

1 TITLE: The use of cation-cation and anion-anion bonds to augment the bond-valence  
2 model  
3 Revision 2  
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11 **Abstract**

12 The bond-valence model has, for several decades, been widely used for creating  
13 quantitative structure-activity relationships (QSARs), crystal structure modeling, and  
14 verification of proposed structures. Certain limitations of the model, such as the neglect of  
15 interactions between cations and between anions, have prevented it from being more  
16 broadly applied, however. In this work we use cation-cation and anion-anion bonds to  
17 augment the existing bonding model in the systems H-Al-Si-O and K-Al-Si-O. The bond  
18 valence-length curves for these interactions employ the same mathematical form as ionic  
19 bonds, but make only a small contribution to the overall bonding in ionic materials. In the  
20 systems examined here, oxygen-oxygen interactions were much more important than those  
21 between cations for producing accurate bond-valence sums. Both anion-anion and cation-  
22 cation bonding could prove important, however, for our ultimate goal of producing  
23 valence-based force fields for use in molecular dynamics simulations. Rolling these  
24 interactions into the bond-valence framework would produce behavior similar to hard-  
25 sphere repulsive or van der Waals terms, but would more flexibly account for the complete  
26 bonding environment. The overall improvement in valence sums was robust, was  
27 maintained outside the calibration set, and was invariant to elemental substitution. We  
28 conclude that this minor alteration of the bond-valence approach will significantly improve  
29 bond-valence models in conjunction with other recent extensions of the approach.

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31 **Keyword:** crystal structure, bond valence, ligand, silicate, aluminosilicate

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## Introduction

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F. Albert Cotton once quipped that:

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[T]heories of chemical bonding—neglecting not a few which are entirely valueless—

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fall into one of two categories: those which are too good to be true and those which

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are too true to be good. “True” in this context is intended to mean “having physical

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validity” and “good” to mean “providing useful results, especially quantitative ones,

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with a relatively small amount of computational effort.” The proper, rigorous wave

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equation for any molecular situation represents a theory of that situation which is

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too true to be good. (Cotton, 1964).

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Five decades later, this observation is still apropos. Computational resources and quantum

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mechanical methods have developed considerably since 1964, but not enough to obviate

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the need for simpler models of atomic interaction, such as some of the popular bonding

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models (Gillespie and Popelier, 2001) and molecular mechanics force fields (Rappé and

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Casewit, 1997), which are computationally less expensive and promote fluent thinking

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about molecular structure and reactivity (Brown, 2003). In all cases, these simpler models

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represent atoms and molecules in somewhat physically unrealistic ways, but their

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associated mathematical descriptions tend to mimic certain aspects of real systems, at least

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when empirically calibrated. This allows for qualitative, or even quantitative, predictions

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about certain phenomena, but not others. It is generally the case that, at some point,

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attempts to make such models more physically realistic end up complicating their

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mathematical descriptions to the point that they become unusable for most practical

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purposes. Therefore, attempts to make “good” models more “true” should not be

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undertaken lightly.

55           The bond-valence model (BVM) is certainly one that is too good to be true. Over the  
56 past several decades, the BVM has been applied to a large number of ionic and polar-  
57 covalent systems, successfully rationalizing and predicting energetically favorable  
58 combinations of bond lengths about individual atoms (Brown, 1977; 1981; 2002; 2009). In  
59 fact, it is a standard tool for screening proposed crystal structures, and has been employed  
60 in both structure prediction (Brown, 2002) and the creation of quantitative structure-  
61 activity relationships (QSARs) (Hiemstra et al., 1989; Hiemstra and Van Riemsdijk, 1996;  
62 Hiemstra et al., 1996; Bickmore et al., 2004; Bickmore et al., 2006a; Bickmore et al., 2006b).  
63 The application of the BVM has been limited, however, because at least in its quantitative  
64 form, it is concerned solely with bond lengths, and not with the complete spatial  
65 distribution of ligands. One factor affecting this spatial distribution is necessarily ligand-  
66 ligand interactions, but the BVM has traditionally been developed within a generally ionic  
67 framework, in which bonds only exist between cations and anions. If ligand-ligand  
68 interactions are treated at all within a typical BVM-based structural model, it is usually by  
69 the introduction of simple repulsive potentials (Brown, 2002) or arguments based on  
70 symmetry (Brown, 2006; 2011; Bickmore et al., 2013). Both types are likely required.  
71 Therefore, the BVM has often been used to rationalize and predict *certain aspects* of  
72 structures, such as combinations of bond lengths, but typically not the full structures.

73           In this contribution, we show how it is possible to extend the BVM to account for  
74 ligand-ligand interactions in an internally consistent manner, by allowing anion-anion and  
75 cation-cation bonds. In fact, this has been done before by O'Keeffe and Brese (Brese and  
76 O'Keeffe, 1991; O'Keeffe and Brese, 1991; 1992), but some differences in our approach  
77 allow us to treat much more subtle interactions. We go on to show how these subtleties

78 might prove important in a BVM-based model that predicts molecular geometry in a  
79 manner comprehensive enough to be implemented in a molecular mechanics force field. To  
80 accomplish this, however, it is necessary to relax the ionic framework of the BVM.  
81 Throughout this paper, therefore, we present an argument for the proposition that the  
82 alterations we suggest would make the model both “truer” (i.e., more consistent with  
83 quantum mechanics) and “better” (i.e., producing statistically significant and robust  
84 improvement), at least for some purposes.

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## Theory

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### ***Bond Valence and the Valence-Sum Rule***

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The most common form of the BVM posits that a quantity called the bond valence ( $s_{ij}$ ) between ions  $i$  and  $j$  can be represented by Eqn. 1, where  $R$  is the interatomic distance and both  $R_0$  and  $B$  are pair-specific, empirically calibrated parameters. The sign of  $s_{ij}$  is positive in the direction of the anion and negative in the direction of the cation, while the magnitude is expressed in valence units (v.u.).

$$|s_{ij}| = e^{(R_0 - R)/B} \quad (1)$$

100 Bond-valence parameters are generally calibrated (Brown and Altermatt, 1985;  
101 Brese and O'Keeffe, 1991; Adams, 2001) on numerous empirically determined crystal  
102 structures by assuming the valence sum rule (Eqn. 2), which requires that the valence sum  
103 of bonds incident to an ion  $i$  from counter-ions  $j$  ( $S_i = \sum_j s_{ij}$ ) is equal to negative the atomic  
104 valence ( $V_i$ ), i.e., the oxidation number, of ion  $i$ .

$$105 \quad S_i + V_i = 0 \quad (2)$$

106 Eqn. 2 is simply a restatement of Pauling's (1929) Second Rule, which treats the  
107 oxidation number as a measure of the total bonding power of an atom. Eqn. 1, however,  
108 accounts for differences in the strengths of bonds of different lengths.

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### 110 ***Bond Valence and the Ionic Model***

111 In the original ionic bonding model of Kossel (1916), atoms are treated as point  
112 charges. The atoms gain or lose valence electrons to obey the octet rule (or at least leave  
113 no unpaired valence electrons, i.e., Lewis' "rule of two"), resulting in integral numbers of  
114 electron charges on the ions (Gillespie and Popelier, 2001). Thus, the electrostatic  
115 attraction between cations and anions holds the structure together, and to keep the point-  
116 charge atoms from collapsing in upon each other, an arbitrary repulsive potential is  
117 introduced between the cations and anions. Anion-anion and cation-cation repulsion is  
118 simply the result of Coulomb forces, but all atoms regardless of charge will have repulsive  
119 interactions from core-core overlap effects. This model predicts certain typical behaviors  
120 of atoms in ionic crystals, e.g., the oxidation numbers add to zero in each formula unit,  
121 counter-ions tend to be nearest neighbors, and the ligands about a central atom are  
122 distributed as symmetrically as possible.

123           The BVM treats atoms as point charges as well, but at least for the cation-anion  
124 pairs, both the attractive and repulsive potentials are rolled into Eqns. 1-2. That is,  
125 approaching cation-anion pairs attract one another until the bond-valence sum reaches the  
126 ideal value, and repel one another when it exceeds the ideal value.

127           The original ionic bonding model assumes complete transfer of bonding valence  
128 electrons from cations to anions, even though this is not the case in real structures. Preiser  
129 et al. (1999) accommodated this fact within the BVM by noting that for some purposes the  
130 spatial distribution of the bonding electron density does not matter. Bond valence is  
131 generally interpreted as the electric flux between a cation and anion, a “bond” occurring  
132 where electric flux lines connect two atoms. In this case, the valence sum rule (Eqn. 2)  
133 simply becomes a restatement of Gauss’s Law, the electric flux through any closed surface  
134 is proportional to the electric charge contained within. If bonds have some covalent  
135 character, we can imagine the valence electron density involved as extra point charges  
136 positioned somewhere between the center of a bond and the anion. And if we define the  
137 surfaces of the atoms so that the anions include the bonding electron charges, the total  
138 electric flux between each anion and its ligands should still be the same, proportional to the  
139 number of bonding valence electrons. Furthermore, shorter bond lengths would  
140 necessarily be accompanied by larger fluxes (Preiser et al., 1999; Brown, 2002). While we  
141 know that such a transfer of electrons would certainly alter the flux lines, it does not  
142 appear to affect bond order. Rather, it appears to shift the bonding from ionic to covalent,  
143 while maintaining the fundamental inverse relationship between bond order and bond  
144 length.

145 For this reason, the BVM works well for both ionic and polar covalent bonds. That  
146 is, the “partial” (non-integral) charges one might assign to the individual ions by various  
147 population analysis schemes can be ignored if one knows the total flux incident to the  
148 individual ions, which would be proportional to the oxidation numbers, and the flux  
149 assigned to bonds of different lengths is empirically calibrated, assuming the exponential  
150 form of the relationship defined by Eqn. 1 (Preiser et al., 1999; Brown, 2002). Empirical  
151 calibration of bond-valence parameters likely rolls a number of competing effects related to  
152 the exact positioning of the valence electron density into a single function, which is  
153 reasonable if the drive to pair valence electrons is dominant.

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### 155 ***Bond Valence and Covalent Bonding***

156 If the BVM works well for atom pairs like Cl-O, which form almost completely  
157 covalent bonds, why is it not used for fully covalent bonds like Cl-Cl or O-O? This appears  
158 to be an artifact of the ionic framework of the model. If the atomic valence (oxidation  
159 number) of an atom is zero, for instance, how can that be divided between bonds? Also, in  
160 a system with polar covalent bonds like Cl-O, there would effectively be no electric flux  
161 lines between O atoms, or between Cl atoms.

