

Word count: 10915

# Revision 1

## Resolving the conundrum of equilibrium solubility of smectites

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## 33 **ABSTRACT**

34 Smectites are common clay minerals in surface and near surface terrestrial  
35 environments and have recently been shown to be ubiquitous on Mars. Because these  
36 minerals are products of water-rock interaction, the thermodynamics of their interaction  
37 with fluids constitutes a vital part of resolving the utility of smectite mineralizations as  
38 petrogenetic and paleoenvironmental indicators on both Mars and Earth's near surface  
39 environments. Smectites, and other clay minerals of comparable compositional  
40 complexity, have been purported to be disequilibrium solids whose complexity derive  
41 from steep chemical gradients in their environments of formation rather than from  
42 crystal-chemical constraints. Solubility investigates of several natural smectites wherein  
43 none exhibited the predicted inverse correlation between  $\text{pH} - 1/3\text{pAl}$  and  $\text{pSi(OH)}_4$   
44 were adduced by May et al. (1986) as empirical proof of the disequilibrium solid  
45 concept and hence they asserted unequivocally that "it is obviously impossible to obtain  
46 valid ion activity quotients for smectite solubilities in these systems". However, the  
47 unattainability of equilibrium smectite solubility in those experimental systems was  
48 probably an artifact of the extremely high fluid-solid ratios employed therein. In  
49 subsequent experimental studies using significantly lower fluid-solid ratios, smectite-  
50 fluid interactions (Kittrick and Peryea, 1989; Gaboreau et al. 2020) and chlorite-fluid  
51 interactions (Aja and Dyar, 2002) yielded solubility data amenable to laws of chemical  
52 thermodynamics and thus invalidate the disequilibrium solid model. Therefore, the  
53 notion of smectite metastability and/or instability anchored on the disequilibrium solid  
54 model is false and warrants a more constrained definition of smectite metastability.

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56 Keywords: smectite, metastability, disequilibrium solid, fluid-solid ratio,  
57 reversibility, solubility equilibrium, colloidal electrolyte

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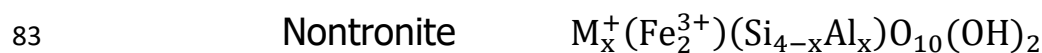
## INTRODUCTION

60 Recent discoveries of the ubiquity of smectites on Mars (e.g., Chevrier et al., 2007;  
61 Ehlmann and Edwards, 2014; Bishop, 2018) and its potential for paleoenvironmental  
62 reconstruction of martian history underscores the need for an improved understanding  
63 of the thermodynamics of smectite-water interactions. In terrestrial environments, the  
64 smectite group of minerals are also common in soil environments and the Critical Zone,  
65 in argillaceous rock formations, as major component of bentonite deposits, in marine  
66 sedimentary environments, and in some hydrothermally-altered rocks (Borchardt, 1989;  
67 Chamley, 1989; Christidis and Huff, 2009; Wilson, 2013).

68

69 Smectites are 2:1-layer silicate minerals having an expandable structure and a certain  
70 amount of excess negative layer charge. They are divisible into dioctahedral and  
71 trioctahedral smectites; in the former, Al is the predominant octahedral cations though  
72 divalent ions may substitute for Al, and the various species include montmorillonites,  
73 beidellite and nontronite (Brown et al., 1978). In montmorillonites, layer charge stems  
74 primarily from substitutions in the octahedral sheet whereas for beidellite and  
75 nontronite (an iron analog of beidellite), tetrahedral charges predominate. Trioctahedral  
76 smectites include stevensite, hectorite, saponite and other less common species (such

77 as sauconite, volkhonskoite, swinefordite). The general structural formula for the  
78 montmorillonite – beidellite series is of the form  $(Al_{2-y}Mg_y^{2+})(Si_{4-x}Al_x)O_{10}(OH)_2M_{x+y}^+ \cdot$   
79  $nH_2O$  where  $M^+$ ,  $x$  and  $y$  represent the interlayer cation, tetrahedral substitution, and  
80 octahedral substitution, respectively. End-member smectite compositions are rare in  
81 nature but are defined, per half unit cell, as follows:



85 Values of  $x$  reportedly lies between 0.65 and 1.3 per full unit cell (Brown et al., 1978).

86 The extensive compositional variations typical of aluminous dioctahedral smectites lead  
87 to the definition of compositional fields (Figure 10 in Güven, 1988) for the following  
88 smectites: 1) Wyoming-type montmorillonite, 2) Otay-type montmorillonite, 3)  
89 Chambers-type (aka Cheto-type) montmorillonite, 4) Tatatilla-type montmorillonite, 5)  
90 “Non-ideal” (Fe-rich) montmorillonite, 6) Beidellite, 7) “Non-ideal” (Fe-rich) beidellite.

91 The Cheto- and Wyoming-montmorillonite seem to be the predominant species  
92 amongst natural dioctahedral aluminous smectites (Güven, 1988). The actual  
93 compositional variation in natural smectite or illite may, however, be more limited than  
94 implied by reported analyses inasmuch as the structural formulae of clay minerals have  
95 generally been derived from bulk compositions of clay mineral powders rather than  
96 from chemical analyses of single crystals (Ransom and Helgeson, 1993).

97

98 Owing to questions of fundamental scientific curiosity and smectite applications in  
99 various industries, the thermodynamics of smectite-fluid interaction has been of  
100 continuing research interests; recent investigations have measured smectite dissolution  
101 kinetics (Cama et al. 2000; Köhler et al., 2005; Metz et al. 2005; Gainey et al. 2014;  
102 Cappelli et al. 2018; Di Pietro et al. 2020) and equilibrium solubility (May et al., 1986;  
103 Kittrick and Peryea, 1988, 1989; Peryea and Kittrick, 1986; Gaboreau et al. 2020).  
104 Phase equilibrium studies driven by performance assessment of bentonite in high-level  
105 nuclear waste (HLW) repositories have also been undertaken (Savage et al. 2019;  
106 Baron et al. 2019; Mosser-Ruck et al. 2016). The proposed designs for some HLW  
107 disposal barrier systems (e.g., the Japanese and Swiss concepts) include steel  
108 containers and gives rise to the question of the effect of iron on montmorillonite  
109 stability and possible consequences on the long-term behaviors of the barrier system of  
110 the alteration of montmorillonite to either non-swelling layer silicates or to Fe-rich  
111 smectites; the paragenetic sequence in HLW repositories resulting from fluid-mineral  
112 equilibria of Fe-rich clay minerals systems has also been of interest (Wilson et al.,  
113 2006a, b).

114  
115 Solubility techniques provide the primary means to directly determine probable chemical  
116 conditions of smectite formation considering that smectites and other clay minerals are  
117 products of water-rock interactions. However, long-standing questions persist on the  
118 certainty of equilibrium solubility of these clay minerals, probability of dissolution of clay  
119 minerals to points of thermodynamic equilibrium or even attainment of steady state

120 during dissolution rate studies. However, recently available experimental data suggest  
121 that properly designed solubility experiments can yield meaningful insight into the  
122 thermodynamic stability of clay minerals. In this contribution, therefore, fundamental  
123 questions pertaining to the equilibrium solubility of smectites and analogous complex  
124 minerals will be re-examined in light of recent solubility studies.

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126

### 127 **DISEQUILIBRIUM SOLID MODEL OF CLAY MINERALS**

128 The disequilibrium solid/metastable model of smectites and other compositionally-  
129 complex clay minerals, initially postulated by Lippmann (1977; 1982), was rooted in the  
130 extensive compositional variations found in natural illites and montmorillonites;  
131 according to Lippmann (1982), this variability is unexpected for low temperature  
132 minerals inasmuch as a smaller range of solid solutions is typical of higher temperature  
133 systems. In the paragonite-muscovite and pyrophyllite-muscovite systems, a range of  
134 stable solid solutions, comparable to those found in clay minerals, are expected above  
135 the relevant solvus temperatures (600° and 800°C, respectively); below the solvus  
136 temperatures, mutual solubility of the end members is negligible unless the system is  
137 characterized by a solvus having a lower critical point which condition requires that the  
138 heat of mixing be exothermic. Previously, Lippmann (1977) had speculated that the  
139 existence of fractional subscripts in the structural formulae of illites and  
140 montmorillonites preclude the application of solubility product conventions to model the  
141 solubility behavior of such minerals; in this model, valid solubility products may only be

142 derived for phases with fixed chemical compositions and nonfractional subscripts and,  
143 the solubility of such compositionally complex solid solutions must be defined in terms  
144 of the partial solubility products of end-member components. Considering the large  
145 number of the endmember minerals needed to define the solubility products, Lippmann  
146 (1977, 1982) reasoned that these clay minerals must be disequilibrium solids that form  
147 by precipitation from extremely supersaturated solutions. In other words, the wide  
148 range of compositional variations characteristic of clay minerals do not derive from  
149 crystal chemical constraints modulated by the intensive variables of their environments  
150 of formation. Furthermore, Lippmann (1982) pontificated that the formation of illites  
151 and montmorillonites under low temperature conditions must imply their metastability  
152 on the presumption that the magnitudes of electrostatic excess lattice energies  
153 calculated for the paragonite-muscovite and muscovite-pyrophyllite systems suggest a  
154 significant solid miscibility gap at low temperatures.

155  
156 In a purported validation of the disequilibrium/metastable solid model of clay minerals,  
157 May et al. (1986) reported irrational solubility behavior in their dissolution investigations  
158 of five natural smectites. They attempted to determine the aqueous solubilities of the  
159 smectites between pH 5 and 8; the smectites included two samples from commercial  
160 bentonite deposits and three samples from an A horizon from Hawaiian Vertisols. Their  
161 samples were pretreated by washing portions of each sample with pH 5 buffered 4 M  
162 sodium acetate solutions, hot hydrogen peroxide solution and then size-fractionation to  
163 prepare smectite ( $< 0.2 \mu\text{m}$  size fraction) stock suspensions. Subsequent to prewashing

164 of the 1% smectite stock clay suspensions with 0.01 M MgNO<sub>3</sub> solution, the samples  
165 were then equilibrated with the 0.01 M MgNO<sub>3</sub> solution. Furthermore, these  
166 experiments were designed to demonstrate reversibility of solubility; the pH, SiO<sub>2(aq)</sub>  
167 and dissolved Al concentration in the equilibrating mineral suspensions were adjusted  
168 by the additions of nitric acid, silicic acid and aluminum nitrate stock solutions. The  
169 studies were conducted at 25 °C and p<sub>O<sub>2</sub></sub> = 1 atm. Chemographic evaluation of the  
170 solubility data, in pH - 1/3pAl<sup>3+</sup> vs. pSiO<sub>2(aq)</sub> space, showed no correlation between  
171 these parameters (Figures 3 and 4 in May et al., 1986) which contradicts the predicted  
172 inverse correlation. In the experiments with bentonite samples, gibbsite appeared to  
173 control Al level whereas amorphous aluminum hydroxides appeared to precipitate in the  
174 Hawaiian soil samples.

175  
176 In order to explain this irrational smectite solubility pattern, the data was modeled  
177 using the idealized system H<sub>2</sub>O – (AlFe)Si<sub>4</sub>O<sub>10</sub>(OH)<sub>2</sub> – (AlM<sub>3/2</sub>)Si<sub>4</sub>O<sub>10</sub>(OH)<sub>2</sub> by  
178 May et al. (1986). In this system, kinetic restrictions and incongruent dissolution of the  
179 smectites were presumed to preclude equilibrium solubility of complex aluminosilicates  
180 of variable composition along a solution saturation curve. Moreover, the irreversible  
181 solubility displayed by the smectites was speculated to be somewhat analogous to  
182 stoichiometric saturation postulated previously by Thorstensen and Plummer (1977).  
183 But stoichiometric saturation does not imply solubility behavior divorced from applicable  
184 chemical model. Rather, stoichiometric saturation implies that, in both laboratory  
185 experiments or natural settings, kinetic restrictions may cause minerals that exhibit



186 compositional variation in space and time to equilibrate with a fixed composition during  
187 a short-term geologic process. That is, compositional complexity cannot preclude the  
188 dissolution of a solid solution to a point of thermodynamic equilibrium in laboratory  
189 experiments but will rather constrain such phases to behave as discrete phases of fixed  
190 compositions. Nonetheless, the failure to demonstrate smectite equilibrium solubility in  
191 the study was presumed to provide an experimental validation of the disequilibrium  
192 solid model. This view of smectite metastability thus posits that the complex and  
193 fractional atomic site stoichiometries of smectites prevent strict adherence to the fixed  
194 ion activity product/equilibrium solubility principles (Lippmann 1977, 1982). Therefore,  
195 smectites and similar clay minerals (illites, chlorites, etc.) are not true thermodynamic  
196 phases whose solubility behavior are subject to thermodynamic models using mass  
197 action constraints but are heterogeneous, disequilibrium solids that persists in  
198 geochemical systems owing to kinetic restrictions.

