

1 **Revision 2**

2 **Origin and significance of the yellow cathodoluminescence (CL) of quartz**

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13  
14 **ABSTRACT**

15  
16 The origin of yellow cathodoluminescence (CL) in quartz has been investigated by a  
17 combination of CL microscopy and spectroscopy, electron paramagnetic resonance (EPR)  
18 spectroscopy and spatially resolved trace-element analysis by laser ablation inductively coupled  
19 plasma-mass spectrometry (LA ICP-MS). The study shows that the appearance of a ~570 nm  
20 (2.17 eV) emission band can be attributed to high oxygen deficiency and local structural disorder  
21 in quartz. A proposed luminescence center model implies self-trapped exciton (STE) emission  
22 from localized amorphized regions in quartz. Although the high intensity emission at 570 nm is  
23 in general consistent with high concentrations of  $E'_{1}$  defects detected by EPR spectroscopy, CL  
24 studies with different electron beam parameters and annealing experiments up to 600 °C show a  
25 temperature and irradiation dependence of the luminescence related defects excluding the role of

26  $E'_1$  centers as direct luminescence activators for the 570 nm emission. The evaluation of  
27 geochemical data shows that quartz with yellow CL occurs in low-temperature hydrothermal  
28 environment (mostly <250 °C) and is related to fast crystallization in an environment with  
29 oxygen deficiency.

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34 **Keywords:** Cathodoluminescence (CL), yellow CL, quartz, electron paramagnetic resonance  
35 (EPR), trace elements

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## INTRODUCTION

Quartz is one of the most abundant minerals in the Earth's crust and therefore, knowledge of its specific properties is indispensable for many mineralogical and geological investigations as well as for its industrial use. The great interest in luminescence studies of quartz is due to the fact that information not available by other analytical methods can be obtained. For instance, cathodoluminescence (CL) can be used to reveal internal textures, growth zoning, secondary alteration or different quartz generations which cannot be discerned by optical or electron microscopy (e.g., [Zinkernagel 1978](#); [Ramseyer et al. 1988](#); [Owen 1988](#); [Ramseyer and Mullis 1990](#); [Watt et al. 1997](#); [Müller 2000](#); [Götze et al. 2001a](#); [Van den Kerkhof et al. 2004](#); [Rusk et al. 2006, 2008](#); [Krickl et al. 2008](#); [Götze 2009](#); [Müller et al. 2009](#), [Jourdan et al. 2009a, b](#); [Lehmann et al. 2009](#)). In addition, CL is an effective method for spatially resolved analysis of extrinsic or intrinsic point defects in quartz by spectral measurements. The combination with electron paramagnetic resonance (EPR) spectroscopy and spatially resolved trace-element analysis provides information concerning the relation between different luminescence emission bands and specific lattice defects in the quartz structure (e.g., [Stevens-Kalceff and Phillips 1995](#); [Müller 2000](#); [Götze et al. 2001a](#); [Van den Kerkhof et al. 2004](#); [Götze et al. 2005](#); [Stevens-Kalceff 2009](#); [Götze 2009](#); [Götze and Ramseyer 2012](#)).

The ideal structure of quartz is composed of SiO<sub>4</sub> tetrahedra, where each Si atom is surrounded by four oxygen atoms, and adjacent Si atoms are bridge-bonded through a single oxygen atom (O<sub>3</sub>≡Si-O-Si≡O<sub>3</sub>). The CL emission of quartz is in general caused by a variety of point defects including both substitutional trace elements and other lattice defects (see compilation in [Stevens-Kalceff 2009](#); [Götze 2009](#)). The defects associated with the different CL emissions in quartz often reflect the specific physico-chemical conditions of crystal growth and therefore, can be used as a signature for genetic conditions of mineral formation (e.g., [Ramseyer](#)

76 [et al. 1988](#); [Müller 2000](#); [Götze et al. 2001a](#); [Van den Kerkhof et al. 2004](#); [Müller et al. 2009](#);  
77 [Götze 2009](#)).

78 The most common CL emission bands in natural quartz are the 450 nm (2.75 eV) and 650  
79 nm (1.91 eV) bands ([Ramseyer et al. 1988](#); [Götze et al. 2001a](#)), and their nature is well known.  
80 The emission observed at ~450 nm (2.7 eV) is associated with O-deficiency centers (ODC) in  
81 quartz and is more or less identical in amorphous and crystalline SiO<sub>2</sub> ([Imai et al. 1988](#); [Skuja](#)  
82 [1994, 1998](#); [Pacchioni and Ierano 1997](#)). The 650 nm (1.9 eV) emission is attributed to the so-  
83 called non-bridging oxygen hole center (NBOHC), which can be formed from different precursor  
84 defects ([Stapelbroek et al. 1979](#); [Siegel and Marrone, 1981](#); [Stevens-Kalceff 2009](#)). The common  
85 occurrence of the luminescence emissions at 450 nm (2.75 eV) and 650 nm (1.91 eV) results in  
86 bluish-violet CL colors, which are commonly detectable in quartz crystals from igneous, volcanic  
87 and metamorphic rocks, as well as authigenic quartz from sedimentary environments. In quartz  
88 from pegmatites, a characteristic transient emission around 500 nm (2.45 eV; bluish-green) is  
89 observed ([Götze et al. 2004, 2005](#)), whereas a short-lived blue CL (emission band at 390 nm –  
90 3.18 eV) is the typical feature of natural and synthetic hydrothermal quartz ([Ramseyer and Mullis](#)  
91 [1990](#); [Perny et al. 1992](#); [Götze et al. 2001a](#)). Both emissions are related to alkali-compensated  
92 trace-element centers in the quartz structure ([Ramseyer and Mullis 1990](#); [Perny et al. 1992](#);  
93 [Gorton et al. 1996](#); [Götze et al. 2005](#)).

94 A conspicuous feature is the occurrence of yellow luminescent quartz, which is restricted  
95 to certain geological environments. In contrast to the luminescence behavior of quartz from  
96 magmatic, metamorphic and sedimentary rocks, yellow CL (~580 nm) is uncommon and was up  
97 to now detected exclusively in some natural hydrothermal quartz as well as cryptocrystalline  
98 chalcedony and agate ([Rink et al. 1993](#); [Götze et al. 1999, 2001a](#)). There are a few reports from  
99 hydrothermal sulfide ore deposits (e.g., [Moura et al. 2003](#); [Drechsel et al. 2003](#); [Ioannou et al.](#)  
100 [2004](#)) and hydrothermal gold deposits (e.g., [Graupner et al. 2000](#); [Takahashi et al. 2008](#); [Gueye et](#)

101 [al. 2013](#)), where the yellow luminescent quartz was mostly detected in late mineralization  
102 sequences. In addition, quartz with yellow CL occurs in agate, silicified wood and hydrothermal  
103 veins related to mineralization and alteration processes in volcanic rocks ([Götze et al. 1999](#);  
104 [Götze and Rößler 2000](#); [Möckel and Götze 2007](#)), as well as hydrothermal biomineralization (e.g.  
105 [Müller et al. 2009](#)). Sometimes, yellow luminescent quartz is associated with quartz showing  
106 transient blue CL, which is characteristic for quartz crystallized from low temperature aqueous  
107 solutions (compare [Fig. 1a, k](#)).

108         The observed relation of yellow luminescent quartz to specific physico-chemical  
109 environments could make this conspicuous CL feature an important genetic indicator. However,  
110 only a few suggestions concerning the origin of the yellow CL emission have been made up to  
111 now. [Ramseyer et al. \(1988\)](#) assumed a connection between yellow CL in quartz and elevated  
112 trace-element contents, and [Müller \(2000\)](#) concluded that the yellow CL in quartz may be  
113 activated by  $\text{Mn}^{2+}$  ions. [Luff and Townsend \(1990\)](#) measured a 570 nm emission band in Ge-  
114 doped synthetic quartz crystals at low temperature (40 K), however, they were not able to  
115 determine whether this emission can be definitively related to germanium. Other reports of  
116 yellow luminescence in natural quartz (e.g., [Rink et al. 1993](#), [Götze et al. 1999](#), [Götze 2009](#))  
117 found an association with high concentrations of  $E'_1$  defects (electron defects related to an  
118 oxygen vacancy).

119         The present study combines investigations by CL microscopy and spectroscopy with  
120 investigations by EPR spectroscopy and locally-resolved trace element analyses to elucidate the  
121 origin of yellow CL emission in quartz. The detailed characterization of defects involved in the  
122 activation of the CL emission comprises annealing experiments of selected quartz samples to  
123 determine the thermal stability and temperature dependent spectroscopic properties of the defect  
124 centers. The investigation of geologically well-defined samples should prove the validity of  
125 yellow luminescent quartz as an indicator for specific conditions of formation.

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## MATERIALS AND METHODS

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### 129 **Sample material**

130 The sample material comprises quartz from different geological environments from  
131 worldwide occurrences. We focused on sample material with knowledge available on the  
132 geological background and data on the conditions of formation. The samples include quartz  
133 associated with hydrothermal ore deposits, collo-/crustiform SiO<sub>2</sub> from hydrothermal  
134 mineralization, silicified wood and agates from altered volcanic rocks as well as hydrothermal  
135 vein quartz. The specimens selected for the present study are compiled in [Table 1](#). The estimated  
136 temperatures of formation in [Table 1](#) refer to fluid inclusion or oxygen isotope studies from the  
137 cited publications.

138 One sample suite comprises quartz associated with different types of hydrothermal ore  
139 mineralization. The first sample (HQF) originates from late-Variscan polymetallic veins of the  
140 Freiberg district (Erzgebirge, Germany), which is situated within a metamorphic core complex  
141 ([Seifert 1999](#)). Both quartz with short-lived blue CL and yellow CL occur in the hydrothermal  
142 sulphide vein mineralization ([Fig. 1a](#)). Yellow CL is associated with primary quartz showing high  
143 abundances of CO<sub>2</sub>-bearing fluid inclusions ([Drechsel et al. 2003](#)).

144 In the ~2.7 Ga Archean volcanogenic massive sulphide (VMS) mineralization of the  
145 Abitibi Greenstone belt (Canada) quartz occurs associated with ore in stockwork, veins, and  
146 interstitial fillings between lava pillows ([Ioannou et al. 2003](#)). Within the Noranda, Ben Nevis  
147 and Matagami districts, short-lived yellow CL occurs in “late” secondary hydrothermal  
148 generation of ore and stockwork quartz that crosscuts an earlier generation and infills the primary  
149 breccia porosity (sample HQN - [Fig. 1b](#)). CL revealed colloform/crustiform textures suggesting  
150 rapid silica precipitation, commonly associated with open space deposition ([Ioannou et al. 2003](#)).

151 In the giant Neves Corvo VMS deposit (Portugal), quartz with yellow CL is also  
152 associated with sulfide mineralization and shows irregular textures and sometimes distinct sector  
153 zoning (sample HQNC - [Fig. 1c](#)). Homogenization temperatures of more than 100 primary fluid  
154 inclusions in quartz from the Neves Corve main thrust were measured between 142 °C and 238  
155 °C ([Moura et al. 2003](#)). Details on the Neves Corvo geology are given in [Sáez et al. \(1996\)](#).

156 Yellow luminescent quartz was found in the giant Muruntau Au quartz vein deposit  
157 (Uzbekistan), which lies in a sequence of flysch-like greenschist within the Central Kyzyl Kum  
158 sub-zone of the Southern Tien-Shan ([Kotov and Poritskaya 1992](#)). Quartz with yellow CL was  
159 detected in late secondary veinlets crosscutting the high-grade Au mineralized “central” quartz  
160 veins (sample HQMu - [Fig. 1d](#)). Fluid inclusion studies indicate the formation from H<sub>2</sub>O-CO<sub>2</sub>  
161 aqueous-carbonic fluids with low salinity and temperatures between 100 and 250 °C ([Graupner et](#)  
162 [al. 2000](#)).

163 At the southern part of the Mátra Mts. (Hungary), in the neighborhood of Gyöngyöstarján,  
164 variable cryptocrystalline silica varieties occur together with iron mineralization in fractures and  
165 cavities of an andesite host rock ([Czakó and Zelenka 1981](#)). The genesis is related to the near  
166 surface interaction of microbial activities with an inorganic hydrothermal vent system ([Müller et](#)  
167 [al. 2009](#)). The fine-grained silica matrix, consisting of cryptocrystalline quartz with traces of  
168 moganite and opal-CT, is characterized by bright yellow CL (sample CQMa - [Fig. 1e](#)).

169 Another sample suite includes quartz mineralization of different origin in altered volcanic  
170 rocks. Several Permian volcanic events (288-298 Mio a) resulted in the formation of  
171 hydrothermal veins, agates and silicified wood within layers of rhyolites, ignimbrites and tuffs in  
172 the Erzgebirge basin, Germany ([Schneider et al. 2005](#)). For instance, quartz with yellow CL was  
173 found in samples of silicified wood from Chemnitz, Germany ([Götze and Rößler 2000](#)). This type  
174 of quartz was mostly detected in preserved cell structures, which were probably silicified via a  
175 non-crystalline SiO<sub>2</sub> precursor (sample SWCh - [Fig. 1f](#)). Yellow CL was also found in certain

176 agates from the acidic volcanic host rocks. The agates from St. Egidien (AStE), Hohenstein-  
177 Ernstthal (AHE) and Chemnitz (AChA), Germany occur in altered Permian ignimbrite and result  
178 probably from late- to post-volcanic alteration processes (Möckel and Götze 2007). Both banded  
179 cryptocrystalline chalcedony and macrocrystalline quartz exhibit the typical yellow CL (Fig.  
180 1g/h). An agate sample from Gehlberg, Germany (sample AGeh) was incorporated into the  
181 sample suite for comparison, because the genesis of this agate is very similar to the material from  
182 the Erzgebirge basin. These agates formed in altered Permian volcanic rocks of the Thuringian  
183 Forest, Germany (Holzhey 1993). Despite the very similar geological background and genetic  
184 conditions of formation, chalcedony and quartz of these agates do not show the yellow CL. Fluid  
185 inclusion and oxygen isotope studies indicate a temperature of formation around 100 °C  
186 (Holzhey 1993).

187 Hydrothermally altered volcanic rocks from Chemnitz, Germany can also contain yellow  
188 luminescent quartz as massive vein quartz with complicated internal textures (sample QChem -  
189 Fig. 1i), sometimes showing alternating zones with transient blue and yellow CL (sample QCh -  
190 Fig. 1k).

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### 193 **Analytical methods**

194 Polished thin sections were prepared for microscopic and cathodoluminescence (CL)  
195 investigations from all samples listed in Table 1. CL microscopy was carried out to document the  
196 quartz samples and to find reliable areas for spectral CL analyses. As a result of the CL studies,  
197 appropriate material for further analytical investigations was chosen.

#### 198 *Cathodoluminescence (CL)*

199 CL measurements were performed on carbon-coated thin sections, first using a hot-  
200 cathode CL microscope HC1-LM (Neuser et al. 1995). The system was operated at 14 kV and 0.2



201 mA ( $\sim 10 \mu\text{A}/\text{mm}^2$ ) with a defocused electron beam. Luminescence images were captured using a  
202 Peltier cooled digital video-camera (OLYMPUS DP72). CL spectra in the wavelength range 370  
203 to 920 nm were recorded with an Acton Research SP-2356 digital triple-grating spectrograph  
204 with a Princeton Spec-10 CCD detector that was attached to the CL microscope by a silica-glass  
205 fiber guide. CL spectra were measured under standardized conditions (wavelength calibration by  
206 a Hg-halogen lamp, spot width  $30 \mu\text{m}$ , measuring time 5 s). Irradiation experiments were  
207 performed to document the behaviour of the quartz samples under electron bombardment.  
208 Samples were irradiated for 10 minutes under constant conditions (14 kV, 0.2 mA) and spectra  
209 were measured initially and after every 1 minute.

