

1 Revision 1

2 The mafic component of the lunar crust: Constraints on the crustal abundance of mantle and
3 intrusive rock, and the mineralogy of lunar anorthosites

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9 **ABSTRACT**

10 Most models of early lunar evolution predict that the anorthositic highlands crust is the
11 result of plagioclase flotation on a magma ocean. However, the lunar highlands crust typically
12 contains 4 wt% FeO and so is more mafic than the strict definition of the anorthosites thought to
13 comprise it. We used new Clementine-based mineral maps of the Moon as inputs to a series of
14 mixing models that calculate the abundance and distribution of major highland rock types and
15 shed light on three possible sources of excess mafic material in the lunar highlands: mafic (15
16 vol% mafic minerals) anorthosites, post-magma ocean igneous activity, and mafic basin ejecta.
17 Mixing models that feature pure anorthosites like the purest anorthosite (PAN) described by
18 Ohtake et al. (2009) and Pieters et al. (2009) are most compatible with the data. They allow us to
19 place an upper limit of 10-20 vol% mantle material that could be mixed with the primary
20 highlands crust. The upper limit on mantle material indicated by the mixing models is
21 significantly lower than the 30-40 vol% mantle material expected from simple geometric

22 calculations of the major lunar basins' excavation cavities based on an excavation cavity
23 depth/diameter ratio of 1/10; this discrepancy allows us to conclude that the excavation cavities
24 of the three largest lunar basins may have been significantly shallower than those of the smaller
25 basins. Our results are consistent with excavation cavity depth/diameter ratios for these largest
26 basins in the range of 0.035 to 0.06, which agrees with previous gravity measurements by
27 Wieczorek and Phillips (1999).

28 **Keywords:** lunar highlands; anorthosite; magma ocean; spectroscopy

29 INTRODUCTION

30 The magma ocean hypothesis (e.g. Smith et al. 1970; Wood et al. 1970; Warren and
31 Wason 1977; Warren 1985; Snyder et al. 1992) provides a framework for the story of the
32 Moon's early history: a primary crust of anorthosite making up the lunar highlands formed from
33 floating as a melt differentiated; the nearside maria are the result of relatively recent volcanism
34 (Neal and Taylor 1992). Both samples (e.g. Wood et al. 1970; Korotev et al. 2003; Warren
35 1990) and remote sensing (e.g. Hawke et al. 2003; Prettyman et al. 2006; Ohtake et al. 2009;
36 Pieters et al. 2009; Cheek et al. 2013) provide strong support for the magma ocean hypothesis.
37 However, the typical lunar highlands surface contains 4-5 wt% FeO (Korotev et al. 2003;
38 Prettyman et al. 2006) or over 15 vol% mafic minerals, and so is more mafic than strictly defined
39 anorthosites (<10 vol% mafic minerals, Stöffler et al. 1980), and much more mafic than the very
40 anorthositic "purest anorthosites" (PAN) detected ubiquitously in craters larger than 30 km by
41 Ohtake et al. (2009), which contain less than 2 vol% mafic minerals. This raises the question of
42 the source of the excess mafic material in the lunar highlands that may not be associated with
43 magma ocean anorthosites.

44 Samples and remote sensing reveal the complexity of the lunar crust (e.g. James 1980;
45 Ryder and Spudis 1980; Pieters 1986; Tompkins and Pieters 1999; Warren 1990) and attest to
46 the variety of processes that could lead to the observed elevated mafic content of the lunar
47 highlands. We examined three possible explanations for the elevated abundances of mafic
48 material: 1) the lunar anorthosites are inherently more mafic than the strict definition, as
49 suggested by Warren (1990); 2) magma ocean anorthosites are mixed with mafic post-magma
50 ocean igneous intrusions (POI) or extrusive volcanism (Ryder and Spudis, 1980); and 3) mafic
51 lower crust or mantle material was excavated by large-scale basins and is mixed with the
52 anorthositic upper crust (Ryder and Wood, 1977). We used mineral maps derived from
53 Clementine UVVIS spectra and improved through reconciliation with Lunar Prospector neutron
54 and gamma ray spectrometer results by Crites and Lucey (in press) to examine the consistency of
55 various combinations of these non-mutually exclusive scenarios. This allows us to constrain the
56 probable mafic content of lunar magma ocean anorthosites and plausible contributions of igneous
57 activity and mantle contamination to the lunar highland crust.

58

METHODS

59 We use a new set of global mineral maps (Crites and Lucey, in press) obtained by
60 reconciling the Clementine-based mineral maps of Lucey (2004) with Lunar Prospector gamma
61 ray spectrometer (GRS) 2°/pixel oxide abundances (Prettyman et al. 2006) to obtain estimates of
62 the distributions of the major highland rock types. Lucey (2004) used radiative transfer
63 modeling combined with Clementine UVVIS spectra of immature locations on the Moon to
64 obtain maps of plagioclase, orthopyroxene, clinopyroxene, olivine, and magnesium number. The
65 maps were interpolated between immature locations to create a continuously gridded global
66 dataset. Crites and Lucey (in press) identified systematic discrepancies between the major

67 oxides derived from these mineral maps and geochemical trends in lunar samples and Lunar
68 Prospector GRS oxides, then reconciled these differences to obtain a new set of mineral maps
69 consistent with GRS oxides and sample trends. These maps included the four major minerals
70 and magnesium number (Mg#) maps modified from Lucey (2004) as well as ilmenite based on
71 the TiO₂ maps of Gillis et al. (2003).

72 **Rock types representing three sources of mafic material**

73 Using assumptions about rock type compositions along with the newly refined mineral
74 abundances, we produced a series of mixing models and assessed the validity of the results to
75 guide our conclusions about the relative importance of the three sources of mafic material to the
76 lunar highlands. The rock types we included in our mixing model were: anorthosite, the major
77 crustal magma ocean product; norite, troctolite, and gabbro or mare basalt, used to represent
78 post-magma ocean igneous intrusive or extrusive volcanism and mare basalt contamination; and
79 dunite and pyroxenite, ultramafic rock types representing the lunar mantle. We used dunite and
80 pyroxenite to represent mantle compositions, but locations where both are present in our model
81 results are also consistent with peridotite.

82 Most magma ocean models agree that the anorthosite in the lunar highlands crust likely
83 formed by flotation of plagioclase crystals on a dense melt (e.g. Snyder et al. 1992), but the
84 extent to which magma ocean processes isolated plagioclase to the exclusion of mafic minerals
85 in anorthosite is uncertain. Warren (1990) concluded from models of anorthosite flotation on a
86 dense melt that the overall resulting suite of anorthosites should contain approximately 15 vol%
87 mafic silicates. Anorthosites in the sample collection show a wide range of mafic contents
88 ranging from the mafic anorthosite of Warren (1990) to the “purest anorthosite” (PAN, <2 vol%

89 mafics) of Ohtake et al. (2009), with an average mafic content of 7 vol% (Wieczorek et al.
90 2006). Spectral measurements by the Kaguya Spectral Profiler and Multiband Imager (Ohtake et
91 al. 2009; Yamamoto et al. 2012) and the Moon Mineralogy Mapper (Pieters et al. 2009) showed
92 that nearly pure exposures of anorthite are common across the lunar surface showing that this
93 variety of anorthosite is not uncommon, and in their study of anorthosites exposed at Orientale,
94 Cheek et al. (2013) found that the Inner Rook Ring was almost entirely dominated by extremely
95 low mafic content anorthosites. More mafic feldspathic material is principally found elsewhere
96 in the basin in locations more susceptible to mixing. The observations of Cheek et al. (2013)
97 strongly suggest that LMO anorthosites, at least in the vast Orientale region, have inherently low
98 mafic contents and the mafic anorthosites suggested by Warren are the exception, not the rule.
99 Despite this, mafic anorthosites do occur in the sample collection, so we explored the effect on
100 our mixing models of varying anorthosite mafic content. In order to cover the full range of
101 solutions, we calculated rock type abundances based on pure anorthite (0 vol% mafics), the PAN
102 of Ohtake et al. (2009) with 2 vol% mafics, anorthosites with the lunar sample-based average 7
103 vol% mafic component, and the 15 vol% mafic anorthosites of Warren (1990).

