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2 **Cation ordering, valence states and symmetry breaking in the crystal-**
3 **chemically complex mineral chevkinite-(Ce), Part I: X-ray diffraction and**
4 **photoelectron spectroscopy studies, and mechanisms of Nb enrichment**

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ABSTRACT

21 The crystal structures of natural Nb-rich chevkinite-(Ce) from the Biraya rare-metal deposit,
22 Russia, crystallising in space groups $C2/m$ and $P2_1/a$, were solved and refined to $R_1 = 0.03$
23 and $R_1 = 0.07$, respectively, from data collected with a single-crystal diffractometer. X-ray
24 photoelectron spectroscopy was used to determine the oxidation states of the following ions:
25 Ce^{3+} , Fe^{2+} and Fe^{3+} ; Ti^{4+} and Ti^{3+} . Typically, Nb-rich chevkinite-(Ce) has space group
26 $C2/m$, but specimens with space group $P2_1/a$ were also identified. While XPS shows that both

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27 $C2/m$ and $P2_1/a$ crystal structures contain Ti^{4+} and Ti^{3+} , it also indicates that Ti^{2+} may occur in
28 the $P2_1/a$ phases. The general formula for the Nb-rich chevkinite-(Ce) having space group

29 $C2/m$ is:

30 $(Ce^{3+}, La^{3+}, Nd^{3+}, Pr^{3+}, Ca^{2+}, Na^+)_{\Sigma 4} Fe^{2+} (Fe^{3+}, Nb^{5+}, Al^{3+})_{\Sigma 2} (Ti^{4+}, Ti^{3+}, Nb^{5+}, Mg^{2+})_{\Sigma 2} (Si_2O_7)_2 O_8$, and

31 with $P2_1/a$,

32 $(Ce^{3+}, La^{3+}, Nd^{3+}, Pr^{3+}, Ca^{2+}, Na^+)_{\Sigma 4} (Fe^{2+}, Mg^{2+}, Ti^{2+})_{\Sigma 1} (Fe^{3+}, Nb^{5+}, Al^{3+})_{\Sigma 2} (Ti^{4+}, Ti^{3+}, Nb^{5+})_{\Sigma 2} (Si_2O_7)$

33 $_2 O_8$.

34 It is proposed that, in addition to the substitution ${}^C Fe^{3+} + {}^D Ti^{4+} \leftrightarrow {}^C Fe^{2+} + {}^D Nb^{5+}$, niobium can also

35 be incorporated into chevkinite-(Ce) by the substitution $2{}^D Ti^{4+} \leftrightarrow {}^D Nb^{5+} + {}^D Ti^{3+}$, leading to

36 substantial Nb-enrichment. The study has revealed that the distribution of cations between the

37 various structural sites is considerably more complex than that envisaged in standard models of

38 the chevkinite group, and that cations such as Ti can have more than one valency in the structure.

39 Lighter elements with larger ionic radii (Mg^{2+}) tend to occupy the D site in the $C2/m$ crystal

40 structure, whereas in the $P2_1/a$ structure these elements (Mg^{2+} , Ti^{2+}) tend to enter the B site.

41 Niobium is distributed between the C and D sites. The techniques employed provide a

42 comprehensive representation of the distribution of cations and can be used to examine similar

43 complexity in other mineral groups. The present study has shown that Nb can be fractionated also

44 from residual melts.

45

46 **Keywords:** Chevkinite-(Ce), niobium, crystal structure, EPMA, X-ray photoelectron

47 spectroscopy, chevkinite-group minerals, the Biraya deposit, Russia

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INTRODUCTION

50 Chevkinite-group minerals (CGM), comprising twelve species, are accessory phases in a wide
51 range of igneous and metamorphic rocks (Macdonald and Belkin 2002; Vlach and Gualda
52 2007; Carlier and Lorand 2008; Belkin et al. 2009; Macdonald et al. 2009, 2012). They
53 are challenging to characterize structurally and compositionally, as they display extensive
54 compositional zonation and considerable metamictisation (due to Th⁴⁺), the latter
55 phenomenon usually involving hydration. In only a few CGM with relatively simple
56 compositions and largely unzoned crystals has it been possible to assign cations to particular
57 sites with some certainty (Popov et al. 2001; Sokolova et al. 2004; Holtstam et al. 2017).

58 Zoning and metamictisation can lead to considerable difficulties in reconciling
59 compositions derived by electron microprobe analysis (EPMA) with those obtained by
60 refinement of site occupancies from single-crystal XRD. This significant problem was
61 discussed in detail by Sokolova et al. (2004) in their study of radiation-damaged chevkinite-
62 (Ce). These authors also showed that thermal annealing of partially metamict chevkinite-(Ce)
63 in air at 1100 °C for 3 h resulted in a considerable improvement in the refined site-scattering
64 values (*ssv* = electrons per site) that allowed a more meaningful assignment of site
65 occupancies.

66 In this paper we report the structural characterization of natural Nb-rich chevkinite-(Ce)
67 having space groups *C2/m* and *P2₁/a* from the same hand specimen, with a view to
68 understanding the origin of the *C* → *P* structural transition. Both crystals studied are
69 compositionally zoned and partially metamict. Given the reported difficulty in correlating
70 electron microprobe analyses with site-scattering values for such crystals (Sokolova et al.
71 2004), which was also observed in our study, our main aim was to identify the possible
72 coupled substitution mechanisms responsible for the substantial Nb enrichment of these
73 samples of chevkinite-(Ce). We have identified a new substitution mechanism for Nb

74 incorporation that involves Ti^{3+} . This new mechanism can lead to substantial Nb enrichment
75 in chevkinite-(Ce).

76 The general formula of the CGM is (Popov et al. 2001; Sokolova et al. 2004; Chukanov et al.
77 2012; Holtstam et al. 2017): $A_4M_5(\text{Si}_2\text{O}_7)_2\text{O}_8$, where $A = \text{Ce, La, Sr}$; $M = \text{Fe}^{2+}, \text{Fe}^{3+}, \text{Ti, Mn,}$
78 $\text{Mg, Zr, Cr, W, } \square$ (where \square denotes cation vacancy). The general formula of CGM was also
79 referred to as $A_4BC_2D_2(\text{Si}_2\text{O}_7)_2\text{O}_8$ (Ito 1967). Based on structural features the chevkinite group
80 can be divided into two subgroups: chevkinite and perrierite.

81 The chevkinite structure is shown in Figure 1. Site connectivities are shown in Figure 2,
82 which relates the site notation to the chemical formula. Our unique site names $B, C, D(1)$ and
83 $D(2)$ correspond to $M(1), M(2), M(3)$ and $M(4)$, respectively, of Sokolova et al. (2004).

84 Chains of CO_6 edge-sharing octahedra share corners with the chains of DO_6 edge-sharing
85 octahedra, forming a sheet of octahedra oriented parallel to (001). BO_6 octahedra link with
86 (Si_2O_7) groups to form a heteropolyhedral sheet parallel to (001). The two types of sheet
87 alternate along the Z -direction. Intersheet connectivity is provided *via* tetrahedra and CO_6
88 octahedra in a way that creates large cavities between the sheets, which are occupied by A -
89 cations arranged in a planar hexagonal array.

90 Niobium is commonly present in low concentrations in CGM ($\text{Nb}_2\text{O}_5 \leq 5$ wt%) and is
91 assigned to the C ($M(2)$) site (Popov et al. 2001; Sokolova et al. 2004) and to C and D ($M(2)$ -
92 $M(4)$) (Yang et al. 2002, 2007). Makarochkin et al. (1959) described as *niobochevkinite* a
93 mineral from the Ilmen Mineralogical Reserve, southern Urals, where it occurs in a fenitized
94 granitic pegmatite; the phase contains 7.4 wt.% Nb_2O_5 , corresponding to 0.71 Nb atoms per
95 formula unit (*apfu*). Popov et al. (2001) described a new mineral from the Ilmen Mountains,
96 southern Urals, Russia, which they termed polyakovite-(Ce)
97 $((\text{REE}, \text{Ca})_4(\text{Mg}, \text{Fe}^{2+})(\text{Cr}^{3+}, \text{Fe}^{3+})_2(\text{Ti}, \text{Nb})_2\text{Si}_4\text{O}_{22})$. The mineral contains 3.98 wt.% Nb_2O_5 and
98 Nb was allocated as Nb^{5+} to the C ($M(2)$) site, where the atomic $\text{Ti}^{4+}/\text{Nb}^{5+}$ ratio is 4.75:1. Here

99 we present the results of electron microprobe analyses and a structural determination of a
100 CGM from Biraya, Russia, which has an average Nb₂O₅ content of 10.19 wt.% and a Ti/Nb
101 atomic ratio is 1.1:1. This is the most Nb-rich CGM yet recorded. The Biraya material is used
102 to examine the following aspects of the CGM; (i) how the entry of Nb affects the structure of
103 the host mineral, (ii) to identify space groups, (iii) how the unique sites in the crystal structure
104 correspond to the chemical formula of Nb-rich chevkinite-(Ce), (iv) and whether or not any
105 cations are present in more than one valence state. Several techniques are employed, including
106 single crystal X-ray diffraction (XRD), EPMA and X-ray photoelectron spectroscopy (XPS).

