Revision 1. *In situ* high-temperature vibrational spectra for synthetic and natural clinohumite: implications for dense hydrous magnesium silicates in subduction zones

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Abstract: Clinohumite is a potentially abundant silicate mineral with high water concentration (2–3 wt.% H₂O), which is generated from dehydration of serpentine-group minerals in subduction zones. Previous studies show that fluorine substitution (OH⁻ = F⁻) can stabilize clinohumite to significantly higher temperature in subduction zones, although temperatures within the slabs are thought to be well within the stability field of both F-bearing and OH-clinohumite. We collected *in situ* high-temperature Raman and Fourier transform infrared (FTIR) spectra for both the synthetic [Mg₉Si₄O₁₆(OH)₂] and natural [Mg₇.₈₄Fe₀.₅₅Mn₀.₀₁Ti₀.₂₅(SiO₄)₂O₀.₅(OH)₁.₃₀F₀.₂₀] clinohumite samples up to 1243 K. Three OH bands above 3450 cm⁻¹ are detected for both the natural and synthetic samples with negative temperature dependence, due to neighboring H-H repulsion in the crystal structure. Additional OH peaks are detected for the natural sample below 3450 cm⁻¹ with positive temperature dependence, which could be explained by non-polar F⁻ substitution in the OH site. Hence, F⁻ substitution significantly changes the high-temperature behavior of hydrogen bonds in the humite-group minerals. On the other hand, we evaluated the mode Grüneisen
parameters ($\gamma_i^P$, $\gamma_i^T$), as well as the intrinsic anharmonic parameters ($a_i$) for clinohumite, chondrodite, and phase A, the dense hydrous magnesium silicate (DHMS) phases along the brucite-forsterite join. The estimated averaged anharmonic parameters ($a_i_{av}$) for these DHMS phases are systematically smaller than those of olivine. In order to model the thermodynamic properties of minerals (such as heat capacity) at the high temperature conditions of the mantle, the DeBye model, which simply approximates the lattice vibrations as harmonic oscillators, is commonly used. In contrast to forsterite, such quasi-harmonic approximations are valid for clinohumite at subduction zone temperatures, as the anharmonic contribution is no more than 2% when extrapolated to 2000 K. Hence, the classic DeBye model can reasonably simulate the thermodynamic properties of these DHMS phases in subduction zones.

Key words: clinohumite; F substitution; Grüneisen parameter; anharmonicity; subduction slab; DHMS phases

1. Introduction

Plate subduction is a geodynamic process that can transport water in the form of hydrous minerals from the surface reservoir down into the upper mantle and transition zone (Zheng 2012) and perhaps the lower mantle. Among various hydrous minerals, the serpentine-group (containing about 13wt% H$_2$O) would break down at pressure-temperature conditions of the upper mantle, to generate a series of dense hydrous magnesium silicate (DHMS) phases: such as Phase A (Mg$_7$Si$_2$O$_8$(OH)$_6$, 12 wt% H$_2$O), chondrodite (Mg$_5$Si$_2$O$_8$(OH)$_2$, 5 wt% H$_2$O), and clinohumite (Mg$_9$Si$_4$O$_{16}$(OH)$_2$, 3 wt% H$_2$O) on the brucite-forsterite join at pressures below 15 GPa (e.g. Wunder 1998; Berry and James 2001; Stalder and Ulmer 2001; Smyth et al. 2006). These mineral phases may further transform to phase E (Mg$_2$SiO$_2$(OH)$_4$, 10 wt% H$_2$O), super-hydrous phase B (Mg$_{10}$Si$_3$O$_{14}$(OH)$_4$, 3 wt% H$_2$O) and phase D (MgSi$_2$O$_4$(OH)$_2$, 10 wt% H$_2$O) above 15 GPa (e.g. Frost and Fei 1998; Irifune et al. 1998; Ohtani et al. 2001). These DHMS phases have been proposed as potential carriers of water into the Earth’s interior (McGetchin et al. 1970; Kanzaki 1991; Faust and Knittle 1994; Wunder et al. 1995; Guo and Yoshino 2013). From the previous high-$P$,$T$ experiments on DHMS (Yamamoto and Akimoto 1977; Wunder 1998), we derive the formation of clinohumite from dehydration of serpentine as in the chemical reactions below:
Clinohumite is an expected phase in the breakdown of serpentine at high pressure in subducting slabs (Yamamoto and Akimoto, 1977). Its stability is extended to lower pressures by the presence of Ti and F (Sánchez-Viscaino et al. 2005; Shen et al. 2015) so that it may become abundant under certain $P$-$T$ condition in the mantle. In order to evaluate the potential role of these DHMS phases in subduction slabs, significant efforts have been devoted to explore the physical and chemical properties of humite-group minerals. Crystal chemistry has been studied by both single-crystal X-ray diffraction (i.e., Ribbe and Gibbs 1988; Camara 1997; Ottolini et al. 2000; Ye et al. 2015), and neutron diffraction (i.e., Berry and James 2001; Friedrich et al. 2001, 2002) for both synthetic and natural samples, to explore compositional effect on the crystal structures. The experiments on equations of state (i.e. Ross and Crichton 2001; Crichton and Ross 2002, 2005; Holl et al. 2006; Kuribayashi et al. 2008; Ye et al. 2013 and 2015; Qin et al. 2017) and elasticity (Sinogeikin and Bass 1999; Ross et al. 2001; Friedrich et al. 2002; Kuribayashi 2004) systematically show that OH concentration in these DHMS phases significantly increases the thermal expansivity and compressibility, while decreasing the elastic moduli, as well as seismic velocities. On the other hand, the interatomic vibration modes have been measured by Raman and FTIR spectra (i.e. Lin et al. 1999; Frost et al. 2007a and 2007b). So far, most of these spectral studies are conducted at high-pressure and room temperature, while limited high-temperature Raman measurements have been reported for these phases (Liu et al. 1997; Mernagh et al. 1999; Lin et al. 2000).

