<b>REVISION 1</b>				
Petalite under Pressure: Elastic Behavior and Phase Stability				
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# Abstract

14 The lithium aluminosilicate mineral petalite (LiAlSi<sub>4</sub>O<sub>10</sub>) has been studied using high-15 pressure single-crystal X-ray diffraction (HP-XRD) up to 5 GPa. Petalite undergoes two 16 fully reversible pressure-induced first-order phase transitions, not previously reported in 17 the literature, at ca. 1.5 and 2.5 GPa. The first of these transforms the low-pressure  $\alpha$ -18 phase of petalite (P2/c) to an intermediate  $\beta'$ -phase that then fully converts to the high-19 pressure  $\beta$ -phase at *ca*. 2.5 GPa. The  $\alpha \rightarrow \beta$  transition is isomorphic and is associated 20 with tripling of the unit cell volume. Analysis of the HP-XRD data show that although 21 the fundamental features of the petalite structure are retained through this transition, there 22 are subtle alterations in the internal structure of the silicate double-layers in the  $\beta$ -phase 23 relative to the  $\alpha$ -phase. Measurement of the unit cell parameters of petalite as a function of pressure, and fitting of the data with 3<sup>rd</sup> order Birch-Murnaghan equations of state, has 24 provided revised elastic constants for petalite. The bulk moduli of the  $\alpha$  and  $\beta$ -phases are 25 26 49(1) and 35(3) GPa, respectively. These values indicate that the compressibility of the- $\alpha$ 27 phase of petalite lies between the alkali feldpsars and alkali feldspathoids, whereas the β-28 phase has a compressibility more comparable with layered silicates. Structure analysis 29 has shown that the compression of the  $\alpha$ -phase is facilitated by the rigid body movement 30 of the Si<sub>2</sub>O<sub>7</sub> units from which the silicate double-layers are constructed. 31 32 **Keywords:** Petalite, high-Pressure, single-crystal X-ray diffraction, equation of state, 33 phase transition 34 35 36 37 Introduction 38 39 The lithium aluminosilicate mineral petalite is one of the three most abundant naturally 40 occurring lithium-rich minerals, and is of fundamental relevance in the evaluation of 41 conditions associated with magmatic pegmatite crystallization processes. The crystal 42 chemistry of the low-pressure  $\alpha$ -phase of petalite has been the subject of extensive study 43 (Černý & London, 1983 and references therein). 44 The petalite structure can be described as a 3-dimensional  $AlSi_4O_{10}$  framework 45 consisting of puckered double-sheets of corner sharing  $SiO_4$  tetrahedra stacked parallel to

46 the (100) plane with corner-sharing  $AlO_4$  tetrahedra that bridge neighboring layers (Fig. 47 1). The neutrality of the structure is ensured by the presence of lithium cations residing 48 within channels that propagate through the structure in the [101] direction. The Li 49 cations are bonded to four oxygen atoms and the resulting LiO<sub>4</sub> polyhedra possess 50 geometries that are intermediate between those of an idealized tetrahedron and a perfect square planar arrangement. Both spectroscopic and diffraction studies have shown that all 51 52 cation sites within naturally occurring specimens of petalite are fully ordered (Černý & 53 London, 1983; Tagai et al., 1982) and our data concur with this finding.

54 The structure of petalite was originally reported in the non-standard P2/a setting of 55 space group P2/c (Tagai et al., 1982). However, for the high pressure X-ray diffraction 56 (HP XRD) analysis discussed in this contribution we have chosen to represent, for 57 consistency and ease of scrutiny, all petalite phases in a standard P2/c setting. The 58 crystallographic basis of this P2/c setting (**a**, **b**, and **c**) is related to that of the original 59 P2/a setting (**a**, **b**, and **c**) by the following expressions:  $\mathbf{a} = -\mathbf{a} - \mathbf{c}$ ;  $\mathbf{b} = \mathbf{b}$ ; and **c** 60 = **a**. The following description of the  $\alpha$ -phase is consistent with the structure in the 51 standard P2/c format. It should be noted, however, that a non-conventional metric unit 52 cell has been chosen to ensure that the structural features align with the unit cell axes.

63 Although there are several reported studies of the relative thermodynamic stability 64 and phase equilibria of the NaAlSiO<sub>4</sub>-LiAlSiO<sub>4</sub>-SiO<sub>2</sub>-H<sub>2</sub>O system (Fasshauer et al., 1998; 65 London, 1984; Haussühl et. al., 2012), of which petalite is a key member, there is a lack 66 of accurate high pressure structural and elasticity data for petalite. The objective of this 67 study was to rectify this situation, and herein we report an extensive HP-XRD analysis of 68 petalite and describe in detail a previously undiscovered high-pressure phase of this 69 mineral ( $\beta$ -phase). Furthermore, we have collected precise unit cell data as a function of 70 pressure, and these have enabled us to determine accurate elastic constants for both the 71 low and high-pressure phases of petalite.

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#### **Experimental Details**

Two HP-XRD experiments were performed with colorless petalite sourced from the Araçuaí pegmatite district, Jequitinhonha Valley, Minas Gerais, Brazil. The first of these conducted with sample 1 allowed for collection of highly accurate and precise unit cell parameters as a function of pressure. These data were employed in the calculation of the elastic constants of  $\alpha$  and  $\beta$ -phases. The second petalite crystal (sample 2) was larger than

80 sample 1 and was used for the measurement of diffraction data for structural analysis.

