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MS#6190 REVISION #1

**EosFit-Pinc: A SIMPLE GUI FOR HOST-INCLUSION ELASTIC
THERMOBAROMETRY**

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Submitted to: American Mineralogist, Letters. Ms #6190

Version: 15-June-2017

Length:

- Manuscript pages, including title page: 10
- Reference pages: 3
- Figures: 2
- Tables: 0
- Total: 15

35

Abstract

36 Elastic geothermobarometry is a method of determining metamorphic conditions from the
37 excess pressures exhibited by mineral inclusions trapped inside host minerals. An exact solution
38 to the problem of combining non-linear equations of state (EoS) with the elastic relaxation
39 problem for elastically-isotropic spherical host-inclusion systems without any approximations of
40 linear elasticity is presented. The solution is encoded into a Windows GUI program EosFit-Pinc.
41 The program performs host-inclusion calculations for spherical inclusions in elastically-
42 isotropic systems with full P - V - T EoS for both phases, with a wide variety of EoS types. The
43 EoS's of any minerals can be loaded into the program for calculations. EosFit-Pinc calculates
44 the isomeke of possible entrapment conditions from the pressure of an inclusion measured when
45 the host is at any external pressure and temperature (including room conditions), and it can
46 calculate final inclusion pressures from known entrapment conditions. It also calculates
47 isomekes and isochors of the two phases.

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Introduction

50 The determination of the remnant pressures in inclusions, as measured by X-ray diffractometry,
51 birefringence analysis or Raman spectroscopy, provides an alternative and complementary
52 method to conventional geothermobarometry by using elasticity theory. A remnant pressure in
53 an inclusion is developed because the inclusion and the host have different thermal expansions
54 and compressibilities, and therefore the inclusion does not expand in response to P and T as
55 would a free crystal. Instead it is restricted by the host mineral, and this confinement can result
56 in inclusions exhibiting over-pressures, or under-pressures, when the host is studied at room
57 conditions. By measuring the remnant pressure the possible temperatures and pressures of

58 entrapment can be calculated by using the elastic properties of the host and inclusion minerals.
59 This basic concept has been known for a long time (Rosenfeld and Chase 1961). Difficulties
60 arise because the classic solutions for the stress distribution in host-inclusion systems (e.g.
61 Goodier 1933; Eshelby 1957) are derived for linear elasticity, which assumes that the stresses
62 and strains are small, and that the elastic properties do not change with pressure or temperature.
63 However, minerals are subject to large changes in pressure and temperature from formation to
64 room conditions, so their elastic properties are not constant but are described by non-linear
65 Equations of State (EoS).

66

67 Several approaches have been used to apply the classic host-inclusion elastic solutions to
68 mineral systems. All of them assume that the two minerals are elastically isotropic, and that the
69 inclusion is spherical and isolated from the host surface and any other inclusions or defects in
70 the host mineral. The simplest approach has been to ignore the variation of the elastic properties
71 of minerals with pressure and temperature (e.g. Zhang 1998). This leads to errors in inclusion
72 pressures, especially when they are calculated for prograde metamorphic conditions following
73 entrapment (e.g. Angel et al. 2014b). A second approach has been to calculate the evolution of
74 the inclusion pressure in a series of small steps from entrapment conditions by adjusting the
75 elastic properties of the host and inclusion at each step according to either a full or approximate
76 EoS, and then using the linear solution at each step to calculate mechanical equilibrium (Gillet
77 et al. 1984; van der Molen and van Roermund 1986; d'Arco and Wendt 1994). A third approach
78 is to consider the “thermodynamic pressure”, P_{thermo} , in the inclusion when it is constrained to
79 have the same volume change as the host crystal from entrapment P_{trap} and T_{trap} to the final
80 external P_{end} and T_{end} (Figure 1). P_{thermo} is different from the final external pressure on the host,

