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## Revision 1

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# Raman scattering and Cr<sup>3+</sup> luminescence study on the structural behavior of

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## δ-AlOOH at high pressures

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## Abstract

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δ-AlOOH is regarded as a potential water carrier that is stable in the Earth's lower

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mantle down to the core-mantle boundary along the cold slab geotherm; thus,

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knowledge of its structural evolution under high pressure is very important for

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understanding water circulation in the Earth's interior. In this work, we conducted

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Raman scattering and luminescence spectroscopic experiments on δ-AlOOH at high

20 pressures up to 34.6 GPa and 22.1 GPa, respectively. From the collected Raman  
21 spectra, significant changes in the pressure dependence of the frequencies of  
22 Raman-active modes were observed at ~8 GPa with several modes displaying  
23 softening behaviors. In particular, the soft  $A_1$  mode, which corresponds to a lattice  
24 vibration of the  $AlO_6$  octahedron correlated to OH stretching vibration, decreases  
25 rapidly with increasing pressure and shows a trend of approaching  $0\text{ cm}^{-1}$  at ~9 GPa  
26 according to a quadratic polynomial extrapolation. These results provide clear  
27 Raman-scattering spectroscopic evidence for the  $P2_1nm$ -to- $Pnmm$  structural transition.  
28 Similarly, the phase transition was also observed in the luminescence spectra of  $Cr^{3+}$   
29 in both powder and single-crystal  $\delta$ - $AlOOH$  samples, characterized by abrupt changes  
30 in the pressure dependences of the wavelength of the R-lines and sidebands across the  
31  $P2_1nm$ -to- $Pnmm$  transition. The continuous decrease in  $R_2$ - $R_1$  splitting with pressure  
32 indicated that the distortion of the  $AlO_6$  octahedron was suppressed under  
33 compression. No abnormal features were clearly observed in our Raman or  
34 luminescence spectra at ~18 GPa, where the ordered symmetrization or fully-centered  
35 state with hydrogen located at the midpoint of the hydrogen bond was observed by a  
36 neutron diffraction study. However, some subtle changes in Raman spectra indicated  
37 that the ordered symmetrization state might form at around 16 GPa.

38 **Keywords:**  $\delta$ - $AlOOH$ , phase transition, Raman spectra, luminescence, high pressure

## 39 1. Introduction

40 Hydrous minerals in subducted slabs are widely accepted as the dominant water  
41 carriers into the Earth's interior (Ohtani 2020). Their dehydration can significantly  
42 influence the evolution of the mantle by altering the physical and chemical properties  
43 of the surrounding materials (Hirschmann 2006; Mei and Kohlstedt 2000; Wang et al.  
44 2006). To date, various hydrous minerals, such as antigorite, lawsonite and dense  
45 hydrous magnesium silicate phases, have been suggested to transport water from the  
46 Earth's surface to the deep mantle (Iwamori 2004; Ono 1998). Among those hydrous  
47 minerals,  $\delta$ -AlOOH stands out due to its wide P-T stability field. High-pressure and  
48 high-temperature experiments have indicated that  $\delta$ -AlOOH could survive P-T  
49 conditions up to  $\sim$ 140 GPa and 2500 K; hence this mineral is regarded as a potential  
50 water carrier to the Earth's core-mantle boundary (Duan et al. 2018; Ohtani et al. 2001;  
51 Piet et al. 2020). Since Suzuki et al. (2000) first synthesized it by the dehydration  
52 reaction of  $\text{Al}(\text{OH})_3$  at 21 GPa and 1000 °C, several routes have been proposed to  
53 produce  $\delta$ -AlOOH using various starting materials including diaspore, phase egg and  
54 hydrous peridotite (Fukuyama et al. 2017; Ohtani et al. 2001; Sano et al. 2004;  
55 Yoshino et al. 2019; Zhang et al. 2019). These results have further confirmed the  
56 possible existence of  $\delta$ -AlOOH in the deep interior of the Earth.

57  $\delta$ -AlOOH belongs to orthorhombic system with a  $P2_1nm$  space group under  
58 ambient conditions (Komatsu et al. 2006). It consists of infinite edge-sharing  $\text{AlO}_6$   
59 octahedral chains along the  $c$ -axis that are cross-linked by hydrogen bonds in the  $a$ - $b$   
60 plane (Figure 1a). Due to its significance as an important water carrier at lower mantle

61 depths in subduction zones, the structural behavior of  $\delta$ -AlOOH at high pressure has  
62 attracted much attention. X-ray diffraction studies observed a significant change in the  
63 axial ratios of  $a/c$  and  $b/c$  at  $\sim 10$  GPa, which is likely to correspond to the structural  
64 transition from  $P2_1nm$  to  $Pnmm$  (Kuribayashi et al. 2014; Sano-Furukawa et al. 2009;  
65 Simonova et al. 2020). An analysis of the unit-cell volume versus pressure data  
66 showed that the bulk modulus of the high-pressure  $Pnmm$  phase was  $\sim 52\%$  higher than  
67 that of the low pressure  $P2_1nm$  phase, indicating a stiffened unit cell after the phase  
68 transition (Simonova et al. 2020). Moreover, significant changes in the frequency  
69 slope with pressure for the vibrational modes related to hydrogen bonds were also  
70 indicated at  $\sim 10$  GPa by IR spectroscopic measurements (Kagi et al. 2010). A recent  
71 neutron diffraction study further revealed that this structural transition is accompanied  
72 with the order-to-disorder transformation of hydrogen along hydrogen bonds as a  
73 result of the tunneling effect of hydrogen, and the high-pressure disordered phase  
74 further transitions into an ordered phase with symmetrized hydrogen bonds or  
75 fully-centered phase at  $\sim 18$  GPa without changing the  $Pnmm$  structure; in this ordered  
76 phase, hydrogen atoms are located at the midpoints of two neighboring  
77 hydrogen-bonded oxygen atoms (Sano-Furukawa et al. 2018). However, the transition  
78 to the ordered symmetrization phase was not observed to relate to any unusual feature  
79 in previous X-ray diffraction or spectroscopic works (Kagi et al. 2010; Simonova et al.  
80 2020). The structures of the disordered and ordered  $Pnmm$  phases are shown in Figure  
81 1 (b) and Figure 1 (c), respectively. Additionally, first-principle calculations were used  
82 to understand these structural behaviors and their effect on the physical properties of

83  $\delta$ -AlOOH. These calculations have reproduced the primary experimental phenomena,  
84 such as changes in the compressibility of the axial ratios and pressure dependences of  
85 elastic moduli across the phase transition (Cedillo et al. 2016; Cortona 2017; Li et al.  
86 2006; Pillai et al. 2018; Tsuchiya and Tsuchiya 2009). However, the critical pressure  
87 of  $\sim 30$  GPa for hydrogen-bond symmetrization predicted in most theoretical studies  
88 was much higher than the experimental value of  $\sim 18$  GPa (Sano-Furukawa et al. 2018;  
89 Tsuchiya et al. 2008). Moreover, the calculations completely missed the disordered  
90 phase in the structural transition path and hence ascribed the abnormal behaviors to  
91 the symmetrization of hydrogen bonds, which was not consistent with the neutron  
92 diffraction result of Sano-Furukawa et al. (2018). Although a comprehensive  
93 theoretical model has not yet been well depicted, one recent theoretical work showed  
94 that the disordered *Pnmm* phase of  $\delta$ -AlOOH can be successfully described when  
95 thermal and nuclear quantum effects are considered (Bronstein et al. 2017).

96 It is well known that Raman and luminescence spectroscopies are sensitive to  
97 local bonding environments; hence it is possible to characterize the structural  
98 evolution of  $\delta$ -AlOOH from a spectroscopic perspective. Indeed, one previous study  
99 by Mashino et al. (2016) already showed the disappearance and appearance of Raman  
100 peaks at 6.5 GPa, which were taken as the signatures for the *P2<sub>1</sub>nm*-to-*Pnmm* phase  
101 transition. The observed critical pressure of 6.5 GPa in their study was slightly lower  
102 than that determined by X-ray and neutron diffraction studies; this discrepancy may  
103 be due to the existence of deviatoric stress in the sample because no  
104 pressure-transmitting medium was used in the experiments. Additionally, the

105 first-principle calculations of Tsuchiya et al. (2008) also showed that some lattice  
106 modes in the  $P2_1nm$  phase exhibited softening behaviors with increasing pressure.  
107 However, no detailed Raman scattering study at high pressure has yet to be reported  
108 for  $\delta$ -AlOOH. A previous Raman study recorded only a limited number of Raman  
109 modes (Mashino et al. 2016), and no softening behavior was clearly documented.  
110 Therefore, a further Raman study is still essential for better understanding the  
111 structural behavior and vibrational dynamics of  $\delta$ -AlOOH under high pressure. In  
112 addition to Raman spectroscopy, luminescence spectroscopy of  $Cr^{3+}$  provides another  
113 effective method to probe the local structural information in minerals under high  
114 pressure. Ruby is a typical material of  $Cr^{3+}$  luminescence and is widely used as a  
115 pressure calibration in diamond-anvil cells by utilizing the pressure-induced shift of  
116 its  $R_1$  emission line (Syassen 2008). Several recent studies have further certified that  
117 the luminescence of  $Cr^{3+}$  can be used as an indicator for subtle structural modification  
118 in minerals under high pressure (O'Bannon III and Williams 2019; O'Bannon and  
119 Williams 2016a, 2016b). To the best of our knowledge, this method has not been  
120 applied to investigate the compression behavior of  $\delta$ -AlOOH thus far.

