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3 CRYSTAL CHEMISTRY AND SURFACE CONFIGURATIONS OF TWO

4 POLYLITHIONITE-1M CRYSTALS

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14 **Abstract** – This paper explores the crystal chemical features of the bulk and the outermost (001)

surface layers of two trioctahedral Li-rich mica-1*M* (space group *C*2) polytypes, *i.e.* a polylithionite

16 (MLG-114) from Li-mica granitic pegmatite at St. Austell (SW England) and a Fe^{2+} -rich

17 polylithionite (Ch-140) from a rhyolite at Profitis Ilias, Chios Island, Greece. Structural formulae

- 18 are $^{[xii]}(K_{0.952}Na_{0.019}Rb_{0.019})^{[vi]}(Al_{1.034}Li_{1.459}Fe^{2+}_{0.389}Fe^{3+}_{0.046}Mn_{0.038}Mg_{0.002}Zn_{0.002}Ti_{0.001})^{[iv]}(Al^{3+}_{0.477}Al^{3+}_{0.477}Al^{3+}_{0.016}A$
- 19 $Si_{3.523}O_{10.081}(F_{1.735}OH_{0.184})$ and $^{[xii]}(K_{0.992}Na_{0.014})^{[vi]}(Al_{0.980}Li_{1.028}Fe^{2+}_{0.787}Fe^{3+}_{0.022})$
- 20 $Mn_{0.059}Mg_{0.052}Zn_{0.010}Ti_{0.024}$ [iv] (Al³⁺0.857Si_{3.143}) O_{10.095} (F_{1.617} OH_{0.288}) for MLG-114 and Ch-140,
- respectively. Each mineral is characterized by a high F content in the anion site and has tetrahedral
- and octahedral compositions related to the exchange vector $^{[vi]}Li_{.1}{}^{[iv]}Si_{.1}{}^{[vi]}Fe^{2+[iv]}Al$. Unit cell
- dimensions are a = 5.251(1), b = 9.066(2), c = 10.087(2) Å; $\beta = 100.694(5)^{\circ}$ for polylithionite
- 24 MLG-114 and a = 5.282(1), b = 9.121(3), c = 10.080(3) Å; $\beta = 100.764(5)^{\circ}$ for Ch-140. Crystal
- structure refinements (agreement factors are R = 3.58% and R = 3.75% for MLG-114 and Ch-140,
- respectively) demonstrate that the $^{[vi]}$ Li₋₁ $^{[vi]}$ Fe^{2+[iv]}Si₋₁ $^{[iv]}$ Al exchange vector produces a decrease in
- the lateral dimensions of the tetrahedral and octahedral sheets. The decrease in basal oxygen

distances results from the effect of the strain caused by the orientation of opposing tetrahedral

- sheets within a 2:1 layer. The decrease reduces the strain so that the basal oxygen plane can remain
- nearly planar. Changes in these dimensions via distortions of the tetrahedral basal-oxygen ring ($\alpha =$
- 3.3° and $\alpha = 4.1°$ for MLG-114 and Ch-140, respectively) are limited. Octahedral M1 and M3 sites
- are similar in size and much larger than M2 and the mean electron count is M3 < M1 < M2 in
- MLG-114 and M1 \cong M2 < M3 in Ch-140. Al preferentially occupies the M2 site, whereas Fe and Li

are nearly disordered between M1 and M3 sites with a slight preference of Fe for the M1 site in

MLG-114 and for M3 site in Ch-140.

Element concentrations on the (001) surface, obtained through X-ray photoelectron spectroscopy 36 (XPS) high-resolution spectra for Si_{2p} , Al_{2p} , Fe_{2p} , K_{2p} , Li_{1s} , and F_{1s} core levels, indicates that a 37 greater amount of lithium and a smaller amount of potassium characterize the surface with respect 38 39 to the bulk. The decrease in K content, commonly observed in micas, is related to its location on the 40 cleavage surface, because the cation must be distributed equally between the two (001) surfaces generated upon cleavage. The increase in Li content on or near the (001) cleavage surface suggests 41 42 a preference for cleavage near lithium-enriched regions. The surface structure of the polylithionite crystals suggests that Al, Li, and Fe cations maintain coordination features at the surface similar to 43 the bulk. Silicon, however, which is generally in four-fold coordination, shows also a small number 44 of [1]-fold coordinated components at a binding energy of 99.85 eV. 45 46

- 47 Key Words Polylithionite, crystal chemistry, crystal structure, surface features
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50 **INTRODUCTION**

Mineral-surface science over the last few years has evolved into research fields that provide a 51 better understanding not only of how mineral surfaces affect the environment, but also how mineral 52 surfaces may be utilized to advance applications in industrial processes (e.g., Hochella Jr, 1995; 53 Maurice, 2009). For example, a satin or "pearlescent" luster occurs by using mica flakes 54 55 (transparent layers) and TiO_2 coatings to produce optical effects relating to the differences in refractive indices of the component layers, boundary interactions and optical interference, and the 56 angles of viewing. Tenório Cavalcante et al. (2007) found that pearlescence in manufactured 57 ceramics can be stable to fired temperatures as high as 1200 °C in certain circumstances. 58 The near-surface regions of Earth (where anthropogenic influence is important) are dynamic 59 systems. Many natural processes (e.g., weathering, sorption) associated with changes in these 60 systems occur at the mineral surface. Near the Earth's surface, finely divided clay minerals are the 61 dominant phyllosilicates, but because clay minerals may be very fine grained, this property makes 62 the direct study of their surfaces difficult. In contrast, large flakes of micas can more easily be 63 investigated, and there is a general agreement that micas may be useful as an analogue for the 64 65 behavior of clay surfaces. Phyllosilicate surfaces, in part, influence groundwater chemistry, sorption and desorption of organic and inorganic compounds, retardation of transport of radiogenic isotopes 66

