

1 **Experimental quantification of the Fe-valence state on amosite-asbestos boundaries using**
2 **acSTEM Dual-Electron Energy-Loss Spectroscopy**

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13

14 **Abstract**

15 The determination of the oxidation state and the coordination geometry of iron expands the
16 knowledge obtained by standard mineralogical characterization. It provides information that is
17 crucial in assessing the potential of minerals to interact with the surrounding environment and to
18 generate reactive oxygen species, which can disrupt the normal function of living organisms.

19 Aberration-corrected Scanning Transmission Electron Microscopy Dual-Electron Energy-Loss
20 Spectroscopy (acSTEM Dual-EELS) has only rarely been applied in environmental and medical
21 mineralogy, but it can return data that are essential for the description of near-surface and surface

22 mechanisms involved in many environmental and health-related processes. In this study, we have
23 applied the energy-loss near-edge structure (ELNES) and the $L_{2,3}$ white-line intensity-ratio
24 methods using both the universal curve and progressively larger integrating windows to verify
25 their effectiveness in satisfactorily describing the state of iron in amosite grain boundaries, and,
26 at the same time, to estimate thickness in the same region of interest. The average valence state
27 obtained from acSTEM Dual-EELS and from a simplified geometrical model were in good
28 agreement, and within the range defined by the bulk and the measured surface-valence states. In
29 the specific case presented here, the use of the universal curve was most suitable in defining the
30 valence state of iron in amosite grain boundaries. The study of ELNES revealed an excellent
31 correspondence with the valence state determined by the $L_{2,3}$ white-line intensity-ratio method
32 through the use of the universal curve, and it seems that the spectra carry some information
33 regarding the coordination geometry of Fe. The combination of visual examination,
34 reconstruction of the grain boundaries through a simple geometrical model, and Dual-EELS
35 investigation is a powerful tool for characterizing the grain boundaries of hazardous minerals and
36 foreseeing their potential activity in an organism, with the possibility to describe toxic
37 mechanisms in a stepwise fashion.

38

39 **Keywords**

40 Dual Electron Energy-Loss Spectroscopy; Fe-valence state; amphibole; asbestos; surface
41 chemistry; spatially resolved crystal-chemistry

42

43

44 **Introduction**

45 The valence state of Fe in members of the amphibole supergroup plays an important role when
46 elucidating mineralogical and geological history since it can be influenced by multiple
47 geochemical reactions in a wide range of conditions (Cavé et al., 2006). It can further contribute
48 to the understanding of the redox conditions in which these minerals crystallized (Garvie and
49 Busek, 1998), and of weathering, dissolution and recrystallization processes to which these
50 minerals were exposed in the natural environment. When in contact with living cells, the mixed-
51 valence state of Fe in amphiboles can determine the mineral's potential in disrupting Fe
52 homeostasis and in generating reactive oxygen species (ROS) in cells and at the systemic level
53 (Jablonski et al., 2017). The generation of ROS may lead to several respiratory diseases (e.g.,
54 asbestosis, pleural abnormalities) and malignancies, such as squamous cell carcinoma, small-cell
55 and large-cell carcinoma, and adenocarcinoma (Pacella et al., 2012), including mesothelioma,
56 which is strictly related to asbestiform minerals. Amphiboles that are not necessarily classified as
57 asbestos or asbestiform were recently also suggested to be related to autoimmune disease (Li et
58 al., 2012; Ferro et al., 2013; Pfau et al., 2014), which makes the description of the toxicity caused
59 by these minerals even more pressing. During interaction with the biological environment, Fe in
60 asbestiform minerals plays different roles depending on its oxidation state, coordination, and
61 surface-site occupancy (Gualtieri et al., 2016). ROS may be generated when Fe is released into
62 cells or biofluids, or during surface-bound Fe-promoted reactions (Schoonen et al., 2006).
63 Therefore, the possibility to determine both valence and bonding of Fe at an atomic- or nano-
64 scale allows for a detailed description of the mechanisms that can potentially lead to generation
65 of ROS, understanding the transformation of these minerals, and foreseeing the sequence of

66 events causing related pathology, while bearing in mind that Fe is just one of the variables
67 contributing to these mechanisms.

68 The determination of Fe-valence state, coordination geometry and local morphometry in a
69 specific observable region of interest (ROI) of a (potentially) hazardous mineral can thus provide
70 a very important contribution to the comprehension of the early mechanisms by which diseases
71 may be triggered.

72 The use of aberration-corrected scanning transmission electron microscopy (acSTEM) and Dual-
73 Electron Energy-Loss Spectroscopy (Dual-EELS) allows for simultaneous collection of two
74 spectra at different energy-loss ranges in a specific ROI on individual particles. This translates to
75 an immediate alignment of the zero-loss peak and, therefore, side-steps the need to collect two
76 consecutive spectra on the same sample area to perform the alignment (Potapov and Schryvers,
77 2004), resulting in a shorter exposure of the ROI to the electron beam, thus reducing potential
78 electron-beam damages and operational time. Application of Dual-EELS has a great advantage
79 compared to conventional EELS because it eliminates the need of measuring the low-loss and the
80 high-loss spectra consecutively, allowing for the *in-loco* determination of the ROI thickness
81 simultaneously with its valence state.

82 The Fe-valence state is commonly determined by techniques with poor spatial resolution, such as
83 Mössbauer spectroscopy and wet chemical analysis, or by indirect stoichiometry-based
84 calculations from electron probe micro-analysis data (e.g., Droop, 1987). Despite the accuracy of
85 redox titration and thermogravimetric approaches in the determination of the oxidation state of
86 an element in a phase, these techniques provide averaged and compound-dependent results (Lee
87 et al., 1980) without satisfactory spatial resolution. Advanced spectroscopic techniques, such as
88 X-Ray Absorption Near-Edge Structure (XANES), Extended X-Ray Absorption Fine Structure

89 (EXAFS) or Synchrotron Mössbauer Source (SMS) spectroscopy, can provide Fe-valence state
90 data that are accurate and comparable to those obtained from Electron Energy-Loss Near-Edge
91 Structure (ELNES), but again with a far lower spatial resolution. With respect to asbestos-related
92 disease and disease-mechanism studies, X-Ray Photoelectron Spectroscopy (XPS) has been used
93 to track the surface evolution of asbestos fibers in contact with media that simulate biofluids
94 (e.g., Pacella et al., 2015), limiting the investigation to the first ≈ 10 nm at the surface of the
95 minerals. Even though EELS has a higher spatial resolution than X-Ray Absorption
96 Spectroscopy (XAS) (Taftø and Krivanek, 1982) and related techniques, it has been used in a
97 limited manner in mineralogy, primarily because EELS is commonly intended as a technique
98 mainly dedicated to light elements and complementary to Energy-Dispersive X-ray (EDX)
99 spectroscopy (Andreozzi and Pollastri, 2017). However, EELS can provide additional and more
100 detailed information: acSTEM Dual-EELS is a powerful technique, which allows for
101 simultaneous acquisition of atomic- and nano-scale images and related data on chemical
102 composition, coordination and oxidation state for the exact same area. The technique, therefore,
103 provides invaluable local information on the nature of the observed specimen. Development and
104 application of this technique, thus, give an opportunity to describe surfaces, mineral interfaces,
105 and potentially the atomic-scale sequence of processes within the mineral or at the interface
106 between the mineral and the surrounding chemical environment.

