1	Revision 1. In situ high-temperature vibrational spectra for synthetic and natural
2	clinohumite: implications for dense hydrous magnesium silicates in subduction
3	zones
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15 Abstract: Clinohumite is a potentially abundant silicate mineral with high water concentration (2~3 wt.% 16 H₂O), which is generated from dehydration of serpentine-group minerals in subduction zones. Previous 17 studies show that fluorine substitution ($OH^- = F^-$) can stabilize clinohumite to significantly higher 18 temperature in subduction zones, although temperatures within the slabs are thought to be well within the 19 stability field of both F-bearing and OH-clinohumite. We collected in situ high-temperature Raman and 20 Fourier transform infrared (FTIR) spectra for both the synthetic [Mg₉Si₄O₁₆(OH)₂] and natural 21 $[Mg_{7.84}Fe_{0.58}Mn_{0.01}Ti_{0.25}(SiO_4)_4O_{0.5}(OH)_{1.30}F_{0.20}]$ clinohumite samples up to 1243 K. Three OH bands above 22 3450 cm⁻¹ are detected for both the natural and synthetic samples with negative temperature dependence, 23 due to neighboring H-H repulsion in the crystal structure. Additional OH peaks are detected for the natural sample below 3450 cm⁻¹ with positive temperature dependence, which could be explained by non-polar F 24 25 substitution in the OH site. Hence, F⁻ substitution significantly changes the high-temperature behavior of 26 hydrogen bonds in the humite-group minerals. On the other hand, we evaluated the mode Grüneisen

27 parameters (γ_{iP} , γ_{iT}), as well as the intrinsic anharmonic parameters (a_i) for clinohumite, chondrodite, and 28 phase A, the dense hydrous magnesium silicate (DHMS) phases along the brucite-forsterite join. The 29 estimated averaged anharmonic parameters $(a_{i avg})$ for these DHMS phases are systematically smaller than 30 those of olivine. In order to model the thermodynamic properties of minerals (such as heat capacity) at the 31 high temperature conditions of the mantle, the DeBye model, which simply approximates the lattice 32 vibrations as harmonic oscillators, is commonly used. In contrast to forsterite, such quasi-harmonic 33 approximations are valid for clinohumite at subduction zone temperatures, as the anharmonic contribution 34 is no more than 2% when extrapolated to 2000 K. Hence, the classic DeBye model can reasonably simulate 35 the thermodynamic properties of these DHMS phases in subduction zones.

36 Key words: clinohumite; F substitution; Grüneisen parameter; anharmonicity; subduction slab; DHMS
37 phases

38

39 1. Introduction

40 Plate subduction is a geodynamic process that can transport water in the form of hydrous minerals 41 from the surface reservoir down into the upper mantle and transition zone (Zheng 2012) and perhaps the 42 lower mantle. Among various hydrous minerals, the serpentine-group (containing about 13wt% H₂O) 43 would break down at pressure-temperature conditions of the upper mantle, to generate a series of dense 44 hydrous magnesium silicate (DHMS) phases: such as Phase A (Mg₇Si₂O₈(OH)₆, 12 wt% H₂O), chondrodite 45 $(Mg_5Si_2O_8(OH)_2, 5 \text{ wt\% } H_2O)$, and clinohumite $(Mg_9Si_4O_{16}(OH)_2, 3 \text{ wt\% } H_2O)$ on the brucite - forsterite **46** join at pressures below 15 GPa (e.g. Wunder 1998; Berry and James 2001; Stalder and Ulmer 2001; Smyth 47 et al. 2006). These mineral phases may further transform to phase E (Mg₂SiO₂(OH)₄, 10 wt% H₂O), **48** super-hydrous phase B ($Mg_{10}Si_3O_{14}(OH)_4$, 3 wt% H_2O) and phase D ($MgSi_2O_4(OH)_2$, 10 wt% H_2O) above 49 15 GPa (e.g. Frost and Fei 1998; Irifune et al. 1998; Ohtani et al. 2001). These DHMS phases have been 50 proposed as potential carriers of water into the Earth's interior (McGetchin et al. 1970; Kanzaki 1991; Faust 51 and Knittle 1994; Wunder et al. 1995; Guo and Yoshino 2013). From the previous high-P,T experiments on 52 DHMS (Yamamoto and Akimoto 1977; Wunder 1998), we derive the formation of clinohumite from 53 dehydration of serpentine as in the chemical reactions below:

54
$$5 \text{ Mg}_3\text{Si}_2\text{O}_9\text{H}_4 \text{ (serpentine)} = 4 \text{ Mg}_2\text{Si}_2\text{O}_6 \text{ (orthoproxene)} + \text{Mg}_7\text{Si}_2\text{O}_{14}\text{H}_6 \text{ (Phase A)} + 7 \text{ H}_2\text{O}$$
(1)

55
$$Mg_7Si_2O_{14}H_6$$
 (Phase A) + 10 Mg_2SiO_4 (olivine) = 3 $Mg_9Si_4O_{18}H_2$ (clinohumite) (2)

56 Clinohumite is an expected phase in the breakdown of serpentine at high pressure in subducting slabs 57 (Yamamoto and Akimoto, 1977). Its stability is extended to lower pressures by the presence of Ti and F (Sá **58** nchez-Viscaino et al 2005; Shen et al 2015) so that it may become abundant under certain P-T condition in 59 the mantle. In order to evaluate the potential role of these DHMS phases in subduction slabs, significant 60 efforts have been devoted to explore the physical and chemical properties of humite-group minerals. 61 Crystal chemistry has been studied by both single-crystal X-ray diffraction (i.e., Ribbe and Gibbs 1988; 62 Camara 1997; Ottolini et al. 2000; Ye et al. 2015), and neutron diffraction (i.e., Berry and James 2001; 63 Friedrich et al. 2001, 2002) for both synthetic and natural samples, to explore compositional effect on the 64 crystal structures. The experiments on equations of state (i.e. Ross and Crichton 2001; Crichton and Ross 65 2002, 2005; Holl et al. 2006; Kuribayashi et al. 2008; Ye et al. 2013 and 2015; Qin et al. 2017) and **66** elasticity (Sinogeikin and Bass 1999; Ross et al. 2001; Friedrich et al. 2002; Kuribayashi 2004) **67** systematically show that OH concentration in these DHMS phases significantly increases the thermal **68** expansivity and compressibility, while decreasing the elastic moduli, as well as seismic velocities. On the **69** other hand, the interatomic vibration modes have been measured by Raman and FTIR spectra (i.e. Lin et al. 70 1999; Frost et al. 2007a and 2007b). So far, most of these spectral studies are conducted at high-pressure 71 and room temperature, while limited high-temperature Raman measurements have been reported for these 72 phases (Liu et al. 1997; Mernagh et al. 1999; Lin et al. 2000).

