1	Revision 2
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3	Mineralogical and compositional features of rock fulgurites: a record of lightning effects on
4	granite
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12	Abstract. Fulgurites are a naturally occurring glass formed when sand, rock, or soil is struck by
13	atmospheric electrical discharges (lightning). The aim of this paper is to provide insights into the
14	conditions occurring in rocks during the lightning strike. Rock fulgurites collected from Mt.
15	Mottarone, Baveno (Piedmont, Italy) have been investigated to assess the mineralogical and
16	compositional changes occurring in granite due to a lightning strike. X-ray powder diffraction
17	showed that the samples represent the dominant granitic rock type of the Baveno massif, the so-
18	called "Pink Baveno". Fulgurite coats the surface of the granite as a brown-black, glassy to very
19	fine-grained porous layer. Powder diffraction data for the fulgurite reveal the presence of
20	cristobalite and quartz crystals in a glass matrix, suggesting that temperature exceeded ~1700 °C at
21	near atmospheric conditions, assuming thermodynamic equilibrium. Electron probe microanalysis
22	of the glass revealed that it is mainly composed of SiO_2 and Al_2O_3 and that it has a porosity of 5-7
23	area% in the studied zones. The presence of the amorphous phase indicates that the abrupt electrical
24	(Joule) heating of the rock surface yielded high temperatures, producing a thin melt layer on the
25	surface which then cooled adiabatically. Idealized physical model was developed to simulate the
26	effects of Joule heating and subsequent thermal conduction close to the rock surface during and

27	after a lightning strike. The quantity of organic matter in the glass, obtained via Elemental
28	Analyzer, suggests that rapid quenching of the melt trapped NO _x and CO _x gases produced during
29	heating. Raman spectroscopy revealed the presence of polyaromatic hydrocarbon molecules, which,
30	combined with the Elemental Analyzer data, suggest that organic matter was pyrolysed at around
31	300-350 °C and then trapped in the glass matrix of the studied rock fulgurites.
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33	Key Words – lightning, rock fulgurites, mineralogy, compositional features, physical models
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35	INTRODUCTION
36	Lightning is a transient, high-current discharge occurring within a thundercloud, between clouds,
37	or between a cloud and the ground whose path may be many kilometers long (Uman and Krider,
38	1989a; Uman and Krider, 1989b). Christian et al. (2003) estimated the frequency of lightning across
39	the globe at 1.2×10^9 flashes per year. The majority (~90%) of these lightning flashes occur over
40	continental landmasses as opposed to the open ocean (Lay et al., 2007). Any type of clouds can
41	potentially cause lightning or some related form of electrical discharge, as can snowstorms,
42	volcanic eruptions, and dust storms (Uman and Krider, 1989a).
43	Over half of the flashes occur within clouds, known as intra-cloud (IC) discharges. Cloud-to-
44	ground (CG) lightning, although accounting for only about one-third of lightning flashes (Rakov,
45	1999), are the most studied because of their impact on human life (Uman and Krider, 1989a). Each
46	CG lightning strike involves an energy of 10^9-10^{10} J, most of which is consumed to produce
47	thunder, hot air, light, and radio waves (Rakov, 1999; Saikia et al., 2008). On the ground, typical
48	peak currents can reach 30 kA, and their half-life is about 50 µs (Uman and Krider, 1989a). The
49	term fulgurite (from the Latin <i>fulgur</i> , lightning) describes a naturally occurring glass formed when
50	sand, rock, or soil is impacted by CG lightning strikes. The occurrence of fulgurites has also been
51	documented on man-made structures (e.g., Martin Crespo et al., 2009).

52 Pasek et al. (2012) classified fulgurites into four types based on morphology and mineralogical composition. Type-I fulgurites are sand fulgurites consisting of hollow glass tubes with sand 53 adhering to the outside, formed when lightning strikes a body of sand; type-II fulgurites are clay 54 fulgurites, consisting of thick, melt-rich walls; type-III fulgurites are caliche fulgurites, consisting 55 of thick, glass-poor walls; and type-IV fulgurites are rock fulgurites, formed when lightning strikes 56 57 the surface of rocks, appear mostly as thin glassy crusts, which may be relatively low in silica, and exhibit a wide variety of colors depending on the composition of the rock (Essene and Fisher, 1986; 58 Clochiatti, 1990; Grapes and Müller-Sigmund, 2009; Martin Crespo et al., 2009; Carter et al., 59 60 2010b).

Although a fulgurite specimen that may be as old as 15 ky was collected in the Libyan Desert 61 (Navarro-Gonzalez et al., 2007), fulgurite materials are typically geologically young and are 62 sometimes collected within days of formation. They commonly contain non-melted precursor 63 minerals cemented into the fulgurite glass. Shock, while proposed to occur in association with 64 65 fulgurites, remains controversial, since fulgurites typically do not contain high-pressure SiO₂ polymorphs or quartz exhibiting planar deformation features. However, there is some evidence that 66 67 minerals within fulgurite (Carter et al., 2010a; Carter et al., 2010b; Ende et al., 2012), or in the 68 underlying rock (Gieré et al., 2015), display signatures of shock, similar to those reported for rocks 69 affected by meteorite impacts (e.g., French and Koeberl, 2010). The formation of fulgurites is accompanied by mineralogical and sometimes compositional changes, and may record information 70 71 about the environment (e.g., fulgurites in the Libyan Desert preserve paleoclimate information) in which they were formed (Switzer and Melson, 1972; Sponholz et al., 1993; Sponholz, 2004; 72 73 Navarro-Gonzalez et al., 2007).

Determination of the range of conditions produced by lightning in Earth and engineering materials (*e.g.*, in wind mills and transmission towers) is an important scientific goal of this study. The need to transport energy over longer distances is pushing manufacturers to research, develop, and produce components capable of operating at ever-higher voltages and to develop materials able to preclude damage to power lines and, eventually, power outages. In these applications, the quality of the insulation to protect electrical power lines from CG lightning strike has become a crucial design element. The mineralogical and chemical properties of rock fulgurites can provide insights into the conditions occurring during lightning strikes, and aid in the design of better lightning protection systems in industrial and planetary applications (*e.g.*, in aircraft and space vehicles).

