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On growth and form of etched fission tracks in apatite: a kinetic approach

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Abstract

1 We discuss differences between the bulk etch rate (v_B) and an alternative radial etch rate (v_R)
2 model for fission-track etching in apatite. A skeletal v_R -model, based on the inferred orienta-
3 tions of the v_R minima and maxima, accounts for the main geometrical features of etched fission
4 tracks, including the track-surface intersections, track channels and their terminations, and the
5 outlines of confined tracks. It unifies the diverse appearances of etched tracks as variations of a
6 basic plan, governed by the orientation of the etched surface and that of the track. The v_R -model
7 also embeds fission-track etching in the mainstream theories of crystal growth and dissolution.
8 However, in contrast to the v_B -model, the v_R -model does not provide bottom-up criteria for dis-
9 criminating between tracks that are counted by an observer or a computer program and those
10 that are not. Moreover, abandoning the v_B -model implies that basic assumptions of fission-track
11 dating must be reconsidered, in particular that track counting efficiencies depend only on a crit-
12 ical dip angle, and are thus independent of the track registration geometry and the length dis-
13 tribution.

Keywords

Apatite, fission track, etching, fission-track dating

Introduction

14 Our understanding of fission-track etching has progressed little since the earliest studies. The
15 still-current bulk etch rate model explains etched-track geometries in terms of the etch rate v_T
16 along the latent-track core and the bulk etch rate(s) v_B of the undamaged detector (Figure 1a;
17 Fleischer and Price 1963a, b; 1964; Tagami and O'Sullivan 2005; Hurford 2019). This model
18 underlies equations relating the number of counted tracks to the number whose etchable sec-
19 tion intersects the unetched surface, involving a complex function of v_B and v_T (e.g., Tagami and
20 O'Sullivan 2005):

$$\rho_0 = \rho_L \left\{ 1 - \frac{v_B^2}{v_T^2} + \frac{v_B t_E}{g R_L} \left(1 - \frac{v_B}{v_T} \right) \right\}, \quad (1)$$

21 wherein ρ_0 and ρ_L are the observed- and unetched-track densities, R_L the etchable track length,
22 g the geometry factor ($1/2$ for external and 1 for internal surfaces), and t_E the etch time. Equation
23 (1) implies that all tracks are counted in surfaces with low bulk etch rates ($\rho_0 \approx \rho_L$ for $v_B \ll v_T$
24 and $v_B t_E \ll R_L$). Equation (1) also has more troubling implications for non-negligible v_B . Because
25 it is linear in t_E , it implies an unlimited increase of ρ_0 with increasing etch time. In contrast, the
26 corresponding equation of Jonckheere and Van den haute (1999) has ρ_0 constant for an internal
27 surface ($g = 1$)¹:

$$\rho_0 = \rho_L \left\{ 1 - \frac{v_B t_M}{R_L} + \left(\frac{v_B t_M}{2R_L} \right)^2 \right\}, \quad (2)$$

28 wherein t_M is the minimum duration that an added track has to be etched to be identified and
29 counted; if $t_M = 0$ then $\rho_0 = \rho_L$. Equations (1) and (2) differ because the former assumes that a
30 track, once etched, is forever retained and counted, whereas in the latter, a track is eliminated
31 when its lower termination is overtaken by the surface. This illustrates how a wrong assump-
32 tion can mislead us concerning the relationship between "what is" (ρ_L) and "what is observed"
33 (ρ_0).

¹ Equation (21) of Jonckheere and Van den haute (1999), including the t_M -correction, reformulated in terms of the variables in eq. (1).

34 This is of some practical interest. The standardless dating methods, based on neutron activation
35 (Jonckheere 2003; Enkelmann et al. 2005; Danhara et al. 2013; Jonckheere et al. 2015; Iwano
36 and Danhara 2018) and on LA-ICP-MS (Hasebe et al. 2004; Hadler et al. 2009; Abdullin et al.
37 2014; Soares et al. 2014; Gleadow et al. 2015), require an estimate of the counting efficiency
38 $\eta q = \rho_0/\rho_L$. In contrast, the standard-based dating methods (Hurford and Green 1983; Green
39 1985; Hurford 1998) are not affected if the counting efficiencies ηq of the samples and age
40 standards are identical. However, equation (1) implies that ρ_0/ρ_L increases with decreasing
41 track length R_L . R_L appears in the term that accounts for the addition of tracks due to surface
42 etching (Figure 1c). Of equal concern is the fact that R_L does not appear in the terms referring to
43 tracks intersecting the original surface. This implies that these tracks are counted with efficien-
44 cies determined by the critical angle $\theta_c = \arcsin(\mathbf{v}_B/\mathbf{v}_T)$ (Figure 1b), independent of the track-
45 length distribution or the track-registration geometry. This contradicts experimental evidence
46 that ηq depends on both these factors (Jonckheere and Van den haute 2002; Jonckheere 2003).
47 Jonckheere (2003) and Enkelmann et al. (2005) also presented experimental evidence that the
48 track counting efficiencies in external ($\eta q \approx 1.0$) and internal ($\eta q \lesssim 0.9$) prism faces of apatite
49 are not identical, and in the latter case well below the prediction of eq. (1) for a surface with low
50 \mathbf{v}_B .

51 Despite the absence of experimental support and disconcerting mathematical properties, the \mathbf{v}_B -
52 model underpins core assumptions of practical fission-track dating, i.e. that almost lossless track
53 counts can be performed in slow-etching surfaces, and that standard-based ages are unaffected
54 by the different length distributions of the age standard and dated sample. It is therefore rele-
55 vant to investigate whether the \mathbf{v}_B -model explains the observed etched-track geometries in apa-
56 tite. We compare the properties of the bulk-etch rate model (\mathbf{v}_B -model) with those of a kinetic
57 model of earlier date, the radial etch rate model (\mathbf{v}_R -model), not before applied to fission tracks
58 but otherwise successful. This shows that in the case of anisotropic etch rates, the two models
59 make different predictions of the etched forms. We then use a skeletal \mathbf{v}_R -model to calculate the
60 geometries of etched fission tracks in apatite and compare them to microscopic observations.
61 The results lead us to favor the \mathbf{v}_R -model over the current \mathbf{v}_B -model and consider the practical
62 implications.

The bulk etch rate \mathbf{v}_B

63 The Huygens-Fresnel principle is used for computing the evolution of a specified figure during
64 etching (Spohr 1990). It states that each point on the surface (etch front), exposed at time t , acts
65 as a source of etching in all available (material) directions. The resulting form (etch front) at a
66 later time $t + dt$ is the envelope (tangent) of the combined etch fronts of the individual point
67 sources. Figure 2 illustrates some properties of bulk etching relevant to the present discussion.
68 It is important to distinguish etching of a convex form, which etches from the outside in, and of a
69 concave form, which etches from the inside out. For ease of construction, at this stage, we con-
70 sider theoretical two-dimensional forms: a circular disc (convex; Figure 2a) and hole (concave;
71 Figure 2c) and a square disc (convex; Figure 2b) and hole (concave; Figure 2d). The circular
72 forms present all orientations (tangents) to the etchant, the extent of each limited to a single
73 point on their circumference. The square forms, in contrast, present four extended orientations,
74 parallel to their sides; all intermediate orientations can be thought of as contracted in the four
75 corner points.

76 Isotropic bulk etching of a convex form neither eliminates nor adds to the orientations present
77 at the start (Figure 2a, b). In the case of a concave form, it introduces (expands) the "missing",
78 intermediate orientations at discontinuities (corners) of the initial form (Figure 2d, sections 2-3,
79 4-5, 6-7, 8-1).

80 The case of anisotropic bulk etching is shown in Figure 2e-h and Figure 3a. The dagger-like inset
81 in each panel of Figure 2e-h is an assumed etch rate plot, i.e. the envelope of the etch rate vec-
82 tors \mathbf{v}_B in all directions of the plane. It is so constructed as to possess mirror symmetries (equal
83 magnitudes in opposite directions) and asymmetries (unequal magnitudes in opposite direc-
84 tions), which are seen to become expressed in the those of the evolving etched shapes. Figure 3a
85 illustrates how the etched shapes are constructed using the Huygens-Fresnel principle (Spohr
86 1990).

87 Anisotropic bulk etching adds no new faces to a convex form but eliminates some faces present
88 in the initial form. In the case of a circular initial form, comprising all orientations, those elimi-
89 nated first are parallel to the line A-B connecting neighboring \mathbf{v}_B maxima (Figure 2e). Continued
90 etching eliminates more orientations, corresponding to a symmetrical circle segment centered
91 on the first point. No faces are eliminated from a square form that does not have orientations

92 parallel to the connecting lines between neighboring maxima of the bulk etch rate (A-B in Fig-
93 ure 2f).

94 These properties are reversed for a concave form: no part of the circumference of the initial
95 form is lost or reduced in size. It is instead split into segments (Figure 2g, h; sections 1-2, 3-4, 4-
96 5, 6-7) at the points where the tangent is parallel to a line connecting neighboring v_B -maxima
97 (A-B in Figure 2g, h). At these points, segments parallel to the tangent are inserted, or extended
98 if present from the start (Figure 2g, h; sections 2-3, 5-6, 7-8, 8-1). A concave form does not re-
99 main self-similar in the course of etching, but converges towards a shape bounded by faces par-
100 allel to the lines connecting neighboring v_B -maxima. This implies that we cannot reconstruct the
101 full etch rate plot from a concave etch figure, e.g. a track cross-section (Yamada et al. 1993), just
102 its envelope.

The radial etch rate v_R

103 An isotropic- v_B model with low v_T accounts for the cone-shaped tracks with circular or elliptical
104 surface intersections in isotropic materials (glasses and plastics; Fleischer and Price 1963a; b).
105 An anisotropic- v_B model with high v_T goes some way towards explaining needle-shaped track
106 channels with polygonal surface intersections in minerals (Fleischer and Price 1964; Maurette
107 1966). Researchers investigating defects in semiconductors and other crystalline materials by
108 means of etching also reported polygonal etch figures (Batterman 1957; Lovell 1958; Holmes
109 1959), but proposed a different kinetic model (Irving 1959; Frank and Ives 1960; Jaccodine
110 1962). Their model is based on the radial etch rate v_R , i.e. the rate at which a plane is displaced
111 parallel to itself. An isotropic- v_R model gives the same results as an isotropic- v_B model for both
112 convex and concave forms (Figure 2a-d). An anisotropic- v_R model, in contrast, predicts a differ-
113 ent evolution of both convex (Figure 2i, j) and concave (Figure 2k, l) forms than an anisotropic-
114 v_B model. Figure 3b illustrates how the etched shapes are constructed using the definition of the
115 radial etch rate.

