

1 **REVISION 1**

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3 **Structural insights and elasticity of single-crystal antigorite from high-**
4 **pressure Raman and Brillouin spectroscopy measured in the (010) plane**

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12
13 **Abstract**

14 We report high-pressure Raman and Brillouin spectroscopy results measured in the (010) plane of
15 a natural antigorite single-crystal. We find that structural changes at >6 GPa lead to (1) an
16 intensity crossover between Raman modes of the Si-O-Si bending vibrations, (2) changes of the
17 compression behaviour of Raman modes related to the SiO₄ tetrahedra, (3) changes of the
18 pressure derivative of the Raman shifts associated with OH stretching vibrations, (4) the
19 emergence of a new Raman band in the OH spectral region, (5) a softening of the elastic
20 constants c_{33} and c_{11} , and (6) a directional change of the slowest compressional wave velocity in
21 a-c-plane. In addition to the structural insights at high-pressure, the unique characteristics of our

22 single-crystal sample allows for first direct measurements of the acoustic velocity anisotropy in a
23 plane perpendicular to the basal **a-b**-plane. Comparison to previously published data indicates
24 that the elastic anisotropy of antigorite strongly depends on the FeO and/or Al₂O₃ content. In
25 contrast, it seems not to be affected by increasing temperature as inferred from an additional high
26 temperature experiment performed in our study. These constraints are important for the
27 interpretation of seismic anisotropy observations in subduction zone environments.

28 **Keywords:** Antigorite, Serpentine, elasticity, Brillouin, Raman, Seismic anisotropy

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Introduction

32 Serpentine group minerals are characterized by a layered structure, where sheets of SiO₄
33 tetrahedra alternate along the **c** direction with sheets of MgO₂(OH)₄ octahedra. In antigorite, the
34 high-pressure high-temperature (*HP/HT*) monoclinic form of serpentine, the layers show a
35 pronounced curvature along the basal plane, which is accompanied by changes in the polarity of
36 the tetrahedral layer (Capitani and Mellini, 2004; Capitani and Mellini, 2006). Antigorite is a
37 major mineral phase in subduction environments and serves as important carrier of water from
38 Earth's surface to the upper mantle (e.g. Ulmer and Trommsdorff, 1995; Wunder and Schreyer,
39 1997). Its breakdown reaction has been associated with local melting of the mantle wedge and
40 deep Earth seismicity (Ulmer and Trommsdorff, 1995; Dobson et al., 2002; Hilairret et al., 2007).
41 Recently, the markedly anisotropic frictional strength of the antigorite (001) basal surface has
42 been related to the dynamics of slab motion and seismic activity at convergent margins
43 (Campione and Capitani, 2013). A detailed characterisation of the *HP/HT* physical properties and
44 chemical behavior of antigorite is important to understand its potential role for geophysical and

45 geochemical processes in subduction zone settings. As a consequence of its layered structure,
46 antigorite is expected to show a strong direction dependence for many physical and chemical
47 properties, including elasticity (e.g. Bezacier et al., 2010). Knowledge of the anisotropic elastic
48 properties of antigorite as a function of chemical composition at pressure and temperature
49 conditions relevant to subduction environments is essential to understand its contribution to
50 observed seismic anisotropy and map its abundance, distribution and breakdown reaction (e.g.
51 Katayama et al., 2009; Long, 2013; Reynard, 2013).

52 In a high-pressure Raman study in a diamond-anvil cell (DAC) on synthetic antigorite, Reynard
53 and Wunder (2006) observed changes in the pressure-derivative of OH-related Raman modes at a
54 pressure of about 7 GPa. In a later single-crystal x-ray diffraction study this observation was
55 assigned to a pressure-induced structural modification that occurs in antigorite at a pressure of
56 about 6 GPa, evidenced by discontinuous changes of volume and β -angle (Nestola et al., 2010).
57 The experimental findings were confirmed by computations that provided additional details on
58 the associated structural re-arrangements in antigorite (Capitani and Stixrude, 2012).
59 Computational studies also predicted that the pressure-induced structural re-arrangement affects
60 the elastic properties of serpentine at HP (Mookherjee and Stixrude, 2009; Mookherjee and
61 Capitani, 2011; Tsuchiya, 2013). The maximum effect was predicted for some of the elastic
62 constants describing properties in the crystallographic **c** direction (c_{33} and c_{13}) (Tsuchiya, 2013).
63 An effect of the structural change on elasticity was later confirmed by high-pressure Brillouin
64 spectroscopy (Bezacier et al., 2013). However, because minerals of the serpentine group show a
65 strongly layered crystal structure and therefore exhibit pronounced cleavage, sample platelets
66 were restricted to the basal **a-b**-plane (the natural cleavage plane). Because of this experimental
67 limitation, the previous Brillouin scattering study could only provide indirect constraints on the

68 elasticity along **c**. Here, we report single-crystal Brillouin scattering and Raman spectroscopy
69 results to above 10 GPa, measured in the **a-c**-plane of a natural antigorite.

70

71 **Materials and Methods**

72 **Sample preparation and characterisation:**

73 Serpentine (antigorite) crystals were taken from natural rocks of the central part of the Malenco
74 serpentinite body in northern Italy (details in Mellini et al., 1987; Capitani and Mellini, 2004).
75 The recalculated average composition of the sample material is
76 $(\text{Mg}_{2.638}\text{Fe}_{0.102}\text{Al}_{0.047}\text{Cr}_{0.014}\text{Ni}_{0.003}\text{Mn}_{0.002})_{\Sigma=2.808}(\text{Si}_{1.971}\text{Al}_{0.029})_{\Sigma=2}\text{O}_5(\text{OH})_{3.647}$ (Capitani and Mellini,
77 2006). To facilitate single-crystal measurements in the (010) plane of antigorite, we employed the
78 focused ion beam preparation method (Marquardt and Marquardt, 2012). A FEI Quanta 3D at the
79 German Research Center for Geosciences (GFZ) Potsdam was operated at an accelerating voltage
80 of 30 keV and sample cutting was performed with 15 nA beam current. The current was
81 decreased to 7 nA for polishing. More details about the sample preparation can be found in
82 Marquardt and Marquardt (2012). We prepared a face-parallel platelet about $50 \times 80 \mu\text{m}^2$ wide and
83 $30 \mu\text{m}$ thick (Fig. 1a). In addition, a $\sim 100 \text{ nm}$ thin lamella for characterisation by transmission
84 electron microscopy (TEM) was cut parallel to the platelet that was prepared for optical
85 spectroscopy (Fig. 1b). The TEM lamella extends to roughly half of the width of the DAC sample
86 platelet. The TEM analysis, which was carried out at the Bayerisches Geoinstitut BGI using a FEI
87 TITAN 80-200 at 200 keV, showed that the antigorite sample consists of several single-crystals
88 with a maximum misorientation smaller than 5° . For our analysis, we treat the sample as a single-
89 crystal. To acquire an electron diffraction pattern (Fig. 1c), the TEM-lamella (that was prepared
90 parallel to the sample platelet for optical spectroscopy) was tilted in the TEM by about 5° around

91 the [100]-direction and about 1° around the [001]-direction to align the [010] zone axis with the
92 incoming electron beam. The effect of this slight misorientation on the derived acoustic velocities
93 by Brillouin Spectroscopy is $<0.1\%$ and $<1\%$ at all experimental pressures for propagation of
94 compressional velocities along the [100] and [001]-directions, respectively (based on the elastic
95 constants reported by Mookherjee and Capitani, 2011).

96 **Diamond-anvil cell setup:**

97 The antigorite single-crystal was loaded in a symmetric diamond-anvil cell using diamonds with
98 $600\ \mu\text{m}$ -sized culets, a stainless-steel gasket pre-indented to $50\ \mu\text{m}$ final thickness and a
99 methanol-ethanol-water mixture (MEW) as pressure-transmitting medium. Pressure in the
100 sample-chamber was determined by ruby fluorescence using the calibration of Mao et al. (1986).
101 An additional high-temperature experiment was performed in a resistive-heated BX-90 diamond-
102 anvil cell to a maximum temperature of 200°C (Kantor et al., 2012), but no pressure was applied.
103 Temperature was determined by a Pt/PtRh-thermocouple attached to the side of one diamond-
104 anvil.

105 **Raman and Brillouin Spectroscopy:**

106 High-pressure Raman spectroscopy was performed using a Horiba Jobin Yvon Labram HR 800
107 UV-VIS spectrometer (gratings 1800 lines/mm) in a backscattered configuration with a blue solid
108 state laser (473 nm), a CCD detector and an Olympus optical microscope with a long working
109 distance $20\times$ objective. A confocal setup with a $100\ \mu\text{m}$ slit was used. The spectrometer was
110 calibrated at each pressure increase. Spectra were collected for 10 seconds with three
111 accumulations from 100 to $1400\ \text{cm}^{-1}$ and 2800 to $4000\ \text{cm}^{-1}$. At the highest pressure applied and
112 upon decompression, spectra were collected from 3400 to $3850\ \text{cm}^{-1}$ and the sample was rotated
113 with respect to the inherent polarization of the excitation laser stepwise in 10° from 0° to 180° .

114 Raman spectra were collected at each angle for 30 seconds with six accumulations. After
115 decompression the cell was opened and spectra were again collected in angular steps of 10°.
116 Raman spectra were fit using Voigt peak shapes assuming a linear background.

117 High-pressure Brillouin scattering was carried out using the Brillouin spectroscopy system at the
118 German Research Center for Geosciences with a scattering angle of 60°. The system uses a
119 Nd:YVO₄ solid state laser operating at a wavelength of 532 nm as light source and a Sandercock-
120 type tandem six-pass Fabry-Perot interferometer equipped with a photomultiplier tube for signal
121 detection.

122 **Results and discussion**

123 **Raman Spectroscopy**

124 **Lattice vibrations:** Figure 2 shows representative Raman spectra collected at different pressures
125 and in two different spectral regions along with the best-fit models. Fig. 2a shows a spectrum
126 collected at 0.7 GPa in the low wavenumber region. The major vibration bands that were
127 followed to high pressures are labelled and their pressure dependence is shown in Fig. 3. The
128 spectrum is dominated by intense bands at 230 cm⁻¹ (LV2), 374.6 cm⁻¹ (LV3), 681.6 cm⁻¹ (LV7),
129 and 1044.7 cm⁻¹ (LV11), where reported wavenumbers refer to the value at ambient pressure
130 (Tab. 1). LV2 can be attributed to vibrations of the O-H-O groups (Rinaudo et al., 2003) and/or
131 metal-oxygen vibrations (Auzende et al., 2004). LV3 is caused by symmetric vibrations of the
132 SiO₄ tetrahedra (Rinaudo et al., 2003). LV7 and LV11 can be assigned to Si-O-Si bending modes
133 (Rinaudo et al., 2003; Reynard and Wunder, 2006).

134 The frequencies of these major bands is in good agreement with previously reported Raman data
135 for powdered synthetic pure Mg-antigorite (Reynard and Wunder, 2006) and natural antigorite
136 (Rinaudo et al., 2003; Auzende et al., 2004) (except for LV11, which was outside of the spectral

137 range investigated by Auzende et al., 2004). In agreement with Reynard and Wunder (2006)
138 (their Fig. 1), we see additional smaller vibration bands (or shoulders to main bands) at 198.5 cm^{-1}
139 1 (LV1), 459 cm^{-1} (LV4), 528.8 cm^{-1} (LV5), and 636.1 cm^{-1} (LV6). In contrast to the study of
140 Reynard and Wunder (2006), we can clearly resolve (and track to high-pressure) two additional
141 Raman bands at 881.3 cm^{-1} (LV9) and 1028.8 cm^{-1} (LV10). Based on a theoretical study on
142 lizardite, Raman bands at around 1000 cm^{-1} are likely related to Si-O stretching modes (Balan et
143 al., 2002). The fact that these features were not observed in the previous study on pure synthetic
144 antigorite suggests that they are related to the more complex chemistry of our natural sample.
145 However, this may also be related to effects of crystallographic orientation on the Raman
146 scattering intensity of these bands. Reynard and Wunder (2006) performed Raman spectroscopy
147 measurement on a powder sample that likely shows a preferred orientation of the basal plane
148 parallel to the diamond anvil culets caused by the strong cleavage, whereas we measured a
149 single-crystal with the **a-c**-plane parallel to the diamond-culets.

