

1 **Revision 3**

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3 **Stability, Composition, and Crystal Structure of Fe-bearing Phase E in the**
4 **Transition Zone**

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17 **ABSTRACT**

18 Fe-bearing phase E coexisting with ringwoodite and wadsleyite has been synthesized
19 at near-geotherm temperatures in hydrous KLB-1 peridotite compositions held at 18 and
20 19 GPa, and 1400 °C for 27 hours. The long heating duration time of syntheses implies

21 that phase E can be a stable component of the mantle under hydrous conditions.
22 Single-crystal X-ray diffraction analyses show that the M1 octahedral site is 72.1-75.2 at%
23 occupied, whereas the M2 and tetrahedral Si sites are 2.4-2.9 at% and 18.9-19.8 at%
24 occupied respectively. The M1 site occupancies show a positive correlation with Fe/Mg
25 molar ratios, indicating that Fe mainly occupies the M1 site in the phase E structure.
26 High-pressure Raman spectroscopy shows that the framework Raman frequencies of
27 Fe-bearing phase E increase continuously with increasing pressures up to 19 GPa at room
28 temperature and there is no indication for a major change in the crystal structure. If
29 transition-zone regions adjacent to subducting slabs are hydrated by fluids generated at
30 the top of the lower mantle, Fe-bearing phase E is expected to occur at
31 wadsleyite-ringwoodite phase transition boundary (about 520 km) as an important phase
32 for incorporating water.

33 **Keywords** : phase E, transition zone, X-ray diffraction, high-pressure Raman
34 spectroscopy

35 INTRODUCTION

36 Phase E is one of the dense hydrous magnesium silicates (DHMS) that may play a
37 significant role in the global hydrogen cycle as water carriers in subducting slabs (e.g.,
38 Ohtani et al. 2004). Phase E was discovered by high-pressure phase relation studies in the
39 system $\text{Mg}_2\text{SiO}_4 + 20 \text{ wt\% H}_2\text{O}$ (Kanzaki 1991). Previous studies demonstrated that

40 phase E can contain up to 18 wt.% H₂O and can occur at depth of 350 km to about 500
41 km within subduction-zone environments (e.g., Frost 1999; Shieh et al. 2000). In addition,
42 a possible natural phase E was observed in olivine from kimberlitic nodules as
43 nanometer-sized inclusions (Khisina and Wirth 1997).

44 As a consequence of unit-cell-scale disorder, phase E has broad compositional and
45 density variations (Kanzaki et al. 1992; Kudoh et al. 1993). In the MgO-SiO₂-H₂O system,
46 phase E is stable along subduction geotherms through the base of the upper mantle and
47 the top of the transition zone (e.g., Iwamori, 2004). Frost and Fei (1998) showed that
48 phase E can coexist with super-hydrous phase B at 17.5 GPa and 1100 °C. In the
49 CaO-MgO-Al₂O₃-SiO₂-H₂O pyrolite system, phase E was observed at 12 GPa and 1050
50 °C coexisting with hydrous wadsleyite (Litasov and Ohtani 2003). Kawamoto (2004)
51 reported the stabilities of hydrous phases in water bearing (13.6 wt.% H₂O)
52 CaO-MgO-FeO-Al₂O₃-TiO₂-SiO₂ system, showing that Fe-bearing phase E can coexist
53 with wadsleyite, stishovite and garnet at 17 GPa and 1000 °C. Kawamoto et al. (1995)
54 determined the stability field of phase E in H₂O-saturated KLB-1 peridotite
55 (CaO-MgO-FeO-Al₂O₃-TiO₂-SiO₂-H₂O system) at 6 to 15 GPa, indicating that
56 Fe-bearing phase E can coexist with wadsleyite, garnet, and enstatite at mantle geotherm
57 (15.5 GPa and 1400 °C).

58 Phase E has a unique cation-disordered crystal structure (Figure 1), which has been

59 solved and refined in space group $R\bar{3}m$ (e.g., Kudoh et al. 1993). The structure contains
60 layers stacked in a rhombohedral arrangement with brucite-type units. The layers are
61 cross linked by Si in tetrahedral coordination, Mg in octahedral coordination, as well as
62 hydrogen bonds (e.g., Kanzaki et al. 1992; Kudoh et al. 1993; Tomioka et al. 2016).
63 Single-crystal X-ray diffraction analyses indicated that the interlayer octahedra (M2 site)
64 and tetrahedra (Si site) are only about 1-2 and 19-20 at% occupied respectively. To avoid
65 sharing faces with interlayer tetrahedra, there are also vacancies (up to 34 at%) within the
66 intralayer octahedra (M1 site) (Kudoh et al. 1993; Uchiyama et al. 2011). High-pressure
67 Raman spectroscopy of pure-Mg phase E indicated that all framework modes shift
68 continuously with increasing pressures to 19 GPa and there is no indication for a phase
69 transition or amorphization of the structure (Kleppe et al. 2001). For high-pressure
70 infrared spectroscopy, according to Shieh et al (2009), no structural phase transition or
71 amorphization was found for pure-Mg phase E to pressures up to 40 GPa.

72 To better understand the stability, composition, and crystal structure of Fe-bearing
73 phase E, high-pressure sample syntheses, electron microprobe analyses, single-crystal
74 X-ray diffraction analyses, and high-pressure Raman spectroscopy were conducted in this
75 study.

