Revision 3

# **1** A New EPMA Method for Fast Trace Element Analysis in Simple Matrices

2 John J. Donovan<sup>1</sup>, Jared W. Singer<sup>2</sup> and John T. Armstrong<sup>3</sup>

3

<sup>1.</sup> CAMCOR, University of Oregon, Eugene, OR, 97403

<sup>2</sup> Rensselaer Polytechnic Institute, Troy, New York 12180

6 <sup>3.</sup> Carnegie Institution for Science, Geophysical Lab, Washington, DC, NW, 20015-1305

- 7
- 8

#### Abstract

9 It is well known that trace element sensitivity in electron probe micro analysis (EPMA) is limited 10 by intrinsic random variation in the x-ray continuum background and weak signals at low 11 The continuum portion of the background is produced by deceleration of the concentrations. 12 electron beam by the Coulombic field of the specimen atoms. In addition to the continuum, the 13 background also includes interferences from secondary emission lines, "holes" in the continuum 14 from secondary Bragg diffraction, non-linear curvature of the wavelength dispersive 15 spectrometer (WDS) continuum and other background artifacts. Typically, the background must 16 be characterized with sufficient precision (along with the peak intensity of the emission line of 17 interest, to obtain the net intensity for subsequent quantification), in order to attain reasonable 18 accuracy for quantification of the elements of interest. Traditionally we characterize these 19 background intensities by measuring on either side of the emission line and interpolate the 20 intensity underneath the peak to obtain the net intensity. Instead, by applying the mean atomic 21 number (MAN) background calibration curve method proposed in this paper for the background 22 intensity correction, such background measurement artifacts are avoided through identification 23 of outliers within a set of standards. We divide the analytical uncertainty of the MAN

Revision 3

24 background calibration between precision errors and accuracy errors. The precision errors of the 25 MAN background calibration are smaller than direct background measurement, if the mean 26 atomic number of the sample matrix is precisely known. For a simple matrix and a suitable 27 blank standard, a high precision blank correction can offset the accuracy component of the MAN 28 uncertainty. Use of the blank-corrected-MAN background calibration can further improve our 29 measurement precision for trace elements compared to traditional off-peak measurements 30 because the background determination is not limited by continuum x-ray counting statistics. For 31 trace element mapping of a simple matrix, the background variance due to major element 32 heterogeneity is exceedingly small and high-precision 2-dimensional background correction is 33 possible.

34

35

#### Introduction

36 Traditionally electron probe micro analysis (EPMA) has relied upon precise characterization of 37 the continuum intensities adjacent to the emission line of interest for determination of the 38 background under the peak, through interpolation of the off-peak intensities. Recent 39 improvements including new hardware designs with large area Bragg crystals, new software 40 methods implementing exponential and polynomial interpolations to more accurately 41 characterize the curvature of the background, and aggregated spectrometer signals to improve 42 sensitivity, have enabled the EPMA to attain detection limits as low as 2 to 3 PPM in some 43 materials (Donovan et al., 2011).

44

The traditional off-peak method requires careful selection of background positions to avoid spectral interferences from secondary emission lines near the off-peak intensity positions, and

47 various continuum artifacts (Kato and Suzuki, 2014). For trace element characterization, the 48 traditional off-peak method generally requires careful study of a wide swath of the emitted 49 continuum spectrum by means of high precision WDS scans, which can be quite time 50 consuming. Such spectrometer scanning techniques are particularly time consuming when WDS 51 scans are performed with a precision similar to subsequent trace quantification measurements, in 52 order to avoid secondary emission lines from other elements when selecting off-peak 53 measurement positions. Unfortunately, even high sensitivity and time consuming wavelength 54 scans may not suffice for some samples where the inhomogeneity of major and/or minor 55 elements may introduce unanticipated off-peak interferences on the pre-specified off-peak 56 positions, which may result in significant inaccuracies in the background determination 57 underneath the peak of interest.

58

Recent work on a new multi-point background method where multiple high precision off-peak measurements (essentially a sparse high sensitivity wavelength scan combined with a typical quantitative peak intensity measurements), for subsequent "iterative" determination of the optimum background positions based on statistical considerations, has been developed for complex matrices where such off-peak interferences are variable in complex materials such as monazite (Allaz et al., in preparation)

65

One may also employ time saving techniques such as only measuring the off-peak intensities every N points, sometimes referred to as Nth point backgrounds which is unfortunately inadequate for many trace element applications where the matrix composition (and hence background) varies significantly. But in summary, all these trace element techniques require

careful interpolation from off-peak intensity measurements to obtain the background intensity under the peak. If only we could directly measure the background intensity under the peak and avoid these interpolation, interference and other off-peak measurement artifacts entirely.

73

74 In fact, it is possible to measure the background directly beneath the peak without interpolation 75 using the MAN background method. This can also be accomplished either using a blank 76 correction by itself (in the case of simple matrices), or even better, using both a blank correction 77 and the MAN background method described in this paper (in order to deal with differences in 78 composition between the blank standard and the unknown sample). An ideal blank standard has 79 an identical matrix to unknown samples, but is free of trace element contamination. The blank 80 correction is not totally free of spectral artifacts, however the spectral artifacts are similar 81 between unknown and blank.

82

83 In this paper we will demonstrate that, at least for materials with a relatively simple matrix such 84 as SiO<sub>2</sub>, TiO<sub>2</sub> or CaMgSi<sub>2</sub>O<sub>6</sub> or ZrSiO<sub>4</sub> where one may obtain suitably well characterized 85 standards for use in the so called "blank correction", we can obtain comparable trace element 86 accuracy to traditional off-peak methods and improved precision in less time than traditional off-87 peak methods. The MAN background technique was originally intended to apply only to major 88 and minor element characterization (Donovan and Tingle, 1996), but as we will demonstrate, the 89 MAN background method can also be utilized to obtain high precision trace element 90 characterization without off-peak measurements, by simply measuring the on-peak intensities in 91 a number of standard materials that do not contain the element of interest. Influence from 92 standard contaminants and/or spectral artifacts can be observed in the MAN regression curve and

93	may be subsequently removed as outliers within the set of MAN standards. Trace element
94	accuracy (typically the MAN background method is limited to around 100 to 200 PPM in most
95	silicates and oxides if the blank correction is not utilized), is assured by use of the "blank
96	correction" technique, so that one may obtain similar accuracy with improved precision, and in
97	approximately $\frac{1}{2}$ the acquisition time of off-peak trace element measurements. This MAN
98	background method applies not only to point analyses, but also to quantitative x-ray mapping,
99	where the time savings are particularly significant, and improvements in precision are especially
100	noticeable.
101	
102	
103	Experimental methods
104	Data for the CaMgSi <sub>2</sub> O <sub>6</sub> (diopside) off-peak and MAN comparison were acquired on a Cameca
105	SX51 electron microprobe equipped with 4 tunable wavelength dispersive spectrometers using
106	Probe for EPMA from Probe Software (probesoftware.com). Operating conditions were 40
107	degrees takeoff angle, beam energy of 20 keV, beam current of 20 nA and the beam diameter
108	was 5 microns. Elements were acquired using analyzing crystals LIF for Fe K $\alpha$ , Ti K $\alpha$ , Mn K $\alpha$ ,
109	Ni K $\alpha$ , K K $\alpha$ , and TAP for Na K $\alpha$ and Al K $\alpha$ . The standards were TiO2 synthetic for Ti K $\alpha$ ,
110	MnO synthetic for Mn Ka, NiO synthetic for Ni Ka, Labradorite (Lake Co.) for Na Ka,
111	Orthoclase MAD-10 for K Ka, Al Ka, and Magnetite U.C. #3380 for Fe Ka. The on-peak
112	counting time was 20 seconds and the off peak counting time was also 20 seconds (in total) for
113	all elements. The off peak correction method was linear interpolation for all elements and the
114	MAN background intensity data was calibrated and continuum absorption corrected for K K $\alpha$ ,
115	Fe K $\alpha$ , Ti K $\alpha$ , Na K $\alpha$ , Al K $\alpha$ , Mn K $\alpha$ , Ni K $\alpha$ and all intensities were corrected for dead time.

Standard intensities were corrected for standard drift over time and interference corrections were applied to Fe for interference by Mn (Donovan et al., 1993) and a CaMgSi<sub>2</sub>O<sub>6</sub> matrix was specified by difference. The matrix correction method was  $\phi(\rho z)$  and the mass absorption coefficients dataset was Henke (LBL, 1985). The  $\phi(\rho z)$  method algorithm utilized was Armstrong/Love Scott.

