# 1 Revision 1

2	
3	First-Principles Prediction of Pressure Enhanced Defect Segregation and Migration at
4	MgO Grain Boundaries
5	<b>BIJAYA B. KARKI<sup>1,*</sup>, DIPTA B. GHOSH<sup>1</sup> AND ASHOK K. VERMA<sup>2</sup></b>
6	<sup>1</sup> School of Electrical Engineering and Computer Science, Department of Geology and
7 8	Geophysics, Center for Computation and Technology, Louisiana State University, Baton Rouge, LA 70803, U.S.A
9	<sup>2</sup> High Pressure Physics Division, Bhabha Atomic Research Center, Mumbai 400085, India
10	
11	
12	ABSTRACT
13	Understanding the ability of grain boundaries to accommodate point defects and enhance
14	diffusion rates in mantle materials represents an important but challenging problem. Extant
15	experimental studies and recent computational efforts are mainly limited to the ambient pressure.
16	Here, we investigate this problem for MgO at the atomistic level by performing density
17	functional theory-based simulations of the {310}}/[001] tilt grain boundary in MgO at pressures
18	up to 100 GPa. Our results show that native defects and impurities (Ca, Al, and proton modeled
19	here) favorably segregate to the boundary, with the segregation considerably increasing with
20	pressure. They also imply that grain boundary diffusion is easier, and more anisotropic and
21	complex than bulk (lattice) diffusion: The calculated migration enthalpies for host ions and
22	impurities at the grain boundary are smaller than the bulk values, more so at higher pressures
23	with their values being as low as ~ 1.5 eV at 100 GPa compared to the bulk values of ~ 4 eV.
24	Thus demonstrated high defect activity of grain boundaries in MgO - a major phase of Earth's
25	lower mantle is expected to be relevant to our understanding of mantle rheology and
26	geochemical process.
27	

27

- 28 Keywords: Grain boundaries, defects and diffusion, high pressure, first-principles computation,
- 29 MgO, and mantle materials

### **INTRODUCTION**

Grain boundaries (GBs), which exist in most naturally occurring as well as synthesized 32 33 materials, are known to dramatically influence many physical properties of these materials. GBs 34 are considered to serve as effective sinks for point defects including impurities thereby 35 controlling chemical diffusion, electrical conduction, and creep process, and also as nucleation sites for corrosion, precipitation, fracture, and plastic deformation (Duffy 1986; Sutton and 36 37 Balluffi 1995; Hiraga et al. 2004; Dohmen and Milke 2010). These effects can be considered to arise mainly because the interfacial regions separating the component crystal grains contain 38 39 structural distortions and void spaces (e.g., Kingery 1974; Duffy 1986; McKenna and Shluger 40 2009; Wang et al. 2011). Such interfacial features are likely to be highly sensitive to pressure as 41 suggested by some calculations (Harris et al. 1999; Verma and Karki 2010). Much less is, 42 however, known about the way pressure controls the ability of GBs to accommodate native and impurity defects, and influence diffusion rates. 43

44 Here, we investigate the effects of pressure on the interplay between point defects and GBs in materials by considering MgO - a prototype metal-oxide and a technologically important 45 ceramic. Moreover, (Mg,Fe)O - thought to be the second most abundant mineral in the Earth's 46 47 lower mantle, which is essentially a polycrystalline rock subject to high pressure up to 136 GPa, and to that end, the knowledge about grain boundary properties can have profound 48 49 geophysical/geochemical implications. In particular, the importance of grain boundaries for understanding of chemical distribution in the mantle has been widely discussed (e.g., Hiraga et 50 51 al. 2004; Hayden and Watson 2007; Dohmen and Milke 2010). Extant experimental measurements are limited to the ambient or low pressures. The tilt boundaries in MgO were 52 53 observed as atomic resolution images (e.g., Yan et al. 1998; Wang et al. 2011). Fast diffusion was 54 measured for cations and oxygen, and siderophile elements in polycrystalline MgO (Oishi and Kingery 1960; Wuensch and Vasilos 1966; Hashimoto and Hama 1971; Chang et al. 1981; 55 Kizuka et al. 1998; Hayden and Watson 2007). To extend our understanding of the GBs to high-56 57 pressure regime, we perform the density-functional theory-based computation, which is widely 58 accepted to make accurate prediction at the macroscopic (bulk) level as well as allow access to 59 atomistic information. Previous studies were mostly based on pair potentials, which allow fast computation but have a disadvantage of being empirical (e.g., Harris et al. 1999; Browning et al.
1999; Karki and Kumar 2007; Adjaoud et al. 2012).