In this study, we focus on in situ high-temperature Raman and FTIR spectral measurements on both synthetic and natural clinohumite samples. The synthetic sample is pure Mg$_9$Si$_4$O$_{16}$(OH)$_2$ (Ye et al. 2013), whereas the natural samples generally have significant concentrations of F, Ti, Mn, and Fe (e.g. McGetchin et al. 1970; Fujino and Takeuchi 1978; Shen et al. 2015). We explored the effect of fluorine on the behavior of hydrogen bonds by FTIR, and the implication for the stability of clinohumite in subduction zones (Shen et al. 2015; Grützner et al. 2017). In situ high-temperature vibrational spectra provide experimental constraints on the mode Grüneisen parameters and intrinsic anharmonicity of clinohumite. Comparisons are also made with previous high-temperature and high-pressure experiments on chondrodite (Lin et al. 1999;
Mernagh et al. 1999), phase A (Liu et al. 1997), as well as hydrous forsterite (Hushur et al. 2009; Yang et al. 2015a). We further evaluated the effect of hydration on the anharmonic contributions to the thermodynamics properties for clinohumite, the DHMS phase generated from dehydration of serpentine-group minerals in the subduction zones.

2. Experimental procedures

2.1. Sample characterization

The colorless synthetic clinohumite (run SZ0411B from Ye et al. 2013) is assumed to have the stoichiometric composition of $\text{Mg}_9\text{Si}_4\text{O}_{18}\text{H}_2$, whereas the natural mineral, dark yellow in color, was separated from clinohumite–diopside–garnet rocks from a marble-para-gneiss unit of the Central Dabie medium-T/UHP eclogite-facies zone from the Ganjialing area (Liu 2015).

Several natural crystals with grain size of 100~200 $\mu$m were selected from the bulk rock, and polished on both sides to a thickness of 40 $\mu$m. Then, the composition was analyzed with a JEOL JXA-8100 Electron Probe Micro Analyzer equipped with four wavelength-dispersive spectrometers (WDS). An electron beam with spot size of 10 $\mu$m was focused on the surface of the sample with an accelerating voltage of 15 kV and a current of 20 nA. Data were corrected on-line using a modified ZAF (atomic number, absorption, fluorescence) correction procedure (Zhang and Yang 2016). Peak counting times were 10 s for Mg, Si, Fe, F and Cl; and 20 s for Ti and Mn, adopting the following certified standards: pyrope garnet (Fe), diopside (Mg), rhodonite (Mn), olivine (Si), rutile (Ti), topaz (F), and sodium chloride (Cl).

Totally, eleven points were measured for the weight percentages of these oxides, and the averaged values with standard deviations are listed in Table 1. The water content is 1.82 wt% for the natural clinohumite and 2.90 wt% for the synthetic sample. The formula of the natural sample is interpreted as: $\text{Mg}_{7.842}\text{Fe}_{0.582}\text{Mn}_{0.011}\text{Ti}_{0.250}(\text{SiO}_4)_{0.500}\text{O}_{1.296}\text{F}_{0.201}\text{Cl}_{0.001}$, with the Fe ratio of $\text{Fe}/(\text{Fe}+\text{Mg}) = 0.069$, while the synthetic sample is assumed to have the nominal composition of $\text{Mg}_9\text{Si}_4\text{O}_{18}\text{H}_2$.

Clinohumite crystallizes in space group of $P2_1/b$, which is chosen as a nonstandard setting of $P2_1/c$, in order to preserve the $a$ and $b$ axes of olivine. As shown in Fig.1, the crystal structure of clinohumite

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includes five different octahedral M cation sites, noted as M1C, M1N, M25, M26 and M3, with the ratios of 1:2:2:2:2 and 2 distinct tetrahedral Si cation sites (Si1 and Si2) (e.g. Fujino and Takéuchi 1978; Ottolini et al. 2000; Berry and James 2001; Ye et al. 2013 etc). There are nine oxygen anion sites with the OFOH site hosting a monovalent anion bonded to 3 M sites (2 M3 sites and 1 M25 site). It is also noted that there are 2 distinct H sites (H1 and H2) in the structure (Abbott et al. 1989; Yamamoto and Akimoto 1997). Since H1 site is so close to the inversion center that it could not be fully occupied, H2 becomes a secondary site for a proton coordinating OFOH (Fujino and Takeuchi 1978; Berry and James 2001; Friedrich et al. 2001). The M3 site is the preferred octahedral site for Ti$^{4+}$ by the substitution Mg$^{2+}$+2OH$^-$=Ti$^{4+}$+2O$^{2-}$, in order to relieve the need for the H2 position (Robinson et al. 1973; Ribbe 1979; Friedrich et al. 2001). Hence, in this natural clinohumite sample, 25% of the M3 sites is occupied by Ti$^{4+}$, while the occupancies of OH$^-$, F$^-$ and O$^{2-}$ in the OFOH sites are 65%, 10% and 25%, respectively. The M3 and OFOH sites have equal multiplicities in the unit cell of clinohumite.

2.2. In situ high-temperature Raman and FTIR spectroscopy

In situ high-temperature Raman spectra were measured using a Dilor XY micro-Raman system, with the LABRAM-HR spectrometer (Yang et al. 2015a). The natural and synthetic crystals, about 100×150×50 μm in size, were loaded on the sapphire plate in a Linkam TS1500 heating stage. A resistance heater was used to produce high temperature, and an S-type thermocouple was used to measure temperature with uncertainties of less than 1 K. The samples were heated from 300 K up to 1050 K, with an increment of 50 K and a heating rate of 25 K/min, which had been programmed into an automatic temperature control unit. At each step, the target temperature was held for at least 5 min for thermal equilibration before measurement. The sample chamber of the heating stage was filled with N$_2$ as a ‘protecting gas’ to avoid any potential degradation of the samples at high temperatures. The spectra at high temperatures were collected in the frequency range of 100–1200 cm$^{-1}$ with a duration of 10 minutes. A green beam ($\lambda = 514.5$ nm) from an Ar-ion laser was used for excitation, and focused to a diameter of 10 μm onto the surface of the sample through an objective lens (50×). The spectrometer was calibrated using a single-crystal of silicon as a reference.
Unpolarized FTIR spectra were obtained from 3000 to 4000 cm\(^{-1}\) on a Nicolet iS50 FTIR spectrometer coupled with a Continuum microscope using a KBr beam-splitter and a liquid-nitrogen cooled MCT-A detector. Totally, 128 scans were accumulated for each spectrum at a resolution of 4 cm\(^{-1}\). The aperture size was set to about 70 × 70 μm\(^2\), which was smaller than the grain size. Measurements were made in optically clean, inclusion- and crack-free areas. Background was also collected at each step after the measurement on the sample, and the spectra collected at different temperatures on the same selected area were used for consistent comparison. Peakfit v4.12 software was adopted for the analyses of both Raman (Yang et al. 2015a) and infrared spectra (Yang et al. 2015b).