76 **EXPERIMENTAL METHODS**

77 Phase E and coexisting phases were synthesized in a 1200 tonne Sumitomo
78 multi-anvil press at Bayerisches Geoinstitut, University of Bayreuth, Germany at 18 GPa

79 (run SS1603) and at 19 GPa (run SS1601), at 1400 °C with 27-hour heating, using 10/5
80 assemblies which can be employed to generate sample pressures up to 21 GPa. Pressure
81 calibration of 10/5 assembly was based on phase transitions in Bi, ZnS and GaAs at room
82 temperature and coesite-stishovite, α - β Mg₂SiO₄, and β - γ Mg₂SiO₄ equilibria at high
83 temperature (1200-1600 °C). The uncertainties in pressure calibrations were estimated to
84 be less than 0.5 GPa (Rubie 1999). We used a KLB-1 peridotite composition as starting
85 material which was mixed from oxides of SiO₂ (44.84 wt.%), MgO (27.66 wt.%), FeO
86 (8.20 wt.%), Al₂O₃ (3.51 wt.%) and CaO (3.07 wt.%), plus 3.50 wt.% water (H₂O) as
87 Mg(OH)₂ (11.80 wt.%). KLB-1 composition is considered to be similar to pyrolite
88 suggested by Ringwood (1975) (e.g., Takahashi 1986). The compositions of the run
89 products (Figure 2) were analyzed with the electron microprobe (JEOL 8900) with a
90 15-kV accelerating voltage, 5 nA beam current, 5 μ m probe diameter, using natural or
91 synthetic standards.

92 Raman spectra and fluorescence background (Figures 3, 4, and 5) were collected with
93 a Renishaw inVia reflex laser Raman spectrometer (with a spectral resolution of 1 cm⁻¹
94 and a focal length of 250 mm), using a liquid N₂ cooled CCD detector. The spectra were
95 excited by a 532 nm diode-pumped solid-state laser (2400 gr/mm grating was used)
96 focused down to a spot of ~ 1 μ m in diameter on sample. All bands were collected from
97 randomly oriented specimens using two accumulations with 10 s exposure time. 50 \times and
98 20 \times microscope objectives were employed at ambient conditions and at conditions of

99 high-pressure, respectively.

100 For high-pressure Raman spectroscopy, one optically transparent, inclusion-free
101 phase E crystal with flat surfaces measuring $\sim 100 \times 50 \times 50 \mu\text{m}$ in size was selected and
102 mounted in a symmetric-type diamond-anvil cell. Two ruby spheres were loaded next to
103 the phase E crystal for pressure calibration (e.g., Mao et al. 1986), using culets of ~ 0.4
104 mm in diameter. A rhenium (Re) gasket was pre-indented to a thickness of $\sim 60 \mu\text{m}$ with a
105 ~ 0.2 mm diameter hole as the sample chamber. Fluid argon (Ar) was loaded as a
106 pressure-transmitting medium at 300 MPa according to the loading technique reported by
107 Rivers et al. (2008). Although the hydrostatic limit of argon is about 10 GPa, the
108 estimated pressure gradients are lower than 0.2 GPa at pressures up to 19 GPa (Klotz et al.
109 2009). Unpolarized Raman spectra were collected to 19 GPa in the range of 300 cm^{-1} to
110 1200 cm^{-1} .

111 Two phase E samples (samples 1 and 2) from the synthesis runs were chosen and
112 mounted on glass fibers and centered on a four-circle diffractometer for single-crystal
113 X-ray diffraction analysis. Intensity data were collected with a Bruker APEX II CCD
114 detector on a Siemens/MAC-Science 18 kW rotating Mo-anode X-ray generator at the
115 University of Colorado, Boulder, using 50 kV voltage, 250 mA current and calibrated
116 radiation ($\lambda = 0.71073 \text{ \AA}$). Crystal structures were refined from the intensity data sets
117 using SHELXL-2018 in the package WINGX (e.g., Sheldrick 2018). Only Mg was
118 modeled in the octahedral sites. CIF is available as a deposit item. Precession images for

119 axial crystallographic directions (0kl, h0l, and hk0) are shown in Appendix Figure 1.

120 **RESULTS**

121 According to the phase compositions shown in (Table 1), in run SS1601, phase E
122 coexists with ringwoodite, garnet, and minor amounts of phase δ -H at about 19 GPa and
123 1400 °C. In run SS1603, phase E coexists with wadsleyite, garnet, clinopyroxene, and
124 minor amounts of phase δ -H at about 18 GPa and 1400 °C. The compositional differences
125 of the products between two synthesis runs are mainly due to wadsleyite-ringwoodite
126 phase transition (e.g., Ringwood and Major 1966) and pyroxene-garnet transformation
127 (e.g., Ringwood 1967). The electron microprobe analyses show that phase E contains
128 4.5-6.2 wt.% FeO and about 1.4 wt.% Al₂O₃ (Table 1). If deficits in weight are ascribed
129 to H₂O, the formula units of phase E samples (SS1601 and SS1603) are estimated to be
130 Mg_{2.08}Fe_{0.17}Al_{0.06}Si_{1.23}O₆H_{2.42} and Mg_{2.14}Fe_{0.12}Al_{0.05}Si_{1.20}O₆H_{2.50}, respectively.

131 As shown in Figures 3, at ambient conditions, the fluorescence bands of Fe-bearing
132 phase E are consistent with those of pure-Mg phase E (Kleppe et al. 2001). As shown in
133 Figure 4a, for two Fe-bearing phase E samples (from run products of SS1601 and SS1603,
134 respectively) in this study, the Raman spectra show two broad bands at about 690 cm⁻¹
135 and 900 cm⁻¹. For pure-Mg phase E reported by Kleppe et al. (2001), two bands at similar
136 positions (at about 690 cm⁻¹ and 950 cm⁻¹) can also be observed (Figure 4a). Liu et al.
137 (1997) reported two sharp Raman bands of pure-Mg phase E at ambient conditions at 817
138 cm⁻¹ and 850 cm⁻¹. However, their sample may have been mixed with an impurity phase