210 Spectral CL investigations over the spectral range 250-900 nm and monochromatic CL  
211 imaging were carried out using a Schottky FESEM with Gatan XiCLone CL imaging and spectral  
212 analysis system with a Peltier cooled Hamamatsu R943-02 high sensitivity photomultiplier  
213 ([Stevens-Kalceff 2013](#)). The CL spectra were excited using a continuous electron beam (10keV,  
214 45 nA, defocused,  $\sim 67 \mu\text{A}/\text{mm}^2$ ). In addition to the measurement of initial CL spectra, the spectra  
215 as a function of irradiation exposure up to 1000 s were analyzed.

#### 216 *Electron paramagnetic resonance (EPR)*

217 Aliquots of the quartz samples were separated for analyses by electron paramagnetic  
218 resonance (EPR). The sample material was carefully crushed and hand-picked under a binocular  
219 microscope. The separated fractions were treated with distilled water to remove adhering  
220 particles and then air dried. The samples were measured first without pulverization. However, the  
221 EPR analysis of clear quartz crystals before grinding provided powder-like spectra indicating the  
222 presence of “micro-crystals”. Therefore, the measurement of single-crystal EPR spectra was not  
223 possible and further measurements were made with  $\sim 200$  mg of pulverized materials for each  
224 sample.

225 The paramagnetic centers of quartz-powder samples were investigated by EPR  
226 spectroscopy in the X-band using a Bruker EMX spectrometer operated with a microwave  
227 frequency of ~9.63 GHz. Experimental conditions included modulation frequency of 100 kHz,  
228 modulation amplitude of 0.1 mT, microwave powers from 0.02 to 20 mW to obtain optimal  
229 conditions for different center types. The spectral resolution was ~0.146 mT for wide scans from  
230 50 mT to 6500 mT and 0.024 mT for narrow scans from 300 mT to 350 mT.

231 Based on the results of CL and EPR analyses, three samples were pre-selected for further  
232 trace-element studies. In addition to the polished thin sections, 200  $\mu\text{m}$  thick sections of these  
233 samples were prepared for laser ablation inductively coupled plasma mass spectrometry (LA-  
234 ICP-MS) measurements.

#### 235 *Trace elements*

236 Concentrations of Li, Na, K, Rb, Ca, Sr, Mn, Zn, Fe, B, Al, Ga, Ge, Ti and P were  
237 analysed by LA-ICP-MS on a double-focusing sector field mass spectrometer ELEMENT XR  
238 from Thermo Instruments coupled with a NewWave 193-nm excimer laser probe (Flem and  
239 Müller 2012). The laser had a pulse rate of 20 Hz, a speed of  $15 \mu\text{m s}^{-1}$ , a spot size of 50  $\mu\text{m}$ , and  
240 energy fluence of 5 to 7  $\text{mJ cm}^{-2}$  on the sample surface. Raster ablation was applied on an area of  
241 ca.  $150 \times 300 \mu\text{m}$ . The depth of ablation was ca. 50  $\mu\text{m}$ . The carrier gas for transport of the  
242 ablated material to the ICP-MS was He-Ar mixture. External calibration was performed using  
243 three silicate glass reference materials produced by the National Institute of Standards and  
244 Technology, USA (NIST SRM 610, 612 and 614). In addition, the NIST SRM 1830 soda-lime  
245 float glass, the certified reference material BAM No.1 amorphous  $\text{SiO}_2$  glass from the Federal  
246 Institute for Material Research and Testing in Germany and the Qz-Tu synthetic pure quartz  
247 monocrystal provided by Andreas Kronz from the Geowissenschaftliches Zentrum Göttingen  
248 (GZG), Germany, were used. Each measurement comprised 15 scans of each isotope, with a  
249 measurement time varying from 0.15 s/scan for K in high resolution to 0.024 s/scan of, for

250 example, Li in low resolution. A linear regression model, including several measurements of the  
251 different reference materials, was used to define the calibration curve for each element. For the  
252 calculation of P concentrations, the procedure of Müller et al. (2008) was applied. Ten sequential  
253 measurements on the Qz-Tu synthetic pure quartz monocrystal were used to estimate the limits of  
254 detection (LOD -  $3\sigma$  of 10 measurements). The analytical error ranges within 10% of the absolute  
255 concentration of the element.

### 256 *Annealing experiments*

257 Isochronal annealing experiments of two selected quartz samples were carried out to  
258 determine the thermal stability and temperature dependent spectroscopic properties of the defect  
259 centers. For this, CL and EPR spectra were measured after isochronal annealing up to 600 °C and  
260 subsequent cooling, and were compared with the untreated counterparts. Based on the results of  
261 the first studies, the agate sample from St. Egidien (AStE) was selected for these investigations,  
262 because it consists of both microcrystalline chalcedony and macro-crystalline quartz. In addition,  
263 hydrothermal vein quartz from Chemnitz, Germany (QChem) was chosen. Both samples show  
264 the typical yellow CL but differ in chemical composition, in particular in the contents of elements  
265 which might be possible activators for CL (e.g., Al and Ge).

266 The annealing experiments were made in a Thermolyne muffle furnace under air  
267 following the procedure of Pan and Hu (2009). Aliquot sample pieces were isothermally annealed  
268 at 100, 150, 200, 250, 300, 400, 500, and 600 °C for 2 hours. One part of each sample was used  
269 for optical microscope cathodoluminescence (OM-CL) studies of polished thin sections including  
270 electron irradiation experiments, and the other part was used for SEM-CL and EPR  
271 measurements. SEM-CL measurements were performed first without any preparation or coating  
272 of the material using a JEOL JSM-7001F (20 kV, 2.64 nA, ca. 300  $\mu\text{m}$  defocused electron beam,  
273 measurement time 40s) to enable subsequent EPR studies on the identical material.

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## RESULTS

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### 279 **Cathodoluminescence (CL)**

280 The comparison between polarized light and CL micrographs revealed that most of the  
281 apparent homogeneous quartz crystals show a wide variety of internal textures under CL  
282 including oscillatory zoning, sector zoning or skeletal growth (compare [Fig. 1](#)).

283 Spectral analysis of CL emissions was performed for quartz with more or less  
284 homogeneous yellowish luminescent regions. Despite variations in intensity, all samples show a  
285 broad CL emission band centered at ca. 580 nm causing the visible yellow CL ([Figs. 2 and 3](#)).  
286 The yellow band at 580 nm in agate samples is dominant in macro-crystalline quartz and weaker  
287 in chalcedony with an additional band at 650 nm (1.91 eV). Deconvolution of the broad yellow  
288 CL emission band revealed that it consists of at least three overlapping emission bands ([Fig. 2](#)).  
289 The fitting procedure shows a main luminescence emission band at 2.17 eV (570 nm – FWHM  
290 0.38 eV/200 nm), a second band at 1.91 eV (650 nm – non-bridging oxygen hole center NBOHC)  
291 and a weak and very broad band centered at 2.47 eV (probably consisting of more than one  
292 band). Additional CL emissions with mostly low intensity could be detected in some of the  
293 samples at 290 nm (4.27 eV), 380 nm (3.26 eV), 450 nm (2.69 eV), and 750 nm (1.65 eV).

294 The interaction with the electron beam resulted in significant changes of the CL emission  
295 ([Fig. 3](#)), i.e. lower intensity and a shift of the band position to longer wavelength. These changes  
296 are due to a variation in the intensity ratio of the main emission band at 570 nm (2.17 eV) and the  
297 broad 650 nm (1.91 eV) band, which behave differently under the electron beam. [Figure 3](#)  
298 illustrates a nearly exponential decrease of the intensity of the 570 nm (2.17 eV) CL emission  
299 band. The 650 nm emission is very sensitive to electron irradiation. The increase of this emission

300 band during electron irradiation (especially in chalcedony) results from the transformation of  
301 precursor centers into NBOHC under the electron beam.

302 Monochromatic CL imaging at different wavelengths was performed to get information  
303 about the spatial distribution of the defect centers responsible for the different luminescence  
304 emissions. The images in [Figure 4](#) reveal similar spatial distribution of the defects responsible for  
305 the 570 nm (2.17 eV) and 650 nm (1.91 eV) CL emission, respectively, whereas the spatial  
306 distribution of those associated with the 290 (4.27 eV) and 380 nm (3.26 eV) emissions are  
307 different.

308 Another feature is visible when comparing the distribution pattern of the 570 nm (2.17  
309 eV) CL emission with the surface morphology of the electron-irradiated sample surface ([Fig. 5](#)).  
310 Secondary electron imaging (SEM topography image) of the sample surface of quartz (agate St.  
311 Egidien) after prolonged electron irradiation reveals visible surface expansion indicating  
312 amorphization of parts of the irradiated micro-volume ([Fig. 5a](#)). The monochromatic CL image of  
313 the same area shows that the degree of enhanced expansion/amorphization approximately anti-  
314 correlates with the 570 nm (2.17 eV) emission ([Fig. 5b](#)).

315

### 316 **Electron paramagnetic resonance (EPR)**

317 [Figure 6a](#) shows the spectra of five selected samples in a wide scan measured at a  
318 microwave power of 2 mW. The sample suite comprises the yellow luminescent hydrothermal  
319 quartz from Chemnitz (QChem – [Fig. 1i](#)), bluish luminescent agate from Gehlberg (AGeh – not  
320 shown in [Fig. 1](#)), hydrothermal quartz from Chemnitz with zones of blue and yellow CL (QCh –  
321 [Fig. 1k](#)), and the yellow luminescent agates from St. Egidien (AStE – [Fig. 1h](#)) and Chemnitz  
322 (AChA – not shown in [Fig. 1](#)). The EPR spectra contain a characteristic oxygen-vacancy electron  
323 center  $E'_{1}$  ([Mashkovtsev et al. 2013](#)) and an orthorhombic  $\text{Fe}^{3+}$  center at the effective g value of  
324 4.28 ([Weil 1994](#); [SivaRamaiah et al. 2011](#)).

325 A closer look at the central magnetic region (Figs. 6b, 7) shows further multiple species of  
326 silicon-vacancy hole centers (e.g., superoxide and ozonide radicals such as B, B', C and C' -  
327 Botis et al. 2005; Pan et al. 2008, 2009) and an unknown center #3 with the effective g values of  
328 2.008, 2.006 and 2.0045 (Mashkovtsev et al. 1978). The agate from St. Egidien (AStE) is  
329 particularly interesting in that it contains abundant B/B' and C/C' centers, even more than quartz  
330 samples from high-grade uranium deposits (compare Botis et al. 2005 and Pan and Hu 2009).

331 The effective g values of all detected signals are shown in the experimental spectrum in  
332 Figure 7, which shows spectra of separated macro-crystalline quartz and chalcedony parts of the  
333 agate from St. Egidien (AStE). The broad peak at  $g = 2.034$  arises from superoxide centers ( $O_2^-$ )  
334 B and B', while the signal at  $g = 2.017$  belongs to several ozonide C (and C') radicals ( $O_3^-$  - Botis  
335 et al. 2008; Nilges et al. 2008, 2009; Pan et al. 2008, 2009). The small signal at  $g = 2.021$ , which  
336 has a microwave-power dependence different from hole centers but is similar to those of E'  
337 centers, is most likely related to the  $g = 1.983$  line and corresponds to a new E'/H center ( $g_{\parallel} =$   
338  $2.0020$  and  $g_{\perp} = 2.010$ ) with a proton hyperfine structure ( $A_{\parallel} = 6.4$  mT and  $A_{\perp} = 6.7$  mT), which  
339 has been observed from single-crystal EPR data (Mashkovtsev pers. comm. – see ticks in Fig.  
340 6b). The weak signals at  $g = 1.997$  and  $1.994$  belong to the Ge(B) and Ge E'<sub>1</sub> centers  
341 (Mashkovtsev et al. 2013).

342 The comparison of the EPR spectra of all investigated samples shows, that E'<sub>1</sub> is the  
343 dominant defect center but in varying concentrations (Fig. 6a). The yellow luminescent agates  
344 from St. Egidien (AStE) and Chemnitz (AChA), as well as the hydrothermal vein quartz from  
345 Chemnitz (QChem) are characterized by elevated concentrations of the E'<sub>1</sub> center. In contrast, the  
346 samples without the dominating yellow CL (agate from Gehlberg – AGeh and the hydrothermal  
347 quartz QCh) contain a relatively smaller number of this oxygen-vacancy electron center. In  
348 particular the macro-crystalline quartz in the agate from St. Egidien has much more abundant  
349 oxygen-vacancy electron centers (by several orders of magnitude) than hydrothermal and

350 pegmatite quartz that were measured for comparison (e.g. from Minas Gerais, Brazil and  
351 Sichuan, China), and also a higher abundance of the radiation induced defects at the effective g  
352 value of 2.00 than the counterparts in chalcedony (Fig. 7).

353 In addition, the agate from Chemnitz (AChA) and the chalcedony part of the St. Egidien  
354 sample (AStE) both show a spectrum with high intensities of silicon-vacancy hole centers (Figs.  
355 6b, 7), whereas the number of these centers is lower in the macro-crystalline quartz part of AStE  
356 as well as in samples AGeh and QChem. The hydrothermal quartz QCh is generally free of  
357 silicon-vacancy hole centers.

358

### 359 Trace elements

360 Trace elements were analyzed in three selected samples in order to correlate CL, EPR  
361 properties and impurity concentrations. A profile of 15 analyses crossing the chalcedony and  
362 macro-crystalline quartz banding of the agate from St. Egidien (AStE - Fig. 8) was measured  
363 using LA-ICP-MS. Likewise, the yellow luminescent hydrothermal quartz from Chemnitz  
364 (QChem – compare Fig. 1i) and the agate from Gehlberg (AGeh) with blue CL were analyzed.  
365 The results are compiled in Table 2.

366 The distribution of most trace elements is heterogeneous within the samples. Not only  
367 significant differences between the macro-crystalline quartz and chalcedony parts in agate  
368 samples exist, but also heterogeneities within both areas. In addition, most trace elements are  
369 present in low concentrations, often below the limit of detection for LA-ICP-MS (e.g., Ti, Mn, Sr  
370 – Table 2). Surprisingly, this is also true for most analytical spots for Al and Na (see Fig. 8). This  
371 is in contrast to earlier investigations (e.g., Heaney and Davis 1995; Götze et al. 2001b), which  
372 reported elevated concentrations of Al and Na in agates from different occurrences worldwide.

373 Higher element concentrations within the agate from St. Egidien (AStE) were only  
374 detected for B, Ge and Fe. In the case of Fe, this may be due to numerous micro-inclusions of

375 hematite, which cause the reddish color of the chalcedony bands. However, the presence of Fe in  
376 colorless, clear quartz indicates that at least some of the Fe must be incorporated in the structure.  
377 This conclusion was supported by the EPR measurements (Fig. 6a) and the existence of a weak  
378 750 nm CL emission, which is consistent with substitutional Fe<sup>3+</sup> at Si sites (Weil 1994;  
379 SivaRamaiah et al. 2011).

380 The high concentrations of B and Ge in the agate from St. Egidien (AStE) are remarkable  
381 and exceed even the Clarke concentration. Interestingly, the concentrations of Ge are much  
382 higher in the quartz than the chalcedony region. The contents of Ge within the yellow  
383 luminescent quartz in the St. Egidien sample are almost two orders of magnitude higher than the  
384 average Ge contents in quartz (Götze 2009).