116 In contrast to the anisotropic- v_B model (Figure 2e, f), the anisotropic- v_R model (Figure 2i, j)
117 predicts that a convex form develops flat faces perpendicular to the v_R -maxima, even if these are
118 not present in the initial form. In Figure 2i, the tangent C-D is perpendicular to a local v_R -
119 maximum (dagger-like inset in the center of the Figure 2i). Following the definition of radial

120 etch rate, displacing C-D parallel to itself a distance proportional to the magnitude of the per-
121 pendicular etch rate vector gives rise to the edge 2-3 of the etched form. The sections 1-2 and 4-
122 1 are perpendicular to other v_R -maxima. Section 3-4 remains curved because it has no tangent
123 perpendicular to a local v_R -maximum. The same faces develop from the four corners of a square
124 initial form (Figure 2j).

125 In the case of a concave form, flat faces develop perpendicular to cusp-like v_R -minima (Figure
126 2k, l; sections 2-3, 5-6) and curved faces opposite smooth v_R -minima (Figure 2l; section 7-1). As
127 etching proceeds, flat faces expand and the curvature of others decreases, creating distinct cor-
128 ners at intersections. The anisotropic- v_R model thus allows for faces of finite extent to be creat-
129 ed as well as eliminated. A law of least action determines the relative extent of the faces, i.e. the
130 integral over all orientations of the product of v_R and the surface area dS it acts on is minimized
131 (Jaccodine 1962), minimizing free energy. Common fission-track etching protocols enlarge the
132 track cross-sections a hundredfold, so that most orientations are eliminated and etched tracks
133 are bounded by nearly flat faces, although these must not be perfectly flat, even after prolonged
134 etching.

135 There is substantial experimental evidence that the v_R -model accurately predicts the etching of
136 convex (spheres, cylinders) and concave (cylindrical grooves, hemispherical hollows) forms.
137 This has been demonstrated for crystals of germanium, iron, silica, lithium fluoride, rutile, and
138 quartz (Batterman 1957; Irving 1959; 1960; Holmes 1959; Frank and Ives 1960; Ives and Hirth
139 1960; Jaccodine 1962; Heimann 1971; Spink and Ives 1971; Heimann et al. 1973). The same
140 mechanism, with v_R as the growth rate of a crystal plane instead of its etch rate, also describes
141 crystal growth. In contrast, the anisotropic- v_B model for fission-track etching has not been put
142 to an empirical test but appears to be an ad hoc extension of the isotropic- v_B model for glass and
143 plastics.

The atomistic approach

144 A theoretical advantage of the anisotropic v_R -model is that it addresses the root cause of the dif-
145 ference between isotropic and anisotropic etching, whereas the anisotropic v_B -model merely
146 reflects its outward expression. The crucial difference between isotropic and anisotropic mate-
147 rials is that the latter possess preferential orientations, which isotropic materials do not. The

148 relevant orientations in this context are those of so-called periodic bond chains (Hartman and
149 Perdok 1955), i.e. straight chains of lattice atoms that are most resistant to being broken by the
150 etchant. This concept implies the distinction between kinked (K) faces that contain no such ori-
151 entation, stepped (S) faces that contain one, and flat (F) faces that contain two or more (Figure
152 4). It furthermore fixes the order of their radial etch rates for equal bond strengths ($v_R(F) < v_R(S)$
153 $< v_R(K)$). The kinetic etch model and periodic bond chains go back to before fission-track dating,
154 but are well established and based on consistent theories (Woensdrecht 1993; Chernov 2004;
155 Woodruff 2015).

156 Jonckheere and Van den haute (1996) identified F-, S-, and K-faces with pitted, scratched, and
157 textured faces, a distinction based on optical-microscope observations of etched tracks in titan-
158 ite (Gleadow 1978). In apatite, the basal face is an F-face and prism faces are S-faces; other faces
159 are K-faces, as far as we can tell. Because of the six-fold axis perpendicular to the basal face, it
160 contains three periodic bond chains rotated 120° . The prism face contains just one, parallel to
161 the c -axis. In an idealized lattice, a cross-section parallel to the periodic bond chain in an S-face
162 (Figure 4b; A-B) is similar to one parallel to a periodic bond chain in an F-face (Figure 4a; A-B),
163 and a cross-section perpendicular to the periodic bond chain in an S-face (Figure 4b; B-C) is
164 similar to one through a K-face (Figure 4c; B-C). In apatite, a prism face (S) would thus be com-
165 parable to a basal face (F) parallel to the c -axis and to a textured (K) perpendicular to the c -axis.
166 One consequence is that etch pits in a basal face widen in all surface directions (Figure 4a), but
167 those in prism faces grow parallel to the c -axis (Figure 4b). There appears to be no comparable
168 mechanism for etch-pit growth textured faces (Figure 4c). In this sense, an etched track in a
169 prism face can thus be thought of as equivalent to one in a basal face flattened perpendicular to
170 the c -axis. A familiar expression of the same notion is the fact that etched polishing scratches in
171 a prism face are broad perpendicular to the c -axis, as in a basal face, and narrow parallel to the
172 c -axis.

The model surface track

173 The model (or prototype) surface track is a direct result of the properties of the anisotropic- v_R
174 model, i.e. that fast-etching faces develop at convex intersections and slow-etching faces at con-
175 cave intersections. For a first approximation, it is thus sufficient to locate the convex and con-
176 cave intersections. Following a short interval of etching at high v_T and negligible v_R the damaged

177 track core is drained of defects, and the latent track leaves a narrow, submicroscopic channel
178 (Figure 5a), whose further development is governed by v_R alone. Its longitudinal cross-section is
179 convex at its intersection with the surface and concave at its lower termination (Figure 5b).
180 Thus, fast-etching faces develop at the track-surface intersection and slow-etching faces at its
181 endpoint. A transverse cross-section through the track channel is concave, and thus bounded by
182 the slowest-etching faces parallel to the track axis (Figure 5c). This gives rise to a dual track,
183 comprising a track channel and a distinct etch pit at its surface intersection. The etch pit walls
184 are fast-etching faces hinged on the slowest-etching orientations in the surface, reflecting the
185 fact that the etch pit is concave parallel to the surface and convex perpendicular to it. Figure 6
186 shows an etch pit at a dislocation in a basal apatite surface; the hillocks on the etch-pit walls
187 confirm that they are fast etching (cosine rule; Irving 1962; Jonckheere and Van den haute
188 1996).

The polar etch rate plot

189 The length and orientation of the latent track and the specific radial etch rates of the surface and
190 surrounding material produce modifications of the model etched track. We make some assump-
191 tions in order to investigate their effects and to construct etched-track geometries for specific
192 cases. Our main assumption is that the radial etch rates of the basal and prism faces of apatite
193 are minima. This is warranted on the basis that the basal face is an F face and prism faces are S
194 faces (Jonckheere and Van den haute 1996). In the absence of periodic bond chains other than
195 those parallel and perpendicular to the c -axis, all other faces are fast-etching K faces. It follows
196 that the radial etch rate is maximum in one such direction. We assume that this is at ca. 30° to
197 the c -axis. This produces etch pit faces dipping 30° with respect to the basal plane, as indicated
198 by the etched-track profiles in an apatite basal face (Figure 1 of Alencar et al. 2015). Our final
199 assumption is one of convenience: we set the magnitudes of v_R in all other orientations at the
200 maximum values that do not produce additional or curved etch-pit faces (Figure 7a). These
201 magnitudes can be calculated using the cosine rule (Irving 1962; Jonckheere and Van den haute
202 1996). This leaves undetermined the relative etch rates of different prism faces. We assume a
203 hexagonal pattern, with little variation between prism faces, as all are S faces containing period-
204 ic bond chains along the c -axis (Figure 7b). An exact calculation of etched-track geometries re-
205 quires numerical values for the full etch rate plot, i.e. the magnitude of v_R in all directions. Such

206 data are lacking; the values would moreover depend on the etching conditions. Our present aim
207 is more limited, i.e. to demonstrate that, in contrast to the current anisotropic- v_B model, the ani-
208 sotropic- v_R model produces predictions of the etched-track geometries in specific cases that are
209 in agreement with microscope observations. The v_R maxima and minima are enough for this
210 purpose.

211 The intrinsic radial etch rate of a given crystallographic plane is determined by its orientation
212 relative to the periodic bond chains. Periodic bond chains are most resistant to being broken by
213 the etchant, but not to being etched from their ends. This implies that the nature (F, S, K) of a
214 given crystallographic plane, and therefore its etch rate, is not the same where it intersects an
215 external crystal face or polished surface as the intrinsic radial etch rate of the same continuous,
216 unbroken plane. An important practical consequence is that one cannot confidently infer radial
217 shift velocities from the size and shape of etch pits at the track intersections with the etched
218 surface.

219 Figure 8a shows the etch pit and channel of a track perpendicular to an apatite basal face. Figure
220 8b plots the rate of etch pit growth as a function of orientation. The solid sections are recon-
221 structed from the etch pit outline. The dashed sections are the minimum etch rates required for
222 the etch pit to present no edges or curvature other than those observed. The central track chan-
223 nel is bounded on six sides by faces parallel to the c -axis and to the etch pit edges. The variation
224 of their etch rates with orientation is thus as in Figure 8b but scaled down. However, neither of
225 these values should be interpreted as the intrinsic radial etch rate of an external prism face. The
226 plotted values in Figure 8b represent the rate of retreat of surface steps parallel to the periodic
227 bond chains in a basal plane. They are thus not the etch rates of plane surfaces, as required by
228 the anisotropic- v_R model, but should instead be interpreted as projections of the etch rates of
229 the etch pit faces on the basal plane. Faces containing periodic bond chains parallel and perpen-
230 dicular to the c -axis (Figure 9a) bound a track channel perpendicular to the basal plane. This is
231 not the case for low-index external prism faces. As Honess (1927) reported, and measurement
232 confirms, the etch pit edges in the basal face are rotated $\sim 15^\circ$ with respect to the low-index
233 prism faces. This underscores the risks of inferring surface etch rates from the dimensions of
234 etch figures.

