1   **REVISION 1**

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3   **Formation of amphibole lamellae in mantle pyroxene by fluid-mediated metasomatism: A focal**

4   **plane array FTIR study from the Carpathian-Pannonian region**

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25

26   **Abstract**

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28   Amphiboles in the upper mantle (most frequently pargasitic in composition) have

29   recently gained attention due to their role in the water budget and potential control on the

30   rheology and physical discontinuity layers of the mantle. Although nominally anhydrous

31   minerals are often analyzed with Fourier-transform infrared (FTIR) spectroscopy, amphiboles,

32   especially in natural samples, are only scarcely in the focus of such studies because of their

33   complex structure and variable composition. In mantle xenoliths, amphibole occurs not only

34   interstitially or forming veins, but also as lamellae within orthopyroxene and/or clinopyroxene

35   grains. The genesis of such lamellae is often ambiguous, as they could either be metasomatic

36   products, or form by exsolution without external H_2O source upon decreasing P-T conditions

37   and consequent destabilization of hydrous point defects in the host pyroxene. To constrain the

38   origin of amphibole lamellae in pyroxenes, we studied mantle xenoliths from the Carpathian-

39   Pannonian region (CPR), by applying hyperspectral imaging using FTIR equipped with focal

40   plane array (FPA) detector. Amphibole lamellae are absent in xenoliths of the central part of

41   the CPR, but appear in those from the marginal localities which represent a well-hydrated

42   supra-subduction mantle environment. Some of the lamella-hosting pyroxene grains are in

43   contact with interstitial amphibole, suggesting that the formation of the lamellae is related to

44   the amphibole-producing metasomatism; however, others have no adjacent amphibole. To

45   determine the origin of the amphibole lamellae in pyroxenes without neighboring amphibole,

46   the hyperspectral images were used to give an estimation of their volume proportion (0.8 – 5.1

47   vol.%) in the pyroxenes. Using these volume proportions, we calculated that a bulk water

48   content of ~330-670 (orthopyroxene) and ~740-1430 (clinopyroxene) wt. ppm is needed to be

49   contained in the host grain to be able to facilitate subsolidus exsolution of the observed

50   amount of amphibole lamellae. These water contents are, however, too high for mantle

51   pyroxenes, even for an aqueous-fluid saturated upper mantle. This suggests that the formation

52   of amphibole lamellae is related to a metasomatic event with fluid input from an external

53   water source (e.g., melt/fluid inclusion or metasomatic agent on the grain boundary).

54

55   **1. Introduction**

56

57   Amphibole has a wide compositional range (e.g., Hawthorne et al., 2012) and therefore

58   can be stable in a variety of pressure-temperature conditions in crustal and upper mantle

59   environments. In the upper mantle, amphibole dominantly has a pargasitic composition

60   ($NaCa_2(Mg_4Al)[(Si_6Al_2)O_{22}](OH)_2$) (Hawthorne et al., 2012) and it is stable up to ~3 GPa and

61   ~1050-1150°C (Kushiro, 1970; Green, 1973; Dawson and Smith, 1982; Niida and Green,

62   1999) depending on the composition (Wallace and Green, 1991; Mandler and Grove, 2016)

63   and bulk H_2O content (Green et al., 2010; Green, 2015) of the ambient mantle. The

64   significance of pargasitic amphibole lies in the fact that it contains up to ~ 2 wt.% of

65   structurally bound water (as OH^-), making it one of the most significant hosts of H_2O (besides

66   phlogopite and humite group minerals) in the upper mantle. Olivine, pyroxenes and garnet,

67   the most modally abundant mantle minerals, are, on the other hand, all nominally anhydrous,

68   incorporating <10-100s of wt. ppm structurally bound hydroxyl (expressed as H_2O and also

69   commonly referred to as water), i.e., significantly less than amphibole (e.g., Peslier et al.,

70   2017; Demouchy and Bolfan-Casanova, 2016). It has been proposed that melting resulting

71   from the breakdown of pargasitic amphibole where the geotherm intersects the pargasite

72   dehydration solidus at ~3 GPa (Green et al., 2010; Kovács et al., 2017) or lower, may mark

73   the lithosphere-asthenosphere boundary in higher heat flow areas (i.e., oceanic and young

74   continental plates). In low heat flow (old continental) areas, the subsolidus dehydration of

75   pargasite was suggested to be the major contributor to mid-lithospheric discontinuities

76   (Kovács et al., 2021).

77   Mantle amphiboles are generally considered as products of modal metasomatism (e.g.,

78   O'Reilly and Griffin, 2013), i.e., reactions between peridotite and hydrous silicate melts or

79   fluids, either with a subduction or intraplate origin (Coltorti et al., 2007b). Recently,

80   experimental studies (Kang et al., 2017) proposed that amphibole formation is possible

81   without any external H_2O source, since at high pressures the combined water content of the

82   NAMs exceeds the amount required to form small amounts of amphibole, and decreasing P-T

83   conditions may result in amphibole growth by dehydration of the host NAMs. Amphiboles

84   have been widely reported in mantle xenoliths and orogenic peridotites as veins and

85   interstitial grains, and were studied for their major and trace element composition (Coltorti et

86   al., 2007b and references therein). However, amphibole can also be present as

87   crystallographically-oriented lamellae in pyroxene (Smith, 1977; Isaacs et al., 1981; Veblen

88   and Buseck, 1981) or garnet (Song et al., 2005), suggesting exsolution or replacement

89   processes.

90   Fourier-transform infrared spectroscopy (FTIR) is a frequently used method to

91   determine H_2O content and speciation in NAMs. While FTIR has also been used to analyze

92   experimental amphiboles of different compositions (e.g., Della Ventura et al., 2003), it is

93   rarely used to study natural amphiboles (e.g., Della Ventura et al., 2007). One distinct

94   advantage of modern FTIR over other tools for measuring H-bearing species is the possibility

95   to use high resolution (~6x6 µm pixel) imaging detectors, which can reveal micron-scale

96   heterogeneities in structurally-bound H_2O (Jollands et al., 2016; Tollan and Hermann, 2019;

97   Demers-Roberge et al., 2021) and the presence of fluid inclusions (Hidas et al., 2010;

98   Sundvall and Stalder, 2011; Berkesi et al., 2019) or lamellae (Sundvall and Stalder, 2011).

99   In this paper, we present infrared spectra of natural mantle amphiboles from mantle

100   xenoliths of the Carpathian-Pannonian region, and compare them with previously published

101   amphibole spectra. Furthermore, we introduce how FTIR hyperspectral imaging can be used

102   to characterize the appearance and distribution of hydrous (amphibole) lamellae in pyroxenes.

103   The results are then used to quantify H_2O contents and deduce their origin, namely whether

104   they could form by exsolution from pyroxene or by introduction of a metasomatic fluid. We

105   also provide a brief summary how much uncertainty is present in the quantitative unpolarised

106   estimations for the amphibole proportions arising from the thickness and orientation of

107   amphibole lamellae in the host pyroxenes.

108

109   **2. Previous FTIR studies on pargasitic amphibole and amphibole lamellae**

110

111   Natural mantle amphiboles, although often analyzed for their geochemical composition

112   to characterize metasomatic agents, are seldom the focus of quantitative FTIR studies,

113   because the chemical complexity and high water contents make it difficult to determine

114   calibration factors such as with NAMs. Della Ventura et al. (2007) studied natural pargasitic

115   amphiboles from a metasomatized spinel peridotite from Lherz, concluding that FTIR can be

116   used to detect O^{2-} in certain chemical conditions (lack of F^- and significant amounts of Fe^{2+}).

117   Spectrum fitting applied by the authors suggested that the anion composition at the O3

118   (anionic) site is likely occupied by OH^- and O^{2-} in equal proportion. The abundance of O^{2-} at

119   the O3 site is resulting from dehydrogenation and is correlated with the amount of Fe^{3+} and

120   Ti^{4+} as concluded from observations on other natural amphiboles (Ernst and Wai, 1970;

121   Phillips et al., 1989; Popp et al., 1995).

122   FTIR is often used as one of the tools to constrain short range order of cations and

123   anions in the amphibole structure (e.g., Della Ventura et al., 1999; Robert et al., 1999; Day et

124   al., 2018), by fitting component peaks on the spectrum which correspond to different

125   configurations (i.e., the cations surrounding the anion at the O3 site). However, this is

126   challenging to carry out on natural amphiboles due to their more complex chemical

127   composition, which yields more bands in the principal OH-stretching region, making

128   spectrum fitting more difficult (Heaveysege et al., 2015). Because of this, available infrared

129   spectroscopic data on pargasitic amphibole mainly involves studies on gem quality pargasites

130   with simpler chemical composition (e.g., Heaveysege et al., 2015; Day et al., 2018), in

131   addition to synthetic pargasite. FTIR spectra of experimentally synthesized pargasites are

132   used to characterize the OH-F substitution (Robert et al., 2000; Della Ventura et al., 2001),

133   and the change of absorption bands and configuration groups over the chemical transition to

134   other compositions such as richterite (Della Ventura et al., 1999), tremolite (-cummingtonite)

135   (Della Ventura et al., 2003; Jenkins et al., 2003; Ishida et al., 2008) and Cr-pargasite (Fialips-

136   Guédon et al., 2000).

137   Several works report absorption bands of amphibole (pargasite) appearing on the

138   infrared spectra of other mantle minerals, dominantly pyroxenes. Absorption bands at high

139   wavenumbers (~3675 cm^{-1}) were clearly distinguished from those of pyroxenes and attributed

140   to amphibole lamellae (Ingrin et al., 1989; Skogby and Rossman, 1989). Skogby et al. (1990)

141   reported infrared spectra showing amphibole lamellae in ortho- and clinopyroxenes from a

142   wide range of geological environments, although amphibole was not detected in mantle-

143   derived samples. The authors also proposed that FTIR is a suitable method to detect the

144   presence of sub-microscopic amphibole lamellae at levels of 5 unit cells in thickness under

145   favorable conditions. Andrut et al. (2003) found amphibole lamellae in a diopside single

146   crystal, and concluded that they formed under high water activity during crystallization.

147   Sundvall and Stalder (2011) described amphibole lamellae identified by FPA-FTIR imaging

148   around cracks within natural orthopyroxene. Experimental studies of Green et al. (2010) and

149   Kovács et al. (2012) aimed to constrain the activity and distribution of H_2O between NAMs

150   and hydrous mantle phases (pargasite and phlogopite) in lherzolite at pressures of 2.5 and 4

151   GPa. At the lower pressures, in accordance with the ~3 GPa upper stability limit, absorption

152   bands of pargasite appeared on the FTIR spectra of the lherzolite matrix (Kovács et al., 2012).

153   Absorption bands around 3600 cm^{-1} in synthetic enstatite were also interpreted to result from

154   the presence of amphibole lamellae (Rauch and Keppler, 2002), however, this is significantly

155   lower than the wavenumber range typically exhibited by amphibole (Della Ventura et al.,

156   1999; 2003; 2007).

157

158   **3. Upper mantle amphiboles of the Carpathian-Pannonian region**

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160   The Carpathian-Pannonian region (CPR) is located in Central Europe and includes an

161   extensional basin system surrounded by the Carpathians, Alps, and Dinarides. Upper mantle

162   xenoliths are hosted in late Miocene – Pleistocene alkali basalts in five localities (Fig. 1),

163   including Styrian Basin and Perşani Mountains on the western and eastern margins,

164   respectively, and Bakony-Balaton Highland, Little Hungarian Plain and Nógrád-Gömör in the

165   central areas. The CPR has a complex Cenozoic tectonic history (see Horváth et al., 2015 for

166   a review). Followed by the juxtaposition of two microplates, AlCaPa and Tisza-Dacia, the

167   region was subjected to significant extension (i.e., lithospheric thinning) and coupled

168   asthenosphere upwelling aided by subduction rollback on the eastern margin during the early

169   to late Miocene. After the cessation of the extension, large-scale tectonic inversion

170   (compression attributed to the push of the Adria microplate) dominated the region, and the

171   xenolith-hosting alkali basalts erupted during this period (Kovács et al., 2020 and references

172   therein). The xenolith localities in both the western and eastern margins represent a hydrated,

173   supra-subduction mantle environment (Aradi et al., 2017; Faccini et al., 2020), whereas the

174   central area is characterized by a dry and rigid lithosphere strongly affected by the extension

175   (Patkó et al., 2019; Liptai et al., 2021). This is reflected in the water contents of their NAMs:

176   olivine, orthopyroxene and clinopyroxene have H_2O contents of 3-15 84-305 and 186-674 wt.