67	and heavy metal pollutants, etc. In addition, the study of phyllosilicate surfaces is also important in
68	weathering of rock, soil formation, and oil-reservoir behavior. Mineral surface properties, especially
69	those of phyllosilicates, are often atomically similar to the uppermost layers of the bulk, but may be
70	different (i.e., redox state, atomic coordination, type of chemical bonds, etc.) from the bulk structure
71	at greater depths below the mineral surface.
72	Polylithionite is the Li-rich end member in the polylithionite $[KLi_2AlSi_4O_{10}(F,OH)_2]$ -
73	siderophyllite $[KFe^{2+}_{2}Al(Al_{2}Si_{2})O_{10}(F,OH)_{2}]$ join, as defined by the exchange vector $^{[iv]}Si^{4+}_{-1}$ $^{[vi]}Li_{-1}$
74	$^{[iv]}$ Al $^{[vi]}$ Fe ²⁺ (Rieder et al. 1998). In lithian mica-1 <i>M</i> , the octahedral sheet contains three different
75	cations: Li ¹⁺ , Fe ²⁺ , and Al ³⁺ , together with other minor substitutions. Li-enrichment in trioctahedral
76	micas may produce crystal structural features, such as cation ordering with the much larger Li^{1+} and
77	Fe ²⁺ cations occupying the <i>trans</i> (M1) sites and one of the <i>cis</i> -oriented octahedral sites (usually,
78	M3) and Al ³⁺ occupying one of the <i>cis</i> -sites (commonly, M2) (Guggenheim and Bailey 1977;
79	Guggenheim 1981; Bailey 1984; Brigatti and Guggenheim 2002).
80	The octahedral-site mean bond length is $(M1-O) \cong (M3-O) > (M2-O)$ and occasionally $(M1-O) \cong (M3-O) > (M2-O)$
81	(M2-O) > (M3-O) (Guggenheim 1981; Backhaus 1983; Brigatti et al. 2000). The scattering
82	efficiency for M1, M2, and M3 sites also implies ordering with M1 \neq M2 \neq M3, M1 \cong M3 > M2, or
83	M1 \cong M2 > M3. These features involve a reduction from ideal symmetry of <i>C</i> 2/ <i>m</i> to <i>C</i> 2 space
84	group, in particular, referring to OD-interpretation, from $C12/m(1)$ to $C12(1)$ (Dornberger-Schiff et
85	al. 1982).
86	Structural refinements show an octahedral coordination for Li (for a review see Brigatti and
87	Guggenheim 2002). However, Robert and Volfiger (1979) and Robert et al. (1993) suggested that,
88	in synthetic trioctahedral micas, Li may exchange for K in interlayer sites with Li located in the
89	interlayer in a pseudo-octahedral cavity.
90	Using angle-dependent XANES (AXANES) potassium K-edge spectra via synchrotron radiation
91	on polylithionite-siderophyllite trioctahedral 1 <i>M</i> -micas, Cibin et al. (2010) examined the
92	contributions arising from multiple-scattering interactions of the photoelectron ejected from the
93	potassium absorber. The data reflected: i) the interactions with near- and next-nearest neighboring
94	oxygen atoms lying on the basal planes of the tetrahedral sheets; ii) the octahedral cation ordering;
95	and iii) the F for OH substitution of the anion site. Pini et al. (2012) found that in Fe-rich lithian
96	micas, the temperature where the maximum in magnetic susceptibility occurs is strongly affected by
97	Fe octahedral ordering, thus imparting unique properties for these micas.
98	The atomic arrangement of the bulk and surface of polylithionite crystals showing different

 $^{[vi]}(Li+Al)/^{[vi]}(Li+Al+Fe^{2+})$ ratios can be significantly informative in understanding the octahedral 99

100 cation distribution (clustering or random distribution) and its effect on the surface structure as well 101 as on the crystal structural mechanisms affecting the polylithionite-siderophyllite join. This study compares the crystal structural and chemical data for the bulk and the surface of two 102 1M polytypes on the polylithionite-siderophyllite join. These micas display important differences in 103 the ${}^{[6]}(Li+Al)/{}^{[6]}(Li+Al+Fe^{2+})$ ratio. The micas include a polylithionite from a Li-mica granitic 104 pegmatite unit at St. Austell (SW England) and a Fe-rich polylithionite close to the polylithionite-105 106 siderophyllite boundary (Fig. 1) from the rhyolite of Profitis Ilias, located at the SE coast of Chios Island, (NE part of Aegean Sea, Greece). 107

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109 EXPERIMENTAL METHODS

110 Samples

Most publications (e.g., Cundy et al. 1960; Henderson et al. 1989) on the chemistry and 111 petrology of the St. Austell granite have noted the occurrence of lithium-bearing micas in the 112 district. The St. Austell granite is fine-to medium-grained with quartz, alkali feldspar, plagioclase, 113 and topaz. The granite consists of three units: i) biotite granite; ii) pegmatitic Li-mica granite; and 114 iii) finer grained Li-mica granite. Li-micas are primary or formed under sub-solidus conditions 115 116 during the earliest stages of hydrothermal activity (Henderson et al. 1989). The Li-mica granites are readily distinguished from the biotite granites by the occurrence of micas along the polylithionite-117 siderophyllite join. Both the topaz and lithian micas are F-rich. The polylithionite (MLG-114) 118 considered in this study is a pale, pink-brown, primary phenocryst from the pegmatitic Li-mica 119 granite unit with crystals ranging from 2 to 5 mm in diameter. 120

The Chios Island micas are primary products of rhyolite of Profitis Ilias together with feldspars
(sanidine and plagioclase), quartz, and garnets (Mitropoulos et al. 1999). The euhedral mica
phenocrysts of Fe-polylithionite (Ch-140) studied here are pale brown in color with a range in
diameter from 2 to 7 mm.

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126 *Chemical composition and calculation of the chemical formula*

127 Chemical composition (Table 1) of both samples was determined on several crystal fragments

128 with a Cameca SX 50 electron microprobe. The following operating conditions were applied:

129 accelerating voltage 20 kV, sample current 20 nA, and defocused beam diameter 4 μm. The

- 130 following standards were used: orthoclase (K), albite (Na), hematite (Fe), diopside (Si), MnTiO₃
- 131 (Mn, Ti), Al₂O₃ (Al), MgO (Mg), CaF₂ (F), sphalerite (Zn) and synthetic Rb-rich glass (Rb). The F
- 132 content was determined from the method reported by Foley (1989). No evidence of volatilization of

133 F was observed. Analyses of more than 9 points on each fragment showed sample homogeneity and

134 produced a statistically significant average. FeO determination followed the procedure by