162 That there is no fundamental difference between fully covalent and slightly polar  
163 covalent bonds is evidenced by the fact that primarily covalent bonding models, e.g., the  
164 Valence Shell Electron Pair Repulsion (VSEPR) model, easily account for both, and their  
165 electron density distributions behave very similarly (Gillespie and Hargittai, 1991;  
166 Popelier, 2000; Gillespie and Popelier, 2001). The primary constraints in both the BVM and



167 covalent bonding models like VSEPR, furthermore, are the octet rule and the rule of two, so  
168 at least in this respect these models are not incompatible.

169         One of the main differences is in how these models treat the directionality of bonds,  
170 a property strongly dependent on covalent character. VSEPR explains bond directionality  
171 in terms of repulsion between localized pairs of bonding and non-bonding (lone-pair)  
172 valence electrons, which depends on factors such as the strength of the bonds, the relative  
173 electronegativity of an atom and its ligands, and the number of lone pairs on the central  
174 atom (Gillespie and Hargittai, 1991). The classical BVM always results in ligands  
175 distributed as symmetrically as possible about each atom.

176         The prediction of symmetrically distributed ligands is clearly false in cases where  
177 electronic structure effects cause an asymmetric distribution of bonding and non-bonding  
178 valence electrons. This fact can be accommodated by the BVM, however, if we relax the  
179 requirement for a simple point-charge representation of atoms. In his description of a  
180 recent expansion of the BVM called the core-and-valence-shell model, Brown (2011)  
181 posited spherically symmetrical atoms in which weaker bonds tend to allow the  
182 symmetrical distribution of bonding and non-bonding (lone-pair) valence electron density,  
183 whereas strong bonds tend to make the lone pairs stereoactive, concentrating the lone-pair  
184 density to one side of the atom. Bickmore et al. (2013) and Shepherd et al. (in prep)  
185 quantified the resulting distortions in the coordination sphere, showing that if bonds are  
186 represented as vectors in the direction from cation to anion and magnitude equal to the  
187 bond valence, the valence dipole moment (i.e., the vectorial valence sum) and the valence  
188 quadrupole moment are predictable functions of the expected types of electronic structure  
189 effects and the magnitude of the incident bond valence. Clearly, small departures from the

190 traditional ionic framework of the BVM can yield large dividends in terms of the ability to  
191 model the total structure of a much larger class of compounds.

192         What should be done, then, about the inclusion of anion-anion and cation-cation  
193 bonds in the BVM? Certainly *ad hoc* adjustments can be made in clear-cut cases. For  
194 instance, S-S bonds form in persulfide compounds like pyrite and marcasite (FeS<sub>2</sub>  
195 polymorphs) so that the anions can obtain closed shells. Although the S atoms require 2  
196 v.u. of bonds, we may treat them as S<sup>-</sup> ions linked together in S<sub>2</sub><sup>2-</sup> dimers. The same can be  
197 done for cation-cation bonds formed by some ions such as Hg<sup>+</sup> (e.g., in edgarbaileyite—  
198 Hg<sub>6</sub>Si<sub>2</sub>O<sub>7</sub>)—the metal is treated as univalent, even though it clearly accepts 2 v.u. of bonds.  
199 Another interesting example is arsenopyrite (FeAsS), in which each Fe<sup>3+</sup> is bonded to three  
200 S<sup>-</sup> and three As<sup>2+</sup>. The S<sup>-</sup> atoms are bonded to three Fe<sup>3+</sup> and one As, and the As atoms are  
201 bonded to three Fe<sup>3+</sup> and one S<sup>-</sup>. Thus, As receives 3 v.u. of bonds, and S receives 2 v.u., so  
202 that both anions obtain a closed-shell configuration. Again, one could treat the As-S pair as  
203 an AsS<sup>3-</sup> dimer for the purpose of bond-valence analysis.

204         Such *ad hoc* adjustments, like dimerization, come with a cost, because they make it  
205 difficult or impossible to apply the recent extensions of the BVM that account for bond  
206 directionality (Brown, 2011; Bickmore et al., 2013). Bonded pairs still take up space on the  
207 surface of an atom, whether the BVM acknowledges their existence, or not. With the type of  
208 adjustment just mentioned, no distinction is made between bonds of different length, *i.e.*,  
209 they are all assumed to have integral bond orders. But it is well known that bond order is a  
210 function of bond length for any bond type (Gillespie and Popelier, 2001), and nuances in  
211 the bond network can be missed by ignoring this. Berry et al. (2006), for instance

212 characterized certain mixed-valence Ni compounds in which there are Ni-Ni bonds with a  
213 bond order of 0.5.

214 The foregoing examples reveal that the main obstacle to including anion-anion and  
215 cation-cation bonds in the BVM is the interpretation of bond valence as the electric flux  
216 between non-overlapping atoms. If we interpret bond valence simply as a measure of the  
217 spin-paired electron density resulting as the electron clouds of two atoms overlap and  
218 redistribute themselves, with no reference to electric flux, it should be possible to include  
219 cation-cation and anion-anion bonds, at least insofar as the bond-valence-bond-length  
220 relationships can be approximated by the exponential form of Eqn. 1.

221 One cost of glossing over the ionic framework of the BVM is that it will not always be  
222 as easy to determine *a priori* the atomic valence of every atom. For the common cations in  
223 minerals, however, it is usually clear that their oxidation numbers will still equal their  
224 atomic valences. In addition, the atomic valences of many of the common anions may be  
225 simply determined based on the octet rule. Oxygen, for instance, can always accommodate  
226 2 v.u. of bonds, whether in the diatomic gas, a peroxide, or an oxide.

227

## 228 **Motivation**

229 O'Keeffe and Brese (1992) obtained the first anion-anion and cation-cation bond-  
230 valence parameters, but since then these parameters have not been extensively used. For  
231 many purposes, such bonds are usually weak enough that they can be safely ignored, but  
232 recently there have been a number of attempts to include BVM-based structural  
233 descriptors in potential energy models such as molecular mechanics force fields (Lufaso  
234 and Woodward, 2001; Adams and Swenson, 2002; Grinberg et al., 2002; Cooper et al.,

235 2003; Adams et al., 2004; Grinberg et al., 2004; Shin et al., 2005; Adams and Rao, 2009; Liu  
236 et al., 2013a; Liu et al., 2013b). For models such as these, even relatively small inaccuracies  
237 can be costly, because both the absolute value and the gradients of structural descriptors  
238 like bond valence sums become important. Small improvements in bond-valence sums due  
239 to weak bonds could become significant, whereas O'Keeffe and Brese (1992) focused on  
240 anion-anion and cation-cation bonds stronger than 0.25 v.u.

241 In the context of molecular modeling, the desired level of accuracy is usually less  
242 than  $\sim 1$  kcal/mol, or  $\sim 2$ -4 kJ/mol, and at least under some circumstances, the BVM might  
243 achieve this. Brown (2011) notes that bond-valence methods can typically predict bond  
244 lengths to within  $\sim 0.02$  Å. A bond length change of 0.02 Å corresponds to  $\sim 4$  kJ/mol from  
245 a comparison of experimental bond lengths and dissociation energies, which is within the  
246 desired range. This is excellent agreement, similar to the best quantum mechanical  
247 calculations and to the accuracy of X-ray diffraction crystal structure determinations. It is  
248 widely assumed that crystal structures published in major databases are for practical  
249 purposes exact, but this is quite wrong (Jones, 1984). Modern crystallographic methods  
250 have an average thermal positioning error on the order of 0.001-0.01 Angstroms for the  
251 position of each atom, with many authors claiming the lower end, while in fact being closer  
252 to the higher end of that range (Jones, 1984). The error in bond length is, therefore,  
253 actually double that figure, or up to 0.02 Å.

254 Brown (2011) specified, however, that the typical error of  $\sim 0.02$  Å applies in cases  
255 where "the structure experiences no steric or electronic stresses." In fact, such stresses are  
256 to be expected where there are significant cation-cation or anion-anion interactions, and  
257 where covalent bonding induces lone-pair effects. These are common enough that it is

258 fairly typical for bond-valence sums to be off by as much as 0.1 v.u., and sometimes  
259 significantly more. Assuming the traditional  $B$  value of 0.37 (see Eqn. 1), 0.1 v.u. amounts  
260 to  $\sim 0.04 \text{ \AA}$ , using a Taylor expansion to approximate the error. Typical errors might  
261 actually be slightly larger, so a value of  $0.05 \text{ \AA}$  is not an unreasonable estimate. Therefore,  
262 improvement in bond-valence estimates by a factor of 2-4 is all that could reasonably be  
263 expected, and would allow for excellent chemical accuracy in potential energy models  
264 utilizing bond-valence structural descriptors. This will not be done in one step, but rather  
265 by using a number of different strategies for improving the overall ability of the model to  
266 mimic real chemistry.

267       The motivation for including anion-anion and cation-cation bonds in bond-valence  
268 sums goes beyond improving the accuracy of those sums, however, and is also related to  
269 our intention to develop BVM-based force fields for use in molecular dynamics simulations.  
270 As noted above, the bond-valence equations (Eqns. 1-2) essentially impose both an  
271 attractive and a repulsive potential between bonded atoms. The interaction is attractive  
272 while the bond-valence sums on the atoms are smaller than the ideal, and repulsive when  
273 the sums are greater than the ideal. In fact, Adams and Rao (2009) showed that if we  
274 assume potential energy is proportional to the squared deviation from the ideal valence  
275 sum, and isolate that deviation to a single bond, the energy-distance curve becomes  
276 mathematically identical to a Morse potential, with the energy minimum at the ideal bond  
277 length. The real power in this realization, however, is that the bond-valence sum is a multi-  
278 body, rather than pair-wise, structural descriptor that is adaptable to different structural  
279 environments. That is, the ideal length for a given bond will necessarily change, depending  
280 on the valences of the other bonds incident to the atoms in question. In contrast, a typical

281 molecular mechanics force field might use a Morse potential, with a single ideal length for  
282 bonds of a given type, to describe bond-stretching energies. Even assuming equal lengths  
283 for all bonds, the ideal length of, for instance, Al-O bonds would change, depending on the  
284 Al coordination number. A typical force field might accommodate this by specifying two  
285 different types of Al, one 6- and another 4-coordinated, but this would preclude any  
286 changes in coordination number during a simulation. Beyond this, however, any potential  
287 energy model must incorporate terms to describe cation-cation and anion-anion  
288 interactions, in addition to the bonded interactions. This might be done via some  
289 combination of Coulomb and Lennard-Jones potentials, or similar, but these suffer the same  
290 defect as pair-wise bond-stretch terms, in that unchanging partial atomic charges or ideal  
291 distances are typically assumed. If cation-cation and anion-anion interactions were to be  
292 included in bond-valence sums, they would automatically be subject to the same type of  
293 structure-dependent attractive and repulsive potential as the cation-anion pairs. This  
294 would subsume more types of interactions under the same heading, leading to a simpler  
295 potential energy model with fewer adjustable parameters.

