

# Effect of structural water on the elasticity of orthopyroxene

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## Abstract:

As a major nominally anhydrous mineral (NAM) in the Earth's upper mantle, orthopyroxene could host up to several hundred ppm H<sub>2</sub>O in its crystal structure and transport the H<sub>2</sub>O to the deep Earth. To study the effect of structural H<sub>2</sub>O on the elasticity of orthopyroxene, we have measured the single-crystal elasticity of Mg<sub>1.991</sub>Al<sub>0.065</sub>Si<sub>1.951</sub>O<sub>6</sub> with 842-900 ppm H<sub>2</sub>O and 1.64±0.20 wt.% Al<sub>2</sub>O<sub>3</sub> at ambient conditions using Brillouin spectroscopy. The best-fit single-crystal elastic moduli ( $C_{ij}$ s), bulk ( $K_{S0}$ ) and shear ( $G_0$ ) modulus of the hydrous Al-bearing orthopyroxene were determined as:  $C_{11}$ =235(2) GPa,  $C_{22}$ =173(2) GPa,  $C_{33}$ =222(2) GPa,  $C_{44}$ =86(1) GPa,  $C_{55}$ =82(1) GPa,  $C_{66}$ =82(1) GPa,  $C_{12}$ =75(3) GPa,  $C_{13}$ =67(2) GPa, and  $C_{23}$ =49(2) GPa,  $K_{S0}$ =111(2) GPa, and  $G_0$ =78(1) GPa. Systematic analysis based on the results presented in this and previous studies suggests that the incorporation of 842-900 ppm H<sub>2</sub>O would increase  $C_{13}$  by 12.0(7)% and decrease  $C_{23}$  by 8.6(8)%. The effects on  $C_{11}$ ,  $C_{22}$ ,  $C_{33}$ ,  $C_{44}$ ,  $C_{66}$ ,  $K_{S0}$  and  $V_P$  are subtle if not negligible when considering the uncertainties. The  $C_{55}$ ,  $C_{12}$ ,  $G_0$ , and  $V_S$  are not affected by the presence of structural H<sub>2</sub>O. Although

28 laboratory experiments show that Fe,Al-bearing orthopyroxenes can host up to 0.8 wt% H<sub>2</sub>O in its  
29 structure, future high pressure-temperature elasticity measurements on orthopyroxene with higher  
30 H<sub>2</sub>O content are needed to help better quantify this effect.

31 **Keywords:** Elasticity; Orthopyroxene; Structural water; Seismic velocities

32

## 33 1. Introduction

34

35 Water, in the form of structural H<sub>2</sub>O or free H<sub>2</sub>O, is transported into the deep Earth's interior  
36 primarily via subduction. As an important carrier of structural H<sub>2</sub>O, NAMs contain a small amount  
37 of H<sub>2</sub>O in the form of hydroxyl as point defects in their crystalline structures (Demouchy and  
38 Bolfan-Casanova, 2016; Ni et al., 2017; Ohtani, 2005, 2015; Ohtani et al., 2004). The bonded  
39 structural H<sub>2</sub>O can reach up to 1.2-3.1wt.% in the experimentally synthesized NAM crystals (Inoue  
40 et al., 1995; Kohlstedt et al., 1996). Moreover, a hydrous ringwoodite inclusion containing ~1.5 wt.%  
41 H<sub>2</sub>O was recently discovered in a natural diamond (Pearson et al., 2014), further highlighting the  
42 critical role that NAMs played as a possible major volatile reservoir in the deep Earth. Structural  
43 H<sub>2</sub>O significantly impacts the ionic diffusion, dislocation motion, electrical conductivity, phase  
44 transitions, melting temperature, and viscosity of mantle minerals (Costa and Chakraborty, 2008;  
45 Demouchy and Bolfan-Casanova, 2016; Jacobsen et al., 2010; Yoshino et al., 2009; Zhang et al.,  
46 2012). In particular, recent studies have suggested that the presence of structural H<sub>2</sub>O can result in  
47 some observable seismic signatures in the deep Earth (Kong et al., 2020; Van der Meijde et al., 2003;  
48 Yuan and Beghein, 2013). Consequently, evaluating the effect of structural H<sub>2</sub>O on the elastic  
49 properties of NAMs is crucial to understand the seismic structure, volatile recycling, and mantle  
50 mineralogy in the deep Earth (e.g. Ni et al., 2017; Ohtani, 2015; Ohtani et al., 2004).

51

52 The Earth's pyrolitic upper mantle is primarily composed of olivine, orthopyroxene, clinopyroxene,  
53 and garnet. The effects of structural H<sub>2</sub>O on the elasticity of olivine and its high-pressure  
54 polymorphs have been extensively studied (Buchen et al., 2018; Inoue et al., 1998; Jacobsen et al.,  
55 2008; Kavner, 2003; Mao et al., 2008; Mao et al., 2011; Mao et al., 2012; Schulze et al., 2018). It  
56 was suggested that the presence of 1 wt.% structural H<sub>2</sub>O in the olivine polymorphs could reduce  
57 seismic velocities up to ~2.5% (Inoue et al., 1998; Jacobsen et al., 2008; Mao et al., 2008; Mao et al.,

58 2011; Mao et al., 2012) and enhance the S-wave splitting anisotropy (Inoue et al., 1998; Jacobsen et  
59 al., 2008). However, recent studies showed that high pressure would suppress the effect of structural  
60 H<sub>2</sub>O and the hydration-induced reductions of sound velocities vanish at transition zone  
61 pressure-temperature conditions (Buchen et al., 2018; Schulze et al., 2018). Moreover, the effects of  
62 structural H<sub>2</sub>O on the elastic properties of the Na, Al bearing-clinopyroxene and garnet were found  
63 to be negligible at room temperature condition (Fan et al., 2019; Mans et al., 2019). Although the  
64 orthorhombic orthopyroxene is the second most abundant mineral in the pyrolitic upper mantle,  
65 there has been no report about the effect of structural H<sub>2</sub>O on its single-crystal elastic properties to  
66 date.

