

1 *Revision 1*

2 **The physical and chemical evolution of magmatic fluids in near-solidus silicic**  
3 **magma reservoirs: Implications for the formation of pegmatites**

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5 Juliana Troch<sup>1,2</sup>, Chris Huber<sup>1</sup>, Olivier Bachmann<sup>3</sup>

6  
7 1 Department of Earth, Environmental and Planetary Sciences, Brown University, 324 Brook Street,  
8 Providence, RI 02912, USA

9 2 Department of Mineral Sciences, Smithsonian Institution National Museum of Natural History, 10<sup>th</sup> St  
10 & Constitution Avenue NW, Washington, DC 20560, USA

11 3 Institute of Geochemistry and Petrology, ETH Zürich, Clausiusstrasse 25, 8092 Zürich, Switzerland

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15 **Abstract**

16 As ascending magmas undergo cooling and crystallization, water and fluid-mobile elements (e.g. Li, B, C,  
17 F, S, Cl) become increasingly enriched in the residual melt, until fluid saturation is reached. The  
18 consequential exsolution of a fluid phase dominated by H<sub>2</sub>O (magmatic volatile phase or MVP) is  
19 predicted to occur early in the evolution of long-lived crystal-rich “mushy” magma reservoirs, and can be  
20 simulated by tracking the chemical and physical evolution of these reservoirs in thermomechanical  
21 numerical models. Pegmatites are commonly interpreted as the products of crystallization of late-stage  
22 volatile-rich liquids sourced from granitic igneous bodies. However, little is known about the timing and  
23 mechanism of extraction of pegmatitic liquids from their source. In this study, we review findings from  
24 thermomechanical models on the physical and chemical evolution of melt and MVP in near-solidus  
25 magma reservoirs, and apply these to textural and chemical observations from pegmatites. As an example,  
26 we use a three-phase compaction model of a section of a mushy reservoir, and couple this to fluid-melt  
27 and mineral-melt partition coefficients of volatiles trace elements (Li, Cl, S, F, B). We track various  
28 physical parameters of melt, crystals and MVP, such as volume fractions, densities, velocities, as well as  
29 the content in the volatile trace elements mentioned above. The results suggest that typical pegmatite-like  
30 compositions (i.e. enriched in incompatible elements) require high crystallinities (>70-75 vol% crystals)  
31 in the magma reservoir, at which MVP is efficiently trapped in the crystal network. Fluid-mobile trace  
32 elements can become enriched beyond contents expected from closed-system equilibrium crystallization

33 by transport of MVP from more evolved mush domains. From a thermomechanical perspective, these  
34 observations indicate that, rather than from melt, pegmatites may more likely be generated from  
35 pressurized, solute-rich MVP with high concentrations of dissolved silicate melt and fluid-mobile  
36 elements. Hydraulic fracturing provides a mechanism for the extraction and emplacement of such  
37 pegmatite-generating liquids in and around the main parental near-solidus mush as pockets, dikes, and  
38 small intrusive bodies. This thermomechanical framework for the extraction of MVP from mushes and  
39 associated formation of pegmatites integrates both igneous and hydrothermal realms into the concept of  
40 transcrustal magmatic distillation columns.

41  
42 *Keywords:* magma reservoir, magmatic volatile phase, supercritical fluid, crystal mush, pegmatite, granite  
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### **Lexicon**

**Melt:** a silicate-rich liquid with minor (<10 wt%) amounts of dissolved volatile species, such as H<sub>2</sub>O, CO<sub>2</sub>, Li, B, F, S, Cl, etc.

**Fluid:** H<sub>2</sub>O-dominated phase that contains minor amounts of other components, such as fluid-mobile species and elements (CO<sub>2</sub>, Li, B, F, S, Cl, etc.) including those from dissolved rock-forming minerals (e.g. Si, Al, Na, K). A fluid can be liquid, gaseous/vaporous or supercritical in state.

**Single-phase hydrous silicate liquid:** a mixture of silicate melt and fluid at sufficiently high pressure and temperature to be above the critical curve and be completely miscible. No clear phase separations are expected between hydrous silicate liquid and fluid, and hydrous silicate liquid and melt, as these terms describe states in a continuous binary silicate-H<sub>2</sub>O system.

**Magmatic Volatile Phase (MVP):** a very general term for fluids and hydrous silicate liquids in magmatic systems

**Brine:** a saline fluid that forms when a fluid with dissolved salts separates into low-salinity vapor and high-salinity brine. The literature contains a wide range in terminology for these liquids, including hydrosaline liquid or melt, saline melt, chloride or salt melt.

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## **1. Introduction**

47 Over the last decades, our view of magma reservoirs has moved away from melt-dominated, vigorously  
48 convecting magma chambers (Shinohara and Hedenquist, 1997; Cloos, 2001) towards long-lived, crystal-  
49 dominated, mostly conductively-cooling magmatic systems (Bachmann and Bergantz, 2004; Hildreth,  
50 2004; Marsh, 2004; Cooper and Kent, 2014; Bachmann and Huber, 2016; Cashman et al., 2017; Huber  
51 and Parmigiani, 2018; Jackson et al., 2018). More recently, advances in numerical methods have led to  
52 the development of increasingly complex thermo-mechanical models tracking the physical and chemical  
53 evolution of magma in these crystal-rich “mushy” reservoirs (e.g. Annen 2009, Degruyter and Huber  
54 2014, Degruyter et al. 2019, Parmigiani et al. 2016, 2017). In particular, these models allow assessment of  
55 the effect of an exsolved magmatic volatile phase (MVP), which plays a key role in the chemical  
56 evolution and eruption behavior of magmatic systems (Wallace et al., 1995; Parfitt and Wilson, 2008;  
57 Degruyter et al., 2017; Cassidy et al., 2018; Townsend et al., 2019).

58 During magmatic differentiation, elements that are incompatible with the crystallizing mineral  
59 assemblage become increasingly enriched in the melt. Water behaves like an incompatible element and its  
60 concentration increases until reaching a solubility limit (“first boiling”), before further crystallization may  
61 then lead to continued water exsolution during “second boiling” (Frezzotti, 1992; Candela, 1994;  
62 Candela, 1997). Fluid-mobile trace elements (e.g. CO<sub>2</sub>, Cl, S, Li, B, P, and noble gases) partition strongly  
63 into this typically H<sub>2</sub>O-dominated fluid phase or MVP. As the solubility of water in the melt is strongly  
64 pressure-dependent (Burnham, 1967; Newman and Lowenstern, 2002; Liu et al., 2005; Papale et al., 2006  
65 and references therein), most magmas stored in shallow magmatic systems reach MVP saturation early in  
66 their evolution (sometimes even at near-liquidus conditions for upper crustal arc settings).

67 Mechanically, this exsolved water-rich MVP migrates as positively-buoyant bubbles at low crystallinities  
68 (Fig. 1A). At intermediate crystallinities (~40 to 70 vol% crystals), the MVP forms connected channels  
69 (Fig. 1B), leading to the efficient removal of volatiles from the magma reservoir (Mungall, 2015;  
70 Oppenheimer et al., 2015; Parmigiani et al., 2016; Parmigiani et al., 2017; Lamy-Chappuis et al., 2020).  
71 At high crystallinities (>70 vol% crystals, Fig. 1C), the magmatic system becomes clogged with crystals,  
72 gas channels are obstructed, and MVP is trapped by capillary forces and cannot escape efficiently. Hence,  
73 as a magmatic system cools and approaches its solidus, MVP will accumulate and pressurize in the pore  
74 space of the mush.

75 Pegmatites are commonly interpreted as crystalline residues of late-stage volatile-rich derivatives of  
76 granitic magmas, and occur as exceptionally coarse-grained veins, pockets or large bodies (Niggli, 1912;  
77 Niggli, 1920; Cameron et al., 1949; Jahns and Tuttle, 1963; Norton, 1966; Jahns and Burnham, 1969;  
78 Thomas et al., 2000; London, 2018). They share both magmatic and hydrothermal characteristics as their  
79 bulk compositions approximate granitic minimum melts, they contain both melt and fluid inclusions, and

80 thermometry suggests formation temperatures of 400-600 °C. Aplites are often closely associated with  
81 pegmatites and could be regarded as their fine-grained cousins (Jahns and Burnham, 1969; Dill, 2015).  
82 Pegmatites are usually (but not always) associated with granitic source plutons (Shearer et al., 1992;  
83 Simmons and Webber, 2008). Such granitic plutonic bodies are increasingly recognized as residuals of  
84 magma reservoirs, which have either crystallized completely without erupting or which may have fed  
85 volcanic eruptions (Lipman, 1984; Bachmann et al., 2007; Deering and Bachmann, 2010; Laurent et al.,  
86 2020). In this paper, we review and evaluate models of pegmatite extraction from such source granites in  
87 light of current concepts in magma reservoir dynamics, in order to assess the physical properties of  
88 pegmatite-forming liquids, the magmatic processes involved in their formation and the timing of their  
89 formation in relation to the evolution of the magma reservoir. Rather than providing a definitive answer,  
90 we aim to build a bridge between the volcanic, plutonic, and pegmatitic research communities with the  
91 hope of initiating an integrated discussion of the processes bridging the magmatic and hydrothermal  
92 realms.

93 This integrative approach, both in concept and technique, was largely inspired by the philosophy and  
94 work of Jim Webster, the pioneer in the study of magmatic volatiles to whom this special issue and this  
95 paper are dedicated. Jim has provided the community with key observations on fluid-mobile elements and  
96 tools on how to track them in magmatic systems. His work has been an invaluable contribution to the  
97 scientific foundation that we have today, not only on the behavior of fluid-mobile elements in magmas,  
98 but also of their role in forming potentially exotic fluids. Perhaps most importantly, Jim's calm, positive  
99 and open-minded attitude has encouraged many, including us, to reach out between adjacent research  
100 communities, in the hope to further advance our understanding of these fascinating systems.

101

## 102 **2. Background**

### 103 **2.1 Characteristics of pegmatites**

104 The term “pegmatitic” is widely used to describe coarse-grained textures in a variety of rocks. In the  
105 stricter sense, however, “pegmatite” refers to broadly granitic intrusive bodies, pockets and dikes, which  
106 are characterized by spectacular coarse-grained textures and often contain minerals rich in fluid-mobile  
107 flux elements (Niggli, 1920; Barth, 1939; Jahns and Tuttle, 1963; London, 2009), e.g. spodumene,  
108 fluorite, apatite, tourmaline (Fig. 2) or Li- and F-bearing mica. Pegmatites are commonly associated with  
109 granitoid plutons, and although they can reach sizes of hundreds of meters, they are usually  
110 volumetrically small compared to these host plutons. Although only a minority of pegmatites are  
111 mineralized, they play an important role in our society, as zones can be enriched to economic values in

112 some of the rarest elements of our planet (Linnen et al., 2012; London and Kontak, 2012; Goodenough et  
113 al., 2019).

