

1 **Revision 2**

2
3 **An Article to American Mineralogist**

4
5 **Dehydration studies of natrolites with monovalent extra framework**
6 **cations**

7
8 Yongmoon Lee¹, Docheon Ahn², Thomas Vogt³ and Yongjae Lee^{1,4,*}

9
10 ¹ *Center for High Pressure Science and Technology Advanced Research, Shanghai 201203,*
11 *China*

12 ² *Beamline Research Division, Pohang Accelerator Laboratory, Pohang 790-784, South Korea*

13
14 ³ *Department of Chemistry and Biochemistry & NanoCenter, University of South Carolina,*
15 *Columbia, SC 29208, USA*

16 ⁴ *Department of Earth System Sciences, Yonsei University, Seoul 120-749, South Korea*
17

18
19 **Abstract**

20 Rietveld refinements of natrolite analogues ($M_{16}Al_{16}Si_{24}O_{80} \cdot nH_2O$, M-NAT, M = Li ,
21 Na, Ag, K, NH₄, Rb and Cs, $14.0(1) < n < 17.6(9)$) at temperatures between 75K and 675K using
22 synchrotron X-ray powder diffraction reveal the impact H₂O content and monovalent extra-
23 framework cations (EFC) contained in the channels have on dehydration and thermal expansion.
24 Dehydration temperatures are found to be inverse proportional to the size of the EFC.
25 Isostructural K-, Rb- and Cs-NAT with disordered EFC-H₂O distribution exhibit negative
26 thermal expansions before dehydration. The thermal expansion coefficients increase linearly
27 from K-, Rb- to Cs-NAT, the latter exhibits has the smallest thermal expansion coefficient of all
28 NAT analogues [$3.0(1) \times 10^{-6} K^{-1}$]. After dehydration, the EFC distribution of K-, Rb- and Cs-
29 NAT becomes ordered and their thermal expansion coefficients become positive. In the
30 isostructural Li-, Na- and Ag-NAT with ordered EFC-H₂O distribution, the thermal expansion

31 coefficients are positive for the Li- and Ag-NAT and negative for Na-NAT. After dehydration,
32 this behavior is reversed, and Li- and Ag-NAT show negative thermal expansion coefficients
33 whereas Na-NAT exhibits a positive thermal expansion. Upon dehydration, the channels in Li-
34 and Ag-NAT reorient: the rotation angles of the fibrous chain units, ψ , change from $26.4(2)^\circ$ to -
35 $29.6(2)^\circ$ in Li-NAT and from $22.3(2)^\circ$ to $-23.4(2)^\circ$ in Ag-NAT. The structure models of the
36 dehydrated Li- and Ag-NAT reveal that the change in the channel orientation is due to the
37 migration of the Li^+ and Ag^+ cations from the middle of the channel to the walls where they are
38 then coordinated by four framework oxygen atoms. Further heating of these dehydrated phases
39 results in structural collapse and amorphization. X-ray O1s K-edge absorption spectroscopy
40 reveals that the binding energy between the EFC and the oxygen of the framework (O_f) is larger
41 in Li- and Ag-NAT than in Cs-NAT due to an increase of the basicity of the framework oxygen.
42 The interaction between the H_2O molecules and EFCs allow a clear separation in structures with
43 disordered H_2O molecules in the center of the channels (K, NH_4^- , Rb-, and Cs-NAT) and those
44 in close proximity to the aluminosilicate framework (Li-, Na-, and Ag-NAT) which leads to
45 systematic dehydration and thermal expansion behaviors.

46

47 **Keywords:** Thermal expansion, Natrolite, Dehydration, Rietveld refinement, Oxygen K-edge X-
48 ray absorption spectroscopy

49

50

Introduction

51 Natrolite (Na-NAT, $\text{Na}_{16}\text{Al}_{16}\text{Si}_{24}\text{O}_{80} \cdot 16\text{H}_2\text{O}$) is one of the first zeolites reported in the
52 literature in the early 1800's (Klaproth, 1803). Temperature-driven structural changes have
53 been studied as early as 1890 by Rinne (Rinne, 1890), and dehydrated phases have been reported
54 by Baur & Joswig, Fang, Reeuwijk and Wang & Bish (Baur and Joswig, 1996; Fang, 1963;
55 Reeuwijk, 1972; Wang and Bish, 2008). Understanding the influence extra-framework cations
56 (EFC) have on the dehydration of natrolite phases has only recently been possible after a
57 synthesis route was found to substitute the original sodium cations by alkali (Li^+ , Rb^+ , Cs^+),
58 alkaline earth (Ca^{2+} , Sr^{2+} , Ba^{2+}), and selected heavy-metal (Cd^{2+} , Pb^{2+} , Ag^+) cations. This was
59 achieved at good yields by using the disordered phase of K-exchanged natrolite (Lee et al., 2013;
60 Lee et al., 2010; Lee et al., 2011b) as a starting reactant.

61

62 There are two families of NAT analogues with distinct arrangements of the EFC and H_2O
63 molecules in their pores: in Li-, Na- and Ag-NAT the EFC are located near the middle of the
64 pores and the H_2O are found closer to the aluminosilicate framework, while in K-, NH_4^- , Rb-,
65 Cs-NAT the H_2O molecules are disordered and found near the middle of the pores while the EFC
66 are located in closer proximity to the aluminosilicate framework. DFT calculations (Kremleva
67 et al., 2013) have shown that the H_2O -framework interactions are stronger in the Li- and Na-
68 NAT and different behaviors of these two families are also found at high pressures in the
69 presence of water (Seoung et al., 2013) and when non-pore penetrating pressure transmitting
70 fluids are used (Hwang et al., 2015).

71 In earlier work, we reported on the temperature-dependent structural changes of larger
72 alkali metal substituted NAT, i.e., K-, NH_4^- , Rb-, and Cs-NAT with disordered cation- H_2O
73 arrangements in their pores (Lee et al., 2011a; Lee et al., 2011c). We found that the
74 dehydration temperatures and the extent to which the framework collapses depend inversely on

75 the size of the extra-framework cation. Here we compare the thermal behavior of the two NAT
76 families as it relates to the location and bonding of the H₂O molecules and EFC in the pores.

77 **Experimental Method**

78 The preparation of Li-, Ag- and Cs-NAT was described in detail by Lee et al. (Lee et al.,
79 2010; Lee et al., 2011b). The characteristics of Li-, Ag-, Cs-NAT at high temperatures between
80 25 and 1100 K were measured by thermogravimetric analysis (TA instruments, TGA2050) at the
81 Korea Basic Science Institute - Seoul Center. A heating rate of 10K/min under nitrogen
82 atmosphere was used. *In-situ* high-temperature synchrotron X-ray powder diffraction
83 experiments were performed at the X14A beamline at the National Synchrotron Light Source
84 (NSLS-I) at Brookhaven National Laboratory (BNL). The primary white beam from the
85 bending magnet was directed towards a Si (111) crystal selecting monochromatic X-rays with a
86 wavelength of 0.7297(1) Å. Powdered samples were packed into 1.0 mm quartz capillaries,
87 which were connected to a vacuum to facilitate dehydration. The capillaries were then placed
88 inside a heating coil (Stahl and Hanson, 1994). From RT to 725 K, the temperature was
89 increased by 25 K or 50 K increments under a low vacuum. A heating rate of ca. 25 K/min was
90 used, and the temperature was then stabilized for about 10 min before measurement. A Si-strip
91 detector consisting of a monolithic array of 640 silicon diodes connected to a set of BNL
92 HERMES integrated circuits (D.P. Siddons, Private communications) was used to collect high-
93 resolution powder diffraction data ($\Delta d/d \sim 10^{-3}$). The Si-strip detector covered 3.2° in 2 θ and
94 was stepped in 2° intervals over the angular range of 3 – 30° with counting times of 10s per step.
95 The wavelength of the incident beam was determined using a LaB₆ standard (SRM 660a).

96 *In-situ* low-temperature experiments were performed at the 9B beamline at the Pohang
97 Light Source (PLS) at Pohang Accelerator Laboratory (PAL). The incident X-rays were
98 vertically collimated by a mirror and using a double-crystal Si (111) monochromator to select a
99 wavelength of 1.4639(1) Å. The detector arm of the vertical scan diffractometer is composed
100 of six sets of Soller slits, flat Ge (111) crystal analyzers, anti-scatter baffles, and scintillation

101 detectors, each set separated by 20 degrees. Specimens of ca. 0.2 g powder were measured as
102 flat plates. The samples were sealed using a beryllium cap and cooled from RT to ca. 75 K in
103 50 K decrements under a low vacuum. A cooling rate of ca. 25 K/min was used, and the
104 temperature was then stabilized for about 10 min before measurement. Step scans were
105 performed from 10° in 2θ with 0.005° increments allowing for 2° overlaps to the detector banks
106 up to 131° in 2θ. The wavelength of the incident beam was determined using a LaB₆ standard
107 (SRM 660b).