The first principles approach has so far been applied to tilt grain boundaries in materials with 62 63 focus on their atomic and electronic structures (Yan et al. 1998; McKenna and Shluger 2008; 64 2009; Ghosh and Karki 2014). We have previously simulated  $\{n10\}/[001]$  tilt boundaries in MgO to show that the boundary structures vary considerably with pressure and also show that 65 66 vacancy defects favorably segregate to the boundaries (Verma and Karki 2010). Here, we extend 67 our simulations to the incorporation and migration of impurities including Ca, Al, and proton at 68 MgO tilt boundaries as a function of pressure. These defects are likely to be present in MgO 69 under most environments including those of the Earth's interior. The issues we aim to address 70 here are whether these defects segregate to grain boundaries from the bulk, and if they do, how 71 these defects migrate through the boundary region over wide pressure regime.

72

73

### METHODOLOGY

Computations were performed using the local density approximation and projector-74 75 augmented-wave method as implemented in VASP software (Kresse and Furthmuller 1996). A plane wave basis set with the cut-off of 450 eV was used to expand the valence electron wave 76 functions at  $\Gamma$  point. The Pulay correction of 1 to 2 GPa over the pressure regime (0 to 100 GPa) 77 studied was applied. The fully optimized configurations for the  $\{n10\}/[001]$  tilt grain boundaries 78 (n = 2, 3 and 4) in MgO from our previous first-principles simulations (Verma and Karki 2010) 79 were used with the main focus on  $\sum 5 (310)/[001]$  (tilt angle of 36.8°) boundaries. The simulated 80 symmetric tilt GB stable at the zero pressure can be viewed as a series of dislocation pipes 81 82 forming a well-defined channel structure. Such open structure is less pronounced and becomes 83 denser in the asymmetric tilt GBs (in which the grains have shifted relative to each other along 84 the boundary plane) stable at 50 and 100 GPa (Verma and Karki 2010). Pressure thus suppresses 85 the excess volume, thereby affecting the type of coordination defects and the degree of bond 86 distortions at the interface. Further details on the input configuration, structural relaxation, and 87 point defects can be found in Verma and Karki (2010). For instance, we extract an Mg ion leaving two valence electrons to create a cationic vacancy  $(V''_{Mg})$  whereas we replace Mg ion 88 89 with a different cation of the same or different charge to create an impurity defect. Atomic

90 positions were relaxed at fixed cell parameters so pressure was imposed by a constant volume 91 approach. The defect-defect coulomb interactions, arising due to periodic boundary conditions, 92 were treated as earlier (Karki and Khanduja 2006; Verma and Karki 2010). The supercells 93 contained 560 atoms for both types (symmetric and asymmetric) of grain boundaries studied. 94 Doubling the supercell did not affect the calculated results significantly.

- 95
- 96

## **RESULTS AND DISCUSSION**

## 97 Grain boundary segregation of defects

98 Unlike the bulk region, the interfacial region contains many nonequivalent sites and void 99 regions where defects can reside. Only Mg sites labeled A, B, C and D at the MgO  $\{310\}/[001]$ 100 tilt boundary region and interstitial sites which are at (or closest to) the boundary plane were 101 considered (Fig. 1). We have previously calculated the energetics and structures of Mg and O 102 vacancies at these boundary sites as a function of pressure and shown that both cation and anion 103 vacancies favorably segregate to the grain boundaries of MgO (Verma and Karki 2010). Here, we 104 characterize the tendency of impurities (Ca, Al and proton) to segregate to GBs by considering 105 substitution and interstitial mechanisms. For this purpose, we simulate a given defect one time in 106 the bulk region and the other time in the grain boundary region, and compare the formation 107 energies between the two cases. The segregation enthalpy of the concerned defect from the bulk 108 can be calculated as:  $\Delta H_{\rm S} = H_{\rm GB} - H_{\rm Bulk}$ , where  $H_{\rm GB}$  and  $H_{\rm Bulk}$  are the enthalpies of the supercells containing the defect in the boundary and bulk regions, respectively. A negative value of  $\Delta H_{\rm S}$ 109 110 means that the grain boundary site is energetically more favorable than the bulk site – the 111 corresponding defects from the bulk segregate to the grain boundary. Note that  $\Delta H_{\rm S}$  is 112 independent of both atomic and electronic chemical potentials. Also, for a charged defect, the 113 defect-defect corrections cancel out since the same correction (and band alignment) applies to 114 the both cases of the supercell: one with the defect at the bulk site and the other with the defect at 115 the boundary site (Karki and Khanduja 2006).

