Trace" dataset. These parameters included LREE, HREE, Sr/Y, V/Y, Ce/Nd, Eu*, Ce*_N, Eu_N/Eu*_N, Ce/Ce*, 137 138 Eu/Eu*/Y, REE+Y, (La/Yb)_N, and La/Sm. The "Major and Trace" dataset (Table S4) included 139 2,448 analyses and 43 features (CaO, P₂O₅, SO₃, F, Cl, FeO, Cl/F, SiO₂, Na₂O, MgO, Rb, Sr, Y, 140 Zr, La, Ce, Pr, Nd, Sm, Eu, Gd, Tb, Dy, Ho, Er, Tm, Yb, Lu, Th, U, Sr/Y, V/Y, Ce/Nd, Eu*, 141 Ce*_N, Eu_N/Eu*_N, Ce/Ce*, Eu/Eu*/Y, REE+Y, (La/Yb)_N, La/Sm, LREE, HREE).

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METHODS

144 ML Algorithms

145 XGBoost is an ML system based on gradient tree boosting, which was originally proposed by 146 Friedman (2001). It has gained widespread recognition in numerous ML and data mining 147 challenges due to its ability to solve real-world-scale problems using minimal resources (Chen 148 and Guestrin, 2016; Python et al., 2021). XGBoost is a distributed gradient boosting library that 149 has been optimized for high efficiency and flexibility. Its flexibility is exemplified by its ability 150 to handle sparse data with multiple possible causes, including missing values and frequent zeros. 151 In addition, its parallel and distributed computing capabilities facilitate faster learning, enabling 152 quicker model exploration. The highly scalable end-to-end tree boosting system allows for 153 efficient scaling to larger datasets with minimal cluster resources (Chen and Guestrin, 2016). 154 Moreover, the tree structure of XGBoost enables the identification of important features and 155 enhances the interpretability of results (Azodi et al., 2020; Qin et al., 2022), which is beneficial 156 in elucidating the relationship between apatite composition and mineralization and exploring the 157 geochemical implications.

158 XGBoost is an ML algorithm that operates under a gradient boosting framework. Its training 159 methodology is additive, with each new tree added to fit the residuals of the prior predictions. 160 The results of all the trees are summed up to obtain the final predictions. Given a dataset 161 $D = \{(xi, yi)\} (|D| = n, xi \in Rm, yi \in R)$ with n examples and m features, the output of a 162 tree ensemble model that uses K additive functions is predicted as a sum of k times scores:

$$\hat{y}_i = \varphi(x_i) = \sum_{k=1}^{K} f_k(X_i), \ f_k \in \mathcal{F},$$
(1)

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163 where $\mathcal{F} = \{f(x) = w_{q(x)}\}$ $(q: \mathbb{R}^m \to T, w \in \mathbb{R}^T)$ represents the space of regression trees, the 164 function q denotes the structure of each tree that maps an example to the corresponding leaf 165 index, *T* is the number of leaves in the tree, each f_k corresponds to an independent tree structure 166 q and leaf weights *w*, and *w_i* represents the score on the i-th leaf (Chen and Guestrin, 2016). 167 The following regularized objective is constructed and minimized to evaluate the quality of a tree

168 structure q:

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$$\mathcal{L}(\varphi) = \sum_{i} l(\hat{y}_{i}, y_{i}) + \sum_{k} \Omega(f_{k})$$
(2)

170

171 where $\Omega(f) = \gamma T + \frac{1}{2}\lambda ||w||^2$. The regularization term penalizes the complexity of the model and 172 helps to smooth the final learned weights to avoid overfitting. The parameter γ controls the 173 degree of regularization, while λ controls the strength of the penalty. In this equation, *l* is a 174 differentiable convex loss function that measures the difference between the prediction \hat{y}_i and 175 the target y_i .

176 Model Construction Processes

A four-step modeling process was employed to construct a classification model that best fittedthe apatite compositional data (Figure 3).

179 Data Preprocess and Splitting. All three sub-datasets were used to train the classification 180 models. Taking the "Trace" dataset as an example, the elemental data were used as input without 181 any transformation. The inputed dataset was first split into "Features" and "Class" subsets, which

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were uniformed as 0 (Unmineralized) and 1 (Mineralized) using the "LabelEncoder" function.
Maintaining the original proportion of each class, both subsets were randomly split into training
(80%) and test (20%) sets.