135 Meyrowitz (1970).

The Li content was determined via laser ablation inductively coupled to plasma mass 136 spectroscopy (LA-ICP-MS, UP 213, New Wave Research), where the ablated material was 137 transported to the quadrupole ICP-MS XSeriesII, supplied by Thermo Fischer Scientific. Three line 138 139 scans, traced along the entire crystal and taken at three different points over a 60 µm spot at a scan speed of 25 μ m/s, were performed. The dwell time was set at 20 s. The ablation frequency was 10 140 141 Hertz in all scans. The ablated aerosol was atomized at 0.68 bar into the plasma of the ICP-MS unit. The standard reference used to create the calibration curve was NIST 612 (a synthetic glass trace-142 element reference standard).²⁹Si was selected as the internal standard. The data obtained were then 143 144 normalized to the sample SiO₂ content, as measured via electron microprobe analysis. The (OH) content was derived from thermo-gravimetric analysis in He gas flow using a Seiko SSC 5200 145 thermal analyzer (heating rate 10 °C/min and gas flow rate 100 µl/min), equipped with a mass 146 spectrometer (GeneSys ESS, Quadstar 422). 147 The chemical formula was calculated by an iterative approach. As a first step, the charges of 148

cations in tetrahedral, octahedral and interlayer sites were set equal to 22. The composition of the anion site, populated by OH, F and O, was a result of this step. Given X = 2-(OH+F), the chemical formula was thus calculated assuming 22+X positive charges for tetrahedral, interlayer and octahedral sites, which leads to an assessment of anion composition and therefore to a value for X. The iterative procedure was used until the difference in the X value from each step, was < 10⁻⁷ in magnitude.

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156 Single-crystal X-ray diffraction data

Each crystal was mounted on a Bruker X8-Apex fully automated four-circle diffractometer with 157 Kappa geometry, equipped with a fine focus Mo ceramic X-ray tube ($\lambda = 0.7107$ Å) and APEX 4K 158 CCD detector. The data collection strategy was obtained using the APEX2 software. Preliminary 159 lattice parameters and an orientation matrix were obtained from three sets of frames and refined 160 during the integration process of intensity data. Diffraction data were collected with ω scans at 161 specific ϕ settings (ϕ - ω scan). Data were processed using SAINT Plus (Bruker 2003). The 162 163 SADABS package (Sheldrick 1996) was used to perform a semi-empirical absorption correction. Space group determination and data merging were performed using XPREP (Sheldrick 1997). The 164 crystal structure was refined with the SHELX-97 package of programs (Sheldrick 1997). 165

166 *Surface measurements*

Chemical analyses of the (001) surfaces of MLG-114 and Ch-140 were determined by X-ray 167 photoelectron spectroscopy (XPS) on freshly cleaved crystal fragments approximately 4×4×0.25 168 mm in size. The XPS analyses were performed at a base pressure of 10^{-7} Pa using non-169 monochromatic Al Ka photons from a Vacuum Generator XR3 double anode X-ray source 170 171 (operated at 15 kV, 18 mA). Spectra were recorded with a double pass, cylindrical-mirror analyzer 172 (PHI 15-255G) operated at a constant pass energy of 50 eV (spot size ~ 2 mm). The binding energy (BE) scale was calibrated (Barr et al. 1997; Elmi et al. 2010; 2011; 2013) 173 according to the C_{1s} signal of adventitious carbon (*i.e.* a common contaminant after the surface is 174 exposed to air) on each sample (fixed at BE = 285.1 eV). Because of the high surface sensitivity of 175 the XPS technique as determined by the inelastic mean free path of photoelectrons, the analysis was 176 177 limited to a few nanometers (1-3 nm) with an elemental concentration greater than 0.1 atomic %. A preliminary identification of the elements included a fast survey scan (6 scans at pass energy of 100 178 eV) over an extended area which assured a sufficiently strong signal from the chemical species 179 under evaluation. 180 Short sputtering of the sample surfaces was performed with an Argon ion gun under an 181 182 accelerating voltage of 0.5 kV to remove any possible contaminant from the outermost layer. Each element on surfaces of both samples was measured after 30 min of Ar⁺ sputtering in the region of 183 Si_{2p} , Al_{2p} , Fe_{2p} , K_{2p} , Li_{1s} , and F_{1s} core levels. Opposite sides of a single mica flake, which were in 184 contact before being cleaved, were studied for sample Ch-140. No severe charging effects that 185 could possibly compromise data acquisition were observed. 186 187 Following a similar procedure as previously discussed in Elmi et al. (2010, 2011, 2013, 2014) a quantitative Si, Al, Fe, K, Li and F surface-chemical composition was derived from the 188 photoionization peak areas (Muilenberg 1979) that considered the appropriate atomic sensitivity 189 factors for each element. Chemical composition at the surface was normalized to 100% after 190

adventitious carbon subtraction, according to the method introduced by Smith (2005), and thencompared to bulk chemistry obtained by EMPA data.

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194 **RESULTS AND DISCUSSION**

195 Mineral chemistry

- 196 The polylithionite (MLG-114) structural formula is $^{[XII]}(K_{0.952}Na_{0.019}Rb_{0.019})$
- 197 $^{[VI]}(Al_{1.034}Li_{1.459}Fe^{2+}_{0.389}Fe^{3+}_{0.046}Mn_{0.038}Mg_{0.002}Zn_{0.002}Ti_{0.001})^{[IV]}(Al^{3+}_{0.477}Si_{3.523})O_{10.081}(F_{1.735})$
- 198 $OH_{0.184}$) and the Fe-rich polylithionite (Ch-140) structural formula is ^[XII](K_{0.992}Na_{0.014})

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- $199 \quad {}^{[VI]}(Al_{0.980}Li_{1.028}Fe^{2+}{}_{0.787}Fe^{3+}{}_{0.022}Mn_{0.059}Mg_{0.052}Zn_{0.010}Ti_{0.024}) \\ {}^{[IV]}(Al^{3+}{}_{0.857}Si_{3.143}) \\ O_{10.095}(F_{1.617}) \\ O_{10.095}(F_{1.6$
- 200 OH_{0.288}) (Table 1). MLG-114 lies in the polylithionite field (Tischendorf et al. 2007) and is similar
- to crystals described by Brigatti et al. (2000, 2007), and Mizota et al. (1986). Sample Ch-140 is
- 202 located in composition near the polylithionite and siderophyllite fields and shows similar
- composition to some crystals described by Brigatti et al. (2000) (Fig. 1).
- 204 Chemical substitutions linking siderophyllite and polylithionite involve Li^{1+} for Fe^{2+} substitution
- in the octahedral sheet, which is charge balanced by Si^{4+} for Al^{3+} substitution in the tetrahedral
- sheet. This substitution is greater in MLG-114, which plots among the highest in Li+Si when
- 207 compared to values in the literature, whereas sample Ch-140 shows significant, but yet much lower
- values (Fig. 2). MLG-114 and Ch-140 are characterized also by a significant F content in the anion
- site. F content increases with Li content, which is an indirect effect of an octahedral Fe decrease (F-
- Fe avoidance), thus accounting for the greater value observed in MLG-114 with respect to Ch-140
- 211 (Table 1).