107

108 *Coupled substitutions in chevkinite*

109 In order to facilitate the description of compositional data and site occupancies in the Nb-
110 rich chevkinite-(Ce) described here, we outline the coupled substitutions that are likely to be
111 relevant. Initially, we do not consider the possibility of structural vacancies at metal sites
112 (Holtstam et al. 2017), but focus on end-members that are likely to control Nb incorporation
113 into chevkinite. An important constraint is that for electroneutrality the total charge at the
114 metal sites *B*, *C* and *D* is 16+. We present evidence for a new substitution involving trivalent
115 Ti: $2 {}^D\text{Ti}^{4+} \rightarrow {}^D\text{Ti}^{3+} + {}^D\text{Nb}^{5+}$, implying a new end-member ${}^A\text{Ce}_4 {}^B\text{Fe}^{2+} {}^C\text{Fe}^{3+}_2 {}^D(\text{Nb}^{5+} \text{Ti}^{3+})$
116 Si_4O_{22} .

117 A composition approaching that of the Nb-rich chevkinite-(Ce) studied here can be derived
118 from an end-member ${}^A\text{Ce}_4 {}^B\text{Fe}^{2+} {}^C\text{Fe}^{3+}_2 {}^D\text{Ti}^{4+}_2 \text{Si}_4\text{O}_{22}$ by:

119 $+ 0.5 [2 {}^D\text{Ti}^{4+} \rightarrow {}^D\text{Ti}^{3+} + {}^D\text{Nb}^{5+}] + 0.5 [{}^C\text{Fe}^{3+} + {}^D\text{Ti}^{4+} \rightarrow {}^C\text{Fe}^{2+} + {}^D\text{Nb}^{5+}]$

120 $= {}^A\text{Ce}_4 {}^B\text{Fe}^{2+} {}^C(\text{Fe}^{3+} 1.5 \text{Fe}^{2+} 0.5) {}^D(\text{Nb}^{5+} \text{Ti}^{3+} 0.5 \text{Ti}^{4+} 0.5) \text{Si}_4\text{O}_{22}$.

121 While divalent cations are not usually assigned to the *C* site of chevkinite-(Ce), there
122 appear to be no crystal-chemical reasons precluding this possibility. The oxygen bond-valence
123 deficit arising from replacement of an M^{3+} by M^{2+} (around 0.17 *vu*) could, in principle, be

124 accommodated by shortening of the two *A*-O bonds, as well as the additional bond-valence
125 contributed by Nb⁵⁺ replacing Ti⁴⁺.

126

127 *Metal site vacancies*

128 Vacancies at metal sites in the crystal structure of chevkinite have been reported for the
129 *A*, *B*, *C* and *D* sites (Yang et al. 2002), and at *C* (*M*(2)) site only, varying from being minor
130 (Popov et al. 2001; Sokolova et al. 2004) to 50% vacancies at this site per formula unit in the
131 crystal structure of delhuyarite-(Ce) that is species-defining (Holtstam et al. 2017).

132 Consideration of the structure topology of chevkinite (Figs. 1 and 2) indicates that the most
133 plausible metal sites for vacancies are those not bonded to SiO₄ tetrahedra, namely *D*(1) and
134 *D*(2). The *B* site is coordinated to six SiO₄ tetrahedra, and the *C* site is coordinated to two
135 SiO₄ tetrahedra. Formation of a vacancy at the *B* site (replacing a divalent or trivalent cation)
136 or *C* sites results in significant under-bonding by ~ 0.3–0.5 valence units (*vu*) of oxygen
137 atoms shared with tetrahedra. This bond-valence deficit cannot be compensated by
138 protonation of these oxygens as it would result in significant *over*-bonding.

139 The replacement of Ti⁴⁺ by a vacancy at the *C* site results in a bond-valence deficit of ~0.7
140 *vu* for each oxygen atom of the octahedron. This deficit could be compensated by protonation
141 of the four oxygen atoms, i.e. Ti⁴⁺ + 4O²⁻ → vacancy + 4OH⁻. However, the remaining two
142 oxygen atoms, each bonded to a SiO₄ tetrahedron and two *A* cations, remain significantly
143 under-bonded. Such under-bonding is not, however, enough to allow protonation of these
144 oxygens. Furthermore, protonation of all six oxygens of the vacant CO₆ octahedron lacks a
145 charge-balanced substitution. Thus, it seems unlikely that the *C* site will host vacancies.

146 The *D*(1,2) sites are not bonded to tetrahedra, but are connected *via* corners to four CO₆
147 octahedra, and to two DO₆ octahedra with which they share two edges (Fig. 2a). Each oxygen
148 atom of a DO₆ octahedron is shared by two *D* sites, one *A*(1) site and one *A*(2) site (Fig. 1).

149 Hence, the formation of a *D*-site vacancy would result in a decrease of bond valence of
150 around 0.5-0.7 *vu* (if occupied by M^{3+} or Ti^{4+}) as the affected oxygen would then be three-
151 coordinated *via* one *D*-O and two *A*-O bonds. A protonation mechanism is conceivable if a
152 vacancy replaces Ti^{4+} , as the four oxygen atoms previously shared by two *D* sites would
153 become OH groups *via* $Ti^{4+} + 4O^{2-} \rightarrow \text{vacancy} + 4OH^-$. Minor over-bonding associated with
154 protonation could be alleviated by lengthening of *A*-O bonds. Thus, there may be plausible
155 options for vacancy formation at *D* sites.

156

157 **SAMPLE AND ANALYTICAL METHODS**

158 The Biraya rare-metal deposit is located in the north of the Irkutsk district, Transbaikalia,
159 Russia (57°52'51"N, 116°42'30"E) and comprises a series of lenticular carbonatite bodies and
160 associated fenites, 10 km long and 50-300 m wide. The deposit is thought to be of mid-
161 Palaeozoic age (Chernikov et al. 1994). Preliminary analyses by one of us (PMK) of a CGM
162 from Biraya revealed unusually high Nb_2O_5 contents (up to ~12 wt.%), raising the possibility
163 that this may be a new, Nb-rich member of the group. The sample analysed here (K12) comes
164 from a thin vein in fenites associated with a carbonatite dyke. The vein is zoned and has
165 melanocratic margins rich in tremolite and ferriallanite-(Ce), and a central zone enriched in
166 cordylite. The mineral assemblage includes cordylite-(Ce), cordylite-(La) (Mills et al. 2012),
167 ancylite-(Ce), bastnäs site-(Ce), hydroxylbastnäs site-(Ce), strontianite, daqingshanite-(Ce), Sr-
168 calcite, ferriallanite-(Ce), biraite-(Ce) (Konev et al. 2005), talc and törnebohmite-(Ce).

169 Mineral compositions were determined by EPMA using wavelength-dispersive
170 spectrometry at the Inter-Institute Analytical Complex at IGMiP Faculty of Geology,
171 University of Warsaw, using a Cameca SX-100 microprobe equipped with four wavelength
172 analysers. The accelerating voltage was 15 kV and the probe current was 40 nA. Counting
173 times were 20 s on peak and 10 s on each of two background positions. The standards and X-

174 ray lines used were: wollastonite for Ca ($K\alpha$), rutile for Ti ($K\alpha$), corundum for Al ($K\alpha$), albite
175 for Na ($K\alpha$), diopside for Si and Mg (both $K\alpha$), hematite for Fe ($K\alpha$), rhodonite for Mn ($K\alpha$),
176 barite for Ba ($L\alpha$), $SrTiO_3$ for Sr ($L\alpha$), synthetic ThO_2 for Th ($M\alpha$), apatite Jap2 for P ($K\alpha$),
177 zircon ED2 for Zr ($L\alpha$), vorlanite for U ($M\beta$), Nb metal for Nb ($L\alpha$), Ta metal for Ta ($M\alpha$),
178 LaB_6 for La ($L\alpha$), CeP_5O_{14} for Ce ($L\alpha$), PrP_5O_{14} for Pr ($L\beta$), NdP_5O_{14} for Nd ($L\beta$), SmP_5O_{14}
179 for Sm ($L\beta$), GdP_5O_{14} for Gd ($L\beta$), REE glasses for Dy ($L\beta$) and Yb ($L\alpha$), and $Y_3Al_5O_{12}$ for Y
180 ($L\alpha$). The ‘PAP’ $\phi(\rho Z)$ program (Pouchou and Pichoir 1991) was used for corrections.
181 Representative analyses are given in Table 1 and the full data set is in the Supplementary
182 Table 1.

