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## Revision 1

Enthalpies of formation of rare earth niobates,  $RE_3NbO_7$

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### Abstract

High-temperature oxide melt solution calorimetry was used to investigate energetics of a series of rare earth niobates  $RE_3NbO_7$ . All of investigated compounds were found to be stable in enthalpy in respect to their oxides. The enthalpy of formation from oxides becomes more exothermic as the size of the RE cation increases, a trend seen previously in other RE compounds including pyrochlores, perovskites, and phosphates. For smaller RE cations the enthalpy of exchange of RE between niobates and titanates is close to zero, whereas larger RE are energetically favored in the titanate pyrochlores. Implications of the results from the geochemical and material engineering points of view are discussed.

### Keywords

21 Niobates, oxide melt solution calorimetry, heat of formation; pyrochlore, defect fluorite,  
22 rare earth minerals

## 23 **Introduction**

24 The rare earth elements (RE) include lanthanides, yttrium and scandium.  
25 Geochemically classified as incompatible elements, they do not readily substitute into  
26 major rock- forming minerals but partition into minor phases and are important petrologic  
27 indicators of processes involving melting (McLennan 1998; Pertermann et al. 2004; Wood  
28 and Blundy 1997). Their isotope systematics play major roles in geologic dating (DePaolo  
29 and Wasserburg 1976). Rare earths are critical elements for technology, with widespread  
30 uses in lighting, computers, cell phones, magnets, and biomedical applications (Sastri et al.  
31 2003).

32 Despite the name 'rare earth', these elements are not particularly scarce in their  
33 total crustal abundance, which surpasses that of such commonly used elements as copper  
34 or lead (Golev et al. 2014). However, rare earths are not usually found in unique minerals  
35 or rich ores. Rather they are often present together in minor to trace concentrations in  
36 other minerals. This leads to the necessity of extracting and separating them from different  
37 kinds of ores. Despite the mostly low abundances of RE in crustal and mantle rocks,  
38 minerals that contain these elements as significant components make up approximately 12  
39 % of the total number of mineral species known to date, although only a small fraction have  
40 been used for the extraction of rare elements (Linnen et al. 2014). The bulk of global LRE  
41 (light rare earths, La – Eu) manufacture (70 – 80 %) comes from bastnasite-(Ce); monazite-  
42 (Ce) is another important LRE mineral, while xenotime-(Y) and ion-adsorbed clays are the

43 major sources of HRE (heavy rare earths, Gd–Lu) (Linnen et al. 2014). Pyrochlore and  
44 zircon account for over 90 % of the Nb and Zr production and also contain relatively high  
45 concentrations of REE (Linnen et al. 2014).

46 The coexistence of rare earths and niobium in ores is relatively common; for  
47 example in the large rare earth deposit Bayan Obo in Inner Mongolia the ore comprises  
48 more than 100 intergrown minerals containing iron, rare earths, fluorine and niobium  
49 (Ding et al. 2013). The Mount Weld carbonatite in Australia contains world class rare earth  
50 oxide and niobium/tantalum deposits. (Gupta and Krishnamurthy 2005). Other examples  
51 of niobium and rare earth containing deposits are St-Honoré and Aley, Canada; Mrima,  
52 Kenya; Ondurakorume, Namibia; Araxá, Brazil (Cordeiro et al. 2011). Some of these  
53 deposits are still not actively mined and are potential future sources of rare earths.

54 Sustainability of rare earth supply, use, and recycling is very important and must  
55 take many factors into consideration. Sustainability impacts of RE can be analyzed from  
56 techno-scientific, environmental, social and economic points of view (McLellan et al. 2013).  
57 The present work focuses on the first topic - science and technology. The analysis of  
58 thermodynamic properties of rare earth containing compounds can help in understanding  
59 processes forming the rare earth containing minerals and improving methods of their  
60 extraction from ores. Extracting rare earths from ores is also a part of environmental, social  
61 and economic sustainability assessments.

62 In addition to being possible sources of rare earth elements and of niobium, rare  
63 earth niobates have interesting properties for technical applications. Within this family,  
64  $Y_3NbO_7$  has been found to be an oxygen ion conductor in a wide range of oxygen partial  
65 pressure (J. H. Lee et al. 1998) while  $La_3NbO_7$  is a proton conductor (Shimura et al. 2002).