Generally, Ti content is positively correlated to $^{[vi]}Fe^{2+}$ and negatively correlated to Li^+ , thus indicating an increasing Li^+ for Fe^{2+} substitution. This substitution generates a charge compensating substitution that involves the tetrahedral site over charge compensation mechanisms relating to the octahedral site only. This is consistent with only limited Ti content observed in the Ch-140 sample and no detectable Ti in the MLG-114 sample.

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218 Bulk crystal chemistry and topology

Both crystals belong to space group *C*2 and are 1*M* polytypes (Table 2). Atomic positions of polylithionite-1*M* from Brigatti et al. (2000) were assumed as starting values. Appropriate neutral scattering factors were used for both cation and anion positions. In the final cycles of refinement, anisotropic displacement parameters were refined for all atoms. Final refinements yielded an agreement factor R = 3.58% for MLG-114 and R = 3.75% for Ch-140 (Table 2). The final atomic coordinates, displacement parameters, calculated bond lengths (Table 3), and important structural parameters (Table 4) are reported.

The effects of the ^[vi]Li₋₁^[iv]Si₋₁^[vi]Fe^{2+ [iv]}Al exchange vectors are evident with lateral cell dimensions decreasing as Si and Li increase. The MLG-114 sample is thus characterized by the smallest *a* and *b* values in the plot of Figure 3a, which includes previously reported Li, Fe-rich mica-1*M* with *C*2 symmetry in the polylithionite field or between the polylithionite and siderophyllite fields as defined by Tischendorf et al. (2007). Because of its effect over the tetrahedral composition, the effect of the polylithionite substitution is also related to the interlayer

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232 coordination, with the average distance of basal oxygen atoms from the interlayer cation decreasing with increasing Si content. In addition, the area of the hexagonal cavity is defined by the basal 233 oxygen atoms (Fig. 3b; Table 5). The reduction in basal tetrahedral area is not related to the 234 tetrahedral rotation angle (α), unlike what is commonly observed in 1M micas (Brigatti and 235 Guggenheim 2002; Brigatti et al. 2011; Guggenheim 2011). If the polylithionite substitution 236 237 increases, the average distance between basal tetrahedral oxygen atoms and the mean value of the 238 octahedral unshared edge are both observed to decrease. These changes involve a reduction in strain in a 2:1 layer because opposing tetrahedral sheets are under tension. Reduction in basal oxygen 239 distances reduces the tension and better achieves a near planar basal plane. This observation is also 240 related to the concomitant decrease in the Al tetrahedral content, which progresses with Li 241

substitution.

Both the T1 and T11 tetrahedra are elongated with the τ angle values varying from 111.2° to

112.0°, which are greater than the ideal 109.47° value. For MLG-114 and Ch-140 comparisons to samples from the literature, the plot shows that the effect of the polylithionite substitution produces a decrease in tetrahedral volume (Fig. 4a) and an increase in τ angle (Fig. 4b), primarily evident in the T1 tetrahedral site.

A significant feature of the Li-rich micas studied here is the difference in size and scattering 248 efficiency between the octahedral M2 and M3 sites (Tables 1 and 5), which accounts for the 249 reduction from C2/m to C2 in symmetry. Thus, three uniquely different cation sites occur in the 250 251 octahedral sheet: M1, M2, and M3. For the studied crystals, M1 and M3 are similar in size and much larger than M2 (Table 3). The mean electron count (m.e.c.) determined for M1, M2 and M3 252 sites is M3 < M1 < M2 in the MLG-114 sample and $M1 \cong M2 < M3$ in the Ch-140 sample. The 253 mean electron counts determined for the M2 sites are close in both samples (m.e.c. $_{M2} = 12.78$ for 254 MLG-114 and m.e.c. $_{M2}$ = 12.87 for Ch-140), unlike M1 (m.e.c. $_{M1}$ = 9.84 for MLG-114 and m.e.c. 255 $_{M1}$ = 12.71 for Ch-140) and M3 (m.e.c. $_{M3}$ = 7.81 for MLG-114 and m.e.c. $_{M3}$ = 13.53 for Ch-140). 256 Based on mean electron counts, mean bond distances, and chemical analyses, Al preferentially 257 occupies the M2 site, whereas Fe and Li are disordered between M1 and M3 sites with a slight 258 259 preference of Fe for the M1 site (MLG-114) and for the M3 site (Ch-140). The effect of the 260 polylithionite substitution on the octahedral sites is evident as well. In particular, the dimensions of the M1 and M3 sites decrease with Si increase, thus also accounting for the smallest values 261 characterizing MLG-114 in Figure 5. The size of M2 is not significantly affected by polylithionite 262 263 substitution. Figure 5 shows that the variance of unshared M2 edges increases with Li. 264

265 *Surface features*

Because of possible charge effects, the binding energy (BE) of the C_{1s} level was obtained from saturated-hydrocarbon contamination at 285.1 eV, thus providing an internal standard for the calibration of each spectrum. The precision of BE values was estimated at \pm 0.2 eV. A precise determination of each element on the crystal surfaces was obtained in the region of the Si_{2p}, Al_{2p}, Fe_{2p}, K_{2p}, Li_{1s}, and F_{1s} core levels. Experimental data are reported in plots representing the number of emitted electrons *vs*. their characteristic binding energies. XPS structures were fitted to Voigt peaks, after a Shirley background subtraction (Shirley 1972).