121

122 Data for the SiO<sub>2</sub> point analyses and quantitative x-ray maps were acquired on a Cameca SX100 123 electron microprobe equipped with 5 tunable wavelength dispersive spectrometers using Probe 124 for EPMA, Probe Image for x-ray map acquisitions and re-processed using CalcImage software 125 also from Probe Software. Operating conditions were 40 degrees takeoff angle, beam energy of 126 15 keV, beam current was 100 nA, and the beam diameter was 10 microns for the point analysis 127 and 1 um for the x-ray maps. Elements were acquired using analyzing crystals LLIF for Fe K $\alpha$ , 128 LPET for Ti Ka, PET for K Ka, and TAP for Al Ka, Na Ka. The standards were TiO2 synthetic 129 for Ti Ka, Nepheline for Na Ka, and Orthoclase MAD-10 for K Ka, Al Ka, and Magnetite U.C. 130 #3380 for Fe Kα. The off- peak correction method was linear interpolation for Fe Kα, K Kα, Na 131 K $\alpha$ , average for Al K $\alpha$ , and exponential for Ti K $\alpha$  (generally one should use a polynomial or 132 exponential interpolation for Al K $\alpha$  in SiO<sub>2</sub> because the Al K $\alpha$  peak is on the tail of the Si K $\alpha$ 133 line, but the blank correction deals with this issue effectively, so the fit method is a moot point in 134 this case). Unknown and standard intensities were corrected for dead time. Oxygen was 135 calculated by cation stoichiometry and included in the matrix correction. Si was calculated by 136 difference from 100%. The matrix correction method was  $\phi(\rho z)$  by Armstrong/Love Scott. The 137 SiO<sub>2</sub> blank by laser ablation ICP-MS gave 1.4 PPM Ti and AA gave 15 PPM Al and 6 PPM Fe.

139	Data for the ZrSiO <sub>4</sub> point analyses were obtained using a synthetic zircon from John Hanchar
140	(Memorial University), and quantitative x-ray maps using SIMS Oxygen standard AS3, all
141	acquired on a Cameca SX100 electron microprobe equipped with 5 tunable wavelength
142	dispersive spectrometers using Probe for EPMA for the standard intensities, Probe Image for x-
143	ray map acquisition and re-processed using CalcImage software also from Probe Software.
144	Operating conditions were 40 degrees takeoff angle, beam energy of 20 keV, beam current was
145	100 nA, and the beam diameter was 5 microns for the point analyses and 1 micron for the x-ray
146	map acquisitions. Elements were acquired using analyzing crystals PET for Th M $\alpha$ , Y L $\alpha$ , LPET
147	for U Ma, P Ka, PET for Th Ma, Y La, and TAP for Hf Ma. The standards were UO <sub>2</sub> for U
148	M $\alpha$ , ThSiO <sub>4</sub> (Thorite) for Th M $\alpha$ , HfSiO <sub>4</sub> (Hafnon) for Hf M $\alpha$ , and YPO <sub>4</sub> (USNM 168499) for
149	P K $\alpha$ and Y L $\alpha$ . The on-peak and off-peak counting time for point analyses was 640 seconds for
150	all elements. The off-peak correction method was linear for Th M $\alpha$ , U M $\alpha$ , Y L $\alpha$ , and
151	exponential for P K $\alpha$ and Hf M $\alpha$ . Unknown and standard intensities were corrected for dead
152	time. Standard intensities were corrected for standard drift over time. Point analysis results are
153	the average of 5 points. Si, Zr and O were specified for the matrix correction. The quantitative
154	blank correction was utilized based on a synthetic zircon from Lynn Boatner (Oak Ridge
155	National Laboratory) which was characterized by laser ablation ICP-MS measurements by Alan
156	Koenig (USGS Denver) and yielded 15 PPM Hf, 25 PPM Y and below detection limit (<1ppm)
157	for U and Th. Phosphorus was not characterized due to difficulties with the LA-ICP-MS method
158	for this element. The matrix correction method was the $\varphi(\rho z)$ algorithm by Armstrong/Love
159	Scott.
160	

Revision 3

162

#### MAN Background Corrections

163 An alternative background correction method known as the mean atomic number (MAN) 164 background correction, based on Kramer's Law (Kramers, 1923),

$$N(E) = iZ[(E_0 - E)/E]dE$$

165 has been in use for over 20 years now. Although originally designed for EPMA monochromators 166 that cannot be "detuned" off-peak, the method has been extended and improved for all types of 167 Bragg spectrometers by the use of multiple standards and linear or polynomial regression of the 168 measured on-peak intensities in standards that do not contain the element of interest. Further 169 improvement has been accomplished by correction for continuum absorption (Armstrong, 1988), 170 based on a modified form of the relationship between Z-bar and intensity by Ware and Reed 171 which includes a correction for continuum absorption by the specimen (Ware and Reed, 1973) 172 where I(E) is the background intensity as a function of i, Z, E<sub>0</sub> E, and f(x), where i is the beam 173 current, Z is the average atomic number,  $E_0$  is the beam energy, E is the energy of the emission 174 and f(x) is the matrix correction all integrated over the energy range:

$$I(E) = iZ\left\{\left[\frac{(E_o - E)}{E}\right]f(x)\right\}dE$$

The continuum absorption correction improves accuracy and regression precision, since each
standard material utilized in the MAN regression curve will have different absorption effects on
the particular (on-peak) photon energy of interest.

178

- 179
- 180

#### MAN Background Method Iteration

181 It should be noted that because the MAN background intensities are recalculated during each 182 iteration of the matrix correction, we require two iteration loops in our quantification method.

One loop for the normal matrix correction (whatever physics algorithm that might be), and a second outer iteration loop for all the compositionally dependent corrections such as: MAN backgrounds, quantitative spectral interferences, and compound area-peak factors for chemical shifts and peak shape changes.

187

188 This double iteration loop allows the program to "refine" the calculated MAN background as the 189 composition of the unknown converges (MAN background correction of standard intensities is 190 trivial since their compositions are already known and hence their average Z is fixed). For 191 unknowns the process is performed in several steps. First the on-peak intensities for a number of 192 standards not containing the element of interest and covering a range of atomic number for the 193 standards and anticipated unknown compositions are acquired by the analyst (or reloaded from a 194 previous MAN calibration). Second the on-peak intensities on the standards used for the MAN 195 calibration are corrected for continuum absorption by the simple relation:

$$I_{COR} = I_{RAW} * ZAF^{\delta}$$

Where I<sub>COR</sub> is the absorption corrected intensity for the MAN standard, and ZAF<sup>S</sup> is the 197 absorption correction for the standard composition (note that the ZAF<sup>S</sup> term here is the inverse of 198 199 the f(x) term in Ware and Reed's expression). This "virtual" intensity MAN calibration curve 200 which has been corrected for continuum absorption, is then stored for subsequent use for MAN 201 background correction of unknown compositions. During the iterated matrix correction of the 202 unknown intensities, we initially assume an arbitrary Z-bar for the unknown, and then calculate 203 the background intensity from our previously acquired and stored "virtual" MAN intensity 204 regression. This calculated average Z is then improved as the composition converges during the

205 matrix iteration. Finally we de-correct the calculated background intensity for the continuum

absorption associated with the actual unknown composition as seen here:

207 
$$I_{RAW} = \frac{I_{COR}}{ZAF^U}$$

where  $ZAF^{U}$  is the absorption term for the unknown composition undergoing iteration. The I<sub>RAW</sub> background intensity is then simply subtracted from the measured unknown on-peak intensity to obtain the background corrected intensity for the unknown composition. This calculation proceeds until the composition (and hence average *Z*) converges, and a proper background

correction has been applied.

213

214 The measured standard intensities should be corrected for continuum absorption to improve 215 regression accuracy and precision, especially at sub 100 to 200 PPM levels. As mentioned above, 216 a continuum absorption "de-correction" must also be applied to the regressed MAN background 217 intensity due to the fact that the unknown specimen intensity will generally have a different 218 specimen matrix than the standards utilized for the MAN calibration curve. The effect of the 219 continuum absorption is most significant for low energy emission lines from elements such as 220 sodium, magnesium, etc. as seen in figures 1 and 2. For higher energy emission lines from such 221 elements as potassium and iron, the continuum absorption correction effect is decreased, but still 222 significant for best accuracy as seen in figures 3 and 4. Note that because the differences in 223 continuum absorption primarily contributes towards a larger variance as opposed to an absolute 224 change in the intensity regression fit, the absence of a continuum absorption correction is a minor 225 effect except in cases of low energy emission lines such as Na Ka, Mg Ka, etc.