185 **XGBoost Modeling.** To avoid overfitting, a fivefold cross-validation (Kohavi, 1995) was 186 employed to train the model. The training set was divided into five folds of equal sizes, where 187 four subsets were used to train the ML model, and the left-out fold was used for validation and 188 classification evaluation. This process was repeated five times, with each validation fold being 189 different, and the output score represented the mean value of all five predictions.

Model Hyperparameter Tuning. A fivefold cross-validation approach was utilized in conjunction with a grid search strategy to optimize the XGBoost model. This strategy exhaustively generated candidates from a grid of parameter values and selected the candidate with the highest output scores, as evaluated by a predefined metric. Specifically, the goal of the grid search procedure was to identify the optimal combination of hyperparameters (eta, gamma, max depth, and alpha) and to generate 3,600 candidates from which the optimal model was selected.

197 Model Validation and Evaluation. Predictions were obtained by applying the test set to 198 the above optimal XGBoost model. To clearly observe the classification results, the predictions 199 were generally displayed as a confusion matrix (Stehman, 1997), of which the rows represent the 200 true number of each class (from labeled dataset) and the columns display the predicted number 201 of each class. The commonly used classification metrics for evaluating the model performance 202 can be calculated based on the confusion matrix. Here, the accuracy and the F1 score were used 203 as the evaluation indicators of the model. For the convenience of description, true "Mineralized," 204 which was also predicted as "Mineralized," is abbreviated as "MM"; true "Mineralized," which

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was falsely predicted as "Unmineralized," is abbreviated as "MU"; true "Unmineralized," which

- was also predicted as "Unmineralized," is abbreviated as "UU"; and true "Unmineralized,"
- 207 which was falsely predicted as "Mineralized," is abbreviated as "UM."
- 208 Accuracy is a metric that measures the number of correctly predicted cases relative to the total

209 number of samples used. It is calculated as the ratio of the number of correct predictions to the

sum of all the utilized samples, which can be expressed as

$$Accuracy = \frac{MM + UU}{MM + MU + UU + UM}$$
(3)

The F1 score is a measure of classification accuracy that combines precision and recall.Specifically, it is the harmonic mean of precision and recall and is expressed as

$$F1 \ score = 2 \times \frac{Precision \times Recall}{Precision + Recall}$$
(4)

Precision is a measure of the accuracy of predictions, and it indicates the probability that a sample is truly positive among all samples predicted to be positive. Taking class "Mineralized" as an example, precision can be calculated as follows:

$$Precision = \frac{MM}{MM + UM}$$
(5)

Recall is a measure of how well the classifier identifies the actual positive cases, and it indicates the probability that a sample predicted to be positive is actually positive. Taking class "Mineralized" as an example, recall can be calculated as follows

$$Recall = \frac{MM}{MM + MU} \tag{6}$$

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RESULTS

221 Classification Results and Feature Importance

222 A total of 14 XGBoost models were trained using three apatite compositional datasets based on 223 different feature selection. Five models were trained using the "Major and Trace" dataset, and 224 the number of selected features was 43, 35, 22, 12, and 6, respectively (Table 1). Two models 225 were trained using the "Major" dataset. All the ten major elements were applied to train model 226 M-1, while four selected elements to model M-2. The "Trace" dataset was thought to be 227 important to identify mineralization and was thus employed to train seven XGBoost models. The 228 related feature numbers were sequentially set as 33, 28, 21, 14, 7, 3, and 2. The classification 229 results of these XGBoost models are displayed as confusion matrices. Figure 4 shows the results 230 of the four representative models. Table 1 presents the F1 scores and accuracies of 14 models, 231 which were calculated based on the classification confusion matrices.

