

1 **REVISION 2**

2 **A vibrational spectroscopic study of kernite to 25 GPa: implications for the high-pressure**
3 **stability of borate polyhedra**

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10 **Abstract**

11 The Raman and infrared spectra of kernite ($\text{Na}_2\text{B}_4\text{O}_6(\text{OH})_2 \cdot 3\text{H}_2\text{O}$) have been
12 characterized up to ~25 and 23 GPa, respectively, to explore pressure-induced changes in a
13 structurally novel mineral that contains mixed coordination borate groups (three-fold and four-
14 fold), as well as both hydroxyl groups and water molecules. BO_3 and BO_4 vibrational modes are
15 characterized in both the Raman and infrared spectra of kernite, and reassignments of some
16 modes are made based on observed pressure shifts. Under compression to ~25 GPa, kernite
17 undergoes three phase transitions: one initiates near ~2.5 GPa, the second occurs at ~7.0 GPa,
18 and the third near 11.0 GPa. The first transition is characterized by a loss of both a subset of the
19 Na-associated modes and a sharp OH peak, and is fully reversible. The second transition is
20 characterized by the loss of most of the BO_3 modes and some of the BO_4 modes at ~7 GPa, and
21 further broadening of the H_2O and OH peaks. This transition is partially reversible on
22 decompression, but the Raman spectra indicate that the high-pressure structure and its
23 reversion products are likely disordered. The third transition is characterized by the loss of most

24 of the Raman and infrared modes, and is not reversible on decompression. The decompression
25 products from ~25 GPa have markedly different infrared and Raman spectra from the starting
26 material, and appear to involve different bonding environments of boron than are present in
27 the starting materials: thus, pressure treatment of boron-rich materials may generate novel
28 quenchable structures. Notably, the BO_3 groups in kernite are destabilized under compression,
29 with the BO_4 groups appearing to be more stable under compression. This shift in coordination
30 may be endemic among borate glasses and crystalline borates under compression. The
31 coordination conversion (and its products on decompression) is likely to be highly dependent
32 on the detailed topology of the starting materials. Commonalities and differences between the
33 three-fold to four-fold transitions in borate systems relative to those in carbonate systems are
34 presented. Our results, combined with prior shock data, indicate that kernite, which may be
35 representative of low-temperature borate minerals, can persist during impact events with peak
36 shock pressures less than ~33 GPa, and the primary polyborate structural units involved in early
37 ribose stabilization would thus survive most impact conditions.

38 **Keywords:** kernite, boron, high-pressure, vibrational spectroscopy

39 **Introduction**

40 Kernite ($\text{Na}_2\text{B}_4\text{O}_6(\text{OH})_2 \cdot 3\text{H}_2\text{O}$) was discovered in the Mojave Desert, near the modern
41 town of Boron, California in 1925 and was originally named rasorite (Hurlbut et al. 1973).
42 Kernite from Boron, California is found in the lower part of an evaporite deposit as a
43 metamorphic alteration of borax ($\text{Na}_2\text{B}_4\text{O}_5(\text{OH})_4 \cdot 8\text{H}_2\text{O}$) in clay shales (Christ and Garrels, 1959;
44 Barnard and Kistler, 1966; Smith, 1968; Hurlbut et al., 1973; Garrett, 1998). The Kramer borate
45 deposit in Boron, California is the type locality of kernite. It has only been found at a few other

46 localities around the world, such as the Tincalayu Mine, Argentina (Hurlbut et al. 1973),
47 Sarikaya B deposit, Turkey (García-Veigas and Helvaci 2013), and possibly in Lewis Cliff,
48 Antarctica (Fitzpatrick et al. 2013). While not particularly common on Earth, there are strong
49 indications of borate minerals within fluid-associated veining and evaporitic deposits on Mars,
50 and these may be of astrobiological importance (Stephenson et al. 2013; Cloutis et al. 2016).

51 The crystal structure of kernite was first reported by Amoros (1945) using x-ray
52 diffraction techniques. Due to the many light atoms comprising kernite, it has been re-
53 investigated by many workers using single-crystal x-ray diffraction to accurately determine the
54 H-atom positions and the coordination of the B and Na atoms (Ross and Edwards, 1959, Giese
55 1966, Cooper et al. 1973, Christ and Clark, 1977). Kernite crystallizes in the monoclinic $P2_1/c$
56 space group with four formula units in the unit cell (e.g., Cooper et al. 1973). Kernite is unusual
57 in its crystal chemistry since it contains both trigonal planar BO_3 and tetrahedral BO_4 groups
58 (Figure 1). The two Na sites are 6-fold coordinated by five oxygens and one water molecule
59 (Na1) and 5-fold coordinated by two oxygens and three water molecules (Na2). The 5-fold
60 coordinated Na sites form dimers by the sharing of two oxygens while 6-fold coordinated Na
61 sites are linked to them by corner-sharing of one O atom. One triangular BO_2OH group and two
62 tetrahedral BO_4 groups share corner oxygens to form six membered rings (Cooper et al. 1973).
63 BO_4 groups link together to form chains that run parallel to the *b*-axis, which are held together
64 by hydrogen bonding between the H_2O molecules and the OH groups.

65 The ambient pressure stability of kernite has been well studied (Schaller, 1930; Menzel
66 et al., 1935; Menzel and Schulz, 1940; Pabst and Sawyer, 1948; Sennova et al. 2005). Kernite
67 alters to tinalconite ($Na_2B_4O_7 \cdot 5H_2O$) at ambient conditions by hydration (Pabst and Sawyer,

68 1948). When heated in air to above ~ 80 °C, it partially dehydrates to $\text{Na}_2\text{B}_4\text{O}_6(\text{OH})_2 \cdot 1.5\text{H}_2\text{O}$, at
69 temperatures >115 °C it amorphizes, and on further heating above 525 °C, an anhydrous
70 $\text{Na}_2\text{B}_4\text{O}_7$ phase crystallizes (Sennova et al. 2005). Spectroscopic or diffraction experiments on
71 kernite under static compression do not appear to have been reported, and high-pressure
72 investigations of kernite are scarce, with the exception of the shock wave study of kernite by
73 Boslough et al. (1980) which focused on shock-induced water loss. They found that kernite did
74 not noticeably dehydrate following impacts up to ~ 33 GPa, but changes in the hydrogen
75 bonding environment were reported. Their infrared spectra of shocked and unshocked kernite
76 indicated that changes in the bonding environment of structural and non-structural water
77 occurred following shock, and that there was some evidence for rearrangement of the water
78 molecules (Boslough et al. 1980). However, the behavior of the borate polyanion chains was
79 not examined, as no spectra were reported outside of the hydroxyl stretching region. Hence,
80 the post-shock behavior of the BO_3 and BO_4 groups as well as the Na sites is unknown.

81 A possible role of borates in the earliest evolution of life has been extensively discussed
82 (Benner, 2004; Ricardo et al. 2004; Cossetti, et al., 2010; Grew et al. 2011; Saladino et al. 2011;
83 Scorei, 2012). It has been shown experimentally that the presence of borate minerals such as
84 colemanite ($\text{CaB}_3\text{O}_4(\text{OH})_3 \cdot \text{H}_2\text{O}$), ulexite ($\text{NaCaB}_5\text{O}_6(\text{OH})_6 \cdot 5\text{H}_2\text{O}$) and kernite ($\text{Na}_2\text{B}_4\text{O}_6(\text{OH})_2 \cdot 3\text{H}_2\text{O}$)
85 can stabilize ribose in solution against decomposition (Ricardo et al. 2004; Saladino et al. 2011),
86 and hence act as catalysts to prebiotic syntheses (Saladino et al. 2011). The presence of borates
87 would allow for the survival and accumulation of pre-biotic ribose from simple organic
88 precursors (Grew et al. 2011). Interestingly, borate minerals have been shown to have little to
89 no effect on the stability of RNA itself (Cossetti et al. 2010). However, since ribose is a

90 component of ribonucleic acid (RNA) and is chemically unstable, the stabilization of ribose
91 could have been a critical step in the evolution of nucleic acids. Which borate minerals may
92 have taken part in ribose stabilization is unclear, but colemanite, ulexite, and kernite have each
93 been proposed (Grew et al. 2011). The oldest known ulexite and colemanite deposits are ~330
94 Ma while the oldest kernite deposits are ~19 Ma. Microstructures and B isotopes suggest that
95 B-bearing evaporites were deposited in the Precambrian, and were subsequently replaced by
96 metamorphic borates and borosilicates (Grew et al. 2011). It has been suggested that
97 continental crust could have formed as early as 4.3 bya (Harrison, 2009; Hawkesworth et al.
98 2010). Thus, borate deposits could have precipitated from B-rich thermal waters in the deep
99 past (Grew et al. 2011). Hence, the stability of kernite under high-pressure conditions is
100 relevant for understanding the survivability of borates under high-pressures induced by
101 meteorite impacts on both Earth and Mars (e.g., during the late heavy bombardment).

102 From a materials perspective, sodium borate glasses have attracted considerable
103 interest in the materials science community due to their unusual optical and thermal properties
104 (Krogh-Moe, 1962; Jellison and Bray, 1978; Kamitsos et al. 1987; Yano et al. 2003). These
105 glasses are composed of polymerized structural units comprised of four distinct borate
106 polyhedra (boroxol, petaborate, triborate, and diborate) when the alkali content is less than 33
107 1/3 mol% (e.g., Krogh-Moe, 1965). Interestingly, borate glasses are comprised of these well-
108 defined and stable borate units as segments of their disordered framework (Krogh-Moe 1965;
109 Griscom, 1978; Button et al. 1982). Indeed, some of the polyborate groupings found in borate
110 glasses occur in related crystalline borate materials (Griscom, 1978). For example, kernite is
111 comprised of polymerized 3-membered rings of borate polyhedra, with two tetrahedral units

112 forming a ring with a 3-coordinated ring (Figure 1), and the rings being linked together in chains
113 aligned along the b-axis of this material. The pressure-induced transformations between
114 different structural species, and particularly from the triangular BO_3 group to the tetrahedral
115 BO_4 group have been examined under compression in glasses using NMR (Edwards et al. 2014),
116 via molecular dynamic simulations (Kilymis et al. 2015), and following recovery from high-
117 pressure shock-loading conditions (Manghnani et al. 2011). Additionally, a subset of the BO_3
118 groups in the calcium borate colemanite shift coordination at pressures above 14 GPa (Lotti et
119 al. 2017). Hence, we are interested in whether comparable structural changes occur in
120 crystalline kernite under compression, or whether different compressional mechanisms are
121 accessed at higher pressure conditions by kernite. Thus, the high-pressure polymorphism of
122 kernite may provide insights into the structural behavior of amorphous and crystalline borates
123 under compression.

124 Borates such as colemanite, ulexite, and kernite have been extensively studied using
125 vibrational spectroscopy under ambient conditions (Hibben, 1939; Krishnamurti, 1955; Weir,
126 1966; Vlasova and Valyashko, 1966; Maya, 1976; Maeda et al., 1979; Jun et al., 1995; Kloprogge
127 and Frost, 1999). Early studies compared the Raman spectra of borax, kernite, and colemanite
128 and assigned B-O vibrations (Hibben, 1939; Krishnamurti, 1955). Later studies focused on the
129 more detailed assignment of trigonal and tetrahedral boron stretches and bends, as well as the
130 OH and H_2O modes by comparing the spectra of these minerals. The infrared and Raman
131 spectra of hydrated borates are complex since boron exists in both three- and four-fold
132 coordination. These two configurations of boron have different vibrational properties; Jun et al.

133 (1995) and Kloprogge and Frost (1999) have relatively comprehensive assignments of the
134 Raman and infrared spectra of kernite.

135 Therefore, because of its novel crystal chemistry, the importance of borates in the
136 evolution of life, and possible relevance to the study of borate glasses, we have measured the
137 infrared and Raman spectra of kernite to 23-25 GPa. Our experiments are oriented towards: (1)
138 examining whether pressure induced polymorphism occurs in this phase; (2) determining if the
139 mixed coordination Na and B sites change coordination under compression; and (3)
140 characterizing the high-pressure behavior of hydrogen bonding within this phase and
141 comparing it to recovered material from previous shock experiments (Boslough et al. 1980).
142 Our net goals are to determine the stability/metastability of a complex borate mineral under
143 high pressure and modest temperature conditions.

144 **Experimental Methods**

145 We used kernite from the type locality of the Kramer borate deposit, Boron, Kern
146 County, California. The sample, UCSC collection #6141, is a large block of optical quality kernite,
147 with dimensions of ~15 cm x 25 cm x 5 cm. Our infrared and Raman spectroscopic results are in
148 excellent agreement with previous studies (Kloprogge and Frost 1999; Jun et al. 1995). High
149 static pressures were generated by symmetrical and Merrill-Bassett type diamond anvil cells
150 equipped with type Ia (Raman) and type IIa (infrared) diamonds with 500 μm , 16-sided culets. A
151 spring steel gasket with a 200 μm hole was used as the sample compartment. Three to five ruby
152 chips were loaded with each sample to measure the pressure using the standard ruby
153 fluorescence method (Mao et al., 1986). All experiments were conducted at room temperature.
154 Due to its high solubility in polar solvents, single crystals of kernite were loaded with

155 spectroscopic-grade KBr as the pressure medium as opposed to methanol-ethanol. We did not
156 observe any interaction between kernite and the pressure medium over the pressure range of
157 these experiments. Moreover, KBr has no first-order Raman spectrum, and is transparent in the
158 mid-infrared, so no spectral contamination of the kernite spectrum is generated.

159 Raman spectra were collected with a Horiba LabRAM HR Evolution Raman spectrometer
160 with a spectrometer focal length of 800 mm. Spectra were collected to a pressure of ~25 GPa at
161 room temperature. We used an excitation wavelength of 633 nm to collect from 50-1200 cm^{-1}
162 and an excitation wavelength of 532 nm to collect the hydroxyl stretching region from 3000-
163 3700 cm^{-1} . An Olympus BXFM-ILHS microscope with a 50x long working distance objective was
164 used to focus the laser beam onto the sample. We used an 1800 lines/mm grating, with a
165 corresponding spectral resolution of ~1 cm^{-1} . We used 633 nm excitation for the low frequency
166 modes to avoid the luminescence background generated by 532 nm excitation. No such
167 background was observed in the higher frequency region of the spectrum, so 532 nm excitation
168 was used in this region due to the low intensity of the OH stretches. The Raman spectrum of
169 kernite is orientation-dependent, so variations in peak amplitudes are observed between some
170 experiments. Spectra were collected from a laser spot size of ~2 μm and very close to the ruby
171 used to determine pressure for each step, so we do not anticipate that pressure gradients will
172 notably adversely affect our Raman spectra. Raman spectra were fit using a combination of
173 Gaussian and Lorentzian functions with Horiba Labspec6 software.

174 We collected mid-infrared absorption spectra from room pressure to ~24 GPa at room
175 temperature using a Bruker Vertex 70v evacuated Fourier transform infrared spectrometer
176 (FTIR) equipped with a globar source, KBr-beamsplitter and a liquid- N_2 cooled mercury-

177 cadmium-telluride (MCT) detector. Infrared spectra were collected with a resolution of 4 cm^{-1} .
178 Kernite was ground into a powder and mixed with spectroscopic-grade KBr in a ratio of 90%
179 KBr: 10% sample by weight, with KBr serving as both an infrared window and the pressure
180 medium. Pressure gradients in our infrared experiments did not produce notable broadening of
181 our spectra relative to the ambient pressure spectrum. This is in accord with recent high-
182 pressure infrared spectra of the OH region in amphiboles that show that the full width at half
183 maximum (FWHM) of hydroxyl stretches is nearly indistinguishable between neon and KBr
184 loaded samples up to $\sim 20\text{ GPa}$ (Thompson et al. 2016). Moreover, since the infrared spectra
185 were collected using an infrared beam that interacts with the entire sample compartment of
186 the DAC, we reported the average pressure determined for each pressure step using multiple
187 rubies. Under natural shock conditions, it is likely that transient differential stresses arise.
188 Hence, probing the properties of kernite with modest amounts of differential stress may have
189 relevance to structural changes induced by impact. Infrared spectra were also fit using a
190 combination of Gaussian and Lorentzian functions with Bruker OPUS software.

191 **Results**

192 *Ambient*

193 The ambient pressure Raman spectrum of kernite has over 30 resolvable peaks at room
194 pressure and temperature (Figure 2), which have been generally assigned by Kloprogge and
195 Frost (1999). Many of the lower frequency modes are related to Na-O bending and stretching
196 vibrations against the borate polyhedra. The region of the spectrum from ~ 390 to 1100 cm^{-1}
197 corresponds to BO_3 and BO_4 bending and stretching modes. Both symmetric and antisymmetric
198 stretches of the BO_3 and BO_4 groups have been previously assigned. There are regions of

199 overlap between modes associated with these two boron configurations, so the detailed
200 assignments of some of the observed modes are not unique. From 3200-3500 cm^{-1} , we observe
201 a broad H₂O-associated hydroxyl-stretching manifold that when deconvolved contains five
202 modes, and at $\sim 3547 \text{ cm}^{-1}$ a sharp stretch associated with the more weakly bound hydroxyl
203 units is observed. On the low frequency side of the broad H₂O modes, a shoulder is observed
204 near 3000 cm^{-1} , which may be an indication of strong hydrogen bonding. Two of the eight
205 crystallographically distinct hydrogens in kernite are strongly hydrogen bound, providing
206 linkages between the chains of borate rings (Cooper et al., 1973).