66 The problem of finding proper materials for electrochemical devices is well known.  
67 Materials exhibiting high conductivity are usually not very chemically stable e.g. BaZrO<sub>3</sub>  
68 proton conductor (Gonçalves and Muccillo 2014). Thus finding new stable materials  
69 exhibiting high conductivity seems crucial, especially if the materials are ion conductors in  
70 the undoped state. Rare-earth niobates, since they are inherently defective materials  
71 (López-Conesa et al. 2013), are good candidates. From another point of view, Doi et al. (Doi  
72 et al. 2009) found that, depending on the lanthanide, the materials show different magnetic  
73 behavior. The same group reported that the lanthanide element also influences the  
74 symmetry of the compound (Doi et al. 2009). Further structural studies were done by high  
75 resolution transmission microscopy (HRTEM) and selected area electron diffraction  
76 (SAED) (López-Conesa et al. 2013). They showed that, although niobates containing small  
77 rare earth cations exhibit the fluorite *Fm-3m* structure, microdomains of pyrochlore phase  
78 (*Fd-3m*) can be found, suggesting considerable ordering at the nanoscale.

79 Despite studies of structure and magnetic and electrical properties,, there is no  
80 analysis of thermodynamic properties of these materials. In the present study we  
81 determined the enthalpies of formation of rare earth niobates, RE<sub>3</sub>NbO<sub>7</sub>, by high  
82 temperature oxide melt solution calorimetry. These compounds also represent end-  
83 members for the charge-coupled substitution of rare earths and niobium into minerals, see  
84 below. The thermodynamic stability of RE minerals and differences in thermodynamic  
85 properties for different rare earths are important to their petrogenesis, mining, and  
86 chemical separation of different rare earths and of RE from niobium while processing the  
87 ore.

## 88 **Experimental Methods**

89 Polycrystalline samples of  $\text{RE}_3\text{NbO}_7$  were synthesized by solid-state reaction. The  
90 nominal amounts of  $\text{RE}_2\text{O}_3$  oxides and  $\text{Nb}_2\text{O}_5$  were mixed in an agate mortar under ethanol.  
91 The hygroscopic RE sesquioxides were preheated overnight at 900 °C prior to the  
92 synthesis. The powders were pressed into pellets and calcined two times at 1400 °C, with  
93 intermediate grinding and re-pelletizing.. The phase purity of the samples was checked by  
94 powder X-ray diffraction (XRD) using a Bruker diffractometer (Cu  $K\alpha$  radiation, 1.540596  
95 Å) operated at 45 kV and 40 mA. JADE 6.1 software was used to analyze the data and a  
96 whole pattern fitting refinement was applied to determine the unit cell parameters.

97 The enthalpies of formation from binary oxides of the investigated compounds were  
98 measured by high temperature oxide melt solution calorimetry in a recently  
99 commercialized version of a Tian-Calvet twin calorimeter, (Navrotsky 1977; 1997), namely  
100 the the Setaram AlexSYS. Pressed pellets of the initially powdered samples were dropped  
101 from room temperature (25 °C) into a molten sodium molybdate ( $3\text{Na}_2\text{O}\cdot 4\text{MoO}_3$ ) solvent  
102 held in a platinum crucible in the calorimeter at 800 °C. Oxygen was bubbled through the  
103 solvent at 5 ml/min and flushed over it at 60 ml/min. The calorimeter was calibrated using  
104 the heat content of corundum. The calorimetric methodology is the same as used  
105 previously for lanthanum orthoniobate (Mielewczyk-Gryn et al. 2014). The samples were  
106 generally pressed into pellets and weighed in air. In the case of terbium oxide, because its  
107 possible tendency to oxidize, the samples were pelletized in a glove box filled with nitrogen  
108 and transported to the calorimeter in glass vials, limiting contact with laboratory air to a  
109 few seconds.

## 110 **Results**

111 The X-ray diffraction patterns of the ground powders confirmed that pure phase  
112 material has been prepared. Figure 1 presents diffractograms of samples belonging to three  
113 different space groups (*Pnma*, *C222<sub>1</sub>*, *Fm-3m*). Figure 2 presents the relation between  
114 lattice parameters and ionic radii of the rare earth elements. Gradual linear increase of unit  
115 cell parameter values for bigger lanthanides can be seen; which is consistent with the trend  
116 found by Doi et al. (Doi et al. 2009). Cell parameters of specific compounds match values  
117 reported earlier (Cai and Nino 2007; 2010; Doi et al. 2009; Klimenko et al. 1992; Kovyazina  
118 et al. 2003).