177   ppm, respectively, in the marginal areas (Falus et al., 2008; Aradi et al., 2017) and 0-4, 0-114,

178   and 3-481 wt. ppm, respectively, in the central areas (Patkó et al., 2019; Liptai et al., 2021).

179   The CPR can be utilized as an excellent area to study the behavior of hydrous (metasomatic)

180   minerals in an inverted extensional basin, where the extension only ceased ~10 Ma ago and

181   the inversion is still ongoing. The recent (<8 Ma) transport of the xenoliths to the surface

182   provides an exceptional insight into the probably still active lithospheric processes, such as

183   the formation and break-down of hydrous minerals.

184   Amphiboles were reported in small modal proportions as interstitial grains in several

185   peridotite xenoliths (e.g., Kurat et al., 1980; Downes et al., 1992; Szabó and Taylor, 1994;

186   Bali et al., 2002; Coltorti et al., 2007a; Aradi et al., 2017; Créon et al., 2017; Liptai et al.,

187   2017), and as both veins and interstitial grains in some xenoliths from the Perşani Mountains

188   (Vaselli et al., 1995; Faccini et al., 2020). Higher modal proportions appeared in a few

189   amphibole-rich samples in the Styrian Basin (>13 vol.%; Aradi et al., 2017), metasomatized

190   cumulates underplating the Moho in the Nógrád-Gömör (Kovács et al., 2004), and rare

191   composite xenoliths containing amphibole-rich parts, interpreted as veins, in the Bakony-

192   Balaton Highland (Embey-Isztin, 1976; Bali et al., 2018). In the peridotites of both the

193   Nógrád-Gömör and the Perşani Mountains, two generations of amphiboles were distinguished

194   based on their high field strength element contents (Liptai et al., 2017; Faccini et al., 2020). In

195   the Styrian Basin, amphiboles have formed at various distances from a metasomatic front of a

196   hydrous mafic melt assumed to have a nephelinitic source (Aradi et al., 2020). In the Bakony-

197   Balaton Highland, the small amount of interstitial amphiboles were found to have formed via

198   interaction with a hydrous silicate melt (Créon et al., 2017), and the amphibole-rich vein

199   described by Bali et al. (2018) is proposed to have crystallized from a melt derived from alkali

200   basalt by high degree fractionation.

201   In the CPR, petrographic evidence of amphibole lamellae within pyroxenes have only

202   been reported in metasomatized, amphibole-rich xenoliths from the Styrian Basin (Aradi et

203   al., 2020). On the other hand, absorption bands in the wavenumber range of 3675-3710 cm^{-1}

204   were found in pyroxene spectra both in the Styrian Basin (Aradi et al., 2017) and the Nógrád-

205   Gömör (Patkó et al., 2019), which were both attributed to the presence of sub-microscopic

206   lamellae of pargasitic amphibole.

207

208   **4. Sampling and analytical methods**

209

210   **4.1. Sample selection and description**

211   We selected 34 xenoliths (9, 5, 16 and 4 from the Styrian Basin, Bakony-Balaton

212   Highland, Nógrád-Gömör and Perşani Mountains, respectively) for detailed investigation of

213   sub-microscopic amphibole lamellae in pyroxenes by means of hyperspectral mapping and

214   imaging, in addition to obtaining single infrared spectra of individual amphibole grains. The

215   samples were studied in detail previously, focusing on their geochemistry (Liptai et al., 2017;

216   Aradi et al., 2020), crystal preferred orientation (Aradi et al., 2017; Liptai et al., 2019) and

217   H_2O contents in NAMs (Aradi et al., 2017; Patkó et al., 2019; Liptai et al., 2021). The four

218   Perşani Mountains xenoliths are from the sampling site 'Gruiu' (see Fig. 1 of Falus et al.,

219   2008) and were not analyzed before; two are lherzolites (PGR-X1-0306, PGR-X1-0347), one

220   is a lherzolite with a crosscutting amphibole vein (PGR-X2-0396) (Fig. 2a) and one is an

221   amphibole wehrlite (PGR-14182). Xenoliths from the Styrian Basin and Perşani Mountains,

222   which are assumed to represent supra-subduction mantle portions, all contain amphibole as

223   interstitial grains (Fig. 2b). On the other hand, xenoliths from the Bakony-Balaton Highland

224   and Nógrád-Gömör, which originate from the central areas of the Pannonian Basin, are

225   dominantly amphibole-free except for a few grains. These often appear as remnants in the

226   core of melt pockets (Fig. 2c) suggesting that their lower abundance is due to breakdown at

227   high temperatures (Liptai et al., 2017).

228   Geochemical data are available for the amphiboles in xenoliths included in this study

229   from the Styrian Basin (Aradi et al., 2020) and Nógrád-Gömör (Liptai et al., 2017). In the

230   Nógrád-Gömör, part of the interstitial amphiboles showed low Nb-content (NMS1304,

231   NMS1308, NFL1329; Table 1), interpreted to be remnants of a subductional metasomatic

232   event preceding the formation of the CPR, although the pyroxenes within the same xenoliths

233   showed no sign of cryptic metasomatism (except for NMS1304). The other part of the

234   amphiboles, with high Nb-content, were interpreted to be associated with younger, intraplate

235   basaltic melt infiltrations, causing the enrichment of various trace elements, such as U, Th and

236   rare earth elements in both amphiboles and pyroxenes (Liptai et al., 2017). In the Styrian

237   Basin, amphiboles in the xenoliths represent metasomatic products at increasing distances

238   from a nephelinitic melt channel and showing different geochemical characteristics

239   accordingly. The closest domain (GN1407) shows enrichment in LREE, K, U-Pb, Nb-Ta and

240   a strong impact of fluid metasomatism. Further away from the channel (BEI1401, GN1401,

241   GN1411, TOB1401) amphiboles have decreasing LREE and Nb-Ta concentrations, and in the

242   distal domain (GN1406, GN1412, PST1401, PST1403, TOB1403) there are only minor

243   amphiboles growing on clinopyroxenes and mimicking their trace element distributions

244   without the enrichment of fluid mobile elements. Unpublished data from two Perşani

245   Mountains amphiboles show different geochemical characteristics: PGR-X1-0347 has low

246   LREE and HFSE contents, whereas both interstitial and vein amphiboles in PGR-X2-0396 are

247   enriched in these elements.

248   Sample selection was carried out with the goal of having a wide variety of xenoliths

249   analyzed (amphibole-hosting and amphibole-free, geochemically depleted and metasomatized

250   where such data was available), to find any potential systematics in the appearance of

251   amphibole lamellae. In a few pyroxene grains in xenoliths from the Styrian Basin and Perşani

252   Mountains, amphibole lamellae were observable in optical microscope; in one clinopyroxene,

253   they appeared together with spinel inclusions (Fig. 2d), which have been previously described

254   in Styrian Basin xenoliths (Aradi et al., 2020). However, because previous studies (e.g., Patkó

255   et al., 2019) have found evidence of hydrous lamellae on the FTIR spectrum of pyroxenes

256   even though it was not visible in optical microscope, we included clear-looking grains for our

257   analyses as well.Besides the lamellae-hosting pyroxenes, we analyzed amphibole crystals

258   both as interstitial grains in the xenolith thick sections, and as grains separated by hand-

259   picking from the xenoliths. In the latter case, 7-8 grains were separated from one xenolith of

260   the Styrian Basin (GN1407) and the Perşani Mountains (PGR-14182) each, with the aim of

261   comparing their spectra with those of the lamellae.

262

263   **4.2. FTIR spectroscopy and single point mapping**

264   Acquisition of amphibole single spectra from the separated grains was carried out using

265   a Perkin Elmer Spectrum 400 infrared spectrometer and a coupled Spotlight 400 FTIR

266   imaging system at the Budapest University of Technology and Economics. Hyperspectral

267   maps were obtained on double-polished thick (95-760 μm; Table 1) sections using a Bruker

268   FTIR Vertex 70 spectrometer equipped with a Globar light source and MCT-A detector,

269   coupled to a Bruker Hyperion 2000 microscope at the Institute for Geological and

270   Geochemical Research, Budapest. Infrared spectra both in single spot analyses and

271 hyperspectral maps were acquired with unpolarized light, in the spectral range of 4000-400

272 cm^{-1}, with a spectral resolution of 4 cm^{-1}, and 128 scans were accumulated for both the

273 background and the samples. Individual analyses of amphibole grains were carried out with

274 an aperture size of 100x100 or 50x50 μm depending on the grain size and clarity. For the

275 acquisition of the single spot hyperspectral maps, we used a 50x50 μm aperture, and a step

276 size of 30 to 40 μm to have some overlap. Depending on the pyroxene grain size, the maps

277 consisted of grids of 5x5 to 10x10 points of single spot spectra. Background with the

278 according aperture size was collected after every 10-18 spectra. To analyze the spectra and

279 assemble hyperspectral maps, we used the OPUS® software. Baseline correction was applied

280 on all spectra using the concave rubberband correction routine with two iterations and 64

281 baseline points. The hyperspectral images are based on the integrated absorbances in the

282 analyzed spots, which were obtained by integrating in a wavenumber range fully including the

283 bands of the hydrous phases (usually between ~3750-3650 cm^{-1} depending on the grain). The

284 linear baseline of the integrated area was defined by the intensity values at the starting and

285 endpoint of the integration range ('B' type integration in OPUS).

286   For the amphibole single point spectra to be quantitatively comparable with the

287 lamellae, i.e., to accurately represent water content, two issues need to be resolved. First,

288 absorbance intensity is not linearly correlated with H_2O content in bands exceeding an

289 intensity of ~0.2-0.3 linear absorbance units (Libowitzky and Rossman, 1997), which is

290 usually the case in thick sections of several hundred μm, such as the xenoliths in this study.

291 To acquire spectra with maximum absorbances below this value, separated amphibole grains

292 were embedded in Crystalbond^{TM} and thinned to as low as 30-80 μm. Second, because

293 amphibole is anisotropic, its absorbance intensity varies depending on the crystallographic

294 orientation with respect to the infrared beam. Therefore, we obtained spectra from 7-8

295 separated amphibole grains per sample, which were unoriented during impregnation. The

296 thickness-normalized average of these spectra can then be considered as a reasonable

297 estimation to minimize the uncertainty arising from anisotropy.

298

299   **4.3. FPA-FTIR imaging**

300   FPA-FTIR imaging on selected samples (Table 1) was carried out using a Bruker

301 Tensor 27 spectrometer with a Globar infrared source coupled to a Bruker Hyperion 3000

302 microscope at the University of Bern. These analyses were performed in a sample chamber

303 with a dry air purge. The detector used was a focal plane array (FPA) detector, which is

304   capable of collecting 4096 (64x64) spectra simultaneously within a fixed size grid (170x170

305   µm). Depending on the size and shape of the analyzed grains, up to 6 grids in both dimensions

306   were stitched together to create composite images. To reduce analysis time, resolution was set

307   to 8 cm^{-1} and the number of accumulated scans to 64 following testing and confirming that it

308   does not significantly reduce spectrum quality. We used a 2x2 binning to increase signal to

309   noise ratio and to reduce the output file size, which resulted in a nominal resolution of

310   approximately 5.4 µm. Baseline was corrected in all acquired spectra, with the same software

311   (OPUS) and method as with the FTIR mapping (rubberband correction routine with two

312   iterations and 64 baseline points). Integration was carried out by the same principles as

313   described above at the single point mapping.

