

1 **Revision 1**

2 **From bone to fossil: a review of the diagenesis of bioapatite**

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10 **Abstract**

11 The preservation of bone or bioapatite over geologic time has presented paleobiologists with  
12 long-standing and formidable questions. Namely, to elucidate the mechanisms, processes, rates,  
13 and depositional conditions responsible for the formation of a fossil from a once living tissue.  
14 Approaches integrating geochemistry, mineralogy, physics, hydrology, sedimentology, and  
15 taphonomy have all furthered insights into fossilization, but several fundamental gaps still  
16 remain. Notably, our understanding of: (1) the timing of processes during diagenesis (e.g., early  
17 and/or late), (2) the rate of bioapatite transformation into thermodynamically more stable phases,  
18 (3) the controls imparted by depositional environment, and (4) the role of (micro)biology in  
19 determining the fate of bone bioapatite (dissolution or preservation) are limited. The versatility  
20 of fossil bioapatite to provide information on the biology of extinct vertebrates rests on our  
21 ability to identify and characterize the changes that occurred to bioapatite during diagenesis. This  
22 review will evaluate our current understanding of bioapatite diagenesis and fossilization,  
23 focusing on the biogeochemical transformations that occur during diagenesis to the mineral and

24 organic components of bone (excluding teeth and enamel), the analytical approaches applied to  
25 evaluate fossilization processes, and outline some suggestions for future promising directions.

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27 **Keywords:** fossilization, bioapatite, diagenesis, geobiology

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29 **Biologically precipitated apatite (bioapatite)**

30 Vertebrates, by definition, develop a mineralized internal skeleton composed of  
31 bioapatite that provides the animal with structural and mechanical support (e.g., Akkus et al.  
32 2004), a reservoir of ions to maintain acid-base homeostasis (Green and Kleeman 1991), and  
33 permits terrestrial locomotion. Bioapatite consists of an organic and inorganic fraction forming a  
34 composite material that provides the skeleton and bones with a degree of flexibility as well as  
35 strength (e.g., Alexander et al. 2012). The composition of the inorganic, or mineralized, fraction  
36 of bioapatite is a non-stoichiometric apatite phase most similar in structure and composition to  
37 hydroxylapatite, with additional minor elements incorporated in the lattice  $(\text{Na}_y (\text{Ca}, \text{Mg})_{10-x-y} [(\text{PO}_4)_{6-x-y} (\text{CO}_3)_{x+y}] (\text{OH})_{2-x})$  (Li and Pasteris 2014b). In a living organism, bioapatite of bone is  
38 in a dynamic state of equilibrium with the body, undergoing precipitation and dissolution over  
39 the lifetime of the animal (Green and Kleeman 1991). For example, bone provides the body with  
40 a reservoir of Na, Ca, P, Mg (e.g., Green and Kleeman 1991), as well as other important sorbed  
41 species, such as citrate (e.g., Dickens 1941; Hu et al. 2010). The composition of bioapatite in  
42 bone reflects vital processes occurring over the animal's lifetime. For paleobiologists, the use of  
43 isotopes to reconstruct past diet, climate, and ecology of extinct animals is enabled by the  
44 preservation of endogenous indicators of vital processes in the form of isotopes (e.g., Nd and Sr;

46 Tütken et al. 2011;  $\delta^{13}\text{C}$  from tooth enamel; Cerling et al. 1993;  $\delta^{18}\text{O}$ ; Kohn 1996; Suarez et al.  
47 2014).

48 At the macro- and micro-scale, the bioapatite found in vertebrate teeth (enamel) and  
49 bones is distinct. Tooth enamel has larger, well-ordered bioapatite crystallites that contain less  
50 carbonate and more fluorine compared to bones (Wopenka and Pasteris 2005). Additionally,  
51 enamel has a low organic content (<1 % by volume), which contrasts with bone (32-44 % by  
52 volume; Olszta et al. 2007). The presence of organics plays a critical role in diagenesis  
53 (discussed below).

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### 55 **From living tissue to fossil**

56 Once removed from an organism, bioapatite undergoes necrolysis, biostratinomy, and  
57 diagenesis, potentially transforming the original living tissue into fossil bioapatite phase(s) (Figs.  
58 1, 2). The process of diagenesis—the chemical, physical, and biological interactions that result in  
59 the transformation of an original compound—is divided into two broad intervals for describing  
60 fossilization: early and late. For bones, early diagenesis generally refers to the initial alteration of  
61 bone once introduced into a geochemical system, although there is some ambiguity regarding the  
62 timing of this period (Trueman et al. 2008a, b). Early diagenetic processes specific to bone  
63 include the removal of soft tissues (i.e. muscle and skin), degradation of collagen (abiotic and  
64 biotic), and initial chemical and structural changes to the mineralized component of bone,  
65 bioapatite, ultimately resulting in decomposition or potentially in preservation (e.g., Greenlee  
66 1996; Sponheimer and Lee-Thorp 1999). The removal of organic compounds, including  
67 collagen, from within in the bone provides a critical mechanism for opening the bone and  
68 bioapatite lattice to subsequent fluid movement (Fig. 2). Migration of fluid derived from the

69 surrounding environment facilitates the substitution of ions in bioapatite to form a  
70 thermodynamically more stable phase (e.g., Hinz and Kohn 2010). Late diagenetic alteration  
71 includes further structural and chemical modification to the apatite lattice, resulting in the  
72 formation of a new apatite phase, and potentially whole-scale replacement of the original  
73 bioapatite.