119 The enthalpies of drop solution for niobates, rare-earth (III) oxides and niobium (V)  
120 oxide at 1178 K in sodium molybdate and the calculated enthalpies of formation from  
121 oxides of the niobates are summarized in Table 1. The thermochemical cycles used in the  
122 calculations are presented in Table 2. All studied rare earths dissolve as trivalent ions  
123 (Helean and Navrotsky 2002). The enthalpies of formation from oxides versus the rare  
124 earth atom radii are depicted in Figure 3. The accuracy of calorimetric measurements  
125 varies from  $\pm 1\%$  to  $\pm 4\%$  depending on the sample and the accuracy of formation  
126 enthalpies is between  $\pm 2.6$  and  $\pm 5.5$  kJ/mol calculated by error propagation.

## 127 **Discussion**

128 Figure 3 shows the trend between enthalpy of formation from oxides and ionic  
129 radius of the RE. The lower stability for niobates of smaller RE is consistent with findings  
130 that this group belongs to the intrinsically disordered defect fluorite structure, while for  
131 bigger RE cations the structure is ordered pyrochlore (Doi et al. 2009; Kovyazina et al.  
132 2003). Partial disorder via the mechanism of cation anti-site defect formation probably

133 results in a decrease of energetic stability, i.e. in less exothermic formation enthalpies. In  
134 the pyrochlore and fluorite compounds containing a large A cation and a smaller B cation,  
135 the larger value of  $r_A/r_B$  brings about increased distortion from the cubic structure and  
136 decreased symmetry (van Berkel and Ijdo 1986; Yokogawa et al. 1988). Indeed, following  
137 such a trend, one finds that for ruthenates  $RE_3RuO_7$ , stable phases exist only for Nd, Sm,  
138 and Eu, reflecting the small radius of  $Ru^{5+}$  (van Berkel and Ijdo 1986). In RE niobates the  
139 situation is complex since, depending on the size of the RE ion, three different space groups  
140 occur. Although there is no distinct break in the enthalpy of formation at each change in  
141 space group, there is clearly a difference in slope of the trend within each group (see Fig. 3  
142 or Fig. 4). In particular, the slope for samples with orthorhombic structure is much smaller  
143 than for the others. Doi et al. observed only a small change of cation - oxygen bond length  
144 with lanthanide radius increase from Nd to La, while for other RE niobates the change is  
145 larger (Doi et al. 2009).

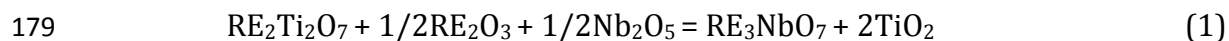
146 The lower stability of the phases containing smaller lanthanides is also consistent  
147 with the observations on natural pyrochlores containing Nb and RE; they contain mostly  
148 lighter and larger RE (La through Sm). Commonly their content of RE heavier than Gd is  
149 below detection limits (Lumpkin and Ewing 1995).

150 The enthalpies of formation of the niobates with defect fluorite structure can be  
151 compared to those of compounds with pyrochlore structure. The pyrochlore structure can  
152 be viewed as an ordered derivative of the fluorite structure, where the A and B cations are  
153 ordered along the  $\langle 110 \rangle$  direction. The anion vacancy occurs in the tetrahedral interstice  
154 between adjacent B-site cations. Natural pyrochlores conform to the general formula  
155  $A_{2-m}B_2X_{6-w}Y_{1-n} \cdot pH_2O$  where A = Na, Mg, K, Ca, Mn,  $Fe^{2+}$ , Sr, Sb, Cs, Ba, RE, Pb, Bi, Th, and U in

