

1 **REDOX VARIATIONS IN THE INNER SOLAR SYSTEM WITH NEW CONSTRAINTS**  
2 **FROM VANADIUM XANES IN SPINELS**

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17 **Abstract:**

18 Many igneous rocks contain mineral assemblages that are not appropriate for application of  
19 common mineral equilibria or oxybarometers to estimate oxygen fugacity. Spinel-structured  
20 oxides, common minerals in many igneous rocks, typically contain sufficient V for XANES  
21 measurements, allowing use of the correlation between oxygen fugacity and V K pre-edge peak  
22 intensity. Here we report V pre-edge peak intensities for a wide range of spinels from source  
23 rocks ranging from terrestrial basalt to achondrites to oxidized chondrites. The XANES meas-  
24 urements are used to calculate oxygen fugacity from experimentally produced spinels of known  
25  $fO_2$ . We obtain values, in order of increasing  $fO_2$ , from IW-3 for lodranites and acapulcoites, to  
26 diogenites, brachinites (near IW), ALH 84001, terrestrial basalt, hornblende-bearing R chondrite  
27 LAP 04840 (IW+1.6), and finally ranging up to IW+3.1 for CK chondrites (where the  $\Delta IW$  nota-  
28 tion =  $\log fO_2$  of a sample relative to the  $\log fO_2$  of the IW buffer at specific T). To place the sig-  
29 nificance of these new measurements into context we then review the range of oxygen fugacities  
30 recorded in major achondrite groups, chondritic and primitive materials, and planetary materials.  
31 This range extends from IW-8 to IW+2. Several chondrite groups associated with aqueous alter-  
32 ation exhibit values that are slightly higher than this range, suggesting that water and oxidation  
33 may be linked. The range in planetary materials is even wider than that defined by meteorite  
34 groups. Earth and Mars exhibit values higher than IW+2, due to a critical role played by pres-  
35 sure. Pressure allows dissolution of volatiles into magmas, which can later cause oxidation or  
36 reduction during fractionation, cooling, and degassing. Fluid mobility, either in the sub-arc man-  
37 tle and crust, or in regions of metasomatism, can generate values  $>IW+2$ , again suggesting an  
38 important link between water and oxidation. At the very least, Earth exhibits a higher range of  
39 oxidation than other planets and astromaterials due to the presence of an O-rich atmosphere, liq-

40 uid water and hydrated interior. New analytical techniques and sample suites will revolutionize  
41 our understanding of oxygen fugacity variation in the inner solar system, and the origin of our  
42 solar system in general.

43

44        **Introduction:**

45        Oxygen fugacity ( $fO_2$ ) has been recognized as an important variable in terrestrial igneous pro-  
46        cesses for decades (e.g., Osborn, 1959), and the wide range in terrestrial samples is well docu-  
47        mented (Carmichael, 1991). On a planetary scale, oxygen fugacity can influence critical aspects  
48        of the interior and surficial reservoirs. For example, core composition is sensitive to  $fO_2$  such  
49        that low  $fO_2$  favors Si as an alloyed element, whereas higher  $fO_2$  may favor O or S (Hillgren et  
50        al., 2000). The stability of metal is, of course,  $fO_2$ -dependent, and a reduced mantle may have  
51        metal as a stable phase. The FeO content or Mg# ( $Mg/(Mg+Fe)$ ) of a mantle is also dependent  
52        upon  $fO_2$  because at low  $fO_2$  very little oxidized iron will be present and the Mg# of silicates will  
53        be high, whereas at high  $fO_2$ , FeO will be more abundant and the Mg# lower. Atmospheric  
54        composition and evolution is dependent upon  $fO_2$  of the atmosphere as well – at low  $fO_2$ , a C-H-  
55        O atmosphere will be dominated by  $CH_4$  and CO, whereas at high  $fO_2$  it will be  $CO_2$  and  $H_2O$   
56        (Holloway and Blank, 1994). Finally, the origin of life may be dependent upon  $fO_2$  – the path-  
57        ways of complex molecule formation are sensitive to the presence of a reduced versus oxidized  
58        environment (Shock et al., 2000). Although  $fO_2$  clearly influences magma generation processes,  
59        it is also a critical parameter for a broad range of planetary traits, and is important to understand  
60        its effects both at the micro- and macro-scale.

61        Igneous and metamorphic rocks commonly contain mineral assemblages that allow oxygen  
62        fugacity to be calculated or constrained, such as FeTi oxides, olivine-opx-spinel, or some other  
63        oxybarometer (Frost, 1991). Some rocks, however, contain a limited mineral assemblage and do  
64        not provide constraints on  $fO_2$  from mineral equilibria. Good examples of the latter are  
65        orthopyroxenites or dunites: meteoritic examples are diogenites, ALH 84001, chassignites, and  
66        brachinites. In fact, it is no surprise that the  $fO_2$  at which many of these samples formed is not

67 well known, other than being "reduced" and below the metal saturation value. In order to bridge  
68 this gap in our understanding, we have initiated a study of V XANES spectra in chromites from  
69 terrestrial and meteorite samples. Because the V pre-edge peak intensity and energy in  
70 chromites varies with  $fO_2$  (Righter et al., 2006a), and this has been calibrated over a large  $fO_2$   
71 range, we can apply this relation to rocks for which we otherwise have no  $fO_2$  constraints.

72 In this work, the results of these new measurements are interpreted in light of existing data for  
73 achondrites, as well as what we know about the  $fO_2$  of more primitive, undifferentiated materials  
74 such as solar gas, calcium-aluminum-rich inclusions (CAIs), zoned metal grains, chondrites,  
75 cometary (Stardust) grains, cosmic dust and asteroidal (Hayabusa) particles. Effects of second-  
76 ary processes such as thermal metamorphism, aqueous alteration, and low-pressure differentia-  
77 tion are assessed, and comparisons are made to redox variations on larger bodies such as Earth,  
78 Mars, Mercury and Moon. These latter bodies have experienced degassing, volatile solubility,  
79 fractionation, assimilation, and ascent across a wider range of pressures, and direct comparison  
80 to  $fO_2$  measured in achondrite bodies will allow the effect of pressure on  $fO_2$  to be evaluated.

81

## 82 **Samples**

83 We have selected a suite of samples for which there are no appropriate phases for appli-  
84 cation of standard oxybarometers, and for which there are large and accessible chromites.  
85 ALH84001 is a martian orthopyroxenite (Fig. 1a), for which there has been some debate regard-  
86 ing its oxygen fugacity; some have argued for a relatively high  $fO_2$  near the fayalite-magnetite-  
87 quartz (FMQ) buffer (Herd et al., 2001), while others have argued for a more reduced value, well  
88 below FMQ (Righter and Drake, 1996; Righter et al., 2008a). Brachinites are olivine-rich  
89 achondrites that also contain chromite, plagioclase and pyroxene. They have recently been linked

90 to the ungrouped achondrite GRA 06128/129 through similarity of O isotopes, age, and composi-  
91 tion (Shearer et al., 2008; Ash et al., 2008; Zeigler et al., 2008). Chromites in the brachinites  
92 EET 99402 and ALH 84025 (Fig. 1b) were measured and compared to those in GRA 06128 to  
93 assess whether they record comparably oxidized conditions. Diogenites contain orthopyroxene  
94 and chromite, and have been linked to eucrites and the HED parent body, which is thought to be  
95 at ~ IW-1 (Stolper, 1977; Hewins and Ulmer, 1984). We have measured chromites in the  
96 diogenites ALH 77256 and GRA98108, and can compare the results to previous estimates relat-  
97 ed to the HED parent body. CMS 04071 is a main group pallasite with coarse-grained chromite  
98 that we analyzed for this study (Danielson et al., 2009). We have also analyzed chromite from a  
99 lodranite (EET 84302) and acapulcoite (MET 01198) again because the redox conditions in this  
100 parent body have not been discussed in detail, yet are of fundamental importance in understand-  
101 ing and constraining the processes that led to their formation. Among chondrites, we have ana-  
102 lyzed chromian magnetites from CK chondrite QUE 99679, and chromite from the amphibole-  
103 bearing R chondrite LAP 04840 (Fig. 1c).

104 In addition to the meteorite samples above, we analyzed spinel inclusions in olivine from  
105 three basalts from the Mexican volcanic belt (MVB) – Michoacan-Guanajuato volcanic field  
106 (MGV-19; Figure 1d), Sierra Chichinautzin (TMV-6), and San Martin Tuxtla (SMT-1) (Righter  
107 et al., 2008b).

108

### 109 **XANES and EMPA measurements**

110 All major elements in spinels were analyzed with a CAMECA SX100 electron microprobe,  
111 using an accelerating voltage of 20 kV, sample current of 20 nA, and standardization and correc-  
112 tions as described in Righter et al. (2006a). Measurements of the valence of V were made using

113 synchrotron micro-XANES (*X-ray Absorption Near-Edge Structure*) spectroscopy (SmX), at the  
114 Advanced Photon Source (APS), Argonne National Laboratory (beamline 13-ID, the Consortium  
115 for Advanced Radiation Sources or CARS). SmX measurements are made by focusing a mono-  
116 chromatic (cryogenic, Si (111) double crystal monochromator) X-ray beam (3x3  $\mu\text{m}$ ) from the  
117 synchrotron onto a spot on the sample and measuring the fluorescent X-ray yield from that spot  
118 as a function of incident X-ray energy. Changes of fluorescent X-ray intensity and energy of  
119 features in the XANES spectrum (notably the pre-edge peak) depend on oxidation state and co-  
120 ordination (e.g., Wong et al., 1984; Sutton et al., 2005; Righter et al., 2006a).

121 Previous work on XANES-based oxybarometers has utilized correlations between the V K  
122 pre-edge intensity and either V valence (e.g., glasses of Sutton et al., 2005) or oxygen fugacity  
123 (spinel of Righter et al., 2006a). In this study, the correlation between oxygen fugacity and V  
124 pre-edge peak in spinel documented by Righter et al. (2006a) (Figure 2), is used to calculate  $f\text{O}_2$   
125 from the pre-edge peak intensity from each spectrum (Table 1). Most discussions of oxygen fu-  
126 gacity in this paper utilize the  $\Delta\text{IW}$  notation which is the  $\log f\text{O}_2$  of a sample (at T) relative to the  
127  $\log f\text{O}_2$  of the IW buffer (at T). Because many buffered equilibria are parallel to each other in  
128 temperature- $\log f\text{O}_2$  space due to the temperature dependence of enthalpy, the  $\Delta\text{IW}$  is largely in-  
129 dependent of temperature and is thus a convenient way to compare  $f\text{O}_2$  conditions of many mate-  
130 rials, both solid and liquid (e.g., Carmichael and Ghiorso, 1990). One fit to the  $\Delta\text{IW}$  and pre-  
131 edge peak intensity data uses a five-parameter exponential function to fit the data between IW-1  
132 and IW+9, with a standard error in the estimate of 0.78. A second fit uses only data with pre-  
133 edge peak intensity between 0 and 100 to more closely match the data from the natural samples  
134 measured here, and results in a standard error of 0.62. Results from both of these fits are tabu-  
135 lated in Table 1, in log units relative to the IW buffer. We use the values from the second fit in

136 all of the discussion because of the more focused range, and because of the higher error associat-  
137 ed with the first fit which is due to some scatter in data from high  $fO_2$  that are not relevant to the  
138 samples in this study.