150 The pressure-dependences of the main Raman bands are generally in good agreement with the
151 previous studies (Tab. 1, Fig. 4a), with the exception of the lattice mode(s) at around 690 cm^{-1}
152 (LV7 and LV8), which are associated with Si-O-Si bending vibrations (Reynard and Wunder,
153 2006). Both previous high-pressure studies assumed that this feature is dominated by a strong
154 band (at $685\text{-}688 \text{ cm}^{-1}$) accompanied by a weak shoulder. However, our high-pressure single-
155 crystal spectra clearly show that the intensity of LV7 substantially decreases with increasing
156 pressure, whereas the intensity of LV8 (the “shoulder”) simultaneously increases (Fig. 3). It is
157 thus likely that the previous studies followed LV7 at low pressures, where it dominates the
158 spectral feature, but switched to tracking LV8 at elevated pressures. This observation explains the
159 very high pressure-derivative reported for that feature and it might also provide explanation for
160 the large disagreement of the inferred pressure-derivatives between the two previous works,

161 which remained unexplained by Reynard and Wunder (2006). When applying a linear fit between
162 ν_{LV4} (0 GPa) and ν_{LV5} (10 GPa), we obtain $d\nu/dP = 5.7 \text{ cm}^{-1}/\text{GPa}$, which is in closer agreement
163 with the pressure-derivative reported by Reynard and Wunder (2006). Even though the relative
164 amplitudes of LV7 and LV8 change gradually with pressure the amplitude “crossover” takes
165 place at around 6 GPa. This corresponds to the pressure, where an anomalous volume softening
166 was previously observed experimentally (Nestola et al., 2010) and confirmed by recent
167 computations (Capitani and Stixrude, 2012), indicating that the amplitude crossover might be
168 triggered by a structural change. The computational study predicted a substantial change of the
169 Si-O-Si bond angle in the tetrahedral layer with pressure as a result of a gradual change in the
170 compression mechanism, which may be reflected in the intensity crossover of LV7 and LV8.
171 This conclusion is supported by our observation that LV3 and LV11, which are both associated
172 with vibrational modes related to the SiO_4 tetrahedra (Rinaudo et al., 2003), also show changes of
173 pressure dependence at around 7 GPa (Fig. 4b).

174

175 **OH stretching vibrations:** In serpentine minerals, hydrogens are bond to the oxygen atoms that
176 form the octahedral layer (O3, O4). Two different general positions exist for the hydrogen atoms.
177 Either the hydrogen is pointing towards the center of the tetrahedral rings (H4, OH_{inner}), where it
178 is in a similar environment as in talc (Stixrude, 2002) or it is located in between the octahedral
179 and tetrahedral layers (H3, OH_{outer}). The $\text{OH}_{\text{inner}}\text{---O}$ angle is 180° and the vector is oriented
180 along **c**, whereas the $\text{OH}_{\text{outer}}\text{---O}$ angle is 165.6° (measured at 8K in lizardite) and the O-H bond
181 is not strictly oriented along **c** but points towards the nearest oxygen ion of the tetrahedral layer to
182 form a hydrogen bond (Gregorkiewitz et al., 1996). Generally, the smaller bond length observed
183 by neutron diffraction for the O- H_{inner} bond (0.8 \AA) compared to the O- H_{outer} bond (1.16 \AA),

184 suggests a weaker hydrogen bond of OH_{inner}---O than for OH_{outer}---O (Gregorkiewitz et al., 1996;
185 Capitani and Stixrude, 2012; Noguchi et al., 2012).

186 In the spectral region characteristic for OH stretching-related modes, two strong vibration bands,
187 accompanied by several shoulders (Fig. 2b), are clearly visible at low pressures. The position and
188 pressure trends of the two main bands are in good agreement with the previous Raman
189 spectroscopy studies (Tab. 1, Fig. 4c) and they can be assigned to the in-phase stretching mode of
190 OH_{outer} (at 3669 cm⁻¹) and the stretching mode of OH_{inner} (at 3699 cm⁻¹) (Balan et al., 2002;
191 Auzende et al., 2004; Reynard and Wunder, 2006). The bands at ~3700 cm⁻¹ and ~3768 cm⁻¹ may
192 be caused by stretching vibrations of the inner OH, where the neighboring octahedral contain Fe-
193 atoms substituting for Mg (e.g. Auzende et al., 2004). The observed bands at ~3650 cm⁻¹ and
194 ~3610 cm⁻¹ may correspond to out-of-phase stretching modes of the outer OH groups (Balan et
195 al., 2002) with different occupancies of the surrounding octahedral positions (most likely 3 × Mg
196 or 2 × Mg and 1 × Fe). Those modes show a slightly negative pressure trend (~1cm⁻¹/GPa),
197 supporting the observations by Auzende et al. (2004).

198 Upon compression, the interlayer distance in serpentine minerals decreases and therefore an
199 increase in hydrogen bonds of OH_{outer} could be expected, which would lead to a decrease of the
200 Raman frequency of the covalent OH stretching vibration with pressure (Libowitzky, 1999). The
201 experimentally observed positive pressure derivative of the Raman shift of the OH_{outer} stretching
202 vibrations, however, indicates that the strength of hydrogen bonding does not increase. This
203 observation can be explained by computational predictions for serpentine (lizardite and
204 antigorite) indicating that the OH_{outer}---O angle progressively changes upon compression as a
205 result of decreasing misfit between octahedral and tetrahedral layers caused by their different
206 compression behaviour (Mookherjee and Stixrude, 2009; Capitani and Stixrude, 2012; Tsuchiya,
207 2013).

208 At pressures >6-7 GPa, both OH modes show an increased dependence on pressure (more
209 pronounced for OH_{outer}). This change in dv/dP is likely associated with a proton reorientation as
210 predicted from computations (Mookherjee and Stixrude, 2009; Capitani and Stixrude, 2012;
211 Tsuchiya, 2013) and inferred from recent infrared spectroscopy measurements (Noguchi et al.,
212 2012). A change of the pressure derivative of the OH_{outer} stretching mode is also visible in the
213 data shown by Reynard and Wunder (2006), but was attributed to uncertainties in fitting caused
214 by a strong overlap of Raman bands. The previous infrared study (Noguchi et al., 2012) reported
215 a change of dv/dP for the OH_{outer} stretching vibration from 4.1 cm⁻¹/GPa below 5.3 GPa to 7.1
216 cm⁻¹/GPa at pressures >5.3 GPa, which is substantially different from our observations (1.6(2)
217 and 6.3(4) cm⁻¹/GPa). This discrepancy may be related to the presence of non-hydrostatic stresses
218 in the solid KBr pressure-transmitting medium used by Noguchi et al., 2012 (see Fig. 6 in Koch-
219 Müller et al., 2011).

220 At pressures above 6-7 GPa, we observe the appearance of a third strong Raman band at 3730
221 cm⁻¹ that shifts rapidly to larger wavenumbers when pressure is increased (Fig. 4c). The
222 emergence of this band may be related to a symmetry-breaking response to compression above 6
223 GPa as inferred from computations (Capitani and Stixrude, 2012). Based on the pressure-
224 evolution of our Raman spectra (Fig. 3), we cannot find any evidence that this vibration band was
225 contributing to the spectrum at lower pressures as suggested by Reynard and Wunder (2006).

226 Figure 5 shows the change of Raman scattering intensity of the inner and outer OH mode with
227 rotation of the DAC. The rotation angle was defined as zero when the **a** axis was optically
228 aligned with the polarization direction of the incoming laser and as 90°, when the laser
229 polarization was parallel to **c**. In the measurements that we performed out of the DAC, the
230 scattering amplitude of the inner OH mode is strongest when the polarization of the laser is about
231 parallel to **c**, i.e. parallel to the OH-vector. The outer OH mode, however, shows a maximum of

232 Raman scattering amplitude at about 70° (i.e. 20° from the **c** axis) and a secondary smaller
233 maximum at about 110° . This observation supports the computational results that show a
234 deviation of O-H_{outer} bond direction from the **c** direction in antigorite (Capitani and Stixrude,
235 2012) and is also in agreement with observations and computations on lizardite (Gregorkiewicz et
236 al., 1996; Balan et al., 2002; Mookherjee and Stixrude, 2009). Upon pressure increase, the
237 intensity maxima of both the inner and outer OH modes shift to $\sim 110^\circ$, likely as a result of the
238 optical properties of the strained diamond-anvils that affect the laser polarization. Interestingly, at
239 high-pressures the intensity maximum as well as the dependence of intensity on rotation angle are
240 almost identical for the inner and outer OH modes, indicating a similar orientation of the OH-
241 vectors. Computational studies on antigorite and lizardite predicted that the angle the O-H_{outer}
242 bond forms with the **c** direction decreases upon compression and ultimately vanishes
243 (Mookherjee and Stixrude, 2009; Capitani and Stixrude, 2012) as a result of the difference in
244 compression behaviour of the tetrahedral and octahedral layers. Our study provides direct support
245 for the computational prediction and further indicates that this effect takes place already at
246 pressures < 3 GPa in agreement with the considerations of Noguchi et al. (2012).

247

248 **Brillouin Scattering**

249 Figure 6a shows a representative Brillouin spectrum collected at high pressures. The
250 compressional and one shear mode are clearly visible and well separated from the contribution of
251 the pressure-transmitting medium (MEW). We observed signal for the acoustic shear wave
252 velocities in many spectra. However, often only one shear mode was resolvable resulting in an
253 incomplete angular coverage. Therefore, we focus our discussion on the compressional wave
254 velocities that were of excellent quality at all rotation angles and exhibit a strong anisotropy

255 between the basal plane and the **c**-direction. Fig. 6b depicts the distribution of compressional
256 velocities measured in the **a-c**-plane. At 0.7 GPa, we measured Brillouin scattering in an angular
257 range from 0° to 180°. We found that our measurements can be well fit by assuming
258 orthorhombic symmetry (in the following discussion, we will therefore neglect the small
259 deviation of the β -angle from 90°). At all experimental pressures, the maximum compressional
260 velocity is observed along **a**, i.e. parallel to the structural layers. The lowest observed
261 compressional sound velocity is observed at around 60° (and 120°) from the **a** axis at low
262 pressures. Upon pressure increase, the angle of minimum compressional velocity progressively
263 shifts towards the basal plane. At 7.1 GPa (open symbols), the slowest compressional velocity is
264 observed at an angle intermediate between **a** and **c**. Increasing pressure leads to a more
265 pronounced velocity difference between the minimum velocity and the velocity along **c**. Fig. 6c
266 illustrates the pressure dependence of the angular shift of the lowest observed compressional
267 velocity. Our experimental data are in very good agreement with the computational predictions
268 shown as grey circles (Mookherjee and Capitani, 2011).

269 Figure 7 summarizes the pressure-dependent compressional velocities measured along specific
270 propagation directions. We observe a strong increase of compressional velocities along the **c**
271 direction consistent with a progressive compression of the **c** axis and consequent elastic stiffening
272 along this direction. The compressional velocity along **a** direction decreases with pressure, but is
273 much less sensitive to a pressure increase.

274 At pressure >6 GPa, we observe a “softening” of the compressional velocity along **a** and a large
275 decrease of the pressure dependence of the velocity along **c**, which is controlled by the elastic
276 constant c_{33} . This observation is in agreement with previous predictions based on single-crystal x-
277 ray diffraction (Nestola et al., 2010) and with computational studies on lizardite (Mookherjee and
278 Stixrude, 2009; Tsuchiya, 2013). In contrast, a previous Brillouin scattering study reported a

279 small decrease of the pressure dependence of the compressional velocity along the **c** direction,
280 but no effect on compressional velocities along **a** and **b** (Bezacier et al., 2013). According to
281 computations, the elastic softening in antigorite is caused by a change of the dominant
282 compression mechanism from a decrease of interlayer thickness to in-plane rotations of the SiO₄-
283 tetrahedra (ditrigoalization) (Capitani and Stixrude, 2012). The same mechanism, which is also
284 expected in lizardite (Mookherjee and Stixrude, 2009) and talc (Stixrude, 2002), causes the
285 observed changes in the Raman spectra.

