

1 **Revision #2**

2  
3 **Tracking the thermal decomposition of plasma-sprayed**  
4 **hydroxylapatite**

5  
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9  
10 **Abstract**

11 In modern orthopedics, plasma-sprayed hydroxylapatite coatings are applied routinely to  
12 metallic parts of hip and knee prostheses, as well as to dental root implants in order to render  
13 them osseointegrative, that is, able to assist the body in creating new bone by ingrowth of  
14 bone cells, blood capillaries, and soft tissue. In this work, hydroxylapatite coatings were  
15 deposited by atmospheric plasma spraying on titanium alloy substrates, and characterized by  
16 synchrotron radiation X-ray diffraction and solid-state nuclear magnetic resonance  
17 spectroscopy. The deposition parameters were varied using a statistical design of experiments  
18 methodology. Depending on the degree of heat input and heat transfer rates, (i)  
19 dehydroxylated hydroxylapatite phases such as oxy- and oxyhydroxylapatite, (ii) thermal  
20 decomposition phases (tri- and tetracalcium phosphates, CaO), and (iii) amorphous calcium  
21 phosphate were formed. Implications of this research are that oxyapatite appears to be  
22 unstable at ambient conditions, and that proper selection of intrinsic plasma-spray parameters  
23 is key to the chemical stability and mechanical performance of the coating.

24 **Keywords:** Hydroxylapatite, oxyhydroxylapatite, oxyapatite, amorphous calcium phosphate,  
25 plasma spraying, osseointegrative coatings, implants.

30

31

## Introduction

32 The apatite group of minerals with about 60 members crystallizing in the hexagonal space  
33 group  $P6_3/m$  constitutes a scientifically and technologically important object of mineralogical  
34 research ever since the father of mineralogy, Abraham Gottlob Werner, described and named  
35 this mineral (Werner 1788). Calcium apatite serves mankind as an important source of  
36 fertilizer (Childers et al. 2011), carriers of rare earth elements (Pålsson et al. 2014), potential  
37 host material for nuclear (Vance and Gregg 2012) and non-nuclear (Pöllmann 2012) industrial  
38 wastes, and increasingly, as a biomedical material for filling bone cavities (Jarcho et al. 1976;  
39 Jarcho 1981), for bone (Pina and Ferreira 2012) and dental (Moseke and Gburek 2012)  
40 cements, as well as coatings for endoprosthetic implants (Ducheyne et al. 1980). It is this last  
41 application that is the subject matter of the present contribution.

42 To improve the biological performance of endoprosthetic, non-cemented hip and knee  
43 as well as dental implants, calcium phosphate bioceramic coatings have been developed as a  
44 bone growth-supporting interface between the load-carrying metal implant and the  
45 surrounding cortical bone tissue (Heimann and Lehmann 2015). Hydroxylapatite-derived  
46 implant coatings are known to be highly biocompatible as the bones of vertebrates, including  
47 humans, consist of a composite structure of oriented, nano-sized, non-stoichiometric  
48 hydroxylapatite crystallites intergrown with triple-helical collagen I microfibrils. Porous  
49 coatings allow the unimpeded ingrowth of bone cells, encouraging progressive  
50 vascularization, thereby anchoring the implant solidly within the cortical bone bed. Currently,  
51 plasma spraying of tens to hundreds of micrometer-sized hydroxylapatite particles is the most  
52 popular and the only Food and Drug Administration-approved method to coat implant  
53 surfaces for clinical application (Campbell 2003). However, there are serious shortcomings to  
54 this particular technique as the high temperature of the plasma jet causes the partial

55 dehydroxylation of hydroxylapatite to oxyhydroxylapatite and/or oxyapatite. This leads to  
56 subsequent, thermal decomposition by incongruent melting of the oxyapatite to form  
57 tricalcium phosphate ( $\text{Ca}_3(\text{PO}_4)_2$ , TCP) and tetracalcium phosphate ( $\text{Ca}_4\text{O}(\text{PO}_4)_2$ , TTCP) or  
58 even calcium oxide (CaO) by progressive evaporation of phosphorus pentoxide (see for  
59 example, Graßmann and Heimann 2000; Carayon and Lacout 2003). In addition, a thin layer  
60 of amorphous calcium phosphate forms preferentially at the interface of the plasma-sprayed  
61 coating to the metal substrate due to the rapid quenching of the molten impinging droplets, for  
62 which the heat transfer rates exceed  $10^6$  K/s (Heimann and Wirth 2006). During subsequent  
63 work it became clear that oxyapatite was considered by some researchers as the holy grail of  
64 implantology. Despite the diligent search for oxyapatite, its documentation has proven to be  
65 elusive up to the present day. In this contribution, the complex influence of the conditions of  
66 the plasma-spray application on the phase composition of hydroxylapatite coatings will be  
67 traced with particular reference to oxyapatite and amorphous calcium phosphate, key  
68 compounds that indicate the pathway of thermal decomposition of the initial hydroxylapatite  
69 feed material.