104 In addition to defining the total amount of mafic silicates present in anorthosites, the
105 mixing analysis required assumptions about the relative abundances of the three mafic minerals
106 olivine, orthopyroxene, and clinopyroxene in anorthosites. We calculated the average
107 composition of the lunar samples classified as anorthosites catalogued by Cahill and Lucey
108 (2007) and from this average composition (93.3% plagioclase, 3.6% olivine, 2.1%
109 orthopyroxene, and 0.9% clinopyroxene) we obtained these relative abundances of the minerals:
110 1:0.6:0.2 ol:opx:cpx. We maintained these relative abundances of mafics when incorporating the
111 mafic minerals into anorthosites regardless of the total mafic content assumed, though it is

112 important to note that the relative abundances of the mafic minerals vary widely across ferroan
113 anorthosite suite samples (Warren, 1990; Cahill and Lucey 2007). An alternate approach was
114 considered which permitted the anorthosite mafic composition to vary in proportion with the
115 mafic minerals present in each pixel, but in order to remain consistent with our definition of the
116 mixed highland rock types described below, and to limit free parameters in the model, we used
117 the average lunar sample anorthosite mafic composition in the final mixing models discussed in
118 this paper.

119 The mafic minerals olivine, orthopyroxene, and clinopyroxene are present in many mixed
120 highland rock types other than anorthosites. For our analysis we simplified the major mafic-
121 bearing rocks to simple binary mixtures of plagioclase and one of the three major mafic minerals.
122 We categorized the rock types into three groups: post-magma ocean highland igneous rocks (POI
123 rocks: norite, troctolite, gabbro); mantle ultramafics (dunite, pyroxenite); and mare basalt. We
124 used the lunar samples catalogued by Cahill and Lucey (2007) as a guide and averaged the major
125 mineral compositions for all samples in each class. For example, the average of the major
126 mineral composition of sample norites is 47.9% plagioclase, 49.3% orthopyroxene, 0.67%
127 clinopyroxene, and 1.6% olivine. We then simplified each rock type to its two main constituent
128 minerals (for example, norite = plagioclase + orthopyroxene) and obtained the average
129 abundance of the two main constituents relative to each other (for norite, 49% plagioclase and
130 51% orthopyroxene). In all three cases, the two main constituent minerals made up more than
131 96% of the average rock composition, so the simplification makes only minor changes to rock
132 composition while significantly decreasing the complexity of the modeling effort. Table 1 shows
133 the simplified rock compositions obtained by this method.

134 The assignment of clinopyroxene to lunar rock types presents a special complication.
135 The mixed clinopyroxene and plagioclase highland rock type defined by Stöffler et al. (1980) is
136 gabbro, but high-Ca pyroxene is not common in highland rocks samples (James 1980; Taylor et
137 al. 1991). Although recent remote spectral measurements by Ogawa et al. (2011) that suggest
138 that high-Ca pyroxene may be more abundant in the highlands than previously suspected, the
139 dominant clinopyroxene-bearing rock in lunar samples is by far mare basalt (Papike et al. 1998).
140 Furthermore, as a result of the heavy bombardment of the lunar surface, mare basalt fragments
141 are present in nearly all regolith breccia lunar meteorites, including in feldspathic meteorites that
142 may originate from the farside highlands, so at least some mixing of mare rocks with the
143 highlands surface is certain (Korotev et al. 2006). For most of our calculations we therefore
144 assigned clinopyroxene to mare basalt contamination rather than to the POI rock gabbro. We
145 averaged the compositions of mare basalts from Taylor et al. (1991), and, making the assumption
146 that clinopyroxene dominates over orthopyroxene, simplified to plagioclase and clinopyroxene,
147 then calculated the relative abundances of these two minerals. The result of this calculation is
148 shown in Table 1.

149 In addition to primary magma ocean rocks (anorthosites), post-magma ocean highlands
150 igneous activity (POI rocks, norite, gabbro, and troctolite), and mare basalt contamination, the
151 mafic minerals can be carried by ultramafic mantle rocks. The Moon has experienced a violent
152 bombardment history and several of the largest basins may have penetrated through the lunar
153 crust to excavate mantle material (e.g. Spudis 1993; Lucey et al. 1998; Wieczorek et al. 2013).
154 We simplified the endmember ultramafic rock types defined by Stöffler et al. (1980) to their
155 primary constituents so that dunite was composed entirely of olivine and pyroxenite was
156 composed of orthopyroxene and clinopyroxene in the proportions in which they were present in

157 each pixel. In some runs of the mixing model we excluded clinopyroxene from the mantle in
158 order to assess its effect on our mantle volume estimates; in these cases, pyroxenite consisted
159 entirely of orthopyroxene.

160 **Limits on the volume of mantle excavated**

161 The mineral maps, along with the assumed rock type compositions, allowed us to
162 calculate endmember cases in which all excess mafic minerals not in anorthosites were assigned
163 either to norite, troctolite, and gabbro/mare basalt, or to the mantle ultramafics dunite and
164 pyroxenite. However, the reality likely falls between the endmember cases, with some of the
165 excess mafic material being contributed by large basin ejecta and some contributed by post-
166 magma ocean igneous activity. These intermediate cases required an independent estimate of the
167 relative abundance of crust and mantle. An estimate of the quantity of basin ejecta and
168 percentage of mantle material can be calculated for the known lunar basins and this quantity used
169 as an upper limit for mixing models. We used the geometric model described by Spudis (1993)
170 to calculate the volume of mantle ejected by lunar basins. A number of assumptions including
171 the ratio of the excavation depth to the excavation cavity diameter, the crustal thickness, and the
172 appropriateness of applying proportional scaling to multiring basins affect the estimate of mantle
173 volume excavated, so we performed mixing models using a range of assumptions that resulted in
174 a range of mantle volumes excavated.

175 Spudis (1993) modeled the ejection cavities of five lunar multiring basins as the
176 intersection of a spherical Moon with a hemispherical cavity penetrating to a depth of one-tenth
177 its diameter based on the model of Croft (1981). The volume of mantle excavated was
178 calculated as the intersection of the hemispherical ejection cavity with a smaller sphere with a

179 radius equal to the difference between the average radius of the Moon and the original crustal
180 thickness at the basin location (Spudis 1993) (that is, a sphere defined by the crust-mantle
181 boundary). We followed the method of Spudis (1993) to calculate the total volume ejected by all
182 42 multiring basins defined by Spudis (1993) and also included the basin South Pole-Atiken
183 (SPA). Although the lunar surface has been modified by innumerable craters smaller than the 43
184 basins examined in this study, even the largest of these are expected to excavate from
185 comparatively shallow depth, unless they are located in regions of extremely thin crust, for
186 example within larger basins (e.g. Croft, 1981, Spudis 1993). The numerous smaller impacts
187 would also have contributed to and modified the megaregolith, but at a localized scale as studied
188 by Li and Mustard (2003), so for this global-scale study we focus on the effects of the largest
189 basins.