108

109 The structural models of the hydrated Li- and Ag-NAT (Li-NAT-hyd and Ag-NAT-hyd,
110 respectively) and their dehydrated forms at 625 K (Li-NAT-deh, Ag-NAT-deh) were obtained
111 using Rietveld refinement (Larson and VonDreele, 1986; Rietveld, 1969; Toby, 2001). For
112 comparison, structural models of Cs-NAT-hyd and Cs-NAT-deh were used from our previous
113 study (Lee et al., 2010; Lee et al., 2011c). Temperature-dependent changes in the unit-cell
114 lengths and volume were derived from a series of whole profile fitting procedures using the
115 GSAS suite of programs (Toby, 2001). The background was fitted using a Chebyshev
116 polynomial with ≤ 20 coefficients, and the pseudo-Voigt profile function of Thompson et
117 al.(Thompson et al., 1987) was used to model the observed Bragg peak shape. The March-
118 Dollase function (Dollase, 1986) was used to account for preferred orientation. In order to
119 reduce the number of parameters, isotropic displacement factors were refined by grouping the
120 framework tetrahedral atoms, the framework oxygen atoms, and the non-framework cations,
121 respectively. Geometrical restraints on the T-O (T = Si, Al) and O-O bond distances of the
122 tetrahedra were applied: the distances between Si-O and Al-O were restrained to target values of
123 $1.620 \pm 0.001 \text{ \AA}$ and $1.750 \pm 0.001 \text{ \AA}$, respectively, and the O-O distances to $2.646 \pm 0.005 \text{ \AA}$ for
124 the Si-tetrahedra and $2.858 \pm 0.005 \text{ \AA}$ for the Al-tetrahedra. Difference Fourier syntheses
125 confirmed that the channels in the dehydrated materials do not contain significant electron
126 densities from residual H₂O molecules. In the final stage of the refinements, the weights of the

127 restrains on the framework were maintained. Convergence was achieved by refining
128 simultaneously all background and profile parameters, scale factor, lattice constants, 2θ zero,
129 preferred orientation function, and the atomic positional and thermal displacement parameters.
130 The final refined parameters are summarized in Supporting Table 1, and selected bond distances
131 and angles are listed in Supporting Table 2.

132 In order to understand the local structural changes near the EFCs and H₂O molecules, X-
133 ray absorption spectroscopy (XAS) measurements were performed at beamline BL 10-1 at the
134 Stanford Synchrotron Radiation Lightsource (SSRL). Oxygen *K*-edge spectra which result
135 from O $1s \rightarrow 2p$ dipole transitions were measured. A bulk-sensitive fluorescence yield (FY)
136 method was employed using linearly polarized X-ray photons at ultra-high vacuum (UHV)
137 conditions near ca. 1×10^{-9} Torr. Dehydration at ambient temperatures due to UHV appears to
138 be negligible as the spectrum of hydrated Cs-NAT shows a clear difference from that of
139 dehydrated Cs-NAT.

140

141

Result and Discussion

142 Synchrotron X-ray powder diffraction patterns of Li-, Ag- and Cs-NAT are shown in
143 Figure 1. At room temperature (RT), all diffraction peaks can be indexed and their
144 crystallographic models agree with previous studies (Lee et al., 2010; Lee et al., 2011b).
145 Dehydration temperatures T_d of Li-, Ag- and Cs-NAT are 625(25) K, 575(50) K and 375(25) K,
146 respectively. Combined with those of Na- (550(20) K) (Baur and Joswig, 1996), K- (450(25)
147 K) and Rb-NAT(425(25) K) (Lee et al., 2011c) one observes that T_d varies inverse with the size
148 of EFCs (Fig. 2a).

149 Ambient and dehydrated structural models were obtained by Rietveld refinement, and the
150 orientations of the elliptical channels in the *ab*-plane are depicted in Figure 3. At ambient
151 conditions the EFC in Li- and Ag-NAT are located near the middle of the channel surrounded by
152 H₂O molecules (Figs. 3a and 3c, respectively). In the case of Cs-NAT, two disordered Cs⁺

153 cations are distributed in proximity to the walls along the major axis and ordered H₂O molecules
154 are located near the middle of the channel. The EFCs in Li- and Ag-NAT are 6-coordinated, i.e.
155 4-coordinated to framework oxygen and 2-coordinated to the oxygen atom of the H₂O molecules.
156 In contrast, each Cs⁺ cation in the Cs-NAT is 8-coordinated: the coordination number of Cs⁺
157 with framework oxygens is 6 in the dehydrated and 7 in the hydrated. This tight “stuffed”
158 interatomic arrangement in the Cs-NAT channels hinders the rotation of the NAT chains. As a
159 result, this rigid framework material has the lowest thermal expansion of all NAT analogues.

160 A fibrous chain rotation angle, ψ , defines the geometry of the helical 8-ring channel in
161 the projected *ab*-plane (Pauling, 1930). The chain rotation angle ψ is quantitatively measured
162 as an average angle between the quadrilateral sides of the secondary building unit, T₅O₁₀.
163 Large ψ describe a more elliptical channel (see Fig. 3). The chain rotation angle of both Li-
164 NAT and Ag-NAT are 26.4(2) ° and 22.3(2) °, respectively. The channel of Li-NAT is more
165 elliptical than the one of Ag-NAT. After dehydration, Li⁺ and Ag⁺ migrate from near the
166 middle of the channel to its side (Figs. 3a and 3c). Furthermore, the channel orientations
167 described by the location of the minor and major axis are inverted (Li-NAT: 26.4(2) ° to -29.6(2)
168 ° and Ag-NAT: 22.3(2) ° to -23.4(2) °). The EFC move ca. 2.0(1) Å in the case of Li-NAT and
169 ca. 3.4(1) Å in Ag-NAT during dehydration. These large displacements of Li⁺ and Ag⁺ during
170 dehydration are the main reason for the inversion of the elliptical channels in this type of NAT
171 structure. The coordination of the EFC is different before and after dehydration: initially each
172 EFC is 6-coordinated to 4 framework oxygen and 2 H₂O molecules and after dehydration there
173 are only 4 bonding interactions to the framework oxygen. This results in a significant under-
174 bonding of the EFC (Fig. 3). Before and after dehydration, the ranges of interatomic distances
175 between the EFC site and framework oxygen change slightly from 2.20(3) Å – 3.02(3) Å to
176 2.24(5) Å – 2.94(5) Å in the Li-NAT, and from 2.438(6) Å – 2.772(8) Å to 2.461(8) Å –
177 2.646(6) Å in the Ag-NAT (Supporting Table 2). Moreover, these EFCs have no bonding (O2-
178 EFC-O2) across the channel. This means that the O2 site is no longer involved in bonding.

179 The NAT chain can be rotated significantly and as a result the elliptic channel shape changes
180 during dehydration. Compared to K-, Rb- and Cs-NAT which are stable after dehydration and
181 room temperature, the structures of Li- and Ag-NAT start to collapse and form a glass, which is
182 most likely due to under-bonding of the EFC. Bond valence sum (BVS) values of monovalent
183 EFCs are close to 1 in all hydrated models at ambient conditions. The bond valence sum (BVS)
184 of the K^+ , Rb^+ and Cs^+ ions in dehydrated models are 0.74, 0.77 and 0.78, respectively, whereas
185 Li^+ and Ag^+ cations are more under-bonded with BVS of less than 0.6 (Fig. 5). The deviation
186 from the formal valence can be taken as a proxy for under-bonding of the EFC and explains why
187 dehydrated K-, Rb- and Cs-NAT are more stable. In contrast, both Li^+ and Ag^+ ions are located
188 in positions that are significantly more under-bonded. The location of an EFC which can bond to
189 O2 sites might be an important factor that prohibits framework collapse and subsequent
190 amorphization.