Pasek and Hurst (2016) provided a means of directly determining the energy of a lightning strike 83 in CG strikes on fine quartz sand. These authors observed sand fulgurites that were formed in a 84 homogenous target and had a specific morphology. The lack of information on the formation of 85 rock-fulgurites can be attributed to the heterogeneity of the rock target and the resulting complexity 86 of the phenomena associated with lightning strikes, as well as the challenges faced when 87 considering mineralogical and compositional changes in such a heterogeneous material under 88 highly non-equilibrium conditions. The aim of this paper is to provide insights into the conditions 89 occurring during lightning strikes on rocks with qualitative and quantitative mineralogical and 90 91 chemical data for rock fulgurites. Moreover, idealized physical model was developed to simulate the effects of Joule heating and subsequent thermal conduction close to the rock surface during and 92 after a lightning strike. 93

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95 GEOLOGICAL SETTING

Six samples of rock fulgurite, which appears as a dark brown-black layer on the surface of pink granite (Fig. 1), were collected near the top of Mount Mottarone (45°52'18"N/8°26'44.6"E, 1327 m above sea level) from a well-exposed, N–S trending ridge that forms steep cliffs (Fig. 1a). The Baveno-Mottarone granitic pluton, dated at ~270 m.a. (Jager and Faul, 1959), is part of the Baveno-Mottarone massif, located on the western shore of Lago Maggiore and elongated from SSW to NNE in the Western Alps of north-western Italy, near the town of Baveno.

The Baveno-Mottarone massif is geologically located in the "Serie dei Laghi" (also known as the
Strona–Ceneri Zone), which is adjacent the Ivrea–Verbano Zone. These two lithostratigraphic belts

have been the subjects of intense research activity for more than 45 years following the discovery 104 that a strong positive gravity anomaly indicative of dense mantle and lower crustal rocks is 105 associated with the Ivrea–Verbano Zone (Sinigoi et al., 2016). The Ivrea–Verbano Zone constitutes 106 the lower part of the section. Within it, the so-called Kinzigite Formation, a pre-Permian volcano-107 sedimentary sequence metamorphosed in amphibolite to granulite facies (Zingg, 1983), was 108 109 intruded by an 8 km-thick Mafic Complex, which is composed of mostly gabbroic rocks (Rivalenti et al. 1975; Rivalenti et al. 1984). The "Serie dei Laghi" constitutes the upper part of the section and 110 is comprised of lower amphibolite-facies schists and gneisses (Caironi, 1985; Boriani et al., 1988; 111 112 Boriani et al., 1990) intruded by granite plutons referred to as the "Graniti dei Laghi". Ultramafites and metagabbros form small lenses near the contact of the "Serie dei Laghi" and the Kinzigite 113 Formation (Sinigoi et al., 2016). 114

Gallitelli (1937) and Boriani et al. (1988) observed the presence of different granitic facies in the 115 Mottarone-Baveno pluton: medium- or medium-to-fine-grained red, pink, and white granites, 116 117 micro- and hetero-granular granite, and granodiorite. The miarolitic granite, with a small percentage of red granite, constitutes the upper layer of the Mottarone-Baveno pluton (Dino et al., 2012). The 118 119 pink granite facies ("Pink Baveno") is a well-known ornamental stone, which has been quarried in 120 the district over the last centuries (Sandrone et al., 2004), as is the white granite, known as the 121 Mont'Orfano and the Alzo-Roccapietra granites (Bugini et al., 2000). The transition from the white to the pink granite is mainly chromatic. The pink color of granitic rocks is due to the presence of 122 numerous open pores in K-feldspar, up to several hundred nanometers in cross-section, which 123 contain rosettes or needles of hematite (Putnis et al. 2007). 124

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126 MATERIALS AND METHODS

127 *X-ray powder diffraction*

X-ray powder diffraction (XRPD) was used to identify the mineralogical composition of both the
bulk granite and the fulgurite (the black layer, see Fig. 1b) on the surface of the rock. X-ray patterns

were collected at room temperature using a PANalytical X'Pert diffractometer equipped with an 130 X'Celerator detector, and CoKa radiation (40 kV/40 mA). Cobalt radiation was chosen to avoid 131 fluorescence between Fe-bearing minerals and Cu radiation. The incident beam optical module 132 PANalytical Bragg-Brentano^{HD} was used to improve the peak-to-background ratio and increase 133 intensity in measured spectra. 134

Bulk analyses of the granite were carried out with spinner-mode analysis, a 2θ scan range 135 between 5° and 80°, a step size of $0.02^{\circ} 2\theta$, a divergence slit of 0.125° , and anti-scatter slits of 0.5° . 136 The fitted peak width for collected data was about 0.01° 20.

138 The rock fulgurite was gently removed from the rock with a razor blade, and the resulting powder was sieved to $<38 \mu m$, and then ground with an agate mortar and pestle. Samples were 139 filled into Mark-tubes (Lindemann special glass, with a nominal outside diameter of 0.3 mm and a 140 wall thickness of 0.01 mm) for capillary spinner-mode analysis. Data were collected with a scan 141 range between 5 and 100° 20, a step size of 0.02° 20, a divergence slit of 0.125°, and anti-scatter 142 slits of 0.0625°. The fitted peak width for collected data was about 0.01° 20. Quantitative 143 mineralogical analyses and determination of the content of amorphous material with the external-144 standard method (the K-factor method after O'Connor and Raven (1988)) were performed using 145 146 PANalytical's HighScore Plus version 4.5 software (Degen et al., 2014). Pure crystalline Al₂O₃ (NIST SRM 676a) was chosen as an external standard and was analyzed under the same 147 instrumental conditions as the samples. 148

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X-ray fluorescence 150

Bulk analysis of granite was performed by SGS Mineral Services Canada Inc. according to their 151 laboratory procedures GO XRF76V (borate fusion, reported detection limit of 0.01 wt%) and GO 152 XRF77B (pyrosulfate fusion, reported detection limit of 0.01 wt%), followed by X-ray fluorescence 153 (XRF) analysis. The sample was pulverized and then sieved (mesh number = 200, sieve size = 74154 μm) prior to analysis. The sample aliquot designated for XRF analysis was 5 g. 155