207 The ambient pressure mid-infrared spectrum (Figure 2) has several overlapping bands,
208 and is in good agreement with the infrared spectrum of kernite reported by Jun et al. (1995). As
209 with the higher frequency portions of the Raman spectrum, the mid-infrared spectrum is
210 composed primarily of bending and stretching modes of the BO₃ and BO₄ groups. The infrared
211 OH stretching region is similar in location and morphology to the Raman spectrum (Figure 2),
212 implying that Davydov (factor group) splitting of the O-H stretches is small at ambient pressure.
213 There is a broad H₂O-associated band that has four deconvolvable components centered near
214 3250 cm^{-1} , and an H-O-H bending mode is observed near 1701 cm^{-1} . This is substantially
215 elevated in frequency relative to that of the vibration of water in the liquid or gas phase (each
216 below 1655 cm^{-1} ; Falk, 1990), which is consistent with the water molecules in kernite being
217 relatively strongly hydrogen bound to one another (Cooper et al. 1973), and hence the bending
218 vibration involving deformation of strong hydrogen bonds as well. As with the Raman spectrum,
219 there is a sharp OH stretch near 3551 cm^{-1} , and a shoulder near 3000 cm^{-1} that reflects the
220 strongest hydrogen bonding environment in kernite.

221 *Compression*

222 Representative Raman spectra on compression are shown in Figure 3, and the Raman
223 mode shifts are shown in Figure 4. Under compression the Raman spectra of kernite changes
224 dramatically. At ~2.5 GPa, three Na-associated modes initially at 113, 123, and 128 cm⁻¹
225 disappear, as well as modes originating at 163, 175, 214, 246, 260, 308, and 346 cm⁻¹ all
226 disappear. Also at 2.5 GPa, the symmetric stretch BO₄ mode at 807 cm⁻¹ disappears. Thus, a
227 transition that appears to have major effects on the Na-polyhedra takes place near 2.5 GPa,
228 with some associated changes in the borate groups. Table 1 lists the observed Raman modes,
229 their assignments and pressure shifts up to the ~2.5 GPa transition. Interestingly, the lowest
230 frequency Na-associated mode near 83 cm⁻¹ shifts negatively under compression. Because of its
231 low frequency, this could generate a soft mode transition. However, its rate of shift is not
232 sufficiently large/non-linear enough to be the cause of the 2.5 GPa transition (Sanjurjo et al.
233 1983; O'Bannon et al. 2016b); it also persists through the transition. The other observed Na-
234 associated modes shift positively under compression, and become more difficult to resolve as
235 pressure is increased. Between 400-550 cm⁻¹, there are three distinct peaks at 428, 463 (which
236 has two components that become resolved under compression), and 498 cm⁻¹ which merge
237 under compression at ~2.5 GPa to form a broad doublet that can be deconvolved into four
238 peaks (at 436, 461, 481, and 510 cm⁻¹ at 2.5 GPa). As Klopproge and Frost (1999) note, these
239 modes likely correspond to BO₃ and BO₄ bending modes. The mode shifts of these peaks fall
240 into two general categories (Table 1): those between 390 and 461 cm⁻¹, which have shifts
241 between 1.79 and 2.58 cm⁻¹/GPa, and those at 466 and 498 cm⁻¹, which shift at faster rates of
242 7.43 and 4.92 cm⁻¹/GPa, respectively. Based on the differing strengths of bonding in the BO₃

243 and BO₄ tetrahedra, we assign the lower frequency bands to bending vibrations of the BO₄
244 tetrahedra, and the two higher lying features to bending of the BO₃-groups. The two bands at
245 557 and 581 cm⁻¹ each have notably lower shifts of 1.41 and 0.69 cm⁻¹/GPa: we assign these to
246 O-H librational modes, since such bands are known to have small pressure shifts (e.g., Scott et
247 al. 2007), and librational modes are expected in this region for phases such as kernite with
248 intermediate to strong hydrogen bonding (Lutz et al. 1998).

249 By 3.3 GPa, two of the four remaining modes in the bending region disappear, and an
250 intense doublet with frequencies of 461 and 487 cm⁻¹ remains. This doublet is relatively
251 insensitive to compression up to ~6.5 GPa. Above ~6.5 GPa, the lower frequency peak of this
252 doublet disappears and the higher frequency peak rapidly merges with another peak that shifts
253 downward from 547 cm⁻¹ (Figure 4a). This single band, now centered near 524 cm⁻¹ at 6.5 GPa,
254 shifts positively up to ~25 GPa. There are other notable changes at 6.5 GPa: peaks originally at
255 742 and 933 cm⁻¹ shift discontinuously to 755 and 947 cm⁻¹, and by ~7 GPa they disappear.
256 These two peaks are likely associated with symmetric stretches of the BO₄ and BO₃ groups,
257 respectively, and their disappearance is probably associated with marked distortions of each
258 group. At ~7.5 GPa, a dramatic change in the Raman spectrum occurs, and only seven well-
259 resolved modes remain within the spectrum: all other bands broaden to the degree that they
260 are not readily resolved, or they disappear. Near 11 GPa, modes near 600 and 720 cm⁻¹ become
261 unresolvable, and only four modes can be resolved at the maximum pressure of this study. The
262 frequencies of these modes at ~25 GPa are 169, 195, 312, and 597 cm⁻¹. The lowest two modes
263 are likely assigned to Na-associated vibrations, while the mode at 312 cm⁻¹ could be a bending
264 mode of substantially weakened borate polyhedra, and the mode at 597 cm⁻¹ may be the

265 symmetric stretch of these polyhedra. The net bending and stretching frequencies lie
266 substantially below those of BO_4 tetrahedra at ambient pressures, and these thus may
267 represent the vibrations of a more highly coordinated boron species. In this respect, the
268 disappearance of all bands associated with the BO_3 groups in kernite indicates that the BO_3
269 groups have been eliminated in the high-pressure phase. The persistence of two moderate
270 frequency bands in the high-pressure Raman spectra implies that some regular borate
271 polyhedra persist, but that their bonding is substantially weakened, and their coordination may
272 be increased, relative to the low-pressure BO_4 groups. Lastly, we were unable to resolve H_2O
273 and OH modes above ~ 2.5 GPa in the Raman as the peaks rapidly broadened and decreased in
274 intensity. The OH peak at 3547.5 cm^{-1} shifts negatively under compression at $-9.20 (\pm 1.05) \text{ cm}^{-1}$
275 /GPa, which suggests that there is a rapid increase in the strength of the hydrogen bonding of
276 the most weakly hydrogen bound hydroxyls under compression.

277 Representative infrared spectra of kernite under compression are shown in Figure 5 and
278 the infrared mode shifts are plotted in Figure 6. Table 2 lists the observed infrared modes,
279 assignments, and pressure shifts up to the ~ 2.5 GPa transition. All of the BO_3 and BO_4 modes
280 shift smoothly and positively under compression to the transition. At 2.5 GPa, several BO_3
281 antisymmetric bending modes originating at 618, 653, and 691 cm^{-1} become unresolvable. By
282 5.0 GPa, a symmetric BO_4 stretch originating at 760 cm^{-1} becomes unresolvable, although the
283 antisymmetric BO_4 stretches between 960 and 1022 cm^{-1} persist throughout this pressure
284 range. The BO_3 antisymmetric stretch that originates at 1487 cm^{-1} also becomes unresolvable
285 by 5.0 GPa. This is the first onset of a progressive broadening and loss of all of the BO_3
286 antisymmetric stretching vibrations by the highest pressures of our measurements. Similar to

287 the Raman results, several modes in the 500-600 cm^{-1} range coalesce near 7.0 GPa, leaving only
288 one substantially broadened mode in their place. The infrared features that persisted to the
289 maximum pressure of this study were all substantially broadened and difficult to resolve above
290 ~ 7.0 GPa, and are indicative of pervasive disordering of kernite. Near 11 GPa, the BO_3
291 antisymmetric stretching modes in the 1300-1500 cm^{-1} region merge into a single broad mode,
292 which progressively decreases in amplitude and disappears by 22 GPa. Hence, the infrared
293 spectra provide compelling evidence that the BO_3 groups are destabilized within the highest-
294 pressure phase of kernite that we observe. At 22.2 GPa, there are roughly five discrete modes
295 that are resolvable below 1650 cm^{-1} , with frequencies of 619, 747, 978, 1263, and 1612 cm^{-1} .
296 The two lower frequency modes are likely produced by antisymmetric bending vibrations of
297 borate polyhedra, and the modes at 978 and 1263 cm^{-1} are associated with antisymmetric
298 stretches of borate polyhedra. The band at 1612 cm^{-1} is assigned to the H-O-H bend. Finally, in
299 the OH stretching region, at ~ 2.5 GPa, the sharp, weakly hydrogen bound hydroxyl stretching
300 peak broadens and drops in intensity, in a manner that is virtually identical to the Raman results
301 (Figure 3b), and it ultimately merges into the broad H_2O hydroxyl stretching envelope. The once
302 sharp OH mode can still be deconvolved to the highest pressures of the study, and two modes
303 are fit to the broad hydroxyl stretching manifold to the maximum pressure of this study. The
304 OH-stretching peak, the broad H_2O hydroxyl stretching modes, and the H-O-H mode all shift
305 negatively under compression up to ~ 7.0 GPa. Above 7.0 GPa, the broad H_2O and broadened
306 OH mode may shift slightly positively, suggesting that H-bonding may become weaker in the
307 higher-pressure phases. The H-O-H bend shows complex behavior, shifting rapidly and
308 positively from ~ 7.0 GPa to ~ 11.0 GPa, and above ~ 11.0 GPa it shifts negatively up to the

309 maximum pressure of this study. Since the frequency of the H-O-H bend tends to increase with
310 decreasing H-O-H bond angle, this trend is consistent with an initial broadening of the H-O-H
311 angle up to 7 GPa, followed by an interval of rapid contraction between 7 and 11 GPa. Above 11
312 GPa, it appears to resume weakly widening in the highest pressure phase.

313 *Decompression*

314 Representative Raman and infrared spectra on decompression are shown in Figure 7.
315 During decompression of the Raman sample, the two peaks that persisted to ~25 GPa shift
316 negatively and monotonically with decreasing pressure, with the primary peak moving back
317 down to ~450 cm⁻¹. Additional bands begin to appear near ~3.2 GPa. For example, a shoulder to
318 the high frequency side of the strongest peak appears near ~3.2 GPa on decompression and by
319 ~0.2 GPa, it has split away from the primary peak and its peak location is at 590 cm⁻¹. Notably, a
320 number of bands (and particularly those at low frequencies) appeared only upon full
321 decompression of the sample (Figure 7a). Only two modes actually persist from ~25 GPa down
322 ~10 GPa (Figure 4). Three broadened modes reappeared in the OH stretching region; however,
323 the sharp OH stretch does not reappear.

324 On decompression, the infrared spectra have essentially two broad bands that can be fit
325 with five modes, which shift negatively with decreasing pressure back to room pressure (Figure
326 7b). The spectrum remained broad until the sample was fully decompressed to room pressure,
327 when a sequence of sharper modes appeared. These six sharp modes in the mid-frequency
328 region of the infrared spectrum (~500-1500 cm⁻¹) are not as sharp as the bands in the ambient
329 starting material that appear in this region. Notably, below 5 GPa (and particularly below 3
330 GPa), the BO₃-antisymmetric stretching bands between 1300-1500 cm⁻¹ recover their amplitude

331 within the spectra: their reappearance is accompanied by shifts in amplitude in the lower
332 frequency region between 700 and 1200 cm^{-1} (Figure 7b). In the OH region, the sharp OH peak
333 does not return on decompression, but the broad H_2O hydroxyl-stretching bands are similar to
334 those in the starting infrared spectrum of kernite. As with the decompressed Raman spectrum,
335 the decompressed infrared spectrum does not resemble the initial infrared spectrum of kernite.

336 *Fully Decompressed Product*

337 The fully decompressed Raman sample has a spectrum that is unlike the ambient
338 spectrum of the starting material (See supplementary Figure S1). There are two low-intensity
339 broad peaks from 50-200 cm^{-1} and only 6 modes from 200-600 cm^{-1} , which is dramatically
340 different from the ambient spectrum that consisted of a large number of BO_3 and BO_4 bending
341 modes in this region. The BO_4 stretching region from 700-850 cm^{-1} is also much less complex.
342 The prominent BO_4 symmetric stretching vibration in kernite at $\sim 739 \text{ cm}^{-1}$ has no analogue in
343 the decompressed spectrum. If tetrahedral BO_4 groups are present in the decompressed
344 material, they have a notably different local bonding environment, and may be substantially
345 distorted. The BO_3 symmetric stretches near 950 cm^{-1} in the starting material also appear to
346 have been profoundly affected by compression, leaving them broadened, of low intensity, and
347 modestly elevated in frequency relative to the most prominent BO_3 symmetric stretch in the
348 starting material. The OH stretching region from 3200-3600 cm^{-1} has completely changed its
349 morphology. In lieu of the distinct H_2O and OH peaks in kernite, a sequence of peaks with a
350 completely different intensity distribution and locations is observed, which can be fit with four
351 peaks at $\sim 3200, 3350, 3500, \text{ and } 3650 \text{ cm}^{-1}$. In short, the fully decompressed spectrum is
352 fundamentally different from the initial ambient spectrum in every spectral region, but the

353 presence of discrete, relatively sharp (albeit broadened) peaks suggests that the decompressed
354 product may be crystalline, and may have recrystallized on decompression to ambient
355 condition. Table 3 lists the frequencies and assignments of the Raman modes observed in the
356 spectra decompressed from ~25 GPa.

357 The infrared spectrum of the decompressed material also does not resemble the initial
358 ambient infrared spectrum. It is much less complex and most of the sharp modes have
359 broadened, and coalesced into single peaks (See supplementary Figure S2). Although the
360 maximum pressure of the infrared experiment was ~2 GPa lower than the Raman experiment,
361 we believe that they accessed similar structural states at both high pressures and on
362 decompression. The mid-infrared region from 500-1000 cm^{-1} of the decompressed product is
363 made up of only four main peaks (Table 3), whereas in the ambient spectrum there were more
364 than ten distinct peaks. The broad mode from 1300-1500 cm^{-1} associated with BO_3
365 antisymmetric stretches, has returned but sharp components in this region are not resolvable.
366 The OH stretching region of the decompressed material is, because of fringing, more difficult to
367 characterize in the infrared than in the Raman. The OH stretch near 3551 cm^{-1} has merged into
368 the H_2O stretching envelope, and a broad continuum centered near 3300 cm^{-1} is observed.

369 **Discussion**

370 **Reversibility of observed phase transitions**

371 Our vibrational spectroscopic results clearly indicate that kernite undergoes three phase
372 transitions under compression: the first is near 2.5 GPa, a structurally more dramatic transition
373 occurs near 7.0 GPa, and the spectra become notably simpler, and possibly indicate a highly
374 disordered structure, near 11.0 GPa. Both the high-pressure Raman and infrared studies

375 suggest that the structure of kernite changes irreversibly under compression to ~25 GPa. To
376 assess the reversibility of each of these high-pressure phase transitions, additional Raman
377 experiments of pressure-quenched products were conducted (Figure 8).

378 A decompressed Raman spectrum of a sample of kernite compressed to ~3.1 GPa
379 indicates that the ~2.5 GPa transition is fully reversible (Figure 8). This indicates that any
380 compaction of the structural channels that run parallel to the *b*-axis in kernite across this
381 transition is reversible, and changes in Na sites and hydrogen bonding geometries are fully
382 recoverable across this transition. No changes in the coordination of the BO₃ groups occur
383 across this transition and the H-bonding environment of the OH groups and H₂O molecules is
384 not drastically changed. The BO₄ groups appear to be mostly unaffected by this transition.

385 Our Raman spectra suggest that the bonding environment of the Na sites changes
386 dramatically above ~7.0 GPa, based on the profound changes in the spectra of the low-
387 frequency region under compression (Figure 3). Moreover, the OH stretch broadens across this
388 transition and particularly on its low frequency side (Figure 5), suggesting that a wider and
389 stronger range of hydrogen-bonding environments is being introduced under compression.
390 Ultimately, the sign of the pressure shift of the OH stretching in the infrared experiments
391 changes from negative to positive above ~11 GPa, implying that complex hydrogen bonding
392 geometries may be present in the high-pressure phase (such as bifurcated hydrogen bonds).
393 Decompressed Raman spectra from 8.2 GPa indicate that the structural changes that occur
394 across the ~7.0 GPa transition are not fully reversible, but many of the original modes do return
395 on decompression. These modes are broadened and shifted from their ambient frequencies,
396 suggesting that this phase transition has disordered the structure of kernite (Figure 8). And,

397 new bands appear in the quenched spectrum from 8.2 GPa, including the strongest feature in
398 the spectrum near 590 cm^{-1} . These bands are not present in the starting material, and indicate
399 that new structural environments are present in the material quenched from these conditions.
400 Here, the decrease in amplitude of the BO_4 tetrahedral stretching peaks and the generation of a
401 new BO_4 stretching peak near 770 cm^{-1} are consistent with a shift in coordination of one of the
402 BO_3 groups to higher coordination in the phase that occurs above 7 GPa.

