

1 **Determining hematite content from NUV/Vis/NIR spectra: Limits of detection**

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9 **Abstract**

10 Hematite occurs in a variety of geologic settings including igneous, metamorphic and  
11 sedimentary rocks as well as in soils. However, it frequently occurs at low concentrations,  
12 especially in soils, where it may be less than 1% by weight. Because hematite has the potential to  
13 be an indicator of oxidizing and climatic conditions in soils and paleosols, it is important to  
14 understand its limit of detection. In this paper we examine the limits of detection of hematite  
15 visually and with diffuse reflectance spectrophotometry (DRS) and X-ray diffraction (XRD). To  
16 accomplish this we used a sample set consisting of “knowns” or calibration samples. These  
17 known samples consisted of 15 different matrices of varying mineral composition into which  
18 hematite in 7 different concentrations ranging from 0.01% to 4% by weight were mixed.  
19 Including the 0% hematite, our calibration dataset consisted of 120 samples. Visually, hematite  
20 can be detected at a concentration of 0.01% by weight in a light matrix and 0.5% in the darkest  
21 of our matrices. However, because of metamerism, visual techniques cannot specifically identify  
22 hematite. We find that for both DRS and XRD the limit of detection is also dependent on the  
23 matrix. For XRD the limit of detection for hematite in bulk samples is about 1%. For DRS the

24 limit of detection depends on the data reduction technique used. The commonly used Kubelka-  
25 Munk remission function and its first and second derivatives can easily identify hematite at the  
26 0.5% level. However, the first derivative of the percent reflectance curve can detect hematite at  
27 0.01% by weight in a light matrix and 0.05% in a dark matrix. We suggest that the first  
28 derivative of DRS curves is the best currently available method for qualitatively detecting the  
29 mineral hematite at low concentrations found in soils, sediments and rocks.

30 Work described in this paper may be applied in a number of situations. Our study of visual  
31 limits of hematite detection should aid field geologists in assessing hematite content. Analysis of  
32 color wavelength bands may also have application in remote sensing by indicating which bands  
33 are most sensitive to hematite, reported to be an important constituent of the Martian surface.  
34 Further, this study could help clarify remotely-sensed terrestrial albedo changes, especially the  
35 Sahara/Sahel transition where the sediments change from light, quartz-dominated to dark,  
36 hematite-dominated. Our study also points out that with laboratory-based spectra the first  
37 derivative of the reflectance curve is the most sensitive transform for processing spectral data for  
38 hematite, thereby allowing concentrations as low as 0.01% to be detected.

39 Keywords (as specified by journal): OPTICAL SPECTROSCOPY: hematite, XRD DATA:  
40 hematite, NEW TECHNIQUE: first derivative transform

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42

## Introduction

43 Iron oxides and oxyhydroxies are important geological materials. They are common  
44 weathering products and are widespread in soils and rocks. Not only are they economically  
45 significant, forming one of the bases of the industrial age, but they also preserve a variety of  
46 environmental information, making them potentially important proxy monitors of past

47 conditions. Iron oxides are important in remote sensing, especially of Mars, where they are  
48 thought to be responsible for the red color (Morris et al. 1997) and have been used to suggest the  
49 presence of water (Christensen et al. 2001). They occur as iron II (wusite), iron II, III  
50 (magnetite), iron oxide III (hematite) and iron III oxide-hydroxide (goethite). Although there are  
51 numerous iron oxide and oxide-hydroxide minerals, the most common on the Earth's surface are  
52 hematite ( $\alpha$ -Fe<sub>2</sub>O<sub>3</sub>) and goethite ( $\alpha$ -FeOOH) and their polymorphs maghemite ( $\gamma$ -Fe<sub>2</sub>O<sub>3</sub>) and  
53 lepidocrocite ( $\gamma$ -FeOOH).

54 Iron oxides can be powerful coloring agents (Deaton and Balsam 1991) and are  
55 commonly used as pigments in paints and other products. The color imparted by iron oxides can  
56 be far greater than their weight concentration implies making color a rapid and accurate indicator  
57 of oxidizing conditions (Schwertmann 1987). Iron oxides in ancient soils have also been  
58 suggested as indicators of paleorainfall and temperature (Kampf and Schwertmann 1983;  
59 Schwertmann 1987; Schwertmann and Taylor 1989; Torrent and Baron 2002; Balsam et al.  
60 2004; Zhang et al. 2007). However, widespread use of iron oxides for paleoenvironmental  
61 analysis has yet to be fully realized. At least in part this is because iron oxides are usually present  
62 in low concentrations in soils, frequently 1% or less; making quantitative mineral analysis  
63 difficult (Balsam et al. 2004).

64 Typically, iron oxide mineralogy is determined by X-ray diffraction (XRD; Brown and  
65 Wood 1985). But, the lowest concentration of any particular iron oxide mineral (limit of  
66 detection) that can be identified by XRD without some enrichment procedure is about 1% by  
67 weight (Deaton and Balsam 1991) depending on the matrix composition and complexity (the  
68 matrix effect). Whereas other techniques, X-ray fluorescence (XRF) or atomic absorption  
69 spectrometry (AA) for example, can determine the concentration of elemental iron at very low

70 levels, few techniques can identify iron oxide mineralogy at low concentrations. Surprisingly  
71 some evidence (Deaton and Balsam, 1991) suggests that visually hematite can be detected at  
72 concentrations lower than with XRD, but this has not been rigorously investigated. However, the  
73 human eye is a qualitative instrument and color, the eye's perception of reflected light in the  
74 visible portion of the electromagnetic spectrum (400 – 700 nm), is the result of spectral mixing  
75 which may lead to the same color being produced by a variety of mineral mixtures, that is,  
76 metamerism. Hence, while color may provide hints about composition, it is not a unique  
77 determinant. Spectrophotometers, however, are at least as sensitive to iron oxides as the human  
78 eye and are not subject to the human eye's vagaries of spectral mixing (Deaton and Balsam,  
79 1991). But, like XRD the nature of the matrix also affects a spectrophotometer's limit of  
80 detection. Although Deaton and Balsam (1991) examined the basics of iron oxide detection by  
81 reflectance spectrophotometry, their study used a limited number of samples (24), matrices (4)  
82 and range of iron oxide concentrations (0.01 – 1%). Further, they did not examine data  
83 enhancement techniques other than first derivatives.