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157 *Optical microscopy and environmental scanning electron microscopy*

Polished thin sections (~30 µm thick) of samples Motta 5, Motta 6, and Motta 7 from Mt. 158 Mottarone were investigated with a petrographic microscope in plane-polarized and crossed-159 polarized light. Thin sections of samples Motta 5 and Motta 7 were then studied by environmental 160 scanning electron microscopy (ESEM) using an FEI Quanta-600 in the Singh Center for 161 Nanotechnology at the University of Pennsylvania. Secondary electron (SE) and backscattered 162 electron (BSE) images were collected, and energy-dispersive spectroscopy (EDS) was used to 163 164 determine the qualitative chemical composition of the minerals in the fulgurite layer (with a beam spot diameter of 2 μ m). The images were collected in low vacuum (about 10⁻⁴ Torr) at an 165 accelerating voltage of 15 kV. 166

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168 Electron probe microanalysis (EPMA)

The quantitative chemical compositions of minerals in the granite substrate and in the fulgurite glass, as well as of the glass itself, were estimated on three selected thin sections (Motta 5, Motta 6, and Motta 7). Analyses were performed with a Cameca SX 100 electron microprobe equipped with five wavelength-dispersive spectrometers and one energy-dispersive spectrometer in the Department of Earth, Environmental and Planetary Sciences at Brown University.

The following operating conditions were applied to analyze the fulgurite glass composition: an 174 accelerating voltage of 15 kV, a sample current of 10 nA, 30 s on peak, 15 s on backgrounds on 175 either side of the peaks, and a wide beam diameter of 5 µm. The following standards were used: 176 orthoclase (K), albite (Na), Rockport favalite (Fe), synthetic pantelleritic glass (Si, Al), diopside 177 glass (Ca), synthetic olivine (Mg), rutile (Ti), Ni metal (Ni), rhodonite (Mn), barite (Ba), synthetic 178 berlinite (P), pyrite (S), and richterite (F). The raw data were corrected using the PAP procedure 179 (Pouchou and Pichoir, 1991). Analyses of more than sixty points on fulgurite glass were made to 180 produce a statistically significant average along the layer. 181

EPMA was also performed on plagioclase and alkali feldspar crystals in the granite. The operating conditions for minerals in the bulk rock were identical to those for the glass (see above). The following standards were used: orthoclase (K, Si), anorthite (Ca, Al), albite (Na), rutile (Ti), Rockport fayalite (Fe), synthetic olivine (Mg), and barite (Ba). Analyses of more than nine points on each crystal showed sample homogeneity.

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188 Total organic carbon and nitrogen Elemental Analyzer

A COSTECH-4010 micro Elemental Analyzer (EA) combined with a gas chromatographic technique was used to determine total organic carbon and nitrogen in the rock fulgurite powder. Each sample was placed in a tared tin capsule (diameter = 5 mm, height = 9 mm) and weighed using a microbalance. All samples were dried at 80 °C prior to analysis.

The combustion temperature was set to 1700 °C, the oxygen flow rate was 100 mL/min and atropine (N = 4.84 wt% and C = 70.56 wt%) was used as an organic analytical standard. Five samples of atropine, varying in weight from 0.3 mg to 2.3 mg, were used to construct the calibration curve. The EA software compared the C and N peaks of the sample to those of atropine after calibration.

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199 Raman Spectroscopy

A thin section of sample Motta 7 was selected for Raman spectroscopic analysis. All spectra 200 201 were collected at the Singh Center at the University of Pennsylvania using a ND-MDT Nano-Raman NTEGRA Spectra equipped with a conventional Olympus IX71/IX81 optical microscope, 202 203 with a 100X objective to focus the laser spot. The maximum power of the laser was 150 mW. All measurements were made at 25 °C. Spectra were collected in inverted mode with an Andor CCD 204 (charged-coupled device) detector. Sample areas as small as 1 μ m² were analyzed with an 205 integration time of 5 s. Three acquisitions per spot were stacked to improve the signal-to-noise 206 207 ratio. The excitation source was the 514.5 nm green light line of an Ar⁺ laser. The spectrometer was

fitted with a holographic notch filter (532 nm) and grating (600 g/mm), and was controlled using the software NOVA30.

210

211 **RESULTS**

212 *Characterization of the granite*

The mineralogical composition of the bulk granite was analyzed via XRPD and a representative XRD pattern is shown in Fig. 2. The granite consists of quartz, plagioclase, K-feldspar, and micas, mostly biotite (Table 1). The chemical composition of a fresh rock sample, collected at the fulgurite site and characteristic of the rocks exposed at the outcrop, shows that it is a typical granite (Table 2). The studied rock samples represent the dominant granite type of the Baveno massif, the socalled "Pink Baveno". Most analyzed trace elements, including Ba, were not detectable.

Chloritized biotite phenocrysts are observed via optical microscopy and, in hand specimen, appear dark green in color with a dull luster. XRPD diagrams for some of the samples (Motta 3 and Motta 4) showed a d(001) peak near 14 Å, consistent with chlorite (Table 1).

Under crossed polarizers, albite lamellar twinning is visible in most plagioclase crystals. The 222 223 crystals have undergone intense secondary alteration. The average chemical composition of 224 plagioclase is Ab_{97.55}An_{1.02}Or_{1.43} that of alkali feldspar is Ab_{4.70}An_{0.00}Or_{95.30}, *i.e.*, K-feldspar 225 (orthoclase, see Table 3). K-feldspar typically occurs as lightly pink euhedral crystals ranging in size from 1 to more than 3 cm and thus, is easily visible in hand specimens. An advanced stage of 226 227 myrmekite replacement of K-feldspar crystals was observed in the optical microscope. Quartz crystals are unaltered and in some cases contain fluid inclusions. The quartz grains underlying the 228 fulgurite layer are finely cracked (Figs. 3, 4a,c,f). 229

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231 *Characterization of the fulgurite*

Optical microscopy showed that the rock fulgurite from Mottarone coats the pink granite as a brown-black, glassy to very fine-grained layer (Figs. 3, 4a,b). The fulgurite layer contains tiny,

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optically unidentifiable crystals as well as small opaque spherical objects (Fig. 4b). In BSE images, the latter appear as spheres (average diameter about 1 μ m) with a very bright gray-scale contrast (Fig. 4c,d). The ESEM-EDS data showed that these spheres were FeO- and SiO₂-rich, but unfortunately, they were too small for EPMA.