We find that all four  $\operatorname{Ca}_{Mg}^{\times}$  configurations at the boundary have lower enthalpies than at the bulk site with the site *B* being the most stable (by 0.9 eV) for the symmetric GB at zero pressure (Table 1). The Ca substitution forms octahedral coordination at *B* unlike other three under-

119 coordinated sites (Fig. 1), and also each local structure is much more relaxed compared to bulk 120 site. The Ca segregation to particular atomic columns in the GB was experimentally observed at the ambient pressure (Yan et al. 1998). Not all boundary sites are energetically favorable in 121 122 asymmetric GBs (Table 1); the sites A and C being the most and least stable, respectively. The Ca 123 segregation enthalpy increases with pressure by a factor of two reaching 2.1 eV at 100 GPa. Similarly, the segregation enthalpy of  $Al^{\bullet}_{Mg}$  (trivalent cation substituting Mg) was shown to 124 125 increase with pressure (0.2 to 0.5 eV between 0 and 100 GPa). The sites A and D are stable for 126 the symmetric boundary whereas only the site C is stable for the asymmetric boundaries at zero and elevated pressures (Table 1). For proton defects, we show that the substitution is more 127 128 favorable at the boundary sites than at the bulk site with double proton preferred over single proton. When two protons occupy a vacant Mg site,  $a\,2H_{\text{Mg}}^{\times}$  defect is created. At zero pressure, 129 130 the boundary site B is preferred most with segregation enablpy of -1.14 eV, which increases to -131 2.63 eV at 100 GPa (Table 1).

132 We consider possible interstitials in the open space of the boundary versus the interstitial bulk sites for  $Ca_i^{\bullet \bullet}$  and  $Al_i^{\bullet \bullet \bullet}$  as well as host cation (Mg\_i^{\bullet \bullet}). The GB interstitials were found to be 133 134 distinctly preferred by each cation type over the bulk interstitials by several eVs (> 5 eV) at all 135 pressures, indicating strong segregation to the boundary. This tendency can be attributed to the 136 ion size mis-fit effect because the interstitial cations can easily fit in the excess free space of the 137 boundary and also the boundary atoms can undergo large relaxations. The impurities behave as 138 somewhat delocalized defects in the central part of the GB void space in both symmetric and 139 asymmetric boundaries though the sites are more constricted at the elevated pressures.

For interstitial proton ( $H_i^{\bullet}$  or  $p_i$ ), we have located three inequivalent adsorption sites in the 140 symmetric GB open space at zero pressure, each involving binding of the proton to O (forming a 141 142 hydroxyl). These sites are energetically more favorable than the bulk site by up to 1.5 eV, 143 consistent with previous calculations (McKenna and Shluger, 2009). The boundary segregation enthalpy of interstitial proton is -2.7 and -2.3 eV at 50 and 100 GPa, respectively (Table 1). At 144 the mid pressure, proton bridges two oxygen ions ( $B_L$  and  $B_R$  sites, Fig. 1) from two grains to 145 146 form a strongly bound state. An interstitial proton induces an unoccupied electronic state below 147 the conduction band and can trap an electron to form H atom, whose segregation enthalpy 148 increases from -1.2 eV at 0 GPa to -2.5 eV at 100 GPa.

