

# Redox states of uranium s

## in samples of microlite and monazite

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### ABSTRACT

By applying the method of chemical shifts of the uranium  $L\alpha_1$  and  $L\beta_1$  X-ray emission lines, the oxidation state of U has been determined in select samples of microlite and monazite. From the relative contents of  $U^{4+}$ ,  $U^{5+}$  and  $U^{6+}$  species, the oxygen coefficients have been calculated as a characteristic of U-oxidation rate. It is shown that the oxidation state of U is higher in the studied microlite than in monazite. Possible mechanisms of U oxidation in these two types of minerals are discussed, and it appears that the crystal structure of monazite plays an important role in stabilizing the U redox state. Spontaneous purification of the monazite structure from alien atoms, including U, may be possible via recrystallization of the alpha-recoil tracks. An explanation is suggested for intensive U oxidation in microlite and in other minerals of the pyrochlore group.

21 **Keywords:** microlite, monazite, oxidation states of uranium, chemical shifts of X-ray lines,  
22 metamict state, spontaneous recrystallization.  
23

24

## INTRODUCTION

25 Uranium- and Th-containing minerals are regarded as natural analogues of matrices to be used  
26 for the immobilization of actinides. Among the phases that have been studied in this respect, the  
27 most common ones are titanates, Ti-Ta-niobates, phosphates, silicates, and silico-phosphates of  
28 groups II, XIII and XIV in the Periodic Table of the Elements. In particular, crystalline  
29 pyrochlore ceramics are since several decades at the center of attention of researchers as possible  
30 waste forms for the immobilization of excess weapons-grade plutonium and other actinides  
31 (Lumpkin et al., 1986, 1999, 2014; Lumpkin and Ewing, 1988; Buck et al., 1999; Icenhower et  
32 al., 2000; Gieré et al., 2000, 2002; Lumpkin, 2001; Stefanovsky et al., 2004; Zhang et al., 2013).  
33 One of the most important goals of such research is to try to forecast the long-term behavior of  
34 these ceramic waste forms under conditions of an underground repository. Most of the minerals  
35 mentioned above would be rendered metamict as a result of the gradual accumulation of alpha-  
36 recoil collision cascades, as documented by numerous X-ray and electron diffraction studies (see  
37 e.g., Lumpkin et al., 2014). These and other investigations provided a vast amount of data on the  
38 structure of metamict minerals, i.e., on dislocations in, and damages to, the atomic arrangement  
39 within the solid. At the same time, however, not enough data are available on the electronic  
40 disorder observed in metamict minerals (e.g., Hawthorne et al., 1991; Salje et al., 2011). This  
41 discrepancy, thus, results in a relative lack in knowledge of the relationship between the  
42 chemical composition and the physico-chemical, colloid-chemical, nuclear-chemical and  
43 radiation-chemical processes occurring in complex compositions of amorphous and crystalline  
44 materials.

45 Possible approaches to studying this specific aspect of solid-state chemistry include the  
46 examination of chemical and redox states of polyvalent elements present in these complex

47 natural systems as well as the investigation of how these states may be modified by external  
48 processes. In addition to providing important fundamental knowledge, studies of *d*- and *f*-  
49 elements in minerals as well as reconstructions of the physical and chemical processes that  
50 occurred throughout the geological history of these minerals could help in predicting the  
51 behavior of host phases for nuclear waste in deep geological formations. Important data on the  
52 state of U, Pb and Fe in various minerals were published, for example, by Zhang et al. (2002,  
53 2003), Kramers et al. (2009), and Salje et al. (2011).

54 The main goal of the present work is to report data for the oxidation state of uranium in  
55 select specimens of microlite and monazite, both minerals that are considered to be natural  
56 analogues of host phases for the immobilization of actinides in high-level waste (HLW). In  
57 addition, this paper explores possible explanations for the different results obtained for monazite  
58 and microlite.

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## MATERIALS AND METHODS

### 62 **Sample description**

63 Microlite belongs to the pyrochlore-supergroup minerals, which crystallize in the isometric  
64 crystal system (space group  $Fd\bar{3}m$  or its subgroups;  $a \approx 10.4 \text{ \AA}$ ;  $Z = 8$ ) and conform to the  
65 general formula  $A_{2-m}B_2X_{6-w}Y_{1-n}$ . In this formula, *A* and *B* represent 8- and 6-coordinated cation  
66 sites, respectively and *X* and *Y* are 4-coordinated anion sites (e.g., Atencio et al., 2010). The  
67 general microlite formula is  $(Ca,Na)_2Ta_2O_6(O,OH,F)$ .

68 The studied microlite originates from a Li-rich pegmatite in the Mutala area, Alto Ligonha,  
69 Mozambique (Gieré et al., 2000). The sample investigated here is a piece of a large crystal and  
70 its two smaller fragments, which were first examined visually with the aid of a binocular (10x60)  
71 microscope. There are few small particles (0.2 – 1 mm) formed on the surface of the broken-up  
72 crystal. The microlite crystals are slightly unusual, as they are evidently not octahedral, but  
73 rather show some dodecahedron faces. Overall, they exhibit a yellowish-grey color, but the outer  
74 layers of the large fragment are dull brown, probably because of the strong chemical zoning  
75 observed in microlite from this locality (Gieré et al., 2000). Along cracks and parallel to the  
76 crystal faces of microlite, one can observe light-colored mica (muscovite or lepidolite), which  
77 possibly resulted through epitactic growth. The content of mica in the studied sample is only 1-2  
78 vol.%. Along the cracks (but not on the surface), inclusions of a fine-grained, soft aggregate of  
79 white or light cream color can be seen (sericite or kaolinite). The content of these inclusions is  
80 also small (< 2 vol.%). In addition, microlite also hosts minute quantities of very small grains of  
81 a dark brown mineral with an oblong shape, most likely bismutite, a mineral identified as  
82 inclusions in microlite from this locality (Gieré et al., 2001).