183

184 **Textural and compositional features of sample K12**

185 The crystal shown in the BSE image on Figure 3a, 150 x 125 μm in area, was used in the
186 structural investigation. The compositional zonation is complex, and is shown
187 diagrammatically in Figure 3b. Our interpretation of the texture is that an originally
188 oscillatory-zoned material (Zone A in Figure 3b) was patchily rimmed by a darker component
189 B, which penetrated into the core of the crystal (Bp). Component B was itself partly rimmed
190 and replaced by a very patchy, microporous component (C). It is unclear whether or not the
191 homogeneous grey wedge (D) was part of the original oscillatory zoning or a later
192 replacement phase. On compositional grounds (below), it is here taken to be part of zone A. It
193 has, however, a sharp contact with the brightest component in the image of the crystal, E.

194 The major elements (>5 wt% as oxides) are La, Ce, Fe, Si, Nd, Nb and Ti. There is,
195 however, a wide range of elements present at the level of <1 wt% oxides, including Ca, Sr,
196 Ba, Th, U, Sm, Gd, Y, Mn, Mg, Zr and Al. There is considerable compositional variation
197 associated with textural variations. The average compositions of zones A to E are given in
198 Table 1. Variation in the oscillatory zoned area (A+D) is modest, e.g. 8.04-10.09 wt% Nb_2O_5 .

199 In that zone, the average analytical total is 98.03 wt%; however, a notable feature of the other
200 zones is the low oxide totals, down to 88.41 wt% in zone E. Such low totals are commonly
201 associated with hydrothermal alteration, consistent in this case with the textural evidence that
202 the low-total zones appear to be replacing the oscillatory zones. It is also clear from the
203 averages (Table 1), and from the full data set (Supplementary Table 1), that there is a clear
204 negative correlation between the oxide totals and the ThO₂ content. It is known that even
205 small amounts of Th (~0.5 wt%) can cause metamictization of chevkinite (Sokolova et al.
206 2004). With average ThO₂ contents up to 2.27 wt%, we infer that the crystal is partially
207 metamict and that the areas of higher Th content experienced greater structural damage,
208 permitting access of larger volumes of hydrothermal fluids.

209 Other compositional changes, registered by the decreasing oxide totals, include: (i) minor
210 decreases in Ca and Sr, followed by a sharp increase into zone E; (ii) minor linear increases in
211 La and Ce, and decreases in Nb and Ti; and (iii) a sharp reduction in Fe and Si contents in
212 zone E.

213 The compositional variations related to both types of zoning make the calculation of an
214 average composition of the crystal difficult. Here, it is taken to be the average of 54 point
215 analyses of the study sample. An empirical formula based on that average and calculated for
216 22 oxygen atoms is

217 $(\text{Ca}_{0.18}\text{Sr}_{0.13}\text{Na}_{0.16}\text{La}_{1.2}\text{Ce}_{2.02}\text{Pr}_{0.16}\text{Nd}_{0.42})(\text{Fe}_{1.91}\text{Mg}_{0.16}\text{Al}_{0.13}\text{Ti}_{1.22}\text{Nb}_{1.11})(\text{Si}_{1.99}\text{O}_7)_2\text{O}_8$.

218

219 **Experimental**

220 *X-ray diffraction*

221 Data collection was undertaken at 100 K on a Rigaku Oxford Diffraction KUMA
222 KM4CCD κ -axis X-ray diffractometer with graphite-monochromated MoK α radiation. A
223 single crystal (crystal 1, Figure 3) was positioned 50 mm from the CCD detector (Opal). 1901

224 frames were measured with a 1° framewidth and a frametime of 15 sec. Another crystal (no.
225 2) was measured at 100K on a Rigaku Oxford Diffraction SuperNova diffractometer equipped
226 with X-ray Mo source and Atlas detector. 810 frames were measured with a 1° interval and a
227 counting time of 6 sec. Both data sets were corrected for Lorentz and polarization effects. A
228 numerical absorption correction was applied in both cases. Data reduction and analysis were
229 carried out with the program CrysalisPro® (Rigaku-Oxford Diffraction). Twinning was found
230 for crystal 2 for which 79% of all reflections were indexed for the first component, 46% for
231 the second component and with 26% of reflections overlapped (8% with full overlap) and 1%
232 of reflections remained unindexed. 18% of reflections were almost fully separated, with an
233 overlap factor below 0.2. The threshold to reject overlapped reflections at the twin finalisation
234 process of CrysalisPro® was set to 80% for the preparation of HKLF 4 file. The HKLF 5 file
235 contains a complete set of *hkl*, merged from deconvoluted reflections of both twin domains.

236 The structures were solved by direct methods and refined using the SHELXL (Sheldrick
237 2008) within Olex2 (Dolomanov et al. 2009) and WinGX (Farrugia 2012) programs. For the
238 twinned crystal, the HKLF4 file was used for structure solution, followed by structure
239 refinement using the HKLF5 file. The refinements were based on F^2 for all reflections, except
240 those with negative intensities. Weighted R factors (wR) and all goodness-of-fit (S) values are
241 based on F^2 . Conventional R factors are based on F with F set to zero for negative F^2 . Neutral
242 atomic scattering factors were taken from Tables 4.2.6.8 and 6.1.1.4 of the International
243 Tables for Crystallography Vol. C (Wilson 1992). The ssv refined for $4i(1)$ and $4i(2)$ sites
244 were obtained using only the Ce scattering factor, due to the high Ce contents of these sites;
245 the ssv for the $2d$ site was based upon Fe and Ti scattering factors; the ssv for $2a$, $2b$, and $4e$
246 sites used Ti and Nb neutral scattering factors. When more than one element occupies the
247 same position in the asymmetric unit, constraints for equal atom coordinates and equal
248 anisotropic displacement parameters for these groups of atoms within each unique site were

249 applied. Twinned crystal 2 was refined against HKLF 5 file, containing reflections from both
250 components. Supplementary Table S2 contains selected crystallographic data and refinement
251 details. In order to obtain better geometry and ADPs, higher-resolution datasets were
252 collected to $61^\circ 2\theta$ and $66^\circ 2\theta$ for crystal 1 and crystal 2, respectively. The highest residual
253 electron density (2.7 for crystal 1 and $9.38 \text{ e}\text{\AA}^{-3}$ for crystal 2) are located *c.a.* 0.6-0.7 \AA from
254 the heavy REE atoms. These values can be reduced to 1.5 and $4.8 \text{ e}\text{\AA}^{-3}$ when the resolution is
255 decreased to 0.6 \AA^{-1} , (recommended by the International Union of Crystallography),
256 consistent with the cause of the high residual being associated with termination ripples in the
257 Fourier synthesis, rather than being due to incomplete modelling of a contribution from non-
258 bonded electrons or a split site.

259 *X-ray photoelectron spectroscopy*

260 X-ray photoelectron spectroscopy (XPS) analyses were carried out at the Faculty of
261 Process and Environmental Engineering, Lodz University of Technology, Poland, on a Kratos
262 AXIS Ultra spectrometer equipped with a monochromatic Al $K\alpha$ X-ray source of excitation
263 energy equal to 1486.6 eV. The spectra were obtained using an analysis area of $300 \times 700 \mu\text{m}$.
264 The power of the anode was set at 225 W and the hemispherical electron energy analyzer was
265 operated at a pass energy of 20 eV for all high resolution measurements. All spectra were
266 collected using a charge neutralizer and every energy region was swept ten times to increase
267 the signal-to-noise-ratio. Evaluation of the XPS data was conducted by using the CasaXPS
268 software. The adventitious carbon main peak (C(1s), 284.8 eV) was used for the final
269 calibration of each spectrum.