81 The following experimental details apply to both crystals unless stated otherwise.

82 A single crystal of petalite (sample 1:  $140 \times 160 \times 25 \,\mu\text{m}^3$ , sample 2: 83  $176 \times 313 \times 30 \,\mu\text{m}^3$ ) was loaded in to an ETH diamond anvil cell (DAC) (Miletich et al., 84 2000) fitted with diamonds with culets 600  $\mu$ m (sample 1) or 650  $\mu$ m (sample 2) in 85 diameter. The sample chamber was a cavity drilled in a stainless-steel gasket that was fitted within the DAC, and had dimensions of  $300 \times 90 \text{ }\mu\text{m}^2$  (sample 1) or  $400 \times 90 \text{ }\mu\text{m}^2$ 86 (sample 2). In the case of sample 1 a quartz crystal ( $60 \times 80 \times 30 \ \mu m^3$ ) was included in the 87 88 DAC for pressure calibration measurements (Angel et al., 1997). For the experiment with 89 sample 2, a ruby ball was added to the DAC to act as the pressure calibrant (Mao et al., 90 1978). After initial unit cell determinations at ambient pressure, a 4:1 MeOH:EtOH 91 solvent mixture was added to the DAC to act as the pressure transmitting medium; this 92 particular alcohol mixture is known to remain hydrostatic up to 9.8 GPa (Angel et al., 93 2007).

Precise unit cell parameters were determined with a Huber diffractometer equipped with an Eulerian cradle and point detector. The instrument was controlled with the SINGLE software (Angel and Finger, 2011). The method employed for the determination of the unit cell parameters was based on the 8-position centering of the sample reflections (King Jr. and Finger, 1979). High-pressure unit cell data for petalite sample 1 were collected over 0–4.5 GPa. When the pressure was raised to *ca*. 5 GPa the crystal disintegrated, possibly due to a phase transition, and the experiment was terminated.

HP-XRD data for structure determinations were collected with sample 2 at room
 temperature on an Oxford Diffraction Xcalibur II (α-phase data collections) or Xcalibur I

103 data collection) instrument with monochromated Mo- $K_{\alpha}$  radiation (B-phase 104  $(\lambda = 0.71073 \text{ Å})$ ; these diffractometers are equipped with a point and charge-coupled 105 device (CCD) detectors, respectively. Data were processed with standard instrument 106 software (Agilent Technologies, 2012). Absorption corrections were applied with the 107 ABSORB (Angel, 2005) program, and the data corrected for DAC dips and other 108 statistical anomalies with AVERAGE. All structure solutions and refinements were 109 performed with Olex2 (Dolomanov et al., 2009). The structures of all phases of petalite 110 were solved directly from the high-pressure CCD data by direct methods. Structural refinements were performed on  $F^2$  by full-matrix least-squares refinement techniques. 111 112 Due to the limited data obtained from the HP-XRD data collections no anisotropic 113 parameters were refined for any of the structural models. Two of the SiO<sub>4</sub> tetrahedra in 114 the structural model of the  $\beta$ -phase were found to be disordered and were modeled 115 accordingly. It was not possible to refine the relative occupancies of the two components 116 of the disorder models so they were fixed to be 50%, and the  $U_{iso}$  values of the atoms 117 were fixed to be equal. Where necessary Si–O bond length constraints were applied to the disordered components. Not surprisingly the thermal parameters for the Li<sup>+</sup> ions did not 118 119 refine to reasonable values. To overcome this issue the  $U_{iso}$  values of the two symmetry independent  $Li^+$  ions were constrained to be equal. Table 1 summaries the key 120 121 crystallographic refinement parameters obtained in this study. HP-XRD data in CIF 122 format for the  $\alpha$ -phase [at room pressure, and at 0.32(5), 0.56(5), 0.89(5), and 123 1.25(5) GPa] and the  $\beta$ -phase [at 2.71(5) GPa] have been deposited with the American 124 Mineralogist Crystal Structure Database.

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127	Results and Discussion
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129	Elastic Constants
131	Figure 2 shows the evolution of the petalite unit cell volume with increasing pressure and
132	Table 2 lists the unit cell parameters of petalite as a function of pressure. A graphical
133	representation of these data and an $F_E$ vs. $f_E$ plot are provided in Figures 3 and 4,
134	respectively. The $P-V$ data show the occurrence of two reversible pressure-induced phase
135	transitions, the first at ca. 1.5 GPa and the second at ca. 2.5 GPa. These transitions are
136	first order in nature, and proceed in the order: $\alpha$ -phase $\rightarrow \beta$ '-phase $\rightarrow \beta$ -phase, where the
137	$\beta$ '-phase is an intermediate phase (see section 3.2.2). The overall consequence of the
138	$\alpha \rightarrow \beta$ transition is a tripling of the unit cell volume; this is most obvious in the CCD
139	images of the two phases (Fig. 5) in which superlattice reflections are present at $\mathbf{q} = \frac{1}{3}\mathbf{c}^*$
140	in the diffraction pattern of the $\beta$ -phase.

141 The P-V data for both the  $\alpha$  and  $\beta$ -phases have been fitted, by a least-squares technique, with 3<sup>rd</sup> order Birch-Murnaghan (BM) equations of state (EoS) (Angel, 142 143 EOS-FIT). These fits allow for the elucidation of the elastic constants of these two 144 phases, and these are presented in Table 3. However, attention must be given to the 145 estimated standard deviation (esd) values reported with these constants, in particular 146 those for the  $\beta$ -phase, as these are perhaps larger than ideal – this is a consequence of the 147 limited number of available data points to which the EoS functions are fitted. 148 Nonetheless, these constants are notably more accurate and appropriate than those 149 reported by Fasshauer et al. (1998). These authors evaluated the elastic constants for 150 petalite from synchrotron data, yet they did not account for the occurrence of the pressure 151 induced phase transitions and 'cycled' the pressure on the sample, thus forcing the

152 crystalline sample to pass through these transitions multiple times. It is therefore not 153 surprising that their reported values for the elastic constants of petalite (Table 3) differ 154 from those determined in this study. However, our experimentally determined value of  $K_0$ 155 for the  $\alpha$ -phase of petalite is in excellent agreement with value reported by Haussühl et al. 156 (2012), 49(1) GPa, that was derived from density functional theory (DFT) calculations 157 based on elastic stiffness coefficients determined by resonant ultrasound spectroscopy 158 (RUS) measurements conducted under ambient conditions (*i.e.* with  $\alpha$ -phase petalite 159 samples). Yet, these authors also reported DFT calculations of the petalite unit cell 160 volume as a function of pressure (up to 30 GPa) that also failed to identify the 161  $\alpha \rightarrow \beta' \rightarrow \beta$  phase transitions.