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71

## Materials and Methods

### 72 Principle of plasma spraying

73 Plasma spraying is a rapid solidification technology that requires a device to create a high-  
74 temperature jet of ionized argon or nitrogen gas in the form of a plasma. Such a device is called  
75 a plasmatron (plasma generator), which is powered by an electric arc or a high-frequency  
76 discharge. The surrounding atmosphere defines the type of plasma spraying device. If the  
77 surrounding medium is air, one speaks of air or atmospheric plasma spraying. Metal, ceramic,  
78 or even polymer powders or suspensions are injected by a stream of gas into the plasma, melted  
79 during the very short residence time in the jet, and propelled by the magneto-hydrodynamic

80 Lorentz force toward a target where the molten droplets solidify and build up a solid coating  
81 splat by splat. Any material can be sprayed as long as it has a well defined melting point, and  
82 does not decompose or sublime during melting.

83         However, in practice many limitations persist. These include high coating porosity,  
84 insufficient adhesion to the substrate, occurrence of residual coating stresses, and line-of-sight  
85 technology (Heimann 2008). Despite its shortcomings, this technology has been largely  
86 adopted by industry as a rapid, well-controllable, and economic way to coat surfaces to impart  
87 advantageous functional properties including biological compatibility with living tissue.  
88 Although hydroxylapatite melts incongruently and thus partly decomposes in the hot plasma  
89 jet, plasma spray technology is still the method of choice to deposit bioactive calcium  
90 phosphate coatings on orthopedically approved metal surfaces.

91

## 92 **Coating deposition**

93 Calcium phosphate layers are deposited by atmospheric plasma spraying onto 50 x 10 x 2  
94 mm<sup>3</sup> substrates, which consist of Ti alloyed with 6 at% Al and 4 at% V (Ti6Al4V). This alloy  
95 provides sufficient mechanical strength and corrosion resistance to withstand the deleterious  
96 action of the human body environment. Since it behaves in a bioinert way, it does not  
97 negatively interact with body tissue. The calcium phosphate layer imparts a bioactive, that is,  
98 a bone growth-supporting function to the otherwise bioinert metal surface.

99         Prior to spraying, the metal substrates are roughened by alumina grit and ultrasonically  
100 cleaned. The input feed of phase-pure hydroxylapatite powder (mean particle diameter: 120  
101 μm) is manufactured via spray drying by Tomita Pharmaceutical, Japan. Three plasma-  
102 spraying parameters are selected that are known to influence significantly the heat input into  
103 the resultant coatings. These include traverse speed of the plasmatron, plasma gas

104 composition, and plasma power (Heimann 2008). A statistical design for experiments  
105 methodology was employed in which the three parameters are varied on two levels to yield a  
106 full-factorial  $2^3$  design of resolution IV. The design levels are as follows: traverse speed 150  
107 and 500 mm/s; plasma gas composition (48.75 standard liters per minute (slpm) Ar + 1.25  
108 slpm  $H_2$  and 47.5 slpm Ar + 2.5 slpm  $H_2$ ); and plasma power 11 and 24 kW (Table 1).

109 Coating deposition was carried out at Ecole Nationale Supérieure de Chimie de Lille  
110 (ENSCL) using a Praxair installation including a SG 100 plasmatron with internal powder  
111 injection. Parameters kept constant were the total plasma gas flow rate at 50 slpm; carrier gas  
112 (argon) flow rate at 3 slpm; powder feed rate at 18 g/min; and spray distance at 100 mm. The  
113 number of passes of the plasma jet across the substrate surface was adjusted to between 1 (at  
114 500 mm/s traverse speed) and 3 (at 150 mm/s traverse speed) to yield an average coating  
115 thickness of 100  $\mu\text{m}$ . The spray protocol was consistent with the procedure routinely applied  
116 at ENSCL (for example, Dyshlovenko et al. 2006).

117

### 118 **Coating characterization**

119 The plasma-sprayed coatings were investigated by high-resolution X-ray diffraction in  
120 conjunction with Rietveld refinement using synchrotron radiation at the ANKA Synchrotron  
121 Radiation Facility, Karlsruhe Institute of Technology (KIT). The samples were irradiated on  
122 the PDIFF beamline in a 4-circle powder diffractometer equipped with a high-resolution  
123 detector for rapid data acquisition. The diffractometer was used in Bragg-Brentano geometry  
124 in conjunction with a Si(111) double-crystal monochromator.

125  $^1\text{H}$ - and  $^{31}\text{P}$ -CP-MAS (cross-polarization magic-angle spinning) NMR spectra were  
126 acquired on a BRUKER AVANCE 600 spectrometer operating at 14.1 T (Lamor frequency of  
127 600.13 MHz) with a 4-mm probe. The experimental protocol was consistent with the  
128 parameters and procedure reported by Hartmann et al. (2001).

129

130

## Results

131 Thermal dehydroxylation and decomposition of hydroxylapatite during plasma spraying is  
132 known to occur in four consecutive steps (Heimann 2006, 2012). Table 2 lists the reactions  
133 that occur in each step.