139 XANES spectra of single anisotropic crystals can be sensitive to orientation relative to the x-  
140 ray beam (e.g., Dyar et al., 2002); however, such effects in spinels are expected to be negligible  
141 because they are isotropic (Righter et al., 2006a). Extensive work on glasses has shown that the  
142 pre-edge intensities are also dependent upon temperature. We have no evidence for temperature  
143 dependence in spinels, but a systematic examination is lacking and could be the focus of future  
144 efforts. Additionally, dependence of V and Cr XANES spectra on compositional variation in  
145 glasses (Sutton et al., 2005) and olivines (Bell et al., 2014) is known to be important. Crystal  
146 chemical controls on spinel chemistry are well known (e.g., Papike et al., 2004, 2015), but no  
147 such compositional or structural dependence for XANES spectra is known yet for spinels - this  
148 could also be a fruitful avenue for future research.

149

## 150 **Results**

151 The V pre-edge peak intensity for all samples measured ranges from 14 at the low end (de-  
152 fined by the acapulcoite-lodranite group) to  $\sim 75$  at the high end (defined by the CK chondrite  
153 QUE 99679). There is a general progression from reduced to oxidized from the acapulcoites to  
154 diogenites to GRA 06128 to brachinites to ALH 84001 to terrestrial basalts to the CK chondrites  
155 (Fig. 3). There are several noteworthy points here, including both comparisons to previous re-  
156 sults, and new  $fO_2$  observations on samples of previously uncharacterized or debated origin.

157 The values just below the IW buffer for the CMS pallasite are in good agreement with the  
158 calculated and measured  $fO_2$  for pallasites reported by Righter et al. (1990), and diogenite  $\Delta IW$



159 values calculated here are similar to estimates made previously for eucrites (Stolper, 1977), and  
160 for the HED parent body (Righter and Drake, 1996). The lodranite-acapulcoite suite seems very  
161 reduced, perhaps as much as 2 log  $fO_2$  units below the IW buffer, in agreement with previous  
162 estimates (Righter and Drake, 1996; McCoy et al., 1997). The results for the R chondrite LAP  
163 04840 yield values between IW-0.5 and IW+1.6, in agreement with previous work on R  
164 chondrites, which ranges between IW-0.5 to IW+2.56 (Righter and Neff, 2007). CK chondrite  
165 spinels are the most oxidized, also in agreement with the calculations of Righter and Neff  
166 (2007). Finally, the spinel inclusions in terrestrial olivine basalt phenocrysts exhibit a range of  
167 values from IW+3.42 (TMV-6b) to IW+1.1 (SMT-1) to IW+0.4 (MGV-19). The value for  
168 TMV-6b is close to that expected from olivine-melt equilibria (IW+2.6; Righter et al., 2008b)  
169 suggesting that little to no oxidation occurred between the time of trapping of spinel to later  
170 magma emplacement. On the other hand, the values measured in SMT-1 and MGV-19 are much  
171 lower than those derived from bulk rock  $FeO/Fe_2O_3$  (IW+4.7 and IW+4.2, respectively), indicat-  
172 ing that these samples became oxidized subsequent to chromite crystallization, perhaps during  
173 emplacement or eruption.

174 Results for GRA 06128 (IW-0.7 to IW-1.05) and the brachinites (ALH 84025 and EET  
175 99402; IW-0.5 to IW+0.4) are relatively oxidized, but distinctly different from each other, with  
176 the GRA 06128 samples slightly lower than the brachinites. These samples are all more oxi-  
177 dized than the acapulcoites, lodranites, and GRA 98108 diogenite, however, which suggests that  
178 they may have come from a more oxidized parent body. ALH 84001 is more oxidized (IW+0.5)  
179 than these metal-bearing meteorites, but more reduced than most of the terrestrial basalts that  
180 have equilibrated at IW+0.26 to IW+3.42. Our results are consistent with values of FMQ-2.7  
181 (IW+0.9) estimated for ALH 84001 by other techniques such as mineral equilibria or Eu/Gd par-

182 titioning in pyroxenes (Herd et al., 2001), but inconsistent with values as high as FMQ (IW+3.5)  
183 reported by Wadhwa (2008).

184 Overall, there is a correlation of Mg# with oxidation state of V that is consistent with the gen-  
185 eral idea of oxidation in the presence and absence of metal (Fig. 4). At low  $fO_2$ , there is less FeO  
186 (and more Fe) available so olivines and pyroxenes are more magnesian. At intermediate  $fO_2$   
187 there is ample FeO so that silicates have lower Mg#, but at still higher  $fO_2$ , FeO becomes less  
188 abundant and  $Fe_2O_3$  more abundant, again forming magnesian silicates. This sequence, from Fe  
189 to FeO to  $Fe_2O_3$  with oxidation, causes the C-shaped trend seen in Figure 4.

190

### 191 **Techniques for estimating oxygen fugacity**

192 Oxygen fugacity has traditionally been defined or calculated using thermodynamic data for  
193 various equilibria such as simple metal-oxide equilibria, or more complicated equilibria involv-  
194 ing multiple phases or minerals (Chase, 1986; Robie et al., 1978). Such equilibria can be studied  
195 using experimental techniques as well, such as electrochemical measurements. The emf of sim-  
196 ple and complex equilibria have been measured for a wide variety of terrestrial and planetary  
197 materials, but results can be compromised by contamination with C or other elements that can  
198 influence the equilibria being studied. Nonetheless, careful studies have placed important con-  
199 straints on oxygen fugacity in some planetary sample suites such as the Skaergaard intrusion  
200 (Kersting et al., 1989), CAIs (Kozul et al., 1988), and pallasites (Righter et al., 1990). Experi-  
201 mental studies have been used to constrain or bracket  $fO_2$  in certain samples such as eucrites  
202 (Stolper, 1977), angrites (Jurewicz et al., 1993), CAIs (Grossman et al., 2008), or martian mete-  
203 orites (Xirouchakis et al., 2002), by investigating phase equilibria at different  $fO_2$ . Experimental  
204 studies can also help to constrain the influence of  $fO_2$  on trace element partitioning and thus indi-

205 rectly determine  $fO_2$  on the basis of partitioning of these elements in natural systems (Papike et  
206 al., 2013). For example, Eu partitioning between pyroxene and melt has been used to constrain  
207  $fO_2$  in eucrites, shergottites, and lunar basalts (Shearer et al., 2006a), and Ce has been used to  
208 constrain  $fO_2$  in zircon-bearing Archean rocks (Trail et al., 2011).

209 Modern analytical approaches have provided additional ways to constrain  $fO_2$ . X-ray absorp-  
210 tion near edge structure (XANES) spectroscopy, which is available at synchrotron facilities  
211 (Bassett and Brown, 1990) has allowed the study of valences of elements, such as Fe, V, Cr, Mn,  
212 Mo, W, Ti, S, and P, that have multiple valence states in many planetary materials. In particular,  
213 the valences of Fe, Ti, V, and Cr have been applied to planetary materials and provided infor-  
214 mation on a microscopic scale. Transmission electron microscopy can be used with electron en-  
215 ergy loss spectroscopy (EELS) to determine the valences of Fe and Mn (e.g., Garvie and Buseck,  
216 1998; Zhang et al., 2010), and this approach has been used to determine  $fO_2$  in, for example,  
217 martian meteorites and Stardust comet particles (Herd et al., 2001; Stodolna et al., 2013).

218 These are a few of the approaches that have been used to constrain  $fO_2$  in planetary samples,  
219 and all of them are represented in the comparative discussions below involving nebular and  
220 primitive materials, chondrites, achondrites and planets. These comparisons will utilize  $\Delta IW$ ,  
221 which is defined above.

222

## 223 **Oxygen fugacity recorded in natural materials**

### 224 *Primitive materials*

225 We can compare the  $fO_2$  of the solar nebula to those found for a wide range of materials that  
226 occur in primitive meteorites, cosmic dust and comets (Figure 5). In this context “primitive” re-  
227 fers to materials that have not been thermally or aqueously altered. Chondrites contain four ma-

228 jor components: inclusions such as CAIs and AOAs (amoeboid olivine aggregates); chondrules;  
229 matrix; and metal – and they each can place constraints on the  $fO_2$  of their formation.

### 230 *Solar $fO_2$ values*

231 The  $fO_2$  of the solar nebula can be defined using both theory and samples. The solar C/O  
232 ratio can be used to constrain the  $fO_2$  of the solar nebula, and using a value of C/O = 0.5 (Allen-  
233 de-Prieto et al., 2002) leads to a nebular  $fO_2$  of IW-6.8 (Fig. 5; Grossman et al., 2008). The  $fO_2$   
234 of the solar nebula has also been constrained by experimental studies. The  $fO_2$  of a gas in equi-  
235 librium with the liquid from which CAI composition melilite and  $Ti^{3+}$ -bearing fassaitic pyroxene  
236 crystallize is about 1 log  $fO_2$  unit below the  $fO_2$  defined by a solar C/O = 0.50 ratio (Grossman et  
237 al., 2008). These very low  $fO_2$  values will form the basis of a comparison of other primitive ma-  
238 terials found in chondrites, comet and primitive dust particles (Fig. 5).

239

### 240 *Refractory Inclusions (CAI/AOA)*

241 Calcium-aluminum-rich inclusions (CAIs) have been used to estimate oxygen fugacity in the  
242 solar nebula, yielding a wide range of values, depending on the meteorite type. Paque et al.  
243 (2013) found Ti valence between 3.5 and 4.0 in spinel in CV3 chondrites. Paque et al. (2013)  
244 concluded that although some CAIs show evidence for conditions as reduced as IW-8, there is  
245 also evidence for transient oxidation to values as high as IW-2.5, so that the more reduced, prim-  
246 itive values may have been changed during nebular processing (Fig. 5). Processing may be  
247 common, based on Simon et al. (2005), and additional work of Dyl et al. (2011) and J. Simon et  
248 al. (2011), in which there is evidence for variation within the rims of CAIs (Fig. 5). Finally,  
249 Ihinger and Stolper (1986) showed that blue hibonite in Murchison contains  $Ti^{3+}$  and that the  
250 hibonite is blue when it is synthesized at 1430 °C between log  $fO_2$  = -10.7 and -15.0 ( $\Delta IW$  = -

251 5.6 to -1.3). This range of  $fO_2$  extends to nearly solar values, showing that the blue hibonite  
252 could have formed in equilibrium with a gas of nearly solar composition (Fig. 5).

### 253 *Chondrules*

254 Examining simple equilibria between metal and olivine in chondrules from a wide range  
255 of primitive chondrites (E, C, O), Zanda et al (1994) demonstrated that the  $fO_2$  under which these  
256 chondrules formed ranged from IW-4 to IW-0.5 (Fig. 5). Connolly et al. (1994) proposed that  
257 this range was caused by carbon acting as a reductant across a range of pressures, given the sen-  
258 sitivity of graphite saturation to pressure. Using a similar approach, Schrader et al. (2013) exam-  
259 ined Type I and Type II chondrules in CR chondrites and also found a range of values, from IW-  
260 4 to IW-0.5 (Fig. 5). Measurements of the chromium oxidation state in olivine from a chondrule  
261 in an EL3 chondrite showed that it is entirely  $Cr^{2+}$ , which implies a very low  $fO_2$ , certainly lower  
262 than IW-1 (Hanson and Jones, 1998) and possibly even near solar values of IW-6 (McKeown et  
263 al., 2014). Examination of  $Ti^{3+}$  in olivine in chondrules from ordinary chondrites reveals less  
264  $Ti^{3+}$ , but it is still present (S. Simon et al., 2015) and may indicate that their precursors formed in  
265 reducing environments, and that they contain reduced Ti that survived chondrule formation in an  
266 environment where  $Fe^{2+}$  was stable (Simon et al., 2013).