385 Trace-element concentrations within the agate from Gehlberg (AGeh) and the  
386 hydrothermal vein quartz from Chemnitz (QChem) show similar trends but also differ from  
387 sample AStE (compare Table 2). The contents of B in the agate from Gehlberg are in the same  
388 range as in the AStE sample, whereas Ge and Fe contents are much lower. In contrast, the  
389 hydrothermal quartz from Chemnitz has elevated concentrations of Al and Na and comparably  
390 low concentrations of Ge and B.

391

## 392 **Annealing experiments**

393 Agate (sample AStE) and hydrothermal quartz (sample QChem) were selected for  
394 tempering experiments in order to test the influence of trace elements on the luminescence  
395 emission. Both samples exhibit similar initial CL spectra with a dominant yellow emission band  
396 at 570 nm (Fig. 9). During tempering, the two samples show slightly different behavior. The  
397 intensity of the yellow CL emission at 570 nm (2.17 eV) decreases during heating up to 400 °C in  
398 the macro-crystalline quartz of sample AStE, but shows a slight increase in the hydrothermal



399 quartz (sample QChem). However, these initial trends may be due to the heterogeneities of the  
400 samples leading to locally varying intensities of the yellow emission band.

401 It is noteworthy that an additional blue band at ca. 450 nm (2.69 eV) is apparent above  
402 300 °C in both samples (Fig. 9). The intensity of the 450 nm emission is much stronger in macro-  
403 crystalline quartz of sample AStE compared with the hydrothermal vein quartz QChem. This blue  
404 emission is likely to be related to oxygen deficiency centers (ODC – Skuja 1998). After  
405 annealing at 600 °C (above the phase transition temperature of 573 °C between  $\alpha$ - and  $\beta$ -quartz)  
406 the yellow band becomes dominant and very strong in both samples. Locally resolved spectral  
407 CL measurements (OM-CL) from bright yellow and weakly luminescent regions within the  
408 heterogeneous samples revealed that the blue 450 nm emission band is only visible from regions  
409 with low CL intensity indicating a heterogeneous distribution of the different defect types related  
410 to the 570 and 450 nm emission bands, respectively (Fig. 10).

411 Electron irradiation experiments on annealed quartz with irradiation times of up to 10  
412 minutes revealed significant changes of the CL spectra. In general, the 570 nm (2.17 eV)  
413 emission band decreases under the electron beam, whereas the 650 nm emission (1.91 eV) is  
414 stable or slightly increases (Fig. 10). The changing intensity ratio results in an apparent shift of  
415 the broad band to longer wavelengths as already observed in the untreated samples (compare Fig.  
416 3) and causes a change from bright yellow to reddish brown CL (Fig. 10b). The general internal  
417 CL textures like growth zoning remain visible in quartz.

418 In order to correlate the CL behaviour with possible changes in the defect structure in the  
419 samples, the chalcedony and quartz parts of the agate from St. Egidien (AStE) (and their annealed  
420 counterparts) have been analyzed by EPR at four different microwave powers. Those depicted in  
421 Figure 11 were all measured at 0.2 mW to illustrate both silicon-vacancy and oxygen-vacancy  
422 defects at the magnetic fields below and above 350 mT, respectively. Although the EPR spectra  
423 of the quartz and agate parts (and their thermal behavior) are more or less similar in the oxygen-

424 vacancy defects, chalcedony and macro-crystalline quartz differ markedly in the spectral range  
425 relating to the silicon-vacancy hole centers in the magnetic field <350 mT.

426 Major changes occur in the EPR spectra after annealing between 300 and 400 °C (Figs.  
427 11, 12). It is apparent that all defects are significantly reduced after annealing above 400 °C. The  
428 silicon-vacancy hole centers were almost annealed out in both cases.

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## DISCUSSION

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### *Trace elements*

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The results of the spectroscopic investigations show that the 570 nm (2.17 eV) band is the dominant CL emission in yellow luminescent quartz (Fig. 2). Early reports of yellow luminescence in natural quartz indicated an association with elevated contents of trace elements (Ramseyer et al. 1988; Luff and Townsend 1990; Müller 2000). Therefore, trace elements have been measured in quartz with yellow CL to provide evidence of possible correlations. However, the results of the investigation show that there is no direct relation between the 570 nm (2.17 eV) luminescence emission in quartz and relevant trace elements. One of the proposed elements (Mn – Müller 2000) can be eliminated as an activator for the yellow luminescence emission in quartz, since the analyzed concentrations are below the detection limit of LA-ICP-MS (Table 2) and no Mn-centers were detected during EPR measurements.

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Aluminum is a frequent impurity element in quartz and elevated concentrations were measured in the present quartz samples (Table 2). However, spatially resolved analyses revealed that the Al distribution is very heterogeneous. In particular, the Al contents below the detection limit of the LA-ICP-MS (< 6 ppm) have been found in macro-crystalline quartz regions that show bright yellow CL. Another argument against the possible role of Al as an activator for the 570 nm (2.17 eV) emission is the well-known relation between the alkali (or hydrogen) compensated Al

448 defect center ( $O_3\equiv Al-M^+-O-Si\equiv O_3$ ) and the transient blue CL emission at  $\sim 390$  nm (3.3 eV)  
449 (Alonso *et al.*, 1983; Luff and Townsend, 1990; Perny *et al.*, 1992; Gorton *et al.*, 1996).

450 Iron is another element that has been found in elevated concentrations in the investigated  
451 quartz samples (Table 2). EPR measurements detected an orthorhombic  $Fe^{3+}$  center at the  
452 effective g value of 4.28 (Fig. 6). This  $[FeO_4/M^{+}]^0$  defect is characterized by substitution of  $Fe^{3+}$   
453 for  $Si^{4+}$  with charge compensation by alkali ions or protons (Stegger and Lehmann 1989; Weil  
454 1994). However, the macro-crystalline quartz and chalcedony samples investigated in the present  
455 study do not show any correlation between chemically analyzed Fe contents, the amount of  $Fe^{3+}$   
456 centers measured by EPR and the signal intensity of the 570 nm (2.17 eV) CL emission. Studies  
457 which suggest the  $Fe^{3+}$ -activated CL emission in quartz are rare. Pott and McNicol (1971)  
458 reported red luminescence at 705 nm in Fe-doped synthetic  $SiO_2$  and Stevens-Kalceff (2009)  
459 related a CL emission at 750 nm to the activation by  $Fe^{3+}$ . In summary, no conclusive relation  
460 between substitutional iron and yellow CL emission in quartz was found.

461 The analysed Ge contents in the studied quartz samples are elevated and sometimes  
462 remarkably high compared to average Ge contents of quartz which are in the 1 ppm level (Götze  
463 *et al.* 2004). In macro-crystalline quartz of the agate from St. Egidien (sample AStE), Ge contents  
464 up to 95 ppm were detected (Table 2). This sample exhibits a very intensive yellow 570 nm  
465 emission (Fig. 8). Ge-related paramagnetic defects are also present in EPR spectra but are  
466 subordinate relative to the  $E'_1$  center (Fig. 7). Two observations argue against Ge as an activator  
467 of the yellow luminescence in quartz. First, quartz samples with moderate to low Ge  
468 concentrations (e.g. hydrothermal vein quartz from Chemnitz - QChem) show intensive 570 nm  
469 CL-emission, while conversely quartz with comparable Ge concentrations (agate from Gehlberg -  
470 AGeh) exhibits no yellow CL. Second, CL spectra of Ge-implanted  $SiO_2$  at room temperature  
471 have been reported to show a strong blue emission band at 410 nm (3.0 eV), which is therefore

472 not consistent with the observed yellow CL in quartz (Barfels 2001, Fitting et al. 2002). In  
473 conclusion, the role of Ge as an activator of the yellow CL in quartz is unlikely.

474

475 *E'*<sub>1</sub> defects

476 As trace elements can be excluded as activator for the yellow CL in quartz, other lattice  
477 defects have to be taken into consideration. Previous reports of yellow luminescence in natural  
478 quartz (e.g. Rink et al. 1993, Götze et al. 1999, Götze 2009) indicated an association with high  
479 concentrations of *E'*<sub>1</sub> defects. The present study confirms that high intensity yellow CL emission  
480 is consistent with a high concentration of the *E'*<sub>1</sub> defects documented from EPR measurements,  
481 and a correlation of both relative intensities was observed. Quartz samples with high  
482 concentrations of *E'*<sub>1</sub> defects show high intensities of the 570 nm (2.17 eV) emission band (Fig.  
483 6). In addition, the *E'*<sub>1</sub> center appears to be somewhat more abundant in macro-crystalline quartz  
484 compared to chalcedony (Fig. 7). This observation correlates very well with the higher intensity  
485 of the yellow CL emission in the macro-crystalline quartz region of agates (compare Figs. 1h and  
486 8).

487 Additional information about the nature of the defect centers and their relation to the CL  
488 behavior was provided by the annealing experiments and observed changes under electron  
489 irradiation. These studies are necessary, since in contrast to EPR, CL spectroscopy is unable to  
490 detect *E'*<sub>1</sub> defects directly because of the non-radiative decay (no luminescence activation) of the  
491 center (Pacchioni and Ierano 1998). Therefore, we assume that the 570 nm (2.17 eV) CL  
492 emission is not directly associated with the paramagnetic *E'* centers, but their diamagnetic  
493 precursors and/or associated defects are most likely responsible for the characteristic yellow CL.

494 Annealing of the quartz samples up to 600 °C results in a continuous decrease in the  
495 concentration of *E'*<sub>1</sub> centers with increasing temperature (Figs. 11, 12), whereas the yellow CL  
496 remains (Figs. 9, 10). The EPR spectra show the most drastic changes (marked reduction in

497 intensity of the  $E'_1$  center) after annealing at 400/500 °C (compare Fig. 12). This correlates with  
498 the appearance and a strong increase of the 450 nm luminescence band. The  $E'$  centers are  
499 annealed and transformed to energetically more favourable diamagnetic defects (e.g. oxygen  
500 deficiency centers – Skuja 1998) responsible for the blue CL. This would explain the extreme  
501 increase of the 450 nm CL-emission after annealing as the conversion of  $E'_1$  centers can be  
502 related to the high temperature treatment. This is confirmed by the fact that the intensity of the  
503 450 nm emission after tempering correlates with the relative amount of  $E'_1$  centers in the samples  
504 at room temperature. The agate from St. Egidien (AStE) has a higher number of initial  $E'_1$  centers  
505 and a stronger increase of the 450 nm emission during the annealing experiments compared to the  
506 hydrothermal vein quartz from Chemnitz (QChem - compare Fig. 9). This model also explains  
507 the absence of the 450 nm luminescence in the original samples. Although the quartz is highly  
508 oxygen deficient, blue luminescence has not been observed, since  $E'$  centers do not activate  
509 luminescence. Only after annealing and conversion of  $E'$  centers into luminescence-active oxygen  
510 deficiency centers, does the characteristic 450 nm emission appear.

#### 511 *Self-trapped exciton (STE)*

512 The experiments discussed above indicate that the yellow CL emission in quartz is not  
513 directly related to the paramagnetic  $E'$  centers detected by EPR measurements, but possibly to  
514 their diamagnetic precursors or other defects related to these paramagnetic species. Additional  
515 electron irradiation experiments showed that the yellow luminescence is modified by electron  
516 bombardment. The sensitivity to the electron beam and the decay of luminescence-active centers  
517 is demonstrated by a decrease of the 570 nm (2.17 eV) band intensity during continuous electron  
518 irradiation (Fig. 3). Mathematical calculations of the decay kinetics of different luminescence  
519 active defect centers in quartz caused by electron irradiation show an analogous behaviour with a  
520 decreasing dose curve for the self-trapped exciton (STE) related CL in amorphous and crystalline  
521 SiO<sub>2</sub> (Barfels 2001). The characteristic broad band width of the STE related emission (0.38

522 eV/200 nm) is in accordance with the measured FWHM of the 570 nm band in the investigated  
523 quartz samples. Accordingly, we conclude that the 570 nm (2.17 eV) emission is most likely to  
524 be associated with the radiative recombination of self-trapped excitons (STE).

525 Self-trapped excitons (STE) in quartz can be produced by irradiation with an electron  
526 beam (Fisher et al. 1990). Self-trapping occurs when the excited electron-hole pair (i.e. the  
527 exciton) creates a localized distortion in the crystalline quartz lattice. A number of potential  
528 candidates for exciton-defect-pairs for the SiO<sub>2</sub> STE have been suggested and several forms may  
529 co-exist (e.g. defect pairs with the E'₁ center and interstitial oxygen or the E'₁ center and  
530 NBOHC – e.g. Stevens-Kalceff 2009). The high abundance of E'₁ and NBOHC was confirmed  
531 by EPR measurements. Furthermore, the 570 nm band is often accompanied by a 650 nm (1.91  
532 eV) emission (NBOHC), and monochromatic CL studies indicated that defects associated with  
533 the 570 and 650 nm emissions are approximately co-located (Fig. 4).

534 An observed expansion/amorphization of quartz under the electron beam approximately  
535 anti-correlates with the 570 nm (2.17 eV) emission, as indicated by CL (Fig. 5). This observation  
536 is consistent with the identification of the 570 nm emission as being associated with the self-  
537 trapped exciton (STE). The radiative recombination leads to the restoration of (i.e. radiative  
538 relaxation to) the ideal SiO<sub>2</sub> microstructure: The return of the SiO<sub>2</sub> host lattice to its defect-free  
539 structure is associated with enhanced CL emission. In contrast, non-radiative recombination of  
540 the STE results in radiolytic processes (Hobbs and Pascucci 1980; Hosono et al. 1998), i.e. the  
541 formation of stable defects due to the decay of electronic excitations (Tsai and Griscom 1991). In  
542 case of quartz, radiolysis may cause local amorphization of the irradiated area (Stevens-Kalceff  
543 and Phillips 1995, Stevens-Kalceff 2013), which is visible by surface expansion (Fig. 5).

544 Strong local disorder (including the grain boundaries of the microcrystals within the clear  
545 quartz crystals) and/or local amorphization may explain the observed emission of the SiO<sub>2</sub> STE  
546 related luminescence. In general, the STE luminescence in quartz is assumed to be related to

547 higher energy emissions and only the STE luminescence in amorphous SiO<sub>2</sub> shows yellow  
548 luminescence (Stevens-Kalceff 2009). However, high defect concentration within quartz may  
549 contribute to the local disorder within the quartz structure, resulting in slight distortions of bond  
550 lengths or bond angles in the vicinity of defects (Stevens-Kalceff 2009). As a result there may be  
551 amorphous-SiO<sub>2</sub> STE contributions to the CL emission from microscopic regions with strongly  
552 disordered local environment. Similar conclusions were reported by Fitting et al. (2002), who  
553 observed yellow luminescence in the initial CL spectra of highly oxygen deficient silica (SiO<sub>x</sub>  
554 with 1.5<x<1.8). These authors related the yellow luminescence to oxygen deficient centers in  
555 the form of silicon fragments or small Si-rings in the SiO<sub>2</sub> network.

556       Extremely high defect density has been demonstrated by EPR measurements. The studied  
557 quartz samples differ from “normal” hydrothermal quartz both in the presence of complex hole  
558 centers and much more abundant oxygen-vacancy electron centers (up to several orders of  
559 magnitude, as in the agate from St. Egidien; Fig. 7). Structural disorder is also favoured by the  
560 incorporation of Fe and OH-groups, which may result in quasi-amorphous regions within the  
561 quartz structure (Graetsch et al. 1987, Rykart 1989). This highly disordered structure of yellow  
562 luminescent quartz is not only visible in CL microscopy (Figs. 1 and 10) but also by other  
563 microscopic techniques such as Nomarski differential interference contrast microscopy (Fig. 13).  
564 Optically homogeneous quartz often shows skeletal growth, sector zoning and irregular internal  
565 textures. Colloform textures and banded chalcedony are frequent in cryptocrystalline quartz  
566 specimens. All these features point to a rapid crystallization under non-equilibrium conditions,  
567 probably from a non-crystalline precursor.