235 Figure 8c shows the openings of tracks perpendicular to the c -axis in an external prism surface.
236 Figure 8d shows the etch rate plot derived from their outlines. The etch rates perpendicular to
237 the straight edges of the track opening are shown in solid line. The etch rates in all other orien-
238 tations, in dashed line, are minimum values that do not introduce sides or curvature other than
239 those observed. The etch-rate minima are perpendicular to the long sides (A-B and D-E) and
240 thus to the c -axis. This agrees with the fact that A-B and D-E are bound by periodic bond chains
241 parallel to the c -axis (Figure 9b). The high etch rates of the rhombic prisms (B-C-D; E-F-A) ap-
242 pear inconsistent with the etch-rate minimum perpendicular to the basal plane (Figure 7a), re-
243 flecting the effect of periodic bond chains on the etch rate of the basal plane (Figure 9b). The
244 solution lies in the fact that, by definition, periodic bond chains are the most resistant to being
245 broken by the etchant but not to being consumed from their ends. Thus, periodic bond chains
246 parallel to the basal plane emerging at a prism surface do not exert their normal resistance to
247 the etchant, lifting the minimum perpendicular to the basal plane in Figure 7a. On this condition,
248 the empirical etch rate plot based on the outline of the track openings in an etched prism sur-
249 face (Figure 8d) is consistent with that assumed on general principle (Figure 7a). The empirical
250 plot based on the rate of etch pit growth in the basal plane (Figure 8b) is also consistent with
251 the radial etch rate plot (Figure 7b). The specific reason in this case is that the periodic bond
252 chains parallel to the c -axis emerge at the basal surface, allowing them to be etched from their
253 ends.

254 This demonstrates that inferring etch rates from etched-track geometries (Gleadow 1981; Dur-
255 rani and Bull 1987; Villa et al. 1997; Yamada et al. 1994; Gleadow et al. 2002; Sobel and Seward
256 2010) is fraught with danger. Etch pit outlines are on the whole inappropriate for this purpose.
257 In contrast, the track channels farther from the surface are free from the effect of dangling peri-
258 odic bond chains, but less accessible to detailed observation and measurement. Track channels
259 perpendicular to the c -axis are the most useful for determining important etch rates because
260 they are confined between a pair of prism faces and a pair of basal faces, each parallel to specific
261 periodic bond chains (Figure 9b). Any track at an angle to the c -axis is confined between a pair
262 of prism faces but not between basal faces (Figure 9c). This gives rise to their distinctive knife-
263 blade shape (Gleadow 1981), with the exception of tracks nearly parallel or perpendicular to the
264 c -axis.

Calculated track geometries

265 Although an exact solution is not attainable at this stage, we use the provisional etch rate plot to
266 calculate the main geometrical features of tracks etched in a basal, a prism, and an intermediate
267 apatite surface. Figure 10 shows the calculated surface intersection of a track dipping 60° in a
268 plane perpendicular to a basal (Figure 10a, b), prism (Figure 10d, e), and an intermediate sur-
269 face (Figure 10g, h). The appendices show results for other dip angles (Figure A1: basal surface;
270 Figure A2: prism surface; Figure A3: intermediate surface). In all cases, the etched shape con-
271 sists of three parts: the track channel A-B-C-D, the surface layer removed by etching P-Q-R-S,
272 and an etch pit X-Y-Z. The channel is knife-blade shaped, except when the track is parallel or
273 perpendicular to the c -axis (Figures A1-A3). The etch rates v_R perpendicular to the track axis
274 determine its height and width. An etch pit is a prominent feature of the basal face, foremost for
275 reason that it expands in all surface directions (Figure 10a, b). Its diameter and depth are little
276 influenced by the dip angle of the track, except at low values, at which the diameter increases
277 and the depth decreases somewhat (Figure A1). The etch pit outline and dimensions are never-
278 theless fairly uniform. The channel connects to the apex of the etch pyramid if the track is per-
279 pendicular to the surface and to the lower part of an etch pit face for lesser dip angles. The
280 channel-etch pit intersection is an upright slit of almost constant width but variable height de-
281 pending on the dip of the track. These properties are in good qualitative agreement with obser-
282 vations (Figure 10c).

283 The track channel in a prism face has the characteristic knife-blade shape (Figure 10d, e), except
284 when the track is more or less perpendicular to the c -axis, and confined between a pair of basal
285 planes. An etch pit develops at its intersection with the surface, but it is flat in the direction per-
286 pendicular to the c -axis because, like the channel, it is confined between a pair of prism planes.
287 As its dip decreases, the channel broadens parallel to c , and encloses the etch pit (Figure A2). It
288 is for this reason that the etch pit is a much less distinct feature of tracks in a prism face than in
289 a basal face. The formation of an etch pit is however also the reason that the surface openings of
290 tracks in a prism surface are more or less the same size in the direction of c . Tracks with shallow
291 dip angles and azimuth orientations subparallel to c can however have somewhat larger track
292 openings (Figure A2). This could explain some of the variation of D_{par} (etch pit length) values,
293 and its dependence on track orientation (Sobel and Seward 2010), although other factors might

294 contribute. The extent to which this is the case depends on the details of the radial etch rate
295 plot. Figure 10f illustrates the needle-like channels and distinct etch pits of tracks at high azi-
296 muth angles to c (A), the prominent knife-blade shaped tracks without distinguishable etch pits
297 in other directions, except subparallel to the c -axis where the knife-blade shape is seen edge-on
298 (B).

299 The intermediate case is represented by a surface at 45° to the c -axis (Figure 10g, h). The tracks
300 have the common dual structure, made up of a knife-blade shaped channel and an etch pit. The
301 latter is not well developed as most of it is located within the surface layer removed by etching
302 and another part is enclosed within the channel. A small collar can nevertheless develop at the
303 surface intersection of tracks parallel to slow-etching planes, which have a narrow channel
304 (Figure A3). Due to the lesser importance of the etch pit, the variation in channel width is direct-
305 ly expressed at the surface, so that the size of the track openings varies within wide margins,
306 depending on the track dip angle, the surface orientation, and the details of the radial etch rate
307 plot. Figure 10i shows a representative surface, in which the track openings exhibit minor addi-
308 tional structure (A). The size of the track openings is uniform because the (ion-) tracks are par-
309 allel.

310 The track ends present no preferential orientations; therefore, the complete radial etch rate plot
311 is relevant. The calculated geometries show that the tracks are terminated by faces parallel and
312 perpendicular to the basal plane (Figure 11). This implies that the length of surface tracks de-
313 creases with etch time, although, depending on their orientation and the actual etch rates, the
314 shortening can be small to negligible for tracks etched in a basal or prism surface. Jonckheere
315 and Van den haute (2002) calculated the mean full length of fossil tracks in Durango apatite
316 from the projected-length distribution of surface tracks. Their results for the basal surface
317 ($13.9 \pm 0.2 \mu\text{m}$), prism surface ($13.8 \pm 0.2 \mu\text{m}$), and intermediate (textured) surface (12.5 ± 0.2
318 μm) indeed seem to indicate an underestimation compared to the mean length of confined
319 tracks ($14.4 \pm 0.1 \mu\text{m}$) that correlates with the relative surface etch rates (Jonckheere and Van
320 den haute 1996).

321 Confined tracks offer a further test of an etch model. Those at high angles to the c -axis that devi-
322 ate from the knife-blade shape are the most diagnostic. The intersections of tracks at 90 - 60° to
323 the c -axis (Figure 12a, b, c), calculated with the etch rate plot in Figure 7, compare well with

324 observed tracks (Figure 12d, e, f). Those almost perpendicular to c have narrow channels with a
325 pyramid on either side of the host track or cleavage (Figures 12a, d). At somewhat lesser angles
326 to c , the channel broadens but the tracks retain the distinct pair of etch pyramids (Figures 12b,
327 e). At still lesser angles, the characteristic knife-blade shape obscures the etch pyramids (Fig-
328 ures 12c, f). The tracks in Figure 12 resemble the fragmented types F2 (Figure 12a), F1 (Figure
329 12b), and blade type (Figure 12c) of Hejl (1995). Our model attributes their specific morpholo-
330 gies to anisotropic etching (v_R), and not to discontinuous etching of the damage along the latent
331 track (v_T).

332 In accordance with the anisotropic- v_R model, the confined track in Figure 12f has a prominent
333 basal plane (B) at each end. The prism plane (P) is less well-developed and curved, indicating
334 that the etch rate plot (Figure 7) is inaccurate in certain details. This is not unexpected as it was
335 deliberately simplified and constructed to avoid complications due to curvature of the develop-
336 ing faces. Curvature can however be introduced ad hoc by reducing the radial etch rates in cer-
337 tain directions (Figure 11h, i, j). On the one hand, this indicates, that, with fine-tuning, an aniso-
338 tropic- v_R model can deal with more complex track shapes than those considered here, that are
339 not made up of flat faces. On the other hand, it means that step-etching of confined tracks might
340 provide a means of determining the numerical values of the radial etch rates in most relevant
341 directions.

Implications and outlook

342 The anisotropic- v_B model has existed almost unchanged for over five decades in the recesses of
343 the fission-track method. One of its implications is that fission tracks can be counted without
344 significant losses in slow-etching surfaces, such as apatite prism faces (Gleadow 1981) and
345 muscovite cleavage planes (Belyaev et al. 1980; Khan 1980). Another is that track counting effi-
346 ciencies are not relevant to the standard-based dating methods (Hurford and Green 1983;
347 Green 1985). We submit that the anisotropic- v_B model cannot account for the observed track
348 geometries in apatite, and should be laid to rest. We suggest that it be replaced with an aniso-
349 tropic- v_R model based on the radial etch rate (radial shift velocity). This embeds track etching in
350 the mainstream kinetic theories of crystal growth and dissolution, based on the seminal studies
351 of Burton et al. (1951) and Frank (1958), and buttressed by the atomistic theory of Hartman

352 and Perdok (1955) with roots stretching back to the fundamental concepts of Kossel (1927) and
353 Stranski (1928).