## 150 **Defects migration at grain boundary**

To study ionic self-diffusion at grain boundaries, we locate saddle points and possible 151 152 pathways for migration of host ions and impurities by trying a series of positions. For each 153 position, the migrating ion was allowed to have a perpendicular movement and the rest of the 154 super-cell was allowed to relax around it as in previous studies (Harris et al. 1997; Verma and Karki 2009). Also, the migration energy for a charged defect is not influenced by the defect-155 156 defect corrections (Karki and Khanduja 2006). The (310)/[001] tilt boundary involves two types 157 of diffusion pathways, one is *along* the boundary (the perpendicular direction to the tilt axis) and 158 the other is *down* the boundary (the direction of the tilt axis). These two directions are represented by the y- and z-axes, respectively (Fig. 1 and 2), and note that two grains in the 159 160 simulated bi-crystal system are rotated about the z-axis (the tilt axis). Ionic diffusion along the 161 boundary involves migration between the open channels whereas the diffusion down the boundary is confined within single GB core. 162

The directions of migration (via vacancy hopping) and associated activation enthalpies for 163 the host ions (Mg<sup>2+</sup> and O<sup>2-</sup>) in the symmetric (0 GPa) and asymmetric (100 GPa) tilt boundaries 164 are shown in Figure 2. The calculated migration enthalpy varies considerably for jumps between 165 166 consecutive sites, and the trend is similar between the cation and anion (Table 2). The vacancy hopping along the boundary (y-direction) occurs from one dislocation pipe to an adjacent one so 167 migrating ion remains on the same grain. The activation energies for most steps are lower than 168 169 the bulk migration enthalpies, and the diffusion-rate determining step occurs between the sites C 170 and D with activation enthalpies of 2.3 eV (cation) and 2.2 eV (anion) for the {310} symmetric 171 boundary. For migration down the boundary (z-direction), the most favorable step occurs 172 between the opposite faces of the boundary via  $A_L$  and  $A_R$  sites with activation energies of 1.5 eV (cation) and 1.3 eV (anion). Other energetically competitive path involves hopping between B173 174 and C sites with migrating ion remaining on the same grain. The calculated migration enthalpies for the {210} and {410} symmetric tilt grain boundaries at zero pressure are similar to the values 175 176 for the {310} boundary (Table 2).

We find that pressure affects the boundary diffusion in a more complicated way than the bulk
ionic diffusion (Ita and Cohen 1997; Harris et al. 2001; Van Orman et al. 2003; Karki and
7

Khanduja 2006). The predicted enthalpies for diffusion along the boundary strongly increase with pressure and remain comparable with the corresponding bulk values (Table 2, Fig. 2). However, their values for diffusion down the boundary at 50 and 100 GPa are slightly smaller and larger, respectively, than those at the zero pressure (Table 2). The paths between  $B_L$  to  $B_R$ constitute the most favorable pathway downward with the migration enthalpies (of 1.2 to 1.7 eV at high pressures) being smaller by a factor of 2 to 3 compared to the bulk values (Fig. 2). It is remarkable that the predicted grain boundary diffusion in MgO is highly anisotropic with much

faster migration down the boundary whereas the bulk (lattice) diffusion is isotropic.

We now discuss the migration of three cations  $(Mg_i^{2+}, Ca_i^{2+})$  and  $Al_i^{3+}$  via interstitials in the 187 boundary region. At zero pressure, an impurity ion migrates down the boundary (z-direction) by 188 189 always remaining close to the center of the GB void space (Fig. 3, S1). The energy barriers are small: 0.4 eV for Mg, 0.2 eV for Ca, and 0.7 eV for Al (Table 3) so these cations can easily 190 191 migrate down the boundary, and can cover large distances as shown by our direct first-principles 192 molecular dynamics simulations at 1000 K (S2 and S3). The impurity cation also shifts in the x-y193 plane away from the center of void space at elevated pressures (Fig. 3). The diffusion of impurity 194 cation along the boundary also requires a cooperative process involving migration of host cation. The migrating cation may eventually knock out Mg ion from one of two closest  $A_L$  and  $A_R$  sites 195 (Fig. 3, S1). The maximum barrier occurs just after the migrating cation crosses the  $B_L$ - $B_R$  plane, 196 and the barrier height and location differ somewhat among three cationic types. 197

198 At high pressures, the migration has to cover dense (congested) regions separating two 199 successive void spaces and requires interstitial transitions involving more ions. At 100 GPa, the 200 cation passes through the  $B_L$ - $B_R$  plane by pushing the nearest host cations away, and then passes 201 via one side (left or right) of site A (Fig. 3). It may eventually knock out Mg ion from at D to the 202 void space. This knocking out process occurs in two steps at 100 GPa (S4). The diffusion down the boundary is essentially confined in the void region and may not require any such transition. 203 These differences make the cationic diffusion at the grain boundary highly anisotropic with the 204 205 activation enthalpies for migration along the boundary being larger than those for migration 206 down the boundary at all pressures (Table 3).

