

1 **Revision 1**

2 **Behavior of hydrogen defect and framework of Fe-bearing wadsleyite and**  
3 **ringwoodite at high temperature and high pressure**  
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**ABSTRACT**

21 The transition zone is dominated by polymorphs of olivine, wadsleyite and  
22 ringwoodite, which are to date considered the main water carriers in the Earth's  
23 mantle. Despite considerable efforts on water solubility and impact on physical  
24 properties of the two minerals, knowledge of hydrogen defects and framework  
25 behavior of the two minerals at high temperature and high pressure is still lacking.  
26 Here, we systematically assess this issue, by *in situ* high temperature (20-800 °C)  
27 infrared spectroscopic, *in situ* high temperature (20-800 °C) and high  
28 temperature-pressure (14.27 GPa and 18.84 GPa, 20-400 °C) Raman spectroscopic  
29 studies on the iron-bearing wadsleyite and ringwoodite. The results show that  
30 dehydrogenation in wadsleyite happens at a higher temperature than in ringwoodite.  
31 The infrared absorption patterns of hydrogen defects in wadsleyite and ringwoodite  
32 are temperature sensitive, resulting from hydrogen defects transferring and  
33 site-specific stabilities. As for the framework, it is more sensitive to temperature and  
34 pressure for the ringwoodite than the wadsleyite. These results provide new  
35 knowledge about hydrogen defects and framework of wadsleyite and ringwoodite at  
36 high temperature and high pressure, which is indispensable for understanding water  
37 solubility and its impacts on physical properties of the two minerals.

38 **Keywords:** wadsleyite; ringwoodite; hydrogen defect; framework; mantle transition  
39 zone

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## INTRODUCTION

42 Many geochemical and mineralogical studies have contributed to water  
43 distribution in the mantle transition zone. However, there still exist uncertainties.  
44 Based on the crystallographic considerations and experimental estimation of hydrogen  
45 solubility (Smyth, 1994; Kohlstedt et al., 1996), the mantle transition zone can be a  
46 large water reservoir, with up to 2.7 wt.% H<sub>2</sub>O in the structures of wadsleyite and  
47 ringwoodite. But this is inconsistent with the geophysical observation which suggests  
48 less water in the mantle transition zone (Houser, 2016). However, a single natural  
49 ringwoodite, found in a diamond, contains about 1.4 wt.% H<sub>2</sub>O (Pearson et al., 2014),  
50 and thus clearly demonstrated at least locally a water-rich mantle transition zone.  
51 Moreover, hydrogen effects on physical properties of wadsleyite and ringwoodite  
52 have been extensively studied. However, large discrepancies exist about the  
53 magnitude of hydrogen effects among different studies (e.g., Huang et al., 2005;  
54 Yoshino et al., 2008a, 2008b; Yoshino and Katsura, 2012; Dai and Karato, 2014).  
55 Hydrogen impacts on some physical properties are even different between wadsleyite  
56 and ringwoodite. For example, hydrogen has a larger effect on electrical conductivity  
57 and elastic property of ringwoodite than on the same properties of wadsleyite, while  
58 hydrogen has a smaller effect on thermal expansion and Fe-Mg interdiffusion in  
59 ringwoodite than in wadsleyite (Zhang and Xia 2021 and references therein). But the  
60 physical mechanisms are unclear.

61 To understand the water storage and its impacts on physical properties of the  
62 mantle transition zone, it is necessary to reveal behavior of hydrogen defects and  
63 framework of the two minerals at high temperature and high pressure. The  
64 temperature of dehydrogenation has been previously inferred from the thermal  
65 expansion experiments, to be 450 °C-362 °C for wadsleyite (Ye et al., 2009; Inoue et  
66 al., 2014; 2011), and 400 °C-313 °C for ringwoodite (Ye et al., 2011; Inoue et al.,  
67 2014). Although hydrogen diffusivity in wadsleyite has been fitted through the  
68 annealing experiments at high temperatures and high pressures (Hae et al., 2006), it is  
69 not dehydrogenation by ionic diffusion. Only one study performed molecular

70 dynamics simulations and determined hydrogen diffusivities in wadsleyite and  
71 ringwoodite (Caracas and Panero, 2017), and experimental evidence about hydrogen  
72 mobilities in the two minerals is lacking. Infrared spectroscopy is an efficient method  
73 for clarify hydrogen defects in minerals. However, current knowledge about hydrogen  
74 defects in wadsleyite and ringwoodite has been based mainly on infrared spectra at  
75 room or low temperature at high pressure (Chamorro Pérez et al., 2006; Deon et al.,  
76 2010; Koch-Müller et al., 2011; Panero et al., 2013). To date, only two studies have  
77 reported infrared spectra of hydrogen defects at high temperatures. Mrosko et al.  
78 (2013) reported infrared spectra of an Fe-bearing ringwoodite at high temperatures to  
79 600 °C. Yang et al. (2014) reported infrared spectra of wadsleyite and ringwoodite at  
80 simultaneously high temperature and high pressure, but the samples used are Fe-free.  
81 In the same case, there have been few reports on framework behavior of wadsleyite  
82 and ringwoodite, but mainly under high pressures at ambient temperature (Liu et al.,  
83 1998, 2002; Kleppe et al., 2001, 2002a, 2002b, 2006). Only one study reported the  
84 lattice vibrations of wadsleyite at simultaneous high temperature and high pressure  
85 (Yang et al., 2012), but the sample is Fe-free and a possible Fe impact is unknown.

86 In this study, we perform experiments to assess hydrogen defect and framework  
87 behavior in the Fe-bearing wadsleyite and ringwoodite using *in situ* infrared  
88 spectroscopic (IR) and Raman spectroscopic at high temperatures and high pressures.  
89 Complementary with previous studies on Fe-free samples, the results may promote  
90 further understanding of hydrogen defect and framework of wadsleyite and  
91 ringwoodite.

## 92 **EXPERIMENTAL METHODS**

### 93 **Sample description**

94 The samples used in this study are iron-bearing hydrous wadsleyite and  
95 ringwoodite single crystals, which were synthesized by Sun et al. (2015, 2018), using  
96 a Kawai-Type Multianvil Apparatus. The iron-bearing hydrous wadsleyite grains were  
97 synthesized from San Carlos olivine at 16 GPa and 1723K, with water content about  
98 0.95 wt.% (Sun et al., 2018). The iron-bearing hydrous ringwoodite grains were  
99 synthesized at 21 GPa and 1723 K, by a slow cooling method, with San Carlos olivine  
100 as the starting material. The water content is about 0.77 wt.% (Sun et al., 2015). The

101 sample grains are with size range from 50 to 600  $\mu\text{m}$ . The wadsleyite and ringwoodite  
102 crystals were polished to 20-25  $\mu\text{m}$  thickness and 70-80  $\mu\text{m}$  thickness, respectively,  
103 for infrared spectra measurements. Chemical compositions of the two samples were  
104 analyzed by an EMPA 1720H (Shimadzu) electron microprobe in this study. The  
105 accelerating voltage is 15 kV, the beam current is 20 nA and the beam diameter is 5  
106  $\mu\text{m}$ . The characteristic peak test time and background test time are both 10 s. Natural  
107 olivine was used as a standard for Si and Mg measurements, and natural pyrope was  
108 used as a standard for Fe measurement. The average chemical composition of  
109 wadsleyite is as following: 41.83 wt.%  $\text{SiO}_2$ , 50.59 wt.%  $\text{MgO}$ , 7.21 wt.%  $\text{FeO}$ ; The  
110 average chemical composition of ringwoodite is as follows: 43.45 wt.%  $\text{SiO}_2$ , 48.50  
111 wt.%  $\text{MgO}$ , 8.55 wt.%  $\text{FeO}$ . The standard deviations of all elements are less than 2  
112 wt.%.

### 113 ***In situ* Fourier transform infrared spectroscopy (FTIR)**

114 The *in situ* infrared spectra were collected in the range of 4000-1000  $\text{cm}^{-1}$  at  
115 elevated temperatures to 800  $^{\circ}\text{C}$ , using a Nicolet iS50 FTIR spectrometer coupled  
116 with a Continuum microscope at Zhejiang University (China). The spectrometer is  
117 equipped with a KBr beam splitter and an MCT-A (mercury cadmium telluride)  
118 detector cooled by liquid nitrogen. A total of 128 scans were accumulated for each  
119 spectrum at a 4  $\text{cm}^{-1}$  resolution. The aperture size was set to 50-100 $\times$  50-100  $\mu\text{m}$ . All  
120 IR measurements were carried out in a transmission mode. To generate high  
121 temperatures, an Instec HS1300 heating stage was attached to the microscope. The  
122 sample grain was placed on a sapphire plate in the heating stage with  $\text{N}_2$  atmosphere.  
123 Two sets of experiments were performed: *in situ* heating and quench. For *in situ*  
124 heating, the temperature was raised from 20  $^{\circ}\text{C}$  to 800  $^{\circ}\text{C}$  at a heating rate of  
125 15  $^{\circ}\text{C}/\text{min}$ . The infrared spectra were acquired at 20  $^{\circ}\text{C}$ , 100  $^{\circ}\text{C}$ , 200  $^{\circ}\text{C}$ , 300  $^{\circ}\text{C}$ ,  
126 400  $^{\circ}\text{C}$ , 500  $^{\circ}\text{C}$ , 600  $^{\circ}\text{C}$ , 700  $^{\circ}\text{C}$  and 800  $^{\circ}\text{C}$  and 20  $^{\circ}\text{C}$  quenched from 800  $^{\circ}\text{C}$ . The  
127 second heating experiment was carried out using the same experimental conditions as  
128 *in situ* heating. But the infrared spectra were collected on the quenched samples after  
129 every target temperature. The sample spectra at all temperatures were collected in the  
130 same area of the sample for each experiment, and the background spectra were  
131 collected at each temperature. The temperature uncertainty is less than 1  $^{\circ}\text{C}$ .

### 132 ***In situ* high-temperature Raman spectroscopy**

133 *In situ* Raman spectra were collected in the range of 1200-50 cm<sup>-1</sup> using a  
134 LABRAM-HR Raman spectrometer at Zhejiang University (China). Single-crystal  
135 silicon was used as a reference. The sample was excited by the 532 nm green light.  
136 The diameter of the focused laser spot was estimated to be 1 μm. A ×50 objective  
137 was used to focus the incident laser light on the sample and to collect the scattered  
138 light. The high temperature was generated by an Instec HP1500G heating stage. The  
139 sample was heated with a rate of 15 °C/min from room temperature to 100 °C, 200 °C,  
140 300 °C, 400 °C, 500 °C, 600 °C, 700 °C and 800 °C, respectively. At each temperature  
141 step, the Raman spectrum was collected on the same area of the sample.

#### 142 ***In situ* high-temperature and high-pressure Raman spectroscopy**

143 *In situ* Raman spectra in the range of 1200-50 cm<sup>-1</sup> at simultaneously high  
144 temperature and high pressure were collected using a HORIBA T64000 Raman  
145 spectrometer at the University of science and technology of China. The sample was  
146 excited by the 532 nm green light. The diameter of the focused laser spot was  
147 estimated to be 1.5 μm. A symmetric piston-cylinder-type diamond-anvil cell (DAC)  
148 with an external resistive heater was used to generate high temperature and high  
149 pressure. The size of the diamond culet is 400 μm. A 150 μm diameter hole was  
150 drilled into the stainless steel (t301) gasket. The sample chamber was filled with KBr  
151 powder and ruby chips for pressure-transmitting medium and pressure calibration,  
152 respectively. Two loadings were prepared for each mineral: one for compression to  
153 high pressure at room temperature and the other one for heating to high temperature at  
154 a constant pressure (~14.27 GPa for wadsleyite and ~18.84 GPa for ringwoodite). In  
155 the high-temperature runs, the resistive heater was placed inside the DAC and around  
156 the gasket between the diamond anvils (in air), and a K-type thermocouple was used  
157 to estimate temperature. The sample was heated to 100 °C, 200 °C, 300 °C and 400 °C,  
158 respectively at a heating rate of 10 °C/min. The pressure prior to and after each  
159 Raman spectrum measurement was determined by ruby fluorescence with a HORIBA  
160 T64000 spectrometer as calibrated for hydrostatic conditions by Mao et al. (1986) and  
161 Rekhi et al. (1999). For each measurement, the variation of pressure was <0.2 GPa  
162 and the variation of temperature was <1 °C as recorded by the K-type thermocouple.