314

315   **4.4. Estimating quantitative uncertainties: the role of orientation and thickness of**

316   **amphibole lamellae**

317   The absorption of infrared light in the OH-region of amphiboles is strong and the

318   absorption indicatrix is rather anisotropic (almost no absorption along two principal polarized

319   axes; Skogby and Rossman, 1991). Therefore, it is important to estimate the impact of these

320   two factors on unpolarized absorbance. It is known that unlike polarized absorbance,

321   unpolarized absorbance is not linearly proportional to thickness if the absorption is strong (i.e.

322   thicker sections) or the anisotropy is large (e.g. as for amphiboles) (Libowitzky and Rossman,

323   1996). Nevertheless, it is possible to predict accurately the unpolarized absorbance in a given

324   indicatrix section based on polarized measurements using the infrared absorption indicatrix

325   theory in the transmission domain (Kovács et al., 2008; Sambridge et al., 2008; Withers,

326   2013; Qiu et al., 2018). For this purpose, we digitalized the three principal polarized infrared

327   spectra of a sodian cummingtonite showed in Fig. 1 of Skogby and Rossman (1991). The

328   published spectra are displayed at 1 µm of thickness. To demonstrate the effect of thickness

329   and anisotropy on the unpolarized absorbance, we calculated the principal polarized, average,

330   minimum and maximum unpolarized spectra at 1, 10 and 60 µm of thickness (Fig. 3).

331   Although sodian cummingtonite is used for the calculations based on Skogby and Rossman

332   (1991), the absorption indicatrix of chemically different amphiboles does not differ

333   significantly. The principal polarized spectra were scaled simply with thickness, whereas the

334   maximum and minimum unpolarized spectra were computed using equations 2 and 8 in

335   Libowitzky and Rossman (1996). The average unpolarized spectra were calculated from

336   principal polarized transmittances as given by Equation 15 in Withers (2013). While the

337   polarized absorbances increase proportionally with thickness, the unpolarized absorbances,

338 especially the minimum and average, do not change proportionally with thickness, which is

339 especially evident for the 60 μm thick section (Fig. 3). Since the unpolarized reference spectra

340 that is used later in this work to estimate the proportion of amphibole lamellae in pyroxenes

341 were recorded on wafers which are ~60 μm on average, the amount of underestimation with

342 respect to the true total polarized absorbance can be calculated. For this purpose, we

343 determined the integrated area under both polarized and unpolarized spectra (Table 2) and

344 compared the total polarized integrated absorbance to three times the average, minimum and

345 maximum unpolarized absorbance (Kovács et al., 2008; Withers, 2013), from which we can

346 estimate how much we underestimate the actual amphibole content based on the measured

347 unpolarized spectra. It is evident that with increasing thickness the correction factors for the

348 average and the minimum unpolarized absorbances increase, meaning that the total polarized

349 absorbance is more and more underestimated. Naturally the correction factors for the

350 minimum and maximum absorbances are the highest and lowest, respectively. The correction

351 factor for the minimum absorbance in principle does not change with thickness, as this section

352 is isotropic where there is only weak absorbance, therefore the unpolarized absorbance

353 changes linearly with thickness over a wider range of absorbances. As shown in Table 2, the

354 correction factor at 60 μm thickness for the average, minimum and maximum unpolarized

355 absorbance are 1.68, 4.6 and 1.26 respectively. It can be modelled from Equation 15 in

356 Withers (2013), assuming that the direction of the incident light with respect to the indicatrix

357 is unoriented and equally distributed on a sphere, that most of the absorbance would vary in a

358 relatively narrow range around the average unpolarized absorbance and only a few, if any

359 measured, would be close to the maximum or minimum values. This is also in agreement with

360 the spectra we measured on unoriented amphibole sections (see Results section 5.1).

361

362   **5. Results**

363

364   **5.1. Amphibole single spectra**

365   Due to the scarcity, small size and lack of clarity of interstitial amphiboles in the

366 selected xenoliths, only a few good quality spectra could be collected which were free of

367 contamination from nearby grain boundaries. Examples of unoriented single-grain amphibole

368 spectra from all four localities are depicted on Fig. 4a-b, compared with unpolarized single-

369 crystal spectra of Ti-rich and synthetic pargasites published by Della Ventura et al. (2007).

370 The positions of the main absorption bands in the amphiboles in this study appear at ~ 3706,

371   3680 and 3656 cm^{-1}, which is in good agreement with the Ti-rich pargasite from Lherz (3710,

372   3686, and 3660 cm^{-1}). However, the intensities of these bands are different: in the Nógrád-

373   Gömör and Perşani Mountains samples, the highest intensity band is the one at 3680 cm^{-1},

374   followed closely by the one at 3706 cm^{-1}, whereas the third band at 3656 cm^{-1} is not present or

375   with only minimum intensity. In the spectrum of the amphibole from the Bakony-Balaton

376   Highland, the third band (3656 cm^{-1}) is the most intense, and in the sample from Styrian

377   Basin, the second and third bands (3680 and 3656 cm^{-1}) are similar in intensity and the band

378   at 3706 cm^{-1} is slightly lower. Note that these example spectra were taken from one grain each

379   due to the small number of amphiboles that could be qualitatively analyzed (1-2 per sample),

380   therefore they cannot be used for quantitative interpretation as they represent only one random

381   orientation.

382   The amphibole spectra collected from distinct grains of the vein in xenolith PGR-X2-

383   0396 (Fig. 2a) also show some variability in shape (Fig. 4c), with either the band at 3680 or

384   3656 cm^{-1} showing the highest intensity. In contrast, the amphibole spectra collected from

385   randomly oriented separated grains from a Perşani Mountains and a Styrian Basin xenolith

386   (Fig. 4d, e, respectively) show homogeneous band positions and spectrum shapes. Although

387   maximum absorbance intensities have a great variance (between ~40-45 and ~13-14 when

388   normalized to 1 cm), they cover the same range in both samples, indicating that the measured

389   crystallographic orientations cover well the absorbance indicatrix. The relative intensities of

390   the bands are different in the two samples: in the Styrian Basin, the bands at 3710 and 3686

391   cm^{-1} have similar intensities but the one at ~3656 cm^{-1} is not distinguishable, whereas in the

392   Perşani Mountains sample, the band at 3686 cm^{-1} shows the highest absorbance while the

393   other two are significantly smaller. The shapes of the spectra and the relative band intensities

394   are identical within samples, which indicates that this may depend on composition rather than

395   orientation.

396

397   **5.2. Hyperspectral maps**

398   FTIR hyperspectral mapping and imaging revealed the presence of hydrous phases in

399   ortho- or clinopyroxenes in 18 xenoliths (Table 1). Absorption bands of these hydrous

400   components appear in a slightly higher wavenumber range than the OH-absorption range of

401   pyroxenes (i.e., >3630 cm^{-1}), at three characteristic positions at ~3712-3708, ~3696-3694, and

402   ~3686-3678 cm^{-1} (Fig. 5), with an additional rare band appearing at ~3625 cm^{-1} in

403   orthopyroxene in two samples (Table 1). In some xenoliths, the bands around 3710 and 3680

404   cm^{-1} both appear on the spectra of the same grain (Fig. 5a), which corresponds to the major

405   absorption bands of pargasitic amphibole (Della Ventura et al., 2007). On the other hand, the

406   one at ~3695 cm^{-1} only appears as a single band (Fig. 5b), except in the two samples where it

407   is accompanied by a band at ~3625 cm^{-1} (distinguishable only in orthopyroxene). The

408   identification of these bands is discussed below in section 6.1.

409   Hyperspectral maps and images were constructed by integrating absorbance in each

410   measurement point, in the wavenumber range characteristic for the hydrous phases (around

411   3740-3630 cm^{-1}, varying in each sample). In general, the single point FTIR map reveals the

412   presence of hydrous phases within a grain; however, FPA-FTIR imaging shows their exact

413   locations and distribution, and different intensities could be well distinguished (Fig.6). The

414   distribution of hydrous phases is variable, in some images they are broadly distributed

415   throughout the crystal, whereas in others, they are more locally concentrated in smaller sub-

416   crystal regions. Phases with absorbance bands appearing at ~3710 and ~3680 cm^{-1} always

417   show elongated, parallel, lamella-like shapes (Fig. 6, 7a), whereas those with bands at ~3695

418   and ~3625 cm^{-1} have irregular shapes, and are often linked to intragranular cracks or grain

419   boundaries (Fig. 7b). The lamellae can sometimes be observed with optical light as well (e.g.,

420   Fig. 6), but the distinction from clinopyroxene lamellae in orthopyroxene (or vice versa) is not

421   straightforward. In two samples, FPA-FTIR images revealed single hydrous lamellae within

422   orthopyroxenes which also contain most likely clinopyroxene lamellae based on the optical

423   microscopic images (Fig. 7c, 7d). Note that in such cases, small proportion of amphibole may

424   not be detectable next to the clinopyroxene signal. In xenoliths from the Perşani Mountains,

425   lamellae appear in pyroxene grains directly adjacent to interstitial amphibole (Fig. 7e, f), and

426   a decrease could be observed in the density of lamellae towards the core of the grain (Fig. 7f).

427   In these samples, infrared spectra show mixture of amphibole and pyroxene, and the intensity

428   of absorbance bands characteristic for amphibole gradually decrease towards the core where

429   they are no longer detectable (Fig. 8).

430   To quantify the water content of bulk grains by spectrum mixing (see section 6.2), the

431   water contents of host pyroxenes were calculated using spectra of lamella-free pyroxene parts

432   from the hyperspectral images in two samples (PGR-X1-0306 and GN1406) where previous

433   data on pyroxene water content was not available. To calculate the water content from the

434   infrared spectrum, we used the calibration factors of Bell et al. (1995) and followed the

435   method described step-by-step in Patkó et al. (2019). Note that when calculating water

436   content, even one unoriented grain can give sufficient accuracy (Xia et al., 2013). The

437 resulting water contents for the PGR-X1-0306 orthopyroxene and GN1406 clinopyroxene

438 (Fig. 6) are 166 and 506 ppm, respectively.

439

440 **6. Discussion**

441

442 **6.1. Identification of the hydrous phases**

443 One of the greatest advantages of hyperspectral mapping and imaging is that it offers a

444 combination of phase identification and their 2D distribution, hence the wide usage to map or

445 image H_2O-related heterogeneities such as fluid inclusions or hydrogen diffusion. However,

446 hydrous lamellae were so far only rarely studied by hyperspectral mapping and imaging

447 (Sundvall and Stalder, 2011). Amphibole lamellae, while possibly observable with polarized

448 light microscopy, are often not well distinguishable from other pyroxene lamellae (i.e.,

449 clinopyroxene lamellae in orthopyroxene or vice versa) (Fig. 7d). Hyperspectral imaging not

450 only aids identification, but also reveals the distribution of such lamellae. Pyroxene lamellae

451 usually have a homogeneous distribution within the host pyroxene grain, because they form

452 by subsolidus exsolution; however, in our samples, FPA imaging revealed a heterogeneous

453 distribution of the lamellae (Fig. 7a, e, f), which poses the question of formation mechanism.

454 Furthermore, the resolution of the FPA detector allowed the detection of smaller lamellae

455 (few µm or smaller across), where the volume ratio of the lamella and the host is so little that

456 single spot FTIR analysis, which samples a greater volume, would not be able to detect it.

457 Bands of the hydrous phases appearing on the pyroxene spectra of the hyperspectral

458 maps at ~3712-3708 and ~3686-3678 cm^{-1} correspond to those observed in pargasite (Della

459 Ventura et al., 2007) and in the amphibole single spectra of this study (Fig. 4). The shapes of

460 these phases always appear as lamellae. On the other hand, the phases with bands at ~3695

461 and ~3625 cm^{-1} have irregular shapes and often follow grain boundaries, which suggests it

462 being a secondary phase.

463 Pyroxene and olivine are commonly known to be susceptible to being replaced by

464 hydrous minerals, such as serpentine (e.g., Moody, 1976) if slow exhumation facilitates re-

465 equilibration at lower pressures, as observed in oceanic and orogenic peridotites. High-

466 temperature serpentine (antigorite) may form *in-situ* in the hydrated mantle, if the temperature

467 does not exceed ~700°C at 2 GPa (Ulmer and Trommsdorff, 1995; Padrón-Navarta et al.,

468 2010), for instance in cratonic areas underlain by a subducted slab (e.g., Facer et al., 2009).