403 For comparison, for samples quenched from 12.4 GPa, no traces of the original kernite
404 peaks are observed (Figure 8), and kernite has completely and irreversibly transformed to a
405 high-pressure form. The overall morphology of this spectrum has some resemblance to the
406 phase formed on compression above 7 GPa (Figure 3a), in the sense that it is dominated by a
407 single peak near $\sim 570\text{ cm}^{-1}$. This peak is likely associated with a bending vibration of BO_4
408 polyhedra, lying at higher frequency than the corresponding bonding environment in the initial
409 kernite. If the peaks near 750 cm^{-1} in the material quenched from 12 GPa represent symmetric
410 stretches of BO_4 units, then the bending force constants of the BO_4 tetrahedra are substantially
411 elevated in the quenched material, while those of the stretching vibrations are not greatly
412 altered. This implies that the geometry of the polymerized tetrahedra in the quenched material
413 (and possibly in the 7-11 GPa phase, as well) is such that the tetrahedral symmetric bending
414 vibration may involve a substantial stretching component of adjoining O-B bonds.

415 Finally, for the sample quenched from 25.3 GPa, the spectrum is entirely different,
416 implying that the structural changes that proceed above 11 GPa progressively disorder kernite.
417 On decompression, its structure reverts to a material that is markedly different from that of the
418 starting material. Indeed, the decompressed material from the highest pressure conditions

419 (Figure 8) also differs markedly in its spectrum from those of the phases generated at any
420 conditions on compression (Figure 3a). The broad bands between 580-730 cm^{-1} may reflect a
421 combination of the BO_4 bending and stretching vibrations of a high density, polymerized
422 configuration. We have no simple explanation for the sharpness of the lower frequency
423 features between 200 and 500 cm^{-1} in this highest pressure quenched material, beyond noting
424 that this quenched phase may have more ordered domains within it (or might even be
425 crystalline) and/or the environments of the BO_4 tetrahedra around the Na ions are such that
426 vibrations associated with rotations of tetrahedra/Na-site deformations occur in ordered local
427 environments.

428 *Structural response of kernite to pressure*

429 Initially, the structure of kernite (Figure 1) likely accommodates compression
430 dominantly by compaction of the structural channels that run parallel to the *b*-axis, as well as
431 compression of the Na-sites. At higher pressures (>2.5 GPa), changes in the BO_3 and BO_4
432 tetraborate groups likely play a major role in the compression mechanism of kernite. And, the
433 initially weakly bound H₂ hydrogen that is oriented along the *a*-axis (Cooper et al., 1973), and
434 which likely generates the strong O-H stretching peak, becomes much more strongly hydrogen
435 bound. As pressure is increased above ~7.0 GPa, the structural channels are likely substantially
436 compacted, and the BO_3 groups likely change coordination to BO_4 groups as the structural
437 chains become more polymerized. It is also possible that bonding between the tetraborate
438 chains may occur above this pressure, which could result in a stiffer three-dimensional
439 structure. As the pressure is increased above ~11.0 GPa, cross-channel bonding may initiate
440 which could lead to disordering of the chains, and extensive disordering of the Na sites likely

441 occurs. Finally, the BO_3 groups almost entirely change coordination to BO_4 groups, and the BO_4
442 groups themselves may become more distorted. The coordination of the BO_4 groups may
443 become poorly defined at the highest pressures of these experiments; this lack of definition, as
444 manifested by the markedly enhanced breadth of the likely B-O stretching vibrations (Figure 5)
445 also coincides with a weakening of H-bonding above ~ 11 GPa. This weakening of O-H bonding
446 associated with water molecules at high pressures is compatible with either the onset of
447 positive, or possibly decreasing negative mode shifts of bound water at high pressures in other
448 3-fold cation bearing phases such as trona and ikaite (O'Bannon et al. 2014; Shahar et al. 2005).
449 This decrease, or decrease in the rate of increase, of hydrogen bonding with pressure may be
450 associated with the comparatively rigidly shaped water molecule not being able to topologically
451 access strong, linear hydrogen bonds within complex, geometrically shifting, compacting
452 surroundings.

453 Notably, the mid infrared spectra probe only vibrations of the BO_3 , BO_4 , H_2O and OH
454 groups. Thus, the infrared spectra provide little information on the bonding environment of the
455 Na sites under compression. Only the low-frequency Raman spectra provide primary
456 constraints on the Na bonding. Our infrared and Raman results are both consistent and
457 complementary with one another. Within the infrared spectra, the BO_3 modes and the OH
458 stretch persist to higher pressures than they do in the Raman experiments, which is a reflection
459 of the differences in sensitivity between the two techniques—for example, it is likely that the
460 infrared experiments are more sensitive to lower symmetry, distorted BO_3 groups.

461 *Decompressed products*

462 The sharp features observed in the decompressed Raman and infrared spectra suggest
463 that the decompressed products are substantially more ordered than kernite at high pressures,
464 and the quenched products may be crystalline (Figures 9 and 10). The decompressed structure
465 likely contains some BO_3 groups (Table 3), although the weakness of the BO_3 stretching peaks in
466 the Raman spectra indicate that these may be quite distorted. The channels that contain the
467 H_2O molecules have likely undergone extensive rearrangement. Most of the boron in the
468 decompressed structure is probably tetrahedrally coordinated, but these tetrahedra, along with
469 the OH groups, may also be distorted. Kernite has chains of BO_4 tetrahedra and sodium sites
470 running parallel to the *b*-axis with trigonal BO_3 sites branching off of them (Figure 1). This
471 creates channels in the structure that are held together by hydrogen bonding. The collapse of
472 these channels likely allows oxygen atoms from the adjacent channel to move into the
473 coordination sphere of an adjacent BO_3 group. Moreover, the transition of the BO_3 groups to
474 BO_4 groups likely substantially changes the geometry of the Na sites, which are relatively
475 distorted in the kernite starting material. Interestingly, the lowest frequency region in the
476 Raman spectrum of the decompressed material is notably featureless and only two very low
477 intensity broad modes are observed near 124 and 168 cm^{-1} . We speculate that the two Na sites
478 might have converged on the same coordination (at ambient conditions the Na sites are 5- and
479 6-fold coordinated).

480 We note one novel aspect of the pressure-induced mode shifts that we observe in
481 kernite. A general scaling of mode Gruneisen parameter with mode frequency has long been
482 recognized in molecular crystals (e.g., Zallen 1974) and in silicates (Williams et al. 1987). The
483 basic concept is that weaker bonds give rise to lower frequency vibrations and larger mode

484 Gruneisen parameters. Figure 9 shows such a scaling for the mode shifts of kernite: clearly,
485 above $\sim 150 \text{ cm}^{-1}$, this general trend of decreasing mode Gruneisen parameter with increasing
486 frequency is obeyed. Below 150 cm^{-1} , this overarching trend is clearly violated in kernite. Our
487 simple explanation for this unexpected trend is that the structural complexity of kernite gives
488 rise to low-frequency modes that, while not all soft, are not as fully “hard” as would be
489 expected from simple systematics. The ramifications of this are that kernite has a range of low-
490 frequency vibrational motions that are oriented such that, under compression, they reflect a
491 normal coordinate along which the geometry of the kernite lattice might be susceptible to
492 irreversible deformations. And, this simple explanation implicitly involves a broad suite of
493 possible phase transitions that are not fully favored that the kernite lattice could undergo. This
494 in turn is in loose accord with our observation of extensive polymorphism on both compression
495 and decompression of the kernite lattice.

496 **Implications**

497 *a. Why does the coordination change from BO_3 to BO_4 occur readily, while the CO_3 to CO_4*
498 *transition does not?*

499 The energetic difference between the BO_3 and BO_4 configurations is known to be
500 relatively small within boron-bearing oxide glasses: changes in fictive temperature/cooling rate
501 or modest changes in pressure (less than 2 GPa) can modulate the relative amounts of these
502 two structural species (e.g., Gupta and Tossell, 1981; Wondraczek et al. 2007; Edwards et al.
503 2014; Smedskjaer et al. 2014). Within crystalline borates, the fixed topology of the starting
504 material might be expected to modulate the pressure range over which BO_3 groups are
505 destabilized under static pressures relative to BO_4 groups. In kernite, it appears that the BO_3

506 groups are progressively eliminated at pressures above ~7 GPa, with their last spectroscopic
507 vestige becoming unresolvable at ~22 GPa. For comparison, the calcium borate, colemanite, has
508 half of its BO_3 groups convert to four-fold coordination at 14 GPa (Lotti et al. 2017). Thus, the
509 destabilization of BO_3 groups appears to occur at pressures above 7 GPa in borate crystals,
510 extending to near 22 GPa. Interestingly, this pressure range is in accord with the conversion
511 interval of BO_3 to BO_4 groups in B_2O_3 glass under compression (Lee et al. 2005). This similarity in
512 pressure ranges may reflect that the polyborate units which comprise the crystals are also
513 primary components of the glasses as well, and it is the instability of the polyborate units at 300
514 K that may govern the pressure range over which interconversion takes place.

515 For comparison, compression of carbonates reveals that the CO_3 groups transform to
516 CO_4 under very high-pressure conditions (80 to 115 GPa), and often only at high temperatures
517 (Boulard et al. 2015; Merlini et al. 2017; Lobanov et al. 2017; Vennari and Williams 2018). This is
518 in contrast to what is observed in borate minerals where the BO_3 groups destabilize at relatively
519 low pressures (e.g. ~7 GPa) and transform to BO_4 groups at ambient temperatures (Lotti et al.
520 2017, and this study). Beyond the empirically-documented small energetic difference, why do
521 BO_3 groups convert to BO_4 groups readily while the 3-fold carbonate group only converts to
522 four-fold coordination at extreme pressures, with large kinetic hindrances? It is notable that the
523 respective B-O bond distances in BO_4 and BO_3 groups are roughly 1.46 and 1.36 Å, respectively
524 (e.g., Shannon, 1976), or 7% different. Although the mechanism of the CO_3 to CO_4 transition
525 may differ markedly between different carbonates (e.g., Lobanov et al. 2017; Vennari and
526 Williams 2018), the transition between a 3- and 4-fold carbon-bearing phase at 105 GPa in the
527 CaCO_3 system is associated with respective C-O bond distances of 1.228 and 1.315 Å (Lobanov

528 et al. 2017), or a change of 7% in bond distance across the coordination change. Interestingly,
529 the estimated C-O bond distance for CO₄ groups at ambient pressure is near 1.50 Å, and that of
530 CO₃ groups is ~1.28 Å (Shannon 1976), for a 17% difference in bond distance. Accordingly, it
531 appears that a higher compressibility of CO₄ groups (in the metastable, low-pressure regime)
532 relative to CO₃ groups ultimately brings the bond length difference down to 7% near 105 GPa,
533 thus converging on a value where the conversion between XO₃ and XO₄ groups happens in both
534 the boron and carbon systems.

535 The interconversion between 3- and 4-fold coordination near a 7% bond length
536 difference in these two systems can be rationalized as being associated with geometric effects
537 that are intimately tied to Pauling's first rule (Pauling 1960). Assuming an oxygen radius that is
538 independent of coordination, and Pauling's minimum radius ratios for both triangular and
539 tetrahedral coordination (0.155 and 0.225, respectively), then the ratio of the bond distances in
540 the two different coordinations at the minimum radius ratios for the two geometries is 1.06:
541 notably close to the 7% difference in bond distances when 3- to 4-fold interconversion is
542 empirically observed to readily occur. The net conclusion here from this analysis is that 3- to 4-
543 fold conversion may occur when the radius of the cations in two different coordinations is such
544 that they obey the 'no-rattle' rule in both coordinations.

545 This simplistic bond-length analysis does not, of course, incorporate the detailed
546 topologies of different crystalline phases, the critical role of second-neighbor interactions, nor
547 the markedly different kinetic hindrances in different systems (boron shifts coordination readily
548 at 300 K; carbon appears to require high temperatures to fully shift coordination). The ambient
549 structure variability that is observed in borates is most similar to silicates (e.g. phylloborates,

550 inoborates, tektoborates). The energy curve of an Si_2O_7 group as a function of Si-O-Si angle is
551 relatively flat over a broad angular range from ca. 120-180° (Gibbs et al. 2001; Oganov et al.
552 2008). A survey of borate mineral structures reveals that the inter-tetrahedral angle B-O-B
553 angle shows a flexibility that may be slightly less than silicates, with values as low as 108.78°
554 and as high as 133.83° (See supplementary Table S1 for the table of borates surveyed, and
555 Figure S3). This suggests that the energy curve of B_2O_7 groups as a function of B-O-B angle is
556 also relatively flat over a broad angular range, with a somewhat smaller flat range than silicates.
557 Notably, energy calculations for borate tetrahedral B-O-B angles are sparse (Takada et al. 1995).
558 This relatively broad angular range contrasts with what has been reported for the energy of
559 C_2O_7 groups as a function of C-O-C angles, which show two complementary, quite narrow
560 energetic minima (~ 5-10° wide) at 124 and 236° (Oganov et al. 2008). Hence, borate groups
561 likely behave more similarly to silicate groups under high-pressure and temperature conditions,
562 and it appears that the angular variability associated with different T-O-T linkages likely
563 decreases in the order Si-O-Si > B-O-B > C-O-C. This narrow angular variability of the C-O-C
564 linkage may prevent the structural flexibility that is observed in silicates and borates, and
565 require reconstructive transformations on conversion to tetrahedrally coordinated phases.
566 Hence, the kinetic barriers to tetrahedral carbon formation may be associated with the
567 topological constraints produced by the narrow bond angles required by the energetics of the
568 C-O-C linkage.

569 *b. Stability of Borates Under Shock-Loading and on the Early Earth*

570 From a broader Earth sciences perspective, while kernite is not an abundant mineral on
571 the surface of the Earth today, it belongs to a family of minerals that may have played an

572 important role in the development of life on Earth. Kernite, colemanite and ulexite are
573 comprised of several polyborate structures such as triborate ($B_3O_3(OH)_4^-$ or $B_3O_3(OH)_5^{2-}$),
574 pentaborate ($B_5O_6(OH)_4^-$), and tetraborate ($B_4O_5(OH)_4^{2-}$). Borate is found as both BO_3 and BO_4
575 groups in the crystal structures of each of these complex minerals: as such, the behavior of
576 kernite may be generally representative of the behavior of mixed coordination low-
577 temperature boron-bearing phases. Borates such as colemanite, ulexite, and kernite have been
578 reported to stabilize ribose (e.g. Grew et al. 2011; Ricardo et al. 2004; Saladino et al. 2011).
579 Colemanite, ulexite, and kernite contain relatively high, and comparable, concentrations of
580 boron: 15.8, 13.3, and 14.9 wt.%, respectively. Hence, the polyborate structures that stabilize
581 ribose are abundant in these complex borate minerals. The mechanisms through which these
582 minerals stabilize the pentose sugar (and nucleic acid precursor) ribose are complex, but it
583 involves the dissolution of these minerals into solution, and formation of pentose-polyborate
584 complexes that are stable in solution relative to the free sugars (e.g., Ricardo et al., 2004). The
585 key contribution of these minerals is that they provide a means for concentrating boron (an
586 element present at ~0.001% abundance in Earth's crust) sufficiently that borate-induced ribose
587 stabilization can occur. When these minerals dissolve, a distribution of borate species in
588 solution is established (e.g. orthoborate, triborate, pentaborate, or tetraborates). Factors such
589 as the composition of the starting material, temperature, water-rock ratio and acidity will, of
590 course, determine what species are present and their concentrations. Since kernite is used
591 extensively in industrial applications to produce other refined borates, its solution chemistry is
592 fairly well characterized under a range of conditions (e.g., Schubert 2003, and references
593 therein). Indeed, the abundance of polyborate species strongly depends on the concentration

594 of boron in solution (e.g., Schubert, 1983; Felmy and Weare 1986), with higher boron
595 concentrations favoring polyborate species. Thus, the key aspect of borate minerals is that their
596 presence allows abundant polyborate anions, with their influence on the stabilization of ribose
597 and other sugars, to be stable within fluids coexisting with these minerals. Thus, the ability of
598 borate minerals to persist under high-pressure conditions implies that these minerals are likely
599 stable under a broad range of environments (assuming that temperatures are not excessive)
600 such as those on the heavily-impacted early Earth.