81 and this drives a further mutual elastic relaxation that reduces the difference between the
82 inclusion pressure and P_{end} . This relaxation must be calculated in a second step. The advantages
83 of this approach are that the calculation of P_{thermo} can be exact by using appropriate non-linear
84 EoS, and the only linear elasticity approximation is in the relaxation term. However, the correct
85 solution for the pressure in the spherical inclusion requires that the relaxation is evaluated
86 during isothermal decompression from a state of uniform stress (Goodier 1933), and not along
87 any P - T path as often incorrectly assumed (e.g. Guiraud and Powell 2006). The first step is
88 therefore to consider a temperature change from T_{trap} to T_{end} and to calculate the change in
89 external pressure required to induce an equal pressure change in the inclusion (Figure 1). This
90 thermodynamic path is an isomeke of the host and inclusion phases (Rosenfeld and Chase 1961;
91 Adams et al. 1975). The pressure, P_{foot} , on the entrapment isomeke at T_{end} can be determined
92 from P_{trap} and T_{trap} and the EoS for the host and inclusion phases. The second step is to calculate
93 the pressure change in the inclusion during an isothermal change in the external pressure from
94 P_{foot} to the final P_{end} . Angel et al (2014b) calculated this pressure change in the inclusion with
95 the solution from Goodier (1933) and the assumption that the pressure derivatives of the elastic
96 moduli of the host and inclusion are the same. In this paper we now present the solution to the
97 problem in a way that avoids any such approximations of linear elasticity. The solution is
98 encoded into a GUI program, EosFit-Pinc, which calculates entrapment conditions from
99 measured remnant inclusion pressures, and vice-versa.

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Method

102 As in Angel et al. (2014b) the full EoS of the two minerals are first used to calculate the external
103 pressure P_{foot} on the entrapment isomeke at the final temperature (Figure 1), where the host and
104 inclusion are at the same pressure and temperature. For the second step of the calculation we

105 start from P_{foot} and we first consider a small change in pressure dP_{inc} imposed internally in the
 106 inclusion and, simultaneously, a small pressure change dP_{ext} imposed externally on the host. We
 107 then calculate the radial displacement u of the host-inclusion boundary necessary to return the
 108 system to mechanical equilibrium. Displacements of points at a distance R from the center of a
 109 spherically-symmetric system are governed by the equation $u = AR + \frac{B}{R^2}$. The parameters A
 110 and B are constants of integration determined by the particular boundary conditions. For a small
 111 finite inclusion of radius r_{inc} much less than the host, the constants A and B can be obtained from
 112 the more general solution to the ‘pressurised hollow sphere problem’ (e.g. Bower 2010,
 113 www.solidmechanics.org) as:

$$114 \quad A = \frac{-dP_{ext}}{3K_H} \quad \text{and} \quad B = \frac{(dP_{inc} - dP_{ext})r_{inc}^3}{4G_H} \quad (1)$$

115 K_H and G_H are respectively the bulk and shear moduli of the host. The fractional volume change
 116 (strain) of the inclusion from the initial conditions to the final conditions is $\epsilon_{inc} = \frac{(r_{inc} + u)^3}{r_{inc}^3} - 1$. If

117 the displacement u is small compared to the radius of the inclusion, as for the relaxation of
 118 mineral inclusions, then the volume strain of the inclusion due to applying the inner and outer
 119 pressures is $\epsilon_{inc} = \frac{3u}{r_{inc}} = 3A + \frac{3B}{r_{inc}^3}$ (Bower 2010). Substituting for the parameters A and B yields:

$$120 \quad \epsilon_{inc} = \frac{-dP_{ext}}{K_H} + \frac{3(dP_{inc} - dP_{ext})}{4G_H} \quad (2).$$

121 Because the isothermal bulk modulus is defined as $K = -V \frac{\partial P}{\partial V} = -\frac{dP}{\epsilon}$, the first term is the
 122 volume strain, ϵ_{host} , of the free host crystal unaffected by the inclusion, so:

123
$$\varepsilon_{inc} = \varepsilon_{host} + \frac{3(dP_{inc} - dP_{ext})}{4G_H} \quad (3).$$

124 The second term is therefore the fractional volume change of the inclusion due to elastic
125 relaxation. The important point is that this solution has been derived from considerations of
126 force balance, and we are only calculating the displacement of the host-inclusion boundary in
127 terms of a constant pressure applied to it from within the inclusion, and the external pressure on
128 the host. There are *no* assumptions about elastic properties of the material inside the inclusion,
129 and there are *no* assumptions of linear elasticity (which would mean constant values of K_H and
130 G_H). Because the force balance is calculated for the final conditions, the value of G_H to be used
131 is that at the final conditions with the host under the external pressure change dP_{ext} . The only
132 assumption used here is that G_H does not change as a result of the deviatoric stresses that
133 develop in the host around the inclusion (e.g. Eshelby 1957; Zhang 1998; Howell and Nasdala
134 2008).

