

1 Word count: 7138 **Revision 2**

2 **Improving grain size analysis using computer**
3 **vision techniques and implications for grain growth**
4 **kinetics**

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10 **Abstract**

11 Earth's physical properties and mantle dynamics are strongly dependent on mantle grain size,
12 shape and orientation, these characteristics are however poorly constrained. Experimental
13 studies provide an opportunity to simulate the grain growth kinetics of mantle aggregates.
14 The experimentally determined grain sizes can be fit to the normal grain growth law ($G^n -$
15 $G_0^n) = k_0 t \cdot \exp\left(\frac{-\Delta H}{RT}\right)$ and then be used to determine grain size throughout the mantle and
16 geological time. The grain growth dynamics of spinel – orthopyroxene mixtures in the upper
17 mantle are modelled here, by experimentally producing small grain sizes in the range of 0.5
18 to 2 μm radius at pressures and temperatures equivalent to the spinel lherzolite stability field.
19 To accurately measure the sizes of these small grains we have developed a computer vision

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20 workflow; using a watershed transformation which rapidly measures 68% more grains and
21 produces a 20% improvement in the average grain size accuracy and repeatability when
22 compared with manual methods. Using this automated approach, we have been able to
23 identify a significant proportion of small grains which have been overlooked when using
24 manual methods. This additional population of grains, when fit to the normal grain growth
25 law, highlights the influence of improved accuracy and sample size on the estimation of grain
26 growth kinetic parameters. Our results demonstrate that automatic computer vision enables a
27 systematic, fast, repeatable method of grain size analysis, across large data sets, improving
28 the accuracy of experimentally determined grain growth kinetics.

29 **Introduction**

30 Rocks are composed of large numbers of grains, or crystallites. A grain is formed of a
31 coherent continuous lattice, the boundary of which has a discontinuous change in crystal
32 lattice or other properties. The properties of these grains: their size, shape, orientation and
33 how they interact, influence the bulk properties of rocks. These aggregate properties
34 influence many of Earth's physical properties including strength or viscosity, and seismic
35 anisotropy; these in turn impact the large scale motion of plates and mantle overturns
36 (Bercovici and Ricard 2013; Chu and Korenaga 2012; Dannberg et al. 2017; Evans et al.
37 2001; Hirth and Kohlstedt 1995; Karato 1984; Yamazaki et al. 2010). On a smaller length
38 scale, grain size is often used as the basis for the classification of some igneous and clastic
39 rocks, as well as interpretations of the geological environment and the processes which
40 formed it. Grain growth and recrystallisation are active processes, continuously changing the
41 grain size of mantle aggregates. This has far reaching consequences, for example, the
42 decoupling of the upper and lower mantle may be due to a sudden grain size reduction
43 associated with the spinel to perovskite transformation at the 660 km discontinuity (Dobson
44 and Mariani 2014).

45 Interpreting indirect geophysical observations in terms of grain-size is extremely
46 difficult and therefore the aggregate grain-size of the mantle is poorly constrained. It is
47 widely thought to vary from millimeters to centimeters at ~400 km depth, close to the
48 transition zone (Faul and Jackson, 2005). Estimates of the lower mantle (depths > 660km)
49 grain-size may vary from 1 to 1000 μm (Solomatov et al. 2002; Solomatov and Reese 2008).
50 Constraining the evolution of grain size of the mantle by experiments is difficult because they
51 are limited by both extent, sample volume and result in small grain sizes tens of micrometers
52 at most (Karato 1989; Kim et al. 2004; Faul and Jackson 2005; Yamazaki et al. 2005, 2010;
53 Faul and Scott 2006; Nishihara et al. 2006; Hiraga et al. 2010b). The experimental pressure—
54 temperature—time series results are extrapolated over many orders of magnitude to mantle
55 scales using kinetic models (Hillert 1965; Chu and Korenaga 2012). These models assume
56 the normal grain growth law:

$$57 \qquad G^n - G_0^n = kt, \quad (1)$$

58 where G is grain size, G_0 the initial grain size, k rate constant, t time and n the grain growth
59 exponent. The rate constant, k , has an Arrhenius temperature dependence and a global fit can
60 be applied of the form:

$$61 \qquad (G^n - G_0^n) = k_0 t \cdot \exp\left(\frac{-\Delta H}{RT}\right), \quad (2)$$

62 where k_0 is the pre-exponential exponent, H the activation enthalpy for grain growth and R is
63 the gas constant.

64 Accurate simulation of grain growth under realistic mantle conditions and time frames
65 requires a very well constrained grain growth exponent (n). Determination of the grain
66 growth exponent for any set of experiments relies on accurate measurement of the grain size,
67 reproduced through annealing experiments. This requires imaging and analyzing of
68 statistically significant numbers of grains, often thousands, across multiple experiments.
69 Ideally, the grain measurements produce 2D log-normal distributions, which can describe

70 normal grain growth occurring in 3D space (Hillert 1965; Saetre 2002; Rios and Zöllner
71 2018) and kinetic grain growth parameters (Burke and Turnbull 1952).

72 We examine a two-phase system spinel and orthopyroxene as an analogue to the
73 composition of the upper mantle. In grain growth experiments this two-phase system splits
74 into two compositionally distinct phases and grains ranging in size from roughly 0.5 μm to 2
75 μm . These properties of the two phase system indicated that the most effective method for
76 measuring large volumes of grains from multiple samples is, back scatter electron, scanning
77 electron microscopy (BSE-SEM). This microscopic technique provides high spatial
78 resolution, with a contrast mechanism largely dominated by the average atomic mass of the
79 material examined. The experimental samples then image as bright spinel grains against a
80 dark largely uniform background of orthopyroxene. This high contrast system provides an
81 excellent test bed for developing automated techniques for detecting and measuring grains,
82 especially when the greater number of grains measured directly translates to an improved
83 ability to estimate kinetic parameters.

84 Manual measurement techniques such as the "intercept" (Mendelson 1969; Abrams
85 1971) and/or "areas of equivalent circles" methods still comprise a major technique for the
86 study of grain size. We focus on this comparison since a recent literature search shows the
87 "areas of equivalent circles" has been referenced 779¹ times in peer-reviewed scientific
88 articles within the last six years, whilst the "intercept method" has been referenced 602²
89 times. Furthermore, the common use of manual measurement for industrial applications is
90 highlighted by the published standard by ASTM International for the intercept method
91 (ASTM E112-13 2012). This standard highlights the central problem with manual methods,

¹ Number of articles was found using Scopus search, key words of "area of equivalent circles" and "grain size" were used in a search period between 2014-2020

² Number of articles was found using Scopus search, key words of "intercept" and "grain size" were used in a search period between 2014-2020

92 low throughput of 15 minutes per image for an expert analyst, and a large $\pm 16\%$ uncertainty
93 in measured grain sizes. For this study, manual grain size analysis of 30 sample images
94 required over 7.5 hours of expert level analysis time. Moreover, these analysis methods are
95 more difficult for complex samples with clustered grains or samples with complex grain
96 shapes. There is therefore a clear need for automated image processing as an alternative,
97 faster, independent method of analysis for grain size estimation from images.

98 As noted above the study here leverages the high contrast between spinel and
99 orthopyroxene with BSE-SEM microscopy to acquire sufficient 2D images for a log-normal
100 sample distribution. The computer vision methods developed here are general enough that
101 they can be applied and adapted to a wide range of other microscopic modalities, especially
102 since virtually all images collected these days are digital. Segmenting optical images follows
103 largely the same process as will be demonstrated below for BSE-SEM images. Likewise, the
104 challenges of segmenting three-dimensional X-Ray tomography data can be viewed as a
105 generalization of the methods presented here. Finally, microanalytical techniques such as
106 energy dispersive x-ray spectroscopy (EDS) or electron backscatter diffraction (EBSD) offer
107 methods for not only identifying grains but examining compositional or crystallographic
108 relationships in the mapped regions. It should be noted that these techniques record
109 interactions volumes compared to essentially the surface information of low-kV BSE
110 imaging. This interaction volume compromises some of the ultimate spatial resolution since
111 the resulting EDS or EBSD signal comes from volume of 0.75 to 1.0 μm at best. Further
112 these techniques are often an order of magnitude slower than BSE imaging due to the
113 limitations of microanalytical detectors.