296         Supposing cation-cation and anion-anion interactions are fundamentally different  
297 than cation-anion bonds, it still might be possible to include them in a bond-valence  
298 framework without making the bond-valence sums much worse, especially if the  
299 interactions are comparatively weak. Such a strategy would be consistent, for our  
300 purposes, with Linus Pauling's (1960) definition of a bond as "whatever is convenient to  
301 the chemist to define as a bond."

302         It seems unlikely, however, that their inclusion would result in significantly  
303 improved bond-valence sums unless relatively weak cation-cation and anion-anion

304 interactions really do constitute “bonds” in a similar sense to cation-anion interactions.  
305 That is, improved valence sums likely mean that these weaker interactions really do  
306 contribute to filling the valence shells of the bonded atoms. There are reasons to believe  
307 this might be the case. For example, an Atoms-In-Molecules electron density analysis  
308 would show a bond-critical point for every O-O pair in a typical oxide, indicating a bond-  
309 like shape to the local density (Bader, 1991; Popelier, 2000). The actual quantity of atomic  
310 overlap (and hence bonding) may be tiny, but it is present and contributes to the pairing of  
311 the valence electrons. Furthermore, the Ligand Close Packing (LCP) model addresses a  
312 number of cases in which ligands such as O pack more closely together, and less  
313 symmetrically, than would be predicted by the VSEPR model (Gillespie, 2000; Gillespie and  
314 Popelier, 2001), and these deviations might be explained if weak bonding were allowed  
315 between the ligands.

316 We conclude that if the inclusion of cation-cation and anion-anion bonds, even  
317 relatively weak ones, can be shown to improve the accuracy and reliability of bond-valence  
318 sums, then the break required from the ionic framework of the BVM is likely to improve its  
319 long-term prospects for complete-structure modeling.

320

### 321 ***Hypothesis***

322 In the following sections, we test the hypothesis that by including cation-cation and  
323 anion-anion bonds in the bond-valence sums, we do not impair existing bond-valence  
324 functionality, and we can improve not only the overall reliability of the fits, but their  
325 robustness in transferring to different crystal sets.

326

327

## Methods

328 We test our hypothesis in two systems, Al-Si-H-O and Al-Si-K-O, where strong  
329 cation-cation and anion-anion bonding is not expected, by fitting bond-valence parameter  
330 values to very carefully chosen calibration sets of crystal structures, and then testing the  
331 fitted parameters against wider sets of structures. The following subsections detail the  
332 rationale for choosing the calibration sets and the fitting procedure, as well as our  
333 procedures for evaluating the results.

334

### *Calibration Sets*

336 Crystal structures in the Al-Si-H-O and Al-Si-K-O systems were taken mainly from  
337 the American Mineralogist Crystal Structure Database (Downs and Hall-Wallace, 2003), the  
338 Crystallography Open Database (Grazulis et al., 2009), and the Inorganic Crystal Structures  
339 Database (Belsky et al., 2002), and are listed with the original references in the online  
340 Supplemental Information (Tables S1-S4). Crystal structures with partial occupancies of  
341 any of the atoms, or that were determined at far-from-ambient temperatures or pressures  
342 were eliminated. For reasons discussed below, we found it necessary to include crystal  
343 structures of H<sub>2</sub>O (Pisani et al., 1996), O<sub>2</sub> (Cox et al., 1973), and H<sub>2</sub>O<sub>2</sub> (Busing and Levy,  
344 1965), but to do so we had to relax the temperature restriction and alter the H<sub>2</sub>O and O<sub>2</sub>  
345 structures to eliminate partial occupancy and disorder in some of the sites. Structures  
346 containing H were only included if H positions were explicitly specified. The H positions  
347 for many of these were determined via neutron diffraction, which is the ideal, but others  
348 were determined by other means. Given that these other methods frequently included  
349 quantum mechanical structure optimizations or bond-valence calculations, calibrated on



350 structures obtained via neutron diffraction, we considered the set of sufficient quality for  
351 the proof-of-concept study reported here.

352         There were two sets generated for the Al-Si-H-O system. The first tempered set of  
353 15 crystals (see Table S1) was selected to have, as far as possible, equal numbers of Si-O,  
354 Al-O, and H-O containing structures, and specifically selected to have a wide range of  
355 bonding environments for each metal. The second set, a check set (see Table S2), had 14  
356 structures, and contained more unusual environments. Oxygen was allowed to have  
357 different oxidation states (0, -1, and -2), but the ideal valence sum was always constrained  
358 to be 2 v.u., because we were including fully covalent bonds in the total.

359         Likewise, we had two sets for the Al-Si-K-O system. A tempered set (see Table S3)  
360 was created by removing all the H-containing compounds from the Al-Si-H-O tempered set,  
361 and adding in five K-containing compounds, for a total of 13. The check set (see Table S4)  
362 also contained 13 structures, including the hydrogen-free structures from the Al-Si-H-O  
363 check set.

364

### 365 ***Optimization***

366         We used a homegrown MATLAB (Mathworks, Inc.) program to read  
367 Crystallographic Information Files and perform bond-valence analyses of crystal  
368 structures. (The program is available upon request to BRB.) The optimization procedure  
369 minimized the summed squared deviation of the bond valence sums from their ideal values  
370 ( $\Delta S^2$ ) per unique atom, per structure. Our procedure for optimizing valence parameters ( $R_o$   
371 and  $B$ —see Eqn. 1) for the atom pairs utilized the *fmincon* function—a constrained  
372 Newton-Raphson-like minimization algorithm—in the MATLAB Optimization Toolbox. We

373 constrained the optimization so  $R_0 > 0 \text{ \AA}$  and  $B > 0.05 \text{ \AA}$ , and set the convergence tolerance  
374 to  $10^{-6}$ , maximum function evaluations to 50,000 (which was never reached), and all other  
375 parameters to their default values. (We note that we used the optimizer in version 14 of  
376 MATLAB, which produces slightly different results than version 13.

377         In order to have a proper apples-to-apples comparison, we used standard “hard”  
378 (Brown and Altermatt, 1985) and “soft” (Adams, 2001) bond-valence parameter sets, and  
379 then re-optimized them with the same assumed cutoff valence (0.01 v.u.) for comparison.  
380 (“Hard” bond-valence parameter sets typically assume a near-universal  $B$  value of  $0.37 \text{ \AA}$ ,  
381 while Adams’s “SoftBV” set was optimized on both  $R_0$  and  $B$ , leading to generally higher  
382 values of  $B$  and softer bonds.) To some degree this will overestimate the quality of the re-  
383 optimized set. Due to the extent to which optimization improved the quality of the fitting,  
384 one might assume that the standard sets are quite poor. This is actually somewhat  
385 misleading. The quality of any parameter set strongly depends on the choice of structures  
386 in the fitting set and the chosen cutoffs. Some qualities that the fitting sets should have  
387 were revealed in this analysis, and the issue of fitting is one we will return to in the  
388 discussion.

389         There are some differences in our overall fitting procedures from earlier parameter  
390 sets (Brown and Altermatt, 1985; Adams, 2001). For example, Brown and Altermatt (1985)  
391 fit  $R_0$  values one cation at a time, and held  $B$  constant. Adams (2001) fit both  $R_0$  and  $B$   
392 values, but also treated each cation independently. While there are advantages in such an  
393 approach, we chose instead to fit the entire parameter set to all element pairs at once.  
394 While this might induce dependencies between parameter pairs, the overall degree of  
395 misfit could be dramatically reduced. This is particularly important as we add covalent

396 parameters. For the single-ion approach to work, there can only be one kind of bond to a  
397 given ion, or in cases where there are two or more, only one parameter can be fit at a time.  
398 By fitting all sets at the same time we have the potential to obtain a much more robust set.

399 In addition to performing bond-valence analyses using the standard “hard” and  
400 “soft” parameter sets, we performed several optimization runs to make sure that the new  
401 parameter sets were stable under optimization, and to produce models with different  
402 degrees of freedom. These are designated Run 1, Run 2, etc., and are described as follows.  
403 1) We re-optimized the  $R_0$  values in the “hard” parameter set. 2) We re-optimized  $R_0$  and  $B$   
404 in the “soft” parameter set. 2a) We re-optimized both the  $R_0$  and  $B$  values, using the “hard”  
405 parameter set as a starting point. (The results are not reported here, because they were  
406 identical to Run 2.) 3) We added initial parameter guesses for the  $R_0$  and  $B$  values for O-O  
407 bonds ( $R_0 = 1.474 \text{ \AA}$  and  $B = 0.35 \text{ \AA}$ ) to the parameter values resulting from Run 2, then re-  
408 optimized the new parameter set. 4) We added initial guesses for the  $R_0$  and  $B$  values of all  
409 cation-cation bonds ( $R_0 = 0.001 \text{ \AA}$  and  $B = 0.05 \text{ \AA}$ ) (see Schema 1) to the parameter set from  
410 Run 3, then re-optimized. 5) We added initial guesses for  $R_0$  and  $B$  for the following cation-  
411 cation pairs to the results from Run 3: Al-Al ( $R_0 = 1.9620 \text{ \AA}$  and  $B = 0.7215 \text{ \AA}$ ), Al-Si ( $R_0 =$   
412  $2.1818 \text{ \AA}$  and  $B = 0.6812 \text{ \AA}$ ), Al-H ( $R_0 = 1.2835 \text{ \AA}$  and  $B = 0.7626 \text{ \AA}$ ), Si-H ( $R_0 = 1.4658 \text{ \AA}$  and  
413  $B = 0.6015 \text{ \AA}$ ), Si-Si ( $R_0 = 2.1410 \text{ \AA}$  and  $B = 0.6646 \text{ \AA}$ ), H-H ( $R_0 = 1.0174 \text{ \AA}$  and  $B = 0.4981 \text{ \AA}$ ).  
414 These initial values were estimated from quantum mechanical calculations, and we  
415 optimized all valence parameters.