191 Our previous dehydration studies of NAT analogues with monovalent EFC established
192 that the temperature of formation of dehydrated phase, T_d , decreases proportional with EFC size
193 (Fig. 2a). Na-NAT starts to dehydrate at 550(20) K while Cs-NAT dehydrates at 375(25) K.
194 Both Li- and Ag-NAT follow this trend. There are other trends observed in monovalent NAT
195 analogues and their dehydrated phases: the unit cell volumes of both hydrated and dehydrated
196 forms have a linear relationship with EFC size (supporting Fig. 2a).

197 The chain rotation angle of Cs-NAT ($\psi = 2.9(1)^\circ$) indicates the most circular channels
198 while Li-NAT ($\psi = 26.4(2)^\circ$) has the most elliptical ones. After losing H_2O molecules during
199 dehydration the channel contracts and stabilizes the structure. The chain rotation angles of the
200 dehydrated phases are thus usually higher than those of hydrated phases. Values of ψ for the
201 dehydrated phases between 625(25) K and 675(25) K reveal an inversely linear trend with cation
202 size (Supporting Fig. 2b). The angles of both hydrated Li-NAT and Ag-NAT are $26.4(2)^\circ$ and
203 $22.3(2)^\circ$, respectively. The angles of their dehydrated phases become $-29.6(2)^\circ$ (Li-NAT) and

204 -23.4(2) ° (Ag-NAT), the minus sign indicating an inversion of the channel orientation in the *ab*-
205 plane.

206 Only Li-NAT and Ag-NAT undergo reorientations of their channels through an
207 intermediate circular channel at $\psi = 0^\circ$ during dehydration. Li-NAT has the most elliptical
208 channel of all NAT analogues with monovalent EFC before and after dehydration. The shape
209 of the channels can be described by a chain bridging angle, T(Si, Al)-O(2)-T (Fig. 2c). In
210 hydrated NAT analogues, the T-O(2)-T angle exponentially increases as a function of EFC size.
211 The angle is 130.2(4) ° in Li-NAT and increases to an almost linear T-O(2)-T configuration of
212 175.4(4) ° in Cs-NAT. In hydrated Ag-NAT the T-O(2)-T angle is 133.3(4) ° at room
213 temperature. Although the data are somewhat scattered, T-O(2)-T angles in dehydrated phases
214 also increase with EFC size. Decrease of the T-O(2)-T angles are comparatively small in Li-
215 NAT (from 130.2(4) ° to 124(4) °) and Ag-NAT (from 133.3(4) ° to 127.1(3) °). (See Supporting
216 Fig. 2c)

217 Thermal expansion coefficients of NAT analogues are shown in Figure 4. For both
218 hydrated and dehydrated Li- and Ag-NAT, the magnitude of thermal expansion varies inversely
219 with the size of the EFC (Fig. 4). Their thermal expansion coefficients are positive in the
220 hydrated state and negative after dehydration. This behavior is in contrast to what is observed
221 in hydrated and dehydrated Na-NAT where negative and positive thermal expansion coefficient,
222 respectively, are observed. These three NAT analogues are isostructural with EFC being
223 located near the center of the channels and the H₂O molecules in closer proximity the
224 aluminosilicate framework.

225 The negative thermal expansion coefficients for the hydrated K-, Rb- and Cs-NAT are
226 linearly proportional to the EFC size while those of the dehydrated K-, Rb- and Cs-NAT have
227 positive values and do not vary linearly with the EFC size. These three NAT analogues are
228 members of a second structural family where the disordered H₂O molecules are found near the
229 center of the channels and the EFC in close proximity to the aluminosilicate framework.

230 Temperature-dependent changes of the unit cell constants were modeled by whole
231 profile fitting, and the values of the normalized volumes are shown in supporting Figure 1.
232 While the unit cell volume of Li-NAT shows two distinct linear expansion regions before and
233 after 300 K (supporting Fig. 1a), the unit cell volumes of Ag- and Cs-NAT expand linearly over
234 the temperature range (supporting Figs. 1b and 1c). The hydrated NAT phases exhibit very low
235 thermal expansion. Across 300 K, the thermal coefficient of Li-NAT increases from $1.3(1) \times$
236 10^{-5} K^{-1} (75K ~ 300K) to $3.6(1) \times 10^{-5} \text{ K}^{-1}$ (300 K ~ 450 K). Coefficients of Ag-NAT and Cs-
237 NAT are $1.1(1) \times 10^{-5} \text{ K}^{-1}$ (75 K ~ 475 K) and $3.0(1) \times 10^{-6} \text{ K}^{-1}$ (75 K ~ 350 K), respectively.
238 Cs-NAT shows the lowest thermal expansion coefficient amongst all NAT analogues with $3.0(1)$
239 $\times 10^{-6} \text{ K}^{-1}$ between 75 K and 350 K. After dehydration, Li-, Ag- and Cs-NAT contract without
240 any indication of a phase transition. Up to 700 K the unit cell volume of Cs-NAT expands at a
241 rate of $4.4(1) \times 10^{-5} \text{ K}^{-1}$ (375 K ~ 700 K). In contrast, Li- and Ag-NAT show ‘negative thermal
242 expansion’ (NTE) with $-1.0(1) \times 10^{-5} \text{ K}^{-1}$ (between 625 K and 725 K) and $-1.2(1) \times 10^{-4} \text{ K}^{-1}$
243 (between 575 K and 625 K), respectively (Fig. 4).

244 In a previous dehydration study, amorphization was observed in NH_4 -NAT (Lee et al.,
245 2011a). The recovered phase of fully NH_4 -exchanged NAT after dehydration is amorphous.
246 Temperature-induced amorphization is observed after dehydration in both Li- and Ag-NAT
247 whereas Cs-NAT remains crystalline after dehydration. The Bragg reflections of Li-NAT
248 gradually decrease at higher temperatures. A recrystallization of the recuperated phase at
249 ambient conditions is not observed after a week. Diffraction peaks of Ag-NAT disappear
250 abruptly within 50 K after dehydration. After exposure to ambient conditions for a week,
251 dehydrated Ag-NAT remains in an amorphous state. Concomitant with the temperature-
252 induced amorphization of the isostructural Li- and Ag-NAT one notices a significant under-
253 bonding of the EFC in the dehydrated phase as shown in Figure 3.

254 In contrast to Li- and Ag-NAT, the Na-NAT structure appears to be more stable during
255 the dehydration and rehydration processes. According to Baur and Joswig (Baur and Joswig,

1996), heating the single crystal Na-NAT to 548 K yields a dehydrated phase called metanatrolite ($\text{Na}_{16}\text{Al}_{16}\text{Si}_{24}\text{O}_{80}$). The symmetry is reduced from *Fdd2* to *F112* concomitant with an abrupt volume contraction from 2250 \AA^3 to 1785 \AA^3 . Further heating to 773 K induces volume expansion of metanatrolite and above 823 K metanatrolite transforms to β -metanatrolite (*F112*, $\text{Na}_{16}\text{Al}_{16}\text{Si}_{24}\text{O}_{80}$, $V = 2016 \text{ \AA}^3$), which coexists with an unknown impure phase. After thermal annealing for 50 hours at 823 K, the β -metanatrolite transforms into high-natrolite (*Fdd2*, $\text{Na}_{16}\text{Al}_{16}\text{Si}_{24}\text{O}_{80}$, 1960 \AA^3). Upon cooling the high natrolite to 293 K, post-natrolite (*Fdd2*, $\text{Na}_{16}\text{Al}_{16}\text{Si}_{24}\text{O}_{80} \cdot 16\text{H}_2\text{O}$, 2183 \AA^3) is formed by rehydration near 373 K. As the temperature increases to 548 K, the Na^+ cations migrate to the sides in the NAT channel where they occupy three statistically disordered positions. Above 823 K, the disordered distribution becomes enhanced and the Na^+ cation sites split further into four statistical positions both at the sides and the middle of the channel with 25% occupancy. These sites are all coordinated by five framework oxygen atoms where the Na^+ cation bridges O(2) atoms across the channel. On the contrary, in the dehydrated Li- and Ag-NAT, the coordination of EFC by framework oxygen atoms is four and does not bridge the O(2) atoms across the channel (Fig. 3). Overall, the dehydrated forms of Li- and Ag-NAT remain amorphous while the recovered Na-NAT and Cs-NAT are crystalline.