67  
68 Compared with olivine, H<sub>2</sub>O preferably partitions into orthopyroxene at depth above ~300 km in the  
69 upper mantle (Demouchy and Bolfan-Casanova, 2016; Sakurai et al., 2014) and the incorporation of  
70 Al as chemical impurity enhances this partitioning process (Grant et al., 2006; Rauch and Keppler,  
71 2002; Smyth et al., 2007). There are two substitution mechanisms for structural H<sub>2</sub>O in aluminous  
72 orthopyroxene. One is Al<sup>3+</sup> + H<sup>+</sup> substitution for Si<sup>4+</sup> and the other is Al<sup>3+</sup> + H<sup>+</sup> substitution for 2  
73 Mg<sup>2+</sup>. Laboratory-synthesized orthopyroxene crystals with high Al<sub>2</sub>O<sub>3</sub> content (9-12 wt.%) can host  
74 up to ~0.8 wt.% H<sub>2</sub>O in its crystal structure (Mierdel et al., 2007), although natural orthopyroxenes  
75 commonly show lower H<sub>2</sub>O concentration on the level of several hundred to 1000 ppm (Ohtani,  
76 2015; Xu et al., 2018).

77  
78 Orthopyroxene presents the lowest isotropic aggregate compressional and shear velocities ( $V_P$  and  
79  $V_S$ ) among all major upper mantle minerals, and has been proposed as a candidate to explain the low  
80 seismic velocity zones in the upper mantle (Reynard et al., 2010). Single crystal elastic properties of  
81 Al, Fe, and Ca-bearing orthopyroxene have been experimentally determined in a wide  
82 compositional range (Bass and Weidner, 1984; Chai et al., 1997; Flesch et al., 1998; Jackson et al.,  
83 1999, 2007; Perrillat et al., 2007; Picek, 2015; Qian et al., 2018; Reynard et al., 2010; Webb and  
84 Jackson, 1993; Zhang et al., 2013; Zhang and Bass, 2016). The incorporation of Fe significantly  
85 reduces the elastic moduli of orthopyroxene, whereas Al increases them (Picek, 2015). The  
86 incorporation of Ca, on the other hand, slightly decreases the elastic properties of orthopyroxene  
87 (Perrillat et al., 2007). In this study, to evaluate the effect of structural H<sub>2</sub>O on elastic properties of

88 orthopyroxene, we conducted single-crystal Brillouin spectroscopy measurements of synthetic  
89 Al-bearing orthopyroxene with 842-900 ppm H<sub>2</sub>O at ambient conditions.

90

## 91 **2. Materials and Methods**

92

93 Hydrous Al-bearing orthopyroxene crystals were synthesized at 5.5 GPa and 1473 K using a  
94 multi-anvil large volume press at National Cheng Kung University. Starting materials were oxide  
95 and hydroxide mixtures of SiO<sub>2</sub>, MgO, Mg(OH)<sub>2</sub>, and Al(OH)<sub>3</sub>. Detailed synthesis method and  
96 procedure can be found in Hua et al. (2020). The size of the synthesized crystals ranges from 100 to  
97 200 μm. The chemical composition of the crystals measured in this study was determined using a  
98 JEOL 8200 Electron Microprobe at the Institute of Meteoritics at University of New Mexico,  
99 operating at 15 kV accelerating voltage and 20 nA beam current. The element standards were  
100 olivine for Mg and Si, enstatite oxygen standard for O, and orthoclase for Al. The chemical formula  
101 is determined to be Mg<sub>1.991</sub>Al<sub>0.065</sub>Si<sub>1.951</sub>O<sub>6</sub> with 39.82(43) wt.% MgO, 58.18(73) wt.% SiO<sub>2</sub>, and  
102 1.64(20) wt.% Al<sub>2</sub>O<sub>3</sub>. The H<sub>2</sub>O content was measured by SIMS technique and determined to be  
103 842-900 ppm (Hua et al., 2020).

104

105 Three hydrous Al-bearing orthopyroxene crystals, which were examined to be inclusion-free under  
106 petrographic microscope, were selected and double-side polished with Al<sub>2</sub>O<sub>3</sub> polishing film. The  
107 thicknesses of the samples were 18-20 μm. The orientations and unit cell parameters of two samples  
108 were determined in the Atlas X-Ray Diffraction Lab at the University of Hawai'i at Manoa and the  
109 third one was measured at 13-BM-C, GeoSoilEnviroCARS (GSECARS), Advanced Photon Source,  
110 Argonne National Laboratory. The experimental setup and details can be found in Mans et al. (2019).  
111 The face normals of the three polished crystals are (0.940, -0.150, 0.307), (-0.566, 0.675, 0.472),  
112 and (-0.099, -0.558, -0.824) referring to the fractional coordinate system for orthopyroxene with  
113 unit cell axes a, b, and c as basis vectors. The accuracy of the measured plane normal is better than  
114 0.5°, which arises from the angular difference between the rotational axis of the DAC sample holder  
115 and the incident X-ray beam at the Atlas X-Ray diffraction Lab at University of Hawaii and  
116 GSECARS sector13BMC. The unit cell parameters (a=5.175(3) Å, b=8.804(7) Å, c=18.216(3) Å,  
117 and V=829.84(83) Å<sup>3</sup>) were determined by analyzing the X-ray diffraction images from all three

118 samples, and the density was then calculated to be  $\rho_0=3.218(3)$  g/cm<sup>3</sup> using the chemical formula  
119 and unit cell volume.