83 The overall U content in the studied microlite sample is  $1.3 \pm 0.1$  wt.%, as determined by the  
84 method of chemical shifts of X-ray emission lines (see below). The sample exhibits a low level  
85 of radioactivity (23-25  $\mu\text{R/h}$ ), as determined with an SPR radiometer. For comparison, the  
86 natural background in our laboratory in St. Petersburg is 18-20  $\mu\text{R/h}$ .

87

88 To carry out the instrumental X-ray studies, the following procedure of sample preparation was  
89 performed: First, the sample was crushed and the resulting powder was separated into two  
90 granulometric fractions: *microlite 1* (<0.25 mm) and *microlite 2* (0.25-0.5 mm). Both fractions

91 were checked for the presence of magnetic components, which however, were present only in  
92 minute quantities. After removal of these magnetic components, the non-magnetic fraction was  
93 purified in a heavy liquid (bromoform), yielding only a very small quantity of the light fraction.  
94 However, some mica and other impurities contained in microlite were entrained into the heavy  
95 mineral concentrate, but their amount was < 5% of the total sample mass.

96

97 Two monazite samples were also investigated in order to be able to compare with the data  
98 obtained for microlite. Monazite (monoclinic) ideally has the composition  $LnPO_4$ , with  $Ln = La,$   
99  $Ce, Pr,$  and  $Nd$ . It may contain smaller amounts of  $Sm-Lu$  and  $Y$ , and typically exhibits extensive  
100 solid solution toward other end-members with  $Ca, Th,$  and  $U$  on the  $Ln$  site. Monazite was  
101 chosen as reference sample because it is considered to be a suitable host phase for the  
102 immobilization of actinides (Lutze and Ewing, 1988; Meldrum et al., 1998; Volkov, 1999;  
103 Orlova et al., 2006; Schlenz et al., 2013; Lumpkin et al., 2014).

104 The two samples of monazite studied here also originated from pegmatites: *monazite 1*  
105 from a pegmatite in the Chupa area of northern Karelia, Russia; and *monazite 2* (with noticeable  
106 veinlets of uranium dioxide) from a pegmatite in China. The contents of  $U$  and  $Th$  in *monazite 1*  
107 are  $0.4 \pm 0.1$  wt.% and  $2.5 \pm 0.1$  wt.%, respectively. *Monazite 2* contains  $U$  in amounts that are  
108 comparable with those in microlite, but it also hosts up to 8 wt.%  $Th$ .

109 To carry out the instrumental X-ray studies of the monazites, the same sample preparation  
110 was performed as that used for microlite (see above).

111

112 **Determination of uranium redox states**

113 The U oxidation states in the minerals were studied by using the method of chemical shifts of the  
114  $L\alpha_1$  and  $L\beta_1$  X-ray emission lines (Bogdanov et al., 1999; Batrakov et al., 2000). Measurements  
115 were carried out with a 2 m-long single-crystal spectrometer (originally designed by O. I.  
116 Sumbaev) with Cochois focusing. The monochromator was a cylindrically curved ( $r = 2000$   
117 mm), 0.3 mm-thick quartz crystal. Fluorescence of the samples was excited by a combination of  
118 Bremsstrahlung and characteristic radiation emitted by the silver anode of an X-ray tube ( $I = 46$   
119 mA,  $U = 47$  kV) and recorded with a NaI(Tl) crystal-based scintillation detector.

120 Line intensities were measured at each point successively for all the samples by subjecting  
121 them alternately to the primary beam. To compensate for the aberration shift due to the  
122 geometrically non-equivalent location of the samples, the chemical shifts  $\delta$  of the  $L\alpha_1$  and  $L\beta_1$  X-  
123 ray emission lines of uranium ( $\delta U-L\alpha_1$  and  $\delta U-L\beta_1$ ) were measured at two equivalent positions  
124 of the detector and monochromator relative to the incident beam. The chemical shifts thus  
125 measured were averaged. Line profiles were approximated by Gaussian-Lorentzian functions,  
126 and their shift  $\delta$  was determined from changes in the line position at the inflection point relative  
127 to the corresponding values for the uranium line in  $UO_{2.00}$ .

128 The content of different U species was calculated according to the procedures described in  
129 detail by Bogdanov et al. (1999) and Batrakov et al. (2000). Briefly, these calculations are  
130 performed on the basis of the two-dimensional  $\delta U-L\alpha_1$  vs.  $\delta U-L\beta_1$  correlation diagram shown in  
131 Fig. 1, where

$$132 \quad U(VI) = \frac{d_3}{d_3 + d_4}; \quad (1)$$

$$133 \quad (2)$$

134 
$$U(V) = \frac{d_1}{d_1 + d_2} \times \frac{d_4}{d_3 + d_4};$$

135

136 
$$U(IV) = \frac{d_2}{d_1 + d_2} \times \frac{d_4}{d_3 + d_4}. \quad (3)$$

137

138 Segments  $d_1$  to  $d_4$  are determined from the numerical values of  $Z$  and  $Y$  in the coordinate system  
139 used ( $Z = \delta U - L\alpha_1$ ;  $Y = \delta U - L\beta_1$ ) and from the coordinates of the corners of the triangle shown in