Single crystals of the clinohumite samples were mounted on the sapphire plate of an Instec HS1300 heating stage, equipped with a resistance heater and an S type thermocouple. The sample temperature was determined with a typical precision of less than 1K. The high-temperature infrared spectra were measured with a heating rate of 10 K/min and an increment of 50 K. For every temperature step, the dwell time was 5 min. Another spectrum was taken for the natural sample when the temperature was quenched to room temperature after heating.

3. Results and discussion

3.1. Raman modes at room and high temperatures

Raman spectra for both the natural and synthetic samples at ambient conditions (Fig. 2), and their fitted Raman bands are also given (Table 2). There are, in total, 191 potential vibrational modes (including 94 Raman-active modes and 97 IR-active modes) for OH-clinohumite based on space group \(P2_1/c\), according to the symmetry analysis from Lin et al. (2000). These vibrational modes are divided into five groups: (1) 75 modes below 365 cm\(^{-1}\) correspond to translations of the MgO\(_6\) octahedra and SiO\(_4\) tetrahedra; (2) 64 modes from 380 to 700 cm\(^{-1}\) are assigned to the SiO\(_4\) rotations and internal bending modes; (3) 16 modes from 710 to 780 cm\(^{-1}\) are ascribed to translations and rotations of OH groups; (4) 32 modes from 820 to 970 cm\(^{-1}\) are attributed to the SiO\(_4\) internal stretching modes; (5) 4 modes from 3500 to 3600 cm\(^{-1}\) are ascribed to the OH internal stretching modes. There are two fairly strong peaks at 651 and 691 cm\(^{-1}\) (in the
frequency range for SiO$_4$ internal bending modes) were detected exclusively in the natural sample. Similarly, Ye et al (2013) also observed strong Raman peaks at 650 and 690 cm$^{-1}$ for the Fe-bearing OH-clinohumite sample (SZ0407B), as opposed to the Mg-pure one (SZ0411B). Such difference might be explained by the effect of chemical impurity (e.g., Fe), and the incorporation of larger Fe$^{2+}$ cations into some octahedral sites could distort neighboring SiO$_4$ tetrahedra to some extent. Besides, some Raman peaks are observed to be relatively more intense in the natural sample, such as the bands at 755 and 780 cm$^{-1}$, as well as the modes at 133, 233 and 384 cm$^{-1}$ in the low-frequency range, which might be caused by Fe and Ti ordering into the octahedral sites.

The SiO$_4$ internal stretching modes at 861, 842 and 830 cm$^{-1}$ are more intense than the other modes for the clinohumite samples (Fig. 2), and such strong SiO$_4$ modes are also observed for olivine (Gillet et al. 1991; Yang et al. 2015a), chondrodite (Lin et al. 1999; Mernagh et al. 1999), humite (Frost et al. 2007a), norbergite (Liu et al. 1999), and Phase A (Liu et al. 1997), etc. Based on the selection rules of Raman spectroscopy, the incident light induces an instantaneous dipole moment through deforming the electron cloud around the molecule, and the intensity of the Raman mode depends on how easily the electron cloud can be deformed, i.e. the polarizability of the electron cloud (Colthup et al. 1964). Hence, the strong SiO$_4$ internal stretching modes suggest the high polarizability of the oxygen environments in the SiO$_4$ tetrahedron (Yang et al. 2015a).

High-temperature Raman spectra were obtained from room temperature up to 1050 K for both the natural and synthetic samples, and the selected Raman spectra are shown in Fig. 3 (a, b), respectively. Despite some bands becoming quite broad at high temperature, most of the peaks remain distinguishable throughout the temperature range, allowing fair fitting of peak positions. For the natural sample, the intensities of the bands at 133, 233 and 384 cm$^{-1}$ increase at high temperature range of 400 $\sim$ 850K. The synthetic sample persisted up to 1050 K in this study, whereas Lin et al. (2000) reported that synthetic clinohumite can persist up to 873K. The Raman spectra of the samples quenched from 1050 K are also compared in Fig. 3 (a,b) for both the samples. Most of the peaks measured before and after heating do not shift significantly. However, the intensities of some peaks become significantly weaker for the natural sample: the peaks at 133, 233, 384 cm$^{-1}$ associated with lattice vibration, as well as the ones at 755, 780
cm\textsuperscript{-1} attributed to M\textsuperscript{2+}OH deformation. This might be explained by the order-disorder transition for Fe or Ti at certain high temperature, and the disorder arrangement was maintained during the fast quenching process after heating to 1050 K.

Variation of the Raman shift frequencies with temperature for both the natural and synthetic samples are plotted in Fig. 4 (a-c). The bands systematically shift to lower frequencies with increasing temperature except for the weak band at 744 cm\textsuperscript{-1} in the synthetic sample. Fairly linear regressions are recognized between the Raman shifts and temperature for both these samples throughout the measured temperature range up to 1050 K. The temperature dependences of these Raman modes, ($\partial\nu_i/\partial T)_P$, are listed in Table 2.