84       At present, diffuse reflectance spectrophotometry (DRS) is a useful qualitative technique  
85 for identifying hematite, but the method would be more useful if quantitative information could  
86 be extracted from spectra. The ultimate goal of our research is to extract weight concentration of  
87 hematite from DRS spectra. As a first step, in this paper we examine the limits of detection of  
88 hematite in a variety of matrices using the human eye (visually), XRD and with a near ultra-  
89 violet (NUV), visible (Vis) and near infrared (NIR) spectrophotometer. In the process we  
90 examine the relationship between color bands and hematite content, the sensitivity of different  
91 data transforms to varying hematite concentrations and revisit the detection limit of hematite  
92 with a modern XRD and computerized data reduction software. To accomplish this, a set of

93 calibration samples or “knowns” was prepared. The calibration samples contained hematite in 7  
94 concentrations ranging from 0.01% to 4% by weight. That is, from a concentration barely  
95 detectable with the human eye to a high soil concentration (Torrent et al. 1983). Fifteen matrices  
96 were spiked with the 7 different concentrations of hematite resulting in a total of 120 calibration  
97 samples including the matrix with 0% hematite (Table 1). In this paper, the focus is on hematite  
98 as opposed to both hematite and goethite because goethite can easily be determined by thermally  
99 oxidizing it to hematite and because hematite is a more powerful coloring agent than goethite  
100 (Zhou et al. 2010).

### 101 **Previous Work**

102 The scientific understanding of light and color goes back to Isaac Newton (1672) who  
103 demonstrated that white light could be separated into its component colors and that color is a  
104 function of light’s wavelength. However, it was Goethe (1810) who suggested that color  
105 depended not only on the wavelength of light but also the physiology of human vision. The first  
106 system based on rigorous scientific experiments and able to express color with consistent  
107 alphanumeric descriptors was that of American artist and Professor Albert H. Munsell (1905).  
108 Munsell’s color system was highly successful and was adopted by the USDA for soil research in  
109 the 1930’s. It is still important today as a means of describing color in a wide variety of materials  
110 (Laamanen et al. 2005). The Munsell color system has been challenged and to some extent  
111 supplanted by the International Commission on Illumination’s CIELAB ( $L^*a^*b^*$ ) and  
112 CIECAM02 color models. (For a thorough description of CIE color space consult  
113 <http://www.cie.co.at/main/freepubs.html>.) Both the Munsell and CIE systems allow color to be  
114 measured numerically with a colorimeter which gives more consistent results than the human eye  
115 (Torrent et al. 1983; Deaton 1987). However, both the Munsell and CIE measures are designed

116 to mimic human vision. As a result both are subject to the same limitations as human vision,  
117 metamerism. This limitation is overcome by using a reflectance spectrophotometer, a machine  
118 that records percent reflectance relative to a pure white standard. It is possible to quantify color  
119 from a sample's visible light spectrum, but, because of metamerism, the Vis spectrum may not  
120 be determined from a sample's color. Hence, in reducing a spectrum to color, information is lost  
121 (Barrón and Torrent 1986). In this paper we focus on the use of a NUV/Vis/NIR  
122 spectrophotometer to identify the mineral hematite. However, we also recognize that the eye can  
123 discern hematite at very low levels and develop some guidelines for geologists to use in the field  
124 to estimate hematite content.

125 Winters (1930, as referenced in Shields et al. 1968) was perhaps the first to use a  
126 colorimeter/spectrophotometer to analyze geological material, in this case soil. Prior to 1986  
127 spectrophotometers were used mainly as colorimeters to produce data to quantify either Munsell  
128 or CIE colors (see for example Torrent et al. 1983). With the advent of computer-controlled  
129 spectrophotometers, the recording of data became easier and more accurate. Barrón and Torrent  
130 (1986) were the first to use a spectrophotometer with digital data recording to analyze geological  
131 materials.

132 A number of techniques have been proposed to extract component concentration (weight  
133 percent) from spectral data; none succeeds under all circumstances and most fail in complex  
134 geological environments, e.g. soils. These techniques include color bands, the Kubelka-Munk  
135 remission function and the first and second derivative of this function, log transforms and first  
136 derivatives of the percent reflectance curve. Perhaps the first non-color based technique used to  
137 unmix spectra and one still widely used today is the Kubelka-Munk (K-M) remission function  
138 (Kubelka and Munk 1931; Kubelka 1948). The equation for the remission function is (Eq. 1):

139

$$140 \quad f(R) = \frac{(1-R)^2}{2R} = \frac{k}{s} \quad (\text{Eq. 1})$$

141

142 where:

143  $R = 0.01$  times the percent reflectance at a specific wavelength

144  $k$  = molar absorption coefficient

145  $s$  = the scattering coefficient

146 Log transforms of spectral data are popular in some industries (Hsu 1997). The most  
147 common log transform is the log (1/R), where R is reflectance. However, according to (Clark and  
148 Roush 1984; Clark 1999) this is less robust than the older Kubelka-Munk function. Log  
149 transforms will not be considered further in this study.

150 To our knowledge the first publication to suggest the use of the first derivative of the  
151 percent reflectance curve to identify hematite was Barranco et al. (1989) who also demonstrated  
152 that the wavelength position of the first derivative peak for hematite is a function of  
153 concentration and the peak wavelength gets longer as the concentration increases. Balsam and  
154 Deaton (1991) confirmed this observation and noted that, although hematite exhibits several  
155 absorption bands in the Vis and NIR, only the Vis absorption band [the result of an electron pair  
156 transition (Scheinost et al. 1998)] persists when the hematite concentration falls below 2%  
157 (Balsam and Deaton 1991). The first derivative peak for the Vis absorption band appears unique  
158 to hematite. We know of no common minerals that show a peak at this wavelength.

159 Deaton and Balsam (1991) published the first truly systematic investigation of the limits of  
160 detection of different concentrations of hematite and goethite in a variety of matrices by diffuse  
161 reflectance spectrophotometry. They used the first derivative to identify hematite and goethite  
162 both of which they investigated in concentrations from 0.01% to 1% by weight and in four

163 different matrices. [Table 1 in Deaton and Balsam (1991) contains details of the simulated  
164 matrices]. From these systematic experiments two characteristics of Vis spectra with hematite  
165 and goethite were evident. First, if matrix composition was held constant the height of the first  
166 derivative peak was a good indicator of either hematite or goethite concentration. [Note, Balsam  
167 and Deaton (1991) indicated that at some concentration above 1% the first derivative peak height  
168 for hematite decreases and is accompanied by an increase in the wavelength of the peak.]  
169 Second, as matrices become darker and possibly more complex, the height of first derivative  
170 peaks for identical concentrations of hematite is reduced. Taking these two observations into  
171 account Deaton and Balsam demonstrated that even under the most difficult circumstances it is  
172 possible to detect hematite at a weight concentration of 0.03% and goethite at 0.05% by weight.  
173 The matrix effect in hematite spectra was first noted by Morris and Lauer (1990), although they  
174 used matrices not typical of mixtures found on Earth.