120

121 Brillouin spectroscopy measurements were conducted in the high-pressure laser spectroscopy  
122 laboratory at the University of New Mexico. A 300 mW single-mode diode-pumped solid state laser  
123 with a wavelength ( $\lambda_0$ ) of 532 nm was employed as the light source. A Sandercock-type 6-pass  
124 tandem Fabry-Pérot interferometer was used for resolving the Brillouin frequency shift. The optical  
125 setup of the Brillouin spectroscopy system can be found in Zhang et al. (2015). All the experiments  
126 were conducted using a 50° symmetric forward scattering geometry. The scattering angle ( $\theta$ ) was  
127 calibrated to be 50.77(5)° before the experiments by a standard Corning 7980 silica glass, whose  
128 velocities have been precisely measured by the GHz ultrasound interferometry (Zhang et al. 2011).  
129 The sample crystal was placed on top of the culet of a diamond anvil cell for Brillouin experiments.  
130 The tilting and non-parallelism of the sample and the diamond anvil cell are negligible in the  
131 experiments. Under cross-polarized illumination of the petrographic microscope, the interference  
132 color of the double-side polished crystals is even, suggesting uniform thickness across the crystals.  
133 On the other hand, as part of the alignment process, we have also examined the tilting using the  
134 laser beam reflected at the diamond cutlets, tables and crystal surfaces with 0° incident angle. The  
135 reflected laser beams match each other and the incident laser beam nicely, suggesting that the tilting  
136 is minimal. We calibrated the free spectral range (FSR) between the FP1 peaks under reflection  
137 mode of the interferometer for at least 6 times before and after every experimental run, and the  
138 difference is always within 0.5 channels for a total of 1024-channel setup. This propagates to an  
139 uncertainty of less than 0.05% of the FSR and thus less than 0.005 km/s for each velocity  
140 measurement, which is within the resolution of Brillouin measurements of 0.03 km/s. The  $v_P$  and  $v_S$   
141 were measured at 13 different  $\chi$  angles (0°, 30°, 60°, 90°, 120°, 150°, 180°, 195°, 225°, 255°, 285°,  
142 315°, 345°) for all 3 crystals along the 360° azimuth. The differences between determined velocities  
143 at  $\chi=0^\circ$  and  $\chi=180^\circ$  are within 0.05 km/s, again suggesting minimal geometrical errors in the  
144 experiments. The typical collection time for each spectrum is 10 minutes. [Figure 1](#) shows a typical  
145 Brillouin spectrum and the signal-to-noise ratios are  $\sim 3$  and  $\sim 7$  for  $v_P$  and  $v_S$ , respectively.

146

147 Under a forward symmetric scattering geometry, the Brillouin shift  $\Delta\omega$ , which is the difference

148 between incident laser frequency ( $\omega_i$ ) and scattered light frequency ( $\omega_s$ ), depends on the velocity  
149 along the probed phonon direction, the laser wavelength  $\lambda_0$ , and the external experimental angle  
150 between the incident and the scattered light  $\theta^*$  (Speziale et al., 2014; Whitfield et al., 1976; Zhang  
151 et al., 2015),

$$152 \quad \Delta\omega = \omega_i - \omega_s = 2\sin\left(\frac{\theta^*}{2}\right)\frac{v}{\lambda_0} \quad (1)$$

153 where  $v$  is the speed of the acoustic phonons in the sample.

154

155 The nine independent  $C_{ij}$ s can be calculated from the obtained phonon direction –  $v_P - v_S$  data set by  
156 a least-squares inversion of the Christoffel equation (Weidner and Carleton, 1977).

$$157 \quad \det|c_{ijkl}n_jn_l - \rho v^2\delta_{ik}| = 0 \quad (2)$$

158 where  $c_{ijkl}$  is the 4<sup>th</sup> order elasticity tensor,  $n_j$  and  $n_l$  are the directional cosines of the wave  
159 vector,  $\rho$  is density, and  $\delta_{ik}$  is the Kronecker delta (Musgrave, 1970). The predetermined plane  
160 normal by single-crystal X-ray diffraction were fixed during the inversion process. The angular  
161 uncertainty of less than  $0.5^\circ$  for the plane normal would result in an uncertainty of less than 0.01  
162 km/s in the measured acoustic velocities, which is well below the Brillouin measurement  
163 uncertainty of 0.03 km/s. However, we did refine the actual individual experimental phonon  
164 directions. Given a starting  $C_{ij}$  model of orthopyroxene (Zhang and Bass, 2016), we calculated a set  
165 of phonon directions for each Brillouin measurement after fixing the plane normals. Then based on  
166 the phonon direction –  $v_P - v_S$  data set, we calculated the best-fit  $C_{ij}$  model through a least-square  
167 inversion of the Christoffel equation. Afterwards, we then recalculate the phonon directions of each  
168 measurement based on this newly obtained best-fit  $C_{ij}$  model. The recalculated phonon directions  
169 and the velocities were used as the input to calculate an updated  $C_{ij}$  model for another round. This  
170 process was repeated for 2-3 times until the difference in root-mean-square (RMS) residuals of two  
171 successive runs was less than 0.001km/s. The final best fit  $C_{ij}$  model we obtained has the RMS error  
172 of 0.055 km/s.

173

### 174 3. Results

175

176 The best-fit  $C_{ij}$ s are:  $C_{11}=235(2)$  GPa,  $C_{22}=173(2)$  GPa,  $C_{33}=222(2)$  GPa,  $C_{44}=86(1)$  GPa,  $C_{55}=82(1)$

177 GPa,  $C_{66}=82(1)$  GPa,  $C_{12}=74(3)$  GPa,  $C_{13}=67(2)$  GPa, and  $C_{23}=49(2)$  GPa (Table 1). Figure 2 shows  
178 the measured acoustic velocities of the three samples (Supplementary Material Table S1-S3) and the  
179 velocities predicted by the best-fit  $C_{ij}$  model. If the laboratory geometrical errors are negligible, the  
180 velocities of orthopyroxene measured at  $\chi$  angle along the 360 ° azimuth should be the same as the  
181 velocities determined at  $\chi-180^\circ$ . Figure 2 plots the data measured at  $\chi$  between 180°-360° together  
182 with the data measured below 180°. The internal consistency between the data collected at (0°, 30°,  
183 60°, 90°, 120°, 150°) and (180°, 195°, 225°, 255°, 285°, 315°, 345°) again suggests the minimal  
184 geometrical errors in this study. Voigt-Reuss-Hill (VRH) averaging scheme was employed to  
185 calculate the  $K_{S0}$  and  $G_0$  (Hill, 1963), which yielded 111(2) GPa and 78(1) GPa, respectively. The  
186 aggregate  $V_P$  and  $V_S$  are calculated to be 8.18(3) km/s and 4.94(2) km/s, respectively.