469 However, in intraplate alkali basalt-hosted xenoliths, where the sampled mantle portion is

470 warmer (usually >900°C), and transport to the surface can be considered instantaneous on the

471 geological time scale, conditions for *in-situ* serpentinization are unlikely.

472 FTIR spectra of serpentine minerals have absorption bands in the OH-region at

473 wavenumbers close to what our analyses revealed (Fig. 5b): chrysotile at 3693 and 3647, and

474 lizardite at 3687 and 3644 cm^{-1} (Madejová et al., 2017). Gose et al. (2011) presented FTIR

475 spectra of serpentinized orthopyroxene to investigate water loss in oceanic peridotite. The

476 serpentine signal looks very similar to those on some of the pyroxene spectra from the Finero

477 peridotite (Tommasi et al., 2017 and unpublished data). However, in these studies, the

478 absorption bands are significantly wider, and the wavenumber position of the second, smaller

479 band is different compared to the ones in our results (~3695 and 3625 cm^{-1}). Therefore, we

480 suggest that these absorption bands in our samples do not correspond to serpentine minerals.

481 Clay minerals which are common weathering products of pyroxenes on the surface (kaolinite,

482 smectites; Noack et al., 1993), are better candidates. Some kaolin-group minerals have

483 absorption bands at ~3700-3694 and ~3628-3620 cm^{-1} (Madejová et al., 2017). Furthermore,

484 as the hyperspectral images suggested that these phases appear at or near grain boundaries and

485 cracks (Fig. 7b), small amounts of iddingsite replacing the adjacent olivine cannot be

486 excluded either. It is worth noting that in the previous FTIR study on Nógrád-Gömör

487 xenoliths (Fig. 1), absorption bands at various wavenumber positions between ~3697-3672

488 cm^{-1} (and in a few orthopyroxenes, at ~3630 cm^{-1}) were reported (Patkó et al., 2019), and

489 were attributed to hydrous lamellae, most likely amphibole. While some bands (likely

490 between ~3685-3675 cm^{-1}) may indeed be amphibole, we suggest that bands at higher

491 wavenumbers (~3697-3690 cm^{-1}) are more likely to be secondary weathering products,

492 especially if accompanied by another band at ~3625 cm^{-1}.

493

494 **6.2. Proportion and genesis of the amphibole lamellae**

495 The main question regarding the amphibole lamellae is whether or not they could have

496 formed solely by exsolution within the pyroxenes through re-distribution of the H_2O stored in

497 the host pyroxene lattice defects. In a young (off-cratonic) continental environment with high

498 heat flow, the upper limit of the stability of pargasitic amphibole is controlled by temperature

499 rather than pressure (Kovács et al., 2021). Pargasite becomes stable at ~1100°C or even lower

500 temperatures in a depleted mantle environment (Wallace and Green, 1991). During the

501 tectonic history of the CPR, a significant decrease in temperature is assumed to have

502 happened, following the extension and asthenosphere up-doming in the Miocene (e.g.,

503   Horváth, 1993), which could have facilitated sub-solidus amphibole exsolution in pyroxenes.

504   However, as Patkó et al. (2019) proposed in their study on NAMs from the Nógrád-Gömör,

505   extension and the coupled decompression in the thinning lithosphere resulted in a significant

506   degree of H_2O loss in both ortho- and clinopyroxene. Therefore, although post-extensional

507   cooling may have provided the temperature conditions needed for amphibole exsolution, the

508   decompression-induced dehydration likely had an opposing effect, greatly reducing the

509   availability of H_2O for amphibole lamellae exsolution. This does not exclude amphibole

510   formation at the grain boundaries (interstitially).

511   In one of the xenoliths from the Perşani Mountains (PGR-X1-0347), interstitial

512   amphibole is adjacent to the grains targeted with the FPA-FTIR imaging (Fig. 7e, f). In the

513   case of the orthopyroxene (Fig. 7f), the amphibole lamellae clearly start at the grain boundary

514   from the direction of the amphibole, and the amphibole signature becomes weaker on the

515   infrared spectrum before completely disappearing towards the core of the orthopyroxene grain

516   (Fig. 8). In fact, in the upper right part of the hyperspectral image (spot nr. 4-5 on Fig. 8), the

517   infrared spectrum is a mixture of amphibole and orthopyroxene, which is likely due to the

518   overlapping of the two grains rather than lamellae. In the case of the clinopyroxene

519   neighbored by an interstitial amphibole (Fig. 7e), this 'infiltration' phenomenon is less

520   obvious, as the lamellae appear in the core as well, but the mechanism is likely the same. This

521   may also be supported by the greater similarity of the amphibole crystallographic properties to

522   that of the clinopyroxene compared to orthopyroxene.

523   To determine whether water content of the pyroxenes would be sufficient for sub-

524   solidus amphibole formation, we need to estimate the proportion of amphibole in the grain

525   and calculate the amount of excess H_2O (beyond what is normally held in pyroxene) needed

526   for lamella formation. For this, using the amphibole 'shoulder' on the pyroxene spectra

527   (between ~3740-3640 cm^{-1}) in the hyperspectral image, the contribution of the amphibole

528   lamella to the total OH band can be estimated in volume percent. We chose the orthopyroxene

529   grain in the Perşani Mountains xenolith PGR-X1-0306 (Fig. 7a) for two reasons: (1) there is

530   no adjacent interstitial amphibole observable by optical microscopy which could act as a

531   source, and (2) the absorption bands of amphibole are more easily distinguishable on the

532   orthopyroxene spectrum, as the OH-stretching region of water incorporated in orthopyroxene

533   is at lower wavenumbers.

534   We applied spectrum mixing, using cm-normalized spectra of a lamella-free

535   orthopyroxene and amphibole spectra obtained from the separated amphibole grains to model

536   the different volume proportions of amphibole. We chose the Perşani Mountains amphiboles

537   (Fig. 4c) because of a better fit in terms of spectrum shape (the band at 3686 cm^{-1} being the

538   highest). Based on the hyperspectral images, we distinguished three groups with different

539   amount of amphibole presence. We redrew the image with these groups indicated with

540   different colors for easier calculation of area percentages (Fig. 9a). The dark blue ('dry'), light

541   blue (low amphibole) and green (high amphibole) areas make up ~ 75, 22 and 3 % of the map,

542   respectively (Table 3). Since lamellae orientations are unknown, we applied the mixing with

543   both the maximum and minimum absorbance amphibole spectra, as well as the sample

544   average. The resulting mixed spectra with varying amphibole content are shown on Fig. 9b-d

545   in grey color. Since a spectrum represents information on the whole thickness of the section,

546   the area percentages on the hyperspectral maps may be considered equivalent to volume

547   percentages. Note that the FPA-FTIR image does not cover the whole grain and the lamellae

548   are not distributed homogeneously, however, since there are more lamellae towards the lower

549   right part and they are more absent toward the upper left (Fig. 9a), we consider it as an

550   acceptable representation of the grain.

551   Using the amphibole spectrum with the highest absorbance for mixing (Fig. 9b), the

552   above distinguished areas (dark blue, light blue and green on Fig. 9a) correspond to 0, 5, and

553   10 vol.% amphibole (Table 3), respectively. Applying mass balance calculation, this amounts

554   to 1.40 vol.% amphibole content, equaling to 1.37 wt.% (the difference is negligible). Mixing

555   with the lowest absorbance amphibole spectrum, the three areas represent 0, 15, and 35 vol.%

556   amphibole (Fig. 9c), which adds up to 4.35 vol.% (4.25 wt.%) amphibole content using mass

557   balance calculation. Note that the fit of the spectra may be imperfect as the shape of the mixed

558   spectrum depends on the shape and relative intensities of bands in the amphibole spectrum

559   used for the mixing. Using the averaged amphibole spectrum for the mixing (Fig. 9d), which

560   can likely be considered as the most realistic scenario, the lamella-hosting areas result in

561   having 10 and 20 vol% amphibole content, equaling to a total of 2.80 vol.% (2.73 wt.%)

562   amphibole in the bulk analyzed area (Table 3).

563   As mentioned in section 4.4, these obtained amphibole proportions are overestimations,

564   meaning that seemingly more amphibole is needed to account for the observed absorbances in

565   the 3600-3750 cm^{-1} range than what is actually present. Therefore, it is necessary to use the

566   determined correction factor to estimate the more realistic values. Since the correction factor

567   should be less with decreasing thickness (note that the reference spectra used for spectral

568   mixing are recorded at ~60 μm) the correction factor would provide only minimal estimates

569   for the proportions of amphiboles in pyroxenes. Dividing the obtained amphibole proportions

570   with the average correction factor for 60 μm (1.68; Table 2) resulted in amphibole ratios of

571   0.83, 2.59 and 1.67 vol.% (0.81, 2.53 and 1.63 wt.%) for the mixing with the highest, lowest,

572   and average amphibole spectra, respectively (Table 3). Note that the correction factor may

573   also be applied before spectrum mixing (i.e., on the pure amphibole spectrum used for the

574   mixing), to get the same corrected amphibole proportions.

575   The next step is to assess whether the pre-exsolution (parental) orthopyroxene could

576   have contained enough H_2O to explain the calculated volume of exsolved amphibole. As

577   calculated above based on its infrared spectrum, the host orthopyroxene contains 166 ppm

578   H_2O, and the proportion of the amphibole lamellae is between 0.81 – 2.53 (on average, 1.63)

579   wt.%. Assuming 2 wt.% H_2O in the amphibole (based on pure pargasite composition), a H_2O

580   content of 162-506 (on average, 326) ppm would have had to be present in the parental

581   orthopyroxene to generate the calculated amount of exsolved amphibole. Taking into account

582   the measured H_2O concentration in the host orthopyroxene (166 ppm), the total H_2O

583   concentration of the parental orthopyroxene must have been 327-668 (on average, 489) ppm

584   (see breakdown of calculation in Table 3). In the continental off-cratonic upper mantle, the

585   content of orthopyroxenes is normally around 100-200 ppm and very rarely exceed 400 ppm

586   (Peslier et al., 2017; Demouchy and Bolfan-Casanova, 2016), and orthopyroxenes in the

587   supra-subduction Styrian Basin and Perşani Mountains have similar values, with only a few

588   samples having orthopyroxene water contents above 200 ppm (Falus et al., 2008; Aradi et al.,

589   2017). It is unlikely that orthopyroxene in a young continental environment could exsolve this

590   amount of amphibole unless exceptionally hydrated. However, the smaller the amphibole

591   proportion is, the less likely it can be detected with FTIR. For example, 100 ppm H_2O would

592   be sufficient for 0.5 wt.% of amphibole, which would need to be localized into a few bigger

593   lamellae to be able to be detected. In the imaged orthopyroxene of xenolith PST1401 (Fig.

594   7d), the single amphibole lamella may in fact be accompanied by others which are below

595   detection.

596   Clinopyroxene, on the other hand, may hold about 2-3 times as much H_2O as

597   orthopyroxene, up to 500-600 ppm (Peslier et al., 2017; Demouchy and Bolfan-Casanova,

598   2016). In principle, clinopyroxene would be able to exsolve ~1-1.5 % amphibole (200-300

599   ppm) and still retain the same amount of H_2O in cation defects. However, the question arises

600   whether that amount would be detectable by FTIR, since the amphibole 'shoulder' is more

601   easily distinguishable on an orthopyroxene spectrum than on that of a clinopyroxene due to

602   the latter having absorption bands at higher wavenumbers. We carried out the same spectrum

603   mixing as with orthopyroxene, based on the FPA-FTIR image of a clinopyroxene which did

604   not have adjacent amphibole (in xenolith GN1406 from the Styrian Basin). In the imaged

605 grain, we distinguished areas with four levels of amphibole content (Fig. 9e), indicated with

606 dark blue ('dry'), light blue, green and red colors and making up approximately 40, 40, 17 and

607 3 % of the whole mapped area, respectively (Table 3).