568       In natural occurrences, it can be demonstrated that specific geological environments favor  
569 the formation of defects responsible for the yellow CL in quartz. The evaluation of geochemical  
570 data illustrates that quartz showing yellow CL occurs in low-temperature hydrothermal  
571 environment (mostly <250 °C) and low-temperature alteration processes often accompanied by

572 CO<sub>2</sub>-rich fluids and low oxygen fugacity (compare **Table 1**). This type of quartz is often observed  
573 in epithermal gold mineralization as well as sulfide ore deposits. In addition, agates forming  
574 during the alteration of acidic volcanic rocks may show macro-crystalline quartz and chalcedony  
575 with the characteristic 570 nm (2.17 eV) emission.

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577

## IMPLICATIONS

578

579 The origin of yellow CL in quartz has been investigated in different types of world-wide  
580 quartz occurrences. Our study shows that the dominant 570 nm (2.17 eV) CL-emission in quartz  
581 is related to defect centers associated with high oxygen deficiency and local structural disorder. In  
582 addition, yellow CL is restricted to natural quartz/chalcedony exclusively originating from low-  
583 temperature hydrothermal environment and agates from altered acidic volcanic rocks. This close  
584 relationship between the yellow emission and high concentrations of defect centres as well as the  
585 specific geological environments lead to the conclusion that this luminescence can be related to  
586 processes of fast crystallization (probably from a non-crystalline precursor) in an oxygen  
587 deficient environment. The observations concerning appearance of quartz with yellow CL as well  
588 as temperature estimations from other analytical methods (e.g. fluid inclusion studies) indicate  
589 temperatures of formation mostly < 250 °C. Therefore, the appearance of yellow CL is an  
590 important genetic indicator for these specific physico-chemical environments.

591 The combined EPR, CL and trace-element study shows that trace elements are unlikely to  
592 be direct activators for the 570 nm (2.17 eV) CL emission in quartz, although their concentrations  
593 in yellow luminescent quartz could be exceptionally high (e.g. Ge contents up to 95 ppm).  
594 However, it cannot be ruled out that elevated incorporation of impurity ions causes local lattice  
595 distortion. This finding is especially interesting with regard to correlations of trace-element



596 contents and CL intensities in panchromatic SEM-CL investigations. Our results evidence that  
597 high-intensity CL can even appear in quartz with very low trace element contents.

598

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**Tables**

**TABLE 1.** Compilation of the quartz samples investigated in the present study showing the location, type of mineralization and estimated temperatures of formation ( $T_f$ )

Location	Type	$T_f$ [°C]	Reference
<b>Quartz associated with hydrothermal ore deposits</b>			
Freiberg, Germany	<i>HQF</i> Hydrothermal vein sulfide ore deposit	155-249°C primary qtz	Drechsel et al. (2003)
Noranda, Ben Nevis, Matagami (Canada)	<i>HQN</i> Hydrothermal VMS sulfide ore deposit	100-250°C sec. qtz	Ioannou et al. (2004)
Neves Corvo, Portugal	<i>HQNC</i> Hydrothermal VMS sulfide ore deposit	142-238°C	Moura et al. (2003)
Muruntau, Uzbekistan	<i>HQMu</i> Hydrothermal gold deposit	100-250°C sec. qtz	Graupner et al. (2000)
<b>Collo-/crustiform quartz</b>			
Mátra Mountains, Hungary	<i>CQMa</i> Hydrothermal iron biomineralization	20-150°C	Müller et al. (2009)
<b>Silicified wood</b>			
Chemnitz, Germany	<i>SWCh</i> Silicified wood in altered ignimbrite	< 200°C	Götze & Rößler (2000)
<b>Agate</b>			
St. Egidien, Germany	<i>AStE</i> Agate in altered ignimbrite	176-375°C	Möckel & Götze (2007)
Hohenstein-Ernstthal, Germany	<i>AHE</i>		
Chemnitz, Germany	<i>AChA</i>		
Gehlberg, Germany	<i>AGeh</i> Agate in altered rhyolite	ca. 100°C	Holzhey (1993)
<b>Hydrothermal vein quartz</b>			
Chemnitz, Germany	<i>QCh</i> Hydrothermal vein quartz <i>QChem</i>	-	present study

895 **TABLE 2.** Results of locally resolved trace-element analysis by LA-ICP-MS (in ppm) in the  
 896 agate from St. Egidien (AStE) and the hydrothermal vein quartz from Chemnitz,  
 897 (QChem) both showing yellow CL, as well as the agate from Gehlberg (AGeh)  
 898 without yellow CL emission; analytical points for QChem and AStE are indicated  
 899 in **Figure 1i** and **Figure 8**, respectively.  
 900

	Li	Na	K	Rb	Ca	B	Ga	Al	Ge	Fe	P
<b>AStE</b>											
904 A Chalcedony	0.30	-	1.2	-	53.3	< 1	0.18	-	0.5	1.9	-
905 B	0.30	-	149	0.97	-	25.8	0.98	162	21.2	66.9	2.2
906 C	0.35	-	60.2	0.31	-	45.6	0.22	< 6.0	13.2	55.4	-
907 D	0.59	-	60.7	0.33	-	35.7	0.12	-	20.4	61.9	-
908 E	0.49	-	55.1	0.43	-	41.0	0.11	-	28.9	47.8	-
909 F	0.39	-	51.9	0.33	9.4	29.2	0.17	< 6.0	36.3	47.7	-
910 G	0.49	37.9	89.2	0.58	9.7	28.7	0.38	103	31.6	154	-
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912 H Quartz	0.47	-	33.0	0.24	-	19.2	0.12	-	68.9	12.8	-
913 I	0.59	-	32.0	0.15	-	8.0	0.14	-	26.8	18.7	-
914 J	0.51	-	37.4	0.44	-	31.3	0.12	-	93.6	14.5	-
915 K	0.86	-	49.2	0.32	-	23.5	0.04	-	53.5	12.4	-
916 L	0.89	-	61.8	0.50	-	33.4	0.16	-	94.9	11.3	5.5
-----											
918 M Chalcedony	1.89	67.4	168	1.50	58.3	33.3	0.81	329	22.2	48.2	-
919 N	1.66	101	162	1.86	62.4	34.2	0.71	366	16.4	46.9	-
-----											
921 O Quartz	0.98	77.4	41.1	0.45	-	36.0	0.07	-	77.4	11.5	-
-----											
Sr < 0.1 ppm; Mn < 0.5 ppm; Zn < 0.7 ppm; Ti < 1.2 ppm; (-) = not detectable											
<b>QChem</b>											
927 A Chalcedony	97.5	712	460	3.70	254	4.0	0.61	4113	0.92	773	4.5
928 B Quartz	1.39	65.2	24.1	0.17	3.6	5.8	1.43	88.2	1.22	10.2	6.1
929 C	1.67	58.1	24.8	0.14	6.2	8.4	0.43	54.5	4.18	21.4	14.5
930 D	1.80	36.5	30.0	0.15	2.2	5.3	-	68.1	3.30	13.2	14.9
-----											
Sr < 0.1 ppm; Mn < 0.6 ppm; Zn < 1.0 ppm; Ti not detectable; (-) = not detectable											
<b>AGeh</b>											
936 A Chalcedony	33.1	110	221	3.79	110	16.5	1.00	1803	5.65	53.8	6.1
937 B Quartz	1.51	9.1	9.5	0.17	4.7	36.4	1.11	9.9	6.48	<0.7	5.4
938 C	0.86	5.5	5.2	0.25	5.2	74.3	1.28	9.8	6.03	<0.7	8.6
939 D	0.81	9.7	6.1	0.16	1.9	26.0	1.00	9.9	5.65	<0.7	2.0
-----											
Sr < 0.1 ppm; Mn < 0.6 ppm; Zn < 1.0 ppm; Ti not detectable; (-) = not detectable											

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945 **Figure captions**

946

947 **Figure 1.** Quartz samples with yellow CL investigated in the present study; **a** – Primary  
948 quartz from the hydrothermal vein deposit of Freiberg, Germany (sample HQF); **b**  
949 – Late secondary stockwork quartz from the VMS deposit Noranda, Canada  
950 (HQN); **c** – Yellow luminescent quartz with distinct sector zoning from the  
951 hydrothermal sulfide ore deposit of Neves Corvo, Portugal (HQNC); **d** – Yellow  
952 luminescent quartz from late secondary veinlets of the hydrothermal gold deposit  
953 of Muruntau, Uzbekistan (HQMu); **e** - Cryptocrystalline quartz with agate-like  
954 structure from hydrothermal iron biomineralization of the Mátras Mountains,  
955 Hungary (CQMa); **f** - Silicified wood from Chemnitz, Germany (SWCh); cell  
956 structures are preserved by bright yellow luminescent quartz; **g/h** Polarized  
957 light/CL micrograph pair of an agate sample from Chemnitz, Germany (AChA);  
958 note that the quartz crystals appear completely homogeneous in polarized light,  
959 only CL reveals complicated internal textures; **i** – Yellow luminescent  
960 hydrothermal quartz (QChem) from altered Permian volcanic rocks from  
961 Chemnitz, Germany; A-D mark the analytical spots for LA-ICP-MS analyses; **k** –  
962 Hydrothermal quartz crystal from altered Permian volcanics from Chemnitz,  
963 Germany (QCh); the quartz exhibits both transient blue CL as well as zones with  
964 yellow CL.

965

966 **FIGURE 2.** Deconvolution of the initial broad CL emission band (see inset) of yellow  
967 luminescent quartz from the Mátras Mountains, Hungary (sample CQMa -  
968 compare Fig 1e); the fitting procedure shows at least three emission bands: the  
969 main band at 2.17 eV (570 nm), a second band at 1.91 eV (650 nm – NBOHC) and

970 a weak and very broad band centered at 2.47 eV (probably consisting of more than  
971 one band).

972

973 **FIGURE 3.** CL spectra initially and after 600 seconds of electron irradiation of hydrothermal  
974 quartz from Chemnitz, Germany (sample QChem); the inset illustrates the  
975 exponential decrease of luminescence intensity during electron bombardment.

976

977 **FIGURE 4.** Monochromatic CL micrographs of a selected sample area in an agate from St.  
978 Egidien, Germany (sample AStE) with quartz and chalcedony; the comparison of  
979 the images illustrates that the distribution of the defects responsible for the 570 nm  
980 and 650 nm emission, respectively, is similar, whereas those causing the 290 and  
981 380 nm emissions show different spatial distribution.

982

983 **FIGURE 5.** **a** – Secondary electron image (SEM topography image) of the sample surface of  
984 quartz (agate St. Egidien – sample AStE) after extended electron irradiation; the  
985 visible surface expansion indicates amorphization of the irradiated micro-volume;  
986 **b** - CL image (570 nm monochromatic) of the same area showing that the degree  
987 of expansion is strongly anti-correlated to the CL intensity.

988

989 **FIGURE 6.** Powder EPR spectra of samples AChA (agate Chemnitz Altendorf), AStE (agate  
990 St. Egidien), QCh (hydrothermal vein quartz Chemnitz with blue/yellow CL),  
991 AGeh (agate Gehlberg), and QChem (hydrothermal vein quartz Chemnitz with  
992 yellow CL); **a** – wide scans measured at a microwave power of 2 mW, showing a  
993 rhombic Fe<sup>3+</sup> center as well as a pronounced  $E'_1$  center in all samples (except  
994 QCh); **b** – the central magnetic region measured at a microwave power of 0.2 mW,

995 showing multiple species of silicon-vacancy hole centers (B/B', C/C' and #3)  
996 centers. The ticks mark the  $E'/H$  center with a proton hyperfine splitting of ~6.5  
997 mT.

998

999 **FIGURE 7.** Powder EPR spectra of separated quartz and chalcedony parts of the agate sample  
1000 from St. Egidien, Germany (AStE), measured at 0.02 mW; quartz shows a higher  
1001 abundance of the  $E'_1$  center, whereas the silicon-vacancy hole center is more  
1002 pronounced in chalcedony. The effective  $g$  values of all resolved signals in the  
1003 quartz spectrum are labeled and discussed in the text.

1004

1005 **FIGURE 8.** Sample of agate from St. Egidien, Germany (AStE) with bands of chalcedony and  
1006 quartz used for locally resolved trace-element analysis by ICP-MS; the dots mark  
1007 the analytical points (compare results in Table 2); the inset on the left shows the  
1008 CL properties of the sample area.

1009

1010 **FIGURE 9.** SEM-CL spectra on separated parts of quartz from sample AStE (**a**) and  
1011 hydrothermal quartz QChem (**b**); spectra were taken on original sample pieces (20  
1012 °C) and pieces after 2 h annealing at 100, 200, 300, 400, 500, and 600 °C; because  
1013 of the different intensities, the spectra of the 500 and 600 °C annealed quartz in (a)  
1014 are given within the inset. In both samples AStE and QChem the development of  
1015 the blue CL band at 450 nm is clearly visible at temperatures above 300 °C.

1016

1017 **FIGURE 10.** OM-CL images and spectra, respectively of annealed (2h at 600 °C) sample  
1018 QChem.

1019 **a/b** – CL image initially (a) and after 600s of electron irradiation (b); the visible  
1020 CL turns from bright yellow to reddish-brown, although the principle internal  
1021 textures are still visible after electron bombardment; **c** – comparison of CL spectra  
1022 from a bright yellow region (1) and a dark luminescent region (2) within the  
1023 heterogeneous sample; the blue 450 nm emission band is only visible in the dark  
1024 part indicating a heterogeneous distribution of the different defect types related to  
1025 the 570 and 450 nm emission bands; **d** – CL spectra initially and after 600s of  
1026 electron irradiation (compare a/b); the inset shows the drastic decrease of the CL  
1027 intensity of the 570 nm emission band.

1028

1029 **FIGURE 11.** Comparison of powder EPR spectra, measured at a microwave power of 0.2 mW,  
1030 of – **a** – quartz and – **b** – chalcedony of sample AStE as a function of annealing  
1031 temperature up to 600°C; note that an isotropic signal at  $g = 2.003$  (marked by an  
1032 arrow) is visible in chalcedony but absent in quartz after annealing to 600 °C .

1033

1034 **FIGURE 12.** Intensity variations of the  $E'_1$  center in quartz and chalcedony parts of sample  
1035 AStE with annealing temperature.

1036

1037 **FIGURE 13.** Nomarski DIC micrograph of the interface chalcedony/quartz in sample AStE;  
1038 skeletal growth and irregular internal textures of quartz point to rapid  
1039 crystallization under non-equilibrium conditions, probably from a non-crystalline  
1040 precursor.