162 Table 4 lists elastic constants for selected tetrahedral framework minerals and layered 163 silicates for comparison with petalite. It is apparent that the bulk modulus of the  $\alpha$ -phase 164 of petalite is slightly more compressible than the alkali feldspars and slightly less 165 compressible than the alkali feldspathoids. In contrast, the bulk modulus of the  $\beta$ -phase of 166 petalite is more akin to those of quartz and the archetypical layered silicate talc in which 167 the SiO<sub>4</sub> layers are not pillared in the third dimension by either AlO<sub>4</sub> or AlO<sub>6</sub> polyhedra, 168 and with pyrophyllite that comprises stacked aluminosilicate layers that are also not 169 covalently linked via bridging polyhedra. This would suggest that the presence of the 170  $AlO_4$  tetrahedra that interconnect the SiO<sub>4</sub> double sheets (Fig. 1) has a minimal impact on 171 the ability of the petalite framework to withstand pressure.

172 It would be constructive to quantify the compressibilities ( $\beta_i$ ) of the three 173 crystallographic axes from the unit cell length *vs.* pressure data for petalite (Fig. 3). For 174 an orthogonal unit cell ( $\alpha = \beta = \gamma = 90^\circ$ ) a reasonable approximation of a  $\beta_i$  value can be 175 obtained by fitting the axis length vs. pressure data with an appropriate BM EoS and from the axial bulk modulus ( $K_i$ )  $\beta_i$  can be estimated with the equation  $\beta_i = (3K_i)^{-1}$ . However. 176 177 this approximation assumes that the strain tensor is restricted, by symmetry, from 178 rotating. Yet, in the case of a monoclinic system one of the three unit cell angles is free to 179 change, thus the requisite symmetry constraints for this approximation are violated. 180 Indeed, to evaluate the axial compressibilities for a non-orthogonal unit cell it is 181 necessary to calculate the full strain tensor, yet due to the limited number of data points 182 available for  $\alpha$  and  $\beta$ -phases of petalite such calculations are not feasible.

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## High pressure structural analysis

 $\alpha$ -phase compression mechanism. To aid in the interpretation of the structural 186 187 changes experienced by the  $\alpha$ -phase in response to pressure, it is beneficial to first 188 ascertain the degree of distortion exhibited by the polyhedra comprising the petalite 189 framework. Such distortion of the individual cation tetrahedra can be estimated from the 190 tetrahedral angular variance ( $\sigma_{tet}$ ) and quadratic elongation ( $\langle \lambda_{tet} \rangle$ ) parameters (Robinson 191 et al., 1971). These distortion parameters for the AlO<sub>4</sub>, SiO<sub>4</sub>, and LiO<sub>4</sub> polyhedra within 192 the  $\alpha$ -phase of petalite are listed in Table 5 as a function of pressure. Also included in this 193 table are the volumes of the polyhedra  $(V_{poly})$  and the center-to-vertex distance  $(l_0)$  for a 194 geometrically ideal tetrahedron ( $\sigma_{tet} = 0$ ,  $\langle \lambda_{tet} \rangle = 1$ ) with a volume equal to that of the 195 distorted polyhedron. It is evident that after an initial adjustment to the applied pressure 196 the nearly geometrically ideal SiO<sub>4</sub> tetrahedra remain unaltered with increasing pressure; 197 this finding is also reflected in the lack of statistically significant pressure-induced 198 changes in the bond lengths and angles associated with these tetrahedra (Table 6). 199 Consequently, the SiO<sub>4</sub> tetrahedra can be treated as rigid units, at least over the pressure

200	range investigated ( $< 5$ GPa). Unlike the SiO <sub>4</sub> tetrahedra, the AlO <sub>4</sub> tetrahedra are greatly
201	distorted, but the $\sigma_{tet}$ values for this unit are suggestive, but not conclusive, of an overall
202	small reduction in the degree of distortion of these polyhedra with increasing pressure.
203	A rigid unit approximation cannot be extended to the LiO <sub>4</sub> units. These polyhedra are
204	best considered as possessing geometries that are intermediate between those of an
205	idealized tetrahedron ( $\sigma_{tet} = 0$ ) and a perfect square planar arrangement ( $\sigma_{tet} = 47.886$ ).
206	The variation in the elongation parameter, $\langle \lambda_{tet} \rangle$ , of the LiO <sub>4</sub> polyhedra with pressure is
207	negligible, indicating that there is virtually no change with pressure in the deviation of
208	the Li–O bond lengths from their optimum length $(l_0)$ at that specific pressure. This
209	implies that any pressure-induced distortions of the LiO <sub>4</sub> polyhedra must be facilitated by
210	adjustments in the O-Li-O angles rather than by disproportionate changes in the Li-O
211	bond lengths. This conclusion is further supported by the decrease in the $\sigma_{tet}$ parameter
212	with increasing pressure that signifies a reduction in angular distortion of the $LiO_4$ unit as
213	it tends towards a more regular tetrahedral arrangement with increasing pressure.
214	As discussed in section 3.1, the bulk modulus of the $\alpha$ -phase is similar to that of
215	silicate minerals composed of either silicate or aluminosilicate layers that are not
216	covalently linked in the third dimension. This result would suggest that the AlO <sub>4</sub>
217	tetrahedra play a minor role in the compression mechanism of this phase. Consequently,

one must expect, perhaps counter-intuitively, that compression of the structure is enabled by changes within the silicate double-layers. Further support for this hypothesis is provided by the percentage decreases in the unit cell axes over the 0–1.5 GPa range:

221 ~0.9% (a-axis), ~1.6% (b-axis), and ~1.4% (c-axis). These values indicate that