121 To further explore the structural evolution of  $\delta$ -AlOOH upon compression by  
122 spectroscopic methods, we performed high pressure Raman scattering and  
123 luminescence spectroscopic measurements on single-crystal  $\delta$ -AlOOH and  
124  $Cr^{3+}$ -bearing powder  $\delta$ -AlOOH. We mainly focused on the proposed phase transitions  
125 in the process of hydrogen-bond symmetrization and tried to identify the unique  
126 signatures of these phase transitions in the spectroscopy results.

## 127 2. Experimental methods

128 Two samples, single-crystal  $\delta$ -AlOOH and Cr<sup>3+</sup>-bearing powder  $\delta$ -AlOOH, were  
129 synthesized under high pressure and high-temperature conditions on the Sakura  
130 2500-ton multi-anvil apparatus at the Guangzhou Institute of Geochemistry, Chinese  
131 Academy of Sciences. The typical sample assembly for the synthesis of  $\delta$ -AlOOH is  
132 the same as that described by Liu et al. (2019). For single-crystal  $\delta$ -AlOOH, reagent  
133 grade Al(OH)<sub>3</sub> of high purity was used as the starting material and was placed in a  
134 welded gold capsule; the synthesis experiment was performed at 20 GPa and 1000 °C  
135 with a duration of 22 hours (run number U795). The recovered sample was composed  
136 of transparent crystals with maximum dimensions of approximately 300  $\mu$ m. To  
137 synthesize Cr<sup>3+</sup>-bearing powder  $\delta$ -AlOOH, we utilized ground natural diaspore  
138 powder with strong Cr<sup>3+</sup> luminescence as the starting material, and the P-T conditions  
139 for the synthesis were ~19 GPa and ~1000 °C (run number U765; the temperature was  
140 estimated from the heating power due to thermocouple cutoff during the experiment).  
141 The recovered sample contained fine-grained aggregates of  $\delta$ -AlOOH. Both samples  
142 were confirmed to be a single phase of  $\delta$ -AlOOH with a  $P2_1nm$  structure by X-ray  
143 diffraction measurements at the 13IDD beamline of GSECARS. The lattice  
144 parameters were  $a = 4.7093(8)$  Å,  $b = 4.2271(1)$  Å,  $c = 2.8302(1)$  Å, and  $V_0 = 56.34(5)$   
145 Å<sup>3</sup> for the single-crystal sample and  $a = 4.7136(1)$  Å,  $b = 4.2255(4)$  Å,  $c = 2.8329(1)$   
146 Å, and  $V_0 = 56.42(4)$  Å<sup>3</sup> for the powder sample, which are very close to the values  
147 reported in a recent single-crystal X-ray diffraction study (Simonova et al. 2020). The  
148 chemical compositions determined by electron probe microanalysis (EPMA) indicate

149 that the single-crystal sample is of high purity, containing AlOOH with an ideal  
150 formula, while the powder sample contained small amounts of Fe<sub>2</sub>O<sub>3</sub> (0.57 wt. %) and  
151 Cr<sub>2</sub>O<sub>3</sub> (0.05 wt. %).

152 Raman scattering and luminescence spectroscopy measurements were performed  
153 for both the single-crystal and powder  $\delta$ -AlOOH samples at ambient conditions and  
154 under high pressures. The high pressures were generated by a short symmetric  
155 diamond-anvil cell with a culet size of 400  $\mu\text{m}$ . A piece of double-polished  $\delta$ -AlOOH  
156 single-crystal or a flat powder  $\delta$ -AlOOH pellet was loaded into the sample chamber  
157 drilled in a pre-indented Re gasket. Argon was loaded to serve as a  
158 pressure-transmitting medium by the cryogenic method. The pressure was determined  
159 by the traditional ruby fluorescence method (Mao et al. 1986). The Raman scattering  
160 and luminescence spectra were collected with a resolution of 1-2  $\text{cm}^{-1}$  using an InVia  
161 Renishaw Raman spectrometer for powder  $\delta$ -AlOOH and a WITec Raman  
162 spectrometer for single-crystal  $\delta$ -AlOOH, respectively. The wavelength of the laser  
163 for both Raman systems was 532 nm. The obtained spectra were fitted by Horiba  
164 Scientific LabSpec 5 software to extract the peak positions.

### 165 **3. Results and Discussions**

#### 166 **3.1 Raman and luminescence spectra at ambient conditions**

167 The Raman spectra of the single-crystal and powder  $\delta$ -AlOOH collected under  
168 ambient conditions are shown in Figure 2. The spectral profiles of the two samples are  
169 quite similar in the lattice vibration region ranging from 100-1400  $\text{cm}^{-1}$ . A factor



170 group analysis predicted 21 Raman-active modes ( $\Gamma = 7A_1 + 4A_2 + 3B_1 + 7B_2$ ) for  
171  $\delta$ -AlOOH (Tsuchiya et al. 2008). However, we only observed 9 modes due to the  
172 weak intensities of the other modes or due to vibrational peak overlapping. The peak  
173 fitting results indicated that the observed modes for both samples were almost the  
174 same in frequency within errors; therefore, we listed the frequency values in Figure 2  
175 without distinguishing the samples. The symmetry of each mode was also labeled  
176 according to the first-principle calculations by Tsuchiya et al. (2008). As it was  
177 difficult to assign symmetry accurately based only on the calculated frequency when  
178 several peaks were very close together in frequency, we carefully traced the evolution  
179 of the modes with pressure and compared them with the simulated results to avoid  
180 potential mistakes. The  $B_2$  mode at  $1340 \text{ cm}^{-1}$  can be assigned as originating from the  
181 bending vibration of the O-H $\cdots$ O hydrogen bonds. The modes between 276 and  $620$   
182  $\text{cm}^{-1}$  can be attributed to the vibrations of the  $\text{AlO}_6$  octahedron (Xue et al. 2006). In  
183 particular, the  $A_1$  mode at  $276 \text{ cm}^{-1}$  and the  $B_2$  mode at  $382 \text{ cm}^{-1}$  correspond to lattice  
184 vibrations that are correlated with the O-H stretching vibrations of hydrogen bonds  
185 (Tsuchiya et al. 2008).

186 In the O-H stretching vibration region, no peaks can be distinguished for the  
187 powder  $\delta$ -AlOOH sample due to the strong luminescence of  $\text{Cr}^{3+}$  (Figure 2). However,  
188 broad multibands existed for the single-crystal  $\delta$ -AlOOH sample. According to the  
189 correlation between the O-H stretching frequency and O-H $\cdots$ O bond distance  
190 proposed by Libowitzky (1999), we derived that the O-O distance ranges from  $2.52 \text{ \AA}$   
191 to  $2.63 \text{ \AA}$ , which is close to the value of  $2.55 \text{ \AA}$  determined by X-ray diffraction

192 measurements (Kuribayashi et al. 2014). To date, the origin of these multibands is still  
193 under debate, and has been either ascribed to either Fermi resonance by Xue et al.  
194 (2006) or disorder superstructure by Tsuchiya et al. (2008). We found that the disorder  
195 model of Tsuchiya et al. (2008) can reproduce the Raman spectra collected in our  
196 present experiments well.

197 In the luminescence spectra (Figure 3), the most prominent feature of the powder  
198  $\delta$ -AlOOH was two sharp and strong peaks at 684.2 nm and 686.5 nm, which can be  
199 undoubtedly assigned to the  $R_1$  and  $R_2$  lines of  $Cr^{3+}$  luminescence. The R-lines  
200 originate from the spin-forbidden  ${}^2E-{}^4A_2$  transition of  $Cr^{3+}$  and imply a relatively  
201 strong octahedral crystal field of  $CrO_6$  in  $\delta$ -AlOOH (Tanabe and Sugano 1954). Even  
202 though no  $Cr^{3+}$  was detected by EPMA in the crystal  $\delta$ -AlOOH, the sample displays a  
203 luminescence spectrum very similar to that of the powder  $\delta$ -AlOOH sample, implying  
204 that a minor amount of  $Cr^{3+}$  was incorporated in the crystal  $\delta$ -AlOOH sample. We  
205 speculate that the minor  $Cr^{3+}$  in the crystal  $\delta$ -AlOOH was likely introduced by the  
206  $LaCrO_3$  heater during the high-pressure and high-temperature synthesis experiment  
207 using the multi-anvil apparatus, which was also observed in the synthesis experiment  
208 of Si-rich Mg-sursassite (Bindi et al. 2020). Based on the EPMA data, a small amount  
209 of  $Fe_2O_3$  is present in the powder  $\delta$ -AlOOH; however, it seems that no obvious  
210 luminescence peaks result from this  $Fe^{3+}$  due to the similarity of the luminescence  
211 spectra of the two samples. Additionally, several sidebands are observed on both sides  
212 of the R-lines. The sidebands may be associated with  $Cr^{3+}$  pairs or vibronic peaks  
213 (O'Bannon and Williams 2016a, 2016b). All the luminescence peak positions are

214 given in Table 1.

### 215 **3.2 High pressure Raman spectra**

216 Raman spectra were collected at high pressures up to 34 GPa for the powder  
217  $\delta$ -AlOOH and up to 34.6 GPa for the single-crystal  $\delta$ -AlOOH. The stacked  
218 representative Raman spectra are shown in Figure 4. Due to the strong first-order  
219 Raman peak of diamond at  $\sim 1300\text{ cm}^{-1}$ , our Raman scattering measurements at high  
220 pressures were restricted to a wavenumber region from  $\sim 100\text{ cm}^{-1}$  to  $1200\text{ cm}^{-1}$ .  
221 Although the OH stretching vibrational modes for single-crystal  $\delta$ -AlOOH were  
222 clearly recorded under ambient conditions, they were unable to be observed at high  
223 pressures due to the interference of the second-order Raman peaks of diamond at  
224  $\sim 2600\text{ cm}^{-1}$ . Therefore, the Raman-active O-H $\cdots$ O bending and OH stretching  
225 vibrational modes, which are in the wavenumber ranges of  $1200\text{--}1400\text{ cm}^{-1}$  and  
226  $2000\text{--}3000\text{ cm}^{-1}$  respectively, were not investigated at high pressures in this study.  
227 Fortunately, we were able to clearly observe at least six lattice vibrational modes in  
228 the collected high-pressure Raman spectra, allowing us to trace the compression  
229 behavior of  $\delta$ -AlOOH.