273 The Si_{2p} spectrum is shown in Figure 6. The deconvolution of the Si_{2p} spectra into individual

components suggests the presence of two different types of Si sites: Si^{4+} (BE = 102.3 eV for MLG-

275 114; BE = 102.4 for Ch-140) and Si¹⁺ (BE = 99.4 eV for MLG-114 and BE = 99.3 for Ch-140)

276 (Himpsel et al. 1988). For both samples, the most prominent peak is assigned to Si^{4+} in tetrahedral

coordination according to literature values obtained from other silicates (BE from 102.36 to 102.16

eV; Biino and Gröning 1998; Wagner et al. 1982; Bhattacharyya 1993 Hasha et al. 1988; Elmi et al.

279 2013, 2014). The cleavage mechanism, as determined from surface chemical composition, appears

related to the breaking of bonds between the interlayer cation to tetrahedral-basal oxygen atoms, as

indicated by a reduction of interlayer cation content at the surface with respect to the bulk (Table 6).

282 Thus, tetrahedra (SiO₄) and Si cations show an excess of negative charge at the surface owing to the

283 decrease of the number of interlayer cations after cleavage. This charge imbalance probably

enhances the reactivity of the surface by creating an attraction, for example, of polar H₂O molecules

after cleavage. In addition, this attraction will produce a reduced and/or distorted coordination of

tetrahedral cations at the crystal surface. Spectral evidence for this is the small component at BE =

99.4 eV for MLG-114 and BE = 99.3 for Ch-140. Presumably, a limited number of Si ions with

reduced coordination (< 4) exists as a consequence of these distortions.

289 The Fe_{2p} spectra are characterized by doublet structures relating to the spin orbit splitting

between $2p_{1/2}$ and $2p_{3/2}$ states. The deconvolution of the Fe_{2p3/2} peak (BE = 712.5 eV, in both

samples) suggests two main components: one component is at BE of 710.7 eV and the second

component is at BE = 713.1 eV (Fig. 7). The $Fe_{2p3/2}$ satellite peak at 716.0 eV is distinguishable and

does not overlap either the $Fe_{2p3/2}$ or $Fe_{2p1/2}$ peaks. In addition, a peak occurs at 730.0 eV, which is a

satellite peak for $Fe_{2p1/2}$. The deconvolution of the $Fe_{2p1/2}$ peak indicates that the two main

295 components are Fe^{2+} (BE = 724.1 eV) and Fe^{3+} (BE = 726.6 eV).

Because of the inability to determine the contribution of each component following a Gauss-

297 Voigt deconvolution procedure, the main $2p_{3/2}$ peak maximum at BE = 712.5 eV represents the

summation of the Fe^{2+} and Fe^{3+} components. This result is similar to that observed for magnetite by 298 299 Grosvenor et al. (2004). For both samples here, the first component (label Fe^{2+} , Fig. 7) at BE = 710.7 eV is related to Fe^{2+} 300 in octahedral coordination, similar to olivine (Mg,Fe)₂SiO₄, where the BE is reported at 710.8 eV 301 (Seyama and Soma 1987) and iron oxide FeO (BE = 710.8 eV, Mills and Sullivan 1983). The 302 second component (label Fe³⁺, Fig. 7) at BE = 713.0 eV is related to Fe³⁺ in octahedral coordination 303 304 (Grosvenor et al. 2004). The Al_{2p} peak was located at BE = 74.4 eV for both samples, with no evident asymmetries in the 305 306 peak shape (Fig. 8). The Al peak binding energy ranges from 74.19 to 74.63 eV for feldspars (Kyono et al. 2003) and from 74.2 to 75.3 eV for silico-alumino-phosphates (Hasha et al. 1988), in 307 which Al is tetrahedrally coordinated. The Al binding energy is at 74.8, 74.3, and 74.0 eV in 308 pyrophyllite (Barr et al. 1997), in kaolinite (Barr et al. 1997), and biotite (Ilton and Veblen 1994), 309 respectively, where Al is mostly octahedrally coordinated. Aluminum is at BE = 74.1 eV in 310 muscovite (Elmi et al. 2013), in which Al is present both in tetrahedral and octahedral coordination. 311 Although in layer silicates the Al binding energy value is very close to both four-fold and six-fold 312 313 coordination, the 74.4 eV value obtained for both polylithionite crystals may suggest a prevalent octahedral coordination. Barr et al. (1997) noted that in sheet silicates such as kaolinite, the positive 314 nature of the octahedral sheet coupled with the relatively negative silicate layer results in more ionic 315 Al–O bonds in kaolinite and allophane than in Al₂O₃. This increase in Al_{2p} binding energies found 316 in layer minerals (such as kaolinite), should be enhanced in the case of the 2:1 minerals (such as 317 pyrophyllite or smectite). This enhancement is related to an octahedral sheet that must compensate 318 319 the charge of two relatively negative and adjacent tetrahedral sheets. The enhanced ionicity of the Al–O bond is reflected in the relatively higher Al_{2p} binding energy value for montmorillonite (74.8 320 eV). Barr et al. (1997) suggested also that the presence of OH and/or F enhances the positive 321 character of the Al resulting in a moderate increase in the Al_{2p} binding energy (BE Al_{2p} for topaz is 322 74.6 eV). 323 The Li_{1s} core level appears at the binding energies of 55.4 eV (MLG-114) and 55.3 eV (Ch-140) 324 (Fig. 9), which are more consistent with the LiF binding energy reported in the literature (BE range 325

from 55.7 to 56.8 eV, Hamrin et al. 1970; Ro and Linton 1993), than with the binding energy for

Li₂O (BE Li₂O = 53.9 eV; Tanaka et al. 2000). These values may indicate that the occurrence of Li

cations in the octahedral sheet is preferentially associated with F⁻ over the OH⁻ groups (BE of LiOH

329 = 54.9 eV; Contour et al. 1979).