199  
200 The study by May et al. (1986) gave considerable impetus to much of the currently  
201 prevailing view that clay minerals are disequilibrium solids and/or metastable phases, or  
202 even unstable phases. For instance, Essene and Peacor (1995) reasoned (on the basis  
203 of those conclusions) that without an independent quantification of the effects of  
204 variable grain size, structure and composition, clay mineral solubility experiments  
205 cannot be relied upon in assessing equilibrium conditions and that clay minerals being  
206 comprised of sub-micrometer crystallites with complex physical and chemical characters  
207 cannot be shown to have attained equilibrium. They further expressed considerable

208 skepticism on the significance of clay minerals solubility investigations on the  
209 presumption of an elevated reactivity of fine particulates relative to macroscopic phases  
210 of equivalent compositions. Therefore, a corollary of the disequilibrium solid model is  
211 that solubility investigations of complex clay minerals cannot intrinsically yield valid  
212 thermodynamic properties (such as log K) and hence the considerable skepticisms  
213 regarding clay minerals solubility studies (cf. Blanc et al., 2013).

214

215

## 216 **SOLUBILITY OF COMPOSITIONALLY COMPLEX CLAY MINERALS**

217 Mineral solubility in aqueous fluids consists of heterogeneous multicomponent processes  
218 involving hydrolytic detachment of cations from solid phases, electrostriction changes in  
219 the coexisting aqueous electrolyte consequent on the transfer of the released ions into  
220 the aqueous phase, hydrolysis of high ionic potential ions, changes in aqueous ion  
221 speciation resulting from changing pH during equilibration and possible system-wide  
222 changes in redox potential; at equilibrium, however, the overall solubility reaction is  
223 subject to the Gibbs Duhem law ( $VdP - SdT - \sum_i n_i d\mu_i = 0$ ). Under isothermal, isobaric  
224 conditions, the multicomponent equilibria become fixed by the chemical potential ( $\mu_i$ )  
225 and number of moles ( $n_i$ ) of the various reacting species. For any reaction, equilibrium  
226 constant (including the solubility product of a dissolution reaction) and the slopes of  
227 phase boundaries on chemical potential diagrams (such as ion activity diagrams) are  
228 derivable from the Gibbs Duhem relation (see Nordstrom and Munoz, 1994). Hence, if  
229 the disequilibrium solid model of smectites and other complex clay minerals espoused

230 by Lippmann (1977, 1982), May et al. (1986) and Essene and Peacor (1995) is valid,  
231 the Gibbs-Duhem relation cannot predict accurate models of ion activity quotients in  
232 aqueous solutions equilibrated with such solids. In particular, chemographic evaluation  
233 of clay minerals solubility data will be at variance with predictions based on the Gibbs-  
234 Duhem law. Clearly, equilibrium chemical thermodynamic models of mineral – fluid  
235 interaction, rooted in the Gibbs-Duhem relation, provides a rigorous test of the validity  
236 of the disequilibrium solid model of smectites and other clay minerals.

237

### 238 **Chlorite – aqueous solution equilibria**

239 The chlorite mineral group is structurally and chemically more complex than smectites  
240 (and illites) and thus provide an excellent test case to evaluate these fundamental  
241 questions on the validity of modeling clay-mineral solubility with equilibrium constant  
242 formalism. The stability of Fe-Mg chlorites has recently been investigated from a number  
243 of perspectives including their interaction with aqueous solutions (Aja, 2019a; Aja et al.,  
244 2015; Aja and Dyar, 2002; Aja, 2002; Aja and Small, 1999). In the hydrothermal  
245 experiments, chlorite-kaolinite mixtures were equilibrated with approximately equal  
246 weight of aqueous NaCl or MgCl<sub>2</sub> solutions. The experiments were designed so that the  
247 chlorite-kaolinite boundary will be approached from both undersaturation and  
248 oversaturation defined in terms of ion activity quotients. In none of the experiments  
249 were Al and/or Fe introduced into the starting aqueous solutions and in some  
250 experiments neither Mg nor Si was introduced into the starting solutions. In  
251 experiments in which Al, Fe, Si and Mg were not added to the starting solutions, these

252 metals could only have been released into the equilibrated solutions by the kaolinite-  
253 chlorite reaction. The results of the experiments show that the final solution  
254 compositions were in agreement regardless of the composition of the starting aqueous  
255 solutions (Figure 4 in Aja and Small, 1999).

256  
257 Using the Windsor chamosite – kaolinite equilibrium as an example, models of the  
258 chlorite – kaolinite equilibria are given by equations 1 and 2 (table 1). Equation 1 is a  
259 complex, multi-component reaction that includes processes of compensating mineral  
260 dissolution, transfer of the released cations into aqueous solution, intrinsic system-wide  
261 adjustments of redox conditions, and aqueous ion speciation. At equilibrium, the  
262 chemical potential of each species is equal across the phases coexisting in the  
263 experimental system. Being a multicomponent system, at least a 7-dimensional space  
264 will be necessary to simultaneously depict the stability relationship under isothermal,  
265 isobaric conditions. In view of the obvious difficulty of such constructs, the serial  
266 projection onto pseudo-binary ion activity space presents a salutary alternative; internal  
267 consistency of the solubility data requires then that the measured and predicted slopes  
268 of the chemical potential diagrams must concur for each pseudo-binary section.

269  
270 In  $\log \frac{a_{Mg^{2+}}^{0.5}}{a_{H^+}}$  vs.  $\log a_{Al(OH)_4^-} \cdot a_{H^+}$  space (equation 2), the kaolinite – chlorite boundary is  
271 predicted to have a slope of -1.46. Best-fit analyses of the solubility data yielded a slope  
272 of -1.35(0.17) ( $r^2 = 0.94$ ) at 75 °C (figure 1a); at the 95% confidence level, there is no  
273 difference between the predicted and measured slopes. For the Mg chlorite-kaolinite

274 reaction, the predicted slope and the disposition of the experimental data in  
275  $\log \frac{a_{Mg^{2+}}^{0.5}}{a_{H^+}}$  vs.  $\log a_{Al(OH)_4^-} \cdot a_{H^+}$  activity space are in agreement (Figure 1b) but the  
276 shallowness of the slope precludes valid regression analysis. Considering the changing  
277 aqueous ion speciation with temperatures and all the major ions occurring in the  
278 experimental system, the chlorite – kaolinite boundary was also projected onto  
279 additional pseudo-binary ion activity spaces including  $\log a_{Al(OH)_4^-} \cdot a_{H^+}$  vs  $\log \frac{a_{Fe^{2+}}^{0.5}}{a_{H^+}}$  and  
280  $\log a_{Al(OH)_4^-} \cdot a_{H^+}$  vs  $\log a_{Fe(OH)_3(aq)}^\circ$  (Figures 6 and 7 in Aja and Dyar, 2002); in the latter  
281 examples, the chemical equilibrium models and the solution equilibration data were  
282 demonstrably congruent. Thus, in chemical potential diagrams, the predicted and  
283 measured slopes of the chlorite-kaolinite boundaries were in agreement and verifies  
284 that the multicomponent criteria of fluid-solid equilibria imposed by the Gibbs-Duhem  
285 relation were satisfied.

286  
287 The Windsor chamosite and low-Fe clinocllore are monomineralic natural chlorite  
288 samples whose detailed structural chemistries have been determined (Aja et al., 2015);  
289 these monomineralic phases proved to be the solubility-controlling phases despite the  
290 presence of fractional subscripts in their structural formulae and even vacancies in their  
291 structures. These structural and chemical complexities of the chlorite group of minerals  
292 did not preclude either rational solubility patterns or the demonstration of equilibrium  
293 solubility, which observations contradict the postulate of the disequilibrium solid model.

294

## 295 **Rational solubility of smectites**

296 Unlike the chlorite group of minerals, pure monomineralic samples of natural smectites  
297 are less readily available; common minor phases admixed with the smectites samples  
298 include a variety of silica phases together with other non-layer silicates. This constitutes  
299 a complicating factor in experimental studies inasmuch as some of these extraneous  
300 phases cannot be physically separated.

301  
302 Kittrick and Peryea (1989) and Gaboreau et al. (2020) studied the solubility of two  
303 different smectites using contrasting experimental techniques. Kittrick and Peryea  
304 (1989) reacted aqueous 0.010 M MgCl<sub>2</sub> solutions previously equilibrated with gibbsite  
305 with 0.2 – 5 μm Mg-saturated Belle-Fourche montmorillonite (BF) with goethite and  
306 gibbsite; the experiments ran for 7 to 15 days. In the studies by Gaboreau et al.  
307 (2020), the solubilities of kaolinite KGa-2, smectite MX-80, Silver Hill illite (IMt-2), Santa  
308 Ollala vermiculite (SO) and chlorite CCa-2 were measured in aqueous 10<sup>-2</sup> M NaCl  
309 (MX80, IMt-2, and KGa-2) and 10<sup>-2</sup> M CaCl<sub>2</sub> (SO and CCa-2) solutions. The batch  
310 experiments lasted up to seven years, and used a liquid to solid (L/S) ratio of 24:1.  
311 Smectite MX-80, a Na-bentonite from Wyoming, contains only about 78% clay and  
312 additional minor phases (quartz, cristobalite, and amorphous silicas). The dissolution  
313 experiments (at 25°C and 40°C) were carried out in starting aqueous solutions having a  
314 pH of 5, and the attainment of equilibrium was presumed owing to the long duration of  
315 the experiments; the investigators also presumed that dissolution experiments

316 exceeding two years in duration will be at thermodynamic equilibrium based on the  
317 flattening out of the dissolution rate.

318  
319 The solubility data of BF, depicted in figure 2, was modeled using equation 3 (table 1).  
320 Based on the solubility product convention (equation 4, table 1), a graphical evaluation  
321 of the solubility data (in  $2\text{pH} - \text{pMg}^{2+}$  vs.  $\text{pSi(OH)}_4^\circ$  space) should yield a straight line  
322 with a positive slope of 6.99; a linear regression analysis of the solubility data returned  
323 a value of 6.99 (1.09) ( $R^2 = 0.83$ ) despite the short duration of the experiments. The  
324 standard error probably reflects the scatter in the data and experiments of longer  
325 duration may have minimized the scatter and better facilitate clustering of the data  
326 around the best-fit line. Nonetheless, it is significant that the experimental data  
327 validates the solubility model and that the inverse linear correlation between aqueous  
328 silica concentration and ion activity ratio expected at equilibrium is evident in figure 2.

329  
330 For smectite MX-80, equation 5 (Table 1) gives the solubility model adopted by  
331 Gaboreau et al. (2020) whereas equation 6 (table 1) is an expression of the  
332 corresponding mass action constraint. In figure 3a, the MX-80 solubility data have been  
333 projected onto the  $3\text{pH} - \text{pAl}^{3+}$  vs  $\text{pH}_4\text{SiO}_4$  space; the aqueous solute concentrations  
334 tabulated by Gaboreau et al. (2020) were presumed to be approximately equal to the  
335 ion activities considering the low ionic strength of the aqueous media (Ionic strength =  
336 0.01 – 0.02 m) and the final low pH of the reacted solutions (4.9 to 5) and hence the  
337 presumption that all aluminum is present as  $\text{Al}_{(aq)}^{3+}$ . Furthermore, in this analysis

338 thermodynamic properties such as equilibrium constants are not being extracted from  
339 the solubility data. The MX-80 solubility data conform to the equation (figure 3a):

$$340 \quad 3\text{pH} - \text{pAl}^{3+} = 1.88 \pm 0.50 \text{pH}_4\text{SiO}_4 + 2.73 \quad (7a)$$

341 At the 95% confidence level, the value of the best-fit slope, 1.88 (0.50), is consistent  
342 with the predicted slope, 2.01 (equation 6 in table 1). The low regression coefficient ( $R^2$   
343 = 0.78) and the relatively large standard error of estimate reflects both the scatter and  
344 sparsity of the experimental data. Nonetheless, the inverse linear correlation of silica  
345 and ion activity ratios (figure 3a) belies assertions of smectite predisposition to  
346 irrational solubility behavior. In their smectite solubility study, May et al. (1986) plotted  
347 their data on  $\text{pH} - \frac{1}{3}\text{pAl}$  vs  $\text{pSi}(\text{OH})_4$  ion activity diagram but none of the five  
348 smectites they investigated displayed the predicted inverse linear correlation (Figures 3  
349 and 4 in May et al., 1986). Rather, values of  $\text{pH} - \frac{1}{3}\text{pAl}$  were approximately constant  
350 and independent of aqueous silica concentrations; this suggested that smectite lacks a  
351 definable stability field. Contrariwise, equation 7a quantifies an inverse linear correlation  
352 of these solute parameters from smectite MX-80 interaction with aqueous solution and  
353 thus, the outcomes of the investigations of May et al. (1986) and Gabreau et al. (2020)  
354 seem diametrically opposed.

355  
356 However, the MX-80 solubility data lacks internal consistency which is a critical  
357 shortcoming. When the MX-80 solubility data is projected onto the  $2\text{pH}-\text{pMg}^{2+}$  vs.  
358  $\text{pH}_4\text{SiO}_4$  ion activity space (figure 3b), the equation of the best fit line ( $R^2 = 0.63$ ) is,



359 
$$2\text{pH} - \text{pMg}^{2+} = 1.50 \pm 0.57 \text{pH}_4\text{SiO}_4 - 0.07 \quad (7b)$$

360 Though an inverse linear correlation is also evident, the slope of the best fit line  
361 1.50(0.57) is at odds with the predicted slope of 17.47. This discordance is inconsistent  
362 with demonstrated patterns in prior solution equilibration investigations of illites and  
363 chlorites. Typically, solution equilibria of multicomponent clay minerals are  
364 characterized by the collinearity of solution data (cf. Aja et al. 1991b) where collinearity  
365 derives from crystal chemical controls on mineral chemistry. Whilst the reason for this  
366 lack of internal consistency of the MX-80 data is not clear, it raises questions on the  
367 efficacy of the particular static batch techniques utilized; other germane questions  
368 pertaining to the determination of the thermodynamic stability of smectites include the  
369 possible effects of structural disorder (turbostratic stacking) and variable morphologies  
370 (Brindley, 1980; Güven, 1988) as well as the compositional complexity of smectites.