190 Following the method of Spudis (1993), the transient crater diameter was used to define
191 the circle of intersection of the sphere of the Moon with the sphere of the excavation cavity. We
192 used transient crater diameter estimates from Table 2 of Petro and Pieters (2004). The
193 excavation cavity depth was obtained by multiplying the diameter of the transient cavity by an
194 excavation cavity depth/diameter ratio. We define the excavation cavity depth/diameter ratio as
195 the ratio of the deepest point from which material is excavated to the transient crater diameter,
196 which is identical to the excavation cavity diameter (Spudis 1993). The excavation cavity depth
197 is significantly shallower than the transient crater depth, which is typically cited as 1/3 the
198 transient crater diameter (e.g. Croft 1980; Spudis 1993). We used an excavation cavity
199 depth/diameter ratio of 0.1 for our initial calculations (e.g. Croft 1980, O'Keefe and Ahrens
200 1993, Wieczorek and Phillips 1999), and later varied this ratio to obtain mantle volume
201 percentages consistent with our observations. Simple geometry was used to obtain the radius of

202 the sphere that defined the excavation cavity from the transient cavity diameter and the
203 excavation depth, as shown in Figure 1. We calculated the total volume of ejecta and the volume
204 of mantle ejected from all basins in two cases, using average crustal thicknesses of 34 and 43 km
205 from the Gravity Recovery and Interior Laboratory (Wieczorek et al. 2013). Table 2 shows these
206 calculations, which resulted in a total volume of $259 \times 10^6 \text{ km}^3$ excavated by the 43 basins, with
207 32 vol% (crustal thickness 43 km) to 40 vol% (crustal thickness 34 km) of this made up of
208 mantle material.

209 The signature of this basin ejecta may have been detected in remote sensing data. Ohtake
210 et al. (2009) found highly anorthositic basement rocks ubiquitously exposed in craters of
211 diameters greater than 30 km in the lunar highlands, indicating that craters larger than 30 km in
212 diameter begin to encounter a pure anorthosite crust, penetrating through a mixed layer that is by
213 inference approximately 3 km thick (Ohtake et al. 2009). The volume of this hypothesized 3 km
214 mixed layer is $116 \times 10^6 \text{ km}^3$, roughly consistent with the total volume of ejecta calculated
215 according to the Spudis (1993) method and suggesting that the upper several km of the Moon
216 could be largely composed of basin ejecta and contain several tens of percent mantle material.

217 These estimates indicate that the upper several km of the Moon's surface could be
218 composed of basin veneer and provide an upper limit on the amount of mantle present in this
219 layer. However, other authors have arrived at lower estimates for the total volume of ejecta from
220 the lunar basins. Petro and Pieters (2008) used two models (Pike 1974 and Housen et al. 1983)
221 to calculate that cumulative basin ejecta from the 41 lunar multiring basins, excluding SPA,
222 covers the Moon to thicknesses between 100 and 1000 m. These thicknesses are equivalent to a
223 total volume of 3.8 to $38 \times 10^6 \text{ km}^3$ of basin ejecta, excluding the contribution of SPA. In order
224 to compare directly to our estimates we calculated the contribution of SPA to the total ejecta

225 volume (SPA contributes 55% of the total basin ejecta volume in our estimate based on the
226 method of Spudis (1993)) and added this proportion back in to the ejecta volume calculated from
227 the thicknesses of Petro and Pieters (2008) for a total basin ejecta volume ranging from 5.88 to
228 $58.8 \times 10^6 \text{ km}^3$. These numbers are significantly smaller than those we calculated using the
229 method of Spudis (1993) and are one to two orders of magnitude smaller than the 3 km mixed
230 zone implied by the Ohtake et al. (2009) observations. This allows for the possibility that the
231 upper 3 km zone is not just composed of basin veneer but is instead basin ejecta mixed with a
232 substantial portion of preexisting local crustal material.

233 To estimate the volume of mantle present in the upper 3 km mixed zone in this low basin
234 ejecta volume case, we scaled our total quantity of basin ejecta to the total basin ejecta volumes
235 from each model used by Petro and Pieters (2008) (5.88 and $58.8 \times 10^6 \text{ km}^3$). We scaled our
236 mantle volume ejected (for both 34 and 43 km crustal thickness) by the same factor to obtain a
237 scaled-down total volume of mantle present in the crust if the estimates of Petro and Pieters
238 (2008) better represent the total volume ejected from lunar basins. These values, combined with
239 the total volume of the 3 km mixed zone, result in mantle volume percent in the upper 3 km of
240 1.67 to 16.7 vol% (43 km crustal thickness) or 2.09 to 20.9 vol% (34 km crustal thickness).
241 Because the differences between the two crustal thickness estimates are much less than the order
242 of magnitude range in ejecta thickness from Petro and Pieters (2008), we simplified the range of
243 mantle volume in the upper mixed zone to between 2 and 20 vol%, both derived from scaling our
244 calculations based on the model of Spudis (1993) to the work of Petro and Pieters (2008).

245 This wide range of estimates for the contribution of mantle material to the upper mixed
246 zone demonstrates that the question of how much mantle material was excavated by the largest
247 lunar basins is only loosely constrained. We applied each calculated estimate for the volume of

248 mantle excavated (40, 30, 20, and 2 vol%) as upper limits to the mantle ultramafics permitted in
249 each highlands pixel in our mixing models and assessed the plausibility of each result. By
250 assessing how well each estimate for mantle volume excavated agrees with the results of our
251 mixing models, we may be able to place constraints on which models of basin excavation reflect
252 the reality observed by remote sensing.

253 **Rock type distribution calculation**

254 The calculations assigning the major lunar minerals to the rocks made up by these
255 minerals were based around the simplified rock type compositions discussed in the previous
256 sections. The calculations were performed based on a standard procedure and followed different
257 paths depending on assumptions regarding the presence and abundance of mantle ultramafics,
258 the rock type to which clinopyroxene was assigned, and the mafic content of anorthosites. The
259 flowchart of Figure 2 illustrates the method that is described in the following paragraphs, and
260 Table 3 shows the input variables for the different scenarios calculated. 48 possible scenarios
261 exist given these variables; we have calculated rock type abundances for a representative 19 of
262 these scenarios, selected to provide insight into the full range of compositional possibilities but
263 with an emphasis on more realistic scenarios. For example, we calculated two scenarios
264 assuming anorthosites contained no mafic minerals whatsoever (are pure anorthite) in order to
265 assess how this endmember affected the models; however, sample anorthosites contain at least
266 1% mafics (Cahill and Lucey 2007), so we focused more attention on models assuming purest
267 anorthosite (2 vol% mafics) and other mixed compositions. The results of all paths calculated
268 along with rock type abundance maps for these scenarios can be found in the supplementary
269 material.

270 We describe here the process of arriving at rock type abundances through any of the
271 branches of Figure 2. We first selected whether to put any mafics into mantle material for the
272 scenario being calculated. If the given scenario did not include mantle ultramafics as a source of
273 mafic material to the highlands, a system of simultaneous unmixing equations were solved for
274 the abundances of anorthosite, norite, troctolite, and gabbro (or mare basalt). If instead the
275 scenario we chose included a mafic contribution from the mantle, the next step was to determine
276 whether an upper limit would be placed on the total mantle volume permitted. Our mantle
277 volume calculations of the previous section were used as upper limits for the total mantle
278 ultramafic rocks permitted in each pixel; however, we also calculated scenarios in which all
279 excess mafics not in anorthosites were of mantle origin to put an upper limit on the total amount
280 of mantle material the measured mineral distributions could support. If there was no limit on the
281 maximum amount of mantle allowed in a scenario (or path), we next chose whether to permit
282 clinopyroxene in the mantle. The simplest scenarios assigned all three mafic minerals to the
283 mantle in the relative abundances in which they were present in each pixel, allowing us to derive
284 an estimate of mantle composition. However, we also calculated rock abundances assuming
285 clinopyroxene did not originate in the mantle. If clinopyroxene was allowed in the mantle, all
286 plagioclase was assigned to anorthosite, which was completed by adding in the appropriate
287 proportions of the mafics, and the remaining mafics were assigned to dunite and pyroxenite. If
288 clinopyroxene was not permitted in the mantle, simultaneous equations were solved to obtain the
289 abundance of mare basalt and anorthosite, and all excess olivine and orthopyroxene was assigned
290 to dunite and pyroxenite.