416 The rationale for the series of optimization runs just described is as follows. We re-  
417 optimized the standard “hard” and “soft” parameter sets because they were initially  
418 optimized on other calibration sets with different cutoff values. We next added O-O

419 bonding because one can predict from the geometries that O-O interactions should be more  
420 important than any of the cation-cation interactions. That is, the O ions are frequently in  
421 contact (nearest neighbors), whereas the cations are always spaced such that an O is  
422 always between them. After the O-O parameters were determined, we tried adding  
423 combinations of cation-cation parameters. Many different starting configurations were  
424 attempted to ensure that the optimizer was not affecting the results. We found that it was  
425 not, so the full suite of optimization runs was only performed on the Al-Si-H-O tempered  
426 set, and fewer types of runs were performed on the other three. For the tempered Al-Si-K-  
427 O set, we performed optimizations corresponding to Runs 1, 2, 3, and 5. There was a slight  
428 modification to the procedure for Run 3 from that applied to the tempered Al-Si-H-O set to  
429 the corresponding K set. We used the parameter output from Run 3 for the Al-Si-H-O  
430 tempered set as the input to the optimization runs for the other data sets, except that K-O  
431 parameters had to be obtained from Run 2 on a data set containing K. This modification  
432 allowed us to make a direct statistical comparison between the outputted parameter sets  
433 prior to optimization. This comparison was required to test the reliability of the initial Al-  
434 Si-O parameters under the different conditions. For run 5 it was the parameters from 3, and  
435 the values  $R_0=2.0\text{\AA}$  and  $B=0.5\text{\AA}$ . For the two check sets, we analyzed the structures using  
436 the parameter sets obtained from Runs 1, 2, 3, and 5 on the small sets. We did not  
437 reoptimize any parameter sets on the check sets. The results of these reliability tests are  
438 labeled "Check #", where "#" is the corresponding Run number. The Check results were  
439 obtained by analyzing the data set using the input parameter set of the corresponding  
440 optimization Run.

441

442 ***Evaluation***

443 We applied two different kinds of tests to evaluate our results. The first is a  
444 statistical test for reliability, and the second includes two transferability tests for  
445 robustness. By adding anion-anion and cation-cation bonding into the model, we are  
446 obviously adding more adjustable parameters. Mathematically, any increase in the degrees  
447 of freedom must increase the quality of the fit, so to demonstrate the value of an increase in  
448 degrees of freedom, one must apply an appropriate statistical test. The extra-sum-of-  
449 squares F-test (Anderson, 2011) is designed to statistically distinguish between models  
450 with different numbers of degrees of freedom. This F-test is calculated slightly differently  
451 than the standard F-test as in Eqn. 3:

452 
$$F_{stat} = \frac{(n-p_2-1)(SS_2-SS_1)}{(p_1-p_2)(SS_2)} \quad (3)$$

453 where  $n$  is the number of crystal structures,  $p_1$  and  $p_2$  are the number of free parameters  
454 for models 1 and 2 respectively, and  $SS_1$  and  $SS_2$  are the sums of squared error for the two  
455 model fits. The probability of the two models being significantly different can then be  
456 calculated using the standard F-distribution function. In essence, it determines whether  
457 those extra degrees of freedom are warranted. The statistical differences between model  
458 fits can then be visualized using a cumulative distribution function. We also must show  
459 that the model parameters are robust in the context of the large sets of structures with  
460 different configurations, rather than just the tempered calibration sets, while preserving  
461 increased accuracy. Finally, the parameter sets must be insensitive to element swaps, e.g.,  
462 Al-O  $R_\theta$  and  $B$  parameters should be the same if H replaces K. If a model fit fails the  
463 reliability test, it is unlikely to pass the tests for robustness. Likewise, if it passes the  
464 reliability test, it is likely to also pass the robustness tests.

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## Results

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Tables 1 and 2 show the fitting results for the small Al-Si-H-O set. In Table 1, we first report the standard deviation of  $\Delta S$  per unique atom, per structure ( $\sigma_{\Delta S}$ ). The lower part of Table 1 shows the mean, standard deviation, skewness, and kurtosis of  $\Delta S$  for each element. The first two columns are the results for the standard “hard” and “soft” parameter sets without re-optimization (Checks 1 and 2). The subsequent columns represent the results of the different runs outlined in the *Methods—Optimization* section above. As expected, the results improve with increasing degrees of freedom.

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The first two data columns in Table 2 show the “hard” and “soft” valence parameters used as initial guesses for the optimization runs. Subsequent columns list the  $R_0$  and  $B$  values for each element pair obtained from the optimization runs. Generally, the optimized  $R_0$  and  $B$  values are consistent between runs to within a few hundredths of an Ångström. The exception is hydrogen, which shows larger variation on the order of 0.1 Å in both  $R_0$  and  $B$ , indicating that special care will be required when fitting hydrogen-related parameters for a general use set.

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Tables 3 through 6 describe the results for the Al-Si-H-O check set (Table 3), the tempered Al-Si-K-O set (Tables 4-5), and the Al-Si-K-O check set (Tables 6). Table 7 is a selection of strongest O-O bonds used in the tempered sets. Finally, Table 8 shows the results from the extra-sum-of-squares F-tests performed on the small Al-Si-H-O set. We used this test to compare the results of Runs 1, 2, 3, and 5.

486

487

The F-test results are conclusive. Adding O-O bonds (Run 3) results in a statistically significant improvement (probability = 100.0% and 99.8%) to the model when compared

488 to the re-optimized “hard” and “soft” models (Runs 1-2). This is true even though the O<sub>2</sub>  
489 and the H<sub>2</sub>O<sub>2</sub> crystals were eliminated from the comparison. However, introducing cation-  
490 cation bonds (Run 8) did not result in a statistically significant improvement in the model  
491 fits (probability = 0.0%) when compared to Run 3. In Figure 1, we plot the cumulative  
492 distribution function (CDF) for the same four models (Runs 1, 2, 3, and 5), as well as the  
493 standard “hard” and “soft” models. They qualitatively show the same strong differences  
494 between models shown by the F-tests, and the same strong similarity between Runs 3 and  
495 8. Specifically, the CDFs show that adding O-O bonding (but not cation-cation bonding)  
496 greatly reduces the number of outliers.

497       How much O-O bonding is there in the oxide crystals? Our analysis indicates that  
498 ionic bonding accounts for >90% of the total bond valence in these crystals, and that most  
499 of the remaining <10% can be accounted for by O-O bonds. The addition of cation-cation  
500 bonds resulted in a contribution of less than 1%. The strongest O-O bonds, aside from  
501 those in O<sub>2</sub> and H<sub>2</sub>O<sub>2</sub>, are those with 6-coordinated Al and Si (stishovite). The structures  
502 with 5-coordinated Al had roughly ¾ the O-O bonding of their octahedral counterparts. In  
503 all cases, O-O bonding in tetrahedral configurations was negligible. (See Table 7.) Thus,  
504 including O-O bonds in the valence sums appears to serve the same purpose as an over-  
505 bonding repulsion term from the overlap of two O<sup>2-</sup> electron clouds, but it also appears to  
506 improve the predictability of the valence sums.

507       As expected, the addition of O-O bonding (Run 3) provided consistent results across  
508 all the other structure sets (See Check 3 in Tables 3, 4, and 6). Starting with the large set of  
509 hydrated aluminosilicates, essentially the same level of statistical improvement is observed  
510 between Check 3 and the ionic “hard” and “soft” model results. Further changes in the O-O

511 and other new parameters due to re-optimization are relatively small; all changes in  $R_0$  and  
512  $B$  values between parameter sets are in the second decimal place. This is an important  
513 indication that the improvement is physically real, not an artifact of optimizing to our data  
514 set. As an aside, an aluminosilicate set was used that did not contain either an elemental-  
515 oxygen crystal or hydrogen peroxide. In this case the statistical improvement was  
516 maintained, but the sets could not be re-optimized because the O-O term was no longer  
517 sufficiently constrained. We will return to this effect in the Discussion.

518 Turning to the Al-Si-K-O data sets, reliability was again confirmed (see Check 3,  
519 Tables 4 and 6). Unfortunately, these sets had only a few K-containing structures. As a  
520 result, in these optimizations the only term involving K that we could fit was the K-O  
521 parameters. When we tried to optimize the K-Si, K-Al, and K-K terms (see Run 5, Tables 4  
522 and 6) the optimizer had similar issues to those it had with the Al-Si-H-O cation sets,  
523 showing that there was poor dependence of the fitting results on these parameter values.

524 To summarize, several important points can be made about our results. First,  
525 addition of O-O bonds to the Al-Si-H-O optimized on the tempered Al-Si-H-O data set  
526 resulted in statistically significant improvement by decreasing the number of outliers,  
527 whereas the addition of cation-cation bonds did not. Second, the relative statistical  
528 improvement obtained by adding O-O bonds was maintained when applied to the large Al-  
529 Si-H-O set, and re-optimization resulted in only very small changes to the bond-valence  
530 parameters. Third, the optimized bond-valence parameters for the Al-O, Si-O, and O-O  
531 bonds remained quite consistent between the Al-Si-H-O and Al-Si-K-O sets after re-  
532 optimization (Tables 2 and 5). We note, however, that the stability of the O-O parameters  
533 was somewhat dependent on the inclusion of a range of strong O-O bond valences in  $O_2$ ,



534 H<sub>2</sub>O<sub>2</sub>, KO<sub>2</sub>, and K<sub>2</sub>O<sub>2</sub>. The optimized O-O parameters ( $R_0 = 1.4096 \text{ \AA}$ ,  $B = 0.2428 \text{ \AA}$  for Al-Si-  
535 H-O;  $R_0 = 1.3913 \text{ \AA}$ ,  $B = 0.2255 \text{ \AA}$  for Al-Si-K-O) are also quite similar to those obtained by  
536 O'Keeffe and Brese (1992) ( $R_0 = 1.48 \text{ \AA}$ ,  $B = 0.37 \text{ \AA}$ ), except that they assumed  $B = 0.37 \text{ \AA}$ ,  
537 while our  $B$  values were optimized. Furthermore, the fully optimized Al-Si-K-O results  
538 (Run 3, Table 4) were almost identical in quality to the results obtained by analyzing the Al-  
539 Si-K-O data sets using the Al-O, Si-O, and O-O parameters from the Al-Si-H-O optimized set  
540 (Run 3, Table 1), and the K-O parameters obtained from Run 2 on the Al-Si-K-O tempered  
541 set. Thus, having a range of primary bonding environments is essential to producing a  
542 robust parameter set.