140 Fig. 1:

141 
$$d_1 = [(Z_4 - Z_1)^2 + (Y_4 - Y_1)^2]^{1/2}; \quad (4)$$

142 
$$d_2 = [(Z_2 - Z_4)^2 + (Y_2 - Y_4)^2]^{1/2}; \quad (5)$$

143 
$$d_3 = [(Z_4 - Z_0)^2 + (Y_4 - Y_0)^2]^{1/2}; \quad (6)$$

144 
$$d_4 = [(Z_3 - Z_0)^2 + (Y_3 - Y_0)^2]^{1/2}, \quad (7)$$

145 where:  $(Z_0, Y_0)$  are the coordinates of the studied substance (filled square);  $(Z_1, Y_1)$ ,  $(Z_2, Y_2)$ , and  
146  $(Z_3, Y_3)$  are the coordinates of the three reference uranium oxides  $UO_2$ ,  $U_2O_5$ , and  $UO_3$ ,  
147 respectively;  $(Z_4, Y_4)$  are the coordinates of the intersection point (open circle) between the lines  
148 defined by  $(Z_0, Y_0) - (Z_3, Y_3)$  and  $(Z_1, Y_1) - (Z_2, Y_2)$ , whereby  $Y_4$  and  $Z_4$  are determined as  
149 follows:

150 
$$Y_4 = \frac{72 \times (152Y_0 + 97Z_0)}{101 \times (97 - Y_0) + 72 \times (Z_0 + 152)}, \quad (8)$$

151

152 
$$Z_4 = \frac{101 \times (152Y_0 + 97Z_0)}{101 \times (97 - Y_0) + 72 \times (Z_0 + 152)}. \quad (9)$$



153

154 If the contents of  $U^{4+}$ ,  $U^{5+}$  and  $U^{6+}$  are known, the overall *oxygen coefficient* of uranium ( $2+X$ ) in  
155 a sample can be calculated from the following relation:

$$156 \quad (2+X) = U^{4+} \times 2.0 + U^{5+} \times 2.5 + U^{6+} \times 3.0. \quad (10)$$

157 The oxygen coefficient provides a measure of the tendency of U to be oxidized in the mineral.

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159

160

## RESULTS AND DISCUSSION

161 Results of our X-ray spectral investigation of microlite and monazite are presented in Table 1,  
162 which in addition to the chemical shifts  $\delta$  in the  $U-L\alpha_1$  and  $U-L\beta_1$  lines also lists the magnetic  
163 broadening ( $\Delta\Gamma$ ) of these lines relative to  $UO_2$ . The line broadening is related to the effect of  
164 interatomic redistribution of the spin density on the split  $5f_+$  and  $5f_-$  levels of uranium, and it  
165 provides information on a spin constituent of the chemical shift (Krivitsky et al., 2004). Table 1  
166 further displays the values of overall oxygen coefficients calculated according to equation (10)  
167 from the relative content of U species.

168 As expected, the overall oxygen coefficients in both samples of microlite are very similar,  
169 being practically identical within experimental error (see  $\delta$  values in Table 1). The slightly  
170 higher  $U^{6+}$  content in *microlite 1* (< 0.25 mm granulometric fraction) compared to *microlite 2*  
171 (0.25-0.5 mm) might be explained by oxidation in the atmosphere of some of the  $U^{5+}$  in the  
172 finest particles. Despite the relatively low U content in the studied microlite as compared with  
173 other minerals of the pyrochlore supergroup, a substantial oxidation of U is observed:  
174 approximately 2/3 of the initial  $U^{4+}$  have been converted into pentavalent and hexavalent states.

175 In contrast, only ~26% of the initial  $U^{4+}$  have been oxidized in the studied monazite samples  
176 (Table 1).

177

### 178 **Do the oxygen coefficients correlate with geological age?**

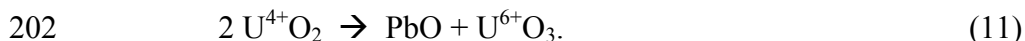
179 The age of *monazite 1* is between 1.76 and 1.93 Ga (Avdzeyko, 1955; Tugarinov et al., 1974).  
180 Using this age and the half-life of  $^{238}U$  (4.468 Ga), the fraction of decayed  $^{238}U$  is calculated at  
181 0.239-0.259. The analytical results for the different redox species of U in this monazite (Table 1)  
182 allow for calculation of the oxygen coefficient according to equation (10), yielding a value of  
183 2.244. This value corresponds to an *oxygen index* ( $X$ ) of 0.244, which means that the oxygen  
184 coefficient of U increased by  $X$  from its initial value of 2.000 (all U assumed to be  $U^{4+}$   
185 originally). The age of *monazite 2* is  $1.00 \pm 0.05$  Ga (A.S. Sergeev, personal communication),  
186 yielding a fraction of decayed  $^{238}U$  of 0.137-0.150; the oxygen coefficient calculated from the  
187 redox data given in Table 1 is 2.149, i.e.  $X = 0.149$ . Thus, as a first approximation, the values of  
188  $X$  in both monazite samples are very similar to those of the fraction of decayed  $^{238}U$ .

189 The age of the studied microlite is approximately 440 my (chemical age determination; see  
190 Gieré et al., 2000), which yields a value of 0.066 for the fraction of decayed  $^{238}U$ . With the redox  
191 data obtained for the two samples (Table 1), oxygen coefficients of 2.570 (microlite 1) and 2.550  
192 (microlite 2) can be calculated. The associated values for  $X$  (0.570 and 0.550, respectively) are,  
193 thus, considerably higher than the fraction of decayed  $^{238}U$ .

194 Therefore, our data suggest that the mechanisms and efficiency of U oxidation in monazite  
195 and microlite are substantially different, whereby the U-oxidation *rate* in microlite appears to be  
196 higher than in the two monazites.