114 Segmentation is a classical image processing approach used for the consistent and non-
115 subjective assignment of specific pixels to groupings within images. Advanced image
116 processing algorithms, including segmentation, are widely used across many scientific

117 disciplines, for image analysis problems at all scales and complexities (Soille and Ansout
118 1990; Rossouw et al. 2015). However, these algorithms are seldom employed in geological
119 sciences (Barraud 2006; Wang 2007), despite accurate determination of grain size and
120 textures being paramount to our understanding of geological processes.

121 Inaccuracies and inefficiencies of manual image segmentation for grain-size analysis are
122 addressed here by, leveraging the open-source image processing Python libraries, hyperspy
123 (de la Peña et al. 2019) and scikit-image (van der Walt et al. 2014) implemented with
124 interactive Jupyter notebooks to deploy a *watershed segmentation workflow*. The watershed
125 algorithm is used here to pull spinel grains out of the background and isolate individual
126 grains. This method can be traced back to the 19th century (Maxwell 1870), through
127 modifications in the 1980's (Beucher 1982) to their current form in many segmentation
128 procedures (Najman et al. 2011).

129 This computer vision approach improves grain size estimation by 20% via automatic
130 identification of individual and touching gains, prior to calculating their respective 2D grain
131 metrics, including area and center of mass. The sensitivity of the algorithm to local contrast
132 variations increases the overall number of particles measured, across the entire grain size
133 distribution, compared with manual user approaches. The robust workflow has minimal
134 research bias and processes entire data sets at a fraction of the time usually taken through
135 manual techniques alone. We test and apply the workflow to new grain growth kinetic
136 experiments on spinel-orthopyroxene aggregates relevant for xenolith exhumation rates. The
137 system investigated as part of this study is chemically simple and therefore imaging from
138 SEM methods was sufficient to produce many quality images for use with automated
139 segmentation.

140

141 **Methods**

142 **High pressure experiments**

143 Grain growth experiments were performed on a 50:50 spinel-orthopyroxene mixture
144 picked from a natural spinel peridotite from Lanzarote (Carracedo et al. 1992; Neumann
145 et al. 1995; Bhanot et al. 2017) and ground under propanol to a starting grain size of
146 around 0.1 μm . The use of a McCrone micronizing mill minimized crystal-structural
147 damage, whilst ensuring a uniform fine grain size which was important in ensuring that
148 steady-state grain growth was achieved rapidly during the annealing experiments.
149 Experiments were annealed at pressures and temperatures appropriate for the spinel
150 lherzolite stability field (1.2 – 1.65 GPa and 1323 - 1473 K) using a standard 18/11
151 multi-anvil cell assembly. Run durations ranged from 2 - 120 hours and were performed
152 using the multi-anvil apparatus at University College London. All experimental
153 conditions are reported in Table 1.

154 **Analytical techniques**

155 After temperature quench and overnight decompression, samples were recovered and set
156 in epoxy resin before polishing to the center of each capsule. Samples were polished to a
157 3 μm diamond finish providing a satisfactory finish for imaging of spinel grains, further
158 polishing was not possible as individual grains began to pull out leaving holes in the
159 sample (observed as black grain shaped regions in each of the sample micrographs in
160 Figure 1). Orthopyroxene grains appeared as large single crystals and poorly defined
161 grain boundaries (Figure 1), orthopyroxene was also more susceptible to polishing
162 scratches than spinel grains. The poorly defined grain boundaries and damaged surfaces
163 of orthopyroxene were not clearly visible enough to analyze as part of this study.
164 Fortuitously, due to the initial 50:50 ratio of spinel to orthopyroxene measuring just one
165 phase is sufficient to determine grain growth kinetics of the two-phase system.

166 Appropriate imaging of the samples is crucial to the success of any form of image

167 segmentation. 2D imaging techniques (scanning electron microscopy) were chosen for
168 time efficiency and a compromise between sample preparation and final image quality.
169 EBSD as discussed earlier is another popular 2D imaging technique but inappropriate for
170 the samples of this study, due to low throughput and preferential polishing of phases.
171 Chemical colloidal polishing increases surface topography on multi-phase samples of
172 varying hardness, resulting in poor mineral indexing.

173 Polished samples were imaged at UCL using the JEOL JSM – 6480LV scanning
174 electron microscope (SEM). The SEM was operated in backscattered electron imaging
175 mode (BSE) at 15 kV accelerating voltage and a beam current of approximately 10 nA.
176 BSE imaging offers improved phase contrast compared with secondary electron imaging
177 since the scattering strength is a positive function of the mean atomic number and
178 density. Scattering intensity from surface roughness, scratches and local topography (such as
179 polish height difference between Spinel and Orthopyroxene) are minimized with BSE
180 compared to SE and EBSD. The high density and Fe- and Cr- enriched spinel grains have
181 a high scattering intensity compared to the lower density matrix phase. In cases where the
182 spatial resolution was not sufficient, additional higher-resolution imaging was conducted
183 at Cardiff University using the Zeiss Sigma HD Field Emission Gun Analytical SEM at 15
184 kV accelerating voltage, 120 μm aperture and 4 nA beam current.

185 A total of eleven high pressure experiments were conducted, with three temperature-
186 time series investigated throughout PT conditions appropriate to the spinel Lherzolite
187 stability field. Following high pressure, high temperature experiments, seven to fifteen
188 images per experiment were collected through SEM-BSE imaging. Images were taken at
189 different locations throughout the sample, to ensure any grain size variations due to
190 thermal gradients within the sample were accounted for. Example images are shown in
191 Figure 1. A total of 22 images, (two per experiment) were analyzed by automated

192 segmentation, whilst 30 images, (two to four per experiment) were analyzed manually,
193 using the areas of equivalent circles technique.

194 **Grain size estimation**

195 **Areas of equivalent circles**

196 Grain size was manually measured from multiple BSE images from each experiment
197 (Figure 1) using the NIH - Image J software package (Schneider et al. 2012). Each easily
198 identifiable spinel grain in an image was manually drawn around, with clumped regions
199 dissected into several grains. Image J was then used to determine the areas of each grain,
200 which were subsequently converted to apparent radii. Results of manual grain size
201 analysis are reported in Table 1.

202 Orthopyroxene grains though present at approximately the same ratio as spinel were
203 not analyzed for grain size, due to poor visibility of grain boundaries and susceptibility to
204 polishing artefacts e.g. scratches and holes (Figure 1). Orthopyroxene grains could not be
205 easily identified by researchers and therefore attempting to resolve its grain size was not
206 undertaken as part of this study.

207 This procedure is prone to user bias; complex grain geometries can be difficult to
208 accurately draw around, segmentation of clustered grains can involve arbitrary choices
209 and small grains can be systematically underrepresented. In order to investigate the
210 reproducibility between researchers, the images were analyzed using this method by two
211 "expert" investigators who previously agreed criteria for definition of individual grains
212 and segmentation. It was found between the two expert users that, on average, there was
213 a 5 % difference in the average grain size measured on the same image, with a maximum
214 difference of 10 % in the measured grain size on the same image.

215 Standard error for all experiments ranged from 0.01-0.02 micrometers radius, for a
216 single expert investigator measuring grain size, except for E19-007, which has a much

217 larger standard error than all other experiments. The larger than expected standard error
218 is attributed to the morphology of grains in this experiment, which are more
219 interconnected than all the previous experiments (Figure 1 f), this makes determination
220 of grain boundaries more difficult and therefore segmenting grains for measurement is
221 highly uncertain. E19-007 was also separately imaged at UCL using a tungsten filament
222 SEM, resulting in a poorer quality image than the other experiments which were imaged
223 via FE-SEM at Cardiff University. Though grains are still highly visible against the
224 background matrix, the poorly defined boundaries and greater clumping of grains
225 resulted in a larger standard error. To ensure this standard error was representative and
226 not due to misinterpretation by the investigator, over 800 grains were analyzed from four
227 separate images each resulted with a large uncertainty on the average grain size.
228 This discrepancy is significantly larger than the standard error of the mean grain size for
229 an experiment so, to further explore this, datasets were fitted to the grain growth law
230 (Equation 2) using both the standard error from a single experimenter and a 5 % error as
231 alternative weighting schemes.

