1	Revision 1
2	Optical Constants of Synthetic Potassium, Sodium, and Hydronium Jarosite
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ABSTRACT

10 The hydroxy sulfate jarosite $[(K,Na,H_3O)Fe_3(SO_4)_2(OH)_6]$ has both been discovered on Mars, and is associated with areas of highly acidic runoff on Earth. Because jarosite is extremely sensitive to 11 formation conditions, it is an important target mineral for remote sensing applications. Yet at visible and 12 13 near infrared (VNIR) wavelengths, where many spacecraft spectrometers collect data, the spectral abundance of a mineral in a mixture is not linearly correlated with the surface abundance of that mineral. 14 Radiative transfer modeling can be used to extract quantitative abundance estimates if the optical 15 constants (the real and imaginary indices of refraction, n and k) for all minerals in the mixture are 16 known. Unfortunately, optical constants for a wide variety of minerals, including sulfates like jarosite, 17 are not available. This is due, in part, to the inherent difficulty in obtaining such data for minerals that 18 tend to crystallize naturally as fine grained (~10 µm) powders, like many sulfates including jarosite. 19 However, the optical constants of powders can be obtained by inverting the equation of radiative transfer 20 21 and using it to model laboratory spectra. In this paper, we provide robust n and k data for synthetic potassium, hydronium, and sodium jarosite in the VNIR. We also explicitly describe the calculation 22 23 procedures (including providing access to our Matlab code) so that others may obtain optical constants

of additional minerals. Expansion of the optical constants library in the VNIR will facilitate the extraction of quantitative mineral abundances, leading to more in-depth evaluations of remote sensing target locations.

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INTRODUCTION

Jarosite has been the subject of a multitude of studies over the past decade (Bishop and Murad 28 2005; Frost et al. 2005; Navrotsky et al. 2005; Nomura et al. 2005; Barron et al. 2006; Papike et al. 29 2007; Cloutis et al. 2008; Madden et al. 2008; Bell et al. 2010; Norlund et al. 2010; Kula and Baldwin 30 2011; Madden et al. 2012; Pritchett et al. 2012; Zahrai et al. 2013) since its discovery on Mars in 2004 at 31 the Mars Exploration Rover Opportunity landing site at Meridiani Planum (Klingelhofer et al. 2004). On 32 33 Earth, this iron oxyhydroxy sulfate [(K,Na,H₃O)Fe₃(SO₄)₂(OH)₆] occurs primarily as an oxidative weathering product of pyrite-rich sediments associated with acid mine drainage (AMD) (Navrotsky et al. 34 2005). Therefore, its discovery on Mars suggests a highly acidic formation environment (Bishop et al. 35 2004). On both Earth and Mars, jarosite's sensitivity to formation conditions makes it an important 36 environmental indicator. It is, therefore, a key remote sensing target. Visible and near infrared (VNIR) 37 remote sensing has been used to identify and map jarosite on both planets (Swayze et al. 2000; Farrand 38 et al. 2009). However, quantitative abundance estimates cannot be extracted from these data because of 39 a lack of optical constants (the real and imaginary indices of refraction, n and k). The absence of these 40 optical constants from the literature is due, in part, to the inherent difficulty in obtaining such data for 41 minerals that tend to crystallize naturally as fine grained (~10 μ m) powders, like many sulfates including 42 jarosite. Yet once optical constants have been determined, and quantitative mineral abundances 43 44 obtained, it becomes possible to conduct a more in-depth evaluation of the target location.

As additional jarosite-bearing regions are discovered on Mars (Farrand et al. 2009; Roach et al.
2010; Wendt et al. 2011; Sefton-Nash et al. 2012; Sowe et al. 2012), there is a growing need for tools

and data that can enhance our interpretations of past Martian environments. In the absence of targeted 47 48 sample return, quantitatively modeled mineral abundances derived from remote sensing data can provide valuable constraints on past fluid compositions, atmospheric conditions, weathering timelines, and sub-49 surface processes. This will aid in developing a full picture of Martian history. To this end, jarosite is a 50 particularly valuable environmental indicator mineral because it is extremely sensitive to environmental 51 conditions. For example, terrestrial jarosite only precipitates under very specific Eh and pH conditions 52 as a supergene deposit (Bigham et al. 1996a; Bigham et al. 1996b; Norlund et al. 2010). It also only 53 remains stable under a narrow range of atmospheric and surface conditions (i.e. low surface moisture 54 and low relative humidity (Madden et al. 2004; Papike et al. 2006)). This sensitivity has allowed it to be 55 56 used as a 'stopwatch' for wetting processes on Mars (Madden et al. 2009). Jarosite can also crystallize from subsurface (volcanic) processes, and its ability to easily incorporate rare earth elements into its 57 58 structure makes it a valuable geochronometer (Lueth et al. 2005; Papike et al. 2006). Jarosite can also be 59 used as a geothermometer both through oxygen isotopes analysis (Rye and Stoffregen 1995; Papike et al. 2006) and hydronium content (Swayze et al. 2008). In addition, because jarosite is a well-studied 60 mineral, thermodynamic data are available to model its formation, stability, and partitioning behavior 61 (Drouet and Navrotsky 2003; Navrotsky et al. 2005). For example, it is possible to determine the K/Na 62 ratio of the fluid from which jarosite formed (Deyell and Dipple 2005; Papike et al. 2006). 63

On Earth, jarosite is quickly becoming a major environmental contaminant (Pappu et al. 2006). As one of the main byproducts of hydrometallurgical extraction of zinc (600,000 barrels of residue annually in the European Union), and as a precipitate linked to highly acidic AMD runoff (Swayze et al. 2000), it has the capacity to both store and release large quantities of heavy metals back into the environment (Papike et al. 2006; Swayze et al. 2008). In AMD regions, the most acidic runoffs are associated with iron (III) sulfates (Jerz and Rimstidt 2003). Among these, jarosite is often spectrally significant on remote sensing spatial scales. It indicates areas where neutral rain and snow melt can be transformed into pH < 3 runoff (Swayze et al. 2000; Jerz and Rimstidt 2003). Swayze et al. (2000) showed that identifying jarosite in remote spectral analysis of AMD regions saved both time (2 years) and money (\$2 million) in cleanup efforts at the California Gulch superfund site in Leadville, CO compared to traditional remediation methods.