5/7

330 The F_{1s} peak appears at BE 685.5 and 685.7 eV for for MLG-114 and Ch-140, respectively (Fig. 331 10). Measured binding energies in LiF are very similar to those reported for F by several authors (BE from 685.0 eV to 686.5 eV, Hamrin et al. 1970; Ro and Linton, 1993; Verdier et al. 2007). The 332 binding energy of Al_{2p} in polylithionites with respect to AlF_3 (BE = 77.1 eV; Hess et al. 1994) and 333 $Al(OH)_3$ (BE = 74.2 eV; Hess et al. 1994) may suggest a preference of Al for OH. Because of the 334 335 difficulties to separate the tetrahedral and octahedral contributions from the Al_{2p} spectrum, further 336 investigations are required to confirm this detail. The $K_{2p3/2}$ BE values are at 293.3 for both samples (Fig. 11). This measured value agrees well 337 338 with those for phengitic muscovite (BE = 293.26 eV; Biino and Gröning 1998) and with those reported for sodian muscovite (BE = 293.3 eV; Elmi et al. 2013) and this result is consistent with an 339 eight-fold coordination (Zakaznova-Herzov et al. 2008). Also in trioctahedral micas (Elmi et al. 340 341 2014), the K coordination is slightly lower at the surface than that observed in the bulk. The reduction at the surface of the interlayer cation coordination suggests a slight relaxation effect. 342 Considering that the escape depth for all of the photoelectrons is ~ 15 Å at a pass energy of 100 eV, 343 the reduction of the interlayer cation coordination, from nine to eight at the surface with respect to 344 the bulk, may be an average of the outermost interlayer and the next interlayer that is deeper in the 345 346 structure. This assumption suggests that the interlayer cation coordination is subjected to a 347 reduction of coordination to six and then a slight relaxation occurs. Nevertheless, this suggestion requires further confirmation. 348 The chemical composition at the surface was normalized to 100%, after carbon subtraction 349 (Smith 2005), and then compared to the bulk chemical composition determined by EMPA (Table 350 351 6). This comparison highlight, for both samples, a close similarity in chemical composition on the surface and in the bulk for Si. The XPS spectra seem to suggest, on the other hand, a decrease in K 352 content and a strong increase in Li content close to the cleavage surface. The decrease in K content 353 may be explained by its location on the cleavage surface, because the cation is expected to be 354 distributed equally along the two surfaces generated upon cleavage. The potassium deficit observed 355 356 comparing the MLG-114 and Ch-140 surfaces may be related to K loss because of the weaker K-OH or K-O bonds. 357 Although for other micas (*i.e.*, sodian muscovite, Elmi et al. 2013) there has been evidence 358 indicating that the surface and bulk composition are closely similar, Evans et al. (1979) found a 359

different composition between the bulk and the surface of phlogopite, verniculite, and lithium 360

361 phlogopite. Evans and Hiorns (1982) observed that chlorites also tend to cleave in regions of

362 anomalous (non-bulk) composition. Moreover, Evans and Raftery (1982) found that in lepidolite,

363 cleavage preferentially occurs in manganese-enriched regions. Manganese(II) occupies octahedral locations equivalent to those of Li. The increase in Li content, observed on both polylithionite 364 surfaces, suggests a preference cleavage plane near lithium-enriched regions. Further explanation 365 for this evident Li concentration increase is that the Li segregates from the Fe cations within M1 366 and M3 although in a random way (to conform to the X-ray refinement). The marked increase of Li 367 together with the core level position of the Li_{1s} and F_{1s} peaks of both samples demonstrates that Li⁺ 368 369 and F⁻ ions form domains in polylithionite. For sample Ch-140, a pair of complementary cleaved surfaces, in contact before cleavage, were 370 371 examined. Data reported in Table 6 indicate that the analyses for both Ch-140 pairs are

- approximately the same. Thus, the results indicate that about half of the interlayer cations remainson each surface.
- 374

375 IMPLICATIONS

Differences have been established between the bulk structure and the surface structure of two 376 lithium micas to determine if the lithium content may play a role in cleavage, because most workers 377 assume that cleavage is related to weaker bonds across the interlayer (regardless of bulk chemical 378 379 composition). We were interested in seeing if Li content is an important influence in cleavage because Li ions tend to form domains in Li-rich micas, and the Li-O bonds also tend to be weak. 380 More work is required to determine if Li content is important in the determination of cleavage. 381 Thus, this work is the first step to understand the nature and magnitude of the surface energies, 382 which play an important role in so many environmental and technological applications (*i.e.*, 383

nanocomposites, biodegradable hybrid materials, and cosmetics).

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- 549 Figures/captions
- 550





Figure 1. Li-rich micas based on the classification diagram after Tischendorf et al. (2007). mgli =(Mg - Li); $feal = (Fe_{Tot} + Mn + Ti - [^{vi}]Al)$. Symbols: filled circle: MLG-114, this study; filled square: Ch-140, this study; open symbols: Samples from literature (triangle down = Brigatti et al. 2007; diamond = Brigatti et al. 2000; triangle up = Mizota et al. 1986).





- **Figure 2.** Variation of $^{[iv]}Al^{3+}+ {^{[vi]}Fe^{2+}+ {^{[vi]}Mn^{2+}}}$ content with $^{[iv]}Si+ {^{[vi]}Li}$ content. All symbols are as
- 558 in Figure 1.



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Figure 3. Variation of (a) unit cell parameter *a* and (b) area of tetrahedral hexagonal cavity with Si
content. All symbols are as in Figure 1.



562

Figure 4 (a,b)

Figure 4. Variation of tetrahedron T1 parameters with $^{[iv]}$ Si. (**a**) tetrahedral volume; (**b**) tetrahedral flattening angle τ . All symbols are as in Figure 1.



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Figura 5. Relationships between the variance of unshared O3-O3 edges of M2 with Li. All symbolsare as in Figure 1.

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Figure 6. Si_{2p} spectrum of MLG-114 (a) and Ch-140 (b) displayed as a plot of electron binding
energy *vs*. intensity (the number of electrons per second in a fixed and small energy interval). The
Si⁴⁺ and Si¹⁺ peaks are labeled on the spectrum.



Figure 7. (a) Fe_{2p} spectrum with the curve fit obtained for MLG-114. The spectrum is displayed as a plot of electron binding energy *vs*. intensity (the number of electrons per second in a fixed and small energy interval). The Fe³⁺ and Fe²⁺ multiplet peaks are labeled on the spectrum. Fe³⁺_{sat} and Fe²⁺_{sat} refer to the associated satellite peaks of Fe³⁺ and Fe²⁺, respectively. (b) Fe_{2p} spectrum obtained for Ch-140.



Figure 8. Al_{2p} spectra of MLG-114 (**a**) and Ch-140 (**b**) displayed as a plot of electron binding energy *vs*. intensity (the number of electrons per second in a fixed and small energy interval).