354 Our application of the anisotropic- v_R model to fission-track etching in apatite has produced re-
355 sults that recommend it. It accounts for the complex etched-track geometries and unifies their
356 varied manifestations as variations on a theme depending on the relative size and orientation of
357 the surface layer removed by etching, the etch pit at the track-surface intersection, and the track
358 channel. The anisotropic- v_R model for fission-track etching in apatite is at this stage qualitative,
359 based on assumptions concerning the orientation of the etch-rate minima and maxima, and the
360 further assumption that the intermediate etch rates have no effect on the etched-track geome-
361 tries. Detailed etch rate measurements must flesh out this skeletal model, and allow to calculate
362 the exact shape of an etched fission track in any specified surface, with any orientation and at
363 any etch time. Comparison with experiments must then validate the model or reveal the need
364 for improvements. Graphics algorithms can be applied for determining the appearance of the
365 etched tracks under an optical microscope. A discrimination problem must then be addressed,
366 i.e. establishing observer- or software-specific criteria for distinguishing between tracks and
367 non-tracks.

368 Does this serve a practical purpose? The end of the anisotropic- v_B model would put an end to its
369 doubtful implications, that short tracks are counted with greater efficiency than long tracks, and
370 that all tracks maintain a constant (axial) length and remain countable, leading to an unlimited
371 increase of ρ_0/ρ_L with etching time (eq. (1); Tagami and O'Sullivan 2005). A bottom-up under-
372 standing of which tracks are counted and which are not will serve to validate the empirical track
373 counting efficiencies (ηq factors) used with the neutron-activation based, standardless dating
374 methods (Jonckheere 2003; Enkelmann et al. 2005; Jonckheere et al. 2015; Danhara and Iwano
375 2013; Wauschkuhn et al. 2015; Iwano et al. 2018). In the same manner, it will allow us to evalu-
376 ate the ad hoc experimental factors (k for the ε -method, Hasebe et al., 2004; α for the ξ -method;
377 Gleadow et al., 2015), assumed for absolute dating with the LA-ICP-MS-based fission-track
378 methods. In contrast to the anisotropic- v_B model, the anisotropic- v_R model implies that the
379 etchable lengths of surface tracks decrease with etch time. Thus short tracks can become unrec-
380 ognizable and, in the end, invisible under the microscope. A population containing an excess of
381 short tracks must thus not be counted with the same efficiency as one containing only long
382 tracks, as in age standards. If confirmed, this implies that the standard-based dating methods
383 (Z - and ζ -methods; Hurford and Green 1983; Green 1985) are less than accurate. A length-

384 dependent threshold can have a significant effect on the track counts (Jonckheere and Van den
385 haute 2002).

386 Following earlier attempts (Keil et al. 1987; Wagner et al. 1989; Wagner and Hejl 1991), com-
387 puterized microscopes will prompt renewed efforts to extract thermal histories from the length
388 statistics of surface tracks. This will place track etching in the forefront, as the new methods will
389 have to reckon with two main factors. (1) A track length decrease with etch time predicted by
390 the anisotropic- v_R model. (2) An opposed increase due to residual damage at the latent-track
391 extremities, which etches at a reduced track etch rate v_T (Jonckheere et al. 2017) but was not
392 considered here.

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Figure captions

544 **Figure 1.** Track etching according to the v_B -model: v_B : bulk etch rate; v_T : track etch rate; t_E : etch
545 time; $\theta_C = \arcsin(v_B/v_T)$: critical angle for track revelation (after Tagami and O'Sullivan, 2005). a:
546 a steep-dipping surface track etched as a cone; b: a track dipping at less than the critical angle; c:
547 a track added as a result of bulk etching of the surface. Below the figure is the equation of
548 Tagami and O'Sullivan (2005), with arrows relating its different terms to the various track types
549 (a-c).

550 **Figure 2.** Etching of idealized two-dimensional convex and concave shapes. The circumference
551 of the circular starting forms comprises all orientations of the plane (tangents) with equal, infin-
552 itesimal extent. The square forms are bounded by two extended orientations, with all interme-
553 diate orientations contracted in the vertices. a-d: isotropic bulk etch rate (v_B) and isotropic ra-
554 dial etch rate (v_R) model; e-h: anisotropic bulk etch rate model; i-l: anisotropic radial etch rate
555 model. The inset in the center of each panel represents the variation of the etch rate with orien-
556 tation.

557 **Figure 3.** Anisotropic etching of a convex form ABC according to (a) the bulk etch rate (v_B -) and
558 (b) the radial etch rate (v_R -) model. The etch rate plot is the same in (a) and (b), but the etched
559 shapes differ because the v_B and v_R have a different meaning. In the v_B -model (a), each point
560 etches in all material directions (shaded sectors of the etch rate plots), and the displacement of
561 the straight edges A-B and B-C is governed by the etch rates whose projection normal to A-B
562 and B-C is greatest (arrows). The corner B is a point like another along A-B and B-C. In the v_R -
563 model (b), the etch rate is the rate of displacement of a flat face as a whole, controlled only by
564 the etch rate perpendicular to that face (arrows). The corner B at the intersection of A-B and B-
565 C comprises all orientations intermediate between those of A-B and B-C, and the corresponding
566 etch rates compete (shaded area of the etch rate plot). In this example, only the face develops
567 that has the greatest v_R (arrow). In cases with less pronounced etch rate maxima, other faces
568 can develop as well.

569 **Figure 4.** Different surfaces of an idealized regular lattice (Kossel, 1927) of periodic bond chains
570 (Hartman and Perdok, 1955). a: a flat face (F) contains two or more periodic bond chains; b: a
571 stepped (S) face contains one; c: a kinked (K) face contains none. Removing a surface atom from
572 an F surface requires breaking the bonds with five nearest neighbors, removing one from an S
573 surface requires breaking four bonds, and removing an atom from a K surface requires breaking

574 three bonds. For equal bond strengths, the order of their relative radial etch rates is thus: $v_R(F)$
575 $< v_R(S) < v_R(K)$.

576 **Figure 5.** A generalized etched-track model constructed from kinetic principles. a: following
577 removal of its damaged core at a high rate v_T , the track can be thought of as a straight channel of
578 unspecified cross-section extending from the surface into the crystal interior; b: in longitudinal
579 cross-section the channel is concave at its lower end and convex at its intersection with the sur-
580 face; the etched track is therefore bounded by the slowest etching faces at its end and the fastest
581 etching faces at the surface, so developing a distinct channel and etch pit; c: in transverse cross-
582 section, both the etch pit and the track channel are concave and bounded by the slowest etching
583 orientations. Panels b and c are not consecutive etch stages but represent the same final stage
584 with the convexities and concavities parallel (b) and perpendicular (c) to the track shown sepa-
585 rately for clarity.

586 **Figure 6.** An etch pit at a dislocation emerging at a basal surface of an annealed Durango apa-
587 tite; annealing conditions: 10 h at 450 °C; etching conditions: 30 min in 0.4 M HNO₃ at 25 °C.
588 According to the kinetic model its edge (A-B) is parallel to the slowest etching orientations (pe-
589 riodic bond chains) in the basal plane, and the etch pit face (A-B-C) is the fastest etching plane
590 hinged on A-B. The hillocks on the etch pit faces support the notion that these are fast etching
591 (Batterman, 1957; Jonckheere and Van den haute, 1996). The absence of hillocks on the smooth
592 basal face, in contrast, confirms that it is slow etching, in accordance with the assumption that it
593 is an F face.

594 **Figure 7.** Plot of the radial etch rate v_R as a function of orientation. a: cross-section parallel to a
595 prism plane; b: cross-section parallel to the basal plane. It is assumed that the radial etch rate is
596 minimum perpendicular to the basal and prism planes and maximum at an angle of 30° to the *c*-
597 axis. Other v_R -values in the prism plane are set at the maxima that contribute no additional faces
598 or curvature to the basic etch pit profile; those in the basal plane are based on observation. The
599 dashed line is a modification of the etch rate plot for calculating the track terminations in Figure
600 12g-i.

601 **Figure 8.** Etch rates parallel to basal and prism surfaces of apatite. a: SEM image of a basal sur-
602 face with a 11.1 MeV/amu ¹³²Xe-ion track parallel to the *c*-axis, etched for 20 s in 5.5 M HNO₃ at
603 21 °C; b: etch rate plot derived from the etch-pit outline (A-F) in a; solid sections: calculated val-
604 ues; dashed sections: minimum values; c: SEM image of a prism surface with a 11.1 MeV/amu
605 ¹³²Xe-ion track perpendicular to the surface, etched for 40 s in 5.5 M HNO₃ at 21 °C; d: etch-rate

606 plot derived from the etch-pit outline (A-F) in c; solid sections: calculated values; dashed sec-
607 tions: minimum values; the solid sections are extended 7.5° to either side of the calculated etch
608 rate vectors for clarity.

609 **Figure 9.** Schematic relationship between the faces bounding a track channel and the periodic
610 bond chains. a: a track parallel to the c -axis is bounded by faces containing chains parallel to the
611 prism face (P-P) and to the basal face (B-B); b: a track perpendicular to the c -axis is bounded by
612 a pair of faces containing chains parallel to c -axis (P-P) and a pair containing chains parallel to
613 the basal face (B-B). c: a track at an angle to the c -axis is flanked by a pair of faces containing
614 chains parallel to the c -axis (P-P). The faces containing the P-P chains in b and c can also contain
615 B-B chains, but this is not the case in general. This arrangement accounts for the needle-shaped
616 track channels parallel and perpendicular to the c -axis, and the knife-blade shaped channels of
617 other tracks.