543

### Discussion

544 It is abundantly clear from our results that O-O interactions are important, even in  
545 oxides where these interactions are always fairly weak. In this section, we first address  
546 important implications for the BVM if such bonds are included. We then outline some  
547 critical issues brought out by our analysis that may guide the way to further improvements  
548 in the accuracy of the BVM. We also argue that, at least for some purposes, cation-cation  
549 bonds should still be included, even in cases (such as the systems studied here) where  
550 these bonds are particularly weak.

551

### *Implications for the BVM*

552  
553 Supposing that weak anion-anion bonds, and to a lesser (and possibly negligible)  
554 extent weak cation-cation bonds, are present in typical compounds such as the oxides  
555 studied here, this would have important implications for the application of the BVM.

556 First, we indicated above that bond valence is taken to be positive in the direction of  
557 the anion and negative in the direction of the cation, but this formulation is inadequate if  
558 fully covalent bonds are included. In this case, the bond valence-length equation (Eqn. 1)  
559 would need to be modified so that the bond valence is positive in any direction, as in Eqn. 4.

$$560 \quad s_{ij} = e^{(R_0 - R)/B} \quad (4)$$

561 Likewise, the valence sum rule (Eqn. 2) would need to be modified so that the  
562 atomic valence ( $V_i$ ) is always positive, and the sum of the bond valence incident to an atom  
563 tends to equal, as closely as possible, the atomic valence, as in Eqn. 5.

$$564 \quad S_i = \sum_j s_{ij} \approx V_i \quad (5)$$

565 Thus, an  $O^{2-}$  ion in an oxide would have  $V_O = 2$  v.u., and should have  $\sim 2$  v.u. of incident  
566 bonds. This situation is essentially no different for  $O^{2-}$  in typical oxides, for which the  
567 atomic valence would still be equal to the absolute value of the oxidation number, but there  
568 are other cases that could now be addressed via the new formulation. Instead of assuming  
569  $V_O = 1$  v.u. for  $O^-$  in a peroxide and  $V_O = 0$  v.u. in  $O_2$  gas, for instance, we would assume that  
570  $V_O = 2$  v.u. in all cases. In arsenopyrite (FeAsS), discussed above in the Theory section,  $V_{Fe} =$   
571  $3$  v.u. for  $Fe^{3+}$ ,  $V_S = 2$  v.u. for  $S^-$ , and  $V_{As} = 3$  v.u. for  $As^{2-}$ . A cursory analysis of the structure  
572 would lead to the correct conclusion that  $s_{Fe-S} \approx 1/3$  v.u.,  $s_{Fe-As} \approx 2/3$  v.u., and  $s_{As-S} \approx 1$  v.u.,

573 The provision that the valence sum should approach the atomic valence *as closely as*  
574 *possible* is also a departure from the standard BVM. On the one hand, if bond valence is  
575 equated with the electric flux between ions, Gauss's Law requires that Eqn. 2 *must* be  
576 exactly obeyed (Preiser et al., 1999; Brown, 2002). If, on the other hand, we view bond  
577 valence simply as the number of electron pairs participating in a particular bond, and the  
578 valence sum rule as an expression of the tendency of atoms to obtain filled outer shells

579 through bonding, then the valence sum rule becomes less of an absolute requirement. If  
580 weak cation-cation and anion-anion bonds are allowed, but the anion-anion bonds tend to  
581 have larger bond valences, there will be some situations in which the valence sum rule  
582 *cannot* be exactly obeyed. That is, the anions will tend to be slightly over-bonded, and the  
583 cations will tend to be slightly under-bonded.

584 To some, this might seem like a shocking departure from the simplicity of the  
585 standard BVM, but there are many instances where apparent deviations from ideal valence  
586 sums are used in energy cost functions, so it should not be too problematic to assume that  
587 such deviations might be real (Hiemstra et al., 1989; Hiemstra and Van Riemsdijk, 1996;  
588 Hiemstra et al., 1996; Lufaso and Woodward, 2001; Adams and Swenson, 2002; Grinberg et  
589 al., 2002; Cooper et al., 2003; Adams et al., 2004; Bickmore et al., 2004; Grinberg et al.,  
590 2004; Shin et al., 2005; Bickmore et al., 2006b; Adams and Rao, 2009; Liu et al., 2013a; Liu  
591 et al., 2013b).

592 Furthermore, given the proper context, we can show that the altered model opens  
593 up the possibility of applying the BVM to a much broader array of chemical scenarios in a  
594 more realistic manner. The “proper context” to understand this claim involves the  
595 realization that bonds with the same valence do not necessarily have the same bond  
596 energy. Consider, for instance, two diatomic molecules. The bond dissociation energy for  
597  $F_2$  is 158.67 kJ/mol, whereas that of  $Cs_2$  is 43.919 kJ/mol. Certainly, both are held together  
598 by a single ( $\sim 1$  v.u.) bond, and yet their dissociation energies vary by more than 100  
599 kJ/mol. Next, consider the  $CsF$  molecule, which is undoubtedly also held together by a  $\sim 1$   
600 v.u. bond, but which has a bond dissociation energy 517.1 kJ/mol. There are some  
601 complexities due to differences in interatomic distances and lone-pair effects (Sanderson,

602 1983), but it is generally true that the most metallic single bonds (lower average  
603 electronegativity) tend to have lower dissociation energies than the most covalent single  
604 bonds (higher average electronegativity), and both metallic and covalent bonds tend to  
605 have lower dissociation energies than the most ionic bonds (larger electronegativity  
606 difference). Although some have tried to apply the BVM to problems involving reaction  
607 energies without taking account of differences in bond energy due to bond character  
608 (Hiemstra et al., 1996), the fact is that such models cannot have very wide applicability.  
609 Furthermore, these differences in bond dissociation energies due to bond character have  
610 been common knowledge among chemists for decades, and in fact, such differences  
611 between metallic/covalent and ionic bonds formed the basis for Pauling's original  
612 definition of electronegativity (Pauling, 1932; 1960).

613         Given this context, it is clear that in a mixture of different atom types the available  
614 atomic valence would be dominantly taken up in polar bonds, where possible, followed by  
615 anion-anion bonds, and finally cation-cation bonds. This would be the most efficient way to  
616 minimize the total bond energy, and explains quite a bit of well-known chemical behavior.  
617 Strong cation-cation or anion-anion bonds would only occur where there are not enough  
618 anions or cations, respectively, to make enough polar bonds to satisfy the atomic valences.  
619 In fact, where some polar bonds are present, the cation-cation and anion-anion bonds  
620 would be even less energetically favored, because the partial charges that develop on the  
621 atoms would weaken the covalent and metallic bonds.

622         If weaker like-like bonds do form, anion-anion bonds would be most likely due to  
623 both the energetic considerations outlined above and the relative sizes of typical cations  
624 and anions. But if weak ( $\ll 1$  v.u.) anion-anion and cation-cation bonds form

625 asymmetrically, this would cause the valence sums to depart from their ideal values. Why  
626 then would such weak bonds ever form? It is likely to occur for steric reasons. The  $N^{5+}$  ion  
627 in a nitrate ( $NO_3^-$ ) group, for instance, ideally would form three 1.67 v.u. bonds with the  $O^{2-}$   
628 ions, but doing so would force the  $O^{2-}$  ions quite close together, resulting in significant  
629 overlap of their electron clouds. The overlapping electron clouds would necessarily entail  
630 some electron sharing, i.e., covalent bonding. Thus, even if the atomic valence of the  $N^{5+}$   
631 were fully satisfied with polar N-O bonds, the  $O^{2-}$  ions would necessarily be over-bonded.  
632 Therefore, the geometry would equilibrate in such a way as to favor the polar bonds as  
633 much as possible, while obeying the valence sum rule (Eqn. 5) as closely as possible for all  
634 atoms.

635         Given the energetic differences between the different types of bonds, it is clear why  
636 one can get away with ignoring weak anion-anion and cation-cation bonds for many  
637 purposes. It is also clear why, in mixed systems of atoms, the like-like bonds tend to closely  
638 approximate integral bond orders ( $\sim 0$  v.u.,  $\sim 1$  v.u.,  $\sim 2$  v.u.,  $\sim 3$  v.u.), although there are  
639 some rare exceptions (e.g.,  $KO_2$  and  $K_2O_2$  in Table 7). That is, fractional bond orders in  
640 these bonds would usually force deviations from ideal valence sums. This argument also  
641 provides a simple rationale for why there are only two very rare peroxide minerals,  
642 studtite and metastudtite (Burns and Hughes, 2003), whereas there are a number of very  
643 common persulfide minerals, e.g., pyrite and marcasite. That is, sulfur is considerably less  
644 electronegative than oxygen, so it forms less ionic bonds with metals, allowing S-S bonds to  
645 be more competitive with Me-S bonds.

646         While the argument we have outlined here introduces some extra complexity into  
647 the BVM, it provides a framework through which we might eventually use the expanded

648 BVM to model some very complex chemistry, including redox reactions. This is not a trivial  
649 task within a molecular mechanics framework (Comba et al., 2009).

650 It remains to address the issue of compatibility of our O-O parameters with existing  
651 bond-valence parameter sets. Unfortunately, in general, our O-O and other like-ion  
652 parameter sets will not be able to be added to previously published cation-anion sets  
653 without modification, in part because they were optimized with different cutoff criteria,  
654 which are quite important for weak bonds. The one exception to this is the peroxides,  
655 where the O-O bonds are relatively strong. In these cases a marked improvement in bond  
656 valence sums will be realized even without refitting the other parameter sets. However, in  
657 all other cases a complete refitting of relevant parameter pairs is recommended. This will  
658 substantially improve overall accuracy in the bond valence sums.