139 (e.g., Kleppe et al. 2001). In the OH-stretching region (3000 - 3800 cm^{-1}), two broad
140 bands at about 3450 cm^{-1} and 3580 cm^{-1} are observed, indicating hydroxyl groups in the
141 crystal structure (Figure 4a). In the range from 1200 cm^{-1} to 3000 cm^{-1} , a weak band
142 occurs at 2500 - 2650 cm^{-1} in the Raman spectra of both pure-Mg phase E (Kleppe et al.
143 2001) and Fe-bearing phase E (this study). This band also indicates the presence of
144 hydrogen bonded hydroxyl groups (Kleppe et al. 2001). The relative intensities of all
145 Raman bands between 100 cm^{-1} and 3800 cm^{-1} change with varying orientation of the
146 phase E crystal relative to the incident laser beam. As a result, the weak band in the
147 region between 1200 cm^{-1} and 3000 cm^{-1} can-not be observed in some Raman spectra of
148 phase E (Figure 4a). The Raman spectrum of the wadsleyite which coexists with phase E
149 shows two board bands at about 3376 cm^{-1} and 3580 cm^{-1} , indicating that the wadsleyite
150 is also hydrous. For the ringwoodite which coexists with phase E, Raman bands
151 correspond to stretching vibrations of hydroxyl can-not be observed (Figure 4b).
152 According to the high-pressure Raman spectroscopy in this study, the framework Raman
153 frequencies of Fe-bearing phase E increase continuously with increasing pressures over
154 the investigated pressure range (Figure 5).

155 As previously observed in pure-Mg phase E (Kudoh et al. 1993), the Fe-bearing
156 phase E in this study is also significantly disordered. According to single-crystal X-ray
157 diffraction analyses (Table 2), the M1 site in octahedral coordination is about 72.1-75.2 at%
158 occupied, whereas the M2 site is about 2.4-2.9 at% occupied. As shown in Table 2 the

159 bond distances of M1-O are 2.0764(17) Å and 2.0702(12) Å for sample 1 and sample 2,
160 respectively. The bond distances of M2-O are 2.0690(17) Å and 2.0609(12) Å for sample
161 1 and sample 2, respectively. Compared to M1-O, M2-O distances are shorter. The
162 four-coordinated Si forms a distorted tetrahedron with O. This tetrahedron has one typical
163 Si-O distance of 1.666(3)-1.670(6) Å and three long distance of 1.824(1)-1.832(2) Å. The
164 Si site occupancies were estimated to be 18.9-19.8 at% for two phase E samples (Table
165 2).

166 DISCUSSION

167 Previously reported pure-Mg phase E is only stable at subduction zone geotherms
168 (e.g., Frost and Fei 1998). For Fe-bearing phase E, Kawamoto et al. (1995) demonstrated
169 that it can also be stable at mantle geotherm (15.5 GPa and 1400 °C). The results of
170 sample syntheses in this study indicate that Fe-bearing phase E can occur at higher
171 pressures and near-geotherm temperature (18-19 GPa and 1400 °C). The long heating
172 duration time (27 h) implies that it is a stable component of KLB-1 + 3.5 wt.% H₂O. For
173 the coexisting phases in the run products (Figure 2), wadsleyite, ringwoodite,
174 clinopyroxene, and garnet are all constituent mineral phases in the transition zone (e.g.,
175 Ringwood 1958; Ringwood 1967; Ringwood and Major 1967). In addition, the minor
176 coexisting phase δ-H is also expected to be stable in the transition zone and the lower
177 mantle at geotherm temperatures under hydrous conditions (e.g., Duan et al. 2018; Ohira
178 et al. 2014; Ohtani 2015).

179 Previous studies showed that water stored in hydrous ringwoodite and super-hydrous
180 phase B can dehydrate at the top of the lower mantle in stagnant subducted slabs (e.g.,
181 Litasov and Ohtani 2007; Ohtani et al. 2003). As a result, the overlying transition-zone
182 regions can be saturated by the ascending fluids generated in the dehydration processes
183 (e.g., Litasov and Ohtani 2007; Ohtani et al. 2003). Therefore, Fe-bearing phase E is
184 expected to occur near the wadsleyite-ringwoodite boundary (about 520 km) in these
185 hydrated regions.

186 At ambient conditions, the stretching vibration of the SiO_4 tetrahedra may result in
187 the occurrence of the modes between 690 cm^{-1} and 960 cm^{-1} for Raman spectra of phase
188 E and other silicates (Kleppe et al. 2001). Therefore, in this study, we attribute the bands
189 at about 690 cm^{-1} and 900 cm^{-1} (Figure 4a) to the SiO_4 tetrahedra in the crystal structure
190 of Fe-bearing phase E (SS1601 and SS1603). The broadness of these bands is likely due
191 to the cation disorder. The site disorder results in local structural distortions leading to
192 distributions of Si-O, Mg-O, and O-O bond lengths and bond strengths that are in turn
193 reflected in the broader Raman bands (Kleppe et al. 2001).

194 For Fe-bearing phase E, high-pressure Raman spectroscopy in this study shows that
195 all bands observed from 300 cm^{-1} to 1200 cm^{-1} shift continuously with pressures over the
196 investigated pressure range (up to 19 GPa) without significant broadening and without
197 decreasing intensities, indicating that Fe-bearing phase E does not appear to undergo any
198 structural transitions at room temperature up to at least 19 GPa (Figure 5). This

199 observation is consistent with the Raman spectroscopy results for pure-Mg phase E to 19
200 GPa (Kleppe et al. 2001).