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#### 75 **Alteration to the mineralized fraction of bone**

76 Apatite was once referred to as “Nature’s trashcan,” an apt description given the extreme  
77 flexibility of the lattice for replacements at every site within the mineral structure (e.g., Pan and  
78 Fleet 2002). Trace metals including Fe, Mn, Sr, and Mg as well as rare earth elements (REE)  
79 may be incorporated in place of calcium at both the Ca(I) and Ca(II) sites (e.g., Trueman et al.  
80 2008a, b; Koenig et al. 2009; Herwartz et al. 2011). Protonation of the hydroxyl ion facilitates  
81 the incorporation of trace halogens like F<sup>-</sup> and Cl<sup>-</sup> forming fluorine- or chlorine-enriched phases.  
82 The incorporation of carbonate (CO<sub>3</sub><sup>2-</sup>) ions in place of phosphate (PO<sub>4</sub><sup>3-</sup>) or OH<sup>-</sup> readily occurs  
83 under a range of pH conditions (Berna et al. 2004) as well as *in vivo* (Bergstrom and Wallace  
84 1954; Green and Kleeman 1991; Rollin-Martinet et al. 2013). The flexibility of apatite for  
85 substitutions plays a critical role in the subsequent diagenesis of bone and preservation over  
86 geologic time (e.g., Trueman 1999; Berna et al. 2004; Keenan et al. 2015).

87 In fossils, the composition of the resulting apatite phase varies widely (e.g., Trueman  
88 1999; Goodwin et al. 2007). The vast majority of fossilized bone exists as fluorine and/or  
89 carbonate-enriched apatite phases in both archaeological (e.g., Berna et al. 2004) and  
90 paleontological (e.g., Sponheimer and Lee-Thorp 1999; Trueman 1999) materials. Modeled fluid  
91 saturation states with respect to selected apatite and phosphorus (P)-bearing mineral phases

92 provides a first approximation of the predicted fate of each mineral phase, and helps to explain  
93 the persistence of fossil bioapatite as altered phases (Fig. 3). Bone, closest compositionally to  
94 hydroxylapatite (HAP), is predicted to be unstable under low total P concentrations and under  
95 acidic to circumneutral pH (Fig. 3). If fluids have high total P, stability shifts towards HAP  
96 supersaturation across a wider range of pH conditions. If recrystallized to a phase approaching a  
97 stoichiometric end-member such as fluorapatite (FAP) or carbonated fluorapatite (CO<sub>3</sub>-FAP),  
98 stability shifts towards supersaturation, even under low total P and low pH conditions (Fig. 3).  
99 From purely a thermodynamic perspective, in this modern system inhabited by aquatic and semi-  
100 aquatic vertebrates, bone will only be preserved if altered to a different apatite phase, such as  
101 FAP or CO<sub>3</sub>-FAP. For teeth, larger crystallite sizes, reduced carbonate content, low collagen  
102 content, and the presence of F<sup>-</sup> in enamel *in vivo* results in a thermodynamically more robust  
103 material compared to bone (e.g., Wopenka and Pasteris 2005).

104         These predictions broadly match observed fossil bone chemistry where F enrichment is  
105 widely observed and bone is recrystallized to a new apatite phase. Additionally, the major and  
106 trace element composition of fossil bone is highly site-specific, and varies even within a single  
107 bone or between bones preserved at the same site (e.g., Trueman and Benton 1997; Suarez et al.  
108 2010). This variability reflects the intimate connection between fossil composition and site  
109 geochemistry, with recrystallization driven by mineral stabilities, dissolved ions in solution, site  
110 mineralogy, and sediment porosity. For example, in the modeled aqueous solution discussed  
111 above (Fig. 3), varying one dissolved constituent (P) drastically altered predicted saturation  
112 states. Integrating observations of conditions present in modern depositional environments can  
113 help to guide interpretations about diagenetic conditions present in the geologic past facilitating  
114 bone recrystallization.

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### **Alteration and degradation of collagen in bone**

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The association of organics, predominantly type I collagen, with the mineral fraction of bioapatite imparts bone with characteristic strength and a certain degree of flexibility in life (Olszta et al. 2007; Alexander et al. 2012). The process of bone biomineralization still eludes biologists and materials scientists, where the initial phases of formation are unclear (e.g., apatite nucleation and growth on collagen, or development from an amorphous precursor phase) (e.g., Olszta et al. 2007), in addition to the nanostructure (e.g., Alexander et al. 2012). Regardless of the factors controlling growth and mineralization of bone and the underlying nanostructure, the resulting composite material contains collagen fibers arrayed in characteristic association with the bioapatite crystallites (Fig. 2; Collins et al. 2002; Alexander et al. 2012). Collagen is intimately associated with crystallites in a parallel and staggered arrangement, resulting in a series of regularly spaced gaps or grooves (~ 67 nm) with bioapatite crystallites found occupying intrafibrillar, interfibrillar, and extrafibrillar regions relative to the collagen (e.g., Olszta et al. 2007; Alexander et al. 2012). The association of organics and mineral becomes important for understanding processes associated with the diagenesis of bone.

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After host death, bone is deposited in a natural environment outside the relative homeostasis experienced within a living vertebrate. Collagen is removed through autolytic (e.g., via thermal destabilization; Leikina et al. 2002) or biologic activity (Grupe 1995; Balzer et al. 1997; Collins et al. 2002; Jans et al. 2004), opening pore spaces in bone to the movement of fluids, dissolved ions, and microorganisms (Fig. 2). The combined effects of abiotic and biotic processes drive pore space opening and subsequent alteration of bioapatite crystallites. Type I collagen is a ubiquitous protein found not only in bone but also in the skin, tendon, and muscles

138 of vertebrates (Collins et al. 2002). While collagen is stable *in vivo*, if exposed to varying  
139 temperatures *in vitro*, collagen can begin to denature (Leikina et al. 2002). Destabilization due to  
140 relaxation of the triple helix structure opens the system to further decomposition. Exposure to  
141 aqueous solutions can result in swelling of collagen, a process used to explain fracturing  
142 observed in bones deposited in aqueous environments (Pfretzschner 2004).