270 **RESULTS**

271 **Crystal structure refinement**

272 Crystal 1 has space group $C2/m$ and crystal 2 has space group $P2_1/a$. In the refinement
273 strategy used, the occupancies of the two *A* sites, one *B* ($M(1)$) site, one *C* ($M(2)$) site and two

274 *D* (*M*(3), *M*(4)) sites were refined. In the Wyckoff notation these sites have multiplicities of: *A*
275 *4i* and *4i* for *C2/m*, *4e* and *4e* for *P2₁/a*, *B 2d* for *C2/m*, *2b* for *P2₁/a*, *C 4e* for *C2/m*; *4e* for
276 *P2₁/a*, *D 2a* and *2b* for *C2/m*, *2a* and *2c* for *P2₁/a*. The *ssv* for crystal 1 (*C2/m*) refined to the
277 following values: *4i*(1) = 52.5 electrons (\equiv 0.90 Ce), *4i*(2) 50.2 (\equiv 0.87 Ce), *2d* = 25.9
278 electrons (\equiv 0.96Fe + 0.04Ti), *4e* = 24.4 electrons (\equiv 0.60Ti + 0.40Nb), *2a* = 20.8 electrons (\equiv
279 0.95Ti), *2b* = 24.5 electrons (\equiv 0.87Ti + 0.13Nb). The site scattering values, for crystal 2
280 (*P2₁/a*), were refined as follows: *4e*(1) = 52.2 electrons (\equiv 0.90Ce), *4e*(2) = 51.6 electrons
281 (\equiv 0.89Ce), *2b* = 24.6 electrons (\equiv 0.64Fe + 0.36Ti), *4e* = 22.7 electrons (\equiv 0.41Fe + 0.59Ti),
282 *2a* = 22.7 electrons (\equiv 0.96Ti + 0.04Nb), *2c* = 26.0 electrons (\equiv 0.78Ti+0.22Nb), with
283 multiplicity taken into account. Supplementary Table S3 presents the final atom coordinates.
284 Stachowicz et al. (in revision) reports the values of the *M*-O bond lengths, the polyhedral
285 volumes of the *MO_x* first coordination spheres with cations from the *A*, *B*, *C* and *D* sites and
286 refined *ssv* for crystal 1, 2 and seven other Nb-rich chevkinite-(Ce) crystals.

287

288 **Valence states (XPS)**

289 As Nb-rich chevkinite-(Ce) is very complex compositionally, we now explore the
290 possibility that one or more cations is present in different oxidation states. XPS was used to
291 identify the oxidation states of certain elements. The experiments were carried out to
292 determine how Nb-rich chevkinite-(Ce) maintains electroneutrality. A determination of the
293 oxidation state of Ce and plausible inferred charges of Ti and Fe have proved possible to
294 obtain.

295 XPS is a surface method in which monochromatic soft X-rays interact with atoms from the
296 surface of the sample by ejecting their core shell electrons. The measured kinetic energy of
297 the emitted electrons gives information about the binding energies of these electrons to the

298 nucleus (after correction towards the work function characteristic for each XPS instrument).
299 The energy of emitted electrons has a characteristic value for particular elements. Moreover, it
300 is also sensitive to oxidation state. A more detailed explanation of the principles of XPS can
301 be found in Moulder et al. (1992) and Heide (2011). An order-of-magnitude smaller sample
302 can be studied compared with Mössbauer spectroscopy.

303 A signal of so-called “adventitious carbon”, used for the calibration of spectra, is almost
304 always a part of the XPS spectra, even if the carbon did not originate in the sample itself. The
305 C(1s) band (maximum at 284.8 eV) is often used for the calibration of narrow scan positions
306 before quantitative analysis. When carbon is absent from a sample, this signal comes from
307 traces of organic molecules on the sample surface. Data were collected from two different
308 areas of the sample surface. For both, the carbon peak maxima were located at the same
309 energy, 284 eV, which was caused by the charge neutralizer. For that reason, all the spectra
310 were shifted by about +0.8 eV before quantitative analysis.

311 The Ce(3d) spectra (Figure 4) are of good quality with a high signal-to-noise ratio. They
312 are identical for both analysed areas. The shape of all peaks is characteristic of trivalent Ce
313 and their peak positions are in perfect agreement with literature presenting trivalent Ce oxide
314 spectra (Praline et al. 1980; Paparazzo et al. 1991; Mullins et al. 1998; Bêche et al. 2008). The
315 Ti spectra (Figure 5) are also of reasonable quality and can easily be deconvoluted to obtain
316 quantitative information. The spectra show that Ti in Nb-rich chevkinite-(Ce) is in all three
317 oxidation states: +3, +4 and +2, and that the peaks overlap one another. These results may
318 also be compared to studies by Biesinger et al. (2010), where a compilation of XPS data from
319 the literature, such as the positions of particular peaks and the splitting between spin-orbit
320 doublets, has been presented. That analysis was next complemented by an experimental
321 verification for some reference samples. Such an approach gave a good opportunity for
322 comparison in the case of a quantitative analysis of new materials.

323 Computation of the overlapping peak areas, from which the ratios of valency are obtained,
324 needs some constraints on the fitting procedure to retain physical meaning for the analysed
325 spectra. The constraint applied was that the ratio of areas for the spin-orbit split doublets
326 ($Ti(2p)_{3/2}:Ti(2p)_{1/2}$) was to be equal to 2:1 for each oxidation state of Ti. An additional
327 constraint for the $Ti^{4+}(2p)_{1/2}-Ti^{4+}(2p)_{3/2}$ doublet splitting to be equal to 5.5 eV in the
328 spectrum from the first area was necessary. The last constraint was applied to $Ti^{2+}_{3/2}$ FWHM
329 being equal to 0.8 of $Ti^{3+}_{3/2}$ FWHM in the spectrum from the second area of the sample. This
330 value was taken from the first spectrum, where $Ti^{3+}_{3/2}$ peak is dominant and no constraints on
331 the full width at half maxima were applied during the fitting process. The spectra from each
332 binding energy region show different ratios of Ti valency. It is not known exactly what causes
333 this discrepancy. Sputtering by an argon gun, which causes a reduction of some cations,
334 including Ti and Fe (Choudhury et al. 1989; Hassel and Burggraaf 1991; McCafferty and
335 Wightman 1999; Hashimoto and Tanaka 2002), was not carried out in this experiment. The
336 influence of sputtering on Nb-rich chevkinite-(Ce) was tested after the essential
337 measurements. We have not found in the literature any information on surface effects causing
338 a shift of the collected peaks during multiple acquisition, which in the case of Ti could explain
339 this phenomenon.

340 The applicability of XPS to the investigation of the valency of cations was tested on a
341 number of CGM samples (perrierite-(Ce) from Roseland, Virginia; chevkinite -(Ce) from the
342 Cherry Mts, Southern Urals vein 35; Ilmen Mts, chevkinite-(Ce) from the Ilmen Mts,
343 Southern Urals; and chevkinite-(Ce) from a pegmatite, Diamer District, Pakistan). The charge
344 balance of chemical formulae for all specimens was well established by the combination of
345 EPMA and X-ray diffraction analyses. Spectra for tetravalent Ti were obtained for all these
346 samples. We associate the presence of the lower valency of titanium in the Nb-rich
347 chevkinite-(Ce) to a high concentration of niobium.

348 The Fe(2p)_{3/2} envelope containing a high-spin Fe cation was fitted using peaks
349 corresponding to the Gupta and Sen (GS) multiplets formalism (Gupta and Sen 1974, 1975).
350 The multiplets model the splitting of the core p-vacancy levels in iron (in the Hartree-Fock
351 free-ion approximation). GS multiplets are widely used in the quantitative analysis of Fe
352 valency. A review of the positions and widths of Fe peak components and a comparison with
353 the results obtained from reference samples were performed by Grosvenor et al. (2004). The
354 values of the signal to noise ratio for Fe(2p) _{3/2} spectra (Figure 6) collected for Nb-rich
355 chevkinite-(Ce) are rather low. Nevertheless, the shape of the experimental spectra can be
356 reproduced very well by the convolution of peaks proposed by Grosvenor et al. (2004). The
357 XPS instrument, AlK α X-ray source and passing energy (20 eV) were identical to those used
358 in the reference experiments of Grosvenor et al. (2004). For that reason the FWHM values,
359 and the distances between peaks belonging to each valency of Fe, were constrained within the
360 limits of deviation, as in the reference article. Such an approach can give only an
361 approximation to the ratios of the oxidation states of Fe in the sample. Here Fe³⁺:Fe²⁺ was
362 observed to be 1.85:1.