134 Using a technique that restricts the chemical changes in the hydroxyapatite feed to the  
135 first two dehydroxylation stages may appear to be a convenient way to retain basic structural  
136 elements of hydroxylapatite in oxyhydroxylapatite and/or oxyapatite. These (partially)  
137 dehydroxylated compounds indeed easily convert back to hydroxylapatite in the presence of  
138 moisture (cf. reverse of step 1 and step 2 reactions in Table 2) according to  $O^{2-}(s) + \square(s) +$   
139  $H_2O(g) \rightarrow 2OH^-$  (Montel et al. 1980; Park et al. 2002). However, complete melting during  
140 plasma spraying is required to produce bioceramic coatings that possess properties of  
141 porosity, cohesive coating strength, and adhesion to the substrate that are conducive to  
142 biomedical applications. Despite many attempts, persistent problems arising from these two  
143 contradictory requirements remain to be solved.

144

### 145 Coating morphology

146 Figure 1 shows SEM micrographs of coating surfaces plasma-sprayed at low (sample #3) and  
147 high plasma powers (sample #6). Clearly, plasma power of 11 kW is insufficient to generate a  
148 well-molten and effectively spread-out coating. Instead, clusters of coarse-grained and very  
149 porous sintered agglomerates were formed attesting to only partial melting of the  
150 hydroxylapatite precursor particles (Fig. 1A). In contrast to this, application of plasma power  
151 of 24 kW resulted in a continuous and relatively smooth coating with low porosity (Fig. 1B).  
152 The limited heat input during spraying with 11 kW was thought to retain partly or fully  
153 dehydroxylated hydroxylapatite, a minimum of thermal decomposition phases (Table 2, steps  
154 1 and 2) and, in particular, low amounts of amorphous calcium phosphate. However, in

155 clinical applications as an osseoconductive coating for implants, a structure like that in Figure  
156 1A will not suffice, as its low coating cohesion and inadequate adhesion to the metallic  
157 substrate may lead to early failure by chipping, spalling, and, finally, delamination. In  
158 addition, hydroxylapatite particles only loosely attached to the coating surface may become  
159 liberated and transported by the lymphatic system to trigger hepatic cell degeneration.  
160 Moreover, risk analyses indicate that hydroxylapatite particles are involved in the so-called  
161 ‘particle disease’ (giant cell aggressive granulomatosis), leading to aseptic periprosthetic  
162 osteolysis, that is, mechanical failure of the prosthesis-bone interface arising primarily as the  
163 final result of bone loss occurring at this interface due to inflammation (Beck et al. 2012).

164

#### 165 **Synchrotron radiation X-ray diffraction**

166 Lattice structural determination is required to document the phases produced farther into the  
167 thermal decomposition sequence. Whereas coatings deposited with higher heat transfer rates  
168 still retain a considerable amount of dehydroxylated hydroxylapatite phases such as  
169 ‘oxyapatite’, thermal decomposition products have already formed by step 3 (Table 2), such  
170 as  $\beta$ -tricalcium phosphate. In addition, noticeable amounts of amorphous calcium phosphate  
171 occur, as shown by the strongly elevated background between about  $27$  and  $37^\circ 2\Theta$  with a  
172 centroid value around  $32^\circ 2\Theta$  (Fig. 2). This value ought to be compared to the values of the  
173 strongest interplanar spacings (211) of oxyapatite (Kay et al. 1964; Alberius-Henning et al.  
174 1999) at  $31.740(3)^\circ 2\Theta$  and of hydroxylapatite (Tas 2001) at  $31.787(0)^\circ 2\Theta$ .

175 Figure 3 compares the synchrotron radiation X-ray diffraction pattern ( $\text{CuK}\alpha$ ) at low  
176 diffraction angles for coatings deposited with low (trace A, sample #3) and high (trace B,  
177 sample #6) plasma power. Higher plasma power translates to higher heat input into the  
178 hydroxylapatite powder as a large part of the electrical energy spent on ionization of the  
179 plasma gas will be recovered by recombination in the form of heat. This increased heat input  
180 leads to increased dehydroxylation of hydroxylapatite: whereas coatings deposited at low

181 plasma power display a ‘clean’ dehydroxylated hydroxylapatite (oxy- and/or  
182 oxyhydroxylapatite) pattern. Spraying with higher power reveals the thermal decomposition  
183 products  $\beta$ -tricalcium and tetracalcium phosphate (Table 2, step 3) formed by incongruent  
184 melting of oxyapatite and, in particular, CaO (Table 2, steps 4a and b) indicating evaporative  
185 loss of  $P_2O_5$  from calcium phosphates.