618 **Figure 10.** Calculated orthogonal cross-sections of track-surface intersections based on the etch
619 rate plot in Figure 7, and corresponding observations (a-c: basal face; d-f: prism face; g-i: inter-
620 mediate face). The tracks dip 60° in a plane perpendicular to a basal surface (a, b), a prism sur-
621 face (d, e), and a surface at 45° to the c -axis (g, h). A-B-C-D: channel determined by the etch
622 rates perpendicular to the track axis; P-Q-R-S: layer removed at the etch rate perpendicular to
623 the surface; X-Y-Z: etch pit determined by the orientation and magnitude of the etch-rate maxi-
624 ma. The right column shows images of etched tracks in apatite exhibiting features predicted by
625 the v_R model. c: SEM image of fission tracks in a basal surface; f: compressed transmitted-light
626 image stack of fission tracks in a prism face; i: SEM image of 11.1 MeV/amu ^{132}Xe tracks per-
627 pendicular to a surface inclined at 30° from the prism face. All tracks etched for 20 s in 5.5 M
628 HNO_3 at 21°C .

629 **Figure 11.** a-g: calculated terminations of tracks at increasing angles to the c -axis based on the
630 v_R plot in Figure 7; h-j: calculated terminations of tracks at increasing angles to the c -axis based
631 on a modification of the v_R plot in Figure 7. The modification involves a reduction of the radial
632 etch rates in the angular interval 30 - 90° to the c -axis. The original values are shown in dashed
633 lines for comparison. The reduced etch rates result in curvature of the track termination paral-
634 lel to the c -axis.

635 **Figure 12.** a-c: calculated intersections of confined tracks parallel to an apatite prism face with
636 a cleavage parallel to the c -axis; azimuth angles to the c -axis: (a) 90° , (b) 75° , and (c) 60° . d-f:
637 etched confined tracks parallel to an apatite prism face, exhibiting features predicted by the v_R

638 model (cf. a-c); etching conditions: 40 s in 5.5 M HNO₃ at 21 °C; azimuth angles: (d) 88°, (e) 85°,
639 (f) 68°.

640 **Figure A1.** Calculated cross-sections of track-surface intersections based on the etch rate plot in
641 Figure 7. The track axis lies in a plane perpendicular to a basal surface, and dips 90° to 15° with
642 respect to that surface. The profiles illustrate the persistence of an etch pit of almost constant
643 diameter and depth through a large range of dip angles, and the variation of channel height with
644 dip angle. A-B-C-D and X-Y-Z as explained in Figure 10.

645 **Figure A2.** Cross-sections of track-surface intersections based on the etch rate plot in Figure 7.
646 The track lies in a plane perpendicular to a prism surface and parallel to the *c*-axis, and dips 90°
647 to 15°. The profiles show a distinct etch pit at high angles, which is absorbed in the channel with
648 decreasing dip. A-B-C-D and X-Y-Z as explained in Figure 10.

649 **Figure A3.** Cross-sections of track-surface intersections based on the etch-rate plot in Figure 7.
650 The track lies in a plane perpendicular to a surface inclined 45° to the basal face and parallel to
651 the *c*-axis, and dips 90 to 15°. In most cases, no large etch pit develops due to the high surface
652 etch rate and broad channel, except that a distinct collar develops when the track is parallel to a
653 slow etching plane. A-B-C-D and X-Y-Z as explained in Figure 10. .

