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1 Quantification of  $\alpha$ -particle radiation damage in zircon

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11 **Abstract**

12 Analysis of radiation damage in natural mineral analogues such as zircon is important for  
13 the evaluation of the long-term behavior of nuclear waste forms and for geochronology. Here we  
14 present results of experiments to determine the partitioning of radiation damage due to the heavy  
15 nuclear recoil of uranium and thorium daughters and the  $\alpha$ -particles ejected in an  $\alpha$ -decay event  
16 in zircon. Synthetic polycrystalline zircon ceramics were doped with <sup>10</sup>B and irradiated in a slow  
17 neutron flux for 1, 10, and 28 days to achieve the reaction  $^{10}\text{B} + \text{n} \rightarrow ^7\text{Li} + \alpha$  (+2.79 MeV),  
18 creating an alpha event without a heavy nuclear recoil. The <sup>7</sup>Li atoms produced in the nuclear  
19 reaction were directly detected by NMR ‘spin-counting’, providing a precise measurement of the  
20  $\alpha$ -dose applied to each sample. The amount of damage (number fraction and volume fraction)  
21 created by each  $\alpha$ -event (one  $\alpha$ -event being a <sup>7</sup>Li +  $\alpha$ -particle) has been quantified using  
22 radiological nuclear magnetic resonance and X-ray diffraction data. The number of permanently  
23 displaced atoms in the amorphous fraction was determined by <sup>29</sup>Si NMR to be  $252 \pm 24$  atoms  
24 for the <sup>10</sup>B(n, $\alpha$ ) event when the heavy recoil is absent, which is broadly in agreement with

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25 ballistic Monte Carlo calculations. The unit cell swelling of the crystalline fraction, determined  
26 by X-ray diffraction, is small and anisotropic. The anisotropy is similar to that observed in  
27 ancient natural samples and implies an initial anisotropic swelling mechanism rather than an  
28 anisotropic recovery mechanism occurring over geological time scales. The small unit cell  
29 volume swelling is only ~6% of the expansion frequently attributed to  $\alpha$ -particles associated  
30 with an actinide  $\alpha$ -decay event. The lattice parameters indicate a volume increase as a function  
31 of alpha dose of  $0.21 \text{ A}^3/10^{18} \alpha\text{-events/g}$ , which is significantly less than the increase of  $3.55$   
32  $\text{A}^3/10^{18} \alpha\text{-events/g}$  seen in Pu-doped zircon and  $2.18 \text{ A}^3/10^{18} \alpha\text{-events/g}$  seen in natural zircon.  
33 It is concluded that the heavy recoil plays a more important role in unit cell swelling than  
34 previously predicted. The likely mechanism for such an effect is the rapid, and thus defect-rich,  
35 recrystallization of material initially displaced by the heavy recoil.

36 **Keywords:** zircon, NMR spectroscopy, radiation damage,  $\alpha$ -particle  
37

## 38 **Introduction**

39 Radiation damage in zircon is important as a natural analogue study of the behavior of  
40 nuclear waste forms over geological time periods. Zircon has a high critical amorphization  
41 temperature (Meldrum et al. 1999), which means that the structures of ancient zircons preserve,  
42 rather than recover, most of the structural disruption that occurs during alpha decay or  
43 spontaneous nuclear fission of actinides incorporated in the lattice. Thus, it records the scale of  
44 disruption cause by the alpha decay of actinides, which will be the dominant damage mechanism  
45 in spent nuclear fuel and actinide nuclear waste form materials beyond about 500 years after  
46 emplacement in a geological repository (Bruno and Ewing 2006, Hedin 1997). The damage  
47 caused by alpha emitters is comprised of damage from the recoil of the heavy alpha-emitting

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48 nucleus (~70 – 100 keV) and the  $\alpha$ -particle itself (~4.5 – 5.5 MeV) when the actinides uranium  
49 and thorium (and  $\alpha$ -emitting daughters) undergo  $\alpha$ -decay events that damage mineral structures  
50 over geological time. The response of zircon in nature is swelling and a loss of crystallinity  
51 exemplified by the seminal study by Holland and Gottfried (1955) and subsequent work  
52 (Murakami et al. 1991, Palenik et al. 2003). Zircon exposed to ion beam-induced damage (Wang  
53 and Ewing 1992, Weber et al. 1994) and plutonium doping (Weber 1990) also exhibits similar  
54 behavior. Both the crystalline and amorphous regions of the zircon swell as a result of alpha  
55 radiation damage. To date, there has been no experimental measure of the partitioning of the  
56 structural damage between alpha particle and heavy recoil and the effects of these two processes  
57 on swelling in the partially crystalline zircon in bulk materials. Such a measurement tests our  
58 understanding of the radiation damage process that applies more widely in other actinide-  
59 containing minerals and nuclear materials. Radiological nuclear magnetic resonance (NMR) and  
60 X-ray diffraction (XRD) measurements have been applied to quantify the damage created by  
61 light, highly-energetic particles generated by the nuclear reaction:  $^{10}\text{B} + \text{n}^0 \rightarrow ^7\text{Li} + \alpha$  (+2.79  
62 MeV, 2.31 MeV kinetic energy) (Figure 1), on the crystalline structure of a synthetic zircon  
63 ceramic. This nuclear reaction involves only light particles and thus eliminates the heavy recoil  
64 component of the actinide alpha-decay process.

65 The  $^7\text{Li}$  nuclear reaction product is NMR active, and is the only source of  $^7\text{Li}$  in the  
66 sample. Because signal intensity in NMR is directly proportional to the number of nuclei present,  
67 ‘spin-counting’ can be used to provide a very precise measure of dose – a precision not achieved by  
68 standard dose calculations based on average neutron fluence and a small boron mass fraction (0.3  
69 wt%) in the sample – by directly detecting the  $^7\text{Li}$  nuclear reaction product and comparing to a  
70 calibration curve relating the signal to the number of  $^7\text{Li}$  atoms present.

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71           In order to acquire a complete picture of the damage created by the  $\alpha$ -events, both XRD  
72 and  $^{29}\text{Si}$  NMR are used. X-ray diffraction is useful for understanding the long-range order in a  
73 material and the nature of crystalline regions including changes in lattice parameters, strain, and  
74 disorder. However, while it may provide information about the *presence* of amorphous regions, it  
75 is less useful for studying their *nature*. On the other hand, NMR can be applied to both  
76 amorphous and crystalline materials and is very sensitive to changes in the local structural  
77 environment of atoms. It probes changes on small length scales, generally within one or two  
78 coordination spheres around the nuclei being probed. Furthermore, because NMR utilizes the  
79 permanent nuclear dipole present in each nucleus being probed, the intensities in a spectrum are  
80 directly proportional to the number of atoms in a specific phase. In this study, the effects from  
81 solely  $\alpha$ -particle and  $^7\text{Li}$  damage are compared with previous studies on damage created by  $\alpha$ -  
82 particle + heavy recoil events in natural and Pu-doped zircons. We identify both of these  
83 processes as  $\alpha$ -events. However, actinide alpha emission consists of an alpha particle with a  $\mu\text{m}$ -  
84 scale range together with a heavy daughter recoil with a range of  $\sim 20$  nm, while the  $^{10}\text{B}(n,\alpha)$   
85 alpha emission consist of two light particles ( $\alpha$ -particle and  $^7\text{Li}$ ), both with ranges of the order of  
86 microns.

87           The zircon structure is tetragonal ( $I4_1/amd$ ,  $Z = 4$ ) and consists of chains of alternating,  
88 edge sharing  $\text{ZrO}_8$  dodecahedra and  $\text{SiO}_4$  tetrahedra along the  $c$ -axis. There is one Zr-Si distance  
89 along the chain, and two ( $\times 4$ ) unique Zr-O bond distances (Ni et al. 1995). Each O atom is  
90 coordinated to one Si atom and two Zr atoms. The zircon structure accommodates heavier rare-  
91 earth elements, and Y and Sc, whereas the closely related monazite-type monoclinic structure  
92 accommodates lighter, larger REEs. The zirconium (IV) site is a host for many actinides and  
93 other elements. Natural samples often contain U and Th in concentrations of 5,000 ppm, and up

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94 to 10 wt% actinides has been observed. Plutonium has been shown to be at least 8.85 wt%  
95 soluble in synthetic zircon samples (Weber 1990).

96 Radiation damage in zircon has been widely studied both for its application in  
97 geochronological studies and its usefulness as a potential nuclear waste form. Earlier studies  
98 report up to 18% total volume swelling (e.g. Holland and Gottfried 1955, Weber et al. 1998),  
99 which is a combination of swelling of the crystalline lattice and the formation of amorphous  
100 regions. Unit cell volume swelling of up to 5% at  $5.8 \times 10^{18}$   $\alpha$ -events/g is observed in natural  
101 zircon (Holland and Gottfried 1955, Murakami et al. 1991), and is anisotropic with a maximum  
102 swelling of 1.5% along *a* and 2% along *c*. Rios et al. (2000a) propose that the anisotropy in  
103 radiation-induced lattice swelling could be either the result of anisotropy in expansion of the  
104 ZrO<sub>8</sub> polyhedra or preferential relaxation along the *a*-axis on geological timescales. The  
105 anisotropy is more pronounced in natural studies than in Pu-doped studies (Weber 1993).

106 In the early stages of amorphization in zircon ( $<3 \times 10^{18}$   $\alpha$ -events/g), previous workers  
107 have attributed the unit-cell expansion in radiation-damaged zircon to localized defects caused  
108 by  $\alpha$ -particles (Marples et al. 1970, Rios and Salje 1999). The damage geometry has been  
109 described as largely isolated regions of displaced atoms along the long track (Matzke 2007) with  
110 spatial separation on the order of microns. Monte Carlo calculations on <sup>239</sup>Pu-doped zircon give  
111 ~220 atoms displaced per  $\alpha$ -particle (Weber 1998). However, the disposition of the displaced  
112 atoms is poorly understood.

113 Amorphous regions constitute the minority phase at low levels of damage, and are  
114 formed primarily by the lower energy, heavy ions from Pu or actinide  $\alpha$ -recoil which travel tens  
115 of nanometers in zircon and lose most of their energy through collisions with other atoms in the  
116 sample. The cascades are ~50 Å diameter (Weber et al. 1994), and are thought to be a contiguous

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117 region of many displaced atoms (Salje et al. 1999, Sickafus 2008). Amorphization due to  $\alpha$ -  
118 decay in zircon has previously been investigated by NMR. Results show that the silicate  
119 tetrahedra tend to polymerize, with bridging oxygen atoms connecting tetrahedra (Farnan and  
120 Salje 2001, Ashbrook & Farnan 2004, Farnan et al. 2007).