186

### 187 **Amorphous calcium phosphate vs. oxy- and oxyhydroxylapatite**

188 Those hydroxylapatite particles that are fully melted during plasma spraying will solidify  
189 during cooling on the substrate surface. The nature of the resulting phases therefore depends  
190 on the cooling rate. As nucleation is impeded during rapid quenching, high cooling rates  
191 produce amorphous calcium phosphate. Despite the high temperature encountered during  
192 plasma spraying, amorphous calcium phosphate still contains substantial amounts of protons  
193 and  $OH^-$  ions as revealed by electron energy loss spectroscopy (EELS; Heimann and Wirth  
194 2006). These residual ions are thought to act as a driving force for the (partial) crystallization  
195 of amorphous calcium phosphate to hydroxylapatite during cooling (Gross et al. 1998d).  
196 Quantitative determination of the amount of amorphous calcium phosphate by the Keller-  
197 Dollase method (Keller and Dollase 2000) revealed that increasing the plasma power  
198 increased the amount of amorphous calcium phosphate formed (Fig. 4). The method uses a  
199 non-linear least-squares fitting of the non-crystalline X-ray scattering maximum around  $32^\circ$   
200  $2\theta$  from which an ‘index of crystallinity’ is derived as a figure of merit. By this method,  
201 sample #3 deposited at low heat input showed about 25 mass% amorphous calcium phosphate  
202 (Fig. 5A), whereas sample #6 deposited at high heat input showed 40 mass% amorphous  
203 calcium phosphate (Fig. 5B).

204 In contrast to the above, reduced cooling rates, instead of producing only amorphous  
205 calcium phosphate, may lead to retention in the coating of oxy- and/or oxyhydroxylapatite.



206 This effect is experienced particularly at the topmost coating layer or in splats deposited onto  
207 previously sprayed, already cooled calcium phosphates with low thermal diffusivity (Gross  
208 and Pluduma 2012). Unfortunately, the unequivocal detection of oxyapatite by conventional  
209 X-ray diffraction techniques is considered problematic (Gross et al. 1998a; Gross and Berndt  
210 1998b), since the c-axis dimension of oxyapatite is only marginally larger than that of  
211 hydroxylapatite (Trombe 1973; Montel et al. 1980). This fact accounts for only a very small  
212 shift of the (002) interplanar spacing to smaller diffraction angles (Gross et al. 1998c). The  
213 subtle lattice expansion during dehydroxylation of hydroxylapatite is presumably caused by  
214 the larger Shannon crystal radius of the  $O^{2-}$  ion (135 pm) compared to that of the  $OH^{-}$  ion (118  
215 pm). Hence, very accurate measurements are required, for example, high-resolution X-ray  
216 powder diffraction by synchrotron radiation. Such measurements were performed on plasma-  
217 sprayed hydroxylapatite coatings using the ANKA Synchrotron Radiation Facility at the  
218 Karlsruhe Institute of Technology (KIT).

219 Evidently, under ambient conditions, oxyapatite is metastable at best. Indeed, Trombe  
220 and Montel (1978) demonstrated the only condition of oxyapatite stability to be under vacuum  
221 or in sufficiently water-free gas atmospheres at 850–1050°C. This oxyapatite was found to be  
222 highly reactive at temperatures below 800°C and to undergo, even under vacuum,  
223 rehydroxylation to oxyhydroxylapatite, which was stable in air to ambient temperature. The  
224 strong tendency of dehydroxylated hydroxylapatite to restore  $OH^{-}$  ions was confirmed by  
225 FTIR spectroscopy, thermal analyses (TGA, DTA), and mass spectrometry by Park et al.  
226 (2002). In contrast, at temperatures above 1050 °C, oxyapatite decomposes to a mixture of  
227 tricalcium- and tetracalcium phosphates (Step 3, Table 2).

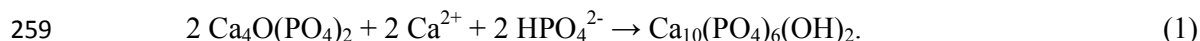
228

229 **Nuclear magnetic resonance spectroscopy**

230 Additional salient information on the phase content of plasma-sprayed hydroxylapatite has  
231 been gained from nuclear magnetic resonance spectroscopy. Figure 5 shows cross-polarized  
232 (CP) magic angle spinning (MAS) nuclear magnetic resonance (NMR) spectra of protons (Fig.  
233 5A) and  $\text{PO}_4^{3-}$  tetrahedral groups (Fig. 5B) of typical plasma-sprayed hydroxylapatite  
234 coatings. The suggested assignments of individual bands are tentative (Table 3), based on  
235 earlier work by Hartmann et al. (2000, 2001) and the author (Heimann et al. 2003). The  
236 isotropically shifted L\* band of the  $^1\text{H}$ -NMR spectrum, shown in Fig. 5A, may be a (weak)  
237 indication of the existence of oxy- and/or oxyhydroxylapatite-like conformations. More  
238 profitable is the  $^{31}\text{P}$ -NMR spectrum. Besides the A signal of well-ordered and highly  
239 crystalline hydroxylapatite, apparently unchanged from its original composition, there are C  
240 and D signals that are thought to be related to  $\text{PO}_4^{3-}$  groups with strongly to very strongly  
241 distorted environments and few or no associated  $\text{OH}^-$  groups, typical for oxyhydroxylapatite  
242 and oxyapatite. The B signal is thought to be associated with strongly distorted  $\text{PO}_4^{3-}$   
243 tetrahedral groups without neighboring  $\text{OH}^-$  groups, indicating the presence of tri- and  
244 tetracalcium phosphate.