201 Single-crystal X-ray diffraction analyses in this study also indicate a
202 cation-disordered phase E structure. As shown in Table 2, the M2 and Si sites in the
203 Fe-bearing phase E are significantly vacant. For these two sites, the estimated site
204 occupancies (2.4-2.9 at% and 18.9-19.8 at%) are in accordance with those in pure-Mg
205 phase E (Kudoh et al. 1993). However, the M1 site has relatively higher occupancies
206 (72.1-75.2 at%) than that in pure-Mg phase E (66.4-68.7 at%; Kudoh et al. 1993). The
207 positive correlation between M1 site occupancies and Fe/Mg molar ratios (Figure 6)
208 indicates that Fe mainly occupies the M1 site in the phase E structure. In order to avoid
209 sharing faces with tetrahedra in the Si site, the associated octahedra in the M1 site must
210 be vacant (Kudoh et al. 1993). Therefore, the shortened Si-O distances of the distorted Si
211 tetrahedra (Table 2) are due to the relaxation of the basal oxygen atom positions caused
212 by the absence of Mg.

213 **IMPLICATIONS**

214 First, Fe-bearing phase E can occur in the mantle transition zone at near-geotherm
215 temperature (18-19 GPa and 1400 °C) under hydrous conditions. The long heating
216 duration time (27 h) of sample syntheses implies that it can be a stable component in the
217 system KLB-1 plus 3.5 wt.% H₂O. Therefore, Fe-bearing phase E is expected to occur
218 near the wadsleyite-ringwoodite boundary (about 520 km) in the hydrated regions.

219 Second, the M1 site occupancies measured by X-ray diffraction show a positive
220 correlation with Fe/Mg molar ratios, indicating that Fe mainly occupies the M1 site in the
221 phase E structure. The high-pressure Raman spectroscopy indicates that Fe-bearing phase
222 E does not appear to undergo any structural transitions at room temperature up to at least
223 19 GPa.

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329

330 **FIGURE CAPTIONS**

331 **Figure 1.** Polyhedral representation of phase E (modified after Tomioka et al. 2016).

332

333 **Figure 2.** Backscattered electron (BSE) images of coexisting phases. (a) run SS1601
334 contains ringwoodite, phase E, garnet, and phase δ -H. (b) run SS1603 contains
335 wadsleyite, phase E, garnet, clinoenstatite, and phase δ -H. Abbreviations: Wd =
336 wadsleyite, Rw = ringwoodite, Cen = clinoenstatite, PhE =phase E, Gt =garnet, Ph δ -H =
337 phase δ -H.

338

339 **Figure 3.** Raman and fluorescence bands (labeled in cm^{-1} and nm respectively) of phase
340 E. **(a)** at ambient conditions excited at 532 nm. Raman bands were confirmed by
341 excitation at 532 nm. **(b)** at ambient conditions excited at 514.5 nm. Raman bands were
342 confirmed by excitation at 488 nm (Kleppe et al. 2001).

343

344 **Figure 4.** Representative Raman spectra at ambient conditions. **(a)** two Fe-bearing phase
345 E samples (from run products of SS1601 and SS1603, respectively) in this study and
346 pure-Mg phase E reported by Kleppe et al. (2001). The relative intensities of the bands
347 vary with changing orientation of the phase E crystals (SS1601 and SS1603) relative to
348 the incident beam, and this does not indicate different modes. **(b)** wadsleyite (from run
349 products of SS1603) and ringwoodite (from run products of SS1601) in this study.

350

351 **Figure 5. (a)** Representative Raman spectra of phase E under compression to 19 GPa. **(b)**
352 The frequency shifts of framework Raman modes as a function of pressure for phase E.
353 Errors in measurement of both frequency and pressure are within the size of the symbols.

354

355 **Figure 6.** The correlation between site occupancies and Fe/Mg molar ratios. Some error
356 bars are within the size of the symbols.

357

358 **Appendix Figure 1.** Precession images for axial crystallographic directions (0kl, h0l, and
359 hk0) of two phase E samples.

360

Table 1. Representative chemical compositions of minerals

Oxide (wt%)	SS1601 PhE	SS1601 Rw	SS1601 Gt	SS1601 Ph δ -H	SS1603 PhE	SS1603 Wd	SS1603 Cpx	SS1603 Gt	SS1603 Ph δ -H
SiO ₂	37.93	39.11	40.67	6.32	37.56	43.35	57.92	39.69	4.66
MgO	43.07	37.90	11.80	3.67	44.76	50.04	39.80	24.50	3.08
FeO	6.18	21.39	15.31	2.60	4.47	3.23	1.24	16.82	5.65
Al ₂ O ₃	1.48	0.02	22.74	68.71	1.41	0.03	0.10	0.71	68.66
CaO	0.08	0.00	9.27	0.30	0.06	0.05	0.12	16.51	0.02
TiO ₂	0.04	0.00	0.15	0.58	0.04	0.10	0.00	0.20	0.55
Total	88.78	98.42	99.94	82.18	88.30	96.80	99.18	98.43	82.62

Abbreviations: PhE = Phase E, Rw = ringwoodite, Gt = garnet, Ph δ -H = Phase δ -H, Wd = wadsleyite, and Cpx = clinopyroxene.

Table 2. Positional parameters and selected bond distances for the two samples of phase E

	Sample 1	Sample 2
M1		
Occupancy	0.752(10)	0.721(7)
<i>z</i>	0.000	0.000
<M1-O>	2.0764(17)	2.0702(12)
M2		
Occupancy	0.024	0.029
<i>z</i>	0.500	0.500
<M2-O>	2.0690(17)	2.0609(12)
Si		
Occupancy	0.189(6)	0.198(4)
<i>z</i>	0.1292(4)	0.1293(2)
<Si-O>	1.832(2)	1.8238(13)
<Si-O>	1.670(6)	1.666(3)

According to the electron microprobe analyses, the formula units of sample 1 and sample 2 were estimated to be $\text{Mg}_{2.08}\text{Fe}_{0.17}\text{Al}_{0.06}\text{Si}_{1.23}\text{O}_6\text{H}_{2.43}$ and $\text{Mg}_{2.15}\text{Fe}_{0.10}\text{Al}_{0.03}\text{Si}_{1.26}\text{O}_6\text{H}_{2.39}$, respectively. All atoms in atomic positions 0, 0, *z* in the average structure based on a hexagonal unit cell with space group *R-3m*. Unit-cell parameters are $a = 2.9787(5)$, $c = 13.883(3)$ and $a = 2.9650(13)$, $c = 13.870(4)$ for two samples, respectively. Si site has one short Si-O bond and three long Si-O bonds.