## 121 **Materials and Methods**

### 122 **Sample Preparation and Characterization**

123 Polycrystalline zircon samples were synthesized from Zirconium (IV) t-Butoxide  
124 ( $\text{Zr}(\text{OC}_4\text{H}_9)_4$ ) mixed with Ludox<sup>®</sup> (40 wt%  $\text{SiO}_2$  colloidal suspension) and 0.4 wt%  $\text{H}_3\text{BO}_3$  (with  
125  $^{10}\text{B}$  in natural abundance of 19.9 %). The low B content was chosen to achieve significant  
126 damage but minimize in-reactor sample heating (see next section). Additional water and  
127 ammonia ( $\text{NH}_3$ ) were added to reach approximately pH 9. The mixture was stir-dried, calcined  
128 in air at  $750^\circ\text{C}$ , wet ball-milled, dried, and hot isostatically pressed (HIPed) at  $1300^\circ\text{C}$  and 100  
129 MPa for three hours. X-ray diffraction showed phase-pure crystalline zircon; however, scanning  
130 electron microscopy (SEM) imaging showed a small amount of zirconia ( $\text{ZrO}_2$ ) (Figures 2a and  
131 2b). The sample was reheated at  $1500^\circ\text{C}$  for five hours, and total weight loss during the sintering  
132 heat treatment was approximately 2%.

133 As boron is unable to substitute into the zircon structure, it was determined that it must be  
134 present as an internal coating of the sample pores as borosilicate or borate glass.  $^{11}\text{B}$  MASNMR  
135 spectra show boron present in three- and four-fold coordination with oxygen in a disordered  
136 phase, which is consistent with this interpretation.

### 137 **Sample Irradiation**

138 The samples were irradiated for 1, 10, and 28 days in a flux of thermal neutrons ( $5 \times 10^{13}$   
139  $\text{n/cm}^2\text{s}$ ) at the HIFAR reactor, Australian Nuclear Science and Technology Organisation

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140 (ANSTO), to achieve the reaction  $^{10}\text{B} + \text{n}^0 \rightarrow ^7\text{Li} + \alpha$ . Samples were subjected to a total neutron  
141 fluence of  $4.3 \times 10^{18}$  n/cm<sup>2</sup>,  $4.3 \times 10^{19}$  n/cm<sup>2</sup>, and  $1.2 \times 10^{20}$  n/cm<sup>2</sup>, respectively.

142 The sample temperature due to radiogenic heating was calculated to be approximately  
143 150 °C during the 28-day irradiation, which is considerably below the temperature at which  
144 radiation damaged zircon will anneal (e.g. Zhang et al. 2000, Geisler et al. 2001) and its critical  
145 amorphization temperature determined by ion beam studies (Meldrum et al. 1999). Annealing  
146 studies of He ion-implanted ZrSiO<sub>4</sub> waveguides report some mobility of isolated defects toward  
147 larger defect clusters upon heating between 100 – 200 °C, but no recovery of amorphized regions  
148 and no decrease in the total number of defects up to 1000 °C (Babsail et al. 1991). Because the  
149 samples were activated upon irradiation, they could not be handled outside a radiologically  
150 controlled area for several years. The 28-day sample had a residual radioactivity level of 1000  
151 μSv/hr at contact during analysis.

## 152 **Pore size determination.**

153 In order to determine the proportion of  $^7\text{Li}$  and  $\alpha$ -particles that exit the glassy pores and  
154 cause damage in the crystal structure, it is necessary to calculate the probability of boron being in  
155 a given pore with radius  $r$  and, using the most likely pore radius, determine whether or not  $^7\text{Li}$   
156 and  $\alpha$ -particles will escape. This requires measurement of the average pore diameter and scaling  
157 for volume to account for a greater proportion of boron being contained in larger pores.

158 Scanning electron microscopy images were analyzed in ImageJ software (Rasband 1997-  
159 2001). A threshold was applied to isolate dark regions (pores) from the zircon (ZrSiO<sub>4</sub>) and  
160 minor zirconia (ZrO<sub>2</sub>) phases (Figure 3a). Then, pore sizes of greater than  $0.005 \mu\text{m}^2$  were  
161 selected (providing over 7000 points) and fit to ellipses to provide major and minor axes lengths

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162 (Figure 3b). The resulting data were plotted as a histogram with 50 bins of radius length up to  
163  $4.2 \mu\text{m}^2$  (Figure 4a).

164 A Jacobian transformation (Equation 1) was used to find the pore volume distribution,  
165  $G(V)$ , of boron in a pore of radius  $r$  as a function of the distribution of pore radii,  $g(r)$ , to account  
166 for more boron being contained in larger pores:

$$167 \quad G(V) = g(r) \frac{\partial V}{\partial r} \quad (1)$$

168 A bin radius was squared, and multiplied by the frequency of the bin, providing the  
169 probability of boron being in any given pore of radius  $r$ . The results were plotted and fitted to a  
170 Gaussian peak profile to provide the most probable pore radius to contain boron (Figure 4b).  
171 This approach is valid despite the possibility of  $^{10}\text{B}$  being present as a coating of the larger  
172 pores, and despite a large proportion of the reaction productions ( $^7\text{Li}$  and  $\alpha$ -particle) needing to  
173 travel across the pore to enter the zircon sample. The range of an alpha particle in air is several  
174 centimeters and so this will not impede the particles' entry into the sample. A filled glass pore  
175 would represent a worst-case scenario for the range of energetic light particles produced from the  
176  $^{10}\text{B}(n,\alpha)$  reaction.

### 177 **TRIM Simulations**

178 Ballistic Monte Carlo simulations using the TRIM software (Ziegler et al. 2010) were  
179 carried out to determine the penetration of the  $^7\text{Li}$  and the  $\alpha$ -particles into the synthetic zircon  
180 ceramic. The calculations consisted of 100,000  $^4\text{He}$  (1.47 MeV) or  $^7\text{Li}$  (0.84 MeV) atoms  
181 projected into a two-layer target of borosilicate glass of density  $2.5 \text{ g/cm}^3$  (thickness,  $1.0 \mu\text{m}$ )  
182 and  $\text{ZrSiO}_4$  with a density of  $4.67 \text{ g/cm}^3$ . Displacement energies used were 89 eV (Zr), 48 eV  
183 (Si), and 28 eV (O) (Park et al. 2001). The sum of all trajectories is shown in Figure 5a.



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184 Numbers of displaced atoms/vacancies created by each trajectory are tracked by the software and  
185 reported as the average number of vacancies over the simulation.

186

187 **<sup>7</sup>Li MAS-NMR.**

188 A calibration curve was constructed using micromolar quantities of spodumene  
189 (LiAlSi<sub>2</sub>O<sub>6</sub>), which was chosen because it has a well-defined stoichiometry and a small mass  
190 fraction of <sup>7</sup>Li, allowing us to use measurable quantities for calibration. Samples ranging from  
191 0.25 to 2.5 mg spodumene ( $6.6 \times 10^{17}$  to  $7.35 \times 10^{18}$  <sup>7</sup>Li atoms, using <sup>7</sup>Li natural abundance of  
192 92.4%) were weighed using a microbalance ( $\pm 0.5 \mu\text{g}$ ). The spodumene crystals were loaded into  
193 rotors with alumina powder to secure their position in the center of the rotor and NMR coil.  
194 Lithium-7 MAS-NMR spectra were acquired for each spodumene sample using a Varian Infinity  
195 Plus 500 MHz NMR spectrometer (11.7 T) operating at 194.24 MHz. Samples were spun at 10  
196 kHz. In most cases, 24 scans were acquired; however, if more scans were needed to increase the  
197 signal to noise ratio, spectra were normalized to 24 scans. Spectra were acquired with a 1  $\mu\text{s}$   
198 pulse width ( $\pi/6$ ) and 300 s pulse delay to ensure complete relaxation between scans. Each  
199 spodumene sample was run at least three times over the span of several weeks to determine the  
200 error in spectrometer sensitivity given similar tuning conditions. The spin of the <sup>7</sup>Li nucleus is  
201 3/2; the sharp peak at -8.6 ppm due to the central ( $1/2 - 1/2$ ) NMR transition was integrated to  
202 determine the signal produced for each  $10^{18}$  <sup>7</sup>Li atoms present. Zircon <sup>7</sup>Li NMR spectra were  
203 acquired using the same experimental parameters as spodumene, and were referenced to  
204 spodumene at 0.8 ppm.

205 **Radiological MAS NMR**

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206           Because sample impurities were activated (primarily to  $^{60}\text{Co}$ ) upon irradiation, samples  
207 were stored on-site at ANSTO for several years before analysis. Dose measurement at contact  
208 was up to 1000  $\mu\text{Sv/hr}$  for the 28-day irradiation. Upon transfer to the University of Cambridge,  
209 the powdered zircon samples were removed from transport containers and transferred to a glove  
210 bag. A portion of the powder from each irradiation was removed from the irradiation container  
211 and inserted into a PTFE liner by first placing the liner in a PTFE holder, inserting a funnel, and  
212 dropping in the powder without contaminating the screw thread or the outside of the container.  
213 A PTFE cap was screwed on, and the entire liner assembly was placed in a 7.5 mm zirconia  
214 rotor. Swabbing for transferable contamination was carried out at each stage of filling for the  
215 primary and secondary containment.

216  **$^{29}\text{Si}$  MAS NMR.**

217            $^{29}\text{Si}$  spectra of undamaged and damaged zircon were acquired using a Varian  
218 Chemagnetics Infinity 400 MHz (9.4 T) spectrometer operating at 79.47 MHz for  $^{29}\text{Si}$ , and were  
219 secondary referenced to TMS (tetramethyl silane) using RTV silicone rubber at -22.3 ppm. The  
220 low natural isotopic abundance of  $^{29}\text{Si}$  (4.7%) and very long  $T_1$  relaxation time make it difficult  
221 and time-consuming to acquire a spectrum with good signal-to-noise ratio. To obtain a spectrum  
222 in a reasonable amount of time, we used a rotor-synchronized Carr-Purcell Meiboom-Gill  
223 (CPMG) echo technique, which has been shown to provide a good representation of the of the  
224 single-pulse  $^{29}\text{Si}$  lineshape on natural zircon with long relaxation time components (Larsen and  
225 Farnan 2002). An echo train is collected following a long polarization period of 3600 seconds.  
226 For each sample, 64 spin-echoes were acquired with a  $90^\circ$  pulse width of 3.7  $\mu\text{s}$  and a  $180^\circ$  pulse  
227 width of 7.3  $\mu\text{s}$  with the sample spinning speed at 5.188 kHz. The pulse spacings of 25 ms give  
228 intrinsic CPMG resolution of 40 Hz. The echoes were added together to create a composite

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229 whole echo, and a magnitude Fourier-transform was applied to avoid the need to phase-correct  
230 the spectrum. For unirradiated zircon, the CPMG method was not suitable due to the width of  
231 the echo in the time domain (narrow line, wide echo). In this case, the unirradiated spectrum was  
232 collected using a Bloch decay with 8 acquisitions ( $\pi/10$ ) and a 100 s pulse delay.