73 In this study, we focus on *in situ* high-temperature Raman and FTIR spectral measurements on both 74 synthetic and natural clinohumite samples. The synthetic sample is pure $Mg_9Si_4O_{16}(OH)_2$ (Ye et al. 2013), 75 whereas the natural samples generally have significant concentrations of F, Ti, Mn, and Fe (e.g. McGetchin 76 et al. 1970; Fujino and Takeuchi 1978; Shen et al. 2015). We explored the effect of fluorine on the behavior 77 of hydrogen bonds by FTIR, and the implication for the stability of clinohumite in subduction zones (Shen **78** et al. 2015; Grützner et al. 2017). In situ high-temperature vibrational spectra provide experimental 79 constraints on the mode Grüneisen parameters and intrinsic anharmonicity of clinohumite. Comparisons are 80 also made with previous high-temperature and high-pressure experiments on chondrodite (Lin et al. 1999;

81 Mernagh et al. 1999), phase A (Liu et al. 1997), as well as hydrous forsterite (Hushur et al. 2009; Yang et al.
82 2015a). We further evaluated the effect of hydration on the anharmonic contributions to the
83 thermodynamics properties for clinohumite, the DHMS phase generated from dehydration of
84 serpentine-group minerals in the subduction zones.

85

86 2. Experimental procedures

87 2.1. Sample characterization

The colorless synthetic clinohumite (run SZ0411B from Ye et al. 2013) is assumed to have the stoichiometric composition of $Mg_9Si_4O_{18}H_2$, whereas the natural mineral, dark yellow in color, was separated from clinohumite–diopside–garnet rocks from a marble-para-gneiss unit of the Central Dabie medium-T/UHP eclogite-facies zone from the Ganjialing area (Liu 2015).

92 Several natural crystals with grain size of $100 \sim 200 \,\mu\text{m}$ were selected from the bulk rock, and polished 93 on both sides to a thickness of 40 µm. Then, the composition was analyzed with a JEOL JXA-8100 94 Electron Probe Micro Analyzer equipped with four wavelength-dispersive spectrometers (WDS). An 95 electron beam with spot size of 10 um was focused on the surface of the sample with an accelerating 96 voltage of 15 kV and a current of 20 nA. Data were corrected on-line using a modified ZAF (atomic 97 number, absorption, fluorescence) correction procedure (Zhang and Yang 2016). Peak counting times were 98 10 s for Mg, Si, Fe, F and Cl; and 20 s for Ti and Mn, adopting the following certified standards: pyrope 99 garnet (Fe), diopside (Mg), rhodonite (Mn), olivine (Si), rutile (Ti), topaz (F), and sodium chloride (Cl). 100 Totally, eleven points were measured for the weight percentages of these oxides, and the averaged values 101 with standard deviations are listed in **Table 1**. The water content is 1.82 wt% for the natural clinohumite 102 and 2.90 wt% for the synthetic sample. The formula of the natural sample is interpreted as: 103 $Mg_{7.842}Fe_{0.582}Mn_{0.011}Ti_{0.250}(SiO_4)_4O_{0.500}(OH)_{1.296}F_{0.201}Cl_{0.001}$, with the Fe ratio of Fe/(Fe+Mg) = 0.069, while 104 the synthetic sample is assumed to have the nominal composition of $Mg_9Si_4O_{18}H_2$.

105 Clinohumite crystallizes in space group of $P2_1/b$, which is chosen as a nonstandard setting of $P2_1/c$, in 106 order to preserve the *a* and *b* axes of olivine. As shown in **Fig.1**, the crystal structure of clinohumite

107 includes five different octahedral M cation sites, noted as M1C, M1N, M25, M26 and M3, with the ratios 108 of 1:2:2:2:2 and 2 distinct tetrahedral Si cation sites (Si1 and Si2) (e.g. Fujino and Takéuchi 1978; Ottolini 109 et al. 2000; Berry and James 2001; Ye et al. 2013 etc). There are nine oxygen anion sites with the OFOH 110 site hosting a monovalent anion bonded to 3 M sites (2 M3 sites and 1 M25 site). It is also noted that there 111 are 2 distinct H sites (H1 and H2) in the structure (Abbott et al. 1989; Yamamoto and Akimoto 1997). Since 112 H1 site is so close to the inversion center that it could not be fully occupied, H2 becomes a secondary site 113 for a proton coordinating OFOH (Fujino and Takeuchi 1978; Berry and James 2001; Friedrich et al. 2001). The M3 site is the preferred octahedral site for Ti^{4+} by the substitution $Mg^{2+}+2OH^{-}=Ti^{4+}+2O^{2-}$, in order to 114 115 relieve the need for the H2 position (Robinson et al. 1973; Ribbe 1979; Friedrich et al. 2001). Hence, in this natural clinohumite sample, 25% of the M3 sites is occupied by Ti⁴⁺, while the occupancies of OH⁻, F⁻ and 116 117 O²⁻ in the OFOH sites are 65%, 10% and 25%, respectively. The M3 and OFOH sites have equal 118 multiplicities in the unit cell of clinohumite.