232 The relative importance of all the features used in each model was obtained from the XGBoost 233 algorithm to determine the elements of apatite that are highly relevant to mineralization (Table 234 1). Among all the models for the "Trace" dataset, V, Sr, Y, Eu, Ce, and Rb appeared most 235 frequently in the top ten feature relative importance. Vanadium was particularly important in the 236 rank. Of all five models in which V was selected, the relative importance of V was highest in 237 four and ranked second in the remaining one. Some geochemical parameters also contributed to 238 the rank, including Sr/Y, V/Y, Eu*, (La/Yb)_N, and La/Sm. In the two models for the "Major" 239 dataset, the content of SO_3 showed the highest relative importance. However, the proportion of 240 each feature was quite uniform. The features that played a key role in the models for the "Major

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and Trace" dataset were somehow familiar to those in the models for the "Trace" dataset. Inaddition, Cl, F, and Cl/F were also noteworthy.

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244 Feature Selection and ML Model Performance Evaluation

The variance of classification metrics presented in Table 1 has been shown to be related to the input dataset type and the number of selected features in the model. The highest F1 scores of the models are 0.9939 and 0.9933 obtained by models T-1 and M-T-1, respectively, while that of models trained by the "Major" dataset is 0.9259 obtained by model M-1. The accuracies of T-1 and M-T-1 are 0.9900 and 0.9918, respectively, while that of M-1 is 0.9386. This indicates that the models trained using the "Trace" and "Major and Trace" datasets achieved better performance than those using the "Major" dataset.

The classification results in Table 1 also suggest a positive correlation between feature number and model performance. As displayed in Figure 5, the XGBoost models achieved higher scores when they are trained on more elements and geochemical parameters, which was also observed in other research (Qin et al., 2022). For instance, the accuracy and F1 score increased from 0.9146 and 0.8507 for model T-7 (no. of features = 2) to 0.9682 and 0.9474 for model T-5 (no. of features = 7) and 0.9939 and 0.9900 for model T-3 (no. of features = 33).

Furthermore, feature selection also showed a salient effect on the model performance, as evidenced by models M-T-4 and T-3. The features selected to train model M-T-4 were almost the same as the crucial elements and geochemical parameters summarized in Section 4.1, leading to the result that although the model was trained only on 12 features, its score is slightly higher

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than that of M-T-2 and M-T-3 that were trained on 35 and 22 features, respectively. This effect was even more pronounced in models trained using "Trace" datasets. Model T-4 was trained on a feature dataset consisting entirely of 14 rare-earth elements, but scored worse than model T-5 (no. of features = 7). This indicates that REEs might not play a positive role in training the

266 XGBoost model for discriminating between fertile and barren apatites in general. As shown in

267 Figure 5, the performance of model T-3 trained on 21 features without REEs was better than that

268 of T-2 trained with 28 features with REEs.

269 On the whole, 10 of the 12 models could correctly classify more than 90% samples of the test set 270 (accuracy > 0.9), indicating the excellent performance of the models in this study for distinguishing between "Mineralized" and "Unmineralized" apatites. Among all 14 models, 271 272 model M-T-1 achieved the highest scores for both training and test sets. In the results of this 273 model, all samples in the training set were correctly classified (accuracy = 1), and more than 274 99% samples of the test set were correctly classified (accuracy = 0.9918). Elemental data 275 obtained in practice might not be enough as those used for model M-T-1; however, model M-T-4 276 can achieve a similar performance with an accuracy and an F1 score of 0.9878 and 0.900, respectively, when only using 9 elements (12 features). This demonstrates that the classification 277 278 models in this study can work in a variety of situations. However, the XGBoost model 279 performance sharply declined when the number of selected features decreased to 2 (Figure 5). 280 Given the overall classification results, it was clear that the XGBoost models in this study can 281 achieve excellent performance when proper feature selection was performed and can be applied 282 in various scenarios.