114 A key observation is that pegmatites usually do not erupt at the Earth's surface. While some rhyolitic  
115 lavas are extremely evolved and contain high concentrations of incompatible elements similar to those  
116 observed in pegmatites (e.g. Macusani rhyolite (Noble et al., 1984; London et al., 1988; Pichavant et al.,  
117 1988) or Taylor Creek rhyolite (Webster and Duffield, 1994)), these peraluminous magmas are typically  
118 hotter than pegmatites and are exceedingly rare compared to erupted volumes of "normal" metaluminous  
119 rhyolites.

120 Pegmatites are found in and around plutonic rocks of variable compositions (Beard and Day, 1986;  
121 Černý, 1991b; Černý, 1991a; Morton et al., 2018), but are more common in shallow, evolved silicic  
122 systems (producing "granitoids"), predominantly those that form either in collisional settings by partial  
123 melting of sediments (S-type granitoids) or in anorogenic environments (A-type granitoids). After many  
124 attempts to classify pegmatites, the most recent iteration has boiled down to two main families (Černý et  
125 al., 2005; Černý et al., 2012): (1) pegmatites enriched in lithium, cesium, and tantalum (LCT family), and  
126 (2) pegmatites enriched in niobium, yttrium, and fluorine (NYF family). These two families can often be  
127 directly linked to different source granitoids, with LCT pegmatites being spawned by S-type granites,  
128 while NYF pegmatites are usually related to A-type granitoids. The level of rare element enrichment in  
129 pegmatites often varies with distance from their source granitoids (Page, 1953; London, 2014).

130 Pegmatites display aspects of both igneous and hydrothermal systems (Jahns and Burnham, 1969;  
131 Nabelek et al., 2010; London and Kontak, 2012; Thomas and Davidson, 2013; Thomas and Davidson,  
132 2016). On the one hand, they show a dominance of silicate minerals (quartz, feldspar, and mica), in  
133 proportions that approximate granitic whole-rock compositions (Stilling et al., 2006). On the other hand,  
134 massive quartz cores in many zoned pegmatites resemble hydrothermal quartz veins both in texture and  
135 composition (milky quartz with abundant fluid inclusions and low Ti and high Ge contents).  
136 Thermometry on pegmatites suggest that they formed at colder temperatures than even the most silicic  
137 magmas with temperatures <400-600 °C (Sirbescu and Nabelek, 2003; Anderson, 2012), significantly  
138 below the water-saturated haplogranitic solidus of ~650-680 °C (Johannes and Holtz, 1996). In line with  
139 this observation, pegmatites typically display the low-temperature polymorph of K-feldspar (microcline).

140 Other features of pegmatites are characteristic of neither magmatic nor hydrothermal systems, e.g.  
141 pegmatites often show strong enrichment in volatile and incompatible trace elements, such as B, Li, Nb,  
142 Ta, Sn, Be, REE, P, Rb, Cs, U, and Th compared to most magmas and hydrothermal fluids, resulting in  
143 unusual mineralogy (e.g. Fig. 2, Morton et al., 2018). Additionally, many pegmatites exhibit pronounced  
144 textural and compositional zoning, with typically finer-grained outer zones, monomineralic layering and

145 inward crystal coarsening that may produce up to meter-sized crystals in the center (Cameron et al.,  
146 1949). Pegmatites typically lack developed thermal contact aureoles around them (Anderson, 2012), and  
147 thermal models suggest that they cool in hours to days in an upper crustal environment (Chakoumakos  
148 and Lumpkin, 1990). Their bulk-rock composition is extremely difficult to constrain (Sánchez-Muñoz et  
149 al., 2017), as grain-sizes are typically very large and modal variations abrupt. Moreover, intensive re-  
150 crystallization and overprinting by late high- and low-temperature fluids occur in many pegmatites (e.g.  
151 REE remobilization by fluids at Strange Lake, Gysi et al., 2016). Some pegmatites demonstrate low  
152 emplacement pressure through the presence of large miarolitic cavities (Fig. 2B), while others have lost  
153 any residual porosity, and potentially suggest deeper emplacement (Schaltegger et al., 2015).

154

### 155 **2.3 Models for pegmatite formation**

156 Models for pegmatites agree that two ingredients play a key role in their origin: (1) water- and/or volatile-  
157 rich melt of broadly granitic composition, and (2) flux elements, such as F, B, and P, which lower the  
158 solidus and lead to depolymerization of the melt. Below, we summarize the key aspects of several models  
159 for pegmatite formation. The difference between these contrasting models boils down to the question of  
160 whether the concentration in water, flux and incompatible elements is a local, microscopic phenomenon at  
161 mineral boundaries during crystallization from an otherwise “typical” granitic melt, or whether this  
162 accumulation is more general, potentially leading to somewhat exotic hydrous silicate liquids that are  
163 neither granitic melts nor aqueous fluids. This paper focuses on pegmatites derived from granitic source  
164 plutons, however, we note that some pegmatites (mostly LCT) cannot clearly be linked to such plutons  
165 and are thought to have formed by crustal anatexis (Kontak and Kyser, 2009; Müller et al., 2017;  
166 Fuchsloch et al., 2018; Ashworth et al., 2020). We stress here that the physical processes that we later  
167 invoke for the extraction of pegmatite-forming liquid from its source are independent of the size or the  
168 origin of the reservoir from crustal anatexis or mantle-derived magmas.

169

#### 170 **Model 1: Precipitation from hydrous melt and magmatic fluids**

171 The kinship between pegmatites and both magmatic and hydrothermal rocks has been recognized for  
172 more than a century, and early works emphasize the importance of magmatic volatile elements (“gaseous  
173 mineralizers”, Niggli, 1912) in the formation of pegmatites (Niggli, 1920; Barth, 1939). About 50 years  
174 ago, in a now classical study, Jahns and Burnham (1969) highlight the role of water and other volatile  
175 elements, such as alkalis, “lithia and other hyperfusible elements”. They describe pegmatite formation as  
176 a three-step closed-system process at the magmatic-hydrothermal transition, beginning with  
177 crystallization of a hydrous silicate melt, transitioning to concomitant crystallization from silicate melt

178 and an exsolved supercritical aqueous fluid, and ending with crystallization from aqueous fluid in the  
179 absence of melt (Jahns and Burnham, 1969). Although they admit that element and mineral solubilities in  
180 the fluid are limited, they suggest that an aqueous phase could be a “powerful scouring agent” for  
181 extracting and transporting elements from the melt, due to its ability to move easily relative to highly-  
182 viscous silicate melt.

183

184 **Model 2:** Crystallization from undercooled silicate melt

185 A second model puts more emphasis on the role of silicate melt and common textures in granitic  
186 pegmatites, including monomineralic zonation and layering, graphic intergrowth, and giant crystal  
187 growth. Based on kinetic cooling experiments, undercooling of low-diffusivity, high-viscosity silicate  
188 melt has been suggested to play a major role in producing these typical pegmatite textures (London, 2008;  
189 London, 2009; London, 2014). During this forced disequilibrium crystallization, incompatible elements,  
190 including flux elements like H<sub>2</sub>O, F, B, Li etc., become enriched in a boundary layer at the growth front  
191 of the crystal, leading to locally lower viscosities (Bartels et al., 2011; Bartels et al., 2013) and increased  
192 diffusivity and replenishment of mineral-forming elements, which results in increased and rapid crystal  
193 growth (London, 2009). In such highly-fluxed silicate melt, nucleation of minerals is delayed, and melt  
194 can survive at temperatures below the granitic solidus (Sirbescu and Nabelek, 2003; Nabelek et al., 2010;  
195 London, 2014; Sirbescu et al., 2017). Water saturation is considered neither necessary nor likely by some  
196 authors (London, 1992).

197

198 **Model 3:** Precipitation from single-phase hydrous silicate liquid

199 It has long been recognized that with higher pressures and temperatures, H<sub>2</sub>O-dominated fluids and  
200 silicate melts become increasingly miscible (Bureau and Keppler, 1999; Ni et al., 2017), forming a single-  
201 phase hydrous silicate liquid at supercritical conditions (Fig. 3). Water solubility in silicate melt and thus  
202 miscibility between silicate melt and MVP is enhanced for strongly depolymerized melts (Mysen, 2007),  
203 and therefore greatly depends on melt composition. Several elements have been identified as strong  
204 network modifiers, which lead to depolymerization of the silicate melt network and thus to increased  
205 water solubility: Fluorine (Sowerby and Keppler, 2002; Giordano et al., 2004; Mysen et al., 2004; Bartels  
206 et al., 2013), boron (Pichavant, 1987; Sowerby and Keppler, 2002) and alkali elements such as Na, Li, K,  
207 Rb and Cs (Franz and Scholze, 1963; Mesko and Shelby, 2001; Mesko et al., 2002; Sowerby and  
208 Keppler, 2002; Rapp and Shelby, 2003). Hydrous silicate liquids with high concentrations of these  
209 elements are inferred to have low viscosities (Nabelek et al., 2010; Bartels et al., 2011; Thomas and  
210 Davidson, 2012), and are used in industrial applications under the term “geopolymers” (Davidovits, 1991;

211 MacKenzie, 2006; Nair et al., 2007). Based on melt inclusions in several pegmatitic systems, it has been  
212 suggested that pegmatites form from such flux-rich single-phase hydrous silicate liquids (Thomas et al.,  
213 2000; Thomas et al., 2006; Thomas and Davidson, 2012; Thomas et al., 2012; Thomas and Davidson,  
214 2013; Thomas and Davidson, 2016; Thomas et al., 2019). However, it remains yet unclear how  
215 volumetrically significant such single-phase liquids are in magmatic systems, and whether some of these  
216 inclusions may represent mixed inclusions of co-entrapped fluid and silicate melt rather than a  
217 supercritical liquid (Fiedrich et al., 2020a).

218

### 219 **3. Methods for simulating near-solidus magma reservoirs**

220 The formation and chemical makeup of pegmatites are tied to the evolution of near-solidus magma  
221 bodies, which is controlled by both physical-mechanical and chemical processes among the three phases  
222 crystals, melt and MVP. In this section, we describe two complementary models: (1) a three-phase  
223 compaction model (crystals, melt, and MVP), which focuses on the mechanical interaction between these  
224 phases in a deforming crystal-rich mushy magma, and (2) a simple mass-balance model for variably fluid-  
225 mobile trace elements, which tracks their enrichment in a crystallizing mush in which MVP migrates from  
226 deeper, more crystal-rich zones and accumulates in shallower and more melt-rich horizons (Parmigiani et  
227 al., 2016).