286 We compare our results with previous experimental studies (Bezacier et al., 2010; Bezacier et al.,
287 2013) and computational predictions (Mookherjee and Capitani, 2011) in Fig. 7a. We note that
288 there are some inconsistencies in the paper of Bezacier et al. (2013) (and Bezacier et al., 2010)
289 regarding the assignment of the **a**- and **b**-directions to the elastic constants c_{11} and c_{22} . It is stated
290 that c_{11} refers to the longitudinal constant along **a** and c_{22} refers to the constant along the **b**. Then,
291 the minimum compressibility is reported along **b**, but c_{22} is smaller than c_{11} . For the following
292 discussion, we assume that the largest elastic constant reported in the paper of Bezacier et al.,
293 2013 corresponds to c_{22} and determines longitudinal wave propagation along **b**, making it the
294 fastest direction consistent with computational data (Mookherjee and Capitani, 2011), the
295 compressibility data from single-crystal x-ray diffraction (Nestola et al., 2010) and the results of
296 our study.

297 After making this correction, there is qualitative agreement between our results and the studies by
298 Mookherjee and Capitani (2011) and Bezacier et al. (2013) at pressures between 2 GPa and 6
299 GPa. However, our results do not agree at low pressures and at pressures > 6 GPa with the results
300 of Bezacier et al. (2010) and Bezacier et al. (2013). This discrepancy may be caused by the
301 experimental setup used in the studies by Bezacier and coauthors, where (a) Brillouin scattering
302 was performed on a non-polished **a-b**-plane (natural cleavage plane) but parallelism of the

303 entering and exiting sample face is a strong requirement for optimal velocity determination in the
304 DAC (Speziale et al., 2014), (b) sound wave velocities along the **c** direction were inferred from
305 measurements in a backscattering geometry, which requires knowing the high-pressure refractive
306 index of the sample at high pressure (Speziale et al., 2014).

307 However, there appears to be very good agreement between the derived pressure trends in the
308 two experimental data sets at pressures between 2 GPa and 6 GPa. We, therefore, assume that the
309 differences in absolute velocities are mostly related to compositional differences between our
310 antigorite sample and the one studied by Bezacier et al. (2013). Their sample contains more iron
311 (4.15 wt.% FeO) and aluminium (3.45 wt.% Al₂O₃) compared to our sample (2.65 wt.% FeO,
312 1.39 wt.% Al₂O₃) (Auzende et al., 2004; Capitani and Mellini, 2004). The different results from
313 this study and the previous one then lead to different results of expected elastic anisotropy shown
314 in Fig. 7b. Assuming that the observed discrepancies are caused by chemical variations, we infer
315 that the addition of iron and/or aluminium to antigorite decreases the compressional wave
316 anisotropy (defined as $(v_{p,a}-v_{p,c})/(v_{p,a}+v_{p,c})*200$, where the subscripts **p**, **a** and **c** refer to
317 longitudinal polarization, **a** and **c** crystallographic directions respectively). This conclusion is
318 consistent with the computational data on “ideal” Mg₄₈Si₃₄O₈₅(OH)₆₂ antigorite, showing stronger
319 elastic anisotropy than found in both experimental studies on natural samples.

320 Additional room pressure measurements have been performed along a single crystallographic
321 direction, close to the **b** axis (Fig. 7c) to place constraints on the effect of composition on shear
322 wave polarization anisotropy. We selected a natural single-crystal platelet from our sample
323 material and we used it unpolished as in the previous Brillouin scattering studies (Bezacier et al.,
324 2010; Bezacier et al., 2013). We determined a polarization anisotropy along the **b** direction,
325 which was optically identified from the sample’s shape, of 68(2) % at room pressure, which is in
326 between the anisotropies measured by Bezacier et al. (2010) (75 %) and the computational

327 predictions by Mookherjee and Capitani (2011) (53 %), indicating that a higher iron/aluminium
328 content increases the shear wave polarization anisotropy (at least along **b**). We then resistively
329 heated the DAC up to 200°C (at ambient pressure) to track the evolution of shear anisotropy with
330 increasing temperature (Fig. 7c). Over the studied temperature range, the shear wave polarization
331 anisotropy does not change within the uncertainties of the measurements. Also, the
332 compressional wave velocity along **b** (determined by the elastic constant c_{22}) does not visibly
333 change with increasing temperature.

334

335

Implications

336 We provide first direct measurements of compressional wave velocity anisotropy in the (010)
337 plane of natural antigorite to high-pressure. By comparison to previously published data, we infer
338 that the velocity anisotropy of antigorite is sensitive to variations in the Fe- and/or Al-content,
339 whereas preliminary HT-results indicate that the elastic anisotropy is independent of temperature
340 within the studied temperature range. Fig. 8 shows the potential behaviour of compressional
341 sound wave anisotropy and shear polarization anisotropy with FeO (Figs. 8a, c) and Al₂O₃ (Figs.
342 8b, d) content. A recent compilation of the chemical composition of natural serpentine minerals
343 found that the FeO content mostly falls between 6 and 10 wt.%, but can be as high as 12 wt.% for
344 serpentinites from the mantle wedge (Deschamps et al., 2013). All antigorite compositions that
345 have been studied for single-crystal elastic anisotropy have a lower iron content (Mookherjee and
346 Capitani, 2011; Bezacier et al., 2013, this study). If the elastic anisotropy is indeed very sensitive
347 to the iron content of antigorite, it is possible that antigorite in subduction environments is
348 elastically less anisotropic for p-waves and more anisotropic for s-waves than previously
349 assumed. Future studies should focus on the effect of chemical variations on anisotropy to allow

350 for mapping the hydration state in subduction environments through analysis of shear wave
351 splitting or based on compressional azimuthal anisotropy data, which may become available in
352 the future.

353

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355 Table 1: Summary of Raman Spectroscopy results.

| This study | | | | Reynard & Wunder | | Auzende et al. 2004 | |
|------------------|-------------------------|---------------------------|---------------------------------|---------------------------|---------------------------------|---------------------------|---------------------------------|
| mode | <i>P</i> -region of fit | ν (cm ⁻¹) | dv/dP (cm ⁻¹ /GPa) | ν (cm ⁻¹) | dv/dP (cm ⁻¹ /GPa) | ν (cm ⁻¹) | dv/dP (cm ⁻¹ /GPa) |
| LV1 | 0-6 GPa | 198.5 (11) | 1.9 (3) | - | - | - | - |
| LV2 | 0-10 GPa | 230.0 (8) | 5.0 (1) | 229 | 4.7(3) | 235 | 4.8 (2) |
| LV3 | 0-6 GPa | 374.6 (5) | 3.3 (1) | 378 | 3.2 (1) | 377 | 4.1 (1) |
| LV3 | 7-10 GPa | 354.8(50) | 5.9 (6) | - | - | - | - |
| LV4 | 0-4 GPa | 459.1 (4) | 3.9 (1) | 459 | 4.6 (4) | - | - |
| LV5 | 0-8 GPa | 528.8 (8) | 3.0 (2) | - | - | - | - |
| LV6 [#] | 0-10 GPa | 636.1 (24) | 3.9 (4) | - | - | - | - |
| LV7* | 0-6 GPa | 681.6 (10) | 2.8 (3) | 688 | 6.5 (8) | 685 | 4.9 (2) |
| LV8* | 4-10 GPa | 704.3 (16) | 2.9 (2) | - | - | - | - |
| LV9 | 0-8 GPa | 881.3 (9) | 3.5 (2) | - | - | - | - |
| LV10 | 0-6 GPa | 1028.8 (7) | 3.9 (2) | - | - | - | - |
| LV11 | 0-10 GPa | 1044.7 (9) | 5.8 (2) | 1045 | 4.6 (2) | - | - |
| | | | | | | | |
| OH1 | 0-6 GPa | 3669.0 (8) | 1.6 (2) | 3672 | 2.3 (5) | 3661 | 2.3 (1) |
| OH1 | 7-10 GPa | 3636.5 (28) | 6.3 (4) | - | - | - | - |
| OH2 | 0-6 GPa | 3698.6 (4) | 1.9 (1) | 3698 | 2.3 (1) | 3698 | 2.6 (1) |
| OH2 | 7-10 GPa | 3684.1 (24) | 4.0 (3) | - | - | - | - |
| OH3 | 7-10 GPa | 3640.3 (48) | 12.3 (6) | - | - | - | - |

356 *LV 7 and 8 are clearly resolved bands in our spectra. However, when fitting them “together”,
 357 the resulting values are $\nu = 678.4 \text{ cm}^{-1}$ and $dv/dP = 5.7 \text{ cm}^{-1}/\text{GPa}$. 1- σ fitting uncertainties on the
 358 last digit are given in brackets. [#]Large data scatter at pressures > 7 GPa for LV6.

359

360

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439

440

441

442

443 **Figure 1** (color online): Antigorite single-crystal sample used in this study. (a) A sample for
444 DAC experiments was cut perpendicular to the basal plane by using the focused ion beam
445 technique (details in Marquardt and Marquardt, 2012). (b) An electron transparent foil was
446 prepared parallel to the DAC-sample from the same single-crystal (STEM bright field image). (c)
447 Electron diffraction pattern taken from the orange highlighted part of the TEM lamella (with the
448 [010] zone axis aligned to the incident beam).

449

450 **Figure 2:** Representative Raman spectra collected in the DAC at 0.7 GPa along with the best-fit
451 models. The most prominent lattice modes that were tracked to high pressures are labeled. (a)
452 Spectral region showing features related to lattice vibrational modes. (b) Spectral region related
453 to the OH stretching vibrations in antigorite.

454

455 **Figure 3** (color online): (a) Evolution of Raman spectra with pressure. Spectra were vertically
456 offset to enhance visibility. The change of the LV7 and LV8 is highlighted by green and blue
457 thick lines to illustrate the intensity crossover at around 6 GPa (left). The orange line illustrates
458 the appearance of the new band in the OH stretching region (right).

459 **Figure 4:** Raman shifts as a function of pressure for (a) lattice vibrational modes (strongest bands
460 are shown as full symbols), (b) LV3 and LV11, where the lines represent linear fits to the data
461 points below 6 GPa, and (c) OH stretching vibrational modes.

462

463 **Figure 5:** Dependence of Raman scattering amplitude on rotation angle for (a) the inner OH and
464 (b) the outer OH modes. At 0° and ambient pressure, the polarization of the incoming laser light
465 is about parallel to the **a** direction; at 90° , it is about parallel to **c**. Data collected at different
466 pressures were vertically offset to enhance visibility.

467

468 **Figure 6** (color online): (a) Brillouin spectrum of single-crystal antigorite at 5.3 GPa. (b)
469 Measured compressional velocity spatial distribution in a-c-plane of antigorite at 0.7 GPa (full
470 symbols) and 7.1 GPa (open symbols). The approximate locations of the **a**- and **c**-axes are
471 indicated. Error bars denote fitting uncertainties. Grey curves are fits to the experimental data
472 assuming orthorhombic symmetry of antigorite at 0.7 GPa (full curve) and 7.1 GPa (dotted
473 curve). The colored curves show results taken from previously published studies at our
474 experimental pressure: Blue: Mookherjee and Capitani (2011); green: Bezacier et al. (2013). (c)
475 Angle from **a** direction (rotation around **b**), where the minimum of compressional velocities
476 (black circles) were observed. Uncertainties in angles of minimum v_p are estimated from the
477 observed scattering of the experimental data. Literature data are shown for comparison.

478

479 **Figure 7:** (a) Compressional velocities of antigorite measured along **a** direction (circles) and **c**
480 direction (diamonds). An uncertainty in derived velocities of 0.1 km/s was assumed to account
481 for the small deviation of probed phonon propagation direction from the crystallographic axes.
482 Reported unit cell volumes from the computational study were converted to pressures by using

483 the computational equation of state parameters derived using the generalized gradient
484 approximation (GGA) (Mookherjee and Capitani, 2011). (b) p-wave anisotropy in % (between v_p
485 along **c** and **a** defined as $(v_{p,c}-v_{p,a})/(v_{p,c}+v_{p,a})*200$) of antigorite samples with different
486 compositions. (c) Temperature dependence of acoustic velocities along **b**.

487

488 **Figure 8:** (a) p-wave anisotropy (defined as in Fig. 6) of antigorite as a function of iron-content
489 and (b) aluminium-content. (c, d) shear wave polarization anisotropy along **b** at ambient pressure.

