## 1 REVISION 2, Correction date: 01aug 2023

2	Multi-wavelength Raman spectroscopy of natural nanostructured carbons
3	Sergey Isaenko <sup>1,*</sup> , Tatyana Shumilova <sup>1</sup> , Vladimir Bocharov <sup>2</sup> , and Vasily Ulyashev <sup>1</sup>
4	<sup>1</sup> Institute of Geology, Federal Research Centre, Komi Science Centre of the Ural Branch of the
5	Russian Academy of Sciences, Pervomayskaya 54, Syktyvkar 167982, Russia
6	<sup>2</sup> St. Petersburg State University, Ulyanovskaya 1, St. Petersburg, Peterhof, 198504, Russia
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# 9 **ABSTRACT**

10 There is an extensive range of carbon substances with poorly ordered structures that are not 11 well understood. Yet they are important as indicators of conditions of related geological 12 processes. The carbon minerals include nanocrystalline graphite, natural analogues of glass-like 13 carbon (GLC) - shungite and impact ultrahigh-pressure GLC, recently discovered 14 ultranocrystalline diamond, as well as natural carbon nanocomposites of diamond, lonsdaleite 15 and graphite. Studying these natural carbon substances using a standard Raman approach with 16 excitation by visible radiation may lead to a significant distortion of the understanding of their 17 phase states. This paper presents in detail for the first time the spectral features of natural, poorly 18 ordered and multiphase sp<sup>2</sup>-sp<sup>3</sup> carbon composites by multi-wave Raman spectroscopy using 19 laser excitations from visible to ultraviolet light applied to natural low-ordered carbon substances 20 - nanocrystalline graphite and shungite, nanocrystalline and ultranocrystalline diamond, and 21 multiphase carbon aggregates. The carbon state resolution advantages of ultraviolet Raman 22 spectroscopy for phase analysis of nanostructured and poorly ordered polycomponent carbon

substances containing sp<sup>2</sup>- and sp<sup>3</sup>-carbons are presented. Raman spectroscopy with ultraviolet excitation can also be applied in the analysis of industrial carbon materials, such as glassy carbon and functional carbon nanocomposites, including ultranocrystalline diamond, lonsdaleite, and amorphous sp<sup>3</sup>-carbon components.

Keywords: Raman spectroscopy, visible and ultraviolet excitation, natural nanostructured
 carbons

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# Introduction

30 Raman spectroscopy is currently highly sought after as a tool for studying carbon-based 31 substances. Raman spectroscopy can readily identify crystalline substances such as diamond 32 (Krishnan 1946; Ferrari 2002), graphite (Tan et al. 1999a; Tan et al. 2004; Saito 2010; Ferrari 33 2007; Tuinstra, Koenig 1970), and fullerite (Buseck et al. 1992; Kovalevski 2008). Great 34 opportunities are also open to study molecular (Shumilova et al. 2014, Tan et al. 1999b; Wang et 35 al 2012), amorphous (Golubev et al 2019) and low-ordered carbon substances including soot 36 (Sadezky et al. 2005), shungite (Isaenko et al., 2018; Golubev et al 2016), glassy carbon 37 (Yasumaru et al. 2004), nanocrystalline graphite (Ferrari 2007; Gupta et al. 2003; Khanchuk et 38 al. 2013; Plyusnina et al. 2013; Berdnikov et al 2014; Piscanec et al. 2004), diamond-like carbon 39 (Ferrari 2002, Ferrari, Robertson 2001, 2004; Shumilova et al. 2013), nanocrystalline diamond 40 (Chen et al. 2018; Davydov et al. 2004; Davydov et al. 2006; Goryainov et al 2014; Filik et al. 41 2006; Prawer et al. 2000; Kis et al., 2016; Shumilova et al. 2016; Mermoux 2017) and 42 ultrananocrystalline diamond (Shenderova 2006; Shumilova et al. 2020). The analysis and 43 interpretation of low-ordered substances are more complex, often necessitating the use of 44 complementary techniques such as transmission electron microscopy (TEM) for structural and 45 chemical analyses. Although amorphous carbon, nanocrystalline diamonds, and diamond-like 46 carbon were studied by multi-wavelength Raman spectroscopy (Ferrari, Robertson 2001, 2004;

Filik et al. 2006), low-ordered/nanocrystalline carbonaceous matters with sp<sup>2</sup> hybridization have
mostly been investigated by visible Raman spectroscopy (Wopenka, Pasteris, 1993; Tuinstra,
Koenig, 1970; Beyssac et al 2002).

50 When comparing Raman spectroscopy data of nanocrystalline diamonds, diamond-like 51 carbon, and graphite-like substances, it has been observed that the Raman signal originating from 52 the sp<sup>3</sup> carbon state, which is excited by visible lasers in low-crystalline and amorphous 53 substances, can be overlapped by the D-band of graphite-like carbon (Ferrari, Robertson 2004). It 54 is known that a visible 633 nm laser beam excites a D-band centered at 1330 cm<sup>-1</sup>, which is a characteristic of low-ordered sp<sup>2</sup> carbon substances, such as shungite (Golubev 2013; Golubev et 55 56 al. 2016; Sychov et al. 2016) and nanocrystalline graphite (Danilova et al. 2015; Tan 2004; 57 Merlen et al. 2017). The band is close to the diamond  $T_{2g}$  mode position at 1332 cm<sup>-1</sup> (Krishnan 58 1946), which complicates the interpretation of the Raman spectra of poor-crystalline carbon 59 substances with multiple phases, including nanocrystalline matter, due to the 50–250 times more intense scattering by sp<sup>2</sup> carbon compared to sp<sup>3</sup> sites (Ferrari, Robertson 2004). The latter results 60 61 in very weak sp<sup>3</sup>-carbon band in the spectra of poorly ordered carbon multiphase substances or even complete overlapping by D-band of sp<sup>2</sup>-carbon component. For this reason, the sp<sup>3</sup>-carbon 62 63 band becomes invisible and may be neglected in the spectroscopic data interpretation of poorly ordered carbons. In addition, the circumstance may result in misinterpretation of the Raman 64 65 spectra of diamond or amorphous tetrahedral diamond-like carbon (ta-C) instead of the D-band of  $sp^2$  carbon. 66