228

#### 229 **3.1 Simulation A: Three-phase compaction in silicic magma reservoirs**

230 The three-phase compaction simulation tracks the distribution of crystals, melt, and MVP in a subsection  
231 of a crystal-rich mush system. Over time, these phases will re-distribute driven by their contrast in  
232 density. The simulation solves for the mass and momentum conservation of a crystalline matrix, melt and  
233 MVP, which are coupled through drag terms, interfacial tension, and phase changes. These equations are  
234 complemented by an energy conservation statement that relates the pressure differences between the  
235 different phases with compaction. The simulation is based on the three-phase compaction model in Huber  
236 and Parmigiani (2018), with some slight changes to the governing equations. Table 1 lists the symbols  
237 used in the following equations, their units and the values chosen in the simulations. The contrast in  
238 viscosity between minerals, melt and MVP allows us to neglect shear stresses in the MVP. Mass  
239 conservation in a crystallizing and degassing mush follows

$$240 \quad \frac{\partial(1-\phi)}{\partial t} + \frac{\partial(v_x(1-\phi))}{\partial z} = \frac{\Gamma_x}{\rho_x} \quad (1)$$

$$241 \quad \frac{\partial\phi(1-S)}{\partial t} + \frac{\partial(v_m(1-\phi))}{\partial z} = \frac{\Gamma_f}{\rho_m} - \frac{\Gamma_x}{\rho_m} \quad (2)$$



$$242 \quad \frac{\partial(\rho_x V_x(1-\phi) + \rho_m V_m \phi(1-S) + \rho_f V_f \phi S)}{\partial z} = 0 \quad (3)$$

243 where Eqns. 1 and 2 describe mass conservation for the crystal and melt phases respectively, and Eqn. 3  
 244 is a statement of total (all three phases) mass conservation. In these equations,  $\phi$  refers to the porosity or  
 245 volume fraction taken up by melt and MVP, and  $S$  is the pore volume fraction occupied by the MVP. The  
 246 variables  $\Gamma_x$  and  $\Gamma_f$  are phase change terms describing the rate of crystallization and exsolution, and  $V$  is  
 247 the velocity for either of the three phases (index  $x$  = crystals,  $m$  = melt and  $f$  = MVP). These equations are  
 248 complemented by a momentum conservation equation for each phase

$$249 \quad 0 = -(1 - \phi) \left[ \frac{\partial P_x}{\partial z} - \rho_x g \right] + (P_x - P_f) \frac{\partial \phi}{\partial z} + \frac{4}{3} \frac{\partial}{\partial z} \left[ (1 - \phi) \mu_x \frac{\partial V_x}{\partial z} \right] - c_1 (V_x - V_m) \quad (4)$$

$$250 \quad 0 = -\phi(1 - S) \left[ \frac{\partial P_m}{\partial z} - \rho_m g \right] + (P_m - P_f) \frac{\partial \phi S}{\partial z} + \frac{4}{3} \frac{\partial}{\partial z} \left[ \phi(1 - S) \mu_m \frac{\partial V_m}{\partial z} \right] + c_1 (V_x - V_m) -$$

$$251 \quad c_2 (V_m - V_f) \quad (5)$$

$$252 \quad 0 = -\phi S \left[ \frac{\partial P_f}{\partial z} - \rho_f g \right] + c_2 (V_m - V_f) \quad (6)$$

253 where  $\mu$  is the viscosity of matrix, melt or MVP (for indices  $x$ ,  $m$ , and  $f$ , respectively),  $c_1$ ,  $c_2$  are drag  
 254 coefficients that include the relative permeabilities of the medium and the viscosity of the different phases  
 255 (Huber and Parmigiani, 2018) and  $P$  refers to the pressure in each phase.

256 Finally, energy conservation statements for the melt-crystal and melt-MVP interfaces (Huber and  
 257 Parmigiani, 2018) give

$$258 \quad P_x - P_m = -\frac{K_0 \mu_x}{\phi(1-\phi)} \left[ \frac{\partial \phi}{\partial t} + V_x \frac{\partial \phi}{\partial z} \right] + \Gamma_x \left[ \frac{P_m}{\rho_m} - \frac{P_x}{\rho_x} + L_x \right] \quad (7)$$

$$259 \quad P_m - P_f = -\frac{K'_0 \mu_m}{\phi^2 S(1-\phi)} \left[ \frac{\partial \phi S}{\partial t} + V_m \frac{\partial \phi S}{\partial z} \right] + \Gamma_f \left[ \frac{P_m}{\rho_m} - \frac{P_f}{\rho_f} + L_f \right] \quad (8)$$

260 In Eqns. 7 and 8, variables  $\alpha_1$  and  $\alpha_2$  are interfacial densities (crystal-melt and melt-MVP),  $\sigma_1$  and  $\sigma_2$  are  
 261 the crystal-melt and melt-MVP interfacial tensions,  $K_0$  and  $K'_0$  are geometric factors (Bercovici et al.,  
 262 2001), and  $L_x$  and  $L_f$  are the latent heat of crystallization and exsolution. While the crystallization rate  $\Gamma_x$   
 263 is fixed in the following calculations, MVP exsolution is computed from water mass-balance. Closure  
 264 equations including material properties are set similarly to Huber and Parmigiani (2018). In all  
 265 calculations, the mush is assumed to have a thickness of 20 m and therefore can be regarded as a smaller  
 266 subset of a larger mushy system. Each phase is initially homogeneously distributed with  $\phi=0.45$  and  
 267  $S=0.15$ . The calculations are ended when the melt fraction  $\phi(1-S)$  reaches a local minimum of 0.03. We  
 268 neglect supercritical MVP compressibility due to its limited variability over the investigated depth  
 269 interval of 20 m.

270

### 271 **3.2 Simulation B: Theoretical Determination of Upward Disequilibrium Enrichment (TheDUDE)**

272 As a baseline for the behavior of incompatible trace elements in a crystallizing magmatic system, we use  
273 the simple mass balance equation for closed-system equilibrium or batch crystallization (Schilling, 1966)

$$274 \quad C_M = \frac{C_0}{\phi(1-S) + K_D^x(1-\phi(1-S))} \quad (9)$$

275 where  $C_M$  is the concentration of a trace element in the melt,  $C_0$  is the initial concentration of that  
276 element,  $\phi(1-S)$  is the melt fraction and  $K_D^x$  the distribution coefficient for an element  $x$  between melt and  
277 the bulk mineral assemblage.

278 The density contrast between the three phases ( $\rho_x > \rho_m > \rho_f$ ) and pressure changes caused by phase changes  
279 provide the mechanical energy required to redistribute the phases over time, which may affect the  
280 distribution of trace elements if these phases are not fully chemically equilibrated. Based on the results  
281 from the mechanical simulations in Simulation A (section 3.1), we develop a simple mass-balance model  
282 for the distribution of volatile trace elements and their transport in the MVP. Typical diffusion  
283 coefficients of some Fluid-mobile elements in silicic melts are sufficiently small (e.g. about  $10^{-12}$  m<sup>2</sup>/s for  
284 Cl and F at near-solidus temperatures (Watson, 1991; Bai and van Groos, 1994; Gabitov et al., 2005)) that  
285 the rapid migration of MVP may prevent diffusive re-equilibration between melt and MVP during MVP  
286 ascent from the compacting (bottom) to the decompacting (top) region of a maturing mush. For  
287 simplicity, we assume that within mature and dynamically compacting mushes, trace element distribution  
288 among the three phases remains close to equilibrium in a closed system with limited MVP transport.  
289 Disequilibrium trace element transport via a migrating MVP begins when crystallinity and degree of  
290 compaction are large enough to have established a well-developed decompaction layer with MVP  
291 accumulation at the top.

292 The Theoretical Determination of Upward Disequilibrium Enrichment (or TheDUDE simulation) assumes  
293 that the deeper section of the compacting mush and the top layer evolve (chemically) independently (no  
294 transport between them) until the crystallinity of the mush and pore volume fraction of MVP are large  
295 enough to allow for sufficiently high MVP migration rates (Huber et al., 2012; Parmigiani et al., 2016).  
296 We assume that the bottom section of the mush (index b) evolves as a closed system and under  
297 equilibrium conditions to a porosity  $\phi^b$  and MVP pore volume fraction  $S^b$ . Independently, the  
298 decompacting top portion of the mush (index t) reaches a porosity  $\phi^t$  and MVP pore volume fraction  $S^t$   
299 also under closed and equilibrium conditions. When conditions for MVP transport as simulated by  
300 Simulation A are reached, MVP from the bottom layer is able to invade the top layer and mix with it, so  
301 that the MVP pore volume fraction evolves as  $S^{t'} = S^t + \Delta S$ , where  $\Delta S$  is the MVP fraction added by the  
302 upward transport. Based on the higher rate of diffusive exchange between MVP and melt compared to

303 slow diffusive equilibration between melt and crystals, we assume that the melt at the top of the mush has  
304 fully equilibrated chemically with the new MVP mixture, but not with the surrounding minerals. We then  
305 compute the maximum degree of enrichment of volatile trace elements in the melt in the top layer  
306 compared to the bottom layer.

307 After some algebra, we can find a parameterization for the degree of enrichment possible by transport of  
308 MVP coming from deeper, more fractionated parts of the system

$$309 \quad \frac{c_x^t}{c_m^t} = K_{x-m} \left[ 1 + \frac{K_{f-m}(\psi_1 - 1)}{(S^t + \Delta S^t)K_{f-m} + (1 - S^t - \Delta S^t)} \right]^{-1} \quad (10)$$

310 where the ratio of trace element enrichment at the top versus the bottom of the mush ( $\psi_1$ ) is defined as

$$311 \quad \psi_1 = \frac{\rho_x(1-\phi^t)K_{x-m} + \rho_m\phi^t(1-S^t) + \rho_g\phi^t S^t K_{f-m}}{\rho_x(1-\phi^b)K_{x-m} + \rho_m\phi^b(1-S^b) + \rho_g\phi^b S^b K_{f-m}} \quad (11)$$

312

313 Finally,  $\bar{\rho}^t$  and  $\bar{\rho}^b$  are the average densities of the magma at the top and bottom layers, with

$$314 \quad \bar{\rho}^t = (1 - \phi^t)\rho_x + \phi^t(1 - S^t)\rho_m + \phi^t S^t \rho_g \quad (12)$$

315 and  $K_{x-m}$  and  $K_{f-m}$  are the partition coefficients between minerals and melt and MVP and melt respectively  
316 for the trace element of interest.