Figure 1

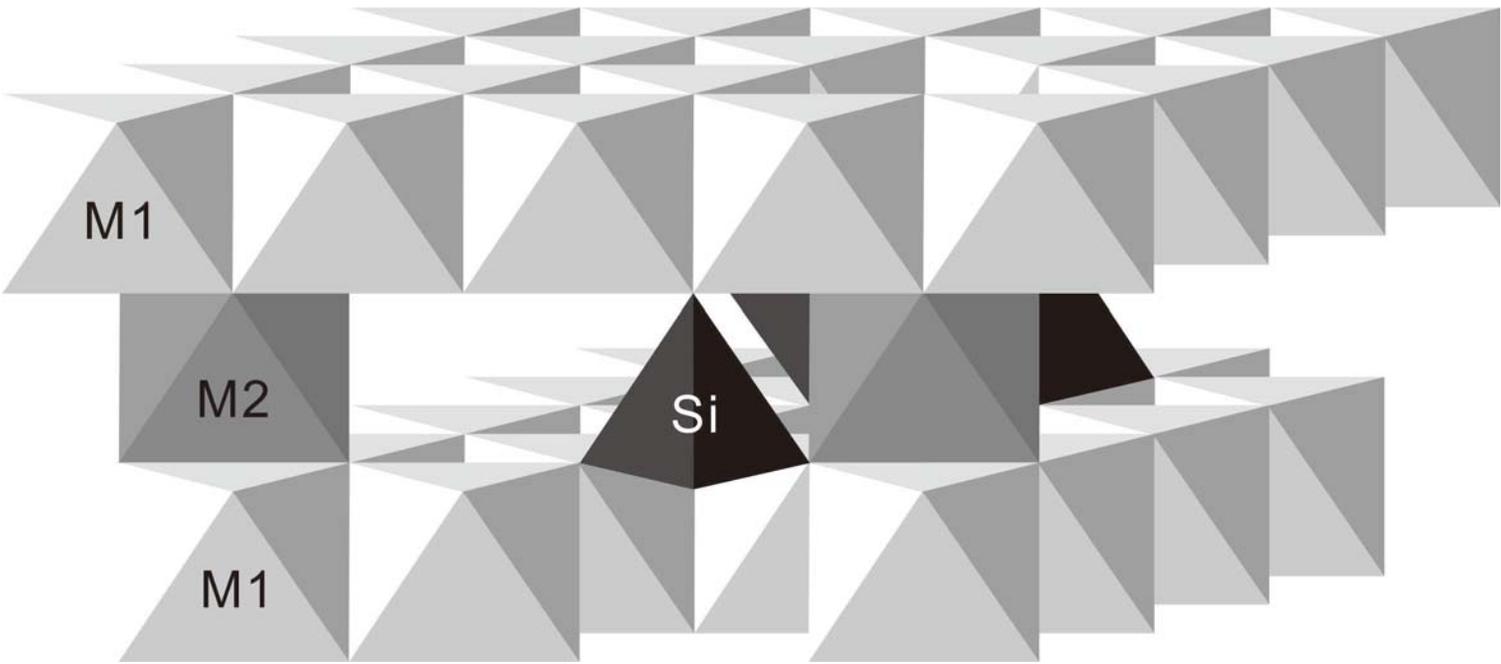


Figure 2