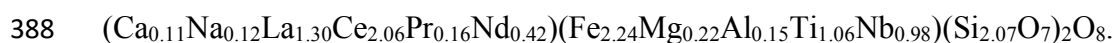
363

364 *Assignment of cations to crystallographic sites*

365 An exact allocation of ionic compositions (taken from EPMA) to particular unique sites in
366 the crystal structure is a complex matter for several reasons. The previously given empirical
367 formula for Nb-rich chevkinite-(Ce),
368 (Ca_{0.18}Sr_{0.13}Na_{0.16}La_{1.2}Ce_{2.02}Pr_{0.16}Nd_{0.42})(Fe_{1.91}Mg_{0.16}Al_{0.13}Ti_{1.22}Nb_{1.11})(Si_{1.99}O₇)₂O₈, was
369 obtained as the average of all 54 EPMA analyses. However, this formula is not representative
370 of the crystalline areas of the sample. Due to a significant concentration of thorium,
371 metamictization of some areas occurred, followed by hydrothermal alteration. Empirical
372 evidence for this can be found in the low totals in the EPMA analyses which include analyses

373 of metamict areas (area E in Figure 3b and column E of Table 1), not representative of the
374 crystalline areas. Further evidence was found in the much stronger diffraction from crystal 1
375 after annealing at 750 °C in a vacuum environment (Stachowicz et al., in revision), compared
376 with the untreated crystal. Hence, it appears that recrystallization took place during the
377 annealing process. Moreover, a transformation from $C2/m$ to $P2_1/a$ crystal symmetry was
378 observed. Due to partial metamictization of the crystal the EPMA composition does not
379 correspond exactly to the refined site scattering values of positions occupied by cations in the
380 crystal lattice. Finally, the EPMA analysis was performed on only one section of crystal 1 (*ca.*
381 in the middle of the height), and revealed complex and highly variable zoning. Nonetheless, a
382 discussion and an attempt to assign the major elements (exceeding 0.1 *apfu*) to particular sites
383 are now given.

384 The averages of the compositions of most crystalline parts of the crystal from area A+D
385 and C in Figure 3, being closest to the primary chevkinite, served as a guideline for the
386 assignment of composition in the Nb-rich chevkinite-(Ce) crystal structure. An approximate
387 formula based on EPMA (column A+D and C of Table 1) can then be written as:



389 In order to determine which atoms occupy particular *A*, *B*, *C* and *D* sites, we related the
390 values of the ionic radii of Shannon (1976) to the volumes of the first coordination polyhedra
391 of the sites, calculated from the X-ray crystal structures using the algorithm of Robinson et al.
392 (1971). The volumes of octahedra were calculated using *Platon* software (Spek 2009) and
393 volumes of other polyhedra using *Vesta* software (Momma and Izumi 2011). The *ssv* were
394 refined against the X-ray data. The refined *A*, *B*, *C* and *D* *ssv* sum to significantly different
395 values for $C2/m$ and $P2_1/a$ crystal structures. The MO_6 first coordination sphere octahedra,
396 (especially the BO_6 octahedra) also differ in volumes (Stachowicz et al., in revision).

397 The *A* sites are occupied by *REE* Ca, and Na, atoms. As is common in CGM, there is an
398 excess of elements in the *A* site. Sokolova et al. (2004) suggested the presence of small
399 amounts (0.05 *apfu*) of Ca in the *B* site of chevkinite-(Ce) from the Khaldzan Buragtag alkali
400 granite, Mongolia. This is also a possibility for the Nb-rich chevkinite-(Ce).

401 The BO_6 octahedra are the largest in both discussed structures. An increase from 11.9 Å³ to
402 12.6 Å³ was found for *C2/m* and *P2₁/a* structures. The elements with the largest volumes
403 (except cations from the *A* sites) should be considered to occupy to the *B* site, namely Fe²⁺
404 (i.r.=0.78 Å), Mg²⁺ (i.r.=0.72 Å) and Ti²⁺ (i.r.=0.86 Å). The lighter Mg²⁺ and Ti²⁺ ions are
405 absent from the *C2/m* structure and substitute for part of the Fe²⁺ when the structure changes
406 to *P2₁/a*. This reallocation of cations rationalises the increase of BO_6 volume and the drop of
407 *ssv* of the *B* site from 25.9 to 24.6.

408 The *C* site has the smallest volume of all MO_6 coordination octahedra (Stachowicz. et al. in
409 revision) in both space groups. It is occupied by Fe³⁺ (i.r.= 0.65 Å), Nb⁵⁺ (i.r.= 0.64 Å) and
410 Al³⁺ (i.r.= 0.54 Å) ions. This site seems to change the least due to transformation.

411 The two *D* sites of Nb-rich chevkinite-(Ce) are occupied by Ti³⁺ (i.r.= 0.67 Å), Ti⁴⁺ (i.r.=
412 0.60 Å), Nb⁵⁺ and Mg²⁺ in the crystal 1 with *C2/m* space group. In the *P2₁/a* structure of
413 crystal 2, Mg²⁺ is absent in the *D* sites. These DO_6 octahedra have the largest volumes among
414 all chevkinites of known crystal structure (Stachowicz et al., in revision). We interpret this as
415 a structural indication of the presence of Ti³⁺ (*C2/m* and *P2₁/a*) and Mg²⁺ (*C2/m*) at the *D* sites
416 of the Nb-rich chevkinite-(Ce). The lower valency of Ti balances the charge associated with
417 the high content of Nb⁵⁺. The migration of Mg²⁺, the largest among the *D* site ions, results in a
418 decrease of the DO_6 volume of *ca.* 0.14 Å. The mechanisms of Ti⁴⁺ substitution in the *D* sites
419 can be summarised as: $2Ti^{4+} \rightarrow Nb^{5+} + Ti^{3+}$; $2Ti^{4+} + O^{2-} \rightarrow Nb^{5+} + Mg^{2+} + OH^- + vac.$

420 Niobium is distributed among the *C* and *D* sites. Its presence is not seen as a larger value
421 of *ssv* because it is masked by the presence of lighter elements, Al³⁺ in the *C* site and Mg²⁺

422 and possibly vacancies in the *D* site. With the migration of magnesium to the *B* site in the
423 $P2_1/a$ crystal structure the presence of Nb is revealed in the increasing value of *ssv* in the *D*
424 sites. Here, the *ssv* of the *D*(2) site (*2c* in the Wyckoff notation) refines to a value of 26,
425 which can suggest another interpretation, namely full occupation by Fe. This *ssv* value
426 increases systematically with the degree of transformation to $P2_1/a$ symmetry. This
427 phenomenon is discussed in the companion paper by Stachowicz et al. (in revision).

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IMPLICATIONS

430 The predominant symmetry of Nb-rich chevkinite-(Ce) is $C2/m$; however, a natural specimen
431 of lower, $P2_1/a$, symmetry was also recognised. Despite their nearly identical crystal structure
432 topologies, the partitioning of elements among the *B*, *C* and *D* sites differs significantly for
433 specimens having the different space groups. Lighter elements with larger ionic radii (Mg^{2+} ,
434 Ti^{2+}) tend to occupy the *D* site in the $C2/m$ crystal structure, whereas in the $P2_1/a$ structure
435 these elements prefer the *B* site. Niobium is distributed between the *C* and *D* sites. The high
436 content of niobium in the studied mineral may influence the presence of lower valences of
437 titanium through the substitution $2Ti^{4+} \rightarrow Nb^{5+} + Ti^{3+}$, to maintain electroneutrality. It points
438 to the possible existence of a new chevkinite end member. For Nb-rich chevkinite-(Ce), Ce is
439 present as Ce^{3+} , Fe as Fe^{3+} and Fe^{2+} (in the ratio 1.85:1), and Ti as Ti^{4+} , Ti^{3+} and possibly Ti^{2+} ,
440 however only in the transformed $P2_1/a$ form. Our work shows that a combined approach using
441 structure determination (XRD), photoelectron spectroscopy and electron microprobe analysis
442 can provide a comprehensive representation of the distribution of cations, and their oxidation
443 state, in a structurally and chemically complex mineral. Chevkinite-group minerals can occur
444 as phenocrysts in silicic volcanic rocks and can be early-magmatic phases in granites. They
445 thus have the potential to fractionate the wide range of elements in their structure. For
446 example, chevkinite-(Ce) has been the dominant control over Light REE distribution in

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447 peralkaline rhyolites from Gran Canaria (Troll et al. 2003) and metaluminous rhyolites of the
448 Peach Spring Tuff, SW USA (Padilla and Gualda 2016). The present study has shown that Nb
449 can also be fractionated from residual melts.