371  
372 On balance, the experimental data and the theoretical solubility model of the Belle  
373 Fourche montmorillonite, rooted in the Gibbs-Duhem relation, are concurrent in spite of  
374 the short duration of the experiments (7-15 days). By contrast, the smectite MX-80  
375 solution data suggests smectite – aqueous solution equilibrium but lacks internal  
376 consistency despite the long duration (1 – 2500 days) of the experiments. This possibly  
377 reflects the contrasting experimental techniques, viz solution equilibration and  
378 hydrolytic dissolution. In addition, these two experiments used significantly different  
379 fluid-solid ratios. In the current context, it is significant that both studies yielded

380 rational solubility patterns and suggests that equilibrium can be demonstrated for  
381 suitably designed smectite – water interaction experiments even at 25 °C.

382

### 383 **Latent solubility-controlling phases**

384 The interpretation of mineral solubility data presents more challenges if the solubility-  
385 controlling phases differ from the composition of the starting mineral. For example, in  
386 experimental investigation of (less than 2- $\mu\text{m}$  size fraction) K-saturated SWY-1  
387 montmorillonite, the measured and predicted solubility models were divergent (Kittrick  
388 and Peryea, 1989); in  $\text{pH} - \text{pK}^+$  vs.  $\text{pSi}(\text{OH})_4^\circ$  coordinates, their experimental data  
389 returned a slope of 3.3 rather than the theoretical slope of 5.8 based on the bulk  
390 composition of the sample. Such discordant results may either indicate lack of sample  
391 equilibrium during the solubility study or that the solubility-controlling phase is different  
392 from the presumed composition of the equilibrated clay mineral.

393

394 Discordance between the bulk composition of investigated clays and composition of the  
395 solubility-controlling phase is further exemplified by the solubility studies of SO  
396 vermiculite and chlorite CCa-2 (Gaboreau et al., 2020). For the Santa Ollala vermiculite  
397 (SO) and chlorite CCa-2, equations 8 and 10 (table 1) are respectively the postulated  
398 solubility models whereas the corresponding equilibrium constant expressions are given  
399 by equations 9 and 11 (table 1). The solubility data for Santa Ollala vermiculite at 25 °C  
400 (figure 4a) shows a high degree of linearity ( $R^2 = 0.97$ ) and the best fit equation is:

$$401 \quad 2\text{pH} - \text{pMg}^{2+} = 9.63 \pm 0.82 \text{pH}_4\text{SiO}_4 - 19.55 \quad (12)$$

402 The value of the empirical slope, 9.63(0.82), diverges significantly from the predicted  
403 value of 1.12 (equation 9). For chlorite CCa-2, the best fit equation of the solubility data  
404 (figure 4b) is,

$$405 \quad 2\text{pH} - \text{pMg}^{2+} = -3.37 \pm 0.84 \text{pH}_4\text{SiO}_4 + 18.99 \quad (13)$$

406 The negative slope of equation 13, -3.37(0.84), is starkly different from the predicted  
407 positive value of 0.89 (equation 11). As was the case with SO, the divergence between  
408 the predicted and measured slopes suggests that the postulated solubility models  
409 (adopted by Gaboreau et al., 2020) do not apply. That is, the presumption that  
410 solubility was controlled by phases having the same compositions as the bulk  
411 compositions of the starting minerals appears erroneous. Furthermore, figure 4c show  
412 that the ion activity ratios of the final solution compositions for SO and CCa-2 overlap  
413 suggesting chemical similarities in the identity of the solubility controlling phases.

414  
415 It is curious that despite the similarity of the initial experimental conditions for the three  
416 complex layer silicates (MX-80, SO and CCa-2) studied by Gaboreau et al. (2020) and  
417 considered in this review, only smectite MX-80 solubility data conform somewhat to the  
418 theoretical model. During the 7-year reaction time with the immanent aqueous solution,  
419 MX-80 dissolution engendered minimal pH changes (from 5.3 to 5.0, Table 6 in  
420 Gaboreau et al., 2020) whereas the dissolution of CCa-2 and SO were accompanied by  
421 significant pH changes; the pH changed from 5.2 to 7.3 and from 5.3 to 6.2 for SO and  
422 CCa-2, respectively. Hence,  $\text{Al}^{3+}$  is not likely to be the dominant Al species in those  
423 reacted solutions (see May, 1978). Probably, the solubility pattern observed for CCa-2

424 and SO typify laboratory weathering trajectories for these minerals under moderately  
425 acidic conditions whereas MX-80 solubility behavior reflects a confluence of factors. MX-  
426 80 contains 5.53% amorphous silica plus 8.29% cristobalite and 8.29% quartz in  
427 addition to the montmorillonite clay (Table 2 in Gaboreau et al., 2020); by contrast,  
428 CCa-2 contains neither amorphous silica nor cristobalite whereas SO contains only 0.2%  
429 cristobalite and 0.3% quartz. Secondly, in terms of structural chemistry, MX-80 has  
430 3.738 Si atoms per half unit cell whereas SO and CCa-2 have 2.778 Si/O<sub>10</sub>(OH)<sub>2</sub> and  
431 2.635 Si/O<sub>10</sub>(OH)<sub>8</sub>, respectively. Because montmorillonite is comparatively a silica-rich  
432 phase, it's stability would be favored in aqueous silica-enriched environments.  
433 Conceivably, the contemporaneous dissolution of the extraneous silica phases contained  
434 in MX-80 sample, particularly the amorphous silica, would lead to elevated aqueous  
435 silica levels in the immanent solution. That is, the aqueous silica pumped in by the  
436 dissolution of amorphous silica would have fostered a stabilizing milieu for equilibration  
437 of the starting MX-80 montmorillonite. Such a scenario is consistent with the  
438 demonstrated expansion of the field of smectite stability, at the expense of other  
439 phases, when the concentration of aqueous silica in coexisting aqueous solution  
440 increases towards amorphous silica saturation limit (Aja et al., 1991a). By contrast, this  
441 extraneous buffering of silica levels in the bathing aqueous solution would be  
442 nonexistent for SO and CCa-2. Hence, the comparatively different solubility behaviors of  
443 MX-80, SO and CCa-2 in identical initial pH conditions reflect the possible range of  
444 outcomes in solubility studies of complex clay minerals. Serendipitously, however, the  
445 outcome of the interaction of smectite MX-80 with hydrothermal solutions affirm that

446 smectites do indeed have stability fields which are accessible by solubility  
447 measurements.

448

## 449 **DISCUSSION**

450 From the foregoing, layer silicates may exhibit three types of solubility characteristics  
451 during laboratory investigations: 1) rational solubility for which the stoichiometry of the  
452 reacted clay models the solubility characteristics, 2) rational solubility patterns wherein  
453 the bulk composition of the equilibrated clay is at variance with the solubility data, and  
454 3) irrational solubility pattern. The second group of behaviors result when either new  
455 solubility-controlling phases formed during the course of the experiments or the sample  
456 is a multiphase mixture and the solubility controlling phase has a different composition  
457 relative to the bulk phase composition. The smectite solubility study reported by May et  
458 al. (1986) is perhaps a well-known example of the third type of behavior; because their  
459 study was a meticulous one, advancing a plausible explanation for their findings not  
460 only has consequential heuristic purposes but also dispenses with the confusion bred by  
461 the view of smectite-water interaction generated therefrom. The key to understanding  
462 that irrational solubility behavior appears to be the fluid-solid ratio employed in those  
463 investigations.

464

### 465 **Fluid-solid ratio and clay minerals solubility**

466 Table 2 provides a comparative overview of fluid – solid ratios used in some recent  
467 solubility studies and it is evident that May et al. (1986) employed an extremely high

468 fluid-solid ratios, 100:1, in their study; this very high ratio contrasts markedly with the  
469 fluid-solid ratios utilized by all other investigators. They reacted 1% smectite (< 0.2  $\mu\text{m}$   
470 size fraction) suspension using 0.01 M  $\text{Mg}(\text{NO}_3)_2$  solution as the background electrolyte.  
471 By contrast, fluid-solid ratios of approx. 1:1 and 24:1 were utilized in the study by  
472 Kittrick and Peryea (1989) and Gaboreau et al. (2020), respectively. That is, smectite  
473 investigations in which low fluid-solid ratios were used yielded rational solubility data  
474 consistent with chemical models whereas the experiments conducted with very high  
475 fluid-solid ratios generated irrational data. This suggests that the high fluid-solid ratios  
476 (used by May et al., 1986) may have hindered equilibrium by lengthening the duration  
477 of system-wide mineral-fluid interaction required to bring the volume of coexisting  
478 aqueous solution to equilibrium. If so, then the conditions of the experiments  
479 constituted kinetic barriers to attainment of equilibrium and the failure to attain  
480 equilibrium is an artifact of the experimental condition rather than an evidence of  
481 intrinsic metastability or instability of smectites.

482  
483 The high-fluid ratios in those solubility experiments apparently generated finely-  
484 dispersed smectite suspensions. Typically, when dispersed in water, smectites undergo  
485 both hydration and osmotic swelling during which the basal spacing increases. With  
486 increased osmotic swelling, finely dispersed montmorillonite suspensions become  
487 colloidal electrolytes whose charge result from ionization (Foster, 1954; Norrish, 1954;  
488 Smalley, 1994). The exchangeable cations dissociate from the anionic 2:1 layer  
489 framework and tend to form a diffuse double layer whereas the negatively charged

490 framework units tend to repel each other. The osmotic swelling of the colloidal  
491 suspension is differentiated into the isotropic stage, an anisotropic stage and a  
492 transitional stage between the two (McBride and Baveye, 2002); the isotropic stage  
493 occurs at clay content of approximately 0.02% to 5% clay suspension whereas clay  
494 suspensions of the anisotropic stage have about 6% or more (i.e.,  $\geq 6\%$ )  
495 montmorillonite content. The dispersed clay platelets in the isotropic stage lack  
496 structural order. Certainly, this phenomenon is more clearly understood for Na  
497 montmorillonite whereas for smectites having divalent cations as exchangeable cations  
498 (such as was used by May et al., 1986), the osmotic swelling is limited relative to that  
499 of Na montmorillonite suspensions (Slade and Quirk, 1991). Nonetheless, the 1% clay  
500 suspension used by May et al. (1986) consigned the clay content of their experimental  
501 setting to the lower reaches of the isotropic stage; that is, the rather high fluid-solid  
502 ratios could have disposed their experimental clay suspension to an isotropic colloidal  
503 electrolytic state. Moreover, whether or not their chemical smectite purification  
504 protocols exacerbated the predisposition of the smectite samples towards colloidal  
505 electrolytic behavior is an unanswered question. It is conceivable, nonetheless, that the  
506 high fluid solid ratios utilized in that study imply that an isotropic colloidal electrolytic  
507 clay suspension does not exhibit mineral solubility characteristics expected of ordinary  
508 mineral-aqueous fluid mixtures.

509  
510 Both May et al. (1986) and Kittrick and Peryea (1989) used Mg-saturated  
511 montmorillonite from bentonite deposits in their respective investigations but with very

512 different fluid-solid ratios (Table 2); the very low fluid-solid ratio in Kittrick and Peryea  
513 (1989) possibly minimizing formation of smectite colloidal electrolytes whereas the  
514 reverse would have been the case for the rather high ratio of May et al. (1986). In  
515 seeking to explain their observations, May et al. (1986) concluded that “it is obviously  
516 impossible to obtain valid ion activity quotients for smectite solubilities in these systems  
517 ...”. However, this assertion did not consider that their experimental systems may have  
518 been isotropic colloidal electrolytic suspensions, and their findings are averse to the  
519 inferences from other studies (Gaboreau et al., 2020; Peryea and Kittrick, 1989). In  
520 fact, the Mg-saturated BF conformed to the monophasic model of smectites in which the  
521 interlayer cation and the 2:1 layer framework constitute a single structural unit. Hence,  
522 these latter studies directly contradict the assertion by May et al. (1986). Remarkably,  
523 these three investigations (Gaboreau et al., 2019; Kittrick and Peryea, 1989; May et al.,  
524 1986) included smectites from bentonite deposits. All of the natural smectite specimens  
525 contain minor mineral components or impurities and the inference that these trace  
526 components may have precluded access to equilibrium ion activity quotients in the  
527 equilibrated solutions (as espoused by May et al., 1986) is not borne out by these latter  
528 studies. The irrational smectite solubility data reported by May et al. (1986) appears  
529 rooted in the rather high fluid-solid ratio used in that study and may also reflect  
530 sluggishness of equilibration rate owing to the large fluid volume used in the study and  
531 the apparent colloidal electrolytic nature of the suspension.