The correct phase diagnostics of carbon substance is largely determined by an accurate interpretation of Raman spectrum bands around 1330 cm<sup>-1</sup>, which is complicated by different effects of the Raman shift of the D-band of graphite-type substances (Ferrari, Robertson 2001, 2004). In the case of carbon multicomponent materials, it has been observed that the relative

intensities of certain Raman peaks can strongly depend on the wavelength of the laser used for excitation (Ferrari, Robertson 2001, 2004, Mermoux 2017). Thus, the effect could be used in the application of ultraviolet (UV) Raman spectroscopy to solve the problem of Raman bands of sp<sup>2</sup> and sp<sup>3</sup> carbons overlapping in spectra range of near 1330 cm<sup>-1</sup> (Ferrari, Robertson 2001, 2004, Mermoux 2017). Indeed, UV Raman spectroscopy with excitation wavelengths ( $\lambda$ ) ranging from 244 to 364 nm holds promise for the analysis of low-crystalline carbon substances.

77 UV Raman spectroscopy does not fundamentally differ from routine analysis using visible 78 laser excitation. It has technical advantages that include the absence of fluorescence in the Raman 79 active spectral range and the increase in sensitivity, which is proportional to  $1/\lambda^4$  ( $\lambda$  is the laser 80 wavelength). However, due to the higher energy influence of ultraviolet radiation, carbon 81 samples can experience more intense heating, which may result in structural alterations and even combustion in an air atmosphere (Mermoux, 2017), that requires accurate control of energy 82 treatment. In addition, there are specific technical challenges associated with focusing invisible 83 radiation in the optical system and on a sample, where the laser spot is increased to 5  $\mu$ m<sup>2</sup>. This 84 85 decrease in locality of the analysis leads to a larger area being probed during the measurement. 86 Finally, it is worth noting that the instruments for UV Raman spectroscopy are significantly more 87 expensive and more challenging to operate compared to those used for visible spectroscopy.

88 Ferrari and Robertson applied multiwavelength Raman spectroscopy in the analysis of low-89 ordered carbon substances, including amorphous carbon, nanocrystalline diamonds, and 90 diamond-like carbon (Ferrari 2002, 2007; Ferrari, Robertson 2001, 2004). They demonstrated 91 that the presence of a D-band in the Raman spectrum of disordered or amorphous carbons was 92 influenced not only by the quantity of carbon in sp<sup>2</sup>-hybridization but also by the nature of its 93 structure. They also showed that with UV laser excitation, D-band was absent in the spectra of

crystalline graphites, but the residual D-band could be present in the UV Raman spectrum being
shifted to 1400 cm<sup>-1</sup> only if sp<sup>2</sup> carbon atoms were grouped to disordered rings, and in lowcrystalline polymerized substances (Ferrari, Robertson 2001).

97 Moreover, it has been recently discovered (Osipov et al. 2018) that increasing the 98 excitation energy makes  $sp^3$  and  $sp^2$  states more distinguishable. Thus, under UV excitation,  $sp^2$ 99 and  $sp^3$  carbon sites become more recognizable.

Despite detailed studies of different carbon materials, the spectroscopic characteristics of low-ordered graphite-like substances ( $sp^2$ -carbon), including nanocrystalline graphite and shungite, have not been previously examined in detail using UV excitation. In addition, the studies on mixtures of  $sp^2$  and  $sp^3$  carbons are limited.

104 In this paper, we present the results of our systematic studies of low-ordered natural carbon materials by UV Raman spectroscopy including sp<sup>3</sup>, sp<sup>2</sup> carbons and their mixtures. Here we 105 present the detailed analysis of UV Raman spectra of ultrananocrystalline diamond, 106 107 nanocrystalline diamond, polyphase carbon aggregate, nanocrystalline graphite and shungite. The 108 obtained spectroscopic characteristics demonstrate specific structure features and the perspectives for studying low-crystalline multiphase substances with sp<sup>2</sup> and sp<sup>3</sup> carbon states that are 109 important for the identification of sp<sup>3</sup> carbon in either natural specimens or synthesized materials. 110 111 In particular, we have employed UV Raman spectroscopy to successfully analyze the natural 112 aggregates of ultrananocrystalline diamond with nondiamond carbon on surfaces of diamond 113 nanocrystallites.

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### Samples and experimental methods

In our previous studies, we provided Raman analysis with visible and UV laser excitations
 of natural samples of poor-ordered sp<sup>2</sup> and sp<sup>3</sup> carbons with known phase states by preliminary

118 analysis of their chemical composition and structure. Here we present the multiwave Raman data 119 of natural samples from different objects (Fig. 1) - shungite (Shunga, Russia) (Golubev et al. 120 2016; Melezhik et al 2004; Buseck et al. 1992, 1997 and others), nanocrystalline graphite from 121 graphitic shists of the Nerkayu metamorphic complex in the Subpolar Urals (Russia) (Shumilova 122 et al. 2016); natural ultrahigh-pressure (UHP) glass-like carbon (GLC), natural impact 123 nanocrystalline diamond, ultrananocrystalline diamond and polyphase carbon aggregate from 124 impactites of the Kara giant crater (Pay-Khoy, Russia). The carbons have been described in detail 125 in our papers (Shumilova et al. 2014, 2018a, 2019, Ulyashev et al. 2018).

126 The visible Raman spectroscopy studies were conducted using LabRam HR800 (Horiba, 127 Jobin Yvon) high-resolution Raman spectrometer at the Center of Collective Use «Geoscience», 128 the Institute of Geology, Federal Research Center of Komi Science Center of Ural Branch of 129 Russian Academy of Sciences, Syktyvkar. Registration conditions: gratings – 1800 and 600 130 g/mm; confocal hole size -300 and 500  $\mu$ m; slit  $-100 \mu$ m; objectives  $-\times 50$ ,  $\times 100$ . We used an 131 onboard He-Ne laser ( $\lambda$ =633 nm, output power 2–20 mW) and Ar+ laser ( $\lambda$ =515 nm and  $\lambda$ = 132 488 nm, output power 1-100 mW). The duration of signal accumulation varied from 1 to 10 133 seconds, and the number of measurements in one section of the spectral range was 3-20 times. 134 The spectra were recorded at room temperature  $+20^{\circ}$ C.

