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1 **Grain size measurement from two-dimensional micro-X-ray diffraction: Laboratory**
2 **application of a radial integration technique**

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24 **Abstract**

25 Two-dimensional X-ray diffraction data contain information about not only the
26 type of mineral phases present in an assemblage, but also the textural or grain size
27 relationships between minerals in a sample. For minerals within a certain grain size
28 range, ~0.1 to 100 μm , the appearance and characteristics of a Debye ring can reveal the
29 mean grain size of a sample. In this contribution, using mineral and rock samples of
30 known grain size ranges, we investigate the applicability of calculating the grain size of a
31 material using a two-dimensional X-ray diffraction crystallite size analysis method for
32 micron-sized materials. A radial integration technique was used to derive the number of
33 grains contributing to produce diffraction spots in the Debye ring. Monomineralic
34 pyroxene and magnetite samples of known grain size ranges were analyzed, and the
35 calculated grain size was observed to broadly correlate with the sample size except at the
36 upper and lower extremes. To evaluate the technique on broader geological materials,
37 polymineralic basalt samples with known grain size ranges were analyzed, and the
38 calculated grain sizes did not correlate with the size of the rock fragments, but did
39 correlate closely with the size of the individual mineral grains. Using a Bruker D8
40 Discover X-ray diffractometer with a 300 μm nominal incident beam diameter, the
41 effectiveness of the applied method appeared limited to the grain size range of ~15–63
42 μm for monomineralic samples. The method is further limited by several complicating
43 factors and assumptions, including the requirement for the crystallite size to correlate
44 with the sample grain size. The effective range of this method will vary with different
45 instrumental and experimental conditions. When applying this method to calculate the

46 grain size of geological materials, the calculated result should be interpreted as a
47 minimum estimate of the grain size.

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49 Keywords: micro-X-ray diffraction, two-dimensional X-ray diffraction, grain size,
50 crystallite size, chi-profile, gamma-profile.

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Introduction

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54 Throughout the century-long history of X-ray diffraction, methods have been
55 developed and applied to measure the size distribution of crystalline materials with two-
56 dimensional X-ray diffraction (2D XRD) images by studying the characteristics of
57 diffraction spots on the images and their relationship within a Debye ring. Deciphering
58 the grain size relationships with the progression of smooth Debye rings to 'spotty' rings,
59 and finally to large diffraction spots as the effective grain sizes of micron-sized minerals
60 increased was pursued in two manners: (1) qualitative description of the Debye ring
61 characteristics of minerals of known grain sizes with broad qualitative application to
62 other minerals; and (2) more quantitative attempts to measure parameters from 2D XRD
63 images and calculate a given grain size with some accuracy.

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65 The qualitative method of grain size identification can be seen in the study of
66 Debye-Scherrer X-ray diffraction film characteristics by several authors (e.g. Azároff and
67 Buerger 1958; Klug and Alexander 1974; Cullity 1978) who presented observations of
68 the visual qualities of the Debye rings of samples with known grain size to which
69 samples with unknown grain size could then be compared. These observations can be

69 collectively summarized as follows: Below $\sim 0.1 \mu\text{m}$, Debye rings will display line
70 broadening, and the lines will broaden with decreasing grain size until $\sim 0.01 \mu\text{m}$ where
71 the irradiated sample will begin a transition towards being X-ray amorphous. In the size
72 range of 0.1 to $10 \mu\text{m}$, a “perfect” powder X-ray diffraction pattern with thin, clearly
73 discernible rings will exist, although there is not complete agreement on the exact
74 transitions zones. Cullity (1978) stated that the transition from continuous rings without
75 spots to spotty diffraction rings occurs between $1 \mu\text{m}$ and $10 \mu\text{m}$, whereas Azároff and
76 Buerger (1958) state that between $10 \mu\text{m}$ to $40 \mu\text{m}$ the sample has clearly discernable
77 diffraction rings consisting of very many spots that are closely spaced. Klug and
78 Alexander (1974) place continuous rings at $<5 \mu\text{m}$ and spotty rings at 15 to $50 \mu\text{m}$ for
79 quartz. Beyond $\sim 50 \mu\text{m}$ Debye rings become progressively more discontinuous, and by
80 $\sim 200 \mu\text{m}$ or larger only a few diffraction spots are scattered on the film. Hörz and Quaide
81 (1973) give a summary of Debye ring characteristics pertaining to the grain block size in
82 several minerals.

83 In the finer grain size range where the Debye rings begin to broaden in the
84 transition towards becoming X-ray amorphous, well-established quantitative methods of
85 measuring the grain size of powdered materials exist (e.g. Klug and Alexander 1974; Rao
86 and Houska 1986). These methods use data from one-dimensional diffractograms and
87 derive the grain size from equations such as the Scherrer equation. With decreasing grain
88 size, a nominally sharp diffractogram peak will begin to broaden at the base, and then
89 broaden uniformly throughout (Azároff and Buerger 1958). With extensive broadening
90 the peak height will decrease as well, and the area under the peak will remain constant
91 (Azároff and Buerger 1958). Measuring these features and entering the results into the

92 Scherrer equation allows for the grain size to be inferred. The line profile method is
93 particularly applicable when the grain size is below approximately 0.1 μm (He 2009).

94 Williamson and Hall (1953) combined equations for size and strain. The Rietveld
95 whole pattern crystal structure refinement method (Rietveld 1969) also includes grain
96 size and strain estimation (e.g. Balzar et al. 2004), and can refine on the crystal size of a
97 sample in a method akin to the line profile method. Therefore, calculating grain size via
98 the Rietveld method is applicable only for samples with grain size on the order of a few
99 microns or smaller. Methods of crystallite size-lattice strain estimation from powder
100 diffraction pattern line shapes were reviewed by Mittemeijer and Welzel (2008). One-
101 dimensional powder diffraction methods were reviewed by Lavina et al. (2014). In the
102 size range where the progression of changing Debye ring characteristics are seen, ~ 0.1 to
103 $100 \mu\text{m}$, the above methods are not applicable and other methods need to be applied.

104 Quantitative methods of deriving grain size from 2D XRD involve measuring
105 parameters from 2D images and inputting these values into equations to calculate the
106 grain size of the sample. Interestingly, in addition to their descriptions of Debye ring
107 characteristics pertaining to grain size, Azároff and Buerger (1958) and Cullity (1978)
108 both outline how a quantitative method for measuring grain size from these images could
109 be attained. Their proposed methods were akin to the method applied in this study, but it
110 likely took the advent of precise computer analysis of digital micro-X-ray diffraction
111 (μXRD) images for the method to become a feasible reality.

112 Early quantitative methods of grain size measurement from 2D XRD are
113 exemplified by Stephen and Barnes (1937). In applying a technique modified from
114 Shdanow (1935), they measured the grain size of materials by the comparison of the

115 number of diffraction spots on two photographs taken with differing exposure times, or
116 by counting the number of spots on one film taken under a standardized condition and
117 comparing the result to an empirical chart. The calculation method was applied to six
118 aluminum samples, and was shown to not be effective above 50 μm , but the region from
119 50 to 10 μm was shown to be useful, where results were found to agree within 10%.
120 Hirsch and Kellar (1952) applied a more generalized version of the method developed by
121 Stephen and Barnes (1937) for back-reflection patterns. Hirsch and Kellar (1952) stated
122 that the method used in the study was more appropriate than the line profile method for
123 materials which may have experienced strain, such as cold-rolled aluminum, because the
124 textural information which coincides with the 2D XRD images allows for the calculated
125 results to unequivocally be interpreted as grain size. The line profile method would
126 require the assumption that the line broadening was solely the result of the grain size, a
127 risky assumption when studying strained and deformed materials which can generate
128 their own broadening features.

129 He (2009) formulated a modern method of calculating crystallite size from 2D
130 XRD using multi-wire detectors and computer software, but also featuring many parallels
131 with these earlier film-based techniques. Essentially, these methods calculate the volume
132 of material irradiated by the X-ray beam using constraints such as the diameter of the X-
133 ray beam and the X-ray absorption characteristics of the material, and divide this volume
134 by the number of irradiated crystallites that were calculated in some manner to arrive at a
135 calculated grain size. Here we present a laboratory investigation of the method of He
136 (2009), applied with a micro-X-ray diffractometer with reflection-mode geometry, with
137 the aims of exploring the applicability of this method in calculating the grain size of

138 geological materials in an X-ray diffraction laboratory, and constraining the degree to
139 which differences in crystallite and grain size affect the method.

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Methods and Materials

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143 **Grain size from 2D XRD after He (2009)**

144 He (2009) formulated a method of crystallite size analysis that takes advantage of
145 2D XRD. This method, in its simplest form, relates the number of diffraction spots in a
146 spotty diffraction ring and the sample volume to the size of the crystallites. On the image
147 of the 2D XRD detector, a 2θ by χ angular window is selected for a particular Debye ring
148 and is integrated along the χ direction. This produces an intensity versus χ plot which can
149 then be fitted with a polynomial or line of the average intensity. Half the number of times
150 the integrated profile crosses the plotted line is calculated as the number of crystallites
151 diffracting in the window. When this number is related to the sample volume, the
152 multiplicity of the diffracting plane, and the instrument parameters, the crystallite size
153 can be calculated. This χ -profile analysis method is useful when applied to samples with
154 crystallite sizes ranging from 0.1 μm to 100 μm , over the size range where Debye ring
155 characteristics rapidly evolve with changing crystallite size. Note that He (2009)
156 denominates this method as γ -profile analysis, but here we have used the term χ to
157 correlate with the previous 2D XRD literature. The derivation of the crystallite size
158 measurement that follows is taken from He (2009), and the reader is referred there for a
159 thorough discussion.