3.2. FTIR at ambient temperature

The FTIR spectra of the clinohumite samples obtained at ambient conditions are compared in Fig. 5, and the fitted peak positions for the OH-stretching bands are listed in Table 2. The OH-stretching bands frequency (cm\textsuperscript{-1}) are controlled by the hydrogen bond length ($d_{O\cdot\cdot\cdotH}$, Å), and Libowitzky (1999) proposed an empirical formula as below:

$$d_{O\cdot\cdot\cdotH} = -0.2146 \times Ln\left(\frac{3632 - \nu_i}{1.79 \times 10^6}\right)$$  \hspace{1cm} (3)

Three OH bands around 3609, 3560, 3526 cm\textsuperscript{-1} are observed for both the natural and synthetic clinohumite samples, which were also reported in previous FTIR (Liu et al. 2003; Frost et al. 2007b) and Raman (Lin et al. 2000; Frost et al. 2007a; Ye et al. 2013; Shen et al. 2014) measurements, as compared in Table 3. These OH bands corresponds to $d_{O\cdot\cdot\cdotH}$ of 2.09 ~ 2.41 Å, which is consistent with the structure refinement on the deuterated hydroxyl-clinohumite sample by neutron powder diffraction (Berry and James 2001) (Table 4). No extant Raman or FTIR studies of clinohumite report OH-stretching frequencies above 3615 cm\textsuperscript{-1} corresponding to hydrogen bond lengths greater than 2.5 Å (Table 3.)

Alternatively, additional bands in natural clinohumite are detected at 3161, 3233, 3309, 3397 and 3436 cm\textsuperscript{-1} (1.77 ~ 1.96 Å for $d_{O\cdot\cdot\cdotH}$), while no OH bands are observed below 3450 cm\textsuperscript{-1} for the synthetic sample. In addition, Shen et al. (2014) also reported an OH band at 3393 cm\textsuperscript{-1} for natural F-bearing clinohumite.
Similar phenomena were also observed in chondrodite: for synthetic OH-chondrodite, OH bands were only detected above 3450 cm\(^{-1}\) \((d_{O...H} > 2 \text{ Å})\) (e.g. Akaogi and Akimoto 1980; Liu et al. 2003; Kuribayashi et al. 2004); while for natural F-bearing samples, more peaks around 3380 ~ 3390 cm\(^{-1}\) \((1.90 \sim 1.91 \text{ Å for } d_{O...H})\) were observed as well as the ones above 3450 cm\(^{-1}\) (e.g. Williams 1992; Cynn et al. 1996; Kuribayashi et al. 2004; Prasad and Sarma 2004).

It is proposed that the hydrogen bonds are elongated due to the H-H repulsion between the adjacent OH pair in the crystal structures of the humite-group minerals (Lin et al. 1999 and 2000; Liu et al. 1999; Hushur et al. 2009), as shown in the Fig. 6. While in the natural samples, some of the OH\(^-\) groups are replaced by F\(^-\) anions. Such H-H repulsion would vanish if the neighboring OH\(^-\) group is substituted by F\(^-\) anion, and then the hydrogen bond length becomes shortened, with the OH bands shifting to lower frequencies (below 3450 cm\(^{-1}\)).

### 3.3. FTIR spectra at high temperatures

High-temperature FTIR spectra were collected at temperatures up to 1093 K for the synthetic clinohumite sample. Breakdown occurred while the sample was subsequently heated to 1143 K. On the other hand, the natural sample survived up to 1243 K, due to F incorporation (Engi and Lindsley 1980; Khodyrev et al. 1992; Grützner et al. 2017) (Fig. 7). The main OH bands survived after high temperature heating and the integral absorbance for the OH bands in the frequency range of 3000 - 3700 cm\(^{-1}\) remained almost the same as that before heating, suggesting no significant dehydration at high temperatures up to 1243 K (Paterson 1982; Libowitzky and Rossman 1997; Balan et al. 2008). Overall, the OH bands generally become broader and weaker at higher temperature (e.g. Suzuki and Nakashima 1999; Zhang et al. 2007; Yang et al. 2010, 2015b). While in this measurement, the main OH bands around 3309, 3397, 3526, 3560 and 3610 cm\(^{-1}\) remained distinguishable at high temperatures, except that the weak peak around 3610 cm\(^{-1}\) overlapped severely with the one at 3560 cm\(^{-1}\) above 900 K, and could not be fitted separately. The variations of the frequencies with temperature are plotted in Fig. 8 with nearly linear regressions, and the fitted temperature derivatives (cm\(^{-1}\)·K\(^{-1}\)) for these OH bands are listed in Table 2.
For both the synthetic and natural clinohumite samples, the OH bands above 3450 cm$^{-1}$ systematically shifted to lower frequencies with increasing temperature, with the slopes of -0.23--0.28 (cm$^{-1}$ K$^{-1}$) for the strong peaks around 3530 and 3560 cm$^{-1}$, and -0.44 (cm$^{-1}$ K$^{-1}$) for the weak peak at 3610 cm$^{-1}$. Negative temperature dependence for the OH bands above 3450 cm$^{-1}$ also has been reported for the humite-group minerals (i.e., Lin et al. 2000 for OH-clinohumite; Mernagh et al. 1999 for chondrodite; and Liu et al. 1999 for norbergite). Such phenomena at high temperature are consistent with the positive pressure dependence for the OH bands above 3450 cm$^{-1}$ (i.e. Lin et al. 1999 and 2000; Liu et al. 1999 and 2003; etc.). This special behavior for the humite-group minerals at high temperatures and high pressures could be explained by the H-H repulsion between the adjacent OH pairs (Lin et al. 1999 and 2000). During thermal expansion at high temperatures, the H-H repulsion becomes weaker at larger atomic distance, and hydrogen atoms move backward against elongation of the hydrogen bond (Fig. 6). Hence, $d_{O\cdots H}$ bond lengths decrease with increasing temperature. Similarly, $d_{O\cdots H}$ increase at higher pressure, due to the enhancement of the H-H repulsion at shorter atomic distance upon compression.

Conversely, the peaks at 3310 and 3400 cm$^{-1}$ for the natural sample show positive temperature dependence, instead, because the H-H repulsion vanishes due to the replacement of the neighboring OH$^-$ by F$. Then, the $d_{O\cdots H}$ bond lengths increase at higher temperature (shifting to higher frequencies), which is common for the hydrogen bonds in most of hydrous silicate minerals. The hydrogen bonds in hydrous phases generally become shortened at higher pressure, and the OH stretching bands shift to lower frequency, such as in H$_2$O ice polymorphs (e.g. Abebe and Walrafen 1979; Walrafen et al. 1982), brucite (e.g. Duffy et al. 1995), Phase A (e.g. Liu et al. 1997), Phase D (e.g. Shieh et al. 2009 for OH bands above 3000 cm$^{-1}$), Phase E (e.g. Kleppe et al. 2001; Shieh et al. 2009), hydrous forsterite (e.g. Hushur et al. 2009), hydrous wadsleyite (e.g. Liu et al. 1998; Kleppe et al. 2006), hydrous ringwoodite (e.g. Kleppe et al. 2002; Yang et al. 2014), and so on.