267 Finally, the mineralogy of enstatite chondrites - Si-bearing metal, oldhamite, niningerite,  
268 pure silica and albitic plagioclase in addition to enstatite and minor olivine – is traditionally ac-  
269 counted for by condensation from a gas of solar composition but at a higher C/O ratio (0.83) and  
270 therefore more reduced than solar gas, at IW-8 to IW-10.5 (Grossman et al., 2008; Grossman et  
271 al., 1979; Lehner et al., 2013). However, studies of Ti valence in olivine and pyroxene from  
272 enstatite chondrites show a larger stability field for  $Ti^{4+}$  than expected, and Lusby et al. (1987)  
273 observed FeO-rich phases in enstatite chondrites. Both of these suggest that enstatite chondrites

274 may have formed initially from an oxidized reservoir and then become reduced later (Simon et  
275 al., 2013). It is possible that enstatite chondrites have experienced variable  $fO_2$  conditions in  
276 their formation, or contain components with complex histories.

### 277 *Metal*

278 CH, CR and CB chondrites contain FeNi metal grains that are zoned from core to rim in  
279 many trace siderophile elements. Those patterns are best explained by condensation from a nebular  
280 gas at an  $fO_2$  of IW-6, about 1 log  $fO_2$  unit above solar values (Petaev et al., 2003) (Fig. 5);  
281 metal grains in some CB chondrites may result from condensation from an impact generated  
282 plume rather than solar nebula (Campbell et al., 2002; Fedkin et al., 2015). In addition, there are  
283 some refractory metal grains in CV3 chondrites that have trace siderophile element abundances  
284 consistent with condensation from a gas of solar composition but at an  $fO_2$  (defined by  $H_2O/H_2$   
285 ratio) of IW-4 (Palme et al., 1994). Additional grains from the same study also showed evidence  
286 for formation at more oxidized conditions, again (as with some CAI above; Paque et al., 2013)  
287 suggesting more transient and oxidizing conditions in the early solar nebula (Fig. 5).

### 288 *Matrix*

289 Many carbonaceous chondrites contain a significant amount of matrix material and recent  
290 studies have shown that the matrix is much more oxidized than some of the other components  
291 such as chondrules, metal and inclusions. For example, Le Guillou et al. (2015) found that the  
292 matrices of many primitive CR chondrites have  $Fe^{3+}/\Sigma Fe$  values from 0.6 to 0.7. Also, the ma-  
293 trices of many aqueously altered CM and some CV meteorites contains fayalite-rich olivine that  
294 is hypothesized to have formed under relatively oxidizing conditions (Zolotov et al., 2006).

295

### 296 *Comets and cosmic dust*

297 Comet particles returned by the Stardust mission have revealed some interesting features  
298 related to oxidation. Much of the material characterized from the Stardust mission is relatively  
299 oxidized and fine-grained (e.g., Stodolna et al., 2013; Westphal et al., 2009; Ogliore et al. 2010;  
300 Simon et al., 2008), but equating oxidation states with a specific  $fO_2$  has not been done quantita-  
301 tively because much of the material is polycrystalline, there has not been a detailed calibration of  
302 the  $fO_2$  and  $Fe^{3+}/\Sigma Fe$ , and also because some of the materials were modified during the collec-  
303 tion process (e.g., Leroux et al., 2008; 2009). Several Stardust particles have been characterized  
304 in great detail and appear to have formed either close to or just above the IW buffer. Nakamura  
305 et al. (2008) studied the Torajiro particle and found that it equilibrated at IW+1.4. Gainsforth et  
306 al. (2015) studied the olivine-chromite aggregate particles Iris and Cali and found evidence sug-  
307 gesting an  $fO_2$  of formation at IW-0.3 based on thermodynamic calculations of olivine, glass, and  
308 chromite equilibria in Iris, and found chromite in Cali with  $Fe^{3+}/\Sigma Fe = 0.13$ . Similar  
309 mineralogies have been reported in the KOOL (KO = kosmochloric Ca-rich pyroxene, OL =  
310 olivine) particle Puki-B as well (Joswiak et al., 2009). On the other hand, a CAI-like particle  
311 characterized by Simon et al. (2008) called Inti was estimated to have formed near IW-6 (Fig. 5),  
312 more reduced than many other particles.

313 Cosmic dust particles examined by Ogliore et al. (2010) also appear to be more oxidized  
314 like some Stardust particles and chondritic matrix. On the other hand, GEMS (glass with em-  
315 bedded metal and sulfides) grains found within cosmic dust particles exhibit overall reduced  
316 phases, with the glass containing iron predominantly as FeO with no  $Fe_2O_3$  (Keller and Messen-  
317 ger, 2011), and ranging from 2.5 to 15 wt% FeO (Bradley, 1994). Metal-oxide equilibria for  
318 GEMS could thus have equilibrated have equilibrated below the IW buffer, from  $\Delta IW = -1.2$  to -  
319 2.8 given the range of FeO measured (Fig. 5). Altogether, the Stardust materials and cosmic dust

320 particles exhibit a range of  $fO_2$  conditions from near solar (Inti) to very oxidized (GEMS,  
321 Torajiro, and Cali).

322

323 *Thermal and aqueous alteration in chondrites*

324 Many chondrite groups exhibit evidence for heating or thermal metamorphism to temper-  
325 atures as high as 1000 °C. Such metamorphism is recorded in the ordinary chondrites (H, L, and  
326 LL 4 to 6; van Schmus and Wood, 1967), in enstatite chondrites (EL4 to EL6; EH4 to EH6;  
327 Zhang et al., 1995) the CK carbonaceous chondrites (CK4 to CK6; Kallemeyn et al., 1991), and  
328 in the R chondrites (R4 to R6; Bischoff et al., 2011). A systematic study of  $fO_2$  in thermally  
329 metamorphosed H ordinary chondrites was done by Kessel et al. (2004), who found that H4 to  
330 H6 chondrites equilibrated at IW-2.2 to 2.5, and that H6 were  $<0.2 \log fO_2$  units more oxidized  
331 than H4 - a very small effect if any. LL and L chondrites contain silicates richer in FeO than  
332 those in H chondrites, and they equilibrated at slightly higher  $fO_2$ s than H chondrites, probably  
333 near IW-1.5 to IW-2 (Righter and Drake, 1996). Particles were collected from asteroid Itokawa,  
334 which is of LL parentage, by the Hayabusa spacecraft. The particles exhibit a range of petro-  
335 logic type from 4 to 6, and their olivine, low-Ca and high-Ca pyroxene Fe XANES spectra are  
336 identical to those of the LL5 chondrite Tuxtuac (Noguchi et al., 2013). Similarly, the thermally  
337 metamorphosed EH and EL chondrites share the reduced mineralogic characteristics of their  
338 unequilibrated relatives. CK chondrites also show a range of textural evidence for petrologic  
339 type 4 to 6, but detailed mineralogic studies show a rather limited mineralogic variation, suggest-  
340 ing that either the CK thermal history had a relatively restricted range of temperatures, or that the  
341 higher-grade samples experienced retrograde metamorphism (Righter and Neff, 2007). Overall,  
342 the CK chondrites are much more oxidized than other chondrite groups – they lack metal, con-



343 tain magnetite, and have olivine with lower FeO contents than those in LL or other metal-bearing  
344 oxidized chondrites; given the presence of magnetite, this is consistent with the oxidation of FeO  
345 to Fe<sub>2</sub>O<sub>3</sub>. The magnetites exhibit V K pre-edge peak intensities from near 70 to 75, indicating  
346 equilibration near the FMQ buffer (IW+3.5). In general, thermally metamorphosed chondrites  
347 do not exhibit evidence for oxidation accompanying the metamorphism (e.g., Simon et al.,  
348 2015). CK chondrites are oxidized, but may have started oxidized; hopefully more CK3 samples  
349 will be recovered in the future, and this will become clearer.

350 Aqueous alteration products have been documented in CI, CM, CV, CO and CR  
351 chondrites, and can include phases such as fayalite, serpentines, tochilinite, and others (Brearley,  
352 2006). Detailed thermodynamic analysis of aqueous alteration in CI, CM, CV, CO and CR  
353 chondrites (Zolensky et al., 1989; Bourcier and Zolensky, 1992; Zolensky et al., 1993) shows  
354 that these phases can be produced by interaction with fluids at low temperatures and oxygen  
355 fugacities as high as IW+2.6. More focused studies of fayalite formation (Zolotov et al., 2006)  
356 show that it can occur across a range of conditions from T = 50 °C, P=10.1 bar, log (fH<sub>2</sub>/fH<sub>2</sub>O)  
357 = 3 to 4, which corresponds to log fO<sub>2</sub> = -79.3 to -81.3, to T = 150 °C, P=104.8 bar, log  
358 (fH<sub>2</sub>/fH<sub>2</sub>O) = 2 to 3, which corresponds to log fO<sub>2</sub> = -61.02 to -59.02. The latter conditions cor-  
359 respond to IW-1.3 to IW-3.3. It is clear that aqueous alteration can produce fayalite at fO<sub>2</sub> con-  
360 ditions equivalent to those experienced during chondrule-formation and even the transient oxi-  
361 dizing environment experienced by CAIs, but formation of some phases, such as smectite,  
362 saponite, phyllosilicates, and magnetite, requires more oxidizing conditions, above the IW buff-  
363 er.

364

365 *Achondrites*

366 Our new measurements provide  $fO_2$  estimates for several achondrite groups for which  
367 traditional barometry is not possible, and these are compared to previous measurements and cal-  
368 culations for achondrite groups (Fig. 6). The most reduced achondrites are the aubrites, which  
369 contain nearly FeO-free silicates and FeNi metal that has wt% levels of Si (e.g., Fogel, 2005).  
370 Silicate inclusions in some iron meteorites allow use of various redox equilibria to constrain ox-  
371 ygen fugacity. The IAB, III CD, and IIE irons yield  $fO_2$ s ranging from IW-4 to IW-2.2 (Fig. 6).  
372 Work on other iron groups examining the significance of accessory phases such as chromite,  
373 phosphides and silica show that they formed over a range of  $fO_2$  perhaps wider than that defined  
374 by the silicate-bearing irons in Figure 6 (Isa et al., 2015). Acapulcoites/Iodranites and  
375 winonaites record  $fO_2$ s between IW-3 and IW-1.5 (this study; Benedix et al., 2005), while  
376 ureilites show a slightly wider range, from IW-3.25 to IW-1.5 (Goodrich et al., 2013). Our re-  
377 sults from diogenites and those provided by Stolper (1977) from experiments show that the  
378 HEDs likely formed at  $fO_2$  conditions between IW-1.75 and IW-0.5 (Fig. 6). Based on electro-  
379 chemical measurements and redox equilibria, main group pallasites equilibrated between IW-0.5  
380 and IW-1 (Richter et al., 1990; this study). Brachinites and the GRA 06128/9 ungrouped  
381 achondrites record formation at IW and IW-0.5, respectively, based on our XANES measure-  
382 ments. Finally, angrites exhibit the most oxidized values for achondrites, with equilibration  
383 above the IW buffer at IW+1 (McKay et al., 1994; Jurewicz et al., 1993). Altogether, these  
384 groups define a range of 8 log  $fO_2$  units and exhibit nearly continuous variation from the reduced  
385 aubrites to the most oxidized angrites (Figure 6). Achondrites span the same range as the primi-  
386 tive materials discussed in the previous section (CAIs, chondrules, matrix, dust; Fig. 5), showing  
387 that the same redox conditions were present whether materials were differentiated or undifferen-  
388 tiated.