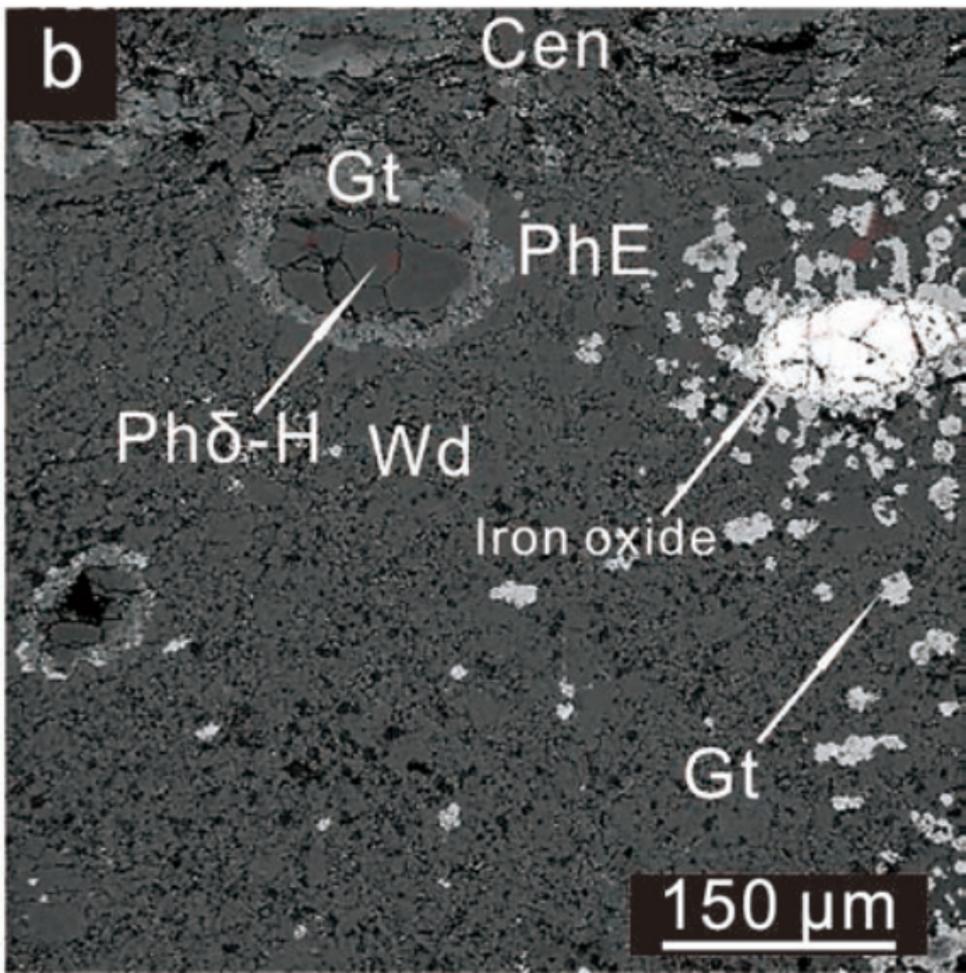
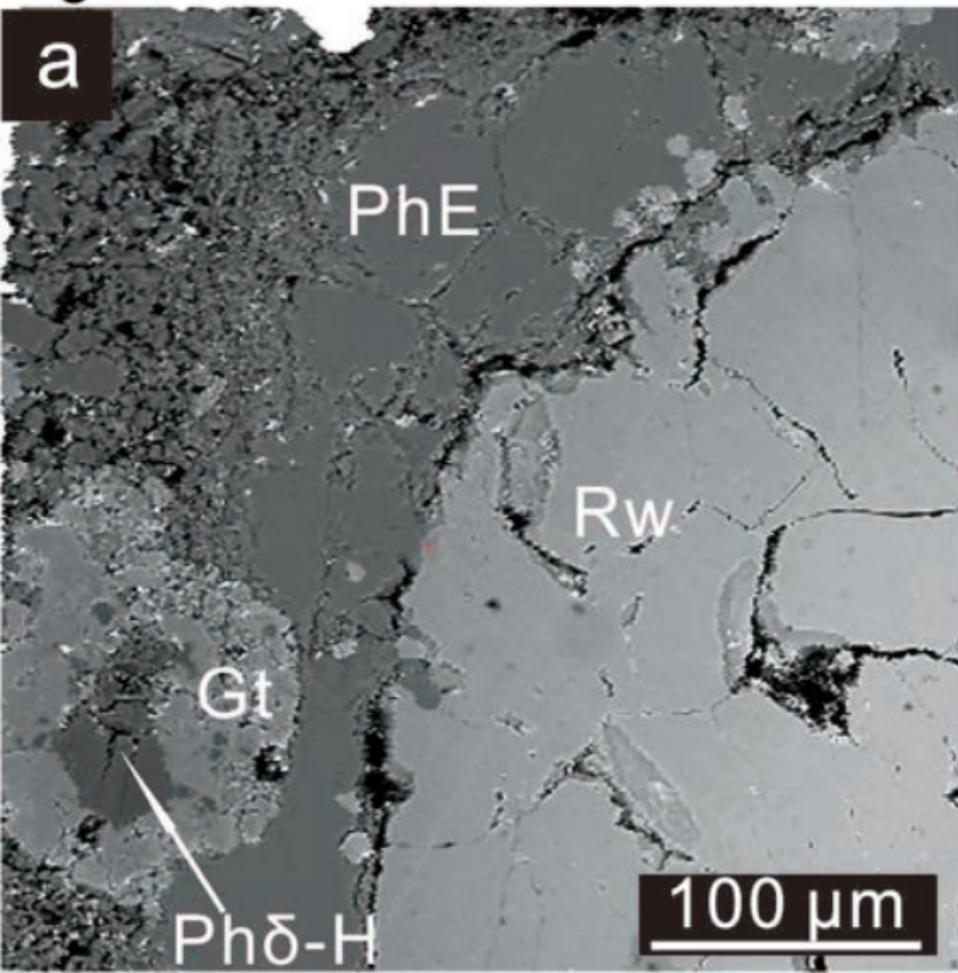