317

## 318 **4. Results**

### 319 **4.1 Simulation A: Observed physical changes**

320 The objective of the simulation is to tie crystallization to the exsolution of the MVP and illustrate how  
321 density and viscosity differences among phases drive migration and accumulation of MVP in shallow  
322 decompacting lenses at the top of the magma body. In the simulated mush section, compaction leads to  
323 heterogeneous phase distribution and a decompacting layer is rapidly established at the top of the mush,  
324 resulting from the accumulation of MVP (Fig. 4A+B). As crystallization and exsolution proceed, the  
325 crystal volume fraction in this layer decreases and the MVP volume fraction increases (Fig. 4A+B),  
326 because melt and crystals are continuously displaced downwards by the MVP. As a result, the  
327 decompacting layer grows in thickness over time (Fig. 4B), until it is volumetrically dominated by MVP.  
328 The velocity of rising MVP increases linearly during its ascent, reaching a maximum right before joining  
329 the decompacting layer (Fig. 4C). Over time, the velocity contrast increases as a function of the  
330 increasing density contrast between the well-established compacting and decompacting layers (Fig. 4C).  
331 This mechanical modeling illustrates how the transfer of MVP from deeper crystal-rich sections of a  
332 mush becomes efficient once compaction has developed significant crystallinity contrast across the mush,  
333 as can be expected for a mature, mushy magma reservoir.

334

## 335 **4.2 Simulation B: Chemical evolution**

336 Closed-system equilibrium or batch crystallization suggests that water and incompatible element increase  
337 exponentially in melt and MVP with increasing crystal volume fraction, and therefore most enrichment  
338 occurs at extremely high crystallinities (Fig. 1E+F). This is particularly apparent in cases where water is  
339 efficiently purged from the system at intermediate crystallinities (Fig. 1D+E). As can be expected,  
340 enrichment of water and incompatible elements is further promoted by initially higher concentrations and  
341 high degrees of incompatibility. Overall, it suggests that during near-complete crystallization, trace  
342 element enrichment in the melt by more than a factor of 10 can only occur for the most incompatible  
343 elements ( $K_D < 0.05$ ).

344 In order to assess the potential for trace elements dissolved in MVP to equilibrate with the surrounding  
345 mush during MVP transport, we calculate the Péclet number for five volatile elements with different  
346 diffusivities: Boron with  $D_B \approx 10^{-15}$  m/s (Mungall et al., 1999), sulfur with  $D_S \approx 10^{-13}$  m/s (Watson, 1994;  
347 Lierenfeld et al., 2018), chlorine with  $D_{Cl} \approx 10^{-12}$  m/s (Watson, 1991; Bai and van Groos, 1994), fluorine  
348 with  $D_F \approx 10^{-11}$  m/s (Gabitov et al., 2005), and lithium with  $D_{Li} \approx 10^{-9}$  m/s (Holycross et al., 2018). The  
349 Péclet number is defined as

$$350 \quad Pe = v \frac{d}{D_x} \quad (13)$$

351 where  $v$  is the velocity of MVP,  $d$  the pore diameter (estimated here to be around 100  $\mu\text{m}$  based on the  
352 characteristic diffusion distance (Huber et al., 2012)), and  $D_x$  the diffusivity of the element  $x$ . A value of  
353  $Pe > 1$  indicates MVP transport on much faster timescales than element transport through diffusion  
354 (Huber et al., 2012), greatly limiting the ability for MVP and melt to chemically equilibrate. For  $v < 10^{-12}$   
355 m/s, MVP is likely equilibrated completely, with  $Pe \ll 1$  for all elements. For  $v > 10^{-7}$  m/s (similar to  
356 highest velocity modelled in Fig. 4C),  $Pe \geq 1$  for all elements, except the extremely fast-diffusing Li.  
357 Already for the lowest MVP upward velocity shown in Fig. 4C, equilibration is limited for most volatile  
358 elements.

359 Tracking trace element concentrations via our trace element enrichment simulation (see section 3.2)  
360 shows in greater detail how at high crystal contents ( $\sim 0.7$ - $0.8$ ), MVP is transported rapidly enough  
361 through the mush that it is not able to fully re-equilibrate with surrounding melt and crystals during its  
362 ascent from deeper more fractionated horizons to the accumulation zone at the top. The result is a  
363 significant enrichment in fluid-mobile trace elements, which we have calculated as examples for the  
364 elements in Fig. 5 using partition coefficients listed in Table 2. Enrichment of trace elements in the  
365 decompacting top layer compared to the compacting bottom layer is favored by a number of factors,

366 mainly the amount of MVP added to the top layer, large contrasts in porosity and MVP volume fraction  
367 between top and bottom layer, as well as high fluid-melt and melt-crystal partition coefficients (Fig. 5).  
368 The order by which any volatile trace element becomes enriched is controlled by its partitioning behavior  
369 between the melt and the bulk mineral assemblage (here a roughly eutectic assemblage of 1/3 quartz + 1/3  
370 plagioclase + 1/3 sanidine), and its fluid-melt partition coefficient. In systems with large contrasts in  
371 porosity or crystallinity between the compacting and decompacting regions (Fig. 5A), the order by which  
372 trace elements become enriched is predominantly controlled by their crystal-melt partition coefficients  
373 (here  $S < B < Cl = Li < F$ , Table 2). We note that crystal-melt partitioning data for these volatile elements  
374 is very limited for silicic systems (Table 2), and it is likely that some elements, such as F, are more  
375 incompatible than shown by this model. In systems with less porosity contrast but larger difference in  
376 MVP pore volume fraction (Fig. 5B), it is primarily the fluid-melt partition coefficient that controls the  
377 order by which trace elements become enriched in the decompacting top layer (here  $S > Cl > B > Li > F$ ,  
378 Table 2). The amount of MVP amplifies the differences in concentration of these different elements (Fig.  
379 5C). Under favorable conditions, this disequilibrium enrichment in trace elements through the flushing of  
380 MVP can increase the concentrations of incompatible elements by a factor of 10 or more relative to  
381 closed-system fractional crystallization (Fig. 5).

382

## 383 **5. Discussion**

384 Our results demonstrate how physical processes such as phase separation and compaction control the  
385 composition of melt and MVP in upper-crustal silicic magma reservoirs. Due to its mobile nature  
386 compared to the slow transport of melt and crystals, MVP plays a particularly important role in the  
387 differentiation and enrichment of magmas in incompatible elements, particularly in those that are fluid-  
388 mobile. In the following section, we will discuss how these mechanisms could be linked to specific  
389 models of pegmatite formation, and how an integrated view of pegmatites and crystal-rich “mushy”  
390 magma reservoirs stored at near-solidus conditions over long timescales could solve (some of) the issues  
391 summarized as the “pegmatite puzzle” by London and Morgan (2012):

- 392 1. When and how are pegmatites derived from their host granite?
- 393 2. How do pegmatites acquire their increasing chemical fractionation from the source?
- 394 3. When in their history do granites become saturated with MVP, and how do pegmatites relate to  
395 this magmatic-hydrothermal transition?

396

### 397 **5.1 Enrichment in incompatible elements**

398 Volcanic eruptions require efficient extraction of melt from the mushy host magma reservoir, which is  
399 limited to crystal volume fractions below the 50 % considered as the rheological lockup threshold (Marsh,  
400 1981; Vigneresse et al., 1996; Dufek and Bachmann, 2010). Rather than for extraction of rare elements,  
401 juvenile volcanic deposits are, if at all, mined as abrasives, for products in the construction industry, or  
402 for soil improvement (e.g. Austin, 1994; Troll et al., 2017). These observations indicate that pegmatites  
403 are generally more enriched in incompatible elements than most rhyolites, suggesting that the source  
404 region for pegmatitic liquids may be fundamentally different in composition and degree of differentiation  
405 compared to the state of the source region for most silicic volcanic eruptions.

406 During the magmatic evolution, differentiation by equilibrium and fractional crystallization leads to the  
407 continuous enrichment in incompatible elements and water in the melt. Equilibrium and fractional  
408 crystallization both imply that trace element enrichment is exponential (Fig. 1), suggesting that  
409 enrichment is limited in the early stages of the magmatic evolution, in which magma is still mobile and  
410 could be extracted for volcanic eruptions. Enrichment increases by orders of magnitude at 80-90 vol%  
411 crystallization, when MVP and melt are effectively trapped in the crystal framework. This is relatively  
412 independent of whether trace element enrichment occurs due to equilibrium/batch crystallization or due to  
413 fractional/Rayleigh crystallization, with the difference between them being negligible until ~60-70 vol%  
414 crystals (Fig. 1). Additional input of MVP into these regions can increase the concentrations of fluid-  
415 mobile trace elements beyond what is expected for closed-system equilibrium (section 4.2, Fig. 5). For  
416 pegmatites, their low-temperature origin, extremely evolved compositions, and strong enrichment in  
417 incompatible elements up to economic concentrations (section 2.2) indicate that they are sourced from  
418 highly fractionated, crystal-rich magmatic environments.

419

## 420 **5.2 Volatile saturation and timing of pegmatite formation**

421 The presence of a MVP is commonly predicted in recently developed models of mush-dominated magma  
422 reservoirs (Edmonds and Wallace, 2017; Parmigiani et al., 2017; Degruyter et al., 2019) and is  
423 fundamental in controlling differentiation processes and, in some cases, explosivity in magmatic systems  
424 (Anderson et al., 1984; Sisson and Bacon, 1999; Pistone et al., 2015; Degruyter et al., 2017; Bachmann  
425 and Huber, 2018; Cassidy et al., 2018; Popa et al., 2019). Decades of careful work describing solubilities  
426 and H<sub>2</sub>O-CO<sub>2</sub> concentrations in magmas (Tuttle and Bowen, 1958; Burnham and Jahns, 1962; Dingwell  
427 et al., 1984; Holtz et al., 1992; Newman and Lowenstern, 2002; Papale et al., 2006) or tracking exsolution  
428 through fluid-mobile trace elements (Webster and Rebert, 1998; Webster et al., 2020) provide a clear  
429 answer to the question of when volatile saturation occurs in a magmatic system's history; intermediate to  
430 silicic mushes in the mid to upper crust will become saturated with a MVP starting at low crystal volume

431 fractions. In arc systems, it is likely that magmas become saturated near their liquidus temperature during  
432 their ascent through the upper crust, and even the driest magmas (and mafic magmas) will eventually  
433 become saturated with a fluid phase as crystallinities reach >80-90 vol%.

434 As illustrated by our compaction model (section 4.1), mushy crystal-rich magma reservoirs contain plenty  
435 of high-crystallinity source regions that could produce liquids with pegmatite-type trace element  
436 enrichments (Fig. 1F), but most of these regions see accumulation of MVP rather than of melt (Fig. 1E).  
437 If pegmatites were sourced from mushy near-solidus magma reservoirs, as their evolved character and  
438 low temperatures indicate, it would be more likely for them to form from volumetrically dominant MVP.  
439 At these conditions, rhyolitic/granitic melt is present in extremely small volumes, which are finely  
440 disseminated throughout the host mush. The limited density contrast between the melt and the granitic  
441 mineral assemblage of quartz and feldspars coupled to the relatively high viscosity even of water-  
442 saturated rhyolite melt make it very unlikely that large enough volumes of crystal-free melt could  
443 accumulate and be extracted to form pegmatites at the suggested pegmatite formation timescales of days  
444 to months (Chakoumakos and Lumpkin, 1990; Morgan and London, 1999; Webber et al., 1999).