135

136 The result can also be written (by following Bower 2010) in terms of finite changes in pressure
137 from P_{foot} to the final P_{inc} and P_{end} as:

138
$$\varepsilon_{inc} = \varepsilon_{host} + \frac{3(P_{inc} - P_{end})}{4G_H} \quad (4).$$

139 The second term for the fractional volume change of the inclusion upon relaxation has been
140 derived previously (e.g. Zhang 1998). This expression is then used, sometimes with constant K_I
141 and G_H for all P, T conditions, in several programs (e.g. Ashley et al. 2014; Kohn 2014). These
142 programs follow Guiraud and Powell (2006) and perform a one-step calculation of the
143 entrapment conditions from the measured P_{inc} . In doing so, they incorrectly mix in Equation (4)
144 the volume strains from entrapment to final conditions (as ε_{host} and ε_{inc}) with the volume strain

145 of relaxation, $\frac{3(P_{inc} - P_{end})}{4G_H}$, which is relative to P_{foot} . The consequence is that entrapment

146 conditions estimated in this way do not fall exactly on a thermodynamic isomeke¹.

147

148 By applying the hollow sphere solution to the isothermal decompression of the inclusion and

149 host from the isomeke at T_{end} , we have shown that Zhang's (1998) widely-used assumption of

150 linear elasticity to determine the pressure change on relaxation was un-necessary. Instead, we

151 can use the full non-linear EoS of host and inclusion to evaluate their mutual relaxation and the

152 final inclusion pressure P_{inc} provided we start this step of the calculation from P_{foot} on the

153 entrapment isomeke. The final pressure in the inclusion cannot be calculated directly because

154 ε_{inc} depends on P_{inc} , so an iterative approach has to be used, with the following steps:

155 (1) Calculate the P_{foot} of the entrapment isomeke from the given entrapment conditions and
156 the full P - V - T EoS of the two phases.

157 (2) Calculate the volume strain of the host ε_{host} on changing pressure from P_{foot} to P_{end} ,
158 from the EoS of the host. This value stays fixed.

159 (3) Estimate a P_{inc} .

160 (4) Calculate $\varepsilon_{inc} = \varepsilon_{host} + \frac{3(P_{inc} - P_{end})}{4G_H}$.

161 (5) Calculate a new P_{inc} from ε_{inc} and the full EoS of the inclusion.

162 (6) Use this new value of P_{inc} in step (4).

163 (7) Repeat steps 4-5-6 until the iteration converges to a final value of P_{inc} .

¹ A full algebraic proof is provided in the Appendix, available as deposit item AM-17-****. Deposit items are stored on the MSA website and available via the American Mineralogist Table of Contents. Find the article in the table of contents at GSW (ammin.geoscienceworld.org) or MSA (www.minsocam.org), and then click on the deposit link

164 In order to calculate entrapment conditions from a known P_{inc} , the P_{foot} that produces the
165 observed P_{inc} is found by the same iterative cycle, and then the entrapment isomeke can be
166 calculated using the EoS of the two phases.

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EosFit-Pinc Program

169 EosFit-Pinc is a Windows GUI program that performs host-inclusion elasticity calculations for
170 spherical inclusions in an effectively infinite matrix for elastically isotropic materials by
171 implementing the solution to the host-inclusion relaxation problem described above. The EosFit-
172 Pinc GUI consists of a series of tabs. When the program is started the calculation tabs are not
173 active until valid EoS are loaded into the program. The *Settings* tab (Figure 2) provides the user
174 with options for the GUI, including setting the temperature scale (K or °C) for the display. The
175 display mode has an ‘expert’ setting in which more intermediate results of calculations, and the
176 results from different elastic relaxation models (e.g. Zhang 1998; Angel et al. 2014b), are
177 displayed when relevant. EoS parameters for the host and inclusion can be loaded into the
178 program as .eos files, the standard file format for transferring EoS parameters between programs
179 in the EosFit program suite (Angel et al. 2014a; Gonzalez-Platas et al. 2016). These files can be
180 created by the other EosFit programs, which can be launched directly from the EosFit-Pinc GUI,
181 or EoS parameters can be imported directly from version ds62 of the Thermocalc database
182 (Holland and Powell 2011). Other import options can be added if required.