163

## RESULTS

164 **Characteristics of hydrogen defects in the Fe-bearing wadsleyite and ringwoodite**  
165 **at ambient condition**

166 Due to the anisotropy of wadsleyite, we used the polarized infrared spectroscopy  
167 for the measurements on the randomly oriented wadsleyite. On the contrary, only  
168 unpolarized infrared spectroscopy was applied on the cubic and isotropic ringwoodite.  
169 Typical spectra acquired at ambient condition are shown in Figure 1. There are two  
170 groups of OH bands for wadsleyite with wavenumbers higher and lower than 3500  
171  $\text{cm}^{-1}$ , respectively: 3612, 3583, 3385 and 3335  $\text{cm}^{-1}$  (Fig. 1a). The OH bands  
172 wavenumbers are similar to those of the iron-free wadsleyites reported by previous  
173 studies (e.g., Jacobsen et al., 2005; Kohn et al., 2002; Deon et al., 2010; Yang et al.,  
174 2014). Therefore, iron seems has little effect on O-H bonding strength at ambient  
175 condition. But the prominent OH peak at 3612  $\text{cm}^{-1}$  is consistent with previous studies  
176 showing that trivalent iron in wadsleyite can increase intensities of the 3611  $\text{cm}^{-1}$  peak  
177 (e.g., Bolfan-Casanova et al., 2012). The polarized infrared spectra of wadsleyite in  
178 Figure 1a indicate that the O-H dipole corresponding to the 3612  $\text{cm}^{-1}$  band orients  
179 differently to those corresponding to the 3583, 3385 and 3335  $\text{cm}^{-1}$  bands, suggesting  
180 different hydrogen sites yet still poorly constrained. According to Jacobsen et al.  
181 (2005), the 3612  $\text{cm}^{-1}$  and 3583  $\text{cm}^{-1}$  peaks were assigned to bending hydrogen  
182 bonding along O1...O3 edge of M3, but located on two mutually perpendicular a-c  
183 and b-c planes, respectively. The main peaks at 3385  $\text{cm}^{-1}$  and 3335  $\text{cm}^{-1}$  were  
184 assigned to hydrogen bonding along O1...O4 edge of M3 or along the shared O1...O4  
185 edge of M3 and M2 (Jacobsen et al., 2005), but the evidence from high-pressure  
186 infrared spectroscopy excludes the latter (Deon et al., 2010). The degree of hydrogen  
187 disordering in wadsleyite has been found to increase with an increasing of water  
188 content (Kohn et al., 2002), which further complicates hydrogen sites in wadsleyite if  
189 place in the conditions of a hydrogen-rich mantle transition zone. The polarization  
190 behavior of OH bands in our study suggest at least two groups of hydrogen sites in  
191 this Fe-bearing wadsleyite sample.

192 Consistent with the previous reported OH bands patterns in ringwoodite  
193 (Bolfan-Casanova et al., 2000; Chamorro Pérez et al., 2006; Panero et al., 2013; Yang  
194 et al., 2014; Fei and Katsura, 2020; Thomson et al., 2021), the unpolarized infrared  
195 spectrum of ringwoodite shows three groups of OH bands: a weak band at 3700-3600

196  $\text{cm}^{-1}$ , a broad band centering at  $3125 \text{ cm}^{-1}$  ranging from  $3600$  to  $2700 \text{ cm}^{-1}$ , and a  
197 weak band at  $2700\text{-}2500 \text{ cm}^{-1}$  (Fig. 1b). Band deconvolution results further show that  
198 there are at least seven OH peaks at  $3650$ ,  $3425$ ,  $3307$ ,  $3125$ ,  $2890$ ,  $2666$ ,  $2537$  and  
199  $2443 \text{ cm}^{-1}$  as shown in Figure 1b. In contrast to the general hydrogen substitution for  
200  $\text{Mg}^{2+}$  vacancies in wadsleyite, hydrogen incorporation mechanism in ringwoodite is  
201 less constrained by point defect theory. For ringwoodite, multiple hydrogen  
202 incorporation mechanisms have been proposed. The weak band at  $3700\text{-}3600 \text{ cm}^{-1}$   
203 was assigned to hydrogen associated with tetrahedral vacancies, the extremely broad  
204 band at  $3600\text{-}2700 \text{ cm}^{-1}$  could belong to disordered hydrogen associated with  
205 octahedral vacancies, and the weak band at  $2700\text{-}2500 \text{ cm}^{-1}$  was attributed to  
206 hydrogen associated with disordered silicon in octahedron (Chamorro Pérez et al.,  
207 2006; Mrosko et al., 2013; Panero et al., 2013; Grüninger et al., 2017; Thomson et al.,  
208 2021). Specifically, the  $3400\text{-}3425 \text{ cm}^{-1}$  band was assigned to ferric iron disorder onto  
209 the tetrahedral site (Thomson et al., 2021). Especially, previous studies revealed some  
210 differences in OH spectra feature between Fe-bearing and Fe-free ringwoodite. For  
211 example, an increase in iron content in ringwoodite, induced a drift of the OH peak  
212 from the higher wavenumber region to the lower wavenumber region, while the OH  
213 peak in the lower wavenumber region moves to the higher wavenumber region  
214 (Mrosko et al., 2013). Indeed, the peak position in the higher wavenumber region of  
215 our studied Fe-bearing ringwoodite is  $3650 \text{ cm}^{-1}$ , lower than  $3695 \text{ cm}^{-1}$  and  $3668 \text{ cm}^{-1}$   
216 observed in the Fe-free ringwoodite (Chamorro Pérez et al., 2006; Yang et al., 2014).  
217 In addition, the resolved  $3425 \text{ cm}^{-1}$  peak here matches the Fe-bearing sample as well.

#### 218 **FTIR spectra of hydrogen defects in the wadsleyite and ringwoodite with** 219 **increasing temperature**

220 Figure 2 shows infrared spectra of hydrogen defects in the Fe-bearing wadsleyite  
221 and ringwoodite collected at the target temperatures and room temperature quenched  
222 from every target temperature. The OH bands almost disappear with temperature  
223 reaching  $700 \text{ }^\circ\text{C}$  and  $800 \text{ }^\circ\text{C}$  for the wadsleyite and ringwoodite, respectively (Fig.  
224 2a-c). In addition, the spectra patterns change a lot with increasing temperature above  
225  $300 \text{ }^\circ\text{C}$  for the wadsleyite and  $500 \text{ }^\circ\text{C}$  for the ringwoodite. The intensity of OH peak at  
226  $3335 \text{ cm}^{-1}$  of wadsleyite greatly decreases, while the intensities of OH peaks at  $3583$   
227  $\text{cm}^{-1}$  and  $3385 \text{ cm}^{-1}$  increase. These variations appear in both the polarized spectra

228 along two perpendicular directions (Fig. 2a-b), excluding the possible  
229 temperature-induced change of O-H dipole direction. For ringwoodite, the broad band  
230 at 3600-2700  $\text{cm}^{-1}$  converges to the high-wavenumber region, which is in agreement  
231 with the previous observation for an Fe-bearing ringwoodite (Mrosko et al., 2013).  
232 These changes are irreversible as shown in the spectra of the quenched samples (Fig.  
233 2d-f).

#### 234 **Raman modes of the wadsleyite and ringwoodite at ambient condition**

235 The Raman spectra of the wadsleyite and ringwoodite at ambient condition are  
236 shown in Figure 3, which is roughly consistent with previous reports except several  
237 differences. The Raman spectra of wadsleyite show six groups of Raman modes,  
238 which are located at 173  $\text{cm}^{-1}$ , 543  $\text{cm}^{-1}$ , 616  $\text{cm}^{-1}$ , 720  $\text{cm}^{-1}$ , 778  $\text{cm}^{-1}$  and 918  $\text{cm}^{-1}$   
239 respectively. According to previous mode assignments (e.g., Mernagh and Liu., 1996),  
240 the mode at 173  $\text{cm}^{-1}$  belongs to the lattice vibrations, the mode at 543  $\text{cm}^{-1}$  belongs to  
241 the combination of  $\text{SiO}_3$  and  $\text{MgO}_6$  vibrations, the mode at 616  $\text{cm}^{-1}$  belongs to the  
242 bending vibration of  $\text{SiO}_3$ , the mode at 720  $\text{cm}^{-1}$  belongs to the symmetrical stretching  
243 vibration of  $\text{Si}_2\text{O}_7$ , and the mode at 918  $\text{cm}^{-1}$  is generated by the symmetrical  
244 stretching of  $\text{SiO}_3$ . The Raman spectra of ringwoodite show the Raman modes at 93  
245  $\text{cm}^{-1}$ , 211  $\text{cm}^{-1}$ , 237  $\text{cm}^{-1}$ , 300  $\text{cm}^{-1}$ , 326  $\text{cm}^{-1}$ , 371  $\text{cm}^{-1}$ , 414  $\text{cm}^{-1}$ , 490  $\text{cm}^{-1}$ , 596  $\text{cm}^{-1}$ ,  
246 797  $\text{cm}^{-1}$  and 838  $\text{cm}^{-1}$  respectively. The modes at 237  $\text{cm}^{-1}$ , 300  $\text{cm}^{-1}$ , 371  $\text{cm}^{-1}$ , 596  
247  $\text{cm}^{-1}$ , 797  $\text{cm}^{-1}$  and 838  $\text{cm}^{-1}$  are in agreement with previous reports (Chopelas et al.,  
248 1994; Kleppe et al., 2002a, 2002b; Liu et al., 2002). The two main modes at 797  $\text{cm}^{-1}$   
249 and 838  $\text{cm}^{-1}$  belong to the  $\text{SiO}_4$  stretching vibrations.

#### 250 **Raman modes of the wadsleyite and ringwoodite at high temperatures and high** 251 **pressures**

252 The *in situ* high temperature Raman spectra of wadsleyite and ringwoodite at  
253 ambient pressure are shown in Figure 4a-b. For wadsleyite, several new peaks appear  
254 in the spectra from 200 °C to 400 °C at ambient pressure. Among them, the Raman  
255 mode at 1029  $\text{cm}^{-1}$  was also reported in the pure Mg wadsleyite (Kleppe et al., 2001).  
256 In addition, the intensities of the original Raman peaks are also significantly enhanced  
257 in this temperature range. Therefore, these peaks appearing at 200 °C may not be  
258 additional modes but would be due to the signal strengthening. During the heating  
259 process from room temperature to 800 °C, there is no additional Raman modes

260 occurring, suggesting no phase transition in this temperature range. However, the  
261 Raman spectrum of wadsleyite changes significantly after being quenched from  
262 800 °C, with several additional modes appearing. This indicates that wadsleyite may  
263 eventually have undergone phase transition during the whole process. For ringwoodite,  
264 the phase transition can be clearly indicated by the abrupt change of the spectrum at  
265 temperatures above 700 °C. Some new modes appear in the Raman spectrum after the  
266 sample being quenched from 800 °C, such as 855 cm<sup>-1</sup>, 825 cm<sup>-1</sup>, 697 cm<sup>-1</sup>, 610 cm<sup>-1</sup>,  
267 473 cm<sup>-1</sup> and 323 cm<sup>-1</sup>. Among them, the 855 cm<sup>-1</sup> and 825 cm<sup>-1</sup> band are the  
268 characteristic modes of olivine. Therefore, ringwoodite may have transformed back to  
269 olivine when the temperature reaches 700 °C at ambient pressure. Previous studies  
270 suggested that the phase transition temperature of ringwoodite at ambient pressure  
271 depends on the sample size. They found that the phase transition occurred at 527 °C  
272 when the particle size was less than 50 μm, and the phase transition did not occur up  
273 to 550 °C when the particle size was greater than 50 μm (Liu et al., 2002). In our  
274 study, the phase transition temperature is above 700 °C, which is in accordant with the  
275 larger size of the grains in our study.