291 If an upper limit on permitted mantle abundance was imposed (2, 20, 30, or 40 vol%
292 from our basin ejecta calculations), the total amount of mafic material needed to assign all

293 plagioclase to anorthosite of the selected mafic content was subtracted from the mafic material
294 available to work with and reserved. The remaining mafic minerals were incorporated into
295 dunite and pyroxenite up to the upper limit selected. Any excess mafics remaining above the
296 upper limit were added back into the mafic material reserved for anorthosites, and these mafic
297 minerals and the plagioclase abundance were then fed into the system of equations to obtain
298 anorthosite, norite, troctolite, and gabbro (or mare basalt) abundance. If in this scenario
299 clinopyroxene was not permitted in the mantle, all clinopyroxene would be reserved, the mantle
300 would be constructed out of olivine and orthopyroxene, and the excess olivine and
301 orthopyroxene would be incorporated into anorthosite, norite, and troctolite, with the
302 clinopyroxene going into anorthosite and mare basalt.

303 In every branch of the flowchart, when anorthosite abundance was calculated, any pixel
304 lacking enough of any one mafic mineral to complete the anorthosite (for example, if
305 anorthosites are PAN, any pixel with >98 vol% plagioclase) was assigned “no solution,”
306 meaning that the assumptions about anorthosite composition were incompatible with the
307 measured mineral abundances at that pixel. We tracked the percentage and distribution of no-
308 solution pixels for each scenario, as well as the limiting mafic mineral for each pixel, and report
309 only compositions obtained from those pixels where solutions were obtained. It is important to
310 note that while some no-solution pixels were the result of plagioclase abundance too high to
311 permit anorthosite of the mafic content assumed (for example, a pixel containing 95%
312 plagioclase when mafic anorthosites containing 15 vol% mafics are assumed), other no-solution
313 pixels were governed by the relative mafic abundances assumed, so that a pixel with 85%
314 plagioclase could return no solution if olivine, orthopyroxene, and clinopyroxene were not
315 present in the assumed proportions. Our assumption, based on lunar sample compositions, of

316 anorthosite mafic proportions of 1:0.6:0.2 ol:opx:cpx means that no-solution pixels are most
317 sensitive to low olivine abundance. We calculated the percentage of highland pixels with no-
318 solution results, which provides a measure of how well each set of assumptions about anorthosite
319 composition agreed with the measured mineral abundances. For the scenarios that included an
320 upper limit on the abundance of mantle material, we calculated percent of pixels in which the
321 mantle abundance fell below the upper limit. This provides a measure of how well each mantle
322 constraint agreed with the measured minerals.

323 As an example of the calculations, we illustrate the process for a single 2x2 degree pixel
324 in the nearside highlands which consists of 70.2 vol% plagioclase, 16.3 vol% clinopyroxene, 7.6
325 vol% orthopyroxene, and 4.8 vol% olivine in the mineral maps of Crites and Lucey (in press). In
326 order to convert these minerals to rock abundances for a particular mixing model, we began by
327 making the initial assumptions that anorthosites contain 2 vol% mafic minerals and that some
328 basins could have excavated mantle material, but no more than 2 vol% was excavated. Next we
329 reserved enough mafic minerals to allocate all of the plagioclase in the pixel to anorthosite. In
330 this scenario, we assumed anorthosites contain 2% mafic minerals and 98% plagioclase, and the
331 mafic minerals are present according to a 1:0.6:0.2 ratio of olivine to orthopyroxene to
332 clinopyroxene, so 0.77 vol% olivine, 0.45 vol% orthopyroxene, and 0.2 vol% clinopyroxene
333 were reserved. The remaining olivine and both pyroxenes were assigned to dunite and
334 pyroxenite, respectively, in the proportions in which they are present at that pixel (1:1.77:3.99
335 ol:opx:cpx) up to a limit of 2 vol% total mantle material. This resulted in 0.33 vol% dunite and
336 1.66 vol% pyroxenite present at this pixel, and leaving 3.7 vol% excess olivine, 6.62 vol%
337 excess orthopyroxene, and 14.97 vol% excess clinopyroxene. These excess mafics were then
338 added back to the quantities initially reserved to create anorthosite, and a system of simultaneous

339 equations was solved for the abundances of anorthosite, norite, and troctolite given their assumed
340 mineral compositions from Table 1. This resulted in a final model composition of 53.6 vol%
341 anorthosite, 13.2 vol% norite, 8.38 vol% troctolite, 22.7 vol% mare basalt, 0.33 vol% dunite, and
342 1.67 vol% pyroxenite for the selected pixel.

343 **RESULTS AND DISCUSSION**

344 The global rock abundance maps for the model scenario described in the previous
345 paragraph are shown in Figure 3. For this representative scenario, anorthosites were assumed to
346 contain 2 vol% mafic minerals (“purest anorthosites” of Ohtake et al. 2009) and the mantle
347 component was limited to a maximum of 2 vol% in keeping with the lower of the basin ejecta
348 estimates of Petro and Pieters (2008) based on the model of Housen et al (1983). In this
349 scenario, only 7% of the highlands surface returned a “no solution” result, indicating that the
350 measured mineralogy of the highlands is generally consistent with anorthosites of PAN
351 composition and with our assumptions about the relative proportions of the mafic minerals in
352 anorthosites. The map of Figure 3g) shows the spatial distribution of pixels where our
353 assumptions about anorthosite composition were not in agreement with the mineral maps, as well
354 as the limiting mafic mineral for each pixel (red: orthopyroxene; green: olivine; blue:
355 clinopyroxene). The majority of the “no solution” pixels for this scenario occurred in the central
356 farside highlands, which are very plagioclase-rich in the mineral maps of Crites and Lucey (in
357 press). The map of Figure 3g) demonstrates that in most of the pixels with insufficient mafic
358 minerals to create plagioclase with 2 vol% mafics in the proportions we assume, orthopyroxene
359 was the limiting factor, reflecting an orthopyroxene low in the central farside highlands in the
360 maps of Crites and Lucey (in press) that is also apparent in the norite distribution.

361 The norite distribution (Figure 3b) also shows a high-norite anomaly north of Mare
362 Frigoris that corresponds with the Northern Imbrium Noritic (NIN) region observed by Pieters
363 (2002) and further analyzed by Isaacson and Pieters (2009) and Klima et al. (2011). Figure 3b
364 shows norite abundances as high as 15 vol% in this region, among the highest seen anywhere
365 except within SPA. However, the noritic region observed in our rock abundance maps is more
366 extensive than that defined by Isaacson and Pieters (2009), with high norite occurrences as far
367 east as 35°E. The pyroxenite map of Figure 3f, which is influenced by the distribution of both
368 low-Ca and high-Ca pyroxene, also shows elevated abundances throughout the region north of
369 Mare Frigoris as well as within SPA. The signature of elevated high-Ca pyroxene in SPA is also
370 seen in mare basalt abundances (Figure 3d), which range as high as 50% in some areas of the
371 basin in this scenario.

372 The results of all scenarios taken together are instructive. In particular, in all scenarios
373 that assumed anorthosites contain 15 vol% mafic minerals, 66% or more of highland pixels
374 encounter no-solution, with three-quarters of pixels encountering no-solution in most cases. This
375 suggests that the mafic anorthosites of Warren (1990) are largely not compatible with the
376 measured mineralogy of the highlands, because in the maps of Crites and Lucey (in press) many
377 highland pixels contain more than 85 vol% plagioclase.

378 The average anorthosite abundance was relatively consistent across all scenarios, with the
379 major controlling factor being the rock type containing the mafic minerals. When the majority of
380 mafic minerals were assigned to POI rocks, which require plagioclase, anorthosite abundance
381 was between about 55 and 65 vol%. Alternatively, when the majority of mafics were assigned to
382 the mantle, average anorthosite abundance ranged from about 70 to 85 vol%. The total amount
383 of mafic contaminant of the primary magma ocean-derived crust ranged from about 15 vol% if

384 all mafics were in the mantle and anorthosites were mafic, to 45% if all mafics were in post-
385 magma ocean igneous rocks and anorthosites contained little or no mafic material. These results
386 are in good general agreement with the estimate of Warren (1990) that the highlands crust is
387 made up of 45-75% ferroan anorthosite suite rocks, or direct products of the magma ocean, with
388 the rest made up of “Mg-rich” rocks. At present there are few constraints available to
389 differentiate between mantle and igneous rocks for assignment of mafic minerals; however, our
390 basin ejecta calculations provide a starting point for estimating the amount of mafic material that
391 may be assigned to ejected mantle (Figure 4).