ESEM investigations revealed the ubiquitous presence of voids in the fulgurite layer (Figs. 4c, e,f). Values of the porosity of the fulgurite layer, obtained from ESEM micrographs using *ImageJ* (Schneider et al., 2012), are 7 area% in Figure 4e and 5 area% in Figure 4f. The average pore dimension is 0.9 μm in Figure 4e and 1.3 μm in Figure 4f.

In BSE images, the fulgurite layer in Motta 5 (Fig. 4c,f) and Motta 7 (Fig. 4d) further exhibited bright spots ($\leq 2 \ \mu m$ in size) inside some of the voids. These grains were determined to be barite (BaSO₄) by ESEM-EDS, but unfortunately they were too small for EPMA.

BSE images of samples Motta 5 and Motta 7 revealed the presence in the fulgurite layer of rounded grains with a higher contrast than the surrounding matrix (Fig. 4c,d,e,f). The shape of these grains, in combination with SEM-EDS and EPMA data (not shown), indicates that these phases represent partially melted relics of quartz, K-feldspar (orthoclase), and plagioclase (albite). Tiny (\leq 2 µm) crystals of ilmenite, rutile, and epidote were also observed in the fulgurite glass of Motta 5, and a crystal of magnetite was detected in the Motta 7 fulgurite; none of these crystals were large enough for EPMA.

252 XRPD analysis allowed for determination of the mineralogical composition of the fulgurite layer 253 in the studied samples. The data revealed the presence of an amorphous phase (66.6 - 98.1 wt%, 254 Table 4) and quartz (probably a residual phase) in all six fulgurite samples, as well as cristobalite in 255 five samples (Fig. 5, Table 4). The XRPD data further showed that albite is present in three of the 256 fulgurite samples (Motta 5, Motta 6, Motta 7; Fig. 5d,e,f). These results confirm that the rounded 257 grains observed in the glass matrix via ESEM (see above and Fig. 4c,d,e,f) most likely represent 258 residual, partially melted crystals of the granite substrate. The broad d(001) peak at about 14 Å

observed via XRPD in fulgurite sample Motta 5 (Fig. 5d) is consistent with an expanded clay
mineral such as vermiculite.

The amorphous phase, studied and quantified via XRPD, is composed mainly of SiO₂ and Al₂O₃ 261 in the analyzed areas (Table 5). The SiO₂ and Al₂O₃ contents of this fulgurite glass, however, are 262 considerably lower than those in the granite substrate (see Table 2). Even more depleted relative to 263 the original granite are the Na₂O and K₂O contents of the glass (cf. Tables 2 and 5). The 264 compositional analyses of the fulgurite layer showed very low totals (Table 5). Low totals are a 265 typical feature of fulgurite analyses and result from microporosity as well as the presence of water, 266 267 gases, and carbon within the analysis volume of the EPMA (Grapes and Müller-Sigmund, 2009; Gieré et al., 2015). 268

ESEM investigations showed that micas, although present in the original granite (Table 1), do not occur in the fulgurite glass layer. A biotite crystal, detected with the optical microscope at the boundary between the granite substrate and the fulgurite, was studied using ESEM, and the SE images show that the top layers of the biotite were melted (Fig. 6).

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274 Organic matter in fulgurite layer

The data for the total organic matter content in the fulgurite layer (Table 6) demonstrate high quantities of both carbon (up to 7 wt%, expressed as CO_x) and nitrogen (up to 0.87 wt%, expressed as NO_x) species. These values explain, at least partly, the low totals obtained by EPMA for the fulgurite glass (see above and Table 5). The NO_x and CO_x contents display a strong positive correlation (Fig. 7).

Micro-Raman measurements in the range 300 to 2700 cm⁻¹ were performed along the Motta 7 fulgurite layer, a specimen bearing representative NO_x and CO_x contents (Table 6). The Raman spectra, collected in four different areas of this specimen, revealed both sharp and weak bands, suggesting that some of the carbon present in the glass matrix is composed of polyaromatic hydrocarbon molecules (Fig. 8).

Alkene C=C conjugated with -N exhibits a strong absorption in the region 1680-1630 cm⁻¹ 285 (Socrates, 2001), and thus the peak at 1633 cm⁻¹ in Fig. 8a and 8d can be ascribed to the tri-286 substituted alkene functional group >C=C-N<. The conjugated cyclic system containing C=N has, 287 due to the stretching vibration, a band of variable intensity in the region 1660-1480 cm⁻¹ (Socrates, 288 2001). According to Socrates (2001), the peak at 1470 cm⁻¹, seen in Fig. 8a, can be ascribed to the 289 oxime group >C=N-, whereas the peaks at 853 cm⁻¹ (Fig. 8a) and 836 cm⁻¹ (Fig. 8b) can be 290 ascribed to monomer SiO₄ units (Carter et al., 2010a). The peak at 540 cm⁻¹ can be ascribed to 291 alkene C=C conjugated to an aromatic group and to cyclic alkenes (Socrates, 2001), whereas the 292 peak at 780 cm⁻¹ (Fig. 8b) can be interpreted to be derived from the scattering of cristobalite 293 microlites (Arias et al., 2006). The peak at 1402 cm⁻¹ (Fig. 8c) can be attributed to the hydrocarbon 294 functional group of vinylidene, $>C=CH_2$ (Socrates, 2001). The peak at 1622 cm⁻¹ (Fig. 8c), like that 295 at 540 cm⁻¹ (Fig. 8b), can be ascribed to alkene C=C conjugated to an aromatic group and to cyclic 296 alkenes (Alaital et al., 2010; Socrates, 2001), and the peak at 1357 cm⁻¹ (Fig. 8d) can be ascribed to 297 C-C stretching (Alajtal et al., 2010). The broad peaks at 2030 and 2300 cm⁻¹ in all spectra (Fig. 8) 298 are consistent with water molecules in the glass matrix (Carabatos-Nedelec, 2001). 299

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301 *Idealized physical models of thermal conduction during and after the lightning strike*

An idealized physical model was developed to simulate the effects of Joule heating during and after the lightning strike on a granite and to estimate the area of burnt organic matter. The granite is heated not only from the heat diffused from the lightning channel where the peak temperature is estimated to be in the range $1-3 \times 10^4$ K (Uman, 1969) to 10^5 K (Uman, 1964) using gas plasma models, but also from the electrical current passing through the rock when lightning strikes. The thermal diffusivity κ of granite is 0.913 mm²/s (Eppelbaum et al., 2014), and the lightning strike lasts typically for $\Delta t \sim 50$ µs (Uman and Krider, 1989b). The length scale of the region affected by thermal diffusion after the lightning strike is $\sqrt{\kappa\Delta t} \approx 7 \,\mu\text{m}$, much smaller than the region affected by Joule heating, as shown below.