160 The number of crystallites (N_s) contributing to a diffraction ring in a perfectly
161 random powder can be given by

$$162 \quad N_s = p_{hkl} \cdot \frac{Vf_i}{v_i} \cdot \frac{\Omega}{4\pi} \quad (1)$$

163 where the multiplicity of the diffraction ring is p_{hkl} , V is the effective sampling volume, f_i
164 is the volume fraction of the crystallites being measured, v_i is the volume of the
165 individual crystallites, and Ω is the instrument angular window. Including the multiplicity
166 of the diffracting planes in the calculation allows for the mineral symmetry to be
167 considered. When counting the number of crystallites contributing to a given diffraction
168 ring, the multiplicity associated with the hkl index of the diffracting plane was taken into
169 account, allowing for accurate accounting of the number of diffracting grains. The
170 instrumental angular window can be expressed as

$$171 \quad \Omega = \beta_1\beta_2 = 2\beta \arcsin[\cos \theta \sin(\Delta\chi/2)] \quad (2)$$

172 where β_1 and β_2 are the instrument angular window in the 2θ and χ directions,
173 respectively, and is related to the integration area on the 2D detector. The diffraction
174 vector angular range corresponding to the azimuthal angular range can be expressed as
175 $\Delta\chi$. β_1 can equal β for the window in the 2θ direction when instrumental broadening of the
176 detector is neglected.

177 For the effective volume analyzed, several additional parameters need to be
178 introduced. When data are collected with a coupled scan where θ_1 equals θ_2 (see below),
179 the effective volume can be reduced to

$$180 \quad V = \frac{A_0}{2\mu}, \quad (3)$$

181 where μ is the linear absorption coefficient (see below).

182 Substituting Equations 2 and 3 into Equation 1, the crystallite volume of the i th
183 phase can be expressed as

$$184 \quad v_i = \frac{p_{hkl} f_i A_0 \beta \arcsin[\cos \theta \sin(\Delta\chi/2)]}{4\pi\mu N_s}. \quad (4)$$

185 Using the diameter of the crystallites (d),

$$186 \quad v_i = \frac{\pi d_i^3}{6}, \quad (5)$$

187 to replace v_i , the crystallite size can then be expressed by

$$188 \quad d = \left\{ \frac{3p_{hkl} f_i A_0 \beta \arcsin[\cos \theta \sin(\Delta\chi/2)]}{2\pi^2 \mu N_s} \right\}^{1/3}. \quad (6)$$

189 This formulation of the χ -profile crystallite size analysis was applied in this study. He
190 (2009) also presents a version for transmission-mode geometry, as well as an alternate
191 form of each employing a scaling factor that removes all of the numeric constants,
192 simplifying the equation. This scaling factor can then be used as a calibration factor that
193 can be set using 2D XRD data from a known standard.

194 The laboratory investigation herein explores the applicability of this method for
195 measuring the grain size of a variety of geological samples typically analyzed in an X-ray
196 diffraction laboratory. In this contribution, we apply the term grain size to identify the
197 mean of the distribution of crystallite sizes in a geological sample, i.e. a powder, polished
198 section, or hand sample. The use of the term grain size is somewhat varied in the
199 geological sciences and can be used to describe many physical properties such as the size
200 of individual mineral grains or crystals in coarse-grained igneous and metamorphic rocks
201 as well as the size of rock particles consolidated into a fine-grained sedimentary rock. For
202 the geological samples examined by μ XRD in this study, we are applying a definition
203 akin to the former, meaning that the mean size of mineral crystals in a sample will

204 approximately correlate with the mean grain size of a sample. However, in fine-grained
205 rocks, the crystallite size, as measured by X-ray diffraction, will be smaller than the
206 particle size of the rock. Thus, the application of this crystallite size calculation method
207 should provide a minimum estimate of the grain size for all of these geological sample
208 types, when this definition and other assumptions discussed below, are taken into
209 consideration.

210

211 **Micro-X-ray diffraction**

212 The Bruker D8 Discover at the University of Western Ontario was used for this
213 investigation, having theta-theta geometry, operating at 35 kV and 45 mA with a
214 radiation source of $\text{CoK}\alpha$ (1.79026 Å), and a Göbel mirror with a 300 µm pinhole
215 collimator. A HI-STAR detector with General Area Detector Diffraction System
216 (GADDS; Bruker-AXS 2010) software was used. The sample-to-detector distance used
217 was 12 cm. Applications of micro-X-ray diffraction (μXRD) in the geological sciences
218 have shown it to be an effective technique for analyzing mineral textural information
219 (Flemming 2007; Izawa et al. 2011; Bramble et al. 2014). The 2D frame windows were
220 chosen so that the second frame was collected with the goniometer angles of both the
221 source and the detector from the sample plane were both approximately 45° . A window
222 in lower 2θ was also chosen to allow for comparison with the approximately 45° window,
223 and because both magnetite and pyroxene have frequent and diagnostic XRD peaks in the
224 range of 30 to $60^\circ 2\theta$. The specific parameters used in this experiment employed a two
225 frame coupled scan, where frame 1 was collected with $\theta_1 = \theta_2 = 20^\circ$ and frame 2 was
226 collected with $\theta_1 = \theta_2 = 29.5^\circ$, and width = 19° . These parameters, when integrated,

227 generated an analysis range of 18–79 °2 θ . The data collection time for each frame was
228 120 minutes. Unless specified otherwise, all data in this study were collected with the
229 same instrumental parameters.

230

231 **Omega scanning and sample oscillation**

232 A key feature of μ XRD is the versatility of the technique in the investigation of
233 crystalline matter *in situ*, without sample preparation and with modifications as seen in
234 the capabilities of omega scanning and sample oscillation. To constrain the effects of
235 these method modifications on the grain size equation, a select set of the pyroxene and
236 magnetite samples were analyzed by omega scan and sample oscillation in addition to the
237 coupled scan method and the grain sizes were calculated for comparison. Testing these
238 effects will aid in gauging the applicability of this grain size calculation method in
239 situations where the sample or the optics are in motion during data collection (e.g. Blake
240 et al. 2012).

241 Omega scanning is a feature of μ XRD where the optics (source and detector) are
242 simultaneously rotated in the same direction (clockwise) by a certain angle omega (ω)
243 during data collection (see Flemming 2007). For each GADDS frame, the position of the
244 source starts at a low θ_1 angle relative to the sample and is rotated by the goniometer to
245 higher θ_1 angle relative to the sample (in degrees ω) while the detector, initially
246 positioned at high θ_2 angle relative to the sample, is rotated to lower θ_2 (in degrees ω).
247 The source and detector are rotated by the same omega angle at the same angular rate to
248 maintain a constant 2θ position at the center of the detector (where $\theta_1 + \theta_2 = 2\theta$). Rotation
249 of the optics enables more lattice planes to enter the correct geometry to satisfy Bragg's

250 law, mimicking the rotation of a sample under fixed source-detector optics. The objective
251 of omega scanning is to increase the number of crystal lattices of a coarse-grained sample
252 that are irradiated by the X-ray beam, producing diffracted rays that reach the detector.
253 An omega scan will generate more diffracted spots on the detector for a particular
254 mineral phase than an equivalent coupled scan.

255 The χ -profile grain size calculation was derived for a stationary optical geometry.
256 This ensures that the window of area integrated on the detector directly matches the
257 irradiated sample volume for a specific instrument geometry. An omega scan should
258 increase the number of grains irradiated in a sample relative to the number measured in
259 an equivalent coupled scan, and the N_s term should be similarly larger. This should result
260 in the grain size calculation underestimating the grain size, because more grains will be
261 counted and inserted into the equation than would be expected.

262 The micro-X-ray diffractometer used in this study also features a sample stage
263 capable of moving up to 10 cm in X, Y, and Z directions. This sample stage allows for
264 various materials to be placed on the stage and allows for spots of interest to be targeted
265 and focused in three dimensions. This stage allows for samples to be oscillated in one,
266 two, or three directions during data collection. Similar to the purpose of the omega scan,
267 sample oscillation is intended to increase the number of different crystal lattices passing
268 under the incident beam, which will then diffract X-rays towards the detector. Sample
269 oscillation aims to generate data that would be akin to analyzing a powdered sample.

270 Similar to the expected effects of omega scanning, sample oscillation should
271 increase the number of spots in a spotty ring for a given geometry than would be
272 expected without sample oscillation. The result should be an increase in the N_s term for

273 the grain size calculation and subsequently an underestimation of grain size.

274

275 **Materials**

276 The pyroxene investigated in this study has the formula

277 $[\text{Mg}_{1.753}\text{Fe}_{0.206}\text{Ca}_{0.025}\text{Cr}_{0.012}\text{Mn}_{0.004}\text{Ni}_{0.002}\text{Co}_{0.001}]_{\Sigma=2.003}(\text{Si}_{1.965}\text{Al}_{0.031})_{\Sigma=1.996}\text{O}_6$ and was a

278 single large crystal that has been crushed and separated into 32 size fractions by dry and

279 wet sieving for previous investigation of reflectance properties (e.g. Cloutis et al. 2008).

280 The synthetic magnetite samples are from commercial sources and have been

281 investigated in a previous study of magnetic property variation with grain size (Yu et al.

282 2002), which included a grain size analysis by scanning electron microscopy (SEM).

283 Columbia River Basalt sample SA-51, used in this study, was taken from a Roza

284 Dike of Wallowa County, Oregon, of the United States of America. The Roza Member is

285 a geological unit of the Columbia River Basalt Group (Martin 1989, 1991; Thordarson

286 and Self 1998). This sample has been part of a geochemical analysis of the geological

287 unit (Atkinson 1990) and has been studied with reflectance spectroscopy (Cloutis et al.

288 2008). The sample was also separated into 32 size fractions by dry and wet sieving. The

289 χ -profile grain size analysis of this sample was performed to test the application of this

290 method to multi-phase samples.