601 The effect of high-pressure on the stability of borate polyanions in kernite has
602 implications for the survivability of complex borate minerals during meteorite impacts. Our
603 Raman and infrared results suggest that kernite undergoes irreversible structural changes
604 under compression to ~25 GPa. The decompressed Raman and infrared spectra suggest that the
605 recovered product is ordered, and that most of the BO_3 groups have transformed to BO_4
606 groups, and that the OH groups are disordered. The solubility of these high-pressure phases of
607 kernite (or their pressure-quenched equivalents) are not known. However, we expect that the
608 solubility of these pressure-quenched phases, would be enhanced relative to non-pressure
609 treated phases. Moreover, the solubility of pressure-disordered borates would likely be greater
610 than that of crystalline kernite. Hence, this enhanced solubility of shock-disordered borates
611 could enhance the rate of ribose stabilization, and hence ribose accumulation in the early Earth.

612 Before ~4 Gyr, it is likely that no substantial region of the Earth's surface would have
613 survived that had been untouched by impacts (Marchi et al. 2014). If kernite, colemanite, or
614 ulexite were present as evaporites on the early Earth, they would, inevitably, have experienced
615 high-pressure conditions from meteorite impacts. Our results suggest that most of the BO_3

616 groups in kernite would transform to BO_4 groups and the Na-sites would become substantially
617 disordered during pervasive impacts of the late heavy bombardment. Importantly, kernite
618 would not have been completely destroyed during impact events that did not produce marked
619 heating (which the shock measurements of Boslough et al. (1980) imply includes impact events
620 with peak pressures less than 30 GPa). Hence, the primary polyborate structural units that are
621 involved with ribose stabilization would survive all but the largest impact events. Therefore, we
622 suggest that highly soluble, BO_4 -dominated disordered borates would, due to both their
623 enhanced solubility and the high borate content (and thus polyborate ion concentration) of
624 their coexisting solutions, enhance the stabilization of nucleic acid precursors like ribose, thus
625 aiding in life's rocky start.

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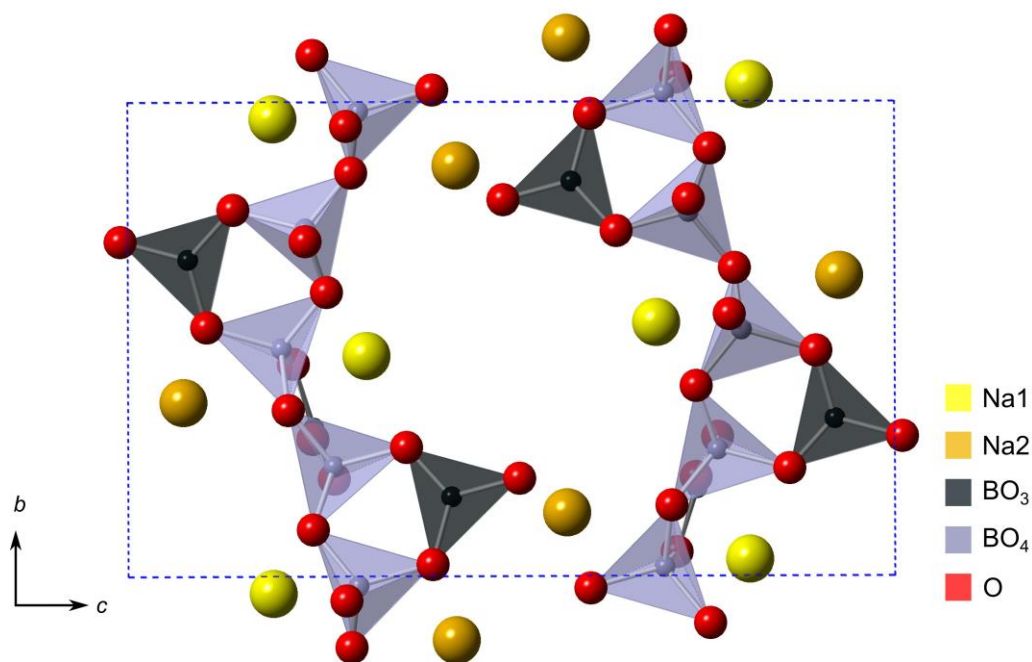
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Figure 1

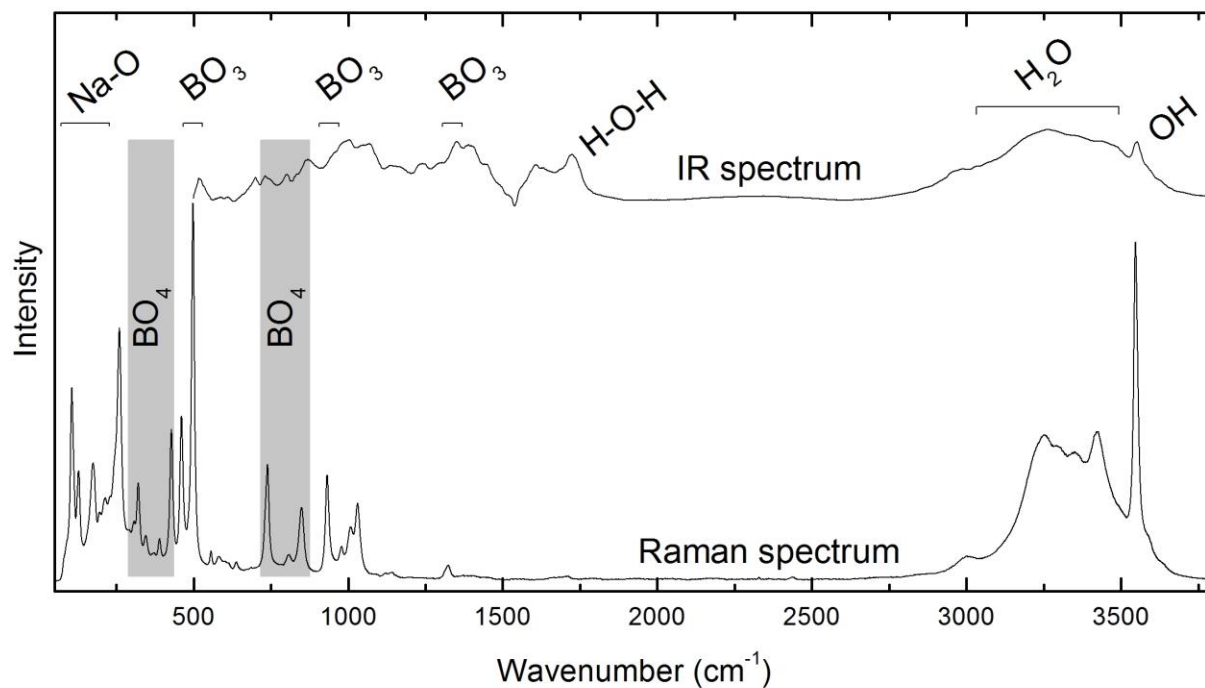


830

831 Figure 1. Crystal structure of kernite at room pressure and temperature. Crystal structure after
832 Cooper et al. (1973). Image generated in CrystalMaker[®] software v.8.7.6.

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Figure 2



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835 Figure 2. Ambient infrared and Raman spectrum of kernite.

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Figure 3a

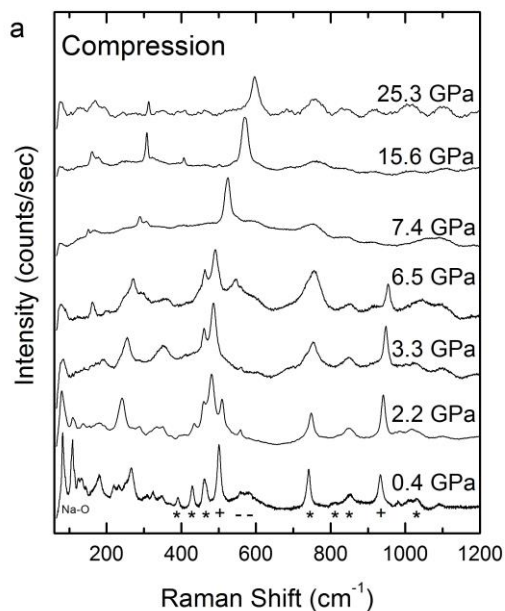
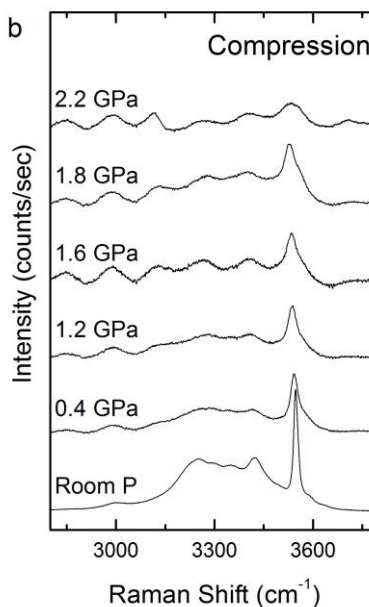


Figure 3b



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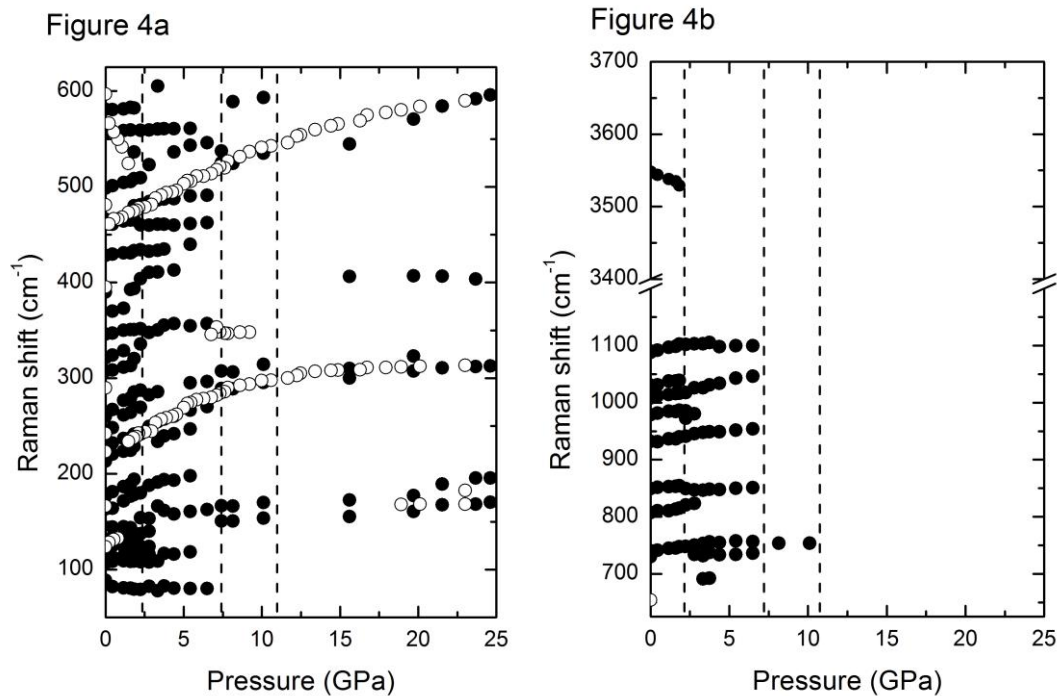
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Figure 3. Representative Raman spectrum of kernite on compression (a) low frequency region (b) OH stretching region. Note the dramatic change in the Raman spectrum under compression. Modulation in the OH stretching region is an artifact. Modes are labeled below the 0.4 GPa spectrum, * BO_4 modes, + BO_3 modes, and - OH Libration.

846



847

848 Figure 4. Raman mode shifts of kernite up to ~25 GPa (a) 50 - 625 cm^{-1} and (b) 650 - 3700 cm^{-1} .

849 Closed circles are compression and open circles are decompression and error bars are smaller

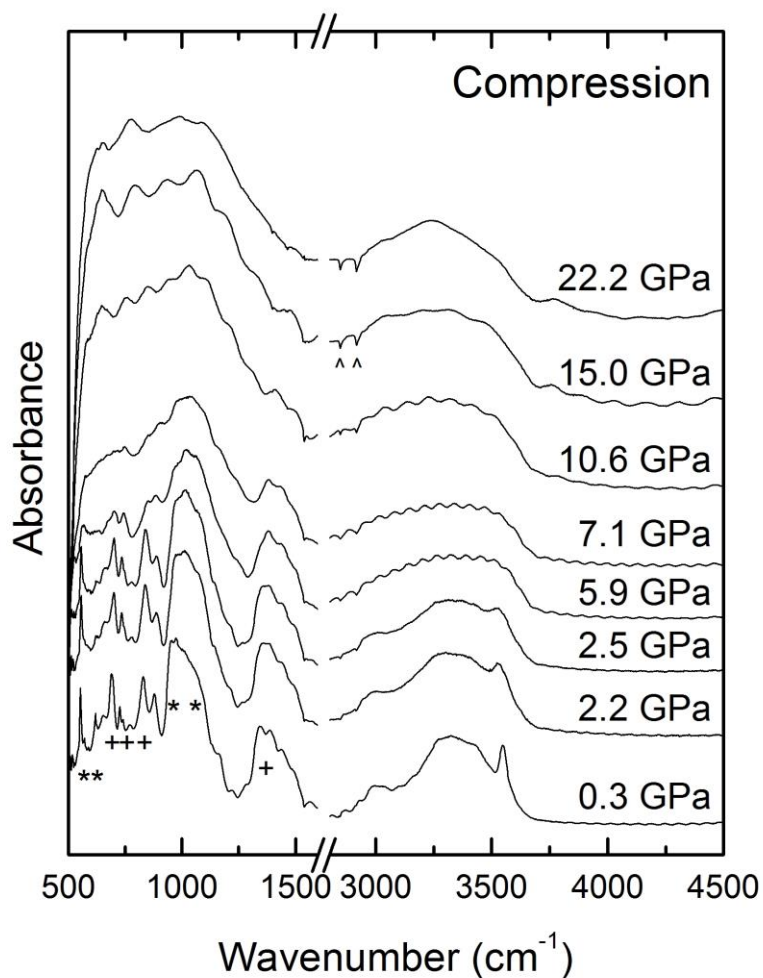
850 than the symbols. Vertical dashed lines indicate phase transition pressures. Note that very few

851 modes return on decompression, and that most return only after the sample is fully

852 decompressed to room pressure.

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Figure 5



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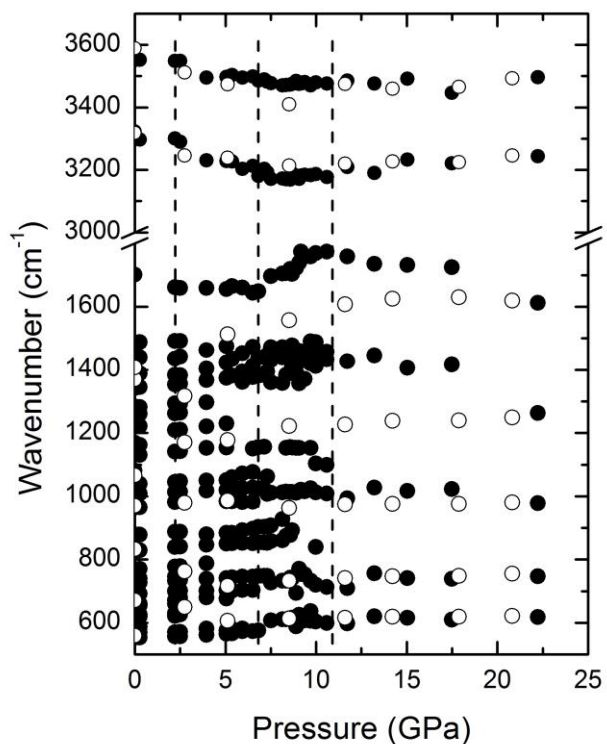
855 Figure 5. Representative high-pressure infrared spectra of kernite up to ~22.2 GPa. Fringing in
856 the OH region of several infrared spectra is an artifact. Modes are labeled below the 0.4 GPa
857 spectrum, * BO_4 modes, + BO_3 modes, and - OH Libration. ^ are due to hydrocarbon
858 contamination.