175 Scheinost et al. (1998) applied the second derivative of the K-M function to soil samples,  
176 and noted that the minimum concentration of hematite they could easily detect was about 0.5%.  
177 They did not systematically test different concentrations of hematite in a variety of geological  
178 matrices. Nevertheless, they did provide a theoretical basis for the use of derivatives to identify  
179 different iron oxides, especially hematite and goethite, from reflectance spectra.

## 180 **Materials and Methods**

### 181 **Materials**

182 To assess if weight percent hematite can be determined from NUV/Vis/NIR spectra, a data  
183 set of 120 samples (Table 1) with known concentrations of hematite in varying matrices was  
184 created with a combination of manufactured and natural materials (Table 2). Manufactured  
185 materials were used for hematite, calcium carbonate and silica; natural materials were used for



186 illite, chlorite, kaolinite and montmorillonite. Manufactured materials have the advantage of  
187 having a known composition and consistent physical characteristics with no contaminants. All  
188 the manufactured materials were micron or sub-micron in size. For hematite the color is  
189 indicative of the grain size. Only hematite crystals  $< \sim 0.1$  microns are red in color (Cornell and  
190 Schwertmann 2003); coarser crystals  $\sim 0.4$  microns are purple and those  $> \sim 0.5$  microns are gray  
191 or black. The dominant color of hematite in terrestrial soils and rocks is red suggesting most  
192 natural hematite is also sub-micron size. Because reflectance spectrophotometry does not depend  
193 on reflections from crystal planes, small crystal size is not a problem for DRS as it can be for  
194 XRD. All our clay mineral samples, which are natural materials, might better represent what is  
195 found in soils and sediments. But, they typically have a range of compositions and are rarely  
196 pure. That is, natural materials, even our clay mineral standards, include a variety of other  
197 minerals. All our clay mineral standards were pulverized in a ShatterBox® swing mill to a grain  
198 size of about 10 microns ([http://www.spexsampleprep.com/products\\_by\\_category.aspx?cat=5](http://www.spexsampleprep.com/products_by_category.aspx?cat=5)).

199 Hematite concentrations included 0.0, 0.01, 0.05, 0.1, 0.5, 1, 2 and 4 percent by weight.  
200 These hematite concentrations encompass the range found under natural conditions in many soils  
201 and paleosols (Balsam et al, 2004). We used 5 base matrices into which different minerals were  
202 added for a total of 15 different matrices (Table 1). These matrices ranged from light ones based  
203 on calcium carbonate or silica and darker matrices that included illite and chlorite. The base  
204 matrices included calcium carbonate, silica, carbonate and silica mixed 1:1, a matrix designed to  
205 resemble North Atlantic deep-sea sediment and a matrix that simulates Gulf of Mexico sediment.  
206 Carbonate and silica are typical of sediments found on the Earth's surface with carbonate being  
207 the basis of soil developed on limestone terrains and silica being typical of terrain formed on  
208 both igneous rocks and clastic sedimentary rocks. Silica is also typical of some desert sediments,

209 especially the Sahara, and the investigation of silica with varying concentrations of hematite  
210 might be useful in modeling albedo changes from the Sahara (white, quartz-rich) to the Sahel  
211 (red, hematite-rich). The simulated North Atlantic matrix contains silica, carbonate, and illite in  
212 the ratio 4/3/3. To this simulated North Atlantic matrix we add 1, 5 and 15% chlorite. The Gulf  
213 matrix contains carbonate, silica, and illite in the ratio 5/3/2. To the Gulf matrix we added 1, 5  
214 and 15% kaolinite and 1, 5 and 15% montmorillonite. We chose to model North Atlantic and  
215 Gulf of Mexico sediments because the North Atlantic receives sediments that have undergone  
216 substantial physical weathering whereas the Gulf of Mexico receives sediments that have been  
217 subjected to significant chemical weathering. Our mineral ratios are based on work by Biscaye  
218 (1965) and Griffen (1962) which provided the clay mineralogy of North Atlantic and Gulf of  
219 Mexico sediments, respectively.

220 It is important to note that none of our calibration samples include organic matter. As noted  
221 by Balsam and Damuth (2000), characterizing organic material spectrally is difficult because the  
222 composition and color of organic material is highly variable. The precise pattern produced by  
223 organic matter is a function of the type of organic material, especially how refractory the organic  
224 matter is, and its concentration. Hence few generalizations about organic material are possible.  
225 However, Balsam and Wolhart (1993) and Deaton and Balsam (1996) noted that as organic  
226 material gets darker it seems to conceal the signal of iron oxides by causing absorption through  
227 much of the Vis.

## 228 **Methods**

229 **Visual Detection.** The visual detection limit of hematite in our various matrices was tested  
230 by assembling a set of 20 samples ranging in hematite content from 0 to 0.5% and with matrices  
231 that were both light and dark. (All samples with more than 0.5% hematite were sufficiently red

232 that there was no reason to test them.) The samples were placed in clear plastic vials randomly  
233 numbered from 1 to 20. Volunteers including geology students, artists and average citizens were  
234 asked to rate the degree of redness from none to slight to moderate to intense. Samples were  
235 drawn one at a time from an opaque cloth bag, the degree of redness was determined through the  
236 plastic vial in sunlight at various times of day, and the sample placed in a different opaque cloth  
237 bag before another sample was analyzed. This procedure was followed until the degree of  
238 redness of all 20 samples was determined.

239 **Sample Preparation.** The powdered samples were mixed by grinding in a synthetic  
240 sapphire mortar and pestle. To ensure that components were sufficiently dispersed, a subset of  
241 samples was subjected to a milling step in a McCrone micronizing mill for 10 minutes with  
242 methanol. Reflectance spectra from ground and micronized samples were similar suggesting that  
243 grinding adequately dispersed all sample components. The chief difference between the samples  
244 was that the micronized samples tended to be slightly (~3%) lighter from 350 – 550 nm. For  
245 wavelengths longer than 550 nm the curves were within <1% of each other.