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452

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587

FIGURE CAPTIONS

588 **Figure 1.** General view of chevkinite-type structure. Sheets of edge-sharing CO_6 , $D(1)O_6$ and
589 $D(2)O_6$ octahedra parallel to (001) alternate with layers of Si_2O_7 dimers connected *via* BO_6
590 octahedra. Framework interstices are occupied by sheets of $A(1)$ and $A(2)$ sites occupied by
591 REE and dominated by Ce in the samples studied here. Ce at $A(1)$ is 8-coordinate in the $C2/m$
592 and $P2_1/a$ structures. Ce at $A(2)$ is 8- and 9-coordinated in the $C2/m$ and $P2_1/a$ structures,
593 respectively. Linkages between the sheets and layers involve $Si(2)$ tetrahedra and Ce-O
594 bonds.

24

595 **Figure 2.** The connectivity of *B*, *C* and *D* octahedra in chevkinite-type structure **(a)** projected
 596 onto (001) and **(b)** projected onto (010).

597 **Figure 3. (a)** BSE image of the crystal of Nb-rich chevkinite-(Ce) analysed by XRD and by
 598 EPMA, to show the very complex internal zonation. **(b)** Simplified interpretation of the
 599 distribution of the various textural zones. An oscillatory zoned crystal (Zones A and D) was
 600 replaced during one or more phases of hydrothermal alteration (zones B, Bp, C and E) The
 601 dark circles are analytical spots.

602 **Figure 4.** The Ce³⁺ spectrum is composed of two multiplets corresponding to the spin-orbit
 603 split Ce(3d)5/2 and (3d)3/2 core holes. The line shapes and their positions are typical of
 604 trivalent Ce. Additionally, there is no peak at 916 eV which is a characteristic of tetravalent
 605 Ce.

606 **Figure 5.** The deconvoluted Ti(2p)3/2, Ti(2p)1/2 spectra from two different areas of the
 607 sample. The ratios of Ti with each oxidation state differ in both experiments. The largest
 608 contributions are Ti³⁺ (first exp.) and Ti⁴⁺ (second exp.). The final occupation ratio included
 609 in the X-ray crystal structure is: Ti⁴⁺/Ti³⁺/Ti²⁺ = 5/5/2.

610 **Figure 6.** The deconvolution of the Fe(2p)3/2 spectra showing the 1.84:1 ratio of Fe³⁺ to Fe²⁺.

611

612

TABLES

613 Table 1. EPMA average compositions of textural zones.

Zone	A+D	B	B _p	C	E
<i>n</i>	14	8	18	9	5
wt%					
P ₂ O ₅	0.05	0.15	0.16	0.09	0.11
Nb ₂ O ₅	8.86	11.21	11.57	10.10	7.83
Ta ₂ O ₅	0.10	0.10	0.10	0.10	0.06
SiO ₂	18.18	17.11	16.66	17.47	9.48
TiO ₂	5.78	7.56	7.53	6.58	5.64
ThO ₂	0.47	1.35	1.40	0.95	2.27
Al ₂ O ₃	0.58	0.44	0.47	0.48	0.08

Sc₂O₃	0.14	0.19	0.15	0.14	0.10
La₂O₃	15.86	12.40	12.04	14.30	17.44
Ce₂O₃	24.70	21.38	21.41	23.48	27.09
Pr₂O₃	2.05	1.68	1.67	1.80	2.00
Nd₂O₃	5.17	4.56	4.65	4.93	5.19
Sm₂O₃	0.33	0.31	0.32	0.27	0.33
Gd₂O₃	0.23	0.31	0.20	0.22	0.25
MgO	0.70	0.36	0.31	0.52	0.06
CaO	0.37	0.72	0.69	0.54	2.00
MnO	0.19	0.34	0.29	0.28	0.16
FeO*	12.33	9.11	8.80	10.31	2.97
SrO	0.49	0.73	0.68	0.53	4.12
BaO	0.51	0.75	1.07	1.00	0.94
PbO	0.58	0.55	0.56	0.73	bd
Na₂O	0.36	0.38	0.39	0.45	0.51
Total	98.03	91.67	91.12	95.27	88.41

FeO*, all Fe as Fe²⁺; bd, below detection; *n*, number of analyses used in calculating averages; Textural zones refer to those shown in Figure 1b.