It should be noted that Williams (1992) and Kuribayashi et al. (2004) also reported positive pressure dependence for the OH bands above 3450 cm$^{-1}$, while negative pressure dependence for the OH bands below 3450 cm$^{-1}$ in natural F-bearing chondrodite at high pressure. Such high-pressure behavior of natural chondrodite is consistent with our high-temperature observations on the natural clinohumite sample.
3.4. Grüneisen Parameters and Intrinsic Anharmonicity

There are two parts of the contribution to the temperature- and pressure- dependence of the frequency ($v_i$): pure volume variation at high temperature / pressure and pure temperature / pressure contribution arising from intrinsic anharmonicity (Gillet et al. 1991; Okada et al. 2008; Yang et al. 2015a). The Grüneisen parameters in isobaric ($\gamma_{IP}$) and isothermal ($\gamma_{iT}$) modes, as well as the intrinsic anharmonicity ($a_i$), are derived in Eqns (4-6):

\[ \gamma_{IP} = \left( \frac{\partial \ln v_i}{\partial \rho} \right)_P = -\frac{1}{\alpha \cdot v_{0i}} \frac{\partial v_i}{\partial T} \]  \hspace{1cm} (4)

\[ \gamma_{iT} = \left( \frac{\partial \ln v_i}{\partial \rho} \right)_T = \frac{K_T}{v_{0i}} \frac{\partial v_i}{\partial P} \]  \hspace{1cm} (5)

\[ a_i = \left( \frac{\partial \ln v_i}{\partial T} \right)_V = -\alpha \cdot (\gamma_{IP} - \gamma_{iT}) \]  \hspace{1cm} (6)

Where $v_{0i}$ are the mode frequencies at ambient conditions, while ($\partial v_i/\partial T$) and ($\partial v_i/\partial P$) are the temperature and pressure derivatives of the frequency ($v_i$), respectively. $\alpha$ is the thermal expansion coefficient, and $K_T$ is the isothermal bulk modulus at ambient condition. The intrinsic anharmonic parameter ($a_i$) is significant in thermodynamic systems, which show the anharmonicity induced by temperature and pressure.

Adopting the averaged volume thermal expansion coefficients of $36.8 \times 10^{-5}$ K$^{-1}$ for synthetic clinohumite and $34.9 \times 10^{-5}$ K$^{-1}$ for the natural sample from Ye et al. (2013), we calculate the mean isobaric Grüneisen parameters ($\gamma_{IP}$) for the Raman ($100 \sim 1000$ cm$^{-1}$) and IR ($3000 \sim 4000$ cm$^{-1}$) modes. On the other hand, Lin et al. (2000) measured high-pressure Raman spectra for Mg-pure OH-clinohumite.

By combing extant results of high-pressure Raman scattering of Mg end member OH-clinohumite (Lin...
et al. 2000) and the isothermal bulk modulus $K_T = 119.47 \text{ GPa}$ determined by Crichton and Ross (2002), we can also derive the isothermal Grüneisen parameters ($\gamma_i^T$), as well as the corresponding intrinsic anharmonicity ($a_i$). The mode Grüneisen and intrinsic anharmonicity parameters for clinohumite are listed in Table 2.

The isobaric Grüneisen parameters ($\gamma_i^P$) for both the natural and synthetic clinohumite samples from this study are compared with those for phase A (Liu et al. 1997), OH-chondrodite (Mernagh et al. 1999), as well as hydrous forsterite ($C_{\text{H}_2\text{O}} = 0.9 \text{ wt.\%};$ Yang et al. 2015) in Fig. 9(a). To simplify the calculation, linear regressions between frequencies and temperature are fitted for the Raman modes measured at high temperatures, and the mean thermal expansion coefficients are adopted for phase A (Pawley et al. 1995), chondrodite (Ye et al. 2015), and hydrous forsterite (Ye et al. 2009). Pressure dependence for the Raman modes also has been measured for OH-clinohumite (Lin et al. 2000), OH-chondrodite (Lin et al. 1999), phase A (Liu et al. 1997) and hydrous forsterite (Hushur et al. 2009). We calculated the isothermal Grüneisen parameters ($\gamma_i^T$), as in Eqn (5), from the reported isothermal bulk moduli for synthetic OH-clinohumite and OH-chondrodite (Ross and Crichton 2001), phase A (Crichton and Ross 2002), as well as hydrous forsterite (Smyth et al. 2005). The $\gamma_i^P$ modes parameters for these hydrous phases are also compared in Fig. 9(b).

For phase A, chondrodite, clinohumite and hydrous forsterite, the isobaric ($\gamma_i^P$) and isothermal ($\gamma_i^T$) Grüneisen parameters range 0.4 ~ 3 for the lattice vibration modes, -0.4 ~ 2.2 for the $\text{SiO}_4$ bending modes, 0 ~ 1 for the $\text{SiO}_4$ stretching modes, and -0.3 ~ 0.3 for the OH stretching modes, respectively. The mode Grüneisen parameters ($\gamma_i^P$ and $\gamma_i^T$) for the lattice vibrations are generally greater than those for the $\text{SiO}_4$ stretching modes, since the bond strength of Mg-O is weaker than that for Si-O, and MgO$_6$ octahedra show larger thermal expansivity and compressibility than $\text{SiO}_4$ tetrahedra in silicate minerals (e.g. Smyth et al. 2004; Qin et al. 2017). Besides, the mode Grüneisen parameters for OH stretching are close to zero, and even smaller than those for the $\text{SiO}_4$ stretching vibrations.