246 After grinding the samples were placed on glass slides, made into a slurry with distilled  
247 water and allowed to dry at room temperature. The sediment on the resulting slides was thick  
248 enough that light could not pass through, that is, the slides were opaque, and looked like thick  
249 XRD slides (Figure 1 a and b). As noted by Balsam and Deaton (1991) grinding samples not  
250 only enhances the ability to make slides, but also diminishes any grain size and surface  
251 roughness effects.

252 **X-ray Diffraction.** To provide a standard technique for the measurement of hematite  
253 concentration for comparison with spectrophotometry, XRD was used on five powder samples.  
254 These samples contained 0, 0.01%, 0.1%, 1.0%, and 4.0% Fe<sub>2</sub>O<sub>3</sub> by weight in the Gulf matrix

255 with 1% kaolinite. Each sample was mixed with 10% ZnO and a slurry of solid + iso-propyl  
256 alcohol was produced. ZnO was added as a reference standard to assess shifts in diffraction peak  
257 position. Each slurry was then ground in a McCrone micronizing mill at the University of  
258 Vermont for 10 minutes. Wet milling in methanol using a McCrone micronizing mill is a widely  
259 applied technique for quantitative XRD analysis (Moore and Reynolds 1997). The method has  
260 been proven to produce a narrow, nominally <10  $\mu\text{m}$ , particle size distribution (O'Connor and  
261 Chang 1986; Hillier 2003; Dermatas et al. 2007) while only introducing a minimum of structural  
262 damage. Since these powders were prepared from manufactured and natural materials, the  
263 milling step was primarily used to ensure heterogeneity within the powders. Samples were dried  
264 and loaded in a powder XRD sample holder via a backpacking method to minimize preferred  
265 orientations in the packed-powder bed.

266 X-ray spectra were obtained using a Bruker D8-Focus diffractometer at Dartmouth  
267 College. The powdered samples were run from 2 to 70 degrees two theta at 40 KV and 40 Ma  
268 (0.01 degree step increments at 2 seconds/step). The X-ray data were analyzed using Bruker  
269 EVA pattern processing software.

270 **Reflectance Spectrophotometry.** Sample slides were analyzed in a Perkin-Elmer Lambda  
271 6 NUV/Vis/NIR spectrophotometer with a diffuse reflectance sphere. This instrument records  
272 percent reflectance as a function of wavelength from 250 – 850 nm relative to a white, barium  
273 sulfate standard. Samples were analyzed using a slit width of 2 nm and scanned at a rate of 600  
274 nm/min. Total analysis time per sample was 2 to 3 min. Data were collected at 1nm intervals and  
275 written directly to a computer file. As reported by Balsam et al. (2011), data from the Lambda 6  
276 are reproducible to +/-1%.

277 Diffuse reflectance spectrophotometry has many advantages compared to visual methods of  
278 mineral identification, that is, color. Spectrophotometers are not affected by metamerism and,  
279 unlike the vagaries of human perception of reflected light, they are consistent and precise.  
280 Further, with laboratory-based spectrophotometers the wavelength of the light source can be  
281 precisely controlled and, with the reflectance spheres used in DRS, the angle of the light  
282 reflected from a sample is not an issue. However, spectrophotometers are limited in a number of  
283 ways. All spectrophotometers produce results that are reflectance value as a function of  
284 wavelength. Individual values are highly dependent on surrounding values resulting in data with  
285 strong serial correlations that must be taken into account in statistical hypothesis tests. Further, as  
286 the spectrophotometer scans a sample's surface, what it measures is more a function of percent  
287 of surface area than weight. In practical terms, this means that materials with a high density  
288 might be more difficult to identify than materials with a low density. Where density plays a  
289 major role is with organic material that in soils commonly has a very low density [ $<0.9 - 1.3$ ,  
290 (Pilatti et al. 2006)] compared to mineral components (frequently  $2.6 - 2.75$ ). This means that a  
291 small weight percent organic material can have a major impact on the character of a spectrum by  
292 dominating the surface area (Balsam and Wolhart 1993).

293 **Spectral Data Reduction.** DRS data were processed in several ways. The first parameter  
294 calculated was optical lightness, also called luminance ( $L^*$ ), grayscale, albedo, or average  
295 reflectance (see Balsam et al. 1999 for details). Percent reflectance in each of the color bands  
296 following the color divisions of Judd and Wyszecki (1975) was also calculated. For example, for  
297 the red color band, the percent reflectance values were summed from 630 to 700 nm, divided by  
298 the optical lightness and multiplied by 100.

299 We also calculated changes in the slope of the percent reflectance curve by taking the first  
300 derivatives of the reflectance data as percent per nanometer and recorded the wavelength as the  
301 mid-point of the 10nm calculation interval. First derivatives, as shown below, are more amenable  
302 to interpretation than the untransformed reflectance spectra; the first derivative curves contain  
303 more peaks compared with reflectance curves which tend to be smoother and change more  
304 gradually. Barranco et al. (1989), Deaton and Balsam (1991), Balsam and Deaton (1991), and  
305 Balsam and Damuth (2000) have demonstrated that the position of peaks and valleys on the first  
306 derivative curves are indicative of sediment composition and mineralogy. Finally we determined  
307 the K-M function for the reflectance spectra and calculated the first and second derivative of that  
308 function. Scheinost et al, (1998) indicate that the derivatives of the K-M function are useful for  
309 quantifying hematite and goethite content.

## 310 **Results**

### 311 **Visual Results**

312 One purpose of this study is to document the sensitivity of the human eye with respect to  
313 hematite in varying matrices. Although Clark (1999) indicates the eye acts as a crude  
314 spectrophotometer, there has been little work that systematically demonstrates its sensitivity to  
315 different minerals under controlled conditions. Further, as noted above, the analogy to a  
316 spectrophotometer is imperfect because the eye records only red, green and blue and not  
317 reflectance at specific wavelengths as a spectrophotometer does. Despite metamerism, we note  
318 that for soils and sediments, hematite is by far the most common mineral capable of producing a  
319 red color or cast (A. Alden [http://geology.about.com/od/mineral\\_ident/tp/Red-Pink-  
320 Minerals.htm](http://geology.about.com/od/mineral_ident/tp/Red-Pink-Minerals.htm)). Hence, a red color in geological material and particularly in soils likely correlates  
321 with the presence of hematite.