608 Spectrum mixing was applied with the use of the same Perşani Mountains amphibole

609 spectra (Fig. 4c) as with the orthopyroxene. Using the maximum absorbance amphibole

610 spectrum (Fig. 9f), the distinct areas correspond to 0, 2.5, 5 and 10 vol.% amphibole, which

611 yields a total of 1.28 vol.% (1.18 wt.%) after mass balance and dividing with the correction

612 factor. Mixing with the minimum absorbance amphibole (Fig. 9g), the areas represent 10, 20

613 and 40 vol.% amphibole, equaling to a total corrected proportion of 5.12 vol.% (4.72 wt.%).

614 Using the average amphibole spectrum for mixing (Fig. 9h), the results are 5, 10 and 20

615 vol.%, for the sub-areas, which corresponds to 2.56 vol.% (2.35 wt.%) amphibole in total.

616 Assuming 2 wt.% water content for the amphibole, the 1.18-4.72 (on average, 2.35) wt.%

617 implies that 236-944 (on average, 470) ppm H_2O is needed to form the lamellae only.

618 Considering 506 ppm for the lamellae-free areas as calculated from the pure clinopyroxene

619 spectrum, the water content of the whole mapped clinopyroxene grain is estimated to be 736-

620 1426 (on average, 964) ppm (Table 3). Similarly to the orthopyroxene, this value is extremely

621 high for upper mantle clinopyroxenes, and water contents in both case are also higher than

622 those obtained in pyroxenes in experiments conducted close to water saturation.

623 The experimental study of Kovács et al. (2012) found that orthopyroxene and

624 clinopyroxene can have 295 and 980 ppm of H_2O, respectively, at 2.5 GPa and 1000°C, and

625 other experimental works (e.g., Aubaud et al., 2004; Hauri et al., 2006) suggest even higher

626 water contents. The bulk water concentration found in the investigated pyroxenes is higher

627 than it is typical in pyroxenes from the lithospheric mantle from the Carpathian-Pannonian

628 Region (Liptai et al., 2021) and worldwide (i.e., Demouchy and Bolfan-Casanova, 2016;

629 Peslier et al., 2017; Xia et al., 2019). The bulk concentration of water (< 200 ppm wt.) in

630 NAMs from upper mantle xenoliths from the lithospheric mantle may be even less than what

631 was found to be sufficient to stabilize pargasitic amphibole (Kovács et al., 2012; Xia et al.,

632 2019). Even fluids/melts percolating upwards from the asthenosphere may not necessarily

633 increase these water concentration levels in NAMs since water is sequestered from

634 melts/fluids by amphibole precipitation close to the conduits. It also needs to be mentioned,

635 however, that several studies argue that the analyzed water contents of orthopyroxene or even

636 clinopyroxene may not always reliably represent original mantle conditions, as they lose part

637 of their water on their way to the surface or during post eruption cooling (Biró et al., 2017;

638 Tian et al., 2017; Wang et al., 2021).

639   In summary, the heterogeneous distribution of the amphibole lamellae within the

640   pyroxene grains, evidence of metasomatism by interstitial amphiboles, and the observation

641   that the lamellae appear only in a few grains per sample, we favor the hypothesis that they did

642   not form only by exsolution; instead, an external H_2O source should have been involved.

643   Furthermore, the pre-existence of pyroxene (or spinel) lamellae in the host grain could

644   facilitate amphibole formation, i.e., the amphibole lamellae were likely clinopyroxene

645   lamellae in orthopyroxene before the metasomatism. This explains the source of elements

646   such as Na and Ca which are characteristic components of pargasite but are only trace or

647   minor elements in orthopyroxene. Amphibole lamellae forming at the expense of

648   clinopyroxene with the addition of external water may also explain Fig. 7d, where lamellae in

649   orthopyroxene are observed in optical microscope but only one of them showed amphibole

650   bands on the infrared spectrum. However, if pre-existent clinopyroxene lamellae are lacking,

651   the role of time needed for amphibole formation would be another important factor. Since the

652   diffusion of important elements (Al and Na) in pyroxene structures for amphibole formation is

653   very slow (e.g., Cherniak and Dimanov, 2010) compared to that of H, it takes considerable

654   time. Thus, besides the principally dry nature of the lithospheric mantle beneath the

655   Carpathian-Pannonian region, the geologically short time since the last major tectonic events

656   including the extension (~ 11 Ma) and basin inversion (~ 5 Ma) may have been too short for

657   widespread amphibole exsolution in pyroxenes.

658   As suggested by hyperspectral images of several grains with neighboring amphibole

659   (Fig. 7e, f), the additional H_2O may come from hydrous melts or fluids percolating along

660   grain boundaries. Both the Styrian Basin and the Perşani Mountains xenoliths represent upper

661   mantle portions infiltrated by subduction-related melts, as supported by their abundant modal

662   amphibole (Aradi et al., 2017; Faccini et al., 2020). However, as evidenced by amphiboles

663   associated with mafic melts (Liptai et al., 2017; Bali et al., 2018; Aradi et al., 2020; Faccini et

664   al., 2020) a supra-subduction environment may not be necessary, nevertheless, the hydrated

665   nature of these marginal parts of the CRP appears to be evident

666   In the case of grains with no adjacent amphibole or clear sign of melt infiltration, fluid

667   inclusions may provide an explanation for the 'extra' H_2O source. Berkesi et al. (2019)

668   described pargasite inclusions in ortho- and clinopyroxene in xenoliths from Mt. Quincan

669   (Australia), which were associated with fluid inclusions trapped alongside pyroxene lamellae

670   during metasomatism by a fluid with dissolved silicate melt component. The authors proposed

671   that post-extensional cooling led to pargasite formation when the mantle reached the stability

672   field of pargasite. In the samples of our study, fluid inclusions could not be observed in the

673   imaged grain by optical microscope, however, this does not exclude the possibility that they

674   are present on the sub-micron scale as nano-inclusions (see Stenina, 2004 for quartz).

675

676   **6.3. Effect of different tectonic settings in the Carpathian-Pannonian region**

677   Local hydration associated with subduction fluids/melts is easily recognized in the

678   Styrian Basin and Perşani Mountains xenoliths, as evidenced by the abundance of interstitial

679   amphibole and their geochemistry (Aradi et al., 2020; Faccini et al., 2020); although there is

680   no correlation between amphibole modal proportion and whether it is present or not as lamella

681   in the pyroxenes. Generally, all Styrian Basin xenoliths involved in this study have amphibole

682   lamellae in the pyroxenes, yet most of them only have trace amounts of interstitial amphibole

683   (<1 vol.%; Table 1). These samples were interpreted to represent a domain farther from a

684   metasomatic front of a nephelinitic melt that formed amphibole-rich lithologies, such as

685   sample GN1407 (Aradi et al., 2020). In the central localities of the Pannonian Basin (Bakony-

686   Balaton Highland, Nógrád-Gömör), however, amphibole lamellae were found in only one

687   xenolith (Table 1), even though interstitial amphibole is present albeit in lower abundances

688   (Liptai et al., 2017) compared to xenoliths from the marginal regions. Note that while the

689   Bakony-Balaton Highland samples presented in this study contain only traces of amphibole,

690   xenoliths from other quarries of the locality were described to have interstitial amphiboles

691   (Downes et al., 1992; Bali et al., 2002; Szabó et al., 2009; Créon et al., 2017) or rarely even

692   forming amphibole veins (Embey-Isztin, 1976; Bali et al., 2018).

693   In the Nógrád-Gömör, some of the amphiboles have been shown to have subduction-

694   related geochemical characteristics such as low Nb contents, despite being far from any recent

695   subduction zone (Liptai et al., 2017). They were interpreted to represent remnants of a mantle

696   portion affected by subduction-related melts or fluids of presumably Mesozoic age, that

697   predate the formation of the Pannonian Basin (Kovács and Szabó, 2008). Another population

698   of amphiboles was suggested to have formed from, or re-equilibrated with, intraplate mafic

699   melts. Similar genesis was proposed for interstitial and vein amphiboles in several studies

700   focusing on the Bakony-Balaton Highland (Szabó et al., 2009; Bali et al., 2018). These

701   amphiboles are suggested to have formed after the Miocene extension, because the intraplate

702   mafic melts are linked to the same magma production event that resulted in the basaltic

703   volcanism occurring after the cessation of the extension and bringing the xenoliths to the

704   surface (Kovács et al., 2020). Furthermore, the extension and related asthenosphere upwelling

705   resulted in a significant temperature increase which could have caused the breakdown of

706 amphiboles, as evidenced by melt pockets frequently found in the Bakony-Balaton Highland

707 (Bali et al., 2002; 2007; 2008) and occasionally in the Nógrád-Gömör (Liptai et al., 2017)

708 (Fig. 2c). Since the extension-related decompression was suggested to be responsible for H_2O

709 loss in the NAMs (Patkó et al., 2019), the low H_2O budget of the bulk mantle did not favor

710 amphibole formation, even though it entered the pargasite stability field during the post-

711 extensional cooling. This supports our suggestion that the portions of the upper mantle that

712 were subjected to extension and lithospheric thinning were not able to facilitate subsolidus

713 amphibole formation, hence the general lack of amphibole lamellae and low abundance of

714 interstitial amphibole in the Nógrád-Gömör xenoliths (Table 1).

715   It is worth noting that in wehrlite xenoliths of the Nógrád-Gömör, which represent

716 products of the most recent metasomatic event (Patkó et al., 2020), 'dry' and 'wet' (low and

717 high H_2O-) clinopyroxene grains were distinguished, even within single samples (Patkó et al.,

718 2019). These may also represent local H_2O enrichment, similar to the pyroxene grains

719 containing amphibole lamellae in the Styrian Basin and the Perşani Mountains, although no

720 connection was found between H_2O content and trace element enrichment which would

721 represent the degree of metasomatism. However, the lack of amphibole lamellae in these

722 'wet' grains may be due to the fact that the wehrlite-forming metasomatism was still ongoing

723 at the time of xenolith entrainment by the host basalt (Patkó et al., 2020), thus the amount of

724 time to form lamellae in a detectable size may not have been sufficient. Another factor that

725 needs to be taken into account is that the possibility of amphibole lamellae formation does not

726 depend on the availability of H_2O only. The mobility of cations needed to form amphibole,

727 such as Na and Ca is much more limited compared to that of H (e.g., Cherniak and Dimanov,

728 2010), which might restrict the formation of amphibole lamellae. An exception is, as stated

729 above, if these elements are already present in clinopyroxene lamellae within orthopyroxene,

730 and thus can form amphibole upon the addition of H_2O.

731

732   **7. Implications**

733

734   Our study provided insight into the genesis of (pargasitic) amphibole at lithospheric

735 mantle conditions. Amphibole is generally considered a metasomatic product; however, when

736 there is no direct petrographic evidence for metasomatism, the formation may be

737 questionable. The method we applied, using hyperspectral imaging to estimate the volume

738 proportion of amphibole lamellae in pyroxene grains, and using it to quantify the water

739 content for the whole grain, offers a way to prove metasomatic origin. The method involves

740   the determination of a correction factor for different sample thicknesses, which represents the

741   ratio of total polarized vs. unpolarized absorption in the amphibole spectrum in a semi-

742   quantitative way, and thus solving the problem of overestimation of amphibole proportion

743   resulting from using unpolarized light. The amount of amphibole lamellae we observed in the

744   studied Carpathian-Pannonian region xenoliths, along with the water concentrations of the

745   lamella-free pyroxene adds up to water contents unrealistically high for mantle pyroxenes,

746   even in a well-hydrated, supra-subduction environment. Therefore, subsolidus exsolution can

747   be excluded, meaning that the water must come from an external source (i.e., metasomatic

748   melt or fluid).

749   Our results also demonstrate that mobile components, water in particular, can be

750   effectively sequestered from metasomatic fluids by amphibole precipitation in pyroxenes. The

751   consumption of fluids and the precipitation of amphiboles can contribute to explaining the

752   existing rheological contrast between the lithosphere and asthenosphere (Kovács et al., 2021).

753   Consequently, it may represent a key factor in large-scale geodynamic processes, as water

754   sequestration by amphibole leads to the rheological strengthening of the mantle, and thus can

755   contribute to the conversion of the uppermost asthenospheric layer to lithosphere during post-

756   extensional thermal cooling.