Figure 10. F_{1s} spectra of MLG-114 (**a**) and Ch-140 (**b**) displayed as a plot of electron binding energy *vs.* intensity (the number of electrons per second in a fixed and small energy interval).



Figure 11. K_{2p} spectra of MLG-114 (**a**) and Ch-140 (**b**) displayed as a plot of electron binding energy *vs*. intensity (the number of electrons per second in a fixed and small energy interval).

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Sample	MLG-114	Ch-140		MLG-114	Ch-140
	wt	2/0		Atoms per f (apfu	ormula unit 1)
SiO ₂	51.19 (0.83)	43.63 (0.75)	^[iv] Si	3.523	3.143
TiO ₂	0.02 (0.01)	0.45 (0.04)	^[iv] Al	0.477	0.857
Al_2O_3	18.63 (0.42)	21.64 (0.38)	$^{[iv]}\Sigma$	4.000	4.000
Fe ₂ O ₃	0.89	0.40	^[vi] Al	1.034	0.980
FeO	6.76 (0.12)	13.06 (0.21)	^[vi] Ti ⁴⁺	0.001	0.024
MgO	0.02 (0.01)	0.48 (0.12)	$^{[vi]}Fe^{3+}$	0.046	0.022
MnO	0.65 (0.14)	0.96 (0.12)	$^{[vi]}Fe^{2+}$	0.389	0.787
ZnO	0.04 (0.02)	0.19 (0.06)	$^{[vi]}Zn^{2+}$	0.002	0.010
Li ₂ O	5.27 (0.46)	3.55 (0.28)	$^{[vi]}Mn^{2+}$	0.038	0.059
Na ₂ O	0.14 (0.04)	0.10 (0.02)	^[vi] Mg	0.002	0.052
K ₂ O	10.85 (0.13)	10.80 (0.11)	^[vi] Li	1.459	1.028
Rb ₂ O	0.44 (0.05)	b.d.l.	^[vi] ∑	2.971	2.962
H_2O	0.4	0.6	^[xii] Na	0.019	0.014
F	7.97 (0.47)	7.10 (0.51)	^[xii] K	0.952	0.992
$\mathbf{F} = \mathbf{O}$	-3.35	-2.99	^[xii] Rb	0.019	
Σ	99.92	99.97	^[xii]	0.99	1.006
			F	1.735	1.617
			OH	0.184	0.288
			Ο	10.081	10.095
			Σ	12.000	12.000

Table 1. Chemical data for polylithionite-1*M* crystals. Standard deviation in parenthesis.

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	MLG-114	Ch-140
Space group	<i>C</i> 2	<i>C</i> 2
<i>a</i> (Å)	5.251(1)	5.282 (1)
<i>b</i> (Å)	9.066(2)	9.121(3)
<i>c</i> (Å)	10.087(2)	10.080(3)
β(°)	100.694(5)	100.764(5)
Cell volume ($Å^3$)	471.9(2)	477.1(2)
Crystal size (mm)	0.20×0.18×0.03	0.22×0.16×0.04
θ range (°)	4 to 30	4.1 to 32.3
Total reflections	1323	1680
Unique reflections	861	1056
$R_{(int)}$ (%)	1.93	2.63
Reflections used	861	1056
No. of refined parameters	98	100
GooF	1.021	0.996
R_1 (%) [I>2 σ (I)]	3.58	3.75
wR_2 (on F^2)	7.25	6.91
$\Delta \rho_{\min} (e/Å^3)$	-0.405	-0.58
$\Delta \rho_{\rm max} (e/{\rm \AA}^3)$	0.606	0.55

Table 2. Crystal, experimental, and refinement data for polylithionite-1*M* crystals.

Notes: R1= $\frac{\sum F_o - F_c }{\sum F_o }$; $wR^2 = \left[\frac{\sum w(F_o^2 - F_c^2)^2}{\sum w(F_o^2)^2}\right]$; GOF =	$\left[\frac{\sum w \left(F_o^2 - F_c^2\right)^2}{(n-p)}\right]$	1/2
$w = 1/[\sigma^2(F_0^2) + (a \times P)^2 + b \times P]$, where $a = 0$	0.0417, b = 0	for MLG-114	and a
$= 0.0287$, b = 0 for Ch-140. P = $[(\max(F_0^2, 0))]$	$+2 \times F_{\rm C}^2$]/3	; <i>n</i> is the numb	ber of

b = 0 for Ch-140. $P = [(\max(F_0, 0) + 2 \times F_C)]/3$; *n* is the reflections and *p* is the number of parameters refined.

	MLG-114	Ch-140		MLG-	Ch-140		MLG-114	Ch-140
Tetrahedron T(1)			Octahedron			Interlayer cation		
T1-O1	1.641(6)	1.635(7)	M1-O3 (×2)	2.108(7)	2.155(7)	A-O1 (×2)	3.047 (3)	3.037(3)
T1-O2	1.639(5)	1.648(6)	M1-O33 (×2)	2.128(6)	2.115(7)	A-O1' (×2)	3.212(3)	3.246(3)
T1-O22	1.632(5)	1.647(6)	M1-O4 (×2)	2.120(3)	2.132(3)	A-O2 (×2)	3.052(5)	3.036(6)
T1-O3	1.606(5)	1.628(6)	<m1-o></m1-o>	2.119	2.134	A-O2' (×2)	3.207(5)	3.237(7)
<t1-o></t1-o>	1.630	1.639				A-O22 (×2)	3.007(5)	3.005(6)
Tetrahedron (T11)			Octahedron			A-O22' (×2)	3.130(5)	3.149(7)
T11-O1	1.626(6)	1.641(7)	M2-O3 (×2)	1.922(7)	1.891(6)	<a-o>_{inner}</a-o>	3.035	3.027
T11-O2	1.630(5)	1.635(6)	M2-O33 (×2)	1.898 (4)	1.912(5)	<a-o>_{outer}</a-o>	3.183	3.211
T11-O22	1.637(5)	1.632(6)	M2-O4 (×2)	1.831 (4)	1.873(5)			
T11-O33	1.623(5)	1.626(6)	<m2-o></m2-o>	1.884	1.892			
<t11-o></t11-o>	1.629	1.634	Octahedron					
			M3-O3 (×2)	2.115 (4)	2.133(5)			
			M3-O33 (×2)	2.108 (7)	2.152(7)			
			M3-O4 (×2)	2.138 (5)	2.124(5)			
			<m3-o></m3-o>	2.120	2.136			

Table 3. Bond distances (Å) for Fe-polylithionite-1*M* crystals [space group *C*2; layer symmetry *C*121(1)]. Estimated standard deviations in parenthesis.