276 In order to explore the effect of pressure on the framework behavior at high  
277 temperatures, the *in situ* high temperature Raman spectra of wadsleyite and  
278 ringwoodite were measured at 14.27 GPa and 18.84 GPa, respectively, as shown in  
279 Figure 4c-d. When the pressure rises to the corresponding pressure of the mantle  
280 transition zone, e.g., 14.27 GPa for wadsleyite and 18.84 GPa for ringwoodite, the  
281 Raman modes of the two samples shift significantly to higher wavenumbers,  
282 compared with the Raman modes at ambient pressure. The shift amplitudes are larger  
283 for the ringwoodite than the wadsleyite. During the heating process at high pressure,  
284 no new mode was found, which is inconsistent with the observation of two new  
285 modes at 714 and 550 cm<sup>-1</sup> appearing for pure Mg-wadsleyite heated to 400 °C at 14.5  
286 GPa (Yang et al., 2012).

## 287 DISCUSSION

### 288 Behavior of hydrogen defects in the wadsleyite and ringwoodite with increasing 289 temperature

290 In order to assess dehydrogenation of the two samples, we analyzed the OH

291 spectra of the samples at high temperatures and quenched from different temperatures.  
292 Figure 5 shows the evolutions of bulk integral absorbance of OH bands with  
293 temperature. The bulk integral absorbances display the similar trends between those  
294 obtained from the spectra at the target temperatures and room temperature after the  
295 target temperatures. For the wadsleyite, the bulk OH integral absorbance slightly  
296 decreases and reduces by 30% with increasing temperature to 600 °C, and then  
297 drastically decreases to almost zero at 800 °C. For the ringwoodite, the bulk OH  
298 integral absorbance decreases by 20% with increasing temperature to 400 °C, and  
299 greatly reduces to almost zero at 800 °C. The slight variations below 600 °C for the  
300 wadsleyite and 400 °C for the ringwoodite may be caused by the heating-induced O-H  
301 anharmonicity. In contrast, the absorbance subsequently decreases by 70% from 600  
302 to 800 °C for the wadsleyite, and 80% from 400 to 800 °C for the ringwoodite. This  
303 significant reduction should be accounted by dehydrogenation. Therefore, the turning  
304 points of the OH absorbance evolutions reveal the dehydrogenation temperature of the  
305 two samples during the heating processes, that is 600 °C for the wadsleyite while 400 °C  
306 for the ringwoodite. The dehydrogenation temperatures of Fe-bearing wadsleyite and  
307 ringwoodite obtained in this study are higher than those of the Fe-free counterparts  
308 (Inoue et al., 2004; Ye et al., 2009, 2011, 2012). For example, based on the thermal  
309 expansion experiments, the dehydrogenation temperatures of wadsleyite were  
310 reported to be 450 °C (Inoue et al., 2004), 382 °C (Ye et al., 2009) and 362 °C (Ye et  
311 al., 2011), and the dehydrogenation temperatures of ringwoodite were suggested to be  
312 400 °C (Inoue et al., 2004) and 313 °C (Ye et al., 2011). Fe may potentially be  
313 responsible for this difference. Indeed, no dehydrogenation occurs in the very recent  
314 work for the elastic property measurement at 427 °C (700 K) of a hydrous Fe-bearing  
315 wadsleyite (Zhou et al., 2022). Besides Fe, the difference may be caused by other  
316 factors, such as annealing duration, crystal or powder and even water content.  
317 Anyway, the results of our study and previous studies show that the dehydrogenation  
318 temperature of wadsleyite is higher than that of ringwoodite. In Inoue et al. (2011),  
319 the Fe-free wadsleyite dehydrogenation temperature is 50 °C higher than the Fe-free  
320 ringwoodite dehydrogenation temperature. In Ye et al. (2011), the dehydrogenation  
321 temperature of the Fe-free wadsleyite is 49 °C higher than that of the Fe-free  
322 ringwoodite. Coincidentally, the dehydrogenation temperature of the Fe-bearing  
323 wadsleyite is 200 °C higher than that of the Fe-bearing ringwoodite in this study.

324 These results experimentally confirm the different hydrogen mobilities between  
325 wadsleyite and ringwoodite previously proposed using molecular dynamics (Caracas  
326 and Panero, 2017). The contrast dehydrogenation temperature between wadsleyite and  
327 ringwoodite may indicate that hydrogenated lattice of ringwoodite is less stable than  
328 that of hydrogenated wadsleyite, which is further confirmed by their different  
329 framework responses to temperature and pressure. It should be noted that we provided  
330 the dehydrogenation temperatures of the wadsleyite and ringwoodite in this heating  
331 condition. It deserves to compare dehydrogenation of the two minerals at the pressure  
332 conditions of the mantle transition zone.

333 To clarify the variations of the OH spectra patterns with increasing temperature  
334 and dehydrogenation processes, the site-specific evolutions of OH integral absorbance  
335 in the wadsleyite and ringwoodite are displayed in Figure 6. For the wadsleyite, the  
336 integral absorbance ratios of 3583 to 3335  $\text{cm}^{-1}$  band ( $\text{Ab}_{3583/3335}$ ) and 3385 to 3335  
337  $\text{cm}^{-1}$  band ( $\text{Ab}_{3385/3335}$ ) change with increasing temperature. At temperatures above  
338 200 °C, the ratios significantly enhance with temperature, which could account for the  
339 OH spectra pattern changing shown in Figure 2. Since these changes occur at  
340 temperatures lower than the dehydrogenation temperature of 600 °C for the  
341 wadsleyite, the process should be attributed to possible transition between different  
342 hydrogen defects. Therefore, hydrogen defects responsible for the 3583, 3385 and  
343 3335  $\text{cm}^{-1}$  peaks may have different stabilities, and the hydrogen defects  
344 corresponding to the 3583 and 3385  $\text{cm}^{-1}$  bands may be predominant before  
345 dehydrogenation. The results uncover the possible dehydrogenation process of  
346 wadsleyite, that is, protons may transfer and re-distribute locally in the lattice before  
347 dehydrogenation. It can also be noticed that the relative intensity of OH peaks in the  
348 Fe-bearing wadsleyite changes after heating at 423 °C (700 K) (Supplementary Fig. 6  
349 in Zhou et al., 2022), which is consistent with the conclusion in our study. This  
350 dehydrogenation process involving hydrogen transferring was also suggested in  
351 olivine (e.g., Jollands et al., 2019)

352 For ringwoodite, the integral absorbance ratios of 3650 to 3125  $\text{cm}^{-1}$  band  
353 ( $\text{Ab}_{3650/3125}$ ) and 3425 to 3125  $\text{cm}^{-1}$  band ( $\text{Ab}_{3425/3125}$ ) drastically increase at  
354 temperatures above 400 °C, suggesting different stabilities of the hydrogen defects.  
355 That is, hydrogen defects responsible for the OH peaks at high-wavenumber region

356 are more stable than those at low-wavenumber region. This is also consistent with  
357 previous molecular dynamics simulations that hydrogen defects related to octahedral  
358 vacancies diffuses the fastest (Caracas and Panero, 2017). Figure 2 shows OH bands  
359 converging to the high-wavenumber region at temperatures above 400 °C. The similar  
360 OH spectra pattern changing with temperature was also found in previous  
361 high-temperature experiments on an iron-bearing ringwoodite (Mrosko et al., 2013).  
362 The authors considered this change to the trivalent iron produced in the  
363 dehydrogenation process. Indeed, the 3425 cm<sup>-1</sup> band was suggested to be hydrogen  
364 associated with tetrahedrally coordinated ferric iron (Thomson et al., 2021). Thus, the  
365 increase integral absorbance ratio of 3425 to 3215 cm<sup>-1</sup> band in our study agrees with  
366 the interpretation of Mrosko et al. (2013). On the other hand, considering that multiple  
367 hydrogen defects exist in ringwoodite (Panero et al., 2013), and that this phenomenon  
368 occurs near the dehydrogenation temperature of 400 °C, the site-specific stabilities of  
369 hydrogen defects in ringwoodite may provide an interpretation as well.

370 In addition to temperature-induced re-distribution of hydrogen defects, O-H  
371 bonding strength is also modified with increasing temperature, which is reflected by  
372 the OH wavenumber evolutions with increasing temperature in Figure 7. For the  
373 wadsleyite, the 3583 cm<sup>-1</sup> peak shifts moderately to lower wavenumbers with  
374 increasing temperature, suggesting temperature-induced weakening of O-H bonding.  
375 The wavenumber of 3583 cm<sup>-1</sup> band does not change under compression (Yang et al.,  
376 2014), thus pressure may have little impact on O-H bonding strength corresponding to  
377 this OH band. The 3385 and 3335 cm<sup>-1</sup> bands gently shift to higher wavenumbers first  
378 and then have turning points between 200-300 °C. The discontinuities are consistent  
379 with the temperature of hydrogen defects transferring proposed above. The  
380 wavenumber evolutions of the two bands with temperature are different from those  
381 with pressure. As shown in Yang et al. (2014), the two bands progressively shift to  
382 lower wavenumbers with increasing pressure without discontinuities. For the  
383 ringwoodite, the 3650 cm<sup>-1</sup> band slightly shifts to lower wavenumbers with increasing  
384 temperature. In contrast, the 3425 and 3125 cm<sup>-1</sup> peaks drastically shift to higher  
385 wavenumbers at temperatures above 200 °C. The high-wavenumber shifting of OH  
386 band with increasing temperature indicate weakening of hydrogen bonding rather than  
387 O-H bonding plays a part. The turning point at 200 °C for the 3425 and 3125 cm<sup>-1</sup>  
388 bands occurs at a temperature lower than the dehydrogenation temperature. Therefore,

389 the discontinuity suggests the relative variation of O-H bonding to hydrogen bonding  
390 before dehydrogenation.

391 **Hydrogen effects on the framework of the wadsleyite and ringwoodite at high**  
392 **temperature and high pressure**

393 Based on the literature compilation of Raman modes of pure Mg wadsleyite at  
394 ambient condition (Yang et al., 2012), Figure 8a shows that the Raman modes  
395 generally shift to lower wavenumbers with increasing water content. In contrast to  
396 Fe-free wadsleyite, water seems to have an opposite effect on some Raman modes.  
397 Based on the limited reported data, hydrogen seems to have no effect on the Raman  
398 modes of Fe-free ringwoodite and Fe-bearing ringwoodite at ambient condition  
399 (Chopelas et al., 1994; Kleppe et al., 2002a, 2002b; Liu et al., 2002).

400 To track the Raman modes evolutions with temperature, wavenumbers of the  
401 main Raman modes of the wadsleyite and ringwoodite are plotted to temperatures  
402 under ambient pressure and high pressure, respectively (Figure 8b-c). For both  
403 wadsleyite and ringwoodite, the modes with wavenumbers higher than  $400\text{ cm}^{-1}$ ,  
404 related to Si-O polyhedron vibrations, shift linearly to lower wavenumbers with  
405 increasing temperature. But the shift amplitudes are different at ambient pressure and  
406 high pressure as shown in Table 1. For example, the shift amplitudes of the two  
407 representative modes of the wadsleyite with temperature at 14.27 GPa are smaller  
408 than those at ambient pressure. In contrast, the shift amplitudes of the two  
409 representative modes of the ringwoodite with temperature at 18.84 GPa are larger  
410 than those at ambient pressure. When the shift amplitudes of the Si-O polyhedron  
411 modes are compared between wadsleyite and ringwoodite, it can be found that  
412 temperature has a greater impact on the ringwoodite. This is consistent with the  
413 aforementioned greater pressure impact on the ringwoodite than the wadsleyite. This  
414 indicates that the Si-O polyhedron of ringwoodite may be more deformable than that  
415 of wadsleyite. On the other hand, for the modes with wavenumbers lower than  $400\text{ cm}^{-1}$ ,  
416 related to lattice vibrations, the discontinuities were observed for both  
417 wadsleyite and ringwoodite in Figure 8b-c. For example, with increasing temperature,  
418 the mode at  $173\text{ cm}^{-1}$  of the wadsleyite slightly shifts to higher wavenumbers with  
419 increasing temperature to  $600\text{ }^{\circ}\text{C}$ , and then drastically shifts to higher wavenumbers.  
420 Similarly, the shifts of the modes at  $211\text{ cm}^{-1}$  and  $237\text{ cm}^{-1}$  of the ringwoodite display

421 the turning points at 200 °C. The discontinuities of the lattice modes shifting with  
422 temperature of the wadsleyite and ringwoodite are in accordant with the  
423 aforementioned dehydrogenation in the wadsleyite and hydrogen bonding  
424 modification of the ringwoodite. Therefore, dehydrogenation in wadsleyite and  
425 hydrogen bonding modification of ringwoodite at high temperatures are internally  
426 linked to the framework behavior.