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Figure 1

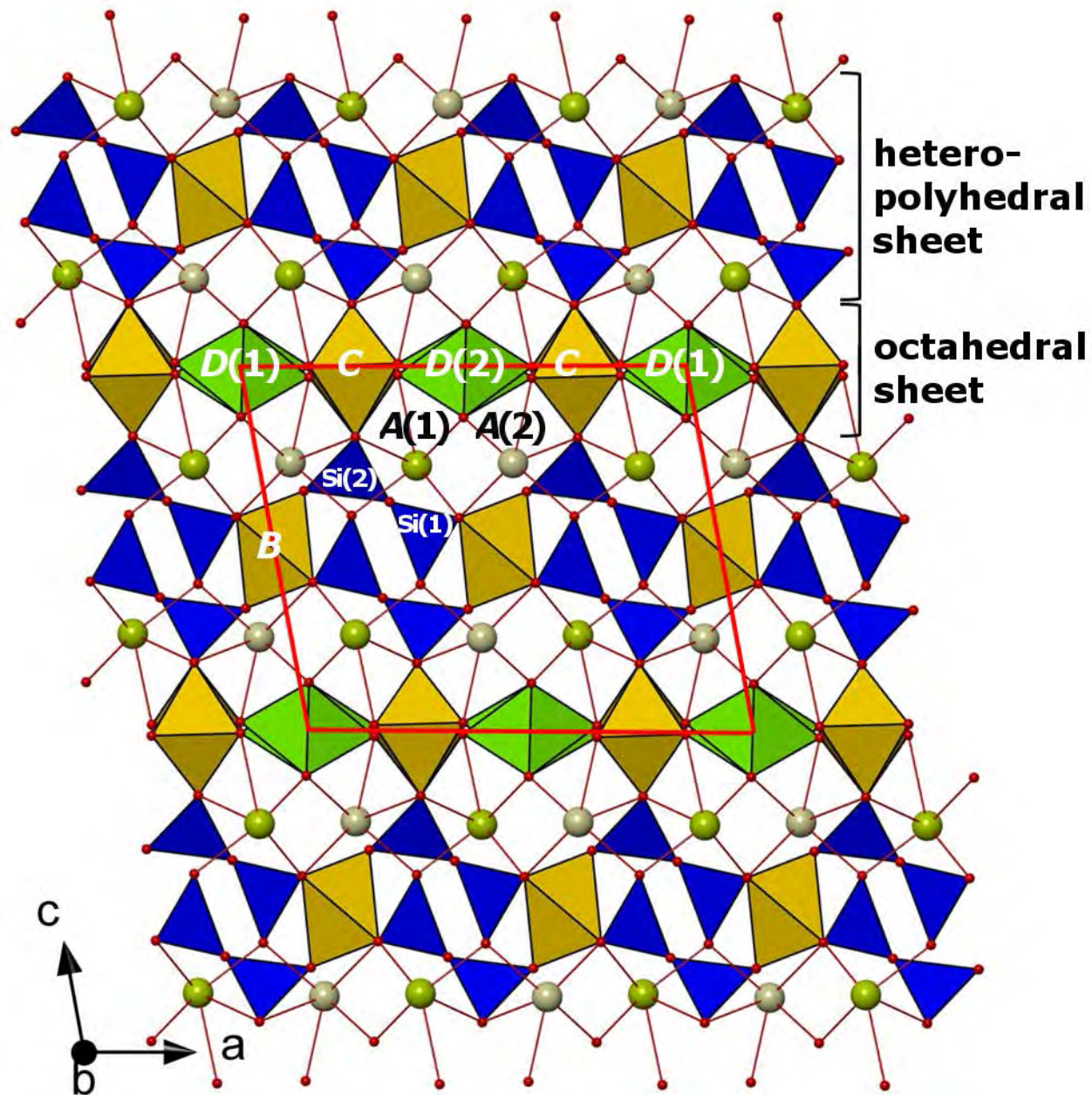


Figure 2

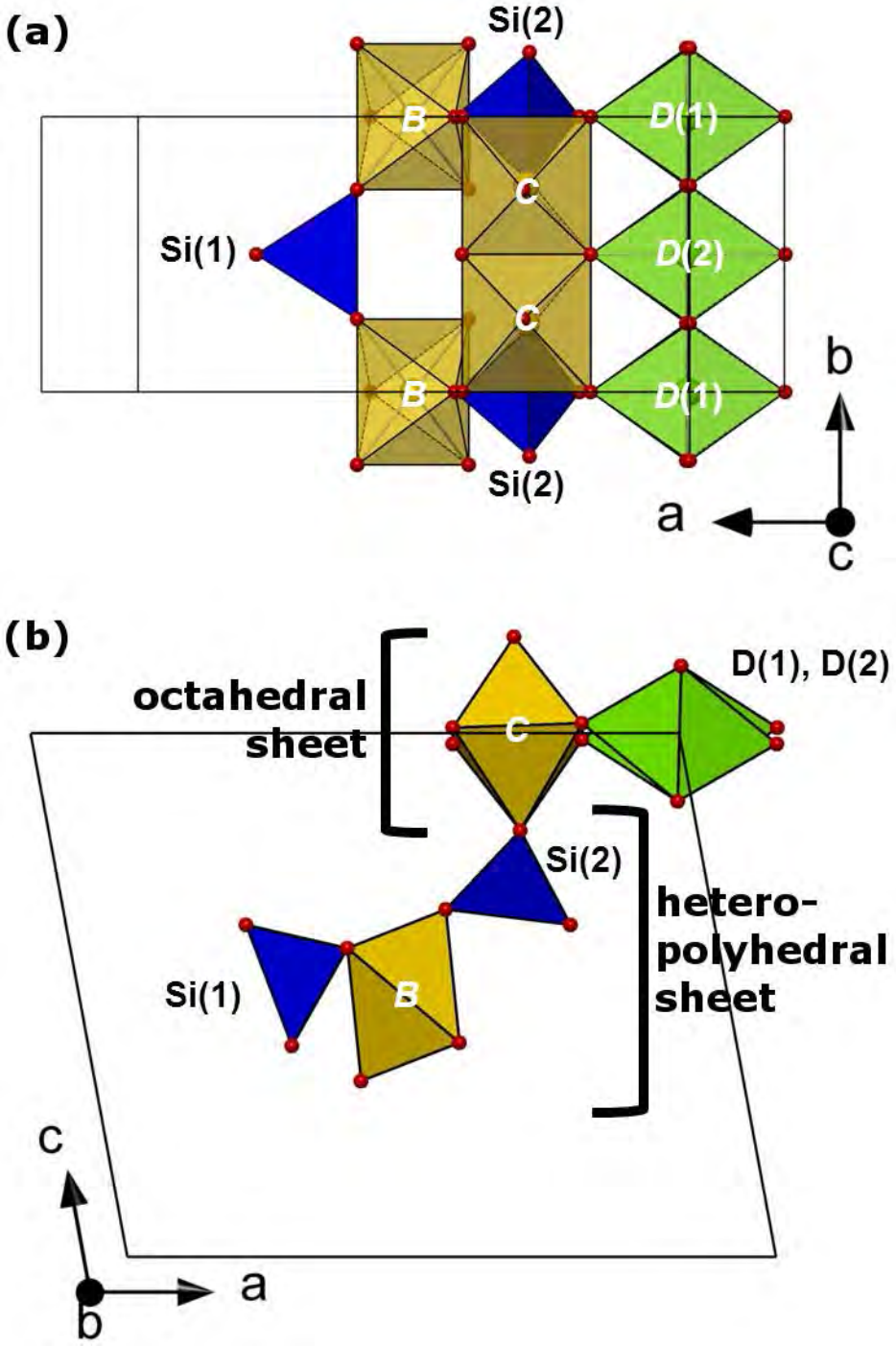
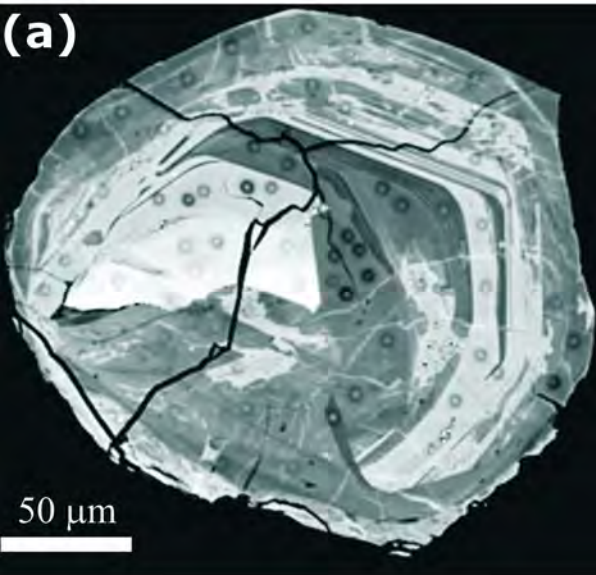


Figure 3

(a)



(b)