- 226
- 227

Revision 3

228 **Precision of MAN Background Method** 229 The MAN background method is capable of higher precision than traditional off-peak 230 background determinations particularly when the measured matrix Z-bar variance from the major 231 elements is small. That is, the MAN Z-bar variance for trace element analysis is typically small 232 because it is based on either measurements of high intensity x-rays from the major elements or 233 matrix specification by difference or fixed concentration elements. Also the MAN background 234 method can achieve a low correlation variance if suitably known and pure reference materials are 235 used and the above continuum absorption correction is appropriately applied. In contrast, off-236 peak background intensities are relatively weak signals with associated poor counting statistics, 237 therefore interpolation from off peak intensity measurements imbues an intrinsically larger 238 variance. 239 240 To highlight the improved precision of the MAN background method, we compare the 241 propagated net intensity variance for off-peak and for MAN background correction methods 242 derived from the generalized variance equation for function f of i principal variables: The total 243 variance of a function or an operation (f) is the square root of the summation of all partial

244 differential equations multiplied by each constituent variance, for *i* principal variables as seen
245 here:

$$\sigma_f(i) = \sqrt{\sum_i \left(\frac{\partial f}{\partial i}\sigma_i\right)^2}$$

For any method of background correction (off peak, MAN, or Nth point), the background intensity (B) is subtracted from the peak intensity (P) for the net intensity ( $I_{net}$ ):

$$I_{net}(P,B) = P - B$$

The partial derivatives in the case of subtraction are negligible, and the variance equationbecomes,

$$\sigma_{net} = \sqrt{(1 * \sigma_P)^2 + (-1 * \sigma_B)^2}$$

and we simplify to the familiar expression for net intensity variance:

$$\sigma_{net} = \sqrt{\sigma_P^2 + \sigma_B^2}$$

- 251 Traditionally the peak and background variance is determined directly from counting statistics,
- and for a single measurement this error estimate does not include other sources of variance such
- as standard homogeneity, spectrometer movement, beam current measurement, environmental
- stability, and so on. For linear off peak background interpolation ( $B_{OFF}$ ), the interpolation in
- slope-intercept form is,

$$B_{OFF}(x,m,b) = m * x + b$$

- 256 There are three principal variables, namely *x* spectrometer coordinate, *m* background slope, and *b*
- 257 the interpolation intercept. The propagated off-peak background variance ( $\sigma_{B, OFF}$ ) is,

$$\sigma_{B_{OFF}} = \sqrt{\left(\frac{\partial B_{OFF}}{\partial x}\sigma_x\right)^2 + \left(\frac{\partial B_{OFF}}{\partial m}\sigma_m\right)^2 + \left(\frac{\partial B_{OFF}}{\partial b}\sigma_b\right)^2}$$

258 The partial derivatives of  $B_{OFF}$  are,

$$\frac{\partial B_{OFF}}{\partial x} = m \quad \frac{\partial B_{OFF}}{\partial m} = x \quad \frac{\partial B_{OFF}}{\partial b} = 1$$

259 The off-peak background variance ( $\sigma_B$ ) simplifies to the following,

$$\sigma_{B_{OFF}} = \sqrt{(m * \sigma_x)^2 + (x * \sigma_m)^2 + (1 * \sigma_b)^2}$$

- 260 The propagated off-peak background variance is commonly ignored, because two-point
- 261 interpolation allows no estimates of slope and intercept variance ( $\sigma_m$  and  $\sigma_b$ ). However in the
- 262 case of replicate measurements using off-peak background corrections, each of the above terms

#### Revision 3

263 arise naturally from the measurement process. The first term  $(m^* \sigma_x)$  indicates that spectrometer 264 reproducibility ( $\sigma_x$ ) is exacerbated when the background is increasingly sloped. The correlation variance, terms  $(x^* \sigma_m)^2$  and  $(\sigma_b)^2$ , aggregate many sources of variance that would affect the 265 266 quality of the linear regression including systematic error when the true background is not linear. 267 When the propagated off-peak background variance is neglected (along with factors affecting the 268 on peak variance), the error estimates for a single analysis will be arbitrarily small compared to 269 the real fluctuations of replicate measurements. If off peak correlation variance were possible to 270 estimate (such as for multi-point, off peak), the weak background intensities may lead to large 271 correlation variance without significant time investment in background characterization. 272 273 The propagated MAN background variance ( $\sigma_{B, MAN}$ ) is derived from a background intensity correlation function  $(B_{MAN})$ , which may be specified according to the operator of best fit. 274 including linear or 2<sup>nd</sup> order polynomial. For Kramer's Law the expected dependence of 275 background intensity on Z-bar is linear, however curvature well-fit by a 2<sup>nd</sup> order polynomial is 276 often observed. For the linear case, the MAN background intensity is correlated with Z-bar (the 277 278 average atomic number) in the form of a line with slope (m) and intercept (b):

$$B_{MAN}(\overline{Z}, m, b) = m * \overline{Z} + b$$

279 Thus the MAN background variance is,

$$\sigma_{B_{MAN}} = \sqrt{\left(\frac{\partial f}{\partial \bar{Z}}\sigma_{\bar{Z}}\right)^2 + \left(\frac{\partial f}{\partial m}\sigma_m\right)^2 + \left(\frac{\partial f}{\partial b}\sigma_b\right)^2}$$

280 For the linear case the partial derivatives are

$$\frac{\partial B_{MAN}}{\partial \bar{Z}} = m \quad \frac{\partial B_{MAN}}{\partial m} = \bar{Z} \quad \frac{\partial B_{MAN}}{\partial b} = 1$$

281 Combining these terms we arrive at an intermediate result,

Revision 3

$$\sigma_{B_{MAN}} = \sqrt{(m * \sigma_{\bar{Z}})^2 + (\bar{Z} * \sigma_m)^2 + (1 * \sigma_b)^2}$$

282 which emphasizes the basic dependencies of the MAN background variance on Z-bar and 283 correlation variance. For example, as Z-bar becomes large, the MAN background variance 284 depends more strongly on the slope variance  $(\sigma_m)$ ; likewise as the slope becomes large, the MAN 285 background variance depends strongly on Z-bar variance ( $\sigma_{Z-bar}$ ). The slope and intercept 286 variances are calculated from the residuals of the best-fit (not derived here) and practically the 287 MAN correlation variances depend on factors including the purity of the standards used to 288 generate the MAN background correlation, on the absence of on-peak interferences, and on 289 application of the absorption correction (discussed in the previous section). As for off-peak 290 methods, the propagated correlation variance may aggregate numerous sources of error (both 291 random and systematic).

292

The Z-bar value is obtained from concentration weighted averaging, since we are estimating the average strength of the Coulombic field of the atoms composing the specimen matrix (Donovan and Pingitore, 2002), which is in turn of course determined by the number of electrons in the specimen matrix atoms. And since A/Z is approximately a constant over the periodic table it provides a reasonable weighting for average atomic number in compounds. Hence the mean atomic number (Z-bar) is calculated from the summation of the atomic numbers multiplied by the weighted fractions ( $c_i$ ) for all elements *i* in the total composition:

$$\bar{Z} = \sum_{i} c_i * Z_i$$

300 The sum of the concentration weighted fractions must sum close to one to ensure the301 completeness of the matrix Z-bar calculation. The partial derivatives of the Z-bar operator are,

Revision 3

$$\frac{\partial \bar{Z}}{\partial c_i} = Z_i \qquad \frac{\partial \bar{Z}}{\partial Z_i} = c_i$$

302 It follows that the Z-bar variance is a series for i=1 to i=n elements in the total composition:

$$\sigma_{\bar{Z}} = \sqrt{\left(\frac{\partial \bar{Z}}{\partial c_1} \sigma_{C_1}\right)^2 + \left(\frac{\partial \bar{Z}}{\partial Z_1} \sigma_{Z_1}\right)^2 + \cdots \left(\frac{\partial \bar{Z}}{\partial c_n} \sigma_{C_n}\right)^2 + \left(\frac{\partial \bar{Z}}{\partial Z_n} \sigma_{Z_n}\right)^2}$$

303 Substituting the simplified partial derivatives we obtain,

$$\sigma_{\bar{Z}} = \sqrt{(Z_1 * \sigma_{C_1})^2 + (c_1 * \sigma_{Z_1})^2 + \dots (Z_n * \sigma_{C_n})^2 + (c_n * \sigma_{Z_n})^2}$$

An individual atomic number  $Z_i$  is a physical constant (therefore,  $\sigma_{Zi} = 0$ ), and ( $c_i^* \sigma_{Zi}$ ) terms drop out:

$$\sigma_{\overline{Z}} = \sqrt{\left(Z_1 * \sigma_{C_1}\right)^2 + \cdots \left(Z_n * \sigma_{C_n}\right)^2}$$
$$\sigma_{\overline{Z}} = \sqrt{\left(\sum_i Z_i * \sigma_{C_i}\right)}$$

The above equation shows that elements having large atomic numbers have a disproportionate effect on the Z-bar variance. However, it is important to note that regardless of  $Z_i$ 's absolute value, major elements will contribute the largest portion of the concentration-weighted variance. Individual concentration weighted variances ( $\sigma_{ci}$ ) for elements *i* to *n* are obtained by direct analysis or in the case of a simple sample matrix, the major element concentration ( $c_{major}$ ) and major element variances may be inferred by difference from *i* trace element concentrations:

$$c_{major} = 1 - \sum_{i} c_{i}$$

The average Z variance of measured matrix elements (those elements not by fixed concentrationor specified by difference) is the following expression:

$$\sigma_{C_{major}} = \sqrt{\left(\sum_{i} \sigma_{C_{i}}^{2}\right)}$$

314 Bringing the various statements together, the propagated MAN background variance in the case

315 of linear correlation is,

$$\sigma_{B MAN} = \sqrt{\left(m * \sqrt{\sum_{i} \left(Z_i \sigma_{C_i}\right)^2}\right)^2 + (\bar{Z} * \sigma_m)^2 + (1 * \sigma_b)^2}$$

This full propagated error expression with the MAN correlation variance terms will be referred to as "Model A" in the discussion. Now if the MAN correlation variance is considered an accuracy issue (see discussion in the Accuracy Versus Precision in the MAN Background Method section for further explanation), the variance on the MAN background intensity simplifies to this expression which only includes the variance in the specimen matrix average Z and the slope of the MAN regression:

$$\sigma_{BMAN} = m * \sqrt{\sum_{i} (Z_i \sigma_{c_i})^2}$$

322 Note that the above expression, without the MAN regression precision terms, is referred to as323 "Model B" in subsequent discussion.