490 Lines are linear fits.

Figure 1

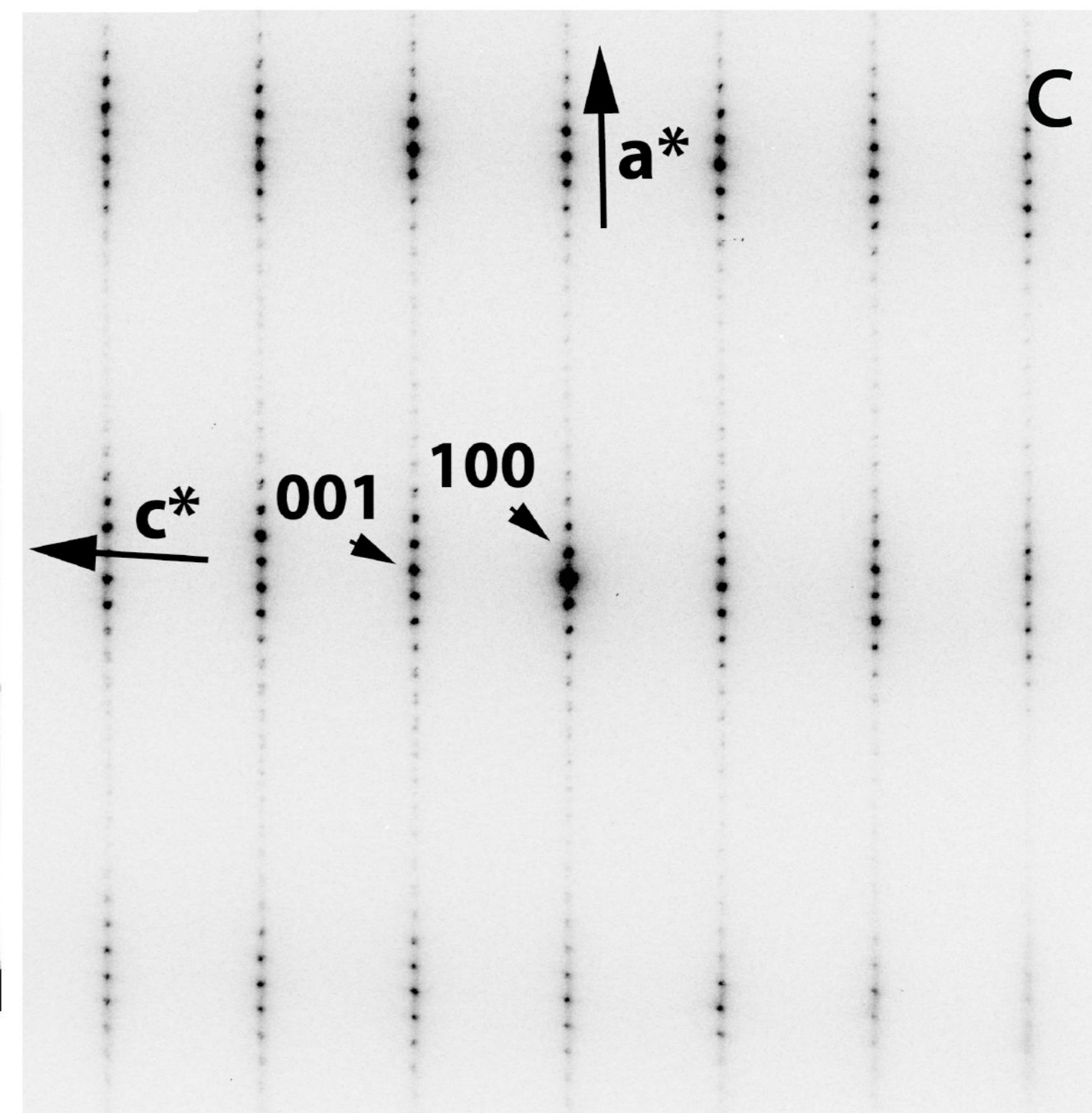
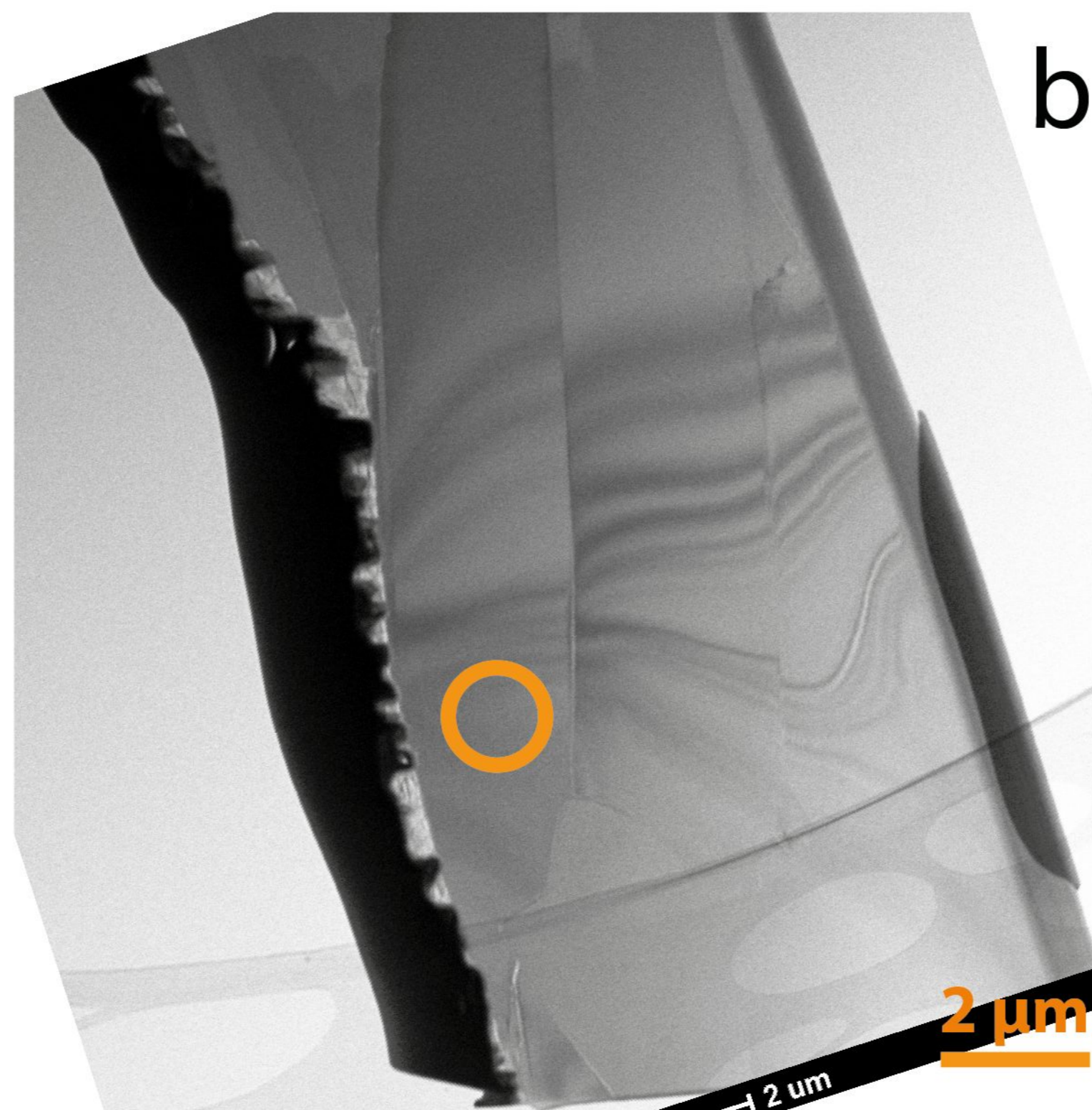
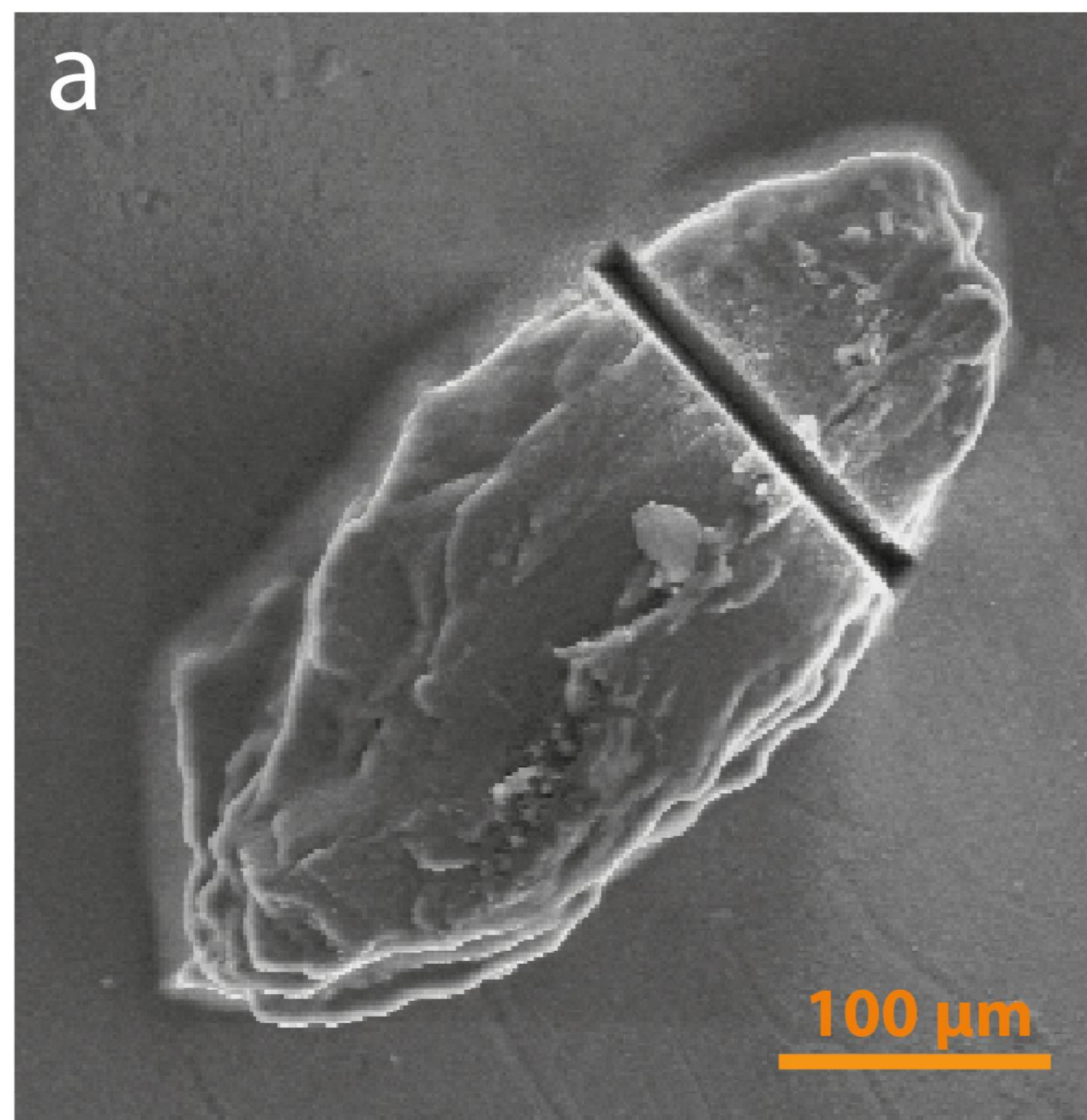