107 In this study, we systematically apply methods that allow us to determine the Fe-oxidation state
108 from Dual-EELS spectra recorded in several ROIs of naturally occurring amosite, which has
109 been characterized previously (Pollastri et al., 2015). By using acSTEM, we were able to select
110 and orient fiber boundaries to obtain accurate and precise, spatially resolved information. In

111 addition, we used XPS as an independent technique to assess and discuss the results obtained
112 through acSTEM Dual-EELS on the fibers.

113 The most common procedure used to determine the valence state of transition metals through
114 EELS is known as the white-line ratio or $L_{2,3}$ -ratio method. In this procedure, the formal valence
115 state is correlated with the L_3 - and L_2 -white lines emerging from the spin-orbit splits that
116 correspond to the $2p_{3/2} \rightarrow 3d$ and $2p_{1/2} \rightarrow 3d$ transitions (Colliex et al., 1991; Riedl et al., 2007).

117 The 3d transition metal white-line intensity ratio has been demonstrated to be different from the
118 expected value of 2 (Leapman and Grunes, 1980). This is a consequence of the interaction
119 between the 3d valence electrons, the ejected electrons, and the core hole. The intensity ratio
120 $I(L_3)/I(L_2)$ assumes maximum values in the $3d^5$ configuration, decreasing toward both the $3d^0$
121 and $3d^{10}$ configurations (Sparrow et al., 1984; Thole and Van der Laan, 1988). This simply
122 results in a proportional reduction of the white-line intensity ratio while the Fe-valence state
123 approaches its minimum value. The white-line intensity-ratio procedure has several limitations
124 since the $I(L_3)/I(L_2)$ does not necessarily always have a one-to-one correspondence to the Fe-
125 valence state (Sparrow et al., 1984; Graetz et al., 2004; Tan et al., 2012). One of the reported
126 problems is related to the sample thickness, which is described as t/λ , where t is the average
127 thickness of the investigated ROI and λ is the inelastic mean free path of the electrons passing
128 through the analyzed region. The removal of plural-scattering through deconvolution or the
129 investigation of a specific area with $t/\lambda < 0.5$ should be satisfactory in limiting the thickness
130 influence on the results (Schmid and Mader, 2006; Varela et al., 2009). While some authors
131 suggest that the $I(L_3)/I(L_2)$ is relatively insensitive to thickness (Wang et al., 2000) below $t/\lambda < 0.5$
132 (Varela et al., 2009), other authors sustain that spectral deconvolution is necessary to obtain an

133 accurate evaluation of the valence state (Tan et al., 2012). On the other hand, Loomer et al.
134 (2007) reported that there was no thickness effect for t/λ values slightly greater than 1.

135 In our contribution, the white-line intensity-ratio investigation has been coupled with ELNES in
136 the spectral regions of the O–K and Fe–L_{2,3} edges in an attempt to retrieve information on the Fe-
137 coordination geometry and the contribution of other factors to the relation of spectral shape with
138 the valence state. The applicability of the so-called “universal curve” (Van Aken et al., 1998)
139 was compared to the use of different calibration curves obtained from standards with known
140 valence states. This comparison was made because the investigated ROIs are characterized not
141 only by the complex crystal structure of amphibole but also by the presence of an amorphous
142 shell, which can induce unexpected edge modifications in the ELNES spectra that in turn may
143 affect the extracted valence-state values. In particular, the universal curve uses a narrow window
144 (2eV wide), which can be influenced by both spectral noise and small differences in the ELNES
145 shape (Tan et al., 2012). In view of these possible complications we tested several approaches to
146 assess which L_{2,3} method and integration-window width are most suitable in describing the
147 complexity of the amphibole-fiber boundaries and their modified surfaces.

148 In addition, we created a simple geometric model to estimate the valence state at particle
149 boundaries starting from Mössbauer spectroscopy and XPS data so that it could be compared
150 with the results obtained through acSTEM Dual-EELS.

151 The possible influences of thickness and beam damage on the results have been considered.

152

153 **Materials and methods**

154 Samples of amosite (or asbestiform grunerite) were investigated by two different techniques,
155 XPS and acSTEM Dual-EELS. We need to emphasize that the XPS data were obtained by an
156 independent laboratory (ENEA, Casaccia Research Center, Materials and New Technologies
157 Unit, Rome, Italy), and the data were not made accessible to us until after we had completed our
158 acSTEM Dual-EELS investigation. This approach was chosen to guarantee a non-biased,
159 independent evaluation of our acSTEM Dual-EELS data.

160 The XPS spectra collected on the bulk fibers, i.e. not separated into short and long fibers, were
161 acquired with a V.G. ESCALAB MKII spectrometer and recorded using an Mg anode ($K\alpha$
162 radiation line at 1253.6 eV, non-monochromatized) as X-ray source at a voltage of 10 kV and a
163 power of 240 W. Spectra were collected in the constant-analyzer energy mode at a pass energy
164 of 10 eV and a step channel of 0.1 eV. The sample was suspended in analytical-grade acetone
165 and gently ground in an agate mortar. The powdered material was then transferred onto a double-
166 sided sticky tape on a standard sample holder. To determine the oxidation state of iron, the Fe-
167 $2p_{3/2}$ peak was deconvoluted following the approach described in Fantauzzi et al. (2010).

168 Two samples of amosite were investigated by acSTEM Dual-EELS, one consisting of short
169 fibers, the other of long fibers. These samples were prepared for this study as described in
170 Pollastri et al. (2014) and from the same batch that was also analyzed by XPS. The two samples
171 were suspended in 2-propanol and then transferred onto separate 300 mesh holey-carbon TEM
172 copper grids (SPI supplies, West Chester, PA, U.S.A.). All experiments were conducted at an
173 operational voltage of 80 kV with an aberration-corrected STEM (JEOL, model ARM 200 F)
174 equipped with a high-brightness Cold-Field Emission Gun (CFEG) and an energy filter
175 (Quantum ER GIF, Gatan, USA) with Dual-EELS capability. The instrument was tuned to a
176 collection semi-angle of 22.65 mrad (8 cm camera length – working distance) to increase the

177 intensity of the signal on core-loss edges (Colliex et al., 1991) and a convergence semi-angle of
178 24.00 mrad, using an aperture of 5 mm and a dispersion of 0.25 eV/channel. EELS was
179 performed in dual mode on 100 separate, randomly selected areas of 625 nm² each. These areas
180 were located along the grain boundaries of the fibers (50 ROIs each in the short- and long-fiber
181 grids). The first spectral region was recorded over a period of $2 \cdot 10^{-4}$ s, whereas the core-loss
182 region was registered for a duration of 10 s, and in both cases, 3 frames were summed up to
183 generate a spectrum. The recording of 3 consecutive frames allowed us to first screen for the
184 possible “morphological” transformation of ELNES due to beam damage resulting from the
185 exposure of a ROI to the electron beam. The first spectral region ranged from -50 to 462 eV, the
186 core-loss region of the spectra from 500 to 1012 eV, which allowed for simultaneous
187 visualization of the O and Fe edges.

188

189 *Determination of the ROI thickness*

190 The low-loss region was used to determine the relative sample thickness (t/λ). The relative
191 thickness of each individually analyzed ROI was calculated by determining the ratio between the
192 zero-loss electrons and the total transmitted intensity, according to Poisson’s statistics (Malis et
193 al., 1988). All the ROIs with $t/\lambda > 0.5$ were arbitrarily discarded from the study.