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222 compression within the silicate layers parallel to the (100) plane is favored over 223 compression in the [100] direction that lies  $\sim 51^{\circ}$  from the *a*\*-axis. 224 To aid in the evaluation of the pressure response of the  $\alpha$ -phase, the structure of the

225 silicate double-layers can be simplified by applying the rigid unit approximation to the 226  $SiO_4$  tetrahedra. Furthermore, as oxygen atom O3 resides on an inversion centre (2d) the compressional mechanism must not incorporate any change in the Si1-O3-Si1<sup>viii</sup> bond 227 228 angle, or rotation of the connected (Si1)O<sub>4</sub> tetrahedra relative to each other. This second 229 symmetry constraint also applies to the connected  $(Si2)O_4$  tetrahedra because the 230 bridging oxygen atom O5 is located on a 2-fold axis (2f). Fortuitously, although there are no symmetry restrictions on the Si2–O5–Si2<sup>ix</sup> bond angle, there is no statistically relevant 231 232 change in this angle with pressure (Table 6). Consequently the Si<sub>2</sub>O<sub>7</sub> units, which are 233 shown as light grey and purple in Figure 6, may also be treated as rigid entities. Therefore 234 the compression mechanism must be due primarily to tilting and/or rotation of these 235 Si<sub>2</sub>O<sub>7</sub> units relative to each other.

236 The 'pivot points' for these possible motions are atoms O1, O2, O4 and O6, thus to 237 evaluate the behavior of the structure it is necessary to assess changes to the bond angles involving these atoms (Table 6). The  $O1 \cdots O4 \cdots O2^{i}$  and  $O4 \cdots O2^{i} \cdots O1^{i}$  angles represent 238 239 the hinges for the corner sharing Si<sub>2</sub>O<sub>7</sub> units, and they increase and decrease with increasing pressure, respectively (Table 6). Moreover, the Si1<sup>i</sup>-O2<sup>i</sup>-Si2 (symmetry 240 equivalent to the Si1–O2–Si2<sup>vii</sup> angle in Table 6) and Si1–O4–Si2 angles decrease with 241 242 increasing pressure. It is the concerted changes in these angles with increasing pressure 243 that allows for contraction of the structure along the crystallographic *b*-axis. The concomitant opening of the Si2-O6-All (symmetry equivalent angle Si2<sup>ix</sup>-O6<sup>ix</sup>-All<sup>x</sup> 244

shown in Fig. 6) hinge permits compression along the *c*-axis and an overall reduction in the  $\beta$ -angle.

The ultimate consequence that can be envisaged as a result of these concerted hinge motions is movement of  $Si_2O_7$  units relative to each other such that there is subtle lessening in the corrugation of the double-layers. This in turn will result in a small decrease in the length of the *a*-axis in response to pressure, exactly as observed in the experimental data (Fig. 3).

Unfortunately, the estimated standard deviation values associated with the bond lengths and angles involving the  $Li^+$  ion are too high to allow for a conclusive determination of the mechanism that causes a reduction in the  $LiO_4$  polyhedral distortion with increasing pressure. However, the data do suggest that the principal driving force for the decrease in distortion is facilitated by the movement of the  $(Si2)_2O_7$  units, as evidenced by the decrease in the Si2–O6–Li1 angle with increasing pressure and the absence of changes in the Li1–O1–Si1 angles.

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260 Crystal structure of the β-phase. At *ca*. 1.5 GPa the compression mechanism for the 261  $\alpha$ -phase reaches a limiting point and the crystal undergoes a first-order phase transition to 262 an immediate phase denoted herein as the  $\beta'$ -phase. The quality of the diffraction data for 263 this phase precluded determination of its crystal structure, but it is likely to be a 264 composite phase comprising structural features associated with both the  $\alpha$  and  $\beta$ -phases, 265 but given the unit cell parameters for this phase it is probably most akin to the structure 266 of the  $\beta$ -phase, and may possibly be an incommensurate phase. At *ca*. 2.5 GPa the crystal 267 fully converts to the  $\beta$ -phase.

268 Given that the compression mechanism of the  $\alpha$ -phase is enabled by the rigid motion 269 of the Si<sub>2</sub>O<sub>7</sub> units, one may reasonably expect that once this mechanism reaches its 270 limiting point that the subsequent phase transition would incorporate an increase in the 271 conformational freedom of these units, perhaps via breaking of the inversion and 2-fold 272 symmetries of the  $(Si1)_2O_7$  and  $(Si2)_2O_7$  units. Therefore, the expected candidate space 273 groups for the  $\beta$ -phase would be the monoclinic translationengleiche subgroups (t 274 subgroups) of P2/c, P2 and Pc. Yet, the klassengleiche index (k-index) for this first-order 275 transition equals three and this precludes the  $\beta$ -phase belonging to a t subgroup of P2/c. 276 In fact, the  $\alpha \rightarrow \beta$  transition is isomorphic with only  $\frac{2}{3}$  of the Si<sub>2</sub>O<sub>7</sub> units exhibiting a 277 reduction in symmetry *i.e.* tilting/rotation of the  $SiO_4$  units relative to each other (Fig. 278 7a).