233 The fraction of Si atoms in each of the amorphous and crystalline phases can be found by  
234 deconvolution of the spectra into crystalline (sharp peak) and amorphous (broad area) fractions.  
235 The amorphous fraction,  $f_a$ , was determined by fitting the sharp crystalline peak in the spectrum  
236 to a Lorentzian peak profile, and constraining the broad amorphous region using a Gaussian peak  
237 profile. The area of the main peak was then subtracted from the integration of the whole  
238 spectrum to provide the proportion of Si atoms in the amorphous phase.

239 **X-ray diffraction**

240 Sample preparation for X-ray diffraction analysis was carried out in a sealed glove bag to  
241 avoid dispersion of particles. For each sample, ~ 60 mg powder was placed onto a glass cover  
242 slip mounted to a sample holder. A 1:3 mixture of durafix glue and water was dropped onto the  
243 cover slip and mixed with the sample to ensure that the powder would not be dispersed during  
244 analysis. Samples were analyzed on a Bruker D8 diffractometer using Cu  $K_{\alpha 1}$  radiation, a  $0.01^\circ$   
245 step size from  $10$  to  $100^\circ 2\Theta$ , and counting times of 5 (unirradiated), 10 (1-day), 22.5 (10-day),  
246 and 45 seconds (28-day). The unirradiated sample was run with and without the glue preparation  
247 method to observe the effects of the glue on the diffraction pattern. Powder diffraction patterns  
248 were analyzed using least-squares refinement per the Rietveld method (Rietveld 1969) in the  
249 General Structural Analysis System (GSAS) software (Larson and Von Dreele 2004) with the  
250 graphical user interface EXPGUI (Toby 2001). The peak intensities were normalized to the glue

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251 background, and patterns were refined to find changes in lattice parameters, peak intensities, and  
252 broadening.

## 253 **Results**

### 254 **Fate of $^7\text{Li}$ and $\alpha$ -particles**

255 Based on image analysis and pore-size determination, the average boron-containing pore  
256 diameter was calculated to be 1.2  $\mu\text{m}$ . Ion range calculations show that the  $\alpha$ -particles of the  
257  $^{10}\text{B}(\text{n}, \alpha)$  reaction have enough energy to escape from the pore regions and penetrate at least 4  
258  $\mu\text{m}$  into the zircon ceramic (Figure 5a) (as do the 0.84 MeV  $^7\text{Li}$  atoms, track length 2  $\mu\text{m}$ .)

259 The range and energy of particles produced in the  $^{10}\text{B}(\text{n}, \alpha)$  reaction is important for the  
260 comparison of naturally- and synthetically-damaged samples. The  $^{10}\text{B}(\text{n}, \alpha)$  energy of 2.79 MeV  
261 is significantly less than an alpha particle arising from the  $^{238}\text{U}$  alpha decay (4.198 MeV). A  
262 priori, this would be thought to result in less structural damage being created by the two light  
263 particles where the energy is partitioned as 1.47 MeV for the  $\alpha$ -particle and 0.84 MeV for  $^7\text{Li}$ .  
264 However, the majority of the atomic displacements occur at the end of the particle track when  
265 nuclear, rather than electronic, stopping becomes the primary interaction mechanism. Thus, an  
266 increase in the total energy of a particle tends to increase the range in the solid rather than the  
267 total number of atoms displaced. Figure 5b compares the energy loss profiles of 4.198 MeV and  
268 1.47 MeV  $\alpha$ -particles. If the lower energy profile is shifted in penetration depth, the profiles are  
269 almost perfectly superimposable. This final part of the track is responsible for the majority of  
270 atomic displacements and the code (Ziegler et al. 2010), predicts that a 4.198 MeV  $\alpha$ -particle  
271 should create 89 vacancies/ion along its 10.7  $\mu\text{m}$  track through  $\text{ZrSiO}_4$  compared with 73  
272 vacancies for the 4  $\mu\text{m}$  1.47 MeV  $\alpha$ -particle track. This predicts about 65 displaced atoms  
273 associated with the end of the  $\alpha$ -particle track, assuming a linear number of displacements with

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274 track length at energies well above the Bragg peak. These simulations indicate that ~83% of the  
275 damage created by the  $\alpha$ -particle emitted in an actinide  $\alpha$ -decay should be reproduced by the  
276 lower-energy  $\alpha$ -particle from the  $^{10}\text{B}(n, \alpha)$  reaction.

### 277 $^7\text{Li}$ calibration

278 Calibration of the  $^7\text{Li}$  signal produced by each  $^7\text{Li}$  atom in spodumene is shown in Figure  
279 6. The  $^7\text{Li}$  signal in the zircon samples was quantified by integration of the central ( $^{1/2} - ^{1/2}$ )  
280 NMR transition, which is unaffected by first order quadrupolar interactions. Figure 7 shows a  
281 representative spectrum from the 28 day sample. Results for the dose calculations are shown in  
282 Table 1. For each irradiated sample, the integrated  $^7\text{Li}$  spectrum is calibrated to a precise number  
283 of  $^7\text{Li}$  atoms, and each  $^7\text{Li}$  is the result of one  $\alpha$ -event. The number of  $\alpha$ -events created in each  
284 irradiated sample is converted to  $\alpha$ -events/g based on the sample mass analyzed by NMR. The  
285 signal observed from the highest neutron fluence applied to the samples yields  $20.4 (\pm 3.1) \times 10^{18}$   
286  $^7\text{Li}$  atoms/g and hence  $20.4 \times 10^{18}$   $\alpha$ -events/g. This exceeds the  $\alpha$ -dose required to completely  
287 amorphize zircon by both natural actinide  $\alpha$ -decay, ( $8\text{--}10 \times 10^{18}$   $\alpha$ /g [Holland and Gottfried  
288 1955, Murakami 1991, Rios et al. 2000b]) and Pu-doping ( $6.7 \times 10^{18}$   $\alpha$ /g [Weber et al. 1994]).

### 289 $^{29}\text{Si}$ MAS-NMR

290 The effect on the silicon local environment in zircon of the deposition of energy from the  
291  $^7\text{Li}$  and  $\alpha$ -particles created in the neutron-induced nuclear reaction can be observed via the  $^{29}\text{Si}$   
292 NMR spectra shown in Figure 8a. The narrow, symmetric peak at -81.6 ppm corresponds to Si  
293 atoms bonded to the four non-bridging oxygen atoms of isolated  $\text{SiO}_4$  tetrahedra in the  
294 undamaged zircon structure (Magi et al. 1984). With increasing dose, an additional broad  
295 resonance at more negative chemical shifts is observed. These spectra are similar to previous  
296 NMR studies on radiation-damaged natural zircon (Farnan and Salje 2001, Farnan et al. 2007)

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297 where the broadening of the main peak was attributed to defects within the crystalline lattice and  
298 the broad resonance at more negative chemical shifts was due to the formation of amorphous  
299 regions associated with heavy recoil. An example of the deconvolution of the spectra into  
300 crystalline (sharp peak) and amorphous (broad area) fractions can be found in Figure 8b. The  
301 amorphous fraction increases with cumulative dose, and reaches 23% at a cumulative dose ( $D_\alpha$ )  
302 of  $6.3 (\pm 1.0) \times 10^{-3}$   $\alpha$ -events/Si atom (Figure 9). The intensity of the NMR signal is directly  
303 proportional to atomic abundance, and so provides the amorphous number fraction,  $f_a$ , (in  
304 proportion of Si atoms in the amorphous phase) associated with the  $^7\text{Li}$  and  $\alpha$ -particle tracks.  
305 When radiation damage accumulates directly, the damage fraction will initially increase linearly  
306 with dose. As the total dose increases and damaged volumes build up, subsequent events will  
307 create a smaller damaged volume per event as some affected atoms will already have been  
308 displaced. This behavior has been described in the literature by Gibbons (1972) for ion-beam  
309 experiments and by Marples (1988) for  $\alpha$ -doping experiments. The direct accumulation of  
310 damage equation (Equation 2) similarly describes the fraction of displaced atoms using the  
311 number of  $\alpha$ -events/Si atom scale,  $D_\alpha$  known from the  $^7\text{Li}$  content,  $f_a$  can be fitted to a direct  
312 damage equation:

$$313 \quad f_a = 1 - \exp(-N_d D) \quad (2)$$

314 and the number of Si atoms displaced in each  $\alpha$ -event,  $N_d$ , may be determined. This yields  $42 \pm 4$   
315 Si atoms displaced per  $\alpha$ -event, which corresponds to  $252 \pm 24$  total atomic displacements per  
316 event.

317 **X-ray diffraction**

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318 X-ray diffraction of damaged zircon samples show a shift in Bragg peaks to lower  $2\Theta$ , a  
319 decrease in peak intensity, and an increase in broadening with dose (Figure 10). Rietveld  
320 refinement results are presented in Table 3. Swelling takes place linearly along both the  $a$ - and  $c$ -  
321 axis, with expansion strongly favored along the  $c$ -axis (Figure 11a). At a dose of  $20.4 \times 10^{18}$   $\alpha$ -  
322 events/g,  $c$  increases by 1.2%, compared with 0.3% along  $a$  (Figure 11b), making expansion  
323 along  $c$  four times greater than along  $a$ . This is similar to that noted by Rios et al. (2000a), who  
324 report about four times more swelling along  $c$  than  $a$  in natural zircon at a dose of  $1.8 \times 10^{18}$   $\alpha$ -  
325 events/g. Maximum lattice parameter swelling of  $\sim 2\%$  along  $c$  and 1.5% along  $a$  (Holland and  
326 Gottfried 1955, Murakami et al. 1991) at a dose of  $5.8 \times 10^{18}$   $\alpha$ -events/g has been observed in  
327 zircon. In these light-particle damaged samples, a total unit cell volume swelling of up to 1.7%  
328 is seen at a dose of  $20.4 \times 10^{18}$   $\alpha$ -events/g (Figure 11c).