156 8-fold coordination, B = Nb, Ta, Ti, Zr, Sn, W, Fe<sup>3+</sup>, and Al in 6-fold coordination, X = O, and Y  
157 = O, OH, or F (Lumpkin and Mariano 1995). Simplified RE pyrochlore compositions include  
158 RE<sub>2</sub>Ti<sub>2</sub>O<sub>7</sub>, RE<sub>2</sub>Zr<sub>2</sub>O<sub>7</sub> and RE<sub>2</sub>Sn<sub>2</sub>O<sub>7</sub>. These model compounds have been studied extensively  
159 to characterize the order-disorder transition between pyrochlore and fluorite, which can  
160 be induced by changes in chemical composition, by quenching from high temperature, by  
161 grinding, and by radiation damage (Meilicke and Haile 1995; Wang et al. 2000; Zhang et al.  
162 2013). Enthalpies of formation from oxides of rare earth titanates, zirconates and niobates  
163 are shown in Figure 4. For smaller rare earths (Y to Ho) the enthalpies of formation of  
164 titanates and niobates overlap. For larger RE cations, the stabilities of niobates and  
165 titanates differ. For all cases, the enthalpy of formation of niobates and titanates from  
166 oxides is more exothermic than values for zirconates and stannates. These results reflect  
167 the difference in acidity between niobium, zirconium and tin oxides. From another point of  
168 view, the similarity of the values for titanates and niobates reflects both similar acidity of  
169 niobia and titania and the existence of the pyrochlore phase microdomains found by SAED,  
170 and Raman spectroscopy, suggesting some pyrochlore – like local ordering in the niobates  
171 (Kovyazina et al. 2003; López-Conesa et al. 2013).

172 The energetics of rare earth exchange between titanates and niobates is very  
173 interesting from the geochemical point of view. Many high temperature thorium and rare  
174 earth deposits contain niobates, tantal- and titano-niobates of rare earths (Titayeva  
175 1994). The minerals containing both RE and Nb often also contain Ti. This is especially true  
176 for natural pyrochlore minerals (Cordeiro et al. 2011; Crockett and Sutphin 1993; Torró et  
177 al. 2012). The exchange reaction between rare earth niobates and titanates can be written  
178 as:

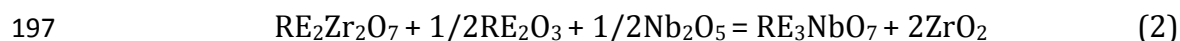




180 The coupled substitution  $\text{Nb}^{5+} + \text{RE}^{3+} = 2\text{Ti}^{4+}$  is charge balanced. Table 3 lists the enthalpies  
181 of exchange (reaction (1)). For RE with smaller ionic radii the enthalpy of this reaction is  
182 close to zero. For lighter lanthanides the enthalpy is more endothermic.

183 Lau et al. (Lau et al. 2008; Lau et al. 2006) have shown that in RE pyrochlores,  
184 additional stuffing of  $\text{RE}^{3+}$  into  $\text{Ti}^{4+}$  sites is possible. The maximum of 'stuffing' substitution  
185 was stoichiometry  $\text{Ln}_2(\text{Ti}_{1.33}\text{RE}_{0.67})\text{O}_{6.67}$  for RE = Ho - Lu, with compounds showing the  
186 distorted fluorite structure, in which excess  $\text{RE}^{3+}$  cations occupy *A* and *B* sites randomly.  
187 The structure of such compounds is similar to that of cubic fluorite found for  $\text{RE}_3\text{NbO}_7$  (for  
188 smaller RE). For slightly bigger RE (Tb and Dy) the structure is partially disordered even at  
189 maximum stuffing and  $\text{RE}^{3+}$  cations mix mainly on the  $\text{Ti}^{4+}$  site with little disorder on the  
190 RE site. Generally these results show that the stuffing process is more difficult for larger RE  
191 (Lau et al. 2008; Lau et al. 2006). Our study has shown that the energetics of RE titanates  
192 and niobates is similar for smaller RE, which is consistent with the fact that substitution  
193 leading to distortion to fluorite structure is more favorable for these compounds since the  
194 exchange reaction enthalpy is near zero.

195 The exchange reaction between niobates and zirconates can be written analogously  
196 to reaction (1), as:



198 The enthalpy of this reaction is much more endothermic than for titanates (see Table 3).  
199 This can explain why in nature pyrochlores containing Nb and Zr are relatively rare in  
200 comparison to those containing Nb and Ti. This also suggests that the traces of  $\text{ZrO}_2$  found

201 in ores containing pyrochlore are indeed the effect of formation of separate phases, rather  
202 than an effect of exchange reactions between niobates and zirconates (Caprilli et al. 2006;  
203 Yaroshevskii and Bagdasarov 2008).

204 The calorimetric data obtained here refer to the stability at room temperature of the  
205 indicated structures produced by cooling samples from high temperature. It is possible that  
206 there is a greater extent of disorder *in situ* at high temperature, perhaps extending the  
207 stability field of the most disordered structure, namely the defect fluorite. It is also  
208 possible that there are additional phase transitions at high temperature. The present work  
209 provides no information on such processes.