245 Additional 2D-double quantum,  $^1\text{H}/^{31}\text{P}$  cross-polarization, heteronuclear correlation  
246 (HETCOR) NMR spectroscopy (not shown here) confirmed that the D band is indeed related  
247 to oxyapatite as already proposed by Hartmann et al. (2000). Since this chemical shift is  
248 identical to that of tetracalcium phosphate, it can be concluded that with increasing  
249 dehydroxylation of hydroxylapatite the coating composition eventually approaches that of  
250 structurally closely related tetracalcium phosphate (Mathew and Takagi 2001), in accord with  
251 the dehydroxylation succession  $\text{HAp} \rightarrow \text{O-HAp} \rightarrow \text{OAp} \rightarrow \text{TTCP}$  shown in Table 2.  
252 Tetracalcium phosphate,  $\text{Ca}_4\text{O}(\text{PO}_4)_2$  crystallizes in the monoclinic space group  $\text{P2}_1$  whereby  
253 the  $\text{Ca}^{2+}$  and  $\text{PO}_4^{3-}$  ions are located in four sheets perpendicular to the b axis. As each sheet  
254 contains two Ca-P columns and one Ca-Ca column, a structure similar to glaserite,  
255  $\text{K}_3\text{Na}(\text{SO}_4)_2$  with surplus oxygen ions results (Dickens et al. 1973; Mathew and Takagi 2001).

256 Two adjacent sheets form a double layer closely related to the structure of hydroxylapatite.  
257 This similarity is the root of re-formation of hydroxylapatite during reaction of tetracalcium  
258 phosphate with  $\text{HPO}_4^{2-}$  moieties in dental self-setting bone cement according to



260

261

## Discussion

262 The oxyapatite,  $\text{Ca}_{10}(\text{PO}_4)_6\text{O}$ , question has a long and colorful but highly controversial  
263 history. Oxyapatite as a stable mineral was first proposed by J.A. Voelcker (1883) in his  
264 doctoral dissertation, and this compound was subsequently named voelckerite by Rogers  
265 (1912, 1914).

266 However, the existence of pure oxyapatite stable at ambient conditions was already  
267 questioned by Bredig et al. (1933) who drafted one of the earliest  $\text{CaO-P}_2\text{O}_5$  phase diagrams  
268 in the absence of water. Instead, they proposed the existence of ‘mixed’ apatites, that is,  
269 oxyhydroxylapatites  $\text{Ca}_{10}(\text{PO}_4)_6\text{X}_{2-2n}\text{O}_n$  ( $\text{X} = \text{OH}, \text{F}; 0 < n < 1$ ) based on experimental  
270 evidence. They also concluded that the X (c-axis channel) position could not be left empty. In  
271 particular, no superstructures were found by X-ray analysis that would point to an ordered  
272 distribution of  $\text{O}^{2-}$  ions in the c-axis channel. It ought to be mentioned that such ordering  
273 would require a doubling of the c-axis length in order to sustain ordered occupancy of only  
274 half of the A-sites  $\pm (0,0,1/4)$  or B-sites  $\pm (0,0,1/2)$  (Merker and Wondratschek 1960; Merker  
275 et al. 1970). Subsequently, the existence of OH- and halogen-deficient apatites was confirmed  
276 by McConnell and Hey (1969).

277 In support of the assumption of the non-existence of stable oxyapatite, a computational  
278 investigation (De Leeuw et al. 2007) confirmed that formation of oxygen vacancies in the c-  
279 axis channels of hydroxylapatite is thermodynamically unfavourable. Despite these

280 thermodynamic constraints, investigations by Alberius-Henning et al. (1999) into the structure  
281 of oxyapatite suggested that there exists a linear chain of  $O^{2-}$  ions parallel to the c-axis, each  
282 one followed by a vacancy. Calderin et al. (2003) performed modelling by density-functional  
283 theory with local-density approximation (DFT-LDA) and first-principles pseudo-potentials.  
284 These authors conjectured that variations of the total densities of Kohn-Sham states (DOS)  
285 may provide a means to differentiate between hydroxylapatite and oxyapatite, since in the  
286 energy gaps of oxyapatite several states are present that are absent in hydroxylapatite. The  
287 defect apatite structure appears to be insensitive to the choice of the anion ( $OH^-$ ,  $F^-$ ,  $Cl^-$ ,  $O^{2-}$ ,  
288  $\square$ ) in the c-axis column. If these anions are removed completely by heating, the structure  
289 remains largely unchanged and at least metastable. Indeed, investigations by Liao et al. (1999)  
290 showed that even after a loss as high as 75% of the OH group in the c-axis channel, the apatite  
291 structure will be maintained. However, complete loss of OH was never observed, that is, a  
292 small amount of OH is always present in the oxyapatite structure. The limiting composition is  
293 then  $Ca_{10}(PO_4)_6(OH)_{0.5}O_{0.75}$  ( $n = 3/4$ ), which corresponds to an experimentally observed water  
294 loss of 1.34 mass% and accounts for the released  $OH^-$  (Trombe and Montel 1971).