389

390 *Planets*

391 Our knowledge of redox variations within Earth comes from direct samples of the mantle  
392 such as peridotite xenoliths and massif terranes, as well as mantle melts, such as mid ocean  
393 ridge, ocean island, and island arc basalts. As a result, we have a very detailed understanding of  
394 the variation of oxygen fugacity in Earth's upper mantle (Figure 7). For Mars and Moon, we  
395 have no direct samples of the mantle, but there are important constraints from basaltic and cumu-  
396 late meteorites that originated from the surface or shallow crust of Mars (shergottites, nakhlites,  
397 ALH 84001), and lunar basalts and volcanic glasses. We have not recognized meteorites from  
398 Venus or Mercury, so constraints are fewer, but the recent MESSENGER mission provided new  
399 information for Mercury. Spectroscopy studies showed low FeO contents at the surface of Mer-  
400 cury (Emery et al., 1998), and coupled with the knowledge of a large metallic core (e.g., Goettel,  
401 1988), indicates that Mercury may be very reduced. There have been limited experimental stud-  
402 ies of very FeO-poor materials such as enstatite chondrites (Berthet et al., 2009; McCoy et al.  
403 1999), but these, combined with newer studies influenced by MESSENGER results, are reinforc-  
404 ing the idea that Mercury may have differentiated under reduced conditions of IW-4 to IW-5.  
405 The similar FeO contents of surficial basalts to terrestrial basalts, and the similarly-sized Fe me-  
406 tallic cores of Venus and Earth, suggests an overall oxygen fugacity for the Venusian interior  
407 that is similar to that of Earth. The lack of an O-rich atmosphere and limited recycling via ter-  
408 restrial-style tectonics means interaction between mantle, crust and atmosphere has likely been  
409 much less, and this may have limited the variation in  $fO_2$  within Venus. However, Venus has  
410 been resurfaced in recent geologic time (e.g., Basilevsky et al., 1997) and that may allow chemi-  
411 cal reaction between mantle and surface reservoirs. In addition, there may be a variation pro-

412 duced by high pressure processes and dissolution of volatiles (possibly different from Earth due  
413 to the high pressure, CO<sub>2</sub>-rich atmosphere) in magmas.

414 Comparative planetology lessons from Earth reveal a multitude of processes operating at  
415 a planetary scale that can have a large influence on the oxygen fugacity. For example, planets  
416 hold more internal energy than small bodies, and therefore heating and melting processes have  
417 occurred over a more extended time period (even to present-day) and to great depths. As a re-  
418 sult, magmas in planets ascend from greater depths than those in smaller, asteroid sized bodies.  
419 The decompression of a silicate melt upon ascent from the mantle can lead to a change in its  
420 Fe<sup>3+</sup>/ΣFe ratio. This is a strong effect in the Earth (Kress and Carmichael, 1991), but is more  
421 subtle for Mars due to the properties of FeO-rich melts (Righter et al., 2013). Also linked to  
422 pressure is the enhanced solubility of volatiles at higher pressures. Terrestrial magmas are known  
423 to have appreciable H<sub>2</sub>O, CO<sub>2</sub> and SO<sub>2</sub> contents (Holloway and Blank, 1994; Carroll and Web-  
424 ster, 1994), and Mars' wide fO<sub>2</sub> range is due to the influence of volatiles such as S<sub>2</sub>, H<sub>2</sub>O, and  
425 CO<sub>2</sub> (McCubbin et al., 2010; Righter et al., 2008a, 2009). High solubility at crustal pressures  
426 allows magmas to be volatile-bearing and, upon ascent, degassing leads to fO<sub>2</sub> variations at the  
427 surface. For example, S<sub>2</sub> loss can lead to reduction, as seen in nakhlites (Fig. 7), whereas later  
428 Cl loss can lead to oxidation (Righter et al., 2014; Fig. 7). Mercury may contain more reduced  
429 species, although the level of understanding for Mercury is currently primitive.

430 Shallow-level processes on planets, such as may occur in a magma chamber, include  
431 fractionation, degassing, and assimilation. Closed system fractionation of a basaltic magma can  
432 produce differentiated liquids that also contain more Fe<sup>3+</sup> their parent liquids. Such processes  
433 have been documented in terrestrial magmas (Kelley and Cottrell, 2012) as well as martian  
434 magmas (Peslier et al., 2010). Degassing of dissolved volatile species can lead to changes in Fe

435 redox state in the magma ( $S_2$ ,  $H_2O$ , Cl; although see Crabtree and Lange, 2012, for discussion),  
436 and finally, assimilation of surrounding rocks by a magma can lead to changes in the Fe redox  
437 state of the magma (e.g., oxidized crust or reduced crust compared to magma). Spectacular ex-  
438 amples of the latter are the metal-bearing basalts in Germany and in Greenland (Disko Island),  
439 where assimilation of C-bearing sediment led to reduction and even the precipitation of metal  
440 (Bird et al., 1981). Concomitantly, assimilation of oxidized rocks can lead to oxidation of the  
441 intruding magma as well (Ague and Brimhall, 1988).

442 A final process documented in terrestrial settings is metasomatism. Deep lithospheric  
443 settings as well as the shallow, sub-arc mantle have been proposed as areas (among others)  
444 where mobile fluids have interacted with relatively dry mantle to cause metasomatism that can  
445 produce oxidized and volatile-bearing assemblages (mica-amphibole-rutile-ilmenite-diamond, or  
446 MARID, assemblages; Zhao et al., 1999). Such metasomatic processes have been considered for  
447 Mars and even the Moon (Treiman, 2003; Elardo et al., 2012).

448 When all these processes are accounted for and considered, mantle redox state can be as-  
449 sessed. For Earth, mantle and magmatic samples that have not been influenced by these process-  
450 es yield a narrow range of  $fO_2$ , from IW+1 to IW+2 (Frost and McCammon, 2008). Some deep-  
451 er parts of the mantle may be more reduced (Woodland and Koch, 2003), prompting some to  
452 propose that the lower mantle may be metal-saturated (Rohrbach et al., 2007). For Mars, there  
453 are two samples that may represent primitive mantle melts, Yamato 980459 and NWA 5789, and  
454 these require a mantle source region that was near IW to IW+1 (McKay et al., 2004; Shearer et  
455 al., 2006b; Herd, 2008; Gross et al., 2011). Samples from both Earth and Mars have been oxi-  
456 dized to values higher than initially present in their source mantle by ascent, fractionation, de-  
457 gassing, and assimilation. Although the origin of the Moon is likely linked to Earth through a

458 giant impact, lunar samples are more reduced than terrestrial peridotite and basalt. XANES stud-  
459 ies of lunar glasses (Karner et al., 2006; Sutton et al., 2005), carbon solubility studies of lunar  
460 glasses (Nicholis and Rutherford, 2009; Weitz et al., 1997), and electrochemical studies of lunar  
461 basalt (Sato et al., 1973) all yield values of  $fO_2$  between IW-0.5 and IW-2 (Figure 7). Some vari-  
462 ability can be attributed to degassing and volatile solubilities, but overall the oxygen fugacity of  
463 the lunar mantle and basalt source regions is well-defined and relatively narrow. For Venus, we  
464 can assume processes and mantle domains with an overall similarity to Earth due to its moderate  
465 FeO contents, similar core size, and some evidence for alkaline basalts at the surface (Treiman,  
466 2007). For Mercury, the ground-based observations and MESSENGER mission data has led to  
467 the general interpretation that Mercury is reduced and its mantle could be at IW-5 or IW-6 (Fig-  
468 ure 7).

469

## 470 **Cause of variation in $fO_2$**

### 471 *a) Nebular chemistry and transport*

472 The  $fO_2$  range defined by nebular or primitive materials starts at low  $fO_2$  (near IW-6), as  
473 evident in solar nebular gas C/O ratios (Allende Prieto et al., 2002) as well as CAIs, enstatite  
474 chondrites, and some chondrules (Fig. 5). Higher  $fO_2$ s in primitive materials are also recorded  
475 by inclusions in CM2 chondrites, CAIs, FeO-bearing chondrules, and matrices in a wide range of  
476 chondrites, and range up to near the IW buffer. Because most of these objects are ancient, and  
477 formed within the first 5 Ma of solar system inception, it is clear that  $fO_2$  variations were signifi-  
478 cant in the nebula. Evidence from meteorites and cometary (Stardust) materials also indicate  
479 that some of these objects record a wide range of  $fO_2$ , implying transport of material large dis-  
480 tances within nebula. Variations in  $fO_2$  in the solar system may arise from physical or chemical

481 factors, or both. For example, there could have been both vertical and radial transport in the so-  
482 lar nebula and both may have contributed to mobility of materials in the early solar system (e.g.  
483 Grossman et al., 2008). The nebula was likely heterogeneous initially, so chemical variation,  
484 such as in C/O ratios (Allende Prieto et al., 2002) or the relative proportions of H, C, and O in  
485 the solar nebula could have varied and contributed to  $fO_2$  variation. The solar ratio of dust to gas  
486 in the nebula cannot produce FeO-bearing olivines, but increasing this ratio to 100x to 300x so-  
487 lar values results in a larger stability field for fayalite in condensing olivine (Grossman et al.,  
488 2008). Tenner et al. (2015) document a correlation between  $\Delta^{17}O$  and olivine Mg# in chondrules  
489 from CR3 chondrites, and explain the variation with chondrule-melt equilibria involving dust  
490 enrichments of 100-200x for Type I chondrules and 2500x for Type II chondrules; they further  
491 speculate that the early Type I chondrules may have been produced in a dry environment, where-  
492 as the later, oxidized chondrules formed in a water-bearing environment (see also Grossman and  
493 Fedkin, 2015). Finally, Clayton (2005) argued that photo-dissociation of CO could have created  
494 a more oxidizing environment in the solar nebula. This idea gained some support from meas-  
495 urements of the composition of solar oxygen from the Genesis mission (McKeegan et al., 2011).  
496 It is fair to say that the chemical environment of the solar nebula, and its spatial heterogeneity,  
497 are fields of intense study and many questions remain unanswered.

498 *b) Planetesimal formation (heat and fluids and impacts)*

499 The range of  $fO_2$  defined by achondrites is nearly the same as that in primitive materials,  
500 suggesting that the heating processes that formed the achondrites through melting and accumula-  
501 tion did not alter the  $fO_2$  substantially, with an overall range of approximately IW-6 to IW.  
502 Aqueous alteration, on the other hand, has affected most carbonaceous chondrites (CI, CM, CV,  
503 CR, CK; Brearley, 2006) and is associated with oxidation. Although some alteration does not

504 require high  $fO_2$  (e.g., production of fayalite), some phase equilibria suggest IW+3 to IW+7 at  
505 low temperatures. Similarly, CK chondrites show evidence for oxidation (exsolution lamellae of  
506 FeTi oxides; Righter and Neff, 2007), and V XANES data reported here indicate  $fO_2$ s as high as  
507 IW+3.5 to IW+6. Fluids may have been Cl-bearing (Cl-phosphates) and oxidized, but not neces-  
508 sarily water-rich. These results indicate that, in some asteroids, oxidation can produce materials  
509 locally with oxidized values outside the range defined by primitive materials. Studies of matrix  
510 and some fine grained dust particles also record high  $Fe^{3+}/\Sigma Fe$  values (LeGuillou et al., 2015;  
511 Ogliore et al., 2010; Stodolna et al., 2013), consistent with oxidation above IW, but specific val-  
512 ues are not yet defined.