757

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766

767   **References**

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1057

1058

Sample	Thickness (μm)	Interstitial amphibole?	n. of grains analyzed	Analyses	Band position of hydrous lamella (cm^{-1})	Amphibole lamella?	Other hydrous phases?
Styrian Basin							
BEI1401	180	yes	1	FTIR	3710	yes	no
GN1401	385	yes	3	FTIR	3695, 3712	yes	yes
GN1406	325	yes (<1%)	1	FTIR+FPA	3680, 3712	yes	no
GN1407	50-80	yes	8	FTIR single spectra only	3683, 3710	-	-
GN1411	235	yes (<1%)	4	FTIR	3680-3685, 3710	yes	no
GN1412	425	yes (<1%)	2	FTIR	3695	no	yes
PST1401	460	yes (<1%)	3	FTIR+FPA	3680	yes	no
PST1403	480	yes (<1%)	1	FTIR	3680	yes	no
TOB1401	385	yes (<1%)	1	FTIR+FPA	3678	yes	no
TOB1403	235	yes	2	FTIR	3708	yes	no
Bakony-Balaton Highland							
FT0101	760	no	3	FTIR+FPA	-	no	no
FT0801	245	no	3	FTIR	-	no	no
FT042	565	no	6	FTIR+FPA	3695	no	yes
FT07	360	yes (<1%)	3	FTIR+FPA	3695	no	yes
FTP5	720	yes (<1%)	-	FTIR	-	-	-
Nógrád-Gömör							
NMS1304	95	yes	-	FTIR single spectra only	-	-	-
NMS1308	275	yes	2	FTIR	-	no	no
NMS1310	280	no	3	FTIR+FPA	3685-3710	yes	no
NJS1306	185	no	3	FTIR	-	no	no
NJS1307	225	no	3	FTIR+FPA	-	no	no
NFL1305	335	no	4	FTIR+FPA	3624, 3696	no	yes
NFL1329	155	yes	3	FTIR	-	no	no
NTB0307	250	no	3	FTIR	3626, 3694	no	yes
NTB1116	300	no	3	FTIR	-	no	no
NTB1124	310	no	3	FTIR	-	no	no
NFK0301	260	no	2	FTIR+FPA	3678	no	yes
NFR1109	255	no	2	FTIR+FPA	3686	no	yes
NMC1336A	220	no	3	FTIR	-	no	no
NBN0302A	125	no	3	FTIR+FPA	-	no	no
NBN0311	335	no	4	FTIR	-	no	no
NBN0321	180	no	2	FTIR	-	no	no
Perşani Mountains							
PGR-X1-0306	355	yes	3	FTIR+FPA	3680, 3697, 3710	yes	yes
PGR-X1-0347	315	yes	2	FTIR+FPA	3680, 3710	yes	no
PGR-X2-0396	290	yes	10	FTIR single spectra only	3656, 3680, 3710	yes	no
PGR-14182	30-80	yes	7	FTIR single spectra only	3654, 3686, 3710	yes	no

1059

1060   Table 1. Summary of amphibole content, analyses and hydrous phases found in pyroxene

1061   grains in the studied xenoliths.

1062

	Normalization		
	1 μm	*10 μm*	*60 μm*
Total integrated polarized absorbance	2.3	23	139
Average total integrated unpolarized absorbance	0.76	6.9	28
Max. total integrated unpolarized absorbance	1.04	9.4	37
Min. total integrated unpolarized absorbance	0.17	1.7	10
Correction factor (average)	**1.01**	**1.12**	**1.68**
Min. correction factor	0.75	0.82	1.26
Max. correction factor	4.6	4.6	4.6

1063

1064   Table 2. Calculation of the average, minimum and maximum correction factor to quantify the

1065   difference in the total polarized vs. unpolarized absorbance for different sample thicknesses.

1066

	Area proportions (%)	Amphibole proportion (vol.%) if mixed with:		
		max. absorbance amp. spectrum	min. absorbance amp. spectrum	averaged amp. spectrum
Orthopyroxene (166 ppm H$_2$O)				
*dark blue ('dry')	75	0	0	0
*light blue	22	5	15	10
*green	3	10	35	20
Total amphibole vol.% (wt.%)		1.40 (1.37)	4.35 (4.25)	2.80 (2.73)
Corrected total amphibole vol.% (wt.%)		0.83 (0.81)	2.59 (2.53)	1.67 (1.63)
Water needed for amphibole only (ppm)		*162*	*506*	*326*
Water content of the whole grain (ppm)		**327**	**668**	**489**
Clinopyroxene (506 ppm H$_2$O)				
*dark blue ('dry')	40	0	0	0
*light blue	40	2.5	10	5
*green	17	5	20	10
*red	3	10	40	20
Total amphibole vol.% (wt.%)		2.15 (1.98)	8.60 (7.95)	4.30 (3.96)
Corrected total amphibole vol.% (wt.%)		1.28 (1.18)	5.12 (4.72)	2.56 (2.35)
Water needed for amphibole only (ppm)		*236*	*944*	*470*
Water content of the whole grain (ppm)		**736**	**1426**	**964**

1067

1068   Table 3. Calculations of assumed bulk water contents of orthopyroxene and

1069   clinopyroxene grains imaged with FPA-FTIR (Fig. 9), using spectrum mixing with amphibole

1070   (maximum, minimum and averaged spectra from Fig. 4c). Colors marked with asterisk

1071   represent different proportions of amphibole lamella, as seen on the hyperspectral images

1072   (Fig. 9).

Figure 1
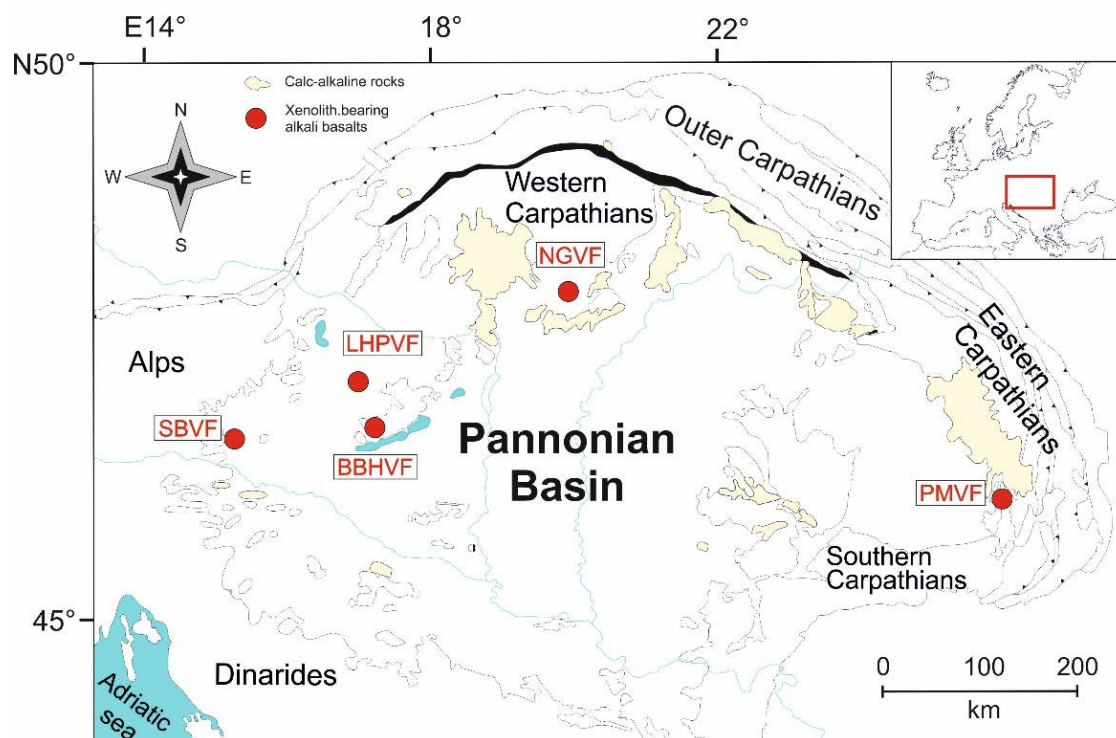
1073

1074   Figure 1. Location of the xenolith-hosting alkali basalt localities within the Carpathian-

1075   Pannonian region. Abbreviations: SBVF – Styrian Basin Volcanic Field, LHPVF – Little

1076   Hungarian Plain Volcanic Field, BBHVF – Bakony-Balaton Highland Volcanic Field, NGVF

1077   – Nógrád-Gömör Volcanic Field, PMVF - Perşani Mountains Volcanic Field.