230 The powder and single-crystal  $\delta$ -AlOOH samples exhibit similar spectral  
231 features and evolution with pressure. The lowest  $A_1$  mode at  $276\text{ cm}^{-1}$  shifts quickly to  
232 a low wavenumber with the broadening peak width and gradually decreasing intensity  
233 as the pressure increases. The  $B_2$  mode at  $382\text{ cm}^{-1}$  also shows a negative pressure  
234 dependence but disappears at 6.5 GPa. In contrast to the softening behavior of the 276

235  $\text{cm}^{-1}$   $A_1$  and  $382 \text{ cm}^{-1}$   $B_2$  modes, the  $B_2$  modes at  $390 \text{ cm}^{-1}$  and  $416 \text{ cm}^{-1}$  shift toward  
236 high wavenumbers with increasing pressure. The  $A_1$  mode at  $\sim 515 \text{ cm}^{-1}$  is not  
237 sensitive to pressure and the  $B_2$  mode at  $626 \text{ cm}^{-1}$  shows a weak negative response to  
238 pressure. At pressures of 8.1 GPa and above, only two of six initial peaks can be  
239 observed, and several new weak peaks gradually appear. The detailed evolution trends  
240 of these modes are marked by red dashed lines in Figure 4.

241 The frequencies of the vibrational modes are plotted as a function of pressure in  
242 Figure 5, together with the previous data of Mashino et al. (2016). Clearly, the  $B_2$   
243 modes at  $390 \text{ cm}^{-1}$  and  $416 \text{ cm}^{-1}$  show abrupt changes in their pressure dependences at  
244  $\sim 8$  GPa, indicating the  $P2_1nm$ -to- $Pnmm$  phase transition observed in previous  
245 diffraction studies (Sano-Furukawa et al. 2018; Sano-Furukawa et al. 2009; Simonova  
246 et al. 2020). In the pressure range of 0-8 GPa, these two modes increase rapidly with  
247 pressure and display nonlinear behavior. Above 8 GPa, the modes exhibit less  
248 sensitivity to pressure with smaller linear slopes. In the case of the four softening  
249 modes, the  $A_1$  mode at  $276 \text{ cm}^{-1}$  and  $B_2$  mode at  $382 \text{ cm}^{-1}$ , which correspond to the  
250 lattice vibrations of  $\text{AlO}_6$  correlated with the OH stretching vibration, are found to be  
251 highly sensitive to pressure with respect to the other two  $B_2$  softening modes at  $515$   
252  $\text{cm}^{-1}$  and  $626 \text{ cm}^{-1}$ . The frequency of the  $B_2$  mode decreases from  $382 \text{ cm}^{-1}$  under  
253 ambient pressure to  $346 \text{ cm}^{-1}$  at 5.5 GPa and the  $A_1$  mode decreases from  $276 \text{ cm}^{-1}$  at  
254 ambient conditions to  $\sim 74 \text{ cm}^{-1}$  at 7.4 GPa. It is obvious that the negative slopes  
255 become larger with increasing pressure. Given the many pressure points, the shift of  
256 the  $A_1$  soft mode at  $276 \text{ cm}^{-1}$  with pressure is fitted using the quadratic polynomial

257 function. The best fitting shows that the frequency of the  $A_1$  mode reaches  $0\text{ cm}^{-1}$  at  
258  $\sim 9$  GPa (see the inset of Figure 5), which is very close to the pressure of the  
259  $P2_1nm$ -to- $Pnmm$  phase transition. We noticed that one new peak at  $701\text{ cm}^{-1}$  appears at  
260 9 GPa for powder  $\delta$ -AlOOH; this peak may be related to the phase transition.  
261 Additionally, another new weak peak at  $616\text{ cm}^{-1}$  was observed at 13.1 GPa for crystal  
262  $\delta$ -AlOOH, and some new peaks are more clear at pressures of 16.5 GPa and above. A  
263 recent neutron diffraction study indicated that the hydrogen-bond symmetrization of  
264  $\delta$ -AlOOH took place at  $\sim 18$  GPa. We speculate that the appearance of these news  
265 peaks might correlate with the process of hydrogen-bond symmetrization from the  
266 disordered state to the ordered state of hydrogen.

### 267 **3.3 High pressure luminescence spectra**

268 Luminescence spectroscopic measurements were performed at pressures up to  
269 22.1 GPa for both the powder and single-crystal  $\delta$ -AlOOH samples (Figure 6 and  
270 Figure 7). Because the sidebands of the single-crystal  $\delta$ -AlOOH were very weak due  
271 to the low  $\text{Cr}^{3+}$  content, only the R-lines were clearly discerned for the spectra at high  
272 pressures (Figure 7). In contrast, the sidebands and R-lines of the powder  $\delta$ -AlOOH  
273 could be traced up to the maximum pressure. The luminescence spectra of  $\delta$ -AlOOH  
274 at selected pressures are depicted in Figure 6. The relative intensity of the R-lines to  
275 the sidebands gradually decreases with increasing pressure and the  $R_1$  and  $R_2$  lines  
276 gradually converge into a single peak. At pressures of 13.4 GPa and above, it became  
277 difficult to deconvolve the R-lines with two peaks. The obtained peak positions for  
278 the emission lines at different pressures for both samples are shown in Figure 8. One

279 key feature is the nonlinear behavior that shows kinks at  $\sim 8$  GPa. For example,  $R_1$   
280 remains at a nearly constant wavelength with increasing pressure below 8 GPa and  
281 then increases rapidly at pressures above 8 GPa. The slopes or pressure dependences  
282 of the sidebands and R-lines were calculated for the pressure regions below and above  
283 8 GPa respectively, and are listed in Table 1. The anomalous variation in the slopes of  
284 the sidebands and R-lines is not surprising since a phase transition has been suggested  
285 to take place at the same pressure (Kuribayashi et al. 2014; Sano-Furukawa et al.  
286 2018). Therefore, our luminescence spectra provide additional clear evidence for the  
287 structural transition from  $P2_1nm$  to  $Pnnm$  in  $\delta$ -AlOOH. Similar to our Raman  
288 experiments, no obvious change in the pressure dependence of the wavelengths of the  
289 sidebands or R-lines was observed at  $\sim 18$  GPa.

290 The R-lines of  $Cr^{3+}$  correspond to the environment of the Al site in the crystal  
291 structure of  $\delta$ -AlOOH, and  $R_2$ - $R_1$  splitting is regarded as an indicator of the distortion  
292 of the  $AlO_6$  octahedron (O'Bannon III and Williams 2019; O'Bannon and Williams  
293 2016a, 2016b). Generally, a highly-distorted  $AlO_6$  octahedron leads to a large  $R_2$ - $R_1$   
294 splitting. Here, we plotted the  $R_2$ - $R_1$  splitting as a function of pressure in Figure 9 (a).  
295 The  $R_2$ - $R_1$  separation decreases continuously with pressure, implying that the  $AlO_6$   
296 octahedron becomes less distorted under compression. From previous X-ray  
297 diffraction studies on the ordered asymmetrical  $P2_1nm$  phase under ambient  
298 conditions, two different oxygen sites exist in a selected  $AlO_6$  octahedron of  
299  $\delta$ -AlOOH based on their relation to hydrogen. The O1 is linked to hydrogen by a  
300 weak  $H\cdots O$  hydrogen bond, and the O2 forms covalent bonds with hydrogen

301 (Komatsu et al. 2006). As shown in Figure 9 (b), we label O1a and O2a for oxygen  
302 atoms in the axial positions and O1e and O2e for oxygen atoms in the equatorial  
303 positions. In the high-pressure phase with symmetrical hydrogen-bonds (the ordered  
304 symmetrization phase), Al shifts to the center of an  $\text{AlO}_6$  octahedron, and four Al-O  
305 bonds in the equatorial plane become equal in bond length (Figure 9 (c)); hence, the  
306 distortion of the  $\text{AlO}_6$  octahedron is expected to be suppressed. According to the  
307 method proposed by Robinson et al. (1971), quadratic elongation (QE), the distortion  
308 factor of the  $\text{AlO}_6$  octahedron, was calculated using VESTA software with the  
309 structural data reported by previous diffraction studies (Momma and Izumi 2008;  
310 Sano-Furukawa et al. 2018; Simonova et al. 2020). As shown in the inset of Figure 9  
311 (a), the QE value, which is 1.0071 at ambient conditions, drops to 1.0033 at ~8 GPa  
312 after the phase transition from the  $P2_1nm$  to the  $Pnmm$  structure, indicating that  
313 pressure-induced suppression of distortion in the  $\text{AlO}_6$  octahedron occurs during the  
314 phase transition and further verifying further the conclusion inferred from R-lines  
315 splitting.