232 **Advanced image processing: watershed segmentation**

233 A watershed segmentation workflow has been developed to allow implementation of
234 user-independent reproducible measurements, which additionally increases the number
235 of grains measured in each individual image. The workflow is flexible enough to allow
236 analysis of multiple images from different experiments, which possess a range of grain
237 sizes and mineral contrasts as imaged under varying brightness and contrast settings and
238 across multiple instruments, all with minimum user intervention.

239 Our workflow is built in the open source language Python which provides access to
240 advanced image processing and microscopy libraries such as Scikit- Image and Hyperspy
241 (van der Walt et al. 2014; de la Peña et al. 2019). The workflow is implemented using

242 Jupyter Notebooks, providing an interactive method, not only for running the code, but
243 documenting the process and user decisions (Kluyver et al. 2016). The workflow is
244 available from GitHub details provided within supplementary materials. Our workflow,
245 not only produces a segmented binary image, but through a process of particle labeling
246 (built in function of Scikit-Image) can produce grain metrics for each individual object in
247 the image. The workflow follows the structure shown in Figure 2.

248 Following imaging by SEM all micrographs were converted from RGB to 8-bit
249 greyscale images, using the NIH-Image J software package (Schneider et al. 2012). This
250 maintains the greyscale range of the micrographs but presents them to the workflow in a
251 consistent data structure for analysis (Figure 2.1).

252 The entire watershed process seeks to accurately identify foreground objects (i.e.
253 grains) from the background, whilst additionally pulling apart touching grains. This is
254 accomplished through two iterations of the watershed process. The first defines the
255 bright grain basins against the dark background, while the second iteration seeks to pull
256 apart connected objects into individual grains.

257 Before initiating this process, the BSE greyscale intensity is normalized by assuming
258 the inherent noise in the image is approximately Gaussian. Imaging filters can then be
259 used quantitatively to denoise the greyscale intensity. For the BSE data in this report we
260 employed filters which amplify contrast gradients, while preserving the texture of the
261 image such as "total variation denoising" (TV) and "non-local means" (NLM) (Figure
262 2.2). The TV filter is more successful with poor quality noisy images which require
263 amplification of the edge contrast e.g., sharpening in some areas whilst smoothing in the
264 background (Chambolle 2004). NLM provides a higher quality result but requires an
265 initial high quality dataset as, every pixel present is weighted based on the noise and
266 normalized (Buades et al. 2005). We apply both filters to every BSE image, and

267 manually select which filter has best preserved the grains of interest from the original
268 image, whilst denoising the data. For the purposes of this study the NLM filter was used
269 for all experiments except E19-007, which was imaged at UCL. It was determined that
270 E19-007 was a lower quality image than those produced by FE-SEM imaging and
271 denoised most effectively by the TV filter.

272 An initial watershed iteration identifies spinel grains sitting in a background matrix.
273 We define grain basins by taking the derivative of the denoised image using a Scharr
274 filter, which identifies boundaries or edges between grains and the background matrix by
275 finding the greyscale gradient (Figure 2.3a). We compute and report the Otsu threshold, a
276 classical segmentation tool, used for splitting image data which is bimodal (Yousefi
277 2015). Its implementation does not capture all of the grains of interest, so we provide an
278 initial seed greyscale value, manually determined as 1.2 times the Otsu threshold. The
279 watershed algorithm then floods the grain basins of the Scharr image to define the
280 maximum extent of the bright foreground grains (Beucher 1994). This results in a binary
281 overlay image of lows (background = 0) and highs (grains = 1), which is used in
282 combination with the denoised greyscale image in subsequent processing steps.

283 Each of the foreground objects (preliminary interconnected grains) are labeled by
284 examining pixel connectivity. Preliminary metrics such as shape and size can be
285 calculated. At this stage the image still possesses pixels associated with bright specs and
286 holes which are artefacts of polishing. We remove the bright specs by manually cutting
287 out pixels corresponding to the highest 20 % greyscale intensity data from the processed
288 image. Holes are likewise addressed by applying morphological filters with Scikit-
289 Image, extreme values of the binarized image represent holes and are closed by
290 specifying the smallest number of pixels which represent the holes (van der Walt et al.
291 2014).

292 For the second watershed iteration (Figure 2.7) we cut apart interconnected grains in
293 the binary image by calculating the distance between grain edges and the center of a
294 grain basin. These distances define the secondary basins which are cut apart, by looking
295 for saddles in the distance map. Further, to minimize over-segmentation (which is a
296 known problem of watershed methods) we set a minimum distance to be considered (h-
297 minima) (Malpica et al. 1997). Distances below this threshold, of 2 pixels, are considered
298 to be part of a larger grain. This clearly marks where a boundary is required and the
299 second watershed algorithm is used to segment on the saddled regions only, thus
300 separating touching grains. Subsequent labeling of the individual grains allows for the
301 automatic calculation of particle metrics. These metrics can then be inspected in the
302 Jupyter notebook using Pandas data frames, or exported as a CSV file and explored
303 using Excel (McKinney 2011). Reported metrics include the individual grain
304 coordinates, grain area, eccentricity, minimum and maximum axis lengths.

305 Overlaying the labeled image onto the original BSE micrograph provides a
306 qualitative method for the user to visually inspect the quality of the segmentation
307 (Figures 2 and 3). A single image can be processed in under 3 minutes using the
308 workflow presented here, a noticeable improvement in the efficiency of researchers
309 compared to manual image processing which can take up to 15 minutes per image
310 (Campbell et al. 2018).

311 **Results**

312 An example of manual grain identification is shown in Figure 3 e, incomplete grains,
313 i.e. grains on the edges of BSE images, are ignored. The average grain size was
314 determined from grain size distributions for each experiment as reported in Figure 4.

315 Representative images of the watershed workflow are displayed in Figure 3,

316 following image processing each segmented image required a visual check to ensure
317 grains had been pulled apart appropriately in regions where clumping occurs, as well as
318 removal of particle metrics associated with grains on the edges of images e.g., partially
319 visible grains. In some images, very small particles were identified on the scale of a few
320 (1-10) pixels, these tiny particles were also removed from the particle metrics list as they
321 represent objects below the resolution of the SEM micrographs. Finally, clumped regions
322 which had been unsuccessfully segmented were manually removed as they skew the
323 apparent grain size to a larger average e.g., Figure 3, c. However, the under-segmented
324 regions which were removed were not significant compared to the number of grains
325 identified and their removal did not (2-7 %, reduction in total grains measured) change
326 the determined average grain size, within error.

327 After visual inspection and conversion of particle area to equivalent radii, a 2D grain
328 size distribution can be determined for each experiment and compared to those of hand-
329 picked grains (Table 1). Figure 4 shows grain size distributions for manual and
330 automated segmented analyses. Both manual and automated image processing
331 procedures produce log-normal grain size distributions, with the average grain size being
332 a positive function of temperature and time as expected (Hillert 1965; Atkinson 1988).
333 Log-normal grain size distributions are expected for normal grain growth, when estimating
334 grain size from 2D techniques, and provide a satisfactory solution describing grain growth in
335 3D space (Hillert 1965; Saetre 2002; Rios and Zöllner 2018). The resulting average grain
336 size estimates from both methods is provided in Table 1.

337 The watershed algorithm is able to uniquely identify more grains than the manual
338 approach for a given image, as shown in Figure 2. The grain size distribution plots
339 (Figure 4) show that the tails of distributions from automated segmentation extend to
340 smaller grain sizes than manually segmented distributions. Additionally, the grain size

341 distributions are more complete across the entire range of measured sizes, demonstrating
342 not only are smaller grains missed from manual techniques but sampling across the entire
343 distribution is more accurate with the watershed algorithm.