291

292 **Data processing procedure**

293 The analysis of the collected GADDS 2D images involved their integration to

294 produce one-dimensional datasets. Initially, the entire frames were integrated normally to

295 produce intensity versus 2θ plots. These were used to determine the exact location of

296 each Debye ring in 2θ space in order to index these from a relevant International Centre
297 for Diffraction Data (ICDD) card, and determine the multiplicity of the diffracting plane.

298 Once the Debye rings and their multiplicities were identified, the frames were
299 integrated along the Debye rings (χ dimension) to produce intensity versus χ plots. For
300 consistency and comparison, the same Debye rings (i.e. diffraction vectors) were
301 integrated for all samples of a given mineral. The integration windows were integrated by
302 delineating a selected 2θ by χ angular window to include spotty rings (Fig. 1a); care was
303 taken to avoid splitting any particularly large or bright spots. A few fractions of one
304 degree of background 2θ area were integrated on each side of the ring so that all intensity
305 across the full width of the ring was integrated.

306 The next step was to plot the χ -profiles along with a trend line (Fig. 1b). For the
307 initial pyroxene dataset, both a second-degree polynomial and a linear average intensity
308 line were used and the number of times the profile crossed the line (N_s) was counted for
309 each. The polynomial often resulted in marginally higher numbers counted, but the linear
310 trend produced more uniform results. Therefore, while this pyroxene application used
311 both methods, the magnetite and basalt applications used only the average intensity line.
312 The N_s term was calculated visually for the pyroxene dataset as well as using a threshold
313 crossing algorithm to check the accuracy of the algorithm, which was then applied to the
314 magnetite and basalt data. While this profile method may bias the N_s term towards grains
315 above a certain size, it should remove contributions from complicating factors such as
316 very fine mineral dust coating the samples, as was observed below in select pyroxene 2D
317 XRD images.

318 The divergence angle of the collimator along the primary beam (β) was taken

319 from He (2009), where β for a 300 μm single pinhole collimator was given as 0.225
320 degrees. This value was entered into the grain size equation as 0.003927 radians. All
321 calculations were performed with GNU Octave (Eaton et al. 2013).

322 The version of the χ -profile grain size measurement calculation applied in this
323 study was the one derived for reflection geometry μXRD (He 2009). Therefore, the depth
324 of X-ray penetration must be known to derive the volume irradiated. The calculation uses
325 an area multiplied by height calculation where the area is the cross section of the beam
326 and the depth is given by the linear absorption coefficient (μ) and the instrumental
327 geometry. The linear absorption coefficients for all materials used in this study were
328 calculated in GNU Octave, and the calculation used was taken from Ladd and Palmer
329 (2003).

330

331 **Rietveld refinement of basalt sample SA-51**

332 To quantify the modal mineralogy of the SA-51 basalt sample, a Rietveld
333 refinement was performed with data collected by a Rigaku Geigerflex D/MAX powder
334 X-ray diffraction system. The interest of the refinement was to acquire approximate
335 modal proportions of the major phases with an accuracy of a few weight percent. For
336 analysis, a portion of the $<10 \mu\text{m}$ sieve fraction of SA-51 was ground for one hour with
337 an agate mortar and pestle to achieve a grain size less than approximately 5 μm . The
338 ground sample was then placed in a sample holder with the aid of ethanol, which was
339 allowed to dry. The Rigaku X-ray diffractometer was operated at 40 kV and 35 mA. Data
340 were collected from 10–90 $^{\circ}2\theta$ at a step size of 0.02 $^{\circ}2\theta$ and a counting time of 42 s.

341 The Rietveld refinement was performed using the TOPAS software (version 3,

342 Bruker-AXS 2005). Phases were identified using the Bruker-AXS DIFFRACplus
343 Evaluation software package in tandem with the Inorganic Crystal Structural Database
344 (ICSD). Rietveld refinement is a non-linear least squares calculation that fits a calculated
345 pattern to the observed diffraction data to determine crystal structural parameters for
346 powdered materials (Rietveld 1967, 1969; Young 1993; Pecharsky and Zavalij 2005).
347 The diffraction pattern peaks used the Thompson-Cox-Hastings pseudo-Voigt lineshape
348 for modeling. We refined on zero error, sample displacement, and surface roughness, as
349 well as scale and unit cell for each of the phases. Neither site occupancies nor the
350 chemical compositions of the phases were altered from the original input structures.

351 In addition to crystal structure refinement, the method produces modal
352 proportions of the phases in the refinement based on parameters such as the scaling
353 factors and unit cell volume. While these abundances are highly dependent on the initial
354 input parameters, they are accurate within a few percent, especially for fine-grained and
355 homogenous materials.

356

357 **Results**

358

359 **Application to well-characterized pyroxene**

360 Table 1 displays the grain sizes calculated for the pyroxene samples analyzed
361 using the polynomial (poly) and linear average intensity (lin) methods. A set of 2D
362 images are shown in Figs. 2 and 3 that display the progression of Debye ring
363 characteristics with increasing grain size, and images of the corresponding pyroxene
364 samples are shown in Fig. 4. All grain size calculations for Debye rings from a particular

365 sieve size are also averaged into a single value for the size fraction for both the
366 polynomial and average intensity values and are presented in their own column in Table
367 1, followed by their respective standard deviations. This process was followed for all of
368 the data presented below.

369 The calculated grain sizes appear to broadly correlate with the sieve-size bins. It
370 was difficult to draw conclusions about the “less than” sieve bins (e.g. <25 μm) because
371 grain size did not have to fall within a specific limit. These sieves allowed for all material
372 below the given limit to pass. The calculated sizes for the <25 and <20 μm samples do
373 correlate with the sieve size, but the <10 and <5 μm samples are calculated above the
374 sieve mesh size. The discrepancies may result from equation parameters (such as using a
375 given beam divergence from He (2009)), or from the pyroxene physical properties that
376 may have affected the sieving results, such as a stubby prismatic habit.

377 For many of the pyroxene sieve size bins with upper or lower limits, the
378 calculated grain sizes correlate with the sieve size bins. The calculated grain sizes either
379 fell within the sieve size bins or just outside the bin by $\pm \sim 5 \mu\text{m}$. This was not true for the
380 samples larger than 38 μm , where the calculated size tends to become significantly
381 smaller than the bin size. These deviations in the larger sample sizes may be due to the
382 above conditions, or may be a result of the instrumental setup. With the instrument
383 geometry used in this study, the close detector distance may have caused the equation to
384 significantly underestimate grain size (by $\geq 10 \mu\text{m}$) as the sieve sizes increased above ~ 60
385 μm . This may be the result of fewer diffraction spots reaching the detector area for a
386 given Debye ring. The standard deviations are similarly higher for the larger grain size
387 samples and may reflect the uncertainty in measuring grain sizes above certain limits of

388 instrumental and physical conditions.

389 Table 1 shows the strong correlation between the polynomial and average
390 intensity methods for the majority of the χ -profiles analyzed. For the samples below 38
391 μm , the difference in the calculated size between the polynomial and average intensity
392 methods differs by 1 μm or less for the majority of the samples, and the sizes commonly
393 overlap within the calculated standard deviations. As the sieve sizes grow above 38 μm
394 the two methods begin to slightly diverge with the 38–45 and 75–90 μm dry sieve
395 samples differing by about 5–6 μm between the polynomial and average intensity
396 methods.

397 The visual analysis method of counting the number of times the χ -profile crossed
398 the trend line proved to generate more consistent results for the average intensity method
399 than the polynomial method. All of the following grain size calculations in this work use
400 the average intensity method.

401

402 **Application to well-characterized magnetite**

403 The χ -profile grain size analysis was applied to a limited set of magnetite samples.
404 The samples analyzed were of the following grain sizes: $0.065 \pm 0.036 \mu\text{m}$, 0.24 ± 0.07
405 μm , $1.06 \pm 0.71 \mu\text{m}$, and $18.2 \pm 12.0 \mu\text{m}$ (see Fig. 5), which were measured by Yu et al.
406 (2002) using SEM imaging. The χ -profile method was proposed to be applicable to grain
407 sizes in the range of 0.1 to 100 μm (He 2009), but actual performance will vary within
408 this range, depending on the instrumental parameters and sample properties. These
409 samples will therefore be able to test the lower range of sizes calculable by this method.

410 The results of χ -profile analysis of these magnetite samples are shown in Table 2.

411 The measurement of the $18.2 \pm 12.0 \mu\text{m}$ magnetite sample produced a result of $31.14 \pm$
412 $7.43 \mu\text{m}$, and other magnetite samples appear to suggest that the method does not
413 calculate accurate grain sizes when the samples are at or below $1 \mu\text{m}$. He (2009)
414 suggested the applicability of the χ -profile method was in the range of 0.1 to $100 \mu\text{m}$, but
415 the 0.065 , 0.24 , and $1.06 \mu\text{m}$ samples return approximately the same grain size of ~ 18
416 μm , suggesting that the problems arising with observation of the 0.065 and $0.24 \mu\text{m}$
417 samples are also present with the $1.06 \mu\text{m}$ sample.

418 One possible explanation is that as the grain size decreases to $1 \mu\text{m}$, and continues
419 with decreasing grain size to $0.065 \mu\text{m}$ and beyond, the spotty rings become more
420 continuous, and the number of grains providing spots in the detector window trends
421 asymptotically towards the pixel density of the detector. This is likely occurring in the
422 pyroxene samples as well, and may be why the grain size of the smaller samples appears
423 to level off at about $18 \mu\text{m}$ as the grain size continues to decrease (Table 1). If this
424 observation is correct, than the lower limit of applicability of the χ -profile method with
425 the instrumental geometry used in this study, and with the physical properties of the
426 magnetite samples, is perhaps closer to $18 \mu\text{m}$ rather than the proposed $0.1 \mu\text{m}$ (He
427 2009).