To further probe the bonding interaction of EFC and H_2O molecules in the channels of NAT analogues we measured the X-ray absorption spectra (XAS) at the oxygen *k*-edge (Fig. 6). In previous work the main- and post-edge XAS spectra of oxygen have been related to bonding interactions of the EFC and the alumino-silicate framework oxygen and the H_2O molecules in the NAT channel, respectively (Lee et al., 2013). As the cation radius and ionic potential varies the position of the main peak decreases and increases, respectively. This reflects the increase of the basicity of the framework oxygen as the electronegativity increases from Cs to Li enhancing the negative charge on the framework oxygens. As noted by Vayssilov and Rösch the O1s binding energy shift is a measure of the basicity of oxygen in alkali-exchanged zeolites (Vayssilov and

282 Rösch, 1999). The post-edge feature characterizing the interaction between the H₂O molecules
283 and the cations show a nice separation of NAT analogues with disordered H₂O molecules in the
284 center of the channels and lower binding energies (K, NH₄-, Rb- and Cs-NAT) and those in close
285 proximity to the aluminosilicate framework (Li-, and Ag-NAT) which are stronger bonded. In
286 K, NH₄-, Rb- and Cs-NAT a linear relationship of the interaction energy and both EFC radius
287 and ionic potential is observed. In Li- and Ag-NAT the H₂O molecule has a stronger
288 interaction with the aluminosilicate framework (540.6(1) eV, 540.8(1) eV, respectively) than K-
289 NAT which has the weakest bonding energy with 539.8(1) eV. This is corroborated by the DFT
290 studies of Kremleva et al. (Kremleva et al., 2013).

291 In this study, we found that the dehydrated phases of Li-, Ag- and Cs-NAT form at
292 625(25) K, 575(50) K and 375(25) K, respectively. The dehydration temperatures show an
293 inverse relationship with the EFC size. Thermal expansion varies with the size of the EFC,
294 EFC-H₂O topologies and H₂O-O_f. Changing the orientation of the channel ellipticity in Li- and
295 Ag-NAT has been correlated to the migration of the EFCs from the middle to the wall of the
296 pores during dehydration. Structural collapse and amorphization has been induced by changes
297 in the coordination between EFC and framework after dehydration.

298
299
300
301

302 **Implications**

303

304 Since its first discovery by Klaproth in 1803 (Klaproth, 1803) and structural report by
305 Pauling in 1930 (Pauling, 1930), NAT has been studied by numerous researchers as a model
306 zeolite with well-defined composition and structure. The limited cation-exchange property of
307 NAT, however, hindered comparative understanding of its crystal chemistry as a function of

308 extra-framework cations, temperature, and pressure. In 1986, A. Yamazaki et al. (Yamazaki et
309 al., 1986) reported that the cation exchange rate of NAT can be increased up to 91.94% using
310 KCl solution at ambient condition for 62 days. They also showed that endotherm peak of NAT
311 shifts from ca. 300°C to ca. 150°C after exchanging to K⁺, which indicates that dehydration
312 temperature decreases by means of K⁺ exchange. The structural model of K-NAT has been
313 proposed to be different from that of the original NAT.

314 In order to understand the structural difference of the two compounds, we have
315 previously used combined X-ray Absorption Spectroscopy (XAS) and X-ray powder diffraction
316 (XRD) on a series of partially and fully K-NAT (Lee et al., 2013), which revealed the disordered
317 distribution of cation-H₂O assembly in the channels of the K-form compared to the ordered one
318 in the original Na-form. Furthermore XAS result showed that the bonding energy between H₂O
319 and framework oxygen atoms has decreased in the K-form due to the migration of H₂O to the
320 middle of the channel. These results indicate that K-form would have more capability of ion-
321 exchange than the original NAT, which led to the successful generation of a series of different
322 cation forms of NAT analogues and their comparative structural and thermochemical
323 understanding at ambient conditions and high pressure (Lee et al., 2010; Lee et al., 2011b;
324 Seoung et al., 2013; Seoung et al., 2015; Wu et al., 2013). Following our previous high
325 temperature investigation of K-, Rb-, and Cs-NAT (Lee et al., 2011c), this paper completes the
326 comparative structural understanding of monovalent cation forms of NAT.

327 We believe that different cation forms of NAT might exist in nature via suitable
328 environmental conditions but be difficult to find as the structural changes induced by cation
329 exchange exert significant volume changes by ca. 10% in the case of K-exchange and up to 19%
330 in the case of Cs-exchange compared to the unit cell volume of the original NAT. Previous
331 thermodynamic data determined by high temperature oxide melt solution calorimetry established
332 the formation enthalpy is more exothermic as the ionic potential decreases in cation exchanged
333 NAT (Wu et al., 2013). Zeolites containing EFCs with smaller ionic potential are thus expected

334 to be more stable. The effect of EFC is more important for stabilizing the structure than the
335 hydration effect as similar trend is shown for hydrous and anhydrous zeolites for the substitution
336 energy versus ionic potential with different EFCs. The established systematics in the structural
337 characteristics of the monovalent cation forms of NAT at ambient conditions are extended to
338 high pressure and high temperature conditions both showing EFC-dependent pressure-induced
339 hydration in H₂O medium and dehydration and thermal expansivity, respectively. This paper
340 therefore completes the comparative crystal chemistry of the most studies, NAT models.

341

342

343

344

ACKNOWLEDGMENT

345 This work was supported by the Global Research Laboratory (NRF-2009-00408) and
346 National Research Laboratory (NRF-2015R1A2A1A01007227) Programs of the Korean
347 Ministry of Science, ICT and Planning (MSIP). In-situ XRD experiment was performed at
348 PAL, supported in part by the MEST and POSTECH, and at NSLS. XAS experiment was
349 carried out at the SSRL, a Directorate of SLAC and an Office of Science User Facility operated
350 by Stanford University for the U.S. Department of Energy Office of Science.

351

352

References cited list

353

354

355 Baur, W.H., and Joswig, W. (1996) The phases of natrolite occurring during dehydration and
356 rehydration studied by single crystal x-ray diffraction methods between room temperature
357 and 923K. *Neues Jahrbuch für Mineralogie*, 171-187.

358 Dollase, W.A. (1986) Correction of Intensities for Preferred Orientation in Powder
359 Diffractometry: Application of the March Model. *Journal of Applied Crystallography*, 19,
360 267-272.

361 Fang, J.H. (1963) Cell dimensions of dehydrated natrolite. *American Mineralogist*, 48, 414-417.

362 Hwang, G.C., Shin, T.J., Blom, D.A., Vogt, T., and Lee, Y. (2015) Pressure-Induced
363 Amorphization of Small Pore Zeolites-the Role of Cation-H₂O Topology and Anti-glass
364 Formation. *Scientific Reports*, 5.

365 Klaproth, M.H. (1803) *Gesellschaft Naturforschende Freunde zu Berlin, Neue Schriften*, 4, 243–
366 248.

367 Kremleva, A., Vogt, T., and Rösch, N. (2013) Monovalent cation-exchanged natrolites and their
368 behavior under pressure. A computational study. *Journal of Physical Chemistry C*, 117,
369 19020-19030.

370 Larson, A., and Von Dreele, R.B. (1986) *General Structure Analysis System (GSAS)*. Los
371 Alamos National Laboratory, New Mexico, Report LAUR, 86-748.

372 Lee, Y., Lee, J.-S., Kao, C.-C., Yoon, J.-H., Vogt, T., and Lee, Y. (2013) Role of Cation–Water
373 Disorder during Cation Exchange in Small-Pore Zeolite Sodium Natrolite. *The Journal of*
374 *Physical Chemistry C*, 117, 16119-16126.

375 Lee, Y., Lee, Y., and Seoung, D. (2010) Natrolite may not be a "soda-stone" anymore: Structural
376 study of fully K-, Rb-, and Cs-exchanged natrolite. *American Mineralogist*, 95, 1636-
377 1641.