659

### 660 ***Optimization Issues***

661 Our analysis shows that it is necessary to include high-valence bonds in the  
662 calibration set when optimizing like-like valence parameters. The factors affecting the  
663 stability of the O-O parameters under optimization are a useful starting point to  
664 understand the stability of the other elemental pairs. For the O-O interactions, there are  
665 four different types of bonds that can be differentiated by bond valence. These included the  
666 O-O double bond in O<sub>2</sub>, the single O-O bond in hydrogen peroxide, O-O interactions in  
667 stishovite, which has 6-coordinated Si, and O-O interactions in the other aluminosilicates,  
668 in which Si is 4-coordinated. If our calibration set were only to contain the last two types,  
669 we would be trying to fit the O-O valence parameters to a set with a maximum bond  
670 strength of 0.25 v.u. Under these conditions, the  $R_0$  value (which is equivalent to the bond

671 length of a 1 v.u. bond) would be severely underdetermined, as would the corresponding  $B$   
672 value. In general, if we try to fit valence parameters to a data set that only contains bonds of  
673 0.25 v.u. or less, no optimization procedure can possibly be adequate.

674 We can visualize this by looking at Schema 2, which shows hypothetical bond-  
675 valence curves fit to different bond length distributions. Only short-range interactions  
676 contribute significantly to the shape of the curve, and long-range contributions are not  
677 important. The range of different bonding configurations—especially at the high end of the  
678 bond-valence curve—is actually more important than a balanced set of different elemental  
679 combinations.

680 It is likely that this issue was most acute for the cation-cation pairs, because only  
681 very weak bonds of these types were included in the calibration sets. Including examples  
682 of the pure elements did not improve the situation significantly, but this may be due to  
683 departures from the ideal exponential curve shape of the bond valence-length relationship  
684 (Eqns. 1 and 4).

685

### 686 ***Bonds Involving H***

687 H-O and H-H bonds present an even broader problem. Even when H positions are  
688 experimentally determined by neutron diffraction, rather than estimated, the uncertainty is  
689 often much larger than that of the heavier elements (Jones, 1984). This is a factor that has  
690 been noticed previously, but clearly as one approaches a quantitatively better fit, the errors  
691 in hydrogen become even more apparent. Given the geochemical importance of this  
692 element, further consideration of how to better fit valence parameters involving H,

693 beginning with paring down the calibration set to those structures with reliable H positions  
694 is warranted.

695         The valence parameter values reported for H-O bonds in standard sets (see  
696 <http://www.iucr.org/resources/data/datasets/bond-valence-parameters>) are quite  
697 variable. Our  $R_o$  values of  $\sim 0.7$  are clearly too short to represent a 1 v.u. bond, but  $R_o$   
698 values fit to limited data sets are known to be covariant with  $B$  values. H atoms in crystals  
699 tend to have one covalent bond with O, and one (or more) hydrogen bonds (O-H $\cdots$ O). In this  
700 case, fitting regimes cannot distinguish how strong the covalent bond should be relative to  
701 the hydrogen bond, and partitions of 0.9 and 0.1, to 0.3 to 0.7 can all be fit approximately  
702 equally well to these systems. This is a large part of the reason that this study is intended  
703 only as a preliminary proof-of-concept for the need to include O-O bonds and our fitting  
704 process, and not intended to produce a final fitted parameter set for a variety of  
705 applications. In order to solve this problem we need to have systems that have a balance  
706 between hydrogen bonds and systems with no hydrogen bonds. In other words, we will  
707 need to include gas phase molecules in our fitting set. This is far too large an issue to be  
708 included in the scope of this work and will be explored independently.

709         Brown (1976; 2002) did careful work on H-O bond valence based on structures  
710 obtained by neutron diffraction, in which a large range of H-O bond lengths were  
711 represented. He found that if we assume the valence sum rule is always obeyed, the H-O  
712 bond valence-length curve takes on a very strange, non-exponential shape, with a hump  
713 around 0.5 v.u. This strange shape, and the prevalence of asymmetric bond valence  
714 distributions about H, was shown to derive from the fact that two 0.5 v.u. H-O bonds would  
715 bring the two O atoms too close together if a normal exponential curve were assumed.



716 Thus, he recommended using three different sets of H-O bond-valence parameters,  
717 covering different bond length ranges. In reality, this strange shape of the valence-length  
718 curve might mean that the valence sum rule simply is not obeyed in strained systems with  
719 H-O bonds  $\sim 0.5$  v.u. It also is very likely that anion-anion and cation-cation bonding terms  
720 are critical missing pieces.

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### 722 ***Why Cation-Cation Bonds?***

723 Even though we have shown that, at least in the systems studied here, cation-cation  
724 bonds are probably not important, there may be reasons to include them in bond-valence  
725 models. For example, if these parameter sets are used in a force field meant for molecular  
726 dynamics simulations, cation-cation interaction terms will have to be included. Typically,  
727 these would be implemented with van der Waals and/or Coulomb terms to mimic hard-  
728 sphere repulsion, but it should be possible to mimic this using a bond-valence approach  
729 (Schema 1), as well. Note that the cation-cation bond valence parameters reported in our  
730 data tables should not be used as-is for such purposes, because their inclusion proved  
731 statistically insignificant, so it is unlikely that their optimized values are very meaningful.

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733

### **Implications**

734 Inclusion of anion-anion, and possibly cation-cation, bonds in the BVM would  
735 certainly make the model more “true”. Such bonds clearly exist, even in weak forms, and  
736 from a quantum mechanical standpoint are not fundamentally different than the bonds  
737 traditionally addressed by the model. Here we have shown that, at least in the case of O-O  
738 bonds, their inclusion is a statistically significant addition that improves overall reliability,

739 transferability and robustness of the fitting set. The improvement of the quality of the fit is  
740 such that it is now comparable to the experimental error. Where outliers still exist they are  
741 likely to be a result of an elevation of free energy rather than a misfit of the model. While  
742 the full covalent set, which also included cation-cation bonds, failed the statistical test, they  
743 are still worth considering for application in a molecular dynamics force field. Clear cases  
744 of strong cation-cation bonds are known, particularly in cases where metals have unpaired  
745 *d*-electrons (Müller, 2007).

746         The addition of O-O bonding to the BVM is likely to provide significant incremental  
747 improvement in conjunction with our directionalized bond valence work including valence-  
748 dipole and valence-quadrupole moments (Bickmore et al., 2013; Shepherd et al., 2013). It  
749 is clear that the BV model, which has shown decades of promise in structure interpretation,  
750 is amenable to a rigorous analysis that will likely dramatically improve its predictive  
751 capability.

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753

### **Acknowledgements**

754         BRB would like to acknowledge support for this project from the National Science  
755 Foundation Geobiology and Low-Temperature Geochemistry program (EAR-1227215),  
756 NASA (MFRP- NNX11AH11G), and the BYU Mentoring Environment Grant program. The  
757 authors acknowledge stimulating conversations with Prof. I. David Brown and Prof. Frank  
758 C. Hawthorne. Prof. Dennis L. Eggett, at the BYU Statistical Consulting Center, pointed us to  
759 the extra-sum-of-squares F-test applied here. Prof. I. David Brown and an anonymous  
760 reviewer provided helpful comments on the initial manuscript.

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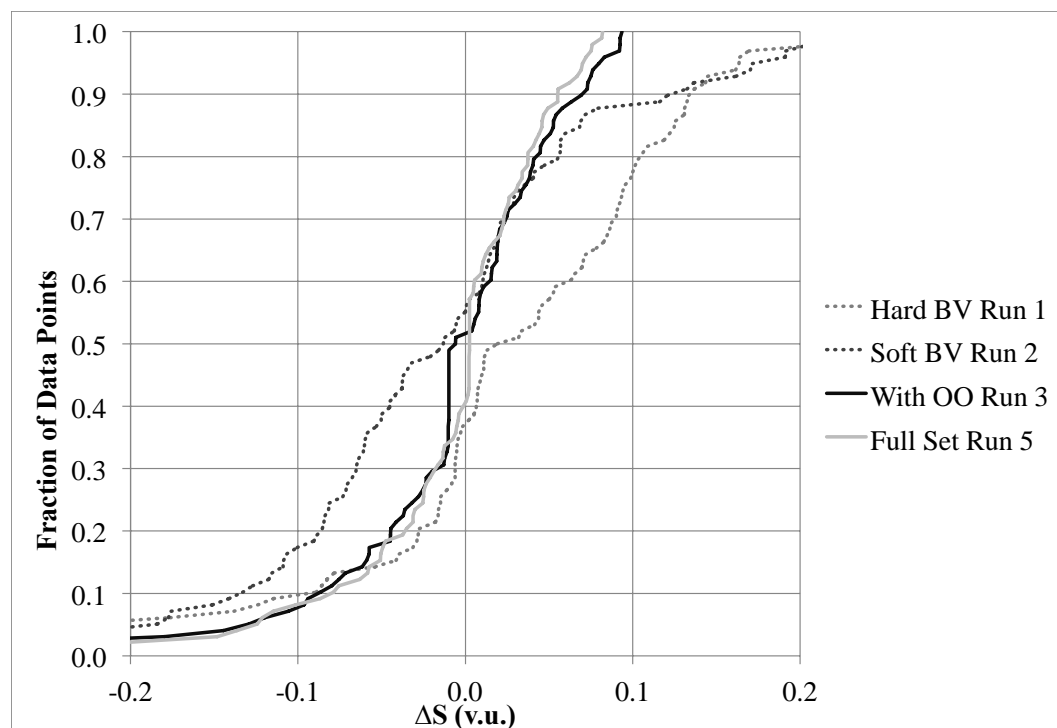
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**Figure 1.** Cumulative distribution functions (CDFs) for the fits to the structurally unique atoms in the Al-Si-H-O set, where  $\Delta S$  is deviation from the ideal valence sums. (Run 1 = no covalent bonds,  $B = 0.37 \text{ \AA}$ ,  $R_0$  optimized. Run 2 = no covalent bonds,  $R_0$  and  $B$  optimized. Run 3 = O-O bonds allowed,  $R_0$  and  $B$  optimized. Run 5 = all covalent interactions allowed,  $R_0$  and  $B$  optimized. The way the largest negative deviations show up at the lower left of the plot and the largest positive deviations at the upper right. The best fits would be hug more closely around the vertical  $\Delta S = 0$  v.u. line, with smaller tails at the bottom and top of the distributions, indicating fewer outliers. Clearly, the curves from Runs 1 and 2 leave significantly more outliers than the curves that included covalent interactions (Runs 3 and 5), especially at the high end. However, the run that included only cation-anion and O-O bonds (Run 3) was very comparable to the run that included all covalent interactions (Run 5).