445 As crystallinity increases beyond ~70 vol%, capillary forces become dominant, trapping MVP and  
446 highly-evolved melt into the crystal mush framework (Parmigiani et al., 2016; Parmigiani et al., 2017;  
447 Lamy-Chappuis et al., 2020). Exsolution will drive overpressurization and cause episodic hydraulic  
448 fracturing (Fig. 1C, Holtzman et al., 2012) or release solitary waves (Connolly, 2010). These events could  
449 send pulses of supercritical MVP and small amounts of silicate melt into cracks within the borders of the  
450 dying mushy reservoirs. The implication for episodic rapid transport of otherwise static MVP in the  
451 context of long-lived mush reservoirs in the crust with slow phase separation (Marsh, 1981; Koyaguchi  
452 and Kaneko, 1999; Huber et al., 2009; Bachmann and Huber, 2018) is that there is sufficient time for  
453 magmas to equilibrate with an exsolved MVP before it is expelled. The MVP therefore likely contains  
454 abundant dissolved melt components, such as the silica and alkali elements suggested by pegmatite  
455 models favoring an origin from single-phase hydrous silicate liquids (section 2.3). Precipitation of  
456 minerals from this MVP could lead to the typical quartz- and feldspar-dominated pegmatite assemblages.  
457 Hydraulic fracturing provides both a physical mechanism and an explanation for the timing of pegmatite  
458 formation in the context of the evolution of a magmatic reservoir. It could also explain the common  
459 occurrence of pegmatites as veins, dikes or larger bodies, which tend to be structurally controlled and  
460 exploit regional zones of weakness in the crust (Brisbin, 1986).

461

### 462 **5.3 Granitic source flavors and element solubility**

463 It has long been recognized that pegmatites occur primarily in association with S- and A-type granites,  
464 with the two main groups, LCT- and NYF-pegmatites, directly linked to these different granite families.  
465 In contrast, the voluminous, typically water-rich I-type granitoids generally related to subduction zones  
466 seem to produce few large and mineralized pegmatite bodies. Instead, they show abundant quartz veins,  
467 commonly source volcanic eruptions, and sometimes develop large porphyry ore deposits (Sillitoe, 2010;  
468 Černý et al., 2012). This difference may be a consequence of two main processes: (1) Compositional  
469 differences and their effect on water and element solubility, and (2) differences in water concentrations  
470 resulting in MVP saturation at different stages of crystallization.

471 First, S- and A-type magmas appear enriched in key flux elements (such as Li, B, F, P) in comparison to  
472 I-type magmas (Whalen et al., 1987; Taylor and Fallick, 1997; Trumbull et al., 2008). As recognized in  
473 models favoring pegmatite formation from single-phase hydrous silicate liquid (section 2.3), these flux  
474 elements generally partition into the fluid phase (Webster et al., 1989; Zajacz et al., 2008; Iveson et al.,  
475 2019), increase the ionic potential of the fluid, and can thus lead to the progressive dissolution of other,  
476 typically less soluble, cations (e.g., Si, Al, Na, K, Zr, and REE). In I-type magmatic systems, silicate melt  
477 and MVP may therefore be less prone to reaching miscibility, and melt and MVP remain more dissimilar  
478 to one another compared to A- and S-type granitic systems. Exsolved MVP in I-type systems may more  
479 closely resemble classical S- and Cl-bearing hydrothermal fluids and brines involved in the generation of  
480 quartz veins and S-rich ore deposits (e.g. porphyry copper deposits).

481 Second, arc magmas typically reach water-saturation early in their evolution, while many A- and S-type  
482 granites show evidence of a protracted evolution at H<sub>2</sub>O-undersaturated conditions (Huang and Wyllie,  
483 1981; Bartoli et al., 2013). With MVP outgassing being most efficient at intermediate crystallinities (Fig.  
484 1), the MVP can be expected to be more efficiently purged before I-type systems reach enriched melt  
485 compositions. The early exsolution of MVP could effectively flush out the fluid-mobile flux elements  
486 required to enhance element solubility in the MVP and water solubility in the melt. To a first order, our  
487 trace element model (section 4.2) illustrates how differences in crystallinity and MVP pore volume  
488 fraction can lead to and manifest compositional differences between I-type and S- and A-type magmatic  
489 systems (Fig. 5). Here, accumulation of S and Cl is particularly efficient in MVP-dominated systems at  
490 intermediate crystallinities and small porosity differences, which would more closely resemble I-type  
491 systems. Accumulation and removal of S from such systems may also be enhanced by the dependence of  
492  $K_D^S$  on  $fO_2$  (e.g. Scaillet et al., 1998), leading to high fluid-melt partition coefficients in oxidizing I-type  
493 systems. Coupling of trace element distributions to physical models via experimentally-derived partition  
494 coefficients may therefore prove to be a powerful tool for tracking the crystallization and degassing  
495 history of magma reservoirs in the future.



496 Traditionally, major element solubilities in aqueous fluids are considered to not exceed 5-15 wt%  
497 (Burnham, 1967; London et al., 1988; Webster and Holloway, 1988). However, several observations  
498 indicate that these solubilities, and thus the potential for MVP to transport and deposit silicate  
499 components, may be higher than these estimates. So far, most experimental and thermodynamic studies  
500 on elemental solubilities in magmatic fluids focus on comparably simple systems, such as element and  
501 mineral solubilities in fluids in equilibrium with a single mineral phase as a function of pressure,  
502 temperature and salinity (Antignano and Manning, 2008; Dolejš and Manning, 2010; Rapp et al., 2010;  
503 Mair et al., 2017; Brooks and Steele-MacInnis, 2019). As mineral solubilities commonly depend on other  
504 solutes, particularly those of flux elements (see section 2.3), there is growing consensus that mineral (and  
505 melt) solubilities increase significantly the more complexly buffered a fluid is (Hayden and Manning,  
506 2011; Mysen, 2019). At the extreme of the solubility spectrum, complete miscibility between MVP and  
507 melt has been considered to be mostly relevant for higher-pressure mantle systems (Bureau and Keppler,  
508 1999). For shallow silicic systems, such miscibility has also been demonstrated by experiments in the  
509 peralkaline Fsp-Qtz-H<sub>2</sub>O system (Mustart, 1972; Lundstrom, 2016; Lundstrom, 2020) and by inclusions  
510 in pegmatitic quartz (Webster et al., 1997; Thomas and Davidson, 2016; Thomas et al., 2019). These  
511 inclusions are particularly intriguing as their compositions imply that granite-buffered high-temperature  
512 fluids contain major element solutes in similar proportions as present in the melt. This is key, as the  
513 granitic melt-like bulk composition of pegmatites has commonly been cited as the main reason to exclude  
514 a fluid-derived origin.

515 Nonetheless, it remains unclear how ubiquitous such near-critical fluids or single-phase hydrous silicate  
516 liquids are in shallow upper-crustal silicic systems. Preliminary assessments from melt and fluid  
517 inclusions in co-erupted granitic clasts suggest a limited role for such intermediate-density liquids, at least  
518 in subduction zone (I-type) magmatism (Fiedrich et al., 2020a; Fiedrich et al., 2020b). It also remains  
519 unclear how melt compositions can evolve to be sufficiently enriched in flux elements, without saturation  
520 of flux element-bearing minerals such as topaz, fluorite and Li-phases buffering the enrichment of these  
521 elements and thus preventing the continuous transition between silicate melt and solute-rich aqueous fluid  
522 (Dolejš and Baker, 2007). While in the context of long-lived mushy granitic source reservoirs, formation  
523 of pegmatites seems more likely to occur from volumetrically dominant solute-rich MVP rather than  
524 limited volumes of melt, future research will have to unveil how common near-critical solubilities or even  
525 true miscibility are in these systems.

526

#### 527 **5.4 Giant crystal growth and physical transport**

528 If near-solidus silicic mushy magmatic systems are more likely to produce large volumes of solute-rich or  
529 even supercritical MVP, how could these liquids produce the famously coarse crystal sizes and typical  
530 zoned pegmatite textures? As numerous cooling rate experiments have demonstrated, disequilibrium  
531 crystallization as a result of undercooling is crucial in producing these textures (Fenn, 1986; Lentz and  
532 Fowler, 1992; London, 2009; Nabelek et al., 2010; London, 2014; Maneta and Baker, 2014; Sirbescu et  
533 al., 2017). Extraction from the reservoir and injection into host rock in the upper crust would lead to a  
534 pressure drop and rapidly expose near-critical solute-rich MVP to a colder-temperature environment  
535 (<300-400 °C). The decrease in temperature and pressure would result in a drastic drop in mineral  
536 solubilities in solute-rich MVP (Fig. 3B left leg of the miscibility curve; London et al. (1988)). In the case  
537 of a single-phase hydrous silicate liquid, it would move from the single-liquid field in Fig. 3B into the  
538 two-liquid field. In both cases, this process could drive strong supersaturation and rapid precipitation of  
539 minerals from this fluid or liquid. Precipitation of minerals along such a P-T-gradient could explain the  
540 commonly observed trend of increasing fractionation with distance from the source pluton (Page, 1953;  
541 London, 2014), which is difficult to explain with melt-dominated pegmatite models (Simmons and  
542 Webber, 2008; London and Morgan, 2012). Upon further precipitation, cooling and/or decompression, the  
543 fluid itself may separate into vapor and brine depending on its salinity (Veksler et al., 2002; Audétat and  
544 Edmonds, 2020), which is again associated with strong element fractionation and enrichment (Ashworth  
545 et al., 2018). During precipitation, the order of crystallizing minerals is controlled by their chemical  
546 potential, with the phase of the highest chemical potential crystallizing first (London, 2014). The resulting  
547 disequilibrium crystallization would promote (1) the formation of graphic granite, (2) the growth of large  
548 crystals, often with skeletal shapes, and (3) the typical pegmatite zoning with monomineralic layers  
549 observed in many settings. These textural features occur not only in petrographically late-stage  
550 assemblages, but in minerals formed throughout the crystallization sequences of most pegmatites (and  
551 sometimes other rocks, hence the wide use of the term “pegmatitic” (section 2.1)), suggesting that the  
552 circumstances that promote such disequilibria are an automatic consequence of the shared compositional  
553 and thermal regimes involved. Most dynamic crystallization experiments so far have been conducted on  
554 hydrous granitic melts (e.g. Fenn, 1986; London, 2009; Maneta and Baker, 2014; Sirbescu et al., 2017  
555 and many others). However, similar processes are expected to control crystallization textures during  
556 precipitation from solute-rich MVP, and trace element abundances in pegmatitic quartz have been linked  
557 to kinetic crystallization at turbulent, high-Reynolds number conditions (Phelps et al., 2020),  
558 characteristics for low-viscosity fluids.