197

198 Let us try to understand the reason for these differences. The fact that the value of  $X$  in our  
199 monazite samples is close to the fraction of decayed  $^{238}\text{U}$  may be interpreted to indicate that self-  
200 oxidation of U in monazite is mainly the result of the following, combined nuclear-chemical  
201 mechanism:



203 This mechanism would lead to oxidation of one  $\text{U}^{4+}$  atom for every single  $^{238}\text{U}$  that decays to  
204  $^{206}\text{Pb}$ . In microlite, however, it appears, that apart from the effect of oxygen, several additional  
205 radiation- and chemistry-related factors influence the redox state of U atoms. These additional  
206 mechanisms are not realized, or not sufficiently effective, in the monazite samples studied here.

207

### 208 **Evidence for low efficiency of radiation mechanisms in monazite**

209 By using the chemical shifts method, Bogdanov et al. (2002b) investigated the oxidation state of  
210 Ce in four monazites of different origin and age, as well as in the complex minerals aeschynite  
211  $[(\text{Y,Ca,Fe,Th})(\text{Ti,Nb})_2(\text{O,OH})_6]$  and britholite  $[\text{Ca}_2(\text{Ce,Ca})_3(\text{SiO}_4,\text{PO}_4)_3(\text{OH,F})]$ . In three of the  
212 monazites (samples #8, 9, 11), cerium was found to have remained in its initial trivalent state,  
213 whereas in the fourth monazite (sample #10),  $\text{Ce}^{4+}$  was present, but its content did not exceed 1-2  
214 % of the total Ce. On the contrary, in aeschynite and, especially, in the two studied britholite  
215 samples, up to 35% of the Ce was present as  $\text{Ce}^{4+}$ . It is important to note here that upon heating,  
216 the  $\text{Ce}^{4+}$  fraction in britholite decreased, whereas in aeschynite the  $\text{Ce}^{4+}$  disappeared completely  
217 (Bogdanov et al., 2002b). Thus, in contrast to the silico-phosphate britholite and the Ti-Ta-

218 niobate aeschynite, cerium in monazite appears to be more resistant to oxidation through self-  
219 irradiation, thus preserving its initial trivalent oxidation state.

220 Therefore, radiation should undoubtedly be considered an effective agent for this  
221 oxidation, in addition to the self-oxidation mechanism described by reaction (11). It can cause  
222 both ionization of Ce and destruction of the crystalline structure of most radioactive minerals.  
223 However, ionization is an indispensable, though not always sufficient, condition for the  
224 oxidation of Ce. The point is that, upon oxidation of a  $Ce^{3+}$  ion, the second *f*-electron is involved  
225 in the formation of a chemical bond, which results in a change of spatial orientation of the  
226 chemical bonds of Ce. However, it is only the  $Ce^{4+}$  phosphate  $Me(II)_{0.5}Ce_2(PO_4)_3$  (Orlova et al.,  
227 2006) that is isostructural with monazite, and this phase does not correspond to the composition  
228 of the initial monazite, its formation through recrystallization of damaged crystal zones in recoil  
229 tracks being impossible. In other words, the involvement of the second *f*-electron of Ce is not  
230 compatible with the geometry of the monazite crystal structure. The new steric orientation of  
231 chemical bonds caused by  $Ce^{4+}$  can be stable only in the new amorphized structure of britholite  
232 and aeschynite.

233

234 Using infrared spectroscopy, Zhang et al. (2002, 2003) identified a complex behavior of  
235 the various redox forms of U in metamict and annealed zircon: they have shown that U ions in  
236 metamict zircon can be assigned to two categories with different structural environments: 1) U  
237 ions in the remaining crystalline regions ( $U^{4+}_{crystal}$  and  $U^{5+}_{crystal}$ ); and 2) U ions in the amorphous  
238 regions ( $U_{amorphous}$ , mainly tetravalent). They also reported that the ratio of  $U^{4+}_{crystal}/U^{5+}_{crystal}$  in  
239 the crystalline domains increased with increasing metamictization. These observations led the  
240 authors to conclude that the tetravalent state is the preferable oxidation state of U in radiation-

241 damaged zircon. The increase in  $U^{4+}_{\text{crystal}}/U^{5+}_{\text{crystal}}$  with increasing metamictization can be  
242 explained as due to trapping of electrons by the  $U^{5+}$  ions. Heating released the trapped electron,  
243 which thus decreased  $U^{4+}_{\text{crystal}}/U^{5+}_{\text{crystal}}$ . The increase in  $U^{4+}_{\text{crystal}}/U^{5+}_{\text{crystal}}$  with increasing  
244 metamictization could also result from a radiation-induced change of local structures, which  
245 could lead to modifications of the local configurations associated with  $Zr^{4+}$  and  $Si^{4+}$  sites (Zhang  
246 et al., 2002; 2003).

247 It is reasonable to assume a certain relationship between the oxidation state of an atom and  
248 the symmetry of its nearest polyhedron. The work of Salje et al. (2011), however, does not  
249 confirm such an assumption. Using  $^{57}\text{Fe}$  Mössbauer spectroscopy, these authors found that iron  
250 in metamict titanite is partitioned between amorphous and crystalline regions according to its  
251 valence:  $\text{Fe}^{3+}$  exists in the crystalline titanite matrix, whereas  $\text{Fe}^{2+}$  occurs almost exclusively in  
252 regions that are amorphous due to radiation damage. Both valence forms are located in the Ti  
253 positions. The Mössbauer spectra for both oxidation states of Fe are well defined, resembling  
254 those found in a fully crystalline matrix. The authors concluded that the local structure of the  
255 amorphized regions exhibits a high degree of short-range order, and that the local environment of  
256 Fe (and hence Ti) is similar to that found in the crystalline titanite structure. If this is indeed  
257 correct, it remains to be explained why  $\text{Fe}^{2+}$  is stabilized in amorphized zones.