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**Table 1.** Optimization results for the Al-Si-H-O tempered set. This table summarizes the statistics for each of the runs, and Run numbers correspond to the description in the Methods section. The tabulated numbers correspond to the deviations from the ideal valence sums ( $\Delta S$ ) for the atoms in the crystal structures from the calibration set. The first two rows contain the mean  $\Delta S$  values for all the atoms before and after optimization. Subsequent rows have the post-optimization mean  $\Delta S$  values for individual elements, as well as the standard deviation, skewness, and kurtosis.

Atoms	Data	Check1	Check2	Run 1	Run 2	Run 3	Run 4	Run 5
<b>All</b>	$\overline{\Delta S_{init}}$	0.173	0.155	0.173	0.155	0.064	0.073	0.807
	$\overline{\Delta S_{final}}$	N/A	N/A	0.157	0.151	0.025	0.024	0.023
<b>Al</b>	$\overline{\Delta S}$	-0.28	-0.11	-0.04	-0.07	-0.05	-0.05	-0.00
	<i>Std. Dev.</i>	0.08	0.08	0.09	0.09	0.09	0.09	0.06
	<i>Skew</i>	-0.35	-0.44	-0.36	-0.45	-0.40	-0.40	-0.57
	<i>Kurtosis</i>	-1.52	-1.78	-1.55	-1.68	-1.60	-1.70	-0.01
<b>H</b>	$\overline{\Delta S}$	-0.32	-0.04	0.00	0.08	-0.01	-0.01	-0.01
	<i>Std. Dev.</i>	0.11	0.11	0.16	0.10	0.09	0.09	0.09
	<i>Skew</i>	3.44	3.21	3.45	1.09	2.09	2.08	2.34
	<i>Kurtosis</i>	14.15	12.99	14.20	2.61	5.93	5.92	7.00
<b>O (all)</b>	$\overline{\Delta S}$	-0.67	-0.54	-0.52	-0.49	-0.01	-0.02	-0.03
	<i>Std. Dev.</i>	0.81	0.85	0.87	0.88	0.09	0.09	0.09
	<i>Skew</i>	-0.88	-1.11	-1.07	-1.14	-0.21	-0.18	-0.67
	<i>Kurtosis</i>	-0.89	-0.68	-0.68	-0.65	1.49	1.68	1.27
<b>O (red.)<sup>‡</sup></b>	$\overline{\Delta S}$	-0.21	-0.05	-0.02	-0.03	*		
	<i>Std. Dev.</i>	0.26	0.12	0.16	0.04			
	<i>Skew</i>	-0.11	1.08	2.62	-0.79			
	<i>Kurtosis</i>	-1.58	2.86	10.26	2.08			
<b>Si</b>	$\overline{\Delta S}$	0.13	0.04	-0.01	-0.03	-0.03	-0.03	-0.01
	<i>Std. Dev.</i>	0.06	0.09	0.05	0.04	0.04	0.05	0.04



	Skew	-0.38	2.53	-0.38	-0.79	-0.85	-0.87	-0.26
	Kurtosis	0.87	7.62	0.82	2.08	2.69	2.55	-0.18
<sup>‡</sup> The O <sub>2</sub> and H <sub>2</sub> O <sub>2</sub> structures were removed to make a proper comparison between models that include O-O bonds and those that do not.								
*After this point no recalculations of the oxygen statistics were performed without O <sub>2</sub> and H <sub>2</sub> O <sub>2</sub> contributions.								

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**Table 2.** Resulting parameter sets from each run for the Al-Si-H-O system. All  $R_0$  and  $B$  values are given in Å. Overall, the order of convergence is the order of stability/reliability. The earlier the run number the parameter pair was stabilized on the more reliable it is likely to be.

	<b>“hard” inputs</b>	<b>“soft” inputs</b>	<b>Run 1</b>	<b>Run 2</b>	<b>Run 3</b>	<b>Run 4</b>	<b>Run 5</b>
Al-O ( $R_0/B$ )	1.620/ 0.37	1.5990/ 0.4240	1.6502/ 0.37	1.6275/ 0.3950	1.6427/ 0.3795	1.6442/ 0.3750	1.6275/ 0.3797
Si-O ( $R_0/B$ )	1.624/ 0.37	1.6082/ 0.4320	1.6106/ 0.37	1.6069/ 0.3911	1.6072/ 0.3877	1.6040/ 0.3793	1.5985/ 0.3589
H-O ( $R_0/B$ )	0.790/ 0.37	0.8705/ 0.4570	0.9307/ 0.37	0.7249/ 0.6344	0.7636/ 0.5741	0.7628/ 0.5743	0.7315/ 0.5932
O-O ( $R_0/B$ )					1.4096/ 0.2428	1.4147/ 0.2506	1.4322/ 0.2783
Al-Al ( $R_0/B$ )						0.3994/ 0.5432	2.4077/ 0.0964
Al-Si ( $R_0/B$ )						2.6483/ 0.5289	0.2411/ 0.6508
Si-Si ( $R_0/B$ )						2.6483/ 0.5289	2.3199/ 0.5135
H-H ( $R_0/B$ )						2.6483/ 0.5289	1.3300/ 0.1203
Al-H ( $R_0/B$ )						2.6483/ 0.5289	1.0247/ 0.5301
Si-H ( $R_0/B$ )						1.0093/ 0.4918	1.9791/ 0.3043

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**Table 3.** Overall fit and results for each element in the Al-Si-H-O check set. This table summarizes the statistics for each of the runs, and Run numbers correspond to the description in the Methods section. The tabulated numbers correspond to the deviations from the ideal valence sums ( $\Delta S$ ) for the atoms in the crystal structures from the calibration set. The first two rows contain the mean  $\Delta S$  values for all the atoms before and after optimization. Subsequent rows have the post-optimization mean  $\Delta S$  values for individual elements, as well as the standard deviation, skewness, and kurtosis.

Atoms	Data	Check Hard	Check Soft	Check 3	Check 5
<b>All</b>	$\overline{\Delta S}$	0.0671	0.0470	0.0467	0.1753
<b>Al</b>	$\overline{\Delta S}$	-0.20	-0.04	0.03	0.61
	<i>Std. Dev.</i>	0.09	0.09	0.09	0.44
	<i>Skew</i>	-0.06	0.22	-0.03	0.53
	<i>Kurtosis</i>	0.01	-0.07	-0.08	0.17
<b>H</b>	$\overline{\Delta S}$	-0.21	0.10	0.12	0.75
	<i>Std. Dev.</i>	0.15	0.14	0.10	1.14
	<i>Skew</i>	1.04	1.04	1.23	2.18
	<i>Kurtosis</i>	0.27	0.22	1.60	4.01
<b>O</b>	$\overline{\Delta S}$	-0.02	0.03	0.04	0.02
	<i>Std. Dev.</i>	0.99	0.92	0.94	1.23
	<i>Skew</i>	0.42	0.43	0.45	0.44
	<i>Kurtosis</i>	-1.85	-1.84	-1.84	-1.22
<b>Si</b>	$\overline{\Delta S}$	0.018	0.09	0.01	0.00
	<i>Std. Dev.</i>	0.27	0.15	0.22	0.25
	<i>Skew</i>	0.09	0.05	0.16	0.02
	<i>Kurtosis</i>	-1.47	-0.79	-1.41	-1.37

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**Table 4.** Overall fit and results for each element in the Al-Si-K-O tempered set. This table summarizes the statistics for each of the runs, and Run numbers correspond to the description in the Methods section. The tabulated numbers correspond to the deviations from the ideal valence sums ( $\Delta S$ ) for the atoms in the crystal structures from the calibration set. The first two rows contain the mean  $\Delta S$  values for all the atoms before and after optimization. Subsequent rows have the post-optimization mean  $\Delta S$  values for individual elements, as well as the standard deviation, skewness, and kurtosis.

Atom s	Data	Check hard	Check Soft	Check 3	Run 1	Run 2	Run 3	Run 5
All	$\overline{\Delta S}_{init}$	0.201	0.204	0.072	0.201	0.204	0.072	0.108
	$\overline{\Delta S}_{final}$	N/A	N/A	N/A	0.18	0.026	0.028	0.025
Al	$\overline{\Delta S}$	0.066	0.094	0.291	0.101	-0.031	-0.019	0.025
	Std. Dev.	0.365	0.232	0.361	0.369	0.161	0.163	0.062
	Skew	1.199	1.710	1.339	1.200	-1.064	-0.762	1.429
	Kurtosis	N/A*	N/A*	N/A*	N/A*	N/A*	N/A*	N/A*
K	$\overline{\Delta S}$	-0.017	-0.083	0.229	0.260	0.230	0.049	0.078
	Std. Dev.	0.284	0.244	0.378	0.357	0.378	0.321	0.260
	Skew	-0.087	-0.328	0.058	-0.113	0.057	0.036	-0.252
	Kurtosis	-2.612	-1.748	-2.779	-2.528	-2.779	-2.788	-1.937
O (all)	$\overline{\Delta S}$	-0.825	-0.858	0.019	-0.819	-0.831	-0.040	-0.048
	Std. Dev.	0.990	0.967	0.098	0.979	0.967	0.104	0.111
	Skew	-0.305	-0.286	0.166	-0.367	-0.376	-3.518	-3.565
	Kurtosis	-1.914	-1.926	7.001	-1.893	-1.898	19.071	19.500
O (red.) ‡	$\overline{\Delta S}$	0.013	-0.037	**	-0.001	-0.022	**	
	Std. Dev.	0.175	0.187		0.107	0.090		
	Skew	-3.402	-3.903		-1.367	-1.986		
	Kurtosis	13.672	17.238		-1.893	-1.898		
Si	$\overline{\Delta S}$	0.109	0.016	-0.064	-0.025	-0.025	-0.022	-0.003
	Std. Dev.	0.092	0.110	0.079	0.089	0.075	0.076	0.049
	Skew	-0.460	1.096	-0.549	-0.445	-0.745	-0.730	1.051
	Kurtosis	-0.717	4.255	-0.456	-0.749	-0.030	-0.076	-0.054

‡The O<sub>2</sub> and H<sub>2</sub>O<sub>2</sub> structures were removed to make a proper comparison between models that include O-O bonds and those that do not.  
 \*With only three Al-containing structures in the tempered set it is impossible to calculate a kurtosis value.  
 \*\*After this point no recalculations of the oxygen statistics were performed without O<sub>2</sub>, peroxide, and superoxide contributions.