187

188 Our study demonstrates that the effects of  $Al_2O_3$  and structural  $H_2O$  on the elasticity of  
189 orthopyroxene are coupled, and there is no existing study to quantify the contribution of  $Al_2O_3$ . The  
190 elastic properties of Fe-bearing orthopyroxene with  $Al_2O_3$  content ranging from 0.4-5.0 wt.% have  
191 been studied (Chai et al., 1997; Jackson et al., 2007; Webb and Jackson, 1993; Zhang and Bass,  
192 2016). Decoupling the effects of Fe and  $Al_2O_3$  is feasible because the effect of Fe on the elasticity of  
193 orthopyroxene within a wide range of Fe# ( $Fe\# = \frac{[FeO]}{[FeO]+[MgO]}$ ) from 0-100 had been studied (Picek,  
194 2015). The  $C_{11}$ ,  $C_{22}$ ,  $C_{33}$ ,  $C_{44}$ ,  $C_{55}$ , and  $C_{66}$  of Fe-bearing orthopyroxene decrease with Fe#, whereas  
195  $C_{12}$ ,  $C_{13}$ , and  $C_{23}$  are insensitive to Fe#. To quantify the  $Al_2O_3$  effect on the elastic properties of  
196 orthopyroxene, we would need to firstly evaluate the effect of Fe. Since the Fe# is less than 15 in  
197 the Al-bearing orthopyroxene samples studied by Chai et al. (1997), Jackson et al. (2007), and  
198 Zhang and Bass (2016), we choose the data with the compositional range of interest for Fe# 0-15 in  
199 Picek (2015) together with previous studies (Jackson et al., 1999, 2007) to re-analyze the  
200 single-crystal elasticity data of Fe-bearing Al-free orthopyroxene. We found that increasing the Fe#  
201 by 1 would decrease  $C_{11}$ ,  $C_{22}$ ,  $C_{33}$ ,  $C_{44}$ ,  $C_{55}$ , and  $C_{66}$  by -0.38(15) GPa, -0.48(13) GPa, -0.68(6) GPa,  
202 -0.06(1) GPa, 0 GPa, and -0.10(1) GPa, respectively. On the other hand, there is ~1.1 wt.% CaO in  
203 the Al,Fe-bearing orthopyroxene samples of Chai et al. (1997) and Zhang and Bass (2016). The  
204 effect of Ca on the elasticity of orthopyroxene had been carefully studied and 1.1 wt.% CaO would  
205 reduce the  $C_{11}$ ,  $C_{22}$ ,  $C_{33}$ ,  $C_{44}$ ,  $C_{55}$ ,  $C_{66}$ ,  $C_{12}$ ,  $C_{13}$ , and  $C_{23}$  by 2.2%, 1.7%, 3.5%, 0.56%, 3.8%, -0.75%,

206 2.1%, 3.4%, and 5.6%, respectively (Jackson et al., 1999; Perrillat et al., 2007).

207

208 After removing the effects of both Fe and Ca, we plotted the single-crystal and aggregate elastic  
209 moduli, as well as aggregate velocities of orthopyroxene as a function of Al<sub>2</sub>O<sub>3</sub> content in [Figure 3](#)  
210 (Supplementary Material Table S4). The shaded area describes the effect of Al<sub>2</sub>O<sub>3</sub> on the elasticity  
211 of orthopyroxene within the 95% confidence interval. The comparison between the data obtained in  
212 this study for hydrous Al-bearing orthopyroxene and previous anhydrous Al-bearing orthopyroxene  
213 in [Figure 3](#) makes it possible to evaluate the effect of structural H<sub>2</sub>O. The  $C_{11}$ ,  $C_{22}$ ,  $C_{33}$  of the  
214 hydrous Al-bearing orthopyroxene are 1.7(2)%, 3.0(1)% and 2.1(4)% lower compared with its  
215 anhydrous counterparts, although the difference is subtle considering the experimental uncertainty.  
216 On the other hand, the decrease of  $C_{23}$  is more significant, up to 8.6(8)%. Interestingly, structural  
217 H<sub>2</sub>O increases  $C_{44}$ ,  $C_{66}$ , and  $C_{13}$  of the Al-bearing orthopyroxene by 2.6(3)%, 4.1(2)%, and 12.0(7)%,  
218 respectively. Structural H<sub>2</sub>O has negligible effect on  $C_{55}$ ,  $C_{12}$ ,  $K_{S0}$ ,  $G_0$  and  $V_S$ , although  $V_P$  is slightly  
219 decreased.

220

221 In addition, we also calculated the universal anisotropy ( $A^U$ ), azimuthal  $V_P$  ( $A^{Vp}$ ), and radial  $V_S$   
222 anisotropy ( $D^{Vs}$ ) of the hydrous Al-bearing orthopyroxene (Ranganathan and Ostojca-Starzewski,  
223 2008).  $A^U$  describes the overall elastic anisotropy for materials with arbitrary symmetry and can be  
224 calculated as follows,

$$225 \quad A^U = 5 \frac{G_V}{G_R} + \frac{K_{S,V}}{K_{S,R}} - 6 \quad (3)$$

226 where  $G$  and  $K_S$  are the shear and bulk moduli and the subscripts  $V$  and  $R$  represent Voigt and Reuss  
227 bound, respectively.  $A^{Vp}$  and  $D^{Vs}$  are the maximum  $v_P$  difference along different directions and  
228 maximum  $v_S$  difference between the two orthogonally polarized shear waves propagating along the  
229 same direction, respectively.

$$230 \quad A^{Vp} = \frac{v_{P,max} - v_{P,min}}{V_{PVRH}} \quad (4)$$

$$231 \quad D^{Vs} = \frac{|v_{S1} - v_{S2}|_{max}}{V_{SVRH}} \quad (5)$$

232 The calculated anisotropy indices are 0.115(10), 0.148(6), and 0.153(6) and presented in [Figure 4](#).  
233 With increasing the Al<sub>2</sub>O<sub>3</sub>,  $A^U$  and  $A^{Vp}$  decrease, whereas  $D^{Vs}$  slightly increases. Compared with the  
234 anisotropy indices of anhydrous Al-bearing orthopyroxene, those of hydrous Al-bearing



235 orthopyroxene seem higher, although the increase of  $D^{Vs}$  and  $A^{Vp}$  are too small to be considered  
236 significant. The  $A^U$  is most sensitive to the structural H<sub>2</sub>O. 842-900 ppm H<sub>2</sub>O increases the  $A^U$  by  
237 14.4(30)%.