Based on the derived isobaric and isothermal mode Grüneisen parameters, we can further calculate the intrinsic anharmonicity parameters ($a_i$) for OH-clinohumite, phase A, OH-chondrodite, as well as forsterite, which are compared in Fig. 10. In the frequency range of the $\text{SiO}_4$ stretching modes (800-1000 cm$^{-1}$),
which are the most intense in the Raman spectra, chondrodite and phase A systematically show higher $a_i$ parameters (but smaller in absolute values) than clinohumite and hydrous forsterite. That is because the measured $\gamma_P$ Grüneisen parameters for chondrodite and phase A (Liu et al. 1997; Mernagh et al. 1999) are lower than those for clinohumite and hydrous forsterite (this study; Yang et al. 2015a) (Fig. 9a). Besides, phase A and clinohumite also show higher $a_i$ parameters (but smaller in absolute values) than hydrous forsterite in the range of lattice vibrations below 350 cm$^{-1}$. The $a_i$ parameters for OH stretching modes ranges from -0.9 to $0.1 \times 10^5$ K$^{-1}$ for these humite-group minerals, and the absolute values of $a_i$ parameters are much smaller than those for the lattice and SiO$_4$ internal modes.

According to the model to calculate isochoric heat capacity $C_V$ (Kieffer 1979; Hofmeister 1987; Gillet et al. 1991), the contributions to the macroscopic $C_V$ by a set of $m$ optic continua for different groups of spectroscopic modes is given as:

$$C_V = 3nR \sum_{i=1}^{m} \frac{n_i}{N} \int_{x_{li}}^{x_{ui}} \frac{x_i^2 \exp(x_i) \cdot dx_i}{(x_{ui} - x_{li})[\exp(x_i) - 1]^2} = 3nR \sum_{i=1}^{m} C_{vi}^h$$  \hspace{1cm} (7)

Where $n$ is the number of atoms in the formula, $R$ is the gas constant, while $N$ is the total number of the vibrational modes. $n_i$ is the number of modes in its continuum with the lower and upper cutoff frequencies of $v_{ui}$ and $v_{li}$. The variable $x_i = h\nu/kT$, and $C_{vi}^h$ is microscopic harmonic heat capacity from the $i$th vibrational mode. When taking the anharmonic contribution ($a_i$) at elevated temperatures into consideration, the isochoric heat capacity becomes:

$$C_V = 3nR \sum_{i=1}^{m} C_{vi}^h \cdot (1 - 2a_i T^2)$$  \hspace{1cm} (8)

Based on the spectroscopic data from Lin et al. (2000) and this study, the model of density of states for OH-clinohumite is derived, and compared with that for forsterite (Gillet et al. 1991) in Fig. 11(a). Totally 191 vibrational modes were calculated for OH-clinohumite (Lin et al. 2000), and the upper and lower cutoff frequencies for each continuum are estimated from the measurements of Lin et al. (2000) and
this study. The internal modes of SiO$_4$ tetrahedra are classified into 4 groups with the order: $v_3$ (asymmetric stretching) > $v_1$ (symmetric stretching) > $v_4$ (asymmetric bending) > $v_2$ (symmetric bending), and the ratio between the number of stretching modes ($v_1 + v_3$) to bending modes ($v_2 + v_4$) is equal to 4 : 5 (e.g. Hofmeister and et al. 1987). The average $a_i$ for each continuum, calculated in this study, is also listed in the figure.

According to Eqns (7) and (8), we further calculated the harmonic ($C_{v, h}$) and anharmonic ($C_{v, ah}$) heat capacities for OH-clinohumite, and comparison is made with those for forsterite (Gillet et al. 1991; Ottonello et al. 2009) in Fig. 11(b). Even when extrapolated to 2000 K, the difference between harmonic and anharmonic capacities is as small as 2 % for clinohumite, while such difference could be up to 7 % for forsterite (Gillet et al. 1991; Ottonello et al. 2009; Yang et al. 2015). It is noted that the harmonic modes for forsterite from Gillet et al. (1991) and Ottonello et al. (2009) agree very well with each other especially above 800 K. In addition, Holland and Powell (1998) modeled the molar isobaric heat capacity ($C_P$) for clinohumite, as a function of temperature:

$$C_P (kJ \cdot mol^{-1} \cdot K^{-1}) = 1.07 - 1.6533 \times 10^{-5} \cdot T - \frac{7.8996 \cdot 10^3}{T^2} - \frac{7.3739}{\sqrt{T}}$$  \hspace{1cm} (9)

Then the isochoric heat capacity is derived according to the relation below:

$$C_V = C_P - T \cdot V \cdot \alpha^2 \cdot K_T$$  \hspace{1cm} (10)

The $C_V$ profile for clinohumite based on Eqns (9) and (10) is also plotted in Fig. 11(b), which agrees well with the models from this study, with a relative difference within 2 % up to 2000 K.

4. Implications for DHMS phases
Clinohumite is a potentially abundant Mg-silicate mineral with high water content in subduction zones. Our *in situ* high-temperature FTIR spectra indicate that F-bearing natural clinohumite may survive up to 1243 K without significant dehydration at ambient pressure, whereas the synthetic OH-clinohumite breaks down at 1143 K. In the crystal structure of hydroxyl clinohumite, the hydrogen bonds are elongated due to neighboring H-H repulsion. While such repulsion effect is reduced by F substitution (OH$^-\rightarrow$ F$^-$), and the corresponding H-bonds will become shorter and strengthened. This microscopic phenomenon provides a potential explanation for the possibility that F might stabilize clinohumite to significantly higher temperatures, even above the normal mantle geotherm at low pressure (Engi and Lindsley 1980; Khodyrev et al. 1992; Stalder and Ulmer 2001; Grützner et al. 2017). Moreover, crystal growth evidence in natural samples shows that the F$^-$ substitution may be crucial in stabilizing the crystal structure of the humite minerals by alleviating neighboring H-H repulsion force (Mernagh et al. 1999). This might explain the absence of OH-pure humite minerals in nature (Fujino and Takéuchi 1978). If the H position were fully occupied in an OH-pure clinohumite it would result in an H-H pair with a very short distance, This can be relieved by having a F$^-$ in one half the hydroxyl positions, or by having the M3 position partially occupied by Ti (Mg$^{2+}+2$OH$^-\rightarrow$ Ti$^{4+}+2$O$^{2-}$). In addition, we also identified that the OH-stretching bands at the high frequency range, which correspond to the hydrogen bonds elongated due to neighboring H-H repulsions, show negative temperature dependence, as opposed to the general behaviors of hydrogen bonds in most of hydrous (hydrogen bonded) minerals at high-temperature / high-pressure conditions.