For single pass or targeted cleanup efforts, qualitative data may be sufficient. However, for 75 monitoring, quantitatively determined abundances would greatly improve efficiency. As aeolian 76 processes expose more pyrite to oxygen and water, jarosite concentrations increase, indicating an active 77 area of contamination. If, however, an AMD region is no longer producing highly acidic waters, 78 79 conditions will favor the formation of goethite and hematite, causing jarosite concentrations to diminish with time. Thus, by combining quantitative abundance analysis with the broad spatial coverage of 80 remote sensing, detailed geochemical conditions on the ground can be assessed in a manner that 81 82 broadens scope and significantly reduces time and cost.

Jarosite is spectrally distinct in the VNIR ($\sim 0.35-2.5 \,\mu m$) wavelength range from other hydrates, 83 hydroxylates, and iron-bearing minerals (Figure 1; Swayze et al. 2000). On a remote sensing platform, 84 VNIR spectroscopy remains one of the most useful methods for identifying hydrated and hydroxylated 85 minerals, like jarosite, over large spatial scales. This technique is, therefore, an indispensable tool for 86 wide-scale monitoring and discovery missions. While VNIR spectroscopic identification of many 87 minerals is straightforward (Clark et al. 2003), extracting quantitative abundances of single minerals 88 from spectra of mineral mixtures can be quite difficult. In this wavelength region, multiple scattering is 89 90 often the dominant process, in contrast to the mid-infrared (MIR), where absorption dominates (Clark 91 1999). The dominant scattering condition in the VNIR, which impacts the shape and depth of spectral 92 features, depends on grain size, absorption coefficient, and internal and surface imperfections. In

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addition, light can be scattered multiple times in a regolith surface before being absorbed or reflected to
a detector. This means that the contribution to a spectrum from a mineral in a mixture is not necessarily
linearly correlated with its abundance in the sample (Hapke and Wells 1981). Therefore, a model is
needed to relate the spectral abundance of a mineral with its abundance in a mineral mixture.

Fortunately, radiative transfer theory can be used to tackle the problem of nonlinear spectral 97 mixing and to extract quantitative mineral abundance in the VNIR (Clark and Roush 1984; Mustard and 98 Pieters 1987; Lucey 2004; Poulet and Erard 2004; Wilcox et al. 2006; Cahill and Lucey 2007; Lawrence 99 and Lucey 2007; Denevi et al. 2008; Cahill et al. 2009; Poulet et al. 2009; Li and Li 2011). Quantitative 100 101 abundances are obtainable because radiative transfer theory explicitly models the interaction of light 102 with particles, like atmospheric dust and aerosols, or soils and regoliths. Unmixing models based on radiative transfer theory use the fact that although reflectance is not linearly correlated with 103 concentration in a mixed spectrum, the bulk single scattering albedo (SSA), or the probability that a 104 105 photon will survive the interaction with a particle, is a linear combination of the SSA's of the minerals in the mixture. The SSA can be obtained from VNIR reflectance data, provided that the real and 106 imaginary indices of refraction (optical constants n and k), of the minerals in the mixture are known and 107 an effective particle size of the target can be estimated. However, this method has seen limited use due 108 to a distinct lack of optical constants for a wide variety of materials (Lucey 1998; Dalton et al. 2004; 109 110 Cruikshank et al. 2005).

Optical constants can be determined by a variety of methods provided that large (mm) sized crystals of the pure material can be grown. For naturally fine-grained minerals (like many sulfates) that cannot be cast into thin films, optical constants can only be determined through inverting the theory of radiative transfer and applying it to spectra of pure minerals obtained in the lab. Although several treatments of radiative transfer theory, developed in the 1980s by Hapke (Hapke 1981; Hapke 1993),

and later in the 1990s/2000's by Shkuratov et al. (Shkuratov et al. 1999), made this procedure more 116 computationally straightforward, it remained, until recently, a lengthy and user intensive process. Recent 117 118 increases in computing power have made the calculation of optical constants more robust and less time consuming. However, a general lack of detailed methodology in the literature for these more robust 119 methods has hindered potential progress. Here we contribute to the library of optical constants in two 120 ways: first, by providing robust n and k data for synthetic potassium, hydronium, and sodium jarosite; 121 122 and second, by explicitly describing the calculation procedures, providing our Matlab computer code, 123 and detailing how others may obtain optical constants of additional minerals.

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METHODS

125 Sample Synthesis

We synthesized hydronium jarosite following a modified method from Majzlan et al. (2004). Hydrothermal reactions were carried out in 23 ml Teflon lined Parr pressure vessels. Each liner was filled with a mix of 12 mL of 18 m Ω millipore DI water and 3 g of Fe₂(SO₄)₃ • 5 H₂O and magnetically stirred for 30 minutes. The vessels were sealed and placed in a Fisher Isotemp forced-air circulation oven at 142 °C for 48 hours. After the reaction, the hydronium jarosite was washed with DI water and dried in an oven at 40 °C for 12 hours. The products of 16 reactions were combined for this study.

Potassium and sodium jarosite were synthesized following the redox-based hydrothermal method of Grohol et al. (2003). The 23 ml Teflon lined Parr pressure vessels were first loaded with 9.2 mL of 18 m Ω millipore DI water, 0.405 mL of H₂SO₄, and 0.9 g of K₂SO₄ for potassium jarosite or 0.626 g of Na₂SO₄ in the case of sodium jarosite. The solutions were magnetically stirred for 30 minutes then placed in a glove bag with an O₂ atmosphere. A 0.103 g piece of 2 mm diameter iron wire (99.9% Aldrich), was added to the solution. The pressure vessels were sealed with an oxygen atmosphere. Prior to being added to the Teflon vessels, the iron wire was cleaned of any surface oxide residue by heating it

to 800 °C under an H_2 atmosphere for 1 hour. The pressure vessels were then placed in a Fisher Isotemp forced-air circulation oven at 201 °C for 4 days. After the reaction, the products were washed in DI water and dried in an oven at 40 °C for 12 hours. For potassium jarosite, the largest grain sizes were obtained when the Parr autoclaves were cooled at 0.1 °C/min. For the sodium jarosites, large grain sizes required seeding from previous batches, and best results were obtained when the autoclaves were pulled directly from the oven after 4 days. For potassium and sodium jarosite, the products of 32 and 16 reactions, respectively, were combined for this study.