238

#### 239 **4. Discussion and Implications**

240 Within the crystal structure of orthopyroxene, Si-O tetrahedra are connected by the bridging atom  
241 O3 to form chains extending along the c-axis, and the (Mg,Fe)-O octahedra are linked with each  
242 other through edge-shearing (Fig. S1). The M1 and M2 sites are at the centers of edge-sharing  
243 octahedron and discontinuous octahedron, respectively. Compared to Mg, Fe prefers occupying the  
244 slightly larger M2 site (Thompson and Downs, 2003). As suggested by Duffy and Vaughan (1988)  
245 and Bass and Weidner (1984) for anhydrous orthopyroxene, the  $C_{11}$ ,  $C_{22}$  and  $C_{33}$  are controlled by  
246 the stiffness of the M1, M2 octahedra site and the compressibility of the Si-O tetrahedra chain,  
247 respectively. The shear elastic moduli  $C_{44}$ ,  $C_{55}$ , and  $C_{66}$  are strongly affected by site ordering.  
248 Among them,  $C_{44}$  is more sensitive to composition and  $C_{55}$  and  $C_{66}$  are more sensitive to the  
249 octahedra stacking along *a*-axis. Finally, the off-diagonal elastic moduli  $C_{12}$ ,  $C_{13}$ , and  $C_{23}$  vary  
250 slightly with either structure or composition. The substitution mechanism in hydrous orthopyroxene  
251 is dominated by the replacement of Si<sup>4+</sup> with Al<sup>3+</sup> + H<sup>+</sup> in the tetrahedral site or Al<sup>3+</sup> + H<sup>+</sup> for 2 Mg<sup>2+</sup>  
252 in the M1 site (Mierdel et al., 2007). The reduction of  $C_{11}$ ,  $C_{22}$  and  $C_{33}$  caused by incorporation of  
253 842-900 ppm structural H<sub>2</sub>O is insignificant considering the uncertainty, suggesting that the  
254 substitution into the tetrahedral and octahedral sites does not induce a significant change in the  
255 compressibility along the a, b and c-axis. Among  $C_{44}$ ,  $C_{55}$ , and  $C_{66}$ ,  $C_{55}$  shows the least  
256 compositional variation (Duffy and Vaughan, 1988). This is consistent with our observed increase of  
257  $C_{44}$  and  $C_{66}$  yet negligible change in  $C_{55}$  as shown in Figure 3. The changes of the off-diagonal  $C_{ij}$ s  
258 such as  $C_{12}$ ,  $C_{13}$ , and  $C_{23}$  observed in this study is difficult to be explained structurally, possibly  
259 related to the complicated effect caused by both the substitution of Al<sup>3+</sup> into the tetrahedral site and  
260 the vacancies in the octahedral M1 and M2 sites introduced by hydration (Hua et al., 2020).  
261 Measurements of orthopyroxene with higher H<sub>2</sub>O concentrations may provide further insight into  
262 the hydrogen induced elasticity variations.

263

264 Although 842-900 ppm structural H<sub>2</sub>O has limited effect on the single-crystal elasticity of

265 orthopyroxene, Mierdel et al. (2007) suggested that the incorporated structural H<sub>2</sub>O could reach up  
266 to 0.8 wt.% in the Fe-bearing orthopyroxene with high Al<sub>2</sub>O<sub>3</sub> contents. With such a high H<sub>2</sub>O  
267 content, the subtle decrease of  $V_p$  and increase of  $D^{Vs}$  and  $A^{Vp}$  can be more significant. In addition,  
268 the incorporation of Al and H<sub>2</sub>O in orthopyroxene has an important effect on the development of  
269 deformation fabrics, which enhances the  $V_p$  anisotropy significantly (Manthilake et al., 2013).  
270 Considering the extremely high heterogeneity in the Earth's upper mantle and lower crust, the  
271 hydration effect in terms of seismic anisotropy can be important in the lithologies that are enriched  
272 in orthopyroxene, e.g. orthopyroxenite and pyroxenite (Arai et al., 2006; Smith et al., 1999),  
273 although future measurements at high pressure-temperature conditions on orthopyroxene with  
274 higher H<sub>2</sub>O concentrations are needed to better quantify this effect.

275

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277 M.H., Software and formal analysis, W.Z., M.H., and M.Q.H.; Sample synthesis: F.T.H. and J. K.;  
278 Sample orientation measurements: P. K. D. and D. Z.; Resources: J.S.Z.; Writing-origin draft  
279 preparation: M.Q.H.; Writing-review and editing, J.S.Z. and everyone else; Visualization M.Q.H.,  
280 W.Z., and M.H.; Project administration, J.S.Z.; Funding acquisition, J.S.Z.

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287 manuscript, or in the decision to publish the results.