279 Unfortunately, because of the disorder exhibited by two of the six symmetry 280 independent SiO<sub>4</sub> tetrahedra, which also impact the geometries of the AlO<sub>4</sub> and LiO<sub>4</sub> 281 polyhedra, a comparative analysis of the tetrahedral distortion parameters for the cation 282 polyhedra would be redundant. Furthermore, a detailed discussion of the variations in the 283 construction of the four unique  $Si_2O_7$  units (color coded in Fig. 7) is also of questionable 284 value. However, we can assess the broader structural differences between the two petalite 285 phases. The framework of the  $\beta$ -phase comprises structural features that are essentially 286 analogous to those observed in the crystal structure of the  $\alpha$ -phase (Fig. 1), namely 287 silicate double layers pillared by  $AlO_4$  tetrahedra. Yet, there are significant adaptations to 288 the internal structure of the silicate layers in the  $\beta$ -phase caused by the distortion in  $\frac{2}{3}$  of 289 the Si<sub>2</sub>O<sub>7</sub> units. An overlay of the crystal structures of the  $\alpha$ - and  $\beta$ -phases (Fig. 8) shows 290 that the major consequence of this internal rearrangement of the silicate layers is the

291 creation of two types of interlayer channels (denoted A and B in Fig. 8) with dimensions 292 notably different from the channels in the  $\alpha$ -phase that are all symmetry related. At 1.25(5) GPa the cross-sections of the channels in the  $\alpha$ -phase are *ca*. 3.24 × 4.01 Å<sup>2</sup>: for 293 the  $\beta$ -phase at 2.71(5) GPa the A channels are *ca*. 2.61× 4.14 Å<sup>2</sup> and the cross-sections of 294 the B channels are ca. 4.42  $\times$  2.64 Å<sup>2</sup>. These cross-sectional dimensions are only 295 296 approximations, but they do show that by converting the dimensions of the channels 297 during the phase transition the petalite structure is able to accommodate contraction along 298 the [001] direction. This transition is also accompanied by a small reduction in the 299 interlayer distance of *ca*. 0.2 Å. However, there do not appear to be any significant 300 differences in the coordination environments of the Li cations in the two phases.

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#### Implications

305 This high-pressure single-crystal XRD study of petalite has revealed, for the first time, 306 that petalite undergoes two first-order phase transitions within the 0-4.5 GPa pressure 307 range. The transformation of the low-pressure  $\alpha$ -phase to the high-pressure  $\beta$ -phase 308 proceeds via an intermediate phase,  $\beta'$ -phase, of unknown structure. The  $\alpha$  and  $\beta$ -phases 309 are structurally similar although there are small differences in the construction of the 310 silicate double-layers. The compression mechanism of the  $\alpha$ -phase has also been 311 elucidated and it has been shown that the rigid body movement of the  $Si_2O_7$  units 312 comprising the silicate double-layers is responsible for the compression of the structure. 313 It is of note that these modifications within the silicate layers lead to a reduction in the 314 distortion of the LiO<sub>4</sub> polyhedra with increasing pressure. This is also one of the first studies that provides details of how Li in 4-fold coordination with oxygen responds topressure.

317	The bulk moduli of the $\alpha$ - and $\beta$ -phases are 49(1) and 35(3) GPa, respectively,
318	showing that the high-pressure phase $(\beta)$ is more compressible than the low-pressure
319	phase ( $\alpha$ ). The bulk modulus for $\alpha$ is slightly lower than those reported for tetrahedral
320	frameworks such as the alkali feldspars and slightly greater than those reported for alkali
321	feldspathoids. The lower bulk modulus of the $\beta$ -phase, however, is closer in magnitude to
322	values reported for layered silicates such as talc and pyrophyllite. The results of this
323	study therefore enhance our understanding of the effect of composition and mechanisms
324	that control the compression of tetrahedral framework structures.
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<ul> <li>330</li> <li>331</li> <li>332</li> <li>333</li> <li>334</li> </ul>	Science Foundation through grant EAR-1118691 and support from the College of Science at Virginia Tech. The XCalibur2 instrument employed in this study was purchased through the NSF grant CHE-0131128. Research conducted at Oak Ridge National Laboratory was sponsored by the Scientific User Facilities Division, Office of Basic Energy Sciences, U.S. Department of Energy.

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## **Figure Captions**

**Figure 1.** The crystal structure of the low-pressure α-phase of petalite in the *P*2/*c* setting: (a) view along the [010] direction; (b) view along the [001] direction. Light and dark purple tetrahedra represent symmetry independent SiO<sub>4</sub> units, blue tetrahedra are associated with AlO<sub>4</sub> units, and the orange spheres represent Li<sup>+</sup> ions that reside within the cavities of the anionic AlSi<sub>4</sub>O<sub>10</sub> framework.

402

403 **Figure 2.** *V–P* data for petalite: data collected with two different petalite samples are 404 shown as solid (sample 1) and open circles (sample 2). Error bars have been included but 405 are smaller than the symbols. Solid black curves represent 3<sup>rd</sup> order BM-EoS fits to the 406 *V–P* data [ $w\chi^2 = 0.8215$ , α-phase, 0–1.5 GPa;  $w\chi^2 = 2.4719$ , β-phase, 2.5–4.5 GPa]. The 407 β-phase volume has been divided by three to normalize it with respect to the volume of 408 the α-phase.

409

410 **Figure 3.** Petalite unit cell parameters as a function of pressure: (A) axes lengths [circles: 411 *a*-axis; squares: *b*-axis; diamonds: *c*-axis]; (B) β-angle. The β-phase *c*-axis length has 412 been divided by three to normalize it with respect to the length of the α-phase *c*-axis.

413

414 **Figure 4.**  $F_e$  vs.  $f_e$  plot for petalite calculated from accurate and precise unit cell data 415 determined from eight-position centering of selected sample reflections (see section 3.1 416 in the manuscript).

417

418 **Figure 5.** 0kl projections of the HP-XRD data recorded with a CCD detector: (left) 419  $\alpha$ -phase (ambient pressure); (right)  $\beta$ -phase at 2.71(5) GPa.

420

Figure 6. Section of the silicate double-layer within the structure of the α-phase of petalite showing atom labels. Symmetry codes: (i) x, 1 + y, z; (viii) 1 - x, -y, 2 - z; (ix) 1 - x, y,  $\frac{3}{2} - z$ ; (x) x, y, 1 + z.

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Figure 7. The crystal structure of the high-pressure β-phase of petalite in the P2/c setting: (a) view along the [010] direction; (b) view along the [001] direction. Light and dark purple and brown polyhedra represent symmetry independent Si<sub>2</sub>O<sub>7</sub> units. Dark blue tetrahedra are AlO<sub>4</sub> units, and orange spheres represent Li<sup>+</sup> cations. For clarity, in the case of disordered SiO<sub>4</sub> units only a single component is shown.