194 The real sample thickness was then calculated by estimating the λ value for amosite crystals
195 through the Iakoubovskii et al. (2008) formulation, which is appropriate for a collection semi-
196 angle (β) greater than 20 mrad. The core-loss region was used to study the O- and Fe-ELNES,
197 and to determine the Fe-oxidation state by applying the selected methods. These data, together

198 with literature data, imaging of fiber boundaries, and morphometry were also used to reconstruct
199 the geometry and estimate the expected valence state of the studied amphiboles.

200

201

202 *L_{2,3}-ratio method*

203 As a first step, we used an inverse power law to remove the pre-edge background of the collected
204 core-loss EEL spectra (Supplementary S.1). The intensity due to transitions into the continuum
205 was subtracted by applying a double arctangent step function as continuum for the removal of
206 the post-edge background (Supplementary S.1).

207 Three progressively larger integration windows (Supplementary S.2) were tested on spectra
208 obtained on standards using the script “Double Atan EELS Background” created by Mitchell
209 (2015). In the first case, we used two integrating windows (2 eV wide), one located at the
210 maximum of the L₃ edge, and one located at the L₂ edge, as suggested by the modified integral
211 Fe-L_{2,3} white-line intensity method proposed by Van Aken et al. (1998), analogous to the
212 universal curve. In the second case, we applied two integrating windows, which were centered at
213 the peak maxima and which were 4 eV wide (Schmid and Mader, 2006). In the third case, we
214 used two 8 eV wide windows (similar to Tan et al., 2012) with the lower limit of the windows
215 located just after the onset of the L₃ and L₂ edges, respectively (which frequently corresponded
216 to centering the windows on the peak maxima).

217 This procedure was used to determine the correspondence between L₃/L₂ ratios and the valence
218 state in three standards with the known Fe-oxidation states of 2+, 2.7+, and 3+ (Supplementary
219 S.3). The L₃/L₂ ratios versus valence-state data were fitted using an exponential function to

220 obtain calibration curves. The universal curve (Van Aken et al., 1998) and the equations of the
221 calibration curves were then used to determine the average valence state of ROIs on amphibole
222 fiber boundaries. In this study, the calibration curves were generated using the same standards
223 and the same Atomic Resolution Microscope (ARM) as in Rojac et al. (2017), because the
224 stability of the standards in terms of valence state was already verified on the same instrument.

225

226 **Results**

227 *XPS reference analyses*

228 The XPS analysis, performed on fibers that were not separated into two dimensional categories,
229 revealed a predominance of Fe³⁺ in the forms of Fe³⁺ and of FeOOH (Table 1). From these data,
230 the corresponding average Fe-valence state was calculated at 2.67 with $\sigma_{n-1}=0.04$.

231

232 *ROI thickness, features and geometrical model for amosite*

233 The fibers exhibit straight boundaries, which are in many cases covered by a discontinuous and
234 partially amorphized “shell” of variable thickness (Fig. 1A). This “shell” is very irregular,
235 presenting a rough surface, and exhibits an average thickness of 3.74 nm ($\sigma_{n-1}=2.30$) for short
236 fibers and 1.99 nm ($\sigma_{n-1}=0.88$) for long fibers. The overall thickness of the investigated ROIs at
237 the fiber boundaries, evaluated from the low-loss spectra, varies between 5.73 and 37.56 nm,
238 with an average value of 20.09 nm ($\sigma_{n-1}=9.68$). The average ROI thickness of the short fibers is
239 16.25 nm ($\sigma_{n-1}=7.69$), whereas that of the long fibers is 25.30 nm ($\sigma_{n-1}=9.77$). All those areas,
240 where we were not able to orient the crystal or where the thickness t/λ was >0.5 (roughly

241 corresponding to $t > 50$ nm), were discarded from the subsequent analyses, effectively decreasing
242 the total number of useable areas from 100 to 66 (38 for short fibers, 28 for long fibers).

243 A geometric model of the ROIs for short and long fibers was created based on the average ROI
244 thickness, average “shell” thickness, and the characteristic cleavage angles of the amphiboles
245 (Fig. 1B).

246 In our simple geometrical model, we assumed that the features seen in the section perpendicular
247 to the amphibole’s c -axis remain the same when moving along c -axis, namely average oxidation
248 state, “shell” thickness, and morphometry. The section perpendicular to the amphibole’s c -axis is
249 represented as a trapezoid with acute angles of 62° and obtuse angles of 118° . The ROI width is
250 treated as the height (h) of the trapezoid, whereas the ROI thickness is equivalent to $\frac{B+b}{2}$, where
251 B is the long base and b the short base of the trapezoid. The bases are parallel to the (010)
252 crystallographic plane.

253 Furthermore, if we assume – as a simplification – that the external shell is completely oxidized
254 and the bulk material is completely reduced, the calculation of the ratio between the
255 perpendicular-section area of the “shell” (A_{shell} : red in Fig. 1B) and the total perpendicular
256 section area (A_{tot} : red plus green in Fig. 1B), yields a rough estimate of the expected average
257 valence state, i.e., $\text{valence state} = \frac{A_{\text{shell}}}{A_{\text{tot}}}$, for the given geometry and “shell”-to-bulk ratio (1st
258 approach).

259 As a second approach, we can calculate the expected average valence state assuming that the
260 “shell” has a Fe-valence state of 2.67+ (XPS data), whereas the bulk valence state of amosite,
261 determined by Mössbauer spectroscopy, is 2.08+ (Pollastri, 2015). This approach should be

262 valid, because the XPS data are usually representative of the first 0.3 to 3 nm (Vansant, 1995),
263 and possibly up to 10 nm of the material starting from the surface (Elmi et al., 2016).

264 Both approaches returned similar results, documenting that the near-surface regions of the short
265 fibers are more oxidized than those of the long fibers (Tab. 2).

266

267 *Fe-L_{2,3} white-line intensity ratio: standard calibration curves*

268 The calibration curves for the Fe-L_{2,3} white-line intensity ratio were constructed by fitting an
269 exponential function to the $I(L_3)/I(L_2)$ data points obtained from the following standards (Fig. 2):
270 FeTiO₃ with all Fe as Fe²⁺ (99.8%, Alfa Aesar, product number 1317), Co_{0.6}Fe_{2.4}O₄ with Fe as
271 Fe^{2.7+} (synthesized according to Gyergiek et al., 2010), and Fe₂O₃ with all Fe being Fe³⁺
272 (99.945%, Alfa Aesar, product number 14680).

273 The average L_{2,3} ratios and σ_{n-1} values for each standard were determined using multiple
274 analytical points (Fig. 2 and Supplementary S.4). The calibration curve for the standard data
275 points, determined using a 4 eV window (blue dotted line in Fig. 2), shows the smallest σ_{n-1} with
276 respect to the L₃/L₂ ratio. On the other hand, when the error is calculated for the valence state
277 (horizontal error bar in Fig. 2), the 8 eV window shows the smallest values (excluding the Fe²⁺
278 data points for which the σ_{n-1} ranges are comparable). The smaller horizontal error bar of the 8
279 eV calibration curve compared to the 4 eV calibration curve for the Fe^{2.7+} and Fe³⁺ data points is
280 a consequence of the steeper slope of the first one (0.54) compared to the latter one (0.40). The 2
281 eV calibration curve has the highest slope value (1.27), which limits the error propagation on the
282 valence state, but the large error with respect to the L₃/L₂ ratio generates the largest error on the
283 calculated valence state (Fig. 2 and supplementary figure S.4.1.). In the next section, the valence

284 state for the studied amosite ROIs will be evaluated using the universal curve and the standard
285 calibration curves determined using a 2 eV, 4 eV, and 8 eV window to confirm the
286 correspondence between the valence state determined using a certain curve and the shape of the
287 $L_{2,3}$ edge and the O–K edge ELNES.