143         The role of microorganisms in bone-associated collagen degradation has received some  
144 direct investigation (e.g., Grupe 1995; Balzer et al. 1997). However, the mechanisms by which  
145 microorganisms break down collagen are unclear. For example, it is unclear if enzymatic  
146 processes (i.e., release of collagenase enzymes), or physical modification exposing collagen to  
147 subsequent biochemical alteration (i.e., fungal hyphae penetration of bone), occur in a specific  
148 order (e.g., Nicholson 1996; Grupe and Turban-Just 1998). Microbes (*sensu stricto* “bacteria”  
149 and “fungi”) have been implicated in bone breakdown in the fields of archaeology and  
150 paleontology, where they are believed to actively scavenging the carbon and nitrogen-rich  
151 constituent amino acids forming the complex collagen molecule (Child 1995; Jans et al. 2004;  
152 Jans 2008). But, at present, limited direct testing through experimental approaches places  
153 significant ambiguity as to the precise role of microorganisms in bone collagen as well as bone  
154 mineral degradation. Additionally, the potential for site-specific processes to accelerate or retard  
155 (e.g., role of humics; Nicholson 1996) collagen decomposition presents another level of  
156 ambiguity. One possibility is the presence of microbial communities specializing in the  
157 production of collagenolytic proteases, forming the first line of attack on bone collagen  
158 (Watanabe 2004). Unraveling the timing and processes associated with collagen degradation,  
159 often invoked as the first step in diagenesis (Collins et al. 2002), is critical for estimating the  
160 preservation potential of bioapatite over geologic time.

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### Preservation of biomolecules

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One of the goals of a paleobiologist is to reconstruct the biology of an extinct organism,

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including the color of its skin or feathers (Vinther et al. 2010; Lindgren et al. 2012), diet

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(Varricchio 2001; Chin et al. 2003), thermophysiology (e.g., Barrick and Showers 1994) as well

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as the use of oxygen and carbon isotopes to source drinking water and diet (e.g., Koch 2007).

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Unfortunately, the processes of diagenesis and fossilization have the potential to alter an

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endogenous, biogenic signature, both in the form of soft tissues and chemical information held in

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the mineralized fraction of bone. Despite the recovery and successful sequencing of DNA

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extracted from archaeological remains, the upper-limit for DNA preservation is generally

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considered to be less than a million years for bacterial DNA (Willerslev and Cooper 2005), and

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~65 kyr for vertebrates (e.g., bison; Gilbert et al. 2004; Allentoft et al. 2012). Perhaps one of the

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most controversial and transformative studies, resulting in the development of a new field of

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paleobiology—molecular paleontology—was the discovery of endogenous biomolecules from

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fossil dinosaur bone preserved in sandstone (Schweitzer et al. 1997). Not only was the fossil

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material significantly older than previously believed to be able to host any original biogenic

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organics, but the specific molecules uncovered also indicated that the *Tyrannosaurus rex* was an

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actively reproducing female, providing biological insights never before deemed possible by

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paleobiologists. Further discovery of preserved original biomolecules in fossils from the Recent

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to the Cretaceous, from marine as well as terrestrial sediments, suggests that the process of

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fossilization may not completely destroy an original, biogenic signal (Lindgren et al. 2011;

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Schweitzer 2011). Despite the hotly contested results of this research (Buckley et al. 2008), they

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have held up to subsequent replication (Bern et al. 2009; Schweitzer et al. 2009) and inclusion of



184 additional bones and localities (Schweitzer et al. 2007; Lindgren et al. 2011), suggesting  
185 fossilization may not operate in a predictable manner at every site. One of the unifying themes  
186 that links exceptional preservation of biomolecules relates to inhibition of microbial degradation  
187 through physical and/or chemical controls (e.g., growth of secondary minerals in pore spaces,  
188 preventing recrystallization). Molecular paleontology will undoubtedly continue to  
189 fundamentally transform our understanding of process, rate, and duration of bioapatite diagenesis  
190 and the potential for preservation of endogenous biomolecules (see review by Schweitzer (2011)  
191 and references therein).

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### 193 **Analytical approaches to investigate fossils**

194 Fossils are used widely in paleobiology and archaeology to address a variety of questions  
195 related broadly to paleoclimate, paleoecology, taphonomic processes, and vital processes, to  
196 name a few. Driving the development and evolution of these questions are simultaneous  
197 advancements in analytical capabilities. The integration of newly emerging analytical techniques,  
198 singularly and in combination with long-established tools, has revolutionized our understanding  
199 of bone as a whole, from both compositional and diagenetic perspectives (e.g., Trueman and  
200 Benton 1997; Reiche et al. 2003; Koenig et al. 2009; Dumont et al. 2009) (Table 1).

201 Some of the earliest attempts to characterize the mineralogy and geochemistry of fossil  
202 bones integrated petrographic assessment (e.g., Hubert et al. 1996; Wings 2004), X-ray  
203 diffraction (XRD), electron microprobe (EMP) analyses (Person et al. 1995; Hubert et al. 1996),  
204 as well as bulk chemical measurements (e.g., Samoilov and Benjamini 1996). These as well as  
205 other early attempts to quantify the chemical composition of fossil bone provided some  
206 fundamental insights into composition as well as mechanisms involved in diagenesis. The

207 application of these analytical techniques to evaluate fossil composition is still widely used  
208 today, and provides a way to quantify, visualize, and spatially resolve elemental compositions  
209 (Fig. 4). Examining the petrography of fossils, including both the development of secondary  
210 phases (e.g., Wings 2004) and histological modification (e.g., Jans 2008), provides a visual  
211 means of assessing diagenesis.