The UV Raman spectroscopy studies were carried out at the Keldysh Research Center (Moscow, Russia) using a T64000 spectrometer (Horiba) with the following registration conditions:  $\lambda$ =244 nm; output power: 0.4–1.5 mW; confocal pinhole – 300 µm; grating – 2400 g/mm; slit – 100 µm; objective – ×40 UVB. The signal accumulation period and number of measurements in one spectral range section were 10 sec and 20 times, respectively. The spectra were recorded at room temperature +20°C.

141 UV Raman spectroscopy studies of natural carbon polyphase aggregate were conducted at 142 the St. Petersburg State University Resource Center «Geomodel» using a LabRam HR 143 spectrometer (Horiba). Registration conditions:  $\lambda$ =325 nm; output power – 5 mW; confocal 144 pinhole – 300 µm; grating – 1800 g/mm; objective – ×40. The signal accumulation period and 145 number of measurements in one spectral range section were 2 sec and 60-80 times, respectively. 146 The spectra were recorded at room temperature +20°C

Raman spectra were curve-fitted by LabSpec 5.36 software using the pseudo-Voigt function, which is a linear combination of a Gaussian curve and a Lorentzian curve, to determine peak positions, their full bandwidth at half maximum (FWHM) and their integral intensity (Table 1). When comparing the band intensity in Raman spectra, the relative peak integral intensities were analyzed upon calculating them as a ratio of one peak area to a total area of all peaks in the spectrum. This value characterizes a peak fraction in Raman spectrum.

153 The Raman spectra of nanocrystalline graphite and shungite were deconvoluted by the 154 method of Sadezky (2005) and Tan (2004) into the bands and their overtones and combinations -155 D4, D, D3, G, D2, 2D4, D4 + D, 2D, D3 + D, D + G, 2D2. UV Raman spectra of 156 ultrananocrystalline diamonds were deconvoluted into the diamond line and additional bands at 157 the fixed positions: 1050, 1254, 1590, 1640, 1740 cm<sup>-1</sup>. In the UV Raman spectra of 158 nanocrystalline diamond, only the line corresponding to  $T_{2g}$  mode of diamond is distinguished. 159 The visible Raman spectrum of the polyphase carbon aggregate was deconvoluted similarly into 160 shungite bands, and the UV Raman spectrum was deconvoluted into shungite bands and diamond 161 lines.

162 To determine the internal nanostructure features of the analyzed carbon substances, TEM 163 and high-resolution TEM (HRTEM) images with corresponding electron diffraction patterns

164	were obtained (Shumilova et al., 2014, 2016, 2018a, 2019, Ulyashev et al. 2018). The TEM
165	observations were conducted at the Central Facility for Electron Microscopy of RWTH Aachen
166	University (Aachen, Germany) and the Institute of Geology of the FRC Komi SC RAS
167	(Syktyvkar, Russia). The following TEM instruments were used: a high-resolution transmission
168	electron microscope FEI TECNAI F20 equipped with an energy-dispersive X-ray spectrometer
169	(EDX), an energy filter (GATAN imaging filter), a scanning transmission electron microscopy
170	(STEM) unit, and a digital CCD-Camera, operated at 200 kV; and a transmission electron
171	microscope Tesla BS-500, operated at 60 kV. The TEM samples were prepared by the powder
172	method with copper grids with holey carbon films.
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174	Results
175	The recorded visible Raman spectra of shungite and nanocrystalline graphite samples
176	clearly demonstrate both D and G bands of $sp^2$ carbon, and there are also D <sub>2</sub> , D <sub>3</sub> and D <sub>4</sub> bands,

and their overtones and combinations, observed depending on excitation laser radiation: 2450– 2500 cm<sup>-1</sup> (D<sub>4</sub> + D), 2650–2700 cm<sup>-1</sup> (2D), 2800–2850 cm<sup>-1</sup> (D<sub>3</sub> + D), 2900–2950 cm<sup>-1</sup> (D + G) and 3180–3230 cm<sup>-1</sup> (2D<sub>2</sub>). The spectra obtained through UV radiation exhibit a distinct difference compared to the visible Raman data, which will be presented below.

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### Nanocrystalline graphite

Raman spectra of nanocrystalline graphite were recorded in ranges of 100–4000 cm<sup>-1</sup> for visible excitation and 1000–4000 cm<sup>-1</sup> for UV. The Raman spectra of graphite with 100-200 nm crystallite sizes (Fig. 2d) with visible excitation contain the bands – D, G, D<sub>2</sub>, D<sub>4</sub>+D, 2D, D+G, 2D<sub>2</sub> (Fig. 2a, 2b, Table 1). With decreasing the exciting radiation wavelength from 633 nm to 515 nm, the D-band shifts from 1332 cm<sup>-1</sup> to 1355 cm<sup>-1</sup> with FWHM<sub>D</sub> widening from 49 to 57 cm<sup>-1</sup>, and two times decrease in its relative integral intensity from 42 to 21 %. At the same time, the

position of the G-band of graphite shifts slightly from 1581 to 1584 cm<sup>-1</sup>, where the FWHM<sub>G</sub> essentially does not change, and the contribution of the G-band to the total area of the spectrum bands also remains almost the same: 23 % and 25 %.

Under UV excitation the D-band in a Raman spectrum of nanocrystalline graphite is weak, its contribution to the total spectral intensity is only 3 %, and its position shifts from 1332 cm<sup>-1</sup> (633 nm laser) to 1458 cm<sup>-1</sup> (244 nm laser) with FWHM<sub>D</sub> increasing to 106 cm<sup>-1</sup>. At the same time, the G-band shifts slightly to 1575 cm<sup>-1</sup> with FWHM<sub>G</sub> decreasing to 19 cm<sup>-1</sup>, but the relative integral intensity of the G-band increases substantially and reaches 84 %. In the higher spectral range under UV excitation, the combination of D+G bands and the nitrogen line of the ambient air at 2330 cm<sup>-1</sup> are visible, while other bands are absent in the UV Raman spectra (Fig. 2c).