258

### 259 **Do $U^{5+}$ and $U^{6+}$ exist in the monazite structure?**

260 Since monazite retains its crystal structure under the effects of radiation, the possibility of  
261 uranium atoms existing in monazite in the  $U^{5+}$  and  $U^{6+}$  states needs to be discussed. According to  
262 Batrakov et al. (2004) and Krivitsky et al. (2004), the transition from  $\text{UO}_2$  to  $\text{UO}_{2.5}$  involves 0.18  
263 of the electron density of a  $5f$ -electron and 0.02 of that of a  $6d$ -electron in the chemical bond.

264 Upon oxidation of  $\text{UO}_2$  to  $\text{UO}_3$ , the fractions of the  $5f$ - and  $6d$ -electrons increase to 0.25 and  
265 0.28, respectively. Moreover, no less than 1.10 of the electron density of the  $5f$ -electron  
266 participates in the interatomic redistribution of electron and spin density on the split  $5f_+$  and  $5f_-$   
267 levels of U when its valence increases from +4 to +6. Changes in the nature and spatial  
268 orientation of chemical bonding violate conditions of even a limited isomorphism of  $\text{U}^{4+}$  in the  
269 crystal structure of monazite. As a consequence, upon recrystallization of amorphized regions  
270 formed by recoil atoms, the crystal structure of monazite may reject those U atoms whose radial  
271 distribution of the electron density or spatial orientation of hybrid orbitals do not suit the  
272 geometry of the surrounding lattice.

273 This process is analogous to the purification of substances by the method of zone  
274 recrystallization and is readily observed in polymorphic phase transformations (first- and second-  
275 order phase transitions). The behavior of radiogenic  $^{113\text{m}}\text{In}$  atoms in metallic Sn may serve as an  
276 example (Alekseev et al., 1998a): upon transition from the tetragonal to the rhombic  
277 modification of Sn at 200 °C, radioactive atoms of In from the bulk of a Sn foil move up to its  
278 surface. In addition, up to 20% of the In atoms are found in the gaseous phase, which does not  
279 contain any Sn atoms. An analogous ejection of foreign atoms upon polymorphic transformation  
280 was observed in Mo and W (Alekseev et al., 1998b, 2002), as well as in a number of other  
281 substances.

282 It is most probable that the U atoms, which were rejected by the crystal structure of  
283 monazite, could diffuse to other sites, where they accumulate and form oxide phases of their  
284 own, e.g. in pores, cracks, along grain boundaries, or in the vicinity of dislocations. Such  
285 diffusion can be intensified by radiation, in particular, by the energy dissipation of recoil atoms  
286 during elastic tangential collisions with other atoms. High mobility of Pb, Th, and U atoms as

287 well as the possibility of their removal from monazite upon exposure to low temperatures have  
288 been repeatedly observed in monazites, and this mobility is greater than the one corresponding to  
289 the volume diffusion coefficients of these elements (Cherniak and Pyle, 2008; Olander and Eyal,  
290 1990). Seydoux-Guillaume et al. (2012) underlined the important role of radiation damage  
291 effects, in particular swelling-induced fracturing, and the essential role of porosity and cracks in  
292 the migration processes within monazites.

293 It has been noted repeatedly that U-rich zones occurring in various minerals are also  
294 enriched in accessory minerals. For example, microradiography revealed that U is evenly  
295 distributed in optically transparent and pure zircon crystals, whereas high U concentrations  
296 occurred locally, e.g. along cracks and in roiled and less transparent parts of the mineral, which  
297 would suggest that part of the U has been segregated into micro-inclusions of accessory U  
298 minerals (Komarov, 1977; Komarov et al., 1980, 1985; Tsimbal et al., 1986). These conclusions  
299 may be applied to monazites as well: the presence of uraninite inclusions in the two monazite  
300 samples studied here has indeed been proven, i.e., by using X-ray diffraction for monazite 1  
301 (sample from Karelia), and by detailed optical microscopy combined with immersion liquids for  
302 monazite 2 (sample from China). Similarly, the association of uraninite and monazite has been  
303 reported previously from other occurrences (e.g., Bogdanov et al., 1999; Bogdanov et al.,  
304 2002b).

305 Undoubtedly, the primary separation mechanism of isomorphic and non-isomorphic U  
306 species in minerals is connected with the primary crystallization from a melt or fluid and with the  
307 accessory-mineral growth. Uranium, the content of which exceeds the isomorphic capacity of the  
308 mineral under particular physical and chemical conditions, is rejected by the front of the growing  
309 crystal and is trapped by it later as U-rich micro-inclusions (Vorobiev, 1990). Micro-crystals of

310 uraninite in monazite, however, might also be formed upon cooling through exsolution from an  
311 originally U-rich monazite. These mechanisms, however, are most probably not the only ones  
312 possible, as indicated by various investigations (e.g., Olander and Eyal, 1990; Seydoux-  
313 Guillaume et al., 2012). Komarov (1977) suggested, for example, that redistribution of U in the  
314 mineral association occurred after the formation of crystals by a partial recrystallization of U-  
315 containing minerals. We propose that post-crystallization alteration, especially the  
316 recrystallization of the amorphized substance in recoil tracks, is another very likely mechanism  
317 that leads to the rejection of U from the monazite structure upon recrystallization. This process  
318 would lead to the decomposition of single-phase mineral structures and could take place during  
319 long-term storage of actinide host phases. Similar post-crystallization alteration features have  
320 also been reported for metamict microlite, e.g., from Mozambique (Gieré et al., 2001) and from  
321 the Kola Peninsula (Kudryashov et al., 2015).