559 Formation of pegmatites from solute-rich MVP would also explain their occurrence not just as large  
560 bodies, but more commonly as cm- to dm-thick veinlets (e.g. Fig. 2C, D). Transport of rhyolitic melt at

561 typical water concentrations of 4-6 wt% through such small spaces would be made impossible by the  
562 extremely high viscosities of rhyolitic melts ( $10^3$ - $10^5$  Pa s at 700 °C and 6-12 wt% H<sub>2</sub>O (Whittington et  
563 al., 2009)). Piling up of water and flux elements in mineral boundary layers during rapid crystallization  
564 will decrease viscosity locally (London, 2014), but is unlikely to affect the viscosity of the bulk liquid.  
565 Since pegmatites are usually inferred to have crystallized in situ and lack porphyritic textures akin to  
566 silicate melts containing previously crystallized phenocrysts (see description in Philpotts and Ague,  
567 2009), transport to the place of deposition would have to occur prior to any extensive crystallization,  
568 which would be required to modify melt viscosities sufficiently in the case of pegmatite formation from  
569 silicate melt (section 2.2).

570 This transport problem diminishes when considering solute-rich MVP or a single-phase liquid as the  
571 pegmatite-forming medium. Experiments in the albite-H<sub>2</sub>O system suggest that within addition of <20  
572 wt% H<sub>2</sub>O, viscosities of miscible water-silicate melt mixtures decrease drastically compared to those of  
573 highly viscous silicate melts (Audétat and Keppler 2004, Hack and Thompson 2011), and are only  
574 slightly higher than those of aqueous fluids when containing >30 wt% H<sub>2</sub>O. Based on a compilation of  
575 inclusion data for supercritical liquid entrapped in quartz from five different pegmatite systems, Thomas  
576 and Davidson (2015) propose a H<sub>2</sub>O concentration of  $26.5 \pm 1.5$  wt% in the pegmatite-forming liquid. If  
577 viscosities in the silicate melt-H<sub>2</sub>O system follow a similar trend as in the albite-H<sub>2</sub>O system (Audétat and  
578 Keppler 2004), a single-phase liquid at these water contents would have a viscosity 4 orders of magnitude  
579 lower than that of anhydrous melt, and 3 orders of magnitude higher than that of pure H<sub>2</sub>O. These  
580 intermediate viscosities would facilitate elemental transport and extraction of these liquids from their  
581 sources, but may limit diffusivities sufficiently to allow coarsening of crystal sizes through constitutional  
582 zone refining and the development of monomineralic layering during rapid precipitation (London, 2009;  
583 London, 2014).

584

## 585 **6. Implications**

586 Pegmatites are the last drops of magmatic distillates that are expelled at the end of the crystallization  
587 sequence of magmatic reservoirs. At near-solidus conditions, magmatic reservoirs are volumetrically  
588 dominated by MVP and crystals rather than melt, suggesting that pegmatites may be more likely to form  
589 from solute-rich MVP that is released from the reservoir into the surrounding cooler country rock via  
590 episodic hydraulic fracturing. Many of the textural and mineralogical aspects of pegmatites can be  
591 explained by progressive precipitation of minerals from complexly enriched MVP as the system cools.  
592 Such pegmatitic liquids may consist of solute-rich MVP or even single-phase hydrous silicate liquids,  
593 similar to those long recognized in high-pressure systems and playing a key role in element transport

594 during subduction, mantle metasomatism and melting (Tatsumi et al., 1986; Poli and Schmidt, 1995;  
595 Tatsumi and Eggins, 1995; Manning, 2004; Hacker, 2008; Galvez et al., 2016).  
596 A holistic view of the plutonic, volcanic and pegmatitic realms can be achieved within the recent concept  
597 of crustal-scale magmatic plumbing systems (Bachmann and Huber, 2016; Cashman et al., 2017),  
598 providing an integrative concept for various magmatic products (Fig. 6). Evidence of all three realms can  
599 even be found in single (volcanic) units, as for example documented by plutonic fragments and U-rich  
600 pegmatite-like zircon domains in rhyolites from the Yellowstone region (Ellis et al., 2014; Troch et al.,  
601 2017; Troch et al., 2018). Coarse-grained, quartz-rich segregations (Fig. 2C and D) and interstitial quartz  
602 with low Ti contents (<20-30 ppm) occur in many plutonic units around the world (Ackerson et al., 2015;  
603 Ackerson et al., 2018; Fiedrich et al., 2020a; Rottier and Casanova, 2020), and may also have crystallized  
604 from such late trapped pegmatitic fluids. Although additional experiments and studies on natural systems  
605 are required to fully understand the physical and chemical behavior of such complexly enriched liquids in  
606 the upper crust, we encourage the research community to view pegmatites/aplites, plutonic rocks and  
607 volcanic deposits not as discrete entities, but to treat them as aspects of a continuous igneous distillation  
608 system. Like a good rug, pegmatites really tie the “magmatic room” together. As recorders of these late-  
609 stage enriched fluids, pegmatites are indeed the hallmark of the magmatic-hydrothermal transition.

610  
611

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623

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984 **Figure captions**

985

986 **Fig. 1:** (A-C) Different modes of dominant MVP transport as function of crystal volume content; (D)  
987 degassing efficiency for different initial reservoir volumes containing an initial 5.5 wt% H<sub>2</sub>O based on  
988 Parmigiani et al. (2017); (E) accumulation of water in melt and MVP during closed-system crystallization  
989 of an anhydrous mineral assemblage, compared to water saturation threshold at 5-8 wt% H<sub>2</sub>O relevant for  
990 upper crustal pressures (blue bar in background). Bright blue bar on right indicates range of water  
991 contents in pegmatitic melt inclusions in Thomas and Davidson (2016); (F) trace element enrichment for  
992 elements of different incompatibility during closed-system equilibrium/batch crystallization. Gray area  
993 marks range of enrichment for the same elements during fractional/Rayleigh crystallization. Note how  
994 most enrichment both for water and incompatible trace element occurs at extremely high crystallinities, at  
995 which MVP remains trapped in the crystal framework and can only be transported through hydraulic  
996 fracturing.

997

998 **Fig. 2:** (A) watermelon tourmaline from the Otjua gem-pegmatite close to Karibib, Namibia, (B) late-  
999 stage beryl-bearing pegmatite pockets in the Spitzkoppe granite, Namibia, (C) example of pegmatitic vein  
1000 crosscutting more competent mafic sheet in the coastal Maine granites, USA, and (D) example of a  
1001 pegmatitic vein in its host granite (from the Japan Geological Survey Museum in Tsukuba, Japan).

1002

1003 **Fig. 3:** Phase diagrams for the H<sub>2</sub>O-silicate melt system, schematically displaying changes in the extent of  
1004 immiscibility between H<sub>2</sub>O-dominated fluid and hydrous silicate melt as a function of (A) melt  
1005 composition, and (B) flux elements in the system (e.g. F, B, Cl, Li, P, Na). This addition of flux elements  
1006 has a similar effect as an increase in pressure (Bureau and Keppler 199, Hack et al. 2007, Mysen 2014,  
1007 Sowerby and Keppler 2002) and will decrease the size of the miscibility gap in the binary silicate-H<sub>2</sub>O  
1008 system. In this sub-system, the miscibility gap closes towards higher temperatures, however, translated to  
1009 a differentiating natural system (A) the changing melt compositions result in increasing miscibility  
1010 towards lower temperatures, with potential full retrograde miscibility in extreme cases. Note the  
1011 decreasing solubility of silicate components in the MVP with decreasing temperature in (B).

1012

1013 **Fig. 4:** Results from Simulation A, simulating mechanical phase separation in a matrix-melt-MVP  
1014 system. Profiles of crystallinity (A), MVP volume fraction (B) and MVP velocity (C) are shown for  
1015 different time steps of continuing crystallization in a 20-m subsection of a water-saturated silicic mush.

1016

1017 **Fig. 5:** Trace element enrichment in melt at top of compacting mush column as simulated with TheDUDE  
1018 model (Simulation B). Enrichment factors describe trace element accumulation due to MVP ascending  
1019 from a more crystalline mush region relative to closed-system equilibrium crystallization as a function of  
1020 (A) differences in porosity between top and bottom of mush section, (B) differences in pore volume  
1021 fraction of MVP between top and bottom, and (C) the fraction of MVP added from the bottom to the top.  
1022 Dotted lines mark values chosen for the calculation of enrichment in other diagrams, e.g. dotted line in A  
1023 marks porosity contrast chosen for calculations in B and C.

1024

1025 **Fig. 6:** Integrated view of pegmatite formation in mush-dominated upper crustal magma reservoirs from  
1026 pulses of exsolved Magmatic Volatile Phase (MVP) that is enriched in variable degrees in flux elements  
1027 (as illustrated by the color coding of the MVP in the schematics) and other incompatible elements  
1028 depending on the degree of differentiation and the type and composition of the source granitic reservoir  
1029 (right-hand panels). Note that this illustration is not meant to imply that all pegmatite-forming A-type  
1030 systems have necessarily produced volcanic (caldera-forming) eruptions or that caldera-forming eruptions  
1031 only occur in A-type systems.