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<b>Table 5.</b> Five output sets for Al-Si-K-O tempered set.				
Run:	Run 1*	Run 2*	Run 3	Run 8
Al-O ( $R_0/B$ )	1.6242/ 0.37	1.5491/ 0.4799	1.5542/ 0.4749	1.5427/ 0.4831
Si-O ( $R_0/B$ )	1.6118/ 0.37	1.6099/ 0.3885	1.6103/ 0.3874	1.6078/ 0.3822
K-O ( $R_0/B$ )	2.2028/ 0.37	2.2667/ 0.3310	2.2031/ 0.3369	2.1667/ 0.3513
O-O ( $R_0/B$ )			1.3913/ 0.2255	1.4034/ 0.2444
Al-Al ( $R_0/B$ )				1.2910/ 0.3163
Al-Si ( $R_0/B$ )				1.3589/ 0.3427
Si-Si ( $R_0/B$ )				2.8553/ 0.0671
K-K ( $R_0/B$ )				1.5659/ 0.3705
Al-K ( $R_0/B$ )				3.0615/ 0.0553
Si-K ( $R_0/B$ )				1.3615/ 0.6302
*Initial K-O “hard” bond-valence parameters were 2.113 & 0.37, and the initial “soft” parameter values were: 1.9548 & 0.4300. The initial Al-Si-O values from Table 3 input.				

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**Table 6.** Overall fit and Individual element results for Al-Si-K-O large set optimizations. This table summarizes the statistics for each of the runs, and Run numbers correspond to the description in the Methods section. The tabulated numbers correspond to the deviations from the ideal valence sums ( $\Delta S$ ) for the atoms in the crystal structures from the calibration set. The first two rows contain the mean  $\Delta S$  values for all the atoms before and after optimization. Subsequent rows have the post-optimization mean  $\Delta S$  values for individual elements, as well as the standard deviation, skewness, and kurtosis.

Atoms	Data	Check Hard	Check Soft	Check 3	Check 5
<b>All</b>	$\overline{\Delta S}$	0.0481	0.441	0.0420	0.4648
<b>Al</b>	$\overline{\Delta S}$	-0.22	-0.15	-0.21	-0.15
	<i>Std. Dev.</i>	0.10	0.09	0.13	0.30
	<i>Skew</i>	-0.27	-1.06	-0.57	3.04
	<i>Kurtosis</i>	0.12	3.82	1.03	12.30
<b>K</b>	$\overline{\Delta S}$	-0.05	-0.13	0.02	0.59
	<i>Std. Dev.</i>	0.14	0.11	0.16	3.4
	<i>Skew</i>	1.36	0.80	1.59	5.97
	<i>Kurtosis</i>	3.90	1.49	4.70	35.78
<b>O</b>	$\overline{\Delta S}$	0.01	-0.05	-0.02	-0.03
	<i>Std. Dev.</i>	0.18	0.16	0.14	0.15
	<i>Skew</i>	-0.76	-0.97	-1.34	-1.17
	<i>Kurtosis</i>	1.88	1.48	5.58	4.54
<b>Si</b>	$\overline{\Delta S}$	0.15	0.02	0.01	0.08
	<i>Std. Dev.</i>	0.15	0.17	0.20	0.15
	<i>Skew</i>	0.62	0.37	0.70	0.33
	<i>Kurtosis</i>	-0.83	-0.95	-0.76	0.03

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Table 7: Select strongest O-O bonds in our tempered sets crystals.

Crystal	$R_{O-O}$ (minimum)	$S_{O-O}$ (v.u.)	Interaction
			Type
O2	1.24014	2.013	Covalent
KO2	1.30631	1.538	Covalent
H2O2	1.45305	0.847	Covalent
K2O2	1.54058	0.593	Covalent
Bayerite	2.45773	0.014	Repulsive
Bohemite	2.53045	0.011	Repulsive
Corundum	2.52403	0.011	Repulsive
Diaspore	2.45905	0.014	Repulsive
Dickite	2.34906	0.022	Repulsive
Gibbsite	2.4192	0.017	Repulsive
Stishovite	2.52119	0.011	Repulsive

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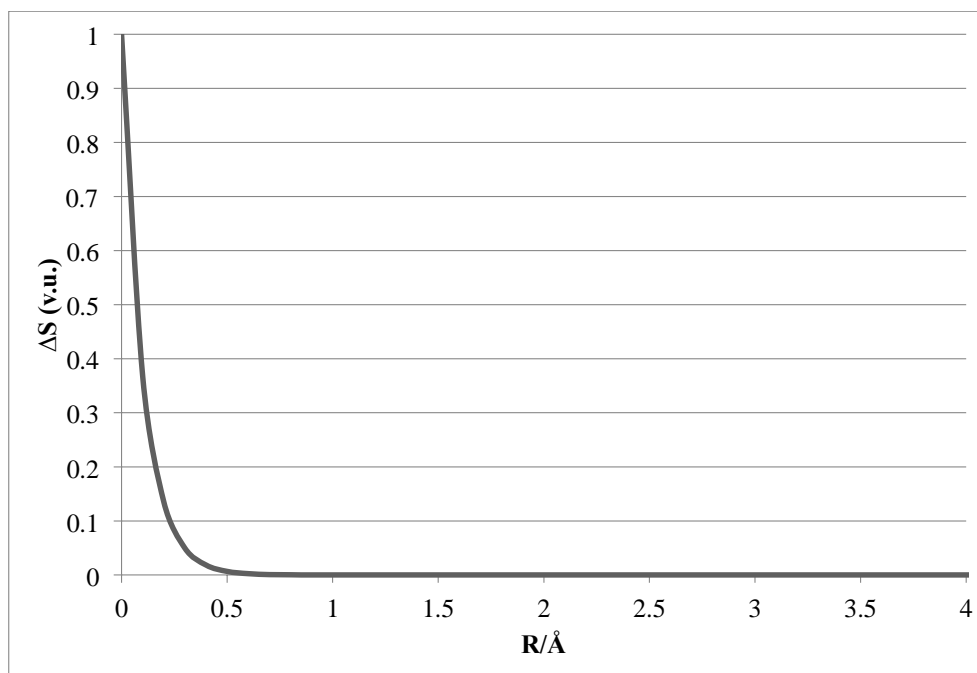
**Table 8.** F-test results for extra degrees of freedom. Comparing the “hard”, “soft”, “soft” with O-O bonds, and full covalent models. The sum of squared error ( $SS$ ) and number of free parameters ( $p$ ) is given for each model. In addition, we list the F-statistic ( $F$ -stat) and probability (Prob.) of a significant difference between models, given the extra free parameters in Model 2, for each model comparison. The tests show that it is justified with >99.8% confidence to fit  $b$  values in addition to  $R_0$  (“soft” vs. “hard” models), and to add O-O bonding to the “soft” model, but it is not justified to add cation-cation bonding (full covalent).

Model 1	Model 2	$SS_1$	$SS_2$	$p_1$	$p_2$	F-stat	Prob.
<i>Hard</i>	<i>Soft</i>	2.973	1.483	3	6	30.1	99.5%
<i>Hard</i>	<i>Soft+OO</i>	2.973	1.187	3	8	26.5	99.6%
<i>Soft</i>	<i>Soft+OO</i>	1.483	1.187	6	8	11.0	98.2%
<i>Soft+OO</i>	<i>Full Cov.</i>	1.187	1.164	8	20	0.1	0.0%

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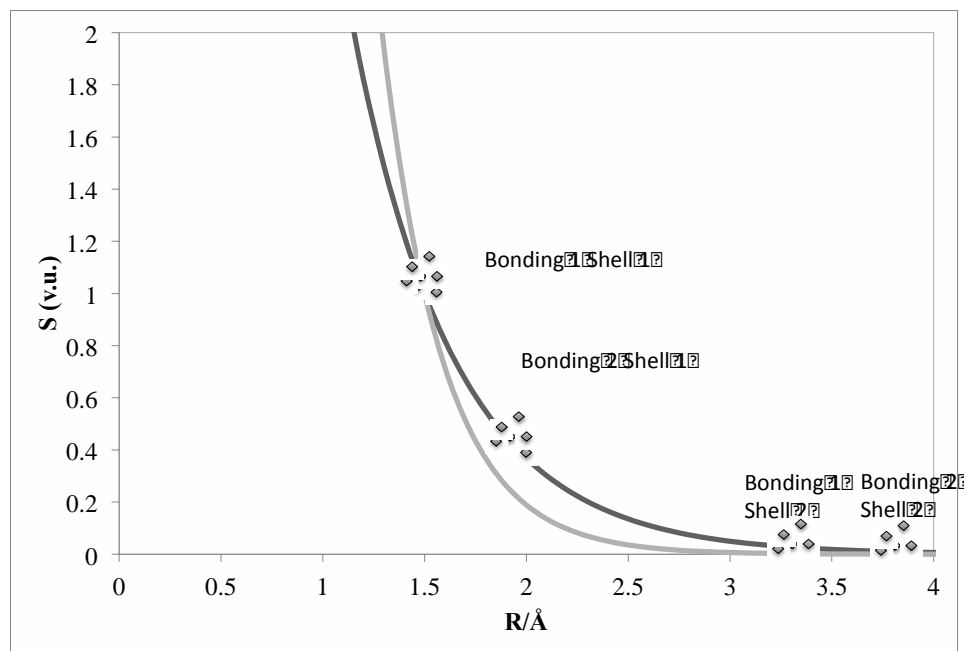


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**Schema 1.** Hard-sphere representation in terms of bond valence. This could also be useful as a zero term to check that the addition of covalent bonding does not alter the bonding when its contribution should be zero.



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944 **Schema 2.** A hypothetical chart showing the contribution of different types of bonds  
945 for defining a valence-length curve. In order to properly fit any “soft” bond-valence  
946 curve a range of bonding environments is required. Specifically, different short-  
947 range (1<sup>st</sup> shell) configurations are essential to fitting the softness parameter (*B*).  
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