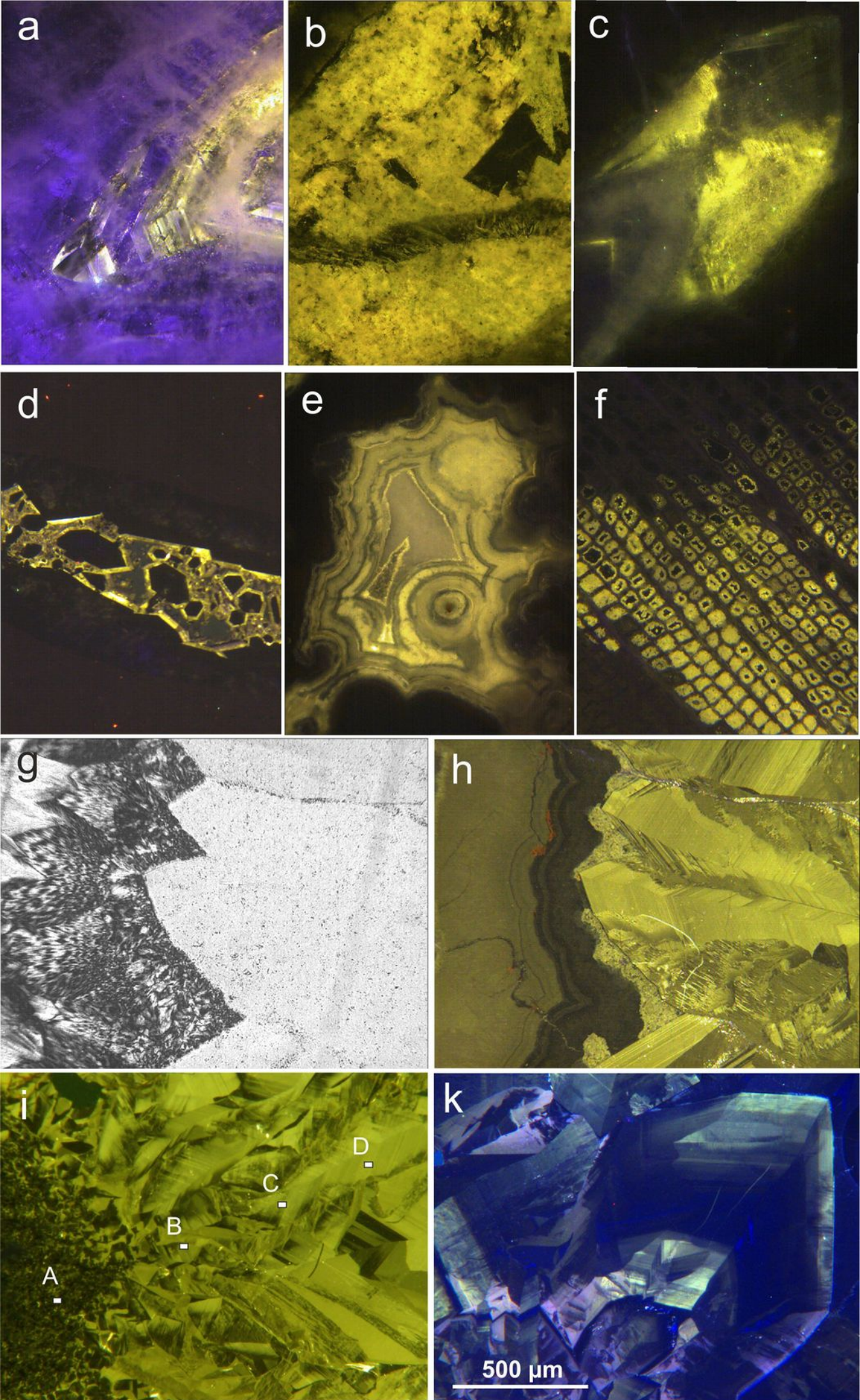
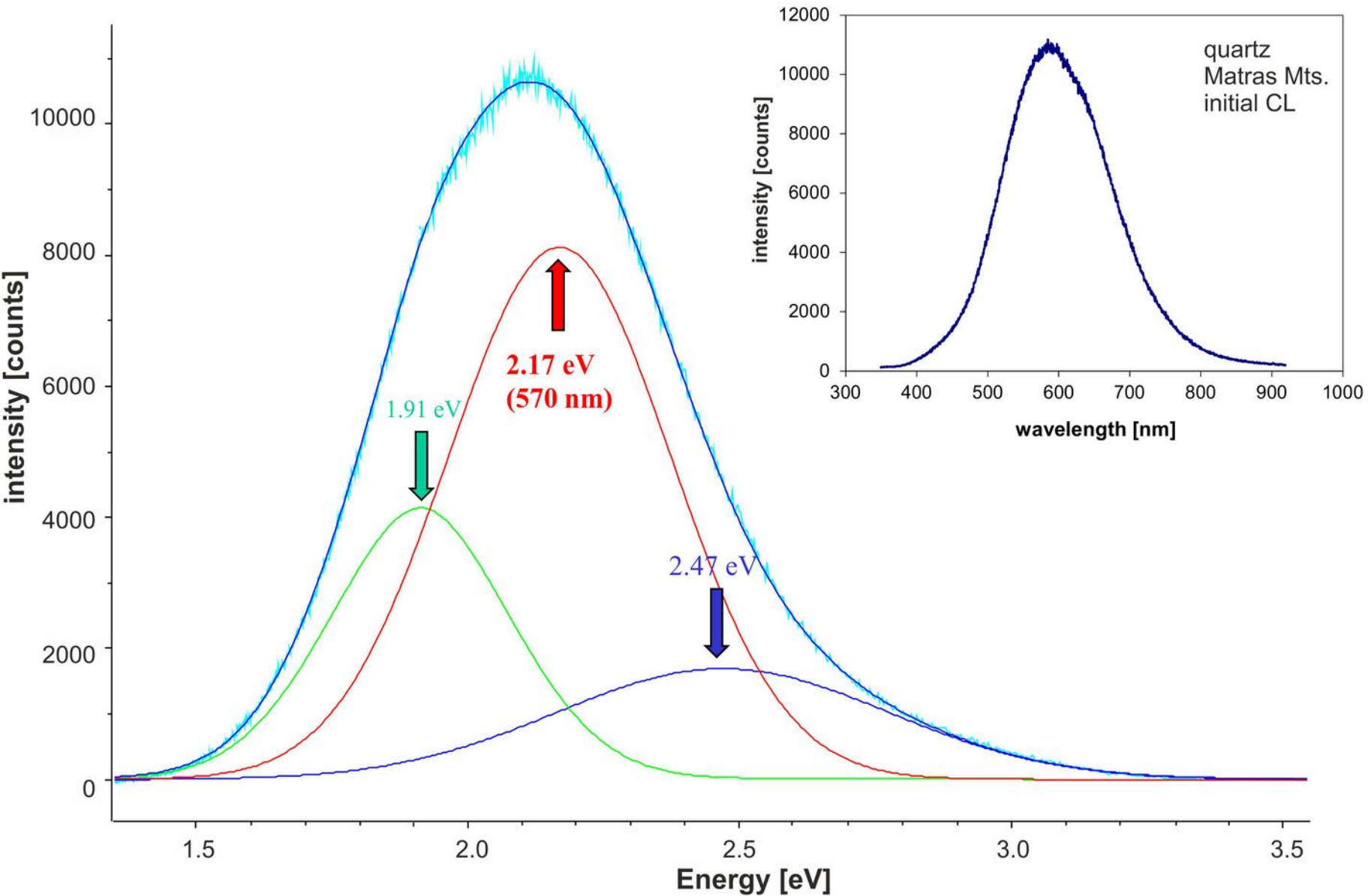


Figure 1



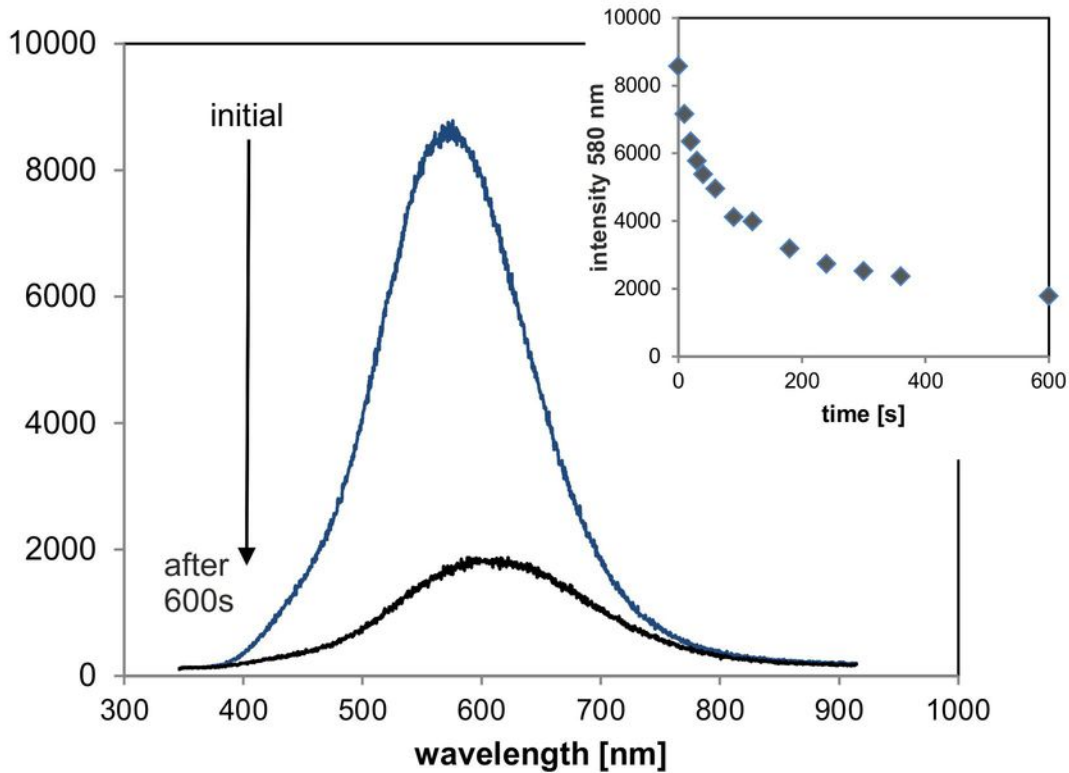
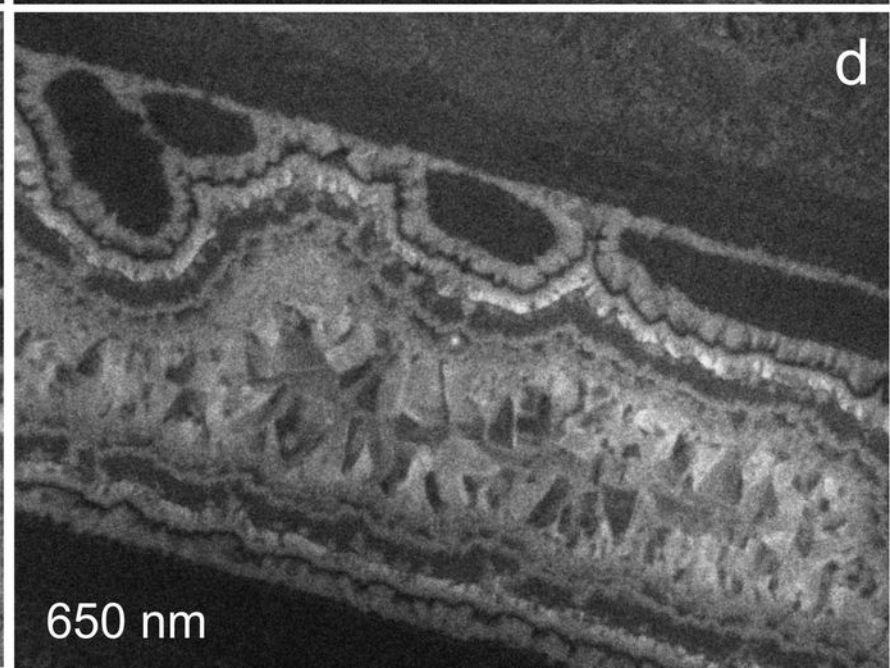
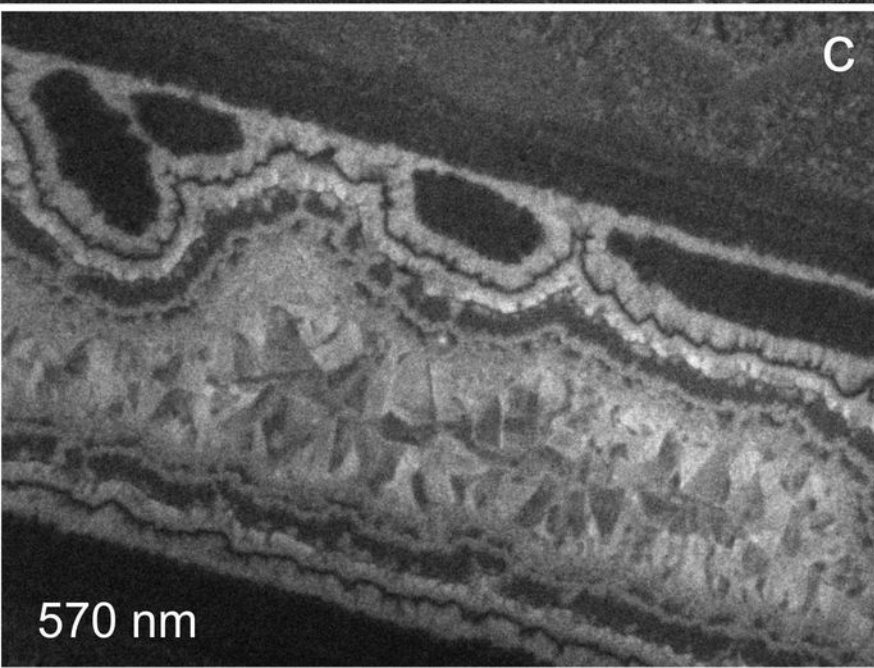
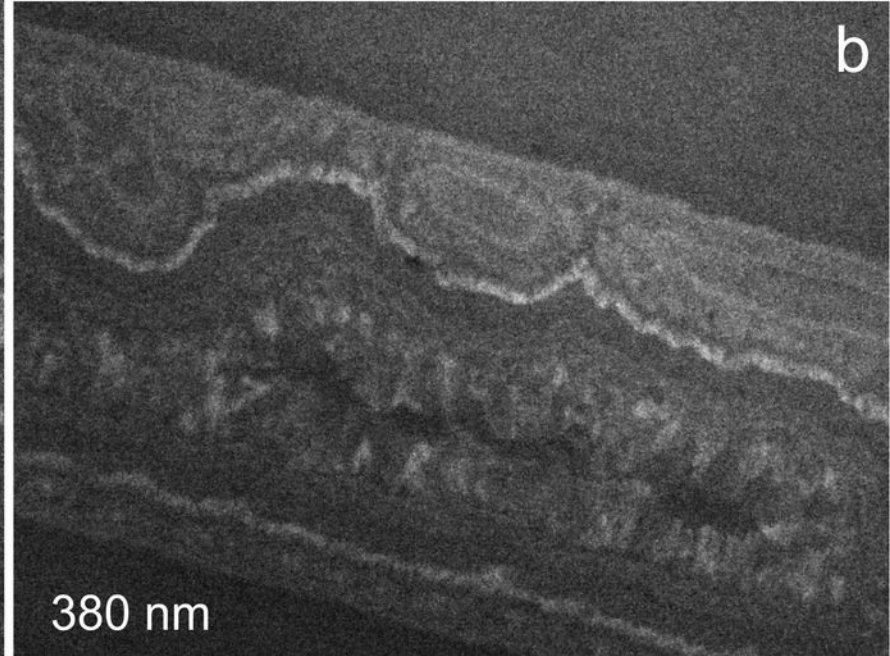
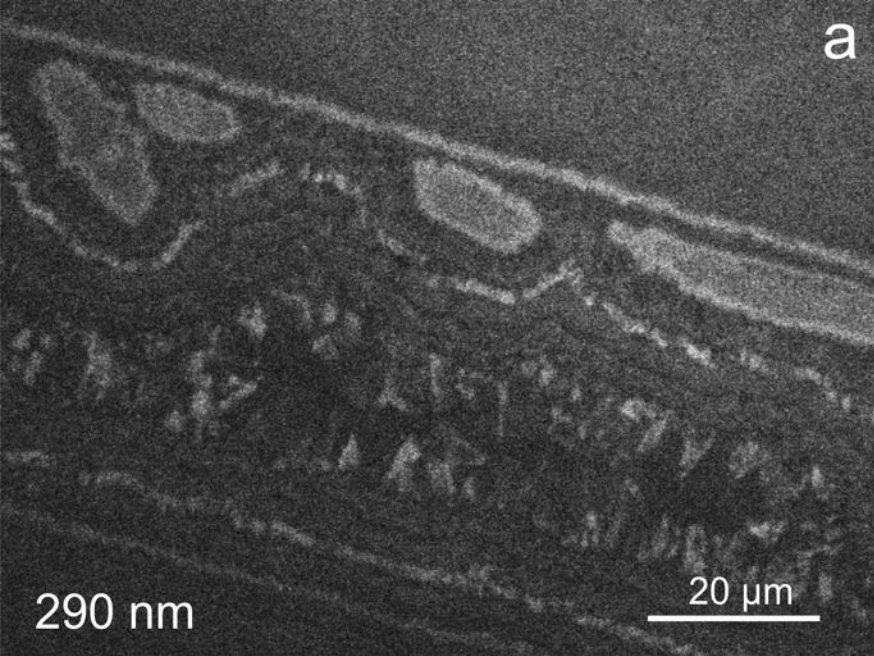
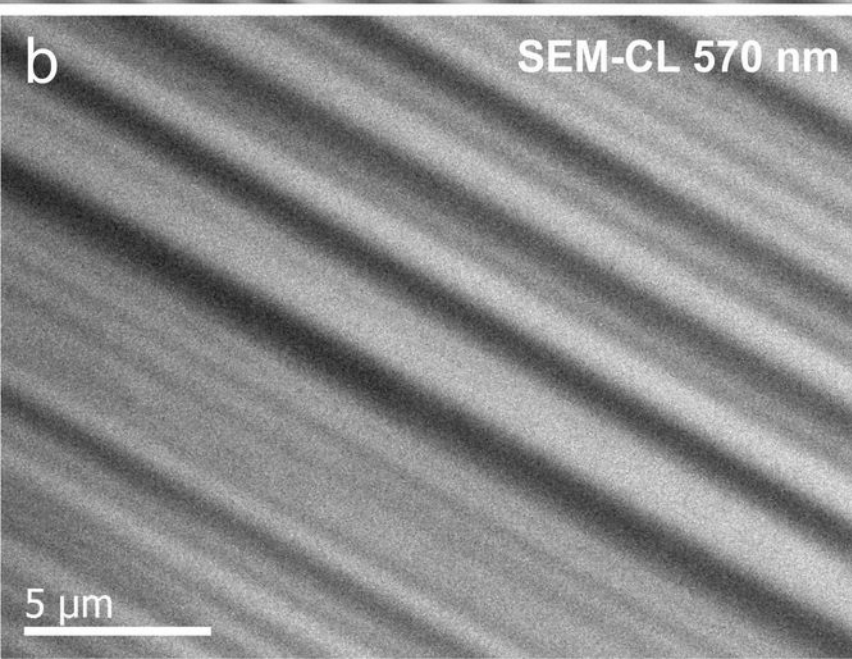
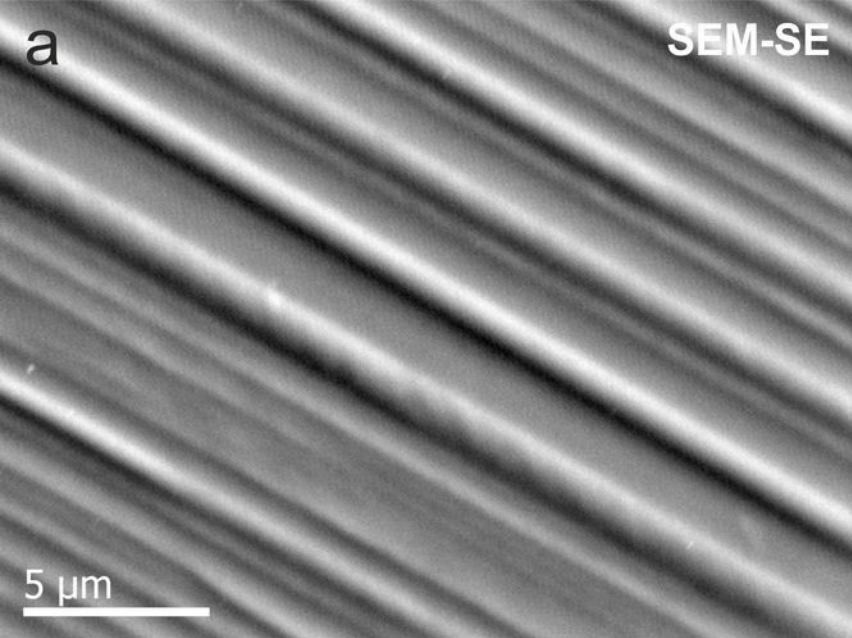