392 In the scenarios allowing up to 30-40 vol% mantle (using the Spudis 1993 basin
393 excavation model), from 60 to over 90% of pixels contained less than the maximum amount of
394 mantle allowed, indicating that the data do not support such large proportions of mantle material.
395 The simple ejecta volume calculations based on Spudis (1993) therefore appear to overestimate
396 the amount of mantle excavated compared with the mantle content permitted by measured
397 mineralogy, regardless of other factors such as mafic content of anorthosites. A mantle
398 abundance of about 20 vol% appears more consistent with measured mineralogy: about half of
399 the pixels in these scenarios contained less than 20 vol% mantle. The exception is the case
400 where clinopyroxene was not permitted in the mantle: if the mantle were composed only of
401 olivine and orthopyroxene, very few pixels contained more than 20 vol% mantle. A maximum
402 mantle abundance of 2 vol% (imposed by the lesser basin ejecta thickness model in Petro and
403 Pieters, 2008) required a significant amount of POI and mare basalt rocks in addition to mantle
404 material to account for all mafic minerals, regardless of the mafic content of anorthosites. It is
405 likely that reality reflects some version of this scenario, and the mafic contamination of the lunar
406 highlands is a combination of mantle material, post-magma ocean igneous rocks, and mare basalt

407 contamination. We have constrained the maximum likely amount of mantle present to less than
408 20 vol%, or nearer 10 vol% if clinopyroxene is not present in the mantle. With these constraints
409 in mind, we summarize the most plausible mixing model results in Table 4.

410 The percent of mantle material most consistent with the highlands mineralogy is closer to
411 the range of basin ejecta volume calculations based on the ejecta thicknesses of Petro and Pieters
412 (2008) than to our direct geometrical calculation of ejecta volume based on the model of Spudis
413 (1993) chiefly because the latter model emplaces more mafic mantle material than most of the
414 mineral maps can support. A way of reconciling the two estimates is to adjust the
415 depth/diameter ratio of the excavation cavity used in the geometrical ejecta volume calculation.
416 The excavation cavity depth/diameter ratio of 1/10 used by Spudis (1993) appears to be strongly
417 supported for the smaller multiring basins (e.g. Croft 1980, O'Keefe and Ahrens 1993,
418 Wieczorek and Phillips 1999), though a recent study of crater rim constituents using Lunar
419 Reconnaissance Orbiter Camera data by Sharpton (2014) calls this fundamental assumption into
420 question and suggests that the excavation cavity depth/diameter ratio for all lunar craters may be
421 closer to 0.03 or even shallower. Wieczorek and Phillips (1999) found that for the three largest
422 lunar basins SPA, Imbrium, and Serenitatis, the excavation cavity is significantly shallower than
423 1/10 based on gravity measurements: the excavation cavity depth/diameter ratio for Serenitatis
424 and Imbrium was found to be near 0.05; for SPA it was near 0.01. As 70% of the total ejecta
425 volume and over 90% of the mantle volume in our calculations was from these three largest
426 basins, the smaller excavation cavity depth/diameter ratio suggested by Wieczorek and Phillips
427 (1999) would have a significant effect on the total volume of mantle expected. Depth/diameter
428 ratios of 0.035 to 0.06 for the excavation cavities of the basins resulted in a range of 2 to 23 vol%

429 mantle in the surface we mapped, and are in better agreement with the mafic mineral abundances
430 mapped than the originally calculated 30-40 vol%.

431 **IMPLICATIONS FOR LUNAR CRUST FORMATION**

432 Our mixing models built from Clementine-based, Lunar Prospector-validated mineral
433 maps allow us to shed light on three possible sources of excess mafic material in the lunar
434 highlands. The maps of Crites and Lucey (in press) indicate a plagioclase-rich crust and across
435 much of the highlands our mixing models are more compatible with a magma ocean that
436 produced the pure anorthosites (<2 vol% mafics) detected widely by spectroscopic methods (e.g.
437 Ohtake et al. 2009; Pieters et al. 2009; Cheek et al. 2013) rather than the mafic anorthosites (15
438 vol% mafics) suggested by Warren (1990). About 20% of the highlands surface is incompatible
439 with anorthosites containing the intermediate 7 vol% mafics seen on average in lunar samples
440 indicating that although most of the primary anorthosite crust could contain 7 vol% mafics, a
441 significant portion still requires anorthosites of higher purity.

442 Simple geometric calculations of the amount of mantle that could have been excavated by
443 the lunar multiring basins permit all of the mafic highland material to be derived from mantle
444 ultramafics. However, the assumption about the nature of high-Ca pyroxene has a large impact
445 on the model abundance of mantle. When high-Ca pyroxene was attributed to mare basalt
446 contamination rather than mantle material, nearly 20 vol% mare basalt material was required in
447 the lunar highlands, and only 10 vol% excavated mantle contaminant was present in the crust.
448 This low mantle abundance implies that the excavation cavity depth/diameter ratio for at least the
449 largest lunar basins must be in the range of 0.035 to 0.06, a result consistent with the observation
450 of Wiczorek and Phillips that Serenitatis, Imbrium, and SPA do not have excavation cavity

451 depth/diameter ratios of 1/10. This result could also be explained by a new model of lunar crater
452 excavation described by Sharpton (2014), which indicates that all craters and basins should have
453 excavation cavities with depth/diameter ratios closer to 0.03. These conclusions including the
454 high mare basalt abundance are the result of high clinopyroxene abundance in the maps of Crites
455 and Lucey (in press). Lucey et al. (2014) report very little high-Ca pyroxene in the lunar
456 highlands, which would eliminate the need for extensive mare basalt contamination in the
457 highlands.

458 Our mixing models allow us to bracket the average mafic contamination of the primary
459 anorthosite crust to between 15 vol% (if the mafic contamination is entirely composed of
460 ultramafic mantle ejecta) and 45 vol% (if the mafic contamination is a result of post-magma
461 ocean igneous activity producing troctolites, norites, and gabbros or mare basalt). Figure 4
462 shows the field of mafic contaminant sources consistent with our mineral maps. While mineral
463 abundances permit us to put an upper limit of 10-20 vol% on the amount of mantle material that
464 could reasonably be present in the lunar highlands, additional constraints are needed to determine
465 whether a lower limit can be placed on the mantle contribution (that is, whether any mantle
466 material was excavated) so that the relative contributions of mantle vs. post-magma ocean
467 igneous material can be better understood.