146 Analysis

147 The synthesis products were dry-sieved into four size fractions: $<45 \ \mu m$, $45-63 \ \mu m$, $63-90 \ \mu m$, 148 and 90-125 μm. Powder XRD patterns were collected using a Rigaku Ultima IV diffractometer (Cu Kα) in Bragg-Brentano reflection geometry with a D/teX Ultra high speed one-dimensional position sensitive 149 detector. The patterns were collected from 10 to 159° at a rate of 0.5 °/min with a 0.01° step size for 150 phase identification. Reflectance spectra of the three largest size fractions were collected using an 8° 151 field of view foreoptic lens coupled via an optical fiber to an ASD Fieldspec3 Max UV-VIS-NIR 152 153 spectrometer with a 512 element Si photodiode array detector for the 350-1000 nm interval and two TE cooled InGaAs photodiode detectors in the 1000-2500 nm interval, giving spectral resolution of 10 nm 154 (at 1400 or 2100 nm). The incident light was provided by an Ocean Optics HL-2000-HP tungsten 155 halogen light source directed down a 600 µm Ocean Optics optical fiber. Each size fraction of each 156 157 sample was analyzed at 7 phase angles from 15 to 45° (spectra taken every 5°). Incidence and emergence angles were obtained by using a custom-built goniometer (estimated error of $< 2^{\circ}$). All spectra were 158 taken in the absence of ambient light and referenced to a calibrated Spectralon standard (Labsphere, 159 160 Inc.), illuminated at the same angle as the sample. Since the intensity of the incident light varied with 161 phase angle, the number of averaged scans was increased as phase angle increased to improve signal to 162 noise. At incidence of 15°, each spectrum is an average of 3000 scans. At 45°, each spectrum is an 163 average of 7500 scans. Due to the changing phase angle, the detector was optimized, and a new white reflectance baseline (500 scans dark current, 1000 scans white reflectance) was acquired before each 164 165 measurement. Samples were mounted into an XRD sample holder painted flat black. Rather than packing the sample into the sample holder by compression, which can add preferential orientation and 166 possibly introduce coherent effects, each sample was leveled by tapping the sides and underside of the 167 168 sample plate. Repeat measurements from separate sample loadings of the same sample in the same configuration were compared, and errors are found to be $\sim 0.01\%$ reflectance. 169

The smallest size fraction of each sample was pressed into a compact pellet ~ 2 mm thickness and 13 mm diameter. Mid infrared (MIR) and far infrared (FIR) (for potassium jarosite only) specular reflectance spectra were collected for each pellet. MIR measurements were made on a Thermo Fisher Nicolet 6700 FTIR spectrometer with a DTGS detector (with a KBr window) and a CsI beamsplitter. FIR measurements were made on the same spectrometer equipped with a Nicolet Solid Substrate beamsplitter and a DTGS detector with a polyethylene window. MIR and FIR reflectance spectra were referenced to a gold mirror and each spectrum is an average of 256 scans.

The porosity of each sample was estimated as 1-(bulk density/particle density). Since the grain size ranges are small and approximately equivalent in size, it was assumed that particles would pack similarly for each grain size range. Therefore, a single value for porosity was used for each sample. However, due to the very small amount of sample available for analysis, the porosity estimates are considered quite rough, thus optical constants are reported with and without a porosity correction.

182 **Theory**

183 The equation of radiative transfer (a form of the Boltzmann transfer equation; Hapke 2012), 184 which explicitly models the interaction of light with a medium, has no analytic closed form solution

(Hapke 2012). Therefore, use of the equation of radiative transfer varies based on the exact set of 185 186 approximations or formulations used to obtain results. There are two primary modern formulations that have been applied to the modeling of planetary bodies: The Hapke model (Hapke 1981; Hapke 1996; 187 Hapke 2012) and the Shkuratov model (Shkuratov et al. 1999). Although the Shkuratov model has the 188 advantage of computational simplicity, we have found it suffers from two drawbacks. First and 189 foremost, we know that the imaginary index of refraction, k, is a fundamental property of a mineral and 190 is, therefore, grain-size independent. However, the computational simplicity of the Shkuratov model 191 means that the same k cannot be obtained for two different size fractions of the same sample. Thus 192 although a useable, and often useful quantity is obtained, it is not technically correct to call it k. Second, 193 194 the Shkuratov model ignores illumination and viewing geometry, making it impossible to take factors 195 like surface roughness into account (Li and Li 2011).

For these reasons, our model is based on the Hapke treatment of radiative transfer. The equations used in this work are slightly altered from those in the literature. Our equations follow directly from the theory as it is presented by Hapke. However, we make fewer assumptions about our reflectance experiment, which cause the geometry (placement of μ and μ_0) in some of the expressions to differ from what is traditionally reported in the literature.

The Hapke treatment of radiative transfer requires three things: that the particle size be much greater than the wavelength of light; that the medium be continuous and closely packed such that the particles are touching; and that they are randomly oriented such that the multiply scattered light can be assumed isotropic (see Hapke 2012b for a full derivation and explanation). If these conditions are met, the bidirectional reflectance, or the ratio of the scattered radiance *I* to the source irradiance *J*, is

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$$r(i, e, g) = K \frac{w}{4\pi} \frac{\mu_0}{\mu_0 + \mu} \{ [1 + B(g)] p(g) + H(\mu_0/K) H(\mu/K) - 1 \},$$
(1)

where μ and μ_0 are the cosine of the emission angle, *e*, and the incidence angle, *i*, respectively, and *g* is the phase angle. In Equation 1, B(g) is the backscatter function, which can be set to zero if the phase angle is greater than 15° (Mustard and Pieters 1989). The phase angle dependence of singly scattered light is represented by p(g), the phase function, and can be modeled with a two term Legendre polynomial, such that

$$p(g) = 1 + b\cos(g) + c(1.5\cos^2(g) - 0.5).$$

Multiply scattered light is described by Ambartsumian-Chandrasekhar's *H*-function (Ambartsumian
1958; Chandrasekhar 1960), which can be approximated by

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$$H(x) = \left\{ 1 - wx \left[r_0 + \left(\frac{1 - 2r_0 x}{2} \right) \ln \left(\frac{1 + x}{x} \right) \right] \right\}^{-1}.$$
 (3)

Here $r_0 = (1-\gamma)/(1+\gamma)$ is the diffuse reflectance and $\gamma = \sqrt{1-w}$ is the albedo factor (Hapke 2002). The variable *w* is the single scattering albedo (SSA), and for a closely packed medium is