Figure 3





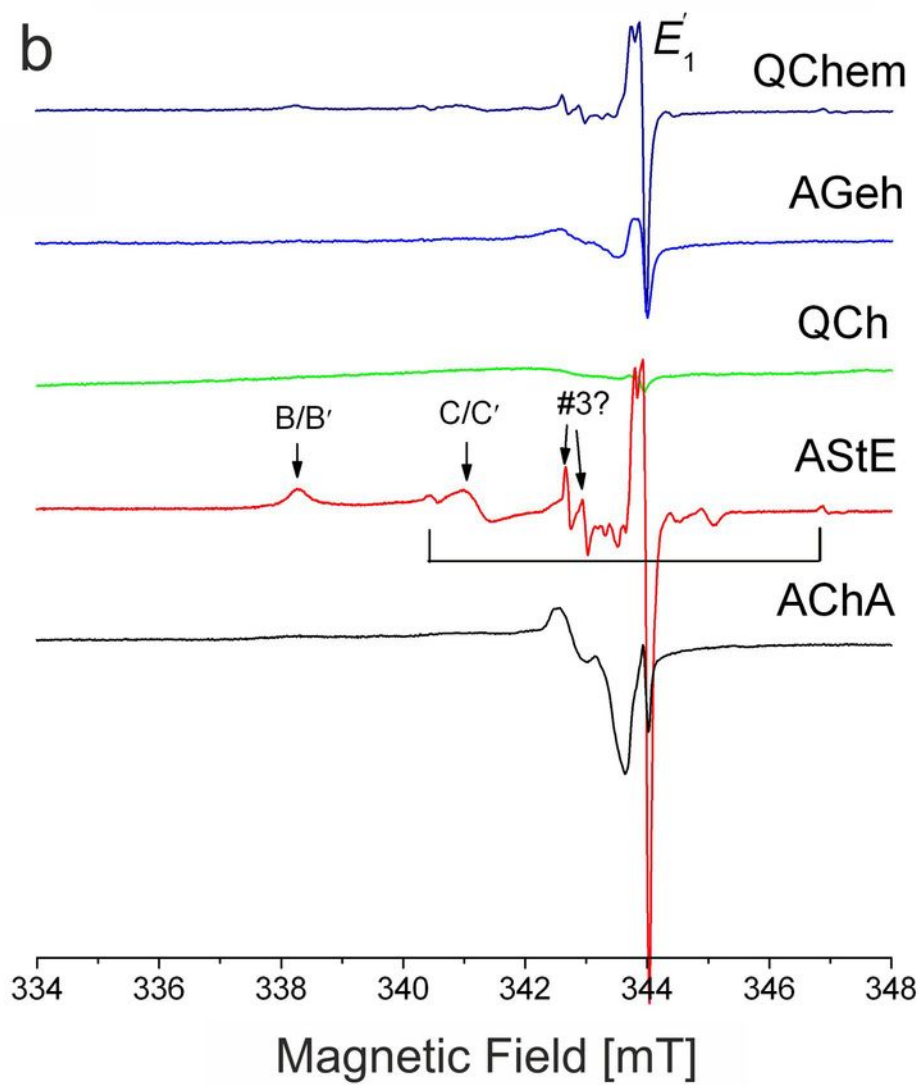
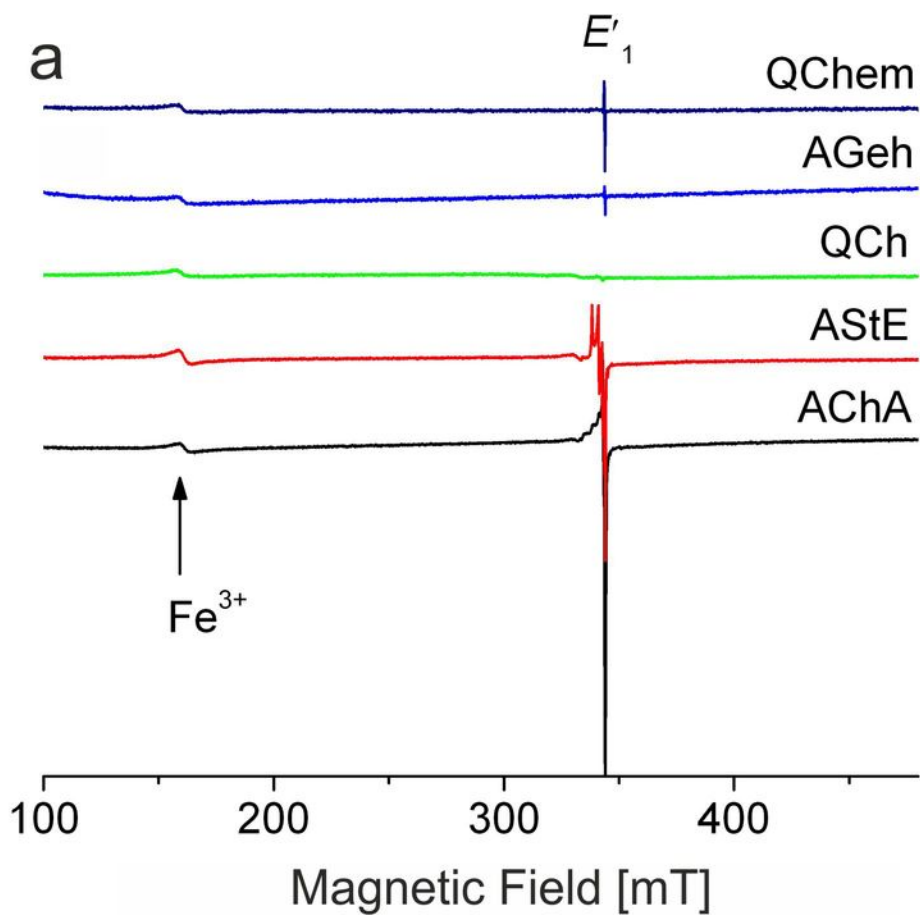