212         The stable isotopic composition of bones are routinely used to evaluate diet (e.g., Koch  
213 2007) and thermophysiology (e.g., Barrick and Showers 1994) of extinct taxa, and more recently,  
214 growing appreciation for the potential overprinting by diagenetic conditions as led to an  
215 assessment of the integrity of bioapatite stable isotopes as an archive of an original, biogenic  
216 signature (e.g., Kohn and Law 2006). The  $\delta^{13}\text{C}$  and  $\delta^{18}\text{O}$  isotopic composition of bioapatite  
217 carbonate and  $\delta^{18}\text{O}$  of phosphate have the potential to preserve an original biogenic signature for  
218 reconstructing paleodiet (see Koch (2007) for an in-depth review). Additionally, the isotopic  
219 composition may be exchanged with pore fluids during diagenesis, resulting in a record of  
220 conditions during diagenesis rather than a biogenic signal (e.g., Wang and Cerling 1994; Kohn  
221 and Law 2006).

222         Subsequent diagenesis-specific studies capitalized on initial observations of rare earth  
223 element (REE) enrichment by using spatially-resolved REE patterns collected from laser ablation  
224 inductively coupled plasma mass spectrometry (LA-ICP-MS) were used to assess transport  
225 history and bone provenance (e.g., Trueman and Benton 1997; Metzger et al. 2004; Suarez et al.  
226 2007), rates of ion uptake and exchange (e.g., Millard and Hedges 1996; Kohn 2008; Kohn and  
227 Moses 2013), and mechanisms of uptake (e.g., Kohn 2008; Herwartz et al. 2011, 2013; Kohn and  
228 Moses 2013). The integration of novel tools for REE-based studies marked a major turning point  
229 in diagenesis-related studies. The ability to not only quantify but to also spatially-resolve the

230 chemical composition of fossil bone fundamentally transformed our understanding of the  
231 changes that occur to bone over geologic time. Diagenesis of bone was recognized to be a  
232 dynamic process, one controlled by a variety of bone-specific and environment-specific  
233 parameters, such as redox, groundwater composition, climate, and host sediment composition  
234 (e.g., Berna et al. 2004; Koenig et al. 2009; Suarez et al. 2010). The interplay of all of these  
235 components influences the composition of fossil bone, and the processes and mechanisms are  
236 gradually being resolved.

237         The integration of other analytical tools, particularly synchrotron-based techniques (Table  
238 1), has furthered our understanding of bone as a material and diagenesis. Several approaches,  
239 including micro X-ray fluorescence ( $\mu$ -XRF) and synchrotron rapid scanning X-ray fluorescence  
240 (SRS-XRF), allow for high-resolution mapping of elemental concentrations and distributions  
241 (e.g., Janssens et al. 1999; Dumont et al. 2009; Bergmann et al. 2010) in various fossil  
242 specimens. A related technique, proton induced X-ray emission (PIXE) (e.g., Goodwin et al.  
243 2007), provides an additional tool to spatially-resolve elemental composition as well as detailed  
244 tissue morphology and microstructure. The application of X-ray spectroscopic techniques, such  
245 as X-ray absorption near-edge structure (XANES) and extended X-ray fine-edge structure  
246 (EXAFS) spectroscopy, to modern and fossil bone, refined our understanding of underlying  
247 atomic-level configurations, bonding, and electron sharing within the apatite lattice for specific  
248 elements including Mn (Reiche and Chalmin 2009), and Ca and P (Keenan et al. 2015). Recent  
249 investigations into the atomic-level structure of fossils from a range of depositional settings and  
250 ages revealed that fossil apatite converged on a uniform lattice structural arrangement,  
251 suggesting both physical and chemical controls on fossil preservation at the atomic-level  
252 (Keenan et al. 2015). Decades of research on fossil bone geochemistry provided numerous

253 insights into the process of fossilization, and led to a major conclusion, namely that fossil  
254 geochemistry is a reflection of site-specific conditions, and argued for an overarching chemical  
255 control on preservation. However, a uniform lattice arrangement in geochemically distinct bones  
256 (Keenan et al. 2015) also suggests that there are physical constraints on preservation, and we  
257 cannot invoke chemistry alone as a driving mechanism of preservation.

258 Continued development of techniques, including Raman spectroscopy, led to the  
259 identification of highly carbonated bioapatite (e.g., Li and Pasteris 2014a, b), transforming our  
260 understanding of biomineralization. *In situ* characterization of bone undergoing repair (e.g.,  
261 Penel et al. 2005), age-related changes to bone (Gao et al. 2015), and the mineralization of bone  
262 (Crane et al. 2006), permit nanoscale assessment of bioapatite development and growth in  
263 vertebrates. These studies help to refine our understanding of the structural, chemical, and  
264 physical properties of bioapatite *in vivo*, which become critical when assessing diagenesis. For  
265 example, the potential for elevated carbonate in bioapatite in certain taxa, such as whales (Li and  
266 Pasteris 2014a, b), influences bioapatite crystallite size and solubility. The application of these  
267 newer tools in combination with more traditional analyses (i.e., petrography, XRD, FTIR,  
268 Raman, EMP) will continue to drive novel insights into fossilization processes.

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### 270 **Actualistic taphonomy and contributions from forensics**

271 The process of fossilization, starting with early diagenesis and persisting through late  
272 diagenesis, renders reconstruction of endogenous biogenic signatures or physical processes  
273 difficult, if not impossible. One approach to evaluating the chemical and physical processes  
274 occurring during early diagenesis is through actualistic taphonomy—controlled evaluation of  
275 decomposition, decay, and alteration of an organism following death. There are numerous

276 questions that may be addressed using an actualistic taphonomic approach. Questions range in  
277 terms of spatial or temporal scales as well as whether the objective is an understanding of  
278 physical and/or chemical processes (e.g., Behrensmeyer 1978; Weigelt 1989; Grupe 1995;  
279 Nicholson 1996, 1998). Additionally, the type of environment or climate under which  
280 experiments are conducted will also influence the physical and chemical processes. Evaluating  
281 changes to bone chemistry and structure in modern systems through actualistic taphonomy can  
282 be used to make inferences regarding processes occurring in the geologic past.