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Figure 6



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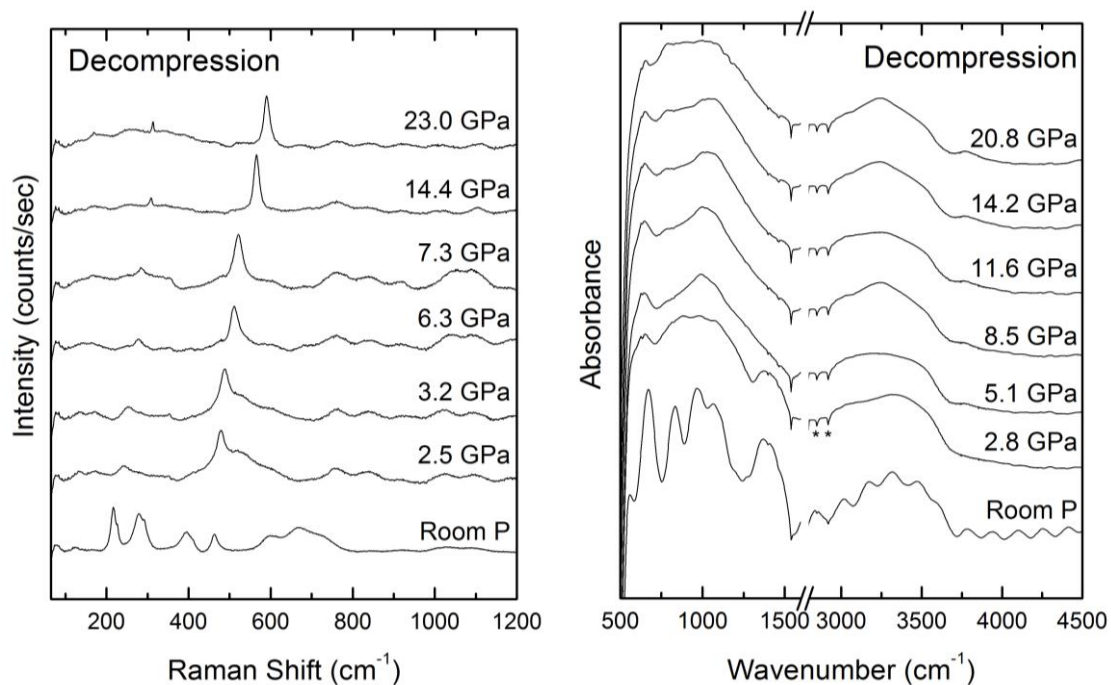
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Figure 6. Infrared mode shifts of kernite up to ~23 GPa. Closed circles are compression and open circles are decompression and error bars are smaller than the symbols. Vertical dashed lines indicate phase transition pressures.

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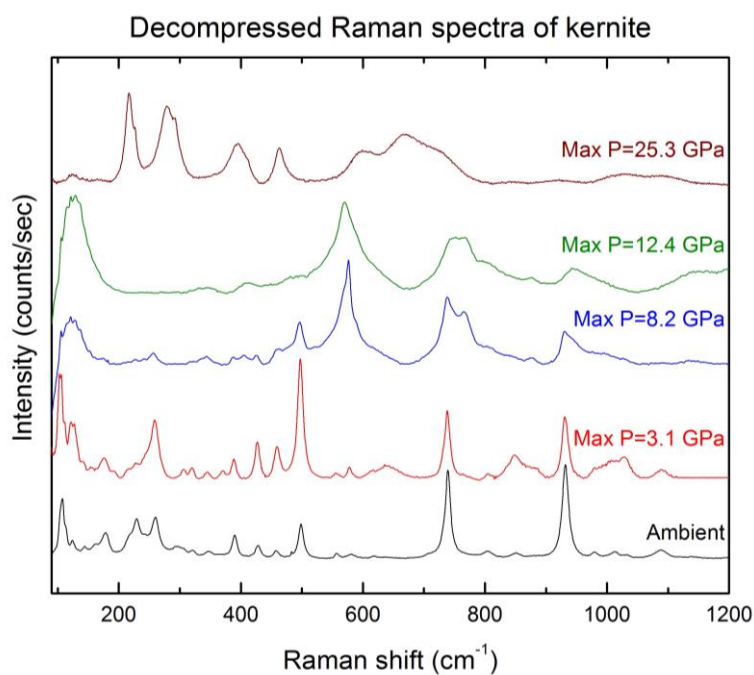
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870 Figure 7 Decompression spectra of kernite (a) Raman and (b) infrared. Fringing in the OH region
871 in the room pressure infrared spectrum is an artifact.

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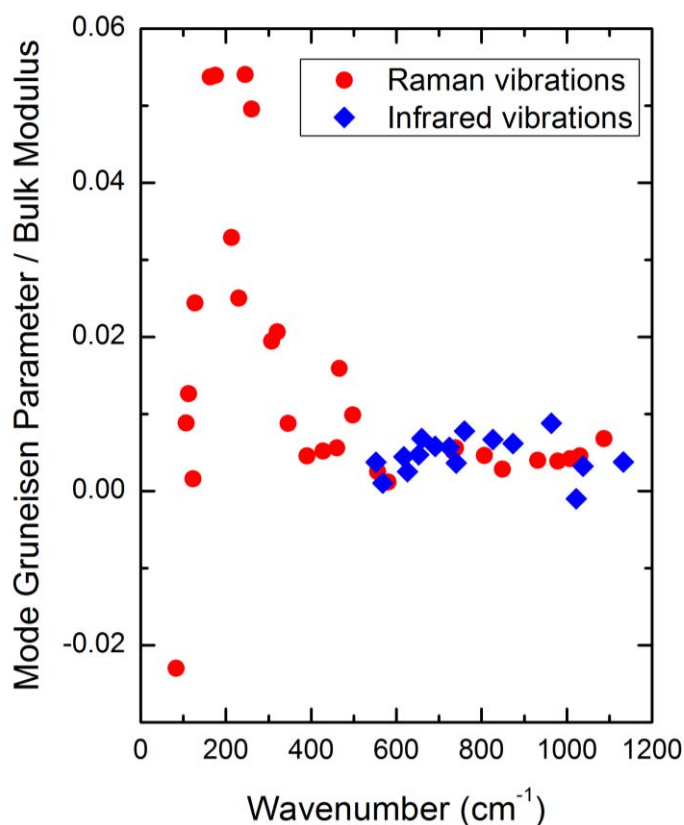
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Figure 8. Decompressed Raman spectra of kernite compressed to pressures just above each proposed phase transition. Note the ~2.5 GPa transition is fully reversible, and that the ~7.0 GPa transition is partially reversible. Above 12.4 GPa the decompressed spectra are not like the starting spectrum.



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Figure 9. Trend of normalized mode shifts versus frequency for the low-pressure phase of kernite. Normalized mode shifts are calculated from $1/\omega(d\omega/dP)$ (which is equivalent to the mode Gruneisen parameter divided by the bulk modulus).

ν_{0i} (cm^{-1})	Assignment	($d\nu_{0i}/dP$) to 2.5GPa ($\text{cm}^{-1}/\text{GPa}$)
83*	Na-O	-1.91 (± 0.27)
107	Na-O	0.94 (± 0.47)
113	Na-O	1.42 (± 0.14)
123	Na-O	0.20 (± 0.39)
128	Na-O	3.13 (± 1.26)
163		8.78 (± 0.88)
175		9.46 (± 0.31)
214		7.02 (± 1.38)
230		5.76 (± 0.75)
246		13.28 (± 1.28)
260		12.90 (± 1.04)
308		5.98 (± 1.80)
321		6.63 (± 0.20)
346		3.05 (± 0.12)
390	δ BO ₄	1.79 (± 0.18)
428	BO ₄	2.23 (± 0.43)
461	BO ₄	2.58 (± 0.11)
466	δ BO ₃	7.43 (± 1.24)
498	δ BO ₃	4.92 (± 0.54)
557	O-H Libration	1.41 (± 0.31)
581	O-H Libration	0.69 (± 0.43)
733	ν_s BO ₄	3.82 (± 0.49)
739	ν_s BO ₄	4.16 (± 0.37)
807	ν_s BO ₄	3.73 (± 0.72)
850	ν_s BO ₄	2.42 (± 0.39)
932	ν_s BO ₃	3.73 (± 0.64)
979		3.80 (± 0.73)
1008		4.23 (± 0.54)
1031	ν_{as} BO ₄	4.69 (± 0.71)
1088		7.41 (± 0.81)
3004	H ₂ O	-
3255	H ₂ O	-
3301	H ₂ O	-
3351	H ₂ O	-
3425	H ₂ O	-
3548	OH stretch	-9.20 (± 1.05)

889

890 Table 1. Observed room pressure and temperature Raman modes of kernite. Assignments after
 891 Kloppege and Frost (1999).

892 *Modes extrapolated to room pressure

893 ν_s symmetric stretch, ν_{as} antisymmetric stretch, δ bend

894

895

ν_{0i} (cm^{-1})	Assignment	($d\nu_{0i}/dP$) to 2.5 GPa ($\text{cm}^{-1}/\text{GPa}$)
552.3	BO_4	2.07 (± 0.17)
568.4	BO_4	0.60 (± 0.38)
618.0	δBO_3	2.77 (± 0.76)
626.3*		1.58 (± 0.17)
652.7	δBO_3	3.09 (± 0.19)
659.7*		4.50 (± 0.95)
691.2	δBO_3	4.04 (± 0.11)
724.5		4.14 (± 0.38)
740.8		2.70 (± 0.37)
760.1	$\nu_s \text{BO}_4$	5.94 (± 2.05)
826.7	$\nu_s \text{BO}_4$	5.56 (± 0.26)
874.1	$\nu_s \text{BO}_3$	5.42 (± 0.59)
963.6	$\nu_{as} \text{BO}_4$	8.51 (± 0.47)
1022.1	$\nu_{as} \text{BO}_4$	-1.02 (± 2.90)
1038.2*		3.38 (± 0.75)
1132.7		4.28 (± 0.62)
1260.5*		1.33 (± 0.88)
1284.4		4.25 (± 0.81)
1341.6	$\nu_{as} \text{BO}_3$	5.15 (± 0.44)
1387.8		-2.24 (± 0.50)
1434.0		1.05 (± 1.86)
1486.9		1.80 (± 0.33)
1701.9	$\delta \text{H-O-H}$	-17.58 (± 0.67)
3323.2	H_2O	-7.78 (± 5.67)
3551.4	OH	-13.63 (± 2.20)

896

897 Table 2. Observed room pressure and temperature infrared modes of kernite. Assignments
 898 after Jun et al. (1995).

899 ν_s symmetric stretch, ν_{as} antisymmetric stretch, δ bend

900 *Modes extrapolated to room pressure

901

902

ν_{oi} (cm ⁻¹)	Assignment	Raman (R) Infrared (IR)
124.0	Na-O	R
168.2	Na-O	R
217.3		R
226.1 sh		R
279.3		R
290.8 sh		R
395.3		R
410.3 sh		R
462.5	δ BO ₃ & BO ₄	R
598.3	δ BO ₃ & BO ₄	R
667.1		R
672.0		IR
735.5	ν_s BO ₄	R
833.5	ν_s BO ₄	IR
971.0	ν_{as} BO ₄	IR
1073.9	ν_{as} BO ₄ ?	IR
1127.8 sh	ν_{as} BO ₄	IR
1198.9		IR
1272.4		IR
1368.0	ν_{as} BO ₃	IR
1477.6		IR