322 An alternative or, perhaps, complementary mechanism of preserving  $U^{4+}$  in the structure of  
323 monazite could be radiation-and-chemical reduction of U, i.e., electron capturing by penta- and  
324 hexavalent U atoms. It must be assumed that the relatively rapid recrystallization within the  
325 recoil tracks normalizes the process of electron transfer in the conduction band of the mineral.  
326 The consequence of this is neutralization of the excess charge of uranium atoms, like the  
327 reduction process  $Ce^{4+} \rightarrow Ce^{3+}$ . The same mechanism may be effective for the reduction of other  
328 polyvalent impurities in monazite.

329

### 330 **Incorporation of $U^{4+}$ in monazite during recrystallization of recoil tracks?**

331 The next issue to be discussed is whether or not the crystal structure of monazite could assimilate  
332 those tetravalent U atoms, which happened to lie within the recoil track at the moment of



333 recrystallization of the damaged structure. The answer does not seem evident: upon formation of  
334 primary accessory minerals in pegmatite dikes,  $U^{4+}$  atoms are incorporated at high temperatures  
335 (e.g., via heterovalent compensation isomorphism), when the difference in ionic radii is leveled  
336 by the large amplitude of atom oscillation. Based on the radiochemical data available, however,  
337 it can be assumed that some of the  $U^{4+}$  is incorporated into the structure of monazite even at low  
338 temperature, during recrystallization of amorphous parts.

339         The effect of assimilation is revealed upon the incongruent dissolution of monazites, as  
340 well as of xenotime, in solutions of strong hydrochloric acid. Results of the action of 6 molar  
341 HCl solutions (with and without reducing agents added) on these minerals (Avenirova et al.  
342 1992) are given in Table 2. The data show that the radioactivity of  $^{238}U$  in the leachates is higher  
343 than that of  $^{234}U$ , whereby the deficit of the radiogenic uranium is observed in both  $U^{4+}$  and  $U^{6+}$   
344 species. This result cannot be explained on the basis of a single-phase model for these samples.  
345 Therefore, we conclude that the  $^{234}Th(^{234}U)$  recoil atoms penetrate from the outer layer of the  
346 phase, which is richer in U than the bulk monazite (i.e., from the  $UO_{2+x}$  phase present in the  
347 mineral association), into the structure of monazite (or xenotime), where they are fixed upon  
348 spontaneous recrystallization within the recoil track. Here we come across a variant of the effect  
349 observed by Chalov (1975) and Shirvington (1983), namely that the leaching solutions are  
350 enriched in the parent U isotope rather than the radiogenic isotope. On average, the fraction of U  
351 going into solution is 10-20%, and is mainly tetravalent (Avenirova et al., 1992).

352         The explanation suggested here for the observed isotopic effects of the incongruent  
353 dissolution of monazite seems to be the only one possible, but it leads to a paradoxical  
354 conclusion: since a substantial part of U in the monazite and xenotime is present in the uranium  
355 oxide phase, it means that all our arguments on the mechanism of U oxidation in monazites are

356 related not only to the original monazite, but equally to the uraninite. At the same time, this  
357 conclusion is not surprising, since both uraninite and monazite share the property of preserving  
358 their crystal structure, as the alpha-recoil tracks have relatively short life times ( $1-4 \times 10^4$  y; Eyal  
359 and Fleischer, 1985a, 1985b). The other common property of uraninite and monazite is the  
360 tendency to eject foreign atoms, or atoms of different valence to the periphery of crystal grains.  
361 Already more than thirty years ago, Dubinchuk and Sidorenko (1978) observed that the  
362 radiogenic Pb in only slightly oxidized samples of uraninite was not only located as PbO on  
363 intergrain surfaces, but that it also occurred as a distinct, metallic Pb phase, which was present as  
364 either rounded aggregates or as tiny squares (0.2-0.5  $\mu\text{m}$  across). These authors have further  
365 described that in fairly strongly oxidized samples of uraninite, hexavalent U was rejected from  
366 the structure, which led to the formation of lead uranate ( $\text{PbUO}_4$ ).

367       It is quite understandable why Pb is not stabilized in the  $\text{UO}_2$  structure: the laminated  
368 tetragonal and chain-like rhombic crystal lattices of the red and yellow PbO do not match the  
369 face-centered cubic fluorite  $\text{UO}_2$  lattice. A mismatch of the symmetry of the chemical bonds and  
370 the geometry of the surrounding lattice should be understood as a non-optimal spatial overlap of  
371 electron clouds of the central atom and anions in the coordination polyhedron. It is the weak  
372 chemical bond with the surrounding structure that apparently determines the effect of rejection of  
373 Pb atoms from the regular lattice upon recrystallization of recoil tracks.

374       Thus, the apparent monazite is a combination of monazite and uraninite, which are both  
375 resistant to radiation damage, and thus metamictization, and which mutually complement each  
376 other for the balance of redox states to be accounted for.