430

Figure 8. Overlay of the α-phase (light grey) and β-phase (multicoloured) of petalite. The letters A and B denote the two symmetry independent cavities observed in the β-phase; only symmetry equivalent cavities are present in the crystal structure of the α-phase; Li<sup>+</sup> cations of this phase have been omitted for clarity. Note: these are exact overlays; no corrections for variations in the unit cell parameters of the two phases have been made. Dotted lines represent outlines of the unit cells. The red and green lines represent the *x* and *y* dimensions, respectively, used to measure the cross-sections of the channels.

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442	<b>Table 1.</b> Refinement details for petalite as a function of pressure.

	Pressure (GPa)					
	Ambient	0.32(5)	0.56(5)	0.89(5)	1.25(5)	2.71(5)
Phase	α-phase	α-phase	α-phase	α-phase	α-phase	β-phase
Crystal system	Monoclinic	Monoclinic	Monoclinic	Monoclinic	Monoclinic	Monoclinic
Space group	P2/c	P2/c	P2/c	P2/c	P2/c	P2/c
Ζ	2	2	2	2	2	6
a (Å)	11.2296(1)	11.2067(4)	11.1895(4)	11.1705(4)	11.1425(4)	10.830(1)
$b(\text{\AA})$	5.1396(3)	5.1228(4)	5.1100(4)	5.0963(4)	5.0723(4)	5.0530(3)
<i>c</i> (Å)	11.7514(5)	11.7160(7)	11.6872(7)	11.6569(7)	11.6092(7)	33.59(2)
β angle (°)	141.312(1)	141.243(2)	141.190(2)	141.134(2)	141.074(2)	140.6648(2)
$V(\text{\AA}^3)$	423.95(3)	421.07(4)	418.83(4)	416.41(4)	412.26(4)	1165.2(7)
$\rho_{cal}$ (g cm <sup>-3</sup> )	2.399	2.416	2.429	2.443	2.467	2.619
Unique reflns. $(I > 2\sigma I)$	398	283	449	451	429	885
R(int)	0.037	0.098	0.043	0.040	0.045	0.111
N <sup>o</sup> parameters	32	32	32	32	32	106
Nº restraints	0	0	0	0	0	0
GoF	1.193	1.203	1.125	1.171	1.154	1.586
<i>R</i> 1 ( <i>I</i> >2σ <i>I</i> )	0.0484	0.0456	0.0494	0.0513	0.0593	0.0955
wR2 (I>2σI)	0.1056	0.0999	0.1133	0.1181	0.1288	0.1748

**Table 2**. Unit cell parameters for petalite as a function of pressure. Data are listed in the 448 order in which they were measured. The β-phase *c*-axis length has been divided by three 449 to normalize it with respect to the length of the  $\alpha$ -phase *c*-axis.

Pressure (GPa)	<i>a</i> -axis (Å)	<i>b</i> -axis (Å)	c-axis (Å)	β angle	Volume (Å <sup>3</sup> )	
Sample 1						
0.000(7)	11.22860(3)	5.1385(1)	11.7486(2)	141.311(3)	423.74(4)	
0.343(6)	11.20328(9)	5.1194(2)	11.7096(5)	141.239(3)	420.47(5)	
0.355(7)	11.20553(4)	5.11908(9)	11.7091(2)	141.240(3)	420.50(4)	
0.670(7)	11.18278(7)	5.1023(1)	11.6730(3)	141.171(4)	417.60(5)	
1.041(7)	11.1547(1)	5.0822(9)	11.6290(2)	141.094(3)	414.06(4)	
1.472(7)	11.12857(9)	5.0556(1)	11.5803(3)	141.076(4)	409.35(5)	
2.038(8)	10.9432(2)	5.0512(2)	11.3745(3)	140.836(4)	397.08(5)	
2.639(8)	10.8334(6)	5.0444(2)	11.1830(3)	140.738(4)	386.77(6)	
3.33(1)	10.7221(4)	5.0348(2)	11.0256(4)	140.512(6)	378.49(4)	
3.782(9)	10.6409(2)	5.0346(2)	10.9077(4)	140.302(5)	373.25(6)	
4.35(1)	10.5284(2)	5.0346(2)	10.7402(4)	139.992(6)	366.00(7)	
		Sampl	le 2			
0.00	11.2296(1)	5.1396(3)	11.7514(5)	141.312(1)	423.96(5)	
0.32(5)	11.2067(2)	5.1228(4)	11.7160(7)	141.243(3)	421.07(7)	
0.56(5)	11.1895(2)	5.1100(5)	11.6872(7)	141.190(3)	418.83(7)	
0.89(5)	11.1705(3)	5.0963(4)	11.6569(8)	141.134(3)	416.41(8)	
1.25(5)	11.1425(2)	5.0723(5)	11.6092(9)	141.074(3)	412.26(9)	
2.07(5)	10.9342(1)	5.0507(4)	11.3647(7)	140.816(3)	396.54(7)	
2.21(5)	10.9301(2)	5.0504(4)	11.3588(6)	140.809(3)	396.22(7)	
2.59(5)	10.9028(3)	5.0472(4)	11.3240(7)	140.772(3)	394.082(7)	
1.39(5)	11.1379(1)	5.0661(3)	11.5978(5)	141.076(1)	411.16(5)	
1.69(5)	10.98981(7)	5.0571(2)	11.4321(4)	140.897(1)	400.73(4)	
1.91(5)	10.93681(7)	5.0511(3)	11.3659(6)	140.826(1)	396.62(5)	
2.09(5)	10.9332(2)	5.0510(6)	11.361(1)	140.825(3)	396.3(1)	

**Table 3.** Experimentally Determined Elastic Constants for the  $\alpha$  and  $\beta$ -phases of petalite. 

Parameter	α-phase	β-phase	Fasshauer et al. <sup>†</sup>
$K_0$ (GPa)	49(1)	35(3)	33
Κ'	-6(1)	0.0(6)	0.1
K'' (GPa <sup>-1</sup> )	-2.07	-0.45	_

455 <sup>†</sup>Fasshauer et al. (1998). No esd values were reported for these values.