### 316 **3.4 Structural behavior and hydrogen-bond symmetrization in $\delta$ -AlOOH**

317  $\delta$ -AlOOH possesses a distorted rutile-type structure ( $P2_1nm$ ) with a strong and  
318 asymmetrical hydrogen bond under ambient conditions (Komatsu et al. 2006) and is  
319 predicted to transition into the  $Pnmm$  structure with symmetrical hydrogen bonds  
320 under compression (Tsuchiya et al. 2008). The abnormal change in the compressibility  
321 of  $\delta$ -AlOOH that has been observed at ~10 GPa by X-ray diffraction experiments in  
322 the past decade was correlated with hydrogen-bond symmetrization by

323 Sano-Furukawa et al. (2009). However, most theoretical studies have predicted a  
324 much higher critical pressure of  $\sim 30$  GPa for hydrogen-bond symmetrization in  
325  $\delta$ -AlOOH (Bronstein et al. 2017; Cedillo et al. 2016; Cortona 2017; Pillai et al. 2018;  
326 Tsuchiya and Tsuchiya 2009; Tsuchiya et al. 2008). Later X-ray diffraction studies  
327 indicated that the abnormal change in the compressibility of  $\delta$ -AlOOH is related to the  
328  $P2_1nm$ -to- $Pnmm$  phase transition in fact (Kuribayashi et al. 2014; Simonova et al.  
329 2020). More recently, a neutron diffraction study revealed that  $\delta$ -AlOOH undergoes  
330 the  $P2_1nm$ -to- $Pnmm$  transition at  $\sim 9$  GPa, that this transition is coupled with the  
331 order-disorder transition of hydrogen along the hydrogen bonds due to tunneling  
332 effect, and that the transition to the ordered symmetrization phase occurs at  $\sim 18$  GPa  
333 (Sano-Furukawa et al. 2018). The difference in pressure of the hydrogen bond  
334 symmetrization between the neutron diffraction study (Sano-Furusawa et al. 2018)  
335 and the theoretical study by Tsuchiya et al. (2008) can be explained well as a result of  
336 the temperature effect (Kang et al. 2017).

337       The Raman and luminescence spectral changes of  $\delta$ -AlOOH at  $\sim 8$  GPa observed  
338 in this study agree well with the  $P2_1nm$ -to- $Pnmm$  structure transition reported by  
339 previous X-ray and neutron diffraction studies (Kuribayashi et al. 2014;  
340 Sano-Furukawa et al. 2018; Simonova et al. 2020). In the low-pressure  $P2_1nm$  phase  
341 with ordered asymmetrical hydrogen bonds, the softening behavior of the four Raman  
342 lattice modes reflects the pressure-induced evolution of the hydrogen-bond geometry  
343 in the process of hydrogen-bond symmetrization, i.e., the covalent O-H bondlength  
344 slightly increases while the O-H $\cdots$ O distance becomes shortened under compression.



345 In contrast to the softening behavior, the B<sub>2</sub> modes at 390 cm<sup>-1</sup> and 416 cm<sup>-1</sup> show  
346 rapid increases with pressure that are consistent with the high compressibility or low  
347 bulk modulus of the P<sub>2</sub><sub>1</sub>nm phase. For the Pnm phase, all the observed Raman  
348 modes exhibit less sensitivity in frequency to pressure with small linear slopes (Figure  
349 5), which is consistent with the low compressibility or high bulk modulus of this  
350 phase (Sano-Furukawa et al. 2009; Simonova et al. 2020). It is interesting that the A<sub>1</sub>  
351 soft mode at 276 cm<sup>-1</sup> was extrapolated to approach 0 cm<sup>-1</sup> at ~9 GPa. Tsuchiya et al.  
352 (2008) indeed predicted by first-principle calculations that this mode will become 0  
353 cm<sup>-1</sup> when hydrogen-bond symmetrization takes place at 30 GPa. It is also noteworthy  
354 that the A<sub>1</sub> softening mode at 276 cm<sup>-1</sup> vanishes before reaching 0 cm<sup>-1</sup> at ~9 GPa, but  
355 the P<sub>2</sub><sub>1</sub>nm-to-Pnm transition takes place at ~8 GPa. We speculate that the vanishing  
356 of the A<sub>1</sub> soft mode at 276 cm<sup>-1</sup> is due to the much longer timespan of the Raman  
357 measurements than the quantum tunneling effect of hydrogen (proton) along the  
358 hydrogen bonds in δ-AlOOH; hence, the P<sub>2</sub><sub>1</sub>nm-to-Pnm structure transition is  
359 predicted to be coupled with an order-disorder transition of hydrogen due to tunneling  
360 effect (Benoit et al. 1996; Sano-Furukawa et al. 2018).

361 Additionally, we did not notice significant changes in the pressure dependence  
362 (slope) of frequency for the Raman-active lattice modes or the emission R-lines or  
363 sidebands of δ-AlOOH at ~18 GPa, at which the hydrogen bonds change from a  
364 disordered state to an ordered symmetrization state with a single-well proton  
365 (hydrogen) potential, as observed by a recent neutron diffraction study  
366 (Sano-Furukawa et al. 2018). Similarly, previous IR spectroscopy and single-crystal

367 diffraction studies also did not show any unusual behavior at approximately 18 GPa  
368 (Kagi et al. 2010; Simonova et al. 2020). However, our Raman spectroscopic results  
369 do show some subtle changes that may be related to the transition from the disordered  
370 to ordered symmetrization state of hydrogen. In comparison with the 10.5 GPa  
371 spectrum of single-crystal  $\delta$ -AlOOH, which can be assigned to be in the  
372 hydrogen-disordered state, several new but very weak peaks appear in the 16.5 GPa  
373 spectrum, and these new peaks become more clear at pressures of 24.4 GPa and above  
374 (Figure 4b). However, in comparison with the  $P2_1nm$ -to- $Pnmm$  transition, we noticed  
375 that the disordered-ordered symmetrization transition shows no obvious changes in  
376 the Raman scattering spectra. This might be determined by the nature of this phase  
377 transition (second-order), which is only related to the motion of hydrogen (proton)  
378 along hydrogen bonds from the disordered state to the fully-centered state with  
379 hydrogen located at the midpoint of a hydrogen bond. Both the neutron diffraction  
380 and Brillouin scattering evidence support the existence of a disordered-to-ordered  
381 symmetrization transition at pressures of approximately 15-20 GPa (Mashino et al.  
382 2016; Sano-Furukawa et al. 2018; Su et al. 2021b). Theoretical studies predicted that  
383 thermal and quantum effects may play important roles in the process of  
384 hydrogen-bond symmetrization (Bronstein et al. 2017; Kang et al. 2017). The ab initio  
385 molecular dynamics calculations with finite-temperature statistics yielded that the  
386 transition pressure to the ordered hydrogen-bond symmetrization state was 17.0 GPa  
387 at 300 K, being in good agreement with the neutron diffraction study and our present  
388 study (Kang et al. 2017; Sano-Furukawa et al. 2018).

#### 389 **4. Implications**

390 The Hydrogen-bond geometry and its evolution with pressure have profound  
391 effect on the structural behaviour and physical property of  $\delta$ -AlOOH and other  
392 hydrous minerals, especially elasticity (Mashino et al. 2016; Tsuchiya and Tsuchiya  
393 2009). First-principles calculations by Tsuchiya and Tsuchiya (2009) predicted that  
394 the elastic constants of  $\delta$ -AlOOH with asymmetrical hydrogen bonds (the ordered  
395 asymmetrical  $P2_1nm$  phase) are significantly smaller than those of  $\delta$ -AlOOH with  
396 symmetrical hydrogen bonds. In the process of hydrogen-bond symmetrization of  
397  $\delta$ -AlOOH, the diagonal elastic constants (especially  $C_{11}$  and  $C_{22}$ ), the bulk and shear  
398 moduli, and the acoustic velocities ( $V_P$ ,  $V_S$ ) show an anomalous increase or harden  
399 rapidly with pressure, and then become stiffened after achieving the ordered  
400 symmetrization state. These theoretical predictions have been confirmed by  
401 high-pressure Brillouin scattering experiments on  $\delta$ -AlOOH polycrystalline aggregate  
402 (Mashino et al. 2016; Su et al. 2021b). Besides, according to the phase diagram of  
403 AlOOH (Yoshino et al. 2019), the hydrogen-bond symmetrization is inferred to  
404 promote the stability field (or dehydration temperature) of  $\delta$ -AlOOH.

405 Phase relation and stability experiments revealed that  $\delta$ -AlOOH is an important  
406 hydrous mineral as the decomposition product of phase egg in the sedimentary layer  
407 of subducted slabs (Ono et al. 1998; Sano et al. 2004; Fukuyama et al. 2017), and may  
408 even exist in the basaltic and ultramafic layers (Suzuki et al. 2000; Ohtani et al. 2001;  
409 Yuan et al. 2019). It is stable under the P-T conditions of the lower part of the mantle  
410 transition zone and the lower mantle (Sano et al. 2004; Fukuyama et al. 2017; Duan et

411 al. 2018; Yuan et al. 2019). According to our Raman and luminescence results,  
412  $\delta$ -AlOOH under compression undergoes hydrogen-bond symmetrization and achieves  
413 the ordered symmetrization state at  $\sim 16$  GPa. Because quantum effects of hydrogen is  
414 predicted to dominate the process of hydrogen-bond symmetrization (Bronstein et al.  
415 2017), a weak temperature dependence of the transition pressure to the ordered  
416 symmetrization phase is expected. Therefore, it can be estimated that  $\delta$ -AlOOH is  
417 generally in the ordered symmetrization state in the Earth's deep interior. The  
418 compressional-wave ( $V_P$ ) and shear-wave ( $V_S$ ) velocities of  $\delta$ -AlOOH in the ordered  
419 symmetrization phase are significantly greater than most major minerals in the mantle  
420 transition zone and low mantle bridgmanite and hence may contribute to the  
421 high-velocity seismic anomalies at depths of the mantle transition zone and upmost  
422 low mantle (Su et al. 2021b).