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120 2.2. *In situ* high-temperature Raman and FTIR spectroscopy

121 In situ high-temperature Raman spectra were measured using a Dilor XY micro-Raman system, with 122 the LABRAM-HR spectrometer (Yang et al. 2015a). The natural and synthetic crystals, about 100×150×50 123 µm in size, were loaded on the sapphire plate in a Linkam TS1500 heating stage. A resistance heater was 124 used to produce high temperature, and an S-type thermocouple was used to measure temperature with 125 uncertainties of less than 1 K. The samples were heated from 300 K up to 1050 K, with an increment of 50 126 K and a heating rate of 25 K/min, which had been programmed into an automatic temperature control unit. 127 At each step, the target temperature was held for at least 5 min for thermal equilibration before 128 measurement. The sample chamber of the heating stage was filled with N_2 as a 'protecting gas' to avoid any 129 potential degradation of the samples at high temperatures. The spectra at high temperatures were collected 130 in the frequency range of 100–1200 cm⁻¹ with a duration of 10 minutes. A green beam ($\lambda = 514.5$ nm) from 131 an Ar-ion laser was used for excitation, and focused to a diameter of 10 um onto the surface of the sample 132 through an objective lens $(50\times)$. The spectrometer was calibrated using a single-crystal of silicon as a 133 reference.

134 Unpolarized FTIR spectra were obtained from 3000 to 4000 cm⁻¹ on a Nicolet iS50 FTIR 135 spectrometer coupled with a Continuum microscope using a KBr beam-splitter and a liquid-nitrogen cooled 136 MCT-A detector. Totally, 128 scans were accumulated for each spectrum at a resolution of 4 cm⁻¹. The 137 aperture size was set to about 70 \times 70 μ m², which was smaller than the grain size. Measurements were 138 made in optically clean, inclusion- and crack-free areas. Background was also collected at each step after 139 the measurement on the sample, and the spectra collected at different temperatures on the same selected 140 area were used for consistent comparison. Peakfit v4.12 software was adopted for the analyses of both 141 Raman (Yang et al. 2015a) and infrared spectra (Yang et al. 2015b).

Single crystals of the clinohumite samples were mounted on the sapphire plate of an Instec HS1300 heating stage, equipped with a resistance heater and an S type thermocouple. The sample temperature was determined with a typical precision of less than 1K. The high-temperature infrared spectra were measured with a heating rate of 10 K/min and an increment of 50 K. For every temperature step, the dwell time was 5 min. Another spectrum was taken for the natural sample when the temperature was quenched to room temperature after heating.

148

149 3. Results and discussion

150 3.1. Raman modes at room and high temperatures

151 Raman spectra for both the natural and synthetic samples at ambient conditions (Fig. 2), and their 152 fitted Raman bands are also given (**Table 2**). There are, in total, 191 potential vibrational modes (including 153 94 Raman-active modes and 97 IR-active modes) for OH-clinohumite based on space group $P2_1/c$. 154 according to the symmetry analysis from Lin et al. (2000). These vibrational modes are divided into five 155 groups: (1) 75 modes below 365 cm⁻¹ correspond to translations of the MgO₆ octahedra and SiO₄ tetrahedra; 156 (2) 64 modes from 380 to 700 cm⁻¹ are assigned to the SiO₄ rotations and internal bending modes; (3) 16 157 modes from 710 to 780 cm⁻¹ are ascribed to translations and rotations of OH groups; (4) 32 modes from 158 820 to 970 cm⁻¹ are attributed to the SiO₄ internal stretching modes; (5) 4 modes from 3500 to 3600 cm⁻¹ are ascribed to the OH internal stretching modes. There are two fairly strong peaks at 651 and 691cm⁻¹ (in the 159

160 frequency range for SiO_4 internal bending modes) were detected exclusively in the natural sample. Similarly, Ye et al (2013) also observed strong Raman peaks at 650 and 690 cm⁻¹ for the Fe-bearing 161 162 OH-clinohumite sample (SZ0407B), as opposed to the Mg-pure one (SZ0411B). Such difference might be 163 explained by the effect of chemical impurity (e.g., Fe), and the incorporation of larger Fe^{2+} cations into 164 some octahedral sites could distort neighboring SiO₄ tetrahedra to some extent. Besides, some Raman 165 peaks are observed to be relatively more intense in the natural sample, such as the bands at 755 and 780 cm^{-1} , as well as the modes at 133, 233 and 384 cm^{-1} in the low-frequency range, which might be caused by 166 167 Fe and Ti ordering into the octahedral sites.

168 The SiO₄ internal stretching modes at 861, 842 and 830 cm⁻¹ are more intense than the other modes for 169 the clinohumite samples (Fig. 2), and such strong SiO_4 modes are also observed for olivine (Gillet et al. 170 1991; Yang et al. 2015a), chondrodite (Lin et al. 1999; Mernagh et al. 1999), humite (Frost et al. 2007a), 171 norbergite (Liu et al. 1999), and Phase A (Liu et al. 1997), etc. Based on the selection rules of Raman 172 spectroscopy, the incident light induces an instantaneous dipole moment through deforming the electron 173 cloud around the molecule, and the intensity of the Raman mode depends on how easily the electron cloud 174 can be deformed, i.e. the polarizability of the electron cloud (Colthup et al. 1964). Hence, the strong SiO_4 175 internal stretching modes suggest the high polarizability of the oxygen environments in the SiO_4 176 tetrahedron (Yang et al. 2015a).

177 High-temperature Raman spectra were obtained from room temperature up to 1050 K for both the 178 natural and synthetic samples, and the selected Raman spectra are shown in Fig. 3 (a, b), respectively. 179 Despite some bands becoming quite broad at high temperature, most of the peaks remain distinguishable 180 throughout the temperature range, allowing fair fitting of peak positions. For the natural sample, the 181 intensities of the bands at 133, 233 and 384 cm⁻¹ increase at high temperature range of 400 \sim 850K. The 182 synthetic sample persisted up to 1050 K in this study, whereas Lin et al. (2000) reported that synthetic 183 clinohumite can persist up to 873K. The Raman spectra of the samples quenched from 1050 K are also 184 compared in Fig. 3 (a,b) for both the samples. Most of the peaks measured before and after heating do not 185 shift significantly. However, the intensities of some peaks become significantly weaker for the natural 186 sample: the peaks at 133, 233, 384 cm⁻¹ associated with lattice vibration, as well as the ones at 755, 780

187	cm ⁻¹ attributed to M ²⁺ OH deformation. This might be explained by the order-disorder transition for Fe or Ti
188	at certain high temperature, and the disorder arrangement was maintained during the fast quenching process
189	after heating to 1050 K.