427 In addition, considering that the mantle transition zone contains Fe and hydrogen,  
428 hydrogen and Fe impacts on the framework behavior of the two minerals at high  
429 temperature and high pressure should be evaluated. However, to date, there is only  
430 one report of Raman modes of the hydrous iron-free wadsleyite at simultaneously  
431 high temperature and high pressure (Yang et al., 2012). The main Raman modes of  
432 hydrous iron-bearing wadsleyite in our study changes less with temperature under  
433 high pressure compared with Yang et al. (2012), indicating a possible iron effect. So  
434 far, there has been no report on the Raman modes of hydrous iron-free ringwoodite at  
435 simultaneous high temperature and high pressure, thus, the effect of iron on the  
436 deformation of ringwoodite Si-O polyhedron still cannot be evaluated. In addition,  
437 there has been no reports of the Raman modes of anhydrous wadsleyite and  
438 ringwoodite at simultaneously high temperature and high pressure, thus hydrogen  
439 effect at simultaneous high temperature and high pressure cannot be revealed either.  
440 Alternatively, hydrogen and Fe effects at room temperature under high pressure or at  
441 high temperatures under ambient pressure could be estimated. It has been suggested  
442 that hydrogen and Fe have a minor effect on the framework behavior of ringwoodite  
443 under high pressures at room temperature (Kleppe et al., 2006). In contrast, hydrogen  
444 and Fe effects on the response of Si-O polyhedron of ringwoodite to temperature at  
445 ambient are evident in Figure 9 based on comparisons of available data. The  
446 responses of Si-O polyhedron to temperature are different between hydrous and  
447 anhydrous ringwoodite, and between Fe-free and Fe-bearing ringwoodite. Therefore,  
448 it may be inferred that hydrogen and Fe should impact the framework behavior of  
449 ringwoodite at simultaneous high temperature and high pressure relevant to the  
450 mantle transition zone. As shown in Figure 9, the Raman modes have a smaller shift  
451 amplitude with temperature for the hydrous ringwoodite than the anhydrous one,  
452 which may be responsible for the recent finding that water reduces the lattice thermal  
453 conductivity of ringwoodite (Marzotto et al., 2020).

454

## IMPLICATIONS

455        This study experimentally revealed the hydrogen defect and framework behavior  
456 of the Fe-bearing wadsleyite and ringwoodite at high temperature and high pressure.  
457 The results provide new knowledge about hydrogen defects and framework of the two  
458 minerals, which is important for understanding water storage and physical properties  
459 of the mantle transition zone. It has been found that hydrous ringwoodite had a higher  
460 electrical conductivity than hydrous wadsleyite (e.g., Yoshino et al., 2008a). There are  
461 many possible reasons for this, such as hydrogen and Mg mobilities in the crystal  
462 structure, amounts of free protons, pressure conditions and crystal structures. The  
463 lower dehydrogenation temperature in ringwoodite than in wadsleyite here may  
464 suggest different hydrogen mobilities in the two minerals, thus providing  
465 experimental evidence for understanding the higher electrical conductivity of hydrous  
466 ringwoodite. Indeed, water loss at high temperatures has been proposed to be one of  
467 the reasons for the discrepancies in the electrical conductivities of wadsleyite  
468 (Yoshino and Katsura, 2012). Furthermore, it has been revealed for olivine that  
469 different hydrogen defects have different solubilities and impacts on physical  
470 properties (Faul et al., 2016; Padrón-Navarta and Hermann, 2017). The site-specific  
471 stabilities of hydrogen defects in wadsleyite and ringwoodite may provide new sight  
472 for further research on water solubility and impacts on properties of the two minerals.  
473 At last, based on lattice dynamics, the lattice vibrations are related to several  
474 properties such as thermal expansion, intrinsic elasticity and thermal conductivity.  
475 Our study revealed the effects of hydrogen and Fe on the framework behavior of the  
476 two minerals at high temperature and high pressure, thus calling for the studies on the  
477 thermal conductivity of hydrous Fe-bearing wadsleyite and ringwoodite, to further  
478 understand heat transport in the mantle.

479

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484

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- 633

634 **Table 1 Evolutions of the representative Raman modes with temperature at**  
 635 **ambient pressure and high pressure. The data in italic are standard error**

Raman mode/cm <sup>-1</sup>	Wadsleyite		Ringwoodite		
	(dv/dt)/ (cm <sup>-1</sup> ·°C <sup>-1</sup> )	Pressure/GPa	Raman mode/cm <sup>-1</sup>	(dv/dt)/ (cm <sup>-1</sup> ·°C <sup>-1</sup> )	Pressure/GPa
760	-0.00940 <i>0.00194</i>	14.27	874	-0.04168 <i>0.00779</i>	18.84
967	-0.01153 <i>0.00303</i>	14.27	900	-0.02097 <i>0.00282</i>	18.84
720	-0.01711 <i>0.00123</i>	0	797	-0.03439 <i>0.00242</i>	0
918	-0.01957 <i>0.00162</i>	0	838	-0.00807 <i>0.00380</i>	0

636

637 **Figure captions**

638 Figure 1 FTIR spectra of hydrogen defects at ambient condition: (a) Polarized spectra  
 639 for wadsleyite. X' and Y' indicates that the polarizer was rotated to vertical  
 640 angles; (b) Unpolarized spectra for ringwoodite

641 Figure 2 (a)-(c) *In situ* FTIR spectra of hydrogen defects at high temperatures. X' and  
 642 Y' indicates that the polarizer was rotated to vertical angles. (d)-(f) FTIR spectra  
 643 of hydrogen defects at room temperature of the samples quenched from high  
 644 temperatures

645 Figure 3 Raman spectra of the two samples at ambient condition

646 Figure 4 *In situ* Raman spectra at different temperatures of the two samples: (a-b) At  
 647 ambient pressure; (c-d) Under high pressure

648 Figure 5 Variations of the bulk integral absorbance (Ab) with temperature: (a)  
 649 Wadsleyite; (b) Ringwoodite

650 Figure 6 Integral absorbance ratio between OH bands versus temperature: (a)  
 651 Wadsleyite; (b) Ringwoodite. The data are based on the *in situ* high-temperature  
 652 FTIR spectra.

653 Figure 7 OH wavenumber versus temperature: (a) Wadsleyite; (b) Ringwoodite. The  
 654 data are based on the *in situ* high-temperature FTIR spectra.

655 Figure 8 (a) Relationships between Raman modes and water contents for wadsleyite.  
 656 The data for wadsleyite of Fo100 are from Chopelas (1991); Kleppe et al. (2001);  
 657 Liu et al. (1994, 1998); McMillan et al. (1991); Yang et al. (2012). The data for  
 658 wadsleyite of Fo90 are from Kleppe et al. (2006) and this study; (b) Evolutions  
 659 of Raman modes of wadsleyite with temperature at ambient pressure (solid

660 symbols) and high pressure (open symbols); (c) Evolutions of Raman modes of  
661 ringwoodite with temperature at ambient pressure (solid symbols) and high  
662 pressure (open symbols)

663 Figure 9 Comparison of the two Si-O polyhedron modes of ringwoodite with different  
664 water and Fe contents

Figure 1

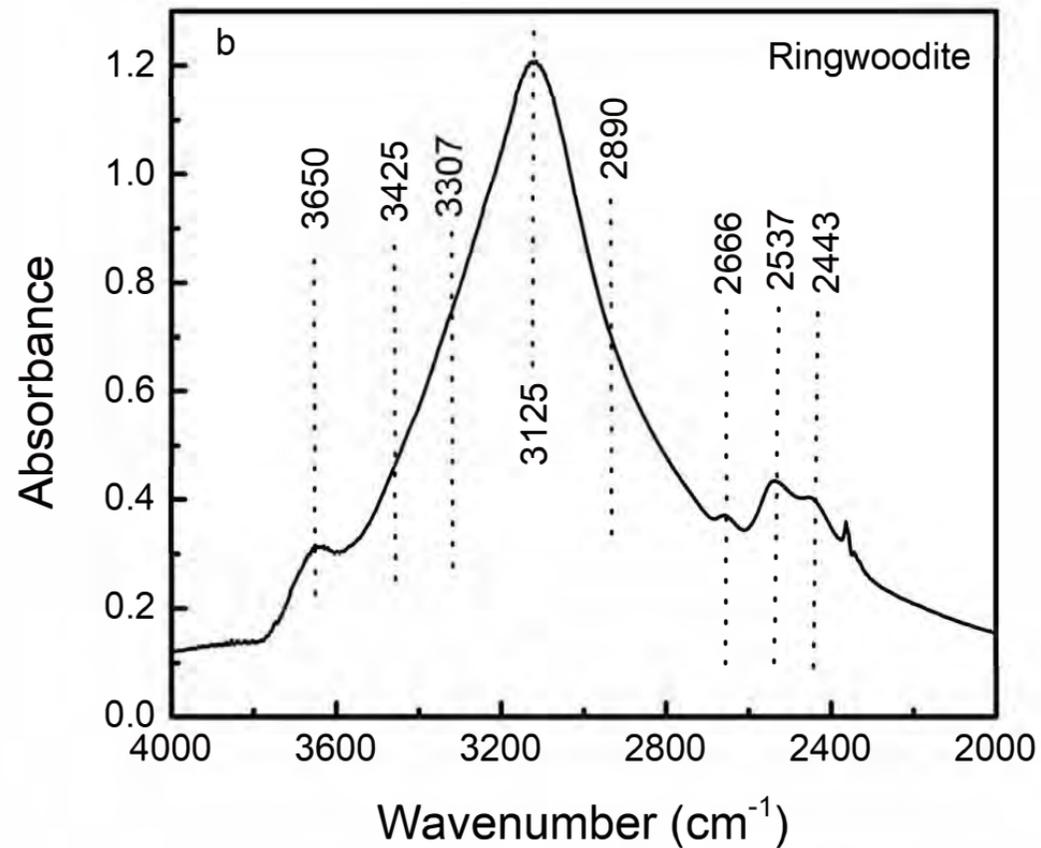
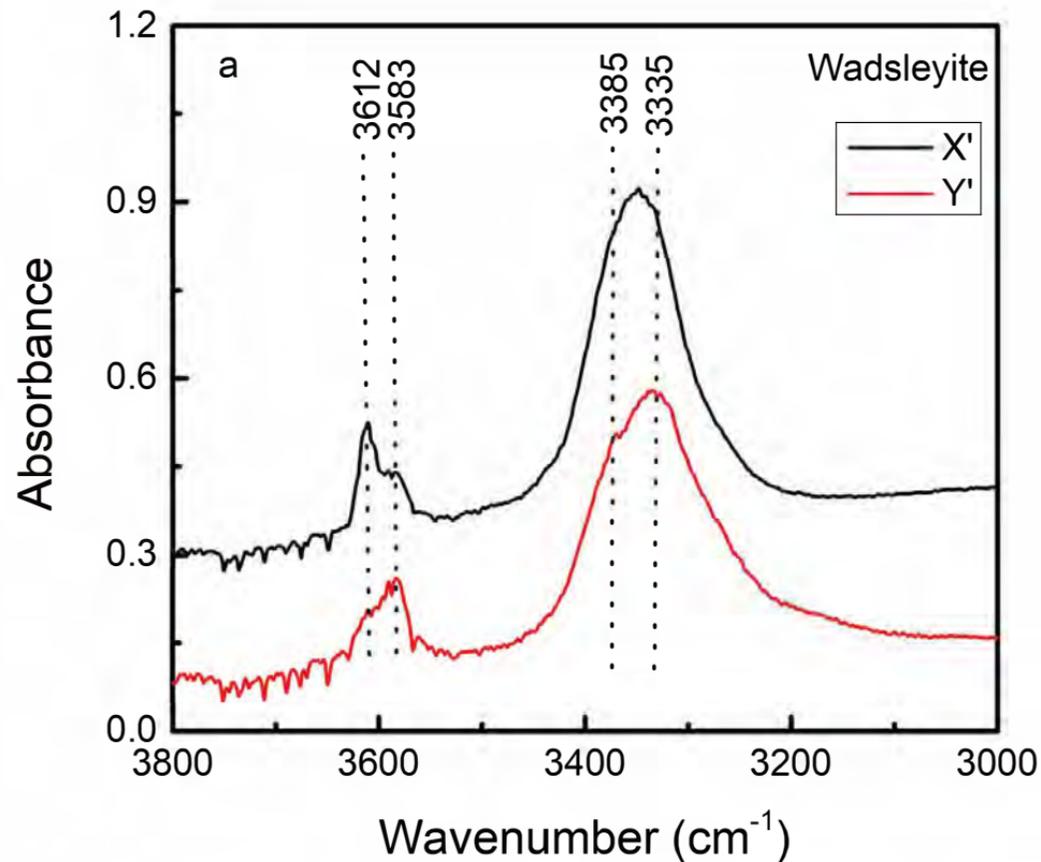