295

### Implications

296 The investigation of the existence of metastable oxyapatite and amorphous calcium phosphate  
297 in plasma-sprayed bioceramic implant coatings constitutes an important precondition to  
298 understanding the pathways conducive to the formation of bone-like, that is, Ca-deficient  
299 secondary hydroxylapatite during restoration of the apatite structure *in vivo*. The presence and  
300 the varying amounts of oxyapatite and/or oxyhydroxylapatite affect the dissolution behavior  
301 and the recrystallization kinetics of bioceramic coatings as well as their mechanical  
302 performance in orthopedic and dental applications. Hence, knowledge of the conditions under  
303 which oxyapatite may occur in plasma-sprayed hydroxylapatite coatings, and its

304 transformation to secondary, bone-like apatite is of high interest when designing and applying  
305 such coatings with superior biomedical performance in a clinical environment.

306         The continuous amorphous calcium phosphate layer formed at high quenching rates  
307 adjacent to the coating-substrate interface will dissolve preferentially during interaction with  
308 body fluid. The compromised amorphous calcium phosphate layer thereby provides a least-  
309 energy fracture path for coating separation and thus is one of the leading causes of coating  
310 delamination *in vivo* (Park and Condrate 1999). Dissolution of the amorphous calcium  
311 phosphate layer will be accelerated when cracks form perpendicular to the coating surface as  
312 the result of relief of residual stresses. Such cracks will channel biofluid down to the  
313 amorphous calcium phosphate layer. Hence, investigation of crack formation goes a long way  
314 toward inhibiting and controlling the dissolution of amorphous calcium phosphate *in vivo*.

315         The degree of dehydroxylation and decomposition of hydroxylapatite during  
316 atmospheric plasma spraying depends on heat input and heat transfer rates, respectively.  
317 Doubling the plasma power from 11 to 24 kW leads to an increase of the amount of  
318 amorphous calcium phosphate from 25 to 40 mass%. Whereas plasma power of only 11 kW  
319 results in exclusive formation of partially dehydroxylated hydroxylapatite  
320 (oxyhydroxylapatite), plasma power of 24 kW causes thermal decomposition towards tri- and  
321 tetracalcium phosphates as well as CaO. In particular, the latter is deleterious to the biological  
322 performance of hydroxylapatite coatings as it is known to drive up the local pH, which causes  
323 cytotoxic effects on living bone cells (Le Geros et al. 1991; Chou et al. 1999).

324 Solid-state NMR spectroscopy permits the specification of the structural environments of  
325 protons and tetrahedral  $\text{PO}_4^{3-}$  moieties, whereby strongly distorted tetrahedra point to the co-  
326 existence of oxyhydroxylapatite and metastable oxyapatite. The latter transfers through  
327 incongruent melting to tri- and tetracalcium phosphates. Owing to the high solubility of the  
328 decomposition phases as well as amorphous calcium phosphate under physiological

329 conditions, stringent control of the decomposition sequence by proper selection of intrinsic  
330 plasma spray parameters is mandatory to ensure satisfactory implant performance.

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### List of figure captions

481

482 Figure 1 Scanning electron micrographs of plasma-sprayed hydroxylapatite coatings. A: at  
483 low plasma power (sample # 3, Table 1). B: at high plasma power (sample # 6, Table 1).

484 Figure 2 Synchrotron radiation X-ray diffraction pattern ( $\text{CuK}\alpha$ ) of hydroxylapatite plasma-  
485 sprayed with plasma power of 24 kW, traverse speed of 500 mm/s, and plasma gas  
486 composition of 48.75 slpm Ar + 1.25 slpm  $\text{H}_2$  (sample #6, Table 1) showing hydroxyl-  
487 deficient hydroxylapatite ('oxyapatite', XRPDF 89-649; 04-011-1880) and  $\beta$ -tricalcium  
488 phosphate (asterisks, XRPDF 04-014-2292).

489 Figure 3 Synchrotron radiation X-ray diffraction pattern ( $\text{CuK}\alpha$ ) of hydroxylapatite coatings  
490 plasma-sprayed at 11 kW (trace A, sample #3, Table1) and 24 kW (trace B, sample #6, Table  
491 1) plasma powers. The most obvious distinguishing criterion is the strong peak related to CaO  
492 from trace B.

493 Figure 4 Non-linear least-squares fitting to determine the amount of amorphous calcium  
494 phosphate. A: sample #3, Table 1. B: sample #6, Table 1.

495 Figure 5 CP-MAS-NMR spectra of an as-sprayed hydroxylapatite coating. A:  $^1\text{H}$ -CP-MAS  
496 NMR spectrum. B:  $^{31}\text{P}$ -CP-MAS NMR spectrum. The insets show the spectra of phase-pure  
497 stoichiometric hydroxylapatite as a reference. For explanation see text.