198 Shungite

199 Raman spectra of shungite without microscopically observed crystalline planes (Fig. 3d) 200 were recorded in the spectral range 100–4000 cm<sup>-1</sup> with visible excitation and 100–2600 cm<sup>-1</sup> 201 under UV excitation. In visible Raman spectra of shungite (Fig. 3a, 3b) D<sub>4</sub>, D, D<sub>3</sub>, G, D<sub>2</sub> bands 202 and D<sub>4</sub>+D, 2D, D<sub>3</sub>+D, D+G, 2D<sub>2</sub> sub-bands are recognized (Table 1). The position of a G-band 203 depending on the used laser wavelength varies insignificantly – from 1598 to 1595 cm<sup>-1</sup>, and the 204 FWHM<sub>G</sub> slightly increases from 51 to 57 cm<sup>-1</sup>. The contribution of the G-band to the total 205 integral area of all bands in the spectrum also does not change.

In the meantime, the D-band behaves differently. Using 633 nm and 515 nm lasers we observe a D-band shift from 1329 cm<sup>-1</sup> to 1349 cm<sup>-1</sup>, with the corresponding FWHM<sub>D</sub> decreasing from 82 to 71 cm<sup>-1</sup> and significant decrease in the relative integral intensity from 63 to 53 %. Under UV excitation, the D-band becomes unclear in the Raman spectrum of shungite. Its contribution to the total spectrum intensity is 9 %, and the position shifts from 1329 cm<sup>-1</sup> at a 633 nm laser excitation to 1484 cm<sup>-1</sup> at a 244 nm laser with the FWHM<sub>D</sub> 192 cm<sup>-1</sup>. In contrast, the

relative G-band integral intensity increases several times (up to 65 %). In addition, under UV excitation, the shungite Raman spectra contain wide bands of a supporting glass substrate – 465, 797, 1064 cm<sup>-1</sup>, the peaks of atmospheric oxygen – 1553 cm<sup>-1</sup> and nitrogen – 2328 cm<sup>-1</sup>; other characteristic bands for the visible excitation listed above for shungite are absent (Fig. 3c).

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# Ultrahigh-pressure glass-like carbon

Natural ultra-high pressure (UHP) glass-like carbon has been enriched from the Kara impactites, where it is found in association with impact ultrananocrystalline diamond (Shumilova et al. 2019). From HRTEM images, the carbon material appears to have a disordered structure, lacking a truly crystalline arrangement (Fig. 4d). The UHP GLC is characterized by the presence of hollow onion-like structures within an essentially amorphous carbon matrix, indicating the formation under extremely high pressure and temperature conditions (Ulyashev et al., 2018). The structural features differ from the above described shungite that has a low pressure origin.

Raman spectra of natural UHP GLC were recorded in the spectral range 100–4000 cm<sup>-1</sup> with visible excitation and 500–2600 cm<sup>-1</sup> under UV excitation. In the visible Raman spectra of UHP GLC (Fig. 4a, 4b), the bands D<sub>4</sub>, D, D<sub>3</sub>, G and sub-bands 2D, D<sub>3</sub>+D, 2D<sub>2</sub> are recognized (Table 2). The position of a G-band depending on the laser wavelength used varies insignificantly from 1594 to 1600 cm<sup>-1</sup>, and the corresponding FWHM<sub>G</sub> increases from 54 to 75 cm<sup>-1</sup>. The contribution of G-band to the total integral area of all bands in the spectrum increases from 9-13 % (VIS excitation) to 48% (UV excitation).

At the same time, D-band behaves differently. Using 633 nm and 515 nm lasers, we observe a D-band shift from 1344 cm<sup>-1</sup> to 1350 cm<sup>-1</sup>, with a small change of the FWHM<sub>D</sub> from 121 to 118 cm<sup>-1</sup> and the relative integral intensity from 23 to 21 %. Under UV excitation, the Dband shifts to 1411 cm<sup>-1</sup> with a large increase in FWHM<sub>D</sub> up to 329 cm<sup>-1</sup>. Its contribution to the

total spectrum intensity increases significantly from 9 to 52 %. In addition, under UV excitation,
the UHP GLC Raman spectra include wide bands of a supporting glass substrate, 789 cm<sup>-1</sup>, and
peak of atmospheric nitrogen, 2333 cm<sup>-1</sup>. (Fig. 4c).

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240 Nanocrystalline diamond

Raman spectra of nanocrystalline diamond with 20-30 nm crystallites (Fig. 5d) under visible laser radiation have a peak of diamond at 1332 cm<sup>-1</sup> (Fig 5a, 5b) which is overlapped by intense structureless luminescence in the whole range of Raman spectrum registration, significantly complicating the identification of a substance, and in some cases, making it impossible.

Under UV laser radiation, the luminescence in the spectrum is absent, thus a distinct Raman bands can be well observed (Table 3). The average value of the diamond  $T_{2g}$  mode position is 1330 cm<sup>-1</sup> (Fig. 5c), which is close to that of a bulk high crystalline diamond. The measured FWHM<sub>T2g</sub> is 18 cm<sup>-1</sup> depending on the size of the nanocrystallite, which is about 30-50 nm (Shumilova et al. 2018a).

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# Ultrananocrystalline diamond

252 Previous studies (Chen et al. 2018; Prawer et al. 2000; Davydov 2003) show that the visible 253 Raman spectroscopy does not provide informative spectra of nanocrystalline and 254 ultrananocrystalline diamonds because of their high luminescence, essentially or completely 255 overlapping the Raman signal. We tested the abilities of UV Raman spectroscopy in the study of 256 ultrananocrystalline diamond samples present as nanocrystalline aggregates with crystallites of 2-257 5 nm in size (Fig. 6d), separated from the Kara impactites by chemical dissolution (Shumilova et 258 al. 2019). Under visible radiation, the Raman bands of carbon matter are overlapped by extremely 259 high intensity structureless luminescence in the whole range of registration of Raman spectrum

(Fig. 6a, 6b), which leads to the impossibility of phase identification. The luminescence levelexceeds the Raman signal by 2-3 orders of magnitude.