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$$w = Q_s = S_e + (1 - S_e) \frac{(1 - S_i)}{1 - S_i \Theta} \Theta.$$
 (4)

219 In Equation 4, Θ is the internal transmission factor, such that

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$$\Theta = \frac{r_i + \exp(-\sqrt{\alpha(\alpha+s)}\langle D\rangle)}{1 + r_i \exp(-\sqrt{\alpha(\alpha+s)}\langle D\rangle)},$$
 (5)

221 $\langle D \rangle$ is the effective grain size, or the path length traveled by light through a particle, *s* [note to 222 typesetting: these are special brackets and symbols only available in equation tools and I do not know 223 how you want them inserted but I will write them as $\langle D \rangle$ and *s* from here on out in case you have a 224 special way to insert them that is easiest for you] is the internal scattering factor, and $\alpha = 4\pi k/\lambda$ is the 225 absorption coefficient. In Equation 4, S_i and S_e are the Fresnel reflectance coefficients integrated over all 226 angles and can be approximated by

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$$S_i \approx 1.014 - \frac{4}{n(n+1)^2}$$
 and (7)

(2)

228
$$S_e \approx \frac{(n-1)^2 + k^2}{(n+1)^2 + k^2} + 0.05.$$
(8)

229 The constant, *K*, is the porosity factor (Hapke 2008). For equant particles,

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$$K = -\ln\frac{(1 - 1.209\phi^{(2/3)})}{1.209\phi^{(2/3)}},$$
 (9)

where $\phi = 1 - P$ is the filling factor, and *P* is the porosity. Porosity effects can significantly change the reflectance properties of a medium. If porosity effects are not accounted for explicitly, the calculated value of *k* may be too small by as much as a factor of 2 (Hapke 2012). However, due to a large degree of uncertainty in the value of ϕ , which clearly accounts for the largest source of error in the calculation of *k*, optical constants are reported both with and without a porosity correction.

Strictly speaking, what is measured in a bidirectional reflectance experiment is not the ratio of the radiance to the irradiance, I/J, as is the definition of Equation 1, but the radiance *I*. The following derivation is adapted from Piatek (2003).

Let the area of the sample illuminated by the source be *Ab*. When the source is not normal to the sample, the illuminated area will be stretched by incidence angle μ_0 , such that $I=J*Ab/\mu_0$. Similarly, if the detector, which can be assumed to be sensitive only to light from the source (even if it 'sees' a greater area of the sample) is not normal to the sample, it will 'see' an area that is stretched by emission angle μ , such that $I=J*r(i,e,g)*\mu$. Combining terms, you get

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$$I(i,e,g) = J * \frac{Ab}{\mu_0} * \mu * r(i,e,g) = JAb * \frac{\mu}{\mu_0} * r(i,e,g).$$
(10)

The term *JAb* cannot be explicitly calculated. However, in a bidirectional reflectance experiment, each measurement is referenced to a standard. The same procedure would show that the radiance of the standard is

- 248 $I(i', e', g') = JAb * \frac{\mu'}{\mu_0'} * r(i', e', g').$ (11)
- 249 The quantity actually recorded by the spectrometer is then

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$$\frac{I(i,e,g)}{I(i',e',g')} = \frac{\frac{\mu}{\mu_0} * r(i,e,g)}{\frac{\mu'}{\mu_0'} * r(i',e',g')}.$$
 (12)

Here we have to make a choice of how to deal with the standard. A primary assumption in the literature (Mustard and Pieters 1987; Lucey 2004; Dalton and Pitman 2012) is that commercially available calibrated Spectralon standards are Lambertian scatterers. Although this may be true for hemispherical reflectance experiments (the calibration file for Spectralon is in hemispherical reflectance), it is not true for bidirectional reflectance (Figure 2; Piatek, 2003). Therefore, the standard is treated as an isotropic scatterer, i.e., setting p(g)=1. Now, substituting in values for *r*, and *r'*, and still assuming that B(g)=0, we get

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$$\frac{I(i,e,g)}{I(i',e',g')} = \frac{\frac{\mu}{\mu_0} \left[K \frac{w \ \mu_0}{4\pi\mu_0 + \mu} (p(g) + H(\mu_0)H(\mu) - 1) \right]}{\frac{\mu'}{\mu_0 \prime} \left[\frac{w' \ \mu_0 \prime}{4\pi\mu_0 \prime + \mu'} (H(\mu_0 \prime)H(\mu') - 1) \right]}$$
(13)

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$$= \frac{\left[K_{4\pi\mu_{0}+\mu}^{w}(p(g)+H(\mu_{0})H(\mu)-1)\right]}{\left[\frac{w'-\mu'}{4\pi\mu_{0'}+\mu'}(H(\mu_{0'})H(\mu')-1)\right]}.$$
 (14)

Because the sample was calibrated at each phase angle, i=i' and e=e' for our experiments. However, this is not required should a single calibration be used for multiple measurements.

The single scattering albedo for Spectralon can be quickly determined using the calibration data supplied by the manufacturer and a minimization code in Matlab, since the hemispherical reflectance is simply $r_h=1-\gamma H(\mu_0^*)$ (Hapke 2002; Piatek 2003). Equation 14 is the relationship used in all of our programs. The above derivation assumes that ambient light does not contribute to light recorded by the detector. This is a valid assumption for our experiment since spectra are acquired in the absence of ambient light.