344 The largest differences in average grain size between the two techniques are seen in
345 the longest duration experiments, suggesting smaller grains have not been identified by
346 manual techniques (Figure 4. a and c). Although, the grain size distribution is expected to
347 show an increased average number of large grains, the shape of these distributions
348 should remain almost constant for the relatively small experimental durations
349 investigated here. All experiments had a smaller average grain size when analyzed by
350 automated techniques, except for E16-088 and E16-085 (Figure 4.b), which increased in
351 grain size by 0.9 μm and 0.3 μm , respectively. These two experiments were in fact
352 conducted at the same *PTt* conditions, 6 hours at 1373 K. It would be expected that their
353 estimated average grain size would agree within error, and whilst this is the case for a
354 consistent method of analysis (automated or manual), the grainsize increase by
355 automated techniques may suggest over segmentation by the user when cutting
356 interconnected grains.

357 **Kinetic parameters for grain growth**

358 While this study is not primarily about the kinetic grain growth mechanisms of spinel-
359 orthopyroxene aggregates, calculated kinetic parameters can provide a valuable measure
360 of the quality of the estimated "average grain size". In addition, they are used to
361 constrain the grain growth mechanism and rate controlling species from many
362 experimental grain growth studies, and to extrapolate experimental datasets to geological
363 timescales (Karato 1989; Yamazaki et al. 1996, 2005, 2010; Faul and Scott 2006;
364 Nishihara et al. 2006; Hiraga et al. 2010a).

365 A weighted non-linear least-squares fitting to the grain growth law expressed as
366 $G = [kt + G_0^n]^{1/n}$, was performed for each of the manual and watershed grain size
367 distributions. Grain size (G) was the dependent variable and an effective variance
368 method was used as the weighting scheme for the non-linear least-squares fitting. This
369 weighting scheme was chosen to reflect the uncertainty in both the dependent and
370 independent variables (Orear 1982), resulting in a more accurate solution to unknown
371 parameters, and error estimates closer to the true error which are commonly
372 underestimated by minimizing the weighted sum of the squared deviation.

373 A second fitting was performed with the additional 5 % error on the mean grain size
374 of manually analyzed grains, representing the inter-user error.

375 The grain growth exponent, n , is often expected to return a theoretical value of 2,
376 where normal grain growth is occurring in a simple single phase system (Hillert 1965).
377 Polyphase grain growth, is expected to yield values of 3, 4 or 5 for Zener-pinned grain
378 growth, limited by diffusion through the lattice, along grain boundaries or along line
379 defects ("pipe diffusion") respectively (Evans et al. 2001; Tsujino and Nishihara 2009).

380 The n values returned here range from 2.38 ± 0.12 to 4.15 ± 0.17 , implying a range of
381 coarsening processes may be operating. Aside from the grain growth exponent which
382 may be indicative of the rate limiting process, activation enthalpy is often considered a
383 good indicator of which species is rate limiting. The results from the regressions fall at values
384 between 297 ± 7.6 - 320 ± 11 kJ mol⁻¹.

385 The resulting kinetic parameters for manual and automated segmentation are reported
386 in Table 2.

387 **Discussion**

388 **Textural recovery**

389 Employing machine vision techniques, even in a supervised manner as demonstrated here,
390 provides a methodology for identifying complex anhedral grains. Figure 5 demonstrates
391 the watershed algorithm identifying clumped or touching grains while maintaining a
392 visually realistic morphology. Our workflow saves time by rapid analysis (under 3
393 minutes per image), minimizes user bias and provides a consistent alternative to manual
394 grain tracing methods.

395 The watershed workflow has been successful in identifying grains from complicated
396 textures such as Figure 3 b. Many of the spinel grains exhibit bright chromium rich cores
397 with small rims of more aluminum rich spinel; these tend to dominate the shorter
398 duration experiments. The resulting texture is challenging to interpret as the contrast
399 between the background orthopyroxene and rims of spinel is small. However, the subtle
400 difference in greyscale, following the first watershed to remove the orthopyroxene
401 background, is sufficient to allow grains to be segmented from one another (Figure 3, d).

402 Our segmentation workflow has been calibrated for a multiphase system and
403 therefore takes advantage of bimodal greyscale intensities between the spinel and
404 orthopyroxene grains. Grain analysis in a single-phase system would in principle allow
405 for the skipping of the first watershed transform, since there is no background. This
406 would be similar to the Ti- α grains segmented in Campbell et al., (2009). For any single-
407 phase system to be successfully segmented there needs to be contrast between the grains.
408 For some polycrystalline materials this may not be apparent in BSE imaging, like the
409 orthopyroxene phase in our present experiments. To understand the grain structure of
410 that phase other more time intensive microscopy techniques would need to be considered
411 such as EBSD. This would allow for the mapping of grains based on variations in
412 orientation. Ultimately, the EBSD grain orientation data comes from an orientation map

413 which needs to be segmented based on the misorientation angle, which like any
414 segmentation threshold is user defined. Alternately, this data can be segmented using a
415 watershed with threshold examining from the disorientation distribution.

416 For cases where EBSD is clearly the superior technique, it should be noted that this
417 comes at a cost of throughput or spatial resolution. Wright (2010) highlights that to
418 acquire maps of just 250 grains via EBSD can take anywhere between 1.8 and 7.5 hours,
419 dependent on the age of the instrument and resolution required. Higher throughput could
420 be achieved, but for the spatial resolution required in these studies, the smallest grains
421 would not be resolved. Additionally, beam interaction effects would need to be
422 considered (Wright 2010). It should also be noted that the samples in this study and in
423 many geological systems require uniform polishing for EBSD analysis which has proven
424 to be challenging. For the present samples, orthopyroxene preferentially polished with
425 respect to spinel leaving surface roughness which is unsuitable for EBSD analysis. For
426 high throughput analysis of multiphase systems where the absolute grain orientation is
427 not a concern but statistically meaningful grain size distributions are required BSE-SEM
428 imaging becomes a preferable cost-effective solution (Hillert 1965; Evans et al. 2001).
429 SEM imaging in combination with the segmentation workflow presented here, offers an
430 excellent alternative for rapid imaging and data analysis, which can all be achieved at a
431 fraction of the time.

432 **Grain size distributions**

433 The tails on grain size distributions from manual methods, (Figure 4) demonstrate user
434 bias to systematically picking larger grains and ignoring smaller ones. Subtle changes in
435 greyscale within SEM micrographs mask smaller grains which are difficult to uniquely
436 differentiate from the inherent noise within images. Providing a minimum pixel size for

437 the smallest truly "visible" grain within the resolution of SEM micrographs, reduces the
438 number of very small grains sampled in the automated segmentation approach, as can be
439 seen in the left-hand sides of Figure 4 a and c.

440 As well as identifying a greater number of small grains from images, automated
441 segmentation is also more representative of the "average" grain size. This is clearly
442 demonstrated by greater sampling of grains across the entire distribution, not just at
443 extreme small grain size values, as shown in Figure 4. Thus, the adjustment of average
444 grain size to smaller values is not exclusively related to increased sampling of small
445 grains; as there is an increase in grain identification and sampling across the whole
446 distribution. Further suggesting the average grain size from manual techniques is
447 misrepresentative of the distribution due to under sampling across the whole distribution.

448 The greatest discrepancies in average grain size were seen in experiments with the
449 largest grain sizes, corresponding to longer duration experiments and higher
450 temperatures. This may be due to the systematic over picking of large grains by the user,
451 during the image-analysis stage, using the areas of equivalent circles technique. This
452 shifting of the average grain size to large values has consequences for the interpretation
453 of grain growth kinetics, determined from these values.

454 The mean grain size was estimated from the grain size distributions and it was
455 found that both techniques returned a similarly small standard error on the mean grain
456 size for a measured population. Importantly, the discrepancy of the larger than
457 expected standard error for E19-007 from manual techniques, is now within the
458 range of values from automated techniques, implying better sampling and accurate
459 error determination from automated techniques. The difference in mean grain size
460 between the two independent expert investigators was found to be approximately 5% of
461 the mean grain size, some two to ten times greater than the formal error. This

462 discrepancy was found to be even larger when comparing results from inexperienced
463 (third-year undergraduate) investigators. Even with a small 5% error between users, this
464 can lead to substantially different grain growth kinetics and thereby grain growth
465 mechanism, as will be shown below.