1032

**Table 1:** Parameters used in Simulation A

Symbol	Signification	Units	Value
$c_1$	Melt-matrix drag term	Pa s/m <sup>2</sup>	$\mu_m \phi^2(1-S)^2/k_w(S)$
$c_2$	Melt-MVP drag term		$\mu_f \phi^2 S^2/k_{nw}(S)$
$g$	Acceleration - gravity	m/s <sup>2</sup>	9.81
$H$	Mush thickness	m	20
$K_0$	Geometrical factor	-	Order of 1
$K_0'$	Geometrical factor	-	Order of 1
$L_x$	Latent heat of crystallization	J/kg	300,000 (Huber and Parmigiani, 2018)
$L_f$	Latent heat of exsolution	J/kg	600,000 (Huber and Parmigiani, 2018)
$P_i$	Pressure in phase i	Pa	200 MPa at the top
$S$	MVP pore volume fraction	-	0.15 (initial condition)
$V_f$	MVP velocity	m/s	
$V_m$	Melt velocity	m/s	
$V_x$	Matrix velocity	m/s	
$\Gamma_f$	Exsolution rate	kg/m <sup>3</sup> s	Calculated
$\Gamma_x$	Crystallization rate	kg/m <sup>3</sup> s	$5 \times 10^{-7}$
$\phi$	Porosity	-	0.45 (initial condition)
$\rho_f$	MVP density	kg/m <sup>3</sup>	EOS from Huber et al. (2010)
$\rho_m$	Melt density	kg/m <sup>3</sup>	2500
$\rho_x$	Crystal density	kg/m <sup>3</sup>	2800
$\mu_f$	MVP viscosity	Pa s	$10^{-2}$
$\mu_m$	Melt viscosity	Pa s	$10^4$
$\mu_x$	Crystal viscosity	Pa s	$10^{12}$

**Table 2:** Fluid-melt and mineral-melt partition coefficients used in Simulation B (TheDUDE)

Element	Kd(fluid-melt)	Reference	Kd(phase-melt)	Phase*	Reference
<b>Boron</b>	<b>3</b>	<b>preferred value</b>	<b>0.05</b>	<b>bulk</b>	<b>preferred value</b>
	0.3 - 5.2	Fiedrich et al. 2020b	0.01 - 0.08	bulk	range
	2 - 16	Zajacz et al. 2008	no data	Qtz	
	0.1 - 7.1	Webster et al. 1989	0.06 – 0.27	Plag	Bindeman and Davis 2000
	1.0 - 2.3	London et al. 1988	0.06 – 0.90	Plag	Bindeman et al. 1998
	1.2	Hervig et al. 2002	no data	San	
	0.5 - 6.3	Schatz et al. 2004			
1.3 - 1.8	Thomas et al. 2003				
<b>Sulfur</b>	<b>20</b>	<b>preferred value</b>	<b>0.01</b>	<b>bulk</b>	<b>preferred value</b>
	1	Scaillet et al. 1998	no data	Qtz	
	47	Keppler 2010	no data	Plag	
	270	Scaillet and MacDonald 2006	no data	San	
18 - 108	Botcharnikov et al. 2004				
<b>Chlorine</b>	<b>10</b>	<b>preferred value</b>	<b>0.3</b>	<b>bulk</b>	<b>preferred value</b>
	9 - 12	Villemant and Boudon 1999	0.01 - 0.03	bulk	range
	0.4 - 9.3	Botcharnikov et al. 2004	no data	Qtz	
	3 - 36	Iveson et al. 2019	0.43 - 1.58	Plag	Bindeman et al. 1998
	0.8 - 87	Webster and Holloway 1990	0.001	Plag	Dalou et al. 2012
	7 - 240	Webster and Rebbert 1998	no data	San	
	16 - 115	Webster et al. 2009			
4 - 55	Métrich and Rutherford 1992				
<b>Fluorine</b>	<b>0.3</b>	<b>preferred value</b>	<b>0.8</b>	<b>bulk</b>	<b>preferred value</b>
	<1	Villemant and Boudon 1999	0.04 - 1.63	bulk	range
	0.4 - 0.5	London et al. 1988	no data	Qtz	
	0.1 - 0.4	Webster 1990	0.33 - 4.88	Plag	Bindeman et al. 1998
	0.2 - 1.1	Webster and Holloway 1990	0.09	Plag	Dalou et al. 2012
	0.04 - 3.8	Borodulin et al. 2009	no data	San	
0.3 - 0.9	Xiaolin et al. 1998				
<b>Lithium</b>	<b>2</b>	<b>preferred value</b>	<b>0.3</b>	<b>bulk</b>	<b>preferred value</b>
	1.0 - 2.6	Fiedrich et al. 2020b	0.15 - 0.51	bulk	range
	2 - 41	Zajacz et al. 2008	0.31 - 0.58	Qtz	Neukampf et al. 2019
	0.05 - 1.2	Iveson et al. 2019	0.11 - 0.67	Plag	Neukampf et al. 2019
	0.3 - 0.4	London et al. 1988	0.72	Plag	Bea et al. 1994
		0.03 - 0.28	San	Neukampf et al. 2019	

\* bulk refers to an approximate eutectic assemblage of 1/3 quartz (Qtz), 1/3 plagioclase (Plag), and 1/3 sanidine (San). Where no data is available, we take KD(phase-melt) to be close to zero. Note that most crystal-melt partitioning data is based on basaltic system, data for rhyolitic systems is only available for Li.