423 In contrast to  $\delta$ -AlOOH, other subduction-related hydrous minerals, such as  
424  $\epsilon$ -FeOOH, phase D and ice VII, transit to the disordered phase and the ordered  
425 symmetrization phase at pressures higher than their lower pressure limits of stability  
426 fields (Guthrie et al. 2019; Thompson et al. 2020; Tsuchiya et al. 2005; Yoshino et al.  
427 2019). These hydrous minerals may vary from ordered asymmetrical, disordered and  
428 ordered symmetrization states of hydrogen bond with increasing depth in the Earth's  
429 deep interior. To constrain well geophysical observations so as to understand the water  
430 storage and circulation in subduction zones, it is essential to investigate systematically  
431 the effect of hydrogen-bond symmetrization on the physical property of  
432 subduction-related hydrous minerals by both high-pressure experiments and

433 theoretical calculations.

434 Under nature circumstances,  $\delta$ -AlOOH in the basaltic and ultramafic layers of  
435 subducting slabs may contain some amount of iron (Yuan et al. 2019). The  
436 incorporation of iron can significantly increase the  $P2_1nm$ - $Pnmm$  transition and  
437 hydrogen-bond symmetrization pressures of  $\delta$ -AlOOH, decrease its acoustic velocities  
438 and affect other physical properties such as electrical conductivity and thermal  
439 conductivity (Su et al. 2021a, 2021b). Especially, the iron in  $\delta$ -AlOOH can induce the  
440 high spin to low spin transition at high pressure. Therefore, it is also necessary to  
441 figure out in details the effect of iron on the physical property of  $\delta$ -AlOOH in future.

#### 442 **Acknowledgments**

443 We would like to thank Eiichi Takahashi for his technical assistance in the sample  
444 synthesis. The X-ray diffraction measurements of samples under ambient conditions  
445 were conducted at the 13-IDD beamline of GSECARS, Advanced Photon Source,  
446 Argonne National Laboratory. GSECARS is supported by the National Science  
447 Foundation-Earth Sciences (EAR-1634415) and Department of Energy-GeoSciences  
448 (DEFG02-94ER14466). This research was financially supported by the Strategic  
449 Priority Research Program (B) of the Chinese Academy of Sciences (Grant No.  
450 XDB18000000) and the National Natural Science Foundation of China (Grants No.  
451 41874107, 41574079). This is contribution No. IS-XXXX from GIGCAS.

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613 **Table 1.** The Cr<sup>3+</sup> luminescence peak positions of  $\delta$ -AlOOH under ambient conditions

614 and their slopes under compression.

615

No.	Ambient $\lambda$ (nm)	$P2_{1nm}$ (0-8 GPa)	$P_{nm}$ (8-22 GPa)
p1	661.3	0.17	0.12
p2	666.2	0.29	0.18
p3	669.1	0.12	-
p4	672.4	0.09	0.14
p5	673.4	0.35	0.09
p6	675.4	-	-
R <sub>2</sub>	684.2	0.17	0.23

R <sub>1</sub>	686.5	0.00	0.22
p7	698.4	0.08	0.35
p8	702.0	-	-
p9	705.0	0.10	0.33
p10	709.0	-	-
p11	711.6	0.21	0.49
p12	714.3	-	-
p13	717.4	0.45	0.49
p14	720.4	-	-

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616

617 **Figure captions**

618 **Figure 1.** Crystal structures of  $\delta$ -AlOOH. (a) The low pressure ordered  $P2_1nm$  phase  
619 with asymmetrical hydrogen bonds from ambient pressure to 8 GPa,, (b)  
620 high-pressure disordered  $Pnmm$  phase with symmetrical hydrogen bonds from 8 to 18  
621 GPa, and (c) high-pressure ordered  $Pnmm$  phase with symmetrical hydrogen bonds  
622 above 18 GPa. The large silver, medium-red and small white spheres represent Al, O  
623 and H atoms, respectively. The structures were drawn using VESTA software  
624 (Momma and Izumi 2008). Structural data are from Sano-Furukawa et al. (2018).

625

626 **Figure 2.** Raman spectra of powder and crystal  $\delta$ -AlOOH under ambient condition.

627

628 **Figure 3.** Luminescence spectra of powder and crystal  $\delta$ -AlOOH under ambient

629 conditions. The inset shows the  $R_1$  and  $R_2$  values of  $\text{Cr}^{3+}$  luminescence in  $\delta\text{-AlOOH}$ .

630

631 **Figure 4.** Representative high-pressure Raman spectra of  $\delta\text{-AlOOH}$  in the (a) powder  
632 sample and (b) crystal sample. The red dashed lines trace the evolution of Raman  
633 peaks with increasing pressure.

634

635 **Figure 5.** The Raman mode frequencies of  $\delta\text{-AlOOH}$  as a function of pressure. The  
636 black vertical dashed line indicates the phase transition at  $\sim 8$  GPa from  $P2_1nm$  to  
637  $Pnmm$ . The black dashed lines below 8 GPa show the nonlinear evolution of the mode  
638 with pressure. The black solid lines above 8 GPa show the linear fitting results of the  
639 compressional data and the slopes are shown near the lines. The inset shows the  
640 quadratic polynomial extrapolation of the  $A_1$  mode at  $276\text{ cm}^{-1}$ .

641

642 **Figure 6.** Stacked high-pressure  $\text{Cr}^{3+}$  luminescence spectra of powder  $\delta\text{-AlOOH}$ . The  
643 # denotes the R-line for ruby.

644

645 **Figure 7.** Stacked high-pressure  $\text{Cr}^{3+}$  luminescence spectra of crystal  $\delta\text{-AlOOH}$ .

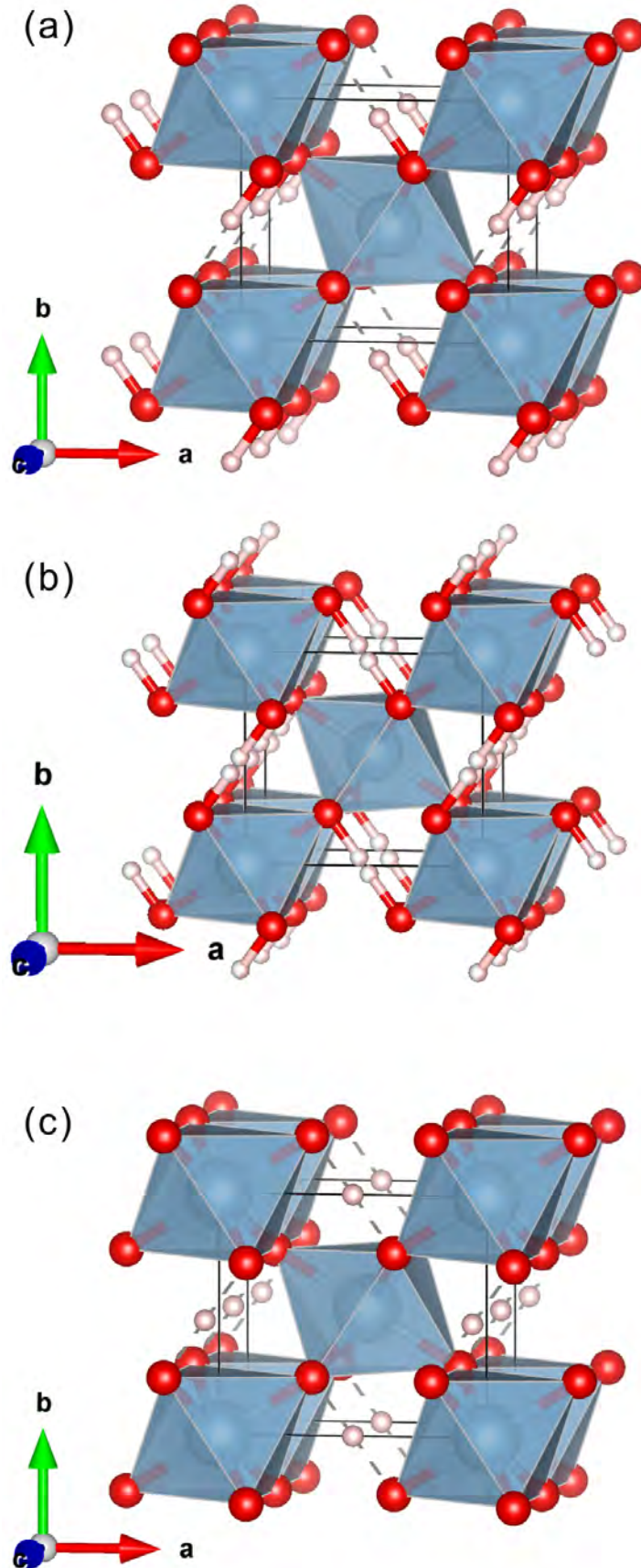
646

647 **Figure 8.** The  $\text{Cr}^{3+}$  luminescence peak positions of  $\delta\text{-AlOOH}$  as a function of pressure.  
648 The black vertical dashed line indicates the phase transition from  $P2_1nm$  to  $Pnmm$ . The  
649 blue solid lines are the linear fitting results of the compressional data.