532



533 The Gibbs-Duhem relation, a fundamental law of chemical thermodynamics, describes  
534 all and necessary conditions of mineral-water equilibrium regardless of whether the  
535 equilibrium is stable or metastable. Under isothermal and isobaric conditions, the law  
536 reduces to,

$$537 \quad \sum_i n_i d\mu_i = 0 \quad (14)$$

538 Hence, equilibrium constants of chemical reactions, solubility products of dissolution  
539 reactions and slopes of phase boundaries in ion activity diagrams are derivable from  
540 this law; the number of moles of each reacting species is same as the absolute values  
541 of the stoichiometric coefficient of each species in a given chemical reaction (see  
542 Nordstrom and Munoz, 1994). In other words, the Gibbs-Duhem law is violated if the  
543 measured slope (of a phase boundary in ion activity diagram) disagrees with the  
544 predicted slope for a particular chemical model or, alternatively, the model is either  
545 wrong or does not apply. For example, the slopes predicted for the solubilities of Santa  
546 Ollala vermiculite (Equation 9) and chlorite CCa-2 (Equation 11) vary significantly from  
547 the slopes obtained from chemographic evaluation of the data. This suggests that a  
548 different chemical model applies to the solubility data or that different solubility  
549 controlling phases buffered solute concentrations; such outcomes underscore the need  
550 for exhaustive characterization of the solid products from solubility investigations by  
551 analytical transmission electron microscopy as a way to verify the identity of the  
552 neoformed phases (cf. Yates and Rosenberg, 1998). As has been demonstrated, the  
553 solubility data for Belle Fourche montmorillonite (figure 2), MX-80 smectite (figure 3a),  
554 Windsor chamosite (figure 1a) and low-Fe clinocllore (figure 1b) conform to the

555 predictions of the Gibbs-Duhem law; these concurrences validate the respective models  
556 of solubility equilibria. Furthermore, hydrothermal experiments conducted with various  
557 illites and sericites similarly demonstrate mineral-fluid equilibria (see discussions in Aja,  
558 2019b). That this array of clay minerals (smectites, illites, sericites and chlorites) obey  
559 laws of chemical thermodynamics in their interaction with hydrothermal solutions is  
560 diametrically opposed to the postulate that clay mineral solubility experiments cannot  
561 be relied upon in assessing equilibrium conditions and cannot be shown to have  
562 attained equilibrium. In addition, the proposition by Essene and Peacor (1995) that the  
563 complex physical and chemical characteristics of sub-micrometer crystal sizes of clay  
564 minerals preclude their behavior as genuine thermodynamic phases in solubility studies  
565 is demonstrably false.

566

### 567 **Pitfalls in designing solubility studies**

568 Both hydrolytic dissolution and solution equilibration techniques provide unique tools to  
569 assess the relative stabilities of clay minerals but a successful application of these  
570 requires awareness of both the limitations and potential. An extremely valuable list of  
571 experimental and conceptual deficiencies that could limit the utility of clay minerals  
572 solubility studies were listed by May et al. (1986) whereas possible criteria to assess  
573 equilibrium solubility studies were suggested by May and Nordstrom (1991). The  
574 deficiencies include: 1) lack of proof of the attainment of equilibrium where equilibrium  
575 has been inferred from extrapolation of solute activities to infinite time, 2) the use of  
576 acidic equilibrating solutions in which minerals may be unstable, 3) the use of

577 inappropriate analytical techniques which neglect the role of ion speciation especially for  
578  $Al^{3+}$ , 4) erroneous identification of solubility-controlling mineral species, and 5)  
579 uncertainties in the role of exchangeable cations in smectites; possible indicators for  
580 equilibrium in solubility studies include a) reaction reversibility of equilibrium solubility,  
581 b) validation of stoichiometric mineral solubility by the invariance of equilibrium  
582 constant over an appropriate range of solute activities, c) absence of consequential  
583 interference from secondary reactions, and d) a well characterized solid phase that  
584 does not undergo compositional alteration during the dissolution reaction. Clearly, these  
585 equilibrium criteria apply to monomineralic samples which may not always be available  
586 for clay minerals but notwithstanding, the need to affirmatively demonstrate attainment  
587 of equilibrium in solubility studies is of primary concern.

588  
589 Reversibility of ion activity ratios in equilibrated solutions has been employed as an  
590 experimental strategy to demonstrate equilibrium solubility (Kittrick, 1982; Kittrick,  
591 1984; May et al., 1986; Sass et al., 1987; Kittrick and Peryea, 1989; Aja and Small,  
592 1999; Aja and Dyar, 2002). Recently, however, Blanc et al. (2013) and Gaboreau et al.  
593 (2020) postulated that, in clay minerals solubility investigations, equilibrium should be  
594 assessed using ion activity products (IAP) calculated via standard state Gibbs free  
595 energy of formation derived from calorimetric measurements of the particular clay  
596 minerals. The rationale for this approach is that calorimetry derived IAP's are  
597 independent of mineral-water interactions and thus circumvent questions of attainment  
598 of equilibrium inherent in solubility techniques. Certainly, the combined application of

599 solubility techniques and calorimetry to determine the thermodynamic properties of clay  
600 minerals is not novel (Hemingway et al. 1984, Kittrick, 1982; Aja 2019a, Aja et al.,  
601 2015) but certain limitations attend the approach adopted by Gaboreau et al. (2020) to  
602 ascertain equilibrium solubility. In the first instance, the adoption of equilibrium  
603 solubility verification parameter external to the system under investigation (such as by  
604 calorimetry-based IAP) is a tacit determination that the Gibbs-Duhem relation does not  
605 provide the empirical yardstick needed to assess equilibrium in clay minerals-fluids  
606 experimental investigations. Effectively, this is a substantive adoption of an independent  
607 quantification of equilibrium in clay mineral solubility studies propounded by Essene and  
608 Peacor (1995) and hence, is a de facto subscription to the disequilibrium solid model.  
609 Furthermore, calorimetry is taken for bulk sample materials and natural clay minerals  
610 such as illites and smectites are rarely monomineralic and minor phases that constitute  
611 less than 5% of the sample may be poorly represented in a modal mineralogy of the  
612 sample based on XRD. That is, calorimetric measurements of natural clay mineral  
613 samples require correction for modal mineralogy which may be subject to inaccuracies.

614  
615 An inherent limitations of the approach adopted by Gaboreau et al. (2020) is  
616 encapsulated in the seven to nine orders of magnitude deviation of calorimetry-derived  
617 equilibrium constants from solubility-derived IAP (Figures 6 and 7 in Gaboreau et al.,  
618 2020). For CCa-2, calorimetric data yielded a solubility product (log K) of 61.31(1.3) at  
619 25 °C whereas log IAP derived from measured solute activities was 52.97(0.9); the  
620 corresponding values for SO are 44.77(1.4) and 37.99(0.7), respectively (Table 9 in

621 Gaboreau et al., 2020). Even after a 7-year duration of the dissolution experiment at 25  
622 °C, solubility-derived log IAP values for SO and CCa-2 still lie outside the theoretically-  
623 calculated log  $K \pm 2\sigma$  envelope (Figure 9 in Gaboreau et al., 2020). Not only does this  
624 manifest the shortcomings of indirectly and externally quantified equilibrium, it  
625 simultaneously validates the prior deduction, based on chemographic analysis (figure 4,  
626 this study), that the solubility data for SO and CCa-2 contradict the presumed solubility  
627 models. Because the predicted slopes for the solubility models for SO and CCa-2 are  
628 significantly at odds with the experimental data, the compositions of the solubility-  
629 controlling phases are unknown. Therefore, comparing solubility-based IAP values and  
630 calorimetry-based solubility products, when the identity of the solubility-controlling  
631 phase is not known, is devoid of physical reality. This does not imply an intractable  
632 difficulty in solubility studies of these complex minerals considering that in studies with  
633 minerals of comparable complexity, equilibrium solubility has been demonstrated using  
634 reversibility of ion activity quotients. For instance, significant pH changes accompanied  
635 the equilibration of Mg-chlorite-kaolinite mixtures (Aja and Small, 1999) and Fe-chlorite  
636 – kaolinite mixtures (Aja and Dyar, 2002). Yet, reversibility of ion activity ratios (Figures  
637 5 and 6) permitted location of the positions of the phase boundaries and, the predicted  
638 and measured slopes of the phase boundaries for the chlorite-kaolinite equilibria in  
639 different pseudo-binary sections were consonant with chemical equilibrium models.  
640 Evidently, stability studies designed to demonstrate solubility equilibrium via the  
641 chemistries of equilibrated aqueous solutions and to thus determine unambiguously the

642 chemistries of aqueous fluids in which the clay minerals are stable seem preferable as  
643 an experimental approach.

644

645 The application of secular variation of dissolution rate as an equilibrium metric also  
646 suffers from the inability to locate the position at which the Gibbs free energy of  
647 reaction is minimized. Consider for instance the solubility of kaolinite KGa-2 reported by  
648 Gaboreau et al. (2020). Though there seems to be a broad demonstration of the utility  
649 of solubility techniques in the determination of conditions of equilibrium solubility of  
650 kaolinite (Kittrick, 1966, 1969; May et al. 1986; Devidal et al, 1996), the KGa-2  
651 solubility data seems to be somewhat at variance with this. (Given that the KGa-2 study  
652 was conducted in a 0.01 m ionic strength solution whose final pH values varied from 5.0  
653 (day 1) to 4.2 (day 2500), it will again be assumed that the reported molal  
654 concentrations approximate ion activities). Figure 7 depicts the solubility data in  $3\text{pH} -$   
655  $\text{pAl}^{3+}$  vs.  $\text{pH}_4\text{SiO}_4$  space; at the 95% confidence level, the slope of the best fit line,  
656  $2.22(0.63)$ , is greater than the predicted slope of 1.0 for stoichiometric kaolinite  
657 dissolution. A line having the appropriate slope could be emplaced amongst the data  
658 (Figure 7) though a multiplicity of such lines is applicable. Thus, the locus (in ion  
659 activity space) of minimal Gibbs free energy of the dissolution reaction ( $\Delta G_r = 0$ ) cannot  
660 be determined unambiguously from their data; that is, the univariant boundary  
661 separating the divariant fields (solution field vs. kaolinite + solution field) is not  
662 uniquely defined by their solubility data.

663

664 Conceivably, equilibrium could be presumed for the 7-year KGa-2 solubility  
665 measurements; log IAP values obtained from the solubility data, 6.61(0.7), and the  
666 calorimetry-based kaolinite solubility product (log K), 6.46(0.6), are in agreement (see  
667 Table 9 in Gaboreau et al., 2020). However, the attainment of equilibrium solubility  
668 after 2500-days merely reflects this particular experimental setup. Contrariwise,  
669 equilibrium was demonstrated by reversibility of ion activity quotients after 1237 days in  
670 the solubility measurements of the Dry Branch kaolinite, (Figure 1 in May et al., 1986);  
671 in other words, equilibrium was attained in the Dry Branch kaolinite study in less than  
672 half the time required to attain equilibrium in the KGa-2 study. This contrast in the  
673 length of time required to attain equilibrium begs the question of the possible effect of  
674 fluid-solid ratios on reaction rates. Though the fluid-solid ratios were of the same order  
675 of magnitude but the slight difference in the ratios, 20:1 (Dry Branch kaolinite; May et  
676 al., 1986) vs. 24:1 (kaolinite KGa-2; Gaboreau et al., 2020), appear to have had a  
677 significant effect. Of course, differences in crystallinity may also have played a role but  
678 the fluid-solid ratio may have been more consequential in regulating duration of  
679 experiments needed to attain equilibrium. Therefore, the inference that equilibrium clay  
680 solubility is attained after two years (Gaboreau et al., 2020) has no universal application  
681 but applies only to the particular conditions of the reporting experimental study. Secular  
682 variation in dissolution rates probably reflects, inter alia, the fluid-solid ratio of that  
683 particular experimental set up and whether or not equilibrium is attained and how long  
684 it takes to demonstrate such does not resolve questions on the stability vs. metastability  
685 of these phases. In conjunction, however, with the apparent effect of fluid-solid ratios

686 demonstrated in solubility of complex layer silicates, perhaps, fluid-solid ratio  
687 approaching 1:1 may be ideal in clay minerals solubility investigations.  
688  
689 The case for designing these solubility investigations such that enough reversed  
690 experimental data points are generated is self-evident; this assures meaningful  
691 statistical treatment of the data under isothermal conditions, and would permit data  
692 interpretation using a valid cluster analysis approach if statistical treatment is not  
693 feasible. The validity of reversibility of ion activity quotients as an experimental design  
694 strategy, during clay minerals solubility investigations, has been demonstrated for  
695 kaolinite (Dry Branch, May et al., 1986), smectite (BF montmorillonite, Figure 2) and Fe-  
696 Mg chlorites (Figures 5 and 6). Apparently, reversibility of ion activity quotients coupled  
697 with equilibration of mineral mixtures present a superior experimental strategy relative  
698 to hydrolytic dissolution (in the investigation of the stability of complex clay minerals)  
699 inasmuch as the mineral reaction path is constrained. Additional benefit of experimental  
700 designs employing reversibility is the possible identification of anomalous data;  
701 reversibility of ion activity quotients that model equilibrium phase boundaries also attest  
702 to the validity of the Gibbs-Duhem relation in defining fluid-mineral equilibria, even of  
703 complex clay minerals.