324

Before we proceed it may be instructive to consider the time savings and precision increase in the case of so called Nth point off-peak backgrounds where the analyst measures the off-peak background only every N acquisitions where N is greater than 1. The idea being that subsequent acquisitions only measure the on-peak intensities and simply re-utilize the initial off-peak measurement. In this case, the background intensity is treated as a constant (for those replicate measurements) and hence the background intensity variance on these subsequent measurements

Revision 3

331	is zero. The tradeoff is that the background intensity accuracy of these subsequent analyses is
332	unknown since the background is no longer being measured directly. Thus, the Nth point
333	background correction is only suitable for highly homogeneous materials. The time savings
334	(approaching $\frac{1}{2}$ ) may be achieved if the background is measured only once by the Nth point
335	background correction for a set of on peak analyses. Increase in precision can be rationalized
336	practically, because spectrometer movement can be minimized and time-dependent sources of
337	variance may also be mitigated through time savings. Mathematically, we are subtracting a
338	constant background value for replicate peak measurements; therefore when using an Nth point
339	background method, the observed replicate net intensity variance includes only the on-peak
340	variance.
341	
342	In a similar manner the MAN method does not directly measure the background intensity for
343	every measurement, but instead calculates the background for the unknown in question based on
344	the measured composition (average atomic number) of the unknown data point (unlike the Nth
345	point background method, the MAN background method automatically handles changes in
346	matrix composition), and the previously acquired MAN calibration curve, which is based on on-
347	peak intensity measurements on standards that do not contain the element(s) of interest. In other
348	words, a single MAN calibration curve is utilized for many replicate measurements, and since for
349	a given average Z, the same background intensity will be obtained, the variance of replicate

350 calculations is not precisely zero, but instead very close to zero. In general we can improve our

351 sensitivity by approximately  $\sqrt{2}$  when P  $\approx$  B because the MAN background variance term

352 approaches zero in the case of a fixed matrix and is only slightly larger in the case of a measured

353 matrix, because the MAN background determination is dominated by the major element

intensities. At the same time reduce our total x-ray integration time by some 50% because we areonly measuring the on-peak intensities for our trace elements.

- 356
- 357
- 358

#### Use Of The Blank Correction To Improve Accuracy For Trace MAN Analyses

359 Although we are able to improve precision and reduce acquisition time by means of the MAN 360 background correction, we must still deal with the issue of accuracy at the trace level since there 361 will always be systematic artifacts at some trace level in the x-ray continuum spectrum. To 362 improve accuracy of our MAN background modeling, due to the possible imperfect nature of the 363 reference materials and the continuum modeling used in the MAN regression, the "blank" 364 correction can be applied for further improvement in trace element accuracy in specimens (SiO<sub>2</sub>, 365 TiO<sub>2</sub>, ZrSiO<sub>4</sub>, etc.) when a blank [or non-zero concentration] secondary standard with a matching 366 matrix is available. A true blank (zero; below detection limit) is more preferred than low-level reference materials; if a non-zero concentration reference material is used, then the overall 367 368 accuracy of EPMA is predicated on the systematic errors of another technique. Fortunately for 369 specimens with simple matrices such as SiO<sub>2</sub>, TiO<sub>2</sub>, CaMgSi<sub>2</sub>O<sub>6</sub>, ZrSiO<sub>4</sub>, etc., we can easily 370 improve MAN background accuracy by use of the "blank" correction method previously 371 described. Although originally intended for off-peak measurements, where secondary Bragg 372 reflection and sample absorption edges can produce artifacts as large as 50 PPM, the blank 373 correction allows the MAN background correction to achieve accuracy similar to the precision 374 with which the blank standard was measured.

Revision 3

376 As discussed, the MAN method is based on measuring the on-peak intensities for several 377 standards which do not contain an element of interest, but also cover the range of average atomic 378 number (Z-bar) for the unknowns and standards being utilized. The typical Z-bar range for 379 oxides and silicates is generally from 10 to 20 and therefore simple oxides such as MgO, Al<sub>2</sub>O<sub>3</sub>, SiO<sub>2</sub>, TiO<sub>2</sub> and MnO or NiO are usually ideal for such purposes. Therefore these MAN 380 381 calibration standards can be any material with appropriate Z-bars which do not contain the 382 element of interest (on-peak interferences can be avoided with a simple review of the regression 383 fit since, interferences or unsuspected contamination for that matter, will always show as outliers 384 above the general curve of the regression). 385 386 The accuracy of the MAN background correction can be ascertained by acquiring the complete 387 on and off peak intensities and calculating the background correction using both off-peak and 388 MAN methods on the same dataset, since the MAN background correction simply ignores the 389 off-peak data, if it was acquired. A comparison between off-peak and MAN methods performed 390 on a CaMgSi<sub>2</sub>O<sub>6</sub> (diopside) standard candidate is shown in Table 1, where it can be seen that the 391 concentration differences between the off-peak and MAN methods are less than the reported 392 variance of the measurements. For example off-peak measurements of Na yields 160 PPM Na 393 and -10 PPM K, but using the MAN background corrections on the same intensity data, we 394 obtain essentially the same concentration results (170 PPM Na and 10 PPM K), that is within the 395 precision of the measurements.

396

397 A further comparison of synthetic  $SiO_2$  is seen in Table 2a and 2b where again the off-peak and 398 MAN background corrections produce results that are within 100 PPM of each other. Table 2c

399 shows the results for the MAN analyses where the blank correction has been applied from our
400 SiO<sub>2</sub> standard, and it can be seen that the accuracy is now equal to or better than the measured
401 variance when compared to ICP-MS analyses.

402

403 For a further test, we acquired both traditional off-peak and the MAN background corrected 404 point intensities for Ti and Al in a natural quartz (Audetat) in separate acquisitions. Results 405 acquired using both off-peak background and MAN background methods are shown for Ti in 406 Figure 5 and for Al in Figure 6. Note that these point analyses were acquired separately as both 407 off-peak and MAN acquisitions, separated in time (proxy to line numbers). Of course this 408 accuracy improvement generally only pertains to specimen matrices with relatively simple 409 compositions for which a suitable "blank" standard containing a zero (or known non-zero) 410 concentration is available. But this may include pure metals, pure oxides, simple silicates and 411 sulfides, etc., so a large number of materials can benefit from this trace element method.

412

413

414

415

# X-ray Mapping and MAN Background Corrections

Although the use of the MAN background correction method combined with the blank correction for trace element point analyses results in acquisition times that are approximately <sup>1</sup>/<sub>2</sub> that of normal off-peak measurements (while improving precision and maintaining accuracy similar to the precision of the on-peak intensity), similar time savings results from the use of the MAN background correction for x-ray mapping. This is because one need only acquire the on-peak

#### Revision 3

- intensity map, since the background is calculated based on the MAN fit to point acquisitions ofstandards not containing the element of interest as described above.
- 423

424 Mapping results (based three x-ray map acquisitions for each sample: the on-peak intensity pixel 425 map, the high side pixel intensity map and the low side pixel intensity map- note that MAN 426 results utilize only the on-peak intensity map), are shown for pure synthetic  $SiO_2$ , first with off-427 peak map pixel intensities interpolated and subtracted from the on-peak pixel intensities in figure 428 7a, using the same raw intensity acquisition dataset on the SiO<sub>2</sub> sample for both background 429 correction methods (with the on-peak pixel intensities corrected using the MAN calibration curve 430 from standards applied in figure 7b). Note the significant improvement of the MAN background 431 intensity precision. In fact the variance of the MAN background intensities is due only to the 432 variance of the trace elements effect on the average Z calculation. Again, if we consider Nth 433 point statistics, the background variance for Nth point intensities is zero because the background 434 intensity is constant, but accuracy suffers since the Nth point background method does not 435 account for changes in composition as the MAN method does automatically.

436

It should be noted that typical x-ray mapping integration times per pixel a few seconds or less are generally of insufficient sensitivity to warrant the use of the blank correction in silicates and oxides, although it can be applied if a suitable blank standard can be obtained for the material in question if necessary. In other words, only when the per pixel x-ray mapping sensitivity begins to approach typical MAN accuracy of around 100 to 200 PPM (in silicates and oxides), is the blank correction step actually necessary for x-ray mapping.