288

## 289 **References**

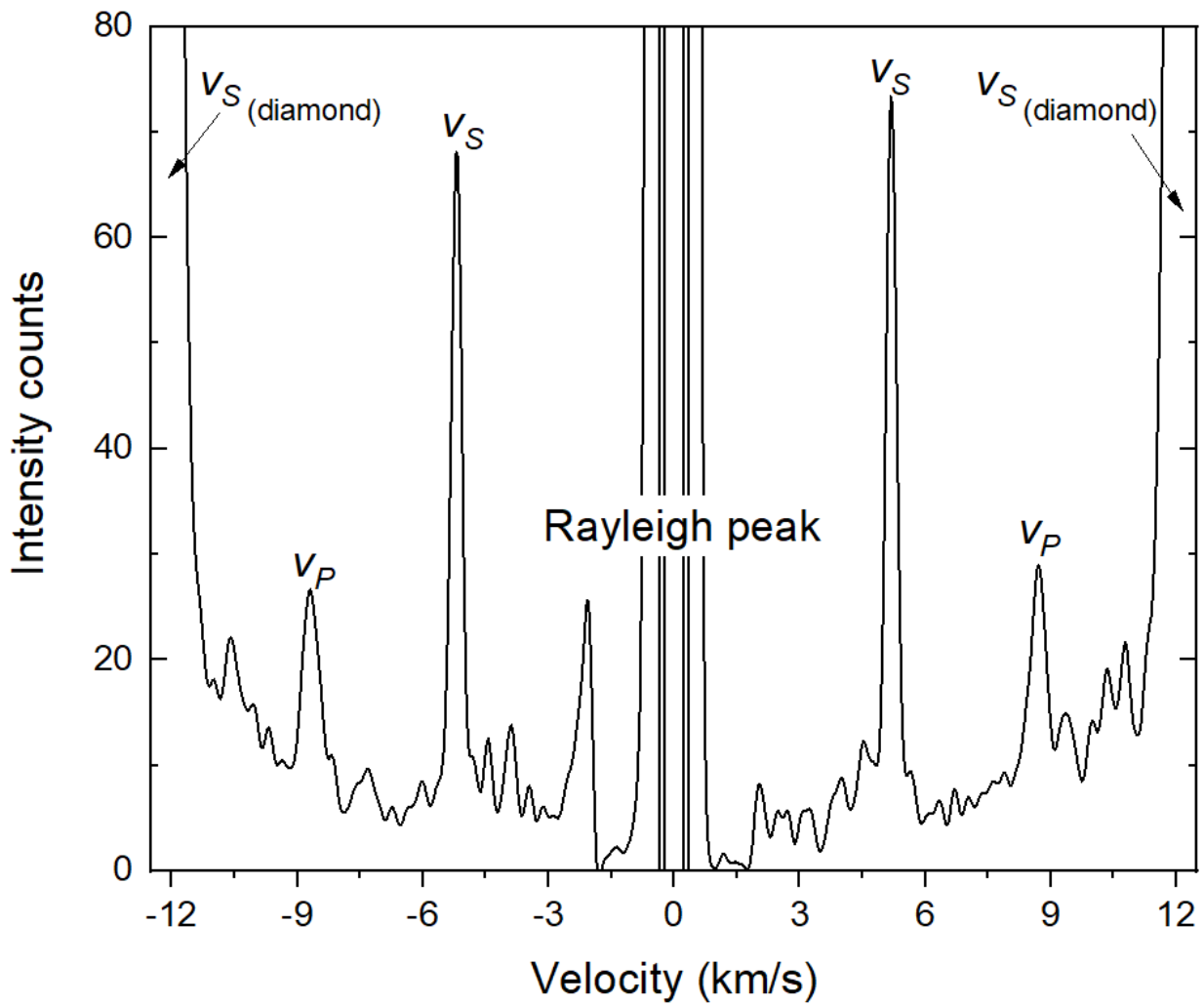
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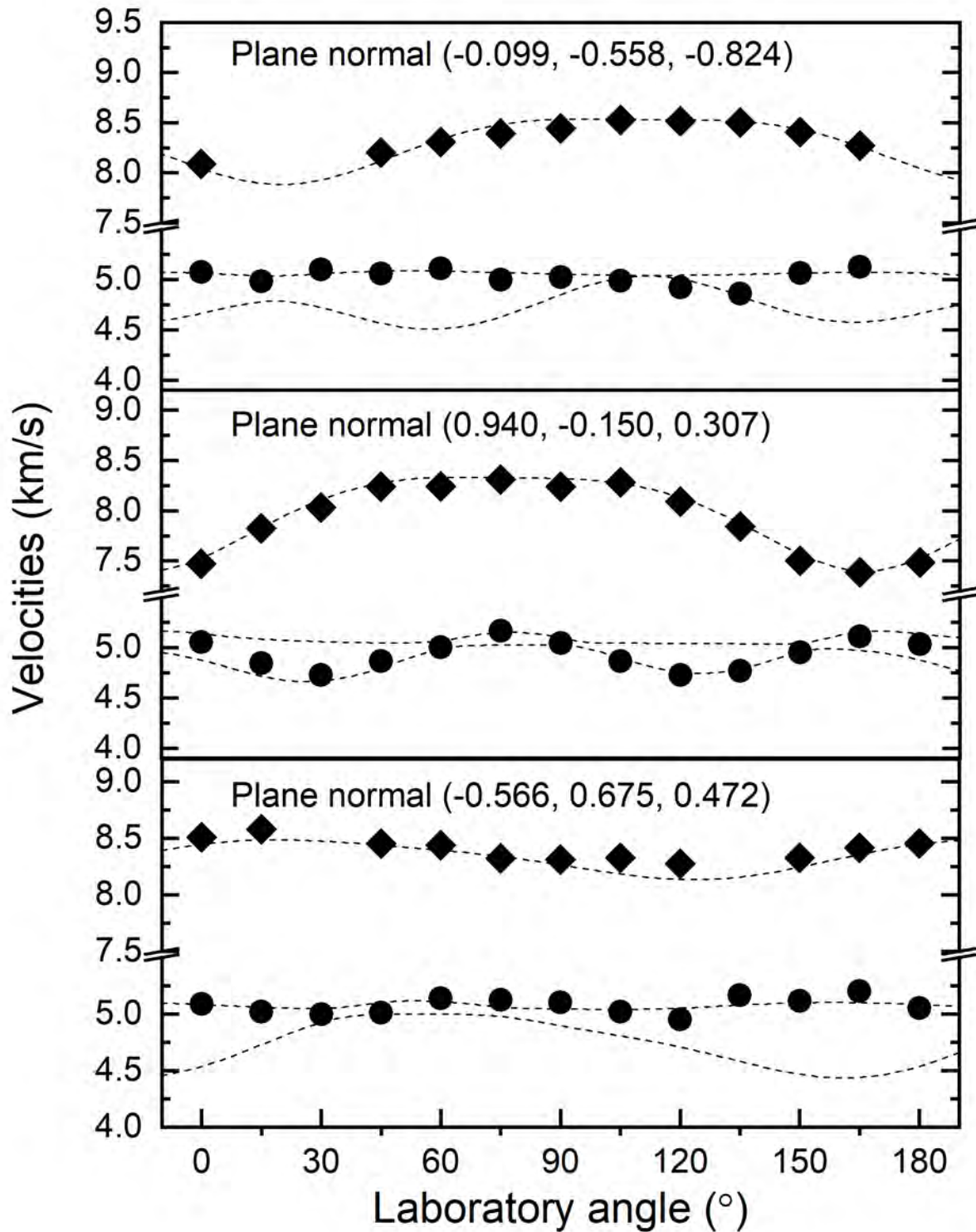
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415 **Figure captions**