## 329 Discussion

### 330 Amorphization

331 If the 252 measured displacements associated with  ${}^7\text{Li}$  atom and  $\alpha$ -particle damage are  
332 partitioned according to mass, 92 atoms are displaced by the  $\alpha$ -particle and 160 by the  ${}^7\text{Li}$ . The  
333 total number of atoms displaced by the  $\alpha$ -particle account for  $\sim 0.2\%$  of the permanent atomic  
334 displacements caused by the  $\alpha$ -particle plus recoil (Farnan and Salje 2001). These measured  
335 atomic displacement values for  $\alpha$ -particles are in very good agreement with the predictions of  
336 the TRIM code, which predicts 78 displacements/ $\alpha$ -track for 1.47 MeV  $\alpha$ -particles. However,  
337 the  ${}^{29}\text{Si}$  NMR signals associated with the broad peaks in Figure 8 are consistent with the partially  
338 polymerized, contiguous regions of amorphous material accumulated at the end of the particle  
339 tracks as they resemble the line shapes of the amorphized regions and not the line shapes of

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340 broadened 'crystalline' line shapes containing isolated defects. Increasing polymerization from  
341  $Q^0$  (no bridging oxygen atoms, as in isolated tetrahedra) to  $Q^4$  (all oxygen atoms bridging, as in  
342 framework silicates) increases the shielding on the Si atom and leads to more negative chemical  
343 shifts. This is reflected in the broad region at more negative chemical shifts in the damaged  
344 zircon spectra. Thus, the physical disposition of the vacancies and displaced atoms is quite  
345 different from the branching behavior of the ballistic model. This means that the defects are  
346 closely associated and are not distributed over a wide enough range to populate a significant  
347 number of unit cells and so expand the crystal lattice.

#### 348 **Crystalline unit cell swelling**

349 In this study, the damaged zircon samples have not undergone significant recovery on a  
350 geological time scale. Therefore, the relative changes in  $a$  and  $c$ , which are very closely  
351 reflected in early stages of damage in natural samples (Figure 11c), must be accounted for by  
352 preferential swelling along [001] as a result of anisotropy in the expansion of the  $ZrO_8$   
353 dodecahedra, rather than primarily by relaxation on geological timescales. We can also compare  
354 the total lattice expansion of 1.7% with radiation damaged natural zircons where a maximum 5%  
355 unit cell volume swelling at  $5.8 \times 10^{18}$   $\alpha$ -events/g is observed (Holland and Gottfried 1955,  
356 Murakami et al. 1991). As mentioned previously, in the early stages of radiation damage in  
357 zircon (doses  $< 3 \times 10^{18}$   $\alpha$ -events/g), unit cell expansion has been attributed to localized defects  
358 caused by  $\alpha$ -particles (Rios et al. 1999, Marples et al. 1970), while the heavy nucleus recoil  
359 creates separate isolated amorphous regions (Salje et al. 1999). The former assumption is not  
360 consistent with the unit cell volume increase of  $0.21 \text{ \AA}^3/10^{18}$   $\alpha$ -events measured here compared  
361 with  $3.55 \text{ \AA}^3/10^{18}$   $\alpha$ -events associated with recoil +  $\alpha$ -particle damage in Pu-doped zircon



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362 (Weber 1990) or  $2.19 \text{ A}^3/10^{18}$   $\alpha$ -events associated with natural zircons (Holland and Gottfried  
363 1955) (see Figure 11d).

364 One possible explanation for  $\alpha$ -particles from the  $^{10}\text{B}(n, \alpha)$  reaction not creating an  
365 equivalent amount of swelling in the crystalline fraction of these synthetic zircon samples  
366 compared with that observed in natural zircons with heavy nuclear recoil +  $\alpha$ -damage, is that the  
367  $\alpha$ -tracks are of different lengths. Thus, if more defects were formed per unit  $\alpha$ -particle track  
368 length than predicted by TRIM simulations the swelling could be accounted for. The morphology  
369 of the track is thought to be ‘pearls on a string’ geometry with a few isolated defects along the  
370 track and a small contiguous cascade at the end of the track (Sickafus 2008). If more defects are  
371 formed along the  $\alpha$ -track that previously assumed, then the shorter track length of the  $^{10}\text{B}$   $\alpha$ -  
372 decay would not fully represent the number of defects created by a longer U or Pu  $\alpha$ -decay.  
373 TRIM predicts 89 displacements along the  $10.7 \mu\text{m}$  track for a 4.2 MeV  $\alpha$ -particle in  $\text{ZrSiO}_4$ . If  
374 the defects are distributed along the track only, with no cluster at the end of the track then  $\sim 8$   
375 defects per  $\mu\text{m}$  would be produced, which is a very small number of defects over many atoms.  
376 First principles electronic structure calculations of the volumetric effects of point defects in  
377 zircon (Pruneda et al. 2004, Pruneda and Artacho 2005) show that only O and Si interstitials, and  
378 the anti-site  $\text{Zr}_{\text{Si}}$ , defects would cause lattice expansion, and that to produce the magnitude of  
379 unit cell swelling (up to 5%) observed in Pu-doped samples, the number of defects would have to  
380 be on the order of 1 defect per unit cell. This would be equivalent to  $\sim 1500$  defects/ $\mu\text{m}$  track  
381 length. This unlikely  $^{10}\text{B}(n, \alpha)$  level of defect production would be counter to the current view  
382 of only a few defects being produced per micron of track length (Matzke 2007).

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383           An alternative cause of the crystalline lattice swelling is that the size of the recoil cascade  
384 is initially much greater than is preserved, and the rapid recrystallization of damaged regions  
385 incorporates many point defects into the epitaxially recrystallized lattice which result in an  
386 expanded lattice. The size of the final, relaxed cascade in zircon is calculated to be ~5 nm in  
387 diameter (Trachenko et al. 2002). The amount of disruption to the crystalline lattice that is finally  
388 recorded is material- and temperature-dependent. In UO<sub>2</sub>, for example, the entire cascade  
389 recrystallizes so that no observable displacement cascade is present only isolated and small  
390 clusters of defects (Matzke 1992). Conversely, in zircon a large fraction of the initial damage  
391 cascade is preserved.

392           Other molecular dynamics simulations of alpha recoil in zircon suggest that over half of  
393 the displaced atoms in a recoil cascade recover to their original positions within a picosecond  
394 (Crocombette and Ghaleb 1999, Crocombette and Ghaleb 2001). As the initial cascade relaxes  
395 very quickly, it could incorporate defects which serve to swell the unit cell, which would account  
396 for the higher rate of swelling in  $\alpha$  + recoil damage compared to  $\alpha$ -particle only.

397           The predicted number of displacements produced in a collision cascade varies greatly in  
398 both experiments and simulations, and estimates usually range from ~1000 displacements  
399 (Nasdala et al. 2001, Weber et al. 1998) up to 5000 (e.g. Trachenko et al. 2001, Devanathan et al.  
400 2006, Farnan et al. 2007). Other studies of alpha-recoil damage have hypothesized that the size  
401 of the recoil cascade is much larger than previously predicted. Studies on the PuCoGa<sub>5</sub> class of  
402 plutonium superconductors showed that the superconducting transition temperatures were greatly  
403 disrupted (decreased) as a result of Pu  $\alpha$ -decay over several years, indicating a greater number of  
404 initial displacements than predicted. The displacement cascade recovered entirely, with the only  
405 evidence of damage being a disruption in superconducting properties (Booth et al. 2007a, Booth

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406 et al. 2007b) by Frenkel defects. The coherence length of the Cooper pairs involved in  
407 superconductivity is very small compared to that of the long-range order probed by X-rays,  
408 making it more sensitive to disruptions in the local structure. A severe damping in the extended  
409 x-ray absorption fine structure (EXAFS) of 3-year old samples was also evidence of a high  
410 degree of local disordering, as EXAFS is another local technique sensitive to short-range  
411 disorder.

412 With this in mind, it is probable that the amount of recovery is sufficient to explain defects  
413 that form during epitaxial recrystallization of the damage cascade. Based on the concentration of  
414 defects required to produce the swelling observed in Pu-doped zircon samples, an additional 820  
415 defects per recoil event would be necessary to explain experimental observations in alpha +  
416 recoil damage in crystalline fractions of zircon.

#### 417 **Implications**

418 Separate effects experiments on actinide alpha radiation damage in zircon, by creating alpha  
419 particle damage without heavy daughter recoils, show that the radiation damage associated with  
420 the crystalline fraction of zircon cannot be attributed solely to the interaction with the alpha  
421 particle. The swelling of crystalline fractions of zircons damaged by alpha + recoil are  
422 significantly greater than observed here. This suggests a significant contribution to lattice  
423 expansion in the crystalline fraction of natural zircon from the rapid epitaxial recrystallization of  
424 the displacement cascade created by the heavy daughter recoil. This lattice expansion is  
425 anisotropic and similar to that created by alpha particles without recoils in samples measured  
426 within 3 years of irradiation and so occurs as an intrinsic expansion mechanism of zircon rather  
427 than as a result of relaxation along the *a*-axis on geological time scales as has been suggested

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428 elsewhere for natural zircons.

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### Figure captions

541  
542

543 Figure 1. Capture of a slow neutron by  $^{10}\text{B}$  creates an  $\alpha$ -particle (1.47 MeV) and  $^7\text{Li}$  (0.84  
544 MeV) which, taken together, constitute a 'light particle' event of +2.79 MeV (2.31 MeV kinetic  
545 energy).

546

547 Figure 2a. Scanning electron microscopy (SEM) image of zircon at 50  $\mu\text{m}$  scale before  
548 irradiation show  $\text{ZrSiO}_4$  with evenly dispersed pores (dark areas) containing boron as  
549 borosilicate or borate glass.

550

551 Figure 2b. Scanning electron microscopy (SEM) image of zircon at 6  $\mu\text{m}$  scale before  
552 irradiation show  $\text{ZrSiO}_4$  containing pores with 2-3  $\mu\text{m}$  diameter. A minor  $\text{ZrO}_2$  impurity (bright  
553 white spots) is also present.

554

555 Figure 3a. Image analysis of unirradiated zircon to determine the pore dimensions. A  
556 threshold is applied to create a binary image distinguishing the pore spaces from the zircon  
557 phase.

558

559 Figure 3b. Pores with an area greater than 0.005  $\mu\text{m}^2$  are fit to ellipses, providing two  
560 measurements of the diameter.

561

562 Figure 4a. Pore radii are analyzed by producing a histogram of the pore radii from fits to  
563 ellipses.

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565 Figure 4b. Data from the pore radii histogram are scaled for pore volume using a Jacobian  
566 transformation by multiplying the frequency of the bin by the square of the radius to account for  
567 larger pores containing more boron than smaller pores. The results are fit to a Gaussian peak  
568 profile to provide the most probable boron-containing pore radius (1.2  $\mu\text{m}$ ).