654

Figures

Figure 1

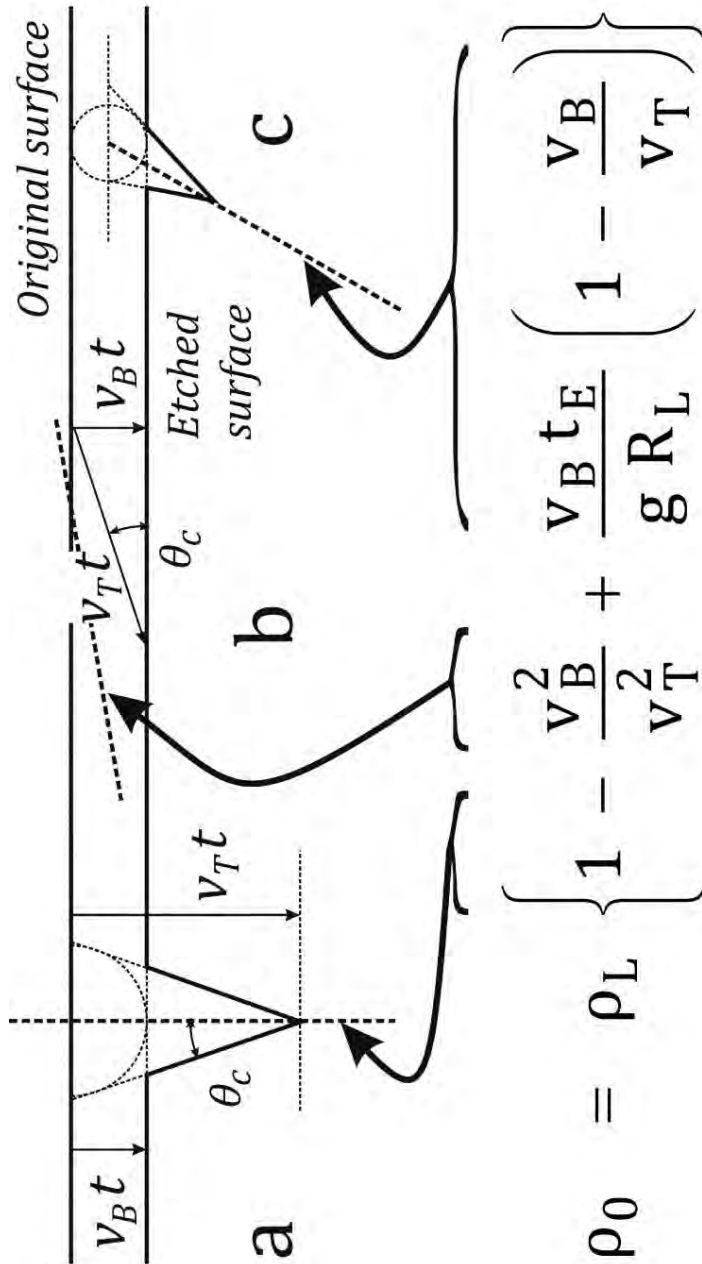


Figure 2

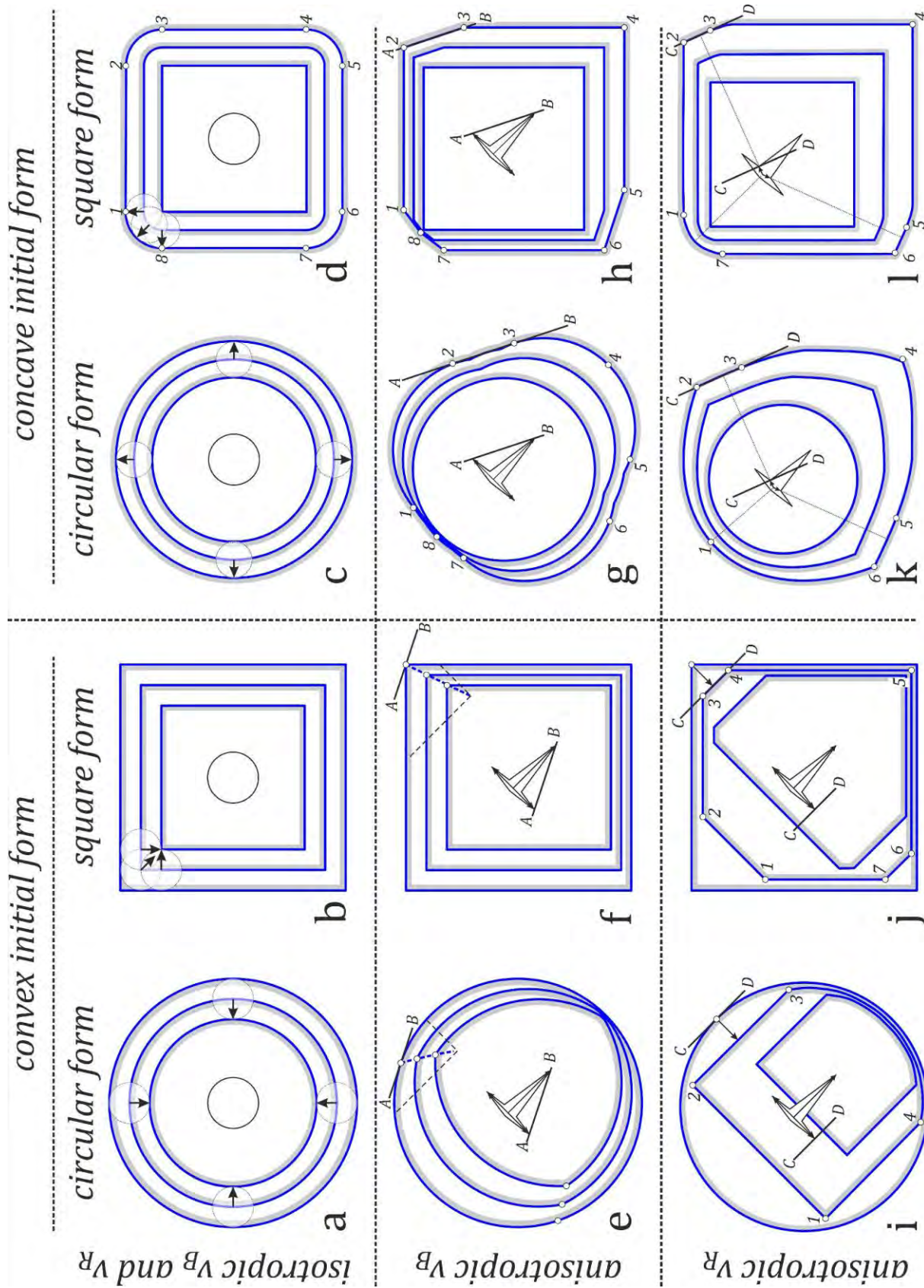


Figure 3

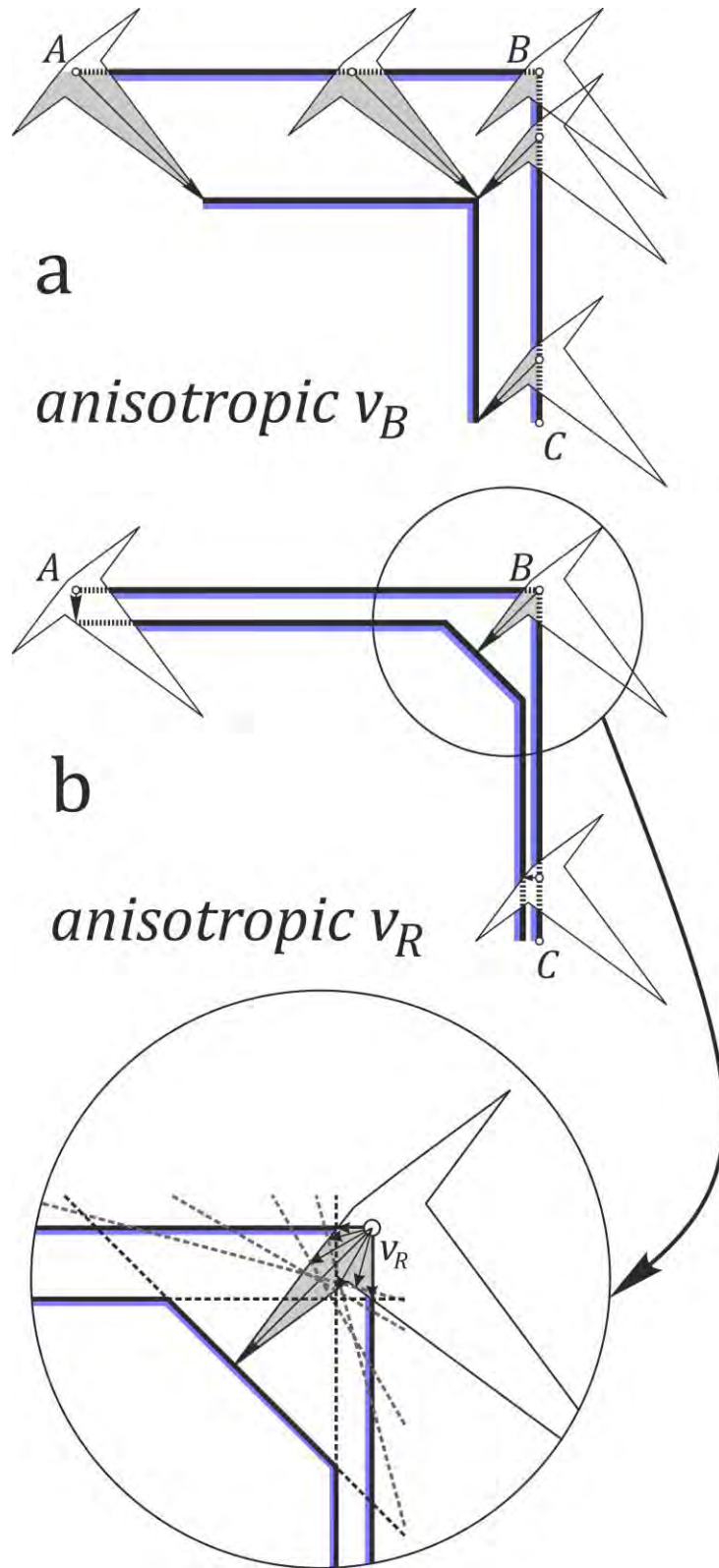


Figure 4

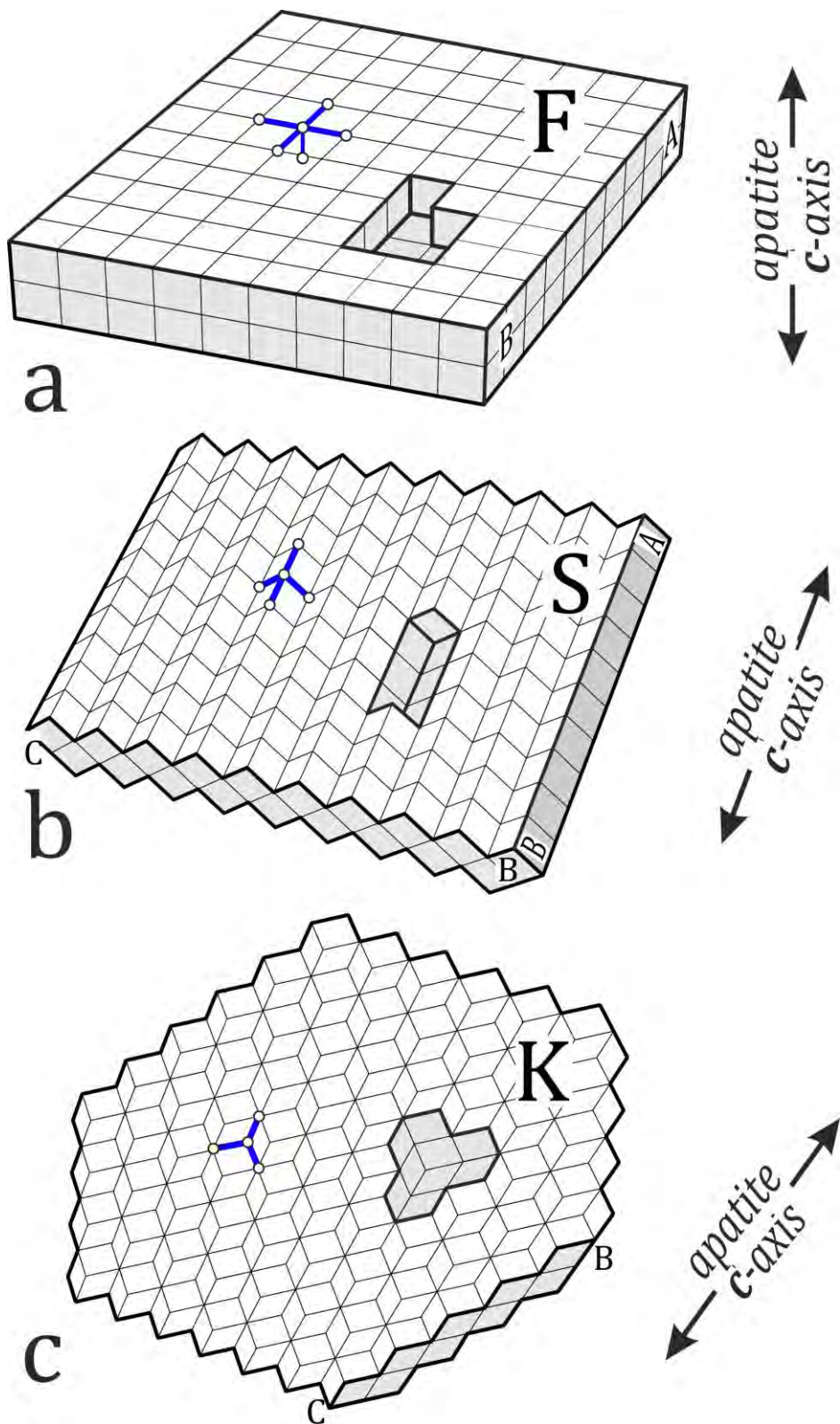
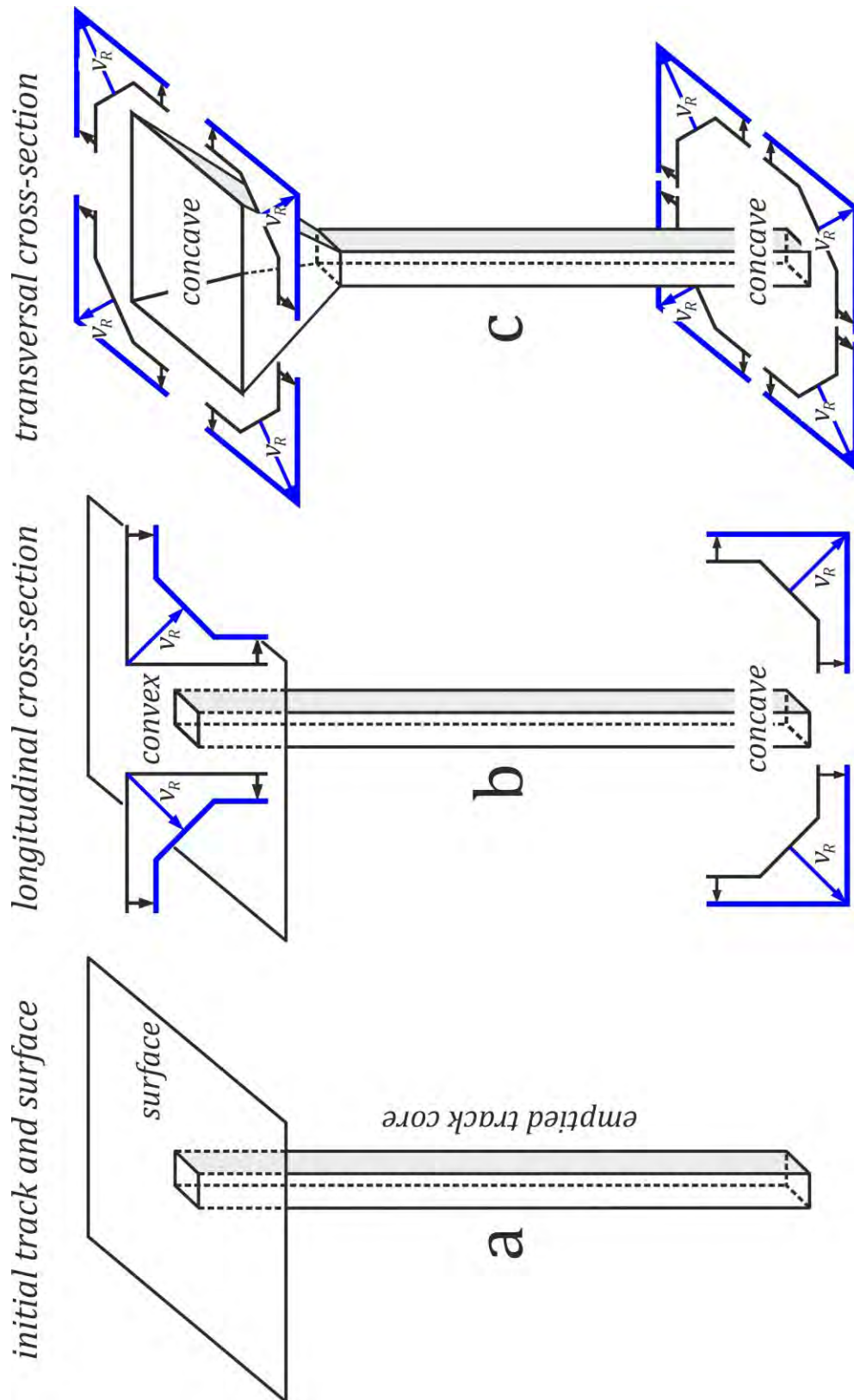