466 **Grain growth kinetics**

467 All the values of n obtained through the two methods of grain size analysis are
468 theoretically possible for a system of polyphase grain growth, suggesting grain growth in
469 this system is Zener-pinned and limited by diffusion along grain boundaries or through
470 the lattice. Values are also consistent with observations from grain growth studies in
471 other upper mantle phases, for example Hiraga et al., (2010) who conducted grain growth
472 experiments on forsterite-enstatite aggregates and found n values ranging between 3 and
473 5, for a consistent method of grain size analysis and varying proportions of their
474 secondary phase, enstatite. Our n values fall within a similar range, suggesting these are
475 typical values of upper mantle phases (Figure 6). However, we find a very large
476 difference in n between the manual and automated methods (2.38 and 4.15
477 respectively). This difference would be interpreted as different mechanisms, either
478 interface diffusion or grain boundary diffusion (Evans et al. 2001; Kim et al. 2004).
479 Either case has a different grain growth exponent and could imply a variety of diffusive
480 mechanisms may be responsible for the rate limiting step.

481 This disparity between kinetic solutions for the two analysis methods is however
482 reduced, when the formal error on the average grain size is modified to 5% of the mean
483 grain size (Table 2). Most influential to the determined kinetic parameters is the
484 treatment of E19-007, as the grain growth exponent is effectively pinned by the longest
485 duration experiment. Manual techniques consistently underestimate the standard error,
486 whilst automated approaches result in larger and perhaps more realistic formal errors. By

487 accommodating the true errors on manual measurement approaches, the grain growth
488 exponent is more consistent to higher values of n , (3.47 ± 0.23 to 4.15 ± 0.17). Yet these
489 values still imply very different dominant diffusive mechanisms and an averaged grain
490 growth exponent for the system based on both techniques, would be subject to large
491 uncertainties and makes determining the grain growth mechanism troublesome.

492 But more importantly, large uncertainties in n also reduces the possibility of
493 accurately extrapolating grain size through time. The small variations in the grain growth
494 exponent here, lead to differences of greater than 25 % in the predicted grain size at only
495 14 days (Figure 6). This difference is even more pronounced when assuming the initial
496 errors on the mean grain size from manual approaches are accurate. The divergence of
497 predicted grain size increases with time, and eventually the confidence intervals overlap
498 across widely different temperatures (Supplementary Figure 1). The problem of large
499 uncertainties in the grain growth exponent is often dealt with by fixing n for the purposes
500 of extrapolation (Yamazaki et al. 2005; Nishihara et al. 2008; Hiraga et al. 2010a).
501 However, as shown here even small uncertainties in n significantly alter extrapolated
502 grain sizes through time, as well as potentially changing interpretation of the grain
503 growth mechanism. Thus, fixing n , to possibly the wrong value, will produce misleading
504 predictions. Making interpretations on the grain growth mechanism and extrapolated
505 grain size subject to large unconstrained uncertainties.

506 Despite the challenges in evaluating grain size through time, the activation
507 enthalpy from the manual + 5 % error approach, almost agrees within error of the
508 automated solution at 278 ± 19 - 320 ± 11 kJ mol⁻¹, respectively. This suggests Fe-Mg
509 diffusion in orthopyroxene may be the rate limiting step in coarsening of this two phase
510 spinel-orthopyroxene system (Dohmen et al. 2016). The prediction of the same rate
511 limiting species, by both methods of analysis, suggests a significant amount of time has

512 passed and the rate limiting species now has an influence on coarsening of the system.
513 Dohmen et al., (2016) measured the interdiffusion coefficients of Fe-Mg in
514 orthopyroxene, which takes place through lattice diffusive mechanisms, whilst the
515 activation enthalpy now agrees within error of their estimates ($308 \pm 23 \text{ kJ mol}^{-1}$), a grain
516 growth exponent of 3 would be expected in the case of lattice diffusion. Both methods of
517 analysis return grain growth exponents greater than 3, demonstrating the challenge in
518 accurately determining both the rate limiting mechanism and species.

519 Although the kinetic solutions presented here are subject to large uncertainties,
520 automated segmentation still presents the most satisfactory interpretation of spinel grain
521 growth. We do not report further predictions on grain size through geological time for the
522 reasons discussed above. Further investigations are required to determine the accuracy of
523 grain size and its eventual use to constrain grain growth kinetics, caution is emphasized
524 when using small experimental data sets to constrain such kinetic parameters as has been
525 commonplace for many grain growth studies (Hiraga et al., 2010; Nishihara et al., 2004;
526 Tsujino and Nishihara, 2010; Yamazaki et al., 2010, 2005, 1996).

527 Large uncertainties, such as the ones reported here, are common within grain growth
528 studies focused solely on image analysis (Yamazaki et al. 1996, 2005, 2009; Nishihara et
529 al. 2006; Hiraga et al. 2010a). This demonstrates the need to go beyond only collecting
530 SEM-BSE data. Combining grain size measurements with analytical techniques like
531 energy dispersive spectroscopy, electron back-scattered diffraction or high resolution 3D
532 X-ray micro tomography would unlock important information about the mechanisms for
533 grain growth. Using correlative and machine learning approaches, all these datasets can
534 be combined to form quantitative statistical descriptions of the grain growth kinetics
535 (Einsle et al. 2018).

536 **Implications**

537 The automated watershed workflow presented here appears to improve the
538 reproducibility of grain size measurements while increasing the yield of grains measured
539 compared to traditional manual approaches. This workflow demonstrates a clear
540 advantage in the minimization of user bias, but many of the parameters required manual
541 tuning to produce an optimal "realistic" measurement. Additionally, the speed at which
542 datasets can be analyzed is greatly enhanced with the use of automated techniques.

543 One of the biggest areas of active research relates to the use of machine learning and
544 artificial intelligence to improve the segmentation of images. These data driven
545 approaches offer further advantages in that the segmentation criteria become defined by
546 examining the statistics of an image set and looking at variations of different image
547 filters applied to the same image. This works particularly well when examining
548 tomographic data sets generated by micro CT or FIB-SEM tomography techniques. Great
549 progress has recently been made applying clustering or neural network techniques to
550 these large data sets (Andrew 2018). Clustering analysis may offer the best path forward
551 for small data sets like the ones presented here. Tomographic imaging, by contrast,
552 produces data sets with hundreds to thousands of images, offering the most advantage for
553 supervised machine learning tools. With the rise in automated mapping techniques, it
554 should be possible to collect large numbers of BSE images across an entire thin section,
555 or collections of sections. Batch processing would benefit from supervised machine
556 learning enabled workflows.

557 The rapid collection of large volumes of data would result in better estimates of grain
558 size and therein grain growth kinetics. To this end, and to further the implementation of
559 automated segmentation and facilitate improvements in grain size estimation, there needs

560 to a community move towards greater data sharing and accesses as has been advocated
561 for within the geological sciences community (Stall et al. 2019).

562 We have highlighted systematic biases in interpreting grain size from 2D images
563 including; the exclusion or misinterpretation of small grains by traditional analysis
564 techniques alongside grain size distributions misrepresentative of the mean grain size.

565 The automated workflow described here can therefore significantly improve grain size
566 distributions by accounting for missing data, across the entire distribution. We
567 acknowledge the challenges in extrapolating grain size to geological time and present a
568 first attempt to address this problem by improving grain size analysis. Additionally we
569 present a kinetic solution to the grain growth of spinel-orthopyroxene aggregates, which
570 represents coarsening of a two phase system, limited by Mg lattice diffusion in
571 orthopyroxene (Dohmen et al. 2016). To address the uncertainties in experimentally
572 determined grain growth exponents, much longer duration annealing experiments are
573 required, beyond those usually possible in high pressure, high temperature apparatus. It
574 is for this reason that the data, which is available, must be treated in a systematic,
575 reproducible manner. As demonstrated here, small changes in only the reported $1\ \epsilon$ -
576 errors can lead to misinterpretations of the grain growth kinetics. However further
577 improvements are needed in the determination of experimental grain sizes before kinetic
578 solutions can be applied to the Earth.