283         Some of the earliest studies incorporating actualistic taphonomy focused on large-scale,  
284 physical assessment of bone transport over time in various depositional systems. One of the first  
285 (paleo)biologists to evaluate decomposition in modern systems was Johannes Weigelt (1989). He  
286 observed a range of vertebrate taxa decomposing over time in a suite of depositional systems  
287 ranging from fluvial to estuarine environments. His observations provided a predictive  
288 framework for assessing the accumulation history of bones in the fossil record (Weigelt 1989).

289         Additional seminal work focusing on modern bone taphonomy is a multi-decadal study of  
290 bone alteration in Kenya by Behrensmeyer and colleagues (Behrensmeyer 1978; Behrensmeyer  
291 et al. 2000), which resulted the identification of discrete weathering stages. Unfortunately, long-  
292 term studies like this for other environments and climates are notably lacking (although see  
293 Nicholson 1996, 1998 for temperate terrestrial decomposition studies).

294         Perhaps the most in-depth and longest running research programs evaluating the  
295 alteration of bone in modern systems relate to forensics applications. Starting with whole  
296 carcasses of a range of animals, including humans (e.g., Carter et al. 2007), decomposition  
297 progresses through several well-characterized stages until skeletonization occurs, exposing bones  
298 to ambient physiochemical conditions. For forensics, bones and DNA preserved within bones are

299 frequently the only materials available to identify the remains (e.g., Mundorff and Davoren  
300 2014). A rapidly evolving area in forensics research is developing a way to use microbial  
301 ecology associated with the exposed and decomposing bone as a marker of postmortem interval  
302 (PMI) (e.g., Metcalf et al. 2013; Damann et al. 2015). Preliminary results from these studies  
303 suggest that microbial community structure associated with bone decomposition changes during  
304 each stage of decomposition, culminating in microorganisms largely derived from the soil  
305 (Damann et al. 2015). These results provide an important first step in understanding the  
306 (micro)biological controls on bone diagenesis, although the target substrate (i.e. organic or  
307 mineral) is unknown.

308 Surprisingly, a large amount of research on the role of biology in decomposing bone  
309 comes from studies of whale falls (e.g., Goffredi et al. 2005; Goffredi and Orphan 2010). The  
310 introduction of nutrient-rich reservoirs into an otherwise nutrient starved system stimulates rapid  
311 vertebrate, invertebrate, and microbial responses, and results in a long-lived ‘hot spot’ for  
312 benthic organisms, largely invertebrate and microbial communities (e.g., Goffredi and Orphan  
313 2010). In whale falls, invertebrate polychaete worms (*Osedax*) have evolved a tight  
314 (endo)symbiotic relationship with intracellular microbes that aid with the physical and chemical  
315 breakdown of bone, targeting collagen-derived proteins and cholesterol (Goffredi et al. 2005).  
316 The mm-cm sized holes cause by the activity of boring polychaetes opens the bone to further  
317 utilization occurs by bacteria and fungi derived from the environment.

318 With improved analytical techniques and capabilities, questions relating more specifically  
319 to the chemical changes that occur to bone during diagenesis are now possible, and stand as the  
320 next step for actualistic taphonomy. For example, even a basic question like, how long can bone  
321 survive in a natural environment, is far from understood. This lack of knowledge is not trivial,

322 particularly for trying to understand the preservation potential of bioapatite over geologic time  
323 and biases in the fossil record. Recent experimental work assessing the timing of early diagenesis  
324 in bones buried in a wetland and simulated wetland conditions revealed chemical and structural  
325 changes to bone within weeks to months of exposure to aggressive environmental fluids and  
326 exogenous soil microorganisms (Keenan and Engel *in preparation*). Further experimental work  
327 focused on characterizing the role of biology in early transformations of bone in a range of  
328 depositional settings are warranted. In particular, combining geochemical tools with microbial  
329 ecology will provide novel insights into early diagenesis (Table 2).

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### 331 **Implications: outlook and future directions**

332 Despite the incredible progress made towards evaluating the composition, structure, and  
333 mechanisms of preservation of bone (Table 1), there are still significant gaps in our  
334 understanding of the process of fossilization. Based on rates of uptake and exchange,  
335 fossilization likely occurs on timescales ranging from thousands to 10's of thousands of years  
336 (e.g., Millard and Hedges 1996; Kohn and Law 2006), although recently transformations of bone  
337 during the early diagenetic period immediately following host death suggest changes may occur  
338 even earlier (Keenan et al. *in preparation*). The role of site-specific conditions in controlling  
339 apatite diagenesis is somewhat understood, including important roles played by pH (e.g., Berna  
340 et al. 2004; Fig. 3), redox (e.g., Suarez et al. 2010), and sediment porosity, but the boundary  
341 conditions are not well-defined. Perhaps most importantly, the role of biology in fossilization  
342 processes is not well understood. We know that (micro)biology can physically and chemically  
343 alter bone (e.g., Child 1995; Jans 2008), but exact mechanisms, timing, rates, and ways in which  
344 biology alters local (micro-scale) geochemistry are unknown. Additional unknowns that

345 currently stand at the fore-front of diagenesis-related research include: the degree of the primary  
346 signal preserved in recrystallized bone, particularly with respect to isotopes, and a better  
347 understanding of the nano-scale (and atomic-scale) information preserved within bioapatite, both  
348 modern and fossil.