268 **Program Description**

The variables or unknown quantities in the above series of equations are the apparent grain size, 269 270 $\langle D \rangle$, the internal scattering parameter, *s*, the phase function coefficients, b and c, and, of course, the wavelength dependent real and imaginary indices of refraction, n and k. When dealing with a single 271 phase angle of a single grain-size, the radiative transfer problem is under-determined. However, solving 272 simultaneously for multiple size fractions and/or multiple phase angles results in an over-determined 273 problem, for which all variables can be calculated. The code is split into three routines, which are run 274 275 iteratively (Figure 3)—one that determines k from three mineral size fractions, one that determines nfrom k, and one that calculates appropriate phase function coefficients. The code is split in this way 276 because a too highly over-determined problem can suffer from non-uniqueness of fit. 277

278 Each VNIR spectrum was smoothed once using a moving average low pass filter and then run through a Matlab encoded program following the method of previous workers (Lucey 1998; Quinn 279 2010; Quinn et al. 2010). This code first calculates the imaginary index of refraction, k, for each grain 280 281 size individually, by assuming a constant real index of refraction, *n*, over the VNIR wavelength range, as well as fixed guesses for b, c, $\langle D \rangle$, and $*s^*$ (initial guesses for b and c were taken from Mustard and 282 Pieters (1989), $\langle D \rangle$ was assumed to be the smallest size in the distribution, and s^* was initially set to 283 zero). The code then matches the radiance coefficient at each wavelength to a value in a look-up table 284 and delivers the associated k. This routine is used to get a reasonable first guess for k. 285

The second step uses Matlab's lsqcurvefit minimization routine with a multi-start protocol (where the code randomly generates multiple start points for all variables) to find a single k for three grain-sizes simultaneously while also solving for b, c, $\langle D \rangle$, and *s* within user specified bounds. Lsqcurvefit is a least squares minimization routine appropriate for use on non-linear equations (Coleman and Li 1994). The program finds the array of values, x, that minimizes the difference between the experimental data and the modeled data within user specified constraints. The multistart protocol is used to ensure that global rather than local minima are obtained. Bounds for *b* and *c* were modified from Mustard and Pieters (1989), $\langle D \rangle$ was allowed to vary from 1/3 the smallest value of the grain size range to the highest value in the grain size range, **s** was allowed to vary between 0.0 and 0.06 μ m⁻¹. Since *k* is, by definition, grain-size independent, this procedure provides better accuracy in solving for *k* than solving for each grain-size individually and then averaging them together. For this first pass, *n*, *b*, and *c* are considered scalars.

Once a multi grain-size k has been determined, it is used to determine a wavelength dependent nusing a singly subtractive Kramers Kronig (SSKK) transformation (Lucarini et al. 2005). For a frequency v,

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$$n(v) = n_1 + \frac{(v^2 - v_1^2)}{2\pi} P \int_0^\infty \frac{v'k(v')}{(v'^2 - v^2)(v'^2 - v_1^2)} dv'.$$
(15)

Here, n_1 is the real index of refraction at a known point, v_1 , and v' is a dummy variable for integration. For n_1 , we use average literature values of n in the visible (sodium D line: 0.16970 cm⁻¹ or 0.58929 μ m). The P in front of the integral indicates that the Cauchy principle value of the integral must be taken. Where the integral is defined, the Cauchy principle value is simply the value of the integral. When the integral diverges (as is the case when either parenthetical expression in the denominator is zero), the Cauchy principle value defined as (Mauch 2004)

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$$P\int_{a}^{b} f(x)dx = \lim_{\epsilon \to 0^{+}} \left(\int_{a}^{x_{0}-\epsilon} f(x)dx + \int_{x_{0}-\epsilon}^{b} f(x)dx \right).$$
(16)

Since measured spectra are not continuous, but rather a collection of values at closely spaced intervals, the data are integrated by section using a Simpson's rule approximation. The code first converts wavelength to frequency and re-interpolates to an equi-spaced array (5.913 cm⁻¹ spacing). Each segment is assumed to have a constant k, and the bounds of integration are set so that the known k is at the midpoint of the range. The segments are subdivided 1000 times to produce a 0.0059 cm⁻¹ mesh, and the Simpson's rule approximation is applied. Around the singularities, the spacing is 0.003 cm⁻¹. Integration done in this way avoids adding errors encountered from the singularities. An SSKK transformation ideally requires a much larger data set than is typically available. Therefore, we used MIR k values estimated from Lorentz-Lorenz dispersion analysis (Glotch and Rossman 2009) to extend the range for a

318 more convergent solution. If UV behavior of the material is known, data can be extended in the short 319 wavelength direction as well through linear extrapolation or curve fitting. To avoid end point problems 320 that arise from using a finite data set, the spectra used for porosity corrected optical constants were 321 linearly extended into the UV by 0.1 µm.

Once a wavelength-dependent *n* is determined, the spectra for all phase angles are run through a 322 phase function program to determine wavelength dependent b, and c. This code also uses Matlab's 323 324 lsqcurvefit program with a multistart protocol to simultaneously minimize the difference between the modeled fit and the data for all phase angles of all grain sizes. The code first creates a coarse data set 325 326 $(0.05 \ \mu m)$ spacing, and then finds a single b and c for all grain sizes and all phase angles. All phase angles of each grain size are restricted to have a single $\langle D \rangle$ and s^* , which are also fit during this 327 process. The array k can be varied in this step using a scaling factor. Allowing k to vary in this way 328 329 ensures that the division of the code into three parts does not overly constrain the solution for k while still maximizing the possibility of a realistic solution. 330

Finally, we use n, b, and c as fixed arrays to minimize for a new k using the k minimization routine, followed by the SSKK routine, and then the phase function program, until values for k, n, b, and c do not vary substantially. In practice, this takes an additional two to three iterations.

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RESULTS

The jarosites synthesized in this study are shown in Figure 4, and XRD analyses are shown in Figure 5. Hydronium jarosite grows as euhedral crystals with smooth faces but with deep cracks, which may propagate through the crystals. Sodium jarosite shows a great deal of pitting. Potassium jarosite

seems to start as a finer-grained precipitate that then anneals to form larger grains, leading to surface 338 roughness. Hydronium jarosite is the easiest of the three to synthesize as a coarse-grained sample 339 340 whereas sodium jarosite is the most difficult and took many attempts. The VNIR spectra for hydronium, sodium, and potassium jarosite are shown in Figure 6a, 6b, and 6c, respectively. For each sample, the 341 342 coarsest size fractions have the lowest overall albedos and the finest size fractions have the highest 343 overall albedos. This trend is consistent with what is expected for VNIR spectra of powdered minerals of different size fractions. The MIR spectra of pressed pellets of the $<45 \,\mu m$ size fraction of each 344 jarosite are shown in Figure 7. 345

Figures 8a, 8b, and 8c show the final values of wavelength dependent variables for hydronium, sodium, and potassium jarosite, respectively. Values are reported for calculation both with and without a porosity correction. The grain-size independent imaginary index of refraction, *k*, for each sample is plotted at the top of each figure; the grain-size independent real index of refraction, *n*, is plotted in the middle of each figure; and the value of p(g) vs. wavelength for $g=30^{\circ}$ is plotted at the bottom of each figure. The other minimized parameters (**s** and <*D*>), along with the porosity correction used for each sample, are listed in Table 1.