650

651 **Figure 9.** (a)  $\text{Cr}^{3+}$  R-line splitting ( $R_1$ - $R_2$ ) of  $\delta$ -AlOOH as a function of pressure. The  
652 inset shows the quadratic elongation (QE) values at high pressure. (b) The  $\text{AlO}_6$   
653 octahedron in  $P2_1nm$ -phase  $\delta$ -AlOOH. (c) The  $\text{AlO}_6$  octahedron in  $Pnmm$ -phase  
654  $\delta$ -AlOOH. The large silver, medium-red and small white spheres represent Al, O and  
655 H atoms, respectively.





# Figure 1

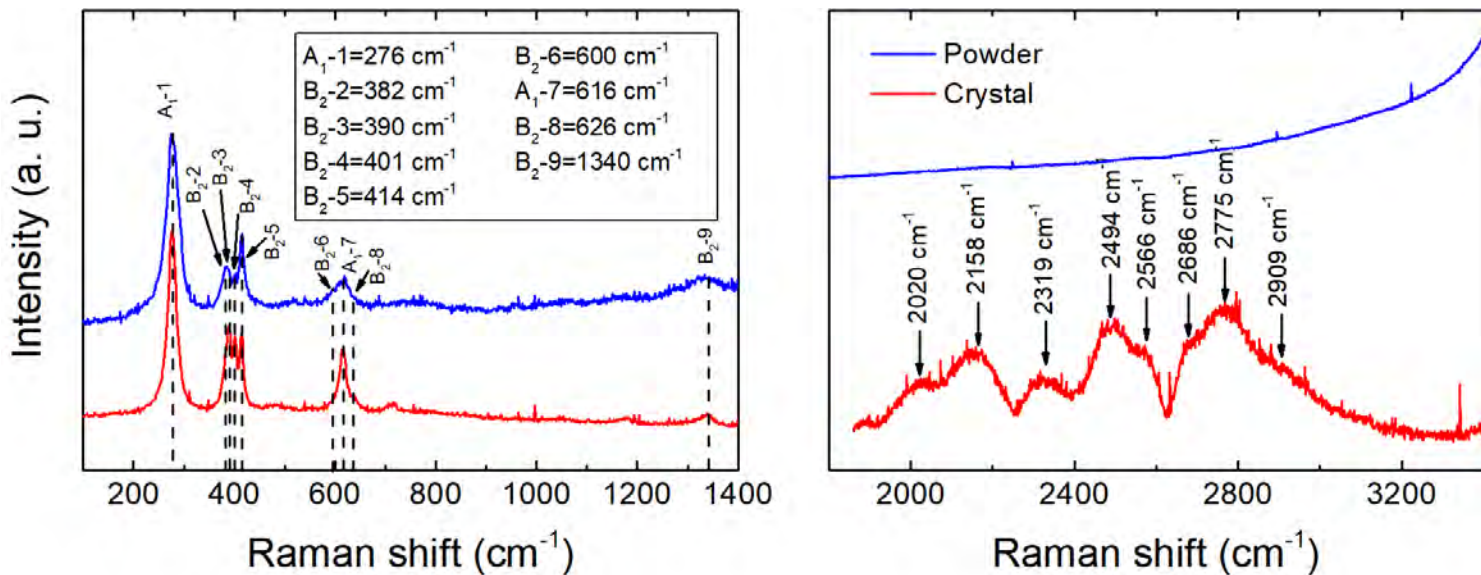
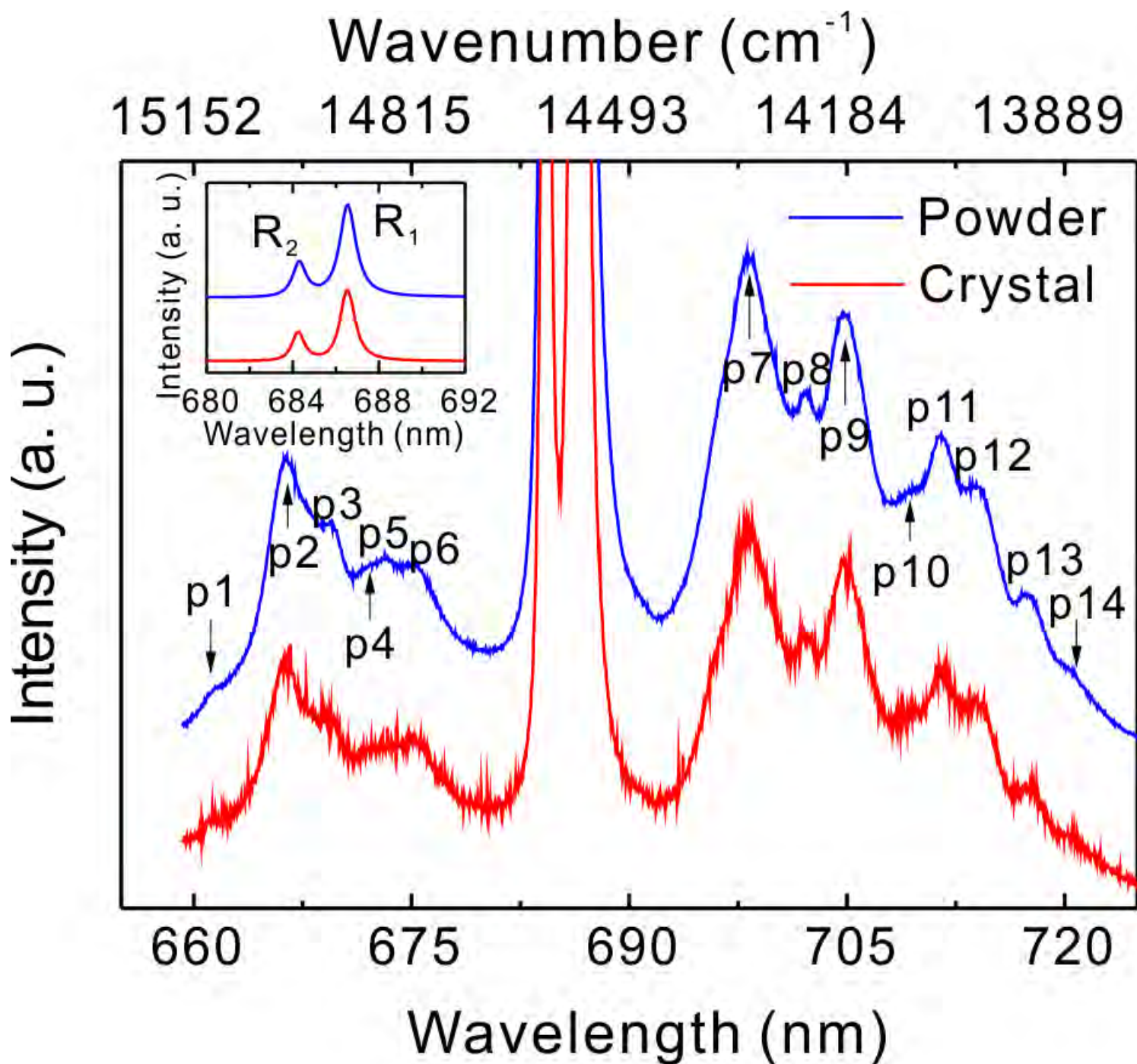