704

## 705 **False notions of metastability of clay minerals**

706 Owing to the fine crystal sizes of clay minerals, the Gibbs free energies of systems  
707 containing such may not be minimized because of the higher surface free energy



708 content relative to an identical system containing macroscopic phases. Clay minerals  
709 may thus be described as metastable phases in this narrow sense. The energy content  
710 in the low pressure – temperature conditions of their formation does not seem to be  
711 high enough to produce macroscopic crystals of clay minerals and in this sense the  
712 metastability is constrained (cf. Anderson, 2005) by the environment of formation. This  
713 concept of metastability is rigorous; however, metastability has also been applied  
714 somewhat pejoratively and presumptively to clay minerals occurrences and behaviors.  
715  
716 Metastability of clay minerals has tended to be used to describe poorly understood or  
717 confounding phenomenon such as the irrational smectite solubility discussed above.  
718 Results of some hydrothermal synthesis experiments have also been attributed to  
719 metastability even when the physicochemical conditions of the synthesis experiments  
720 were atypical of natural environments in which clays form; such interpretations  
721 emphasize the need for a more systematic definition of physiochemical conditions of  
722 clay minerals genesis. The wide compositional variation of clay minerals has also been  
723 regarded as expressions of metastability. In addition, Ostwald step rule has widely been  
724 presumed to provide the framework for thinking about clay minerals evolution during  
725 burial diagenesis (e.g., Morse and Casey, 1988; Essene and Peacor, 1995) despite the  
726 absence of corroborating empirical validation. Clearly, the pervasive application of  
727 metastability to poorly understood clay minerals phenomena and/or paragenesis  
728 hinders the development of a framework for understanding clay minerals evolution in  
729 space and time. Much of these presumptive notions have been predicated on the false

730 expectation that equilibria in clay-bearing systems will be analogous to features of  
731 mineral equilibria characteristic of metamorphic conditions. This presupposition  
732 underlies the model of Lippmann (1982), and has become propagated widely in the  
733 literature leading to minimization of the peculiar characteristics distinguishing the low  
734 temperature clay minerals environments from metamorphic ones (cf. Jeans, 2009). For  
735 instance, biological activities play significant roles during weathering (in the Critical  
736 Zone), neoformation and clay minerals authigenesis; in marine sediments, the  
737 distribution of redoximorphic fronts and environments influences the general  
738 distribution of some 2:1 clay minerals (Jeans, 2009). Furthermore, some clay minerals  
739 form in settings subject to hydrodynamic fluxes and gradients atypical of metamorphic  
740 environments. In fact, at high enough temperatures in geothermal systems ( $T \geq 300$   
741  $^{\circ}\text{C}$ ), illite crystallites form idioblastic mica by coalescence of the crystallites; this growth  
742 mechanism has not been documented in lower temperature conditions implying that the  
743 lower temperature systems may lack enough driving force for the development of  
744 macroscopic layer silicate crystals. Understanding clay minerals evolution in space and  
745 time mandates clarification of the parameters of their thermodynamic stability vis-à-vis  
746 the kinetic effects on such stability relationship for which the irreversible  
747 thermodynamic framework probably provides the most appropriate framework (Aja,  
748 2019b).

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## IMPLICATIONS

The foundational tenet of the disequilibrium solid model of complex clay minerals is that their compositional variations reflect steep chemical gradients in their environments of formation and thus their fractional atomic site occupancies, which is the typical compositional characteristic inherent in their structures, are not governed by physicochemical laws. Secondly, the disequilibrium solid model predicts that clay mineral-water interactions are not amenable to solubility product conventions given that such minerals form by precipitation from extremely supersaturated solutions. In addition, the disequilibrium solid model expresses solid solution models of clay minerals in purely electrostatic terms in which purely ionic solids models of silicate minerals are believed to accurately describes excess lattice energy functions of such solid solutions. Given that silicate minerals are not pure ionic solids and these compositionally complex clay minerals have been shown to exhibit rational solubility behaviors for which the resulting ion activity quotients are governed by solubility product conventions rooted in the Gibbs-Duhem law, the disequilibrium solid model of complex clay minerals should be presumed to have been debunked. For nearly half a century, the disequilibrium solid model and its corollaries have beclouded understanding of the thermodynamics of clay minerals-fluid equilibria and hence the need for vigorous research in this field aimed at significantly improving a working knowledge of both the intensive and extensive physicochemical variables controlling the thermodynamic stability of these complex clay minerals.

774 Smectites and chlorites are the most common phyllosilicates detected so far on Mars,  
775 the former being of greater incidence. For instance, at Mawrth Vallis, thick, complex  
776 profiles of phyllosilicates have been inferred from CRISM hyperspectral visible/near-  
777 infrared spectra. The description of the mineral horizons (Fe<sup>3+</sup>/Mg-smectite, ferrous  
778 clays, sulfates, overlain in turns by a thin ferrous iron bearing clay unit, a salty and/or  
779 acidic alteration phases and sulfates) imply changing environmental conditions in which  
780 the layer of poorly crystalline aluminosilicates overlying the Al-rich phyllosilicate layer  
781 possibly marks the end of the warm and wet environment on early Mars (Bishop et al.  
782 2020). Such complex mineralization sequences on Mars suggest dynamic, steep  
783 hydrochemical gradients, for which smectite-fluid interaction cannot furnish any insights  
784 into their formation conditions if smectites are disequilibrium solids. But because the  
785 disequilibrium solid model is invalid, investigations of smectite - fluid equilibria using  
786 various types of solubility techniques hold important keys to understanding conditions  
787 of smectites formation on Mars and their utility as petrogenetic indicators thereon.

788

#### 789 ACKNOWLEDGEMENT

790 Constructive and helpful review comments by Dr. Jim Wilson are acknowledged. This  
791 work was not directly funded by any external funding agencies.

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#### 794 Conflict of Interest

795 The author declares that he has no conflict of interests.

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## 969 LIST OF FIGURES

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Figure 1. Isothermal, isobaric, ion activity diagrams depicting compositions of aqueous solutions equilibrated with chlorite-kaolinite mineral mixtures (Aja and Dyar, 2002): a) Windsor – kaolinite equilibria at 75 °C, b) clinocllore (low-Fe) – kaolinite equilibria at 25



974 °C. In both cases, the experimental data validate predicted slopes for chlorite-kaolinite  
975 equilibria.

976  
977 Figure 2. Depiction of solubility data of Mg-saturated BF montmorillonite  
978  $[Mg_{0.47}(Si_{7.55}Al_{0.45})(Al_{3.15}Mg_{0.61}Fe_{0.28})O_{20}(OH)_4]$  equilibrated with gibbsite and  
979 goethite, at 25 °C (Kittrick and Peryea, 1989), in  $2pH-pMg^{2+}$  vs.  $pH_4SiO_4$  space.

980  
981 Figure 3. Graphical evaluation of smectite MX-80 dissolution data at 25 °C reported by  
982 Gaboreau et al. (2020): a)  $3pH-pAl^{3+}$  vs.  $pH_4SiO_4$  space, b)  $2pH-pMg^{2+}$  vs.  $pH_4SiO_4$   
983 space. In the former ion activity space, the fitted and model slopes are 1.88(0.50) and  
984 2.01, respectively whereas in the latter, the model and fitted slopes are 17.47 and  
985 1.50(0.57), respectively. At the 95% confidence level, the data projected onto the  $3pH-$   
986  $pAl^{3+}$  vs.  $pH_4SiO_4$  space are in agreement with the predicted value whereas there is no  
987 agreement between the slope of the best-fit and predicted slope in  $2pH-pMg^{2+}$  vs.  
988  $pH_4SiO_4$ .

989  
990 Figure 4. Graphical evaluation of solubility data of Santa Ollala vermiculite and CCa-2  
991 chlorite at 25 °C (Gaboreau et al. 2020): a)  $2pH-pMg^{2+}$  vs  $pH_4SiO_4$  plot for Santa Ollala  
992 vermiculite, b)  $2pH - pMg^{2+}$  vs.  $pH_4SiO_4$  plot of CCa-2 chlorite, and c) combined plots  
993 for SO and CCa-2 solubility data on  $2pH - pMg^{2+}$  vs.  $pH_4SiO_4$  coordinates. The slope of  
994 best fit line to SO solubility data has a slope of 9.63(0.82) at the 95% confidence level  
995 which is rather different from the model value of 1.11. For CCa-2, the best fit line has a  
996 negative slope of -3.37(0.84), at the 95% confidence level, which is diametrically  
997 opposed to the predicted positive slope of 0.89. The overlap of their solubility data for  
998 the vermiculite and chlorite (c) suggests likelihood of similarities in the identity of the  
999 solubility-controlling phases.

1000  
1001 Figure 5.  $\log \frac{a_{Mg^{2+}}^{0.5}}{a_{H^+}}$  vs.  $\log a_{SiO_2(aq)}$  diagrams showing directions from which the  
1002 equilibrium solution compositions were approached. Open circles show final solution  
1003 compositions whereas solid circles indicate starting fluid compositions in which aqueous  
1004 silica was present. Arrows indicate directions of approach for runs in which silica was  
1005 not present.

1006  
1007 Figure 6. Changes in pH of solutions reacted with chlorite-kaolinite mixtures at 125 °C.  
1008 The arrows show the overall directions rather than trajectories of pH changes resulting  
1009 from fluid-mineral equilibration. Open circles and squares represent equilibrium pH  
1010 values re-cal-culated from quench pH whereas filled circles and squares indicate the pH  
1011 of starting solutions. Experiments conducted in NaCl and MgCl<sub>2</sub> solutions are shown as  
1012 circles and squares, respectively. Sources of experimental data: Aja and Small (1999)  
1013 and Aja and Dyar (2002).

1014  
1015 Figure 7.  $3pH - pAl^{3+}$  vs.  $pH_4SiO_4$  plot of KGa-2 solubility data (Gaboreau et al., 2020).  
1016 For stoichiometric kaolinite, the appropriate solubility model is:  $Al_2Si_2O_5(OH)_4 + 6H^+ \rightleftharpoons$

1017  $2\text{Al}^{3+} + 2\text{H}_4\text{SiO}_4 + \text{H}_2\text{O}$  which yields a solubility product expression of the form,  $3\text{pH} -$   
1018  $\text{pAl}^{3+} = \text{pH}_4\text{SiO}_4 + 0.5\text{pK}$ . The solubility model predicts a positive slope of 1 rather than  
1019 the slope of 2.22(0.63), at the 95% confidence level, returned by the best-fit analysis.  
1020 The dot-dashed line shows a line drawn through the solubility data having the  
1021 appropriate slope of unity. The stoichiometry of the kaolinite used in the investigation  
1022 was reported  $\text{Al}_{1.98}\text{Si}_2\text{Fe}_{0.02}\text{O}_{4.99}(\text{OH})_4$  which changes the predicted slope to 1.01  
1023 instead of 1.  
1024

1025 Table 1: Solubility equilibrium models of some multicomponent layer silicates

Mineral sample	Solubility models and corresponding equilibrium constant expressions	Eqn. No
<b>Windsor chamosite</b>	$(\text{Fe}_{0.60}^{3+}\text{Fe}_{5.43}^{2+}\text{Mg}_{2.30}\text{Al}_{2.98}\text{Mn}_{0.05}\text{Ca}_{0.03}\text{Zn}_{0.01}\square_{0.60})(\text{Si}_{5.63}\text{Al}_{2.37})\text{O}_{20}(\text{OH})_{16} + 1.42 \text{O}_2(\text{g}) + 4.59 \text{H}_2\text{O} + 1.27 \text{H}_{(\text{aq})}^+ \rightleftharpoons \text{Al}_2\text{Si}_2\text{O}_5(\text{OH})_4 + 3.35 \text{Al}(\text{OH})_4^- + 3.63 \text{SiO}_2(\text{aq}) + 2.30 \text{Mg}^{2+} + 4.52 \text{FeOOH} + 1.51 \text{Fe}(\text{OH})_3^\circ(\text{aq}) + 0.05 \text{MnO}_4^- + 0.01 \text{Zn}^{2+} + 0.03 \text{Ca}^{2+}$	<b>1</b>
	$\log \frac{a_{\text{Mg}^{2+}}^{0.5}}{a_{\text{H}^+}} = -1.46 \log(a_{\text{Al}(\text{OH})_4^-})(a_{\text{H}^+}) - 1.58 \log a_{\text{SiO}_2(\text{aq})} - 0.66 \log a_{\text{Fe}(\text{OH})_3^\circ(\text{aq})} - 0.02 \log(a_{\text{MnO}_4^-} \cdot a_{\text{H}^+}) - 0.004 \log \frac{a_{\text{Zn}^{2+}}^{0.5}}{a_{\text{H}^+}} - 0.01 \log \frac{a_{\text{Ca}^{2+}}^{0.5}}{a_{\text{H}^+}} + 0.62 \log f_{\text{O}_2} + 0.43 \log K_1$	<b>2</b>
Belle Fourche montmorillonite	$\text{Mg}_{0.47}(\text{Si}_{7.55}\text{Al}_{0.45})(\text{Al}_{3.15}\text{Mg}_{0.61}\text{Fe}_{0.28})\text{O}_{20}(\text{OH})_4 + 2.16 \text{H}_{(\text{aq})}^+ + 17.56 \text{H}_2\text{O} = 3.60 \text{Al}(\text{OH})_3(\text{s}) + 0.28 \text{FeOOH}(\text{s}) + 1.08 \text{Mg}_{(\text{aq})}^{2+} + 7.55 \text{Si}(\text{OH})_4^\circ$	<b>3</b>
	$2\text{pH} - \text{pMg}^{2+} = 6.99 \text{pSi}(\text{OH})_4^\circ - 0.93 \text{pK}_3$	<b>4</b>
MX-80 smectite	$\text{Na}_{0.409}\text{K}_{0.024}\text{Ca}_{0.009}(\text{Si}_{3.738}\text{Al}_{0.262})(\text{Al}_{1.598}\text{Mg}_{0.214}\text{Fe}_{0.208})\text{O}_{10}(\text{OH})_2 \cdot 5.189\text{H}_2\text{O} + 7.04\text{H}_{(\text{aq})}^+ \rightarrow 1.86\text{Al}_{(\text{aq})}^{3+} + 3.738\text{H}_4\text{SiO}_4(\text{aq}) + 0.035\text{Fe}_{(\text{aq})}^{2+} + 0.173\text{Fe}_{(\text{aq})}^{3+} + 0.214\text{Mg}_{(\text{aq})}^{2+} + 0.409\text{Na}_{(\text{aq})}^+ + 0.024\text{K}_{(\text{aq})}^+ + 0.009\text{Ca}_{(\text{aq})}^{2+} + 2.237\text{H}_2\text{O}$	<b>5</b>
	$3\text{pH} - \text{pAl}^{3+} = 2.01\text{pH}_4\text{SiO}_4 - 0.019(2\text{pH} - \text{pFe}^{2+}) - 0.093(3\text{pH} - \text{pFe}^{3+}) - 0.115(2\text{pH} - \text{pMg}^{2+}) - 0.220(\text{pH} - \text{pNa}^+) - 0.013(\text{pH} - \text{pK}^+) - 0.005(2\text{pH} - \text{pCa}^{2+}) - 0.538\text{pK}_5$	<b>6</b>