569

570 Figure 5a. The path of a 1.47 MeV  $\alpha$ -particle originating from a pore and entering zircon,  
571 calculated using TRIM (Ziegler et al. 2010). The  $\alpha$ -particle penetrates to nearly 4  $\mu\text{m}$  depth, and  
572 most of the displaced atoms it produces are within the zircon structure.

573

574 Figure 5b. Comparison of the energy loss of 1.47 MeV ( $^{10}\text{B}(n, \alpha)$ ) and 4.20 MeV (from  
575  $^{238}\text{U}$  decay to  $^{234}\text{Th}$ )  $\alpha$ -particles calculated using TRIM (Ziegler et al. 2010). The path lengths are  
576 4  $\mu\text{m}$  and 11  $\mu\text{m}$  for 1.47 MeV and 4.20 MeV  $\alpha$ -particles, respectively.

577

578 Figure 6. Calibration of the  $^7\text{Li}$  NMR signal intensity (central transition) produced by  
579 plotting the signal intensity against the number of  $^7\text{Li}$  atoms in each spodumene calibration  
580 sample.

581

582 Figure 7. A precise measure of the dose applied to each sample is found by detection of the  
583  $^7\text{Li}$  fission product from the irradiation of  $^{10}\text{B}$ . The  $^7\text{Li}$  NMR spectrum of 28-day irradiated  
584 zircon shows an isotropic main peak from the central  $\frac{1}{2} - \frac{1}{2}$  transition and spinning sidebands  
585 from the  $\pm 3/2 - \pm 1/2$  satellite transitions of  $I = 3/2$   $^7\text{Li}$ . The main peak is integrated to find dose.

586

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587 Figure 8a.  $^{29}\text{Si}$  NMR spectra of zircons samples exposed to a range of  $\alpha$ -particle doses from  
588 unirradiated (black line) and doses of  $1.0 \times 10^{18}$  (green),  $7.5 \times 10^{18}$  (blue), and  $20.5 \times 10^{18}$   $\alpha$ -  
589 events/g (red). Changes in the local structure around the silicon atoms with radiation damage  
590 cause a broad peak at more negative ppm values. Relative proportions of atoms in the crystalline  
591 (sharp peak) and amorphous (broadened area) regions can be found by fitting the sharp peak and  
592 subtracting the area from the total integrated intensity.

593

594 Figure 8b.  $^{29}\text{Si}$  NMR peak fitting to determine the amorphous fraction. The narrow,  
595 crystalline peak at -81.6 ppm is fitted together with a Gaussian to constrain the fit. The final  
596 amorphous fraction,  $f_a$ , is obtained by subtracting the area of the crystalline peak from the total  
597 integral of the spectrum.

598

599 Figure 9. The amorphous fraction (in proportion of Si atoms permanently displaced) is  
600 plotted against cumulative dose (in  $\alpha$ -particles / Si atom) and fit to the direct damage equation  
601 (Gibbons 1972) to provide the number of Si atoms displaced by each  $\alpha$ -particle.

602

603 Figure 10. X-ray diffraction patterns for light-particle damaged zircon show decreasing peak  
604 intensity and shift to lower  $2\Theta$  values, indicating a decrease in crystallinity and swelling of the  
605 unit cell. The black pattern is undamaged, green is  $1.0 \times 10^{18}$   $\alpha$ -events/g, blue  $7.5 \times 10^{18}$ , and red  
606  $20.5 \times 10^{18}$ .

607

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608 Figure 11a. Change in unit cell volume for light-particle damage compared to Pu-doped and  
609 natural zircon. The recoil of the heavy nucleus has a significant effect on the swelling of the  
610 crystalline lattice.

611

612 Figure 11b. Increase in  $a$  and  $c$  lattice parameters with increasing dose, compared to Pu-  
613 doped zircon. Red and blue diamonds are ‘light-particle’ and Pu-doped  $a$  parameters,  
614 respectively, and red and blue triangles are ‘light-particle’ and Pu-doped  $c$ .

615

616 Figure 11c. Relative changes in lattice parameters  $a$  and  $c$  with increasing dose for light-  
617 particle, natural, and Pu-doped zircon.

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619 Figure 11d. Effect of  $\alpha$ -particle damage on the unit cell volume of zircon.

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**Table 1.** Calculation of the number of  $\alpha$ -events/g in irradiated zircon samples.

Sample	$^7\text{Li atoms} \times 10^{18}$	$\alpha$ -events/g $\times 10^{18}$
1-day	0.28 ( $\pm 0.21$ )	1.05 ( $\pm 0.59$ )
10-day	1.80 ( $\pm 0.23$ )	7.46 ( $\pm 0.97$ )
28-day	4.32 ( $\pm 0.65$ )	20.4 ( $\pm 3.1$ )

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**Table 2.** Amorphous fraction and the number of displacements per  $\alpha$ -event calculated for each dose using the proportion of atoms displaced found by  $^{29}\text{Si}$  NMR.

Sample	Amorphous fraction $f_a$	Dose ( $\alpha$ -events/Si $\times 10^{-3}$ )	Displacements/ $\alpha$ -event
1-day	0.04 ( $\pm 0.02$ )	0.30 ( $\pm 0.25$ )	670 ( $\pm 600$ )
10-day	0.09 ( $\pm 0.01$ )	2.30 ( $\pm 0.30$ )	240 ( $\pm 50$ )
28-day	0.23 ( $\pm 0.02$ )	6.30 ( $\pm 0.96$ )	220 ( $\pm 40$ )

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**Table 3.** Increase in lattice parameters of  $\alpha$ -particle damaged zircon with increasing dose, determined by Rietveld refinement.

Dose ( $\alpha$ -events/g $\times 10^{18}$ )	a ( $\text{\AA}$ )	c ( $\text{\AA}$ )	Volume ( $\text{\AA}^3$ )
0	6.60208 ( $\pm 0.00016$ )	5.9805 ( $\pm 0.00016$ )	260.6740 ( $\pm 0.0190$ )
1.05 ( $\pm 0.59$ )	6.60179 ( $\pm 0.00020$ )	5.9841 ( $\pm 0.00020$ )	260.809 ( $\pm 0.0230$ )
7.46 ( $\pm 0.97$ )	6.61062 ( $\pm 0.00025$ )	6.00965 ( $\pm 0.00025$ )	262.623 ( $\pm 0.0290$ )
20.4 ( $\pm 3.1$ )	6.62488 ( $\pm 0.00091$ )	6.03819 ( $\pm 0.00087$ )	265.01 ( $\pm 0.11$ )

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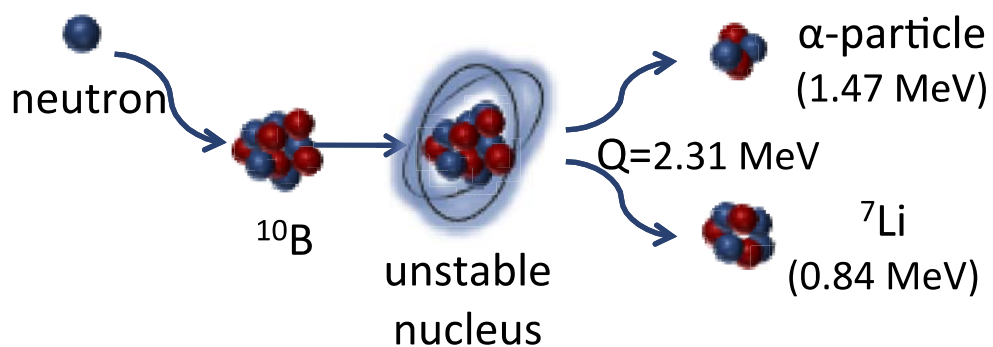


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### Figure 1:

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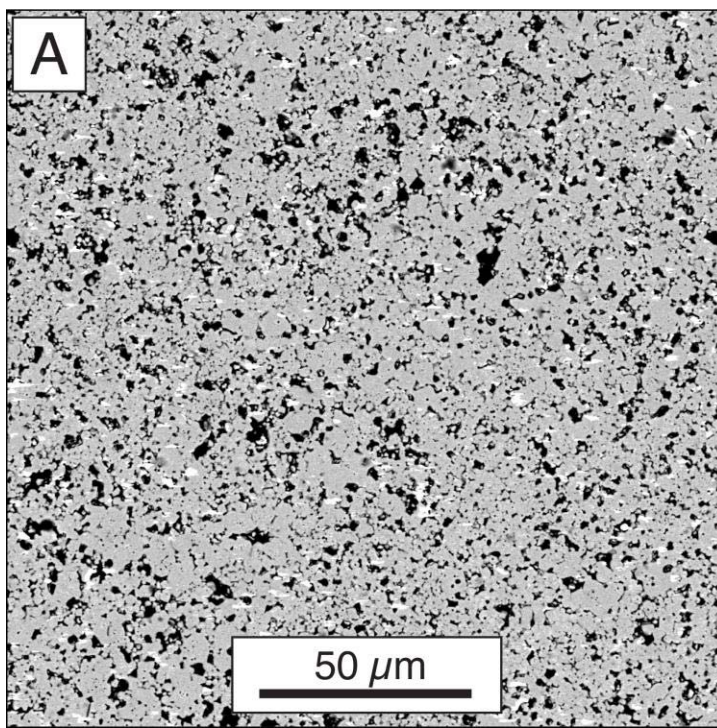
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**Figure 2a:**



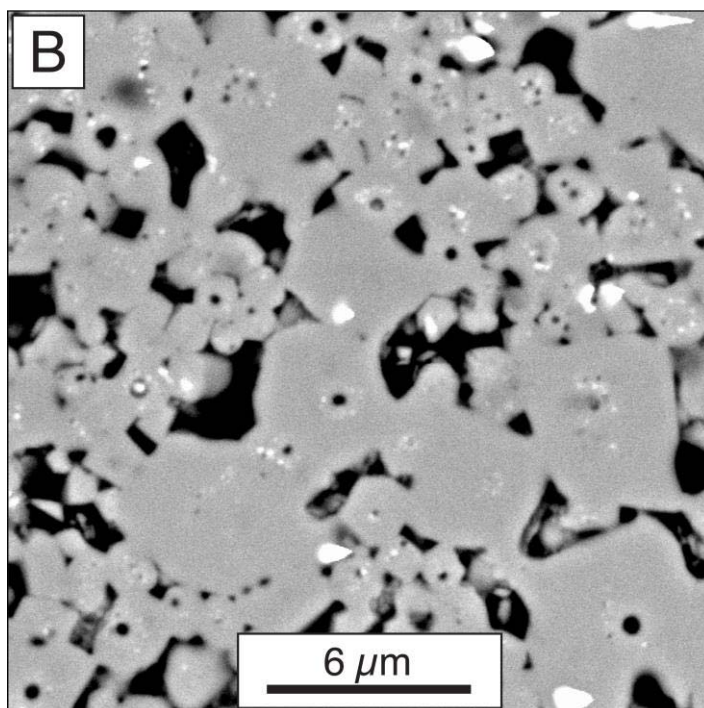
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**Figure 2b:**