903

904 Table 3. Observed Raman and infrared frequencies of decompressed product from 25.3 GPa.

905 sh shoulder, ν_s symmetric stretch, ν_{as} antisymmetric stretch, δ bend

Figure 1

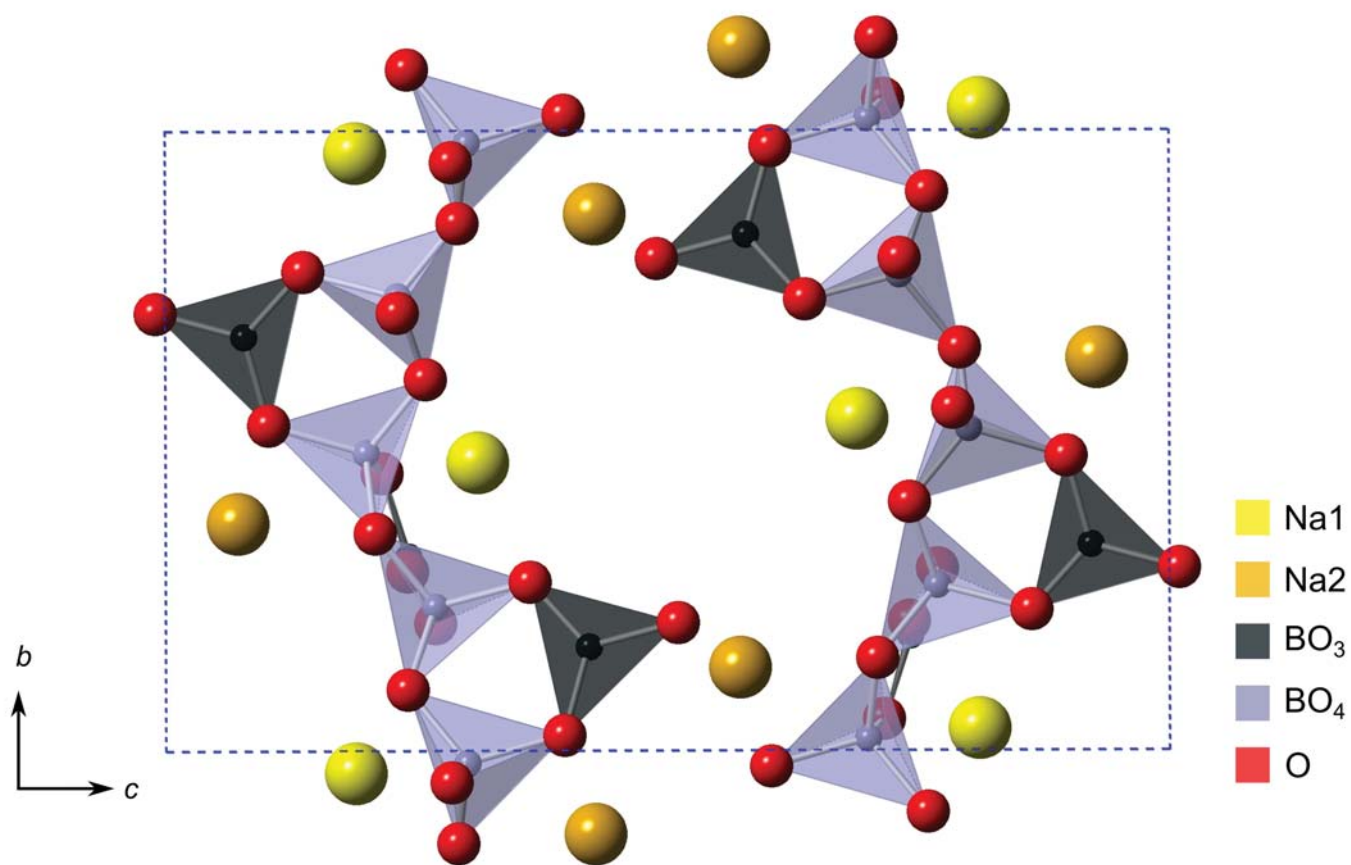


Figure 2

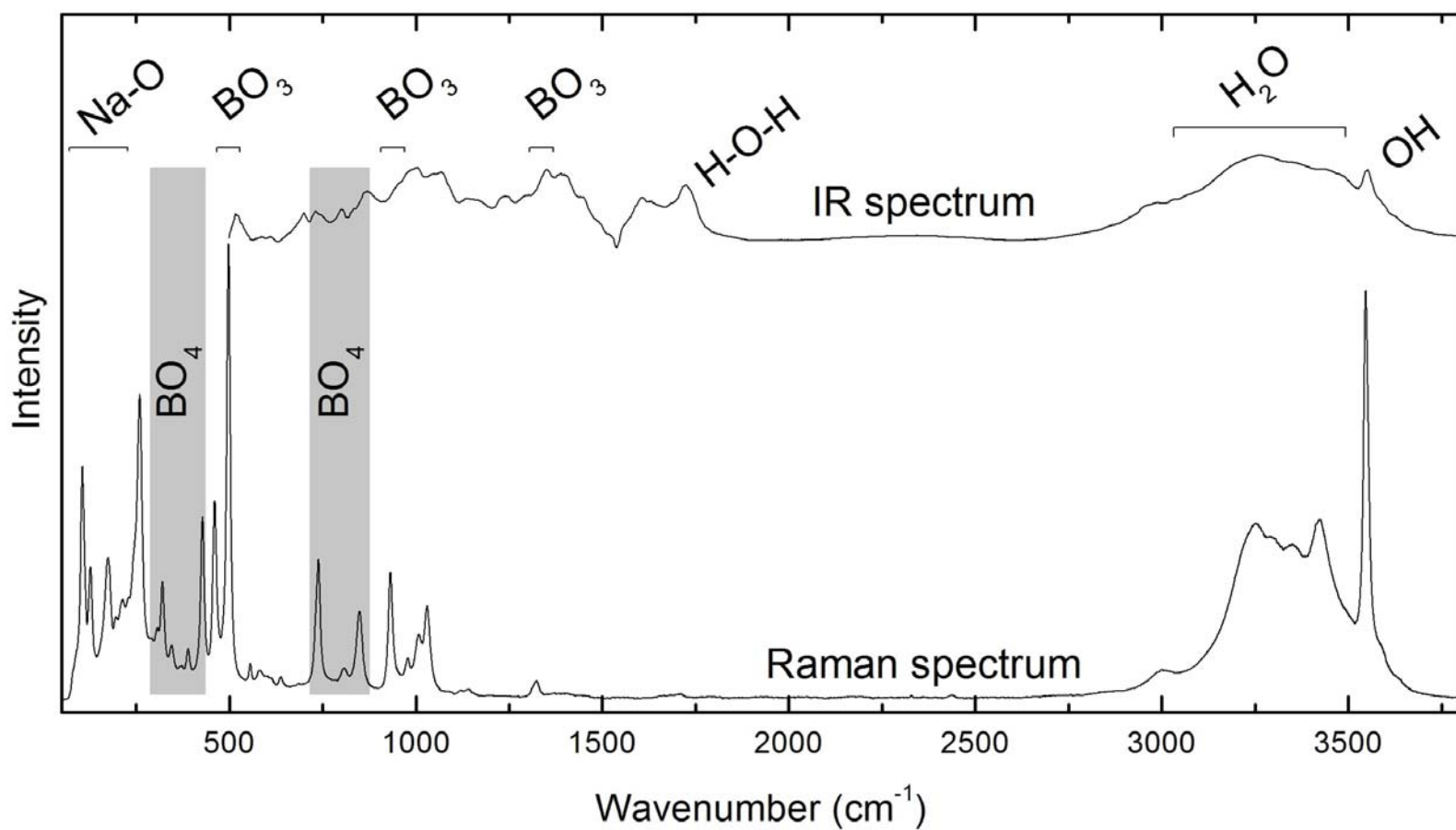


Figure 3a

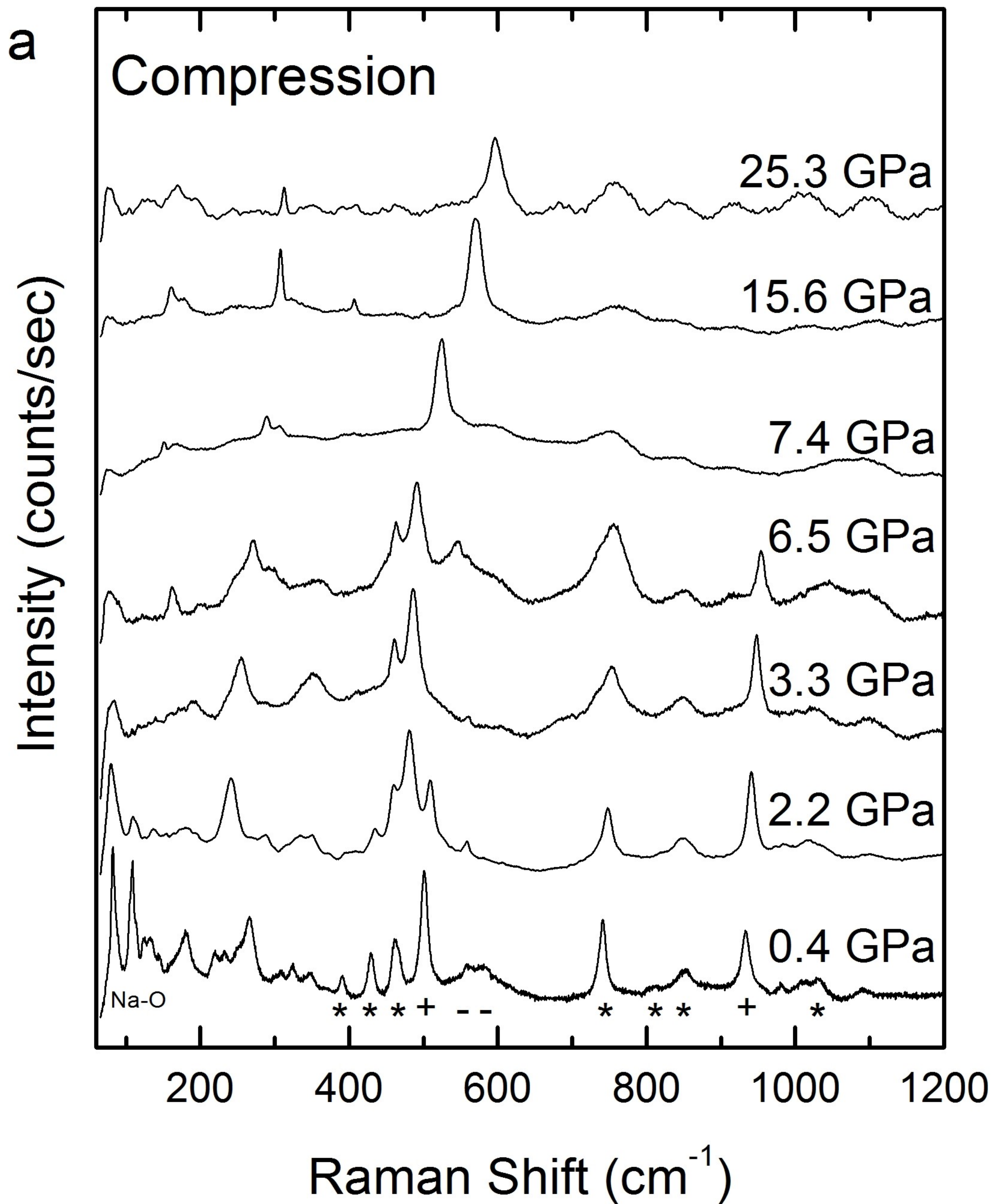


Figure 3b

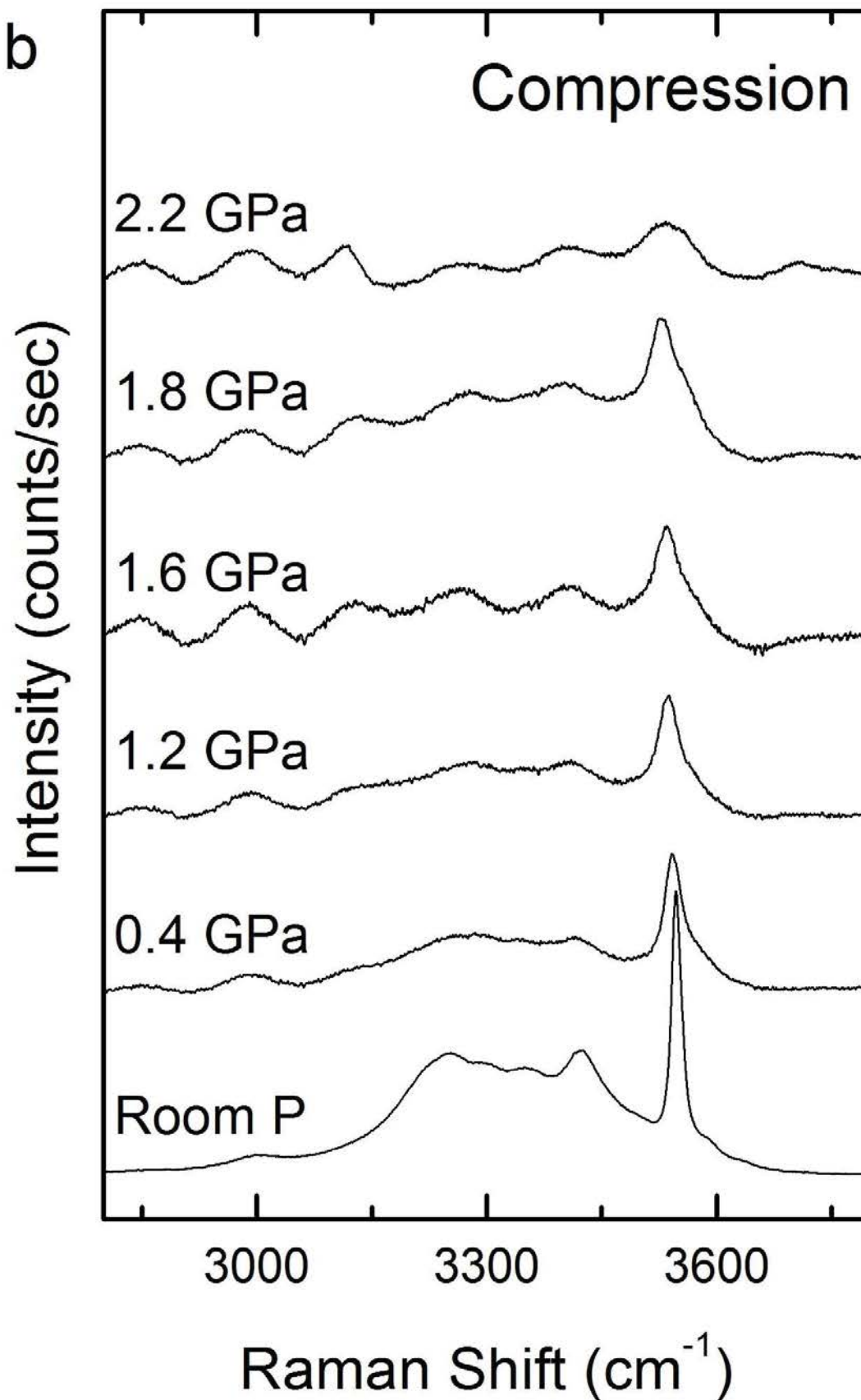


Figure 4a

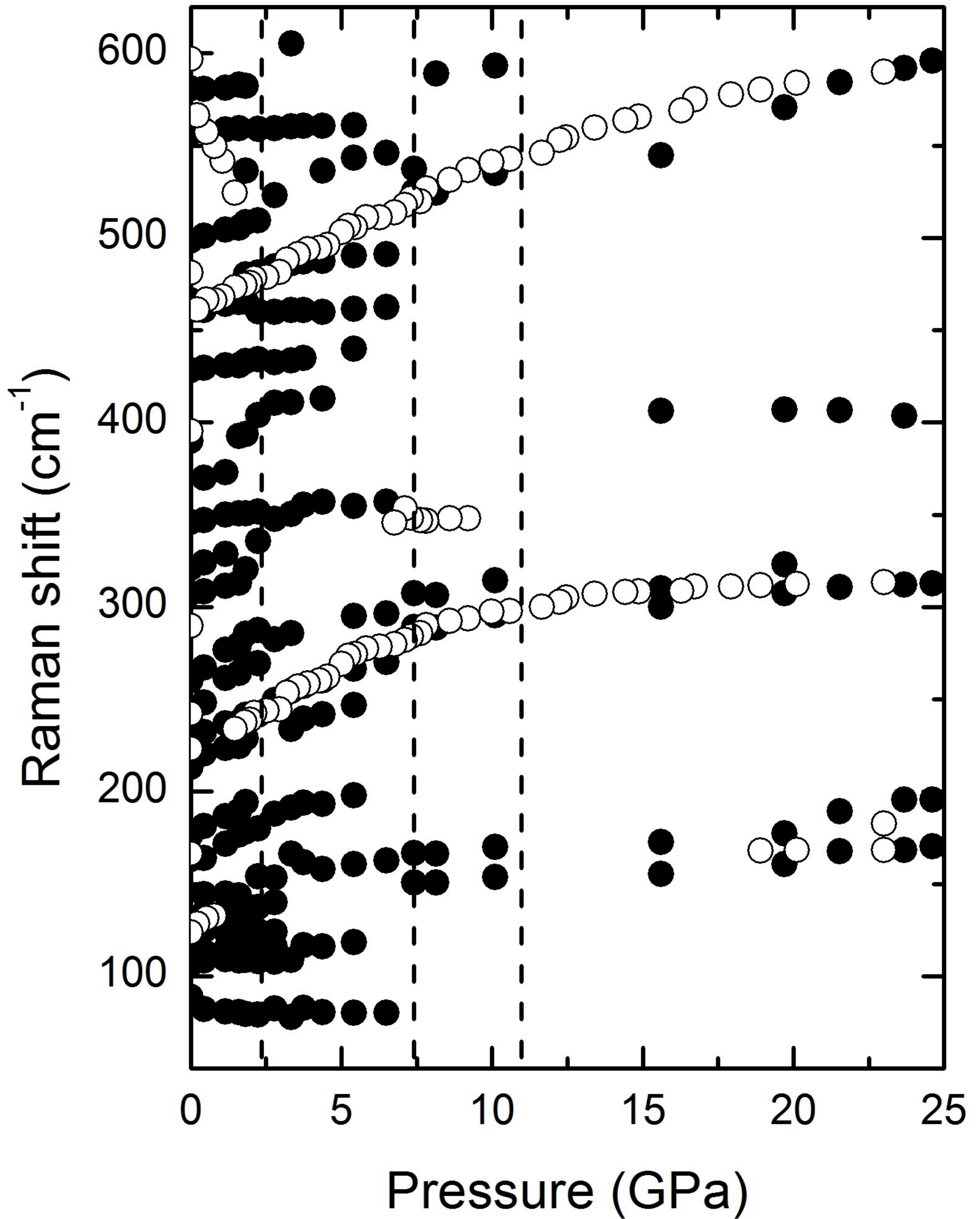


Figure 4b

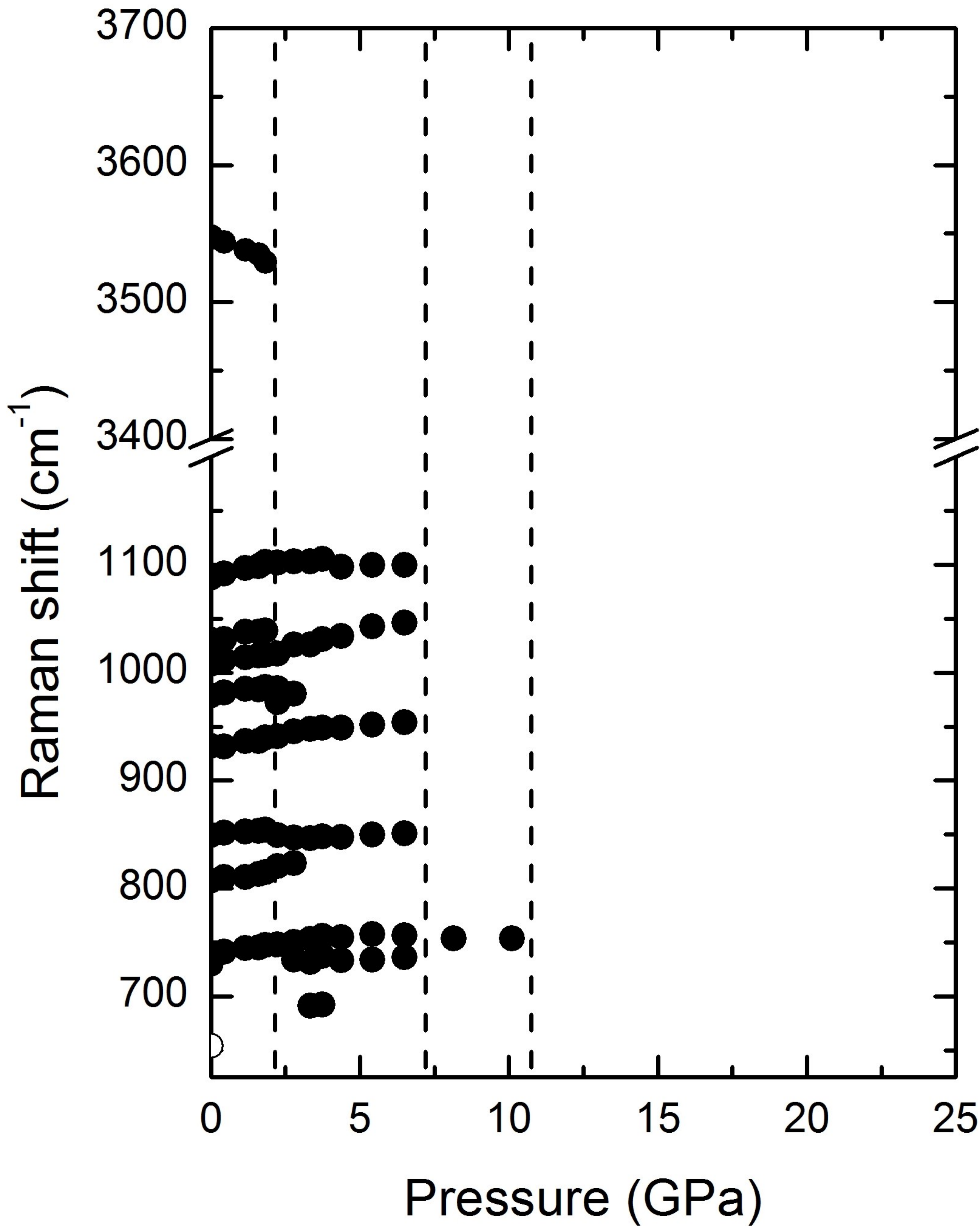


Figure 5

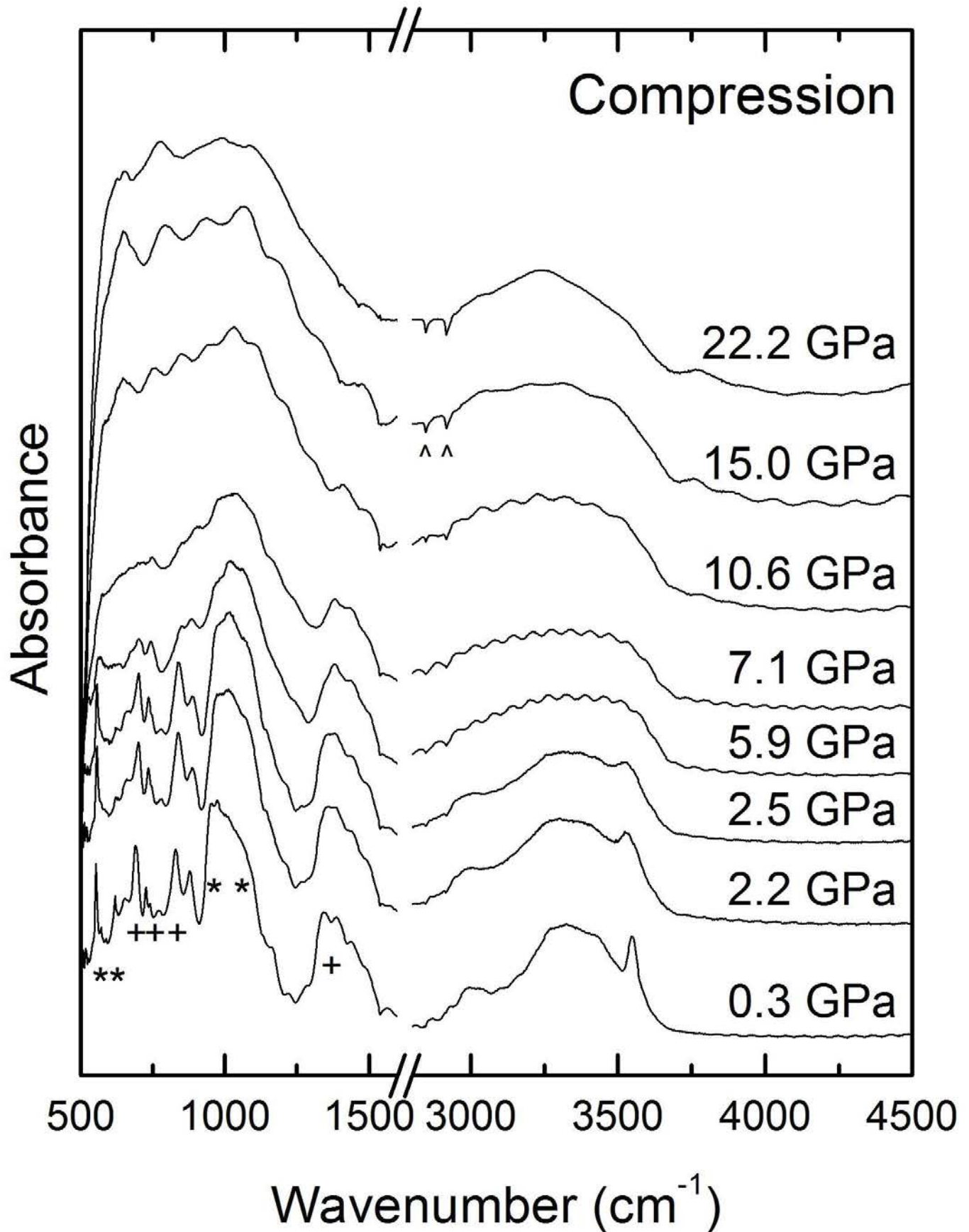


Figure 6

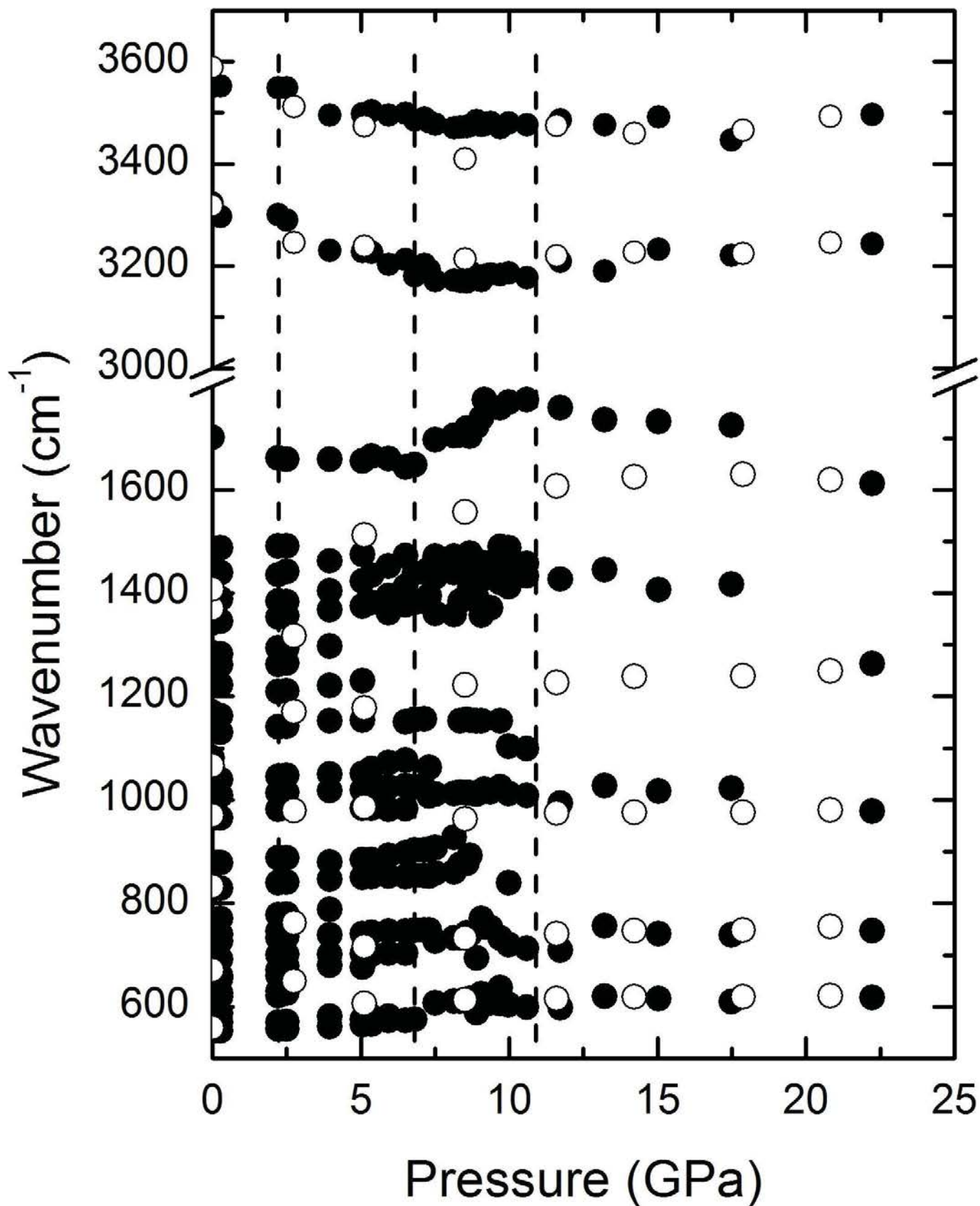


Figure 7

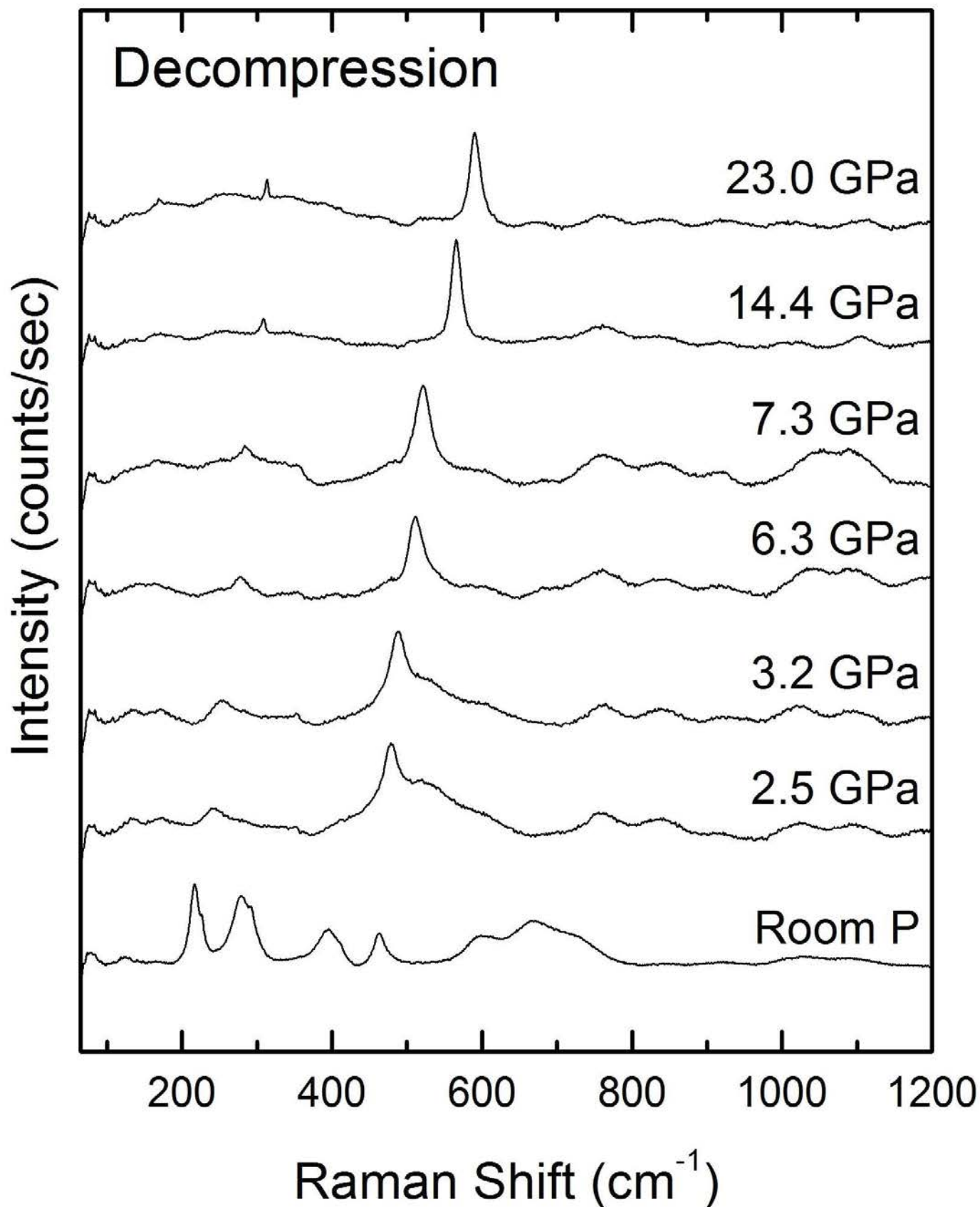


Figure 8

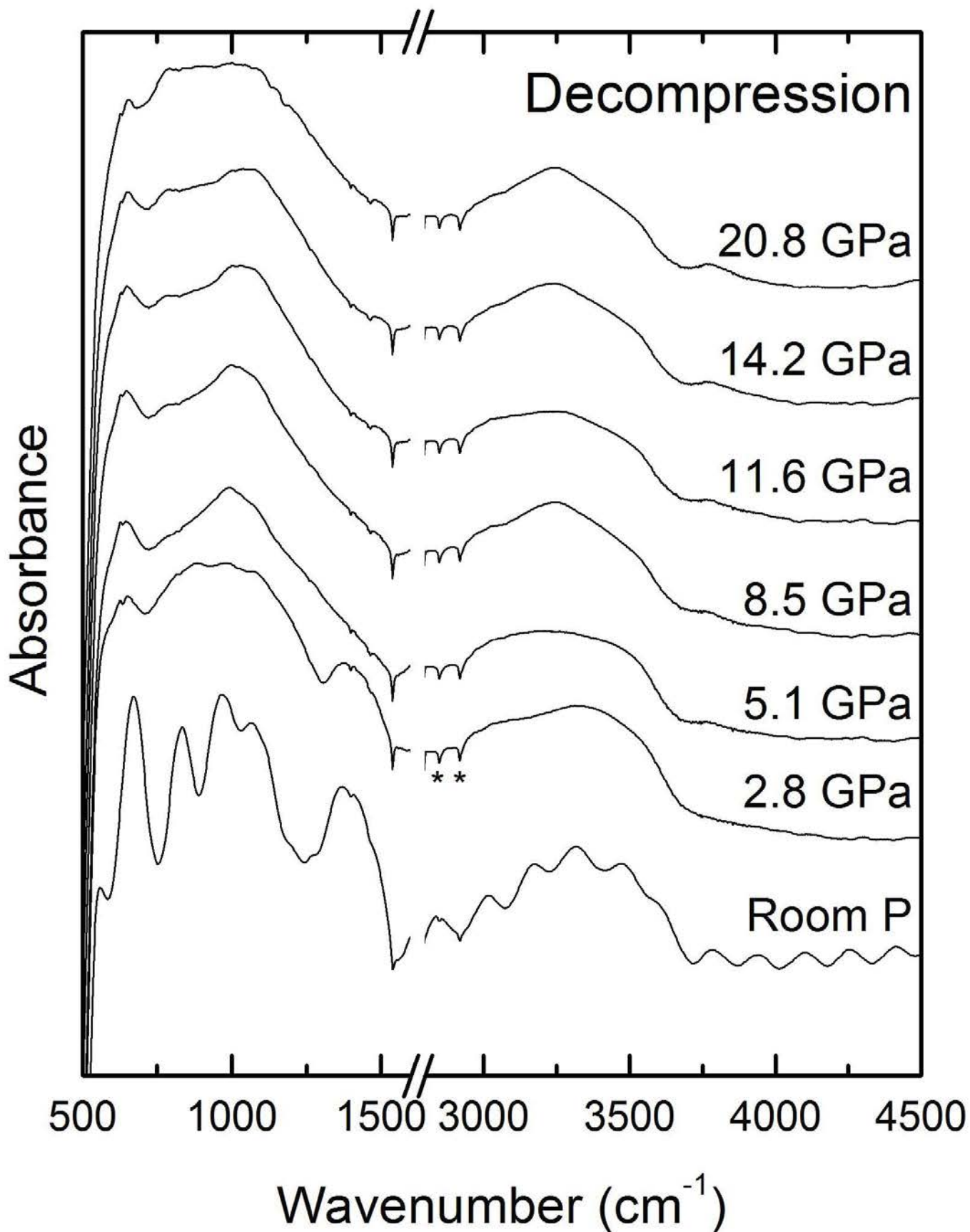


Figure 9

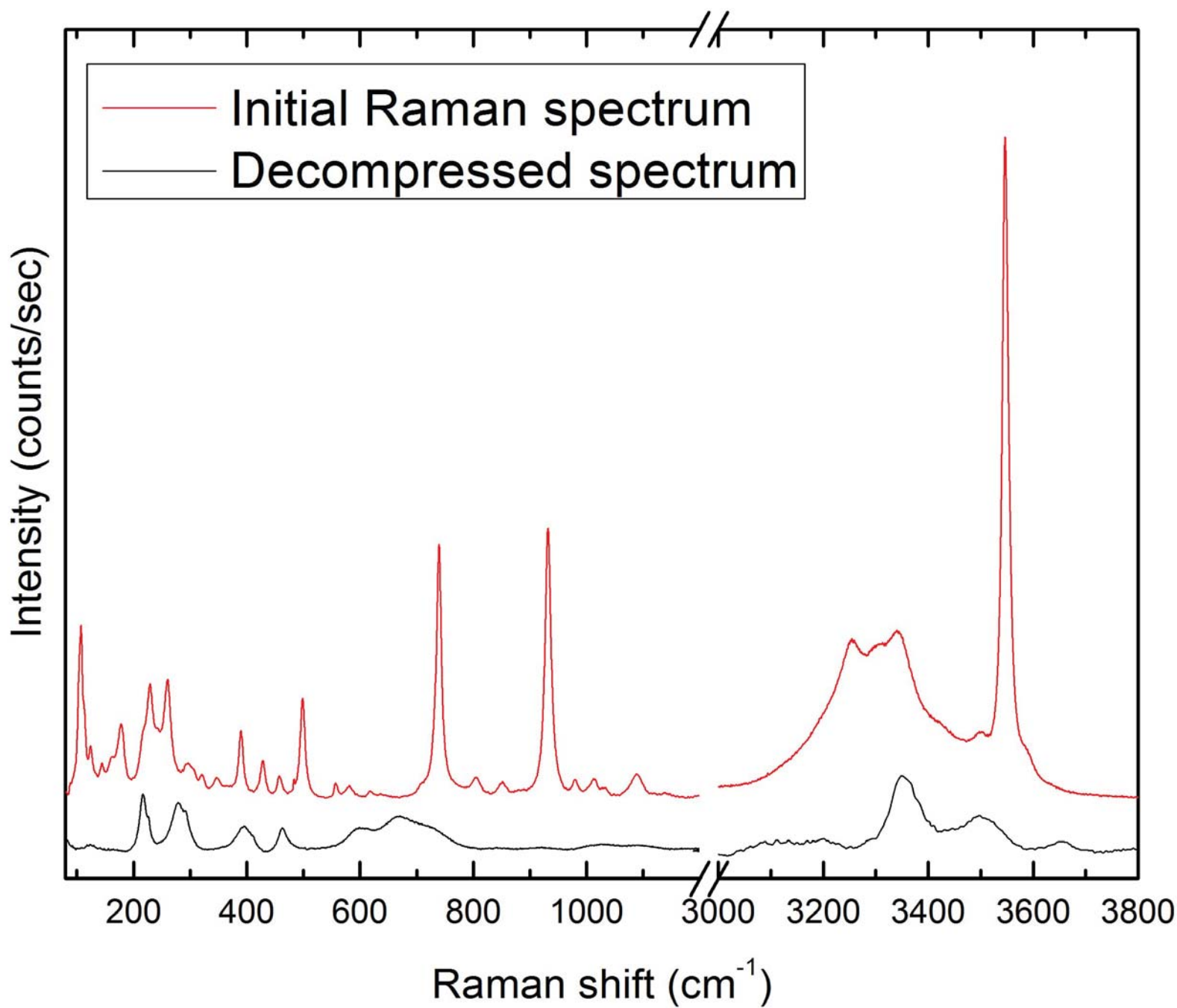


Figure 10

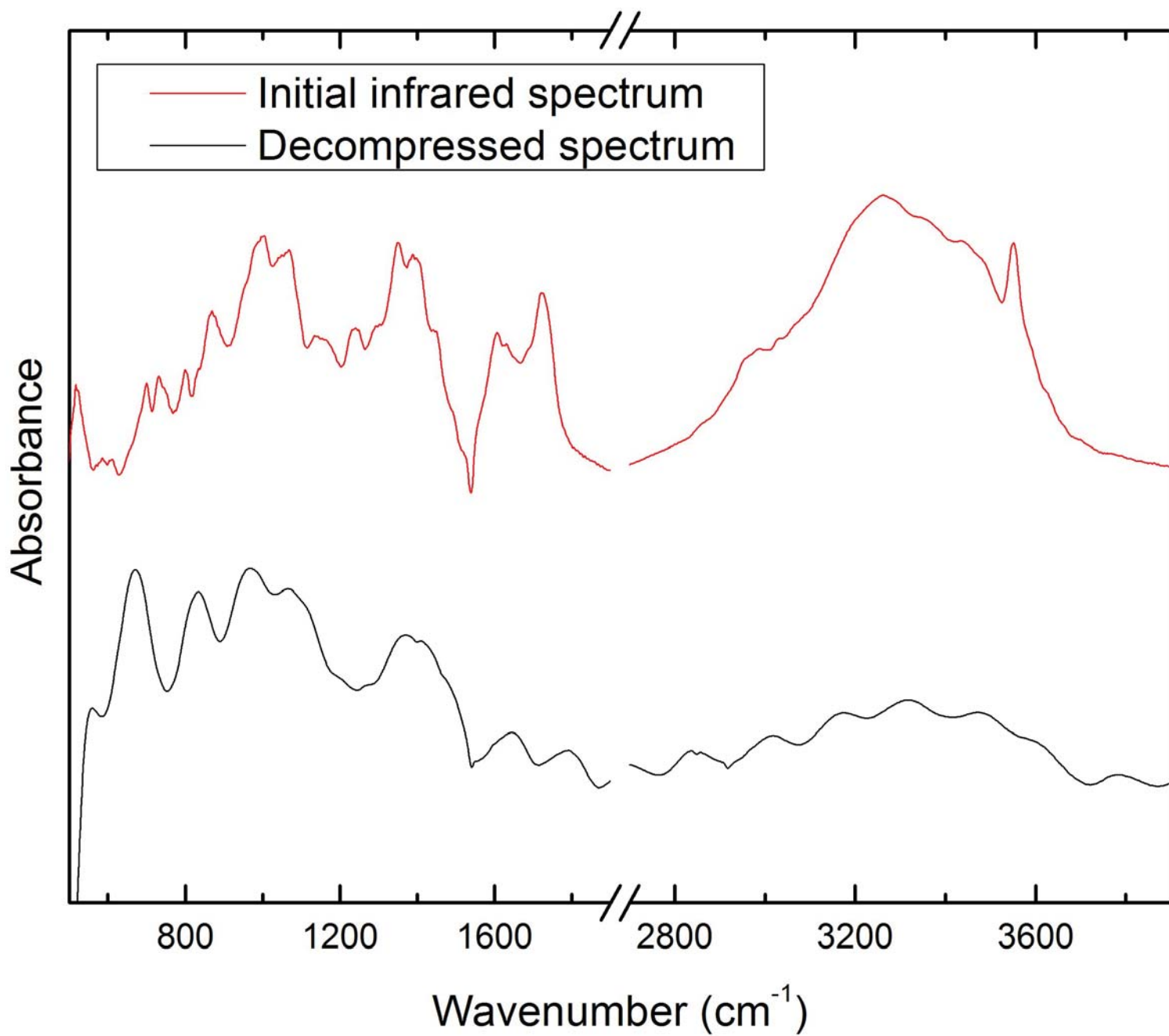


Figure 11

Decompressed Raman spectra of kernite

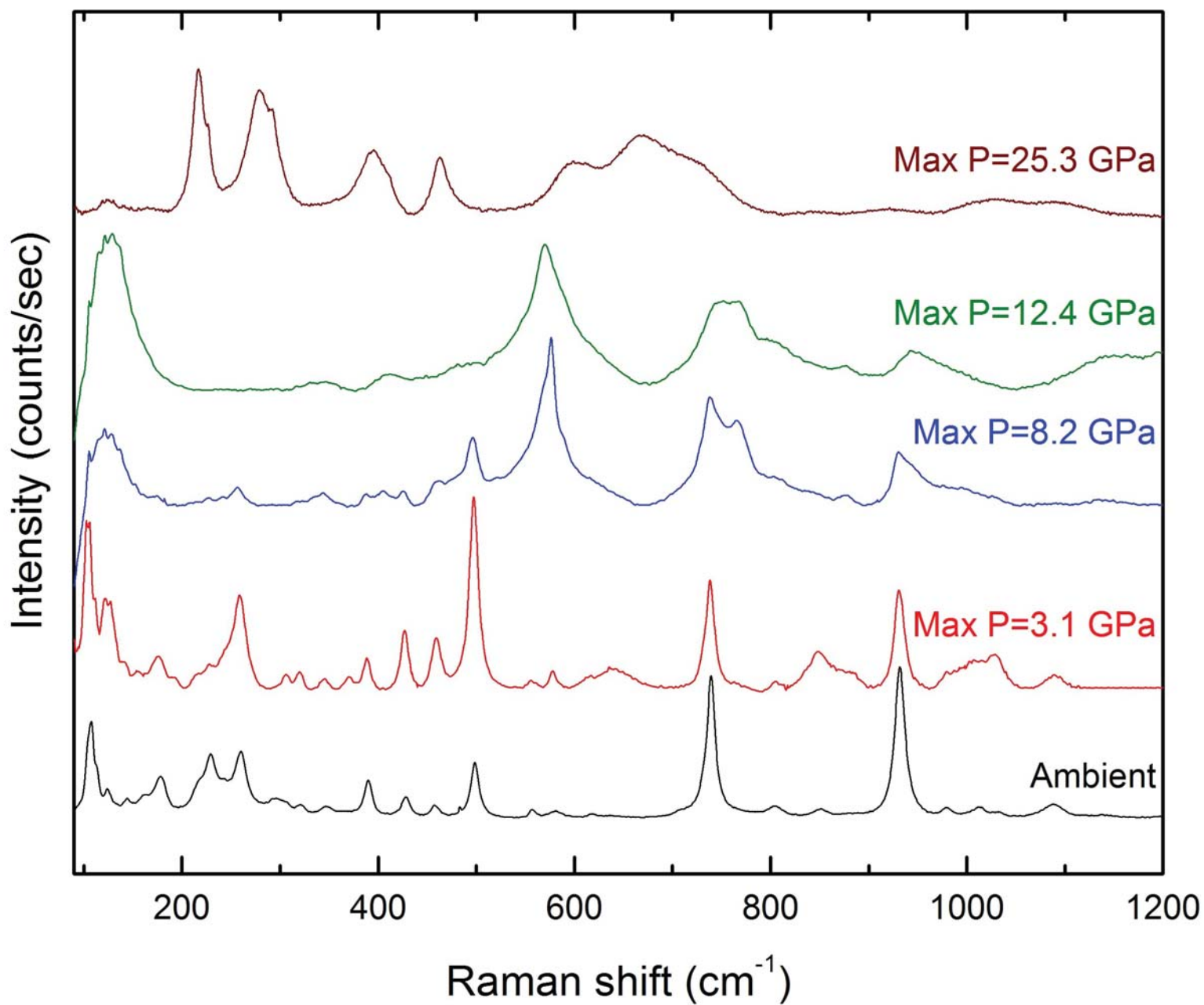
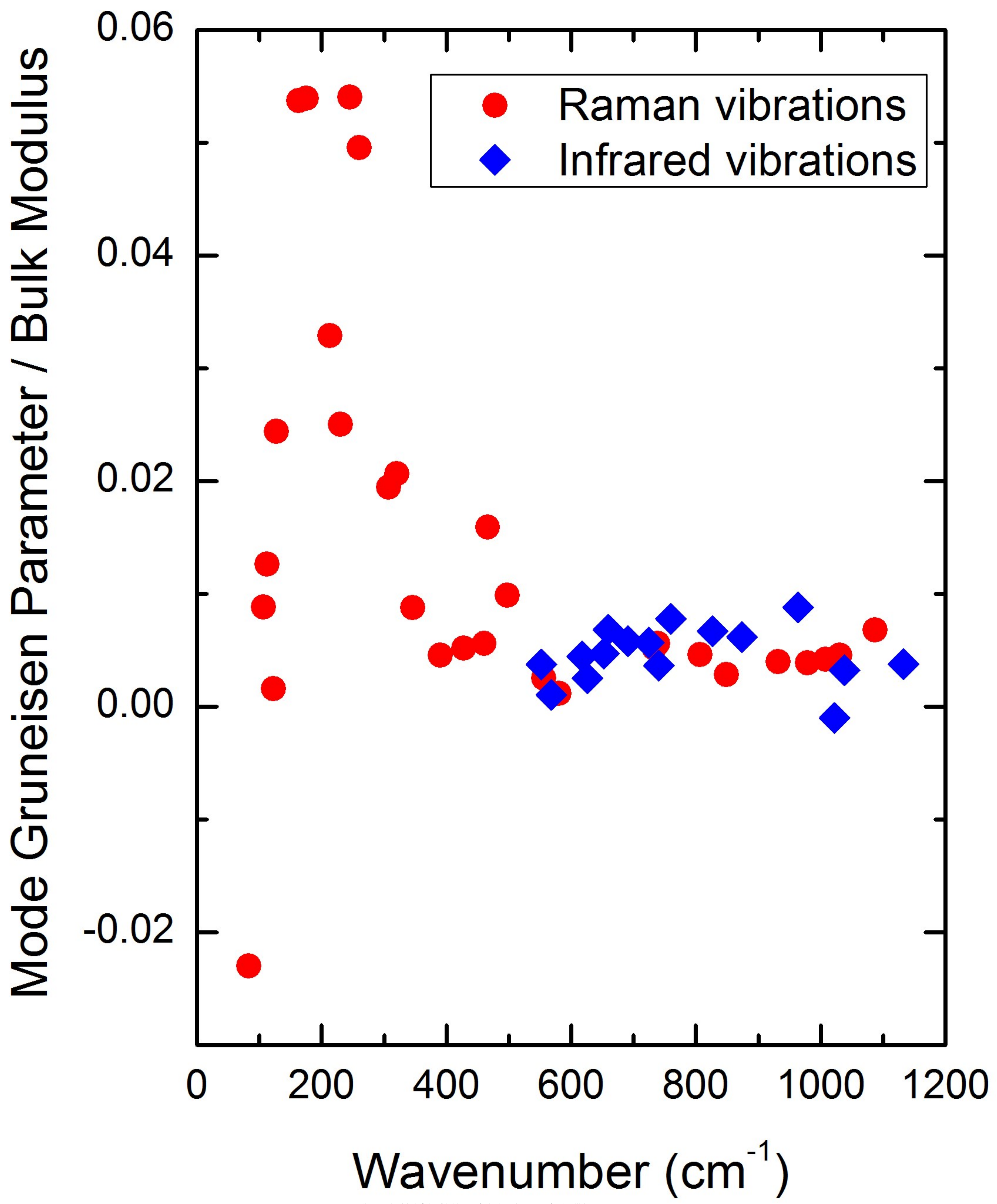


Figure 12



ν_{0i} (cm^{-1})	Assignment	$(d\nu_{0i}/dP)$ to 2.5GPa ($\text{cm}^{-1}/\text{GPa}$)