444 In the case of the  $SiO_2$  background intensity maps shown in fig. 7b, one can see that the MAN 445 background intensity variances are several orders of magnitude smaller than a direct 446 measurement of the backgrounds using the off-peak method in fig. 7a. In fact the measured 447 concentrations shown in figs. 8a and 8b, are well below the detection limit of approximately 100 448 PPM as shown in the detection limit maps for the same SiO<sub>2</sub> specimen for all elements measured 449 in figures 9a and 9b. It is evident that the calculated pixel detection limits for off-peak 450 measurements shown in figure 9a has a greater variation compared to the pixel detection limits 451 for MAN measurements due to the variance as seen in the interpolated off-peak intensity maps 452 (fig. 7a). On the other hand, the MAN detection limit maps show more constant detection limits 453 which is as expected due to the MAN background being essentially a constant for the given 454 average atomic number (composition of SiO<sub>2</sub> gives ~10.4 Z-bar).

- 455
- 456
- 457

#### Application to Amethyst and Zircon

Figures 9c and 9d compare traditional off-peak x-ray maps for synthetic SiO<sub>2</sub> with the same measured intensity data processed using MAN background and utilizing only the on-peak intensities. This results in improved precision in approximately ½ the acquisition time (assuming trace acquisitions where the on and off peak pixel integration times are roughly equal).

462 The improvement in trace sensitivity shown above for  $SiO_2$  and amethyst are significant, but 463 with an average Z of roughly 10, the continuum intensities are relatively low and the peak to 464 background ratios quite good.

Revision 3

466 However, for the case of zircon ( $ZrSiO_4$ ), with an average atomic number of approximately 24, 467 we can expect a larger correction for background intensity. Again we acquired point analyses 468 and x-ray maps on two synthetic zircons and a natural zircon (SIMS oxygen isotope standard 469 AS3) for U, Th, Y, P and Hf. Figures 10 and 11 shows results for blank corrected point analyses 470 of two synthetic zircons, the first grown by John Hanchar at Memorial University and the 471 second, grown by Lynn Boatner at Oak Ridge National Laboratory for U, P, Hf and Th. The only 472 observable statistically result, was P at approximately 80 PPM higher in the Hanchar material, 473 than the Oak Ridge Laboratory material. 474 475 Figure 12a and 12b compares the calculated background intensities for x-ray maps (based three 476 x-ray map acquisitions for each sample: the on-peak intensity pixel map, the high side pixel 477 intensity map and the low side pixel intensity map- note that MAN results utilize only the on-478 peak intensity map), calculated for 4 of these elements after applying both the off-peak and 479 MAN background correction methods using the same raw intensity acquisition dataset on the 480 zircon sample for both background correction methods for the quantitative results in 12c and 481 12d. Again we can see that the variance of the off-peak measured and interpolated background 482 intensities are significantly larger than the same data calculated using the MAN method (using 483 only the measured on-peak intensities and the MAN calibration curve standards of synthetic 484 MgSiO<sub>4</sub>, FeSiO<sub>4</sub>, MnSiO<sub>4</sub>, CoSiO<sub>4</sub>, NiSiO<sub>4</sub>, PbSiO<sub>4</sub> and ThSiO<sub>4</sub>). Finally, figures 13a and 13b 485 compare detection sensitivity for both background correction methods.

486

Background intensity and elemental concentration maps are shown in fig. 14 for the naturalzircon SIMS oxygen standard where some trace heterogeneity can be seen in the Hf map. The

489	improvement in precision for the MAN method (and the maintaining of accuracy) is easily seen
490	in the last figure (fig. 15), where the U concentration profile across the concentration maps in
491	figures 14c and 14d are shown for both the off-peak and MAN methods calculated from the same
492	acquisition dataset.
493	
494	
495	Accuracy Versus Precision in the MAN Background Method
496	When we consider traditional off-peak measurements we obtain a variance from the on-peak
497	measurement and a variance from the off-peak measurement. When these measured on-peak and
498	high and low off-peak intensities are subtracted from each other, the errors add in quadrature as
499	described above. In the case of Nth point off-peak measurements the variances are solely due to
500	the on-peak variances and the off-peak intensity is a constant. As is the case with traditional and
501	Nth point off-peak methods, the MAN background method variance is also dominated by the on-
502	peak statistics, but with a minor contribution from the major element statistics and the slope of
503	the MAN regression, rather than the Gaussian statistics of the continuum. In the case where these
504	major elements are measured, the MAN variance depends on the major element counting
505	statistics and in the case where these major elements are simply specified as fixed concentrations
506	or by difference, only the trace element variances contribute towards the determination of
507	average atomic number variance.
508	

509 Some analysts have pointed out that there must be a precision or error associated with the MAN 510 regression as derived for Model A, yet we do not observe this correlation variance in replicate 511 measurements. We can see this by comparing actual measured background intensity variances

Revision 3

512 from off-peak measurements with a fixed matrix, MAN measurements with a fixed matrix and 513 MAN measurements with measured matrix elements) with the calculated sensitivities for the off-514 peak and MAN methods respectively from our MAN variance model as seen in table 3. In table 515 3a we compare the average and standard deviation for the calculated off-peak background 516 intensities from off-peak (analogous to fig. 12a), that is, measured and interpolated under the 517 peak, with the calculated background variance by assuming Gaussian statistics on the peak and 518 background and adding them in quadrature as discussed previously. As one can see, the 519 calculated and modeled off-peak intensity variances are quite similar.

520

521 For comparison with MAN background intensity statistics, we can examine table 3b which 522 shows the average and standard deviation of the measured and regressed MAN background 523 intensities obtained by calculation of the average Z and MAN regression curve, when the matrix 524 major elements are fixed (analogous to fig. 12b), with the modeled MAN sensitivities from our 525 MAN sensitivity/variance expressions, for both model A (using the full MAN variance 526 expression including the terms for the MAN regression precision), and model B (using the 527 modified MAN variance expression without the MAN regression precision terms). As can be 528 seen, by including the MAN regression precision terms in the MAN variance model (model A), 529 we obtain variances which are approximately 100 times greater than the variances of the 530 calculated MAN background intensities from our quantification procedures. On the other hand, 531 using model B, we obtain predicted MAN background variances that agree quite well with MAN 532 background measurements. The reason the MAN variances are so small in table 3b compared to 533 the off-peak background variances in table 3a, is that the matrix elements (Zr, Si and O) were 534 specified as a fixed concentration (statistically similar to the Nth point constant background

535	method). This can easily be seen in the elemental concentration data for U in fig. 15 where the
536	off-peak and MAN calculated concentrations are compared. In this case of fixed matrix
537	elements, the only contribution to the average Z variance is from the measured trace elements.
538	As expected, specifying the matrix as ZrSiO <sub>4</sub> by difference from 100 percent (not shown), yields
539	almost exactly the same measured MAN intensities and variances as using a fixed compositional
540	matrix.
541	
542	In table 3c we again compare the measured and calculated variances, but this time with Zr and Si
543	measured analytically and oxygen calculated by stoichiometry. In this case where the major
544	elements are measured, the actual MAN background variance is slightly larger than with the
545	fixed or by difference compositional matrix as seen in 3b. But again, model A produces
546	predicted MAN background variances that are approximately 10 greater than we observe in the

548 background intensity variances are again very similar, thus demonstrating the validity of our 549 MAN sensitivity model without including the MAN regression precision terms, as unintuitive as 550 this may seem.

calculated MAN background intensities, while using model B the measured and calculated MAN

551

547

552

Another way to consider the issue of accuracy and precision in the MAN method is to realize that if one re-measures intensities utilized for the MAN regression curve, the fit coefficients will be slightly different, giving a slightly different background intensity for the same average Z, when compared to the previous MAN calibration. However, this intensity difference between subsequent MAN regressions merely represents a systematic accuracy error, since each single

new MAN regression fit will repeatedly produce the same high precision intensity for a given composition (and hence average Z) for every new unknown measurement, resulting in improved precision when it is subtracted from the on-peak measurement. Indeed, if we did re-measure the MAN calibration curve intensities for every point analysis and every x-ray map pixel, then we would need to include the MAN regression precision in our sensitivity calculations. But in fact, we do not re-measure the MAN intensities for every unknown measurement and instead correct for MAN accuracy using the blank correction.

565

566 A principal challenge for users of the MAN background method is limiting the unknown Z-bar 567 variance through high precision analysis of major elements or by restriction to simple matrices 568 (with fixed concentration or by difference major elements). Maximizing the accuracy of the 569 MAN regression depends on primary standards that are pure, homogeneous and do not contain 570 the element of interest and proper correction of continuum absorption. In practice, a few simple 571 metals or oxides such as MgO, Al<sub>2</sub>O<sub>3</sub>, SiO<sub>2</sub>, TiO<sub>2</sub>, MnO and NiO will suffice for calibrating the 572 continuum for a variety of emission lines in most silicates and oxides. If such standards are 573 used, then the correlation variances will be inherently minimized and accuracy improved by 574 avoiding MAN standards that interfere with the on-peak measurement positions.