377

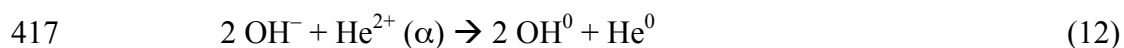
378 **Oxidation of U in microlite**

379 In contrast to monazite, microlite is subject to significant oxidation (see above), which results in  
380 the fraction of oxidized U in the mineral exceeding the fraction that is typical for closed  
381 uranium-oxide systems. Apparently, it concerns all Ti-Ta-niobates of the pyrochlore group, as  
382 indicated by results from another study that applied the chemical shifts method (Bogdanov et al.,  
383 2002a): a sample of U-rich pyrochlore from a granite pegmatite in the Vuori-yarvy Massif,  
384 northwestern Karelia (Russia) contained 20% U<sup>4+</sup>, 37% U<sup>5+</sup>, and 43% U<sup>6+</sup>. These values  
385 correspond to an oxygen coefficient of 2.615. Since the age of this specimen is 1.74 Ga, the  
386 fraction of decayed U is only 0.24 of its initial amount and, consequently, by analogy with  
387 monazite, the corresponding oxygen coefficient should be equal to 2.24. In two other U-rich  
388 pyrochlore samples, a 0.25 Ga old sample from quartz veins of alkaline pegmatites in the Urals  
389 and a 0.52 Ga old specimen from metasomatites in the Chupa area of northern Karelia (Russia),  
390 all U was found to be hexavalent, but it did not occur as oxide species, but rather as the uranyl  
391 (UO<sub>2</sub><sup>2+</sup>) species.

392 It may be concluded that there are at least two factors that determine the chemical and  
393 redox states of U in these minerals: First, a low resistance of the corresponding structures  
394 towards metamictization. Because oxidation is associated with a change of the nature and  
395 symmetry of chemical bonds of atoms with their environment, this process most easily occurs in  
396 metamict structures, where there are no crystallographic limitations for the new redox state to be  
397 realized. Moreover, it concerns such species as uranyl ions, which are not capable of isomorphic  
398 substitution of atoms in accessory minerals. An exception can be made for the cubic lattice of  
399 uraninite, which remains unchanged for oxygen coefficient values up to 2.25. It is well known  
400 that there is a sharp decrease in the U-oxide density when the oxygen coefficient increases to  
401 values > 2.4 (Weigel, 1986). This is assumed to be caused by the appearance in the structure of

402 U oxide with uranyl-type bonds, the two short collinear U–O main bonds being formed in a  
403 combination with 4-6 less strong bonds lying in the plane that is approximately perpendicular to  
404 the two first bonds. Yet, the appearance of uranyl-type bonds does not imply the compulsory  
405 formation of isolated uranyl groups. It is suggested, that the highest oxidation states of U (V, VI)  
406 in uranium oxides, as well as in most of the known oxide compounds of actinides, are stabilized  
407 owing to the formation of uranyl (actinyl)-type bonds, thereby preserving homogeneity of the  
408 system. It should be noted that the accumulation of oxidized species of atoms in uraninite will  
409 ultimately lead to the cubic lattice being transformed into the rhombic one. Hence, it is clear that  
410 metamictization of the mineral that hosts U or other actinides does remove crystallographic  
411 (steric) limitations for changes resulting from both radiation-chemical and nuclear-chemical  
412 processes.

413 The second factor, which can dramatically influence the chemical state of U in minerals of  
414 the pyrochlore group, is the presence of crystallization and constitutional water. This water, in  
415 combination with the radiation process, can initiate a chain of oxidation–reduction reactions. For  
416 example, the formation of hydronium in pyrochlore can be the result of the following processes:



420 There are other possible variants for radiation-chemical reactions in Ti-Ta-niobates: for example,  
421 radiation creates traps to capture electrons and holes of various depths, which determine the  
422 chemical state of atoms in the mineral.

423 In connection with this, the following problems are of interest: how pronounced is the  
424 difference between the synthetic phase and its natural analogue? To what extent may these  
425 differences be neglected or, vice versa, how can they be accounted for when analyzing results of  
426 other studies? To what extent is the prognostic information correct in regard to the long-term  
427 behavior of HLW hosts when studying natural analogues?

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430

### IMPLICATIONS

431 Knowledge of the redox state of uranium is of interest for two reasons: firstly, it yields  
432 information about the nuclear-chemical and radiation-chemical processes taking place in  
433 radioactive minerals over geological timescales, and secondly, it provides a basis for the long-  
434 term prediction of the chemical state of actinides in potential host phases for high-level nuclear  
435 waste. Our study has shown that in the monazite samples, 74% of the U is still tetravalent, in  
436 spite of their considerable geological age, whereas only ~33% of the U remains in its tetravalent  
437 state in the much younger microlite. These results demonstrate that the mechanism of U  
438 oxidation is very different for the two minerals: it is largely due to a nuclear-chemical process in  
439 monazite (reaction 11), whereas in the more intensely oxidized microlite it is also due to a  
440 radiation-chemical mechanism, which results from metamictization.

441 We also conclude that upon recrystallization of the amorphized regions in monazite, the  
442 crystal structure rejects the penta- and hexavalent U atoms, which then form separate U oxide  
443 phases. Such processes, therefore, can lead to a transformation of a monophasic host into a  
444 multiphase assemblage with different properties (e.g. chemical durability). The similarity of  
445 nuclear waste form materials with their naturally occurring analogues may thus be quite limited,