322 Results of the visual discrimination of hematite are shown on Table 3. This table illustrates  
323 both the strengths and weaknesses of human vision. In calcium carbonate or carbonate/silica –  
324 hematite was easily distinguished by eye at very low levels, ~ 0.01% by weight. For calcium  
325 carbonate 95.8% of the participants identified 0.01% hematite whereas for carbonate/silica  
326 62.5% of the participants identified 0.01% hematite. Other results exhibit the vagaries of human  
327 vision with inexplicably only 95.8% of participants identifying redness in 0.1% hematite and  
328 carbonate and some participants seeing red where no hematite was present in carbonate/silica,  
329 North Atlantic and Gulf matrices. For the Gulf matrix, hematite is consistently observable at a  
330 concentration of 0.1%; for the North Atlantic matrix the hematite is not consistently observable  
331 until it reaches a concentration of 0.5%.

332 Clearly hematite is more easily distinguished in light matrices (carbonate or  
333 carbonate/silica) than in the darker matrices. Of these light matrices, hematite is clearly more  
334 evident in calcium carbonate than in carbonate/silica or silica. Despite both calcite and silica  
335 being very white, and their average albedo similar - about 90% for carbonate and 87% for silica -  
336 hematite is clearly more evident in carbonate. Further, at higher percentages hematite has an  
337 orange cast in the silica matrix compared to the typical brick red seen in calcite. A matrix  
338 consisting of a 1:1 mixture of carbonate and silica produces results in between the two pure  
339 matrices and the color at higher percentages has a slight orange cast (Figure 1a). These  
340 observations suggest that in terms of color, the mixing of minerals is a complex process likely  
341 involving differences in refractive indices as well as ability to transmit light (Morris and Lauer  
342 1990).

343 As illite is added to this 1:1 carbonate/silica matrix the limit of detection of hematite  
344 increases; for 50% illite the detection limit is ~0.1% (Table 3, Figure 1a). This change in

345 detection limit is paralleled by a change in sample darkness; 1:1 carbonate/silica with no  
346 hematite has an albedo of 87%; for 50% illite the albedo decreases to 35%. For a matrix  
347 containing 15% chlorite the detection limit falls even further to 0.5% (Table 3, Figure 1b).  
348 However, hematite itself also significantly darkens a sample; as hematite concentration increases  
349 samples get darker. Hence, dark samples of similar reflectivity may be produced by either a dark  
350 matrix, increased hematite or both. It must be emphasized that many of the color changes noted  
351 above are subtle and, were it not for the series of samples presented here, might not be as easily  
352 distinguished.

### 353 **XRD Results**

354 The X-ray diffractometer technique is well-documented for iron oxides (Bigham et al. 1978), but  
355 the limits of detection in different matrices (e.g., soils and sediments) are not rigorously defined.  
356 Interpretation of diffractograms from mixtures with many minerals is not straightforward since  
357 they contain the diffraction peaks for the mineral matrix and hematite. We show a representative  
358 portion of a diffraction pattern (Figure 2) for a synthesized deep-sea sediment matrix that is  
359 moderately complex and of average albedo, Gulf with 1% kaolinite (Table 1) containing 1%  
360 hematite. At a concentration of 4% by weight, hematite is unequivocally identifiable (not  
361 shown). However, the hematite peaks are barely visible at a concentration of 1% (Figure 2) and  
362 are not present at 0.1% concentration (not shown). At 1% concentration, although the peaks  
363 indicative of hematite are present, without an *a priori* knowledge they probably would not be  
364 interpreted as indicative of hematite.

### 365 **Diffuse Reflectance Spectrophotometry Results**

366 As indicated above, many transforms have been used to extract compositional information from  
367 spectra. We first examine the nature of percent reflectance spectral curves (raw data) of samples



368 containing hematite and then assess if a single transform can accurately represent hematite  
369 concentration in varying matrices. We limit this investigation to commonly used single variables  
370 or ratios of single variables. Variables to be investigated include color bands and their ratios, first  
371 derivatives, Kubelka-Munk remission function, and the first and second derivative of the K-M  
372 function.

373 **Raw NUV/Vis/NIR Spectral Data.** A number of features are obvious from the raw  
374 reflectance curves. We use examples of a light matrix, calcite plus silica, and a dark matrix,  
375 North Atlantic plus 15% chlorite (Figures 1a and b), but results from matrices of comparable  
376 lightness are similar. For both the light matrix and the dark matrix it is clear that hematite  
377 produces a characteristic reflectance change between 550 and 600 nm. In the light matrix this  
378 change is evident even with as little as 0.01% hematite (Figure 3 a). Clearly the  
379 spectrophotometer is capable of detecting hematite at concentrations as low as the human eye.  
380 For the darker matrix, 0.01% hematite simply darkens the sample without the distinctive  
381 decrease from 550 -600 nm. The hematite signal in this darker matrix becomes evident at values  
382 >0.01% and is fully apparent at 0.5% by weight, about the same hematite concentration the eye  
383 can distinguish in this matrix (Figure 3 b).

384 In addition to the change in reflectance between 550 and 600 nm, for each matrix there is a  
385 predictable pattern of change. In each matrix set, as hematite concentration increases the samples  
386 get darker (lower overall reflectance) and the decrease from 550 -600 nm becomes more  
387 pronounced (Figure 3). This pattern is repeated in every matrix set evaluated. There is also a  
388 tendency for the change in reflectance from 550 -600nm to be offset to longer wavelengths as the  
389 hematite concentration increases.

390 All these variations corroborate the view of Deaton and Balsam (1991) and Ji et al. (2002)  
391 that if matrix is held constant it is possible to estimate hematite content from the Vis spectrum.  
392 However, these curves also indicate that changes in matrix composition might make it difficult to  
393 estimate hematite concentration when the matrix composition varies.