On the other hand, the thermodynamic properties (such as heat capacity) of minerals in the mantle are generally not amenable to experimental tests, since they are unstable at moderate temperatures at low pressure conditions in the laboratory. Then, at high temperature mantle conditions, the heat capacities are typically estimated from the DeBye model for isochoric heat capacity ($C_v$), which only considers harmonic lattice vibrations (such as in Cynn et al. 1996; Holland and Powell 1998 for many minerals in the mantle). However, the limitations of such quasi-harmonic approximation become severe at high temperature (e.g. Gillet et al. 1991), and the anharmonic contribution must be taken into account (Oganov and Dorogokupets 2004). For example, the heat capacity ($C_v$) for forsterite is underestimated by up to 7 % in the harmonic model, compared with the more realistic anharmonic model, at the temperature conditions of the upper mantle (Gillet et al. 1991; Yang et al. 2015a). Nevertheless, our study suggests that the DeBye model could...
be a reasonable estimation for clinohumite, as a representative of the DHMS phases in the subducting slab, with the anharmonic contributions of no more than 2% at high temperatures in the subduction zone. In addition, the estimated averaged intrinsic anharmonic parameters ($a_{i,\text{avg}}$) for chondrodite and phase A (from Fig. 10) are smaller in absolute values, compared with that for forsterite, implying that the anharmonic contributions to the thermodynamic properties in these DHMS phases in the subduction zone could be significantly smaller.

In addition, high-temperature X-ray diffraction experiments further reveal that the DHMS phases which form from dehydration of serpentine in the subducting zones, systematically show larger thermal expansivities than olivine, the most abundant mineral in the upper mantle (e.g. Pawley et al. 1995 for phase A; Ye et al. 2013 and 2015 for clinohumite and chondrodite, respectively; Litasov et al. 2007 and 2008 for superhydrous phase B and phase D, respectively). Potential experiments are still needed for constraints on the thermodynamics as well as elasticity for these DHMS phases, especially at simultaneously high temperature and high pressure conditions, to have a better understanding of the physical properties of the subduction zones.

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clinohumite-OH [Mg$_9$Si$_4$O$_{16}$(OH)$_2$], chondrodite-OH [Mg$_5$Si$_2$O$_8$(OH)$_2$] and phase A (Mg$_7$Si$_2$O$_8$(OH)$_n$).
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of forsterite. American Mineralogist, 100(10), 2185-2190.
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wadsleyite, and ringwoodite at ambient pressure. American Mineralogist, 94, 899-904.


**Figure captions:**

**Fig. 1** Sketch of the crystal structure of OH-clinohumite viewed approximately down in \(a\) direction. The two proton positions are shown as small white spheres, and the covalent OH bands are presented as black short lines.

**Fig. 2** Raman spectra of the natural and synthetic samples at 300 K, with the peak positions labeled and the background subtracted.

**Fig. 3** Selected Raman spectra of natural (a) and synthetic (b) clinohumite at elevated temperatures, with the background subtracted.
Temperature dependence of Raman bands shifts for both the natural (black circles) and synthetic (gray diamond) clinohumite samples with linear regressions in black and gray lines, respectively, in the frequency ranges of (a) 100-400 cm$^{-1}$, (b) 400-800 cm$^{-1}$, (c) 800-1000 cm$^{-1}$. The peak positions at 300 K are labeled in the figures.

FTIR spectra of the natural and synthetic clinohumite at 300 K, with the peak positions labeled.

Schematic illustrations of the variation of the H position in OH-clinohumite at both ambient (a) and (b) high temperatures (after Lin et al. 2000). The dotted lines denote the hydrogen bonds, while the solid lines represent covalent bonds. The equilibrium angles ($\theta_1$ and $\theta_2$) are the intersection angles between OH groups and [001] direction for the space group of $P2_1/b$. Both angles are nearly the same at 300 K, while $\theta_1$ becomes smaller at elevated temperatures, without any significant variation in $\theta_2$.

Selected IR spectra of natural (a) and synthetic (b) clinohumite samples at high temperatures.

Temperature dependence of OH-stretching bands frequencies at atmospheric pressure for both the natural (black circles) and synthetic (gray diamond) clinohumite samples with linear regressions. The frequencies of OH band at room temperatures are listed in the figures.

The isobaric (a) and isothermal (b) mode Grüneisen parameters for the hydrous silicate phases, calculated from the Raman measurements at high temperature (this study for clinohumite samples; Mernagh et al. 1999 for chondrodite; Liu et al. 1997 for phase A; Yang et al. 2015 for hydrous forsterite) and high pressure (Lin et al. 2000 for clinohumite; Lin et al. 1999 for chondrodite; Liu et al. 1997 for phase A; Hushur et al. 2009 for hydrous forsterite).
Fig. 10 Variation of the intrinsic anharmonic parameters ($a_i$) with frequency for clinohumite, chondrodite, phase A, and hydrous forsterite.

Fig. 11 (a) Models of density of states for OH-clinohumite (This study) and forsterite (Gillet et al. 1991). The boxes denote the continua for different spectroscopic modes (without acoustic modes). The numbers inside and above each box represent the number of modes and the mean $a_i$ parameter, respective, in that continuum. The upper and lower cutoff frequencies for each continuum are also labeled below the box. External modes are T (translation) and R (rotation), while internal modes for SiO$_4$ tetrahedra are denoted as $v_1$, $v_3$ (stretching vibrations) and $v_2$, $v_4$ (bending vibrations). (b) Comparison of the heat capacities ($C_V$) for clinohumite and forsterite ((Gillet et al. 1991; Ottonello et al. 2009).