262 Under UV laser excitation, the luminescence is absent in the measured spectral range, and 263 the Raman bands can be clearly observed (Fig. 6c). The UV Raman spectra of 264 ultrananocrystalline diamonds have been deconvoluted into diamond line and additional bands at fixed positions: 1050, 1254, 1590, 1640, 1740 cm<sup>-1</sup> (Fig. 6 c, Table 3). In the observed spectra, 265 the band at 1321 cm<sup>-1</sup> (FWHM<sub>T2g</sub>=39 cm<sup>-1</sup>) corresponds to the T<sub>2g</sub> diamond mode, shifted from 266 267 the standard position, presumably due to heating of the nanocrystalline material during the laser 268 excitation (Tan et al. 1998; Zouboulis, Grimsditch 1991). According to Ferrari and Robertson 269 (2004), the observed band at 1050 cm<sup>-1</sup> (FWHM = 252 cm<sup>-1</sup>) corresponds to a T-peak, which conforms to the presence of C–C vibrations in a sp<sup>3</sup> carbon state. The observed band at 1254 cm<sup>-1</sup> 270 271 (FWHM = 225 cm<sup>-1</sup>) is present in the Raman spectra of nanodiamonds only by UV excitation 272 resulting from small vibrational domains (Osswald et al. 2009).

By spectra deconvolution, we recognize several additional non-diamond bands corresponding to  $sp^2$  carbon and some radicals. Among them is a well recognized G-band at 1590 cm<sup>-1</sup> of  $sp^2$  carbon (FWHM=103 cm<sup>-1</sup>). The band at 1640 cm<sup>-1</sup> (FWHM=192 cm<sup>-1</sup>) may belong to the G peak within ta-carbon (Ferrari & Robertson, 2004) or to O–H group vibrations. The band at 1740 cm<sup>-1</sup> (FWHM=64 cm<sup>-1</sup>) presumably corresponds to C=O vibrations (Mochalin et al. 2009). The presence of C=O groups in the studied samples was confirmed by IR spectroscopy (Shumilova et al. 2019).

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### ) Natural polyphase carbon aggregates

We further studied natural polyphase carbon aggregate (Fig. 7c) separated from the Kara impactites by chemical dissolution. According to HRTEM data (Ulyashev et al. 2018), it contains impact UHP glassy carbon, nanocrystalline diamond and newly formed graphite. The study of

natural polyphase aggregates by visible Raman spectroscopy allowed obtaining informative
Raman spectra (Fig. 7a), which turned out to be similar to the spectra of shungite, where the Gband is at 1597 cm<sup>-1</sup> (FWHM = 58 cm<sup>-1</sup>), and D-band has a maximum at 1345 cm<sup>-1</sup> (FWHM = 83
cm<sup>-1</sup>). Additionally, in the spectrum, D<sub>4</sub>- and D<sub>3</sub>-bands were registered with positions 1231 cm<sup>-1</sup>
and 1513 cm<sup>-1</sup>, respectively (Table 4).
Raman spectrum of the carbon polyphase aggregate was recorded under 325 nm ultraviolet
laser excitation (Fig. 7b). Deconvolution of the spectrum revealed three bands: the D-band at

291 1414 cm<sup>-1</sup> (FWHM = 170 cm<sup>-1</sup>), the G-band at 1581 cm<sup>-1</sup> (FWHM = 70 cm<sup>-1</sup>) and the diamond

line at 1325 cm<sup>-1</sup> (FWHM = 21 cm<sup>-1</sup>). Thus, the use of ultraviolet laser (325 nm) allowed the detection of diamond in the polyphase carbon aggregate, in addition to shungite, previously discovered by a visible laser (515 nm).

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### Discussion

296 **D-band nature in sp<sup>2</sup>-carbons.** It is known that the Raman spectrum of graphite-like carbon substances contains an intense G-band with a maximum at 1570–1610 cm<sup>-1</sup> (Tan et al. 1999a; 297 298 Tan et al. 2004; Saito 2010; Ferrari 2007; Tuinstra, Koenig 1970) corresponding to the 299 fundamental Raman mode  $E_{2g}$  (Tan et al. 2004), which is responsible for vibrations of carbon 300 atoms inside the graphite layer. In addition, the spectra of disordered graphite or poor-structured sp<sup>2</sup>-carbon have an intensive D-band with a band position in the range of 1320–1360 cm<sup>-1</sup>. While 301 302 the nature of G-band has been unequivocally established, the nature of D-band remains unclear, 303 thought it has been extensively studied. Initially, the D-band was explained by a breathing mode 304 of sp<sup>2</sup>-carbon atoms in carbon rings (Tuinstra, Koenig 1970). The band later received another 305 interpretation, however.

The term "D" - band is coined from the English word, "disordered". It is important to note that the D-band is absent in the Raman spectrum of an ideal graphite crystal. Its presence and

intensity are associated with a degree of graphite disorder, depending on the size of the graphite crystallite (Reich, Thomsen 2004). It was also noted that the position of D-band depends on the exciting radiation energy. Under exciting by a 515 nm laser, its position is 1350 cm<sup>-1</sup>; when excited by a 633 nm laser, the D-band is characterized by a shift to the shortwave direction, 1330 cm<sup>-1</sup> (Reich, Thomsen 2004).

313 Sood et al. (1998) explained the D-band by double resonance caused by defects in the band 314 structure above slit  $\Delta \approx 1$  eV, leading to the dependence of the phonon wave vector q, and hence, the phonon frequency on the incident light energy E<sub>1</sub>, as  $q \sim (E_1 - \Delta)^{1/2}$ . Tan et al. (2004) found 315 316 discrepancies between the Stokes and anti-Stokes frequencies of the D-band, but they could not 317 provide a reason for this. For the bands of the second-order in graphite, an unusual dependence 318 on the excitation energy was found (Reich, Thomsen 2004). At higher energy laser excitation, the 319 second-order bands are shifted twice faster compared to the first-order bands. At the same time, 320 the effect is not caused by graphite structure defects.

Analyzing the modern theoretical models, Ferrari has shown that the D-band is associated with a longitudinal optical photon (LO phonon) around the K point (Brillouin zone) and is activated by double resonance. Its scattering depends on the excitation energy due to the Kohn anomaly at the K point (Ferrari 2007; Kohn 1959). The Kohn anomaly is described in detail in the semiconductor physics, where the Fermi surface is considered (Kohn 1959; Hasdeo et al 2016).