83*	Na-O	-1.91 (± 0.27)
107	Na-O	0.94 (± 0.47)
113	Na-O	1.42 (± 0.14)
123	Na-O	0.20 (± 0.39)
128	Na-O	3.13 (± 1.26)
163		8.78 (± 0.88)
175		9.46 (± 0.31)
214		7.02 (± 1.38)
230		5.76 (± 0.75)
246		13.28 (± 1.28)
260		12.90 (± 1.04)
308		5.98 (± 1.80)
321		6.63 (± 0.20)
346		3.05 (± 0.12)
390	δBO_4	1.79 (± 0.18)
428	BO_4	2.23 (± 0.43)
461	BO_4	2.58 (± 0.11)
466	δBO_3	7.43 (± 1.24)
498	δBO_3	4.92 (± 0.54)
557	O-H Libration	1.41 (± 0.31)
581	O-H Libration	0.69 (± 0.43)
733	$\nu_s \text{BO}_4$	3.82 (± 0.49)
739	$\nu_s \text{BO}_4$	4.16 (± 0.37)
807	$\nu_s \text{BO}_4$	3.73 (± 0.72)
850	$\nu_s \text{BO}_4$	2.42 (± 0.39)
932	$\nu_s \text{BO}_3$	3.73 (± 0.64)
979		3.80 (± 0.73)
1008		4.23 (± 0.54)
1031	$\nu_{as} \text{BO}_4$	4.69 (± 0.71)
1088		7.41 (± 0.81)
3004	H_2O	-
3255	H_2O	-
3301	H_2O	-
3351	H_2O	-
3425	H_2O	-
3548	OH stretch	-9.20 (± 1.05)

ν_{0i} (cm^{-1})	Assignment	($d\nu_{0i}/dP$) to 2.5 GPa ($\text{cm}^{-1}/\text{GPa}$)
552.3	BO_4	2.07 (± 0.17)
568.4	BO_4	0.60 (± 0.38)