Figure 9 shows the modeled spectra derived from using the grain-size independent values to produce grain-size specific VNIR spectra. The modeled results are overlaid on laboratory reflectance spectra.

The MIR indices of refraction derived from the application of a Lorentz-Lorentz dispersion analysis are shown in Figure 10, and the dispersion parameters for each modeled spectrum are provided at <u>http://aram.ess.sunysb.edu/tglotch/spectra.html</u>, along with the MIR spectra and tabulated *n* and *k* values. The top pane of each plot shows the fit overlaid on the laboratory spectrum. Recall that since the MIR data were acquired from powder pressed into pellets, these values are likely off by a factor of ~2 from those that would be modeled from polished single crystal specimens (Pecharroman et al. 1995).

362

DISCUSSION

It must not be taken for granted that values obtained by this type of modeling are simply the 363 number or numbers that create the closest numerical match between the modeled fit and the laboratory 364 data. The goodness of fit between the model and the data is often, but not always, a sign of how well the 365 366 theory represents the real system. In the case of optical constant determination, a perfect match between the model and the data can be obtained for a variety of solutions. This is because, depending on the 367 configuration of the code, the problem is either under-determined (not enough constraints for the 368 369 number of data points) or over-determined (more constraining parameters than the number of data points). The seeming complexity of the procedure used for this study seeks to balance these two cases in 370 order to maximize the probability of reaching a real and unique solution. Here, we discuss and justify 371 these choices. 372

373 Lookup Table Approach

The under-determined case occurs when k is obtained from a single grain-size spectrum at a 374 single phase angle. Here, b, c, $*s^*$, and <D>, along with the array, k, are variables. This creates a system 375 of linear equations the length of the data set (N), but with N+4 unknowns. Historically, the most efficient 376 377 method for dealing with this problem has been to make three simplifying assumptions: first, that the surface is made up of isotropic scatterers, thus removing the phase function coefficients b and c from 378 consideration; second, *s* is fixed at near zero; and third, $\langle D \rangle$ is specified based on prior knowledge or 379 380 reasonable assumptions. In this case, a table can be created of modeled values calculated from a range of 381 k values over the span of wavelengths. Each data point is matched to a value in the table, thus providing 382 a specific k for each wavelength. If we assume knowledge of b and c, a similar table can be produced for

12/29

the non-isotropic case. This "lookup table" approach is, indeed, how the first approximation of k is derived.

Determining k in this fashion does not provide any means for verifying the solution. Since, 385 however, k is a fundamental property of the material, it is, by definition, grain-size independent. 386 Therefore, previous authors have constrained k either by averaging the k values for samples of multiple 387 388 grain-sizes or by adjusting $\langle D \rangle$ so that k values for multiple grain sizes fall closer to the mean (Lucey 389 1998; Roush et al. 2007). Minimization routines, like the one used in this study, allow for the simultaneous fitting of multiple data sets to determine a single array for k. Figure 11 compares the "best 390 391 fit" (minimum deviation between model and data) k obtained from the multi-minimization routine with k392 values obtained from "lookup tables" for both the isotropic case and the case where b and c are held 393 fixed. Both "lookup table" k values shown are averages of the three grain sizes. Figure 11 shows that 394 the k curve produced in the multi-minimization routine is not the same as the average of the grain-size 395 dependent k curves for the other cases. So, while both methods attempt to create a unique solution through the use of multiple grain sizes, the multi-minimization routine is more likely to converge closer 396 to the true unique solution because the grain-sizes constrain k during the fitting process. 397

398 Shkuratov Approach

Determining *k* using Shkuratov's formulation (Shkuratov et al. 1999) does not suffer from this non-uniqueness problem. Since the only free parameters for the Shkuratov method are the optical constants, *n* and *k*, the porosity *q* and the average path length of light before reflection, *S* (Shkuratov et al. 1999), there can be only one solution for *k* for a single *S* and *q*. Actually, Shkuratov et al. (1999) found that value of *k* is only mildly dependent upon *q*, so each *k* is primarily dependent on *S*. But the parameter *S* in the Shkuratov model is equivalent to $\langle D \rangle$ in the Hapke model. So, *k* in the Shkuratov model is necessarily grain size dependent. In other words, it is mathematically impossible for *k* to be the same for multiple grain sizes using that model unless it is assumed that the path-length of light in all grain sizes is the same. While it is understood by users of this model that k is, by definition, grain size independent, final k values are typically determined by averaging multiple k values or adjusting other parameters so that k falls close to the mean (Roush et al. 2007). However, because we have found that the multi-grain-size k is not the average of k values determined for the three grain sizes independently, we argue that the optical constant values determined through the Shkuratov model are useful approximations (Figure 12), but are not the true optical constants of the material.

413 **Phase Function**

Where the Shkuratov model simplifies calculations by removing dependence of k on viewing 414 geometry, the Hapke method utilizes this dependence to further constrain the problem. Measuring each 415 sample over a range of phase angles makes it possible to determine values for b and c. It is typical to 416 determine a phase function at a single wavelength over a larger continuum of phase space. But since the 417 goniometer and spectrometer used in this study produced full size data sets at each phase angle, and 418 since Matlab's minimization routines can process large data sets, phase function coefficients were 419 determined for the full wavelength range. Introducing an additional 3N variables, however, created a 420 421 highly over-determined problem that suffered from non-uniqueness of fit.

Our initial tests showed that the phase function does not vary systematically with grain size. Therefore, we made a simplifying assumption that the phase function is the same for all grain sizes. This leaves only *s* and <D> to account for spectral differences associated with grain size. This assumption also addresses the issue of over-determination. The phase function coefficients are optimized for 3 grain-sizes at 7 phase angles for a total of 27 spectra to be modeled from a single phase function coefficient curve. The measured and modeled spectra for one of these fits are shown in Figure 13. The phase function chosen for this work was a two term Legendre polynomial. Although we also investigated the use of both two and three term double Heyney-Greenstein phase functions, the Legendre polynomial provided the only reasonable fits for this system. We recommend that other investigators determine which phase function is best for each individual system as the results may vary.