- 378 Lee, Y., Seoung, D., Jang, Y.N., Bai, J., and Lee, Y. (2011a) Structural studies of NH₄-
379 exchanged natrolites at ambient conditions and high temperature. American Mineralogist,
380 96, 1308-1315.
- 381 Lee, Y., Seoung, D., and Lee, Y. (2011b) Natrolite is not a "soda-stone" anymore: Structural
382 study of alkali (Li⁺), alkaline-earth (Ca²⁺, Sr²⁺, Ba²⁺) and heavy metal (Cd²⁺, Pb²⁺,
383 Ag⁺) cation-exchanged natrolites. American Mineralogist, 96, 1718-1724.
- 384 Lee, Y., Seoung, D., Liu, D., Park, M.B., Hong, S.B., Chen, H., Bai, J., Kao, C.-C., Vogt, T., and
385 Lee, Y. (2011c) In-situ dehydration studies of fully K-, Rb-, and Cs-exchanged natrolites.
386 American Mineralogist, 96, 393-401.
- 387 Pauling, L. (1930) The structure of some sodium and calcium aluminosilicates. Proceedings of
388 the National Academy of Sciences, 16, 453–459.
- 389 Reeuwijk, V. (1972) High-temperature phases of zeolites of the natrolite group. American
390 Mineralogist, 57, 499-510.
- 391 Rietveld, H.M. (1969) A profile refinement method for nuclear and magnetic structures. Journal
392 of Applied Crystallography, 2, 65-71.
- 393 Rinne, F. (1890) Über die umänderungen welche die zeolithe durch erwärmen bei und nach dem
394 trübwerden erfahren. Sitzungsberichte der Preussischen Akademie der Wissenschaften,
395 Physikalisch-Mathematische Klasse, 46, 1163-1207.
- 396 Seoung, D., Lee, Y., Kao, C.C., Vogt, T., and Lee, Y. (2013) Super-hydrated zeolites: Pressure-
397 induced hydration in natrolites. Chemistry - A European Journal, 19, 10876-10883.
- 398 Seoung, D., Lee, Y., Kao, C.C., Vogt, T., and Lee, Y. (2015) Two-Step Pressure-Induced
399 Superhydration in Small Pore Natrolite with Divalent Extra-Framework Cations.
400 Chemistry of Materials, 27, 3874-3880.
- 401 Stahl, K., and Hanson, J. (1994) Real-time X-ray synchrotron powder diffraction studies of the
402 dehydration processes in scolecite and mesolite. Journal of Applied Crystallography, 27,
403 543-550.

- 404 Thompson, P., Cox, D.E., and Hastings, J.B. (1987) Rietveld refinement of Debye-Scherrer
405 synchrotron X-ray data from Al₂O₃. *Journal of Applied Crystallography*, 20, 79-83.
- 406 Toby, B.H. (2001) EXPGUI, a graphical user interface for GSAS. *Journal of Applied*
407 *Crystallography*, 34, 210-213.
- 408 Vayssilov, G.N., and Rösch, N. (1999) Density functional studies of alkali-exchanged zeolites:
409 Basicity and core-level shifts of framework oxygen atoms. *Journal of Catalysis*, 186, 423-
410 432.
- 411 Wang, H.-W., and Bish, D.L. (2008) A PH₂O-dependent structural phase transition in the zeolite
412 natrolite. *American Mineralogist*, 93, 1191-1194.
- 413 Wernet, P., Nordlund, D., Bergmann, U., Cavalleri, M., Odelius, N., Ogasawara, H., Näslund,
414 L.Å., Hirsch, T.K., Ojamäe, L., Glatzel, P., Pettersson, L.G.M., and Nilsson, A. (2004)
415 The Structure of the First Coordination Shell in Liquid Water. *Science*, 304, 995-999.
- 416 Wu, L., Navrotsky, A., Lee, Y., and Lee, Y. (2013) Thermodynamic study of alkali and alkaline-
417 earth cation-exchanged natrolites. *Microporous and Mesoporous Materials*, 167, 221-227.
- 418 Yamazaki, A., Otsuka, R., and Nishido, H. (1986) The thermal behavior of k-exchanged forms of
419 natrolite. *Thermochimica Acta*, 109, 237-242.
- 420
- 421
- 422
- 423

424 **List of Figure Captions**

425

426 Figure 1. Changes of the synchrotron X-ray powder diffraction patterns of (a) Li-NAT,
427 (b) Ag-NAT and (c) Cs-NAT as a function of temperature. 300K patterns in Figure 1a and 1c
428 were measured after exposing the samples to ambient conditions for a week. Asterisk marks in
429 Figure 1a indicate peaks from the impurity.

430

431 Figure 2. Dependency of the (a) onset of dehydration temperature of NAT phases (T_d), (b)
432 chain rotation angle and (c) T-O(2)-T angle as a function of extra-framework cation (EFC) size.
433 Labels indicate the EFC of NAT. Dashed vertical line near 1.3\AA represents possible threshold
434 of order-disorder transition in the EFC and H_2O distribution in NAT channel (Lee et al., 2011b).
435 Error values in Figure 2a are estimated based on the calibration using NaCl standard. Estimated
436 standard deviations (esd's) in Figure 2b and 2c are smaller than the size of each symbol.

437

438 Figure 3. Structures of the hydrated and dehydrated phases of (a) Li-NAT, (b) Na-NAT,
439 (c) Ag-NAT, (d) K-NAT, (e) Rb-NAT and (f) Cs-NAT viewed along [001] (Baur and Joswig,
440 1996; Lee et al., 2010; Lee et al., 2011c). Yellow circles show oxygen atoms of H_2O molecules.
441 Dark green, dark yellow, black, violet, green, and purple circles represent the extra-framework
442 cations, Li^+ , Na^+ , Ag^+ , K^+ , Rb^+ , and Cs^+ , respectively.

443

444 Figure 4. Thermal expansion coefficients of NAT analogues before T_d (hydrated) and
445 after T_d (dehydrated). Dashed vertical line near 1.3\AA represents possible threshold of order-
446 disorder transition in the EFC and H_2O distribution in NAT channel (Lee et al., 2011b).

447

448 Figure 5. Bond Valence SUM (BVS) values of the EFCs depending on cation size.
449 Labels indicate cation-substituted NAT. Dashed vertical line near 1.3\AA represents possible

450 threshold of order-disorder transition in the EFC and H₂O distribution in NAT channel (Lee et al.,
451 2011b). BVS values of Na⁺, K⁺ and Rb⁺ cations are from references (Baur and Joswig, 1996;
452 Lee et al., 2011c).