Pocsik et al. (1998) demonstrated the D-band shift in a Raman spectrum of graphite under excitation by lasers with different energies ( $\lambda$  from 1068 to 300 nm), and it was shown that the position of the D-band (dispersion 40–50 cm<sup>-1</sup>/eV) at excitation with 244 nm laser is in the range of 1455–1485 cm<sup>-1</sup>. The shift of the D-band observed in our study with nanostructured sp<sup>2</sup>-

carbon leads to an increase in the wave number for the samples of nanocrystalline graphite and shungite at 112–155 cm<sup>-1</sup>, and the decreases in the band intensity are probably associated with the scattering effect that depends on the excitation energy due to the Kohn anomalies at K point (Kohn 1959).

In studying low-ordered graphite-like substances by UV Raman spectroscopy, we observed that the relative integral intensity of the D–band decreased by 2–14 times and its position shifted up to 112-155 cm<sup>-1</sup> towards increasing the wavenumber. Correspondingly, the relative intensity of the G-band increased by 2–4 times and had a slight shift of 6–11 cm<sup>-1</sup> towards the wavenumber decreasing. Thus, a clearly distinguished D-band in the visible-Raman spectra was barely noticeable in UV excitation spectra.

341 It is clear from the above data that the decrease in the wavelength of the excitation from 342 visible to ultra-violet light results in a substantial "simplification" of the Raman spectrum in the 343  $sp^2$  carbon crystalline and noncrystalline substances. Under UV excitation, the G-band, as 344 directly related to the vibrations of carbon atoms inside a graphene layer, is demonstrated more 345 clearly, and its relative integrated intensity increases significantly. On the contrary, the D-band in UV Raman spectra has a resonant nature and shifts in sp<sup>2</sup>-carbons from 1330 cm<sup>-1</sup> (633 nm laser) 346 to 1444–1484 cm<sup>-1</sup> (244 nm laser) and becomes almost invisible in a UV spectrum relative to the 347 348 G-band. Note that the shift of the D-band position observed under UV radiation cannot be 349 associated with laser heating during the process of Raman scattering excitation, since heating 350 would result in band shifts towards decreasing the wavenumber (Tan et al. 1999a).

Raman features of nanostructured  $sp^2$ -carbons. Comparing the spectra of shungite and nanocrystalline graphite under UV excitation, we can draw the following conclusions. At first glance, the UV Raman spectra are similar. If a slight shift in the position of the G-band can be attributed to thermal heating during the registration of Raman spectra, then the FWHM of the G-

band differs significantly; the FWHMs for shungite and nanocrystalline graphite are 39 cm<sup>-1</sup> and29 cm<sup>-1</sup>, respectively (Table 2). Thus, the main distinguishing feature of shungite from nanocrystalline graphite is the FWHM of the G-band. For the shungite, we observe a very low D band (1484 cm<sup>-1</sup>), as occurred in graphite (Ferrari & Robertson, 2001, 2004).

359 Our studied UHP glass-like carbon samples, which were formed at impact conditions in 360 tight genetic and spatial relation with nano- and ultrananocrystalline diamond, display different 361 UV Raman spectra (Fig. 4c) from those of the above described low pressure shungite. The UHP GLC is characterized by a quite intensive wide Raman band centered at 1411 cm<sup>-1</sup> that can be 362 assigned to the D-band of sp<sup>2</sup> carbon, which should be absent at UV excitation (Ferrari & 363 364 Robertson, 2001, 2004). Ferrari and J. Robertson (2001, 2004) showed that the D-peak intensity strongly depends on the order of  $sp^2$  sites and the D-peak could be observed in  $sp^2$ -carbons 365 366 presented with disordered rings structures. Our TEM observation revealed an essentially 367 amorphous structure at the atomic level (Fig. 4d), explaining the relatively high intensity of D-368 band (Fig. 4c).

Thus, according to Ferrari & Robertson (2001, 2004) and our TEM data of the analyzed carbons, we can conclude that the observed D-band in natural glass-like carbons (low pressure shungite and impact ultrahigh-pressure GLC) is indicative of defect structures with varying degrees of carbon ring disorder.

Raman features of nanostructured sp<sup>3</sup>-carbons. Our results demonstrate excellent analytical capabilities for the characterization of ultrananocrystalline diamonds with crystallite sizes of 2–5 nm (Fig. 5, Table 3). The diamond type has been actively studied as one of the most novel types of carbon materials (Chen et al. 2018; Davydov et al. 2004; Davydov et al. 2006; Shenderova, Gruen 2006).

It should be particularly noted that UV excitation for Raman spectra does not significantly 378 change the position of a fundamental sp<sup>3</sup>-carbon band compared to the excited spectra by 379 380 radiation of the visible light, which was previously discussed in detail by Ferrari and Robertson 381 (Ferrari 2007; Ferrari, Robertson 2001, 2004). However, the experimentally measured position of 382 a  $T_{2g}$ -mode of diamond essentially depends on the size of the diamond nanocrystallites, with 383 overheating of the ultrananocrystalline diamond. We argue here that to exclude a temperature 384 shift of a T<sub>2g</sub>-mode of diamond due to laser heating during spectra collection, especially for 385 nanocrystalline samples, the position of the diamond line in the Raman spectrum has to be 386 carefully controlled. For accurate measurements, it is necessary to record Raman spectra at the 387 lowest possible laser power. This provides the possibility of obtaining spectra without a peak 388 shift caused by laser overheating. The decrease in laser power leads to significant increase of 389 exposure time. However, only under these conditions can we be sure that the shift of the diamond 390 line is associated with the structural features of the sample and not with over-heating during the 391 registration of the Raman spectrum.

In this study, we were able to obtain Raman spectra with minimal or no thermal shift of the bands. This was achieved by using a low power of the laser radiation, which helped in maintaining the integrity of the Raman signal decreases. To minimize the impact of noise in the spectra, we increased the exposure time by increasing the number of spectra counts within the shortest possible time, achieving a signal-to-noise ratio of at least 3. This approach can be used for accurate Raman measurements aimed at analyzing some structural features of nanocomposite sp<sup>3</sup>-carbons with either visible or UV excitations.