468

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596 **Figure captions**

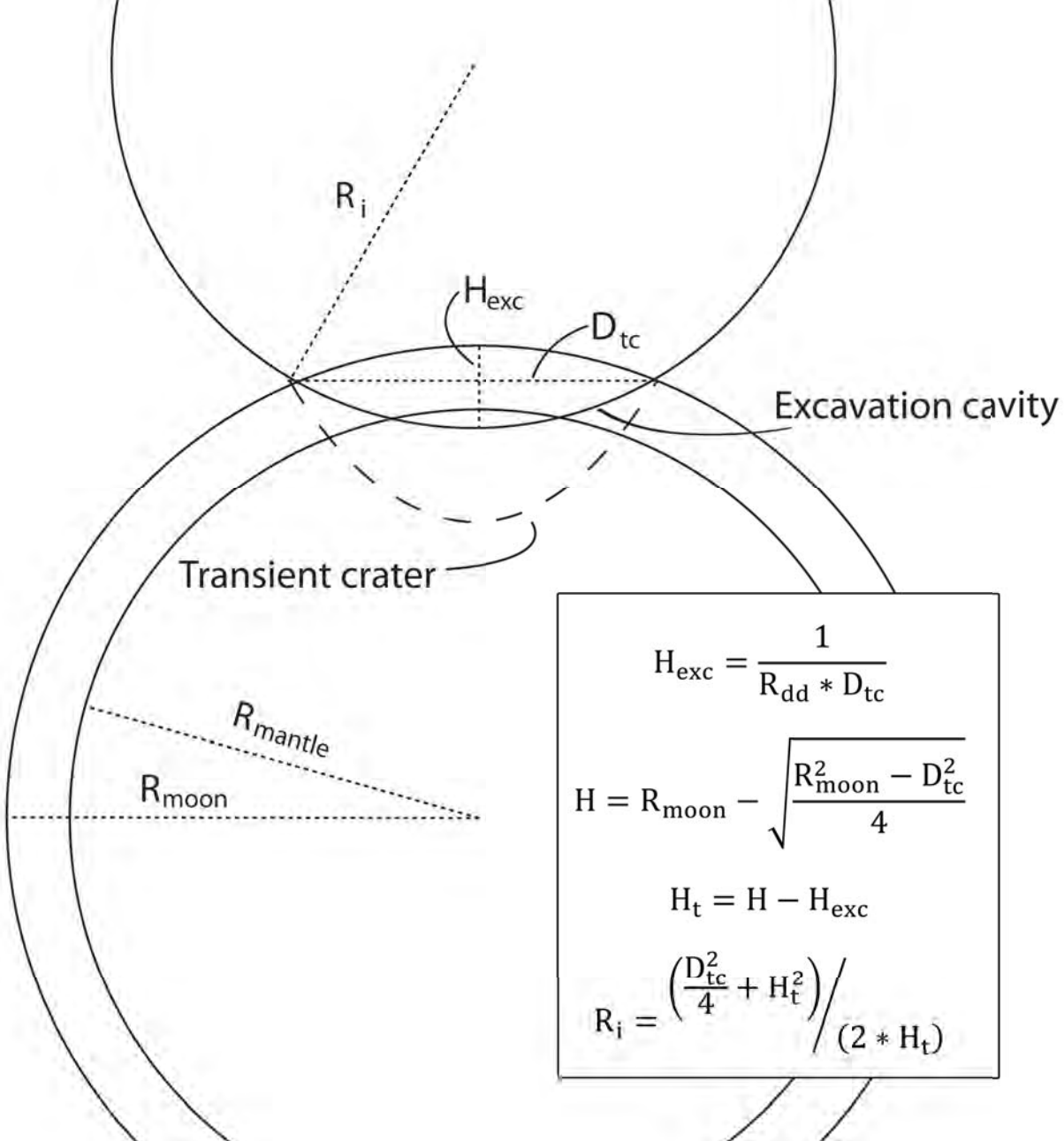
597 **Figure 1.** Geometry of the excavation cavity as approximated by Spudis (1993) (not to
598 scale). The excavation cavity diameter is defined by the transient cavity diameter (D_{tc}) taken
599 from Petro and Pieters (2004). The depth of excavation, H_{exc} , is defined by an assumed
600 depth/diameter ratio (R_{dd}) and the transient cavity diameter. The radius of the sphere used to
601 calculate the ejected volume is defined by these parameters. Table 2 shows the transient crater
602 diameters used and the following calculations of total ejected volume and mantle fraction for the
603 43 lunar basins examined by Petro and Pieters (2004, 2008).

604 **Figure 2.** Flowchart describing the procedure used to assign detected minerals to
605 highland rock types for each of the scenarios calculated. A detailed description of the process is
606 located in the accompanying text.

607 **Figure 3.** Calculated abundances of a) anorthosite; b) norite; c) troctolite; d) mare basalt;
608 e) dunite; f) pyroxenite; and g) the limiting mafic mineral for all pixels returning no solution as
609 described in Figure 2; for a scenario calculated with purest anorthosites (2 vol% mafic minerals),
610 2 vol% mantle permitted, and all excess clinopyroxene not assigned to the mantle assigned to
611 mare basalt. The majority of pixels returning no solution were limited by the orthopyroxene
612 required to fulfill our anorthosite mafic composition assumptions in this case. The no-solution
613 pixels were limited to the central farside highlands, an area extremely rich in plagioclase and low
614 in orthopyroxene in the maps of Crites and Lucey (in press). The nearside maria and the areas
615 immediately bordering them, defined as pixels with $FeO > 10$ wt% as measured by the Lunar
616 Prospector gamma ray spectrometer (2° /pixel maps, Prettyman et al. 2006), are not relevant to
617 this study of the highlands crust and are masked in all maps. 3h) shows the outline of the

618 masked region overlain on the LRO Wide Angle Camera global mosaic (NASA/GSFC/Arizona
619 State University).

620 **Figure 4.** Summary of the range of the relative sources of mafic contaminant consistent
621 with the mineral maps of Crites and Lucey (in press) (dotted field). Open diamonds show
622 scenarios calculated in this work. “Igneous contaminant” includes the highland rock types
623 norite, troctolite, and gabbro, as well as the mare basalt component assumed in some scenarios.