575

576

577 Calculation of Detection Limits With The MAN Background Method

578 Because the MAN background intensity variation does not follow Gaussian statistics, we cannot

- 579 base our sensitivity concentration of detection limit (CDL) calculations on traditional
- 580 expressions which only utilize the background variance. Instead, we must add our calculated

581 MAN background variance to our on-peak variance as previously described to obtain a net 582 concentration variance. There are several methods traditionally utilized to calculate the minimum 583 detection limit (CDL) for off-peak intensity measurements. One method is to assume that three 584 times the variance of the raw photon intensity, expressed as a concentration and corrected for 585 matrix effects yields a 99% confidence estimate of detection limits (Scott et al., 1995) as seen 586 here:

$$C_{CDL} = \frac{3\sqrt{I_B}}{I_S}$$

However, because the variances of the calculated MAN background intensities do not follow Gaussian statistics, we cannot simply assume this for the MAN detection limit. In the limit as the background variance approaches zero, this definition of the CDL approaches zero (infinitely low). Instead we will need to utilize the net intensity variance for the MAN sensitivity calculation. Based on the off-peak net intensity variance expression we will propose that the MAN net intensity variance is similarly expressed as:

$$\sigma_{MAN_{net}} = \sqrt{\sigma_P^2 + \sigma_B^2}$$

Where in this case,  $\sigma_P$  is the calculated MAN intensity under the peak, and  $\sigma_B$  is the calculated MAN background variance from the model B MAN variance expression. In the case of a fixed composition matrix, e.g., ZrSiO<sub>4</sub> by difference (see table 3b), the value of  $\sigma_B$  approaches zero, so we can compare the situation where we have an normal peak variance and a zero background variance (as we would in the case of Nth point off-peaks), and find that as  $\sigma_B$  approaches zero our net intensity statistics are improved by a factor of  $\sqrt{2}$  or roughly 1/3 as the MAN background variance approaches zero. Therefore, at least in the case of our fixed composition matrix, we

should expect to obtain MAN detection limits that are roughly 1/3 better than traditional off-peakmeasurements.

602

Comparing off-peak detection limits calculated by assuming 3 times the background variance,
we find that using 2 net intensity variances we obtain an MAN CDL that is approximately 1/3
better which is not surprising since the MAN background variance term is close to zero. Figures
9a and 9b for SiO<sub>2</sub> and figures 13a and 13b for ZrSiO<sub>4</sub> demonstrate this.

607

608 Finally see table 4 for a comparison of blank and non-blank corrected measurement results for 609 the Oak Ridge synthetic zircon for off-peak, Nth Point and MAN background methods where it 610 can be seen that the application of the blank correction results in an insignificant increase in the 611 absolute standard deviation, which is due to the fact that the blank correction itself is small compared to the total background, and the blank standard calibration (as is the case with the 612 613 MAN standard intensities), is measured only once, but then applied repeatedly to subsequent 614 replicate datasets to improve accuracy. Again, if we did re-measure the blank correction for 615 every point acquisition or x-ray map pixel, we would indeed need to include the blank correction 616 variance in the trace element sensitivity for all background methods. But since we do not 617 generally re-measure the blank standard for every point or pixel acquisition, we are merely limiting our blank accuracy to the precision of the blank standard measurement. 618

620	Conclusions
621	For simple matrices where an appropriate blank specimen is available (that is, a material with a
622	similar matrix to the unknown, which contains a known zero or non-zero level of the element of
623	interest), the use of MAN background calibration curve modeling for high accuracy trace
624	element analysis is easy, automatic and can reduce acquisition times by as much as 50%.
625	
626	This situation is more common than one might think as it can be applied to the analysis of trace
627	elements in pure metals, pure oxides, pure carbides, etc., and even simple compounds such as
628	relatively pure silicates, sulfides and carbonates, where a suitable matrix matched "blank"
629	standard can often be obtained to ensure accuracy at trace levels.
630	
631	At the same time, the MAN background intensity is essentially a constant (related only to the
632	variance and slope of the average atomic number determination), if the matrix is specified by
633	fixed concentration or by difference, or has a very small variance when the major elements are
634	measured. This means that because the variance of the background measurement can be close to
635	zero in such simple matrices, the analytical precision is further improved by approximately 30%
636	or more depending on the physics details, again in approximately $\frac{1}{2}$ the acquisition time.
637	
638	

640

#### Acknowledgments

641 We would like to thank our informal reviewers Paul Carpenter at Washington University and 642 Michel Jercinovic at the University of Massachusetts at Amherst for valuable suggestions and 643 critical comments and feedback. We also acknowledge funding from NSF EAR-0345908 and the 644 Murdoch Foundation for purchase of the Cameca SX100 EPMA instrument. We additionally 645 acknowledge the gracious donation of synthetic zircons from Lynn Boatner at Oak Ridge 646 National Laboratory and John Hanchar at Memorial University. The synthetic quartz and zircon 647 were characterized for trace elements by Allan Koenig at the USGS in Denver, Colorado. The 648 natural zircon SIMS standard was provided by Dylan Colon and Ilya Bindeman at the University 649 of Oregon. The authors would also like to thank two anonymous reviewers for their helpful 650 suggestions and comments.

651

Revision 3

653	References
654	Allaz, J., Williams, M.L., Jercinovic, M.J., and Donovan, J.J. (in preparation) Multipoint
655	Background Method: Gaining precision and accuracy in electron microprobe trace element
656	analysis. To be submitted to Chemical Geology
657	
658	Armstrong, J.T. (1988) Quantitative Analysis of Silicate and Oxide Materials: Comparison of
659	Monte Carlo, ZAF, and Procedures, Microbeam Analysis, 239-246
660	
661	Donovan, J.J., Snyder, D.A., and Rivers, M.L. (1993) An Improved Interference Correction for
662	Trace Element Analysis, Microbeam Analysis, 2, 23-28
663	
664	Donovan, J.J., and Tingle, T. (1996) An Improved Mean Atomic Number Background
665	Correction for Quantitative Microanalysis, Journal of Microscopy and Microanalysis, 2, 1-7
666	http://www.probesoftware.com
667	
668	Donovan, J.J., and Pingitore, N. (2002) Compositional Averaging of Continuum Intensities in
669	Multi-Element Compounds. Microbeam Analysis
670	
671	Donovan, J.J., Lowers, H.A., and Rusk, B.G. (2011) Improved Electron Probe Microanalysis of
672	Trace Elements in Quartz, American Mineralogist, 96, 274-282
673	
674	Kato, T., and Suzuki, K. (2014) "Background holes" in X-ray spectrometry using pentaerythritol
675	(PET) analyzing crystal, Journal of Mineralogical and Petrological Sciences, 109, 151-155

Revision 3
Kramers, H. (1923) On the theory of X-ray absorption and the continuous X-ray spectrum, Philosophical Magazine, 46, 836
Scott, V.D., Love, G., and Reed, S.J.B. (1995) Quantitative Electron-Probe Microanalysis, 2<sup>nd</sup>. Ed., In Ellis Horwood Series Physics and its Applications, 105
Ware, N.G., and Reed, S.J.B. (1973) Background corrections for quantitative electron microprobe analysis using a lithium drifted silicon X-ray detector. Journal of Physics E:

685 Scientific Instruments, 6, 286-288

686

676

677

678

679

680

681

682

683

# Revision 3

#### Tables

Element	X-ray	Crystal	Off-Peak Wt.%	Std. Dev.	MAN Wt.%
К	κα	PET	-0.001	0.004	0.001
Fe	κα	LIF	0.05	0.015	0.051
Ti	Kα	LIF	-0.001	0.008	0.003
Na	κα	TAP	0.016	0.009	0.017
Al	κα	TAP	0.026	0.006	0.014
Mn	κα	LIF	0.007	0.008	-0.005
Ni	κα	LIF	0.002	0.009	-0.003

688

689	Table 1. CaMgSi <sub>2</sub> O <sub>6</sub> (diopside) standard analyzed for traces as an "unknown" using traditional
690	off-peak intensity background corrections, compared to MAN background corrections (20 keV,
691	20 nA, 5 um beam, 20 second on-peak integration time, 20 seconds off-peak integration time,
692	average of 10 points). Note that the differences in the concentrations between off-peak and MAN
693	are within the measured variances.
694	

	Ti	Fe	Al	K	Na	Si	0	Total
Average:	00095	.00154	.00294	.00018	.00021	46.740	53.256	100.000
Std Dev:	.00105	.00139	.00097	.00092	.00108	.001	.00060	.000
%Rel SD:	-116.10	89.75	32.95	523.27	525.57	-	-	-

696

0 1 able 2a <b>OII-peak analysis</b> of synthetic SIO2, 15 KeV, 100 hA, 10 uni beam, 100 seconds of	697	Table 2a Off-peak analysis of	synthetic SiO <sub>2</sub> , 12	5 keV, 100 nA,	10 um beam, 18	0 seconds on-
---	-----	-------------------------------	---------------------------------	----------------	----------------	---------------

698 peak and 180 seconds off-peak, average of 5 points. Without the blank correction applied.