The top surface of the rock is weathered, and, due to moisture in the weathered layer, the surface 311 can have a value of electrical conductivity σ that is 10–50 times larger than the value of the 312 electrical conductivity σ_0 (~10⁻³ S/m) of the underlying rock (Olona et al., 2010). However, given 313 the fact that the weathered layer has a thickness < 1 mm, which is negligible compared to the 314 thickness of the rock, which is at least several tens of centimeters, the electrical and temperature 315 fields do not deviate significantly from those for homogeneous granite. The penetration of lightning 316 in rock fulgurites is also limited to the top surface of the rock, in stark contrast with the long tubular 317 structures observed in sand fulgurites. Thus, a simple cylindrical diffusion model (Carter et al., 318 2010b; Pasek et al., 2012) is not applicable for modeling of rock fulgurites. 319

The granite is assumed to be an axisymmetric semi-infinite half space, with the *z* axis pointing from the surface toward the interior of the rock, and \vec{r} is the position vector of any point from the hit point. When the lightning is treated as a point source of current of intensity *I* hitting the rock vertically, the temperature $T(\vec{r}, t)$ caused by the electrical field $\vec{E}(\vec{r}, t)$ can be modeled as

$$\frac{\partial T}{\partial t} = \kappa \nabla^2 T + \frac{\sigma E^2}{\rho C}$$

where the density ρ is ~ 2800 kg/m³ (Frederikse, 2004), and the specific heat *C* is ~790 J/(kg K) (Eppelbaum et al., 2014). The electrical field is

$$E = \frac{I}{2\pi\sigma_0 r^2}$$

where *r* is the distance from the lightning hit point. Dimensional analysis suggests that when $I \gg \kappa \sqrt{\rho C \Delta t \sigma_0 \Delta T}$, thermal diffusion can be ignored during the lightning strike. For a typical lightning strike with $I \sim 10$ kA (Uman and Krider, 1989b), the surface temperature rise after the lightning can be estimated as

$$T \approx \frac{\sigma_0 E^2 \Delta t}{\rho C} + T_{ab}$$

where T_a is ambient temperature (25°C). Figure 9 shows how the instantaneous radial component of the electrical field (blue curve) and the temperature (red curve) vary with distance from the hit point. The temperature rise on the granite surface was indicated by the burning of organic materials there. Considering the surface ignition temperature of woody materials to be around 300 °C (White and Dietenberger, 2001), labeled by the black horizontal dashed line in Figure 9, the area of the burnt organic matter can be estimated. The figure suggests that the area of burnt organic matter extends to a radius of about 13 cm, consistent with field observations.

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338 DISCUSSION

The existence of fulgurites provides direct evidence that the chemical and mineralogical composition of the target rock is altered due to the heat generated by lightning discharges. Fulgurite formation is a highly energetic process with an energy density of the same order of magnitude as impact events, especially micrometeorite impacts (Bouška and Feldman, 1994; French and Koeberl, 2010; Kenkmann et al., 2014). Both processes cause a rapid rise in temperature followed by rapid isentropic cooling.

The presence of the glass on the surface of the pink Baveno granite on Mt. Mottarone, as evidenced by field observations (Fig. 1), optical microscopy (Fig. 4a,b), ESEM (Fig. 4c,d,e,f), and XRD (Fig. 5), indicates that the abrupt heating of the rock surface yielded temperatures that were high enough to produce a thin melt layer on the surface, which then cooled adiabatically. The morphology of quartz, plagioclase, and K-feldspar crystals observed within the fulgurite by ESEM (Fig. 4c,d,e,f) was rounded, suggesting partial melting and subsequent rapid cooling.

Rakov (1999) observed that the peak temperature in a rock struck by lightning depends on the target rock composition and the presence or absence of water. The melting temperature of dry granite at ambient pressure is 1215–1260 °C, but it is strongly reduced in the presence of water,

354 down to 950 °C (Holland and Powell, 2001). However, the presence of cristobalite detected via XRD (Fig. 5) would indicate melting temperatures above ~1700 °C and pressures near atmospheric, 355 if thermodynamic equilibrium were obtained. Such high temperatures were indeed achieved near 356 the lightning strike point in the studied granite, as indicated by temperature modeling (Fig. 9). 357 Considering the chemical analyses reported in Table 5 and according to Frost et al. (2001), the 358 lightning strike on the Baveno-Mottarone granite produced a fulgurite glass of peraluminous 359 granitic composition, with an average ASI of 1.5(2). The low concentrations of Na₂O, CaO, and 360 K₂O in the glass suggest that alkali and alkaline earth elements were liberated from feldspars during 361 362 heating. The quantity of P₂O₅ detected in the fulgurite glass using EPMA (Table 5) was observed to be higher than in the substrate (Table 2). The relatively high amounts of P_2O_5 in fulgurite glass 363 point to the possible presence of organic matter on the granite surface at the time of the lightning 364 strike, consistent with the high CO_x and NO_x contents. Relatively high phosphorus content was also 365 found in rock fulgurites from other localities (Grapes and Müller-Sigmund, 2009; Pasek and Block, 366 367 2009; Pasek et al., 2012; Gieré et al., 2015).