1078
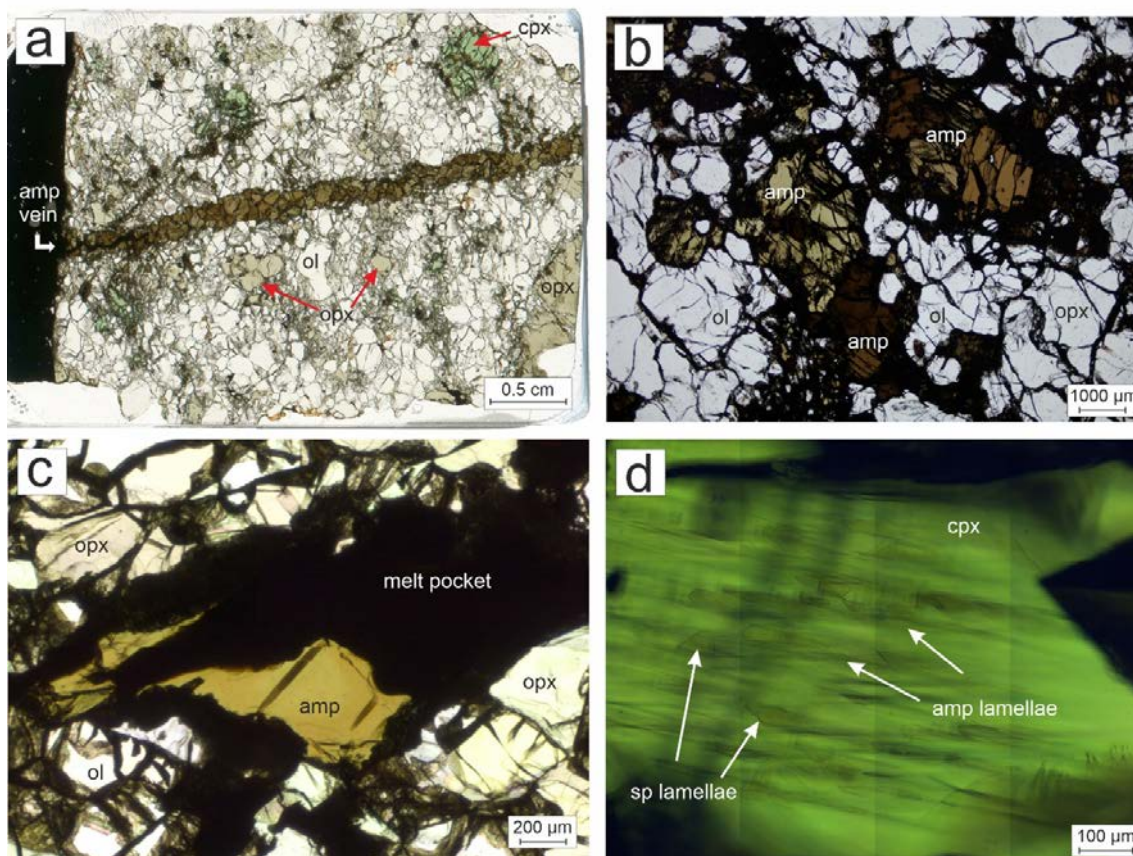
Figure 2. Appearances of amphibole (amp) in xenoliths from the Carpathian-Pannonian region. (a) Amphibolite vein crosscutting a lherzolite from the Perşani Mountains (PGR-X2-0396); scanned thin section image. (b) Interstitial amphibole grains in a Styrian Basin xenolith (BEI1401); transmitted light, 1N. (c) Interstitial amphibole and neighboring melt pocket in a Nógrád-Gömör xenolith (NMS1304); transmitted light, 1N. (d) Amphibole and spinel lamellae in a clinopyroxene (cpx) grain in a Styrian Basin xenolith (GN1406); transmitted light, 1N.
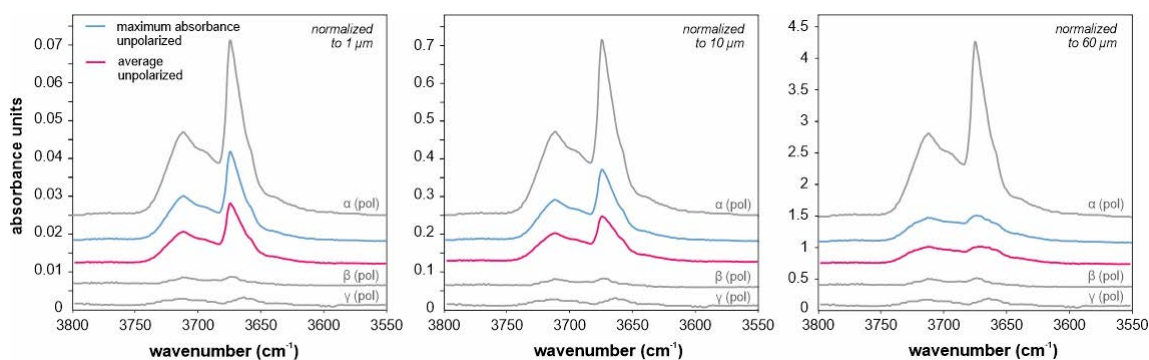
Figure 3. Polarized (pol) sodium cummingtonite spectra taken from Skogby and Rossman (1991), with modelled average and maximum absorbance unpolarized spectra for different sample thicknesses. Minimum unpolarized absorbance is considered close to 0. Correction factors were calculated based on the difference between total polarized and unpolarized absorbances (see text for details).
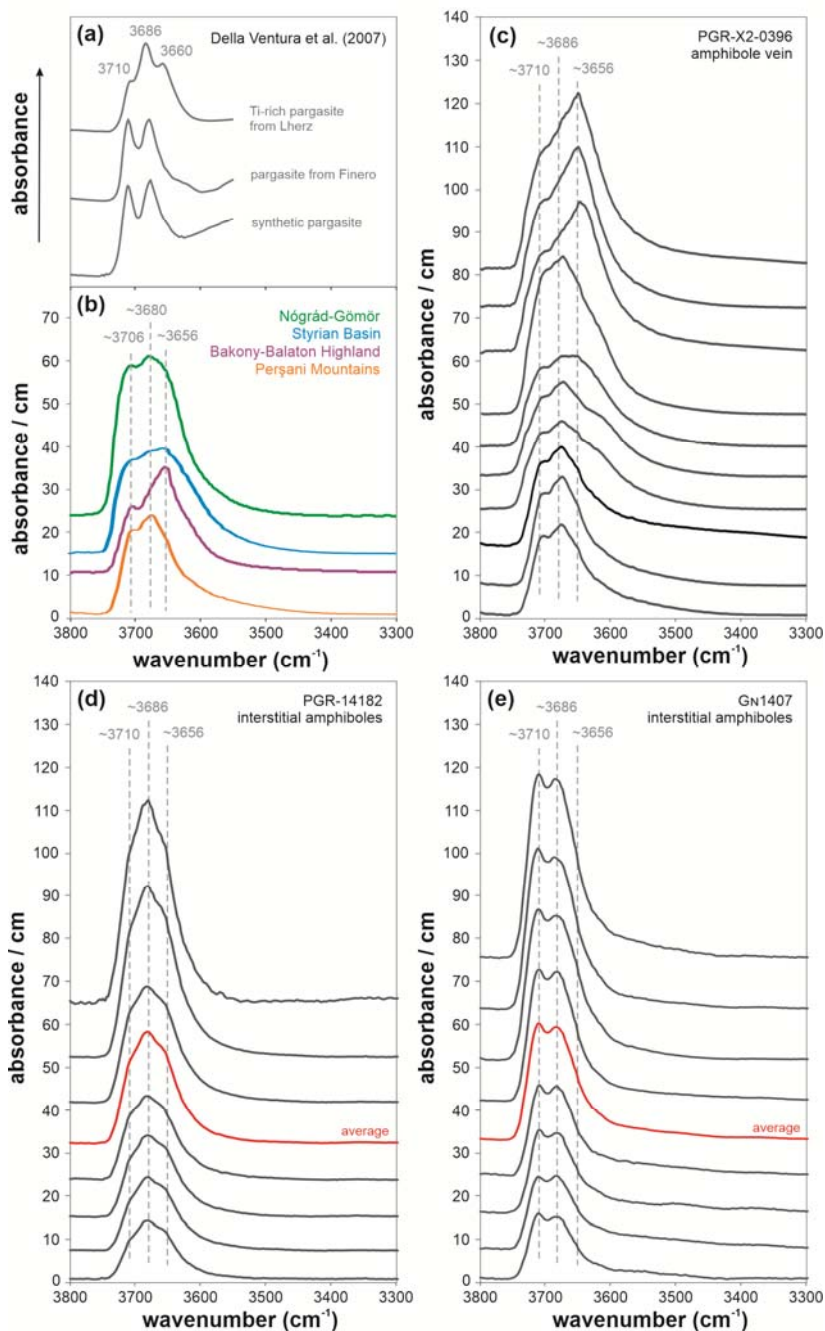
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1095

1096 Figure 4. (a) FTIR spectra of natural and synthetic pargasite from the study of Della Ventura

1097 et al. (2007). (b) Examples of interstitial amphibole FTIR spectra from localities of the

1098 Carpathian-Pannonian region. (c) FTIR spectra of amphibole grains from the amphibole vein

1099 in xenolith PGR-X2-0396 (d-e) FTIR spectra of separated amphibole grains, and their average

1100 in red, from a Perşani Mountains (PGR-14182) and a Styrian Basin (GN1407) xenolith,

1101 respectively. All spectra were obtained with unpolarized light, on single unoriented crystals.
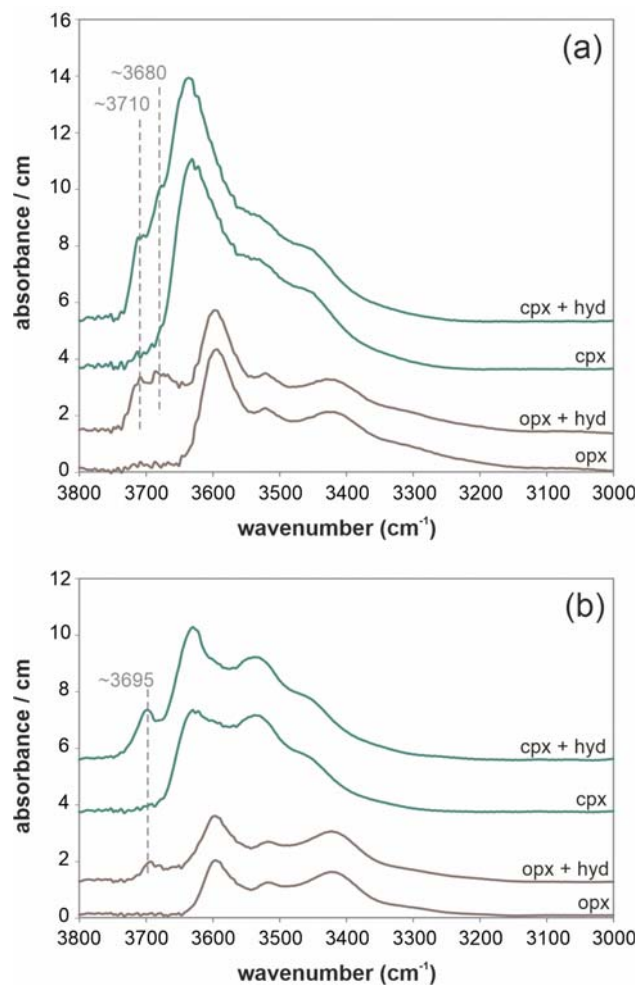
1102

1103 Figure 5. Unpolarized single FTIR spectra of orthopyroxene (opx) and clinopyroxene (cpx)

1104 with indication of hydrous phases (hyd) at wavenumbers of ~3710-3680 (a) and ~3695 (b)

1105 cm^{-1}. Corresponding spectra free of hydrous phases from the same grains are shown for

1106 comparison.

1107
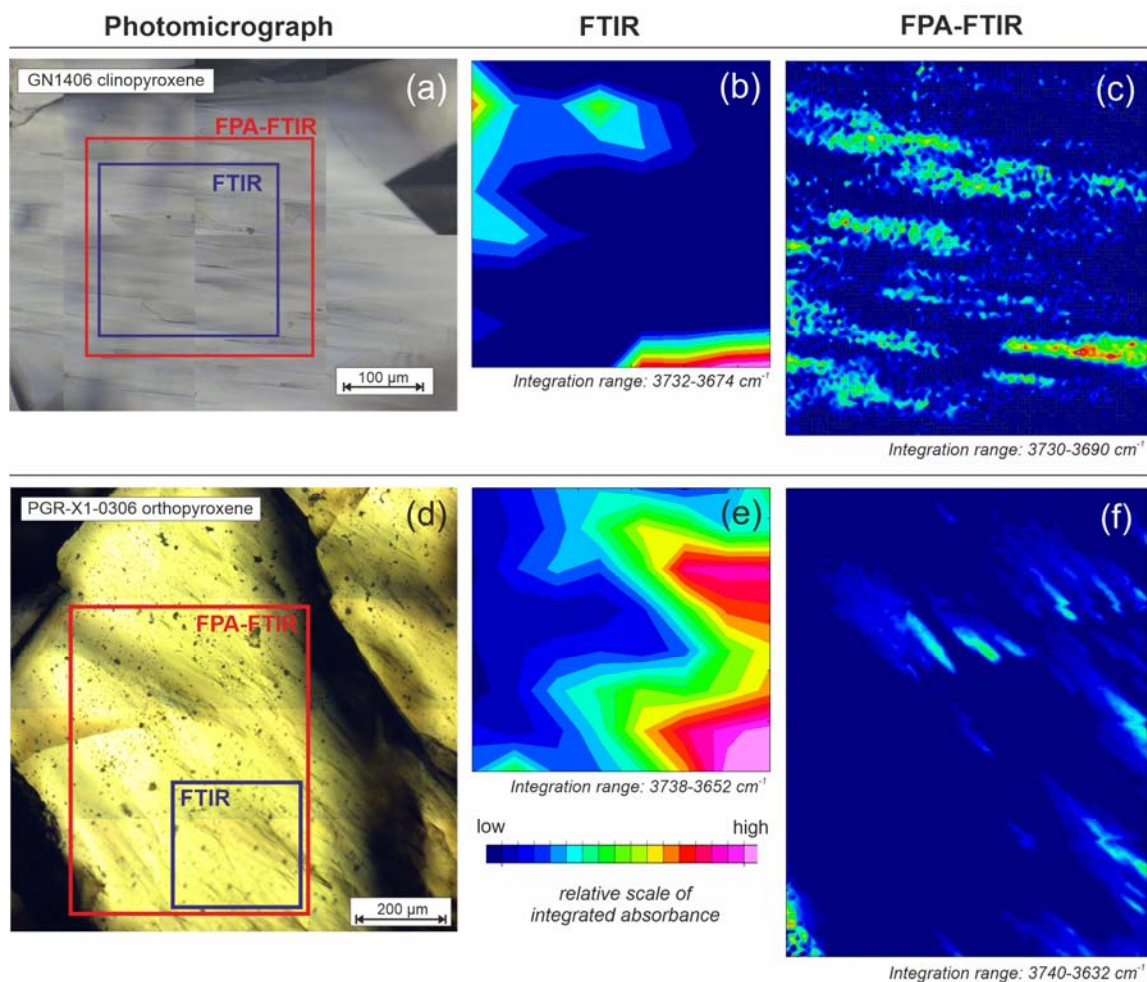
1108
1109   Figure 6. Photomicrographs (transmitted light, 1N) (a, d) and examples of hyperspectral maps

1110   carried out with single spot FTIR (b, e) and images generated with a focal plane array (FPA)

1111   detector (c, f) on a clinopyroxene (a-c) and orthopyroxene (d-f) from the Styrian Basin and

1112   the Perşani Mountains, respectively. Hyperspectral maps and images were constructed from

1113   integrated absorbance of the bands characteristic for amphibole.