190 Variation of the Raman shift frequencies with temperature for both the natural and synthetic samples 191 are plotted in Fig. 4 (a-c). The bands systematically shift to lower frequencies with increasing temperature 192 except for the weak band at 744 cm⁻¹ in the synthetic sample. Fairly linear regressions are recognized 193 between the Raman shifts and temperature for both these samples throughout the measured temperature 194 range up to 1050 K. The temperature dependences of these Raman modes, $(\partial v_i/\partial T)_P$, are listed in **Table 2**.

195

196 **3.2. FTIR at ambient temperature**

197 The FTIR spectra of the clinohumite samples obtained at ambient conditions are compared in Fig. 5, 198 and the fitted peak positions for the OH-stretching bands are listed in Table 2. The OH-stretching bands 199 frequency (cm⁻¹) are controlled by the hydrogen bond length (d_{0} H, Å), and Libowitzky (1999) proposed an 200 empirical formula as below:

201
$$d_{O...H} = -0.2146 \times Ln(\frac{3632 - v_i}{1.79 \times 10^6})$$
(3)

202 Three OH bands around 3609, 3560, 3526 cm⁻¹ are observed for both the natural and synthetic 203 clinohumite samples, which were also reported in previous FTIR (Liu et al. 2003; Frost et al. 2007b) and 204 Raman (Lin et al. 2000; Frost et al. 2007a; Ye et al. 2013; Shen et al. 2014) measurements, as compared in 205 **Table 3**. These OH bands corresponds to $d_{0...H}$ of 2.09 ~ 2.41 Å, which is consistent with the structure 206 refinement on the deuterated hydroxyl-clinohumite sample by neutron powder diffraction (Berry and James 207 2001) (Table 4). No extant Raman or FTIR studies of clinohumite report OH-stretching frequencies above 208 3615 cm⁻¹ corresponding to hydrogen bond lengths greater than 2.5 Å (**Table 3**.)

209 Alternatively, additional bands in natural clinohumite are detected at 3161, 3233, 3309, 3397 and 3436 cm⁻¹ (1.77 ~ 1.96 Å for $d_{0...H}$), while no OH bands are observed below 3450 cm⁻¹ for the synthetic sample. 210 211 In addition, Shen et al. (2014) also reported an OH band at 3393 cm⁻¹ for natural F-bearing clinohumite.

212 Similar phenomena were also observed in chondrodite: for synthetic OH-chondrodite, OH bands were only **213** detected above 3450 cm⁻¹ ($d_{O...H} > 2$ Å) (e.g. Akaogi and Akimoto 1980; Liu et al. 2003; Kuribayashi et al. **214** 2004); while for natural F-bearing samples, more peaks around 3380 ~ 3390 cm⁻¹ (1.90 ~ 1.91 Å for $d_{O...H}$) **215** were observed as well as the ones above 3450 cm⁻¹ (e.g. Williams 1992; Cynn et al. 1996; Kuribayashi et al. **216** 2004; Prasad and Sarma 2004).

It is proposed that the hydrogen bonds are elongated due to the H-H repulsion between the adjacent OH pair in the crystal structures of the humite-group minerals (Lin et al. 1999 and 2000; Liu et al. 1999; Hushur et al. 2009), as shown in the **Fig. 6**. While in the natural samples, some of the OH⁻ groups are replaced by F⁻ anions. Such H-H repulsion would vanish if the neighboring OH⁻ group is substituted by F⁻ anion, and then the hydrogen bond length becomes shortened, with the OH bands shifting to lower frequencies (below 3450 cm⁻¹).

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224 3.3. FTIR spectra at high temperatures

225 High-temperature FTIR spectra were collected at temperatures up to 1093 K for the synthetic 226 clinohumite sample. Breakdown occurred while the sample was subsequently heated to 1143 K. On the 227 other hand, the natural sample survived up to 1243 K, due to F incorporation (Engi and Lindsley 1980; 228 Khodyrev et al. 1992; Grützner et al. 2017) (Fig. 7). The main OH bands survived after high temperature 229 heating and the integral absorbance for the OH bands in the frequency range of 3000 - 3700 cm⁻¹ remained 230 almost the same as that before heating, suggesting no significant dehydration at high temperatures up to 231 1243 K (Paterson 1982; Libowitzky and Rossman 1997; Balan et al. 2008). Overall, the OH bands 232 generally become broader and weaker at higher temperature (e.g. Suzuki and Nakashima 1999; Zhang et al. 233 2007; Yang et al. 2010, 2015b). While in this measurement, the main OH bands around 3309, 3397, 3526, 234 3560 and 3610 cm⁻¹ remained distinguishable at high temperatures, except that the weak peak around 3610 235 cm⁻¹ overlapped severely with the one at 3560 cm⁻¹ above 900 K, and could not be fitted separately. The 236 variations of the frequencies with temperature are plotted in Fig. 8 with nearly linear regressions, and the 237 fitted temperature derivatives $(cm^{-1} \cdot K^{-1})$ for these OH bands are listed in **Table 2**.

238 For both the synthetic and natural clinohumite samples, the OH bands above 3450 cm⁻¹ systematically 239 shifted to lower frequencies with increasing temperature, with the slopes of $-0.23 \sim -0.28$ (cm⁻¹·K⁻¹) for the 240 strong peaks around 3530 and 3560 cm⁻¹, and -0.44 (cm⁻¹ K⁻¹) for the weak peak at 3610 cm⁻¹. Negative 241 temperature dependence for the OH bands above 3450 cm⁻¹ also has been reported for the humite-group 242 minerals (i.e., Lin et al. 2000 for OH-clinohumite; Mernagh et al. 1999 for chondrodite; and Liu et al. 1999 243 for norbergite). Such phenomena at high temperature are consistent with the positive pressure dependence 244 for the OH bands above 3450 cm⁻¹ (i.e. Lin et al. 1999 and 2000; Liu et al. 1999 and 2003; etc.). This 245 special behavior for the humite-group minerals at high temperatures and high pressures could be explained 246 by the H-H repulsion between the adjacent OH pairs (Lin et al. 1999 and 2000). During thermal expansion 247 at high temperatures, the H-H repulsion becomes weaker at larger atomic distance, and hydrogen atoms 248 move backward against elongation of the hydrogen bond (Fig. 6). Hence, $d_{0,...H}$ bond lengths decrease with 249 increasing temperature. Similarly, $d_{\rm O \ H}$ increase at higher pressure, due to the enhancement of the H-H 250 repulsion at shorter atomic distance upon compression.