Figure 6

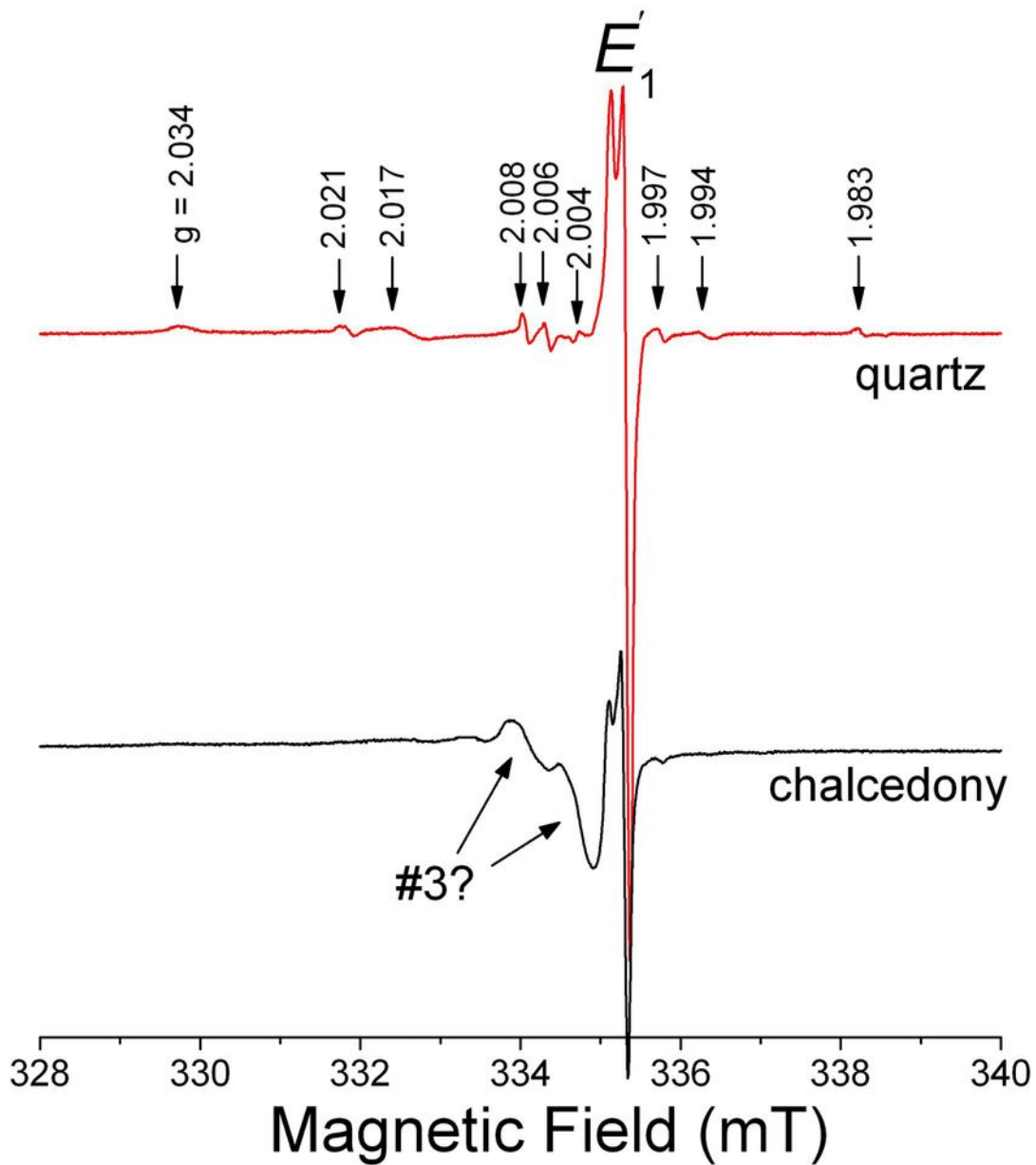


Figure 7

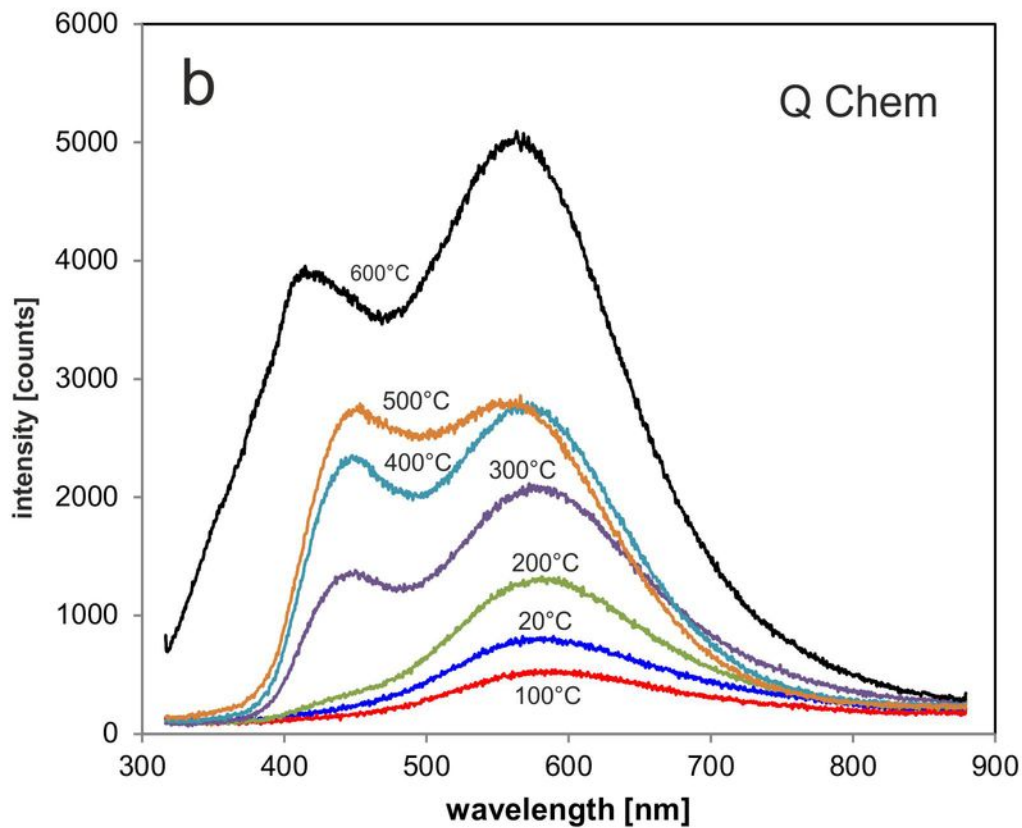
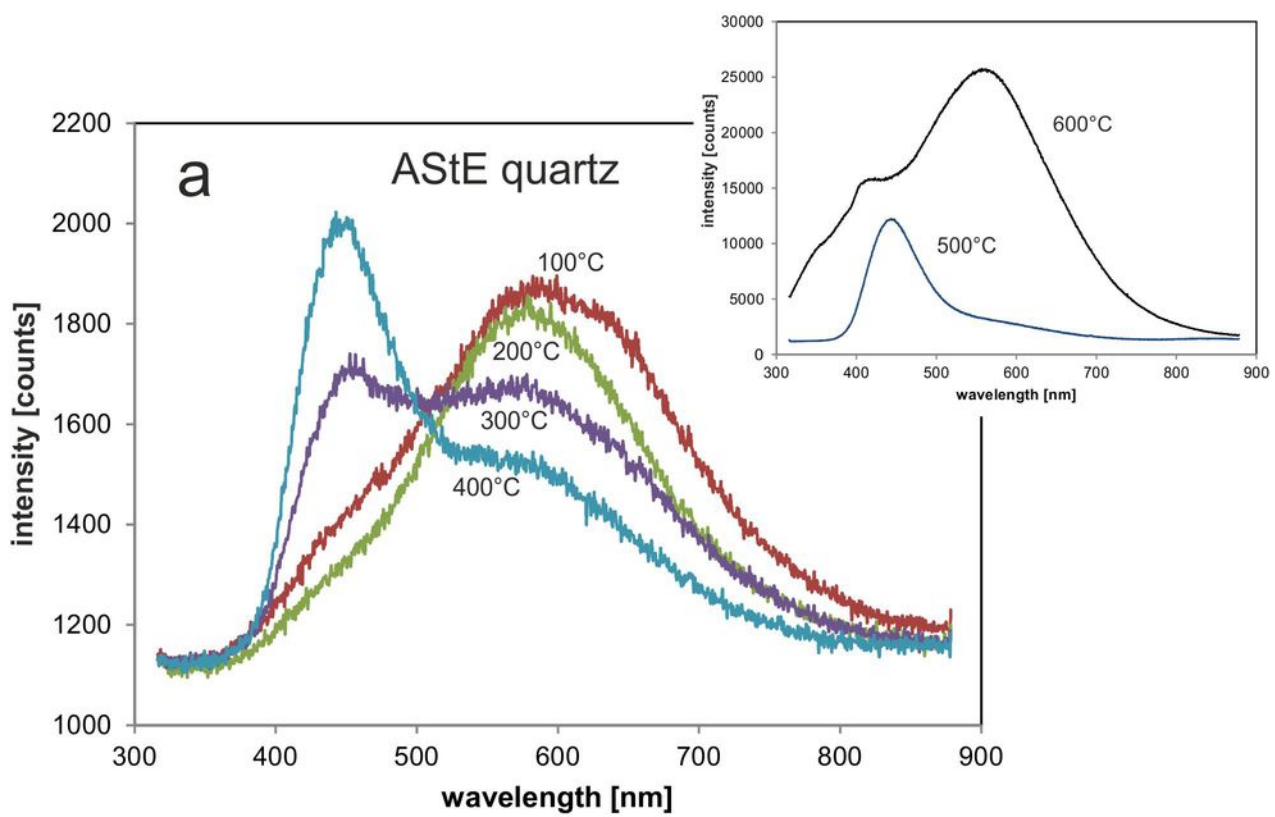


Figure 8



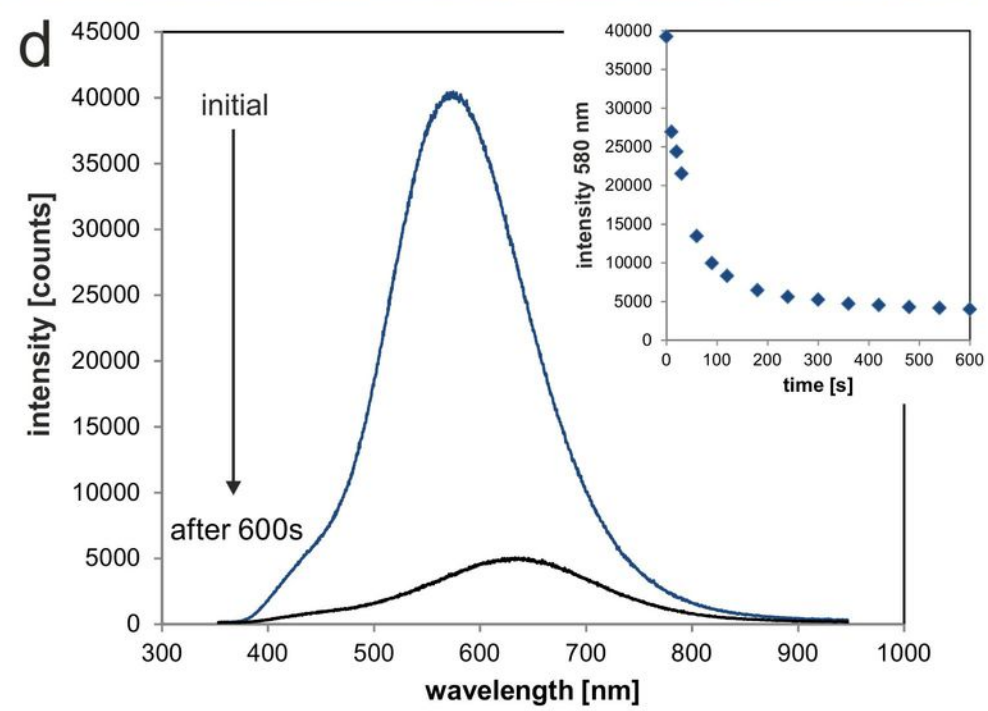
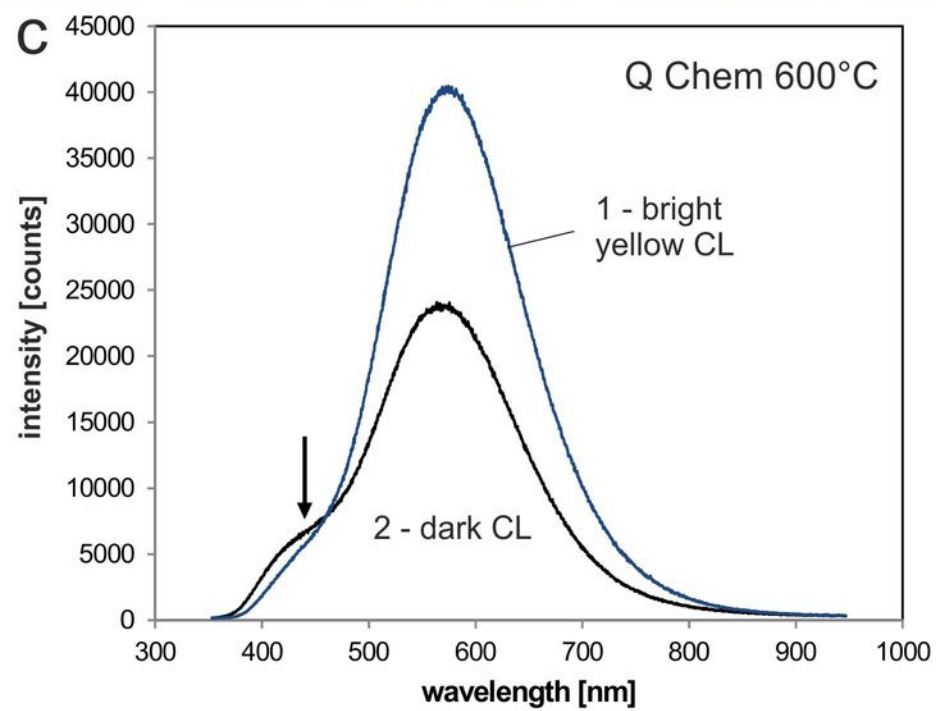
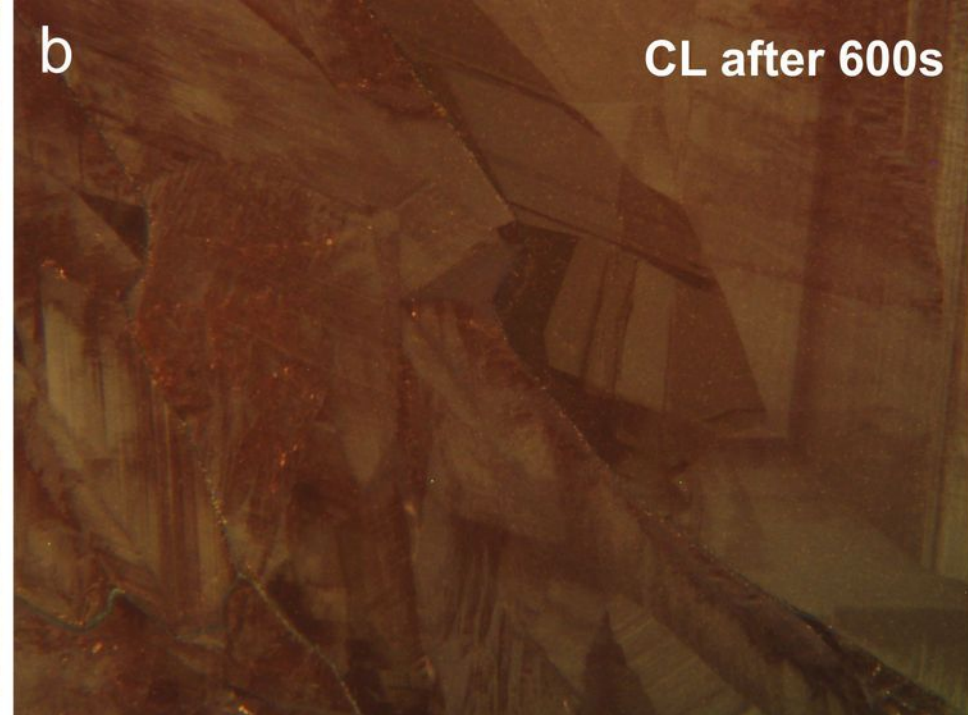
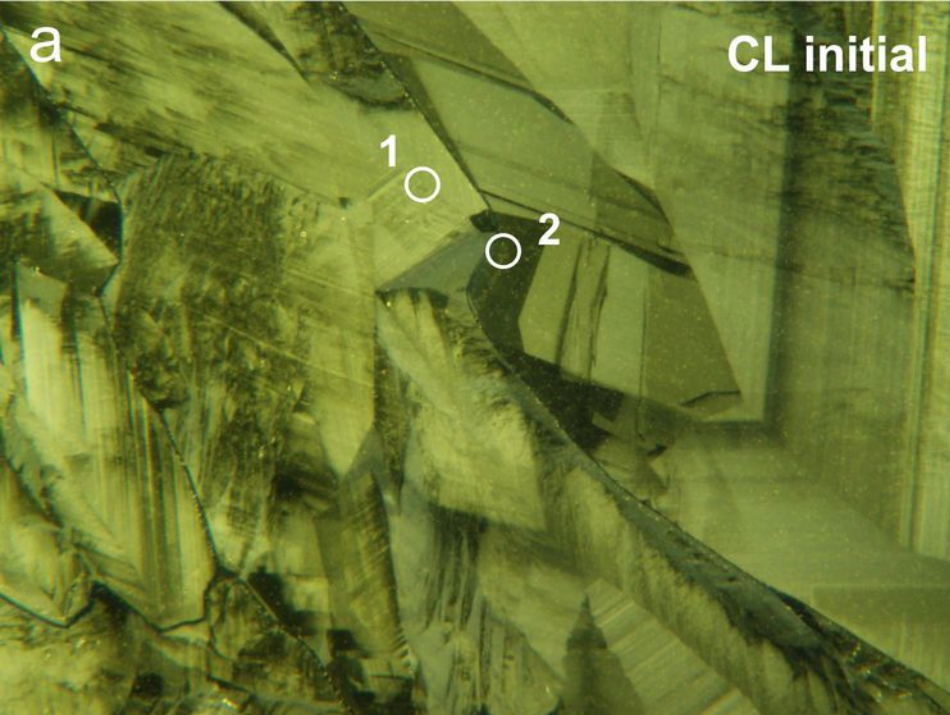