Figure 2



# Figure 3



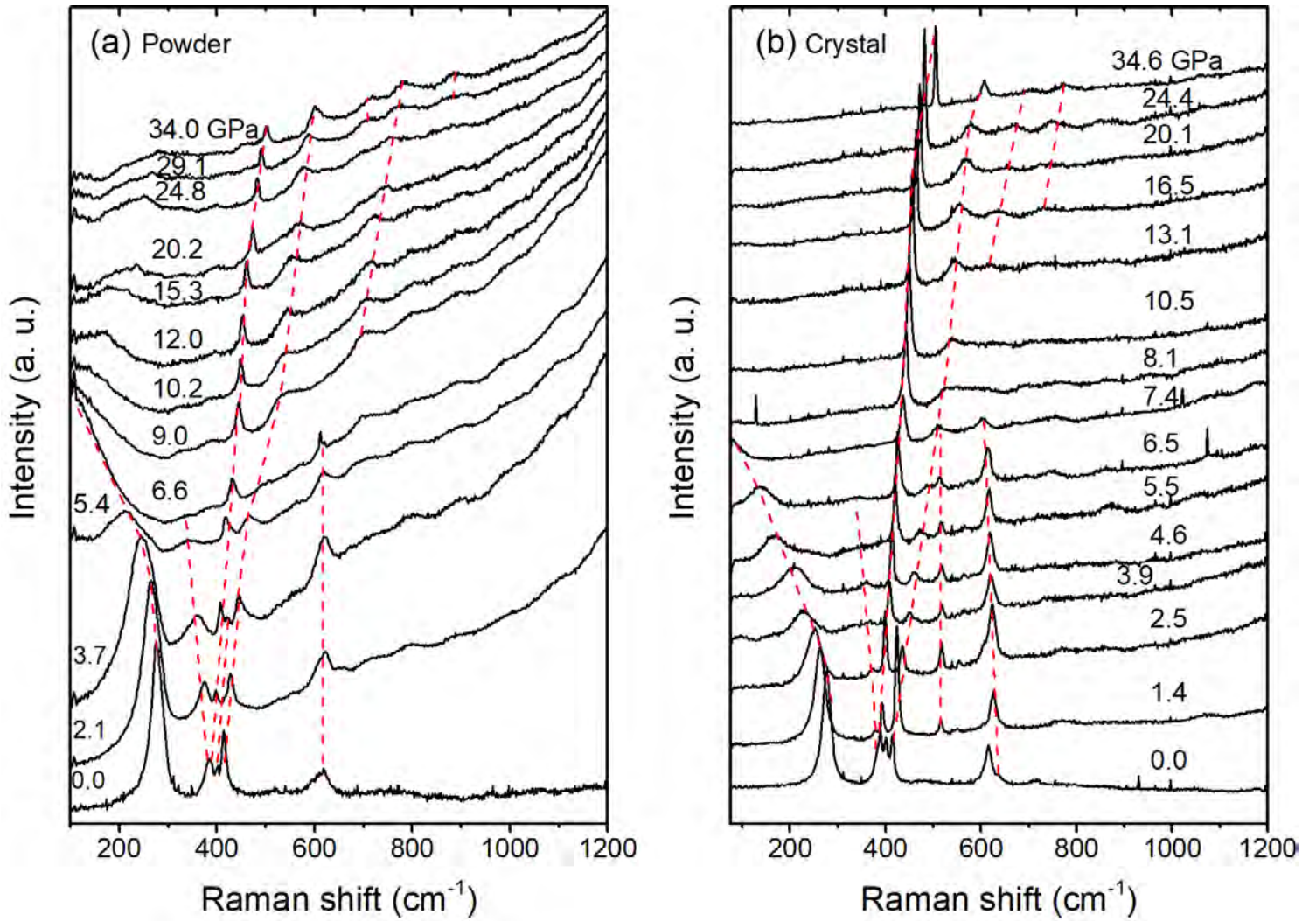
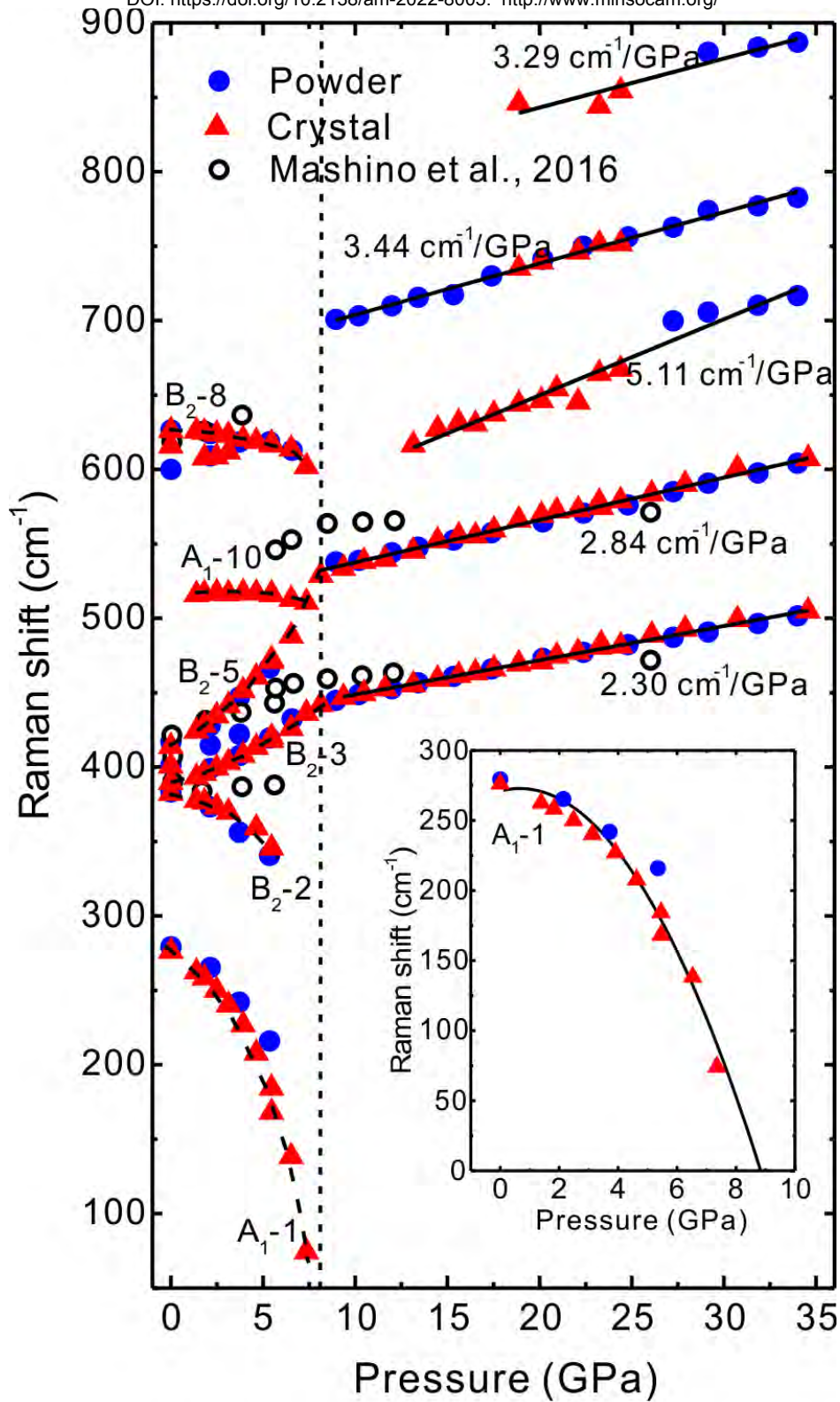


Figure 4



# Figure 5

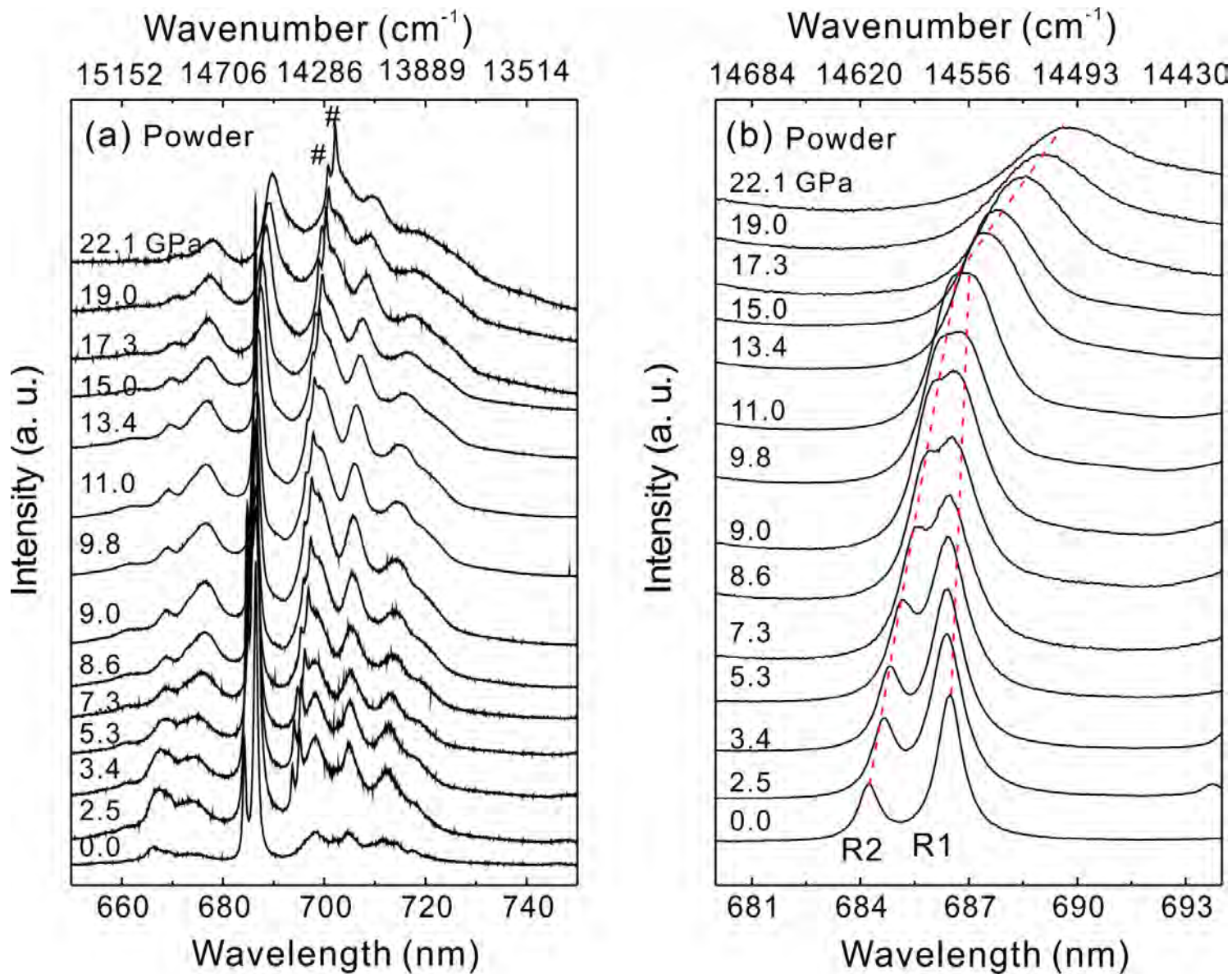
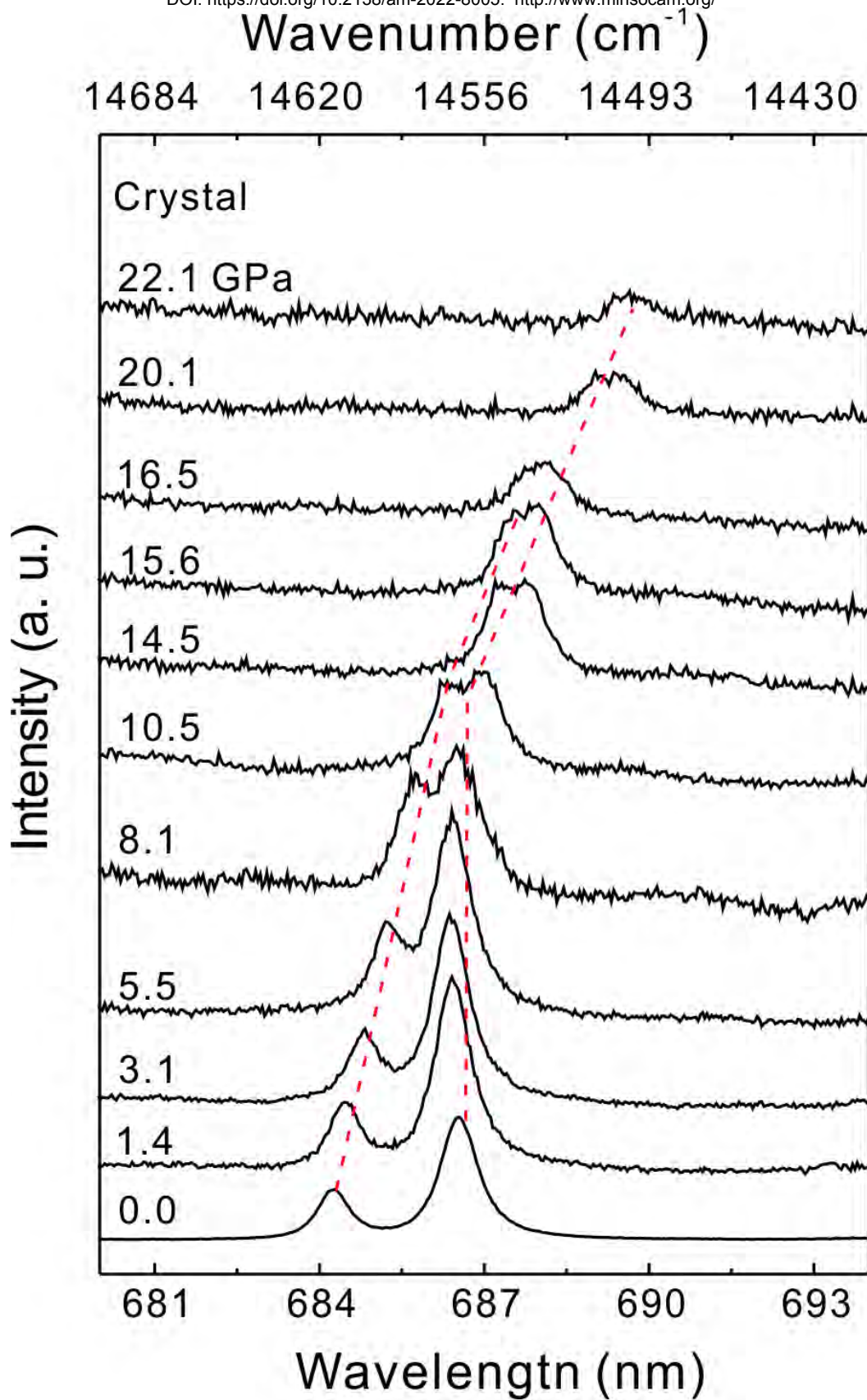