Table 1. Electron microprobe analyses for the natural clinohumite sample

<table>
<thead>
<tr>
<th>Weight percent (wt%)</th>
<th>apfu</th>
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<td>FeO</td>
<td>6.51(1)</td>
</tr>
<tr>
<td>MgO</td>
<td>49.14(5)</td>
</tr>
<tr>
<td>CaO</td>
<td>0.015(7)</td>
</tr>
<tr>
<td>MnO</td>
<td>0.130(2)</td>
</tr>
<tr>
<td>Al$_2$O$_3$</td>
<td>0.020(1)</td>
</tr>
<tr>
<td>TiO$_2$</td>
<td>3.10(8)</td>
</tr>
<tr>
<td>NiO</td>
<td>0.020(9)</td>
</tr>
<tr>
<td>SiO$_2$</td>
<td>37.36(7)</td>
</tr>
<tr>
<td>F</td>
<td>0.59(6)</td>
</tr>
<tr>
<td></td>
<td>Cl</td>
</tr>
<tr>
<td>--------</td>
<td>----------</td>
</tr>
<tr>
<td>H₂Oᵃ</td>
<td>1.82</td>
</tr>
<tr>
<td>Total</td>
<td>98.74</td>
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</table>

*a*: The weight percentage values for H₂O are calculated from stoichiometry, and the mole ratio of (H+F+1/2·Ti) : O is assumed to be 2 : 18, considering the substitutions of OH⁻=F⁻(Cl⁻) and Mg²⁺+2OH⁻=Ti⁴⁺+2O²⁻.

**Table 2.** The observed Raman shifts and OH bands by FTIR (νᵢ), temperature dependence of νᵢ (∂νᵢ/∂T), isobaric mode Grüneisen parameters, as well as intrinsic anharmonic parameters (aᵢ) for the clinohumite samples.
<p>| | | | | | | | | | | |</p>
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<td>0.861</td>
<td>-4.99(7)</td>
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<td>0.74(1)</td>
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673 a: Lin et al. (2000)

674
Table 3. Observed IR modes for both the natural and synthetic clinohumite samples in bold, compared with the literature in the frequency range of 3100-3650 cm\(^{-1}\).

<table>
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<th>Below 3450 cm(^{-1})</th>
<th>above 3450 cm(^{-1})</th>
<th>method</th>
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<td>F-free:</td>
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<tr>
<td></td>
<td>3526       3560       3608</td>
<td>IR(^a)</td>
</tr>
<tr>
<td></td>
<td>3522       3560       3575 3608</td>
<td>Raman(^b)</td>
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<td></td>
<td>3526       3561       3607</td>
<td>IR(^c)</td>
</tr>
<tr>
<td></td>
<td>3524 3544 3557 3567 3576</td>
<td>IR(^d)</td>
</tr>
<tr>
<td></td>
<td>3527 3535 3559 3568 3578</td>
<td>Raman(^e)</td>
</tr>
<tr>
<td></td>
<td>3527       3564 3580 3612</td>
<td>Raman(^f)</td>
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<tr>
<td>F-bearing:</td>
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<td></td>
<td>3161 3233 3397 3436 3529 3564 3608</td>
<td>IR(^a)</td>
</tr>
<tr>
<td></td>
<td>3393 3525 3562</td>
<td>IR(^g)</td>
</tr>
</tbody>
</table>

\(a\): this study; \(b\): Ye et al. (2013); \(c\): Liu et al. (2003); \(d\): Frost et al. (2007b); \(e\): Frost et al. (2007a); \(f\): Lin et al. (2000); \(g\): Shen et al. (2014).

Table 4. Comparison of some hydrogen bonds from FTIR measurement and neutron powder diffraction for hydroxyl-clinohumite.

<table>
<thead>
<tr>
<th>OH band (cm(^{-1})) (^a)</th>
<th>(d_{O-H}) (Å) (^b)</th>
<th>(d_{O-D}) (Å) (^c)</th>
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<tbody>
<tr>
<td>3526</td>
<td>2.09</td>
<td>2.01 (O9...D1)</td>
</tr>
<tr>
<td>3560</td>
<td>2.17</td>
<td>2.23 (O8...D2)</td>
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<tr>
<td>3609</td>
<td>2.42</td>
<td>2.46 (O6...D1)</td>
</tr>
</tbody>
</table>
a: measured by FTIR in this study; b: calculated from Equation (1) (Libowitzky 1999); c: measured by neutron powder diffraction (Berry and James 2001).
Fig. 2
Fig. 3
Fig. 4

(a) Mode frequency (cm⁻¹) vs. Temperature (K) for natural clinohumite and synthetic clinohumite.

(b) Mode frequency (cm⁻¹) vs. Temperature (K) for natural clinohumite and synthetic clinohumite.

(c) Mode frequency (cm⁻¹) vs. Temperature (K) for natural clinohumite and synthetic clinohumite.
at ambient temperature

at high temperature

Fig. 6
Fig. 7
Fig. 10

Anharmonic parameters ($10^{-5} \text{K}^{-1}$) vs. Mode frequency (cm$^{-1}$)

- Lattice vibrations
- SiO$_4$ bending vibrations
- M-OH deformation vibrations
- SiO$_4$ stretching vibrations
- O-H stretching vibrations

- Phase A
- Synthetic chondrodite
- Synthetic clinohumite
- Hydrous forsterite
**Fig. 11**

The image shows two graphs, (a) and (b).

**Graph (a):**
- **T(Si):** -2.5, 9
- **T(M)+R(Si)+v_2:** -1.5, 43
- **v_4:** -0.5, 13
- **v_1+v_3:** -1, 16
- Wavenumber (cm^-1): 105, 227, 244, 482, 505, 644, 825, 975

**Graph (b):**
- **Isochoric Heat Capacity (J/kg/K):**
  - Clinohumite:
    - Anharmonic mode: 1
    - Harmonic mode: 2
    - Calculated based on Eqns (7,8)
  - Forsterite:
    - Anharmonic mode (Gillet): 4
    - Harmonic mode (Gillet): 5
    - Harmonic mode (Ottonello): 6
- Temperature (K): 400, 600, 800, 1000, 1200, 1400, 1600, 1800, 2000

The graphs illustrate the relationship between wavenumber and isochoic heat capacity at different temperatures for clinohumite and forsterite.