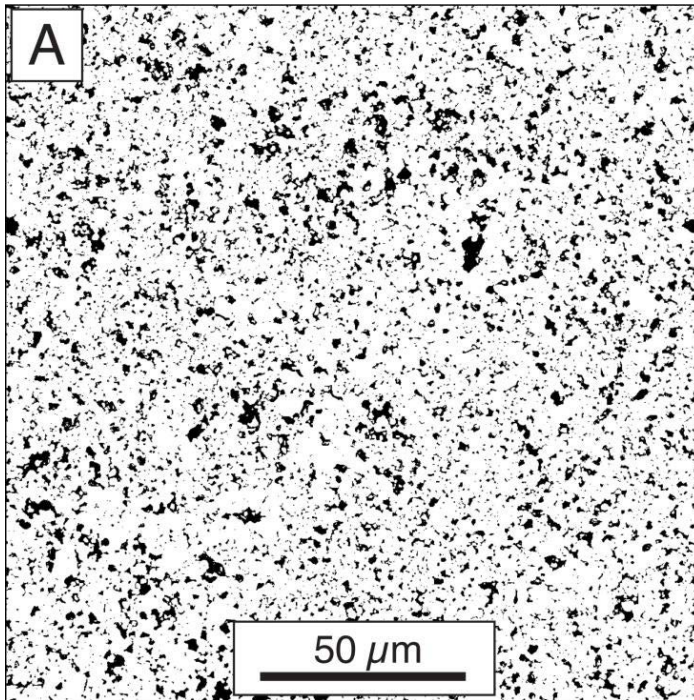


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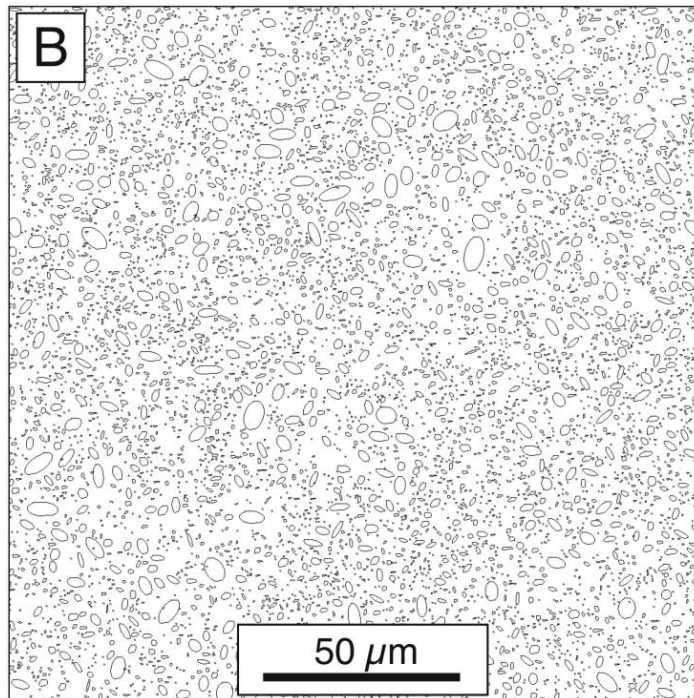
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**Figure 3a:**



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**Figure 3b:**



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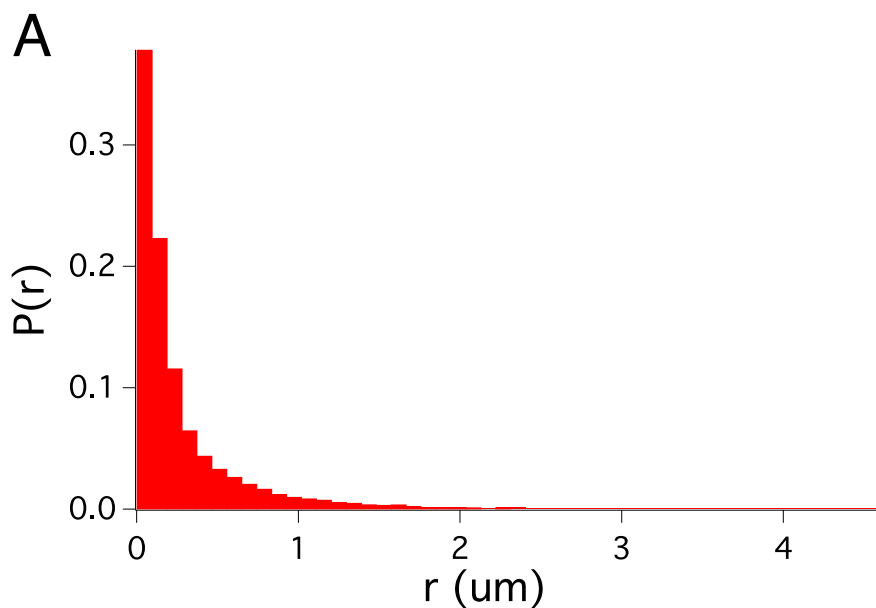
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**Figure 4a:**



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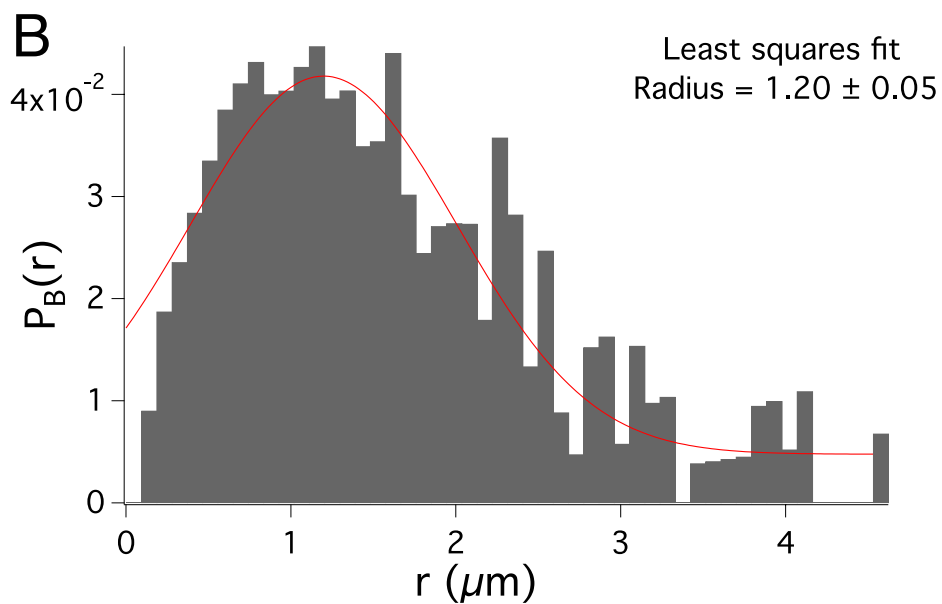
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**Figure 4b:**



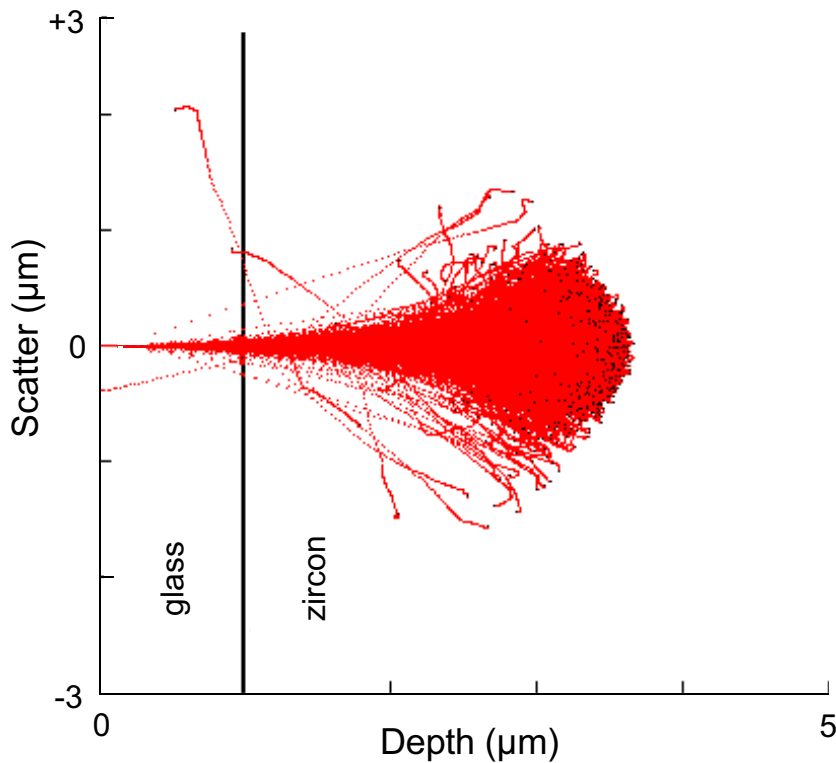
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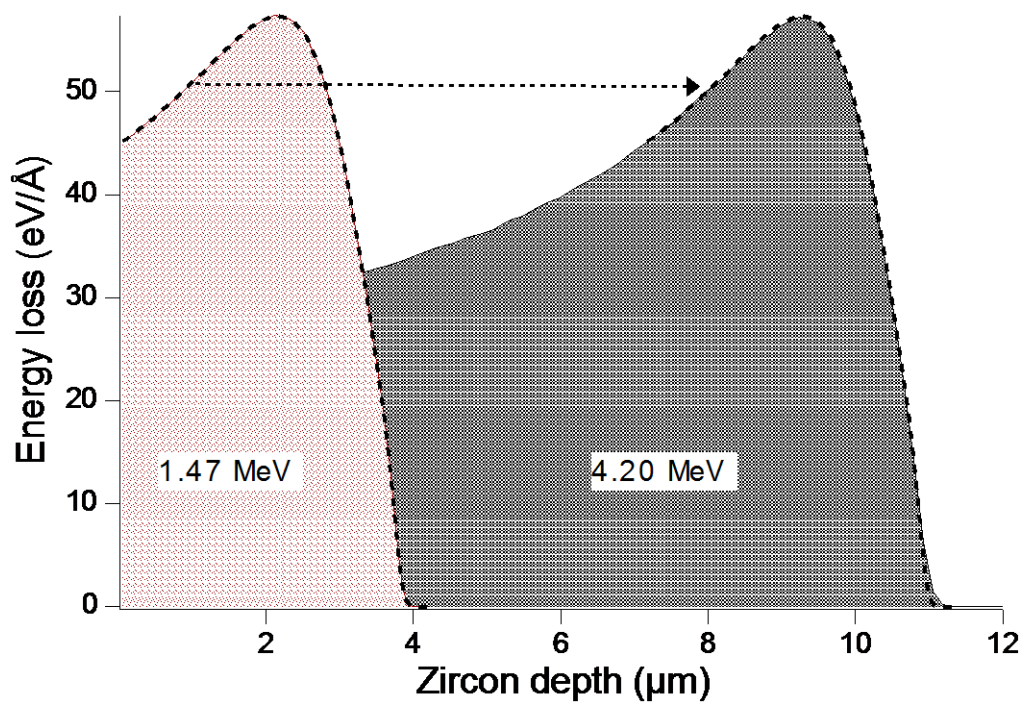
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666 **Figure 5a:**