457	Table 4.	Equation of state parameters of other minerals for comparison with petalite.
458		

Mineral	Formula	K <sub>0</sub> (GPa)	<i>K' / K''</i> (GPa- <sup>1</sup> )	Reference
Albite	NaAl <sub>3</sub> SiO <sub>8</sub>	52.3(9)	8.8(6)/-2.8(2)	Benusa et al. (2005)
Microcline	KAlSi <sub>3</sub> O <sub>8</sub>	58.7(7)	3.8(5)/-0.95(17)	Ross (personal comm.)
Leucite (tetragonal) Leucite (triclinic)	KAlSi <sub>2</sub> O <sub>6</sub>	41.9(6) 33.2(5)	4 (fixed) 4 (fixed)	Gatta et al. (2008)
Nepheline	NaAlSiO <sub>4</sub>	47.32(26)	2.77(24)/0.758(79)	Gatta and Angel (2007)
Pyrophyllite	Al <sub>2</sub> Si <sub>4</sub> O <sub>10</sub> (OH) <sub>2</sub>	37(3)	10(1)	McCarthy et al. (2008)
Talc	$Mg_3Si_4O_{10}(OH)_2$	41(4)	6(2)	Pawley et al. (2002)
Quartz	SiO <sub>2</sub>	37.12(4)	5.99(4)	Angel et al. (1997)

1 401			Pressure (GPa)							
Parameter		Ambient	0.32(5)	0.56(5)	0.89(5)	1.25(5)				
	$\sigma_{tet}$ (°)	1.336	1.589	1.499	1.491	1.381				
Si1	$V_{poly}$ (Å <sup>3</sup> )	2.139	2.142	2.145	2.126	2.123				
	$l_0$ (Å)	1.609	1.610	1.611	1.606	1.605				
	$<\lambda_{tet}>$	1.001	1.000	1.001	1.001	1.001				
Si2	$\sigma_{tet}$ (°)	1.344	1.726	1.540	1.556	1.667				
	$V_{poly}$ (Å <sup>3</sup> )	2.115	2.114	2.116	2.112	2.097				
	$l_0$ (Å)	1.603	1.603	1.604	1.603	1.599				
	$<\!\!\lambda_{tet}\!\!>$	1.001	1.001	1.000	1.000	1.001				
Al1	$\sigma_{tet}$ (°)	9.134	9.457	9.424	9.342	9.211				
	$V_{poly}$ (Å <sup>3</sup> )	2.553	2.546	2.525	2.552	2.538				
	$l_0$ (Å)	1.707	1.706	1.701	1.707	1.704				
	$<\lambda_{tet}>$	1.021	1.022	1.022	1.022	1.021				
Lil	$\sigma_{tet} \left( ^{o} \right)$	20.235	19.982	19.961	19.535	19.273				
	$V_{poly}$ (Å <sup>3</sup> )	3.251	3.195	3.173	3.184	3.159				
	$l_0$ (Å)	1.851	1.840	1.835	1.838	1.833				
	$<\!\!\lambda_{tet}\!\!>$	1.100	1.097	1.098	1.093	1.091				

Table 5 Die f ca in the α-phase of petalit 

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490	Table 6. Selected bond lengths (	(Å)	and angles (	0)	for the α-	phase of	petalite as a	function
	<b>U</b>	· ·	<b>U</b>	· /			1	

491 of pressure. Parameters that exhibit statistically significant changes with pressure are

492 highlighted in grey.