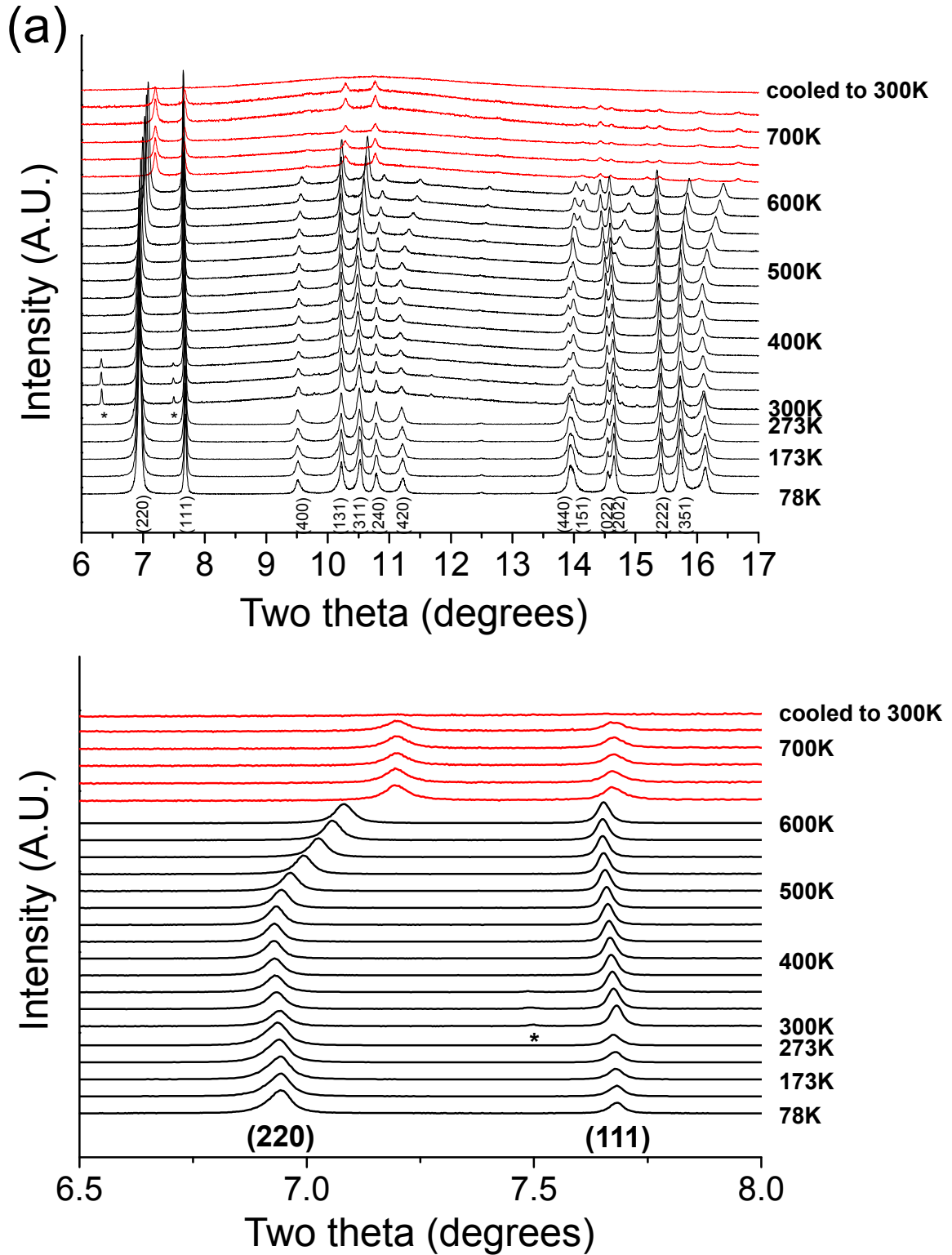
453

454 Figure 6. (a) X-ray absorption spectra of NAT analogues at the oxygen K-edge. Red
455 and blue solid lines on the bottom are reference spectra of the bulk Ice I_h and bulk water,
456 respectively (Wernet et al., 2004). Asterisk marks indicate signals from the carbon tape used as
457 a sample holder. (b) Plot of the oxygen bonding energy at the main-edge of NAT analogues as
458 a function of cation size or ionic potential. Labels indicate each cation-substituted NAT. (c)
459 Plot of the oxygen bonding energy at the post-edge of NAT analogues as a function of cation
460 size or ionic potential. Labels indicate the EFC of the NAT.