349         The introduction of a carcass in both terrestrial and aquatic systems provides a significant  
350 input of nutrients, particularly carbon and nitrogen, stimulating microbial growth (e.g., Carter et  
351 al. 2007; Cobaugh et al. 2015). Progress in evaluating the changes to soil microbial communities  
352 associated with animal decomposition promises to provide insights into biogeochemical cycling  
353 of nutrients. The role of microbes in the physical and chemical breakdown of bioapatite in  
354 marine and terrestrial systems is vastly understudied. For example, it is unclear if destabilization  
355 of the apatite lattice and bone is driven by organic (collagen) degradation or if certain microbial  
356 communities actively target apatite, leading to mineral breakdown. With the advent of affordable  
357 and accessible molecular techniques (e.g., 16S rRNA-based sequencing of the community),  
358 evaluating the role of microbes in bone decomposition (or preservation) is an achievable goal.

359         As technological innovations continue to drive research, the future of unraveling  
360 fossilization and diagenesis processes sit at the convergence of novel analytical approaches  
361 through unusual collaborations. Paleobiology is poised for transdisciplinary collaborative  
362 research, bridging disciplines as seemingly disparate as physics and geomicrobiology. Only by  
363 approaching a long-held question in paleontology from different and unconventional  
364 perspectives will we continue to shed light on the ‘black-box’ of the fossilization of bone. The  
365 versatility of apatite in living tissue, and ultimately in fossils, provides an invaluable tool for  
366 understanding extinct life and biotic and climatic changes over geologic time.

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368

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## Figure Legends

378 **Figure 1:** Schematic view of diagenesis from the life to death of an animal. **(a-b)** Following the  
379 death of an animal, such as the alligator illustrated here, the bones may be deposited within the  
380 same system occupied in life. **(c)** The activity of scavengers, microorganisms, and physical  
381 processes may remove some of the bones resulting in a fragmented and incomplete fossil record.  
382 Burial and diagenesis, which transform the original bone into fossil apatite, enhance preservation  
383 potential. **(d)** Subsequent erosion of overlaying sediments may bring the fossilized bone back to  
384 the surface. Each of these stages of diagenesis results in physical and chemical modification of  
385 the original bioapatite (from Keenan 2014).

386

387 **Figure 2:** Schematic view of diagenesis of bioapatite. **(a)** *In vivo*, bioapatite consists of  
388 interlayered mineral and organic phases. **(b)** Following the deposition of a bone in an  
389 environmental system, the degradation of collagen (autolytic or biologic) opens pore spaces to  
390 the movement of fluids carrying dissolved ions. **(c)** Substitution of elements in the bioapatite  
391 lattice results in the formation of secondary mineral phases, with reduced porosity, and increased  
392 crystallite size (from Keenan 2014; modified after Trueman and Tuross 2002).

393

394 **Figure 3:** Representative mineral stabilities ( $K_{sp}$ ) for apatites and vivianite in a natural  
395 environment across a range of pH conditions at 25°C. Using water chemistry from a fluvial  
396 system in Louisiana with high total dissolved solids, models of predicted saturation states with  
397 respect to selected mineral phases were developed under two end-member conditions: low (0.6  
398  $\mu\text{mol/L}$ ) and high (48.4  $\mu\text{mol/L}$ ) total phosphorus concentrations. Models were developed using  
399 PHREEQC-I (Appelo and Postma, 2005). Values that plot within the region marked

400 'supersaturation' indicate that solution chemistry is predicted to be supersaturated with respect to  
401 the mineral phase (i.e., mineral phase is stable or actively precipitating), and values within  
402 'undersaturation' indicates mineral phases are predicted to dissolve. HAP refers to  
403 hydroxylapatite; FAP is fluorapatite; CO<sub>3</sub>-FAP is carbonated fluorapatite.

404

405 **Figure 4:** Electron microprobe (EMP) false-color and mixed element maps for a dinosaur fossil  
406 (Hell Creek Formation, Montana; HCDO03). **(a)** Backscatter electron image of the bone held  
407 within a sandstone matrix. The bone is more apparent in the false-color maps. **(b)** False-color  
408 element map of phosphorus. Greater color intensity corresponds to elevated elemental  
409 concentrations. **(c)** False-color element map of iron (as Fe<sup>2+</sup>) distribution in the bone and  
410 sediment. **(d)** False-color map of strontium distribution within the bone and adjacent sediment.  
411 **(e)** Mixed element map of P, Sr, Fe, and F in bone and sediment. Some compositional grading is  
412 evident in the bone fragments with a zone of P depletion following structural features.

413

## Tables

414 **Table 1:** Summary of some of the analytical methods and tools used to investigate physical  
 415 and/or chemical properties of bone, key results for each method, and selected publications. This  
 416 table is not exhaustive, but rather touches on a wide range of techniques currently in use.