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;94

;96

Table 4. Crystallographic coordinates and equivalent isotropic displacement parameters ($Å^2 \times 10^3$). U_(eq) is defined as one third of the

	Х	у	Z	U _(eq)	U ₁₁	U ₂₂	U ₃₃	U ₂₃	U ₁₃	U ₁₂
MLG-114 (Space group: C2)									
O(1)	0.0425(6)	0.9988(7)	0.1718(3)	17(1)	24(2)	13(1)	13(2)	5(3)	-1(1)	3(2)
O(2)	0.3192(9)	0.2417(5)	0.1728(6)	21(1)	11(2)	24(3)	26(4)	-4(2)	-1(2)	-3(2)
O(22)	0.8154(9)	0.2574(5)	0.1612(6)	16(1)	20(2)	18(3)	11(3)	0(2)	4(2)	4(2)
O(3)	0.1147(8)	0.1703(6)	0.3920(5)	13(1)	3(2)	12(2)	23(3)	-2(2)	1(2)	-2(2)
O(33)	0.6622(7)	0.3237(6)	0.3928(4)	9(1)	4(2)	6(2)	16(2)	-2(2)	2(1)	4(2)
04	0.1100(5)	0.4691(3)	0.3993(2)	13(1)	13(2)	9(2)	16(2)	1(1)	1(1)	-3(1)
M1	0	0.9907(5)	0.5	11(1)	8(1)	6(2)	19(2)	0	0(1)	0
M2	0	0.3223(4)	0.5	11(1)	5(1)	12(1)	15(2)	0	-1(1)	0
M3	0.5	0.1599(6)	0.5	8(2)	6(3)	7(3)	10(3)	0	0(2)	0
T1	0.0762(3)	0.1680(1)	0.2303(2)	12(1)	6(1)	9(1)	20(1)	-2(1)	2(1)	0(1)
Т2	0.5861(3)	0.3314(1)	0.2294(2)	12(1)	11(1)	7(1)	18(1)	0(1)	1(1)	0(1)
А	0	0.5009(3)	0	24(1)	24(1)	21(1)	27(1)	0	2(1)	0

602

	Х	У	Z	U _(eq)	U ₁₁	U ₂₂	U ₃₃	U ₂₃	U ₁₃	U ₁₂
Ch-140	(Space group: C	2)								
O(1)	0.0376(5)	0.9992(8)	0.1717(3)	20(1)	29(2)	10(2)	17(2)	-2(3)	-2(1)	2(3)
O(2)	0.3214(11)	0.2386(6)	0.1718(6)	20(1)	23(3)	21(3)	19(3)	1(2)	9(2)	-7(2)
O(22)	0.8134(11)	0.2589(6)	0.1604(6)	21(1)	17(2)	22(3)	23(3)	4(2)	0(2)	7(2)
O(3)	0.1135(9)	0.1744(6)	0.3919(6)	12(1)	11(2)	3(3)	21(3)	-2(2)	5(2)	2(2)
O(33)	0.6615(9)	0.3261(7)	0.3921(5)	12(1)	10(2)	13(2)	11(2)	-1(2)	0(2)	-3(2)
04	0.1101(5)	0.4711(4)	0.3974(3)	20(1)	23(2)	15(3)	24(2)	4(2)	6(1)	-1(1)
M1	0	0.9892(4)	0.5	9(1)	11(1)	3(1)	14(1)	0	3(1)	0
M2	0	0.3209(4)	0.5	6(1)	5(1)	3(1)	10(2)	0	0(1)	0
M3	0.5	0.1572(3)	0.5	12(1)	13(1)	6(2)	17(2)	0	2(1)	0
Г1	0.0746(4)	0.1675(1)	0.2280(2)	8(1)	9(2)	1(2)	13(2)	1(1)	1(1)	1(1)
Г2	0.5850(4)	0.3309(2)	0.2282(2)	11(1)	9(1)	9(2)	16(2)	0(1)	3(1)	1(1)
A	0	0.5004(4)	0	29(1)	30(1)	21(1)	35(1)	0	5(1)	0

505		MLG-114	Ch-140							
		Tetrahedral parameters								
	α(°)	3.3	4.1							
	Δz (Å)	0.1150	0.1109							
	τ_{T1} (°)	112.0	111.2							
	τ _{T11} (°)	111.7	111.4							
	$\langle A-O \rangle_{basal}$	3.109	3.119							
	$\langle \text{O-O} \rangle_{\text{basal}}$	2.627	2.645							
	Hexagonal cavity area ($Å^2$)	17.83	18.04							
	Tetrahedral basal area T1 (Å ²)	2.992	3.042							
	Tetrahedral basal area T11 (Å ²)	2.978	3.011							
	Octahedral parameters									
	Ψ _{M1} (°)	60.5	60.5							
	Ψ _{M2} (°)	56.4	56.2							
	ψ _{M3} (°)	60.5	60.5							
	m.e.c. _{M1}	9.85	12.71							
	m.e.c. _{M2}	12.78	12.87							
	m.e.c. _{M3}	7.81	13.52							
	Layer thickness (Å)									
	Tetrahedral (Å)	2.241	2.237							
	Octahedral (Å)	2.087	2.103							

Interlayer (Å)

Table 5. Selected parameters derived from structure refinement for MLG-114 and Ch-140 crystals.

30

3.342

3.327

- 606 Table 6. Results of quantitative analyses on MLG-114 and Ch-140a and Ch-140b by XPS
- 607 compared to EPMA data. Calculated ratio (wt.%) of the elements present at the polylithionite
- surfaces are corrected using the method described by Smith (2005).

			Si	Al	Κ	Li	Fe	F	0
MLG-114	surface		20.76	7.18	5.67	4.68	2.87	9.40	49.44
	bulk		23.10	9.52	8.69	2.36	5.67	7.69	41.57
Ch-140	surface	(a)	17.55	9.38	5.63	6.36	4.13	7.63	49.33
		(b)	17.64	9.20	5.27	6.59	3.79	6.92	50.59
	bulk		19.81	11.13	8.71	1.60	10.13	6.90	40.17

610