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**Figure 5b:**

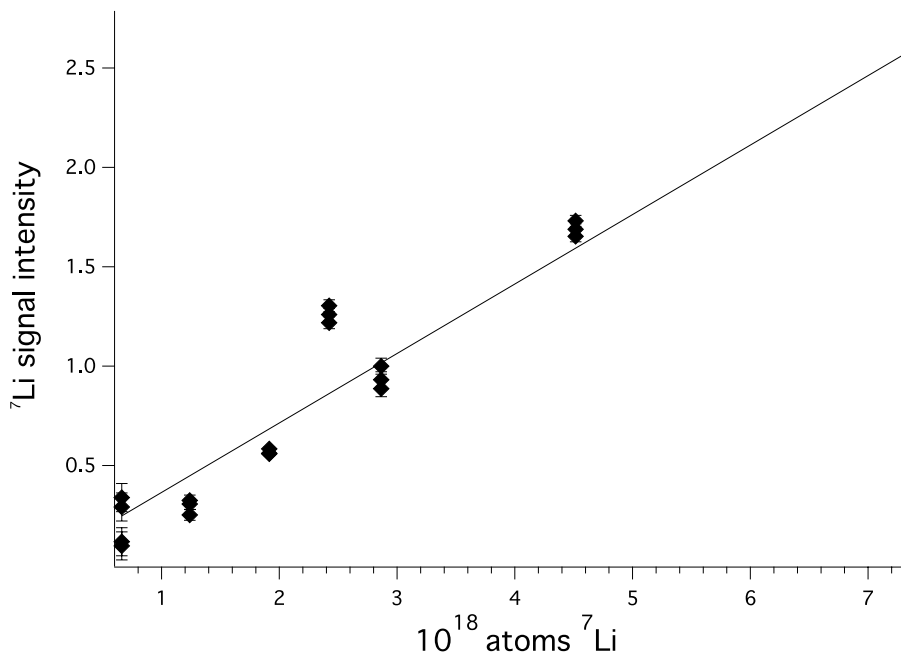


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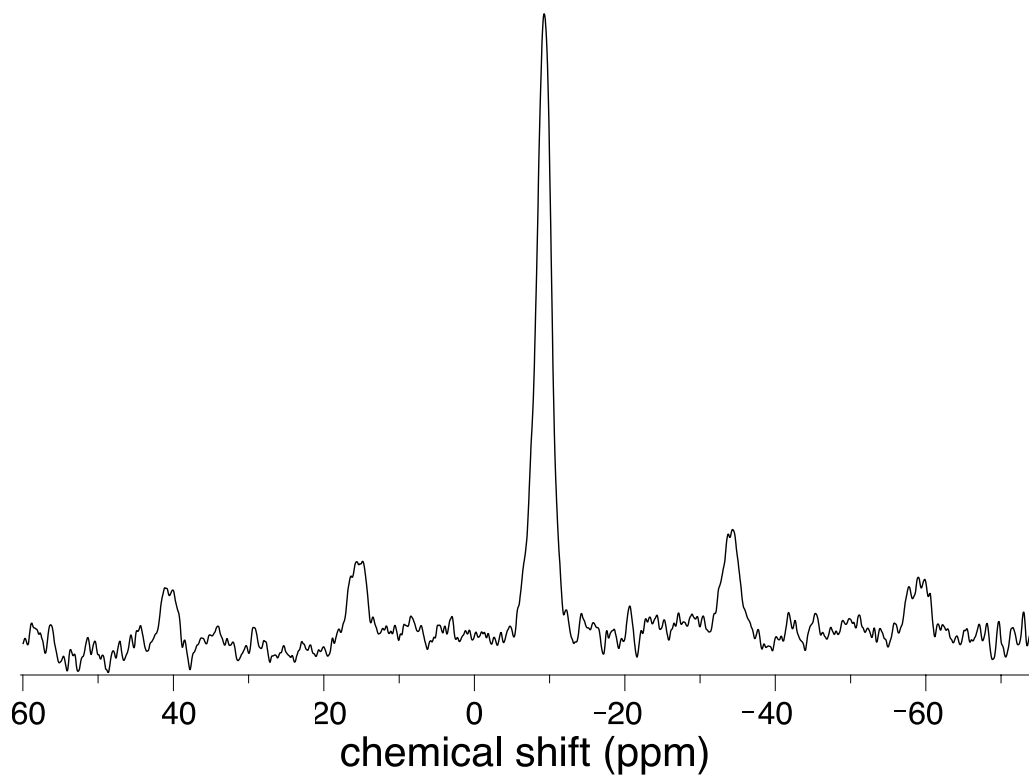
**Figure 6:**



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678 **Figure7:**

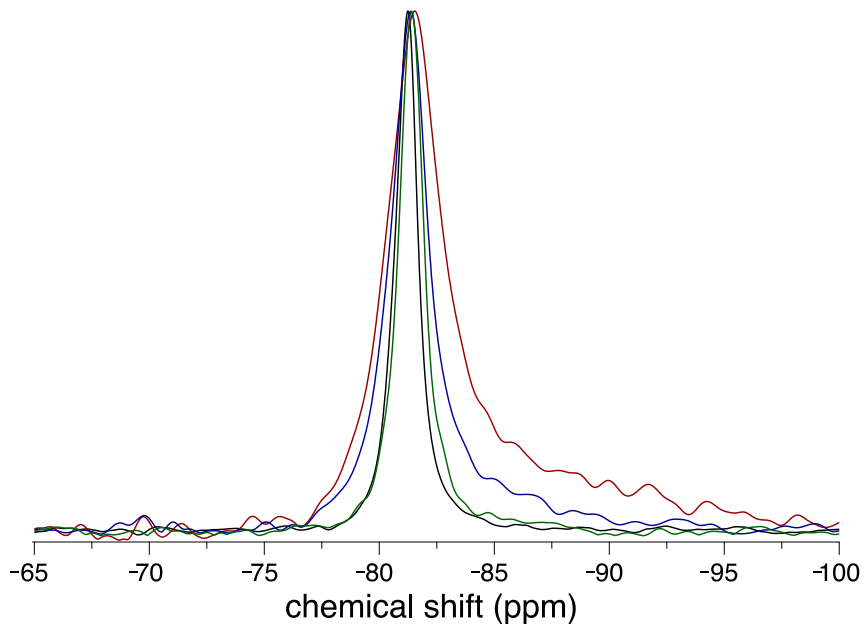


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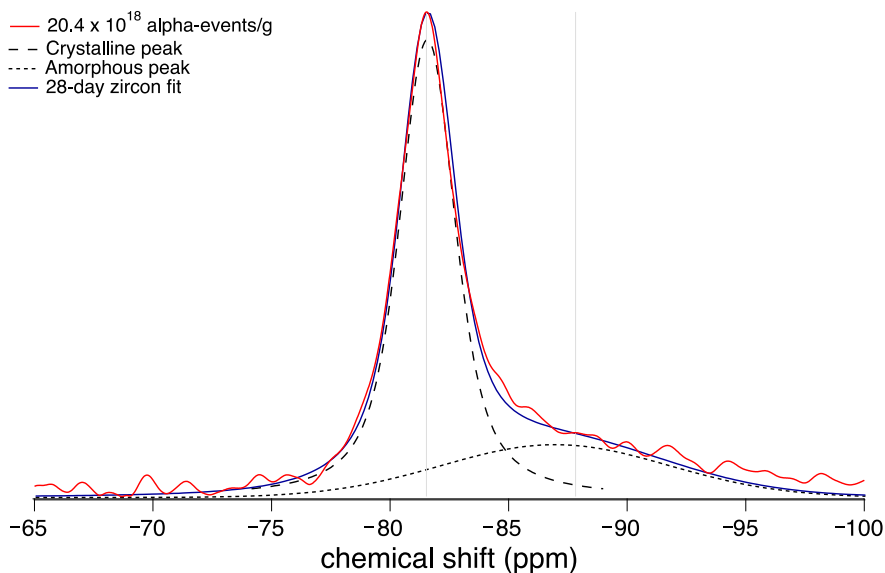
681 **Figure 8a:**