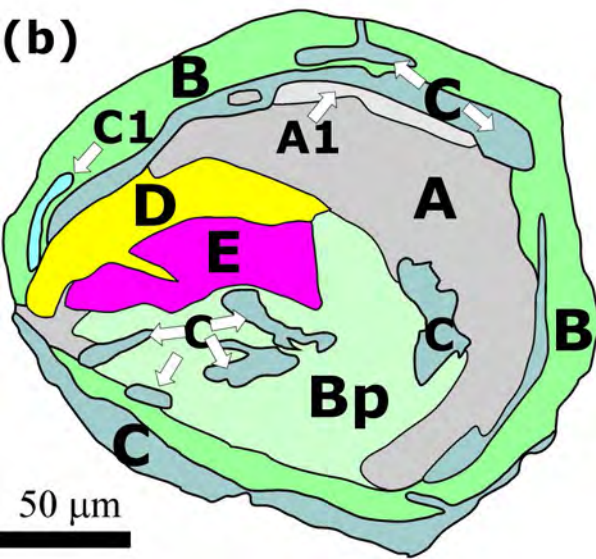


Figure 4

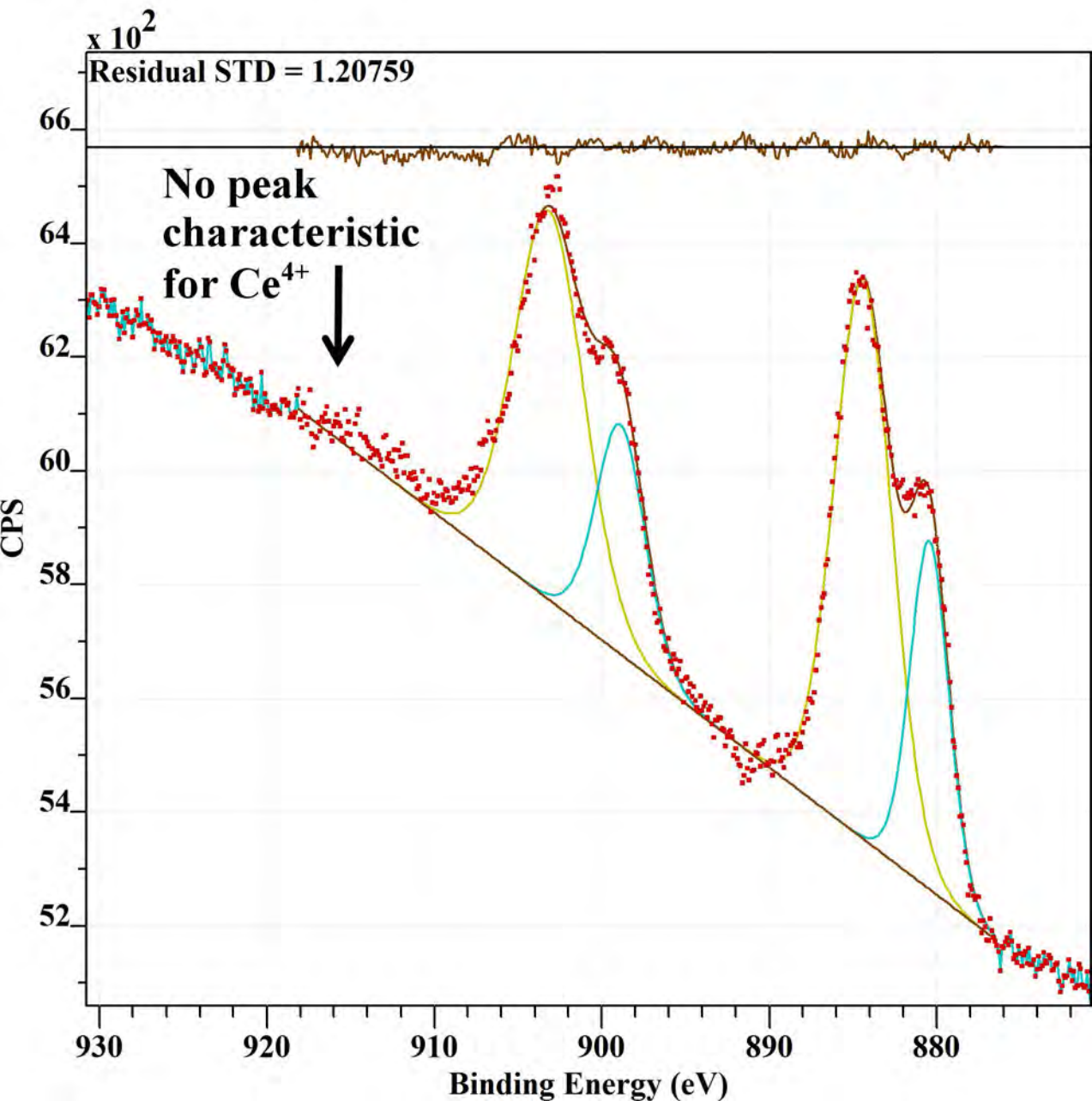


Figure 5a

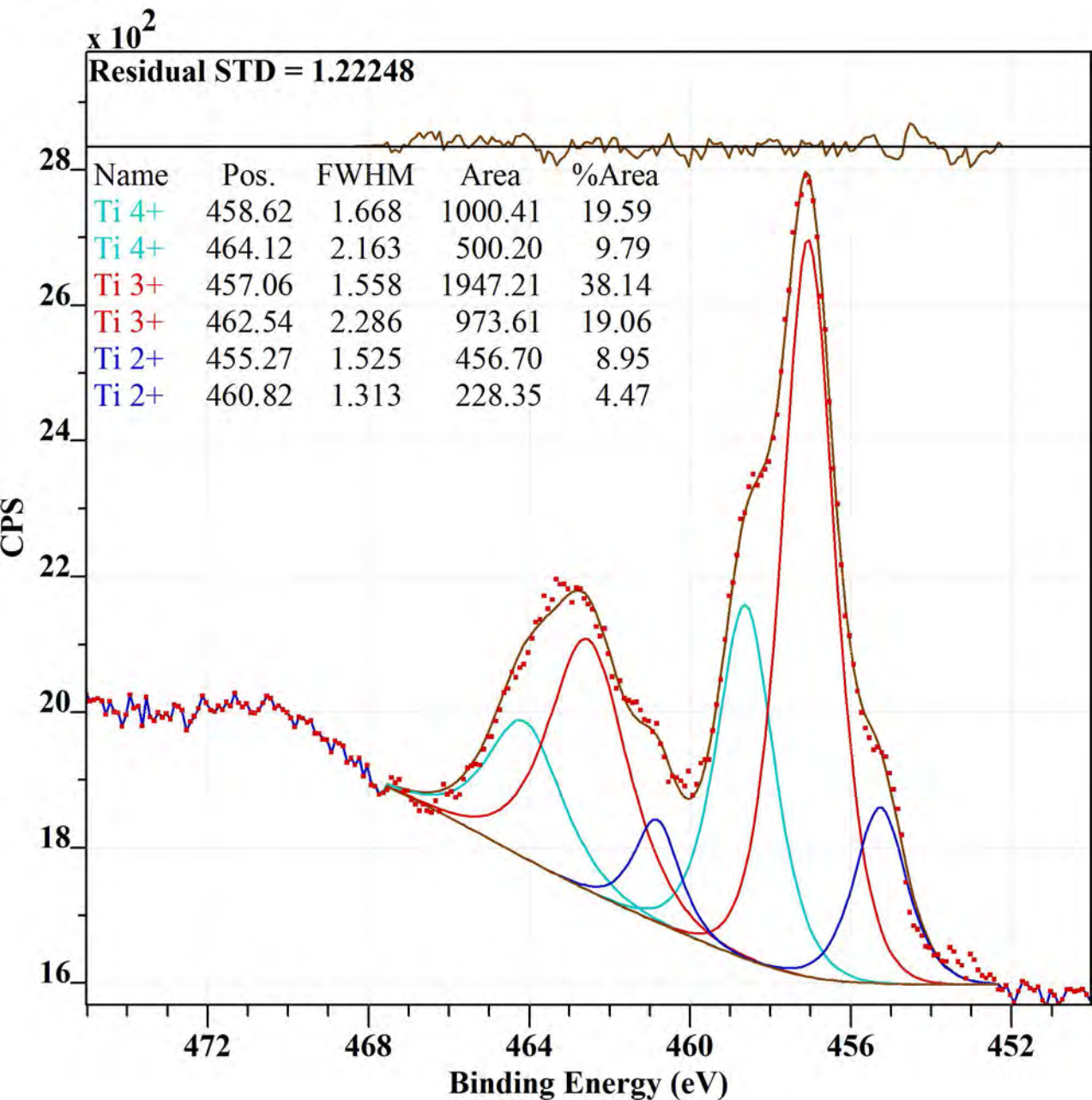


Figure 5b

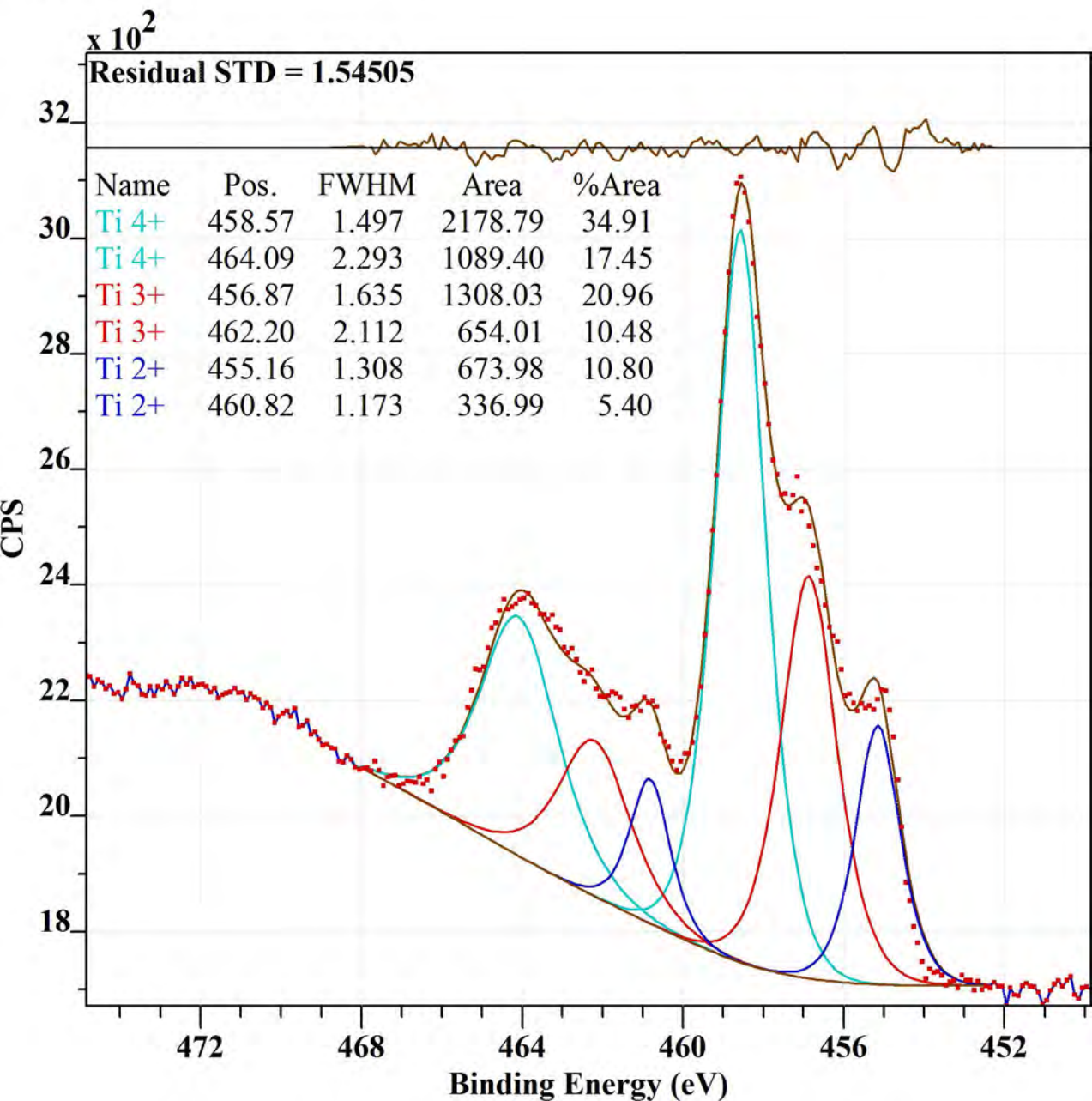


Figure 6