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DISCUSSION

284 Limitation of Conventional Apatite Fertility Indicators

285 Previous research has suggested that magmas characterized by high water content, high Sr/Y 286 ratio, and high oxidation state play a vital role in the genesis of porphyry Cu deposits (Lu et al., 287 2015; Richards, 2011; 2015). Recent investigations have indicated that chlorine and sulfur are 288 crucial components of ore-forming fluids due to their ability to form complexes with ore metals, 289 including Cu, Au, Pb, Zn, Fe, and Mo (Hsu et al., 2019; Xu et al., 2021; 2022). These 290 geochemical signatures of magma could be inherited by apatite crystallized from such fertile 291 magmas. Accordingly, various apatite fertility indicators, such as Sr/Y, (Ce/Yb)_N, Cl/F, V/Y, and 292 (Ce/Pb)_N, have been proposed to distinguish between fertile and barren suites (Belousova et al., 293 2002; Mao et al., 2016; Xu et al., 2021). In this study, the performance of several traditional 294 apatite fertility indicators was evaluated using the raw dataset (Figure 6). However, the efficacy 295 of these indicators in predicting mineralization at a global scale was found to be limited, despite 296 their effectiveness in specific metallogenic systems, such as porphyry deposits; for instance, Xu 297 et al. (2021) proposed three indicators in apatite that worked effectively in differentiating fertile 298 and barren porphyries. However, it only showed the best accuracy of 0.553 (Figure 6a) when 299 applied to the dataset in this study. More precisely, the Cl/F ratio-based classification (Figure 6a) 300 vielded a true-positive rate (TPR) of 0.421 for fertile apatite and a true-negative rate (TNR) of 301 0.580 for barren apatite. The biplot of V vs. Y (Figure 6b) has an accuracy, TPR, and TNR of 302 0.261, 0.866, and 0.026, respectively, indicating its ability to identify fertile apatite but not 303 barren apatite. Comparative scores are 0.423, 0.007, and 0.919 for Cl/F vs. (Ce/Pb)_N biplot 304 (Figure 6c), 0.242, 0.181, and 0.640 for V/Y vs. (Ce/Pb)_N biplot (Figure 6d), and 0.299, 0.172,

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and 0.750 for V/Y vs. Cl/F biplot (Figure 6e), indicating that the $(Ce/Pb)_N$ ratio might perform better in determining barren apatite.

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The traditional discrimination diagrams exhibit low accuracies (from 0.242 to 0.553) on a global 308 309 scale dataset and could result in inconclusive findings and imprecise mineralization targets when 310 applied to mineral exploration. As an increasing amount of geochemical data pertaining to 311 apatite becomes publicly accessible, the limitations of individual geochemical indicators are 312 becoming progressively conspicuous. One major limitation is the lack of transferability of a 313 geochemical indicator that accurately identifies fertile rocks in one system to another. 314 Additionally, traditional methods that combine limited indicators could not comprehensively 315 introduce the underlying pattern of multiple elements and efficiently assess the metallogenic 316 fertility.

317 Accordingly, an ML model that can process high-dimensional geochemical data is considered to 318 be a robust mineral exploration tool. The XGBoost models in this study are apparently more 319 accurate and efficient than traditional elemental biplots with accuracies ranging from 0.8507 to 320 0.9918, suggesting a higher success rate during prospecting and exploration. In addition, ML can 321 simultaneously integrate all apatite trace element features and directly capture the relationships 322 between geochemical data and mineralization. The advantage of this approach is the applicability 323 of results to any geological environment, while the disadvantage is that it required a systematic 324 and comprehensive apatite geochemical dataset from worldwide occurrences. With the growth in 325 the volume of geochemical data on apatite from various deposit types, ML models trained on 326 such datasets are likely to become more sophisticated and accurate. This is because ML

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327 algorithms excel at identifying complex patterns and relationships in large datasets, which can 328 lead to more precise discrimination of mineralization. As a result, hopes are high for the 329 robustness of this ML approach in mineral exploration. With the continuous expansion of 330 geochemical databases and the ongoing refinement of ML algorithms, further improvements in 331 the performance of these models are expected.

332 Model Application and Limitation

333 To further substantiate the reliability of our model and elucidate its potential applications, a set 334 of unlabelled apatite compositional data reported by Xu et al. (2021) was employed as a 335 validation dataset (Table S5). These apatites were extracted from rock samples collected in 12 336 distinct localities spanning Iran and western China (Tibet and Yunnan) which include both 337 barren localities (Liuhe, Nanmugie, Renduoxiang, Songgui, Wolong) and porphyry deposits 338 (Beiya, Chongmuda, Jiama, Machangging, Masjed Daghi, Qulong, Zhunuo). In an effort to strike 339 a balance between feature quantity and model performance, we utilized the cost-effective model 340 M-T-5 to predict the fertility of the host rocks of these apatites and the corresponding 341 mineralization potential in the respective regions. To render these data points visually 342 interpretable, Principal Component Analysis (Smith, 2002) was employed to reduce their 343 dimensionality to two dimensions. Figure 7a illustrates that their distribution primarily aligns 344 with the clusters of the "Major & Trace" subset, signifying the comprehensive spectrum covered 345 by our established database.