Figure 2

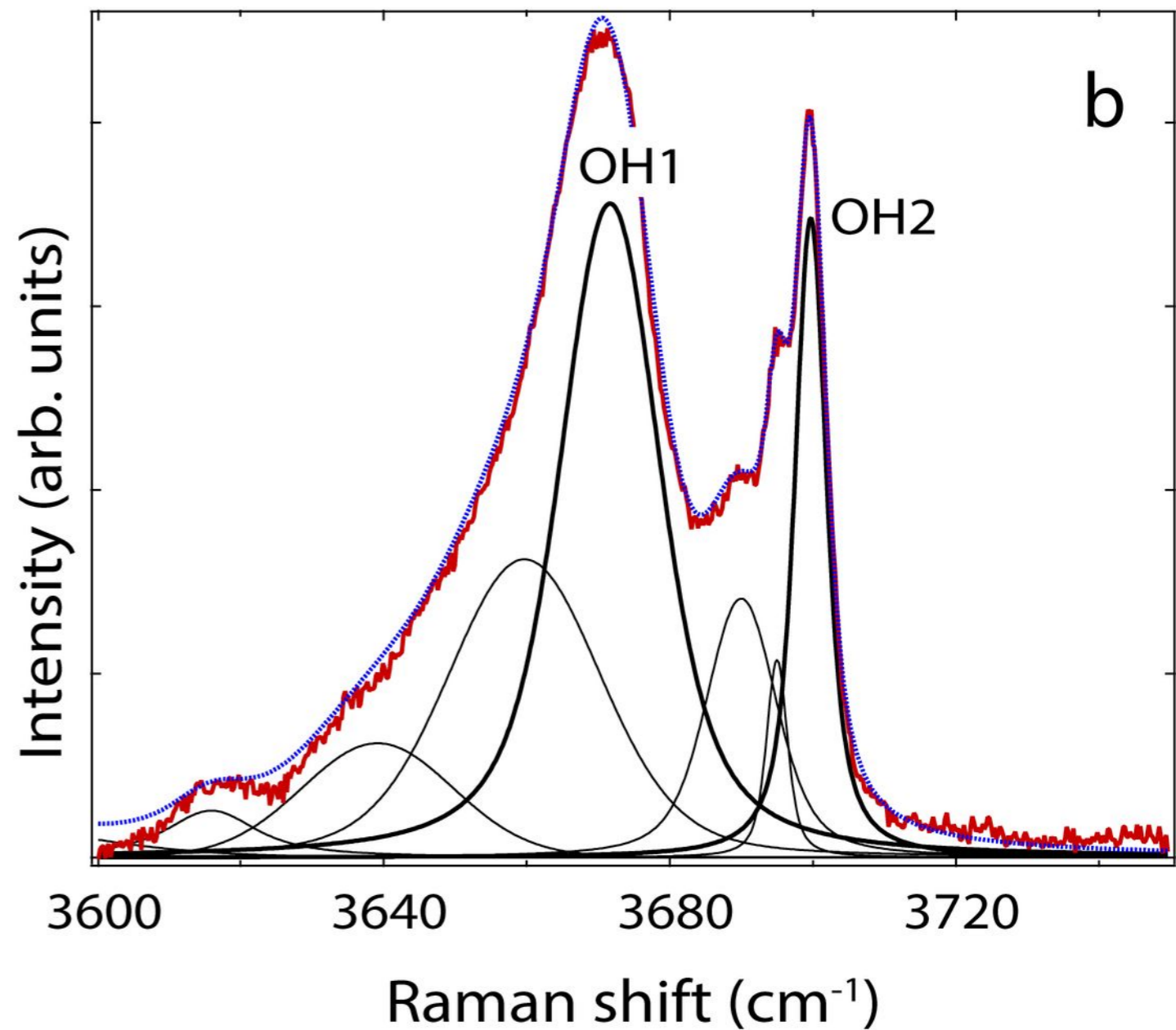
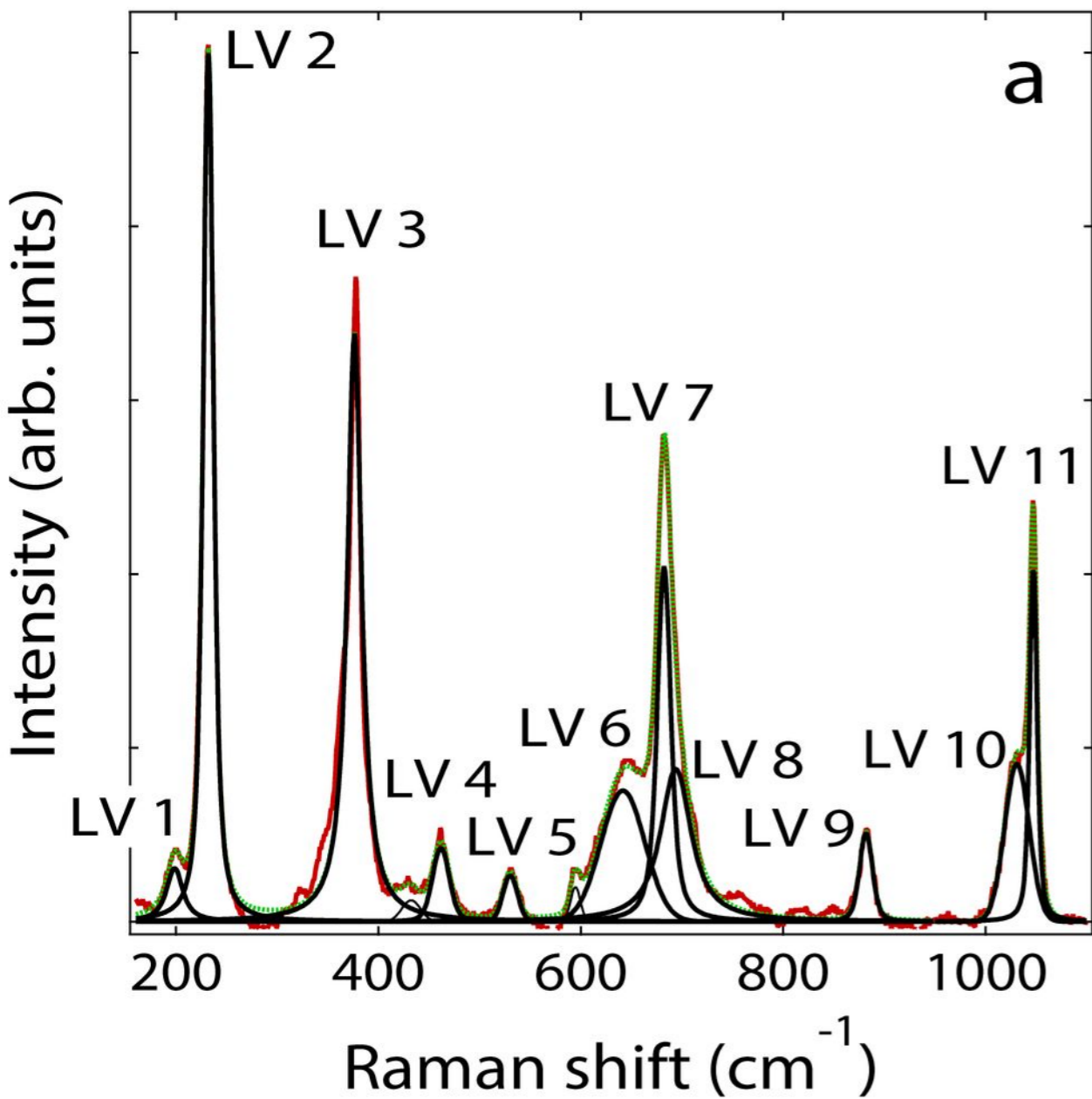