210 The entropies of formation from binary oxides are expected to be small except  
211 possibly for disordered phases (defect fluorite) with some configurational entropy. Thus  
212 the enthalpy term is expected to be dominant in the free energy and the stability trends  
213 seen in the enthalpies should apply over a wide temperature range. In particular, the  
214 trends in stability of niobates, titanates and zirconates are expected to hold at high  
215 temperature, i.e. in igneous environments, as well as near room temperature.

216 The similar energetics of niobates and titanates also is interesting from a materials  
217 engineering point of view. The stability of compounds is one of the crucial factors when it  
218 comes to utilizing them in working electrochemical devices like fuel cells or gas pumps.  
219 Obviously more stable materials are better for technical applications. RE titanates were  
220 successfully utilized in as a solid electrolyte in solid oxide fuel cells (SOFC) (Shlyakhtina  
221 and Shcherbakova 2012). Interest has turned to materials with defect fluorite structure  
222 since their conductivity is usually intrinsic and does not require additional elements as  
223 dopants. The doping, which often involves oxides of Sr and Ba, can cause technological

224 problems in humid atmospheres and also can influence the stability of compounds  
225 (Goncalves et al. 2014). Thus finding relatively stable material with intrinsic conductivity  
226 would be beneficial. The electrical properties of the niobates investigated here will be a  
227 topic for further research.

228         Moreover, since RE titanates and niobates have similar energetics for heavier  
229 lanthanides and the exchange reaction enthalpy is close to zero, it is probably possible to  
230 synthesize intermediate phases between stuffed RE titanates and RE niobates. Such  
231 materials can potentially have interesting magnetic and electrical properties.

232         It should be emphasized that for all previously investigated RE fluorites and  
233 pyrochlores, the same trend is visible: the bigger the ionic radius of RE, the more stable the  
234 compound relative to binary oxides. Such behavior is intrinsic to changes in the rare earth  
235 bonding character and is well documented in the thermodynamics of other group of  
236 materials; especially rare-earth perovskites (Cheng and Navrotsky 2003; Cheng et al. 2005;  
237 Kanke and Navrotsky 1998; Lamberti et al. 1997) and phosphates (Ushakov 2001; Ushakov  
238 et al. 2004).

### 239 **Implications**

240         The energetics of rare earth niobates,  $RE_3NbO_7$ , occurring in three different space  
241 groups have been determined by high temperature oxide melt solution calorimetry.  
242 Enthalpies of formation from the oxides become more exothermic with increasing RE ionic  
243 radius, which also leads to more ordered structures (pyrochlore rather than defect  
244 fluorite). The RE niobates are end-members for the charge coupled substitution of RE + Nb  
245 for Ti or Zr in natural pyrochlores. This exchange reaction is generally more favorable for  
246 smaller RE ions and for Ti compared to Zr. Further work could examine more complex

247 pyrochlore compositions, including those containing F and OH substitution. Also the  
248 stability of the niobates containing two different lanthanides (e.g.  $RE_2(RE'_{0.5}Nb_{0.5})_2O_7$ )  
249 could be investigated to establish the maximum possible stable substitutions in these  
250 compounds. The new thermochemical data in this paper represent a start toward a more  
251 quantitative determination of the energetics of RE partitioning among mineral phases  
252 important to petrology, rare earth mining, and materials science and technology.

### 253 **Acknowledgements**

254 This work was supported by the U.S. National Science Foundation, grant EAR-1321410.

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385

386 **Figure captions:**

387 **Figure 1** Powder X-ray diffraction patterns for lanthanum, gadolinium and yttrium  
388 niobates.

389 **Figure 2.** Variation of unit cell parameters for rare-earth niobates. Ionic radii for eight-fold  
390 coordination after Shannon (Shannon 1976).

391 **Figure 3.** The enthalpy of formation from binary oxides of rare-earth niobates belonging to  
392 different space groups. Ionic radii for eight-fold coordination after Shannon (Shannon  
393 1976). Lines drawn to guide the eye.

394 **Figure 4** Comparison of the enthalpies of formation from binary oxides of rare-earth  
395 niobates, titanates (Helean and Navrotsky 2002), stannates (Lian 2006) and zirconates  
396 (Lamb 1973) with fluorite related structures. Ionic radii for eight-fold coordination after  
397 Shannon (Shannon 1976).