618.0	δBO_3	2.77 (± 0.76)
626.3*		1.58 (± 0.17)
652.7	δBO_3	3.09 (± 0.19)
659.7*		4.50 (± 0.95)
691.2	δBO_3	4.04 (± 0.11)
724.5		4.14 (± 0.38)
740.8		2.70 (± 0.37)
760.1	$\nu_s \text{BO}_4$	5.94 (± 2.05)
826.7	$\nu_s \text{BO}_4$	5.56 (± 0.26)
874.1	$\nu_s \text{BO}_3$	5.42 (± 0.59)
963.6	$\nu_{as} \text{BO}_4$	8.51 (± 0.47)
1022.1	$\nu_{as} \text{BO}_4$	-1.02 (± 2.90)
1038.2*		3.38 (± 0.75)
1132.7		4.28 (± 0.62)
1260.5*		1.33 (± 0.88)
1284.4		4.25 (± 0.81)
1341.6	$\nu_{as} \text{BO}_3$	5.15 (± 0.44)
1387.8		-2.24 (± 0.50)
1434.0		1.05 (± 1.86)
1486.9		1.80 (± 0.33)
1701.9	$\delta \text{H-O-H}$	-17.58 (± 0.67)
3323.2	H_2O	-7.78 (± 5.67)
3551.4	OH	-13.63 (± 2.20)

ν_{0i} (cm ⁻¹)	Assignment	Raman (R) Infrared (IR)
124.0	Na-O	R
168.2	Na-O	R
217.3		R
226.1 sh		R
279.3		R
290.8 sh		R
395.3		R
410.3 sh		R
462.5	δ BO ₃ & BO ₄	R
598.3	δ BO ₃ & BO ₄	R
667.1		R
672.0		IR
735.5	ν_s BO ₄	R
833.5	ν_s BO ₄	IR
971.0	ν_{as} BO ₄	IR
1073.9	ν_{as} BO ₄ ?	IR
1127.8 sh	ν_{as} BO ₄	IR
1198.9		IR
1272.4		IR
1368.0	ν_{as} BO ₃	IR
1477.6		IR