498

499 Table 1 Statistical design of experiments (SDE) matrix (Yates order). \*standard liter per minute.

Sample #	Traverse speed (mm/s)	Plasma gas composition (slpm)*	Plasma power (kW)	Reference
1	150	48.75 Ar/1.25 $\text{H}_2$	11	
2	500	48.75 Ar/1.25 $\text{H}_2$	11	Figure 4
<b>3</b>	<b>150</b>	<b>47.5 Ar/2.5 <math>\text{H}_2</math></b>	<b>11</b>	Figures 1A,3,4,5A
4	500	47.5 Ar/2.5 $\text{H}_2$	11	
5	150	48.75 Ar/1.25 $\text{H}_2$	24	
<b>6</b>	<b>500</b>	<b>48.75 Ar/1.25 <math>\text{H}_2</math></b>	<b>24</b>	Figures 1B,2,3,4,5B
7	150	47.5 Ar/2.5 $\text{H}_2$	24	

8	500	47.5 Ar/2.5 H <sub>2</sub>	24
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Table 2 Thermal decomposition sequence of hydroxylapatite (Carayon and Lacout 2003)

Step 1:	$\text{Ca}_{10}(\text{PO}_4)_6(\text{OH})_2$ (hydroxyapatite)	$\rightarrow$	$\text{Ca}_{10}(\text{PO}_4)_6(\text{OH})_{2-2x}\text{O}_x\Box_x + x\text{H}_2\text{O}$ (oxyhydroxyapatite)
Step 2:	$\text{Ca}_{10}(\text{PO}_4)_6(\text{OH})_{2-2x}\text{O}_x\Box_x$ (oxyhydroxyapatite)	$\rightarrow$	$\text{Ca}_{10}(\text{PO}_4)_6\text{O}_x\Box_x + (1-x)\text{H}_2\text{O}$ (oxyapatite)
Step 3:	$\text{Ca}_{10}(\text{PO}_4)_6\text{O}_x\Box_x$ (oxyapatite)	$\rightarrow$	$2\text{Ca}_3(\text{PO}_4)_2 + \text{Ca}_4\text{O}(\text{PO}_4)_2$ (tricalcium phosphate) + (tetracalcium phosphate)
Step 4a:	$\text{Ca}_3(\text{PO}_4)_2$	$\rightarrow$	$3\text{CaO} + \text{P}_2\text{O}_5$
Step 4b:	$\text{Ca}_4\text{O}(\text{PO}_4)_2$	$\rightarrow$	$4\text{CaO} + \text{P}_2\text{O}_5$

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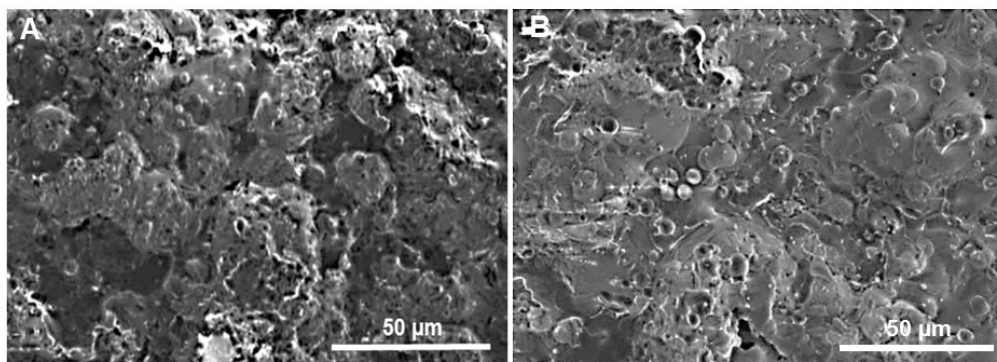
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507 Table 3 Assignment of NMR band shown in Fig. 5A and B. HAp hydroxylapatite, OAp oxyapatite, O-HAp  
 508 oxyhydroxylapatite, TCP tricalcium phosphate, TTCP tetracalcium phosphate.

Band	Band position (ppm)		Phase assignment
<sup>1</sup> H		<b>Structural assignment of protons</b>	
L	-0.1 ± 0.1	Protons in stoichiometric, highly crystalline hydroxylapatite	HAp
L*	-1.3 ± 0.3	Isotropically shifted protons in distorted SRO structures (oxy- or oxyhydroxylapatite)	OAp, O-HAp
G	+1.3	Free water	H <sub>2</sub> O
M	+5.2 ± 0.2	Isolated pairs of strongly coupled protons in the c-channel of hydroxylapatite	
<sup>31</sup> P		<b>Structural assignment of PO<sub>4</sub><sup>3-</sup> tetrahedra</b>	
A	+2.3 ± 0.1	PO <sub>4</sub> <sup>3-</sup> tetrahedra in well-orderd hydroxylapatite	HAp
B	+1.5 ± 0.2	Strongly distorted PO <sub>4</sub> <sup>3-</sup> environment without neighboring OH <sup>-</sup>	TCP (TTCP)
C	+3.0 ± 0.2	Distorted PO <sub>4</sub> <sup>3-</sup> tetrahedra with single or paired OH <sup>-</sup> groups	O-HAp
D	+5.0 ± 0.2	Very strongly distorted PO <sub>4</sub> <sup>3-</sup> tetrahedra without associated OH <sup>-</sup> groups	OAp → TTCP