513 *c) Planet building: building blocks and planetary processes*

514 Some terrestrial samples exhibit values as high as IW+8, and some Mars samples IW+3.5 to  
515 4 (Fig. 7), and both can be explained by roles for tectonics, pressure, and volatile abundances  
516 and speciation, which are typically not as relevant or influential for asteroids and smaller bodies.  
517 In the case of Earth, mantle and crustal melting occurs at pressures between 8 and 0 GPa, and the  
518 high pressures allow for dissolution of significant volatile species such as  $H_2O$ ,  $CO_2$ ,  $SO_2$ , and  
519  $H_2S$  into melts. Upon decompression and degassing, the redox state of a magma can become  
520 more oxidized or reduced, depending on which species are lost and the overall composition of  
521 the magma. Tectonics can also provide opportunities for oxidation. Although island arc basalts  
522 may show evidence for slightly greater  $fO_2$  than ocean basin (MORB, OIB) basalts, the sub-arc  
523 mantle can be oxidized by fluid release from subducted slabs. The fluids react with overlying  
524 mantle to cause metasomatism or form oxidized peridotite. Some sub-arc mantle has been doc-  
525 umented with evidence for equilibration at  $fO_2$  values as high as IW+7 (McInnes et al., 1994).  
526 On Mars, polybaric melting, ascent and degassing of  $CO_2$ -rich melts can produce melts with a



527 wide range of  $fO_2$ , from IW to IW+3, and additional fractionation and degassing in shallow  
528 magma bodies can produce both oxidation and reduction, with the former up to IW+4 to 5 (e.g.,  
529 Righter et al., 2008; Peslier et al., 2010; Righter et al., 2014).

530 The potential for pressure and volatile loss to widen the range of  $fO_2$  recorded in plane-  
531 tary materials is significant, and such effects must be accounted for when comparing planetary  
532 materials to meteoritic or nebular samples. Additionally, the  $fO_2$  conditions of core formation  
533 for Mars, Earth and Vesta (EPB) are consistently lower than that defined by mantle and basalt  
534 samples from these bodies. The disparity between conditions for terrestrial core formation and  
535 basalt production is clearly evident in Figure 7, whereas for Mars and Vesta it is more subtle.  
536 Core formation in Mars is thought to have occurred near IW-1.5, whereas the most reduced  
537 shergottites are near IW. Similarly, core formation modelling for siderophile elements in Vesta  
538 show conditions near IW-2, yet eucrites, diogenites, and possibly related pallasites all exhibit  
539 higher  $fO_2$  near IW-1 or IW-1.5 (Fig. 6). Overall, there must be some oxidation during melting  
540 and ascent of magmas on these three bodies. When all secondary processes are considered (as-  
541 cent, volatile exsolution, degassing), and  $fO_2$  is defined for those samples unaffected by volatiles  
542 or degassing, the range of  $fO_2$  of planetary mantles is IW-6 (Mercury) to IW-2 to IW-1.5 (Earth),  
543 to IW-1 to IW (Mars). This range is similar to that defined by primitive materials and  
544 achondrites. Implications are that a significant oxidized reservoir ( $>IW$ ) was likely not present  
545 in the solar system available for planet building. Even though such high values are encountered  
546 in asteroidal materials, they did not apparently have a large influence on outcomes.

547 Finally, the planets grew by large impacts between differentiated proto-planets. This  
548 process has been modelled as merging of two bodies, usually with differing mantle FeO content  
549 (and thus oxygen fugacity), creating a new body with a new oxidation state. Fischer and Ciesla

550 (2014) have shown that, in some cases, FeO contents of the Earth-sized planets created in these  
551 simulations increase with time. However, when large impacts occur, an oxidized impactor does  
552 not necessarily cause oxidation of a more reduced target body. This has been assumed in the  
553 modelling done, perhaps due to the simplified chemical systems being considered, but a more  
554 realistic situation is that a more massive, reduced body will convert some of the oxidized materi-  
555 al to a more reduced state. The modelling should also consider silicate liquids and high P-T  
556 conditions, since most proto-planets were likely at least partially molten, and would be again  
557 during and after the impact. The oxidation of the target body may be negligible, rather than in-  
558 cremental as frequently modelled (Bond et al., 2010; Fischer and Ciesla, 2014). The Fe redox  
559 equilibria in such major impacts must be modelled more realistically to know whether bodies in  
560 the last stages of accretion can become more oxidized, more reduced, or stay constant during  
561 planet building.

562

### 563 **Summary and Future**

564 There are many new techniques that can be applied to astromaterials to determine the redox  
565 state of elements and to determine oxygen fugacity. Electron energy loss spectroscopy (EELS)  
566 and x-ray absorption spectroscopy (XANES and EXAFS) both offer high spatial and energy res-  
567 olution measurements that have just begun to be applied to natural samples. The focus of this  
568 study – redox state of V in spinels – has been carried out at relatively high  $fO_2$  conditions, but  
569 could be quantified for low- $fO_2$  samples of IW-3 and below. Our results imply that there may be  
570 substantial  $V^{2+}$  in spinels formed at low  $fO_2$ s. However, the vanadium valence calibration for  
571 spinel at these very low pre-edge peak intensities may not be well-constrained. As the pre-edge  
572 peak intensity decreases going from  $V^{3+}$  to  $V^{2+}$ , there should be an accompanying energy shift to

573 lower energies in the main edge region and the main edge spectral shape should change to some  
574 extent. For example, comparing VO and V<sub>2</sub>O<sub>3</sub> in Wong et al. (1984), the main edge shifts by  
575 about 1 eV. Energy shifts of that magnitude were not observed for spinels in the current study,  
576 and the main edge regions of all spectra are pretty similar to each other. Spinel may behave dif-  
577 ferently in this regard compared to other oxides. It is possible that the relation between pre-edge  
578 peak intensity, octahedral site asymmetry, and disordering is not well understood yet at reducing  
579 conditions. It seems there are some important issues to resolve and explore for application of V  
580 XANES to spinels equilibrated at low fO<sub>2</sub> (< IW-3). Finally, many measurements of Fe<sup>3+</sup>/ΣFe  
581 on dust, reduced glasses, matrix and amorphous materials have not been correlated with oxygen  
582 fugacity in a quantitative way, only qualitatively. Quantitation will allow more direct compari-  
583 sons between astromaterials collections and types of samples and could enhance our understand-  
584 ing of more primitive solar system materials.

585         The oxygen fugacity record in primitive materials, chondrites, achondrites and planets  
586 spans a wide range of fO<sub>2</sub> from IW-8 to IW+8, 16 orders of magnitude. The range within each  
587 group is similar and largely between IW-8 and IW+2, with the exception of Earth and Mars and  
588 a few oxidized chondrites. Earth exhibits the most oxidized mantle and magmas, presumably  
589 due to the influence of an O<sub>2</sub>-rich atmosphere, liquid water oceans at the surface, and a hydrated  
590 deep interior. Mars is slightly oxidized, but its range is much more restricted than Earth's, sug-  
591 gesting a lesser or less-widespread role for water and oxygen in the magmatic evolution of the  
592 planet, consistent with its O-poor atmosphere and lack of oceans or large water masses (water is  
593 not unknown on Mars, just of lesser abundance relative to Earth; Villanueva et al., 2015). Of  
594 course, this assessment may need to be revised when Mars is more thoroughly sampled. Simula-  
595 tions of planet formation currently show Earth-like planets becoming more FeO-rich during ac-

596 cretion, but the simplified chemical systems considered may yield different results than multi-  
597 component, volatile-bearing, more realistic systems; this should be pursued in future modeling  
598 efforts.

599 Overall, we currently enjoy access to samples from a wide range of near-Earth objects,  
600 Moon, Mars, a Jupiter Family comet (Wild 2), solar wind, and cosmic dust. Although this is a  
601 broad range of materials, there are sampling gaps that will be addressed in future missions.  
602 OSIRIS-REx will launch in 2016 and return as much as 2 kg of carbonaceous asteroid material  
603 from Bennu in September 2023 (Lauretta et al., 2015). Hayabusa 2 launched in 2014 and will  
604 return samples of carbonaceous asteroid 162173 Ryugu in December 2020 (Tsuda et al., 2013).  
605 Comet sample return and lunar sample return have been featured as top prospects for sample re-  
606 turn missions for the Discovery and New Frontiers programs. Technically challenging sample  
607 return missions to Venus and Mercury, or identification of venusian or mercurian meteorites  
608 among world collections, would revolutionize our understanding of planet formation in our and  
609 other solar systems. New samples from any or all of these bodies would help to elucidate the  
610 range of  $fO_2$  recorded in astromaterials and ultimately better constrain the origin of our solar sys-  
611 tem.

612

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- 1021

1022 **Figure Captions**

1023 Figure 1: Chromite occurrences in various samples measured in this study. A) chromites (black  
1024 grains indicated by yellow arrows) from the martian orthopyroxenite ALH 84001, transmitted  
1025 light; b) chromites (yellow arrows) from the olivine-rich brachinite ALH 84025; transmitted  
1026 light, crossed polarizers; c) Backscattered electron image of chromite enclosed by pentlandite,  
1027 plagioclase and olivine in R chondrite LAP 04840; d) chromite inclusions (red arrows) in an oli-  
1028 vine phenocryst from an olivine basalt (MGV-19) from the Mexican volcanic belt; transmitted  
1029 light, crossed polarizers.

1030 Figure 2: Correlation of V K pre-edge peak intensity with oxygen fugacity for spinels in the ex-  
1031 periments of Righter et al. (2006a). A) Fit to data is of the form  $\Delta IW = y_0 + a*(1 - \exp(-$   
1032  $b*x)) + c*(1 - \exp(-d*x))$ , with  $y_0 = -8.6441$ ,  $a = 11.6711$ ,  $b=0.0360$ ,  $c=14.0316$ , and  $d=0.0009$ .  $R$   
1033  $= 0.9698$ ,  $R^2 = 0.9404$ , and standard error of estimate is 0.78. B) Fit to the data in a more fo-  
1034 cused range, appropriate to the natural samples measured here; exponential fit with data between  
1035  $IW-1$  and  $IW+4$  (or pre-edge peak intensity between 0 and 100); standard error of estimate for  
1036 this fit is 0.62.

1037 Figure 3: V K pre-edge peak intensity for acapulcoites, lodranites, diogenites, brachinites, mar-  
1038 tian orthopyroxenite ALH 84001, two terrestrial basalts (MGV and SMT), and CK chondrites.  
1039 FMQ refers to the fayalite-magnetite-quartz buffer. Arrows on the vertical axis show the pre-  
1040 edge peak intensities corresponding to  $V^{3+}/V^{2+}$  ratios of spinel and the FMQ buffer ( $IW$  is not  
1041 shown but is near a pre-edge peak intensity value of 32), the former determined by a glass/spinel  
1042 calibration (Righter et al., 2006a) and the latter determined by synthetic spinels as part of this  
1043 work (Figure 2).

1044 Figure 4: V K pre-edge peak intensity and Mg# in olivines from samples measured in this study.  
1045 The variation in Mg# with oxidation is as expected in the metal-present field, with Mg# increas-  
1046 ing as more FeO is reduced to Fe at  $fO_2$  below IW. This trend reverses in the metal-free field  
1047 above IW, as more FeO is oxidized to  $Fe_2O_3$  at  $fO_2$  above IW (Righter and Neff, 2007). The  
1048 similarity of V oxidation state in GRA 06128 and brachinites, but different Mg# could be the  
1049 result of differentiation processes.