Natural glasses are formed from a liquid state by supercooling, or as condensate from a rapidly 368 cooled sublimate (Bouška and Feldman, 1994). The quantity of organic matter in the glass (Table 6) 369 370 suggests that rapid quenching of the melt trapped NO_x , and CO_x gases produced during heating. The 371 strong positive correlation between NO_x and CO_x in the studied rock fulgurite (Fig. 7) supports the hypothesis that these species might be derived from organic matter (e.g., lichen, leaves, pollen, 372 373 roots), present on the rock surface before the lightning strike, which was burnt during the strike. The N/C ratios (Table 6) are close to those observed by Adamo et al. (2008) for burnt lichens, 374 suggesting that much of the carbon and nitrogen present in the fulgurite is related to 375 microorganisms on the pink granite before the lightning strike. Moreover, field observations 376 revealed the presence of lichen and algal biofilms on the pink granite in close proximity to the site 377 378 where the fulgurite samples have been recovered (Fig. 1b). The rounded cavities in the fulgurite 379 glass (Fig. 4c,e,f) can be related to gases (e.g., H_2O vapor, NO_x , CO_x) escaping during the lightning

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380 strike. The Raman spectra, collected in four different areas of Motta 7, revealed both sharp and weak bands, suggesting that much of the carbon present in the matrix is composed of polyaromatic 381 hydrocarbon molecules (Fig. 8), which are often considered as products of incomplete combustion 382 (e.g., Garra et al., 2015). The presence of alkene and oxime functional groups trapped in the 383 fulgurite glass indicates extremely reducing conditions, which may reflect the conditions during the 384 quenching of the melt. In oxidizing environments water vapor and carbon dioxide are produced 385 from burnt organic matter at about 300 °C (White and Dietenberger, 2001). During ignition tests, 386 White and Dietenberger (2001) observed that flammable volatiles, water vapor, and other non-387 388 combustible gases (*i.e.*, CO₂, formic acid, and acetic acid) are produced vigorously at 300°C and that all components of organic matter end their volatile emissions at around 450°C. According to 389 the results obtained in this study with Elemental Analyzer and by Raman spectroscopy, organic 390 matter could be pyrolysed at ~300-350 °C and then trapped in the fulgurite glass. Further 391 investigations on these organic reduced phases should be carried out in future experiments in order 392 393 to better clarify the formation of these molecules.

The idealized physical model reveals that while the lightning strike only lasts about 50 µs, the 394 high electrical current, up to 10 kA, easily generates enough heat to burn the surficial organic 395 396 matter. The modeling showed that with a thin, highly-conductive weathered layer on the surface, 397 the effect of Joule heating is strong near the surface and close to the lightning hit point. The effects of the shock wave, which results from the sudden expansion of heated air around the lightning 398 399 channel, were neglected. The model treats the lightning as a point source, yielding an electrical field strength in the vicinity of the lightning strike of more than 10^{11} V/m. This value exceeds the 400 breakdown electrical field strength for the burnt organic material (O'Dwyer, 1969) on the surface, 401 and the resulting temperature is $> 10^5$ °C, if all the thermal and electrical properties of the rock 402 remained constant during the process. In fact, the electrical conductivity can be affected by multiple 403 404 factors, including decreased moisture content during the heating, and increased electron activity due 405 to partial melting (Olhoeft, 1981). These effects were mostly confined to the very thin surface layer

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near the strike point, and the strong decay of the electrical $(E \propto r^{-2})$ and temperature $(T \propto r^{-4})$ fields with distance ensures changes in the properties of the underlying rock will be very small. Even with constant nominal values of thermodynamic and materials parameters, the idealized model predicts a size of the region of burnt organic matter that is consistent with observations on the collected samples and in the field. In the model, the temperature can theoretically reach infinity at the strike point, but the lightning channel has a finite width of ~1 cm and the maximum temperature is consistent with the upper limit of 10^5 K for the lightning channel (Uman, 1964).

The presence of barite aggregates in the fulgurite glass observed via ESEM (Fig. 4c,f) suggests the possibility of post-fusion hydration and weathering by meteoric water. Newly formed barite may be considered as evidence for sulfur compounds in rainwater. Vermiculite observed in Motta 5 via XRD (Fig. 5d) was probably formed through alteration of the fulgurite glass layer. Further investigations are required to assess the relevance of lichen weathering for the bio-degradation of rock fulgurite and accelerated formation of clay minerals.

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420 IMPLICATIONS

Lightning strikes reach the ground on Earth as many as 8 million times per day or 100 times per 421 second, according to the U.S. National Severe Storms Laboratory (US-NSSL, 2017). About 90% of 422 these lightning flashes occur over continental landmasses as opposed to the open ocean (Lay et al., 423 2007). Considering that the frequency of lightning across the globe is 1.2×10^9 flashes per year 424 (Pasek and Block, 2009), the fraction of cloud-to-ground lightning that produces a fulgurite is 0.234 425 (Pasek and Block, 2009), the rock fulgurite mass formed per strike ranges from a few 100 g to about 426 427 30 kg (Pasek and Block, 2009), the total mass of rock fulgurites formed worldwide is estimated to be 28–8400 Mt/yr, which corresponds to an area of at least 1180 km²/yr, assuming a density of 2.37 428 g/cm³ (rhyolite glass, Hughes, 1982) and a thickness of rock fulgurite of 10 μ m. Thus, lightning 429 affects a significant portion of the rocks exposed at the Earth's surface. Since glass is geologically 430

431 susceptible to alteration (Fisher and Schmincke, 1984; Cockell et al., 2009), the predicted large
432 areas covered by rock fulgurites produced every year may potentially weather more rapidly than the
433 non-affected rocks.