251 Conversely, the peaks at 3310 and 3400 cm⁻¹ for the natural sample show positive temperature 252 dependence, instead, because the H-H repulsion vanishes due to the replacement of the neighboring OH⁻ by 253 F. Then, the d_{Ω} H bond lengths increase at higher temperature (shifting to higher frequencies), which is 254 common for the hydrogen bonds in most of hydrous silicate minerals. The hydrogen bonds in hydrous 255 phases generally become shortened at higher pressure, and the OH stretching bands shift to lower frequency, 256 such as in H₂O ice polymorphs (e.g. Abebe and Walrafen 1979; Walrafen et al. 1982), brucite (e.g. Duffy et 257 al. 1995), Phase A (e.g. Liu et al. 1997), Phase D (e.g. Shieh et al. 2009 for OH bands above 3000 cm⁻¹), 258 Phase E (e.g. Kleppe et al. 2001; Shieh et al. 2009), hydrous forsterite (e.g. Hushur et al. 2009), hydrous 259 wadslevite (e.g. Liu et al. 1998; Kleppe et al. 2006), hydrous ringwoodite (e.g. Kleppe et al. 2002; Yang et 260 al. 2014), and so on.

It should be noted that Williams (1992) and Kuribayashi et al. (2004) also reported positive pressure dependence for the OH bands above 3450 cm⁻¹, while negative pressure dependence for the OH bands below 3450 cm⁻¹ in natural F-bearing chondrodite at high pressure. Such high-pressure behavior of natural chondrodite is consistent with our high-temperature observations on the natural clinohumite sample. 265

266 3.4. Grüneisen Parameters and Intrinsic Anharmonicity

There are two parts of the contribution to the temperature- and pressure- dependence of the frequency (v_i): pure volume variation at high temperature / pressure and pure temperature / pressure contribution arising from intrinsic anharmonicity (Gillet et al. 1991; Okada et al. 2008; Yang et al. 2015a). The Grüneisen parameters in isobaric (γ_{iP}) and isothermal (γ_{iT}) modes, as well as the intrinsic anharmonicity (a_i), are derived in Eqns (4-6):

272
$$\gamma_{iP} = \left(\frac{\partial \ln v_i}{\partial \rho}\right)_P = -\frac{1}{\alpha \cdot v_{0i}} \left(\frac{\partial v_i}{\partial T}\right)_P \tag{4}$$

273
$$\gamma_{iT} = \left(\frac{\partial \ln v_i}{\partial \rho}\right)_T = \frac{K_T}{v_{0i}} \left(\frac{\partial v_i}{\partial P}\right)_T$$
(5)

274
$$a_{i} = \left(\frac{\partial \ln v_{i}}{\partial T}\right)_{V} = -\alpha \cdot (\gamma_{iP} - \gamma_{iT})$$
(6)

275 Where v_{0i} are the mode frequencies at ambient conditions, while $(\partial v_i/\partial T)$ and $(\partial v_i/\partial P)$ are the 276 temperature and pressure derivatives of the frequency (v_i) , respectively. α is the thermal expansion 277 coefficient, and K_T is the isothermal bulk modulus at ambient condition. The intrinsic anharmonic 278 parameter (a_i) is significant in thermodynamic systems, which show the anharmonicity induced by 279 temperature and pressure.

Adopting the averaged volume thermal expansion coefficients of 36.8×10^{-5} K⁻¹ for synthetic clinohumite and 34.9×10^{-5} K⁻¹ for the natural sample from Ye et al. (2013), we calculate the mean isobaric Grüneisen parameters (γ_{iP}) for the Raman (100 ~ 1000 cm⁻¹) and IR (3000 ~ 4000 cm⁻¹) modes. On the other hand, Lin et al. (2000) measured high-pressure Raman spectra for Mg-pure OH-clinohumite.

284 By combing extant results of high-pressure Raman scattering of Mg end member OH-clinohumite (Lin

et al. 2000) and the isothermal bulk modulus $K_T = 119.47$ GPa determined by Crichton and Ross(2002), we can also derive the isothermal Grüneisen parameters (γ_{iT}), as well as the corresponding intrinsic anharmonicity (a_i). The mode Grüneisen and intrinsic anharmonicity parameters for clinohumite are listed in Table 2.

289 The isobaric Grüneisen parameters (γ_{iP}) for both the natural and synthetic clinohumite samples from 290 this study are compared with those for phase A (Liu et al. 1997), OH-chondrodite (Mernagh et al. 1999), as 291 well as hydrous forsterite ($C_{H2O} = 0.9$ wt.%; Yang et al. 2015a) in Fig. 9(a). To simplify the calculation, 292 linear regressions between frequencies and temperature are fitted for the Raman modes measured at high 293 temperatures, and the mean thermal expansion coefficients are adopted for phase A (Pawley et al. 1995), 294 chondrodite (Ye et al. 2015), and hydrous forsterite (Ye et al. 2009). Pressure dependence for the Raman 295 modes also has been measured for OH-clinohumite (Lin et al. 2000), OH-chondrodite (Lin et al. 1999), 296 phase A (Liu et al. 1997) and hydrous forsterite (Hushur et al. 2009). We calculated the isothermal 297 Grüneisen parameters (γ_{iT}), as in Eqn (5), from the reported isothermal bulk moduli for synthetic 298 OH-clinohumite and OH-chondrodite (Ross and Crichton 2001), phase A (Crichton and Ross 2002), as well 299 as hydrous forsterite (Smyth et al. 2005). The γ_{iP} modes parameters for these hydrous phases are also 300 compared in Fig. 9(b).

301 For phase A, chondrodite, clinohumite and hydrous forsterite, the isobaric (γ_{iP}) and isothermal (γ_{iT}) 302 Grüneisen parameters range $0.4 \sim 3$ for the lattice vibration modes, $-0.4 \sim 2.2$ for the SiO₄ bending modes, 303 $0 \sim 1$ for the SiO₄ stretching modes, and $-0.3 \sim 0.3$ for the OH stretching modes, respectively. The mode 304 Grüneisen parameters (γ_{iP} and γ_{iT}) for the lattice vibrations are generally greater than those for the SiO₄ 305 stretching modes, since the bond strength of Mg-O is weaker than that for Si-O, and MgO_6 octahedra show 306 larger thermal expansivity and compressibility than SiO_4 tetrahedra in silicate minerals (e.g. Smyth et al. 307 2004; Qin et al. 2017). Besides, the mode Grüneisen parameters for OH stretching are close to zero, and 308 even smaller than those for the SiO₄ stretching vibrations.