Vermiculite SO	$\text{Ca}_{0.445}(\text{Si}_{2.778}\text{Al}_{1.222})(\text{Al}_{0.216}\text{Mg}_{2.475}\text{Fe}_{0.254})\text{O}_{10}(\text{OH})_2 + 10.888\text{H}^+_{(\text{aq})} \rightarrow$ $1.438 \text{Al}^{3+}_{(\text{aq})} + 2.778 \text{H}_4\text{SiO}_4(\text{aq}) + 0.028\text{Fe}^{2+}_{(\text{aq})} + 0.226 \text{Fe}^{3+}_{(\text{aq})} + 2.475\text{Mg}^{2+}_{(\text{aq})} +$ $0.445 \text{Ca}^{2+}_{(\text{aq})} + 0.888 \text{H}_2\text{O}_{(\text{l})}$	8
	$2\text{pH} - \text{pMg}^{2+} = 1.12 \text{pH}_4\text{SiO}_4 - 0.58 (3 \text{pH} - \text{pAl}^{3+}) - 0.01 (2\text{pH} - \text{pFe}^{2+}) -$ $0.09 (3\text{pH} - \text{pFe}^{3+}) - 0.18 (2\text{pH} - \text{pCa}^{2+}) - 0.40 \text{pK}_8$	9
Chlorite CCa-2	$(\text{Mg}_{2.964}\text{Fe}_{1.927}\text{Al}_{1.116}\text{Ca}_{0.011})(\text{Si}_{2.633}\text{Al}_{1.367})\text{O}_{10}(\text{OH})_8 + 17.468\text{H}^+ \rightarrow 2.483\text{Al}^{3+} +$ $2.633\text{H}_4\text{SiO}_4 + 1.712\text{Fe}^{2+} + 0.215\text{Fe}^{3+} + 2.964\text{Mg}^{2+} + 0.011\text{Ca}^{2+} + 7.468\text{H}_2\text{O}$	10
	$2\text{pH} - \text{pMg}^{2+} = 0.89 \text{pH}_4\text{SiO}_4 - 0.84 (3\text{pH} - \text{pAl}^{3+}) - 0.58 (2\text{pH} - \text{pFe}^{2+}) -$ $0.07 (3\text{pH} - \text{pFe}^{3+}) - 0.004 (2\text{pH} - \text{pCa}^{2+}) - 0.34 \text{pK}_{10}$	11

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1028 Table 2: Fluid – solid ratios in some recent solubility studies of complex layer silicates

Cited Studies	Minerals investigated	Type of solubility experiments	Fluid to solid ratio	Duration of experiments	Comments
May et al. (1986)	1. Upton (WY) bentonite 2. Panther Creek (MI) bentonite 3. Kokokahi (HI) soil 4. Lualualei (HI) soil 5. St. Louis Heights (HI) soil	Direct dissolution; final solution composition approached from over and undersaturation.	100:1	2 – 419 days at 25 °C	Irrational solubility pattern reported for all five smectite samples.
Kittrick and Peryea (1989)	Belle-Fourche montmorillonite (BF)	Solution equilibration techniques (BF + goethite + gibbsite); final solution composition approached from over and undersaturation.	1:1.3	7 – 15 days at 25 °C	Solubility data consistent with monophasic model of smectite solubility.
Gaboreau et al. (2020)	MX-80 smectite	Sample dissolution in acidified NaCl solution (pH $\cong$ 5); final solution composition approached from undersaturation.	24:1	1 – 2500 days at 25 °C	Rational solubility behavior: the predicted slopes of chemical potential diagram consistent with solubility data.
	Santa Ollala vermiculite	Sample dissolution in acidified CaCl <sub>2</sub> (pH $\cong$ 5). Final solution composition approached from one direction.	24:1	1 – 2500 days at 25 °C	Rational solubility data but the predicted slope of chemical potential diagram differs significantly from measured value.
Aja and Small (1999); Aja and Dyar (2002)	1. Low-Fe clinocllore 2. High-Mg chamosite	Solution equilibration of the chlorites with kaolinite ( $\pm$ quartz $\pm$ gibbsite $\pm$ hematite); final solution composition approached from over and undersaturation.	Varied between 2.4:1 and 1.8:1	90 – 430 days spanning 25 to 200 °C.	Predicted slopes of kaolinite - chlorite boundaries in agreements with solution equilibration data at all temperatures studied.