Figure 5



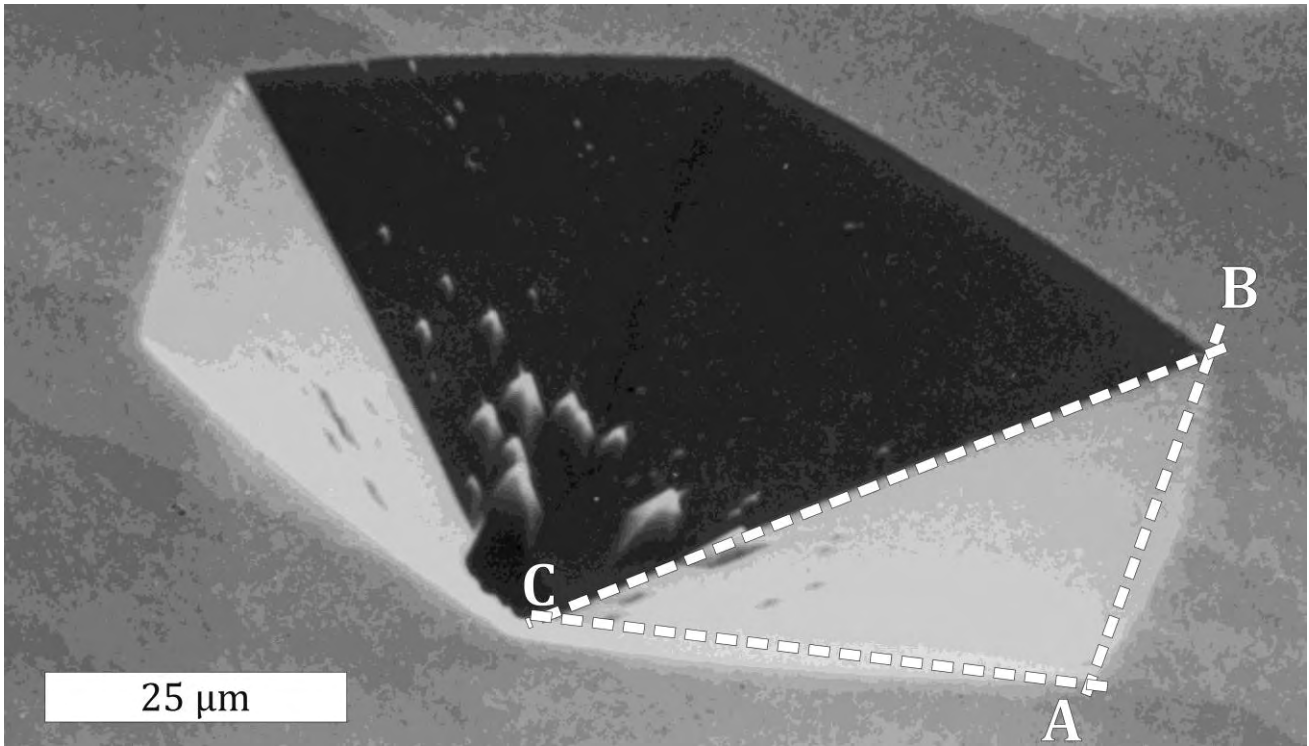


Figure 6

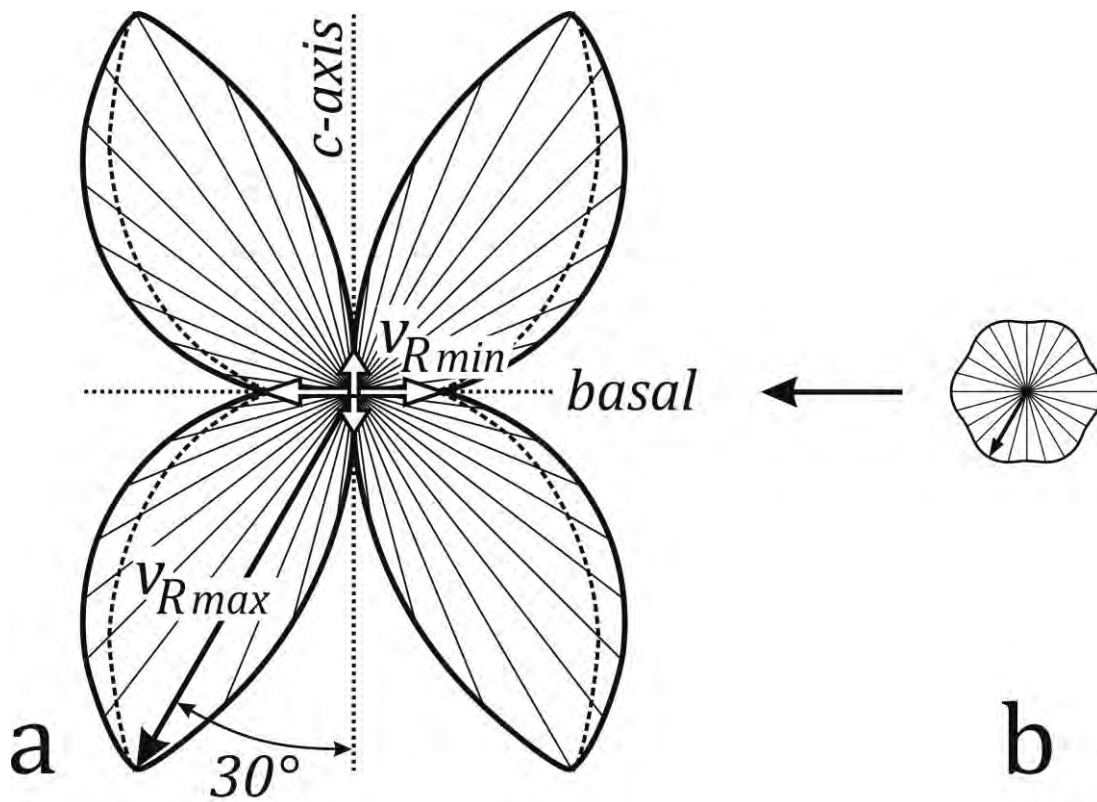


Figure 7

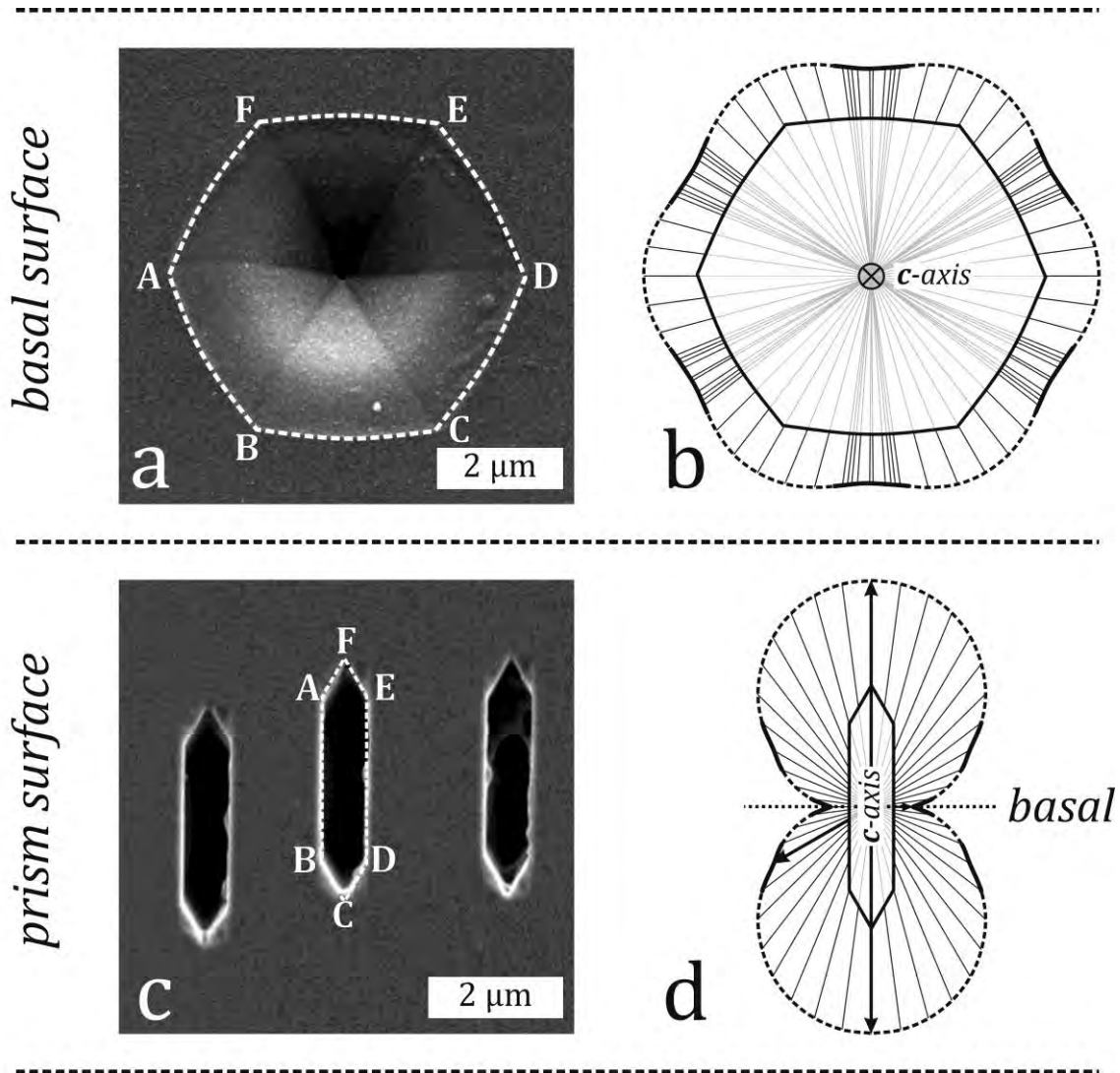


Figure 8