398

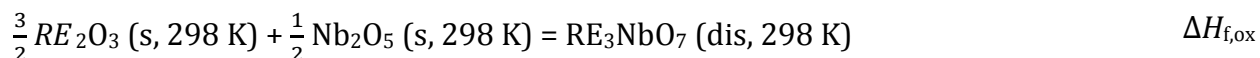
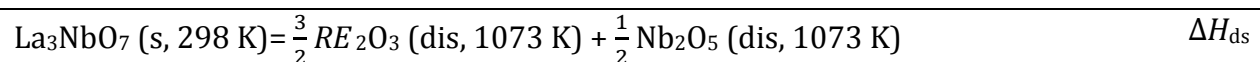
399 **Tables:**

400 **Table 1** Enthalpies of drop solution in sodium molybdate ( $3\text{Na}_2\text{O}\cdot 4\text{MoO}_3$ ) at 800 °C of  
401 binary oxides and enthalpies of formation from these oxides of rare earth niobates at 25 °C.  
402 The number in parentheses gives the number of measurements done. The errors for  
403 measured values are two standard deviation of the mean.

Binary oxide	$\Delta H_{\text{ds}}$ (kJ/mol)	Rare-earth Niobate	$\Delta H_{\text{f,ox}}$ (kJ/mol)
$\text{La}_2\text{O}_3$	$-221.81 \pm 2.25$ (7) <sup>+</sup>	$\text{La}_3\text{NbO}_7$	$-160.76 \pm 3.39$
$\text{Nd}_2\text{O}_3$	$-156.95 \pm 1.05$ (8) <sup>*</sup>	$\text{Nd}_3\text{NbO}_7$	$-154.68 \pm 3.32$
$\text{Eu}_2\text{O}_3$	$-122.46 \pm 1.80$ (8) <sup>*</sup>	$\text{Eu}_3\text{NbO}_7$	$-143.57 \pm 3.52$
$\text{Gd}_2\text{O}_3$	$-134.48 \pm 1.70$ (8) <sup>*</sup>	$\text{Gd}_3\text{NbO}_7$	$-131.64 \pm 3.11$
$\text{Tb}_2\text{O}_3$	$-114.15 \pm 1.33$ (8) <sup>*</sup>	$\text{Tb}_3\text{NbO}_7$	$-118.63 \pm 2.75$
$\text{Ho}_2\text{O}_3$	$-109.51 \pm 1.84$ (8) <sup>*</sup>	$\text{Ho}_3\text{NbO}_7$	$-90.85 \pm 3.37$
$\text{Er}_2\text{O}_3$	$-107.20 \pm 1.75$ (6) <sup>#</sup>	$\text{Er}_3\text{NbO}_7$	$-84.49 \pm 3.95$
$\text{Yb}_2\text{O}_3$	$-82.65 \pm 1.30$ (6) <sup>#</sup>	$\text{Yb}_3\text{NbO}_7$	$-65.54 \pm 2.61$
$\text{Y}_2\text{O}_3$	$-116.34 \pm 1.21$ (8) <sup>*</sup>	$\text{Y}_3\text{NbO}_7$	$-84.60 \pm 2.65$
$\text{Nb}_2\text{O}_3$	$127.48 \pm 0.80$ (11) <sup>+</sup>		

404 <sup>\*</sup>this work, <sup>+</sup>(Mielewczyk-Gryn et al. 2014), <sup>#</sup> (Qi et al. in preparation)

405 **Table 2** Thermodynamic cycles used to determine the enthalpies of formation from oxides.



$$\Delta H_{\text{f,ox}} = -\Delta H_{\text{ds}} + \frac{3}{2}\Delta H_1 + \frac{1}{2}\Delta H_2$$

406

407 **Table 3** The enthalpies of exchange (reaction (1) and (2) for RE titanates and zirconates,  
408 Ionic radii for eight-fold coordination after Shannon (Shannon, 1976).

RE	RE ionic radius (Å)	$\Delta H_{\text{R}} \text{ Ti-Nb(1)}$ (kJ/mol)	$\Delta H_{\text{R}} \text{ Zr-Nb (2)}$ (kJ/mol)
Yb	0.985	-4.96±6.51	-
Er	1.004	4.89±7.85	-
Ho	1.015	3.35±7.98	-
Y	1.019	-1.60±4.15	-
Tb	1.04	13.13±5.95	-
Gd	1.053	18.24±5.81	74.64±6.81
Eu	1.066	39.68±7.76	-

Nd	1.109	-	83.08±6.62
La	1.16	-10.84±6.20	61.26±7.70

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