	Ti	Fe	Al	K	Na	Si	0	Total
Average:	00300	00487	.00438	00128	00402	46.748	53.261	100.000
Std Dev:	.00034	.00146	.00053	.00042	.00062	.001	.00046	.000

699

Table 2b MAN analysis of standard SiO<sub>2</sub>, 15 keV, 100 nA, 10 um beam, 180 seconds on-peak

average of 5 points. Without the blank correction applied. Note the significantly improved

standard deviations in the results for the MAN background correction compared to the traditional

703 off-peak method seen in table 2a.

	Ti	Fe	Al	K	Na	Si	0	Total
Average:	.00013	.00059	.00150	00001	.00052	46.741	53.2564	100.000
Std Dev:	.00034	.00146	.00053	.00042	.00063	.001	.00046	.000

704

Table 2c MAN analysis of standard SiO<sub>2</sub>, 15 keV, 100 nA, 10 um beam, 180 seconds on-peak,

average of 5 points. With the blank correction applied from the SiO<sub>2</sub> bulk standard to itself,

the accuracy now is similar to the precision of the measurements. ICP-MS: Ti 1.42 PPM. AA: Fe

708 6 +/- 3 PPM, Al 15 +/- 5 PPM, Na 5 +/- 3 PPM.

# **Revision 3**

### Table 3a

		Th	Hf	U	Р	Y
	Average	.226061	2.02225	.611455	.320043	.113804
	Std Deviation	.028376	.142604	.128880	.037488	.021821
710						
	Off-peak Model	.027325	.081837	.044766	.032520	.019335

711

712 Comparison of off-peak background measurement and interpolation for all background intensity 713 map pixels (analogous to Fig. 17) with calculated off-peak variance (average of all pixels) 714 assuming Gaussian statistics (square root of raw photon intensity). Note that the variation from 715 the measured off-peak intensities and the calculated variance model is excellent except for Hf 716 and U where the measured variation is somewhat larger. This larger variation for Hf and U could 717 be due to trace concentration variation in the standard material.

# 718

#### 719 720

Table 3b					
	Th	Hf	U	Р	Y
Average	.254557	2.04241	.630875	.280978	.113875
Std Deviation	.000348	.001509	.002499	.000904	.000156
			· · · · ·		· · · · · · · · · · · · · · · · · · ·
MAN Model (A)	.025887	.076575	.026831	.017200	.006861
MAN Model (B)	000328	001510	000612	000265	000095

722

721

723 Comparison of MAN background calculations for all background intensity map pixels with fixed matrix (analogous to Fig. 18) (Zr 49.764, Si 15.322, O 34.914 wt.%) with the calculated MAN 724 725 background variance (average of all pixels) from our model. Model A is using the full MAN variance expression with the terms for the MAN regression precision and model B is the 726 modified MAN variance expression without the MAN regression precision terms. Note that since 727 728 the ZrSiO<sub>4</sub> matrix is specified and therefore constant, the average atomic number variance is 729 minimal for the MAN regression curve. However, model A which includes the MAN regression precision terms of the MAN variance expression, results in predicted background intensity 730 variances that are approximately 100 times larger than observed in the actual data, while model 731 B, without the MAN regression precision terms, produces predicted variances which are in 732 excellent agreement with the actual MAN background intensity variances. 733 734

735

# 736 Table 3c

	Th	Hf	U	Р	Υ
Average	.254586	2.04253	.630940	.281020	.113894
Std Deviation	.000812	.002682	.002897	.001754	.000683
MAN Model (A)	.027446	.083754	.029741	.018462	.007315
MAN Model (B)	.001886	.008685	.003521	.001526	.000549

737

738739 Comparison of MAN background calculations for all background intensity map pixels with a

740 measured ZrSiO<sub>4</sub> matrix. Again model A is using the full MAN variance expression with the

terms for the MAN regression precision and model B is the modified MAN variance expression

742 without the MAN regression precision terms. Since the major elements are actually measured

# Revision 3

743 here (relative to a ZrSiO<sub>4</sub> standard), the average atomic number variance is dominated by the 744 major element concentration variation. Therefore the measured and predicted (modeled) 745 variances are somewhat larger than the fixed matrix variances seen in table 3b as expected. However, model A which includes the MAN regression precision terms of the MAN variance 746 expression, results in predicted background intensity variances that are approximately 10 times 747 748 larger than observed in the actual data, while model B, without the MAN regression precision terms, produces predicted variances which are in excellent agreement with the actual MAN 749 750 background intensity variances. 751

# Revision 3

Off-peak, No blank		Th WT%	Hf WT%	U WT%	P WT%	Y WT%
	Average	0.002138	0.01171	0.013602	-0.00505	-0.00947
	Std Dev	0.006832	0.005244	0.005994	0.000706	0.005989
		Th WT%	Hf WT%	U WT%	P WT%	Y WT%
Off-peak, Blank	Average	0	0.001499	0.000001	0.000001	0.002501
	Std Dev	0.006832	0.005243	0.005994	0.000706	0.005989
		Th WT%	Hf WT%	U WT%	P WT%	Y WT%
Nth Point, No Blank	Average	0.001715	0.007501	0.018374	-0.00611	-0.00796
	Std Dev	0.004528	0.003775	0.001211	0.000376	0.002593
		Th WT%	Hf WT%	U WT%	P WT%	Y WT%
Nth Point, Blank	Average	0	0.001499	0.000002	0.000001	0.002501
	Std Dev	0.004529	0.003775	0.001211	0.000376	0.002593
MAN, No blank		Ih WI%	Ht W1%	U W I %	PWI%	YWI%
	Average	-0.05026	0.014599	-0.04495	0.028541	0.025386
	Std Dev	0.00447	0.00417	0.001219	0.000387	0.002595
MAN, Blank		Th WT%	Hf WT%	U WT%	P WT%	Y WT%
	Average	-0.00092	0.001398	-0.00071	-0.00005	0.00222
	Std Dev	0.004469	0.004169	0.001219	0.000387	0.002595

753

#### 754 Table 4

755 Comparison of measured variances in replicate measurements of Oak Ridge zircon at 20 keV,

100 nA, average of 5 points for off-peak and MAN measurements, with and without the blank

correction. As expected the measured variances (Std Dev) are almost identical between the blank

and non-blank measurements. Note also that the Nth Point and MAN background methods give

similar standard deviation results in about the same acquisition time, the difference being that the

760 Nth Point background method cannot handle compositional heterogeneity, while the MAN

761 background method can.

# Revision 3

#### 762 Figures

# 763



765

766 Fig 1. MAN (on-peak) background calibration curve for Na Ka, (20 keV, 20 nA, 5 um, 20 seconds integration time using TAP crystal) uncorrected for continuum absorption. 2<sup>nd</sup> order 767

768 polynomial fit yields an average relative deviation of approximately 8.5%.

- 770
- 771
- 772

**Revision 3** 



Fig 2. MAN (on-peak) background calibration curve for Na Kα, (20 keV, 20 nA, 5 um, 20 seconds integration time using TAP crystal) corrected for continuum absorption. 2<sup>nd</sup> order
polynomial fit yields an average relative deviation of approximately 5.5%.

**Revision 3** 



Fig 3. MAN (on-peak) background calibration curve for K Kα, (20 keV, 20 nA, 5 um, 20
seconds integration time using PET crystal) uncorrected for continuum absorption. 2<sup>nd</sup> order
polynomial fit yields an average relative deviation of approximately 2.6%.

**Revision 3** 



Fig 4. MAN (on-peak) background calibration curve for K Kα, (20 keV, 20 nA, 5 um, 20

seconds integration time using PET crystal) corrected for continuum absorption. 2<sup>nd</sup> order

786 polynomial fit yields an average relative deviation of approximately 2.1%.

**Revision 3** 



Fig 5. Ti wt.% in Audetat natural quartz standard. MAN vs. Off-Peak, Ti Kα (LIF/LLIF), 20
keV, 100 nA, 10 um, 200 secs on-peak, (200 secs off-peak), Both datasets are aggregates from 2
spectrometers and blank corrected. "Line number" refers to the acquisition order.

#### **Revision 3**



Fig 6. Al wt.% in Audetat natural quartz standard. MAN vs. Off-Peak, Al K (TAP/LTAP), 20 keV, 100 nA, 10 um, 200 secs on-peak, (200 secs off-peak). Both datasets are aggregates from 2 spectrometers and blank corrected. The line numbers are proxy for acquisition order and show alternating acquisitions between off-peak and MAN measurements. Outliers on off-peak measurements may represent spectrometer reproducibility problems that are not seen with MAN measurements.