Figure 6





# Figure 7

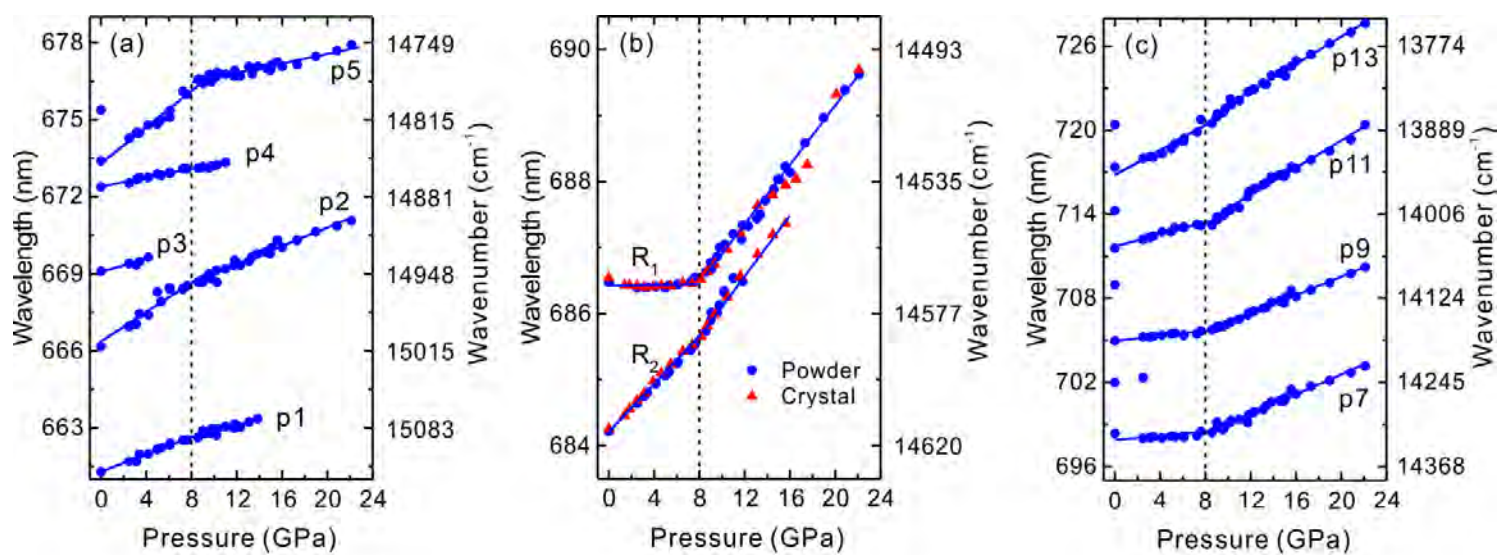


Figure 8



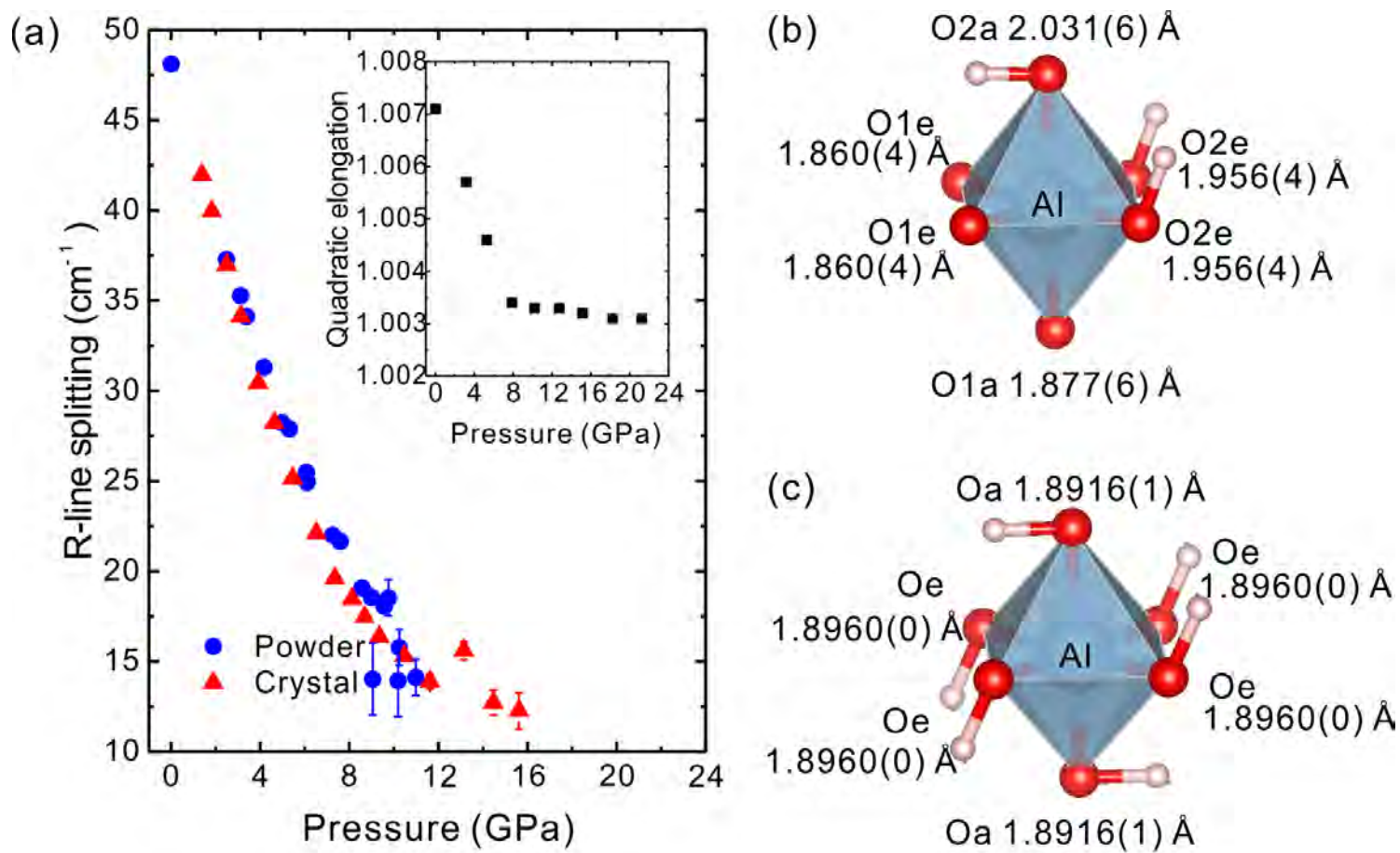


Figure 9