In the boundary region, a migrating proton tends to always remain close to O ion thereby switching from one O-H bonding state to other (i.e., hopping between O ions). When the proton

8

209 migrates down the boundary (z-direction), it follows two energetically competitive paths at zero 210 pressure, with the saddle point lying at the boundary plane, as shown in Figure 4. One path is 211 bulged towards the  $B_L$ - $B_R$  line (in the y-direction) with the saddle point lying at the intersection of this line with the boundary plane. The other path is bulged in the opposite direction (i.e., 212 213 towards the  $A_L$ - $A_R$  line). In either case, the migrating proton switches side (from left to right or 214 vice versa). On the other hand, while migrating along the boundary (y-direction), the proton 215 remains bound to O ions on the same side. The saddle point lies at the  $A_L$ - $A_R$  line. The calculated 216 activation enthalpies for proton migration *along* and *down* the boundary are comparable (1.0 to 217 1.2 eV) at zero pressure (Table 3). The effects of pressure on proton migration are non-218 monotonic: The migration enthalpies for both pathways at 50 GPa are larger than those at zero 219 pressure and 100 GPa. This can be associated with more strongly bound proton site at the mid 220 pressure as pointed out earlier. It is important to note that the boundary saddle point energies at 221 all pressures are always lower than any bulk interstitial and saddle point energies. This means 222 that proton migration should remain confined in the grain boundary region.

- 223
- 224

#### **IMPLICATIONS**

225 Many defects of both native and impurity types in multiple charge states other than those 226 considered here are possible. This is more so in MgO because of its existence in the Earth's 227 mantle, which is thought to contain many other elements including Fe, C, S, Cr, Na, K, Si, etc. in 228 varying amounts. To maintain overall charge neutrality, defects of opposite charges must be 229 present. For instance, two substituted Al ions require one charge-compensating Mg vacancy. If 230 Al impurity exists as GB interstitial, 3 vacancies are formed for two Al ions. Thus, boundary 231 interstitial cations can induce more vacancies, preferably, at boundary sites, perhaps forming bound defect complexes. Al impurity and Mg vacancies can form stable  $2Al_{Mg}^{\bullet} + V_{Mg}^{''}$ 232 233 configuration (Ammann et al. 2012) that we have also found to favor the boundary over the bulk. 234 It is important to note that impurity-controlled defects are of extrinsic origin (i.e., they are not 235 thermally produced). Our calculated migration enthalpies thus represent effective activation enthalpies  $(E_A's)$  for extrinsic diffusion, which can be used in the Arrhenius equation for the 236 diffusion coefficient:  $D = D_0 \exp[-E_A / RT]$  (where  $D_0$ , R and T are the pre-exponential 237 238 diffusion coefficient, gas constant and temperature, respectively) to make some quantitative 9

comparison between the grain boundary and bulk diffusion contributions. Considering  $E_A$ 's of ~1.5 eV for migration down the boundary (and assuming the same pre-exponential factors for the boundary and bulk diffusion), we predict GB diffusion rates ( $D_{GB}$ ) to be several orders (10<sup>4</sup> to 10<sup>6</sup>) magnitudes higher than the bulk (lattice) diffusion rates ( $D_L$ ). Our predicted  $D_{GB}/D_L$  ratios are comparable with those estimated for dominant grain boundary diffusion of different ions for grain size of 1 cm or smaller expected in mantle minerals (Dohman and Mike 2010).

245 Our first-principles calculations suggest that not only vacancy defects but also impurities 246 readily segregate to the boundary, and pressure increasingly stabilizes all types of defects at the 247 boundaries, i.e., enhances segregation effects. Moreover, pressure enhancement of the ion 248 mobility at the boundary region relative to the bulk (lattice) becomes more effective at higher 249 pressures. The predicted high defect activity can be considered to arise from the electrostatic and 250 elastic forces present at the boundary region increasing with pressure. Our results can have 251 profound implications for the lower mantle because (Mg,Fe)O is considered to be the second 252 most abundant material of this region. First, the predicted large segregation effects and low 253 activation migration enthalpies imply that the grain boundaries could be regions of primary 254 storage for point defects (impurities) in mantle rocks, consistent with suggestions based on low-255 pressure experimental observations of large segregation of incompatible elements, in particular, 256 (Yan et al. 1998; Hiraga and Kohlstedt 2007). Also, the ionic transport in the deep mantle 257 mediated by grain boundary diffusion could cover long distances (several kilometers) over the 258 geological time scales thereby controlling geochemical processes via elemental redistribution 259 and fractionation (Hiraga et al. 2007; Hayden and Watson 2007). Second, enhanced ionic diffusion could facilitate creep process, which is thought to drive mantle convection (Poirier 260 261 1985). To further assess these implications, we anticipate further study of more grain boundaries 262 and their effects on point defects in other key mantle minerals. Also important is to study the 263 effects of temperature on the boundary structures, and the boundary incorporation and migration 264 of defects, which we anticipate to simulate using the first-principles molecular dynamics method.