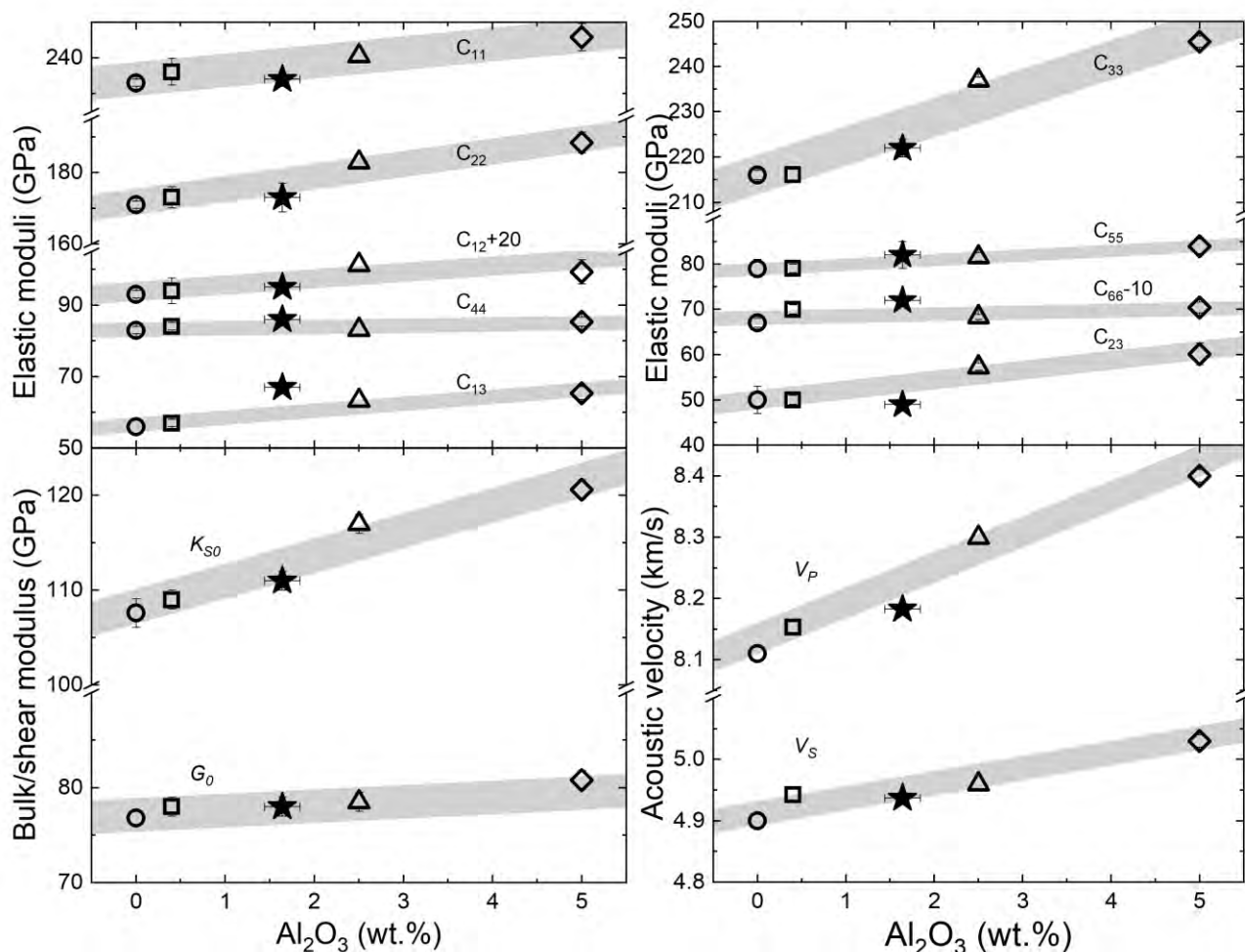
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417 Figure 1. Representative Brillouin spectrum of the sample with plane normal (-0.566, 0.675, 0.472)  
418 measured at  $\chi = 0^\circ$  for 10 minutes.



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421 Figure 2. Acoustic velocities as a function of laboratory angle for the three hydrous Al-bearing  
422 orthopyroxene samples. The solid diamond and circle are measured  $v_P$  and  $v_S$ , respectively.  
423 Assigning the measured  $v_S$  as either  $v_{S1}$  or  $v_{S2}$  has negligible effect on the calculated  $C_{ij}$  model. The  
424 dashed curves are calculated from the best fit single-crystal  $C_{ij}$  model.