461

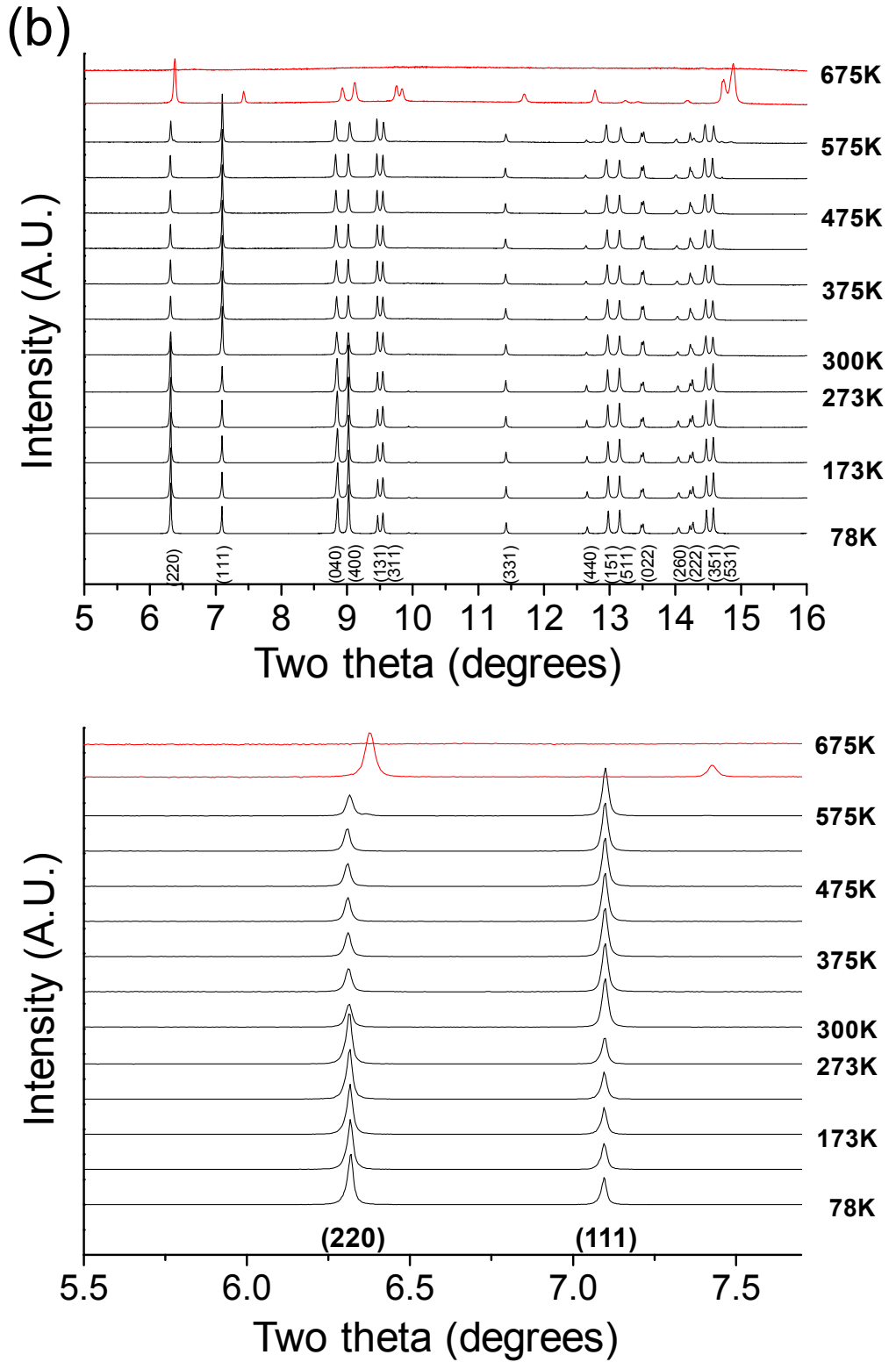
462

463

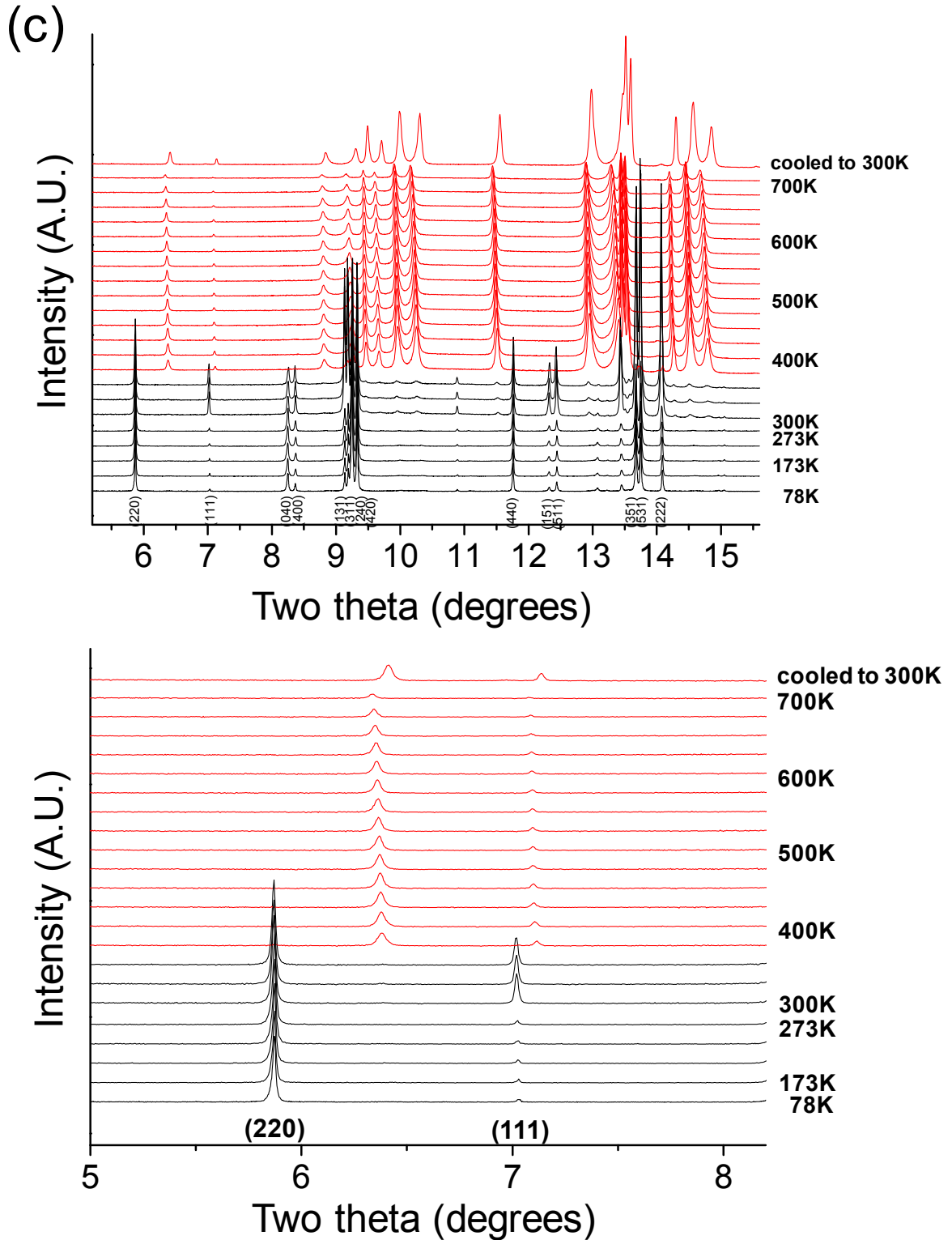


464

465 Fig. 1a

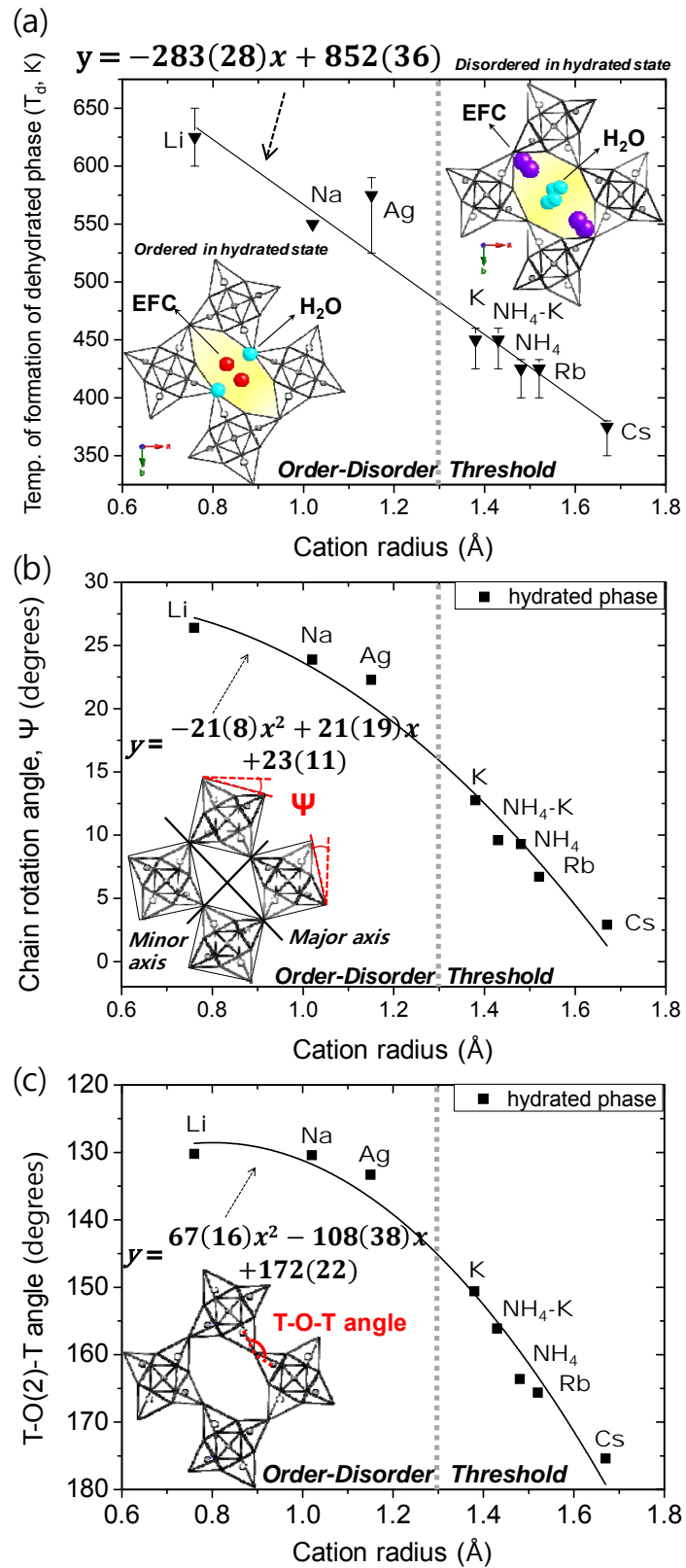


466 Fig. 1b



467

468 Fig. 1c



469
 470 Fig. 2

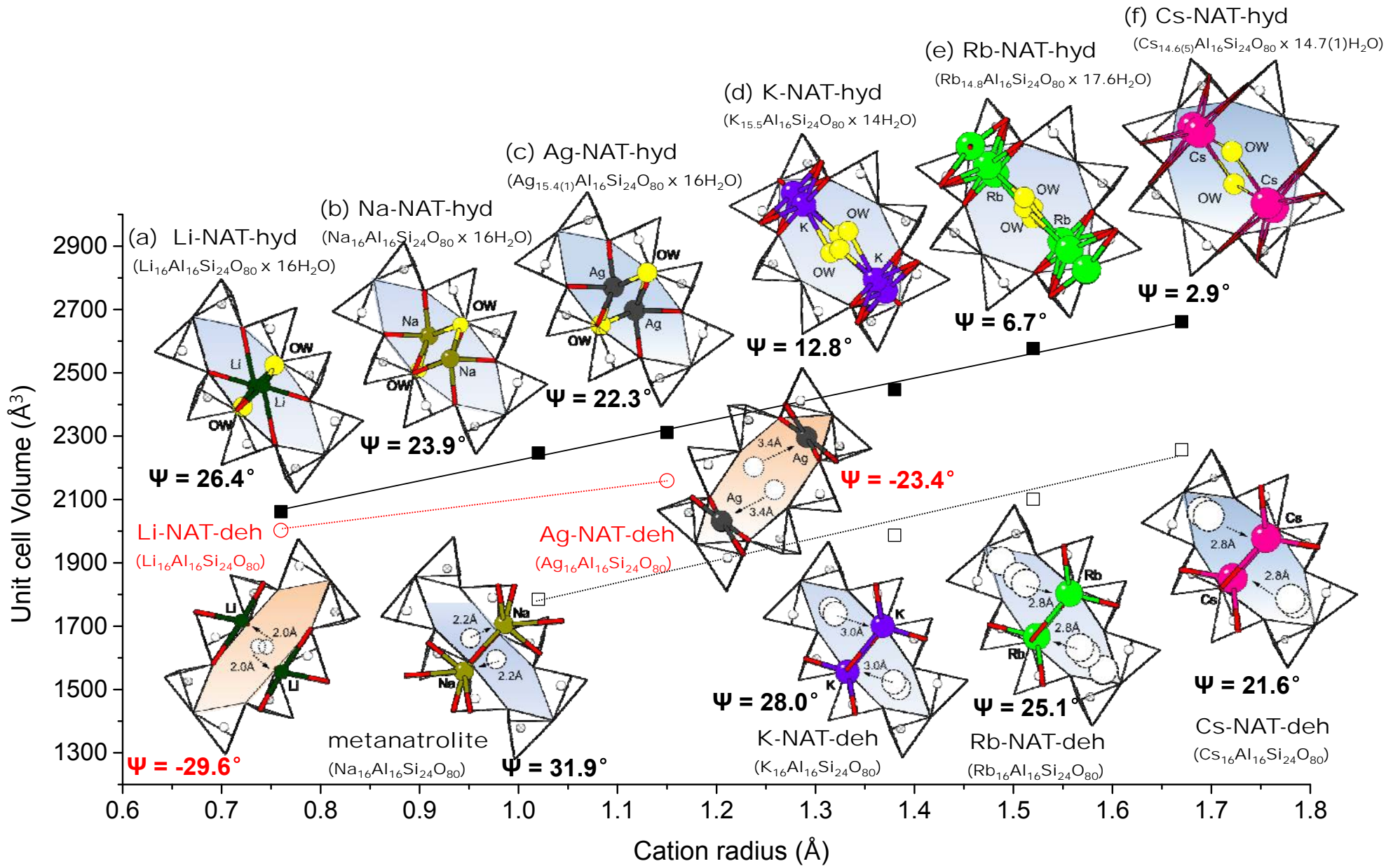
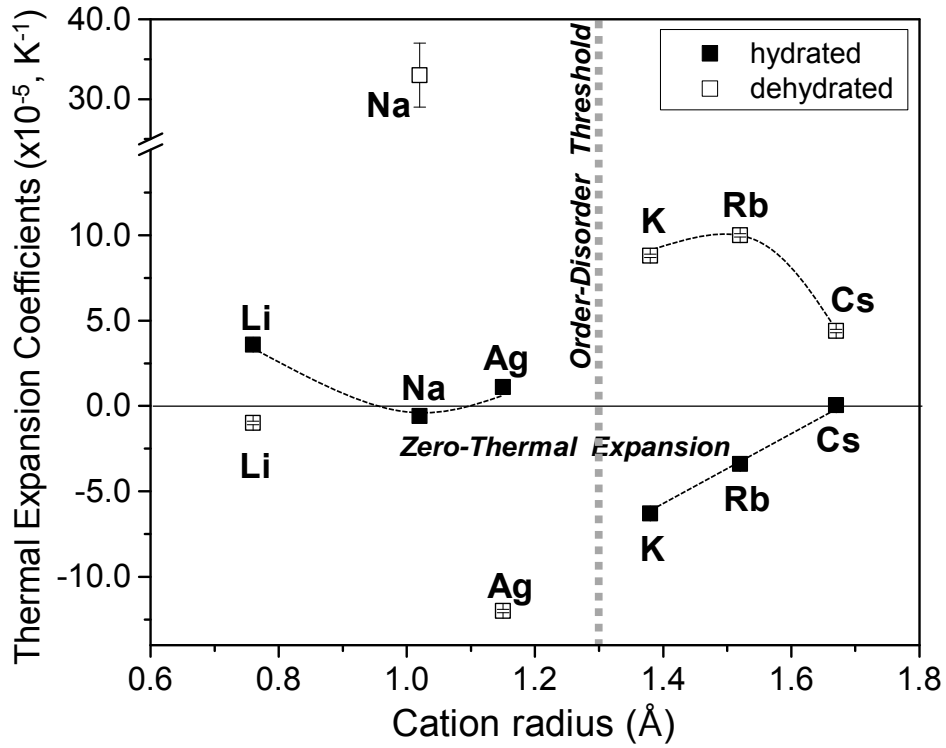