799

800

# Revision 3



802

Fig. 7a. Calculated background intensities using a linear interpolation of the measured off-peak

804 pixel intensities using high side and low side off-peak positions for Al,K $\alpha$ , Ti K $\alpha$ , Fe K $\alpha$  and Na

 $K\alpha$  in synthetic SiO<sub>2</sub>, 15 keV, 100 nA, 6000 msec on-peak, 3000 msec off-peak (x2). Note that the calculated background intensities show the expected variance from the off-peak measurement

807 uncertainties.

Fig. 7b. Calculated background intensities using a linear regression curve from the measured on-808 809 peak pixel intensities for a number of standard materials which **do not** contain the elements of interest. Al Ka, Ti Ka, Fe Ka and Na Ka in synthetic SiO<sub>2</sub>, 15 keV, 100 nA, 6000 msec on-810 peak. Note that the calculated background intensities show a much smaller degree of variance. 811 812 This is due to the fact that the MAN calibration curve always returns the same intensity value for 813 a given average Z, which is based on the measured composition. Since the composition is this case (pure  $SiO_2$ ) is essentially constant (the variation in the trace elements causes some small 814 degree of calculated average Z), the calculated is also essentially a constant. 815

816

The fact that a re-measurement of the MAN regression curve will produce slightly different (but again essentially constant intensities for a given average Z), indicates an accuracy error that must be corrected using the blank correction step as described in the text.

- 820
- 821
- 822

#### **Revision 3**





Fig. 8a. Ti wt.% in synthetic SiO<sub>2</sub>, 15 keV, 100 nA, 6000 msec on-peak, 3000 msec off-peak (x2) and processed using measured off-peak backgrounds.

827

Fig. 8b. Ti wt.% in synthetic SiO<sub>2</sub>, 15 keV, 100 nA, 6000 msec on-peak (only) and processed
using measured MAN standard calibration curve in ½ the acquisition time (using the on-peak
intensities from fig. 8a). The average (zero) difference between the two maps is approximately
20-30 PPM, without any "blank" correction".

- 832
- 833

**Revision 3** 







- off-peak (x2) with off-peak processing and no blank correction. Off-peak sensitivity is a
   combination of both the on-peak and off-peak counting statistics.
- 839

Fig 9b. Calculated detection limits in synthetic SiO<sub>2</sub>, 15 keV, 100 nA, 6000 msec on-peak only,
MAN background correction and no blank correction. Because the MAN background method is
dominated essentially by the on-peak counting statistics, we obtain better sensitivity in

- 843 approximately  $\frac{1}{2}$  the counting time.
- 844

Fig 9c. Al, Ti, Fe and Na wt.% in Reed amethyst (Butte, MO), 15 keV, 100 nA, 6000 msec onpeak and 3000 msec off-peak (x2) using off-peak background corrections and blank corrected.

- Fig. 9d. Al, Ti, Fe and Na wt.% in Reed amethyst (Butte, MO), 15 keV, 100 nA, 6000 msec on-
- 849 peak using MAN (on-peak) intensities only and blank corrected.
- 850

**Revision 3** 





Fig 10. Point analyses on two synthetic zircons for both off-peak measured and MAN calculated background intensities for U and P. Acquisition conditions were 20 keV, 100 nA, 10 um, 200 secs on-peak, (200 secs off-peak). Note the somewhat larger variance in the off-peak data for U and what appears to be approximately 80 PPM of P in the Hanchar zircon compared to the Oak Ridge zircon.

**Revision 3** 





![](_page_49_Figure_1.jpeg)

![](_page_49_Figure_2.jpeg)

![](_page_49_Figure_3.jpeg)

Fig. 12a. Calculated background intensities in a synthetic zircon (#257 from Lynn Boatner at Oak Ridge) using a linear interpolation of the measured off-peak pixel intensities using high side and low side off-peak positions for Hf M $\alpha$ , U M $\alpha$ , P K $\alpha$  and Th M $\alpha$ . Conditions were 20 keV, 100 nA, 3000 msec on-peak, 1500 msec off-peak (x2). Note that the calculated background intensities show the expected variance from the off-peak measurement uncertainties.

876

Fig. 12b. Calculated background intensities using a linear regression curve from the measured 877 on-peak intensities for a number of standard materials which do not contain the elements of 878 interest. Hf Ma, U Ma, P Ka and Th Ma, at 20 keV, 100 nA, 3000 msec on-peak only. Note 879 880 that the calculated MAN background intensities show a much smaller degree of variance than the off-peak background intensities in Fig 12a. Also note that the calculated off-peak backgrounds in 881 fig. 12a for P k $\alpha$  are much higher than the MAN calculated background intensities in this figure. 882 This difference is due to significant interference from Zr L lines family on the P k $\alpha$  (low side) 883 off-peak position. In other words, because MAN backgrounds do not utilize any off-peak data, 884 885 there is no off-peak interferences for the MAN background method and hence a more accurate background correction in this case. Finally note the slightly greater concentration of Hf in the 886

upper part of the map causes a slightly higher average Z to be calculated (and hence a slightly

Revision 3

higher MAN background intensity to be derived from the MAN calibration curve), which is only
 visible in the MAN background map.

890

Fig. 12c. Calculated elemental concentrations in a synthetic zircon using a linear interpolation

from the measured off-peak intensities for Hf Mα, U Mα, P Kα and Th Mα. Conditions were 20

keV, 100 nA, 3000 msec on-peak, 1500 msec off-peak (x2) and blank corrected. Note that the calculated concentrations from the off-peak measurements show larger variations than the MAN

background corrected intensities due to the variance of the off-peak measurements in fig 12a.

896

Fig. 12d. Calculated elemental concentrations in a synthetic zircon using MAN calibration

898 curves corrected for continuum absorption for Hf Mα, U Mα, P Kα and Th Mα. Conditions

899 were 20 keV, 100 nA, 3000 msec on-peak only and blank corrected. Note the variation in the Hf

900 concentration map is significantly smaller for the MAN corrected map than the off-peak

901 corrected map in fig 12c due to the greater precision of the MAN method.

### **Revision 3**

![](_page_51_Figure_2.jpeg)

904 Fig 13a. Calculated detection limits in synthetic zircon (Boatner), 15 keV, 100 nA, 3000 msec,

905 1500 msec off-peak (x2) off-peak background correction without the blank correction. Off-peak

sensitivity is a combination of on-peak and off-peak counting statistics

907 Fig 13b. Calculated detection limits in synthetic zircon (Boatner), 15 keV, 100 nA, 3000 msec

908 on-peak only, MAN background correction and without a blank correction. The detection limit

909 calculation in the case of the MAN background method is essentially dominated by only the on-

910 peak counting statistics since the matrix elements are fixed by specification.

911

# Revision 3

![](_page_52_Figure_2.jpeg)

913 Fig. 14a. Calculated background intensities in SIMS oxygen isotope standard AS3 zircon using a

914 linear interpolation from the measured off-peak intensities for Hf M $\alpha$ , U M $\alpha$ , P K $\alpha$  and Th M $\alpha$ .

915 Conditions were 20 keV, 100 nA, 4000 msec on-peak, 2000 msec off-peak (x2).

916 Fig. 14b. Calculated background intensities in SIMS oxygen isotope standard AS3 zircon using

917 MAN calibration curves corrected for continuum absorption for Hf Mα, U Mα, P Kα and Th

918 Mα. Conditions were 20 keV, 100 nA, 4000 msec on-peak only. Note that the calculated MAN

background intensities show a much smaller degree of variance than the off-peak background

- 920 intensities in Fig 14a.
- 921

- Fig. 14c. Calculated elemental concentrations in a natural SIMS oxygen isotope standard AS3
- 223 zircon using a linear interpolation from the measured off-peak intensities for Hf Mα, U Mα, P
- 924 Kα and Th Mα. Conditions were 20 keV, 100 nA, 4000 msec on-peak, 2000 msec off-peak (x2).
- 925 Note that the calculated concentrations for U and Th from these off-peak corrected
- 926 measurements are consistently lower compared to the MAN background corrected
- 927 concentrations as seen in fig. 14d, due to subtle interferences and continuum artifacts in the off-
- 928 peak measurements.

Revision 3

- 929 Fig. 14d. Calculated elemental concentrations in a natural SIMS oxygen isotope standard AS3
- 930 zircon using MAN calibration curves corrected for continuum absorption for Hf Ma, U Ma, P
- 931 Kα and Th Mα. Conditions were 20 keV, 100 nA, 4000 msec on-peak only.
- 932

![](_page_54_Figure_1.jpeg)

![](_page_54_Figure_2.jpeg)

![](_page_54_Figure_3.jpeg)

Fig. 15. Line profiles for both off-peak and MAN background methods for the U concentration
maps in figs 14c and 14d. Here we can easily see that accuracy is maintained and precision is
significantly improved, while in practice, the MAN method acquisition would take <sup>1</sup>/<sub>2</sub> the
acquisition time of the off-peak trace element maps.