Figure 2

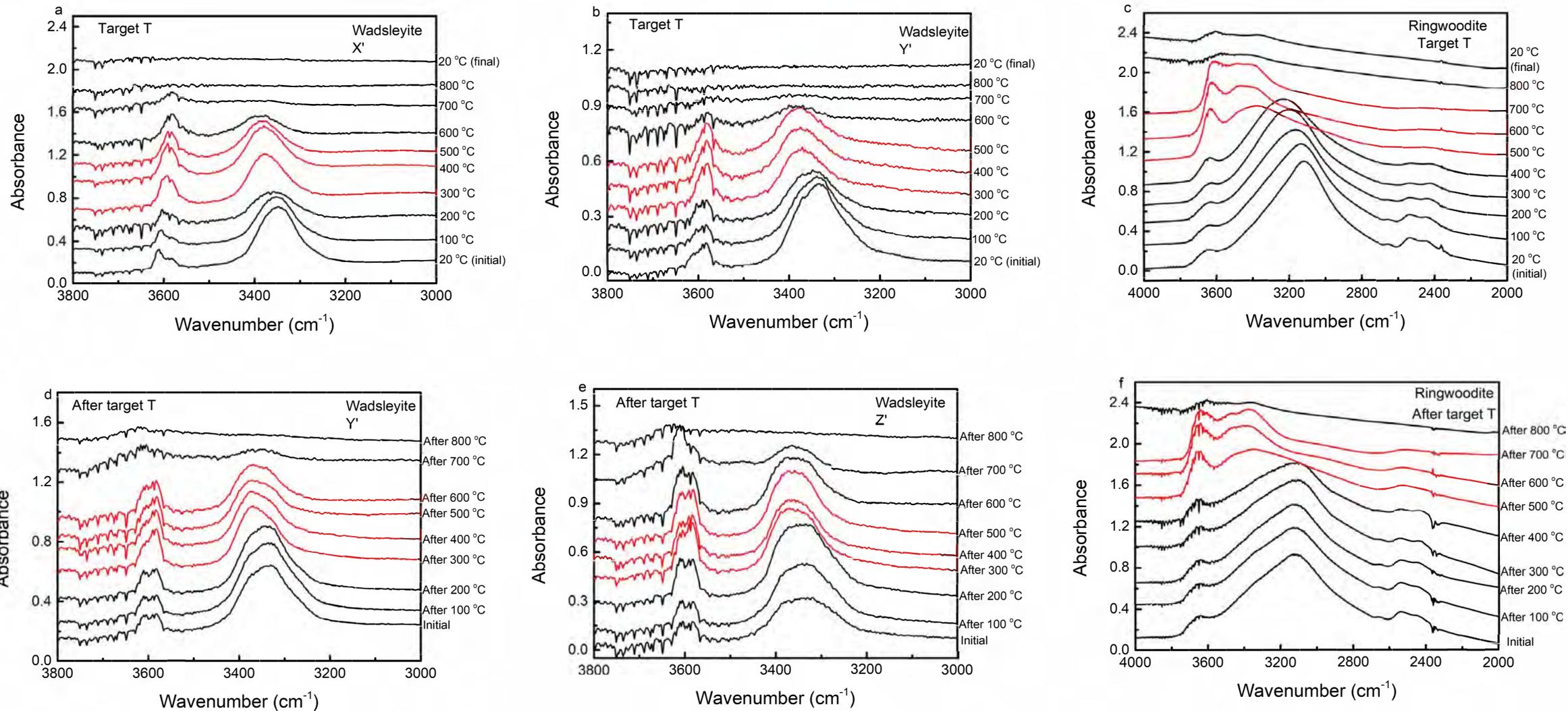


Figure 3

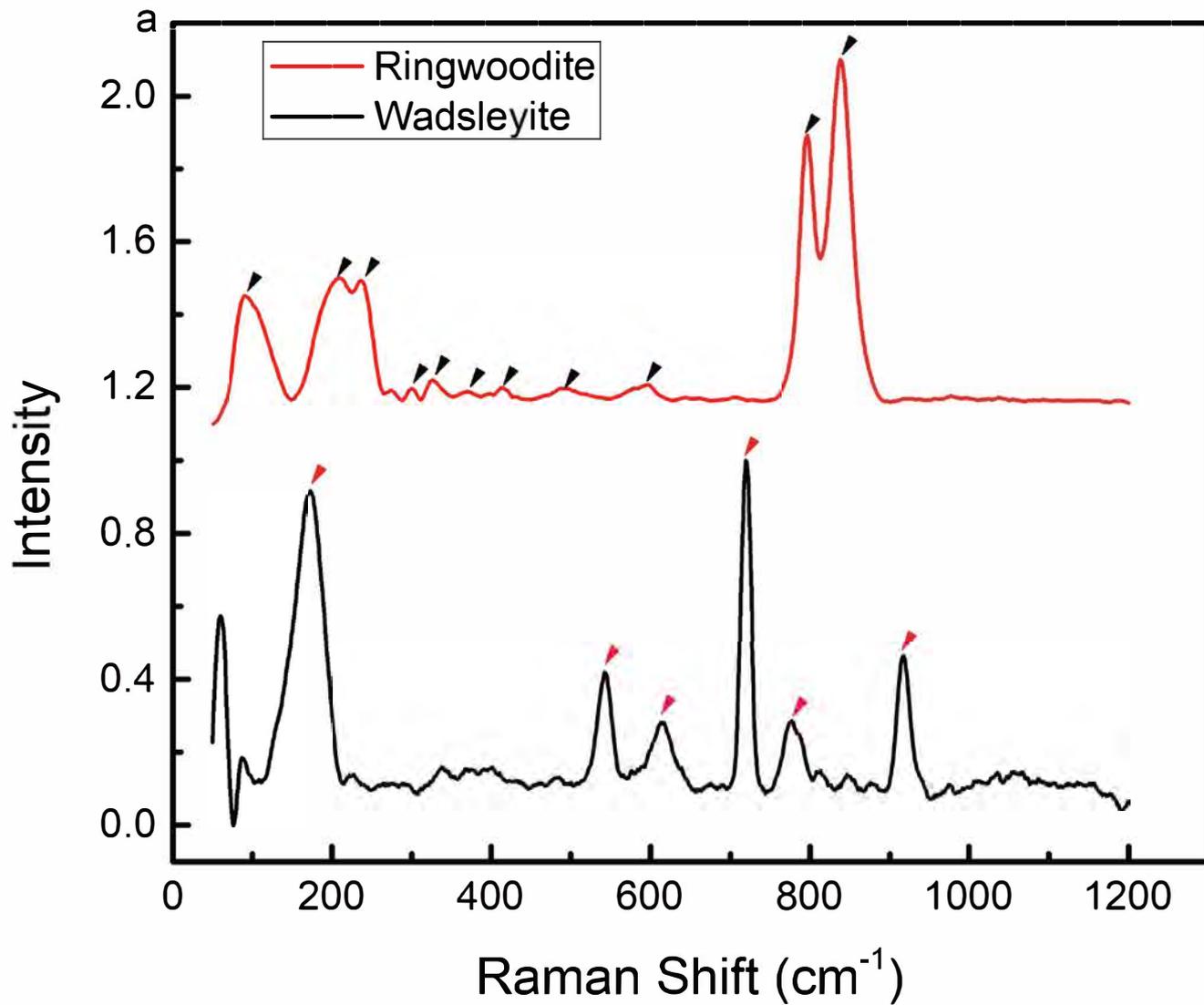


Figure 4

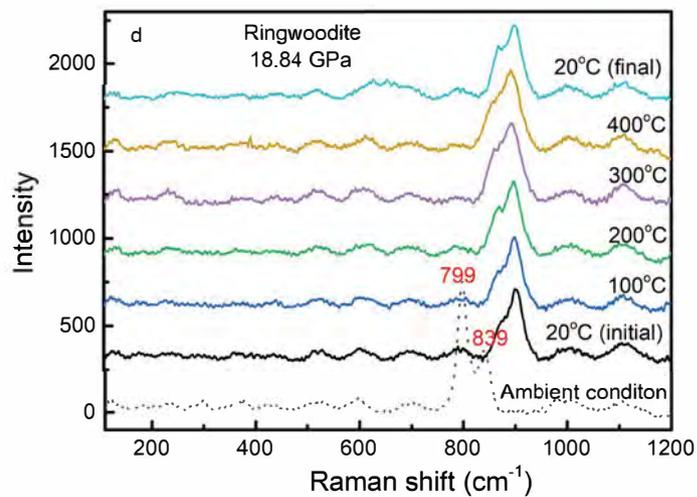
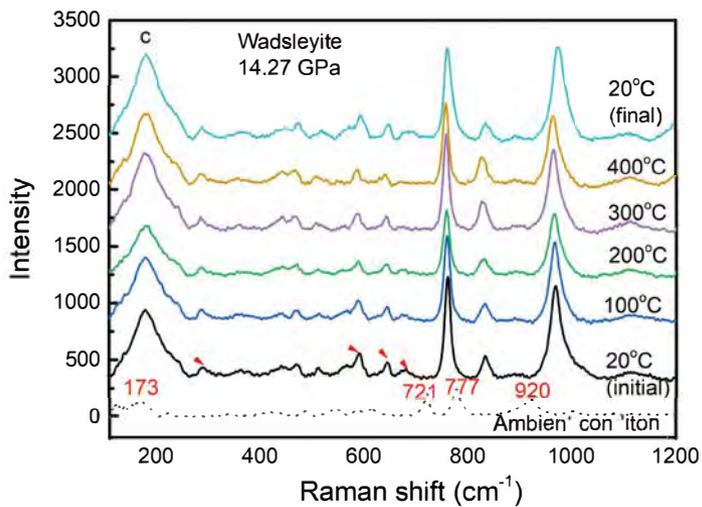
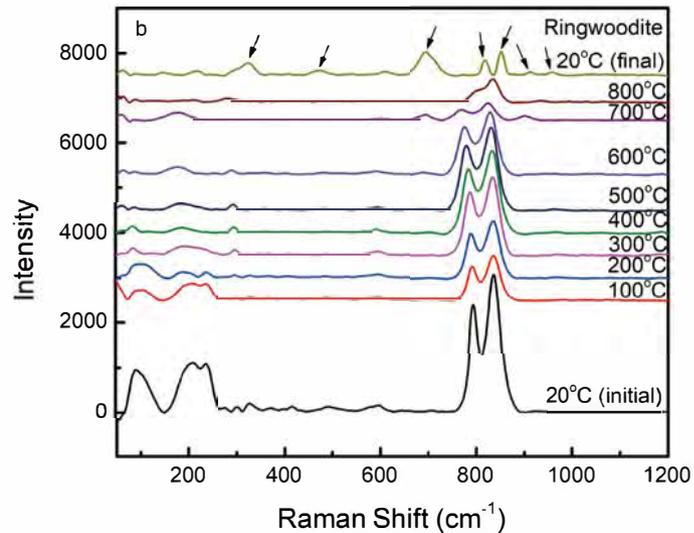
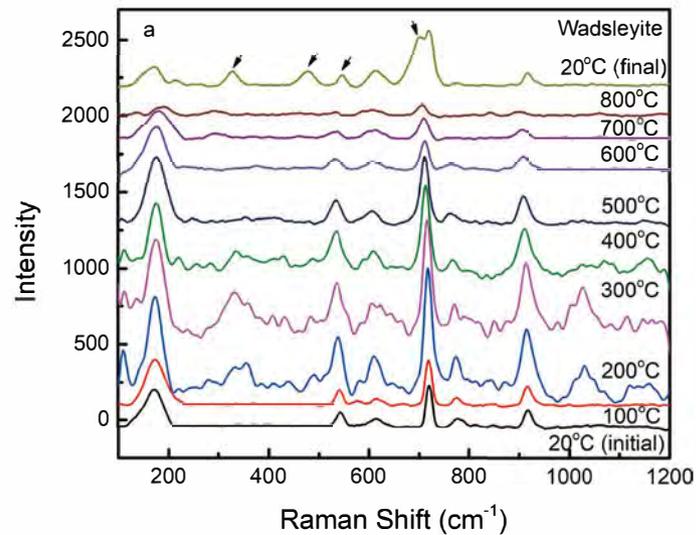