428 In the 2D image of the $0.065 \mu\text{m}$ sample, line broadening of the Debye rings is
429 observed. This visually occurs between the $0.24 \mu\text{m}$ and the $0.065 \mu\text{m}$ samples as shown
430 in Fig. 5. By analyzing the line broadening of these rings in 2θ , the grain size could be
431 calculated via the line profile method.

432 The larger calculated grain size for the pyroxene, with respect to sieve size bin for
433 the smaller sieve sizes, and the larger calculated grain size for magnetite, with respect to

434 SEM grain size for the smaller grain sizes, both appear to result from erroneous
435 circumstances with the equations. As the pyroxene sieve sizes became smaller, the
436 calculated grain sizes began to level off at about 15 μm . No pyroxene grain size was
437 calculated below $\sim 14 \mu\text{m}$. It is possible that the window that is integrated in χ becomes
438 saturated as the grains are approaching $\sim 15 \mu\text{m}$, and as the grains pass below this size
439 ($\sim 15 \mu\text{m}$) the N_s term trends asymptotically towards that of a smooth Debye ring. The
440 magnetite grains suggest that this hypothesis is correct, because for the 18.2 μm
441 magnetite sample, the observed grain size correlates with the calculated grain size within
442 given uncertainties, but for the three smaller samples (1.06 μm and below),
443 approximately the same grain size was calculated ($\sim 18 \mu\text{m}$). In this case we are simply
444 measuring the χ -profile of a smooth ring, which would not contain any grain size
445 information in the χ -dimension.

446

447 **Omega scanning and sample oscillation**

448 A test of the effects of the omega scan method (rotating optics) was executed on
449 four samples. Three binned pyroxene samples of sieve sizes 10–15, 20–25, and 25–38
450 μm , and one magnetite sample ($18.2 \pm 12.0 \mu\text{m}$) were analyzed. A two-frame omega scan
451 was employed, with $\theta_1 = 15^\circ$, $\theta_2 = 25^\circ$, and $\omega = 10^\circ$ for the first frame, and $\theta_1 = 19^\circ$, $\theta_2 =$
452 40° , and $\omega = 25^\circ$ for the second frame. To produce similar quality data as were collected
453 for the stationary pyroxene and magnetite above, the data were collected for 120 min per
454 frame.

455 The results of the χ -profile grain size calculations of the omega-scanned samples
456 are shown in Table 3 along with the corresponding grain sizes for the coupled-scanned

457 (stationary) samples. The sizes measured from the omega scan correlate strongly with the
458 sizes measured from the coupled scan, but they all underestimate the coupled scan sizes
459 as the above theory suggested. The omega scan of the 10–15 μm pyroxene sample falls
460 within the sieve size bin, but the two larger-sized samples have their omega scan sizes
461 fall about 5 μm below the lower limit of the bin size. The omega scan magnetite sample
462 was calculated to be about 6 μm below the coupled scan (stationary) sample, but the
463 omega scan size is actually closer to the size measured by SEM (though both calculated
464 sizes fall within the uncertainty of the magnetite SEM size).

465 The effects of sample oscillation were investigated by analyzing the four samples
466 analyzed using the omega scan above. The coupled scan method was applied, and the
467 samples were oscillated in the Y direction by 3.5 mm during data collection.

468 The results of the χ -profile grain size calculations on the oscillated samples are
469 shown in Table 4 along with the corresponding grain sizes for the non-oscillated samples.
470 The observations of the oscillated data were similar to those of the omega scan data. As
471 the theory suggested, the data collected while oscillating underestimated the calculated
472 grain size as compared to that calculated for same samples when not oscillated. This was
473 true for all pyroxene samples analyzed as they underestimated their non-oscillated sizes
474 by up to $\sim 5 \mu\text{m}$. The magnetite sample was also underestimated by $\sim 7 \mu\text{m}$, but, as was
475 seen with the omega scan data for magnetite, the oscillated sample was closer to the
476 magnetite grain size as measured by SEM.

477

478 **Application to the Roza Member—Columbia River Basalt Group**

479 Using the same parameters for data collection as the pyroxene and magnetite,

480 Roza Member basalt samples that were sieved into size fractions with an upper and lower
481 limit were analyzed with the Bruker D8 Discover μ XRD diffractometer. The 2D XRD
482 frames were analyzed in the same manner as the data presented above.

483 As the basalt samples are composed of multiple mineral phases, the χ -profile
484 grain size equation required an estimate of the modal fraction of the selected mineral in
485 the assemblage. The modal proportion is entered into the equation as the f_i term, which is
486 the modal fraction of the i th mineral phase.

487 The results of the Rietveld refinement for modal mineralogy and the initial
488 structures employed are given in Table 5. The R_{wp} value of the calculation was 10.6. This
489 refinement was executed only to ascertain the approximate modal proportions of the
490 major phases present. For a thorough crystal structure refinement, a refinement with
491 stronger fit statistics and smaller step size would be required. Only the two most
492 abundant basalt phases, anorthite (60 %) and augite (23 %), were used for the grain size
493 calculation.

494 Multiple phases in the collected diffraction pattern displayed preferred
495 orientation, the evidence of which was observed to continue into the residual from the
496 refined pattern. The preferred orientation was likely a result of sample preparation.
497 Grinding the sample for one hour may have not created a homogeneous assortment of
498 crystallites, especially for the anorthite and augite which likely remained somewhat lath-
499 like. Preferred orientation was expected as the crushed basalt sample was suspended in
500 ethanol in the sample holder, which was then allowed to dry. As the interest in the
501 refinement was to acquire approximate modal proportions of the major phases with an
502 accuracy of a few weight percent, preferred orientation was not taken into consideration

503 nor refined in this calculation.

504 The calculated grain sizes for a set of sieved SA-51 basalt samples are shown in
505 Table 6. In contrast to our previous applications of the χ -profile method, the majority of
506 the SA-51 grain sizes for anorthite and augite calculated did not correlate with the sieve
507 size bins. The smaller sieve sizes up to the 15–20 μm sieve size did correlate with the
508 calculated grain sizes, within their standard deviations. The overall characteristic of the χ -
509 profile grain sizes appears that they seem to converge at a value of about 13–14 μm , even
510 in the 63–75 μm sieve size fraction of the sample. This was especially apparent when a
511 'whole rock' grain size was calculated by averaging all the calculated grain sizes for
512 different minerals in a single sieve size sample. One standard deviation of this 'whole
513 rock' grain size for all sieve sizes analyzed is 1.41 μm .

514 Roza Member basalt samples appear to be composed of agglomerated minerals of
515 ~13–14 μm in size that dominate the calculated grain size, regardless of the rock particle
516 size (sieve size), whether the minerals occur as separate grains or as part of a larger rock.
517 This is expected, as X-rays will only investigate the size of coherent scattering domains
518 of the mineral grains or crystallites within the rock fragment and not size of the larger
519 rock fragments. The mineral grain size of the rock fragments was measured qualitatively
520 by reflected light petrography and appeared to agree with the ~14 μm grain size
521 calculated by the χ -profile method.

522 Difficulty arose in integrating particular Debye rings for these samples as it was
523 not straightforward which particular spots belonged to which mineral phase. This
524 occurred, in part, because basalt consists of multiple minerals with low symmetry. The
525 low symmetry causes the mineral to have a low multiplicity that results in the mineral

526 having many closely-spaced Debye rings (cf. Figs. 2 and 3 with Fig. 5). A mixture of
527 minerals of relatively low symmetry made it difficult to avoid overlapping diffraction
528 spots. Additionally, augite and pigeonite have many diffraction peaks at similar 2θ
529 locations. The augite Debye rings used for the basalt grain size calculations were at 40.7
530 and 41.5 ° 2θ , which were also the approximate locations of similar intensity pigeonite
531 peaks. Some proportion of the augite diffraction spots used to calculate grain size in this
532 study may in fact have been due to pigeonite peaks, and therefore the uncertainty in these
533 calculations is increased.

534 Further difficulty arose from the size of spots on the 2D images of multi-phase
535 materials. Frequently, the XRD spots were so large in area that they spanned an entire
536 degree of 2θ , making the identity of particular diffraction spots difficult for multi-phase
537 materials. This was particularly difficult for the larger sieve size fractions, where the
538 Debye rings were discontinuous and individual diffraction spots were large.

539

540

Discussion

541

542 Progression of Debye ring characteristics with decreasing grain sizes

543 Our collected data on the suite of pyroxene samples allows us to observe the
544 changing characteristics of Debye rings with changes in grain size (see Figs. 2 and 3).
545 For the 38–45 μm sieve sample and larger grain size samples, large diffraction spots are
546 observed on the image and distinct Debye rings are not discernible. In accordance with
547 Azároff and Buerger's (1958) observation for samples 80 μm and larger in grain size, we

548 see that the diffraction spots may be deviating somewhat from the Debye ring diffraction
549 vectors. With the additional difficulty of the low symmetry of pyroxene, it can be
550 difficult to index large diffraction spots in data for pyroxene grains that are 38 μm or
551 larger in size.

552 Beginning at approximately 38 μm sieve fraction, the shape of the Debye rings
553 becomes more discernible with decreasing grain size. The diffraction spots continue to
554 have significant spacing between them, and this continues with decreasing grain size to
555 about the 20 μm sieve fraction. Beginning at about 20 μm , the Debye rings become more
556 continuous, but retain a spotty, often discontinuous appearance. A low intensity,
557 somewhat-continuous ring was seen with very high intensity smaller diffraction spots
558 embedded in the ring. Depending on the intensity of these high intensity spots, the lower
559 intensity spots may not be significant enough to count as a grain in the χ -profile analysis.

560 The $<5 \mu\text{m}$ sample, which was twice ground for one hour in this study, displays
561 the most continuous rings of all the pyroxene samples. Note that these rings are still
562 highly discontinuous and at times highly spotty. The $<5 \mu\text{m}$ sample continues to display
563 sporadic diffraction spots of relatively high intensity embedded in the rings. This is likely
564 the result of a distribution of grain sizes contained in each sieved sample.