Figure 1a

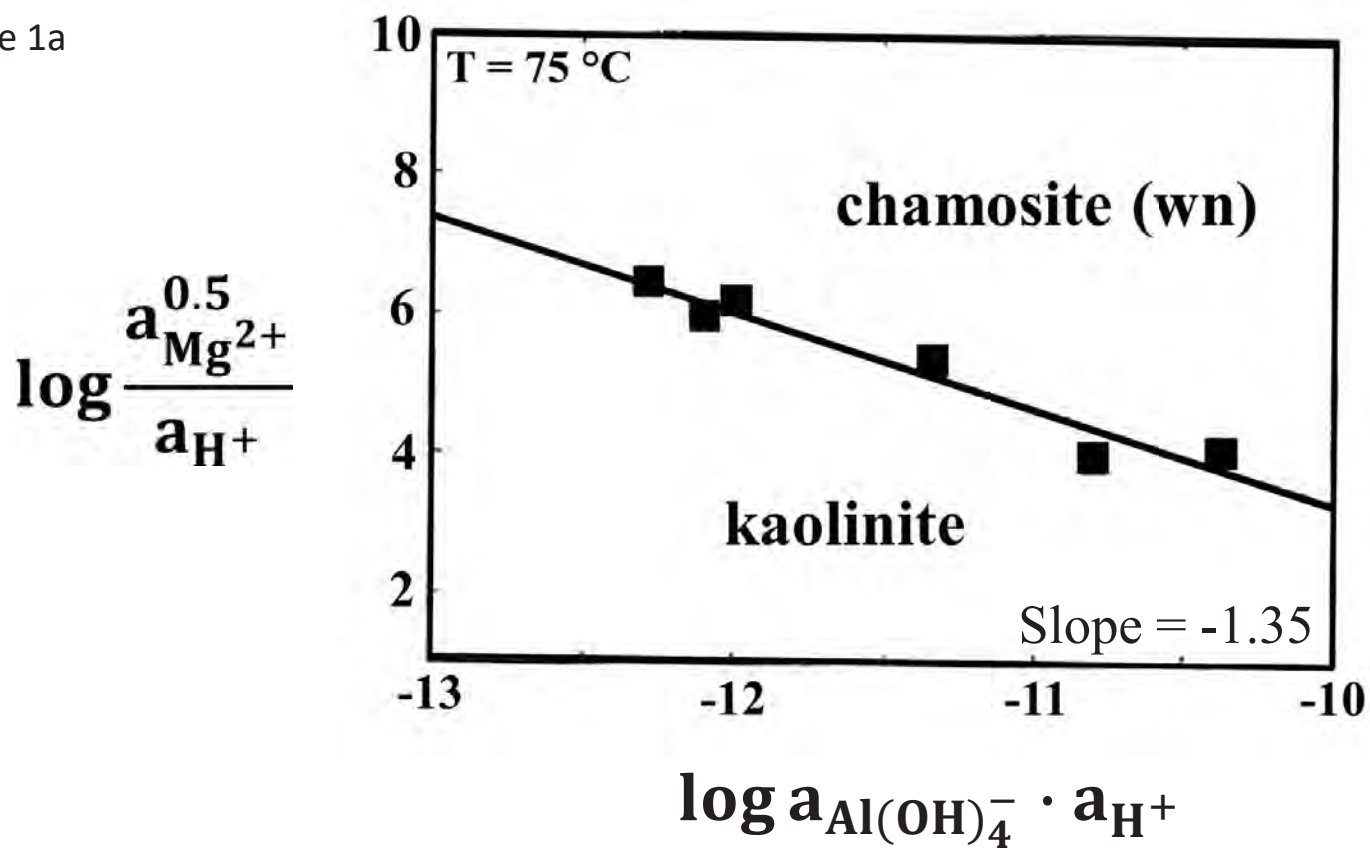


Figure 1b

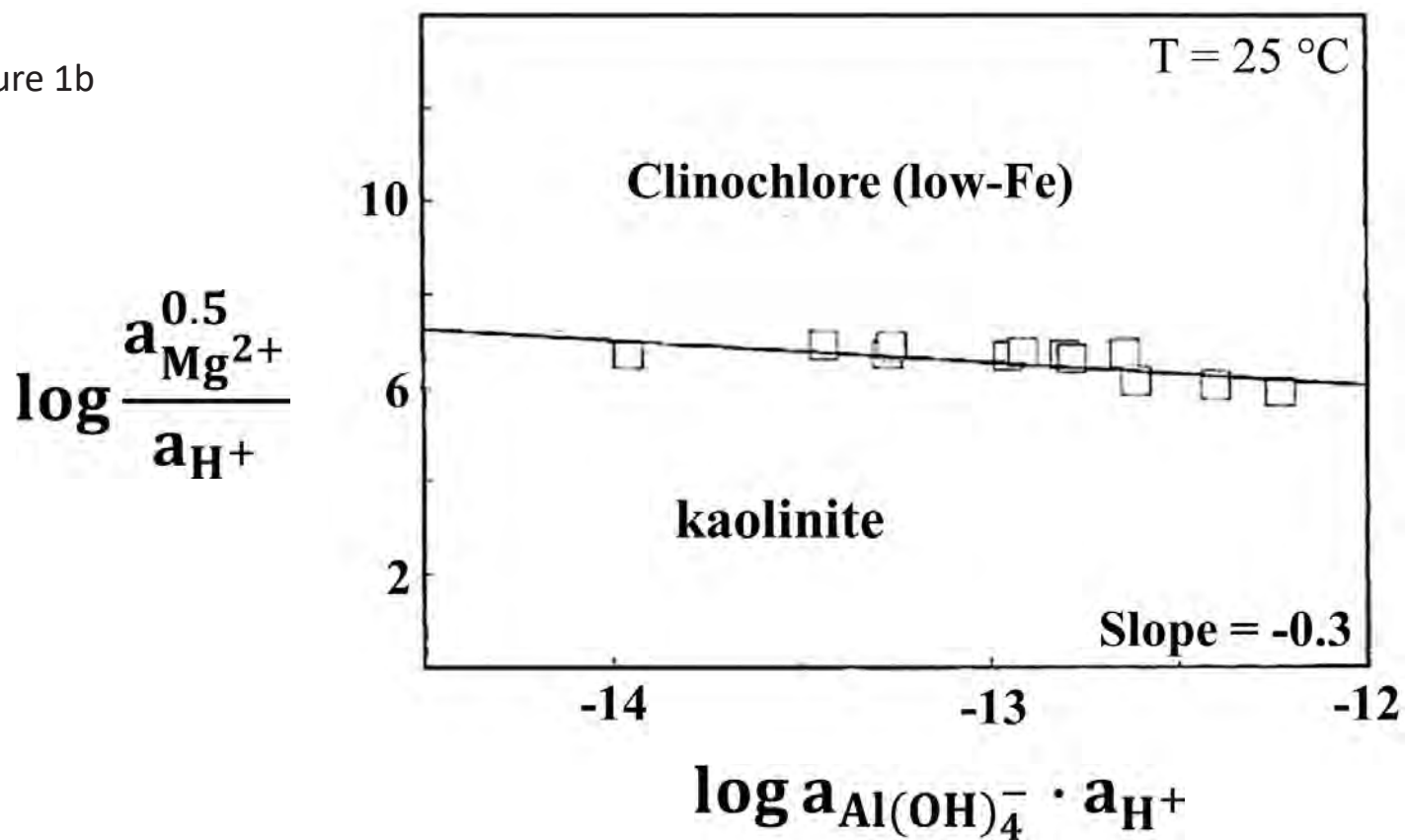


Figure 2

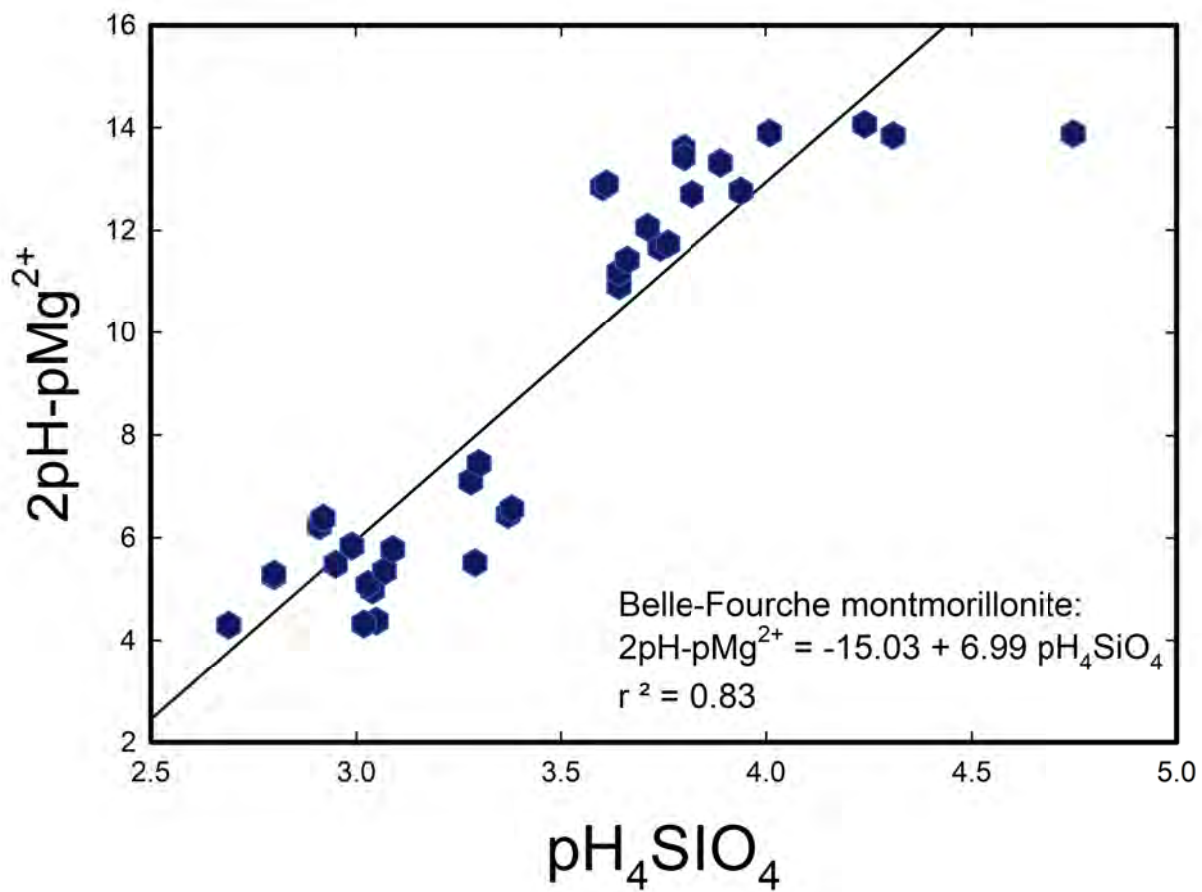




Figure 3a

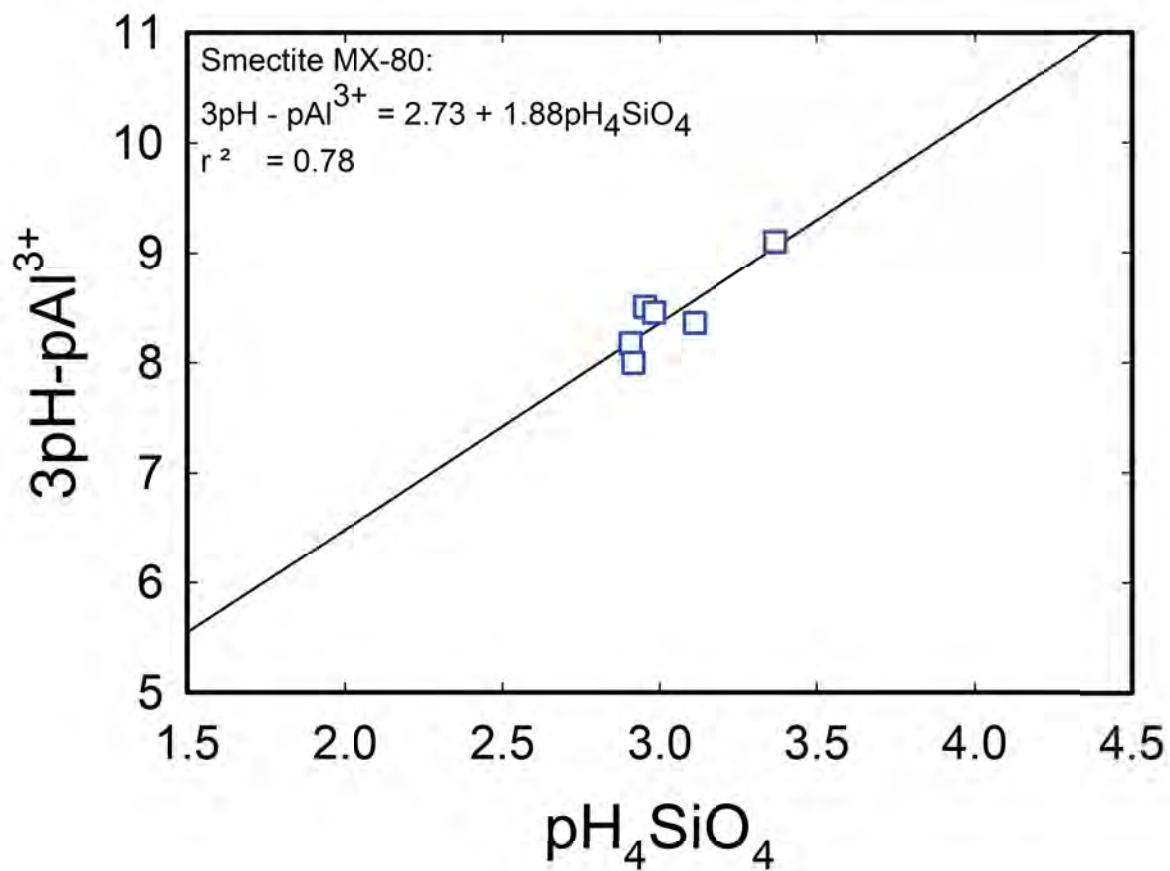


Figure 3b

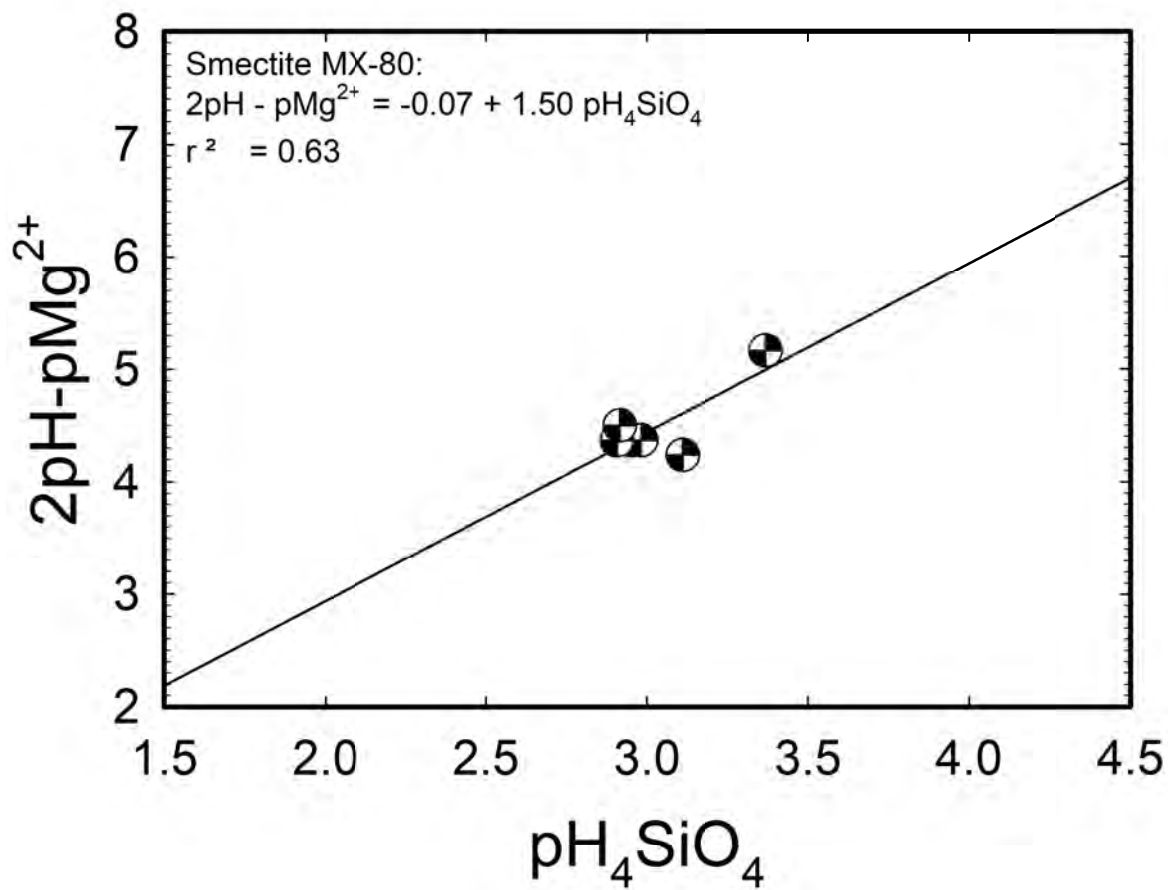