1050 Figure 5: Histogram of  $\Delta IW$  values from various chondrites, components (CAI, chondrules, met-  
1051 al, matrix), and primitive materials from the literature. Solar values are from Allende Prieto et  
1052 al. (2002), based on a C/O ratio of 0.5; CAI fassaite and CH metal are from Grossman et al.  
1053 (2008) and Petaev et al. (2003), respectively. ‘EL3 olivine’ is based on XANES measurements  
1054 of Cr in olivine by McKeown et al. (2014). Ranges of oxygen fugacity defined in refractory in-  
1055 clusions are from Ihinger and Stolper (1986), Paque et al. (2013) and Simon et al. (2007). Esti-  
1056 mates for ordinary chondrites are from Ti valence (Simon et al., 2013) and metal-olivine-  
1057 orthopyroxene equilibria (Righter and Drake, 1996; 112 H (blue), L (light blue), and LL samples  
1058 (medium blue)). Constraints from chondrules are from Zanda et al. (1994) and Schrader et al.  
1059 (2013). Estimates from Stardust (Inti, Iris, and Torajiro) and GEMS particles from Simon et al.  
1060 (2008), Gainsforth et al. (2015), and data from Bradley (1994) and Keller and Messenger (2011).  
1061 R chondrite estimates are from Righter and Neff (2007) and this study. All data and references  
1062 used in this figure are summarized in Table S2. “Fassaite” refers to a Ti and Al-rich variety of  
1063 clinopyroxene; Dowty and Clark, 1973.

1064 Figure 6: Histograms of  $\Delta IW$  values from this work (solid histograms; brachinites,  
1065 GRA06128/129, pallasite CMS04071, diogenites, lodranites, and acapulcoites) and values from  
1066 previous work determined by experimental petrology (angrites; McKay et al., 1994; Jurewicz et



1067 al., 1993), thermodynamic calculations (acapulcoites and winonaites, Righter and Drake, 1996;  
1068 Benedix et al., 2005; silicate-bearing irons, Righter and Drake, 1996; aubrites, Righter et al.,  
1069 2006; Fogel, 2005), electrochemical measurements (pallasites, Righter et al., 1990; diogenites,  
1070 Hewins and Ulmer, 1984), and Cr XANES (ureilites, Goodrich et al., 2013). A complete listing  
1071 of references for this figure is presented in Table S2.

1072 Figure 7: Histograms of  $\Delta IW$  values from planetary samples. Terrestrial samples (blues and  
1073 greens) include continental xenoliths, abyssal peridotite, MORB, Kilauea basalt, andesites and  
1074 lamprophyres from arcs all from Righter and Drake (1996). Mexican basalt samples are from  
1075 this study. Martian samples (shades of red) include shergottite basalts from Righter and Drake  
1076 (1996) as well as the range of oxidation recorded in cooling processes in shergottite LAR 06319  
1077 (Peslier et al., 2010), and the range of reduction recorded in nakhlite cumulates from Righter et  
1078 al. (2014). ALH 84001 is from this study. Lunar samples (greys) include XANES studies of  
1079 lunar glasses (Karner et al., 2006; Sutton et al., 2005), carbon solubility studies of lunar glasses  
1080 (Nicholis and Rutherford, 2009; Weitz et al., 1997), and electrochemical studies of lunar basalt  
1081 (Sato et al., 1973). Mercury samples are the range defined in studies by Zolotov et al. (2013)  
1082 and McCubbin et al. (2012) based on low FeO and high S contents of the mercurian surface  
1083 measured by MESSENGER. The range for Venus is a conservative estimate based on the size of  
1084 its core, composition of surface basalts, but could be wider depending upon what planetary pro-  
1085 cesses (volatile dissolution, degassing, and fractionation) may have operated on Venusian melts.  
1086 The green and pink vertical bands represent the  $\Delta IW$  thought to be associated with core for-  
1087 mation in Earth and Mars, respectively. The vertical dashed line is the IW buffer, and the verti-  
1088 cal dotted line at  $IW+2$  represents the upper axis scale in the previous two plots illustrating the

1089 relatively oxidized nature of terrestrial and martian samples. Complete listing of references in  
1090 Table S2.

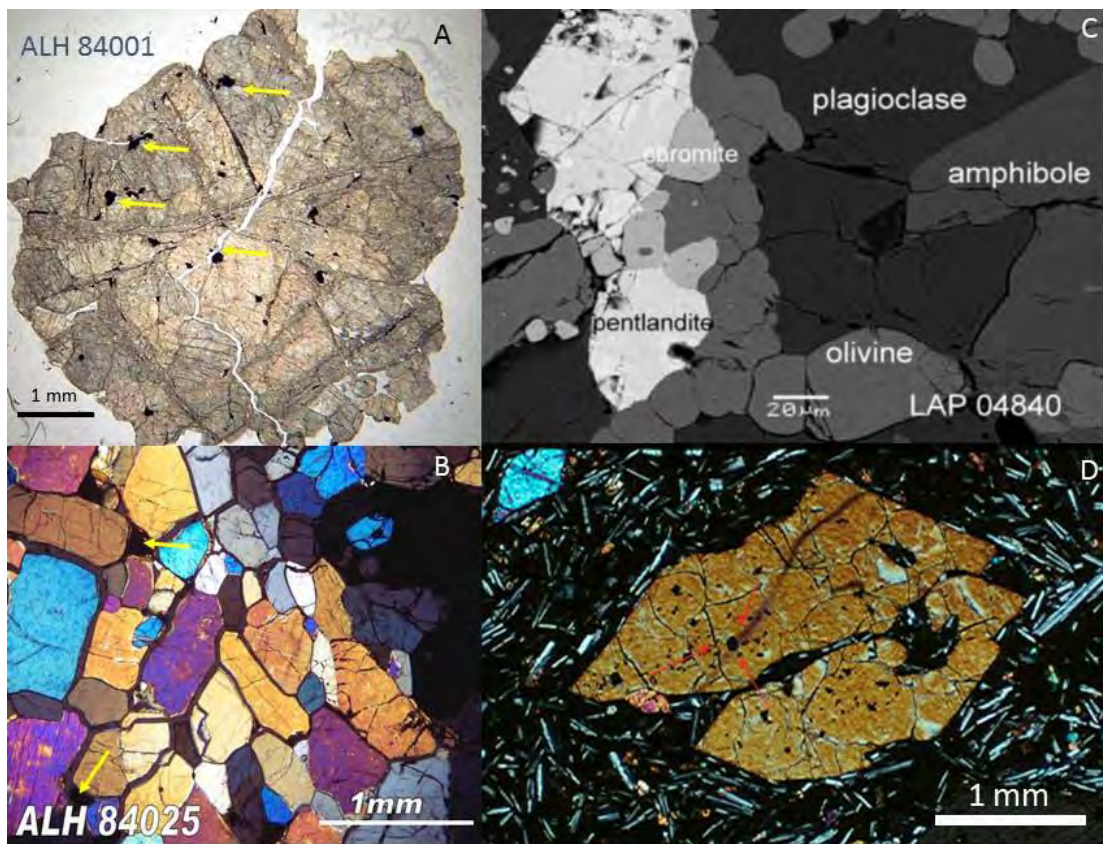


Figure 1

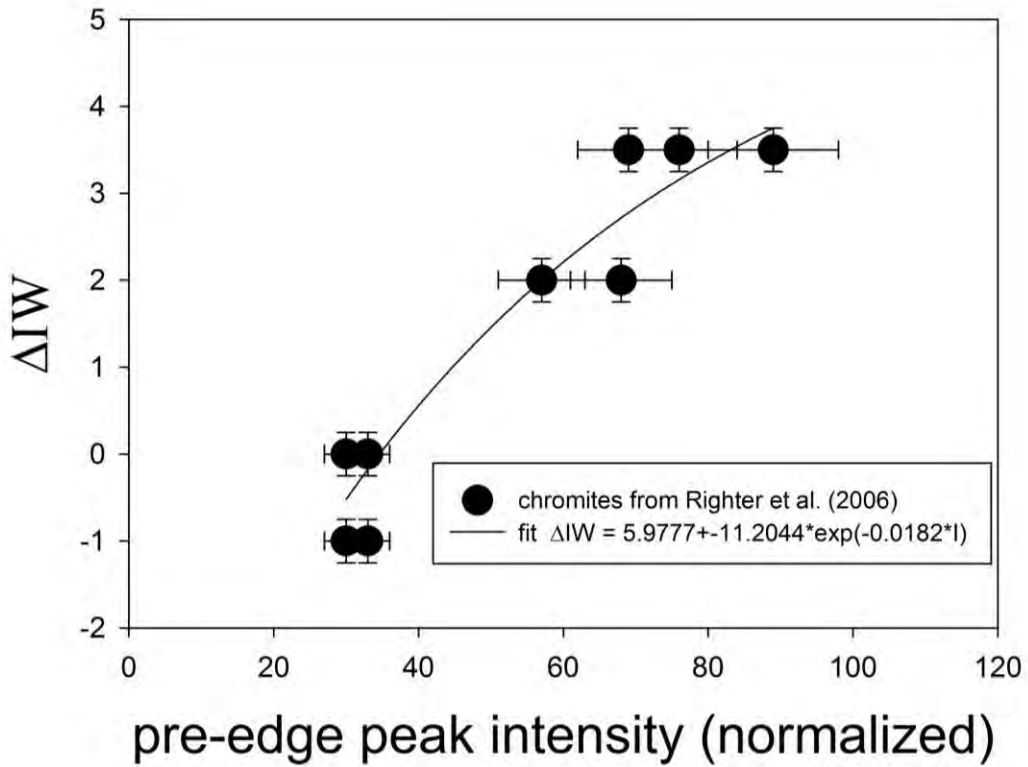
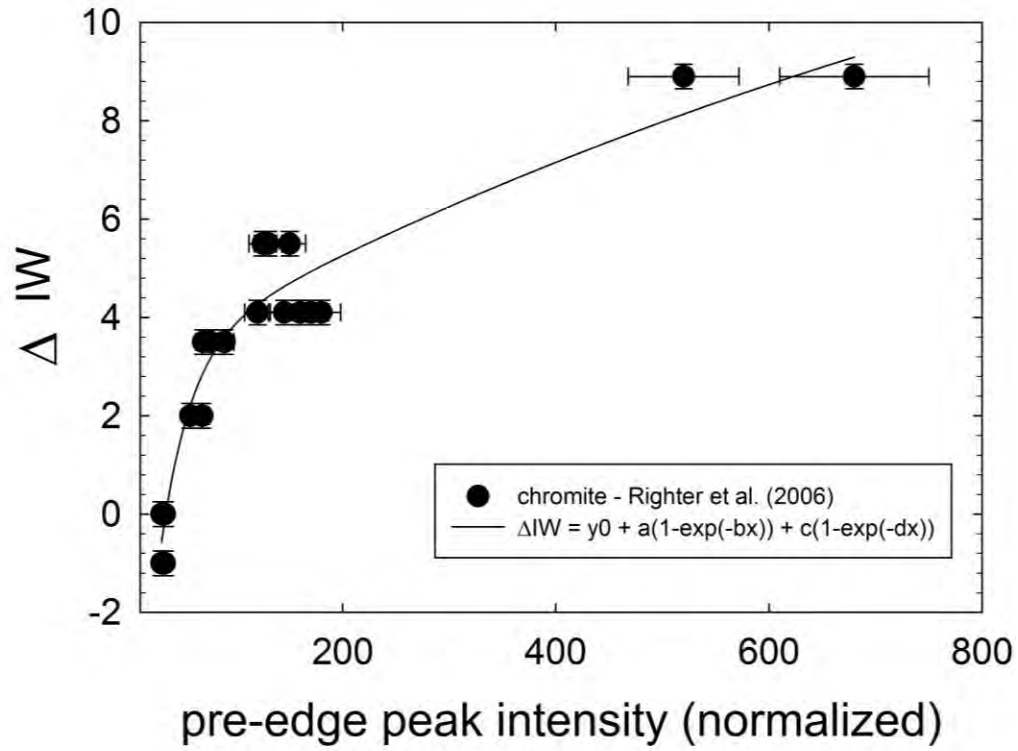