288

289 *Fe– $L_{2,3}$ white-line intensity ratio: amosite ROIs*

290 The overall average valence states of the investigated ROIs of amosite, obtained by applying the
291 white-line intensity-ratio method to the spectra of short fibers and long fibers, are similar when
292 using the universal curve, the 4 eV window calibration curve or the 8 eV window calibration
293 curve, but the use of a 2 eV window calibration curve returns slightly lower Fe-valence state
294 values (Tab. 3 and supplementary S.5). The σ_{n-1} values are in each case larger for short amosite
295 ROIs than for long amosite ROIs.

296 The use of the $I(L_3)/I(L_2)$ obtained with 4 eV windows to determine valence state of an ROI,
297 showed a good match with the observed shape and intensity of the L_3 amphibole edges. The
298 application of the universal curve yields a similar overall average valence state to the one
299 obtained by using 4 eV windows on analyses performed on short fibers (Table 3). The long-fiber
300 ROIs have a similar average valence state when using the universal curve, the 4 eV window and
301 the 8 eV window curves.

302 The valence state for the ROIs of the long fibers are distributed in an area of the chart (Fig. 3)
303 that ranges from 2.10+ to 2.27+, whereas the valence state for the ROIs of the short fibers shows
304 a large variation, ranging from 2.09+ to 3.10+ (Fig. 3). The average valence state for the ROIs of

305 both long and short fibers is within the bulk valence state (from Mössbauer spectroscopy) and
306 the surface/near-surface valence state (from XPS).

307 The valence state determined on the amphibole ROIs using the universal curve represents the
308 best match with regard to the intensity and shape of the L₃-edge ELNES and thus, was used for
309 all further evaluations of ELNES spectra (see next section and Discussion).

310

311 *O–K edge ELNES of amosite*

312 A representative core-loss spectrum (Fig. 4) of an amosite ROI with an Fe-valence state of
313 2.97+, as assigned through the use of the universal curve (red spectrum in Fig. 4), is
314 characterized by a pre-edge peak, labeled as (a), a dominant peak (b), a weaker maximum at
315 $\approx 545\text{--}550$ eV (c) followed by a large bump (d) (not shown), consistent with literature data
316 (Colliex et al., 1991). The pre-edge peak has two main components: one located at 528.25 eV
317 ($\sigma_{n-1}=0.33$), the other one at 529.51 eV ($\sigma_{n-1}=0.62$). The detected pre-edge is not observed in
318 amosite ROIs with a valence state that is lower than 2.44+, as clearly visible in a representative
319 spectrum from a ROI with a valence state of 2.12+ (green spectrum in Fig. 4). Spectra with a
320 mixed valence state ≥ 2.44 show variable peak intensity in the O-K pre-edge region (e.g., orange
321 spectrum in Fig. 4).

322

323 *Fe–L_{2,3} edge ELNES of amosite*

324 The Fe–L₃ and Fe–L₂ edges show features that can be attributed primarily to the oxidation state
325 and, to a lesser extent, to the coordination geometry of Fe in amosite-fiber boundaries (Fig. 5).
326 The L₃ edge is composed of a first peak located at 709.74 ($\sigma_{n-1}=0.14$) eV, and a second peak

327 located at 711.45 ($\sigma_{n-1}=0.15$) eV. These two peaks are usually labeled as Fe²⁺ peak and Fe³⁺
328 peak, respectively since their intensity is characteristic of the related valence state. The L₃ edge
329 of the Fe³⁺-dominant amosite ROI (red spectrum in Fig. 5) shows a first, less intense shoulder
330 followed by a peak maximum. In the Fe²⁺-dominant L₃ edge (green line in Fig. 5), the shape of
331 the edge is the inverse, with a more intense first peak, followed by a second, less intense
332 shoulder at higher energy-loss values. The mixed-valence state, assigned using the universal
333 curve, of a representative amosite ROI in between these two extremes ($\approx 2.44+$, orange line in
334 Fig. 5) shows an L₃ edge composed of two peaks with similar intensity.

335 The L₂ edge shows a similar trend, but it tends to be sharper at lower valence states compared to
336 that at higher valence state. The first component of the L₂ edge, representing the more intense
337 peak for Fe²⁺-dominant ROIs, is located at 722.19 ($\sigma_{n-1}=0.27$) eV. The second, less intense
338 component is located at 724.37 ($\sigma_{n-1}=0.18$). The intensity maxima are reversed for highly
339 oxidized ROIs (e.g., red spectrum of Fe^{2.97+} in Fig. 5).

340 The average separation of the L₃- and L₂-edge maxima is 12.54 eV ($\sigma_{n-1}=0.33$).

341

342 *Thickness effect*

343 Since the white-line intensity-ratio method may be influenced by the thickness of the studied
344 ROI, we investigated this possibility by plotting the L₃/L₂-intensity ratio versus thickness (Fig.
345 6). The plot of the obtained L_{2,3} ratio versus thickness, however, did not reveal any correlation
346 between the two quantities. This diagram further documents a large vertical dispersion of the
347 data points corresponding to a similar thickness.

348

349 Discussion

350 The acSTEM observations show that the amphiboles are far from exhibiting perfect crystal
351 shapes at their grain boundaries. The crystals are in many cases covered by Fe³⁺-dominated,
352 crystalline or partially amorphized material of variable thickness. The visually observed features
353 are consistent with those observed by ELNES of both the O–K and the Fe–L_{2,3} edges, as well as
354 the associated peaks, and by the valence state, which was determined applying the universal
355 curve. The O–K pre-edge, observed in representative ROIs (Fe^{2.44+} and Fe^{2.97+}), is characteristic
356 of ROIs with a valence state equal or greater than 2.44+.

357 This pre-edge region of the O–K ELNES spectra is worth exploring in more detail: the sharp
358 peak at ≈530eV is related to a high concentration of high-valence 3d transition metals (de Groot
359 et al., 1989). In our experiment, the pre-edge peak was located at 529.51 eV ($\sigma_{n-1}=0.62$) and is
360 indicative of Fe³⁺-rich ROIs, because this peak is visible only in areas with an average valence
361 state $\geq 2.44+$. This peak is assumed to originate from the transition of O–1s core states to O–2p
362 states, which are hybridized with the Fe–3d orbitals (de Groot et al., 1989; Kurata and Colliex,
363 1993; Garvie 2010). The other pre-edge component, located at ≈528 eV (OH peak), is more
364 controversial. The hypothesis that a 528 eV peak can be directly related to the quantity of OH or
365 H₂O in minerals was refuted by Garvie (2010). The peak we observed in Fe³⁺-dominant ROIs of
366 amosite at 528.25 eV ($\sigma_{n-1}=0.33$) was stable in terms of intensity and did not show the sequence
367 of “appearance” and “disappearance” described in the literature for the so-called OH peak
368 located at ≈528 eV (Garvie, 2010). The observed stability of this peak may be related to several
369 factors, including (i) the relatively low electron dose we applied, estimated at $\approx 1 \cdot 10^3$
370 electrons/nm², and (ii) the peculiar and complex structure at the grain boundaries of the studied
371 fibers. Since we never observed this “OH peak” in the O–K pre-edge region of spectra collected

372 in “shell”-free ROIs, we conclude that it is characteristic of the oxidized “shell” that covers the
373 mineral. This peak may therefore be interpreted as a qualitative indicator of the presence of OH
374 in the “shell” or at the boundary between the “shell” and the real amphibole surface, or as an
375 indicator of a continuous and small loss of hydrogen because of a low electron fluence in
376 scanning mode, which results in a stable intensity of the OH peak. At this level of investigation
377 and conditions, we do agree with Garvie (2010) that it is not possible to use the observed peak
378 for quantification purpose, but this peak could indicate that the Fe³⁺-rich shell is hydroxylated.
379 On the other hand, we believe that the beam damage, if present, is negligible, since we did not
380 observe a direct increase or decrease in intensity of this peak and the Fe-valence state remained
381 stable. When interrogating the literature for materials that are similar to the investigated shells, a
382 “safe” electron dose that avoids reduction on synthetic 6-line ferrihydrite was determined to be
383 $1 \cdot 10^8$ electrons/nm² (Pan et al., 2006), whereas mixed-valent Fe (oxyhydr)oxides (green rust)
384 showed a threshold of $4 \cdot 10^3$ electrons/nm² where oxidation begins (Freeman et al., 2019). We
385 thus conclude that at this level of investigation and with our experimental setup, we can
386 reasonably exclude an influence of beam damage on our results.