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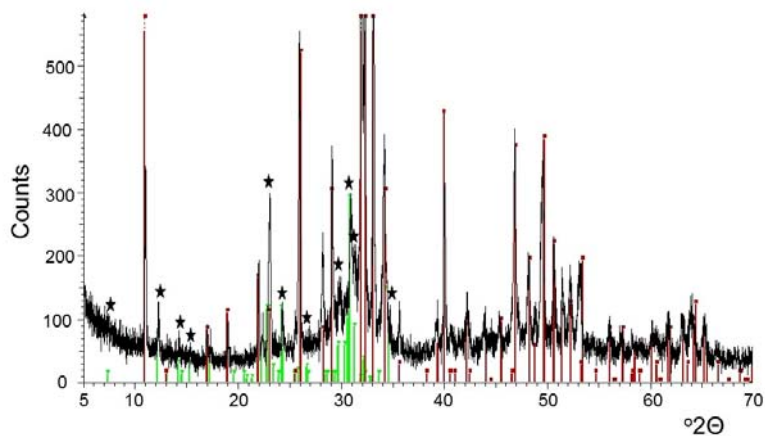


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Figure 1

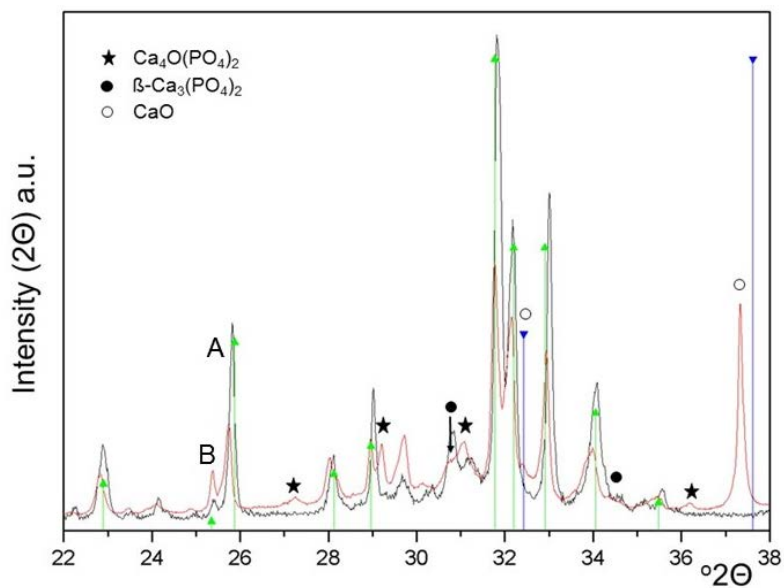
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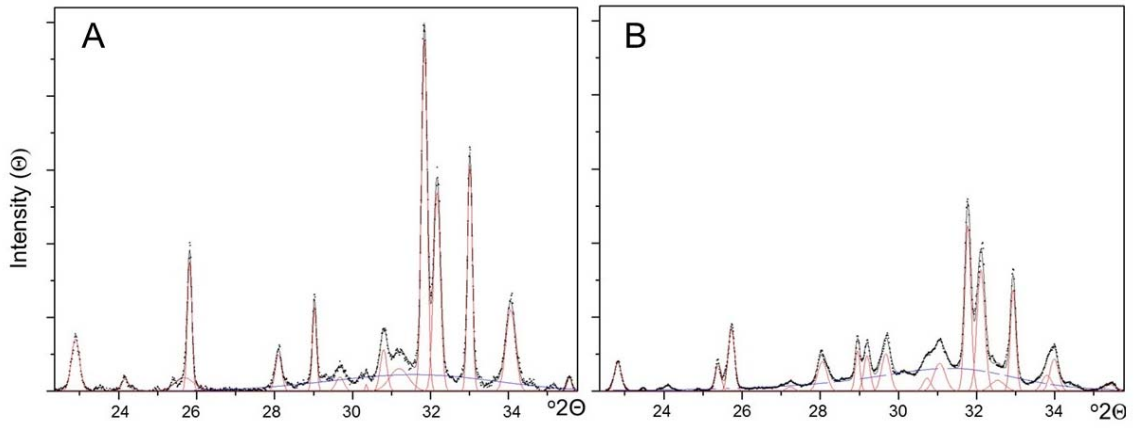
Figure 2



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Figure 3

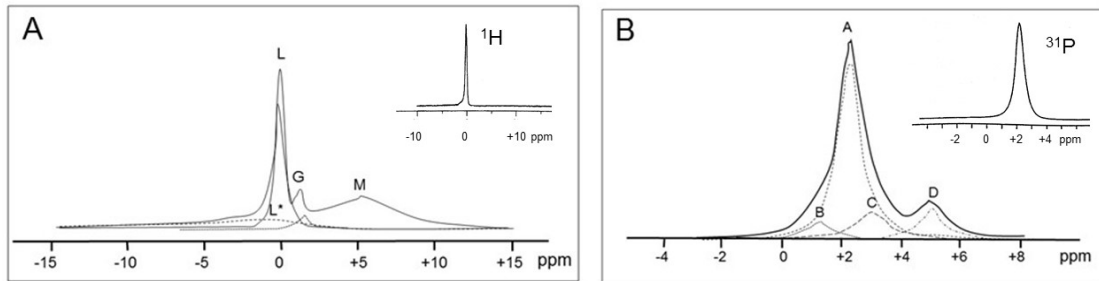


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Figure 4



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Figure 5