Figure 2

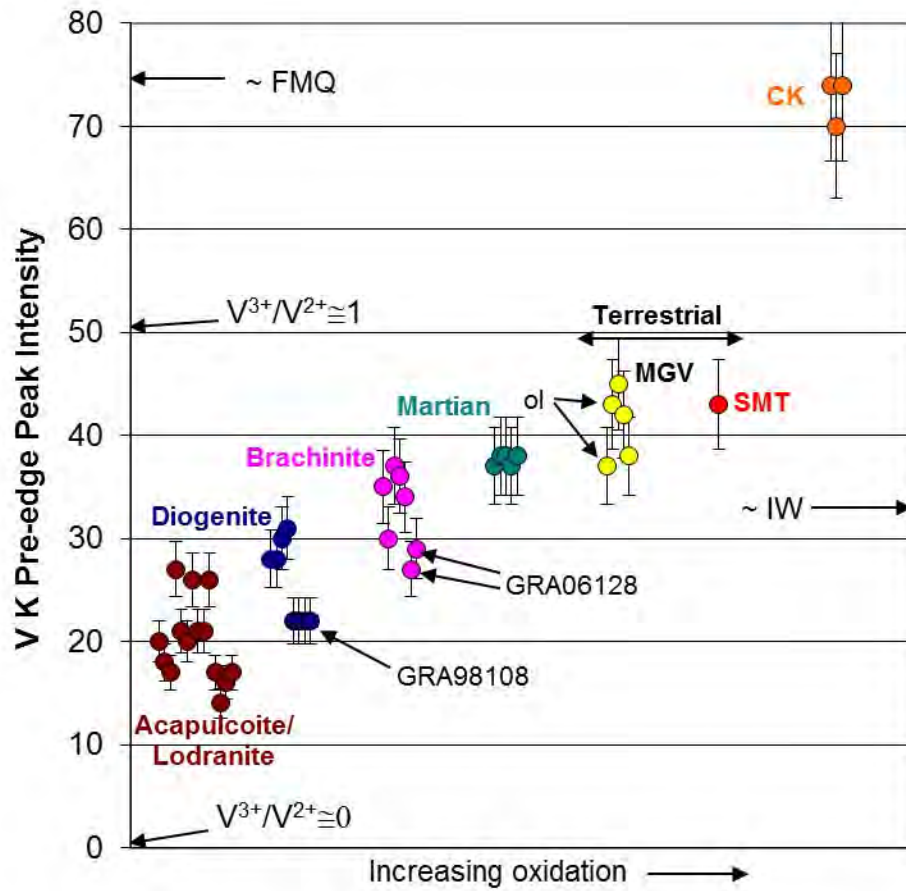


Figure 3

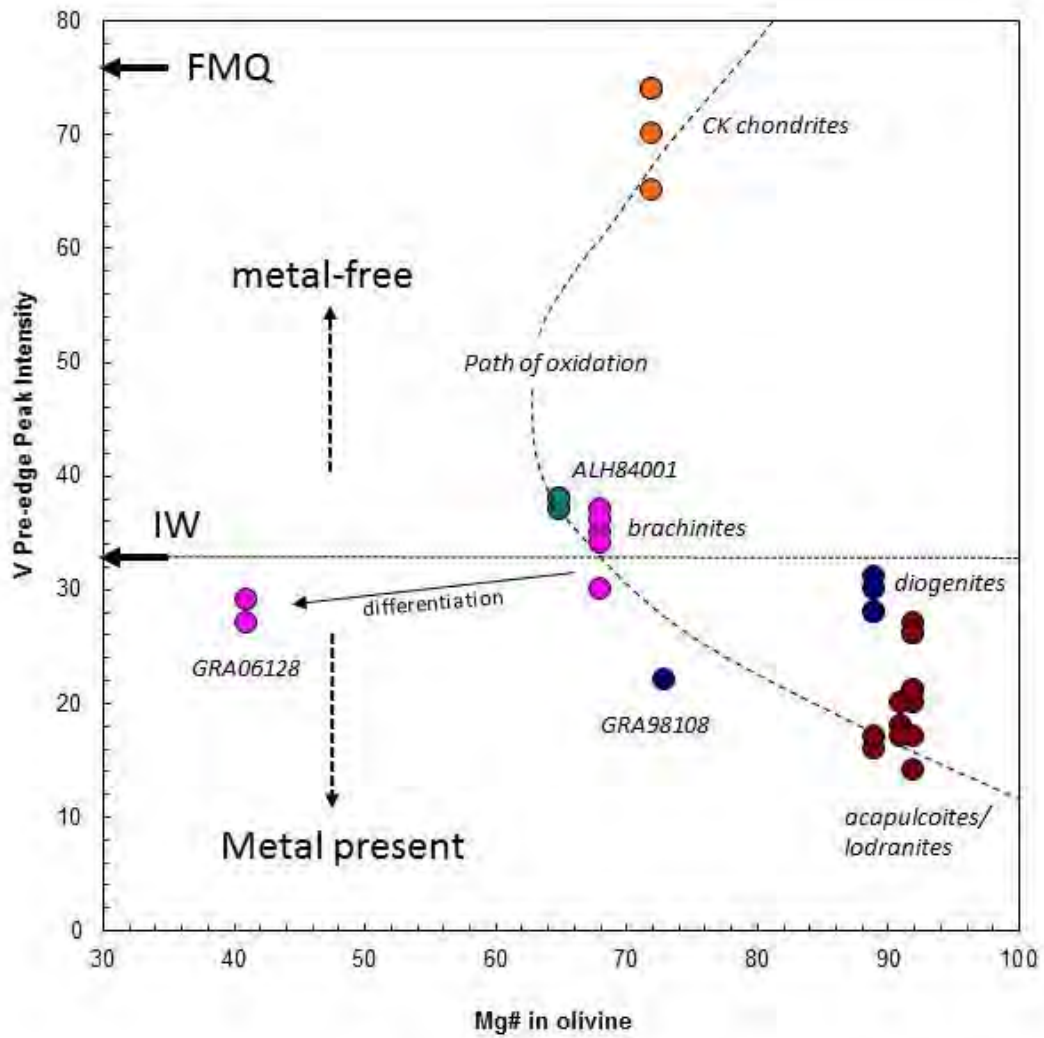


Figure 4

*Primitive materials, chondrites*

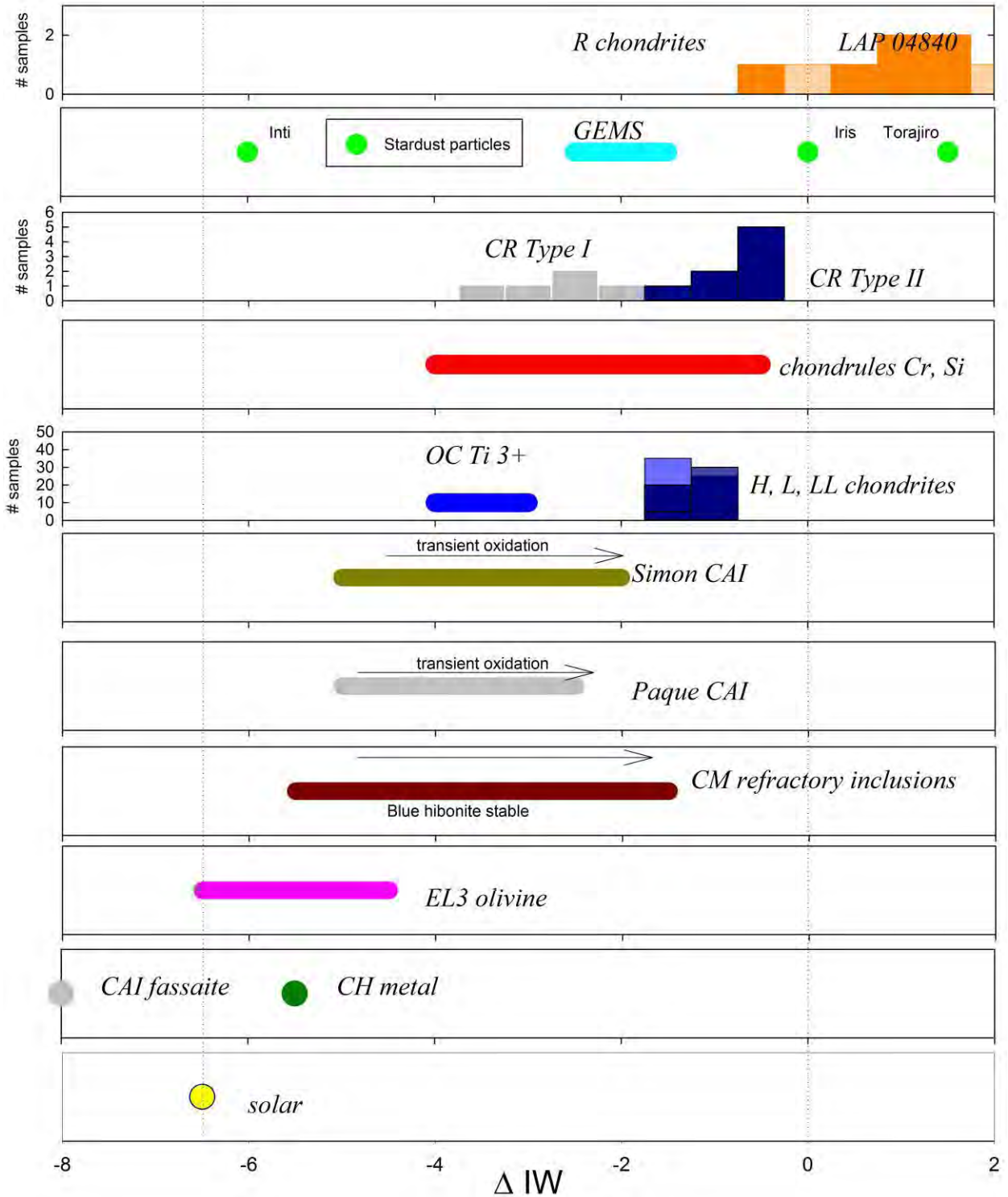


Figure 5



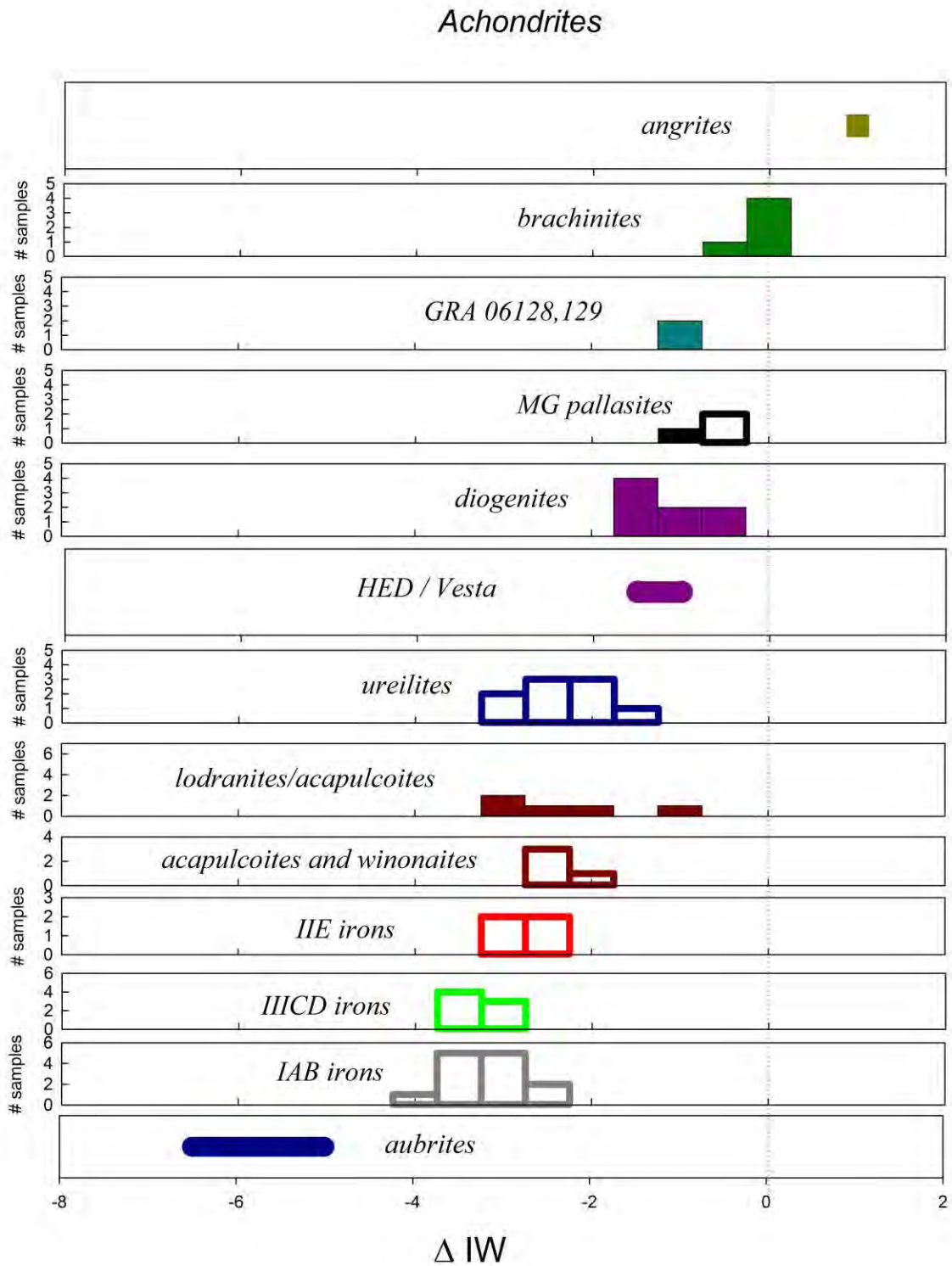


Figure 6



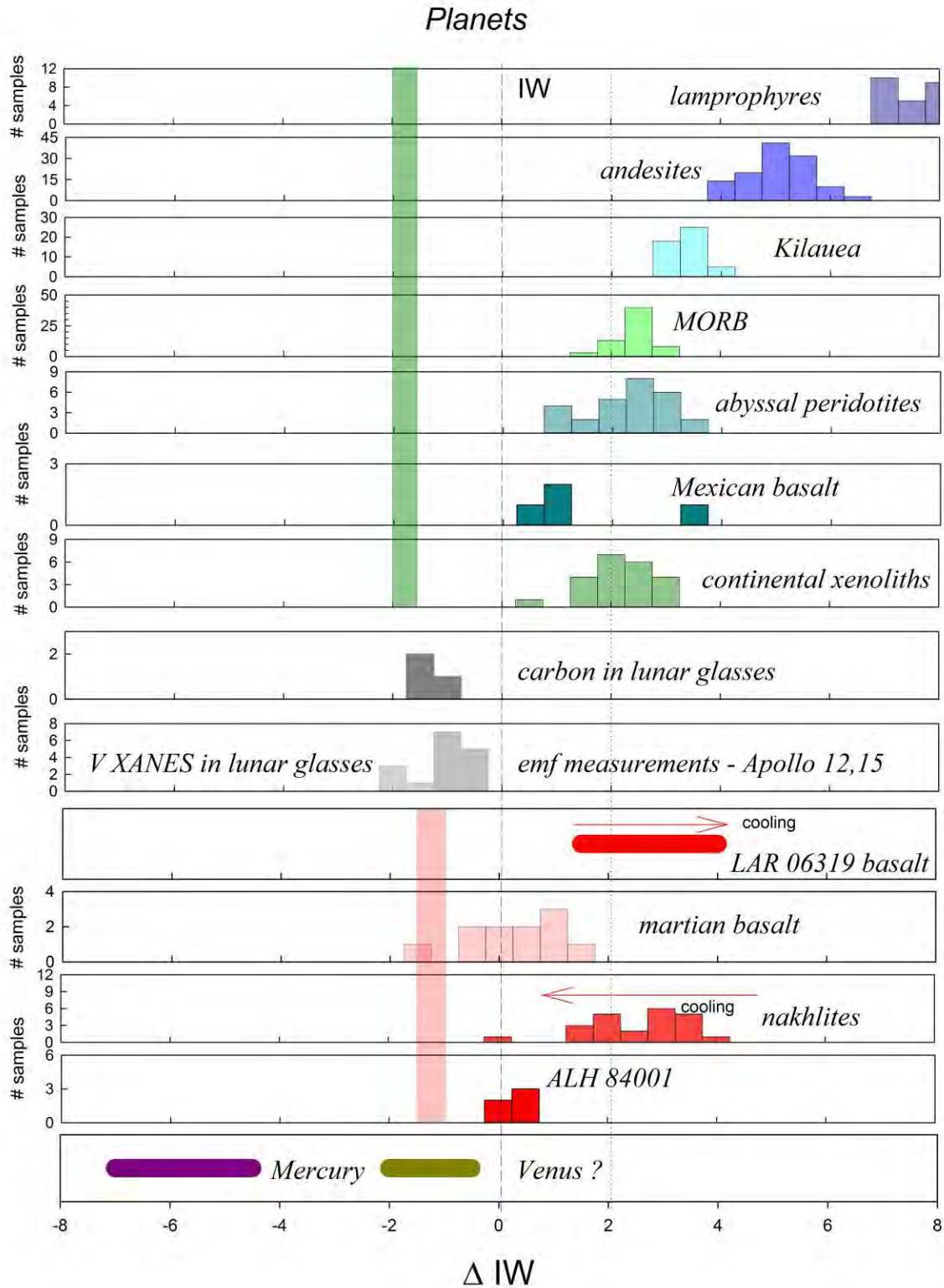


Figure 7

**Table 1: Results of V XANES measurements for individual spinel-structured oxides**

<i>sample</i>	<i>Section #</i>	<i>type</i>	<i>Pre-edge peak intensity</i>	$\Delta IW$ <sup>1</sup>	$\Delta IW$ <sup>2</sup>
ALH77256_1.002-4	116	olivine diogenite	28(3)	-0.88	-0.75
ALH77256_2.001-3	116	olivine diogenite	28(3)	-0.88	-0.75
ALH77256_3.001-3	116	olivine diogenite	30(3)	-0.56	-0.51
ALH77256_4.001-3	116	olivine diogenite	31(3)	-0.41	-0.41
GRA98108_md2.001-3	17	olivine diogenite	22(2)	-1.98	-1.53
GRA 98108_md1.001-3	17	olivine diogenite	22(2)	-1.98	-1.53
GRA98108_brd1.001-3	17	olivine diogenite	22(2)	-1.98	-1.53
GRA 98108_ah1.001-3	17	olivine diogenite	22(2)	-1.98	-1.53
ALH84025_1.004-5	12	brachinite	35(4)	0.15	0.05
ALH84025_2.001-3	12	brachinite	30(3)	-0.56	-0.51
EET99402_1.003-4	33	brachinite	37(4)	0.41	0.26
EET99402_2.001-3	33	brachinite	36(4)	0.28	0.16
EET99402_3.001-3	33	brachinite	34(3)	0.02	-0.06
CMS04071_2.001	9	pallasite	27(3)	-1.05	-0.88
QUE99679_1.002-4	7	CK4 chondrite	74(7)	3.11	3.06
QUE99679_2.001,2,4	7	CK4 chondrite	65(7)	2.70	2.55
QUE99679_3.001,2,3	7	CK4 chondrite	70(7)	2.94	2.84
QUE99679_4.001,2,3	7	CK4 chondrite	74(7)	3.11	3.06