579 We have demonstrated our segmentation workflow is able to rapidly process multiple
580 SEM images in a consistent and repeatable manner, from an initial complex grayscale
581 image. Automated segmentation vastly increases the number of grains identified and
582 indexed per 2D image, as compared to expert researchers analyzing the same images (see
583 Table 1). The number of grains identified and indexed by automated segmentation shows

584 an impressive 68 % increase as compared to manual techniques alone (7264 grains
585 compared to 4314). This alone, demonstrates the power of utilizing computer vision for
586 grain analysis and also results in a coherent kinetic solution.

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Experimental run	Manual				Watershed		
	P (GPa)	T (K)	Time (h)	Average grain size (μm)	No. identified	Average grain size (μm)	No. identified
E17 - 050	1.2	1323	6	0.46 (0.01)	325	0.41 (0.01)	603
E17 - 053	1.2	1323	25	0.63 (0.01)	239	0.47 (0.01)	525
E17 - 059	1.2	1323	48	0.65 (0.01)	299	0.50 (0.01)	678
E17 - 016	1.2	1373	2	0.39 (0.01)	353	0.37 (0.02)	686
E16 - 088	1.4	1373	6	0.50 (0.02)	450	0.59 (0.01)	647
E16 - 085	1.2	1373	6	0.47 (0.02)	503	0.50 (0.09)	578
E18 - 003	1.4	1373	24	0.74 (0.02)	250	0.64 (0.01)	286
E17 - 017	1.65	1473	3	0.63 (0.02)	323	0.51 (0.01)	434
E17 - 018	1.65	1473	6	0.78 (0.02)	219	0.61 (0.03)	749
E16 - 090	1.65	1473	18	1.30 (0.01)	492	0.89 (0.01)	975
E19 - 007	1.65	1473	120	1.74 (0.20)	861	1.30 (0.03)	1103

595 Table 1: Experimental run conditions and results from area of equivalent circles method, Python automated segmentation workflow. All
 596 grain sizes are reported as radii, values in parenthesis are one standard error on the mean grain size.

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Measurement Method	Log k_0	ΔH (kJmol^{-1})	n	G_0 (μm)
Manual	$10^{5.61\pm 5.43}$	287 ± 7.6	2.38 ± 0.12	0.37 ± 0.01
Manual + 5% error	$10^{5.15\pm 5.37}$	278 ± 19	3.47 ± 0.23	0.30 ± 0.05
Watershed	$10^{6.27\pm 6.23}$	320 ± 11	4.15 ± 0.17	0.38 ± 0.01

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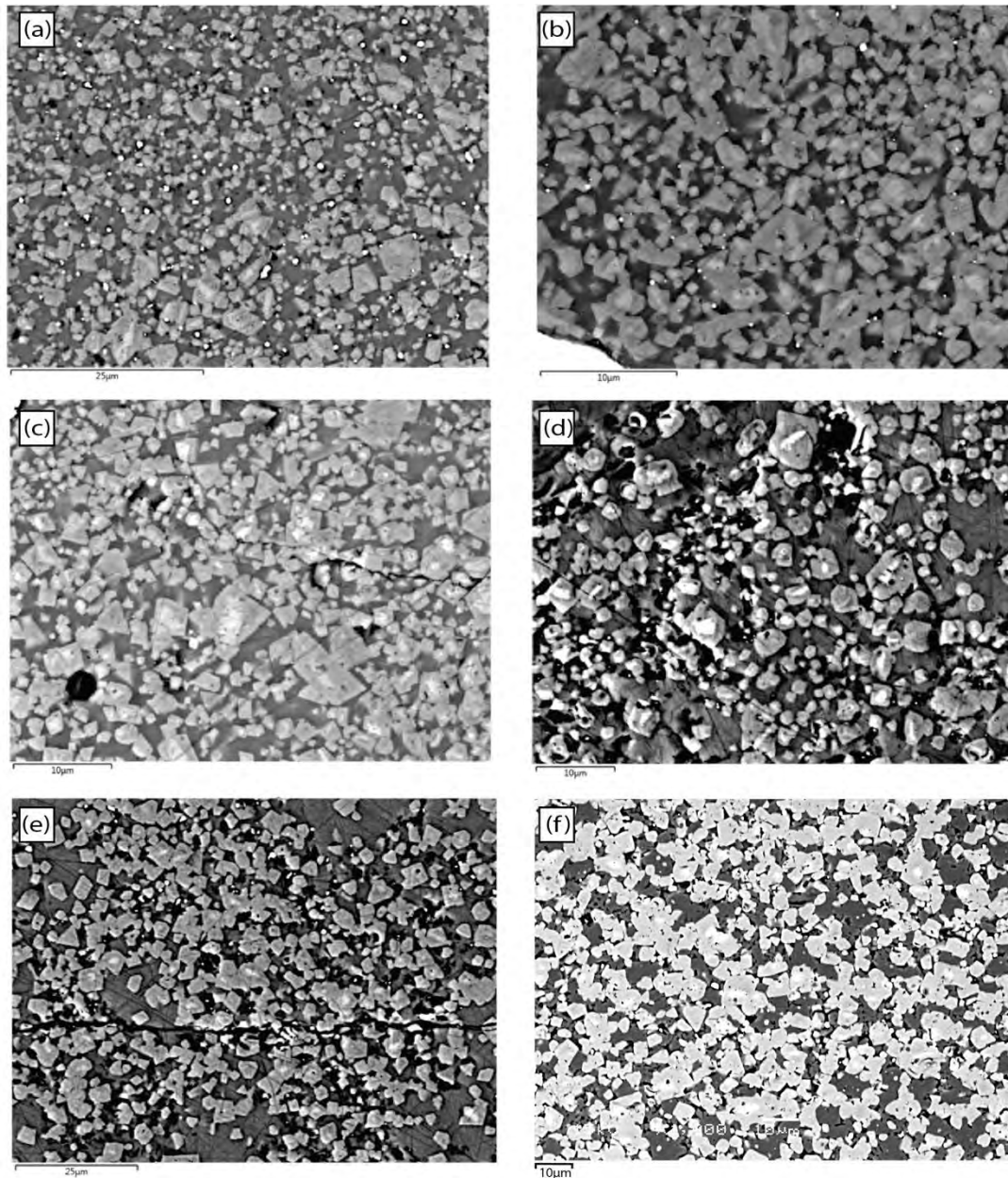
Table 2: Kinetic grain growth parameters returned from non-linear least- squares

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fitting, to all experimental data.