Figure 5

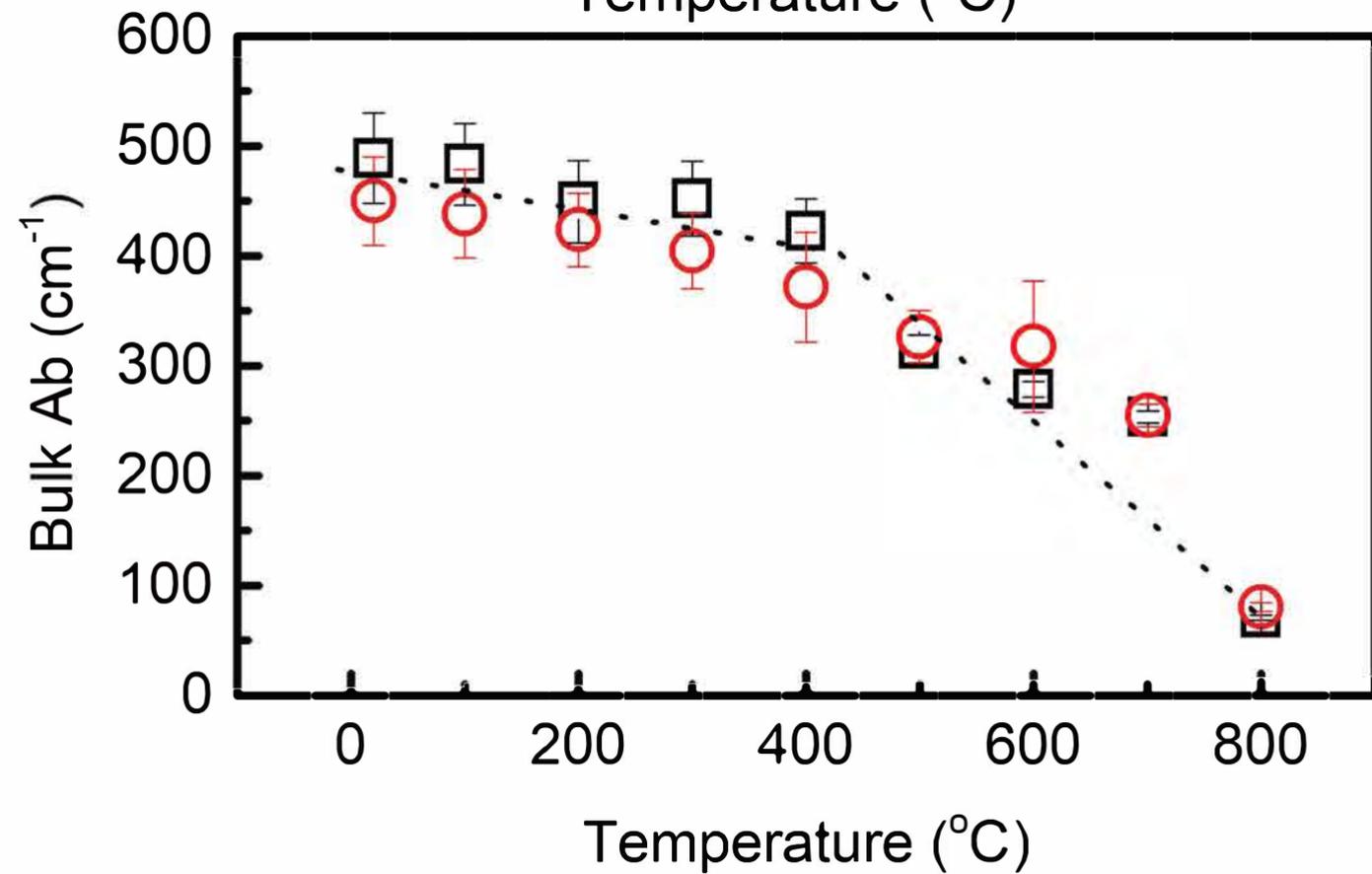
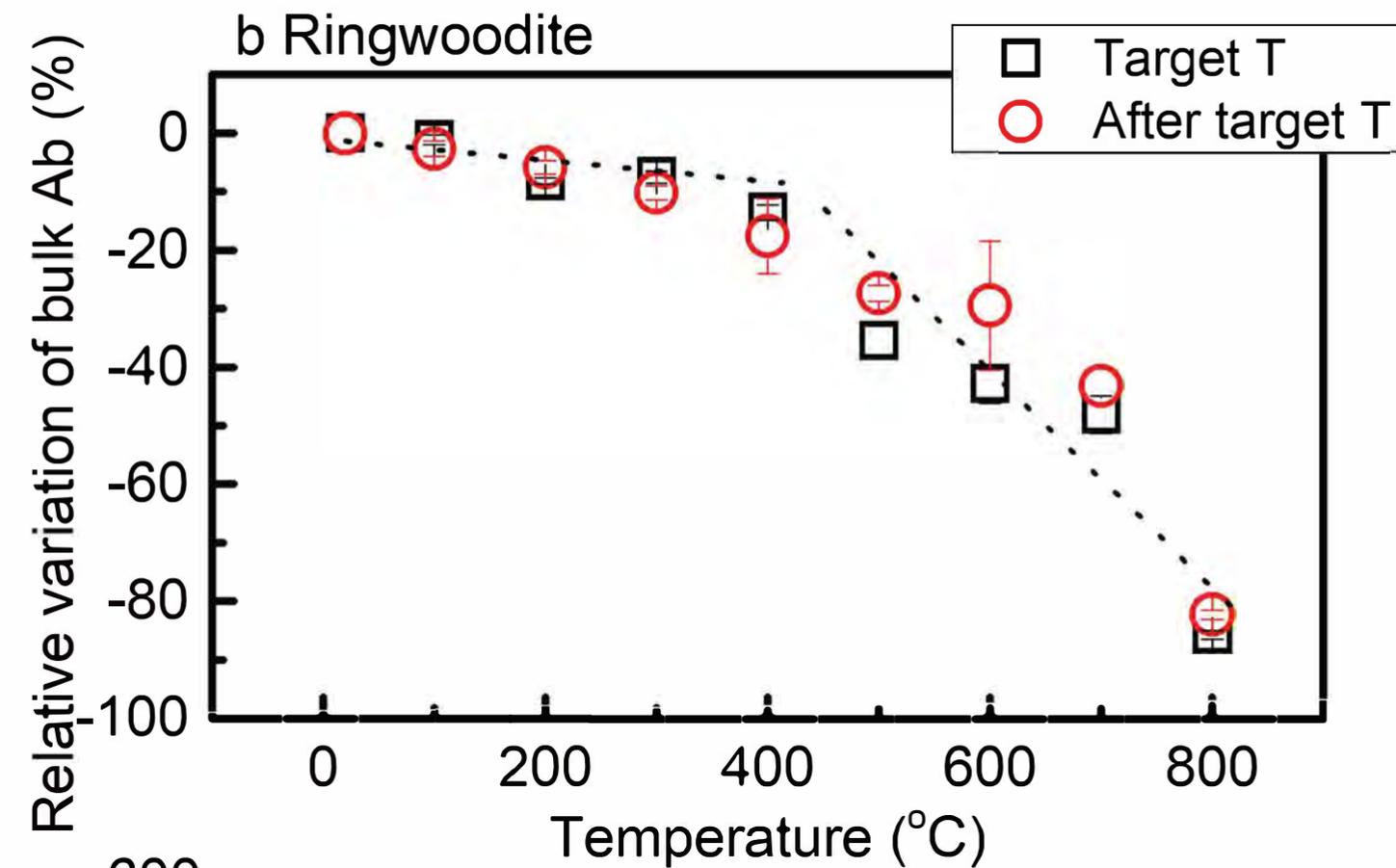
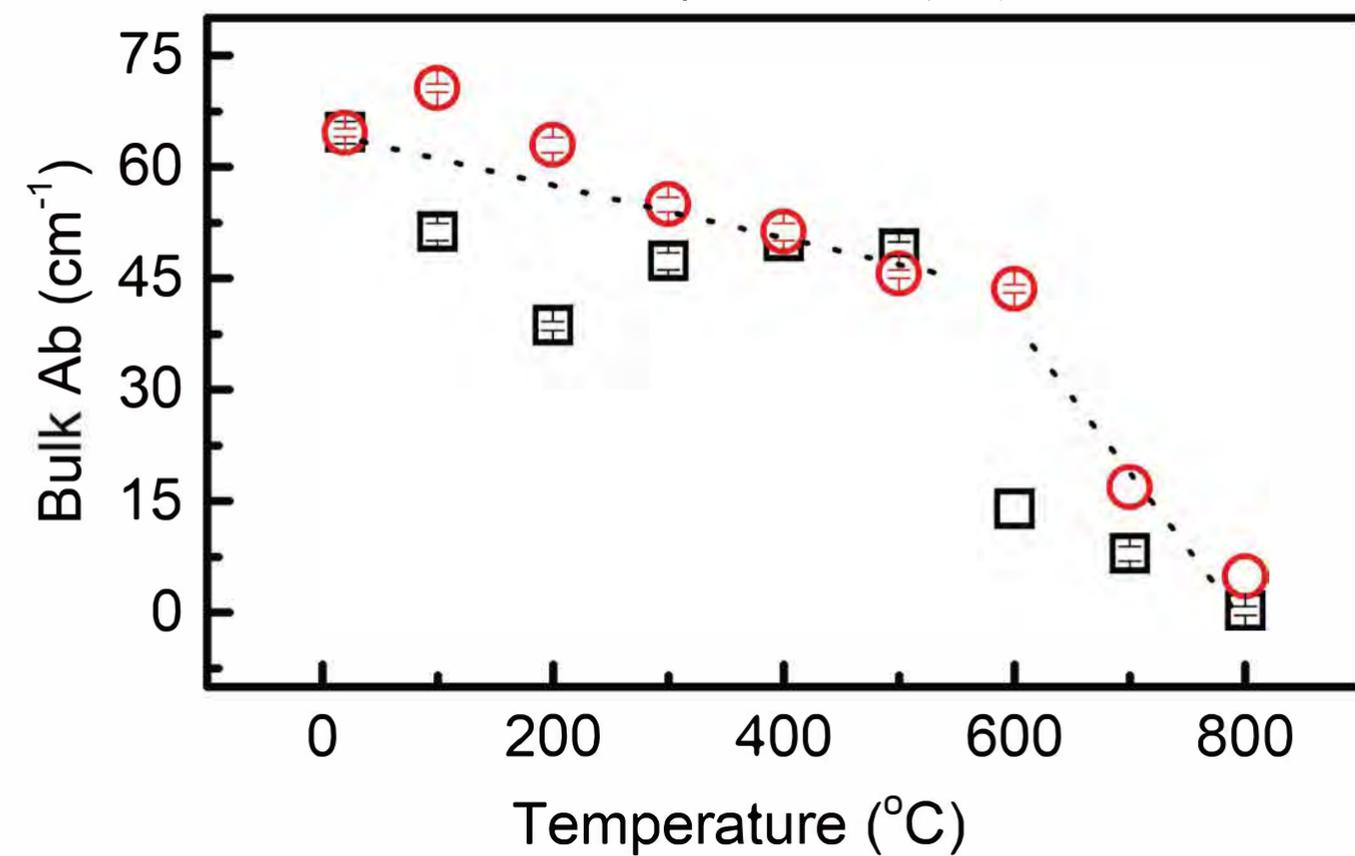
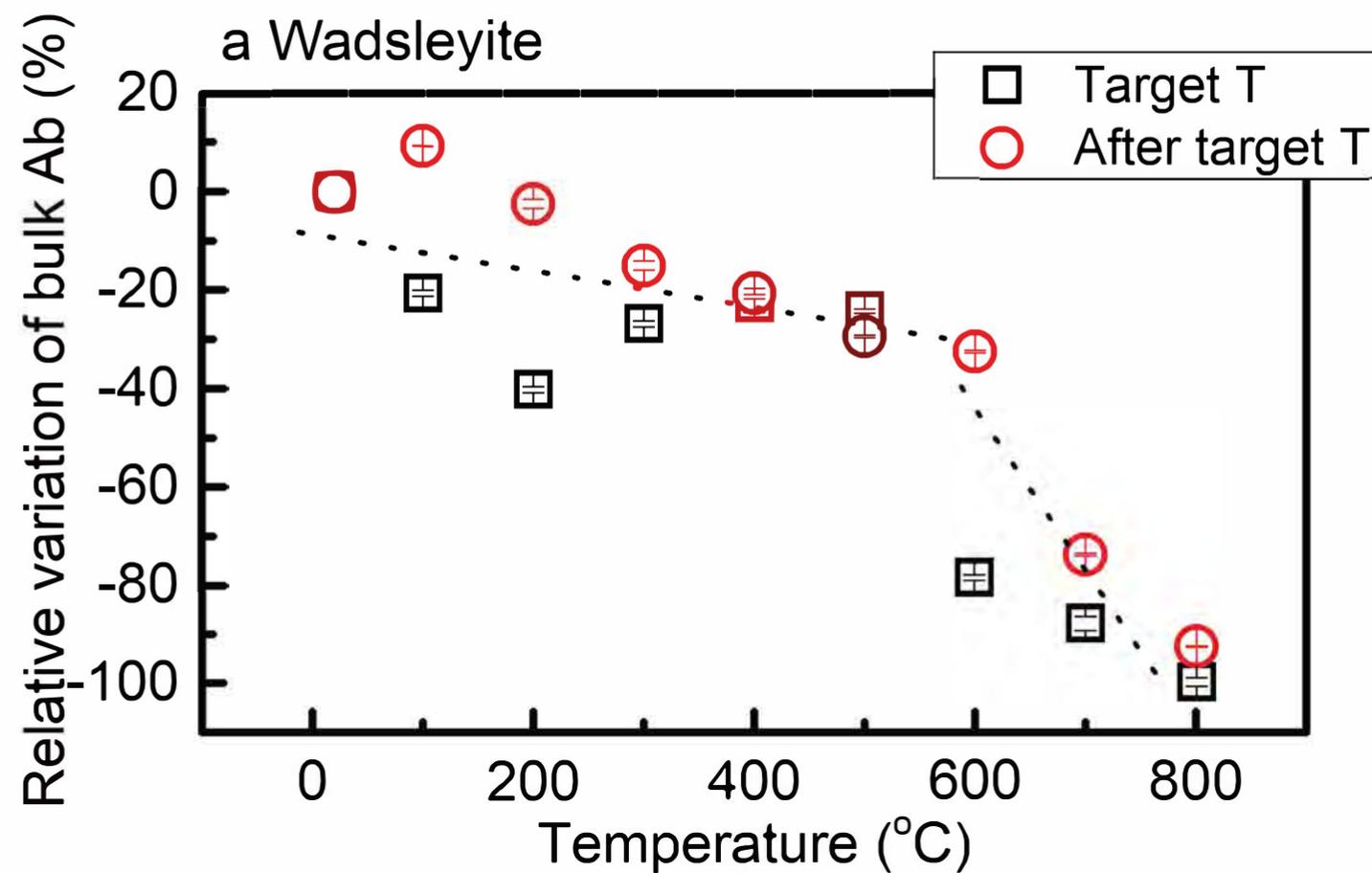