1114
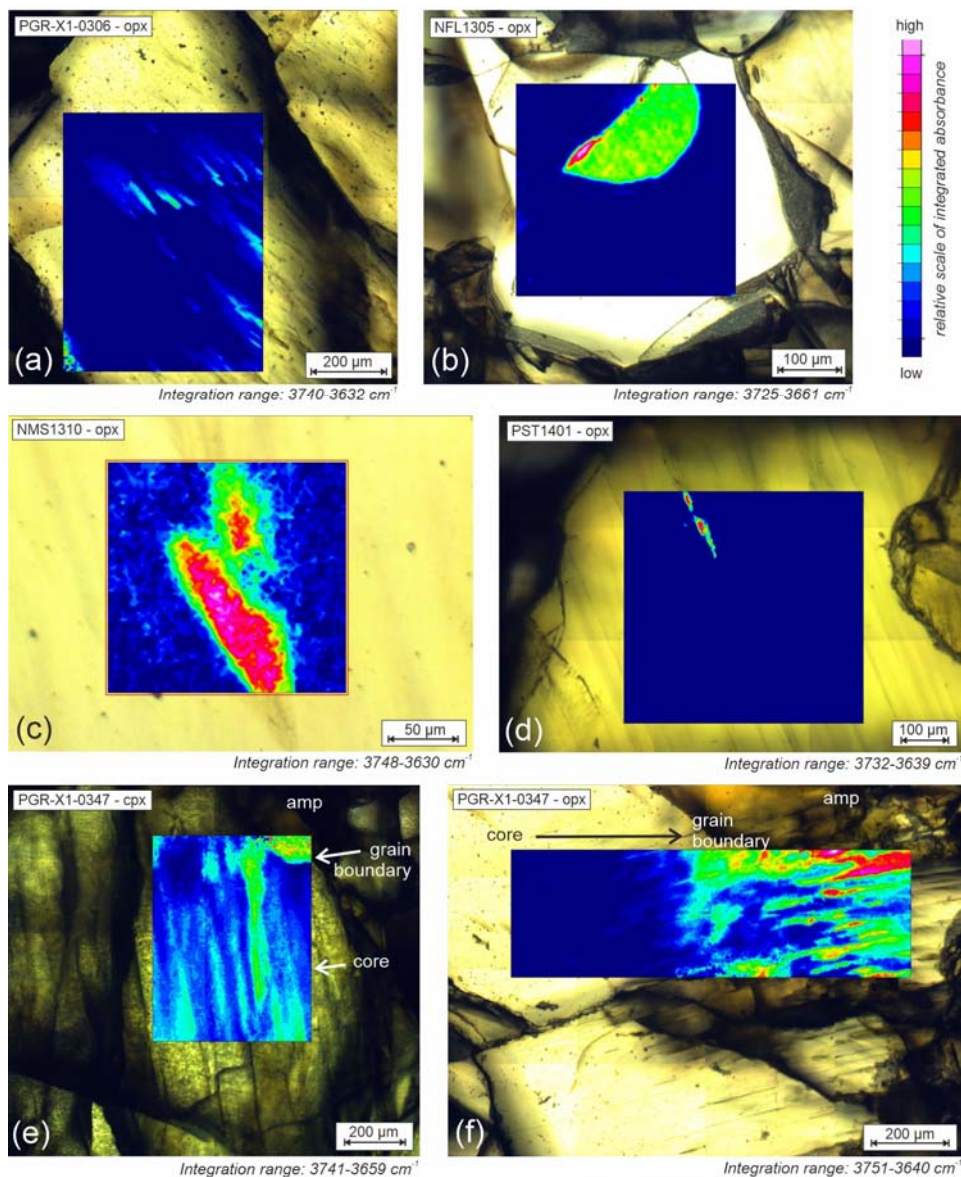
Figure 7. Examples of FPA-FTIR maps displayed over the mapped area on various pyroxene grains from the studied xenoliths. (a) Hydrous lamellae in orthopyroxene. (b) Hydrous phase on the grain boundary between olivine and orthopyroxene. (c-d) Hydrous lamellae in orthopyroxene containing clinopyroxene lamellae as well. (e) Hydrous lamellae in clinopyroxene, connected to a neighboring interstitial amphibole. (f) Hydrous phase in orthopyroxene, connected to a neighboring interstitial amphibole. Note that the color scaling is different for each image, so that the hydrous lamellae are best distinguishable. Integration ranges were chosen based on the shapes of the spectra for best distinction from the signal of the host grain.
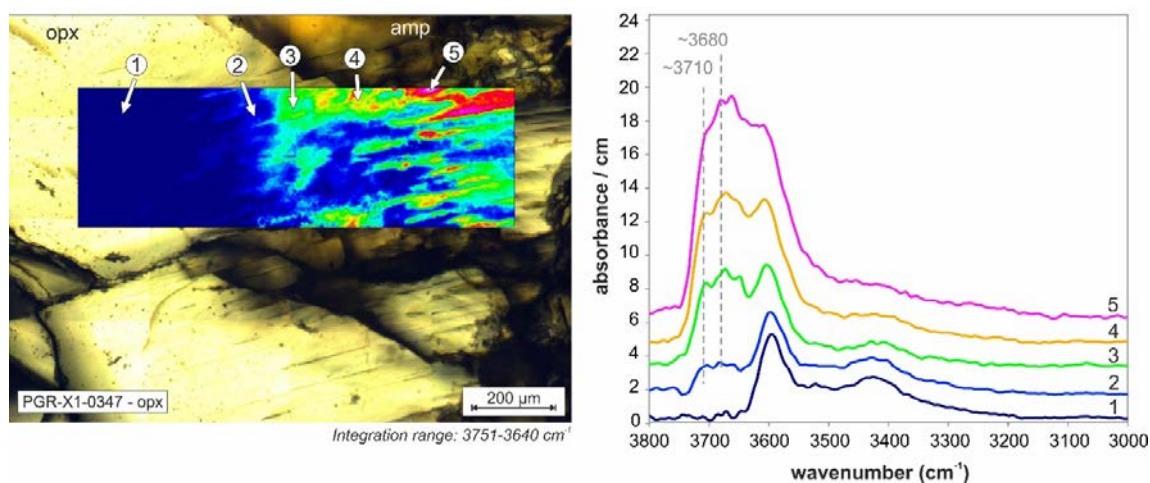
1126

1127 Figure 8. FPA-FTIR hyperspectral map (left) displayed over the mapped area in a Perşani

1128 Mountains xenolith (PGR-X1-0347) where amphibole lamellae are in contact with an adjacent

1129 interstitial amphibole grain, and FTIR spectra along a profile (right) showing the transition

1130 with different proportions of amphibole and orthopyroxene signal. Color scale represents the

1131 amount of integrated absorbance similarly as on Fig. 7.

1132

1133
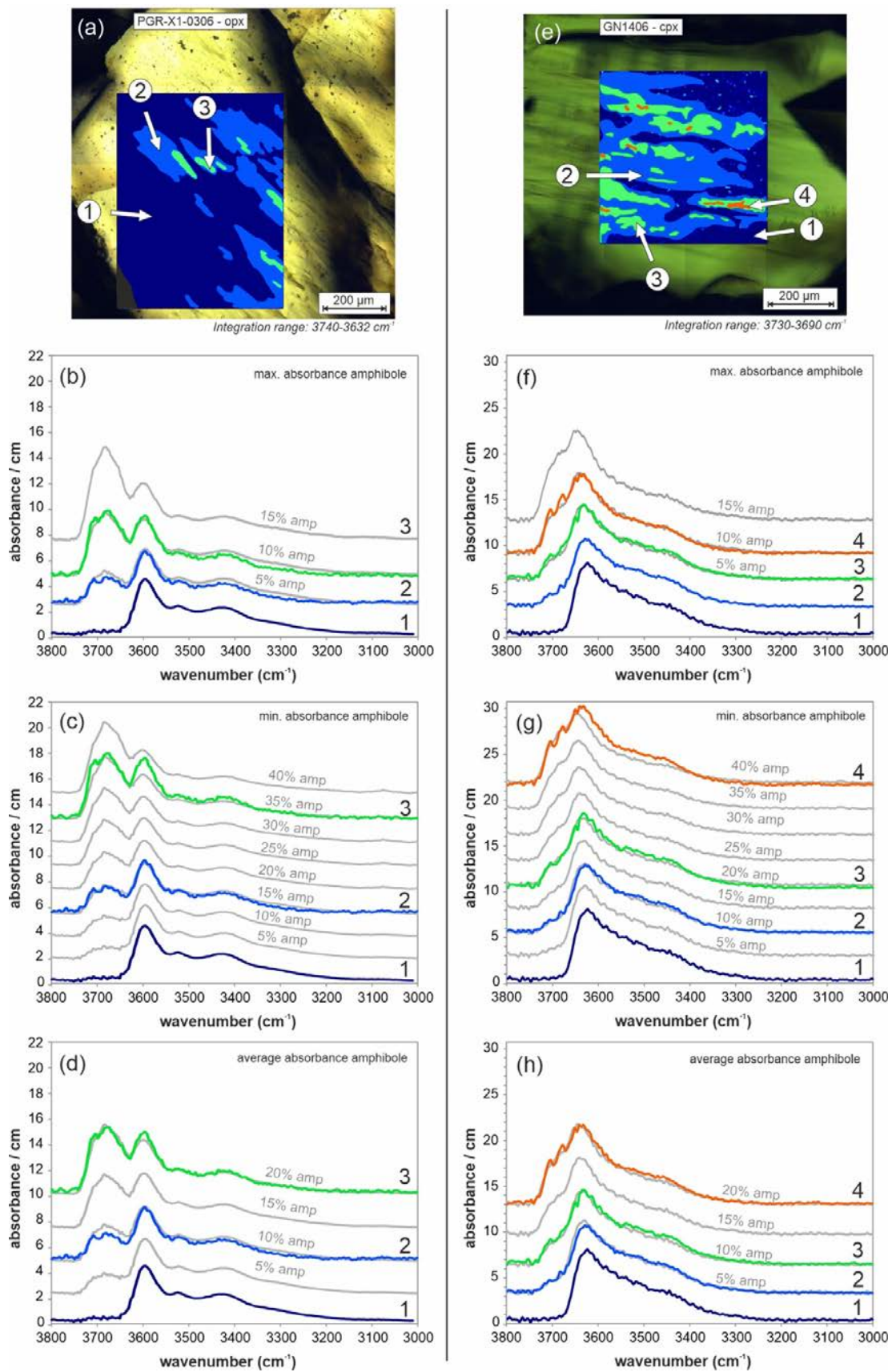
1134   Figure 9. Effect of different volume proportions of amphibole on the infrared spectrum of

1135   orthopyroxene (a-d) and clinopyroxene (e-h), same grains as on Fig. 6, by spectrum mixing.

1136   Images were re-drawn (a, e) in a simplified way to show areas with 3-4 different amphibole

1137   signal intensities in different colors (from dark blue to red). Spectra of amphibole bands with

1138   different intensities at the indicated spots are shown in the same colors. Spectrum mixing was

1139   carried out with pure amphibole spectra from grain separated from a Perşani Mountains

1140   xenolith (see Fig. 4c). For both ortho- and clinopyroxene, the mixing was carried out with the

1141   highest (b, f) and lowest (c, g) absorbance amphibole, as well as the averaged (d, h)

1142   amphibole spectrum. Total volume proportion of amphibole lamellae and bulk water content

1143   in the area covered by the hyperspectral images, extrapolated to the whole grain, were

1144   calculated based on fitting with mixed spectra (see text for details).

1145