432 Phase function data collection and the phase function minimization routine have the potential to 433 consume large amounts of laboratory and computation time, respectively. Therefore, we tested several 434 scaled-down procedures to determine whether equivalent results could be obtained. An ideal data set for 435 determining the actual phase function for a mineral would contain as wide a range of phase angles as 436 possible. What we determine in this step, however, is probably a combination of phase effect, surface roughness, and shadow hiding. These effects can be explicitly accounted for using a more complicated 437 438 version of Hapke's treatment (Hapke 2002). It has been shown, however, that these quantities, when solved for explicitly, do not correlate well with the physical property they are meant to describe 439 (Shepard and Helfenstein 2007). Although a portion of the discrepancy experienced by Shepard and 440 Helfenstein (2007) may have been due to the absence of a filling factor coefficient (Hapke, personal 441 communication), it was the goal of this study to find the minimum number of phase angles that could 442 reliably stabilize the results for k. A result was sought that accounted for phase, roughness, and shadow 443 hiding, such that the value of k obtained in this study was as close to the imaginary index of refraction as 444 possible, while still striving for the simplest experimental and theoretical configuration. We found that 445 446 fewer phase angles could not produce equivalent results even when they were spaced over the same 447 phase angle range (Figure 14b). We determined, however, that models utilizing a down-sampled data set $(\Delta \lambda = 0.05 \,\mu\text{m})$ produced almost identical results to a full data set in a fraction of the computational time 448 (Figure 14a). The derived b and c values are then re-interpolated to the fine wavelength spacing. 449

450 Kramers-Kronig Transformation

451 The wavelength range of the data set used in this study was chosen based on results for the 452 determination of n from k using the singly subtractive Kramers-Kronig (SSKK) approach. A Kramers-Kronig transformation ideally requires a data set that spans from 0 to infinity. Since this is not possible 453 with laboratory data, we performed a series of experiments using VNIR data and varying amounts of 454 MIR and FIR data (extending our data set to 25 µm, 50 µm, 75 µm, and 100 µm) to assess results from 455 data sets with limited wavelength ranges. When k data for the VNIR and MIR are plotted together 456 (Figure 15), the difference in magnitude of the two data sets is apparent (k in the MIR is orders of 457 magnitude greater than k in the VNIR). Therefore, it is clear that including the MIR data in the 458 459 integration will have a strong influence on the result.

Figure 15 shows that there is an offset between the VNIR data and the MIR data. We adjusted the MIR data to overlap with the VNIR data using a simple linear offset plus extrapolation. The result from that procedure is shown in Figure 16. The continuous slope of the data set supports this type of correction. The corrected and combined *k* values were then run through the SSKK conversion, using data sets ranging from 2.5 to 50 μ m (Figure 17). The VNIR *n* values continue to change substantially for data sets that do not extend to at least 25 μ m.

An interesting feature about the shape of *n* curve in the VNIR is that, when MIR data are included, the curve becomes fairly featureless throughout the NIR and slopes down towards the MIR. This trend is identical for all samples with the differences arising from the value of *n* at the anchor point in the VNIR. Work from other authors shows the same trend (Roush et al. 2007). This characteristic may serve as a way to approximate *n* in the VNIR without taking MIR data, if a predictable pattern can be determined.

472 Errors

The largest source of error in the computation of optical constants using the method outlined in 473 474 this paper is the value of the filling factor (the porosity correction), which can change the value of k by up to a factor of 2. Due to the uncertainty associated with the filling factor estimate, the optical constant 475 values calculated both with and without a porosity correction are reported. The second largest source of 476 error is associated with the phase angle. The errors were therefore determined by taking the same 477 spectrum and running it through the program using phase angle g, $g + 2^{\circ}$ and $g - 2^{\circ}$, thus determining the 478 variation in optical constant values that would result from misrepresentation of the phase angle. Those 479 results for potassium jarosite (with a porosity correction) are plotted in Figure 18. 480

481 **Final Remarks**

482 In the absence of large single crystals, the optical constants determined by our method are the closest approximation to the real optical constants of synthetic potassium, hydronium, and sodium 483 jarosite that can be achieved. This leads to two questions: are synthetic samples appropriate proxies for 484 485 natural samples? And are the end member optical constants sufficient to model jarosites within the solid solution? The most common deviation between synthetic and natural jarosites is metal site occupancy 486 487 (Swayze et al. 2008). The synthesis method used in this study was specifically designed to eliminate metal vacancies in the lattice (Nocera et al. 2004). In addition, after studying the cell dimensions of a 488 variety of both hydrothermal and low temperature jarosites, Swayze et al. (2008) concluded that natural 489 jarosites crystallize as mixtures of end members, not as intermediate compositions in the solid solution 490 491 series. Thus, the optical constants determined in this study should be appropriate for modeling natural jarosites in all environments. 492

493

IMPLICATIONS

494 The use of modeling techniques that determine quantitative abundances from particulate surfaces495 in the VNIR has been hindered by the lack of available *n* and *k* data for many of the relevant minerals.

496	The optical constants presented in this paper allow for the use of such modeling techniques to derive						
497	abundances of the mineral jarosite, on Earth and Mars, from mixed spectra. These abundances can be						
498	used on Mars to constrain surface processes that led to jarosite formation, and they can be used on Earth						
499	to monitor AMD and jarosite wastes.						
500	The detailed description of the technique used in this paper, along with the availability of our						
501	Matlab code, has the potential to greatly expand this library of data in the near future. The process						
502	outlined in this study, although based on complicated theory, requires three simple inputs: a sample in						
503	three grain sizes that can be measured at several phase angles, MIR measurements of the same sample						
504	out to 50 μ m, and a desktop computer capable of running Matlab (less than two weeks computation time						
505	on a single core). All data and programs used in this study are available at						
506	http://aram.ess.sunysb.edu/tglotch/spectra.html						
507							
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695	FIGURE CAPTIONS
696	Figure 1. VNIR spectra of several common minerals (USGS Speclab) plotted with the jarosites
697	used in this study. Abbreviations are as follows: kaolinite CM7 (kaol), nontronite NG-1.a (nont),
698	coquimbite GDS22 (coq), copiapite GDS21 (cop), hydronium jarosite 63-90 µm (H-jar), sodium jarosite