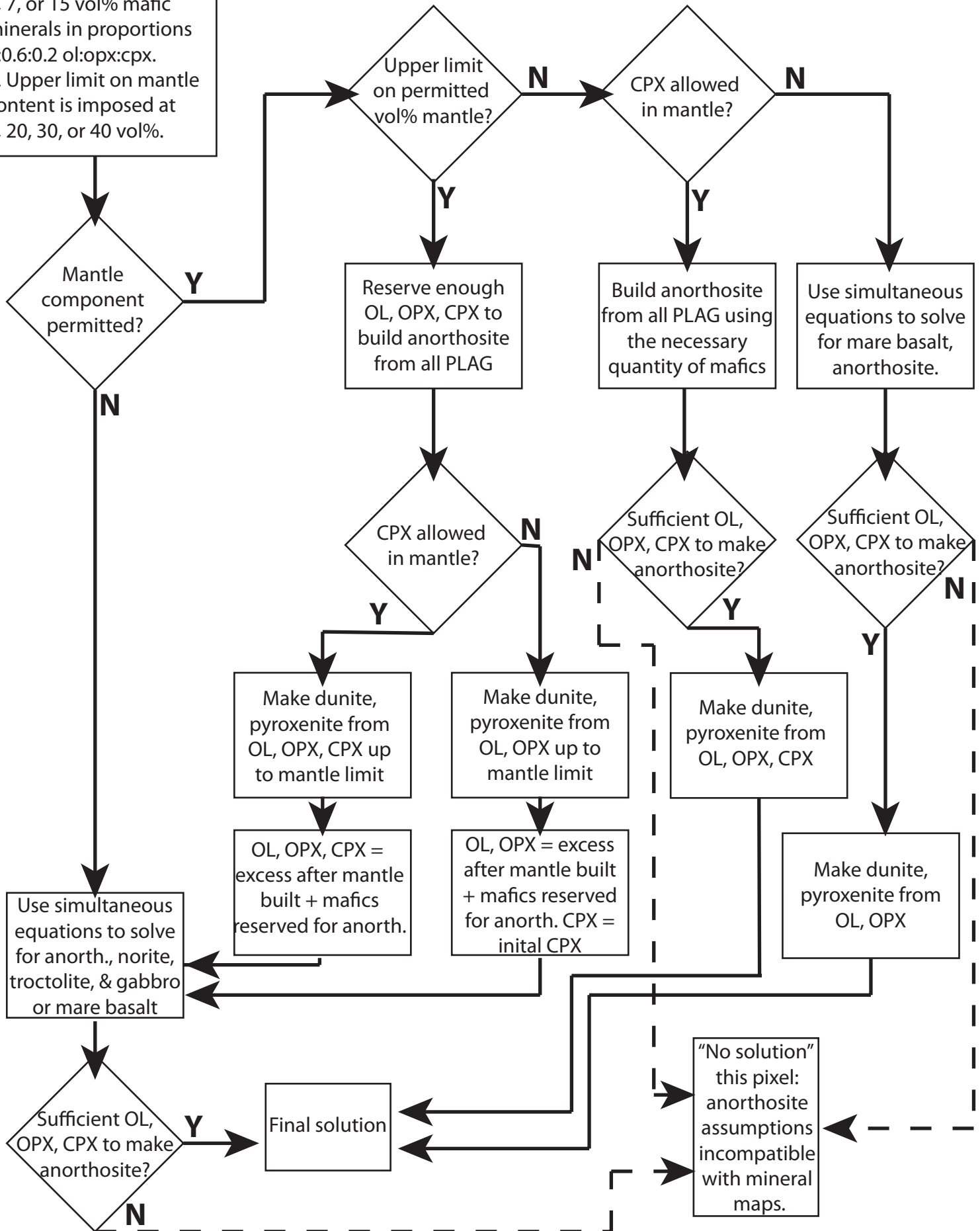
$$H_{exc} = \frac{1}{R_{dd} * D_{tc}}$$

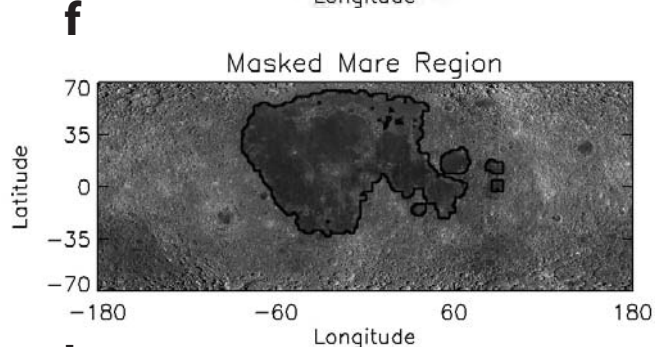
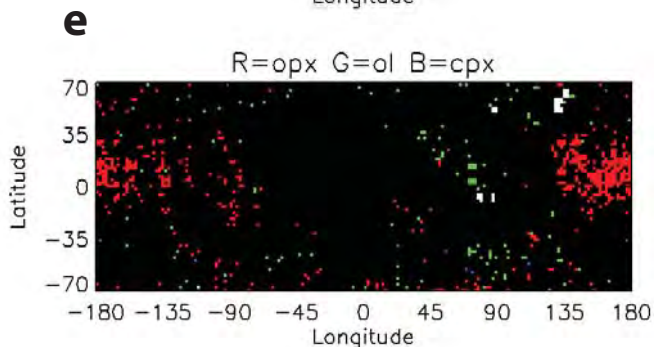
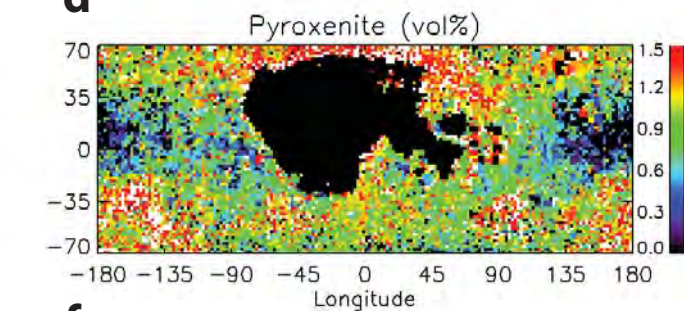
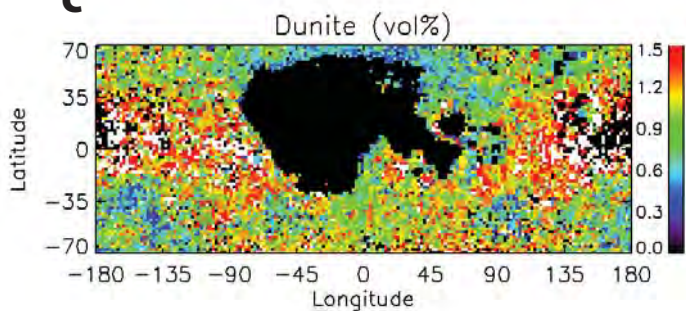
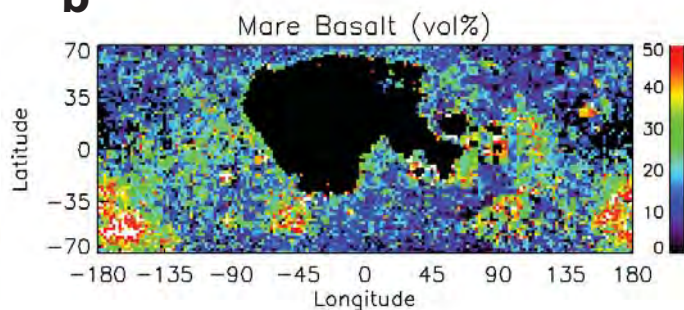
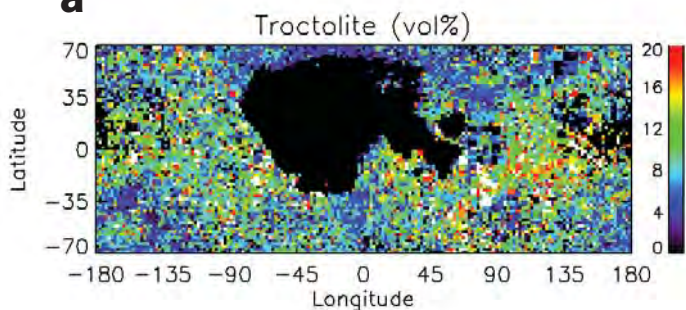
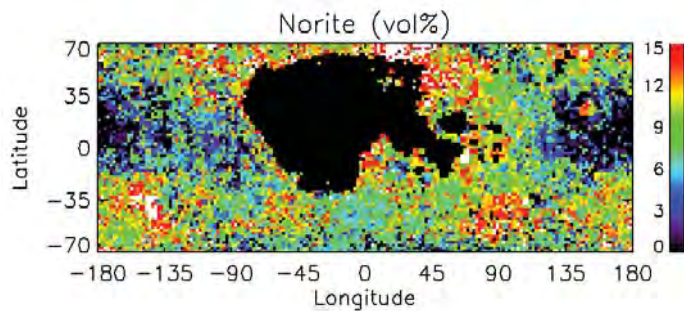
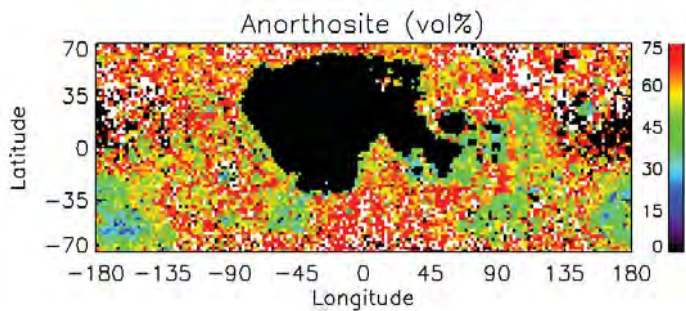
$$H = R_{moon} - \sqrt{\frac{R_{moon}^2 - D_{tc}^2}{4}}$$

$$H_t = H - H_{exc}$$

$$R_i = \left(\frac{D_{tc}^2}{4} + H_t^2 \right) / (2 * H_t)$$

Inputs:
 1. Anorthosite contains 0, 2, 7, or 15 vol% mafic minerals in proportions 1:0.6:0.2 ol:opx:cpx.
 2. Upper limit on mantle content is imposed at 2, 20, 30, or 40 vol%.