Figure 3

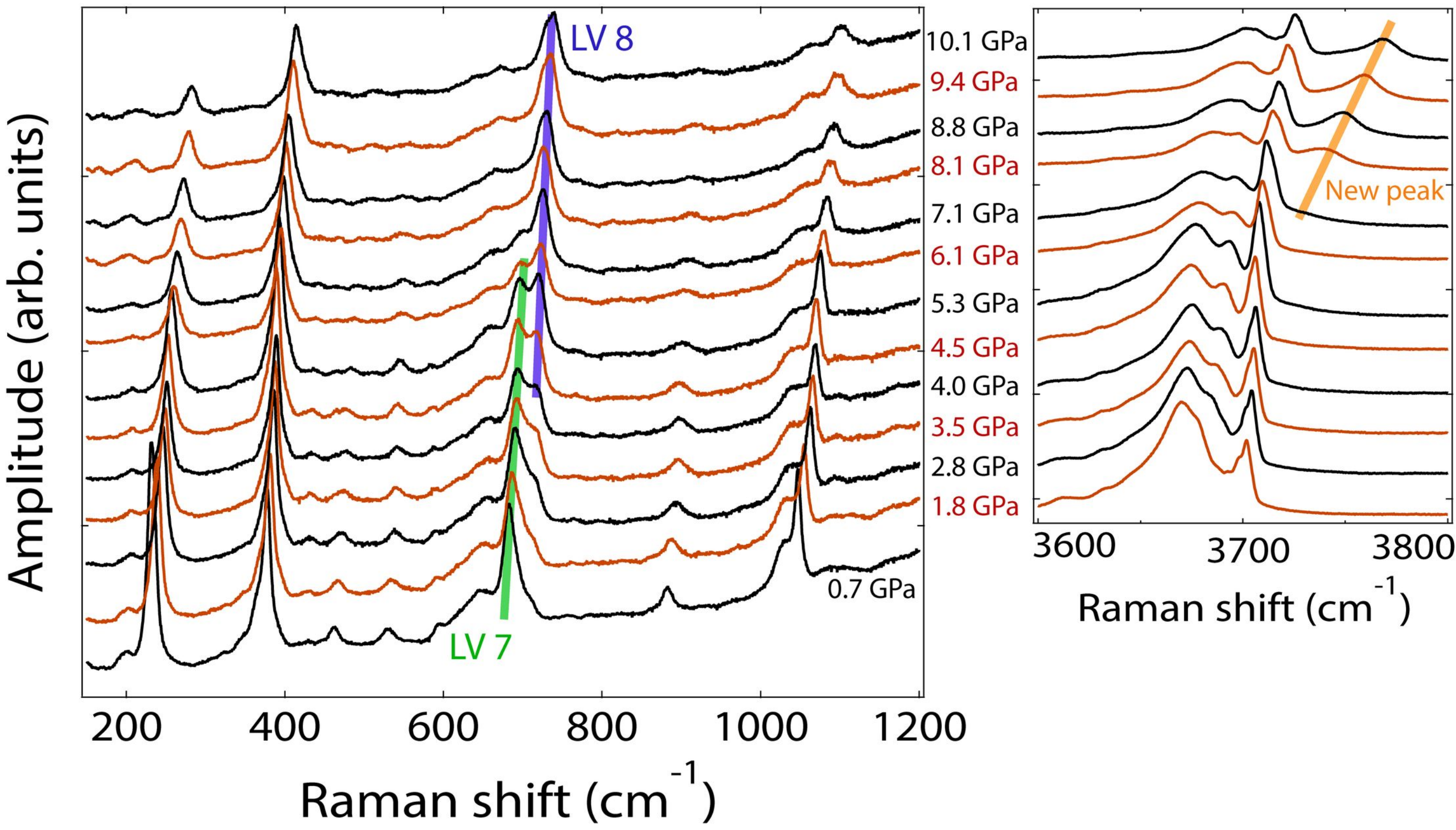


Figure 4

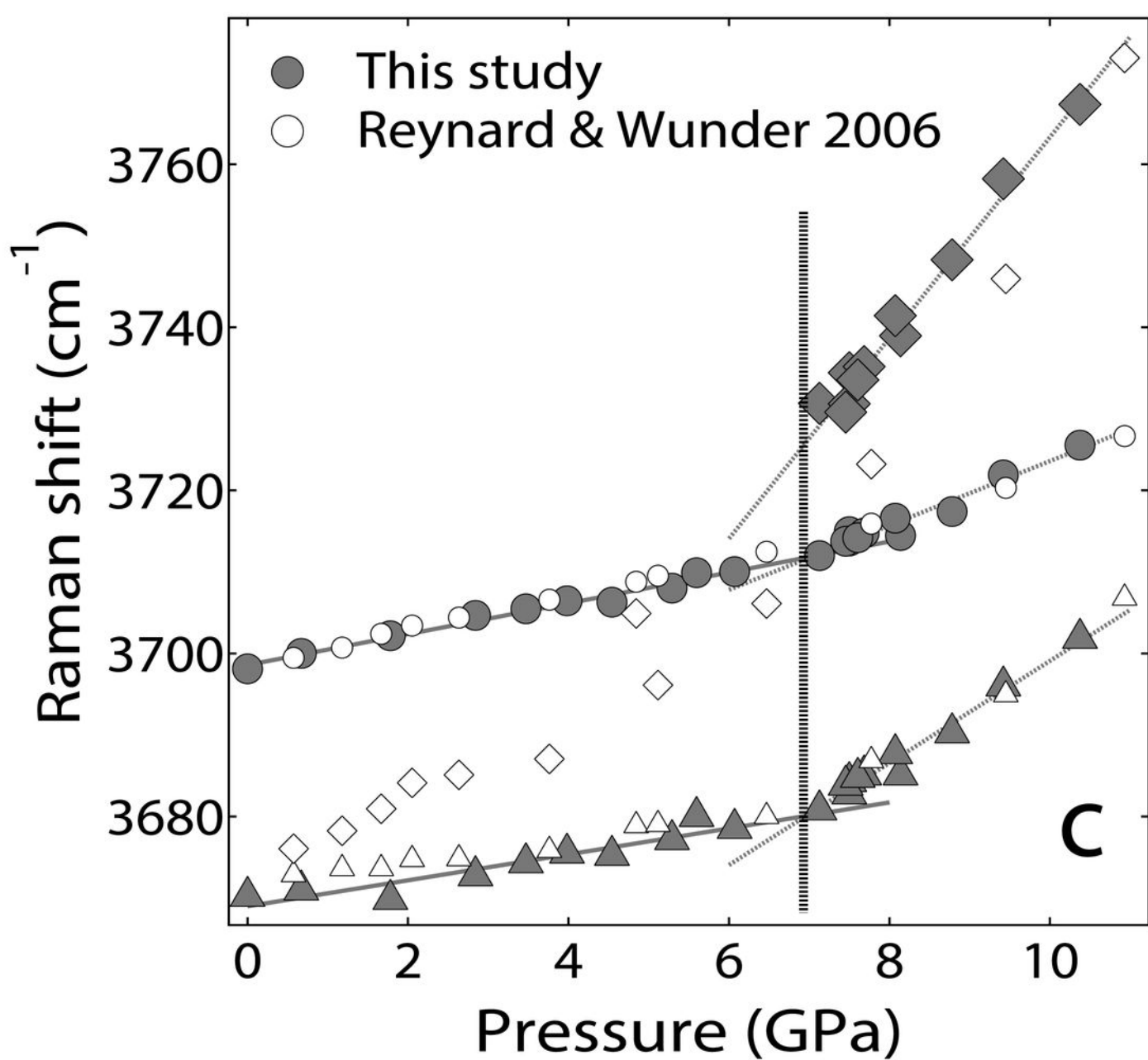
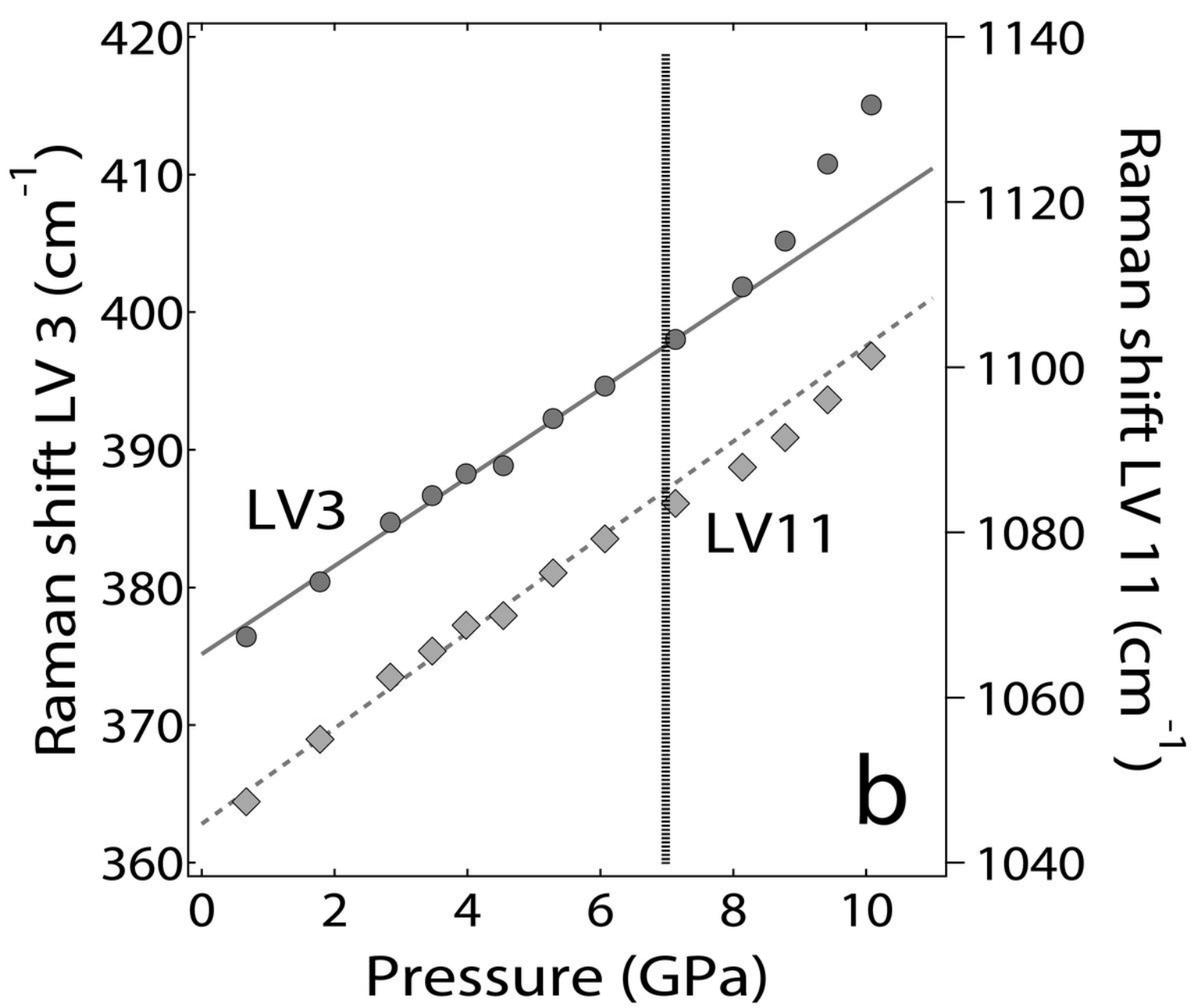
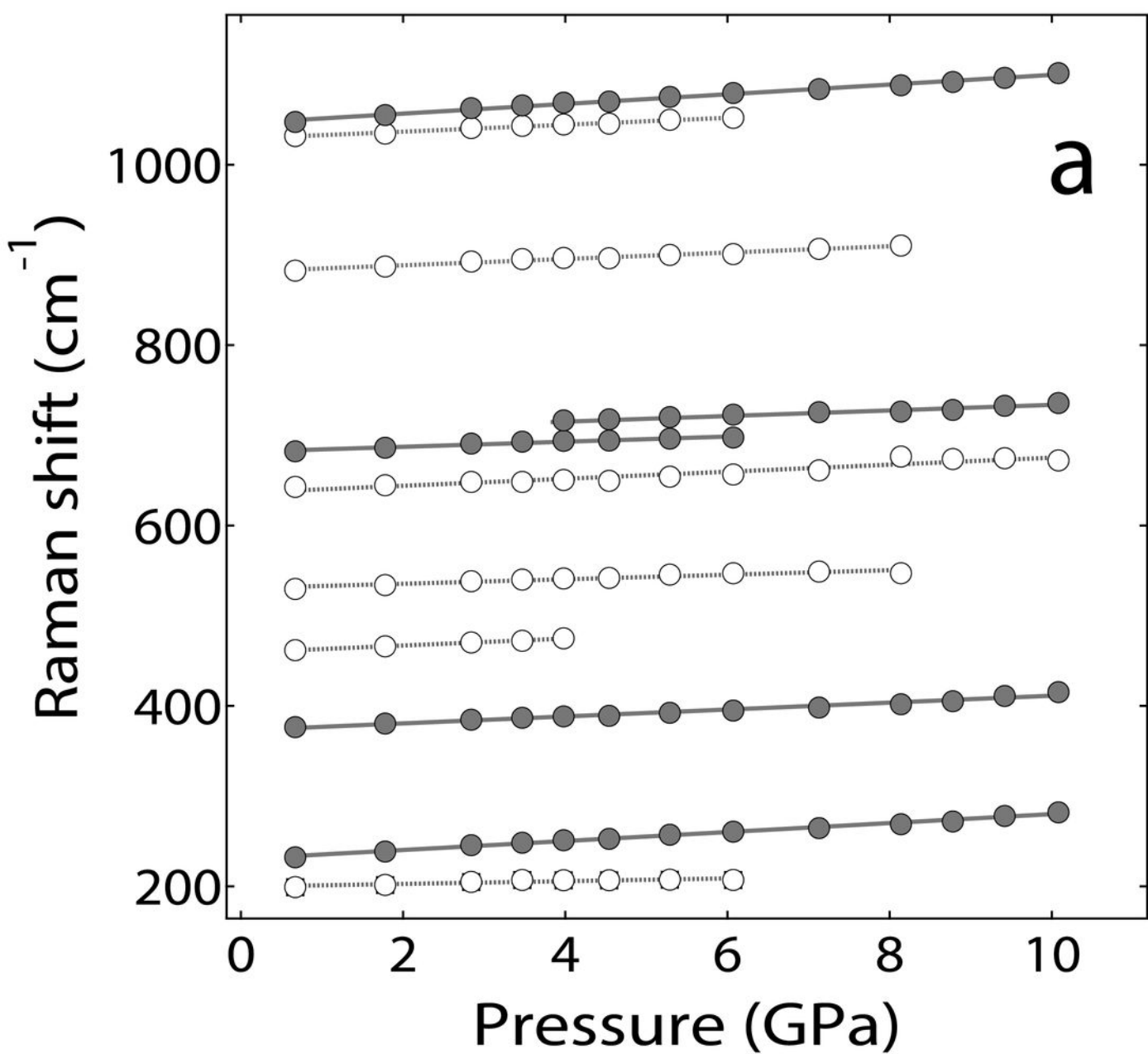