387 The shape of the Fe³⁺-L₃ edge is easily recognizable due to the presence of an intense peak at
388 711.45 ($\sigma_{n-1}=0.15$) eV and the visibility of a less intense peak (shoulder) at lower energy-loss
389 values (709.74 ± 0.14 eV). On the other hand, the Fe²⁺-dominant L₃ edges show an opposite
390 trend, with a more intense first peak and a second, less intense shoulder. In the case in which the
391 valence state approaches 2.50+, the two main components of the L₃ edge show similar intensities
392 (Fig. 5). As outlined in the results section, the ELNES shape has an acceptable match with the
393 valence-state values obtained using the calibration curve with a window at 4 eV. Unfortunately,
394 the use of this curve fails when evaluating a valence state that approaches 2.50+. The spectra to

395 which we assigned an oxidation state of 2.44+ using the universal curve (Fig. 5) has a first
396 intense peak at a lower energy-loss value (709.74 ± 0.14 eV) and a second less intense peak at
397 711.45 ($\sigma_{n-1}=0.15$) eV, which is consistent with the assigned valence state and other spectra of
398 similar minerals in the literature (e.g. ac50hd50 and ac40hd60 pyroxene in Fig. 2b, Van Aken
399 and Liebscher, 2002). In the same spectrum, using the calibration curve with a 4 eV window
400 rather than the universal curve would lead to a valence state of 2.53+. The assigned valence state,
401 thus, would have been in disagreement with the observed ELNES shape since the intensity of the
402 two components of the L_3 peak (located at 709.74 ± 0.14 eV, and 711.45 $\sigma_{n-1}=0.15$ eV) indicates
403 a slightly higher amount of Fe^{2+} .

404 The measured peak separation between the L_3 and L_2 maxima on the amosite ROIs agrees with
405 the work of Van Aken et al. (1998). The peak separations observed in ROIs of fiber boundaries
406 are in the same range, but shifted by ≈ 0.25 eV to lower electron-loss values. This shift is within
407 the σ_{n-1} range and thus not significant. This small deviation between literature values and
408 experimental results may also be a consequence of symmetry variations or the presence of extra-
409 fine structures (Colliex et al., 1991).

410 The presence of more distinguishable features occurring in the Fe^{2+} - L_2 edges can be related to
411 six-fold coordination. In more oxidized ROIs, the L_2 edges have poor features, a condition that
412 can be related to a coordination geometry of 6 (structural Fe^{3+}) or lower, which may correspond
413 to the presence of amorphous material or surface-bonded Fe^{3+} (Van Aken and Liebscher, 2002).
414 In addition to valence state and coordination geometry, there is a possibility that the presence of
415 Al^{3+} can shape the ELNES, leading to an increase in the intensity of the right flank of the Fe - L_3
416 edge located at 711.45 ($\sigma_{n-1}=0.15$) eV, thus affecting the results of the white-line intensity-ratio
417 calculation (Frost and Langenhorst, 2002; Langenhorst et al., 2013). In our case, we believe that

418 the influence of Al₂O₃ is minimal, if not negligible, since the Al₂O₃ concentration determined by
419 wavelength-dispersive X-ray spectroscopy is only 0.04 wt % (Pollastri et al., 2014).

420 The use of a different size and position of the integration window when applying the Fe-L_{2,3}
421 white-line intensity-ratio method for the study of different compounds may affect the results
422 depending on small differences in the ELNES shape (Tan et al., 2012). This effect may be larger
423 for a narrow window, and could be influenced by both the ELNES shape and the spectral noise.
424 The use of wider windows for the definition of the calibration curve through the standards
425 resulted in a reduced σ_{n-1} for the windows at 4 eV and 8 eV for each of the valence states (2+,
426 2.7+, and 3+) of the standards, and thus a better fit of the calibration curve. The data series
427 obtained with the 4 eV window showed slightly lower σ_{n-1} values, but a poor qualitative
428 correspondence to the spectral ELNES. The use of the universal curve returned a better
429 correspondence among the measured valence state and the ELNES shape. Despite the similarity
430 of average results obtained using the universal curve and the calibration curves derived from our
431 standards (with the 4 eV and 8 eV windows), in this specific case the use of a larger window (8
432 eV) probably yields less good results because of the possible presence of additional structures in
433 the low- and high-end tails of the considered edges generated by the influence of multiplet
434 splitting and crystal-field effects (Van Aken et al., 1998).

435 Our experiment did not provide any evidence for a correlation between L_{2,3} ratios and the sample
436 thickness (Fig. 6), consistent with the results of other studies (Wang et al., 2000; Schmid and
437 Mader, 2006; Loomer et al., 2007; Varela et al., 2009). However, we think that moving to higher
438 magnification and approaching an atomic scale investigation, the thickness may considerably
439 influence the results when applying the white-line intensity ratio, as noted by Tan et al. (2012).
440 The experimentally calculated average valence state on the surface of amosite fibers using XPS

441 ($\text{Fe}^{2.67+}$) is considerably higher than the one reported for the bulk of the same sample ($\text{Fe}^{2.08+}$)
442 (Pollastri et al., 2015). This discrepancy between the bulk and the surface, however, is not
443 unusual, since surfaces are typically more oxidized than the bulk (Fantauzzi et al., 2010).
444 Moreover, it reflects the presence of a hydrated Fe^{3+} -dominant “shell”, which we observed in
445 acSTEM images.

446 Our Dual-EELS results revealed an average valence state of $2.44+$ ($\sigma_{n-1}=0.31$) and $2.19+$ ($\sigma_{n-1}=0.05$)
447 for the studied ROIs in the short and long fibers, respectively, when using the universal
448 curve. These results (Fig. 3) are within the range defined by the bulk amosite analysis ($\text{Fe}^{2.08+}$;
449 Pollastri et al., 2015) and the data obtained by XPS for the surface and near-surface layers of
450 amosite ($\text{Fe}^{2.67+}$, Table 1). Short fibers, characterized by a larger surface area ($\approx 9.5 \text{ m}^2/\text{g}$;
451 Pollastri et al., 2014), are more likely to be heterogeneously covered by an oxidized “shell”,
452 consistent with our observations and the larger range of valence states that we have recorded
453 (Fig. 3 and supplementary S.5.1.). Long fibers, however, have a smaller surface area ($\approx 3.9 \text{ m}^2/\text{g}$;
454 Pollastri et al., 2014), and a thinner or less extended “shell”, resulting in a lower average valence
455 state and σ_{n-1} (Fig. 3 and supplementary S.5.2.), which is a consequence of the major
456 contribution of the nearly completely reduced bulk amosite. The Dual-EELS investigation allows
457 a researcher to effectively relate visual observations to the valence state of a certain ROI, thus
458 enabling a better description of the crystallochemical state and transformation of a fiber
459 boundary compared to that derived from XPS data.