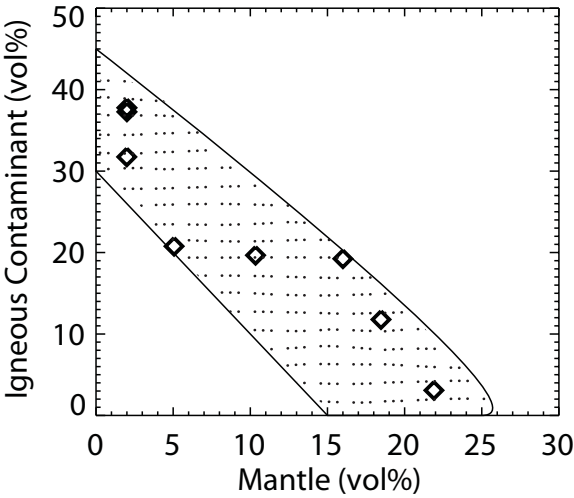


Table 1. Compositions defined for non-endmember rock types.

Notes:

^aPercent total mafic minerals in anorthosite is varied in our mixing models (0, 2, 7, or 15 vol%)

^bBased on the composition and rock type interpretation of Mg-suite rocks catalogued by Cahill and Lucey (2007)

^cBased on compositions of mare basalts from Taylor et al. (1991)

^dOrthopyroxene and Clinopyroxene go into pyroxenite in the unique proportions in which they are present in each pixel

	% Plagioclase	% Olivine	% Orthopyroxene	% Clinopyroxene
Anorthosite ^a	N	.54*(100-N)	.32*(100-N)	.14*(100-N)
Norite ^b	49	0	51	0
Gabbro ^b	41	0	0	59
Mare basalt ^c	32	0	0	68
Troctolite ^b	53	47	0	0
Dunite	0	100	0	0
Pyroxenite ^d	0	0	OPX + CPX = 100	

Table 2. Sample basin ejecta volume calculations. Transient crater dimensions from Petro and Pieters (2004) for all basins except SPA; SPA transient crater dimensions from Spudis (1993). Depth of excavation = 1/10*TC diameter (Spudis 1993). Ejected volume is calculated following the method of Spudis (1993) as described in text. Crustal thicknesses from Wieczorek et al. (2013).

					Crustal thickness=34 km	Crustal thickness=43 km
Basin	Main ring diameter (km)	Mean transient crater diameter estimation (km)	Depth of excavation (km)	Ejected volume (10 ⁶ km ³)	Mantle volume ejected (10 ⁶ km ³)	Mantle volume ejected (10 ⁶ km ³)
South Pole-Aitken	2600	1470	147	127.01	74	62.44
Tsiolkovsky-Stark	700	409	40.9	2.7	0.08	0
Insularum	600	330	33	1.42	0	0
Marginis	580	315	31.5	1.24	0	0
Flamsteed-Billy	570	307	30.7	1.14	0	0
Balmer	500	252	25.2	0.63	0	0
Werner-Airy	500	252	25.2	0.63	0	0
Pingre-Hausen	300	95	9.5	0.03	0	0
Al-Khwarizmi-King	590	322	32.2	1.13	0	0
Fecunditatis	690	401	40.1	2.55	0.06	0
Australe	880	550	55	6.56	0.95	0.31
Tranquillitatis	700	409	40.9	2.7	0.08	0
Mutus-Vlacq	690	401	40.1	2.55	0.06	0
Nubium	690	401	40.1	2.55	0.06	0
Lomonosov-Fleming	620	346	34.6	1.64	0	0
Ingenii	315	107	10.7	0.05	0	0
Poincare	325	115	11.5	0.06	0	0
Keeler-Heaviside	500	252	25.2	0.63	0	0
Coulomb-Sarton	440	205	20.5	0.34	0	0
Smythii	740	440	44	3.36	0.17	0
Lorentz	365	146	14.6	0.12	0	0
Amundsen-Ganswindt	335	122	12.2	0.07	0	0

Schiller-Zucchius	335	122	12.2	0.07	0	0
Planck	325	115	11.5	0.06	0	0
Birkhoff	325	115	11.5	0.06	0	0
Freundlich-Sharonov	600	330	33	1.42	0	0
Grimaldi	440	205	20.5	0.34	0	0
Apollo	480	236	23.6	0.52	0	0
Nectaris	860	534	53.4	6	0.79	0.23
Mendel-Rydberg	420	189	18.9	0.27	0	0
Moscoviense	420	189	18.9	0.27	0	0
Korolev	440	205	20.5	0.34	0	0
Mendeleev	365	146	14.6	0.12	0	0
Humboldtianum	650	369	36.9	1.98	0.01	0
Humorum	425	193	19.3	0.28	0	0
Crisium	740	440	44	3.36	0.17	0
Serenitatis	920	581	58.1	7.73	1.32	0.52
Hertzprung	570	307	30.7	1.14	0	0
Sikorsky-Rittenhouse	310	103	10.3	0.04	0	0
Bailly	300	95	9.5	0.03	0	0
Imbrium	1160	769	76.9	17.9	5.53	3.45
Schrodinger	320	111	11.1	0.05	0	0
Oriente	930	589	58.9	8.05	1.43	0.58
TOTAL				209.14	84.71	67.53
Vol% mantle of total ejecta					40.50	32.29
TOTAL scaled to 0.1 km thick ejecta				5.88	2.38	1.90
Vol% mantle of total mixed surface layer					2.09	1.67
TOTAL scaled to 10 km thick ejecta				58.77	23.80	18.98
Vol% mantle of total mixed surface					20.93	16.68



Table 3. Compositional variable values used in models		
Anorthosite mafic content	0	Extreme case: pure anorthite
	2	Ohtake et al. (2009)
	7	Mean of Wieczorek et al. (2006)
	15	Warren (1990)
Upper limit on mantle component in crust	0	Extreme case: no mantle excavated
	2	Housen et al. (1983) via Petro and Pieters (2008)
	20	Pike (1974) via Petro and Pieters (2008)
	30	Spudis (1993), thick crust
	40	Spudis (1993), thin crust
	No limit	Extreme case: all excess mafics attributed to mantle
Cpx limit in mantle	No limit	CPX permitted in mantle
	0	All CPX due to mare basalt

Table 4. Summary of mixing models most consistent with measured mineralogy of the lunar highlands.

	Description	Mean anorthosite (vol%)	Mean mare basalt (vol%)	Total POI rocks (vol%)	Mantle material (vol%)	Total non-MO rocks (vol%)	% area no solution
Mafics into POI and/or mare basalt	Pure anorthite; all mafics POI	54.87		45.13	0.00	45.13	0.00
	PAN; excess mafics POI	55.69		44.31	0.00	44.31	6.87
	Anorthosites with 7 vol% mafics; excess mafics POI	60.39		39.61	0.00	39.61	18.52
	PAN; excess ol + opx POI; excess cpx mare basalt	58.77	19.43	21.81	0.00	41.23	6.94
Mafics into mantle and/or mare basalt	Pure anorthite; all mafics mantle	75.73		0.00	24.27	24.27	0.00
	PAN; excess mafics mantle	75.50		0.00	23.50	23.50	7.17
Mafics into mantle up to 40%	PAN; mafics to mantle up to 40%; excess ol + opx POI; excess cpx mare basalt	76.54	0.30	0.09	23.07	23.46	51.12
Mafics into mantle up to 30%	PAN; mafics into mantle up to 30%; excess ol + opx POI; excess cpx mare basalt	75.02	2.16	0.92	21.90	24.98	51.71
Mafics into mantle up to 20%	PAN; mafics to mantle up to 20%; excess ol + opx POI, excess cpx mare basalt	69.75	10.33	4.66	18.47	30.25	36.39
	PAN; ol + opx to mantle up to 20%; excess ol + opx into POI; all cpx to mare basalt	69.99	19.39	0.28	10.34	30.01	7.63
Mafics into mantle up to 2%	PAN; mafics to mantle up to 2%; excess ol + opx POI, excess cpx mare basalt	60.22	18.01	19.77	2.00	39.78	7.59
	PAN; ol + opx to mantle up to 2%; excess ol + opx into POI; all cpx to mare basalt	60.73	19.50	17.77	2.00	39.27	8.18