309 Based on the derived isobaric and isothermal mode Grüneisen parameters, we can further calculate the 310 intrinsic anharmonicity parameters (a_i) for OH-clinohumite, phase A, OH-chondrodite, as well as forsterite, 311 which are compared in Fig. 10. In the frequency range of the SiO₄ stretching modes (800-1000 cm⁻¹), 312 which are the most intense in the Raman spectra, chondrodite and phase A systematically show higher a_i 313 parameters (but smaller in absolute values) than clinohumite and hydrous forsterite. That is because the 314 measured γ_{iP} Grüneisen parameters for chondrodite and phase A (Liu et al. 1997; Mernagh et al. 1999) are 315 lower than those for clinohumite and hydrous forsterite (this study; Yang et al. 2015a) (Fig. 9a). Besides, 316 phase A and clinohumite also show higher a_i parameters (but smaller in absolute values) than hydrous 317 forsterite in the range of lattice vibrations below 350 cm⁻¹. The a_i parameters for OH stretching modes ranges from -0.9 to 0.1×10^{-5} K⁻¹ for these humite-group minerals, and the absolute values of a_i parameters 318 319 are much smaller than those for the lattice and SiO₄ internal modes.

320 According to the model to calculate isochoric heat capacity C_V (Kieffer 1979; Hofmeister 1987; Gillet 321 et al. 1991), the contributions to the macroscopic C_V by a set of *m* optic continua for different groups of 322 spectroscopic modes is given as:

323
$$C_{V} = 3nR \sum_{i=1}^{m} \frac{n_{i}}{N} \int_{x_{li}}^{x_{ui}} \frac{x_{i}^{2} \exp(x_{i}) \cdot dx_{i}}{(x_{ui} - x_{li}) [\exp(x_{i}) - 1]^{2}} = 3nR \sum_{i=1}^{m} C_{vi}^{h}$$
(7)

Where *n* is the number of atoms in the formula, *R* is the gas constant, while *N* is the total number of the vibrational modes. n_i is the number of modes in its continuum with the lower and upper cutoff frequencies of v_{ui} and $v_{li.}$. The variable $x_i = hv_i/kT$, and C_{vi}^h is microscopic harmonic heat capacity from the *i*th vibrational mode. When taking the anharmonic contribution (a_i) at elevated temperatures into consideration, the isochoric heat capacity becomes:

329
$$C_{v} = 3nR \sum_{i=1}^{m} C_{vi}^{h} \cdot (1 - 2a_{i}T)$$
(8)

330

Based on the spectroscopic data from Lin et al. (2000) and this study, the model of density of states for OH-clinohumite is derived, and compared with that for forsterite (Gillet et al. 1991) in Fig. 11(a). Totally 191 vibrational modes were calculated for OH-clinohumite (Lin et al. 2000), and the upper and lower cutoff frequencies for each continuum are estimated from the measurements of Lin et al. (2000) and

334	
335	this study. The internal modes of SiO ₄ tetrahedra are classified into 4 groups with the order: v_3 (asymmetric
226	stretching) > v_1 (symmetric stretching) > v_4 (asymmetric bending) > v_2 (symmetric bending), and the ratio
330	between the number of stretching modes $(v_1 + v_3)$ to bending modes $(v_2 + v_4)$ is equal to 4 : 5 (e.g.
337	Hofmeister and et al. 1987). The average a_i for each continuum, calculated in this study, is also listed in the
338	figure.
339	
240	According to Eqns (7) and (8), we further calculated the harmonic $(C_{\nu_{h}})$ and anharmonic $(C_{\nu_{ah}})$ heat
340 341	capacities for OH-clinohumite, and comparison is made with those for forsterite (Gillet et al. 1991;
342	Ottonello et al. 2009) in Fig. 11(b). Even when extrapolated to 2000 K, the difference between harmonic
2.42	and anharmonic capacities is as small as 2 % for clinohumite, while such difference could be up to 7 % for
343 344	forsterite (Gillet et al. 1991; Ottonello et al. 2009; Yang et al. 2015). It is noted that the harmonic modes for
344	forsterite from Gillet et al. (1991) and Ottonello et al. (2009) agree very well with each other especially
345	
346	above 800 K. In addition, Holland and Powell (1998) modeled the molar isobaric heat capacity (C_P) for
	clinohumite, as a function of temperature:

347
$$C_{P}(kJ \cdot mol^{-1} \cdot K^{-1}) = 1.07 - 1.6533 \cdot 10^{-5} \times T - \frac{7.8996 \cdot 10^{3}}{T^{2}} - \frac{7.3739}{\sqrt{T}}$$
(9)

348

Then the isochoric heat capacity is derived according to the relation below:

$$C_V = C_P - T \cdot V \cdot \alpha^2 \cdot K_T$$
⁽¹⁰⁾

350

351 The C_V profile for clinohumite based on Eqns (9) and (10) is also plotted in **Fig. 11(b**), which agrees well with the models from this study, with a relative difference within 2 % up to 2000 K.