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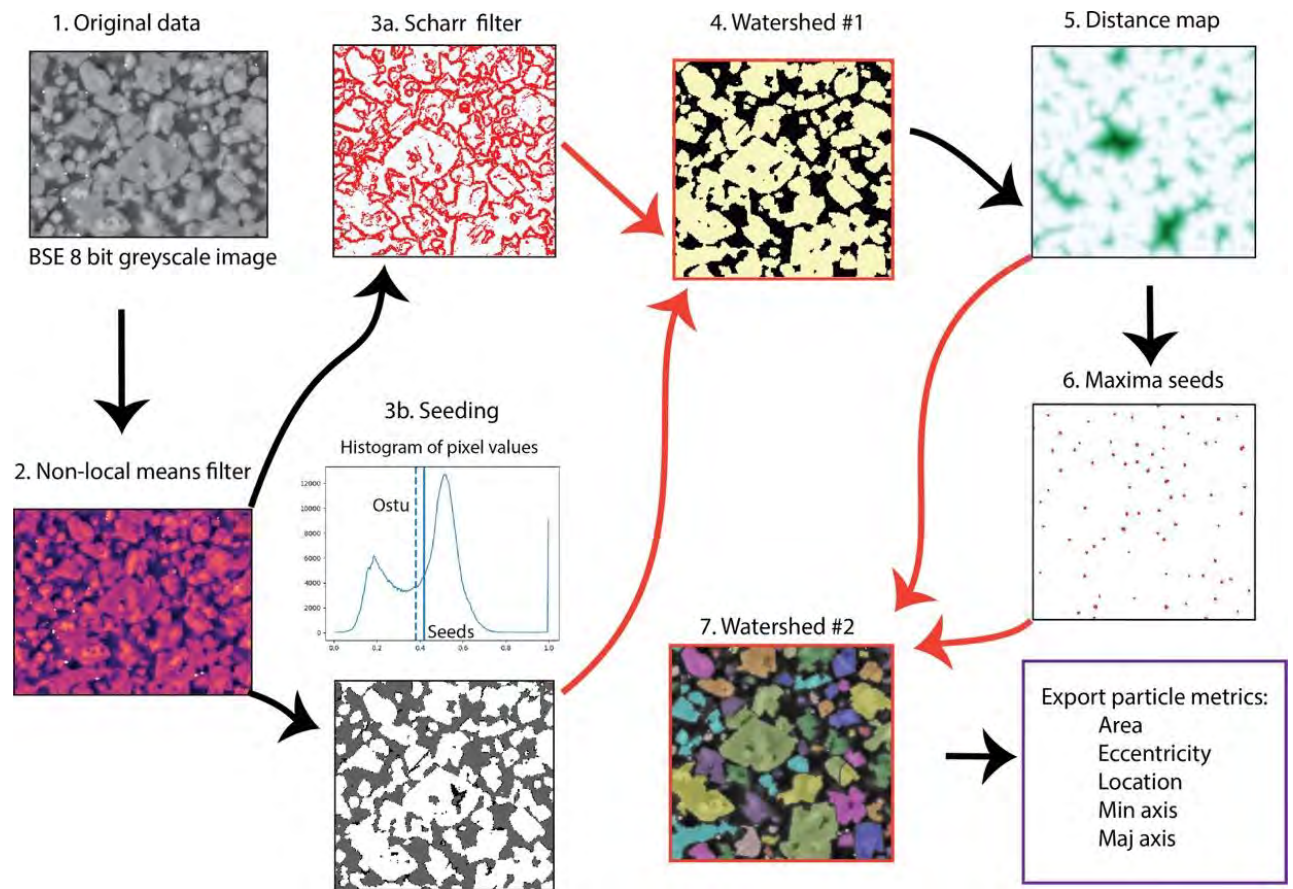
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605 Figure 1: BSE micrographs of recovered high PT experiments, (a) E17-050 (1323
606 K, 6 hours). (b) E17-053 (1323 K, 25 hours) (c) E17-016 (1373 K, 2 hours) (d)
607 E17-018 (1473 K, 6 hours) (e) E16-090 (1323 K, 18 hours) (f) E19-007 (1373 K,
608 120 hours). Micrographs are ordered in increasing experimental temperature and
609 duration. For complete run conditions see Table 1. Spinel grains are clearly visible
610 as euhedral to subhedral grains with bright chromium cores. The matrix
611 material is orthopyroxene +/- clinopyroxene, dependent on the initial
612 composition of the starting material.

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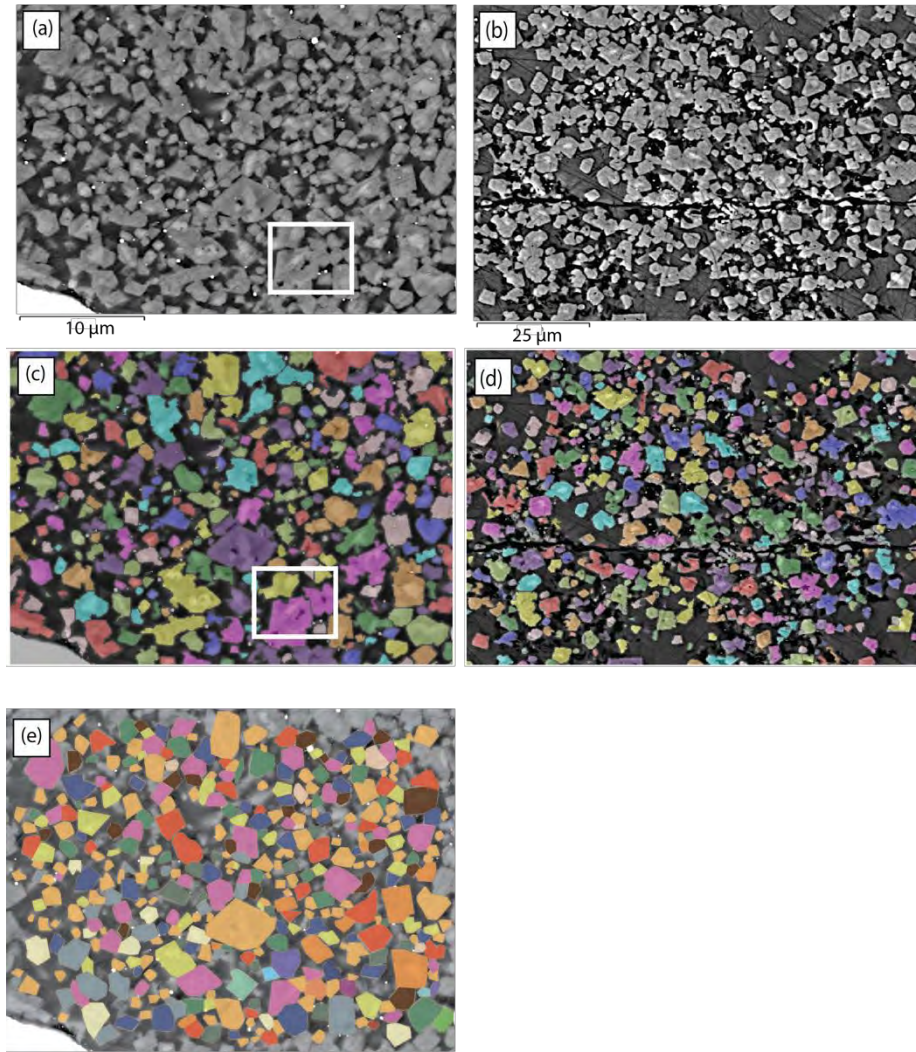


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618 Figure 2: A simplified diagrammatic workflow of the image processing code
619 developed for the analysis of spinel grain growth experiments. Images are first
620 loaded in an 8-bit greyscale format and image processing filters are used to
621 denoise the original image. In step 3, a Scharr filter is applied to identify grains.
622 Step 4 pulls these away from the background matrix with the use of watershed A.
623 At the same time an additional step is added to remove bright specks and fill in
624 any holes present within the image. Step 5, interconnected grains are identified
625 by peaks and basins in the greyscale intensity and shown as a distance map. Grain
626 locations are highlighted by seeds and their positions represent the peaks in

627 | greyscale intensity, i.e. this corresponds to the center of grains. In combination
628 | with the distance map at step 7 watershed B is implemented to pull apart
629 | interconnected grains from one another and the final result is overlain onto the
630 | original BSE image for a visual end result. The addition of color in step 7 is
631 | arbitrary and used to overlay segmented grains onto the original BSE image for
632 | visual inspection.