Figure 6

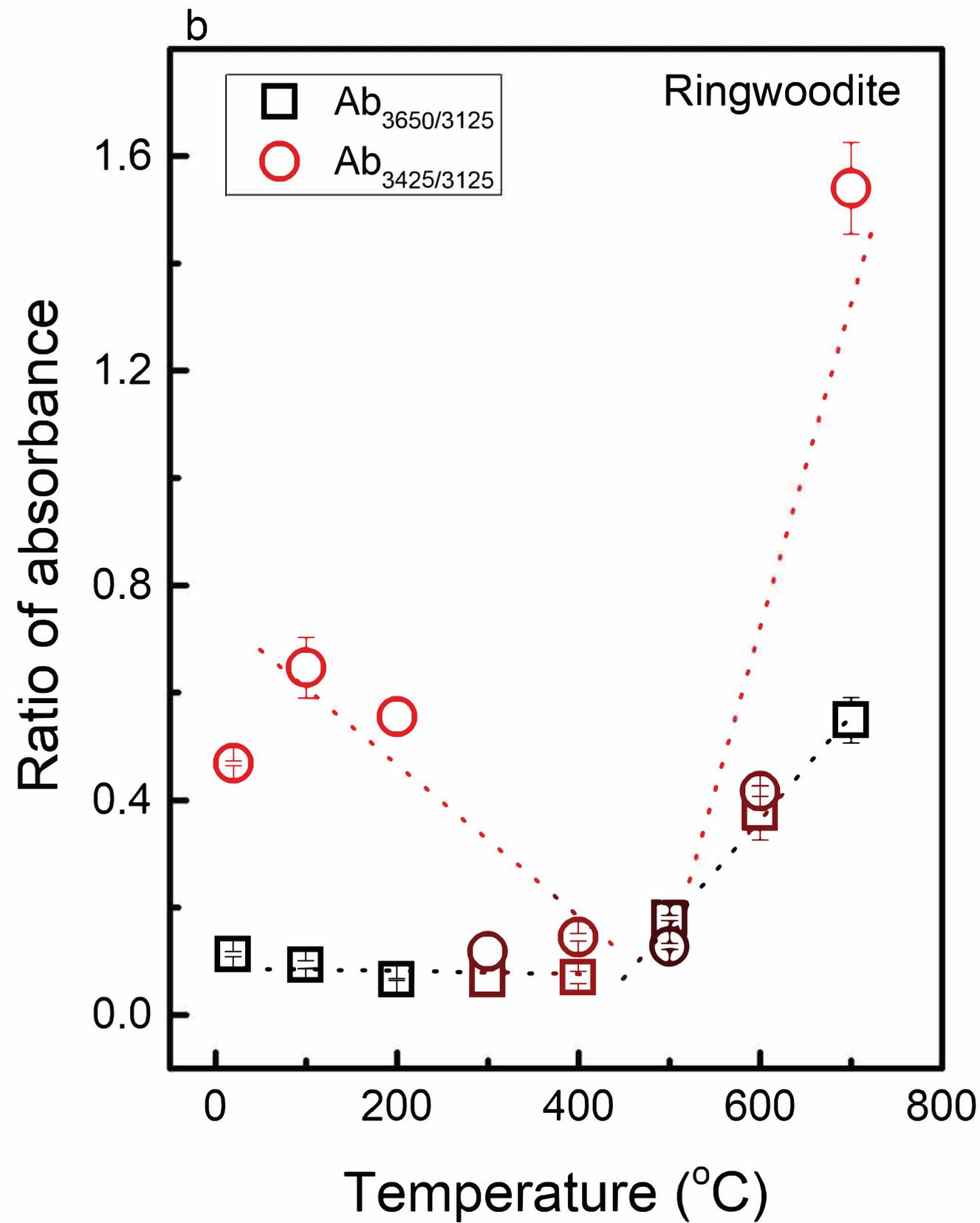
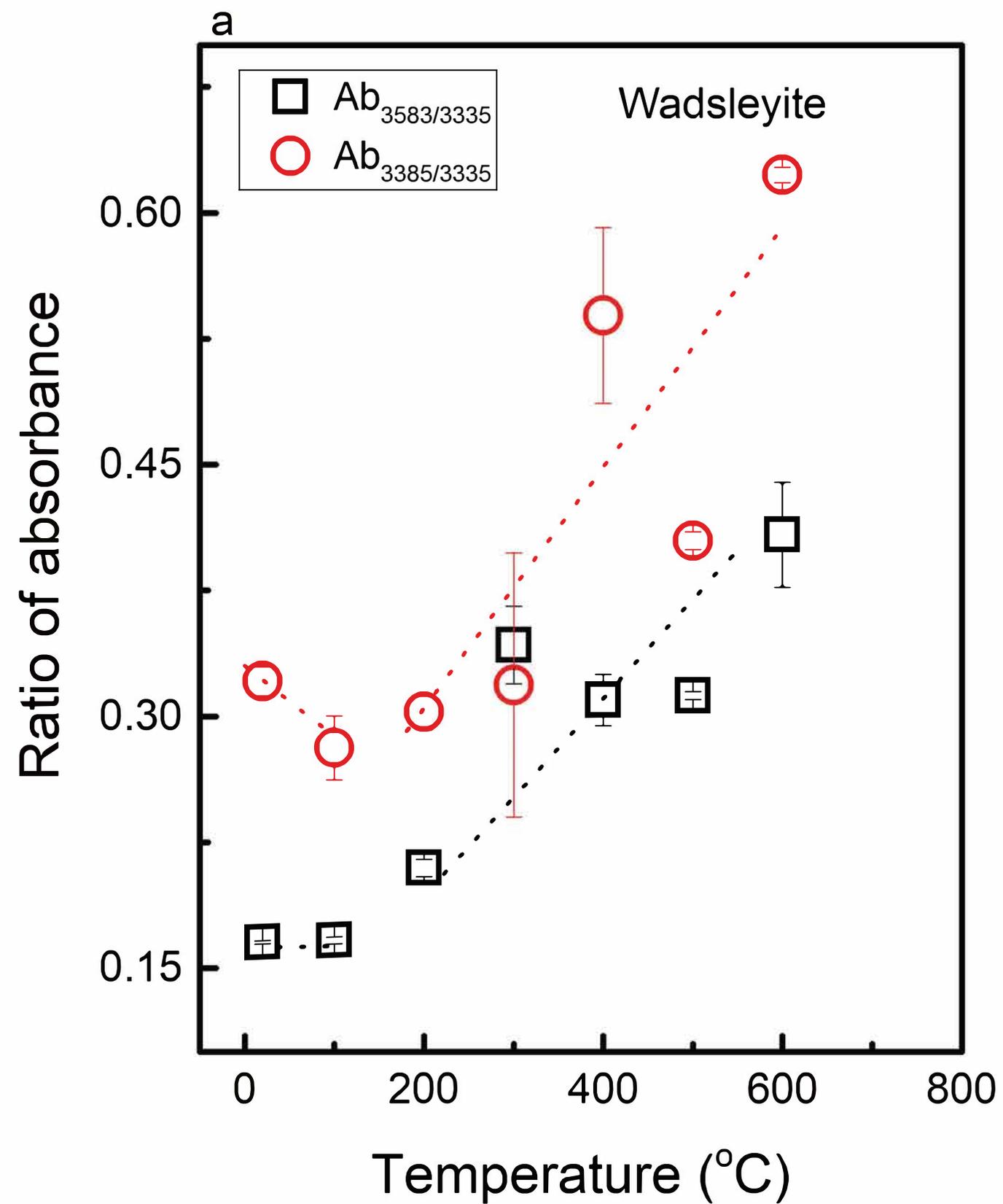