265

266

#### ACKNOWLEDGEMENTS.

This work was funded by National Science Foundation (EAR 1014514). High performance computing resources were provided by Louisiana State University (http://www.hpc.lsu.edu).

11/12

271	<b>REFERENCES CITED</b>					
272 273 274 275	Adjaoud, O., Marquardt, K., and Jahn, S. (2012) Atomic structures and energies of grain boundaries in Mg <sub>2</sub> SiO <sub>4</sub> forsterite from atomistic modeling. Physics and Chemistry of Minerals, 39, 749–760.					
276 277	Ammann, M.W., Brodholt, J.P., and Dobson, D.P. (2012) Diffusion of aluminum in MgO from first principles. Physics and Chemistry of Minerals, 39, 503–514.					
278 279 280	Browning, N.D., Buban, J.P., Moltaji, H.O., and Duscher, G. (1999) Investigating the structure- property relationships at grain boundaries in MgO using bond-valence pair potentials and multiple scattering analysis. Journal of American Ceramic Society, 82, 366–372.					
281 282	Chang, Y.M., Henriksen, A. F., and Kingery, W.D., 1981. Characterization of grain boundary segregation in MgO. J. Am. Ceram. Soc. 64, 385 – 389.					
283 284 285	Dohmen, R., and Milke, R., (2010) Diffusion in polycrystalline materials: Grain boundaries, mathematical models, and experimental data. In: Diffusion in Minerals and Melts. Reviews of Mineralogy and Geochemistry, 72, 921–970.					
286 287	Duffy, D.M. (1986) Grain boundaries in ionic crystals. Journal of Physics C: Solid State Physics, 19, 4393–4412.					
288 289	Ghosh, D.B., and Karki, B.B. (2014) First principles simulations of the stability and structure of grain boundaries in Mg <sub>2</sub> SiO <sub>4</sub> forsterite, Physics and Chemistry of Minerals, 41, 163–171.					
290 291 292	Harris, D.J., Watson, G.W., and Parker, S.C. (1997) Vacancy migration at the {410}/[001] symmetric tilt grain boundary of MgO: An atomistic simulation study. Physical Review B, 56, 11477–11484.					
293 294 295	Harris, D.J., Watson, G.W., and Parker, S.C. (1999) Computer simulation of pressure-induced structural transitions in MgO [001] tilt grain boundaries. American Mineralogist, 84, 138–143.					
296 297 298	Harris, D.J., Watson, G.W., and Parker, S.C. (2001) Atomistic simulation studies on the effect of pressure on diffusion at the MgO {410}/[001] tilt grain boundary. Physical Review B, 64, 134101.					
299 300	Hashimoto, H., and Hama, M. (1971) Preferential diffusion of oxygen along grain boundaries in polycrystalline MgO. Journal of Applied Physics, 43, 4828–4829.					
301 302	Hayden, L.A., and Watson, E.B. (2007) A diffusion mechanism for core-mantle interaction. Nature, 450, 709–712.					
303 304	Hiraga, T., Anderson, I.M., and Kohlstedt, D.L. (2004) Grain boundaries as reservoirs of incompatible elements in the Earth's mantle. Nature, 427, 699–703.					
305 306 307	Hiraga, T., and Kohlstedt, D.L. (2007) Equilibrium interface segregation in the diopside- forsterite system I: Analytical techniques, thermodynamics, and segregation characteristics. Geochima et Cosmochimica Acta, 71, 1266-1280.					
308 309 310	Hiraga, T., Hirschmann, M.M., and Kohlstedt, D.L. (2007) Equilibrium interface segregation in the diopside-forsterite system II: Applications of interface enrichment to mantle geochemistry. Geochima et Cosmochimica Acta, 71, 1281-1289.					