565 Selected results from our findings here are in contrast to selected literature
566 observations of spotty Debye rings. In the introduction, several qualitative observations
567 relating Debye ring characteristics to grain size were presented. For example, Cullity
568 (1978) stated that for grain sizes from 10 to 1 μm there was a transition from spotty
569 diffraction rings to continuous rings without spots. Similarly, Hörz and Quaide (1973)

570 stated that samples in the range of 0.2 to 10 μm should display “smooth rings, perfect
571 powder pattern”. While we did observe this behaviour in the two magnetite samples that
572 bracket this range, it was not observed in the pyroxene samples. Our $<5 \mu\text{m}$ pyroxene
573 data cannot be described as continuous rings without spots, nor as a perfect powder
574 pattern.

575 Hörz and Quaide (1973) also stated that their samples in the range of 10 to 40 μm
576 displayed “clearly discernible diffraction lines”. This Debye ring behaviour was not
577 clearly observed in our pyroxene data. In the range of 10 to 40 μm discernible rings begin
578 to appear but they remain strongly discontinuous.

579 These differing observations of Debye ring characteristics on 2D XRD images as
580 a function of grain size suggest that the qualitative method of constraining the grain size
581 of a sample by comparison of ring characteristics with literature observations should be
582 done with caution. A potential source of the discrepancies between our observations and
583 the literature may be the result of the difference between a Debye-Scherrer film camera
584 and a HI-STAR multi-wire detector. Our detector has a diameter of 11.5 cm and a
585 resolution up to 1024 pixels along this diameter. A film camera has a width of $\sim 2.5 \text{ cm}$.
586 Other sources of variance between the Debye-Scherrer observations and those of this
587 study may be the use of different sample preparation methods, or the difference in X-ray
588 beam diameters applied in each system, which would result in different irradiated sample
589 volumes. As a result of the scaling involved between the different sample-to-detector
590 distances, the beam diameters employed, and imaging areas involved in the two methods,
591 it is possible that some of our discontinuous Debye rings would become smoother in film
592 cameras, and result in our discrepancies from the film-based grain size literature.

593 Similarly, the degree to which the grain size of a sample correlates with its crystallite size
594 will also affect the relationship of Debye ring characteristics as a function of grain size. If
595 the sample is not well constrained in this manner and the correlation is not strong then
596 there could be great variability at which grain size changes in the progression of ring
597 characteristics occurs.

598

599 **Complications of sample heterogeneity**

600 Samples that display a variation in grain size or mineralogy complicate the χ -
601 profile grain size analysis method; the primary reason being that the method analyzes
602 only a small volume of sample. A sample can fill a large sample holder but the method
603 will only analyze a volume equal to the area of the beam times either the depth of
604 penetration or the thickness of the transmission sample holder.

605 Voluminous or varied samples create a circumstance where the volume irradiated
606 may not be representative of the sample. For example, if the sieve size is 20–25 μm with
607 an uncertainty of about 10 μm above or below the size bin, the small irradiated volume
608 may give a grain size of 10 μm or 35 μm , neither of which might be representative of the
609 whole. To limit the error due to sample inhomogeneity, we recommend collecting data at
610 multiple locations on larger samples, and averaging the grain size over these irradiated
611 volumes to provide a more representative calculated grain size. Analyzing data collected
612 at multiple targets of a single sample for comparison was employed in the magnetite
613 sample analysis in this study.

614 Variation in sample composition can also affect the certainty of the grain sizes

615 calculated due to the presence of the linear absorption coefficient in the calculation of
616 irradiated sample volume. Composition variation was shown to have a minor effect when
617 the variation was small. For example, when cation substitutions are on the order of a few
618 tens of percent the variation in calculated grain size can be on the order of a few microns.
619 This was confirmed by replacing the end-member enstatite (MgSiO_3) composition by a
620 pyroxene with the composition measured by microprobe (see Materials section above) in
621 the calculation. Little change was seen between the two calculated datasets. The change
622 in crystal chemistry resulted in a decrease in the calculated grain sizes of about one
623 micron or less for many of the samples, with the exception of few where the pyroxene
624 composition caused a drop of a few microns. The addition of iron into the equation
625 caused the linear absorption coefficient to marginally drop, corresponding to the X-ray
626 beam irradiating a smaller volume of material. Therefore, when the calculation was
627 executed assuming the same number of crystallites contributing to the Debye ring, the
628 calculated grain size was smaller.

629 For samples with larger chemical variation, or uncertain chemical nature, the
630 volume of material analyzed may be greatly affected by the absorption characteristics of
631 all the materials in the irradiated volume. If the linear absorption coefficient used in the
632 calculation does not reflect the absorption characteristics of the minerals analyzed, the
633 calculated volume irradiated, and the corresponding grain size, will be incorrect.

634

635 **Complications of multi-phase materials**

636 The χ -profile grain size analysis method becomes more complicated when applied

637 to multi-phase materials with multiple minerals and varying modal mineralogies. With
638 single-phase materials, the method assumes that the diffraction spots correspond to the
639 entire irradiated volume divided into a corresponding number of grains per spots with
640 factors taken into consideration such as multiplicity. Multi-phase materials will subdivide
641 the calculated irradiated volume further to represent the volume fraction of the phase of
642 interest in the sample. This can be done by including the modal proportion of the mineral
643 in the grain size calculation.

644 Several concerns and complications arise from the inclusion of modal mineralogy.
645 To acquire modal mineralogy of a sample, time intensive and perhaps expensive
646 techniques are needed. A common method is Rietveld refinement, but for certain rock
647 types other methods such as normative mineralogy could be used. Rietveld refinement
648 requires high quality data that are not easily acquired using the Bruker D8 Discover
649 (Ning and Flemming 2005), and may require grinding the sample to provide the small
650 grain sizes needed for Rietveld-quality data as well as analyzing the sample on another
651 instrument. Both situations were used for the basalt sample analyzed in this study.

652 For mixtures in reflection-mode geometry, the effectiveness of measuring the
653 irradiated volume by incorporating the linear absorption coefficient must be questioned.
654 The separate phases in a sample will each have distinct absorption characteristics, and,
655 depending on the variations in atoms, the individual minerals may have greatly differing
656 linear absorption coefficients. The χ -profile method assumes that, when measuring the
657 grain size of a particular mineral using its modal mineralogy, only the linear absorption
658 of this particular mineral must be employed. This is questionable for the X-rays will be
659 interacting with, and altered by, the absorption characteristics of all of the phases

660 encountered, especially if the sample is not completely homogenous. The situation is
661 more complex than the χ -profile method assumes. It changes the effective volume to suit
662 a particular circumstance of absorption and modal mineralogy when measuring one
663 particular mineral, and then allows the volume and absorption to change again when
664 measuring a different Debye ring for a different mineral in the same 2D image.

665 Multi-phase materials pose a significant homogeneity problem for samples of
666 larger grain sizes for the mixture of minerals being analyzed by the beam may not
667 necessarily correlate with a modal mineralogy measured on a finely ground sample.
668 When the grain size approaches $\sim 50 \mu\text{m}$, the microscope context camera on the Bruker
669 D8 Discover shows that only the upper minerals will be targeted by the beam (see Fig. 4g
670 and 4h). The small size of the beam (nominally $300 \mu\text{m}$) suggests that the small volume
671 of material analyzed will not correlate with the bulk modal mineralogy, and thus the
672 calculated grain sizes will be inaccurate. As the grain size of the material analyzed
673 approaches a significant proportion of the X-ray beam footprint, the heterogeneity of
674 multi-phase materials may induce significant errors in the grain sizes calculated.

675 Similarly, He (2009) states that smaller X-ray beam cross sections should be
676 employed for accurate measurements. For the above reasons, multi-phase materials will
677 induce errors with smaller beam footprints for they will potentially subsample fewer
678 phases. An optimal crossover point may exist between the accuracy derived by smaller
679 cross sections and the need to sample a representative portion of multi-phase materials.
680 Further investigation of multi-phase materials is needed to constrain the above effects.

681 We reiterate that the χ -profile method cannot be used to calculate the particle size

682 of rock fragments, because the diffracted X-rays relate to the individual irradiated
683 mineral grains in a sample. The surface of a rock particle will be composed of multiple
684 lattice planes corresponding to the constituent mineral grains. The X-rays will be
685 diffracted by these various planes and generate a 2D image that reflects the sum of
686 individual mineral grains in the rock sample, and not the particle size of the bulk sample.
687 As a rock is ground more finely, eventually the mineral grain size is reached (~13 μm in
688 the case of Columbia River basalt), and the calculated grain size will correspond to the
689 particle size of the heterogeneous material analyzed (i.e. the sieve size). As the rock is
690 ground even finer, the particle size and crystallite size will decrease simultaneously, and
691 the calculated grain size by XRD will correlate with the sieve size, as was moderately
692 observed in the basalt dataset. More study is needed to aid in refining the application of
693 this method to multi-phase materials.

694

695 **Grain versus crystallite size assumptions**

696 For the above application of the χ -profile technique, we used the collected data
697 from this crystallite size analysis technique to make inferences about the grain size of the
698 samples. This assumption that the grain size of a sample and the coherent scattering
699 domain size are the same may be the greatest limitation of applying this method to
700 geological materials. In some cases, these two physical properties may coincide, but the
701 provenance of and preparation techniques applied to many geological materials may
702 exacerbate the disagreement between the coherent scattering domains of a mineral and
703 the grain size calculated.