After applying model M-T-5 on the validation dataset and then organizing the resulting probability values and prediction results (Figure 7b-f and Table 2), the robus performance of our model was reconfirmed. As evident from Figure 7b and Table 2, apatites originating from fertile

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rock occurrences in all seven instances were accurately identified, with five of them exhibiting a 100% likelihood of mineralization, while the remaining two displayed probabilities exceeding 70%. Additionally, four barren rock samples were predicted with high probabilities, whereas the prediction results for apatite from Renduoxiang displayed suboptimal performance.

353 These cases emphasize the optimistic perspective for utilizing ML models and the compositional 354 data of apatite to predict the mineralization potential in this region. However, it is crucial to note 355 that our current database exhibits a degree of data imbalance, with a predominant proportion of 356 apatite data from porphyry and skarn deposits. This imbalance may render our models more 357 sensitive to these two systems. Hence, it is imperative to conduct a thorough examination of data 358 distribution before deploying the models, and data clusters that substantially deviate from our 359 database's distribution should be used judiciously. This highlights the need for larger-scale and 360 more diverse datasets, which relies on contributions of more geological researchers.

361 Geochemical Explanation for ML Model

The feature importance ranks of 14 XGBoost models reveal that several indicators are highly 362 relevant with mineralization based on our dataset, including Cl, F, S, V, Sr/Y, V/Y, Eu*, 363 364 $(La/Yb)_N$, and La/Sm. This is in consistent with the conclusions of previous studies (Lu et al., 365 2015; Richards, 2011; 2015; Xu et al., 2021; 2022). Several observations of higher Cl and S 366 contents in fertile than barren apatites (Chelle-Michou and Chiaradia, 2017; Xu et al., 2021; Zhu 367 et al., 2018) and fluid inclusion studies (Sillitoe, 2010) highlighted the significance of chlorine 368 and sulfur in supporting the transport and deposition of ore metals at magmatic hydrothermal 369 systems (Duan et al., 2021; Wang et al., 2021a; Zheng et al., 2021). These two elements form 370 ligands with ore metals, such as Cu, Au, Pb, Zn, Fe, and Mo, allowing their transport to the site

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371 of ore deposition and are involved in causing hydrothermal alteration. Additionally, the V/Y 372 ratio was proved to be high in apatite crystallized from ore-forming magma (Xu et al., 2021). 373 The presence of elevated V contents in the host magma indicates high levels of dissolved H₂O in 374 the melt, which was also recognized as a crucial factor for mineralization (Chiaradia, 2014; Lu et 375 al., 2015). The mechanism therein is that amphibole has a more wide-ranging crystallization 376 sequence than titanomagnetite in high H₂O content melt environment, leading to the retention of 377 more V in the residual melt and the efficient extraction of Y from the melt into amphibole. 378 Therefore, apatite crystallized from such magmas exhibited small negative Eu anomalies and 379 high V/Y, reflecting early amphibole fractionation and suppression of plagioclase crystallization 380 in hydrous melts (Davidson et al., 2007). Considering the feature importance analysis of this 381 study and the suggestions of previous studies, Cl- and S-enriched hydrous magma seem to be 382 crucial factors in metal enrichment and mineralization.

383

IMPLICATIONS

384 The XGBoost models developed in this study exhibit strong discriminatory power in 385 distinguishing apatite samples from mineralized fertile suites and those from barren suites, with 386 high accuracy and efficiency. This indicates that ML, when integrated with conventional 387 geological and geochemical techniques, can offer a cost-effective and efficient approach to 388 evaluate mineralization. Furthermore, this methodology holds potential for identifying fertile and 389 barren magmas in other systems by other minerals, including quartz, zircon, and titanite. The 390 versatility of ML models trained on different target variables can extend beyond solid Earth 391 science to other fields.