446 except if the chemical compositions of the mineral and the synthetic matrix material closely  
447 coincide. For example, a study of fergusonite from a granite pegmatite showed the ability of the  
448 mineral to retain U even in the completely metamict structure (Gieré et al., 2009). The authors  
449 concluded that fergusonite could be a phase suitable to be included in ceramics designed for the  
450 immobilization of HLW. This situation, however, demands further clarification, as illustrated by  
451 the following example: during their study of pyrochlore-based ceramic waste forms, Strachan et  
452 al. (2005) observed that despite amorphization of the material at high alpha-decay doses, there  
453 was no cracking and no effect on the kinetics of ceramic dissolution. The authors concluded on  
454 the basis of structural changes resulting from alpha-decay of incorporated  $^{238}\text{Pu}$  that even though  
455 pyrochlore is susceptible to radiation-induced damage, it remained chemically and physically  
456 viable as a material for immobilizing surplus weapons-grade plutonium. In contrast to these  
457 results, Bogdanov et al. (2002a) observed high uranium leach rates in their study of natural  
458 pyrochlores; they ascribed this extensive leaching to the presence of all U in the uranyl form  
459  $(\text{UO}_2)^{2-}$ , which resulted from radiation-chemical reactions, presumably taking place in the  
460 presence of an aqueous phase. This example, thus, demonstrates that analogies between a  
461 mineral and its corresponding mineral-like phase in HLW waste forms, can only be established if  
462 several conditions and processes are similar, e.g., chemical composition, self-irradiation and  
463 aqueous alteration. Otherwise, the immobilization characteristics of HLW matrices determined in  
464 the laboratory have, unfortunately, limited predictive character.

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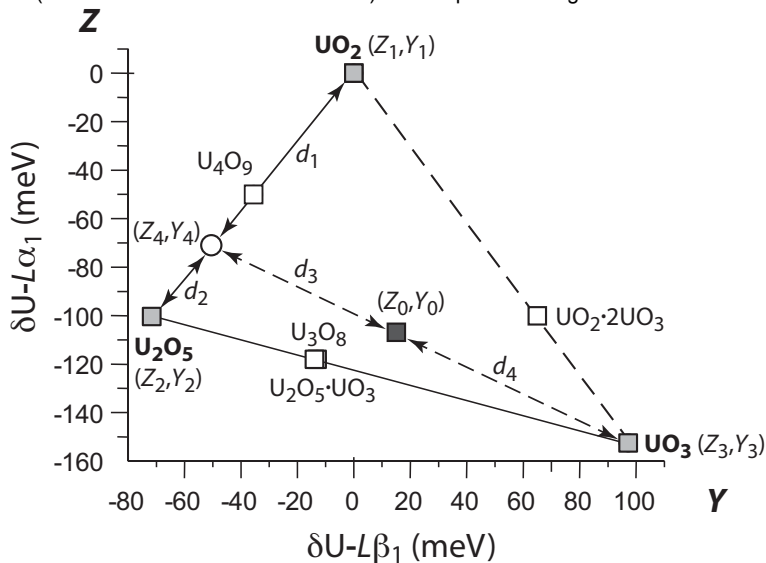
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**Figure 1.** Correlation diagram of the chemical shifts  $\delta$  for the  $L\alpha_1$  and  $L\beta_1$  X-ray emission lines of uranium in compounds of the composition  $UO_{2+X}$  ( $X = 0 - 1$ ). See text for discussion.

**Table 1.** Redox forms of uranium in the studied minerals, as determined by the data obtained from the X-ray spectral studies

Sample	Chemical Shift $\delta$ (meV)		Relative line broadening $\Delta\Gamma$ (meV)		Relative content (%)			Oxygen coefficient in the oxide $\text{UO}_{2+X}$
	$\delta\text{U-L}\alpha_1$	$\delta\text{U-L}\beta_1$	$\Delta\Gamma\text{U-L}\alpha_1$	$\Delta\Gamma\text{U-L}\beta_1$	$\text{U}^{4+}$	$\text{U}^{5+}$	$\text{U}^{6+}$	
Microlite 1	-86±5	+34±7	+41±24	-176±48	34.2	17.6	48.2	$\text{UO}_{2.570}$
Microlite 2	-89±5	+22±6	+54±24	-157±46	32.0	26.0	42.0	$\text{UO}_{2.550}$
Monazite 1	-38±7	+19±14	+59±42	-670±81	73.7	3.8	22.5	$\text{UO}_{2.244}$
Monazite 2	-28±8	-12±12	-21±48	-478±61	74.2	21.9	3.9	$\text{UO}_{2.149}$

**Table 2.** Isotopic composition of uranium in hydrochloric acid leachates of monazite and xenotime

Mineral and composition of the solution	Activity Ratio (= $^{234}\text{U}/^{238}\text{U}$ )			Content of $^{238}\text{U}^{4+}$ in leachates (%)
	(Bq/Bq)			
	$\text{U}^{4+}$	$\text{U}^{6+}$	$\Sigma\text{U}$	
<b>Monazite #9</b> 6 mol/L HCl + 0.6 mol/L $\text{C}_2\text{H}_8\text{O}_6$	0.94±0.02	0.81±0.04	0.92±0.03	90 ± 5
<b>Monazite #10</b> 6 mol/L HCl + 0.6 mol/L $\text{C}_2\text{H}_8\text{O}_6$	0.97±0.01	0.96 ±0.01	0.97 ±0.01	63 ± 12
<b>Monazite #10</b> 6 mol/L HCl + 0.6 mol/L $\text{NH}_2\text{OH} \cdot \text{HCl}$	0.97±0.01	0.97±0.01	0.97±0.01	—
<b>Monazite #10</b> 6 mol/L HCl (no reducing agent)	0.965±0.005	0.997±0.005	0.979±0.005	59 ± 12
<b>Xenotime</b> 6 mol/L HCl + 0.6 mol/L $\text{C}_2\text{H}_8\text{O}_6$	0.93±0.04	0.98±0.02	0.94±0.04	82 ± 8
<b>Monazite</b> (data from Chalov, 1975)	0.92±0.02	0.93±0.02	0.93±0.02	97