704 The correlation between the coherent scattering domains and observable grain

705 and subgrains via other analytical measurement techniques, such as transmission electron
706 microscopy, suggests that there may not be a direct correlation between these phenomena
707 (e.g. Ungár et al. 2005). The presence of subgrains or small coherent scattering domains
708 within a mineral grain may bias a grain size measurement towards reporting sizes that are
709 smaller than the seemingly apparent whole grain. From this perspective, our observations
710 may be influenced by the subgrains present in the mineral samples. When applying this
711 method to calculate the grain size of the many types of geological materials, if taken from
712 a range where the method is known to be effective, the calculated result should be
713 interpreted as a minimum estimate of the grain size.

714 Certain observations could be made to constrain the strength of the assumption
715 that the grain size and coherent X-ray scattering domains correlate via 2D XRD images.
716 For example, a crystal, when experiencing strain or shock processes, will undergo a
717 transition from a discrete spot on a 2D XRD detector to a spot that will streak out until a
718 point where the strained lattice resolves into a series of discrete subgrains (Flemming
719 2007; Vinet et al. 2011; Izawa et al. 2011). The end result of clustered subgrains displays
720 an asterism pattern on the 2D XRD image (Flemming 2007; Vinet et al. 2011). Therefore,
721 it is possible to infer some degree of subgrain formation via 2D XRD data. We have not
722 observed spatially clustered diffraction spots akin to asterism in the data presented herein,
723 supporting that the mineral grains have not been exceedingly disrupted into small
724 subgrains. The crushing and sieving of the pyroxene samples could reasonably induce
725 defects into the crystals leading to poor correlation between the sieve size and the actual
726 grain size of the individual particles in the powders. The magnetite samples were
727 characterized by SEM, but despite the more-quantitative SEM characterization of

728 magnetite than sieving of pyroxene, the calculated grain sizes of each agreed with the
729 observed grain size at approximately the same correlation level.

730

731 **Implications**

732

733 **Effective range of χ -profile grain size analysis**

734 He (2009) states that the χ -profile crystallite size calculation method should be
735 effective for materials in the range of 0.1–100 μm . The findings from our investigation
736 suggest that, when applied to calculate the grain size of varied geological materials, this
737 range should be constrained in both its upper and lower limit for reflection-mode
738 geometry μXRD .

739 The upper limit should be lowered to about 63 μm , especially for closer sample-
740 to-detector distance as was used in this study. We found that the technique began to
741 significantly under-report grain sizes for samples larger than 63 μm . The 45–63 μm
742 pyroxene sample was the largest sample to provide grain size calculation that correlated
743 with sieve size. The grain size calculated for this sample was $56.77 \pm 24.68 \mu\text{m}$. The 75–
744 90 μm sample, for example, gave a calculated grain size of $42.12 \pm 22.63 \mu\text{m}$. This may
745 be the result of not having enough grains diffracting at orientations within the limited
746 circumference of the Debye ring on the area detector to provide a statistically significant
747 χ -profile thus not providing a representative number of diffraction spots. The
748 measurement of only the partial Debye rings that fall within the 2D detector is a
749 potentially limiting factor of this method.

750 The lower limit results from saturating the detector, as discussed briefly above. As
751 the pyroxene and magnetite grain sizes grew smaller, the calculated grain size appeared
752 to trend asymptotically toward a value of about 15 μm . Therefore, as grain sizes approach
753 this value, which may vary depending on the material being analyzed, caution should be
754 employed. Certain instrumental conditions, such as a larger sample-to-detector distance
755 or different beam footprint, may allow for smaller grain sizes to be calculated, but in the
756 instrumental setup used in this study, the lower limit appears to have been about 15 μm .
757 Further experiments in measuring grain sizes in the range of 0.1 to 15 μm would greatly
758 benefit the efficacy of the χ -profile grain size measuring method.

759 In the grain size range of 15–63 μm , the χ -profile method of measuring grain size
760 via reflection geometry μXRD appears to be moderately successful at providing an
761 estimate of the sample grain sizes. The reflection-mode version of this equation does
762 appear to be hindered by the many inherent free parameters and numerical constants
763 required for the calculation and the physics and geometry involved with multi-phase
764 materials. The method can provide a minimum estimate of the calculated grain size that is
765 accurate within several microns of the mean grain size under specific instrumental
766 conditions, but the requirement of modal mineralogy for multi-phase materials may limit
767 the effectiveness of this technique.

768 In calculating the grain size of geological materials, this method may be limited to
769 measuring monomineralic materials and further limited by heterogeneities of bulk
770 samples. The scope of this method may be limited to highly characterized and uniform
771 samples where the variation in constituent grains is small. The scope may be further
772 limited in its laboratory application of grain size measurement due to the assumption that

773 the coherent scattering domains correlate with the overall grain size of the sample, due to
774 instrumental factors that may be influencing the results, and likely other factors requiring
775 further investigation. If a particular sample were heavily influenced by subgrain
776 formation, then the grain sizes measured by the above method would correlate with the
777 coherent scattering domains of the crystallites and not closely related to the grain size of
778 the sample as measured by geological methods such as sieving.

779 In the geological and planetary sciences, 2D XRD has been increasing in use as a
780 method of quantifying the strain and shock levels of materials via the progression of 2D
781 XRD characteristics of minerals as they are shocked or strained by impacts or other
782 planetary processes. To further advance these and other analyses, understanding the grain
783 size of the samples being analyzed is of great importance, and being able to analyze the
784 grain size of a sample *in situ* via 2D μ XRD will be valuable. Similarly, the study of
785 planetary materials will benefit from the further development of a method of constraining
786 the grain size of a sample via non-destructive and sample-preparation-free methods, such
787 as μ XRD.

788

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790

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800

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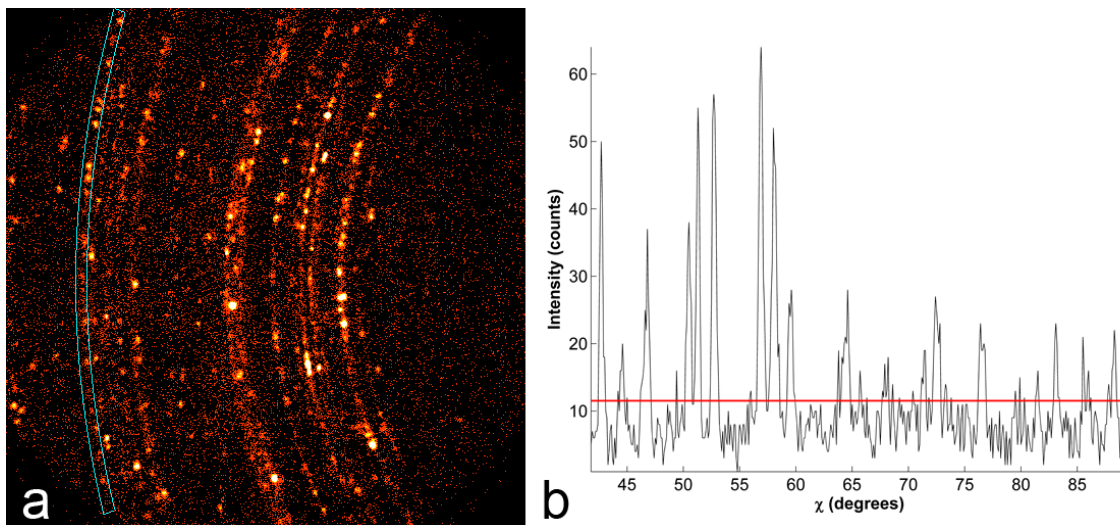
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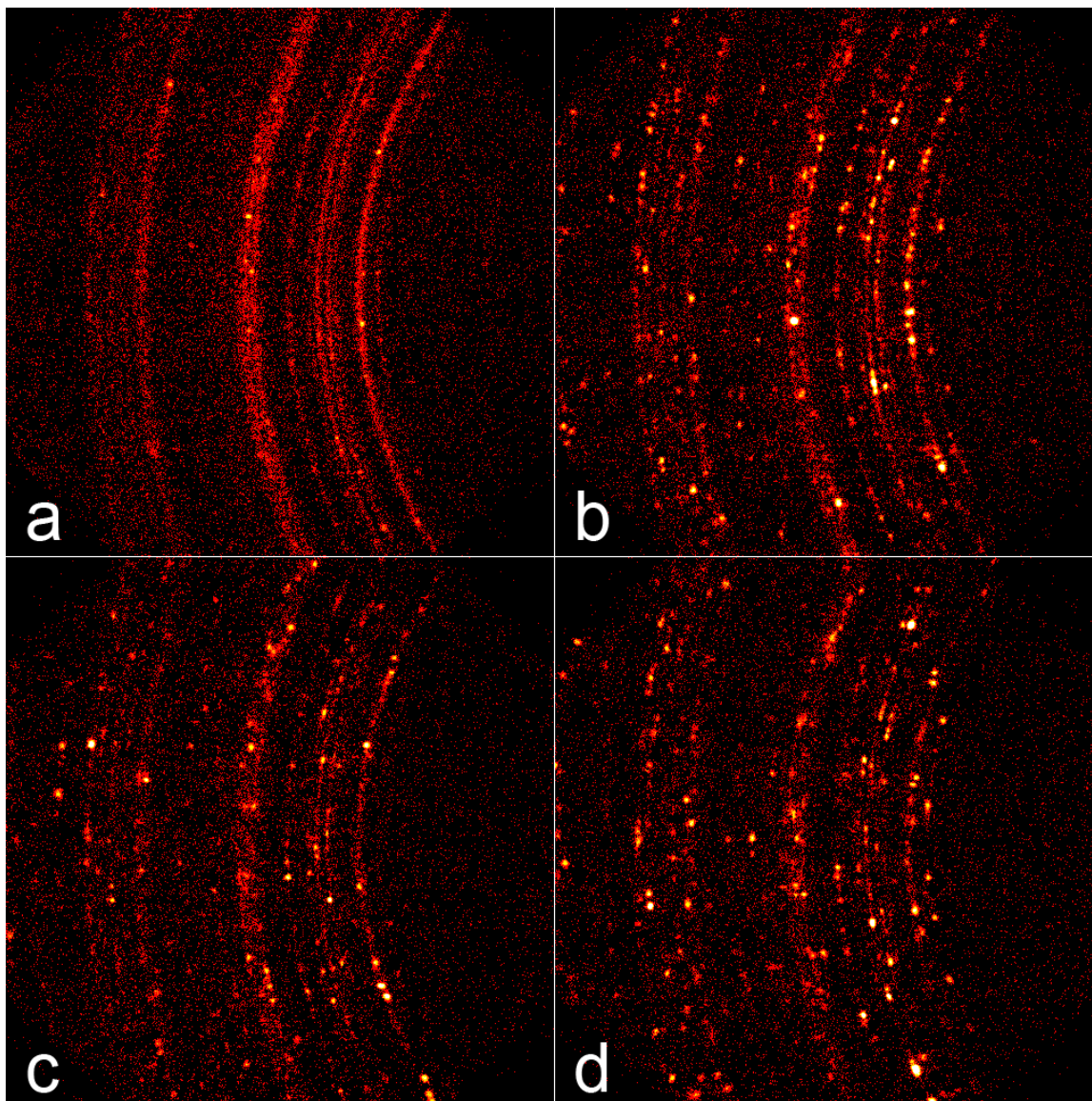
932 Figure 1: A two-dimensional X-ray diffraction frame (a) with a selected window to be
933 integrated in χ . The frame is of a 10–15 μm wet sieve size pyroxene. The corresponding
934 χ -profile is shown (b) in a plot of intensity versus χ . An average intensity line is plotted
935 (red) and every two times the χ -profile intersects this average intensity line is counted as
936 a single grain.