394 **Color Bands.** Perhaps the most straightforward method of reducing spectral data is through  
395 the use of color bands. Color bands and the ratio of color bands are widely used to interpret data  
396 from space-borne spectrophotometers with experience determining how each band can be used  
397 for identifying specific conditions ([http://landsat.usgs.gov/best\\_spectral\\_bands\\_to\\_use.php](http://landsat.usgs.gov/best_spectral_bands_to_use.php)).  
398 Given the color of hematite, percent reflectance in the red color band could be a potentially  
399 useful variable. However, color bands are difficult to test for limits of detection because any  
400 substance that changes the spectral curve will alter the percent in a color band. Instead of  
401 actually testing the limit of detection, we examine the relationship between color band and  
402 percent hematite. To assess red color band reflectance as an indicator of hematite, we plotted  
403 %hematite vs. %reflectance in the red color band (Figure 4) for the 7 matrix sets shown in Figure  
404 1a and b. The resulting plots indicate that the relationship between % hematite and %red within  
405 each matrix set is an exponential growth curve (Figure 4a). But, for different matrix sets the  
406 curves differ significantly. The curves also show that as hematite concentration increases %red  
407 values for the different matrix sets show significant variation. As a result a %red value of ~38%  
408 could be indicative of hematite values from 1 – 4% (Figure 4b). For each individual matrix set  
409 (Figure 4a), however, the curves are remarkably consistent with all the illustrated curves having  
410 an  $r^2 > 0.99$  and a standard error of estimate (SEE)  $< 0.095$ . Clearly, if the matrix is held constant  
411 it would be possible to accurately estimate hematite content from the percent in the red color  
412 band. However, when all 120 test samples are grouped into a single data set, the  $r^2$  falls to 0.822

413 and the SEE increases to +/-0.559 (Figure 4b). Although the  $r^2$  is relatively high, an SEE of +/-  
414 0.559 indicates that it would be difficult to detect hematite confidently at concentrations  $< \sim 0.5\%$ ,  
415 making the equation unusable for many soils and sediments. Of all the color bands, percent in the  
416 orange color band (Figure 4c), has the highest  $r^2$  and lowest SEE when regressed against  
417 hematite concentration.

418 **First Derivative Values.** Our data confirm that hematite produces a very distinctive first  
419 derivative signature. This signature is a peak in the first derivative curve between 555 and 585  
420 nm. In both of the mixture series (Figure 5) this peak is evident and confirms that in light  
421 matrices (calcite and silica, Figure 5a) the limit of detection of hematite with first derivative  
422 values is  $< 0.01\%$  by weight. However, in darker matrices, the North Atlantic with 15% chlorite  
423 for example (Figure 5b), the detection limit of hematite is 0.05%, a concentration that is lower  
424 than can be resolved by the human eye.

425 The mixture series (Figure 5) clearly shows the matrix effect on reflectance spectra. Part of  
426 the matrix effect is to reduce the limit of detection in darker matrices as described above. In  
427 addition, the matrix effect also suppresses the height of the hematite first derivative peak. In a  
428 light matrix (Figure 5a) the first derivative peak has a maximum value of about 0.9 whereas in  
429 darker matrices that value is reduced to about 0.6 (Figure 5b). A darker matrix also completely  
430 suppresses a peak at about 700 nm and an absorption feature centered at  $< 800$  nm (Figure 5). As  
431 shown by Balsam and Deaton (1991) this absorption band, which is frequently used to identify  
432 hematite, completely disappears when hematite concentration falls below 2%. This paper further  
433 refines the above observation and demonstrates that for dark matrices the absorption band  
434 described in Figure 2 of Balsam and Deaton (1991) is not present. In addition, as hematite  
435 concentration increases the wavelength of the first derivative peak also increases. In a light

436 matrix the wavelength increases from 565 nm for 0.01% to 585 nm for 4.0% hematite whereas in  
437 a dark matrix it increases from 555 nm for 0.05% to 575 nm for 4% hematite.

438 **Kubelka-Munk Remission Function.** Following color bands, the Kubelka-Munk  
439 remission function is probably the most commonly used transform of spectral data. Although it  
440 has been applied to geological data, its sensitivity to diverse minerals has never been rigorously  
441 tested in data sets of different matrices with varying amounts of hematite. Figure 6 shows how  
442 the K-M function transforms the raw spectral data (%reflectance as a function of wavelength,  
443 Figure 3). As with the percent reflectance data (Figure 3), it is clear that hematite affects the K-  
444 M spectrum, especially from 500 – 600 nm. The K-M function compresses the curves at the red  
445 end of the spectrum and expands, reverses (light samples with 0% hematite have lower values  
446 and as hematite is added and samples get darker the values increase) and spreads out values at  
447 the violet end of the spectrum. K-M values in the light matrix (Figure 6a) indicate a potential  
448 problem; the 4 curves with hematite values ranging from 0 – 0.1% are virtually identical.  
449 However, for the dark matrix the values are more spread out at the violet end of the spectrum  
450 (Figure 6b).

451 Geological researchers generally do not use untransformed K-M values; rather either first  
452 or second derivative values are used. Figure 7 shows the first derivative values for the light and  
453 dark matrices. The first derivative of the K-M function exhibits patterns similar to the first  
454 derivative of the %reflectance curves with one important exception, the limit of detection for  
455 both the matrices increases to between 0.1% and 0.5%. (In both matrices the peak at 0.1% is so  
456 small that it is likely to be overlooked and 0.5% appears a more realistic detection limit.) For  
457 both matrices the limit of detection using the K-M function is at least 10 times greater than the  
458 amount that can be identified with the first derivative (Figure 5). Scheinost et al. (1998)

459 suggested that 0.5% is the lowest concentration of hematite that can be easily identified using the  
460 K-M function. Our work strengthens this conclusion. However, we cannot rule out the possibility  
461 that in some matrices the detection limit is lower, possibly only slightly  $>0.1\%$  by weight.

462 Several researchers (Scheinost et al. 1998; and Torrent et al. 2007) have suggested that the  
463 second derivative of the K-M function provides useful data to characterize iron oxides from DRS  
464 spectra. Our data show that in both light and dark matrices the second derivative of the K-M  
465 function (Figure 8) has the same limit of detection as the K-M first derivative, that is, about  
466 0.5%. Scheinost et al. (1998) also suggested that the ratio of the amplitude difference between  
467 the  $\sim 415$  nm minimum and the  $\sim 445$  nm maximum for goethite and the  $\sim 535$  nm minimum and  
468  $\sim 580$  nm maximum for hematite is a good measure of the goethite to hematite ratio. The  
469 detection limit also applies to the amplitude of the difference from 535 – 580 nm which  
470 approaches 0 for hematite values less than 0.5%. Although this paper does not address the  
471 mineral goethite, Deaton and Balsam (1991) indicate that in dark matrices the detection limit for  
472 goethite is about 0.5%. Clearly, when using ratios of the K-M second derivatives to determine  
473 hematite to goethite ratios one must be careful to insure that the values are above the detection  
474 limit.