At the same time, we must note that nanodiamonds and diamond-like carbons (DLC) have additional bands in their Raman spectra resulting from a large number of  $sp^2$  sets at their edge boundaries and amorphous carbon matrix of DLC and specific bands corresponding to the

amorphous state of sp<sup>3</sup> sets (Ferrari & Robertson, 2004). The additional bands are presented with 402 D and G bands of  $sp^2$  carbon, similar to that described above for  $sp^2$  monophase substances. 403 404 From the measured UV Raman spectra, the ultrananocrystalline diamond is presented not 405 only with tiny-sized diamond crystallites but also with tetrahedral amorphous diamond-like 406 carbon (ta-carbon), which has a high content of sp<sup>3</sup> carbon sites characterized by T and G bands of DLC, at 1050 cm<sup>-1</sup> (FWHM = 252 cm<sup>-1</sup>) and 1640 cm<sup>-1</sup> (FWHM=192 cm<sup>-1</sup>), respectively (Table 407 408 3). It is especially important that the mentioned T band can be visible only under UV excitation. 409 According to simulations, it corresponds to C–C sp<sup>3</sup> vibration density of states of ta-carbon 410 (Ferrari & Robertson, 2004). From the data of ultrananocrystalline diamond (Ferrari and 411 Robertson 2004), it is expected that the DLC matrix is presented with a high content of  $sp^3$ 412 carbon (about 85 %). For a more certain interpretation of the additional bands, additional TEM 413 studies with electron energy loss spectroscopy are needed.

414 Aggregates of nanostructured  $sp^2$  and  $sp^3$  carbons. Our data clearly demonstrate that UV excitation provides a technical possibility to analyze differently aggregated sp<sup>2</sup> and sp<sup>3</sup> carbons 415 416 from nanocrystalline tight growths to ultrananocrystalline diamond with the possible presence of diamond-like amorphous tetrahedral  $sp^3$  carbon (Fig. 7). It is also possible to analyze structural 417 418 features of nanostructural carbons, including C-H and C=O vibrations and other hetero-elemental 419 bonding within carbon substances. The use of UV Raman spectroscopy does not require the 420 preparation of special specimens and can be a convenient and user-friendly method in addition to 421 TEM studies of nanostructured carbons.

- 422
- 423

#### Implications

424 The Raman spectral features of natural low-ordered carbon substances excited by lasers of 425 different wavelengths have been demonstrated. For the first time we have provided a detailed

426 description of the spectroscopic characteristics obtained under UV radiation for natural 427 nanocrystalline graphite, shungite, UHP glass-like carbon, as well as for natural nanocrystalline 428 and ultrananocrystalline diamonds with crystallite sizes of 2-5 nm and polyphase carbon 429 aggregates. The results demonstrate new perspectives for future studies of natural low-ordered 430 sp<sup>2</sup> carbons using Raman spectroscopy with UV excitation.

431 This study has the potential to significantly enhance our understanding of the structural characteristics and polymeric features of low-structured natural carbon matter with varying sp<sup>2</sup>-432 433 site orders. A significant decrease in intensity and a considerable shift of the D-band in the Raman 434 spectra of low-ordered sp<sup>2</sup>-carbon substances using UV excitation allow for the distinction between sp<sup>2</sup> and sp<sup>3</sup> carbons when they coexist in nano- and ultrananocrystalline individual and 435 436 polyphase samples. The latter is explained by the constancy of sp<sup>3</sup>-carbon upon excitation of 437 Raman scattering by both visible and ultraviolet radiations. UV Raman spectroscopy offers 438 convenient and user-friendly features that make it an excellent tool for studying nanostructured 439 carbons. It can effectively analyze the phase composition and ordering characteristics of lowordered multicomponent natural carbon substances, including sp<sup>3</sup>- and sp<sup>2</sup>-carbons in polymeric 440 441 or nanocrystalline substances. Additionally, UV Raman spectroscopy is highly suitable for 442 studying nanocrystalline and ultrananocrystalline diamonds, as well as polyphase tight 443 intergrowths. Its detailed spectroscopic characteristics enable precise characterization and 444 differentiation of different carbon structures, making it a valuable technique for future research in 445 this field.

Finally, the use of UV Raman spectroscopy may provide a more accurate understanding of the real phase compositions of nanostructured natural carbons for their potential technological applications.

449

450	Acknowledgments and funding								
451	The authors are thankful to Valeriy Kazakov for help in UV Raman measurements, Joachim								
452	Mayer for help in preliminary TEM studies, Mikhail Filippov for providing samples of shungite								
453	and to Sergey Tkachev for valuable comments. The study was carried out with support of the								
454	project NIR 122040600009-2.								
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- 630 UHP glass-like carbon.
- Figure 2. Nanocrystalline graphite. Raman spectra at different wavelengths excitation (a) 633
  nm, (b) 515 nm, and (c) 244 nm. Additional line detected by UV laser radiation is N2-2330 cm<sup>-1</sup>
  (room nitrogen); (d) magnified range 1000–2000 cm<sup>-1</sup> of spectrum c; (e) TEM image in bright
  field mode (BF) with corresponding electron diffraction pattern (ED).
- 636
- Figure 3. Shungite. Raman spectra at different laser wavelengths excitation (a) 633 nm, (b) 515
  nm, (c) 244 nm. Additional lines detected by UV laser radiation include SiO<sub>2</sub> glass: 465 cm<sup>-1</sup>,
  797 cm<sup>-1</sup>, 1064 cm<sup>-1</sup>; O2-1553cm<sup>-1</sup>; N2-2328 cm<sup>-1</sup>.{{auth: ok?}} (d) TEM image in BF mode
  with corresponding ED pattern.
- 641

Figure 4. Natural carbon glass-like carbon: Raman spectra at different laser wavelengths
excitation: (a) 633 nm, (b) 515 nm, (c) 244 nm. Additional lines detected by UV laser radiation
in SiO<sub>2</sub> glass: 797, N2-2333 cm<sup>-1</sup>. (d) TEM image in BF mode with corresponding ED pattern
(the TEM image is used from (Ulyashev et al. 2018) by permission of the editorial board of
Vestnik of Geosciences).

647

Figure 5. Natural nanocrystalline diamond. Raman spectra at different laser wavelengths
excitation (a) 633 nm, (b) 515 nm, (c) 244 nm. (d) TEM image in BF mode with corresponding
ED pattern.

651

Figure 6. Natural ultrananocrystalline diamond. Raman spectra at different laser wavelengths
excitation: (a) 633 nm, (b) 515 nm, (c) 244 nm. (d) TEM image in BF mode with corresponding
ED pattern.