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In conclusion, this study demonstrates the efficacy of ML methods in capturing the intricate relationship between 43-dimensional apatite geochemical data and mineralization. The findings underscore the significant feasibility of ML in analyzing and processing high-dimensional data in solid Earth sciences, which could help elucidate underlying geological events.

396 However, the application of ML methods also has potential pitfalls. Firstly, ML algorithms do 397 not include an inferential component such as an adequate assessment of uncertainty (Frenzel, 398 2023). Secondly, care of the breadth and representativeness of the data should be taken. Within 399 different deposits of same type, factors such as the alteration degree of host rock and the mineral 400 assemblage of apatite can significantly influence its composition. Furthermore, variations in the 401 composition of rock samples from different locations in a deposit are noteworthy. Hence, the 402 volume and representativeness of the data are of paramount importance. A small number of 403 samples cannot adequately represent the characteristics of the entire deposit. Instead, a relatively 404 large sample size is likely necessary to reasonably encompass the extent of the observed 405 variability. In our database, there exists an imbalance in the quantity of "Mineralized" apatite 406 data originating from different types of deposit. For instance, data from porphyry and skarn deposits are more abundant, while data from other deposit types are less represented. 407 408 Consequently, this disparity may result in our model performing more effectively when applied 409 to these two deposit types. Thirdly, interpretational pitfalls must be acknowledged. Owing to the 410 influence of data imbalance and volume, it remains to be verified whether parameters identified 411 by our models as highly sensitive to mineralization are equally effective across all ore systems.

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FIGURE CAPTIONS

FIGURE 1. Representative locations of apatite samples of which analyses were collected in this study. The green points indicate that the analyses were collected from apatites that formed in mineralized rocks and were labeled as "Mineralized." The orange points indicate that the analyses were collected from apatites in unmineralized rock samples and were labeled as "Unmineralized."

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FIGURE 2. Boxplots of major and trace elements and geochemical parameter data of apatite samples worldwide, expressed in wt.% (a) and ppm (b). The box represents the interquartile range (IQR), with the upper (75%) and lower (25%) quartiles demarcated. The outer whiskers extended to 1.5 times the IQR. A horizontal line within the colored box represents the median (50%). The black square symbols and circle symbols indicate the mean and outliers, respectively.

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FIGURE 3. Workflow for the XGBoost modeling in this research. Step I: The labeled apatite dataset ("Major and Trace," "Major," or "Trace" dataset) is read as input and preprocessed and then randomly split into training set (80%) and test set (20%) by the holdout method. Step II: The training set is applied to train the XGBoost model using the fivefold cross-validation method. Step III: The optimal hyperparameters are determined by grid search techniques with the fivefold cross-validation method. Step IV: The best model obtained by Step III is applied to the test set. The classification results will be used to evaluate the model performance.

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696	FIGURE 4.	Confusion matrices	(left) and feature	importance	e ranks	(right)	of four re	presentative
	·		·	,			(D^{-})		

697 XGBoost models. The confusion matrices display the prediction results for each class.

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699 **FIGURE 5.** Correlation between feature selection and XGBoost model performance. n = number

700 of selected features.

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FIGURE 6. Scatterplots of elemental ratios of fertile ("Mineralized") and barren
("Unmineralized") apatite in the raw dataset.

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FIGURE 7. Distribution patterns of the validation dataset (a) and prediction results generated by model M-T-5; (a) distribution patterns displayed after dimension reduction using PCA for the "Major & Trace" dataset and validation dataset; (b) prediction results compilation for each occurrence; (c-f) probabilities of mineralization for the Songgui, Liuhe, Machangqing, and Jiama.

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712 **APPENDIX**

- The data sets and the code for the machine learning models developed in this study are available
- 714 at <u>https://github.com/YuyuJo/Supplementary-file-for-AM-9115R</u>.