352

353 4. Implications for DHMS phases

354 Clinohumite is a potentially abundant Mg-silicate mineral with high water content in subduction zones. 355 Our *in situ* high-temperature FTIR spectra indicate that F-bearing natural clinohumite may survive up to 356 1243 K without significant dehydration at ambient pressure, whereas the synthetic OH-clinohumite breaks 357 down at 1143 K. In the crystal structure of hydroxyl clinohumite, the hydrogen bonds are elongated due to 358 neighboring H-H repulsion. While such repulsion effect is reduced by F^- substitution (OH⁻ = F^-), and the 359 corresponding H-bonds will become shorter and strengthened. This microscopic phenomenon provides a 360 potential explanation for the possibility that F might stabilize clinohumite to significantly higher 361 temperatures, even above the normal mantle geotherm at low pressure (Engi and Lindsley 1980; Khodyrev 362 et al. 1992; Stalder and Ulmer 2001; Grützner et al. 2017). Moreover, crystal growth evidence in natural 363 samples shows that the F substitution may be crucial in stabilizing the crystal structure of the humite 364 minerals by alleviating neighboring H-H repulsion force (Mernagh et al. 1999). This might explain the 365 absence of OH-pure humite minerals in nature (Fujino and Takéuchi 1978). If the H position were fully 366 occupied in an OH-pure clinohumite it would result in an H-H pair with a very short distance. This can be 367 relieved by having a F⁻ in one half the hydroxyl positions, or by having the M3 position partially occupied 368 by Ti $(Mg^{2+}+2OH^{-} = Ti^{4+}+2O^{2-})$. In addition, we also identified that the OH-stretching bands at the high 369 frequency range, which correspond to the hydrogen bonds elongated due to neighboring H-H repulsions, 370 show negative temperature dependence, as opposed to the general behaviors of hydrogen bonds in most of 371 hydrous (hydrogen bonded) minerals at high-temperature / high-pressure conditions.

372 On the other hand, the thermodynamic properties (such as heat capacity) of minerals in the mantle are 373 generally not amenable to experimental tests, since they are unstable at moderate temperatures at low 374 pressure conditions in the laboratory. Then, at high temperature mantle conditions, the heat capacities are 375 typically estimated from the DeBye model for isochoric heat capacity (C_v) , which only considers harmonic 376 lattice vibrations (such as in Cynn et al. 1996; Holland and Powell 1998 for many minerals in the mantle). 377 However, the limitations of such quasi-harmonic approximation become severe at high temperature (e.g. 378 Gillet et al. 1991), and the anharmonic contribution must be taken into account (Oganov and Dorogokupets 379 2004). For example, the heat capacity (C_{ν}) for forsterite is underestimated by up to 7 % in the harmonic 380 model, compared with the more realistic anharmonic model, at the temperature conditions of the upper 381 mantle (Gillet et al. 1991; Yang et al. 2015a). Nevertheless, our study suggests that the DeBye model could

be a reasonable estimation for clinohumite, as a representative of the DHMS phases in the subducting slab, with the anharmonic contributions of no more than 2% at high temperatures in the subduction zone. In addition, the estimated averaged intrinsic anharmonic parameters (a_{i_avg}) for chondrodite and phase A (from **Fig. 10**) are smaller in absolute values, compared with that for forsterite, implying that the anharmonic contributions to the thermodynamic properties in these DHMS phases in the subduction zone could be significantly smaller.

388 In addition, high-temperature X-ray diffraction experiments further reveal that the DHMS phases 389 which form from dehydration of serpentine in the subducting zones, systematically show larger thermal 390 expansivities than olivine, the most abundant mineral in the upper mantle (e.g. Pawley et al. 1995 for phase 391 A; Ye et al. 2013 and 2015 for clinohumite and chondrodite, respectively; Litasov et al. 2007 and 2008 for 392 superhydrous phase B and phase D, respectively). Potential experiments are still needed for constraints on 393 the thermodynamics as well as elasticity for these DHMS phases, especially at simultaneously high **394** temperature and high pressure conditions, to have a better understanding of the physical properties of the 395 subduction zones.

396

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- 618 Figure captions:
- 619 Fig. 1 Sketch of the crystal structure of OH-clinohumite viewed approximately down in *a* direction. The
 620 two proton positions are shown as small white spheres, and the covalent OH bands are presented as black
 621 short lines.
- 622
- 623 Fig. 2 Raman spectra of the natural and synthetic samples at 300 K, with the peak positions labeled and the624 background subtracted.

625

- 626 Fig. 3 Selected Raman spectra of natural (a) and synthetic (b) clinohumite at elevated temperatures, with627 the background subtracted.
- 628

629	Fig. 4 Temperature dependence of Raman bands shifts for both the natural (black circles) and synthetic
630	(gray diamond) clinohumite samples with linear regressions in black and gray lines, respectively, in the
631	frequency ranges of (a) 100-400 cm ⁻¹ , (b) 400-800 cm ⁻¹ , (c) 800-1000 cm ⁻¹ . The peak positions at 300 K are
632	labeled in the figures.
633	
634	Fig. 5 FTIR spectra of the natural and synthetic clinohumite at 300 K, with the peak positions labeled.
635	
636	Fig. 6 Schematic illustrations of the variation of the H position in OH-clinohumite at both ambient (a) and
637	(b) high temperatures (after Lin et al. 2000). The dotted lines denote the hydrogen bonds, while the solid
638	lines represent covalent bonds. The equilibrium angles (θ_1 and θ_2) are the intersection angles between OH
639	groups and [001] direction for the space group of $P2_1/b$. Both angles are nearly the same at 300 K, while θ_1
640	becomes smaller at elevated temperatures, without any significant variation in θ_2 .
641	
642	Fig. 7 Selected IR spectra of natural (a) and synthetic (b) clinohumite samples at high temperatures.
643	
644	Fig. 8 Temperature dependence of OH-stretching bands frequencies at atmospheric pressure for both the
645	natural (black circles) and synthetic (gray diamond) clinohumite samples with linear regressions. The
646	frequencies of OH band at room temperatures are listed in the figures.
647	
648	Fig. 9 The isobaric (a) and isothermal (b) mode Grüneisen parameters for the hydrous silicate phases,
649	calculated from the Raman measurements at high temperature (this study for clinohumite samples;
650	Mernagh et al. 1999 for chondrodite; Liu et al. 1997 for phase A; Yang et al. 2015 for hydrous forsterite)
651	and high pressure (Lin et al. 2000 for clinohumite; Lin et al. 1999 for chondrodite; Liu et al. 1997 for phase
652	A; Hushur et al. 2009 for hydrous forsterite).

653

Fig. 10 Variation of the intrinsic anharmonic parameters (*a*_i) with frequency for clinohumite, chondrodite,
phase A, and hydrous fortertie.

656

657	Fig. 11 (a) Models of density of states for OH-clinohumite (This study) and forsterite (Gillet et al. 1991).
658	The boxes denote the continua for different spectroscopic modes (without acoustic modes). The numbers
659	inside and above each box represent the number of modes and the mean a_i parameter, respective, in that
660	continuum. The upper and lower cutoff frequencies for each continuum are also labeled below the box.
661	External modes are T (translation) and R (rotation), while internal modes for SiO ₄ tetrahedra are denoted as
662	v_1 , v_3 (stretching vibrations) and v_2 , v_4 (bending vibrations). (b) Comparison of the heat capacities (C_V) for
663	clinohumite and forsterite ((Gillet et al. 1991; Ottonello et al. 2009).