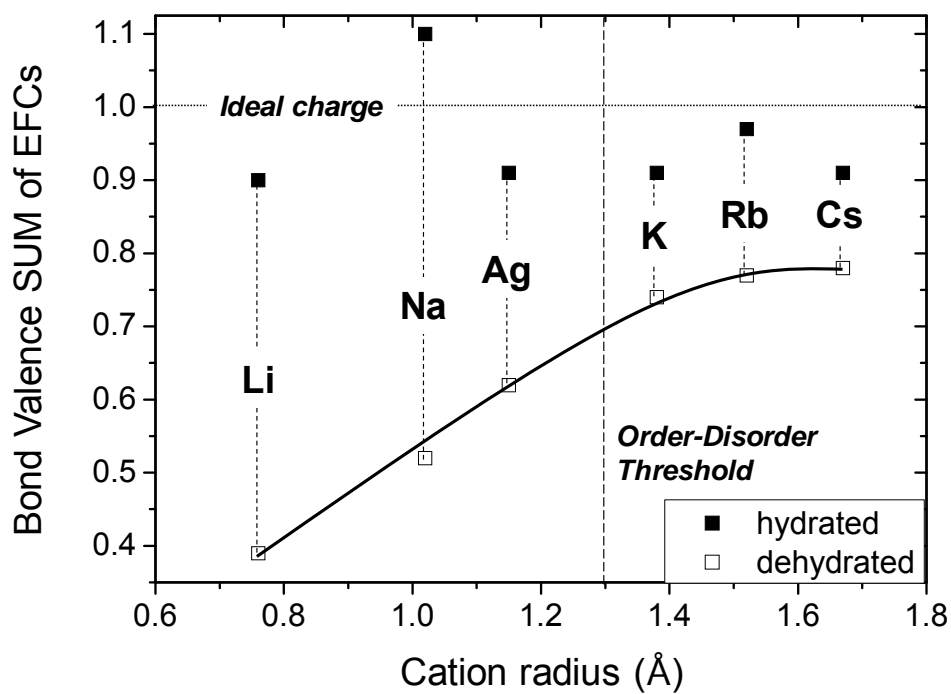


Fig. 3



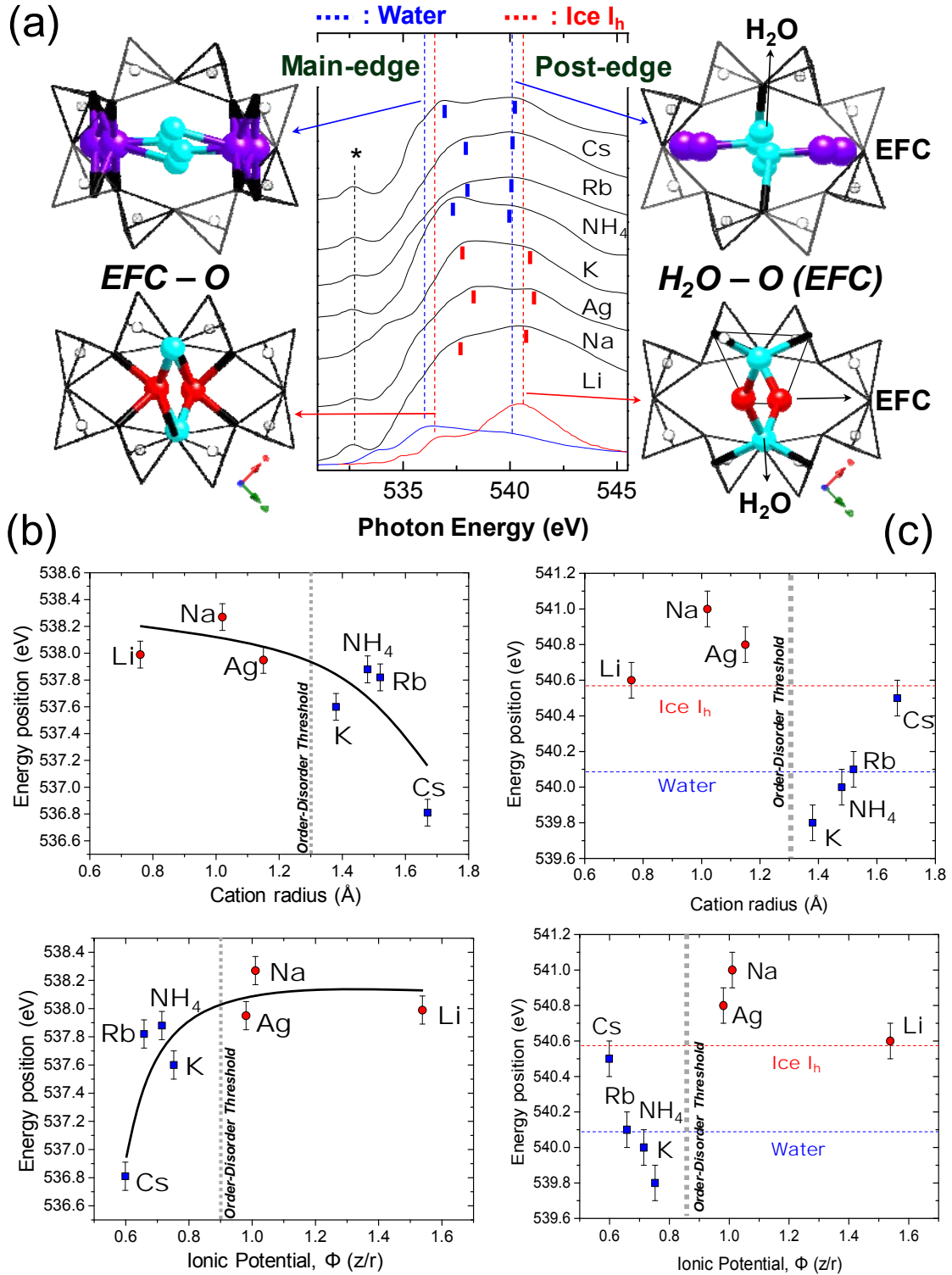
473
474
475
476
477
478
479
480
481
482
483
484
485
486
487
488
489
490
491
492
493
494
495
496

Fig. 4



497
498
499
500
501
502
503
504
505
506
507
508
509
510
511
512
513
514
515
516
517
518
519
520

Fig. 5



521
 522
 523
 524 Fig. 6