Figure 5

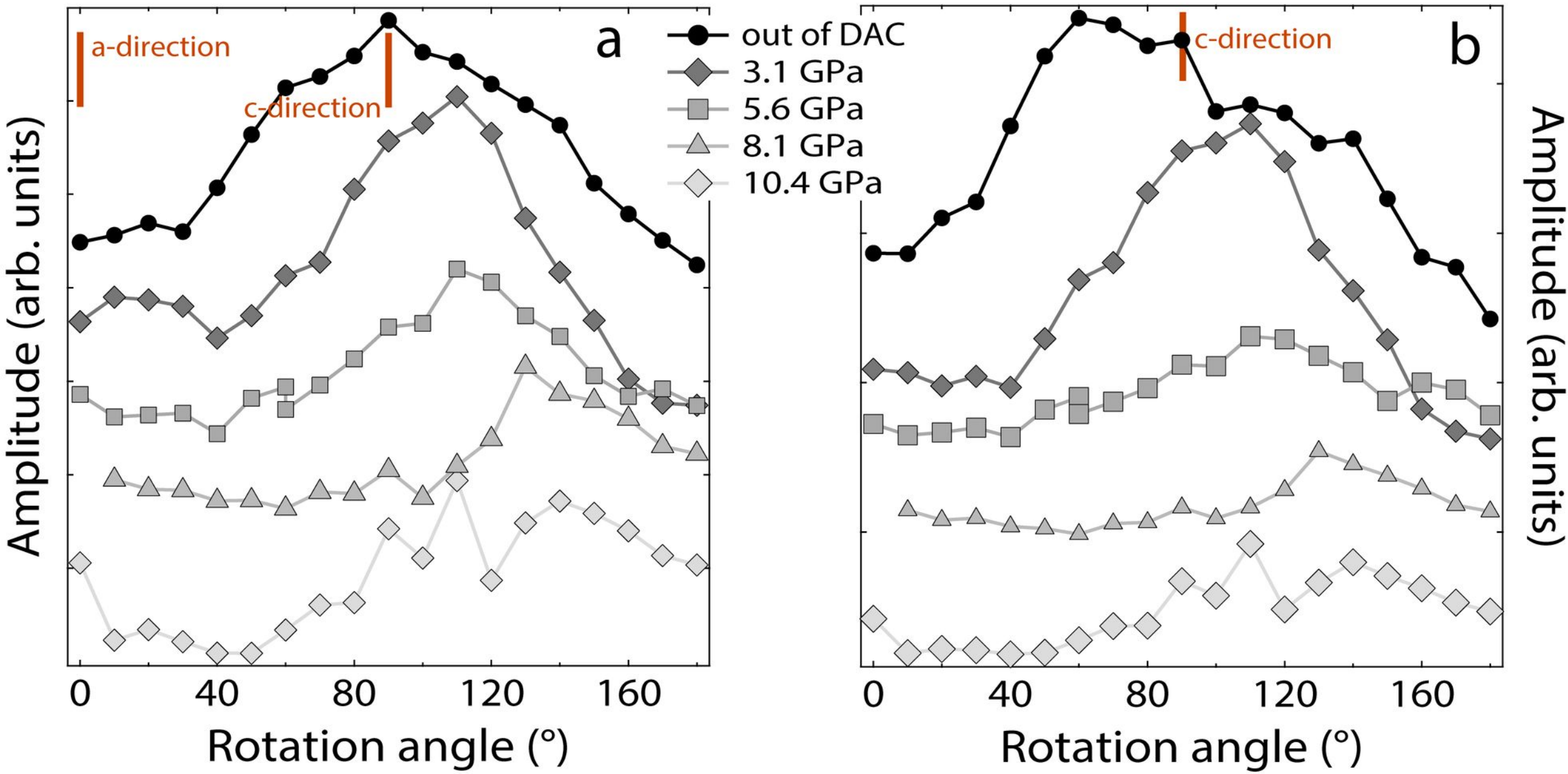


Figure 6

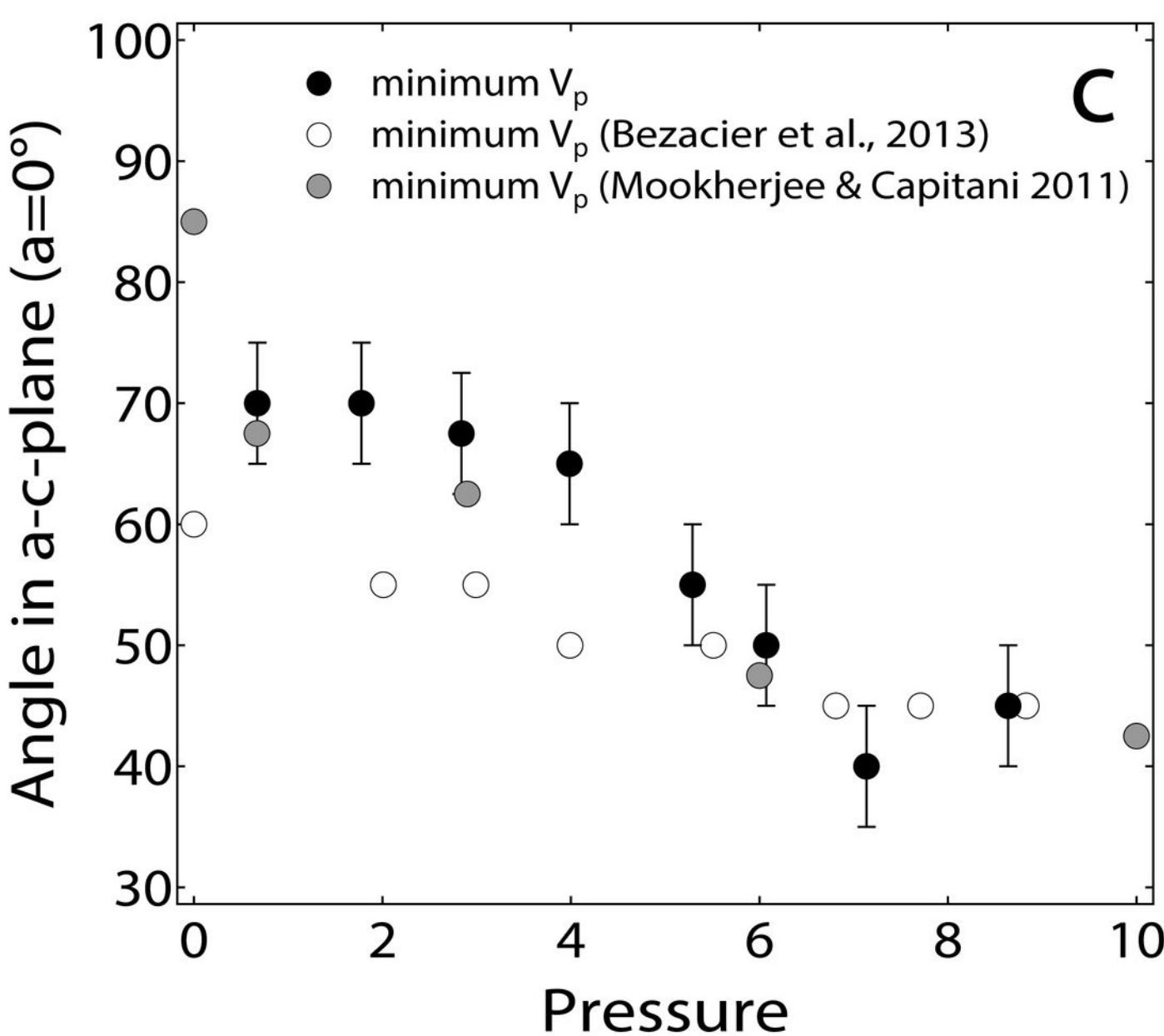
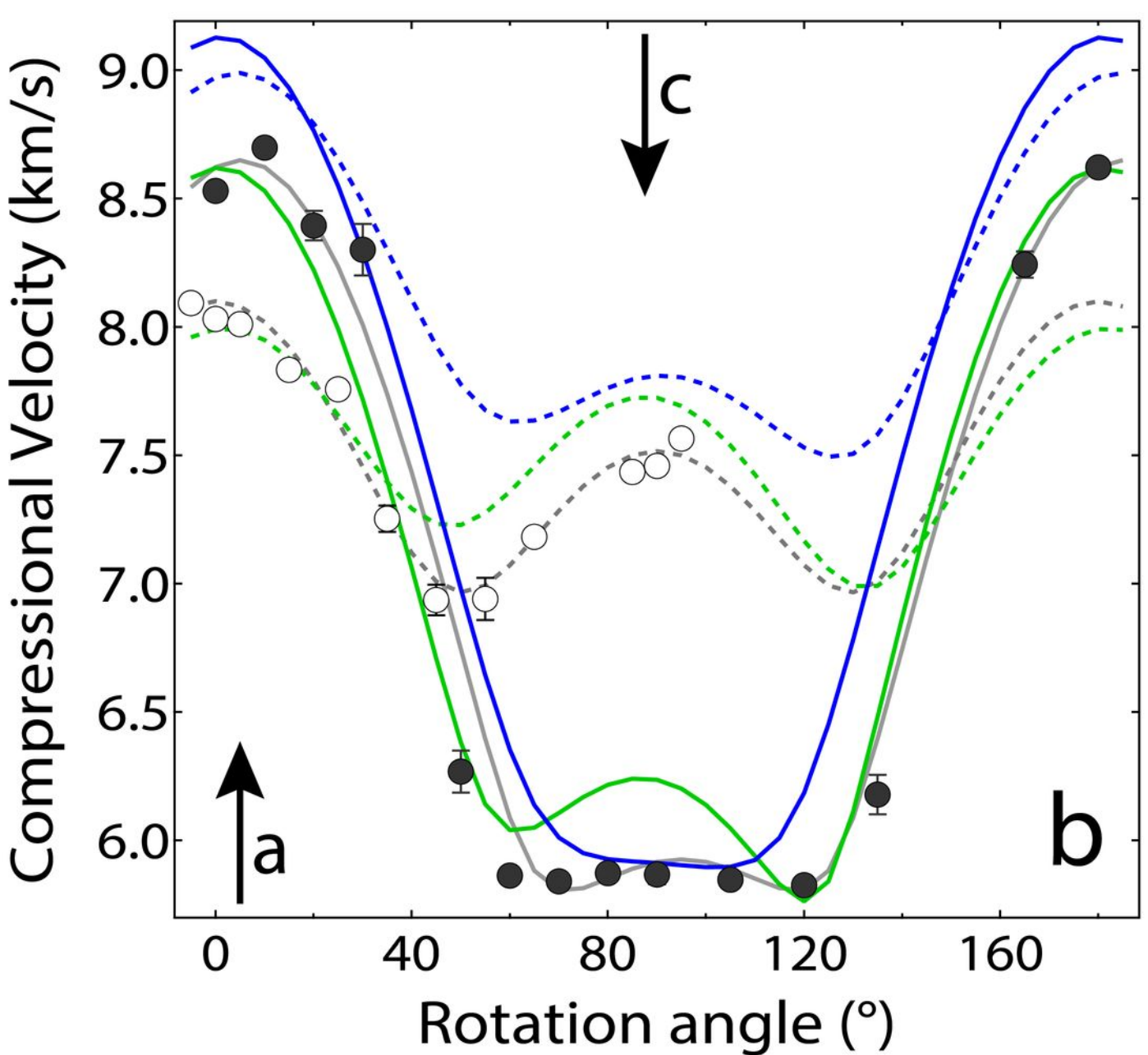
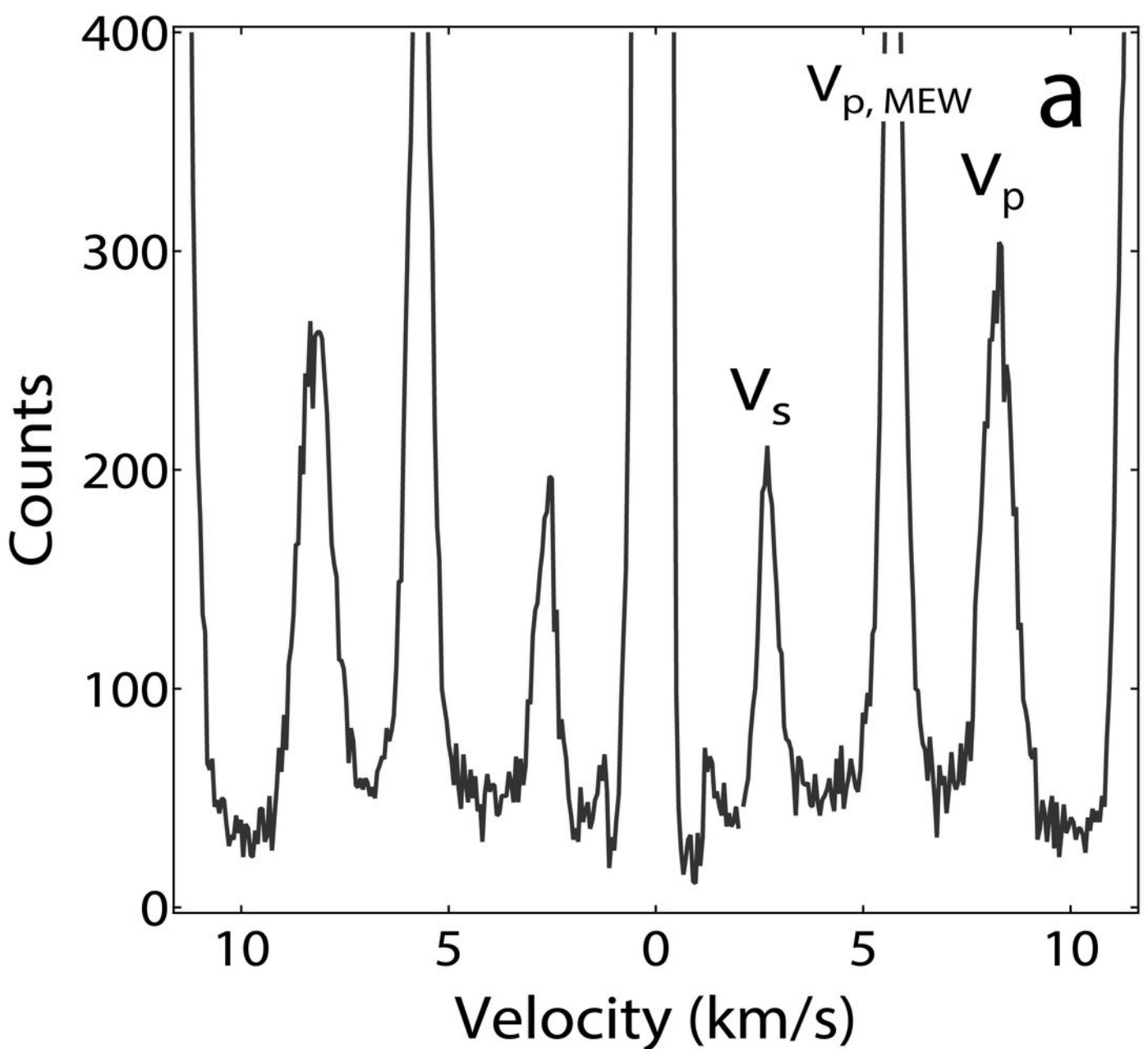