Fulgurites provide important information on the thermodynamic and mechanical effects of 434 lightning on rocks. Tectonics and erosion, volcanic eruptions, flooding, weathering, glaciation, and 435 meteorite impacts are among the processes that constantly reshape the Earth's surface over 436 geological time. The formation of fulgurites, and the accompanying mineralogical and chemical 437 compositional changes, may record information (e.g., trapped atmospheric gas in bubbles) about the 438 439 environment in which they were formed. The characteristics of a given fulgurite are extremely dependent on the particulars of each lightning strike as well as the composition of the target 440 materials. Rock fulgurites preserve a record of the direct effects of a lightning flash, and therefore 441 can be used to study the fine structure and physics of lightning processes. While quantitative 442 chemical data have been acquired from rock fulgurites (e.g., Essene and Fisher, 1986; Clochiatti, 443 1990; Grapes, 2010; Grapes and Müller-Sigmund, 2009), comparatively less information is 444 available regarding their physical conditions of formation. 445

The study of rock fulgurites from Mottarone-Baveno, probed on the basis of mineralogical and 446 447 compositional signatures, provides mineralogical, chemical, and physical insights into natural lightning processes (e.g., disequilibrium melting, mixing, crystallization). The multi-method 448 approach employed in this study supplies basic knowledge of fulgurite glass formation (minimum 449 450 temperatures of formation, chemical variations between the host rock and glass, organic components, volatilization, porosity, and weathering) via detailed evaluation of the mineralogy, 451 textures, and the chemical composition of both the glass and whole-rock. The XRD results constrain 452 the minimum temperature of rock fulgurite glass formation from the mineralogical signature left by 453 lightning when it impacted the rock. Raman spectroscopy and elemental analyzer permitted 454 determination of the CO_x and NO_x contents, which explains the origin of the black color, a common 455 feature of rock fulgurites. The model results allowed for estimation of the size of the region of burnt 456

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457 organic matter, of radius ~13 cm, consistent with observations. The ESEM images reveal that voids 458 are mostly related to the degassing of burnt organic matter during the lightning event. Thus, the 459 present study contributes considerably to the evolving data-set on rock fulgurite features and 460 constrains the conditions of their formation.

The experimental approach taken in this paper can be applied not only to the study of fulgurite 461 glasses, but may also provide insights into other high-temperature processes in geologic systems 462 (e.g., paralava formation, tektites, etc.) and dynamic rock transformation associated with ultrafast 463 events (e.g., meteor impacts). Moreover, technical applications related to lightning effects on 464 465 materials may potentially benefit from the results reported in this paper. The interactions of minerals and other materials with lightning are essential for mitigating thermal damage from 466 lightning strikes, the design of better lightning protection systems, and understanding the nature of 467 the lightning environment near and at the point of a direct strike. 468

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632

633 Figure Captions

- Fig. 1 The samples studies were collected near the top of Mt. Mottarone, Italy from a well-exposed,
 N–S trending ridge, which forms steep cliffs (a). The rock fulgurite from Mt. Mottarone
 appears as a dark brown-black layer on the surface of the granite, known as pink Baveno
 granite. Hammer for scale. Circles show the presence of lichen and algal biofilms on the
 pink granite in close vicinity of the site where the fulgurite samples have been recovered (b).
- Fig. 2 X-ray diffraction pattern of the bulk granite (sample Motta 6). Observed spectra (red line),
 fitted spectra (blue solid line), and Bragg peak positions (tick marks above difference plot)
 are shown. Qtz = quartz; Plg = plagioclase; Ksp = K-feldspar; Bio = biotite.
- Fig. 3 Plane-polarized light image of a finely cracked quartz crystal located immediately below the
 fulgurite layer, which appears as dark rim.
- Fig. 4 Microscopic images of the rock fulgurite from Mt. Mottarone, Italy. (a) Cross-polarized light 644 image of brown-black glassy fulgurite layer coating Baveno granite. (b) Plane-polarized 645 646 light image of a glassy fulgurite layer coating potassium feldspar and containing opaque spheres (FeO-SiO₂-rich). BSE images of (c) fulgurite layer of Motta 5 containing FeO-SiO₂-647 648 rich spheres, which appear as small, round objects with a very bright contrast; (d) fulgurite 649 glass of Motta 7; e) distinctly porous fulgurite layer in contact with a potassium feldspar crystal in Motta 5; and (f) porous fulgurite layer in contact with cracked quartz crystal, 650 which forms the substrate in Motta 5. The tiny bright spots in the fulgurite were determined 651 to be barite by ESEM-EDS. A flow-banding texture is observed in the upper part of 652 fulgurite layer. Pores sizes are highly variables in the fulgurite glass: micro-vesicles are 653 mostly distributed along the top of the fulgurite, whereas larger pores are observed at the 654 boundary of the substrate. Qtz = quartz; Plg =plagioclase; Ksp = K-feldspar; Bio = biotite; 655 Brt = barite. 656

Fig. 5 X-ray diffraction patterns of the studied rock fulgurite. Rietveld method with K-factor
approach profile fitting of fulgurite samples (a) Motta 2, (b) Motta 3, (c) Motta 4, (d) Motta

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- 5, (e) Motta 6, (f) Motta 7. Observed spectra (red line), fitted spectra (blue solid line), and
 Bragg peak positions (tick marks above difference plot) are shown. Ver = vermiculite; Plg =
 plagioclase; Qtz = quartz; Cris = cristobalite.
- Fig. 6 Secondary electron images of a partially melted biotite crystal. The crystal was detected by
 optical microscopy at the boundary between the granite substrate and the fulgurite layer. (a)
 overview of partially melted crystal surface; (b) Detail of melted top layers of biotite.
- Fig. 7 Correlation between quantity of carbon (up to 7 wt%, expressed as CO_x) and nitrogen (up to
 0.87 wt%, expressed as NO_x) species in the fulgurite glass of various samples from Mt.
 Mottarone. Data from Table 7.
- Fig. 8 Micro-Raman spectra of polyaromatic hydrocarbon molecules, monomer SiO₄ units, and 668 cristobalite microlites in the fulgurite layer of sample Motta 7. The Raman spectra were 669 collected in four different areas of the layer, at the same experimental conditions. (a) cis-670 isomer of vinylene hydrocarbon compounds *cis*-CH=CH- (853 cm⁻¹), oxime group >C=N-671 (1470 cm⁻¹), and alkene C=C conjugated with -N (1633 cm⁻¹). (b) alkene C=C conjugated to 672 an aromatic group (540 cm⁻¹), scattering of cristobalite microlites (780 cm⁻¹), and monomer 673 SiO₄ units (836 cm⁻¹); (c) vinylidenes hydrocarbon functional group >C=CH₂ (1402 cm⁻¹) 674 and alkene C=C conjugated to an aromatic group and to cyclic alkenes (1622 cm⁻¹); (d) C-C 675 stretching (1357 cm⁻¹) and alkene C=C conjugated with -N (1633 cm⁻¹). The broad peaks at 676 2030 and 2300 cm⁻¹ in all spectra are consistent with water molecules in the glass matrix. 677

Fig. 9 Modeling results showing the radial distributions of the electrical field (blue curve) and the temperature (red curve). The maximum temperature is truncated to remove the singularities at r = 0. The dashed horizontal line shows the temperature at which the organic matter starts to burn (300 °C). The effect of Joule heating is shown to be strongest near the lightning hit point (at r = 0), and the burnt region extends to about 13 cm.