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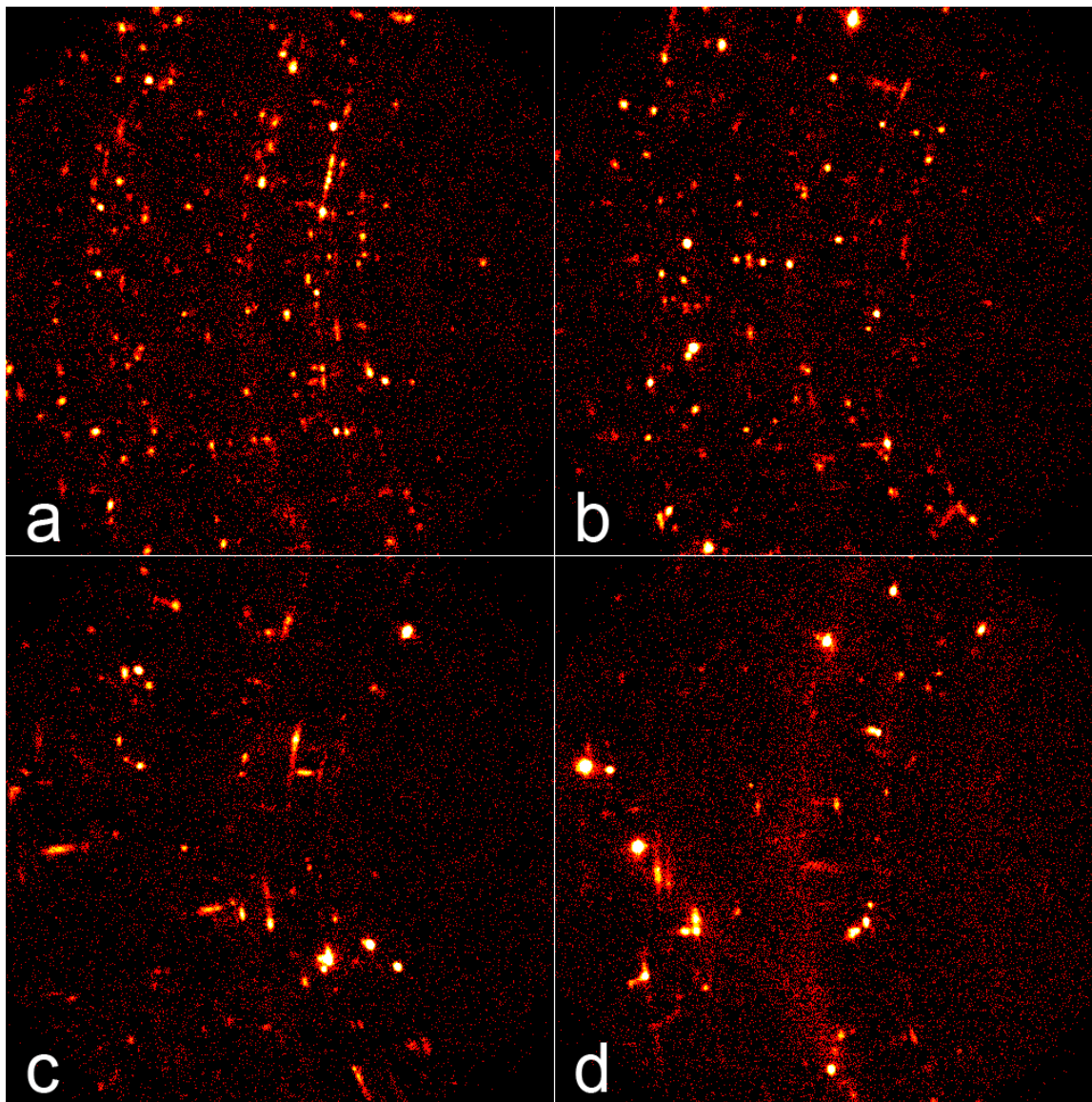
943 Figure 2: Two-dimensional X-ray diffraction images of pyroxene samples of increasing

944 sieve size. Frames are shown for pyroxenes of wet sieve sizes (a) < 5 , (b) 10–15, (c) 15–

945 20, and (d) 20–25 μm .

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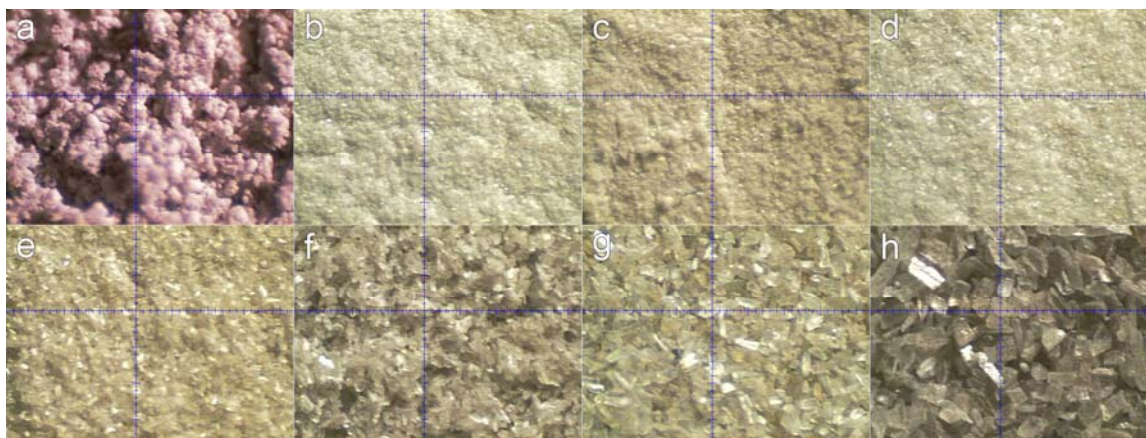


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950 Figure 3: Two-dimensional X-ray diffraction images of pyroxene samples of increasing
951 sieve size. Frames are shown for pyroxenes of dry sieve sizes (a) 25–38, (b) 38–45, (c)
952 45–63 μm , and (d) 90–125 μm . Note: Broad, diffuse Debye rings seen in the images are
953 likely due to fine-grained dust-sized particles of pyroxene generated during the original
954 crushing of the sample, as the sample was not washed after crushing.