Figure 7

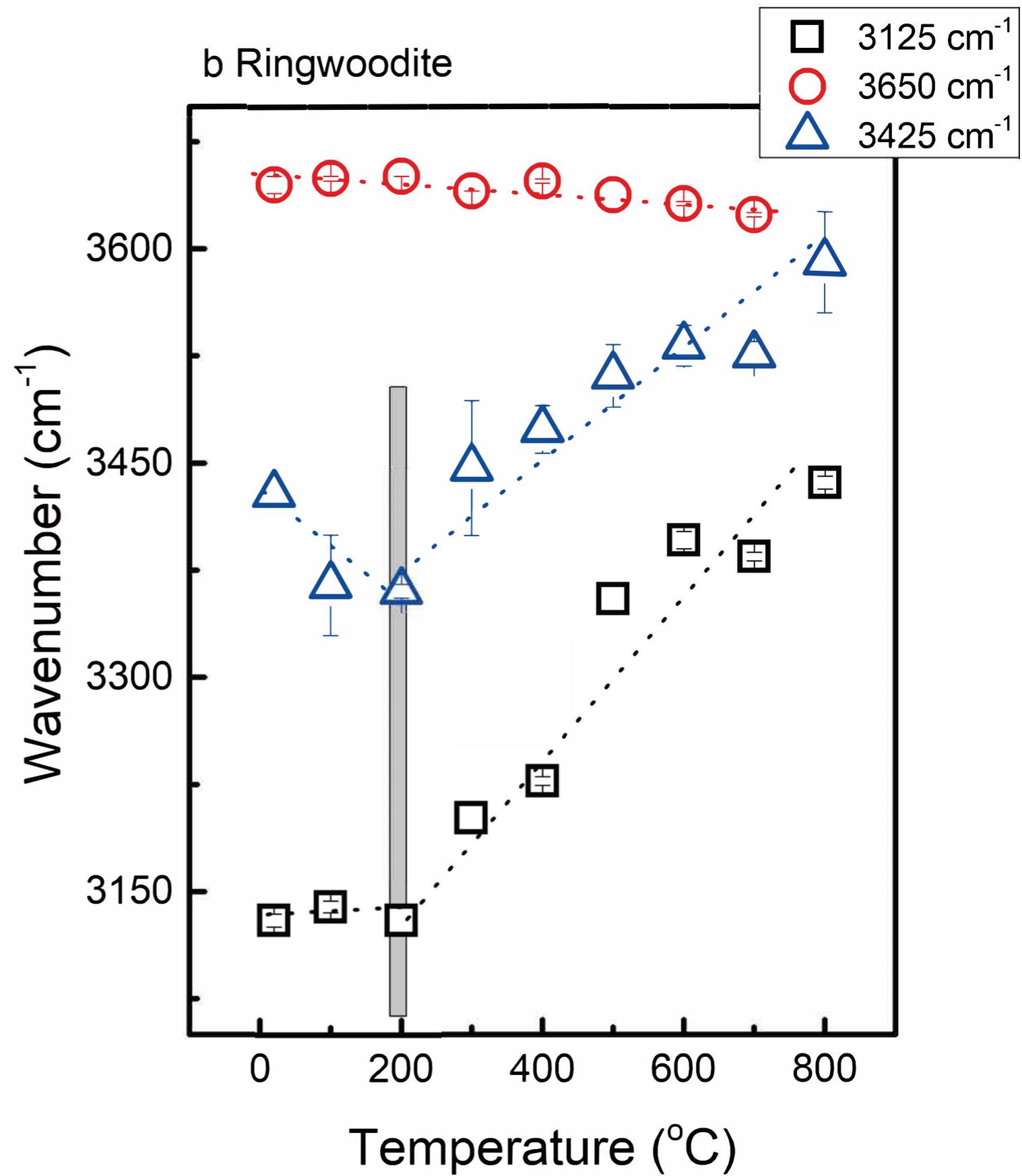
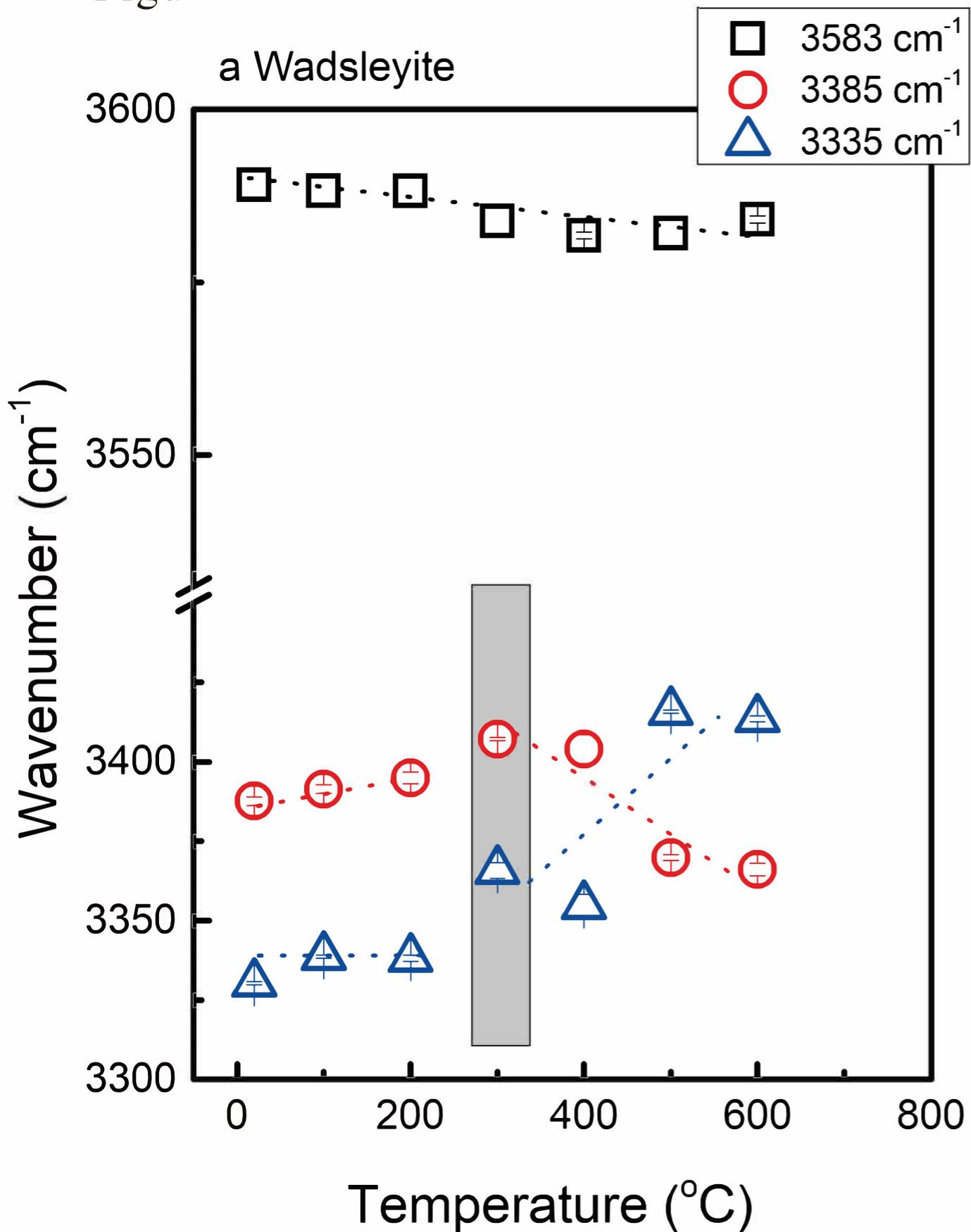


Figure 8

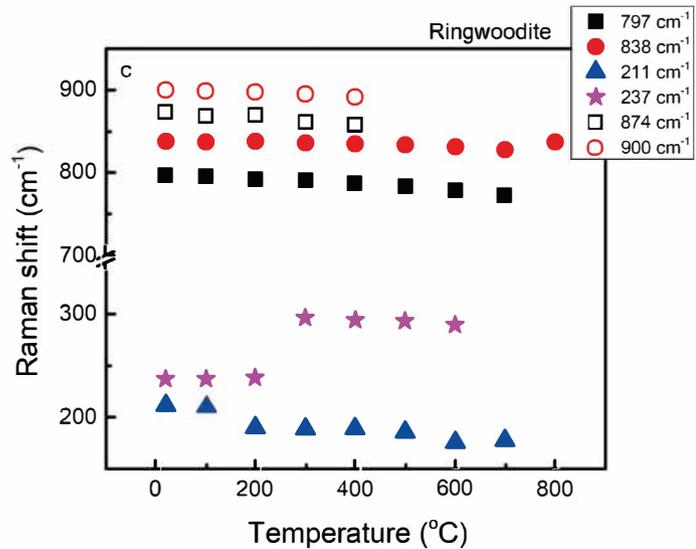
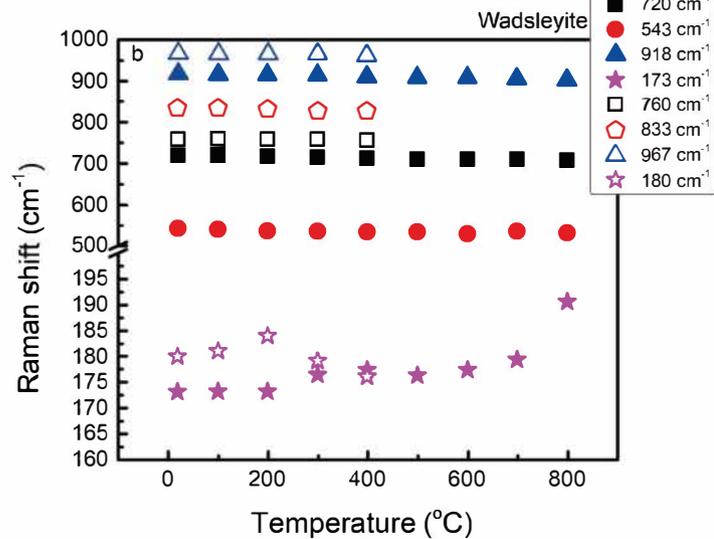
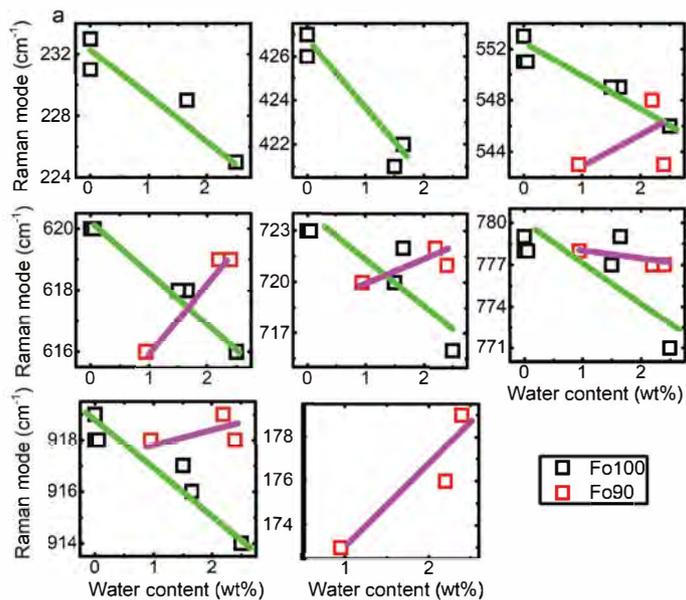


Figure 9

### Ringwoodite