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TABLE

717 TABLE 1. Summary of XGBoost Model Metrics and Feature Importance Ranks

		Training Set Test Set		_				
Model	Dataset	No. of Data	No. of Fea. ^a	F1 ^b	Accuracy	°F1	Accuracy	Top 10 feature importance
M-T- 1	"Major & Trace"	2448	43	1.0000	1.0000	0.9933	0.9918	Y, Rb, Cl, Eu, Ce/Ce*, Tm, V/Y, Zr, Sr/Y, Ce
M-T- 2	"Major & Trace"	2448	35	1.0000	1.0000	0.9850	0.9816	Y, V/Y, Rb, Cl, FeO, REE+Y, Sr/Y, Sr, Tb, Cl/F
M-T- 3	"Major & Trace"	2448	22	1.0000	1.0000	0.9884	0.9857	Rb, FeO, Y, Cl, V/Y, Eu, Sr, Eu _N /Eu* _N , (La/Yb) _N , Na ₂ O
M-T- 4	"Major & Trace"	2448	12	0.9996	0.9995	0.9900	0.9878	V/Y, Cl, Cl/F, Y, Sr/Y, F, (La/Yb) _N , Sr, Eu, La/Sm
M-T- 5	"Major & Trace"	2448	6	0.9987	0.9985	0.9733	0.9673	V/Y, Cl/F, (La/Yb) _N , Sr/Y, Eu, SO ₃
M-1	"Major"	5618	10	0.9921	0.9933	0.9259	0.9386	SO ₃ , Cl/F, Na ₂ O, MnO, F, Cl, SiO ₂ , FeO, P ₂ O ₅ , CaO
M-2	"Major"	5618	4	0.9308	0.9424	0.8391	0.8639	SO ₃ , F, Cl/F, Cl
T-1	"Trace"	9979	33	1.0000	1.0000	0.9939	0.9900	Eu*, V, Tb, Rb, Mn, Ce/Ce*, (La/Yb) _N , Sr/Y, Sr, Ce
T-2	"Trace"	9979	28	1.0000	1.0000	0.9849	0.9750	V, Sr/Y, Eu/Eu*/Y, Ce/Ce*, La/Sm, (La/Yb) _N , Tb, Pr, Dy, Sr
T-3	"Trace"	9979	21	0.9998	0.9996	0.9912	0.9855	V, Ce/Ce [*] , Eu [*] , Zr, Sr, Ce [*] _N , V/Y, Rb, Mn, $(La/Yb)_N$
T-4	"Trace"	9979	14	0.9955	0.9926	0.9593	0.9319	Nd, Yb, La, Dy, Tb, Ce, Gd, Tm, Lu, Er
T-5	"Trace"	9979	7	0.9919	0.9866	0.9682	0.9474	V, Sr/Y, Sr, Y, Ce, V/Y, Eu
T-6	"Trace"	9979	3	0.9601	0.9345	0.9543	0.9243	V, Sr, Y
T-7	"Trace"	9979	2	0.9215	0.8626	0.9146	0.8507	Eu, Ce

⁷¹⁸ ^aNumber of elements and geochemical parameters used in the model. ^bCalculated by Equation 4.

719 ^cCalculated by Equation 3.

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Occurrence	Sample source given by Xu et	Prediction results of apatite			
	al. (2021)	Probability of barren rock	Probability of Mineralization		
Beiya	Porphyry-skarn Cu-Au	30%	70%		
Chongmuda	Porphyry-hydrothermal Cu-Mo	0	100%		
Jiama	Porphyry-skarn Cu-Au	0	100%		
Machangqing	Porphyry Cu-Au	25%	75%		
Masjed Daghi	Porphyry Cu-Mo	0	100%		
Qulong	Porphyry Cu-Mo	0	100%		
Zhunuo	Porphyry Cu	0	100%		
Renduoxiang	Barren porphyry	50%	50%		
Liuhe	Barren granodiorite	74%	26%		
Nanmuqie	Barren porphyry	89%	11%		
Songgui	Barren porphyry	98%	2%		
Wolong	Barren granodiorite	100%	0		

721 **TABLE 2. Prediction Results of Model M-T-5 Applied to Unlabeled Validation Set**

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724 **FIGURE 1**



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728 **FIGURE 2**



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731 **FIGURE 3**



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734 **FIGURE 4**



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736 **FIGURE 5**



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740 **FIGURE6**



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743 **FIGURE 7**