460 The use of Mössbauer spectroscopy and XPS is fundamental and faster when the valence state of
461 an entire fiber population needs to be estimated. On the other hand, Dual-EELS provides unique
462 information on the high heterogeneity of the valence state, geometry and amorphous shell

463 coverage of the ROIs, and further allows for visualization of the crystal structure and boundaries
464 of the analyzed crystals.

465 We have shown a good correspondence between the valence state estimated through our
466 geometrical model and the valence state obtained through Dual-EELS and the associated
467 statistical errors ($\pm\sigma_{n-1}$): for short fibers, the valence state calculated from geometric
468 considerations (1st approach) was 2.49+ ($\sigma_{n-1}=0.44$), i.e. similar to the real valence state of 2.44
469 ($\sigma_{n-1}=0.31$), as determined by Dual-EELS (universal curve). For long fibers, the valence state
470 calculated from geometric considerations was 2.21+ ($\sigma_{n-1}=0.10$), again very similar to the one
471 determined experimentally (universal curve), which was equal to 2.19+ ($\sigma_{n-1}=0.05$). As observed
472 for the experimental values, the standard deviation calculated from the theoretical model for the
473 ROIs of short amosite fibers is larger than the one for the ROIs of long amosite fibers (Tab. 2
474 and 3).

475 The 2nd geometric approach resulted in a valence state of 2.35+ for short fibers and 2.15+ for
476 long fibers, both lower than the values obtained by the 1st approach and experimentally. Whereas
477 the long-fiber valence state is similar for the experimental results and the geometry-based
478 calculation (Difference of 0.04), there is a difference of 0.09 between the experimental valence
479 state and the calculated valence state in short fibers when using the 2nd geometric approach. This
480 discrepancy may be a consequence of the unknown depth of analysis of the XPS starting from
481 the surface, causing the impossibility to know the “shell” versus bulk ratio using this approach.

482

483 **Implications**

484 The use of acSTEM Dual-EELS on amosite asbestos fibers represents a powerful tool, which
485 allows for relating the morphometry, crystallochemistry, and valence state of a specific
486 investigated area. The use of different integration-window widths and positioning may be
487 dependent on the analyzed material, standards, and instrument, and thus it is important to test the
488 universal curve versus different calibration curves and verify their correspondence to the
489 observed ELNES shape. In this comparison, the coordination geometry and elements that may
490 affect the ELNES shape (e.g., Al³⁺) should be considered. We demonstrated that the Dual-EELS
491 results for amosite boundaries are in good agreement with the valence-state estimates obtained
492 using a simplified geometrical model, which was derived by combining the visual information
493 acquired by acSTEM and the determination of the bulk (Mössbauer spectroscopy) and
494 surface/near-surface (XPS) valence-state.

495 The determination of the Fe-valence state at the surface of mineral fibers is of pivotal importance
496 since Fe may act as electron donor in ROS generation within biological systems. Surface-bonded
497 Fe²⁺ has been shown to react faster with molecular oxygen than dissolved Fe (Schoonen et al.,
498 2006). This higher reaction speed can play a major role in disrupting the redox state of biological
499 materials (e.g., cells, tissues, and biofluids). This mechanism, therefore, needs to be explored in
500 greater detail, leading to a step-by-step description at the higher available spatial resolution,
501 since surfaces are highly heterogeneous with respect to their topography, physical state (e.g., zeta
502 potential) and chemical composition. This surface heterogeneity is poorly depicted using
503 methods, such as XPS, but acSTEM Dual-EELS can provide fundamental information in this
504 regard, which will help in understanding the overall processes that take place at the boundary
505 between a mineral and its surrounding environment.

506

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516

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632 **Figure captions**

633 **Fig. 1**

634 a) Micrograph collected in acSTEM bright-field mode showing an oriented amphibole-fiber boundary covered by an
635 irregular amorphous “shell”. The ROI width is parallel to [010], whereas the ROI length runs parallel to the c^* axis
636 of the fiber. b) Geometric reconstruction of a fiber boundary oriented with respect to the electron beam. The green
637 area is the amphibole bulk (Fe^{2+} dominant), whereas the red area is the “shell” (Fe^{3+} dominant). Both ROI length and
638 ROI width are 25 nm long. The image proportions correspond to a long fiber.

639

640 **Fig. 2**

641 Calibration curves defined by the three standards using different integration-window widths. The universal curve
642 (from Van Aken et al., 1998) is shown for reference (black continuous line). The red dashed line was determined by
643 using a 2 eV integration window (fit to the data points shown as red dots), the blue dotted line was determined by
644 using a 4 eV window (fit to the data shown as blue squares), and the green dash-dotted line was determined by using
645 a 8 eV window (fit to the data shown as green triangles). Error bars are σ_{n-1} .

646

647 **Fig. 3**

648 The green dashed vertical line (M.s.) represents the bulk valence state (Mössbauer spectroscopy – Pollastri, 2015).
649 The red dashed vertical line represents the near-surface valence state (XPS). The universal curve (dashed grey
650 curve) together with the $\Delta(\text{Fe}^{3+}/\Sigma\text{Fe})$ error range equal to ± 0.03 (grey dotted curves)(Van Aken, 2002) is shown for
651 reference. The black crosses represent L_3/L_2 ratio and valence state for ROIs of the short fibers, whereas the yellow
652 crosses represent the same data for ROIs of the long fibers. The blue squares and error bars (σ_{n-1}) show the average
653 L_3/L_2 ratio and valence state for the ROIs of short fibers and long fibers . The yellow dashed vertical line represents
654 the valence state obtained by the 1st geometrical model for long fibers (G.l.a.), whereas the orange dashed vertical
655 line shows the valence state of the short fibers (G.s.a.).

656

657 **Fig. 4**

658 Selected O–K edges corresponding to representative amosite ROIs for Fe^{2.12+} (green), Fe^{2.44+} (orange), and Fe^{2.97+}
659 (red). Valence states were assigned by using the universal curve. For clarity, feature labels are shown only in the
660 Fe^{2.97+} spectrum.

661

662 **Fig. 5**

663 Selected Fe–L_{2,3} edges (before arctangent background removal) corresponding to representative ROIs in amosite
664 with valence states of 2.12+ (green), 2.44+ (orange), and 2.97+ (red), respectively. Valence states were assigned by
665 using the universal curve. For clarity, L_{2,3} edge labels are shown only in the Fe^{2.97+} spectrum.

666

667 **Fig. 6**

668 Relationship between the white-line intensity ratio and the thickness in different amosite ROIs ($n = 66$). Each ROI is
669 represented by a square, the dotted line is the linear fit to the data points.

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XPS analysis

Sample	Fe(II)/Fe _{tot}	Fe(III)/Fe _{tot}	FeOOH/Fe _{tot}
Amosite	33 (3)	26 (4)	41 (4)

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3 **Table 1:** Concentration of Fe²⁺, Fe³⁺ and FeOOH, as determined by XPS, compared to the total iron present in the
4 analyzed region. The standard deviation (σ_{n-1}) is given in parentheses.

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Geometrical model parameters and estimated valence state.