Analytical Method or Tool	Results of analyses	Resolution	Selected Publications
X-ray absorption near-edge structure spectroscopy (XANES)	Atomic-level composition; arrangement of bonds; electron sharing	Angstrom	Reiche and Chalmin (2008); Keenan et al. (2015)
Synchrotron rapid scanning X-ray fluorescence (SRS-XRF)	Spatially-resolved chemical composition	Angstrom	Janssens et al., 1999; Dumont et al. (2009); Bergmann et al. (2010)
Proton induced X-ray emission (PIXE)	Elemental composition; spatially-resolved chemical composition through mapping	Angstrom	Reiche et al. (2003); Goodwin et al. (2007); Bradley et al. (2010)
Extended X-ray fine structure spectroscopy (EXAFS)	Atomic-level composition; arrangement of bonds; electron sharing	Angstrom	Peters et al. (2000)
Fourier-transform infrared spectroscopy (FTIR)	Vibrational modes of constituent compounds; organics; surface mapping; proportion of carbonate species; crystallinity	Micron	Sponheimer and Lee-Thorp (1999); Puc�at et al. (2004); Keenan et al. (2015)
Raman spectroscopy	Vibrational modes of constituent compounds; surface visualization; mapping; organics; quantify carbonate content and species	Micron	Penel et al. (2005); Crane et al. (2006); Li and Pasteris (2014a,b)
Electron microprobe (EMP) analyses	Elemental composition (quantitative and qualitative); visualization of surface; elemental mapping	Micron	Greenlee (1996); Hubert et al. (1996); Keenan et al. (2015)
Transmission electron microscopy (TEM)	Size and structure of crystallites	Micron	Reiche et al. (2003)
Scanning electron microscopy (SEM)	Surface morphology; elemental composition when combined with energy dispersive X-ray	Micron	Reiche et al. (2003)
Atomic-force microscopy (AFM)	Surface morphology; material properties, including strength	Nanometer	Gao et al. (2015)
Histology	Macroscale morphology	Nanometer	T�tken et al. (2004); Straight et al. (2009)
Computed tomography (CT)	Physical structure	Nanometer to micrometer	Straight et al. (2009)
Thermogravimetric analyses (TGA)	Weight loss informs water, organic, carbonate content		Mkukuma et al. (2004); Keenan et al. (2015)

X-ray diffraction (XRD)	Mineralogy	Person et al. (1995); Peters et al. (2000); Piga et al. (2009); Keenan et al. (2015)
X-ray florescence (XRF)	Elemental composition (quantitative)	Piga et al. (2009)
Laser ablation inductively coupled plasma mass spectrometry (LA-ICP-MS)	Elemental composition (bulk or spatially resolved); trace element composition (e.g., REE)	Trueman et al. (2008a); Koenig et al. (2009); Suarez et al. (2010); Herwartz et al. (2011, 2013)
Nuclear magnetic resonance (NMR)	Bonding and structure of Ca-bearing phases	Laurencin and Smith (2013)
Stable isotope geochemistry (variety of techniques)	Insight into paleodiet, paleoclimate, paleoecology	Cerling et al. (1993); Barrick and Showers (1994); Wang and Cerling (1994); Kohn (1996); Tütken et al. (2004); Kohn and Law (2006); Koch (2007); Tütken et al. (2011); Suarez et al. (2014)
<b>Molecular Methods</b>		
Microbial ecology, community composition (DNA-based)	Genetic ID of microbes (bacteria, fungi, archaea) associated with bone diagenesis	Reeb et al. (2011); Damann et al. (2015)*associated with bone, role in diagenesis not demonstrated
Microbial ecology, community functioning (RNA-based)	Functional insights into metabolic processes occurring (e.g., P-mineralization, collagen degradation)	<i>none</i>

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418

419 **Table 2:** Timeline of key recent advances and suggested future directions in bone diagenesis  
420 related research.

**Timeline of Recent Major Advances and Suggested Future Advances**

*1990's*

Fossil bone is chemically altered  
Enrichment of F, trace elements compared to modern  
Largely bulk analysis-based approaches using XRD, XRF, etc.  
Crystallite-scale characterization using FTIR, Raman  
Biology, specifically microbiology, plays a role in diagenesis of bone

*2000's*

Fossil bone is chemically zoned, reflecting diagenetic processes  
Application of synchrotron-based techniques takes off  
REE chemistry a major field in paleontology  
Field of 'molecular paleontology' begins to emerge

*2010-15*

Continued application of novel tools (e.g., synchrotron-based)  
Some application of molecular techniques (e.g., Reeb et al., 2011)  
Continued 'molecular paleontology' (e.g., Schweitzer et al., 2014)

*2015-onwards*

Microbial role in bone alteration (from molecular perspectives: community  
function and composition)  
Integrate conceptual models of bone stability with experimental data  
Combined analytical techniques to address element- or process-specific  
questions (e.g., what is the dominant phase of Fe in bone?)  
Transdisciplinary collaborations