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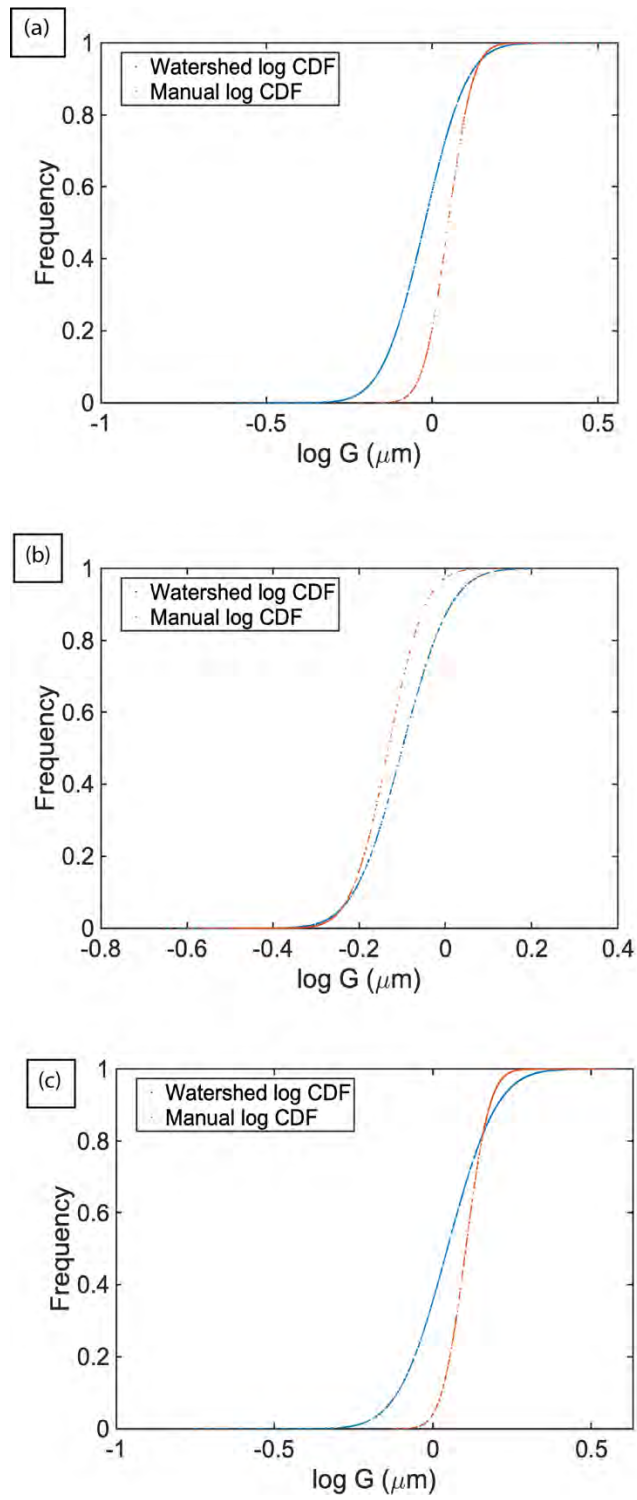
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Figure 3: BSE micrographs from experiments (a) E17-053 and (b) E16-090. with their associated segmented images produced from the Python workflow below (c, d). The colored regions in c and d represent singular grains identified by the code. The majority of images are segmented, visually, well but regions of under-segmentation exist. The white highlighted region in c shows multiple grains which have been clumped together and interpreted as a single grain. (e) is an example of visually identified and hand-drawn grains using the NIH image - J software package.



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658 Figure 4: Log-normal distributions for user-analyzed grain sizes in orange and

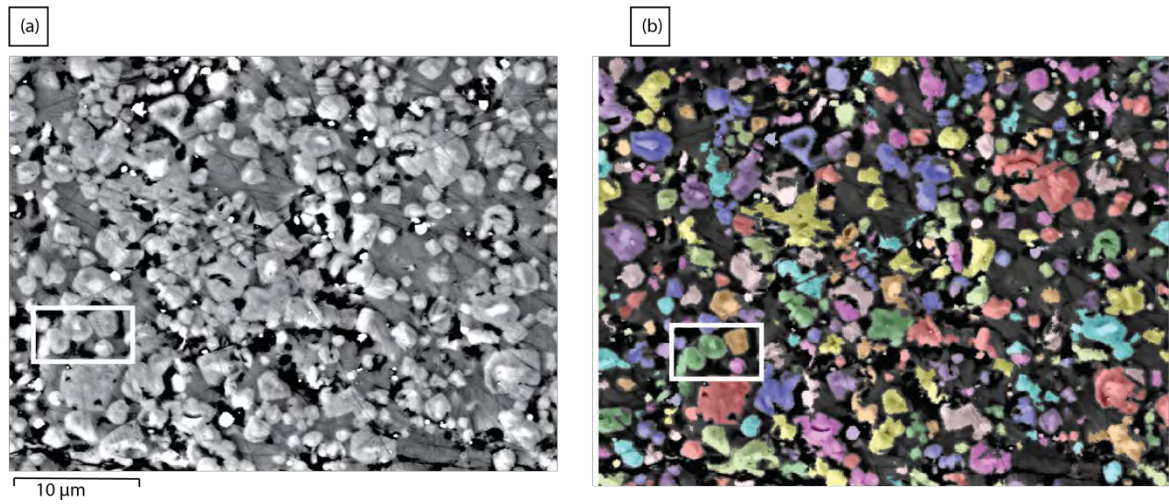
659 automated image segmentation in blue. (a) E16-090, (b) E16-088 and (c) E19-

660 007.

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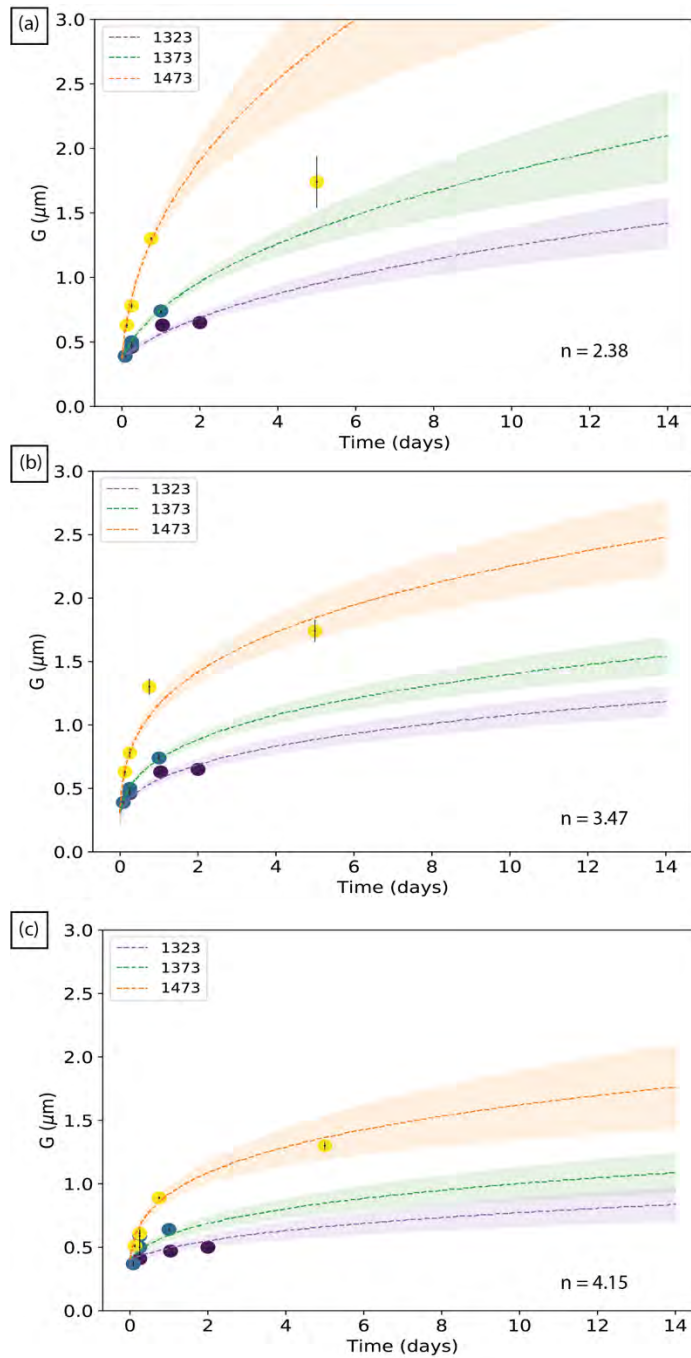


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665 Figure 5: (a) SEM micrograph of E17-018 with its' segmented image in (b).

666 Regions highlighted in white boxes demonstrate the ability of automated image

667 segmentation to pull apart clumped grains whilst retaining their morphology.



668

669 Figure 6: A global fit of grain size to the normal grain growth law, with expected
670 95 % confidence intervals for a period of 14 days. (a) Best fit solution from
671 manual segmentation. (b) A fit to the grain growth law following image analysis
672 from manual segmentation and an additional 5 % error, amongst multiple users.
673 (c) The best fit solution for grain size estimated from automated watershed
674 segmentation. n is the best fitting grain growth exponent for each data set.

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