655 656

**Figure 7.** Natural carbon polyphase aggregate of ultrananocrystalline diamond (UNCD) and

658 UHP glass-like carbon (GLC). Raman spectra from the aggregate at different laser wavelengths

excitation (a) 515 nm; (b) 325 nm. (c) TEM image in BF mode (the TEM image is used from

660 (Ulyashev et al. 2018) by permission of the editorial board of Vestnik of Geosciences).

661

**Table 1.** Raman spectra components of nanostructured sp<sup>2</sup> carbon substances

Nanocrystalline graphite									
Laser		633 nm			515 nm			244 nm	
wavelength									
Band	Р	FWHM	A (%)	Р	FWHM	A (%)	Р	FWHM	A (%)
D	1332	49	42	1355	57	21	1458	106	3
G	1581	24	23	1584	26	25	1575	29	85
$D_2$	1617	23	4	1622	32	5			
$D_4+D$	2459	154	8	2454	213	9			
2D	2667	88	15	2710	93	24			
D+G	2921	130	4	2946	214	14	3125	146	12
$2D_2$	3242	300	5	3249	22	2			
				Shung	gite				
Laser		633 nm			515 nm			244 nm	
wavelength									
Band	Р	FWHM	A (%)	Р	FWHM	A (%)	Р	FWHM	A (%)
$D_4$	1171	85	4	1172	126	3			
D	1329	82	63	1349	71	53	1484	192	12
$D_3$	1549	131	6	1532	105	7			
G	1598	51	17	1595	57	18	1587	39	88
$D_2$	1622	21	1	1618	14	0			
$D_4+D$	2482	150	1	2502	138	1			
2D	2643	191	3	2684	159	9			
$D_3+D$	2807	100	0	2819	114	1			
D+G	2913	146	5	2937	126	6			
$2D_2$	3183	102	1	3190	109	1			

663 *Notes:* P = band position (cm<sup>-1</sup>), FWHM = full bandwidth at half maximum (cm<sup>-1</sup>), A (%) =

relative integral intensity of the band (the ratio of the band area to the total area of the bands of

665 the spectrum), laser wavelength = the wavelength of the exciting radiation.

666

Table 2. Raman spectra components of ultrahigh-pressure glass-like carbon

667 668

Ultrahigh-pressure glass-like carbon									
Laser wavelength		633 nm			515 nm			244 nm	
Band	Р	FWHM	A (%)	Р	FWHM	A (%)	Р	FWHM	A (%)
D4	1243	167	19	1277	194	9			
D	1344	121	23	1350	118	21	1411	329	52
<b>D</b> <sub>3</sub>	1531	253	34	1523	279	28			
G	1598	55	9	1600	54	13	1594	75	48
2D	2629	378	9	2662	316	12			
$D_3+D$	2894	300	6	2919	312	16			
$2D_2$	3181	87	0	3192	102	2			

*Notes:* P = band position (cm<sup>-1</sup>), FWHM = full bandwidth at half maximum (cm<sup>-1</sup>), A (%) =669

670 relative integral intensity of the band (the ratio of the band area to the total area of the bands of the spectrum), laser wavelength = the wavelength of the exciting radiation. 671

672

673

674 Table 3. Raman spectra components of nanostructured diamond under UV excitation (244 nm laser)

Nanocrystalline diamond (sp <sup>3</sup> -carbon) (8 samples)								
Band position	Band interpretation							
range	(average), cm <sup>-1</sup>							
(average), cm <sup>-1</sup>								
1329–1332	12-27	T <sub>2g</sub> -diamond						
(1330)	(18)							
anocrystalline dia	mond (mixture of s	sp <sup>3</sup> - and sp <sup>2</sup> -carbons) (6 samples)						
Band position	FWHM range	Band interpretation						
range	(average), cm <sup>-1</sup>							
(average), cm <sup>-1</sup>								
1318-1322	41–54	T <sub>2g</sub> -diamond Raman mode						
(1321)	(47)							
1050	227-287	T-peak (C–C vibrations in a sp <sup>3</sup> carbon, sp <sup>3</sup>						
	(252)	vibration density of states of ta-carbon)						
1254	217-237	Nanodiamond (small vibrational domains)						
	(225)							
1590	87-117	G-band of sp <sup>2</sup> carbon						
	(103)	-						
1640	169–212	G-band in DLC (ta-carbon)						
	(192)							
1740	45-87	C=O vibrations						
	(64)							
	Nanocrysta           Band position           range           (average), cm <sup>-1</sup> 1329–1332           (1330)           mocrystalline dia           Band position           range           (average), cm <sup>-1</sup> 1318–1322           (1321)           1050           1254           1590           1640           1740	Nanocrystalline diamond (sp <sup>2</sup> -           Band position range (average), cm <sup>-1</sup> (average), cm <sup>-1</sup> 1329–1332         12–27           (1330)         (18)           mocrystalline diamond (mixture of space (average), cm <sup>-1</sup> Band position range (average), cm <sup>-1</sup> (average), cm <sup>-1</sup> 1318–1322         41–54           (1321)         (47)           1050         227–287           (252)         1254           1254         217–237           (103)         1640           1640         169–212           (192)         1740           45–87         (64)						



676

677 **Table 4.** Raman spectra components of polyphase carbon aggregate under visible and UV 678 excitation

Laser	Band position	FWHM	А	Band interpretation
wavelength	$(cm^{-1})$	$(cm^{-1})$	(%)	
	1325	21	8	$T_{2g}$ -diamond (sp <sup>3</sup>
				carbon)
325 nm	1415	137	38	D-band of sp <sup>2</sup> carbon
	1583	59	54	G-band of sp <sup>2</sup> carbon
	1231	217	15	D <sub>4</sub> -band of sp <sup>2</sup> carbon
514 nm	1345	83	39	D-band of sp <sup>2</sup> carbon
	1513	219	27	$D_3$ -band of sp <sup>2</sup> carbon
	1597	58	19	G-band of sp <sup>2</sup> carbon

679

680 *Notes:* FWHM = full bandwidth at half maximum (cm<sup>-1</sup>), A (%) = relative integral intensity of

the band (the ratio of the band area to the total area of the bands of the spectrum), laser

682 wavelength = the wavelength of the exciting radiation.

683







Figure 3.



Figure 4.



Figure 5.



Figure 6.



Figure 7.