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Figure 1

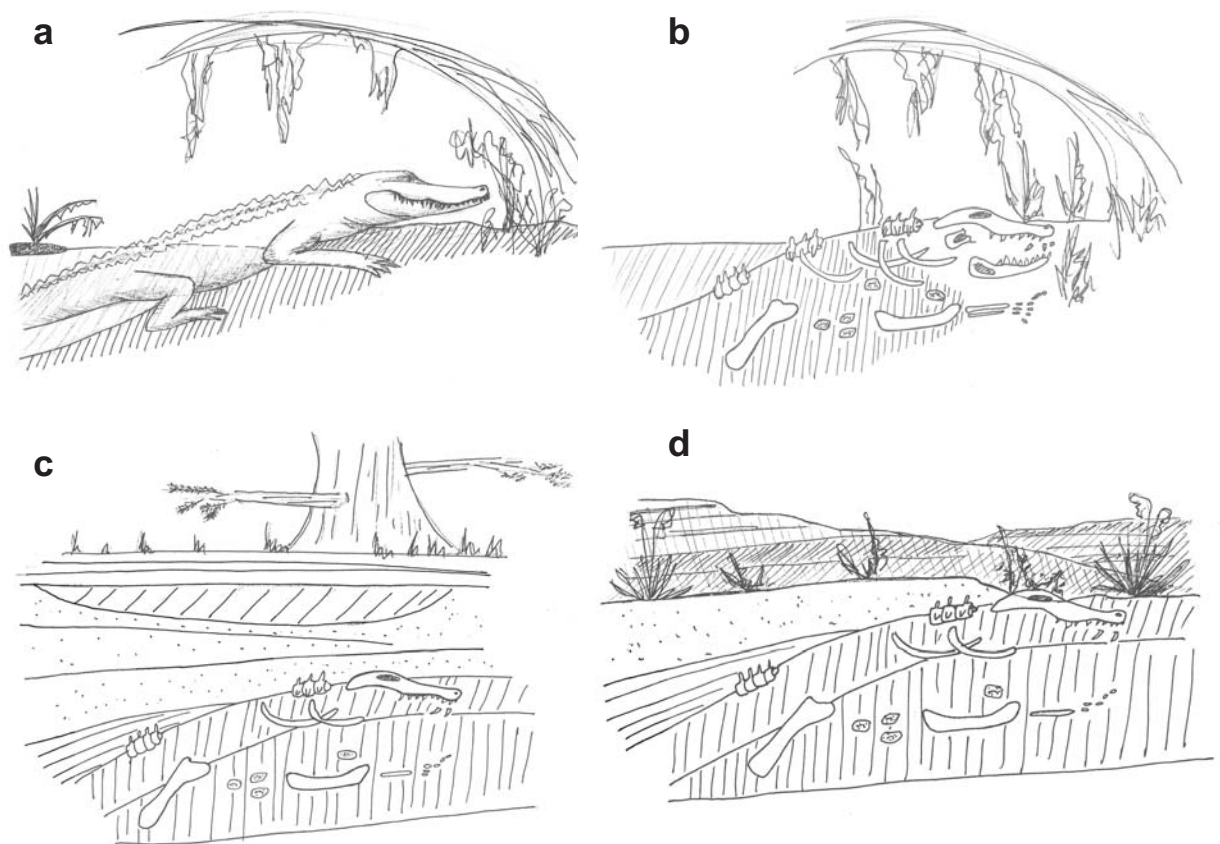


Figure 2

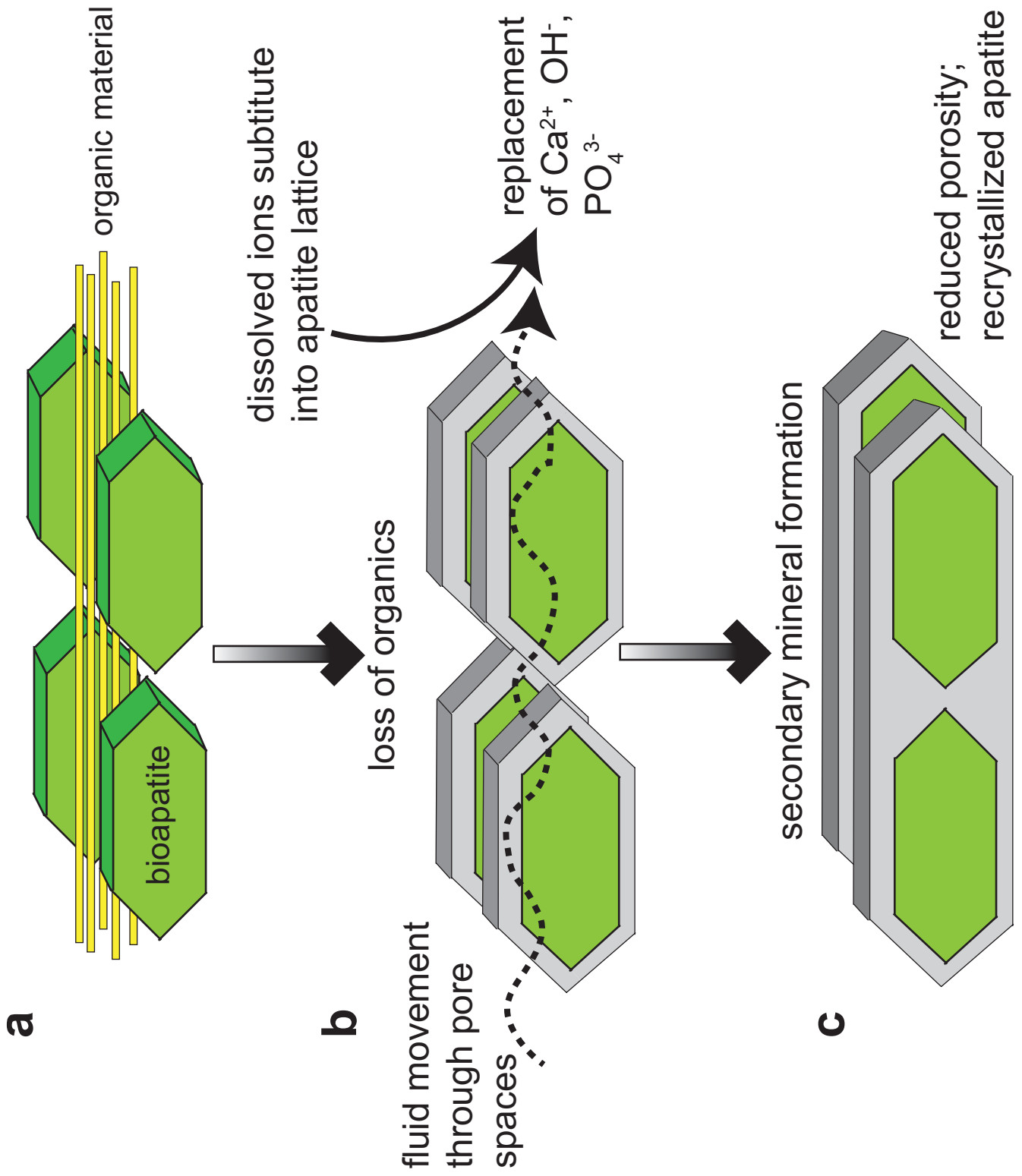


Figure 3