	Pressure (GPa)						
	Ambient	0.32(5)	0.56(5)	0.89(5)	1.25(5)		
Si1-01	1.607(10)	1.605(12)	1.618(11)	1.600(11)	1.599(14)		
Si1–O2	1.608(6)	1.619(5)	1.615(5)	1.613(5)	1.614(7)		
Si1–O3	1.602(2)	1.601(3)	1.596(2)	1.596(2)	1.591(3)		
Si1-O4	1.622(4)	1.616(4)	1.617(4)	1.617(4)	1.619(6)		
Si2–O2 <sup>i</sup>	1.615(5)	1.607(4)	1.612(4)	1.611(4)	1.611(6)		
Si2-O4	1.600(7)	1.608(7)	1.610(7)	1.605(7)	1.596(9)		
Si2–O5	1.599(4)	1.594(4)	1.594(4)	1.598(4)	1.597(5)		
Si2-06	1.600(4)	1.606(5)	1.599(4)	1.599(4)	1.594(5)		
Al1–O1 <sup>ii</sup>	1.716(8)	1.717(9)	1.709(8)	1.723(9)	1.718(11)		
Al1-O1 <sup>iii</sup>	1.716(8)	1.717(9)	1.709(8)	1.723(9)	1.718(11)		
Al1-06	1.733(4)	1.732(4)	1.730(4)	1.728(4)	1.725(5)		
Al1-O6 <sup>iv</sup>	1.733(4)	1.732(4)	1.730(4)	1.728(4)	1.725(5)		
Li1–O1 <sup>v</sup>	1.931(12)	1.905(15)	1.913(13)	1.933(14)	1.910(17)		
Li1–O1 <sup>vi</sup>	1.931(12)	1.905(15)	1.913(13)	1.933(14)	1.910(17)		
Li1-O6	1.952(11)	1.949(12)	1.933(11)	1.910(12)	1.919(14)		
Li1–O6 <sup>iv</sup>	1.952(11)	1.949(12)	1.933(11)	1.910(12)	1.919(14)		
Al1…Li1	2.578(14)	2.592(17)	2.559(14)	2.529(16)	2.541(19)		
Al1…Li1 <sup>vii</sup>	2.562(14)	2.531(17)	2.551(14)	2.568(16)	2.532(19)		
					, í		
O1-Si1-O2	110.9(4)	111.7(4)	111.2(3)	111.3(4)	111.3(4)		
01-Si1-O3	110.97(17)	110.89(19)	110.83(17)	110.75(18)	110.7(2)		
01-Si1-O4	107.7(4)	107.5(4)	107.0(4)	107.1(4)	107.4(5)		
O2-Si1-O3	109.2(3)	108.7(3)	109.1(3)	109.1(3)	109.0(4)		
O2-Si1-O4	109.7(3)	109.6(3)	109.6(3)	109.7(3)	109.3(4)		
O3-Si1-O4	108.3(3)	108.4(3)	109.1(2)	108.9(3)	109.1(3)		
O2 <sup>i</sup> -Si2-O4	109.9(4)	110.3(4)	109.9(4)	110.3(4)	110.4(5)		
O2 <sup>i</sup> -Si2-O5	110.6(4)	110.6(4)	110.7(4)	110.4(4)	110.5(5)		
O2 <sup>i</sup> -Si2-O6	107.6(2)	106.9(2)	107.0(2)	107.2(2)	106.9(3)		
O4-Si2-O5	108.0(3)	107.7(3)	108.2(3)	107.9(3)	107.8(4)		
O4-Si2-O6	110.7(4)	110.2(4)	110.1(3)	111.1(4)	110.7(5)		
O5-Si2-O6	110.1(4)	111.1(5)	110.9(4)	110.0(4)	110.5(6)		
$O1^{n}$ -Al1-O1 <sup>m</sup>	97.8(5)	97.6(5)	97.2(5)	97.7(5)	97.9(6)		
O1 <sup>n</sup> -Al1-O6	117.3(3)	116.9(3)	117.2(3)	117.6(3)	117.2(4)		
O1 <sup>ii</sup> –Al1–O6 <sup>iv</sup>	113.7(3)	114.6(4)	114.2(3)	113.6(4)	113.9(4)		
O1 <sup>m</sup> -All-O6	113.7(3)	114.6(4)	114.2(3)	113.6(4)	113.9(4)		
O1 <sup>m</sup> -All-O6 <sup>w</sup>	117.3(3)	116.9(3)	117.2(3)	117.6(3)	117.2(4)		
06-All-06 <sup>1V</sup>	98.4(3)	97.5(3)	98.1(2)	98.0(3)	98.0(3)		
Ol'-Lil-Ol'	84.1(7)	85.4(8)	84.2(7)	84.3(8)	85.4(9)		
Ol'-Lil-O6	124.9(3)	124.2(3)	124.4(3)	124.6(3)	124.1(3)		
$OI^{-}LII-O6^{-}$	121.8(3)	122.2(4)	121.9(3)	121.1(3)	121.2(4)		
$01^{\circ}$ -L11- $06$	121.8(3)	122.2(4)	121.9(3)	121.1(3)	121.2(4)		
01 <sup></sup> L11-06 <sup></sup>	124.9(3)	124.2(3)	124.4(3)	124.6(3)	124.1(3)		
06-L11-06"	84.5(6)	83.8(/)	85.0(6)	86.1(7)	85.5(8)		
SII-OI-AII	147.8(4)	148.0(4)	148.1(4)	148.0(4)	149.2(5)		
S11-02-S12 Si1_02_Si1 <sup>viii</sup>	152.1(5)	150.2(5)	149.0(3)	14/.8(3)	146.0(4)		
SII-05-SII Si1 04 Si2	140 0(6)	180	160	145 9(6)	100		
$\frac{511-04-512}{512}$	146.9(0)	147.6(0) 162.1(4)	140.2(0) 162.1(4)	143.8(0)	144.9(8)		
Si2-05-312	105.1(3)	102.1(4) 145.2(2)	102.1(4)	102.3(3)	101.0(0) 147.1(2)		
Si2-00-All	145.1(2) 126.0(3)	145.3(2) 125.3(4)	140.1(2)	140.4(2) 125 $A(A)$	124 5(5)		
All_01 <sup>ii</sup> _Ui <sup>V</sup>	89.1(5)	88 5(6)	89 3(5)	89.0(6)	88 4(7)		
All_06_Li1	88 6(3)	89 3(4)	88 5(3)	87.9(4)	88 2(4)		
All_06 <sup>v</sup> _Li1	88 6(3)	89 3(4)	88 5(3)	87.9(4)	88 2(4)		
$I i1_0 = 00$	123 0(4)	123 4(4)	122 5(4)	122 3(4)	122 3(5)		
Li1_O1 <sup>vi</sup> _Si1 <sup>vi</sup>	123.0(4)	123.4(4)	122.5(4)	122.3(4)	122.3(5)		
Li1-O6 <sup>vi</sup> -Si2 <sup>iv</sup>	126.0(3)	125.3(4)	125 3(3)	125 4(4)	122.5(5)		
010402 <sup>i</sup>	144 1(4)	145 6(4)	146 5(4)	147 3(5)	148 3(6)		
$0402^{i}01^{i}$	95 7(3)	94 0(3)	93.0(3)	92 3(3)	90 9(4)		
01 02 01	<i>JJJJJJJJJJJJJ</i>	74.0(5)	55.0(5)	12.5(5)	JU.J(4)		

493 494 Symmetry codes: (i) x, 1 + y, z; (ii) -x, -y, 1 - z; (iii) x, -y,  $z - \frac{1}{2}$ ; (iv) -x, y,  $\frac{1}{2} - z$ ; (v) -x, 1 - y, 1 - z; (vi) x, 1 - y,  $z - \frac{1}{2}$ ; (vii) x, y - 1, z; (viii) 1 - x, -y, 2 - z; (ix) 1 - x, y,  $\frac{3}{2} - z$ ; (x) x, y, 1 + z













and the second s





(a)

(b)