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684 **Figure 8b:**



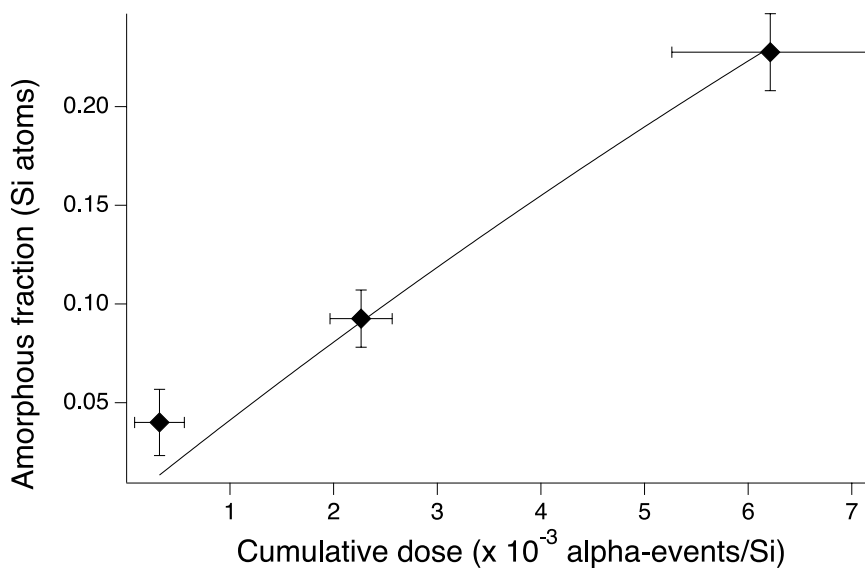
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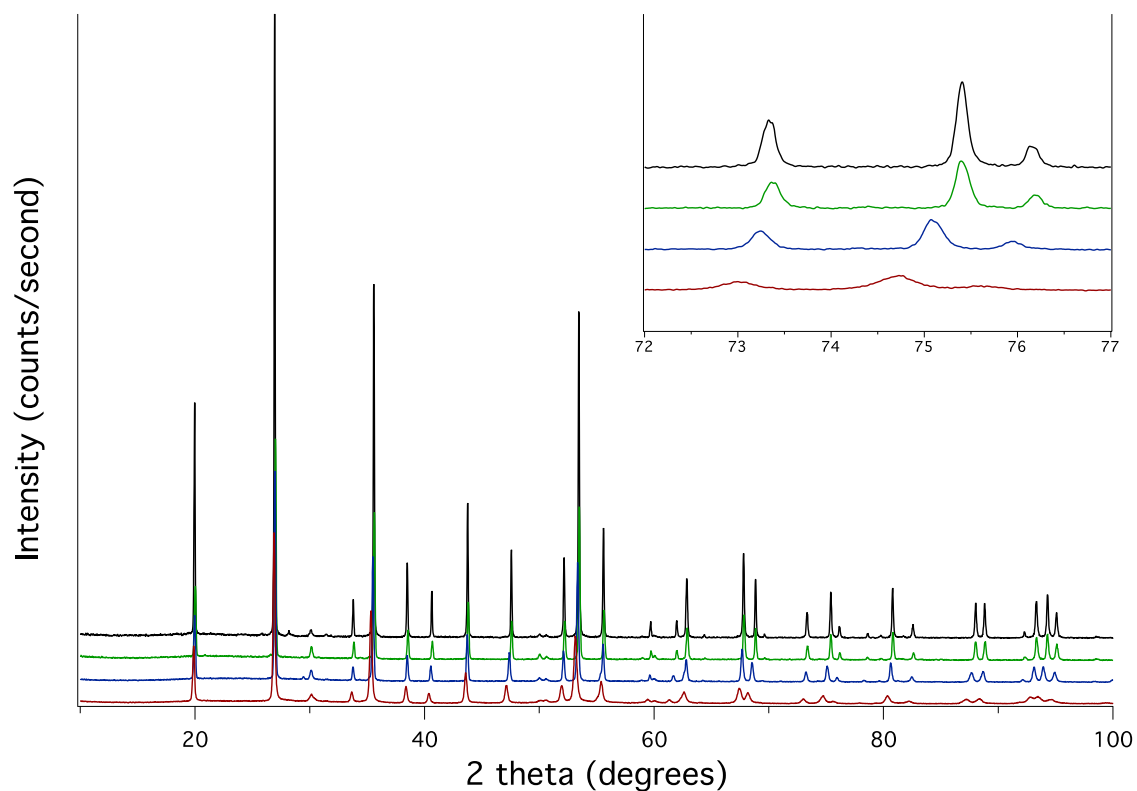
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687 **Figure 9:**



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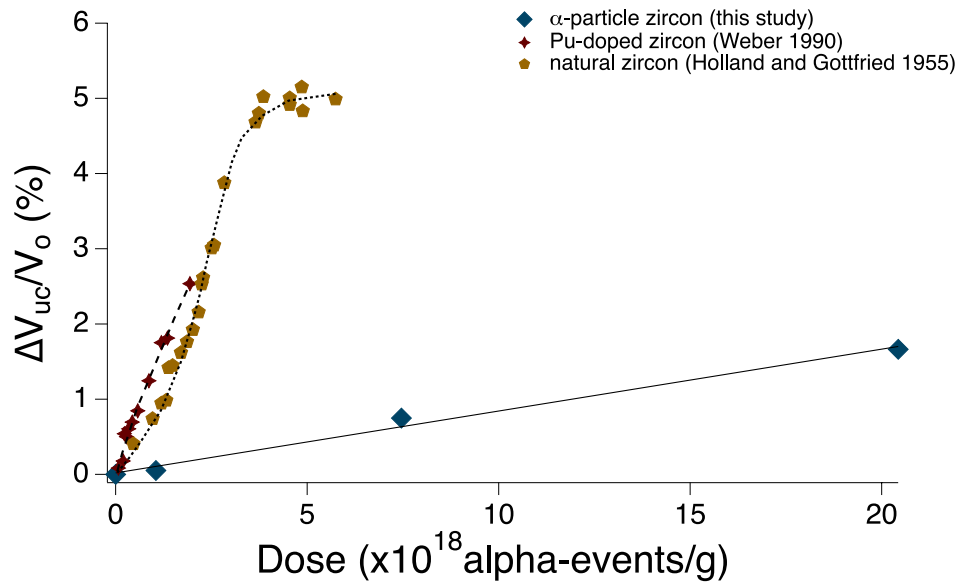
689 **Figure 10:**



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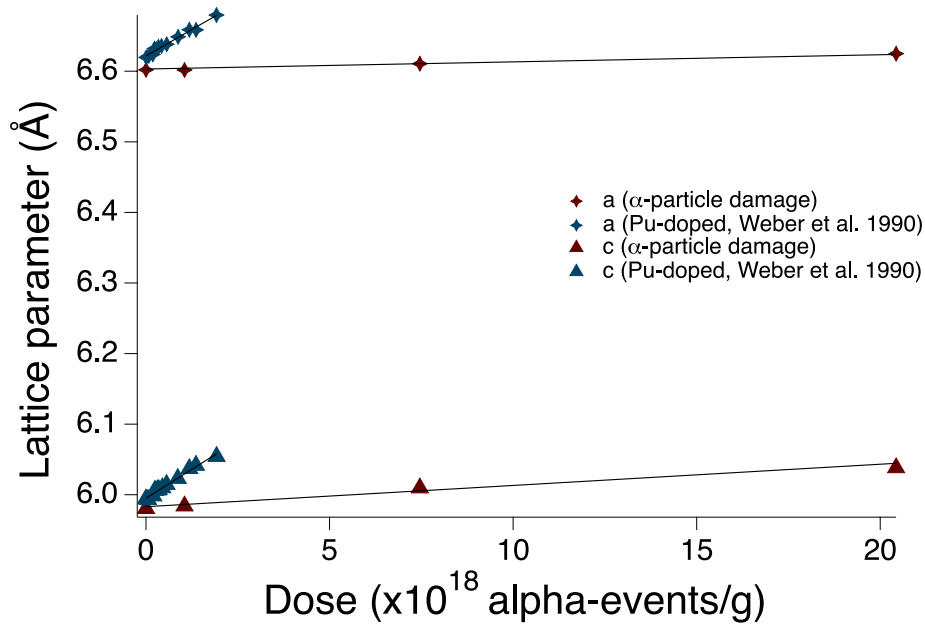
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691 **Figure 11a:**



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693 **Figure 11b:**

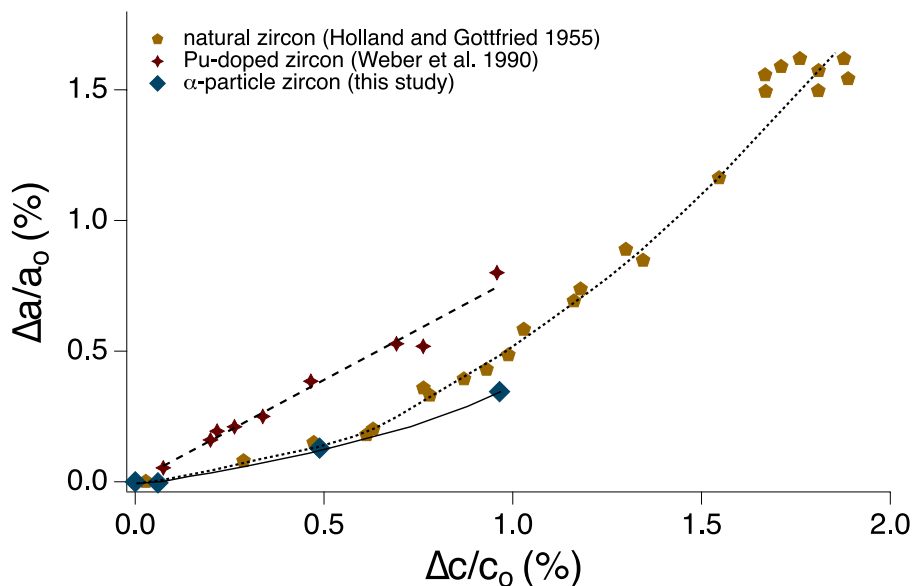


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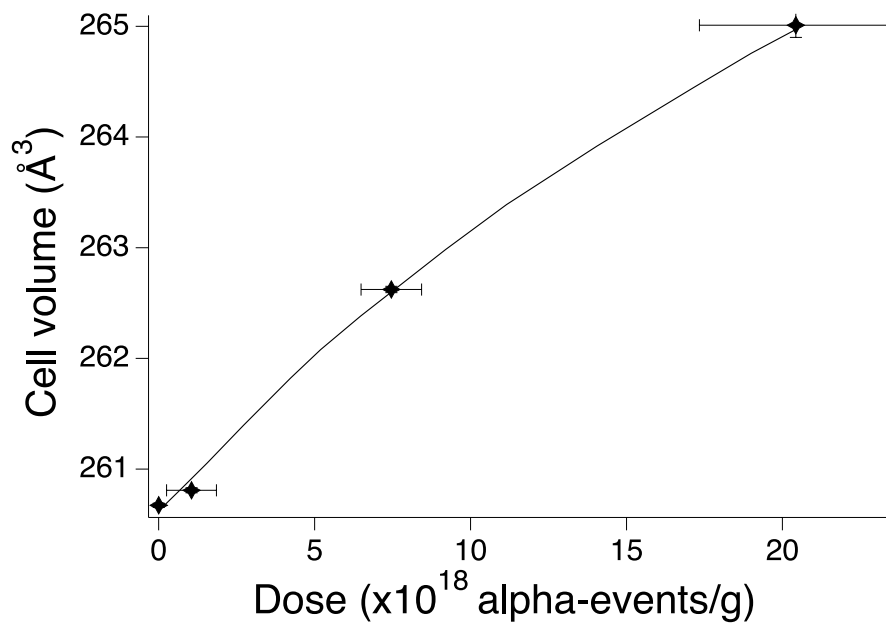
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696 **Figure 11c:**



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698 **Figure 11d:**



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