## 475 Discussion

476 For the field geologist and soil scientist this paper provides a method to assess fine-grained (sub-  
477 micron) hematite content visually. The detection limit of hematite by eye varies with the color,  
478 lightness and mineralogy of the matrix (Figures 1, 5). In light matrices such as quartz or calcite,  
479 hematite can be detected visually at concentrations as low as 0.01%. The addition of light  
480 minerals such as kaolinite and montmorillonite in a light matrix has little additional effect on the  
481 hematite detection limit. However, as the matrix darkens because of the addition of dark minerals

482 such as illite or chlorite, the detection limit increases reaching 0.1% to 0.5% by weight. The  
483 transition from light to dark matrices is also marked by a change in the character of the red color  
484 from intense and vibrant to muddy (Figure 9). Given some *a priori* knowledge of rock or  
485 sediment mineralogy field geologists and soil scientists should be able to use color to make a  
486 reasonable estimate of hematite concentration.

487 In addition to altering the detection limit, different minerals also change the color of the  
488 sample for the same concentration of hematite. With carbonate and silica matrices, despite the  
489 fact that both are very light, the hematite visually is more obvious in the carbonate matrix than in  
490 the silica matrix and the color of the samples in each of these white matrices differs with the  
491 silica samples being somewhat lighter and less saturated for a corresponding hematite  
492 concentration. Further, mixtures with chlorite and especially with high concentrations of illite  
493 tend to give the samples a decidedly brown cast. Hence, a reddish brown color and its  
494 Munsell/CIE equivalent is not just the result of different iron oxide minerals as indicated by  
495 Torrent et al (1983), but also the matrix in which they are found.

496 This change in color with matrix is well illustrated by our samples that contain 0.1%  
497 hematite (Figure 9). These samples range from red-orange to pink to various shades of brownish-  
498 red. Clearly, in samples with different matrices there is no consistent relationship between color  
499 and hematite content suggesting that Munsell or CIE color is not useful for identifying hematite  
500 concentration. Some efforts have been made to quantify color using the Munsell and CIE color  
501 systems. The seminal work with respect to hematite is that of Torrent et al. (1983) who first used  
502 data from spectrophotometers to calculate numerical color parameters based on tristimulus  
503 values which indicate the relative spectral sensitivities of the three types (R, G, B) of cone cells.  
504 They demonstrated a high degree of correlation ( $r^2$ ) between numerical color parameters and

505 hematite content in specific soil types. However, they also demonstrated that when the soil type  
506 changed (that is matrix composition changed) a different regression equation was required or the  
507 correlation was significantly reduced. Hence, based on their data, it appears that a single  
508 equation is capable of estimating hematite content only if sample matrices are mineralogically  
509 similar. This view is also supported by our plot of percent red vs. hematite content (Figure 4),  
510 indicated by the plots of Deaton and Balsam (1991) and the data in this paper. Ji et al (2002)  
511 have since used the observation that if matrix is held constant, then the effect of changing  
512 hematite concentration is consistent and predictable. They showed that by chemically removing  
513 iron oxides from a natural matrix and then spiking that matrix with known concentrations of  
514 hematite and goethite it was possible to write equations based on DRS spectra that could  
515 accurately estimate hematite and goethite content in similar matrices.

516         Although there are significant differences in the data gathered by satellite-borne  
517 spectrophotometers and our laboratory instrument, bi-directional reflectance vs. diffuse  
518 reflectance and a significant thickness of atmosphere for satellites, the comprehensive nature of  
519 the dataset used in this study has the potential to be useful to those engaged in remote sensing. In  
520 remote sensing the amount of reflectance in a color band or the ratio of color bands is commonly  
521 used to determine composition. In this study we show that if matrix is held constant, percent in  
522 the red color band forms a predictable exponential growth curve from which hematite could be  
523 estimated (Figure 4a) with reasonable precision. However, when all the matrices are combined  
524 the  $r^2$  of the red color band vs. hematite decreases and more importantly the SEE falls to +/-0.559  
525 (Figure 4b) indicating the ability to detect hematite at concentrations less than about 0.5% is  
526 limited. We note (Figure 4c) that of all the color bands, orange is best at estimating hematite  
527 content and has a higher  $r^2$  and lower SEE than the red band. In spectral analysis of remote

528 sensing data from satellite-borne spectrophotometers the ratio of (color) bands is frequently used;  
529 the Landsat TM band 3/1 ratio (about red/blue) is reported to be indicative of iron oxides  
530 (Sabins, 1999; Ji et al 2004). Our data, however, indicate that the green/red ratio is better at  
531 discriminating hematite content. A plot of the green/red color band ratio versus %hematite  
532 (Figure 10) again suggests a nonlinear relationship between these two variables and a regression  
533 employing an exponential decay curve produces a higher  $r^2$  and lower SEE compared to the red  
534 color band (Figure 4b), but a lower  $r^2$  and higher SEE compared to orange (Figure 4c).

535 Other transforms of the raw spectral data (Figure 3), that is, first derivative curves (Figure  
536 5) or the Kubelka-Munk remission function and derivatives of it (Figure 6, 7, 8) were examined.  
537 Of these two commonly used transforms, the first derivative of the percent reflectance curve is  
538 clearly superior at detecting hematite. In light matrices, first derivatives can detect hematite at a  
539 concentration of 0.01% by weight; in darker matrices hematite can be detected at a concentration  
540 of 0.05% by weight with first derivatives. For the Kubelka-Munk remission function the lowest  
541 detection limit documented in this study is ~0.5%, the same as in previous studies (Scheinost et  
542 al, 1998). This high detection limit is consistent with the work of Simmons (1972) who warned  
543 that marked deviations from theory have been observed when the K-M function is applied to  
544 strongly absorbing materials, for example, hematite.