	Width of ROI ($h_{\text{trapezoid}}$)	Thickness of ROI ($\frac{B+b}{2}$)	Average "Shell" thickness	Fe-valence state 1 st approach	Fe-valence state 2 nd approach
Short fibers	25 nm	16.25(7.69) nm	3.74(2.30) nm	2.49(0.44)	2.35(0.48)
Long fibers		25.30(9.77) nm	1.99(0.88) nm	2.21(0.10)	2.15(0.14)

26 **Table 2**

27 Summary of all geometric parameters used to estimate the valence state of amosite ROIs using two different
 28 approaches. All values in parentheses are σ_{n-1} .

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ROI characteristics summary

		Valence state								
		ROI thickness (nm)	White-line intensity ratio (Windows)			Geometrical model		(Pollastri, 2015)	Bulk (Mössbauer)	XPS
			Universal curve (2eV)	2 eV	4 eV	8 eV	1 st	2 nd		
Short amosite	Mean	16.25	2.44	2.33	2.47	2.57	2.49	2.35	2.08	2.67 (4)
	σ_{n-1}	7.69	0.31	0.38	0.31	0.25	0.44	0.48		
Long amosite	Mean	25.30	2.19	2.01	2.16	2.17	2.21	2.15	2.08	2.67 (4)
	σ_{n-1}	9.77	0.05	0.07	0.19	0.20	0.10	0.14		