183

184 Figure 2 shows a calculation of entrapment conditions for a cubic ferropericlase inclusion within
185 a diamond. The remnant inclusion pressure of 1.139 GPa was calculated from the unit-cell
186 parameters of sample GU4A (Hutchison 1998) using the EoS for ferropericlase determined from

187 the measurements of Mao et al. (2011). The user enters the remnant pressure and the external
188 conditions under which it was measured into the GUI and sets the temperature range for the
189 calculation of the entrapment isomeke. The P,T points along the entrapment isomeke
190 representing possible entrapment conditions are then displayed in the lower results panel of the
191 GUI. If the entrapment conditions are known or estimated, for example from the petrology and
192 chemistry of the rock, then the *CalcPinc* tab of the GUI allows the remnant inclusion pressure to
193 be calculated. Calculations of the isochors of the two phases separately, or their common
194 isomekes can also be performed, and the results cut-and-pasted from the output window to other
195 programs for plotting.

196

197 EosFit-Pinc is written in Fortran-95 using the CrysFML (Rodriguez-Carvajal and Gonzalez-
198 Platas 2003) library, with the *cfml_eos* module (Angel et al. 2014a). The program is free for
199 non-commercial use and does not require any commercial software or libraries other than those
200 provided with the program. It is available for download from www.rossangel.net for Windows
201 operating systems, together with *.eos* files for common minerals and complete help
202 documentation. The same calculations can be performed with the EosFit7c console program,
203 which also provides results for other relaxation models and is available for Mac, Linux and
204 Windows from the same website.

205

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Implications

207 The EosFit-Pinc program provides an easy-to-use GUI for rapid calculations of inclusion
208 pressures of minerals. It allows more flexibility than recently-published programs and methods
209 (e.g. Ashley et al. 2014; Kohn 2014) because the EoS are not built-in, but are provided to the

210 program by the user. For quartz in garnet with $P_{inc} < 0.3$ GPa, all three programs predict
211 entrapment conditions within ~ 50 bars. When P_{inc} is 1 GPa, the use of linear elasticity and the
212 incorrect path for the relaxation calculation in other programs (Ashley et al. 2014; Kohn 2014)
213 becomes significant and results in the entrapment conditions being under-estimated by more
214 than 500 bars (0.05 GPa) at 800°C compared to EosFit-Pinc. EosFit-Pinc is not restricted to
215 calculating inclusion pressures when the host is at room conditions. For example, it can be used
216 to calculate that a quartz inclusion (EoS from Angel et al. 2017) trapped inside an almandine
217 garnet (Milani et al. 2015) on a pro-grade metamorphic path at 400 °C and 0.7 GPa only
218 experiences a pressure of 1.8 GPa when the garnet reaches the conditions of the quartz=coesite
219 phase boundary at 750 °C, 2.7 GPa. And when the host reaches conditions in the diamond
220 stability field at ~ 4.4 GPa and 800 °C, the inclusion will be at 2.6 GPa. By contrast, by
221 importing the rutile EoS from ds62 of Thermocalc (Holland and Powell 2011) one can calculate
222 with EosFit-Pinc that a rutile inclusion trapped at the same conditions as the quartz exhibits
223 pressures within 0.2 GPa of the external conditions on the same metamorphic path, as a
224 consequence of the smaller contrast in bulk moduli between rutile and garnet.

225

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Acknowledgements

227 Software development and analysis was supported by ERC starting grant 307322 to Fabrizio
228 Nestola, and by the MIUR-SIR grant “MILE DEEP” (RBSI140351) to Matteo Alvaro. We thank
229 Javier Gonzalez-Platas (La Laguna) for continuing collaboration and development of the
230 CrysFML, and Frank Spear (RPI) and Kyle Ashley (Austin, Texas) for comparison calculations,
231 detailed discussions, and helpful reviews.