- Ita, J., and Cohen, R.E. (1997) Effects of pressure on diffusion and vacancy formation in MgO
   from nonempirical free-energy integrations. Physical Review Letters, 79, 3198–3201.
- Karki, B.B., and Khanduja, G. (2006) Vacancy defects in MgO at high pressure. American
   Mineralogist, 91, 511–516.
- Karki, B.B., and Kumar, R. (2007) Computer simulation of grain boundary structures in
   minerals. Proceedings of ICCES'07, 885–891.
- Kingery, W.D. (1974) Plausible concepts necessary and sufficient for interpretation of ceramic
   grain boundary phenomena. I. Grain boundary characteristics, structure, and electrostatic
   potential. Journal of American Ceramic Society, 57, 18.
- Kizuka, T., Iijima, M., and Tanaka, N. (1998) Atomic process of electron-irradiation-induced
   grain boundary migration in MgO tilt boundary. Philosophical Magazine A, 77, 413–422.
- Kresse, G., and Furthmuller, J. (1996) Efficiency of ab-initio total energy calculations for metals
   and semiconductors using a plane-wave basis set. Computational Materials Science, 6, 15–
   50.
- McKenna, K.P., and Shluger, A. (2008) Electron-trapping polycrystalline materials with negative
   electron affinity. Nature Materials, 7, 859–872.
- McKenna, K.P., and Shluger, A. (2009) First-principles calculations of defects near a grain
   boundary in MgO. Physical Review B, 79, 224116.
- Oishi, Y., and Kingery, W.D. (1960) Oxygen diffusion in periclase crystals. Journal of Chemical
   Physics, 33, 905–906.
- Poirier, J.P. (1985) Creep of Crystals: high-temperature deformation processes in metals,
   ceramics and minerals. Cambridge University Press, Cambridge.
- 333 Sutton, A.P., Balluffi, R.W. (1995) Interfaces in Crystalline Materials (Clarendon, Oxford).
- Van Orman, J.A., Fei, Y., Hauri, E.H., Wang, J. (2003) Diffusion in MgO at high pressures:
  Constraints on deformation mechanisms and chemical transport at the core-mantle boundary.
  Geophysical Research Letters, 30, 1056, doi:10.1029/2002GL016343.
- Verma, A.K., and Karki, B.B. (2009) Ab initio investigation of native and protonic point defects
   in Mg<sub>2</sub>SiO<sub>4</sub> polymorphs under high pressure. Earth and Planetary Science Letters, 285, 140–
   149.
- Verma, A.K., and Karki, B.B. (2010) First-principles simulations of MgO tilt grain boundary:
   Structure and vacancy formation at high pressure. American Mineralogist, 95, 1035–1041.
- Wang, Z., Saito, M., McKenna, K.P., Gu, L., Tuskimoto, S., Shluger, A.L., and Ikuhara, Y. (2011)
  Atom resolved imaging of ordered defect superstructures at individual grain boundaries.
  Nature, 479, 380–383.
- Wuensch, B.J., and Vasilos, T. (1966) Origin of grain boundary diffusion on MgO. Journal of
   American Ceramic Society, 49, 433-463.
- Yan, Y., Chisholm, M.F., Duscher, G., Maiti, A., Pennycook, S.J., and Pantelides, S.T. (1998)
  Impurity induced structural transformation of a MgO grain boundary. Physical Review
  Letters, 81, 3675–3678.
  - 13

351

352 TABLE 1. Segregation energies (in eV) for various impurities (Ca, Al, and proton) at different sites (A, B, C, and D shown in Fig. 1) of the {310} tilt symmetric (0 GPa) and asymmetric (0, 50 353 and 100 GPa) boundaries. All energies are defined with respect to the bulk site of the boundary-354 bearing supercell. Mg-vacancy segregation energies are shown for comparison. 355