41

42 **Tab. 3**

43 Valence states obtained using progressively larger integrating windows for short and long amosite fibers. For the

44 XPS measurement, the standard deviation (σ_{n-1}) is given in parenthesis.

Figure 1

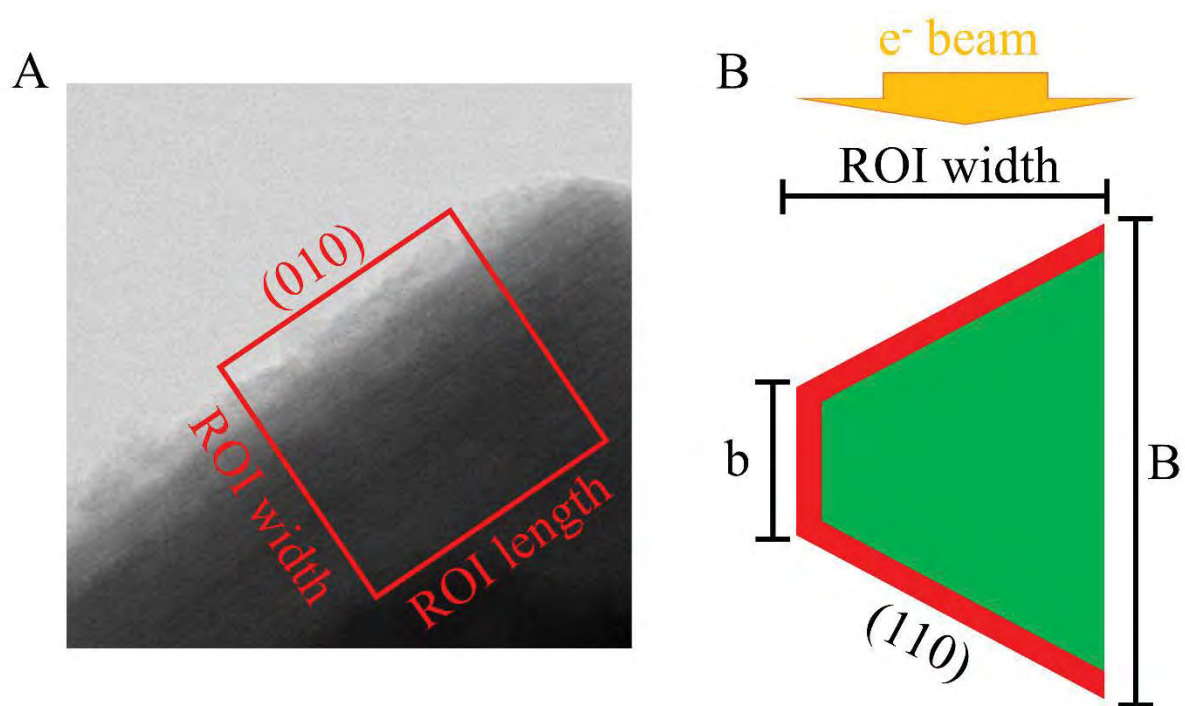


Figure 2

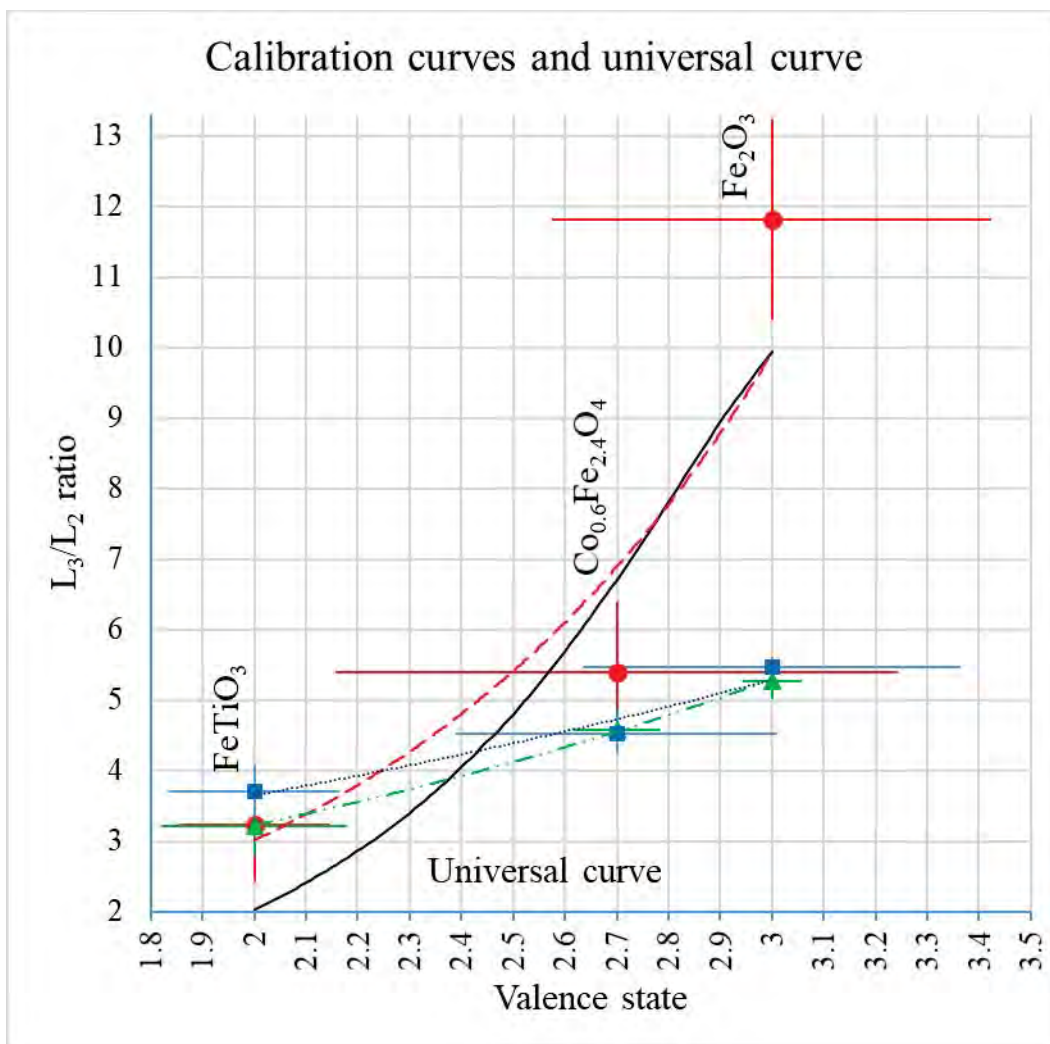


Figure 3

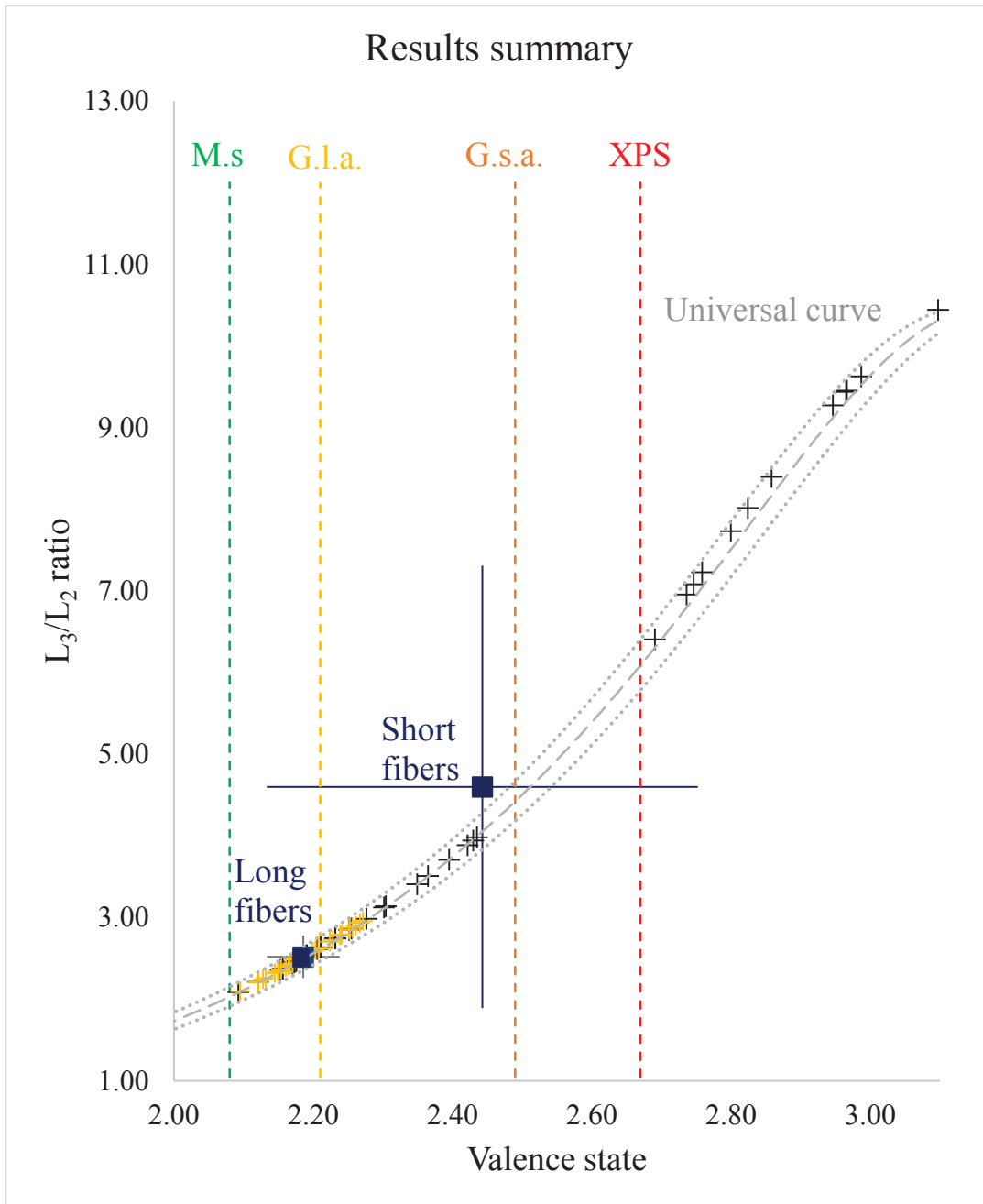


Figure 4

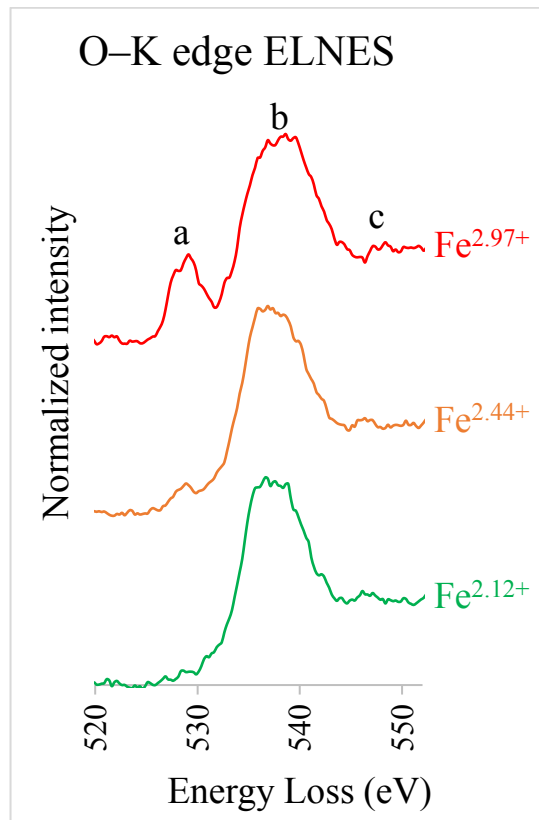


Figure 5

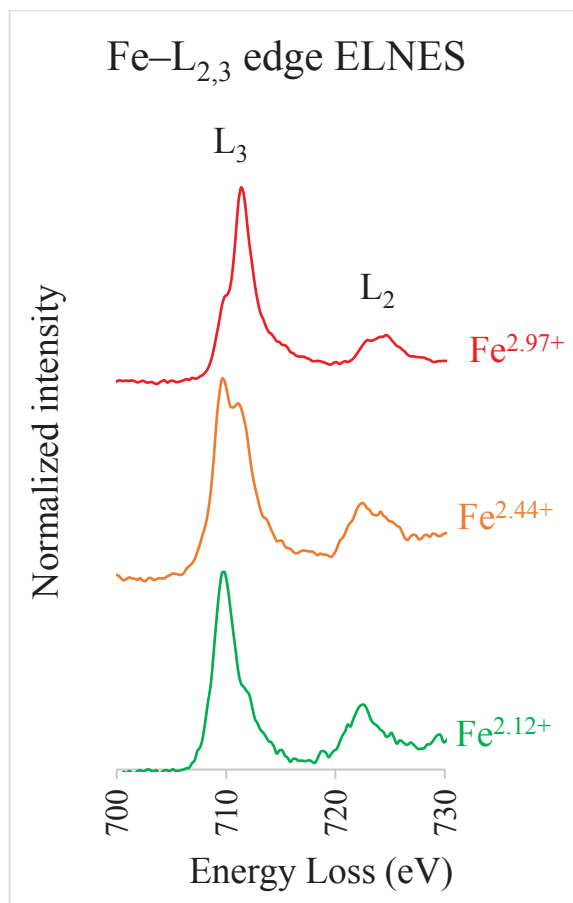


Figure 6