Weight %	Motta 2	Motta 3	Motta 4	Motta 5	Motta 6	Motta 7
Quartz	72.3(3)	71.2(5)	61.4(2)	55.9(3)	67.9(2)	63.1(2)
Plagioclase	15.6(5)	10.7(6)	23.1(7)	18.1(1)	13.7(4)	29.4(7)
K-feldspar	8.7(3)	13.8(3)	2.78(2)	21.1(2)	13.3(2)	0.6(5)
Biotite	3.49(3)	3.6(2)	11.2(4)	4.8(8)	5.1(2)	6.9(3)
Chlorite		0.7(3)*	1.5(4)*			

Table 1 Mineralogical composition of the bulk-rock granite samples from Baveno, Italy. Concentrations in wt%, as determined by Rietveld analysis.

Standard deviation in parenthesis

* At or near the detection limit (1 wt%).

	Concentration
SiO ₂	77.4
Al ₂ O ₃	12.7
Fe_2O_3 (tot)	1.15
MgO	0.12
CaO	0.12
K ₂ O	4.85
Na ₂ O	3.25
TiO ₂	0.05
MnO	0.02
P ₂ O ₅	< 0.01
Cr ₂ O ₃	0.02
V ₂ O ₅	< 0.01
BaO	< 0.01
ZrO	< 0.01
Cu	< 0.01
Zn	< 0.01
S	< 0.01
LOI (loss on ignition)	1.15
Σ	100.9

Table 2 Chemical composition (wt%) of the granite from the fulgurite site at Mt. Mottarone, Italy determined using X-ray fluorescence (XRF).

Detection limit 0.01 wt%

	Plagioclase	Orthoclase		Plagioclase	Orthoclase
	wt	%		apfu (based on 5 cations)	
n	6	6			
SiO ₂	68.15(0.2)	64.53(0.2)	Si	2.946	2.989
TiO ₂	< 0.01	< 0.01	Ti	-	-
Al_2O_3	19.83(0.2)	18.45(0.2)	Al	1.010	1.007
FeO(tot)	0.06(0.01)	0.03(0.01)	Fe ²⁺	0.002	0.001
MgO	< 0.01	< 0.01	Mg	-	-
CaO	0.23(0.1)	< 0.01	Ca	0.011	-
Na ₂ O	12.11(0.2)	0.53(0.4)	Na	1.015	0.047
K ₂ O	0.27(0.2)	16.17(0.4)	К	0.015	0.956
BaO	< 0.01	< 0.01	Ba	-	-
Σ	100.67	99.71			
				End-member pro	portions (mol%)
			An	1.02	0
			Ab	97.55	4.70
			Or	1.43	95.30

Table 3 Chemical composition (EPMA data) of plagioclase and orthoclase in the granite from the fulgurite site on Mt. Mottarone.

Standard deviation in parenthesis. n = number of data points.

K-Factor Corrected Weight %	Motta 2	Motta 3	Motta 4	Motta 5	Motta 6	Motta 7
Quartz	2.0 (3)	1.4(2)	14.1(1)	16.2(3)	11.0(1)	7.6(2)
Cristobalite		0.5(4)*	5.0(2)	1.1(2)	1.0(2)	0.2(1)*
Vermiculite				0.4(7)*		
Albite				15.8(2)	13.0(2)	14.0(1)
Amorphous	98.0(4)	98.1(2)	81.0(3)	66.6(3)	76.1(3)	78.0(1)

Table 4 Crystalline and amorphous components (wt%, as determined by Rietveld analysis) of fulgurite samples. With the exception of values <1 wt%, all values have been rounded to the nearest unit.

Standard deviation in parenthesis.

* At or near the detection limit.

	Motta 5	Motta 6	Motta 7
n	8	10	10
Na ₂ O	0.04(0.1)	0.04(0.1)	0.07(0.1)
SiO ₂	71.97(1)	75.79(1)	73.93(1)
MgO	0.20(0.2)	0.26(0.02)	0.25(0.2)
Al ₂ O ₃	7.79(1)	7.54(0.1)	7.76(1)
P ₂ O ₅	0.19(0.02)	0.50(0.2)	0.07(0.1)
SO ₃	0.22(0.2)	0.43(0.1)	0.44(0.3)
K ₂ O	0.12(0.1)	0.21(0.1)	0.28(0.3)
CaO	0.82(1)	0.83(0.1)	0.75(1)
TiO ₂	0.03(0.01)	0.05(0.01)	0.04(0.1)
MnO	0.02(0.01)	0.05(0.1)	0.10(0.1)
FeO(tot)	0.22(0.2)	0.51(0.2)	0.41(1)
NiO	< 0.01	0.02(0.01)	0.02(0.01)
BaO	0.04(0.1)	0.03(0.1)	0.03(0.01)
F	0.02(0.01)	0.01(0.01)	0.84(1)
Σ	81.66	86.25	87.27

Table 5 Chemical composition of fulgurite glass (EPMA data, in wt%) on selected samples.

Standard deviation in parenthesis.

n = number of data points.

Fable 6 Total organic matter analyses (wt%) of fulgurite layer.
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Oxide	Motta 2	Motta 3	Motta 4	Motta 5	Motta 6	Motta 7
NO _x	0.42	0.35	0.57	0.87	0.45	0.75
CO _x	2.27	2.13	4.47	6.99	2.60	4.49
N/C	1/5	1/6	1/8	1/8	1/5	1/5

uncertainties are approximately $\pm 0.02 \text{ wt\%}$

















Position [°20] (Cobalt (Co))