664

665 Table 1. Electron microprobe analyses for the natural clinohumite sample

	Weight percent (wt%)		apfu
FeO	6.51(1)	Fe	0.582(8)
MgO	49.14(5)	Mg	7.842(9)
CaO	0.015(7)	Ca	0.001(8)
MnO	0.130(2)	Mn	0.011(8)
Al ₂ O ₃	0.020(1)	Al	0.002(5)
TiO ₂	3.10(8)	Ti	0.250(2)
NiO	0.020(9)	Ni	0.001(8)
SiO ₂	37.36 (7)	Si	4
F	0.59(6)	F	0.201(7)

Cl	0.005(6)	Cl	0.001(1)
H_2O^a	1.82	Н	1.296(8)
Total	98.74		

666 \overline{a} : The weight percentage values for H₂O are calculated from stoichiometry, and the mole ratio of 667 (H+F+1/2·Ti) : O is assumed to be 2 : 18, considering the substitutions of OH⁻=F⁻(Cl⁻) and 668 Mg²⁺+2OH⁻=Ti⁴⁺+2O²⁻.

669

670 Table 2. The observed Raman shifts and OH bands by FTIR (v_i) , temperature dependence of $v_i (\partial v_i / \partial T)$,

671 isobaric mode Grüneisen parameters, as well as intrinsic anharmonic parameters (a_i) for the clinohumite

672 samples

N	Vatural clinohum	ite		Synth	etic clinohur	nite	
ν_i	$(\partial v_i/\partial T)_P$		ν _i	$(\partial v_{\rm i}/\partial T)_{\rm P}$		a. a	a_{i}
(cm ⁻¹)	$(cm^{-1}K^{-1})$	ΎiP	(cm ⁻¹)	$(cm^{-1}K^{-1})$	Ϋ́iP	ΎiT	$(10^{-5} \mathrm{K}^{-1})$
133	-0.004(3)	0.92(7)	142	-0.013(9)	2.65(4)		
			183	-0.013(6)	2.01(3)		
206	-0.006(8)	0.94(7)	208	-0.006(4)	0.83(4)	1.769	3.44(8)
233	-0.014(3)	1.75(6)	227	-0.005(7)	0.68(2)		
264	-0.025	2.71(3)	268	-0.017(7)	1.79(4)		
			307	-0.034(7)	3.06(9)		
321	-0.015(3)	1.36(5)	326	-0.022(1)	1.83(7)	1.221	-2.27
352	-0.021(8)	1.77(7)	363	-0.004(5)	0.33(6)		

384	-0.021(4)	1.59(6)	378	-0.030(9)	2.21(7)	0.861	-4.99(7)
			429	-0.023(1)	1.46(1)	1.142	-1.17(4)
532	-0.027(2)	1.46(6)	549	-0.015	0.74(1)	0.815	0.27
			587	-0.014(6)	0.67(5)	0.484	-0.70(6)
603	-0.011(5)	0.54(6)	603	-0.018(4)	0.82(7)	0.596	-0.85(1)
651	-0.019(1)	0.84(1)					
691	-0.017(4)	0.72(1)					
755	-0.01	0.38	744	0.00004	-0.001	0.355	1.31(5)
780	-0.014(8)	0.54(4)	777	-0.04(5)	1.57(1)	0.355	-4.48(2)
830	-0.019(1)	0.66	832	-0.008(1)	0.26(4)	0.357	0.34(1)
842	-0.014(7)	0.50(1)	842	-0.012(7)	0.40(9)	0.317	-0.33(8)
861	-0.021(3)	0.70(9)	861	-0.020(2)	0.63(6)	0.366	-0.99(6)
928	-0.015(1)	0.46(6)	933	-0.025	0.72(7)	0.362	-1.34(4)
965	-0.028(1)	0.83(4)	969	-0.028(6)	0.80(1)	0.670	-0.48
3309	0.015(8)	-0.13(7)					
3397	0.021(8)	-0.18(4)					
3529	-0.027(6)	0.22(4)	3526	-0.023	0.180	0.016	-0.60(4)
3564	-0.022(9)	0.18(4)	3560	-0.028	0.21(3)	0.039	-0.64(2)
3608	-0.041(7)	0.33(1)	3609	-0.046	0.34(3)		

673 *a*: Lin et al. (2000)

674

675 Table 3. Observed IR modes for both the natural and synthetic clinohumite samples in bold, compared with

I	Below	3450 cr	n^{-1}		above	3450 ci	n ⁻¹			method
F-free:	:									
				3526		3560			3608	IR ^a
				3522		3560		3575	3608	Raman ^b
				3526		3561			3607	IR ^c
				3524	3544	3557	3567	3576		IR^d
				3527	3535	3559	3568	3578		Raman ^e
				3527			3564	3580	3612	Raman ^f
F-bear	ring:									
3161	3233	3397	3436	3529		3564			3608	IR ^a
		3393		3525		3562				IR ^g
1 : this al. (200	study; 00); g :	b : Ye et Shen et	al. (2013 al. (2014	3); c : Liu (4).	et al. (20	003); d :	Frost e	t al. (20	07b); e :	Frost et al. (2007a);
Table	4. Com	nparison	i of some	e hydroger	ı bonds	from F	ΓIR me	asureme	ent and r	neutron powder diffi
	.yi-ciiii					1	8 > C			
()H ha	nd (cm	-1)"	$d_{\rm O.}$	_H (A) "		d_{OD} (A) `			
011 0a										
35	526		2	2.09	,	2.01 (O	9D1)			

676 the literature in the frequency range of $3100-3650 \text{ cm}^{-1}$.

696

3609

2.46 (O6...D1)

2.42

- 697 *a*: measured by FTIR in this study; *b*: calculated from Equation (1) (Libowitzky 1999); *c*: measured by
- **698** neutron powder diffraction (Berry and James 2001).







Fig. 3



Fig. 4



[001]





Fig. 7









Fig. 11