Figure 7

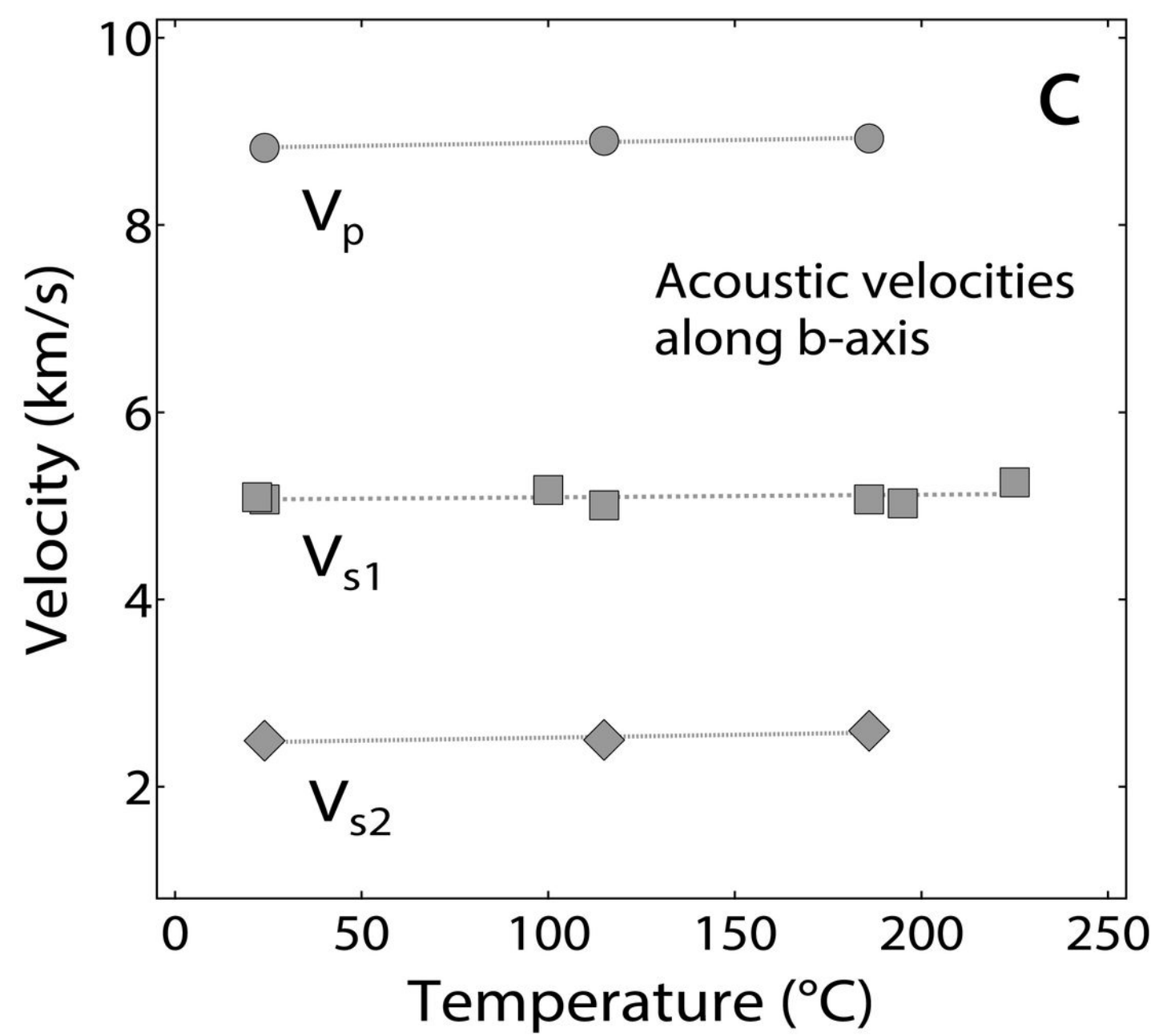
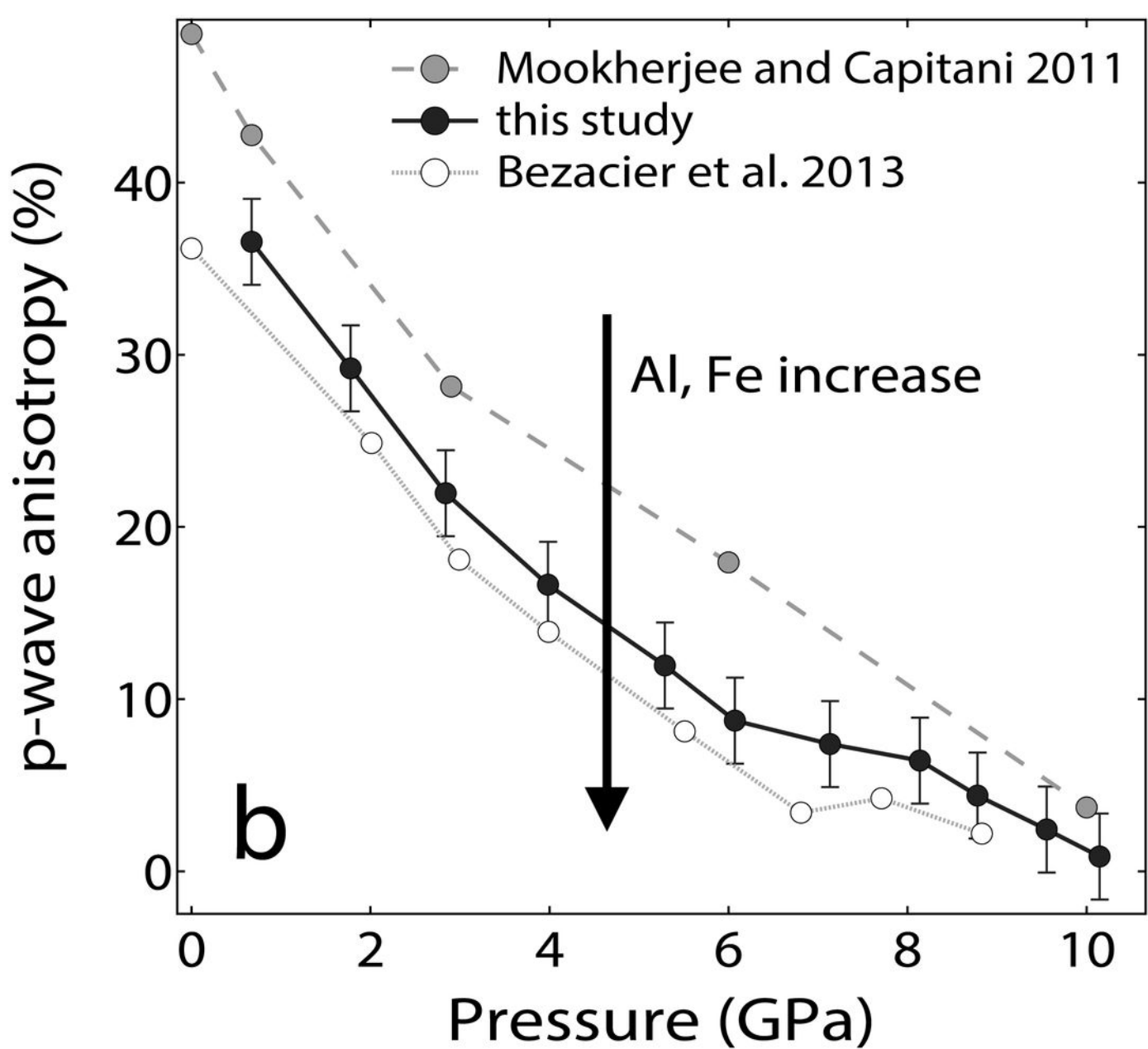
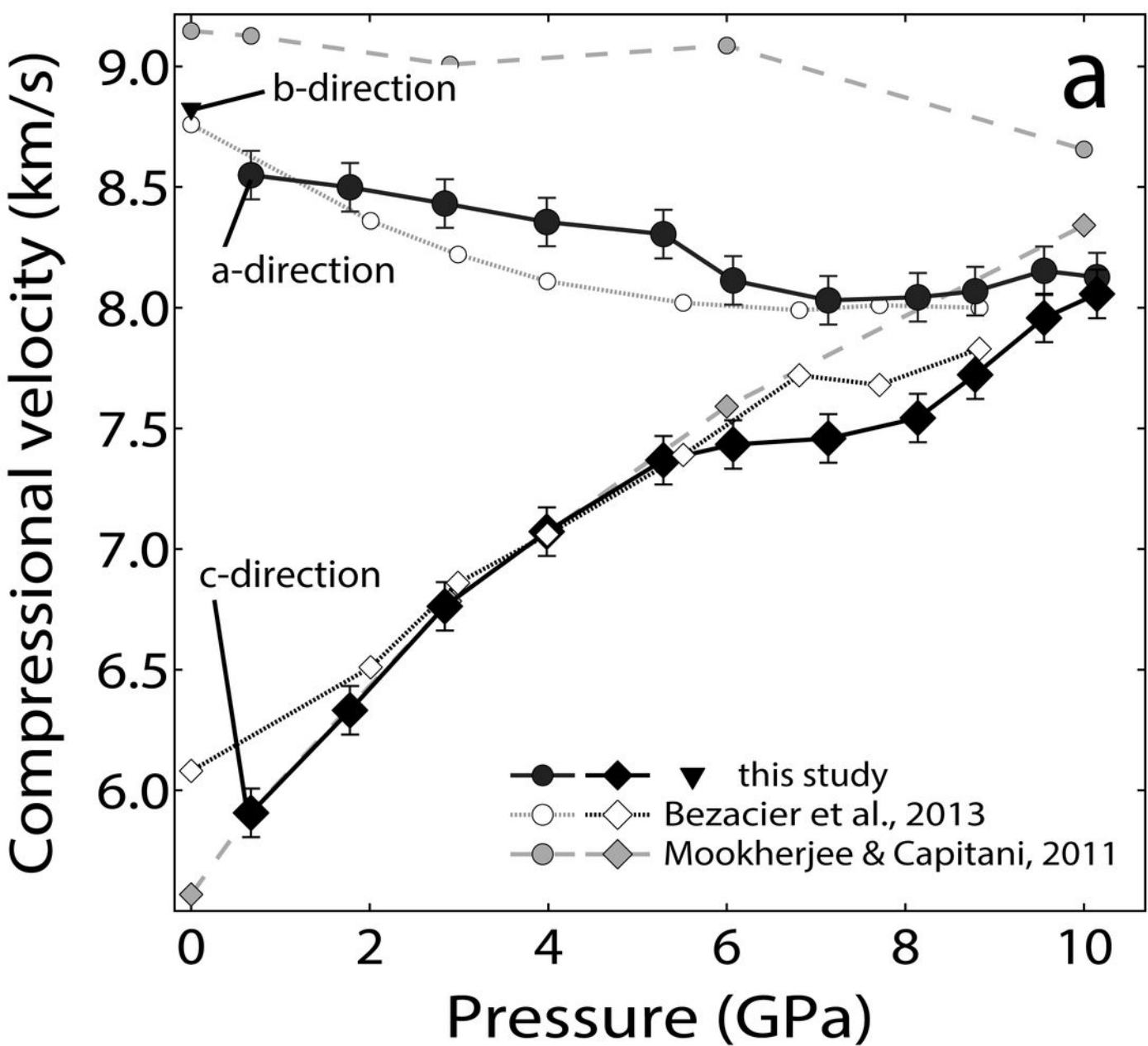


Figure 8