Figure 9

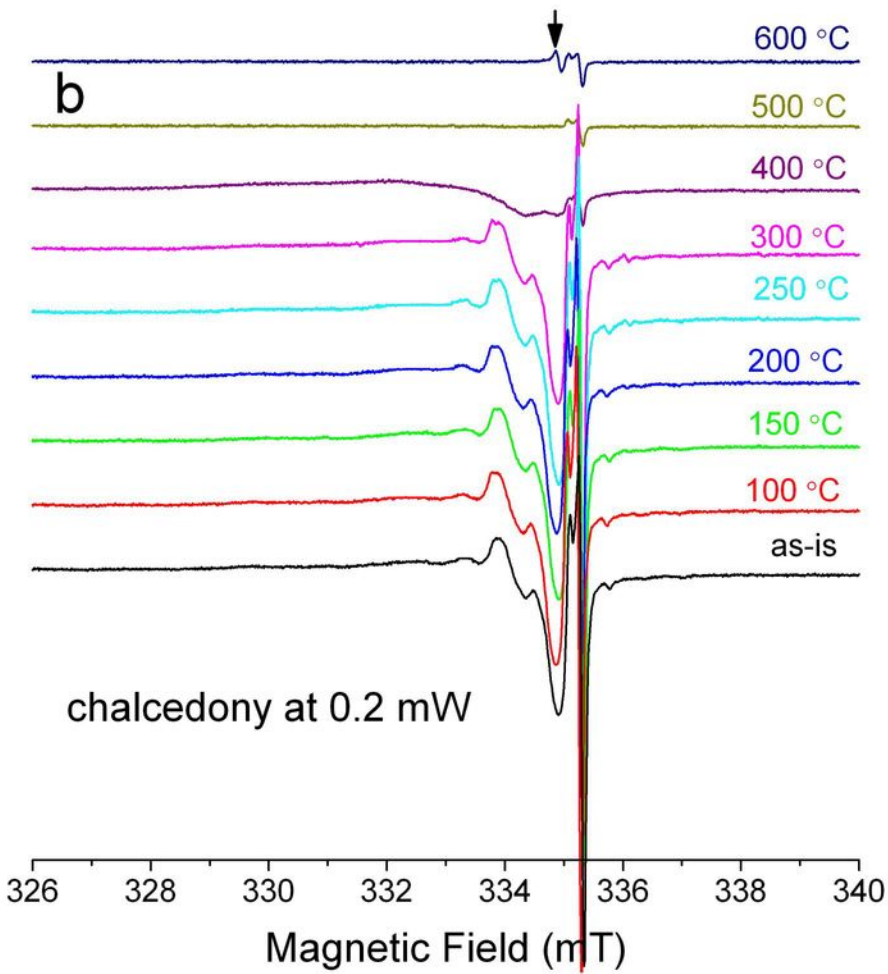
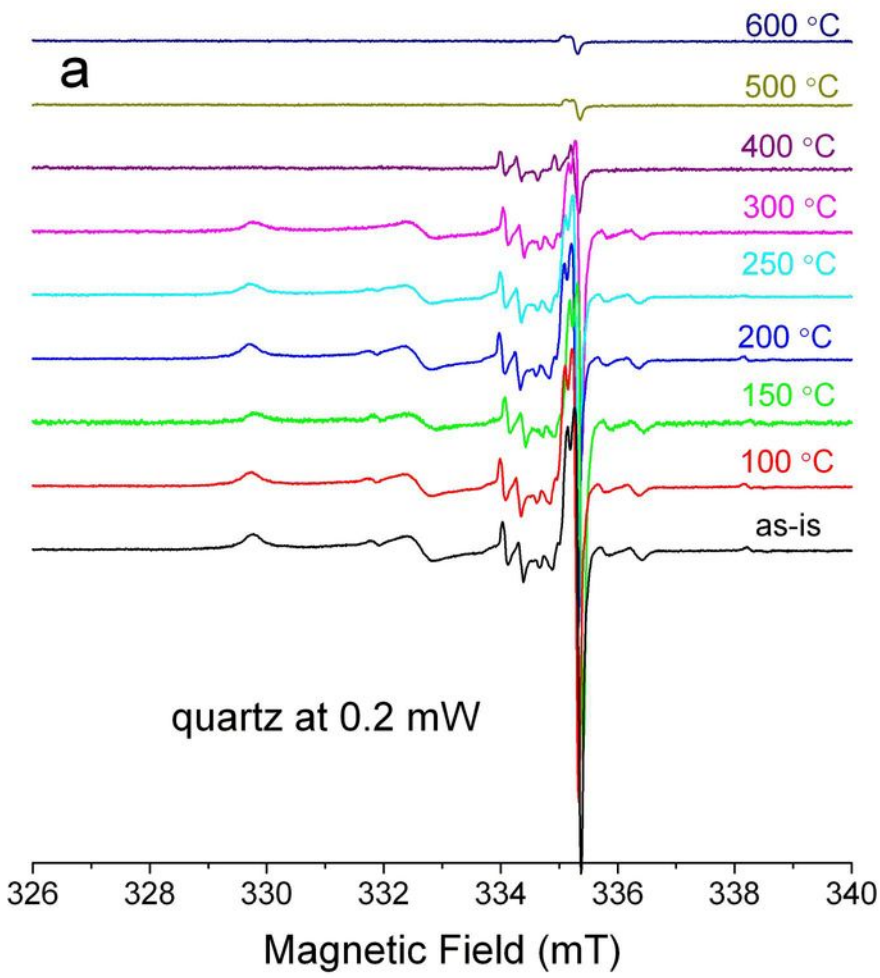


Figure 10

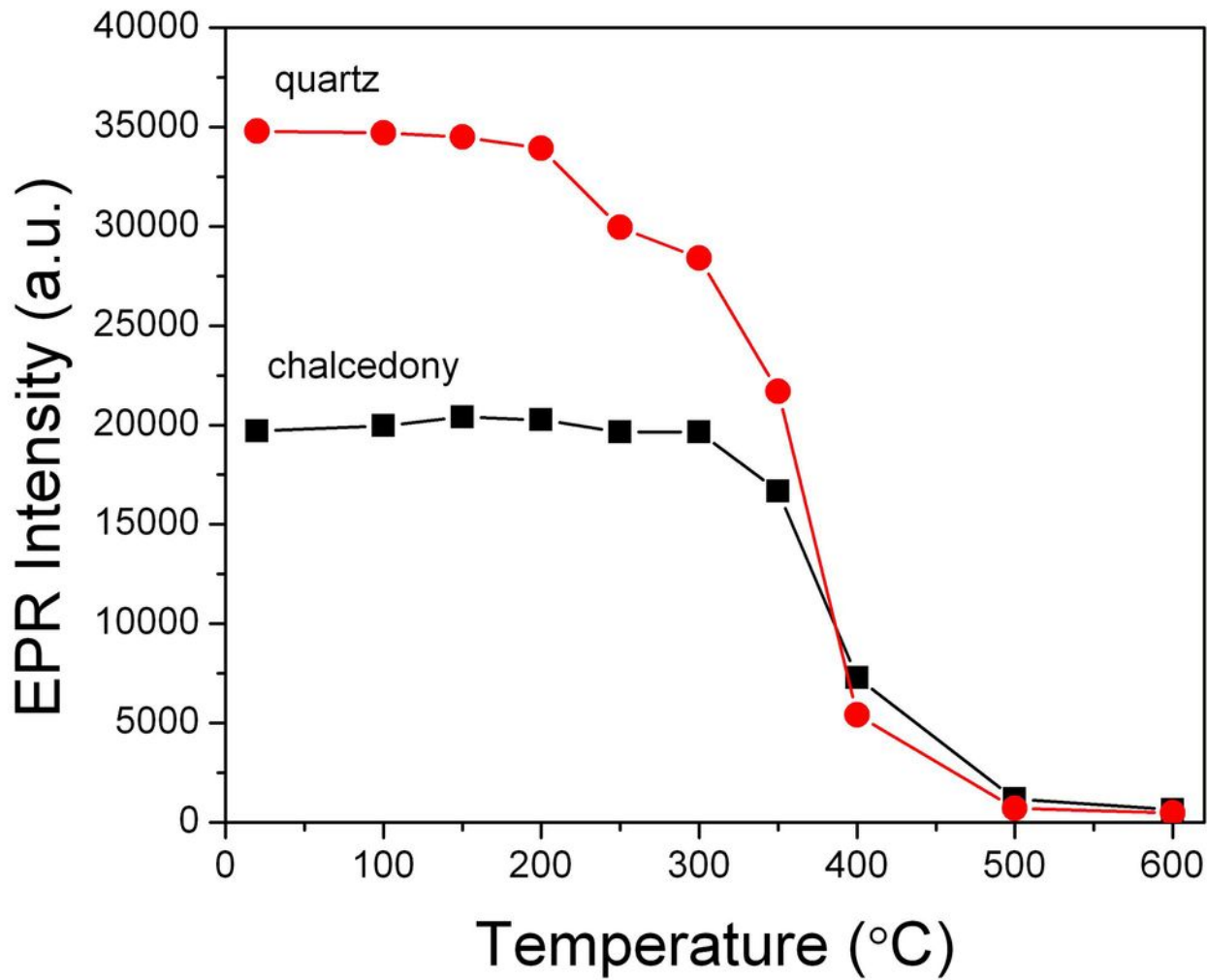
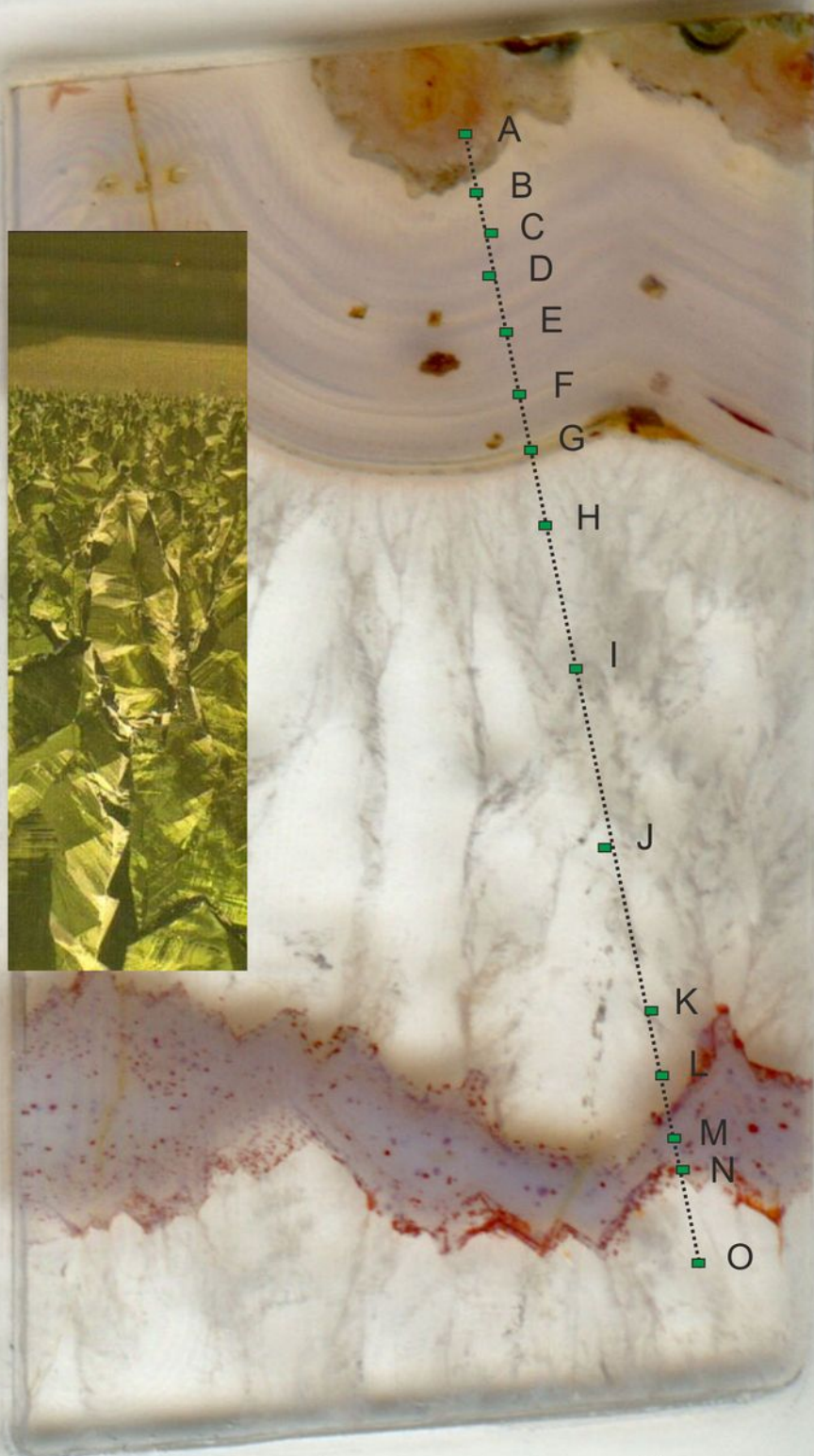


Figure 11



5 mm

Figure 12

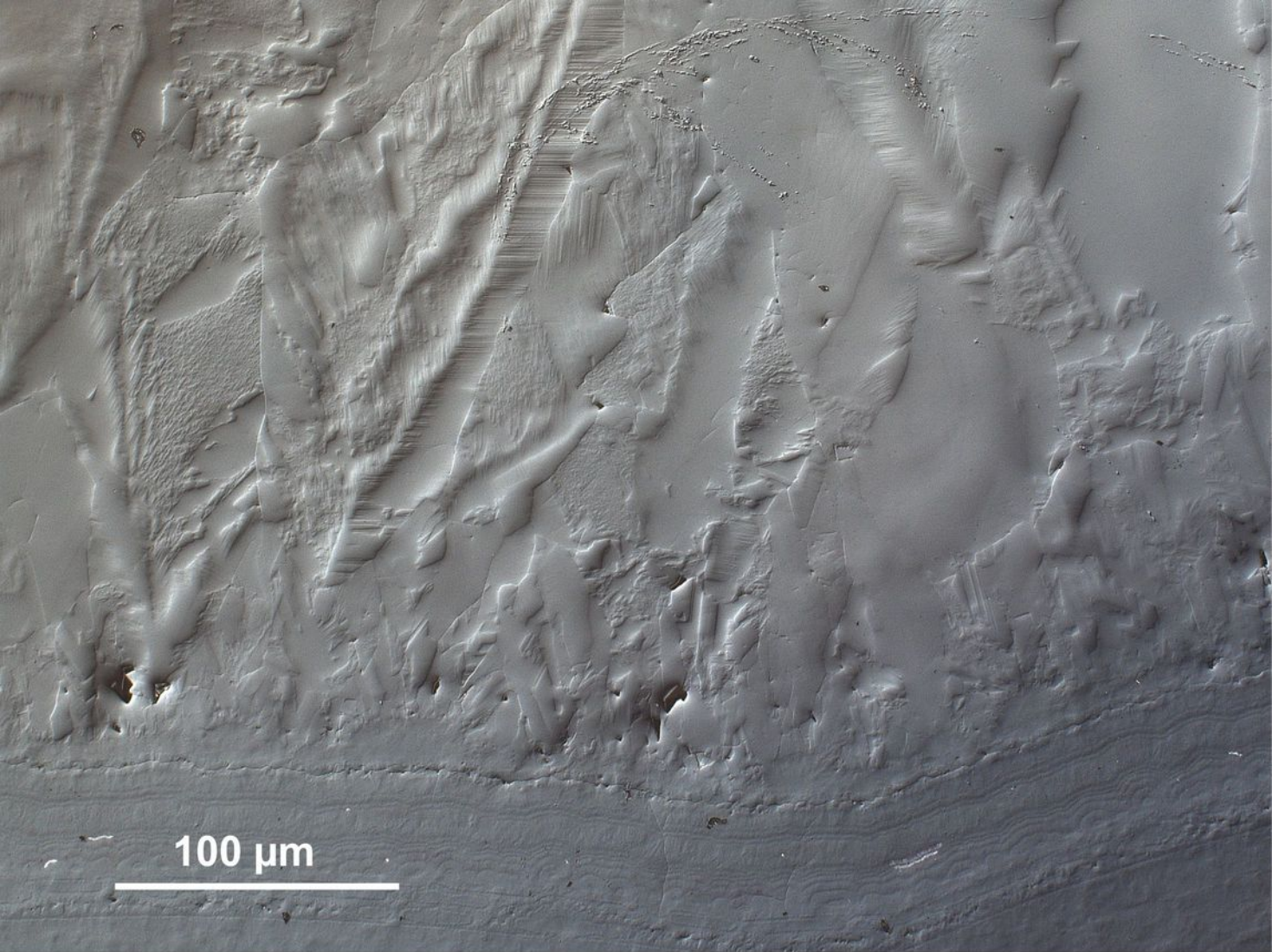


Figure 13