Figure 1

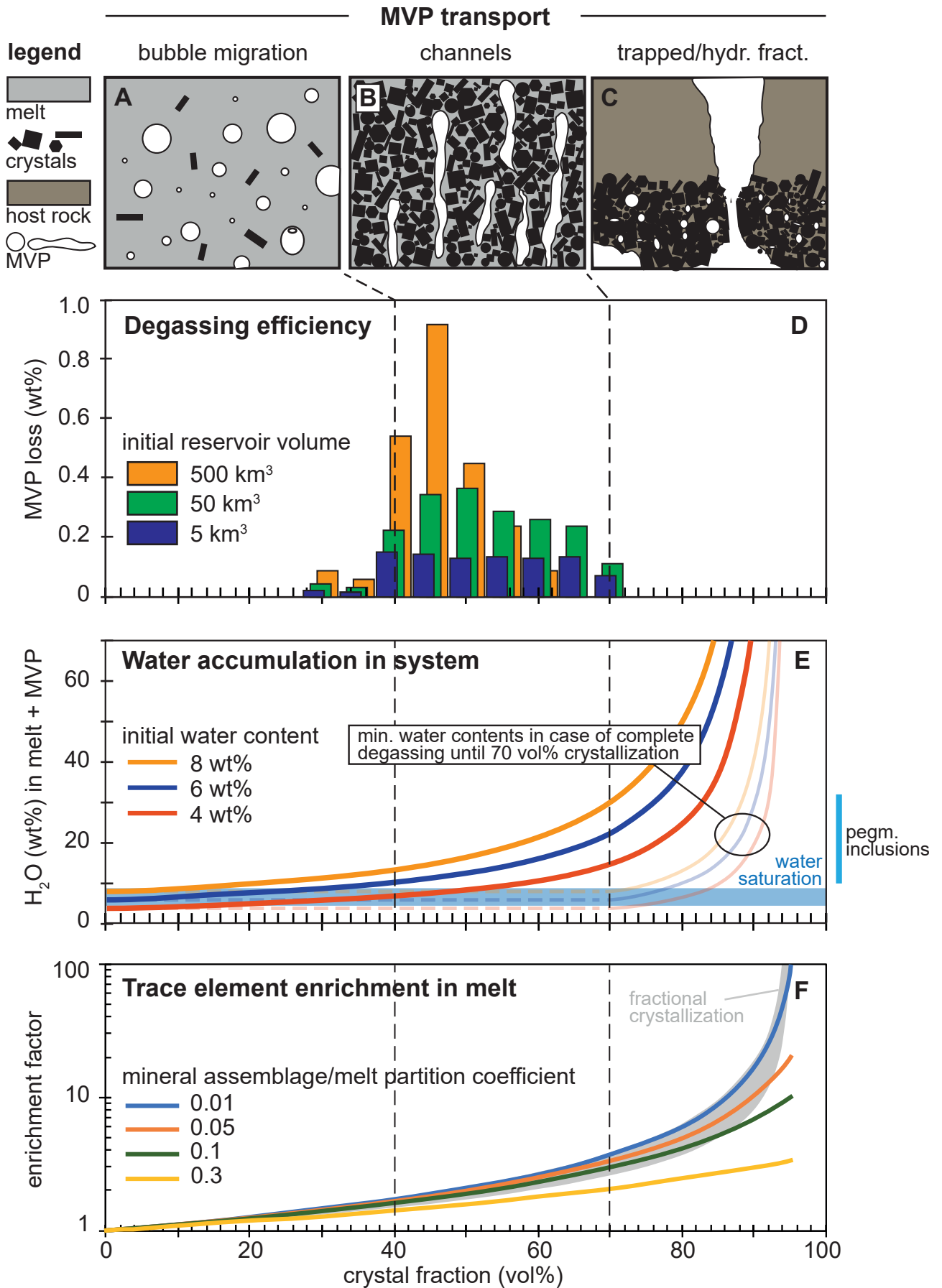




Figure 1

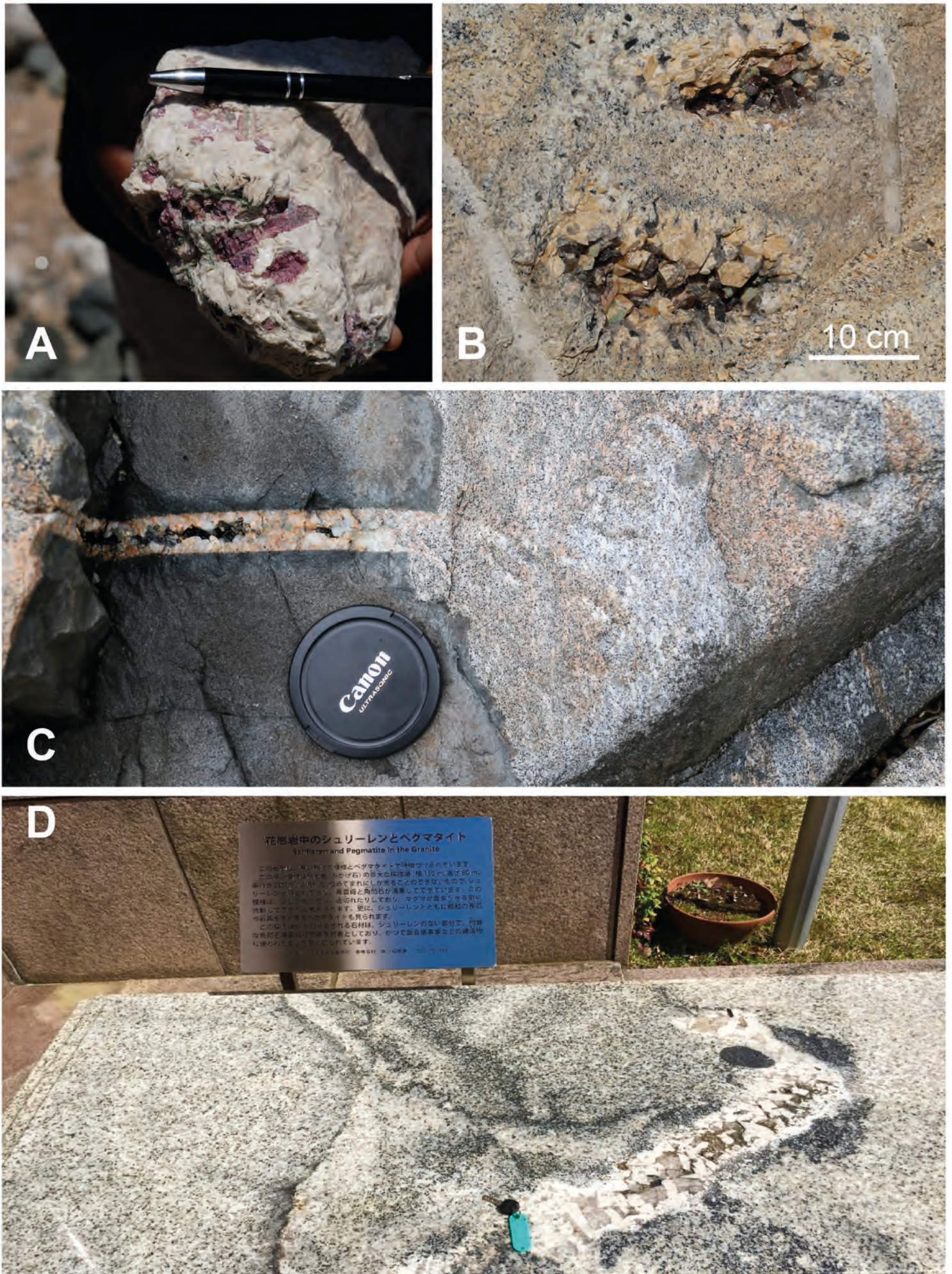
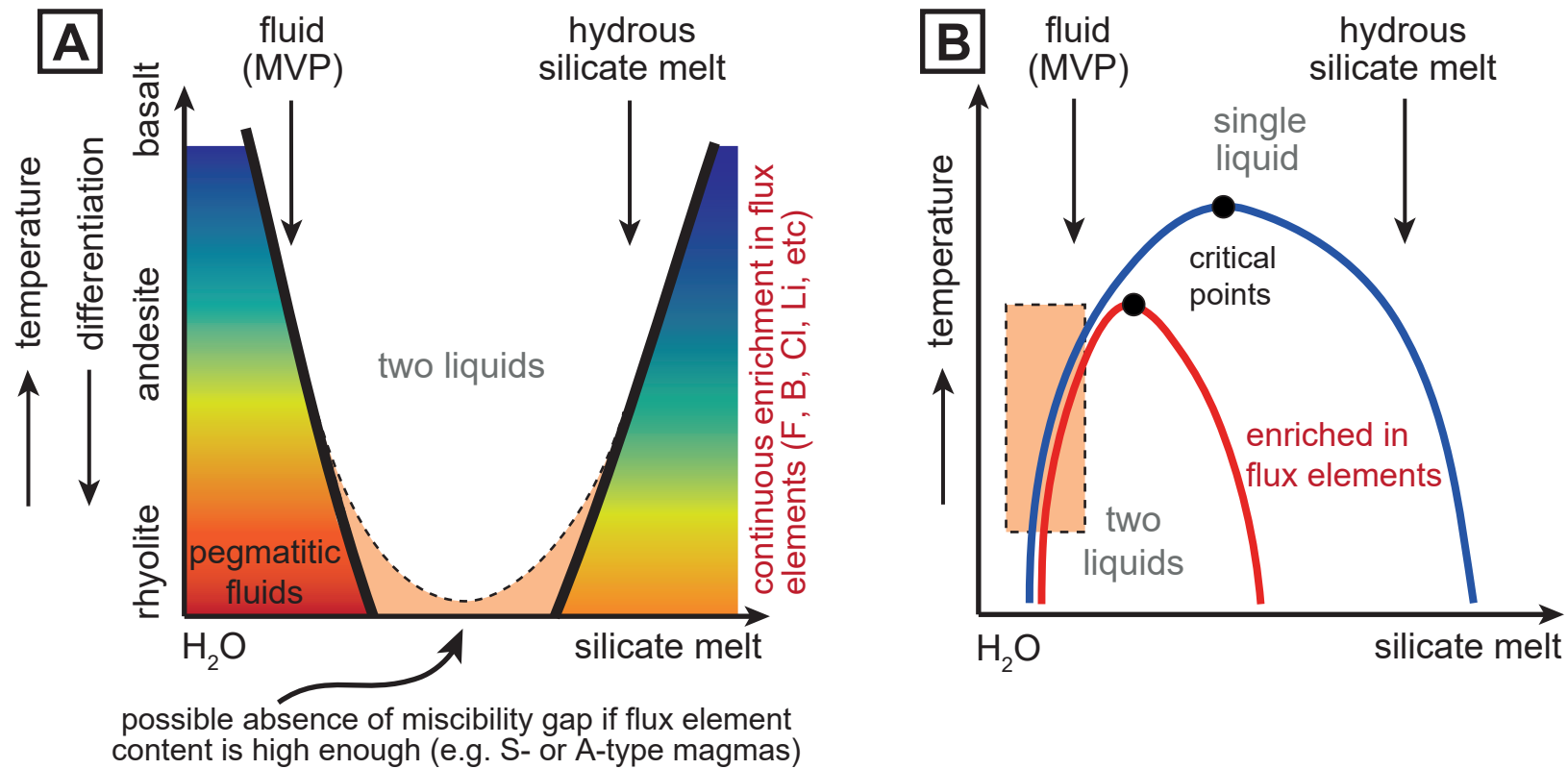




Figure 3



# Figure 4

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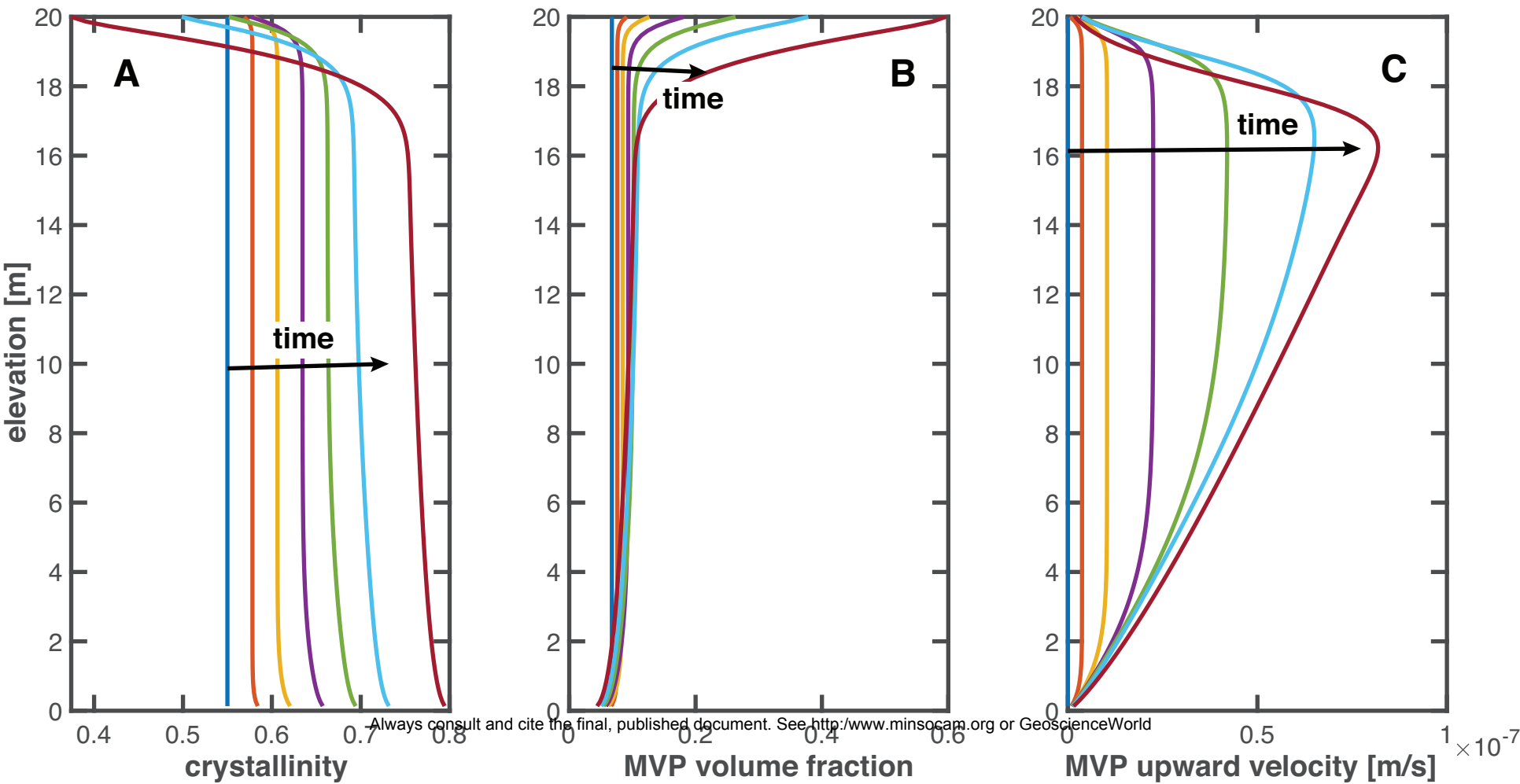


Figure 5

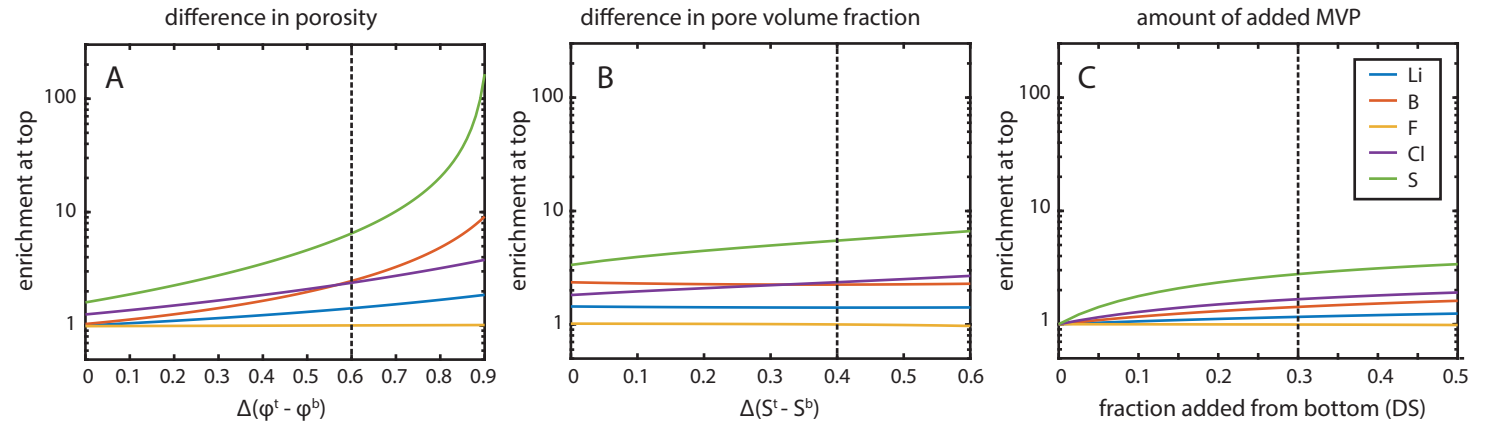


Figure 6