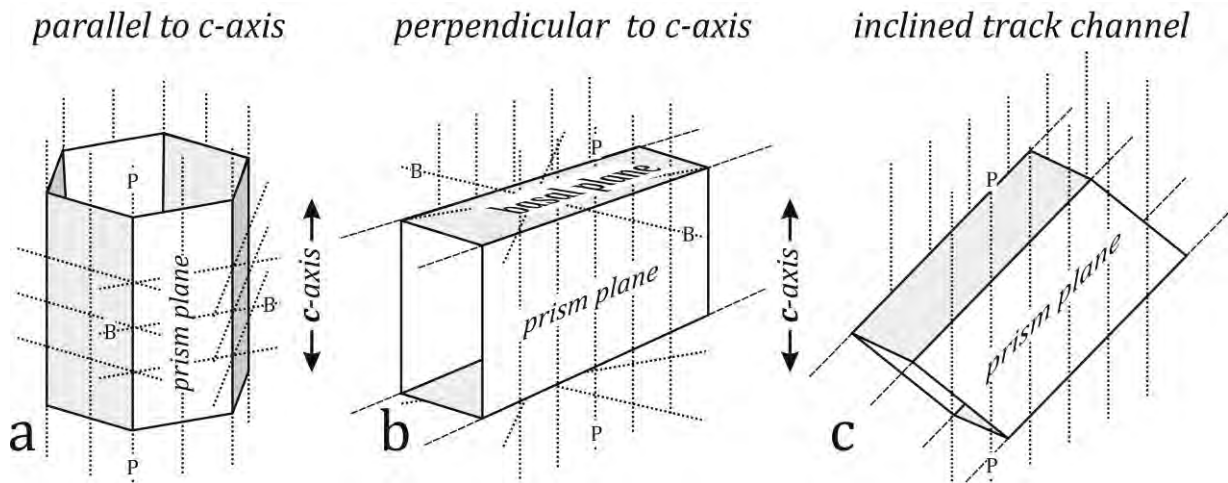


Figure 9

Figure 10

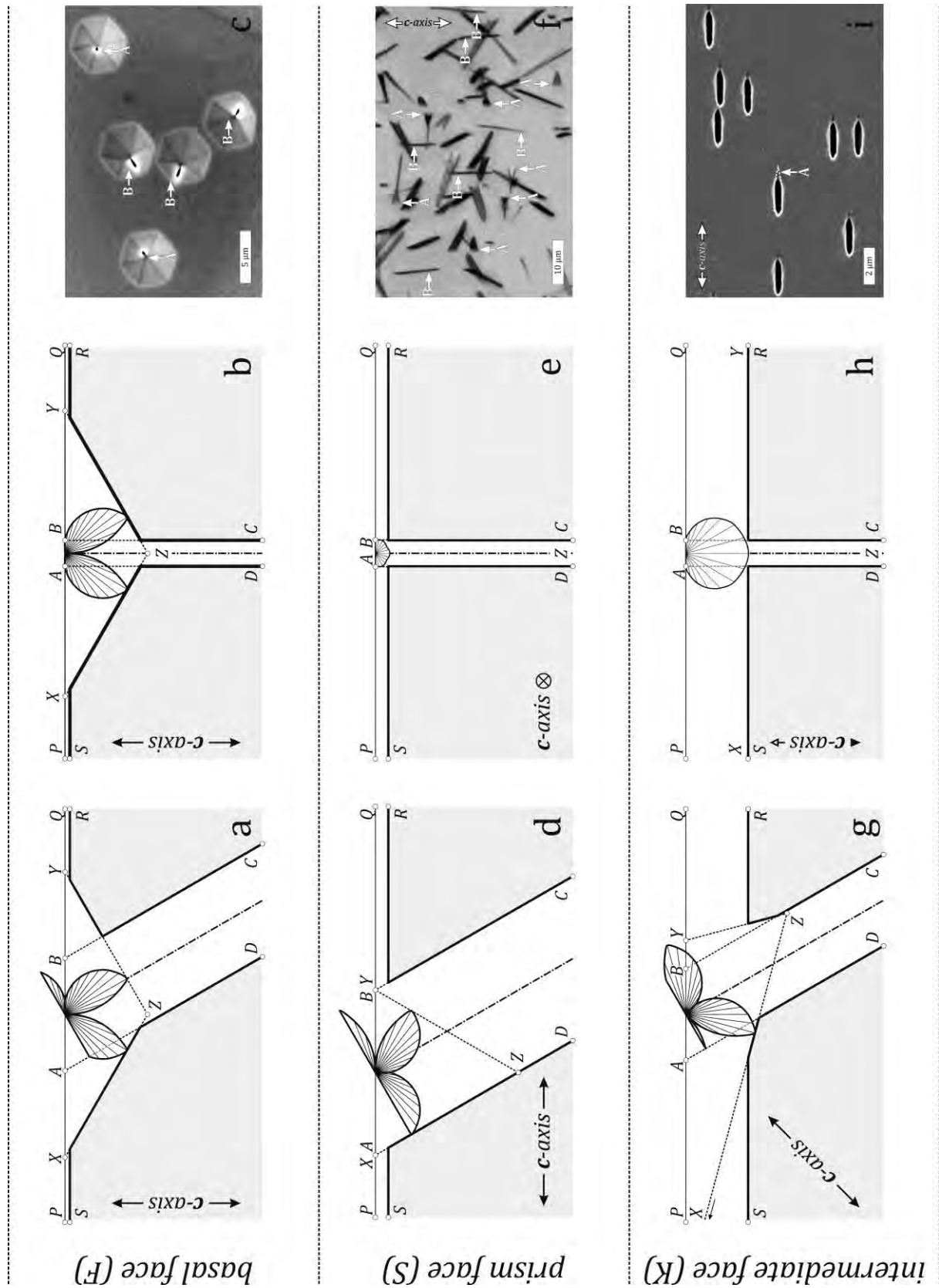


Figure 11

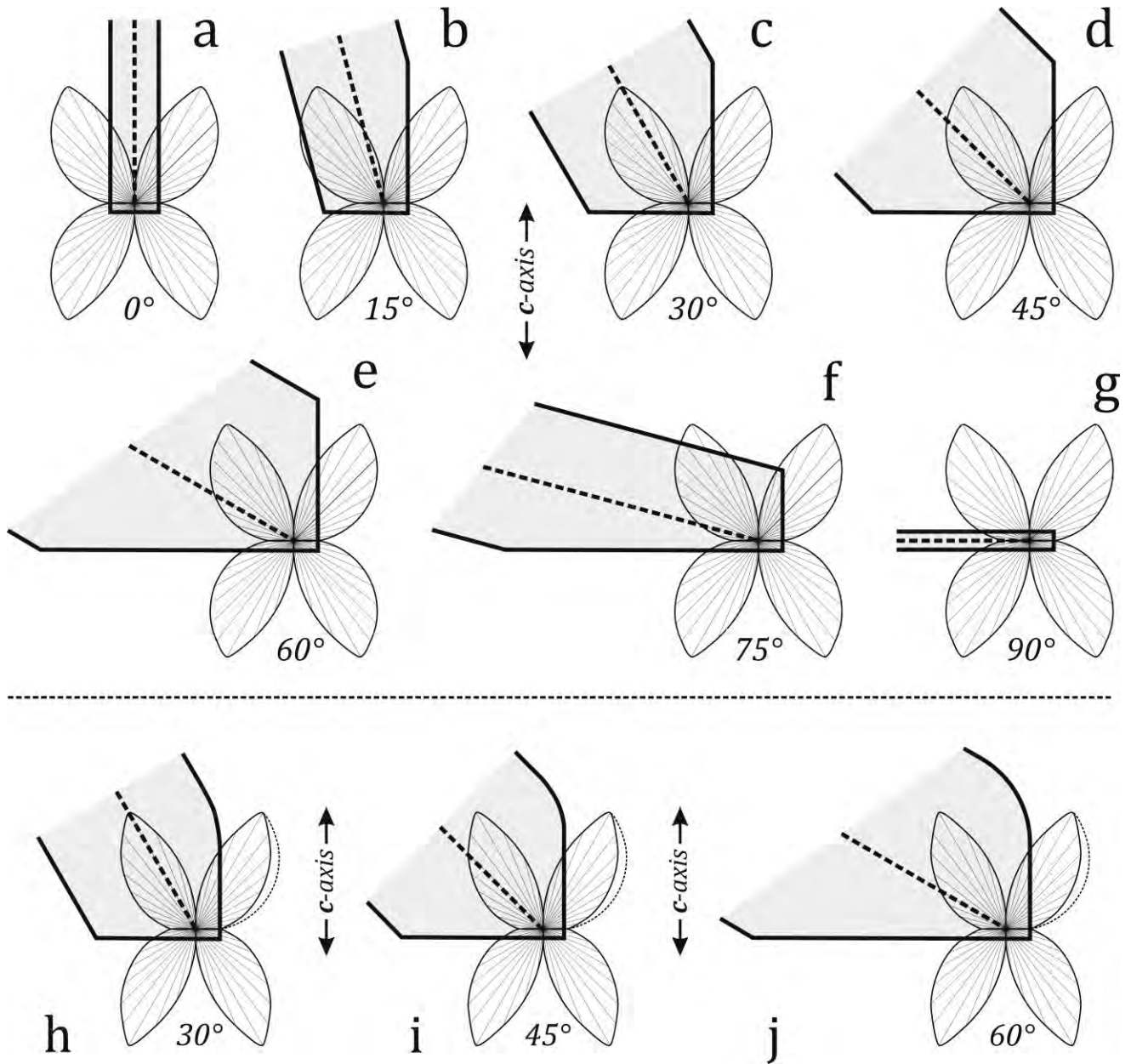


Figure 12