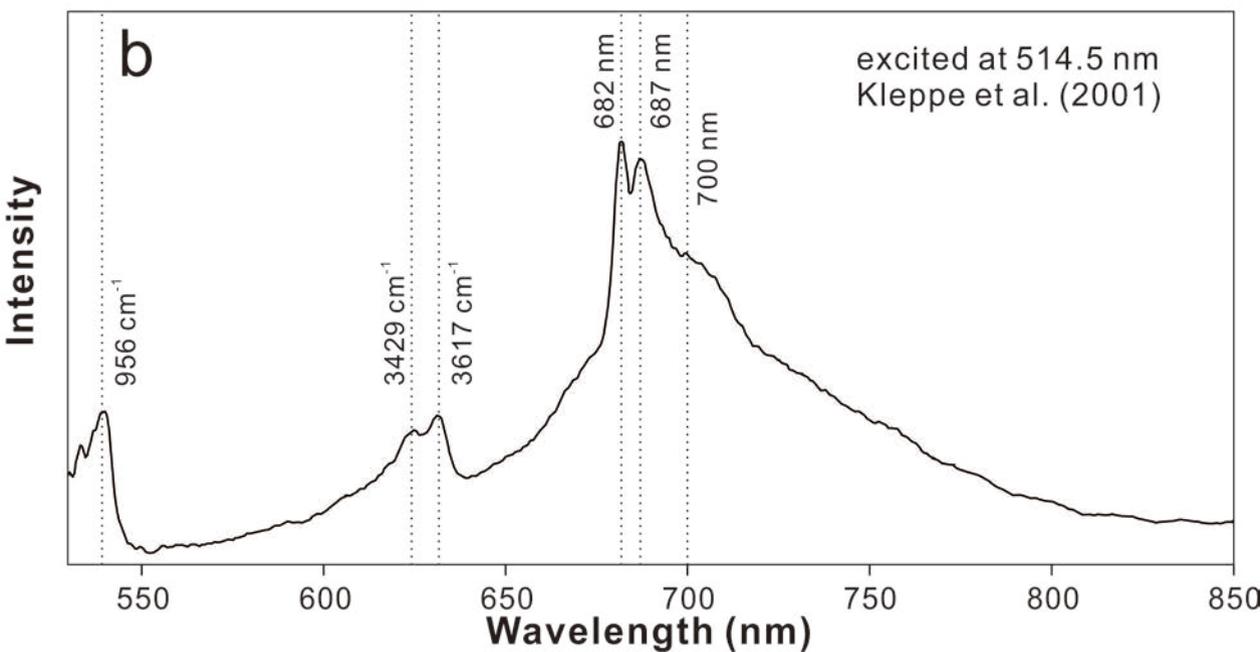
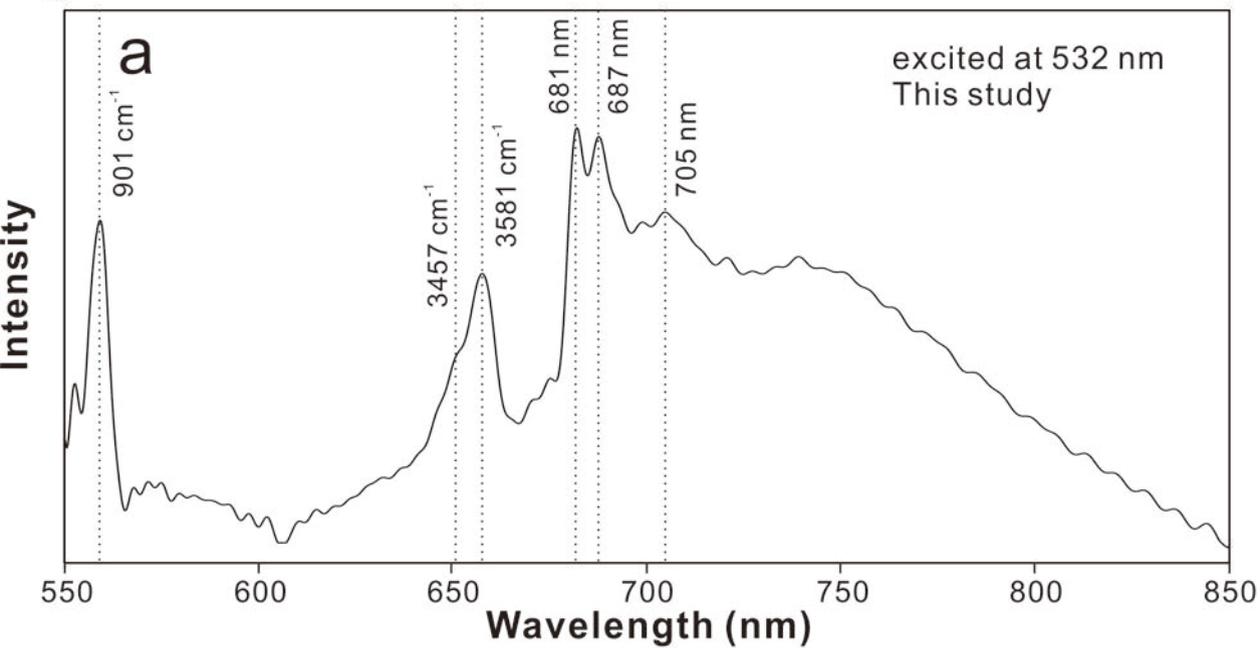