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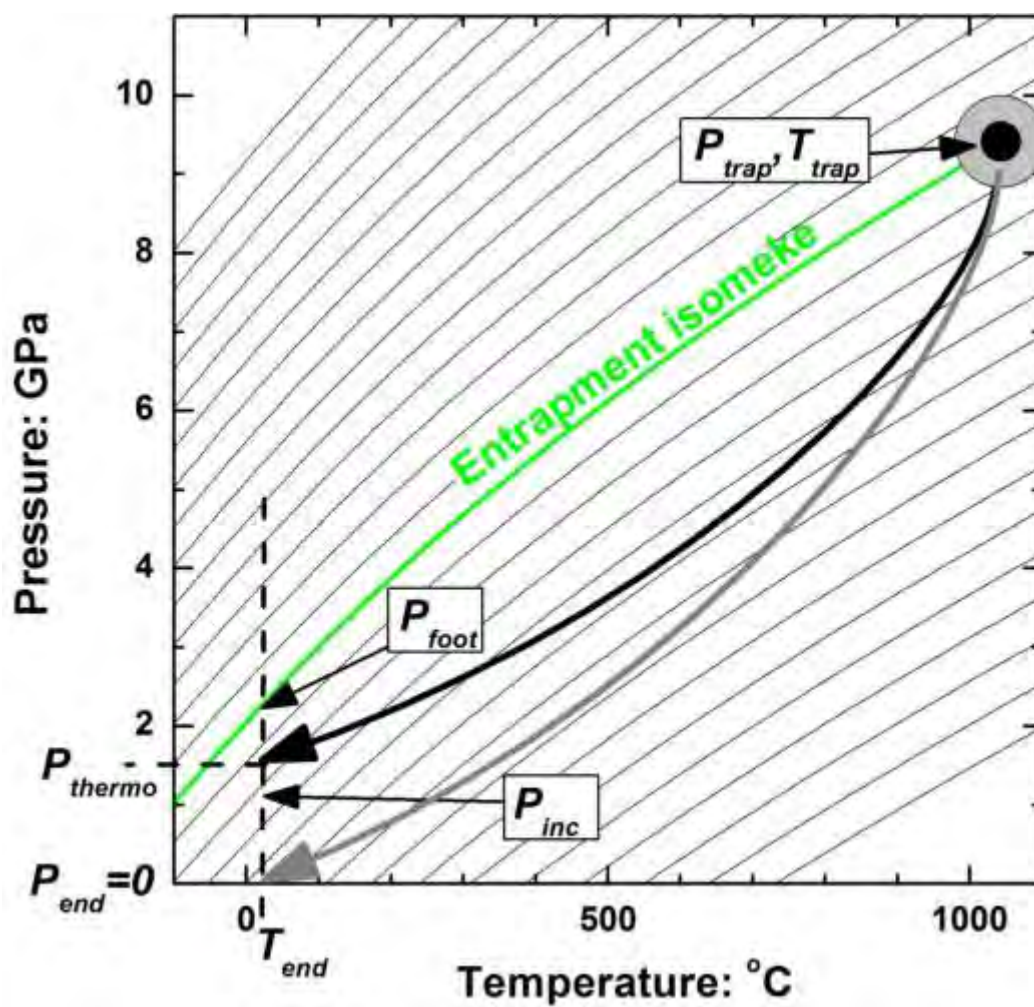
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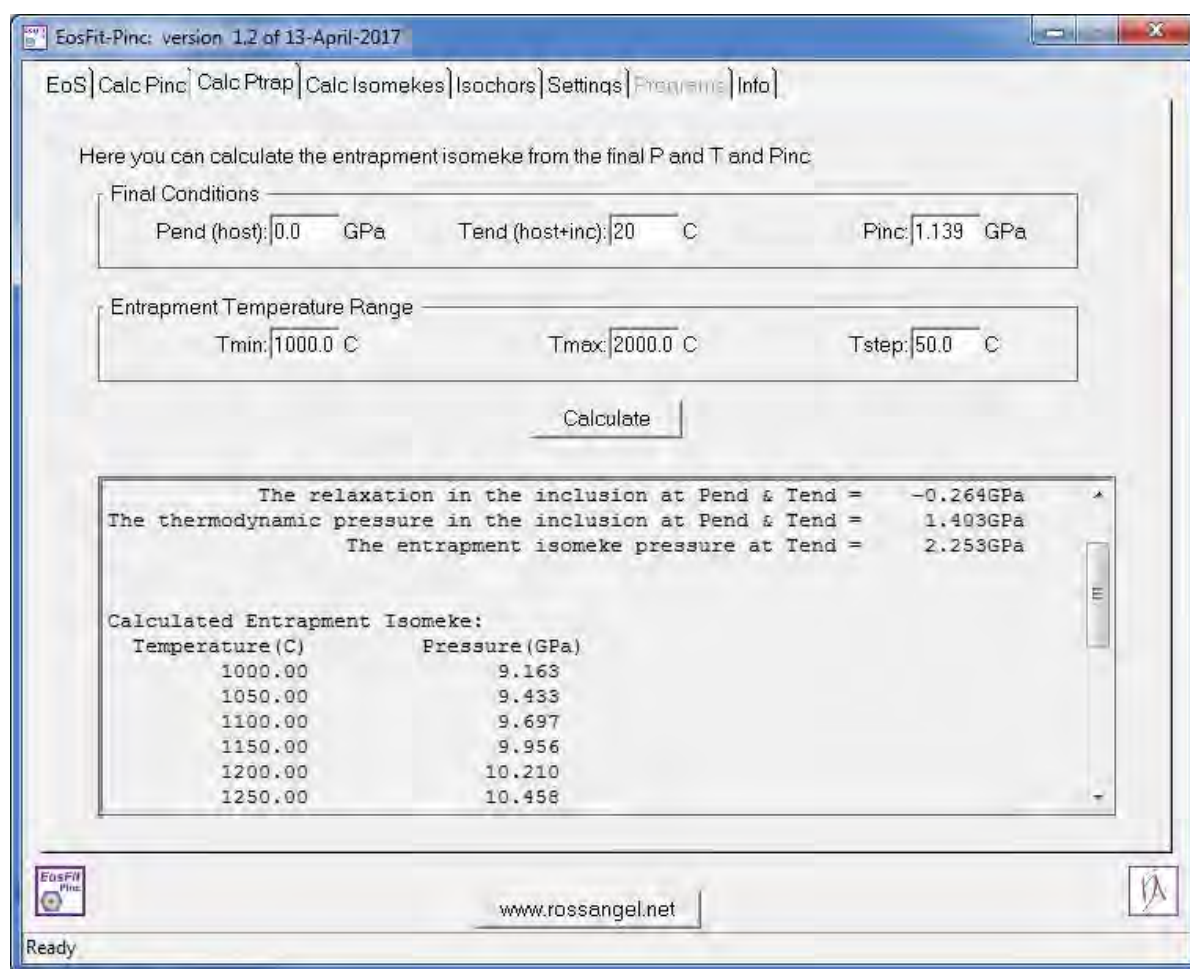
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292 **Fig. 1.** Routes in P - T space for the calculation of inclusion pressures. P_{thermo} is the pressure in
293 the inclusion (bold black line) when it is constrained to the volume change of a free host crystal
294 resulting from the external change in P and T from entrapment to the final conditions (grey
295 arrow), without accounting for the mutual relaxation to the final inclusion pressure P_{inc} . An
296 alternative is to calculate the pressure on the entrapment isomeke at the final temperature, at
297 which point both the host and the inclusion have the same pressure P_{foot} from which the final
298 P_{inc} can be calculated using the method described in the text. Thin grey lines are isomekes of
299 diamond and ferropericlase calculated with an EoS derived from data for high-spin
300 ferropericlase (Mao et al. 2011) and the EoS of diamond (Angel et al. 2015).



301

302 **Fig. 2.** Screenshot of the EosFit-Pinc GUI with the tab for the calculation of entrapment
303 conditions open. The results shown in the lower panel are for a ferropericlase inclusion trapped
304 inside a diamond with a remnant pressure of 1.139 GPa at room temperature (Hutchison 1998),
305 calculated with an EoS derived from data for high-spin ferropericlase (Mao et al. 2011) and the
306 EoS of diamond (Angel et al. 2015). The listed P - T points lie on the entrapment isomeke shown
307 as the green line in Figure 1.
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