Figure 4a

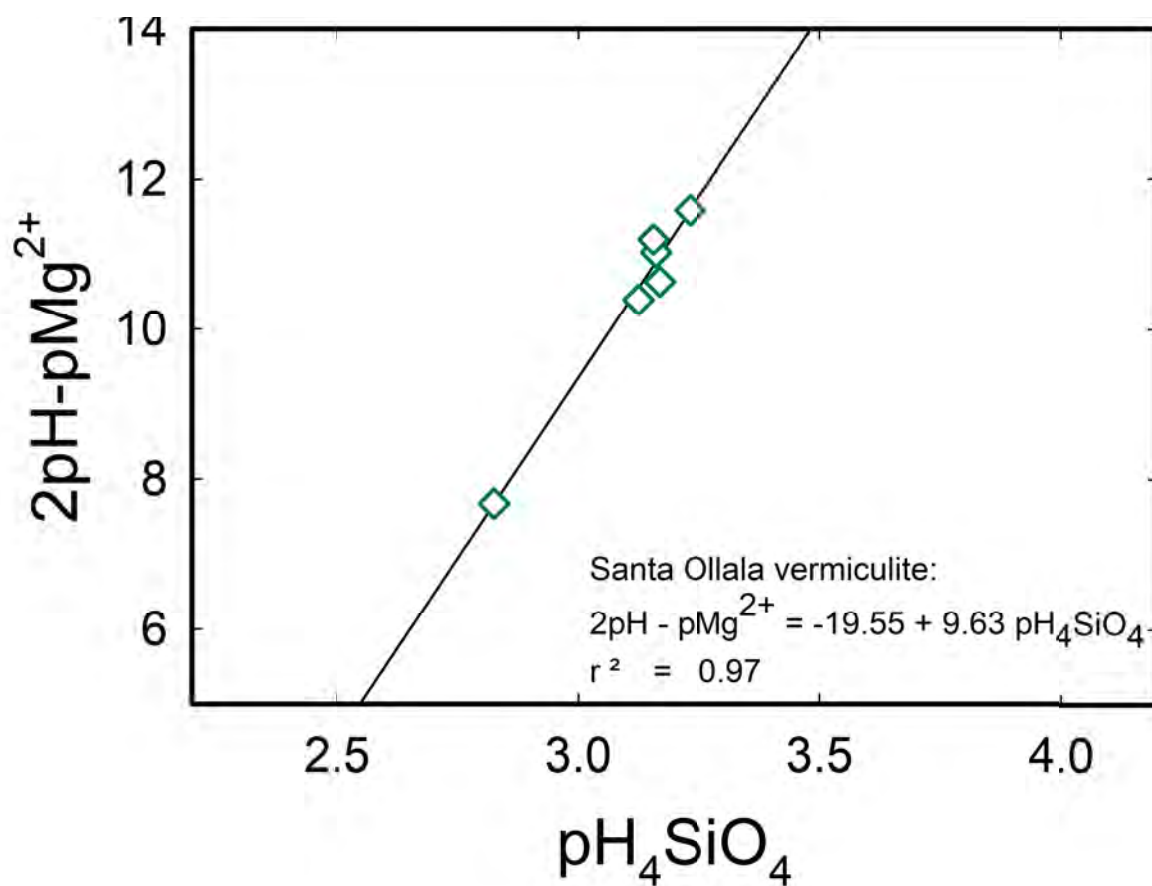


Figure 4b

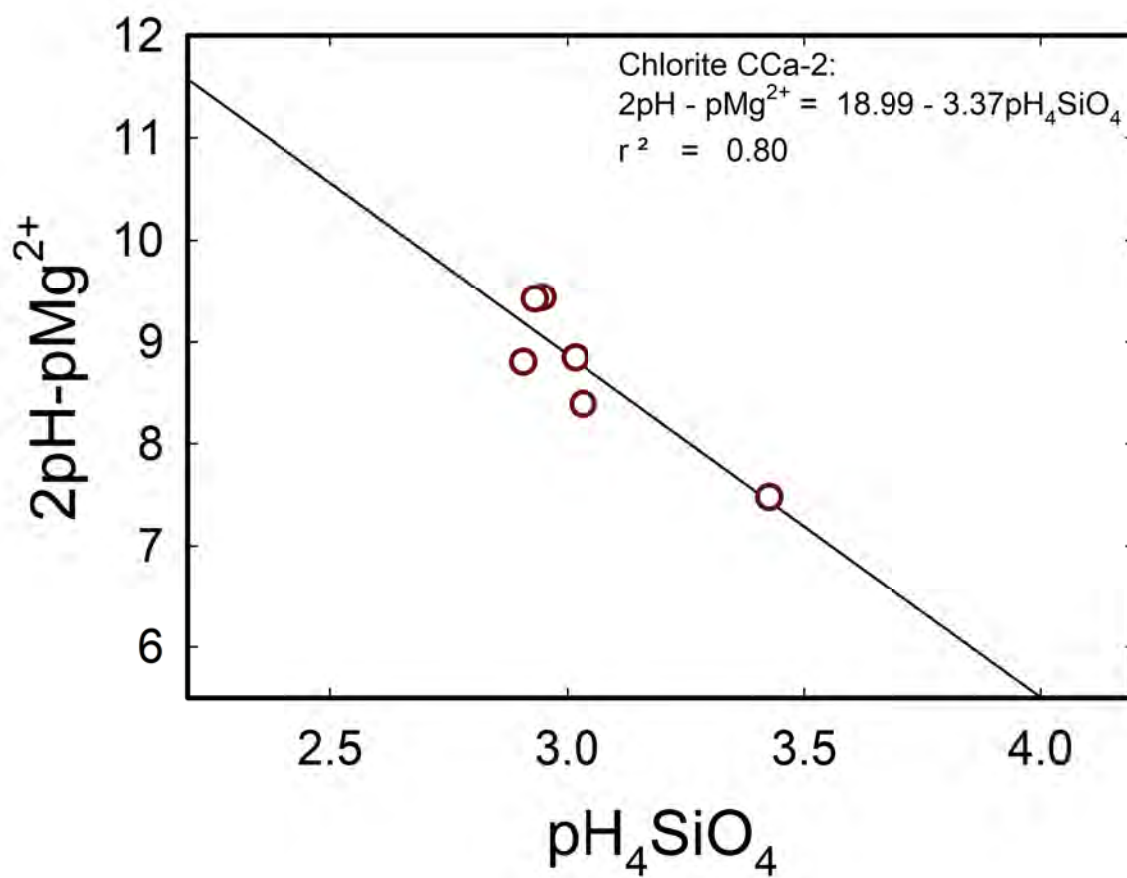


Figure 4c

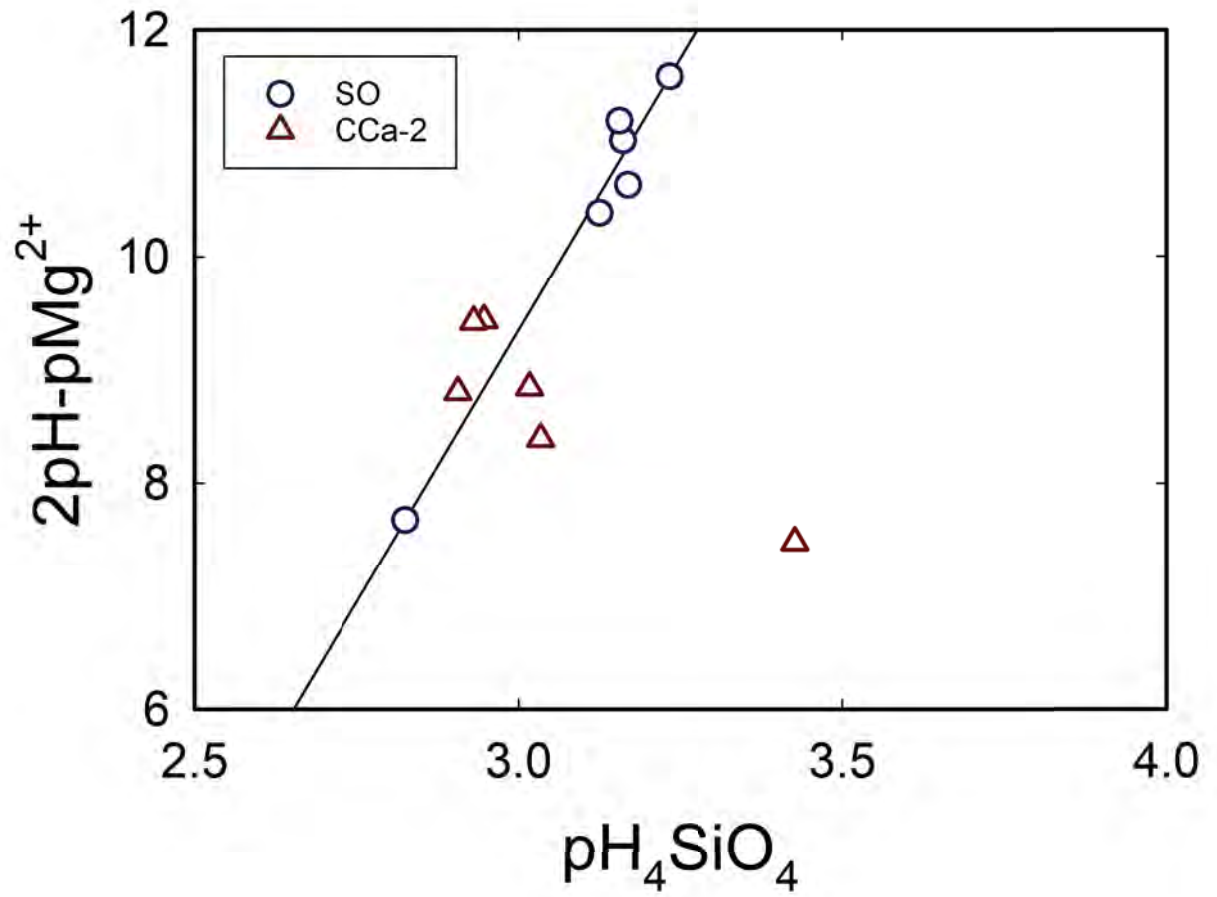


Figure 5

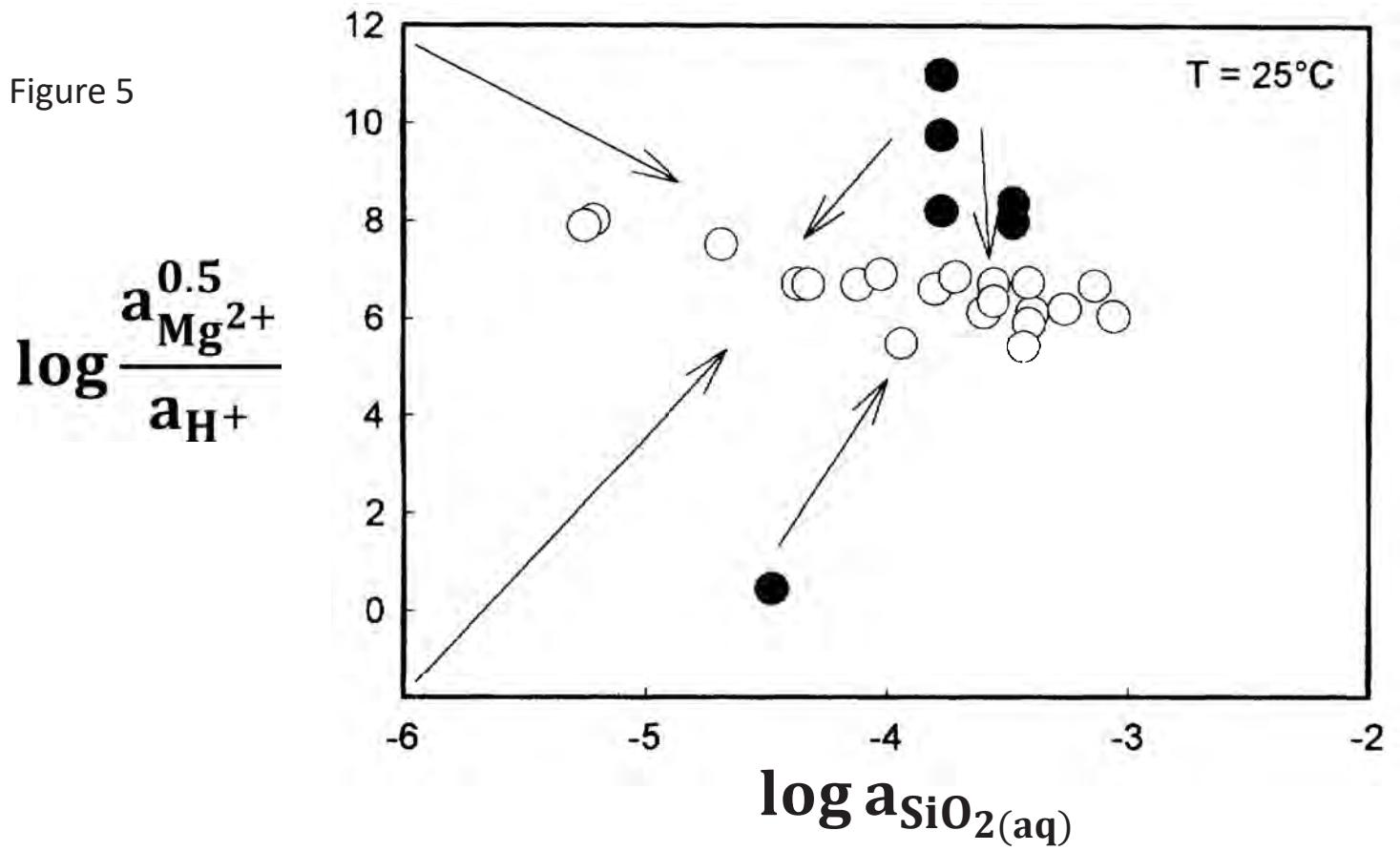


Figure 6

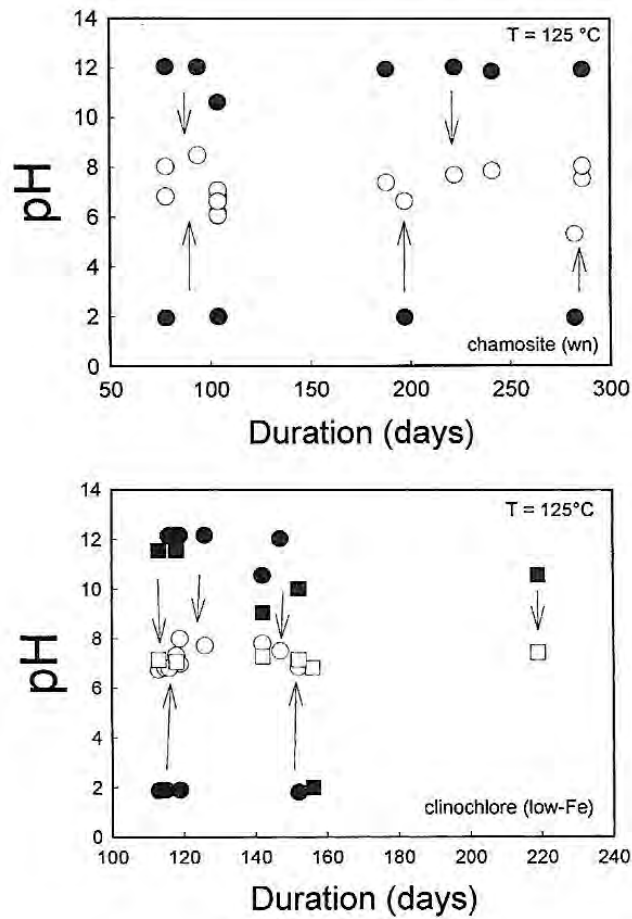


Figure 7