Figure 3



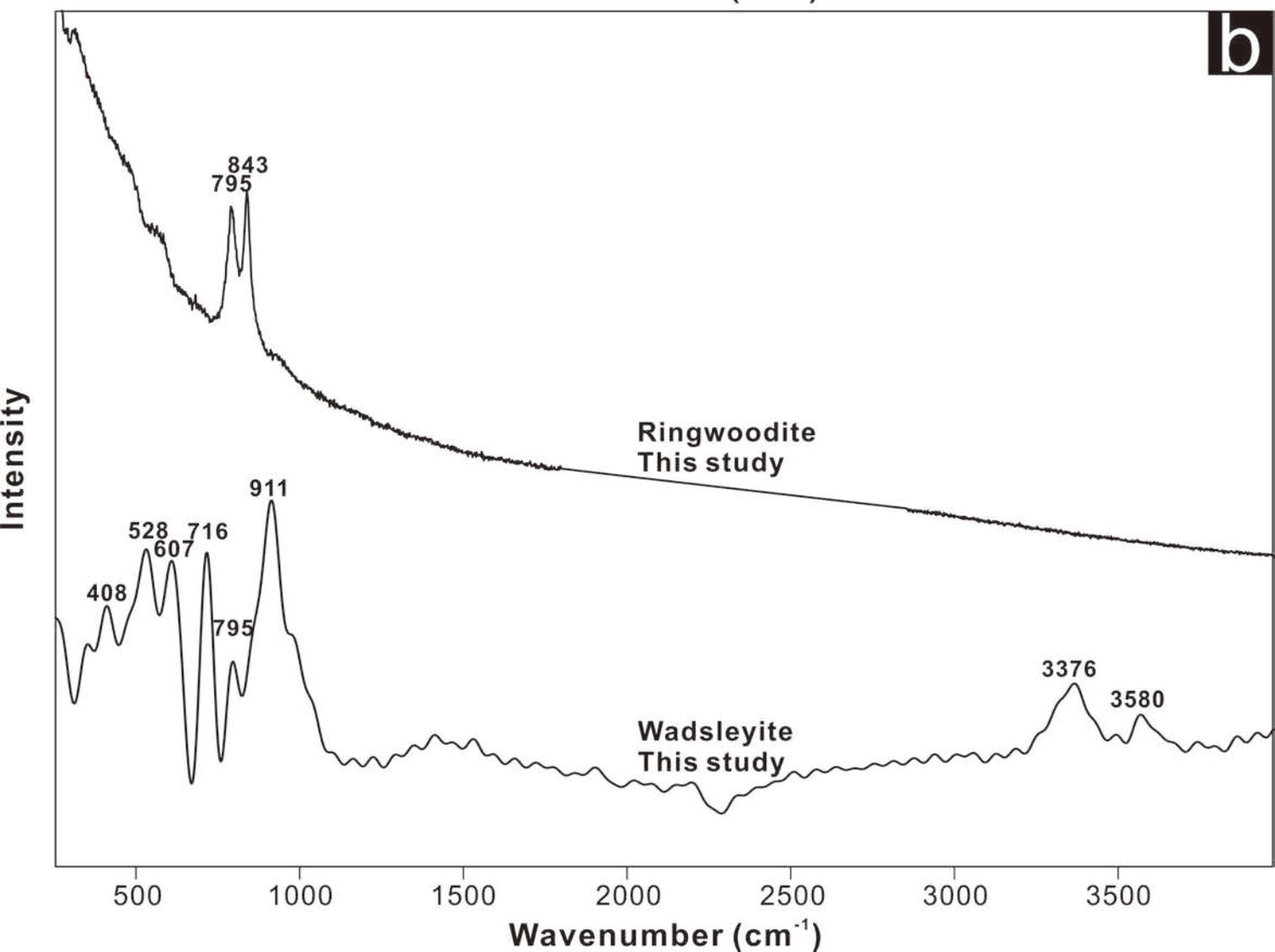
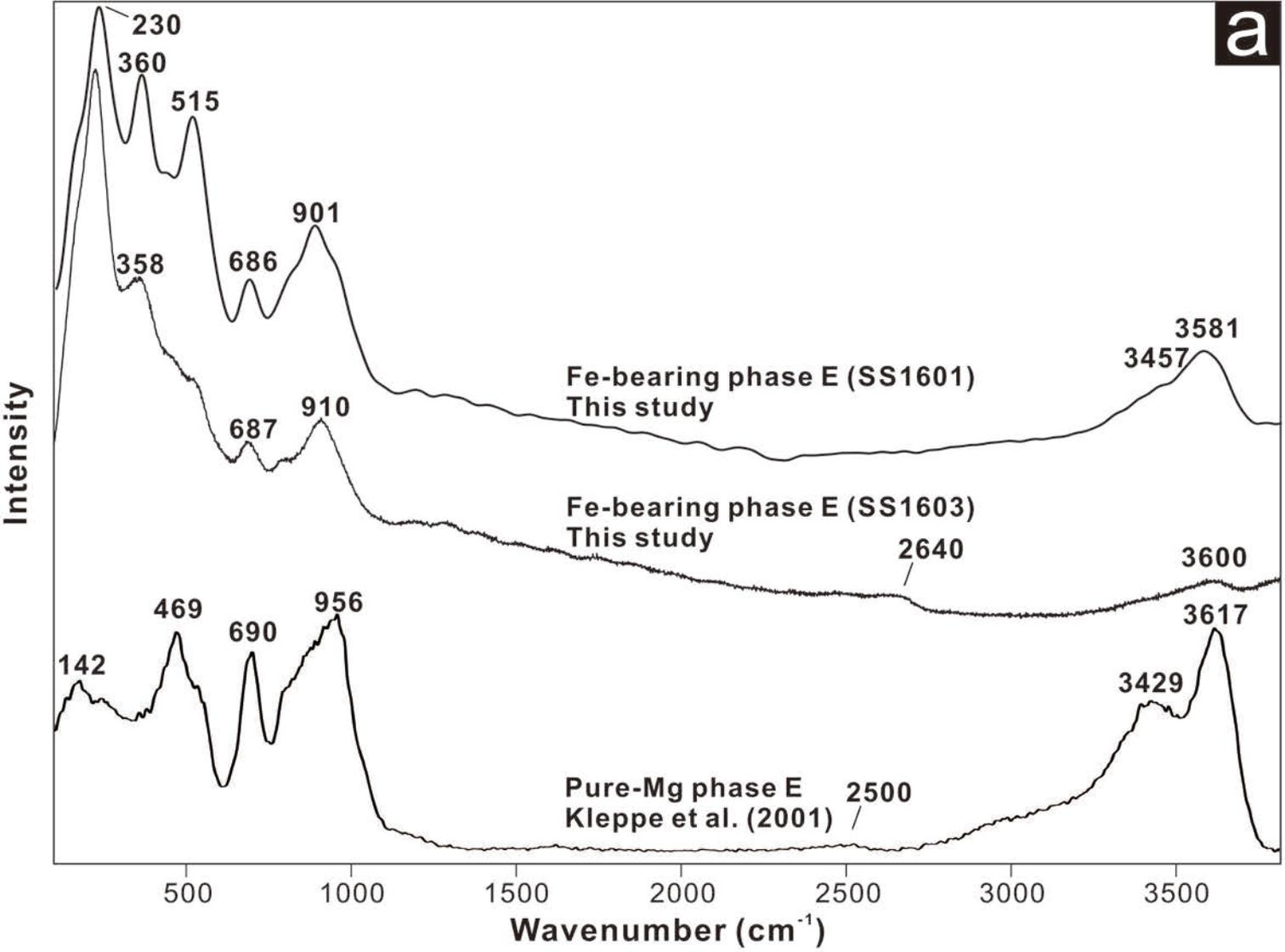


Figure 5