356

3	5	7
~	_	~

357 358 359		Site	$V^{''}_{Mg}$	$Ca^{ imes}_{Mg}$	$\mathrm{Al}_{\mathrm{Mg}}^{\times}$	$2 H_{\text{Mg}}^{\times}$	p <sub>i</sub>
360 361		Α	0.05	-0.10	-0.19	-1.00	
362	(210) gym	В	0.18	-0.93	0.02	-1.14	15
363 364	{510} sym	С	-0.21	-0.72	0.17	-0.77	-1.5
365	0 GPa	D	0.73	-0.05	-0.13	-0.64	
367		Α	0.13	-0.03	0.01	-0.79	
368	(210)	В	-1.30	0.19	0.31	-0.46	1.5
369 370	{310} asym	С	0.24	0.47	-0.17	-0.85	-1.5
371	0 GPa	D	-1.13	0.00	0.37	-1.06	
373		Α	1.17	-0.42	0.02	-1.41	
374	(210) again	В	-1.82	-0.15	0.62	-2.27	2.7
375 376	{510} asym	С	1.16	1.52	-0.37	-0.90	-2.1
377	50 GPa	D	-1.21	0.0	0.54	-2.15	
379		Α	-1.01	-2.10	0.63	-1.42	
380	{310} asym 100 GPa	В	-2.67	-1.73	0.77	-2.37	
381 382		С	-0.90	0.36	-0.53	-0.98	-2.3
383 384		D	-2.50	-0.54	0.16	-2.63	

**TABLE 2**. Activation enthalpies (in eV) for  $Mg^{2+}$  and  $O^{2-}$  ion migration *along* and *down* the {310}, {210} and {410} symmetric boundaries at 0 GPa and {310} asymmetric boundaries at 50 and 100 GPa. The bulk values are from Karki and Khanduja (2006). Previous MD results for the {410} symmetric boundary are from Harris et al. (1997).

- 390
- 391

	Along boundary		Down bo	oundary	Bulk		
	Mg	0	Mg	0	Mg	0	
{310}, 0 GPa	2.1	2.2	1.5	1.3	2.6	2.4	
{210}, 0 GPa	2.3	2.4	1.2	1.4			
{410}, 0 GPa	2.3	2.2	1.7	1.5			
	(2.3)	(2.3)	(1.1)	(1.0)			
{310}, 50 GPa	3.1	3.9	1.2	1.2	3.2	3.5	
{310}, 100 GPa	3.5	4.3	1.6	1.7	3.8	4.2	

392

393

394

**TABLE 3.** Activation enthalpies (in eV) for interstitial migration of impurities  $(Ca^{2+}, Al^{3+}, and proton)$  and host cation  $(Mg^{2+})$  *along* and *down* the {310} symmetric (0 GPa) and asymmetric (50 and 100 GPa) boundaries.

	Along boundary				Down boundary			
	Mg	Ca	Al	Н	Mg	Ca	Al	Н
0 GPa	2.6	3.0	3.2	1.2	0.4	0.2	0.7	1.0
50 GPa	2.3	2.6	1.9	2.1	0.8	1.5	1.3	3.0
100 GPa	2.4	2.7	2.1	1.2	1.3	1.3	1.2	1.9

FIGURE 1. Local structures of Ca impurity substituted the boundary and bulk sites at zero pressure (left) and 100 GPa (right) shown as polyhedra. Ca-O bond lengths are compared with Mg-O bond lengths (parenthesis). All boundary sites (*A*, *B*, *C* and *D*) are also marked. The green

- 403 (small) and red (large) spheres represent Mg and O atoms, respectively.
- 404



FIGURE 2. Local migration directions and energies (in eV) for  $Mg^{2+}$  ion (first number) and  $O^{2-}$ ion (second number) in the {310} symmetric boundary at 0 GPa (left) and asymmetric boundary at 100 GPa (right). The green (small) and red (large) spheres represent Mg and O atoms, respectively. The sites are labeled with the subscript L for the left grain and R for the right grain.



- 423 **FIGURE. 3.** Paths for cation (Mg/Ca/Al) migration at 0 and 100 GPa. The successive positions
- 424 of the migrating ion are displayed by large sphere with gray color representing the *z*-coordinate.
- 425 The green (small) and red (large) spheres represent Mg and O, respectively.



- 426
- 427
- 428
- 429
- 430
- 431
- 432
- 433
- 434
- ....
- 435
- 19

FIGURE 4. Predicted migration paths for interstitial proton at 0 and 100 GPa. Proton at its
successive positions is shown by large sphere with grey-scale representing the *z*-coordinate.
Some Mg-O bonds at GB are removed for the clarity. The green (small) and red (large) spheres
represent Mg and O, respectively.

- 441
- 442



443

444

445