SMT-1_grain1.004-6	-	Basalt	52(5)	1.07	0.85
MGV-19_ol_1.001-3	-	Basalt	37(4)	0.41	0.26
MGV-19_ol_3.001-3	-	Basalt	43(4)	1.08	0.85
TMV-6b_ol_3.001-3	-	Basalt	82(8)	3.42	3.46
ALH84001_op1.001-2	382	Martian opx	37(4)	0.41	0.26
ALH84001_ht1.001-2	382	Martian opx	38(4)	0.53	0.37
ALH84001_ht2.001-2	382	Martian opx	38(4)	0.53	0.37
ALH84001_cy1.001-3	382	Martian opx	37(4)	0.41	0.26
ALH84001_cy2.001-3	382	Martian opx	38(4)	0.53	0.37
GRA 06128_wnr2.001-3	52	Ungr. achondrite	27(3)	-1.05	-0.88
GRA 06128_wnr2.001-3	52	Ungr. achondrite	29(3)	-0.72	-0.63
MET01198_wdg.001	14	acapulcoite	20(2)	-2.40	-1.81
MET01198_nja2.001	14	acapulcoite	18(2)	-2.85	-2.11
MET01198_nja1.001	14	acapulcoite	17(2)	-3.09	-2.25
EET84302_vst.002-3	44	lodranite	27(3)	-1.05	-0.88
EET84302_crg.002	44	lodranite	21(2)	-2.19	-1.68

LAP04840_wty1.003-6	24	R6 chondrite	30(3)	-0.56	-0.51
LAP04840_tub1.002-4	24	R6 chondrite	39(4)	0.64	0.47
LAP04840_snd1.001-3	24	R6 chondrite	49(5)	1.63	1.38
LAP04840_lzy1.001-3	24	R6 chondrite	37(4)	0.40	0.26
LAP04840_ftd1.001-3	24	R6 chondrite	41(4)	0.87	0.66
LAP04840_flndr1.001-3	24	R6 chondrite	46(5)	1.36	1.13

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1 - fit to a five parameter exponential function across IW-1 to IW+9; std error = 0.78.

2 - fit to exponential function between IW-1 and IW+4; std error = 0.62.

-  $\Delta IW$  refers to the  $\log fO_2$  of a sample (at T) relative to the  $\log fO_2$  of the IW buffer (at T).

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