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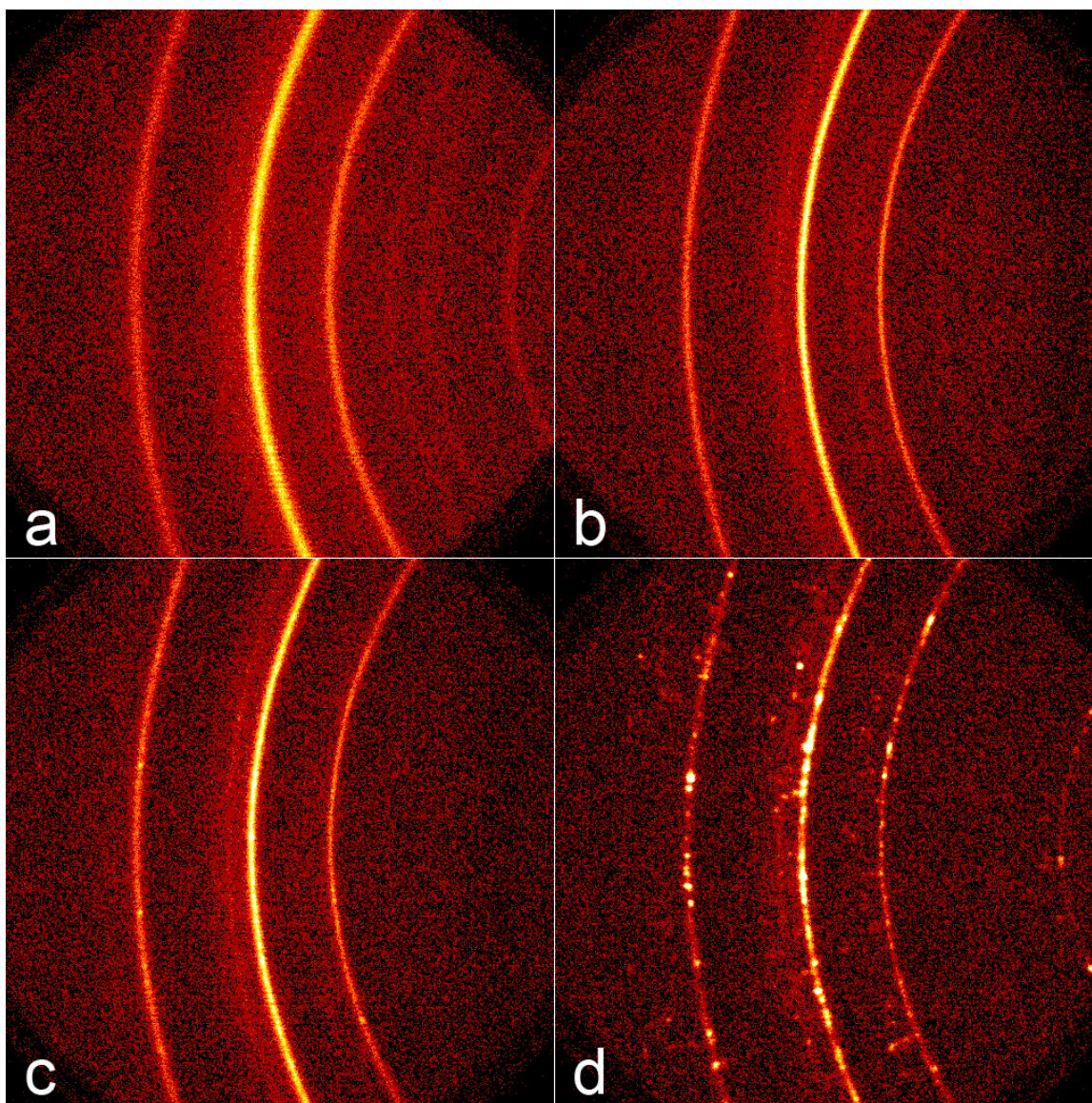
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958 Figure 4: Context microscope camera images showing targeted locations for micro-X-ray
959 diffraction. Images shown are pyroxene samples of increasing grain size. A sample
960 passing the $<10\ \mu\text{m}$ wet sieve was ground twice for one hour to an assumed size of
961 approximately (a) $<5\ \mu\text{m}$ is shown under different lighting conditions than the remaining
962 images. Frames are shown for pyroxenes of wet sieve sizes (b) $10\text{--}15\ \mu\text{m}$, (c) $15\text{--}20\ \mu\text{m}$,
963 and (d) $20\text{--}25\ \mu\text{m}$, and dry sieve sizes (e) $25\text{--}38\ \mu\text{m}$, (f) $38\text{--}45\ \mu\text{m}$, (g) $45\text{--}63\ \mu\text{m}$, and
964 (h) $90\text{--}125\ \mu\text{m}$. Minor ticks on the crosshairs denote $50\ \mu\text{m}$. These images correspond to
965 the two-dimensional X-ray diffraction images in Figs. 2 and 3.

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971 Figure 5: Two-dimensional X-ray diffraction images of magnetite samples of increasing

972 size. Frames are shown for samples of grain size (a) 0.065 ± 0.036 , (b) 0.24 ± 0.07 , (c)

973 1.06 ± 0.71 , and (d) $18.2 \pm 12.0 \mu\text{m}$, as measured by scanning electron microscopy by Yu

974 et al. (2002).

975

976

977 Table 1: Grain sizes of sieved pyroxene samples as calculated by χ -profile grain size
978 analysis.

| Sieve size (μm) | Avg. calc. poly (μm) | SD (μm) | Avg. calc. lin. (μm) | SD (μm) |
|--|---|--------------------------------------|---|--------------------------------------|
| <5 wet, ground | 15.76 | 1.34 | 15.79 | 1.24 |
| <10 wet | 17.97 | 1.32 | 18.44 | 2.60 |
| <20 dry | 17.77 | 0.38 | 17.34 | 0.99 |
| <25 dry | 22.73 | 2.52 | 24.35 | 3.91 |
| 10 - 15 wet | 20.22 | 2.14 | 20.85 | 2.57 |
| 15 - 20 wet | 18.56 | 3.70 | 19.26 | 4.99 |
| 20 - 25 wet | 22.32 | 0.48 | 23.15 | 1.40 |
| 25 - 38 wet | 21.63 | 7.05 | 22.35 | 6.27 |
| 25 - 38 dry | 25.88 | 4.24 | 28.27 | 5.77 |
| 38 - 45 dry | 22.70 | 6.07 | 28.01 | 10.68 |
| 75 - 90 dry | 36.16 | 20.67 | 42.12 | 22.63 |

979

980 Notes: Samples are identified by the sieve size used and whether they were wet or dry
981 sieved. A value averaging the grain size from multiple Debye rings for the sample is
982 given as well as the standard deviation (SD). This process was executed for both the
983 polynomial dataset ('poly') and the linear average intensity line dataset ('lin.').

984

985 Table 2: Magnetite grain sizes as measured by scanning electron microscopy (SEM; Yu
986 et al. 2002) and calculated by χ -profile grain size analysis.

| SEM size (μm) | Calc. (μm) | SD (μm) |
|--|---|--------------------------------------|
| 0.065 \pm 0.036 | 18.36 | 4.28 |
| 0.24 \pm 0.07 | 18.53 | 4.37 |
| 1.06 \pm 0.71 | 19.58 | 4.66 |
| 18.2 \pm 12.0 | 31.14 | 7.43 |

987

988 Note: The sample averaged calculated size (Calc.) is given along with the standard
989 deviation (SD).

990 Table 3: Grain sizes of non-omega-scanned and omega scanned pyroxene and magnetite
 991 samples. The samples are identified by either their wet sieve size (in μm) for pyroxene, or
 992 scanning electron microscopy size for magnetite. The χ -profile calculated grain sizes are
 993 given along with the standard deviations (SD).

| Original | Calc. (μm) | SD (μm) |
|-------------------|---|--------------------------------------|
| 10-15 | 20.85 | 2.57 |
| 20-25 | 23.15 | 1.40 |
| 25-38 | 22.35 | 6.27 |
| 18.2 \pm 12.0 | 31.14 | 7.43 |
| Omega scan | Calc. (μm) | SD (μm) |
| 10-15 | 16.77 | 0.63 |
| 20-25 | 17.56 | 1.07 |
| 25-38 | 19.44 | 2.04 |
| 18.2 \pm 12.0 | 24.79 | 5.64 |

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995

996 Table 4: Grain sizes of non-oscillated and oscillated pyroxene and magnetite samples.
 997 The samples are identified by either their wet sieve size (in μm) for pyroxene, or
 998 scanning electron microscopy size for magnetite (also in μm). The χ -profile calculated
 999 grain sizes are given along with the standard deviations (SD).

| Original | Calc. (μm) | SD (μm) |
|-------------------|---|--------------------------------------|
| 10-15 | 20.85 | 2.57 |
| 20-25 | 23.15 | 1.4 |
| 25-38 | 22.35 | 6.27 |
| 18.2 \pm 12.0 | 31.14 | 7.43 |
| Oscillated | Calc. (μm) | SD (μm) |
| 10-15 | 16.86 | 0.87 |
| 20-25 | 18.67 | 1.06 |
| 25-38 | 21.74 | 1.49 |
| 18.2 \pm 12.0 | 24.57 | 5.34 |

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1002 Table 5: The results of the Rietveld refinement for modal mineralogy of the SA-51 basalt
 1003 sample. The mineral phases employed in the refinement, their initial structure references,
 1004 and the weight percent proportions are given.

| Phase | Reference | wt.% |
|---------------|-------------------------|-------------|
| Anorthite | Facchinelli et al. 1979 | 60 |
| Augite | Clark et al. 1969 | 23 |
| Apatite | Fleet and Pan 1997 | 3 |
| Magnetite | Fjellvag et al. 1996 | 3 |
| Olivine | Merli et al. 2001 | 3 |
| Pigeonite | Camara et al. 2003 | 3 |
| Hematite | Blake et al. 1966 | 2 |
| Orthopyroxene | Sueno et al. 1976 | 2 |
| Ulvospinel | Bosi et al. 2009 | 1 |

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1006

1007 Table 6: Grain sizes of sieved basalt samples as calculated by χ -profile grain size
 1008 analysis. Samples are identified by their sieve size, and whether they were wet or dry
 1009 sieved. For each sieve size, the calculated grain size for each mineral is given, as well as
 1010 an averaged value for the entire sample (“Whole rock”). Uncertainties are given as
 1011 calculated by the standard deviation (SD). The N/A denotes a grain size consisting of a
 1012 single Debye ring measurement.

| Sieve Size (μm) | Anorthite (μm) | SD (μm) | Augite (μm) | SD (μm) | Whole rock (μm) | SD (μm) |
|--|---|--------------------------------------|--|--------------------------------------|--|--------------------------------------|
| less than 10 | 13.09 | N/A | 10.45 | 1.01 | 11.11 | 1.56 |
| 10 to 15 wet | 16.34 | 9.98 | 11.91 | 2.03 | 13.69 | 5.73 |
| 15 to 20 wet | 21.52 | N/A | 11.55 | 0.41 | 14.04 | 5.00 |
| 20 to 25 wet | 9.88 | 1.57 | 15.97 | 6.33 | 12.93 | 5.30 |
| 25 to 38 wet | 13.59 | 9.57 | 13.33 | 5.82 | 13.46 | 7.09 |
| 38 to 45 dry | 9.51 | 2.43 | 12.60 | 6.13 | 11.06 | 4.50 |
| 45 to 63 dry | 15.67 | 10.93 | 14.45 | 8.58 | 14.94 | 8.19 |
| 63 to 75 dry | 14.52 | 8.85 | 13.80 | 9.09 | 14.09 | 7.81 |

1013