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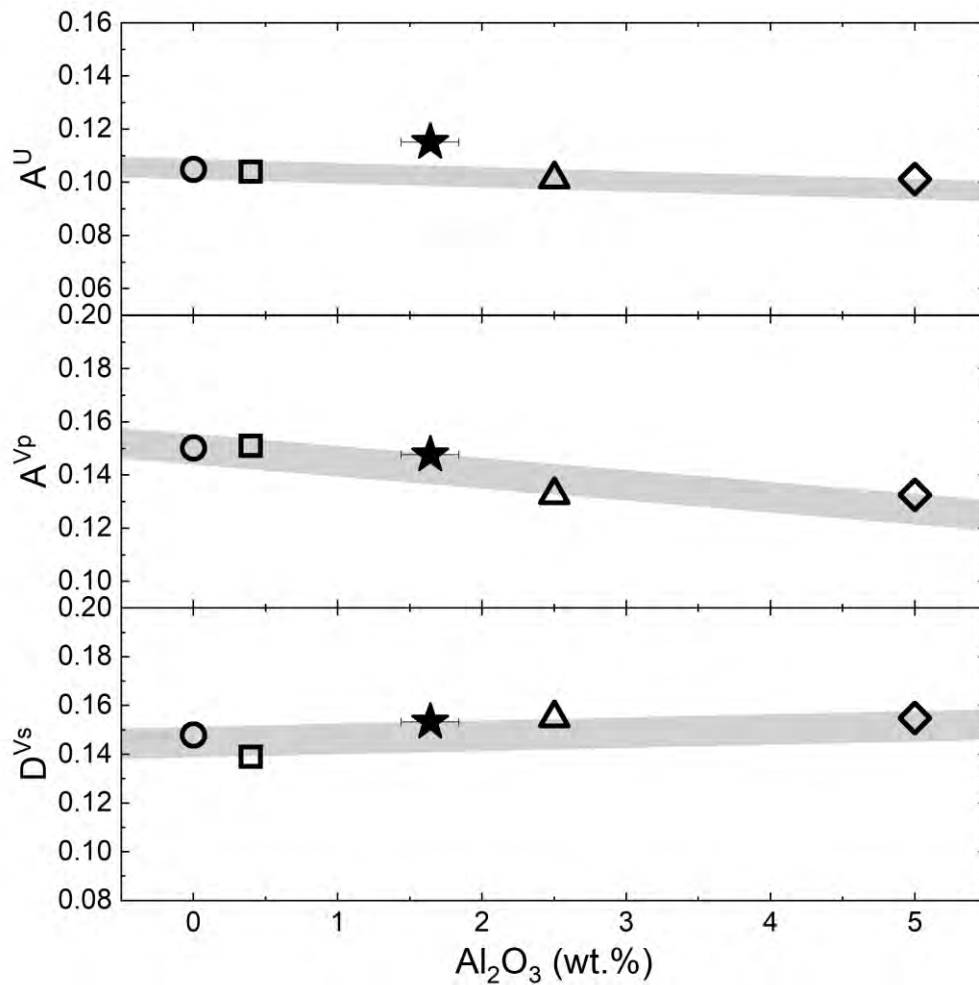
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Figure 3. The effect of structural  $\text{H}_2\text{O}$  on the elasticity of orthopyroxene. The open circle, rectangle, triangle, and diamond symbols represent the elastic properties of anhydrous Al-bearing orthopyroxenes from Jackson et al. (1999), Jackson et al. (2007), Zhang and Bass (2016), and Chai et al. (1997), respectively, after removing the Fe and Ca effects according to Picek (2015) and Perrillat et al. (2007). The shaded areas are bounded by the 95% confidence interval after linear-fitting. The solid star represents the data of hydrous Al-bearing orthopyroxene determined in this study. Most error bars are smaller than the symbols.





433

434 Figure 4. The effect of structural water on the  $A^U$ ,  $A^{Vp}$ , and  $D^{Vs}$  of orthopyroxene. The symbols are  
435 the same as those in Figure 3.

436  
437

Table 1. The effect of chemical impurities on the elasticity of MgSiO<sub>3</sub> orthopyroxene.

	Hydrous Al-bearing Orthopyroxene (this study)	Al,Fe,Ca-bearing Orthopyroxene (Zhang and Bass, 2016)	Al,Fe,Ca-bearing Orthopyroxene (Chai et al., 1997)	Al,Fe-bearing Orthopyroxene (Jackson et al., 2007)	Ca-bearing Orthopyroxene (Perrillat et al., 2007)	End-member Orthopyroxene(Jackson et al., 1999)
H <sub>2</sub> O (ppm)	842-900	-	-	-	0	0
Fe#	0	8.4	9.5	0.2	0	0
Al <sub>2</sub> O <sub>3</sub> (wt. %)	1.64	2.5	5.0	0.4	0	0
CaO (wt. %)	0	1.1	1.1	0	1.9	0
<i>C</i> <sub>11</sub> (GPa)	235(2)	232.2(5)	236.9(10)	236(1)	224.1(1)	233(1)
<i>C</i> <sub>22</sub> (GPa)	173(2)	175.7(7)	180.5(8)	173(1)	165.8(2)	171(1)
<i>C</i> <sub>33</sub> (GPa)	222(2)	222.9(6)	230.4(10)	216(1)	202.9(1)	216(1)
<i>C</i> <sub>44</sub> (GPa)	86(1)	82.2(4)	84.3(12)	84(1)	82.2(1)	83(1)
<i>C</i> <sub>55</sub> (GPa)	82(1)	77.3(3)	79.4(8)	79(1)	73.8(1)	79(1)
<i>C</i> <sub>66</sub> (GPa)	82(1)	78.1(6)	80.1(12)	80(1)	77.1(1)	77(1)
<i>C</i> <sub>12</sub> (GPa)	75(3)	79.5(9)	79.6(16)	74(1)	71.4(1)	73(2)
<i>C</i> <sub>13</sub> (GPa)	67(2)	61.2(6)	63.2(18)	57(1)	52.7(1)	56(2)
<i>C</i> <sub>23</sub> (GPa)	49(2)	54.1(8)	56.8(24)	50(1)	45.2(1)	50(3)
ρ (g/cm <sup>3</sup> )	3.218	3.288(4)	3.304	3.196(4)	3.209	3.194(6)
<i>K</i> <sub>S,Voigt</sub> (GPa)	112(1)	113(1)	116.3(5)	109.5(15)	103.5(15)	108.7(15)
<i>G</i> <sub>S,Voigt</sub> (GPa)	79.1(5)	76.6(7)	78.6(4)	78.6(7)	74.9(11)	77.2(7)
<i>K</i> <sub>S,Reuss</sub> (GPa)	110(1)	112(1)	114.6(5)	107.4(15)	101.5(15)	106.7(15)

$G_{S,Reuss}$ (GPa)	77.7(5)	75.2(7)	77.3(4)	77.3(7)	73.5(11)	75.9(7)
$K_{S,VRH}$ (GPa)	111(2)	113(1)	115.5(5)	108.5(15)	102.5(15)	107.6(15)
$G_{S,VRH}$ (GPa)	78.4(1)	75.9(7)	78.1(4)	77.9(7)	74.2(11)	76.8(7)
$V_P$ (km/s)	8.18(3)	8.06(3)	8.15(1)	8.15(8)	7.92	8.11
$V_S$ (km/s)	4.94(2)	4.80(2)	4.86(1)	4.94(5)	4.81	4.90

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