699 63-90 µm (N-jar), potassium jarosite 63-90 µm (K-jar), goethite GDS134 (goet), hematite GDS27 (hem), magnetite HS195.3B (mag). Vertical lines mark the diagnostic jarosite spectral features. 700 701 Figure 2. Phase curve for Spectralon reflectance standard, taken on a short arm goniometer with an incidence angle of 60°. Reference curves for a Lambertian and an isotropic scatterer show that 702 Spectralon is an isotropic scatterer when used in a bidirectional reflectance experimental setup. Figure 703 704 reproduced from Piatek (2003). Data are shown as absolute reflectance. Figure 3. Flow chart of calculations performed by this suite of programs. The sequence starts 705 after k has initially been determined by the lookup table program for individual grain sizes. 706 Figure 4. SEM images of the samples used in this study. EDS insets show the chemical 707 composition of the point indicated. (a) is hydronium jarosite, (b) is sodium jarosite, and (c) is potassium 708 709 jarosite. Figure 5. XRD patterns for hydronium (top), sodium (middle), and potassium (bottom) jarosite. 710 Literature values are represented by ticks underneath each plot. All samples are phase pure. 711 712 Figure 6. VNIR spectra of hydronium (a), sodium (b), and potassium (c) jarosite. Spectra for 45- $63 \,\mu\text{m}$, $63-90 \,\mu\text{m}$, and $90-125 \,\mu\text{m}$ size fractions are shown for each sample. 713 Figure 7. MIR data for hydronium jarosite (a) and sodium jarosite (b). MIR and FIR data for 714 potassium jarosite (c). 715 Figure 8. Wavelength dependent variables for hydronium (a), sodium (b), and potassium (c) 716 jarosite, respectively. The grain-size independent imaginary index of refraction, k, for each sample is 717 plotted at the top, the grain-size independent real index of refraction, n, is plotted in the middle, and the 718 719 value of p(g) vs. wavelength for $g=30^{\circ}$ is plotted at the bottom. Variables determined using a porosity correction are shown with a dashed line. 720

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12/29

Figure 9. Modeled fits produced from multi gain-size k values for all three grain sizes of hydronium (a) and (d), sodium (b) and (e), and potassium (c) and (f) jarosite. Porosity corrections were used for (d), (e), and (f). For each sample, the 45-63 µm spectrum is on top, the 63-90 µm spectrum is in the middle, and 90-125 µm spectrum is on the bottom.

Figure 10. MIR optical constants for hydronium (a), sodium (b), and potassium (c) jarosite. The modeled fit for each sample is shown in the top pain with the laboratory spectrum.

Figure 11. Imaginary index of refraction k, calculated for hydronium jarosite under three conditions: 1) simultaneous minimization to get a single k for three grain sizes (no porosity correction), while solving for b, c, *s*, and $\langle D \rangle$ (blue); 2) assuming an isotropic phase function, then using a lookup table to find k for each grain size, assuming knowledge of *s* and $\langle D \rangle$, and then averaging the results (green); 3) the same as the isotropic case except assuming forward scattering phase function coefficients (Mustard and Pieters 1989) (red). The three cases do not produce equivalent results for this sample.

Figure 12. Imaginary index of refraction k determined using the Shkuratov method vs. the method described in this paper for hydronium (a), sodium (b), and potassium (c) jarosite (no porosity correction). The grain size values that produced the best fits using the Shkuratov method are listed in the legends. The final grain sizes determined using this paper's method are listed in Table 1.

Figure 13. Modeled fits and laboratory spectra for hydronium jarosite's phase function minimization (no porosity correction). Spectra are offset by 0.1% for clarity. Grain size ranges are grouped, such that 90-125 μ m spectra are shown in group A, 63-90 μ m in group B and 45-63 μ m in group C.

Figure 14. (a) P(g) vs. wavelength (calculated for $g=30^{\circ}$) for down-sampled data sets (red) plotted with P(g) vs. wavelength (calculated for $g=30^{\circ}$) full data set. Using the full data set introduces

744	noise over the primary features. (b) P(g) vs. wavelength (calculated for $g=30^{\circ}$) determined using spectra						
745	measured at $g=15^{\circ}$ and $g=30^{\circ}$ (blue); using spectra measured at $g=15^{\circ}$, $g=30^{\circ}$, and $g=45^{\circ}$ data (green);						
746	and using spectra measured at $g=15^{\circ}$, $g=20^{\circ}$, $g=25^{\circ}$, $g=30^{\circ}$, $g=35^{\circ}$, $g=40^{\circ}$, and $g=45^{\circ}$ (cyan). All grain						
747	sizes were used for all angles. The results are not equivalent. Spectral data are from hydronium jarosite.						
748	Figure 15. MIR and VNIR k data plotted for potassium jarosite.						
749	Figure 16. Adjusted and extrapolated k data for potassium jarosite. Note the continuity of the						
750	curve.						
751	Figure 17. The real index of refraction n for hydronium jarosite calculated from k values that						
752	extend out to 2.5 μ m, 3.0 μ m, 5.0 μ m, 10 μ m, 25 μ m, and 50 μ m (no porosity correction). VNIR data						
753	only become equivalent when MIR data out to at least 25 μ m are used. These calculations, performed in						
754	frequency space, may be less sensitive to MIR data than those performed in wavelength space by the						
755	same method.						
756	Figure 18. Errors associates with the wavelength dependent variables: (a) the grain-size						
757	independent imaginary index of refraction, k ; (b) the grain-size independent real index of refraction, n ;						
758	and (c) the value of $p(g)$ vs. wavelength for $g=30^{\circ}$.						
759							
760	TABLES						
761	Table 1. Values of the near-surface scattering factor, $s^*(\mu m^{-1})$, and the apparent grain size, $\langle D \rangle$ (μm)						
762	delivered in the final minimization for calculations both with and without a porosity correction. The						

value of the porosity correction is also listed.

	No porosity correction			<i>ф</i> =0.51	φ=0.51	\$\phi = 0.46
	H-Jar	Na-Jar	K-Jar	H-Jar	Na-Jar	K-Jar
s 45-63	10^{-17}	0.04	10 ⁻¹⁴	0.01	0.05	0.06
s 63-90	0.04	0.06	0.08	0.01	0.05	0.04

s 90-125	0.04	0.07	0.05	10-14	0.03	0.03
< <i>D</i> > 45-63	20.5	59.8	51.0	63*	42.3	57.6
< <i>D</i> > 63-90	26.7	89.3	72.9	79.5	58.9	76.9
< <i>D</i> > 90-125	35.6	125.9	112.8	103.3	77.5	110.2
*upper limit						

764

765

Figure 1











Figure 4





Figure 5























Figure 9







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Figure 12
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Figure 14