545 DRS first derivative curves' peak height is sensitive to hematite concentration (Figure 5).  
546 But, peak height is also a function of matrix composition and therefore is not a reliable indicator  
547 of hematite concentration in varying matrices. Further, it is clear that sample lightness must be  
548 taken into account as it influences both the limit of detection and the height of the hematite first  
549 derivative peak. These considerations suggest that the range in hematite concentrations in soils  
550 and rocks cannot be adequately characterized with a single variable. In addition, our first



551 derivative curves suggest that trying to characterize the spectral response of hematite with a  
552 single sample is inadequate because the spectral curve changes with both hematite concentration  
553 and matrix mineralogy. This observation is especially true when trying to characterize hematite  
554 from a pure sample. Comparison of our data to the spectral pattern of pure hematite (Barranco et  
555 al. 1989) indicates that spectral patterns based on pure minerals are not likely to be reliable  
556 indicator of absorption features in natural mixtures. A similar conclusion was reached by Morris  
557 and Lauder (1990).

### 558 **Implications of this Research**

559 Iron is one of the more common elements on the Earth's surface. It is ubiquitous in its many  
560 chemical forms in soils, sediments and rocks. On the surface iron is not only present in igneous,  
561 metamorphic and clay minerals, but also, in the presence of oxygen, forms iron oxides and  
562 oxyhydroxides that accumulate in soils and sediments. These oxide/oxyhydroxide minerals,  
563 although visually quite evident from their color, occur in low concentrations and are difficult to  
564 identify by conventional instrumental methods such as XRD. In this paper we demonstrate that  
565 visual methods can effectively be applied to provide qualitative estimates (none, sparse,  
566 common, and abundant) of hematite content. Further, we show that DRS has the capability of  
567 providing semi-quantitative estimates of hematite content if matrix is held constant. This  
568 information should be useful to mineralogists and in soil science, sedimentology, marine  
569 geology, and paleoclimatology, fields in which DRS has already been applied with some success.

570 For the mineralogist this paper expands and reinforces previous studies. When the study of  
571 Deaton and Balsam (1991) that compared XRD and DRS was published, the default technique  
572 for detecting the presence and percentages of hematite in various matrices was XRD. The results  
573 of this study with more up to date equipment and computer processing software confirms the

574 work of Deaton and Balsam (1991) that about 1% is the detection limit of hematite in a complex  
575 matrix with XRD. The results of the original study indicated that DRS could improve on XRD in  
576 terms of detection limits. The current, more thorough investigation indicates that the detection  
577 limit with DRS is at least 100 times lower than XRD for a light matrix (Figure 5a), and at least  
578 20 times lower in a dark/complex matrix (Figure 5b). Understanding this limit in typical  
579 geological and soil environments is important because hematite is frequently less than 1%. We  
580 also demonstrate that for DRS the data reduction technique is an important control on the limit of  
581 detection. Using the popular Kubelka-Munk remission function the detection limit of hematite is  
582 about 0.5%. But, by using the first derivative of the reflectance curve the detection limit lowers  
583 to 0.01 – 0.05% depending on matrix. This study also indicates the importance of knowing the  
584 limits of detection for both XRD and DRS when assessing output from computer programs to  
585 avoid interpreting noise as data.

586         For the soil scientist or sedimentologist we document that the limits of detection of  
587 hematite visually depends on the darkness of the matrix, that is, 0.01% in a light matrix and 0.10  
588 to 0.50% in darker matrices. In addition, we provide some guidance for visual determination of  
589 concentrations above the detection limit. We also note that qualitative visual estimates of  
590 hematite content can be further refined through the use of a spectrophotometer to positively  
591 identify hematite (the eye cannot distinguish between different red materials) and to provide  
592 semi-quantitative estimates of hematite content with the use of first derivative curves. These  
593 estimates are below the limit of detection of XRD. Qualitative estimates of hematite content have  
594 also been used in marine geology to trace the dispersal of Saharan dust in the equatorial Atlantic  
595 (Balsam et al, 1995) and the redistribution of terrestrial sediments by deep ocean currents  
596 (Barranco et al., 1989). The data provided in this paper will help to further refine these estimates

597 and, through the use of appropriate first derivative curves based on sample albedo (dark, light,  
598 etc.), make semi-quantitative estimates possible. Enhanced identification of hematite could also  
599 be useful in paleoclimatic work. As noted earlier, the ratio of hematite to goethite has been used  
600 as an indicator of precipitation with hematite dominating in warm dry conditions and goethite in  
601 cooler, wetter conditions. Perhaps the easiest way to determine goethite concentration is by  
602 thermally oxidizing it to hematite and using the after and before difference to calculate goethite  
603 (Zhou et al. 2010). Because this work is the first step towards improving estimates of hematite  
604 concentration directly from spectra, it will also enhance determining goethite and hematite to  
605 goethite ratios that are potentially useful in paleoclimatic studies.

606 This work may also have application in remote sensing, especially of Mars where sub-  
607 micron hematite is thought responsible for the red color of some of the planet (Christensen et al.  
608 2001). In this study the orange color band proved to be a useful proxy for moderate  
609 concentrations of red hematite, better than the ratio of color bands. Further, when attempting to  
610 characterize the spectrum of a mineral it is important to remember that the spectrum of a pure  
611 sample will likely differ from the spectrum of that mineral mixed with other components. Morris  
612 and Lauder (1990) reached a similar conclusion, but we emphasize that not only is difference in  
613 matrix composition important, but also that the albedo of the matrix has a major influence on the  
614 detection limit. Finally, if data quality from remote sensing instruments permits, first derivative  
615 curves have the potential to identify and quantify hematite at very low concentrations, depending  
616 on matrix composition.

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752 **Table 1.** Sample composition by matrix and hematite content. The bold numbers refer to the sample number used in this study. The  
 753 simulated North Atlantic matrix contains silica, carbonate, and illite in the ratio 4/3/3; the Gulf matrix contains carbonate, silica, and  
 754 illite in the ratio 5/3/2. The text contains additional details of matrix composition.  
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		← Percentage Mixed Hematite →							
		0	0.01	0.05	0.1	0.5	1	2	4
Base Matrix	Other Minerals Added to Base	← Sample Number →							
Carbonate		<b>1</b>	<b>2</b>	<b>3</b>	<b>4</b>	<b>5</b>	<b>6</b>	<b>7</b>	<b>8</b>
Silica		<b>9</b>	<b>10</b>	<b>11</b>	<b>12</b>	<b>13</b>	<b>14</b>	<b>15</b>	<b>16</b>
Carb/Sil		<b>17</b>	<b>18</b>	<b>19</b>	<b>20</b>	<b>21</b>	<b>22</b>	<b>23</b>	<b>24</b>
	10% Illite	<b>25</b>	<b>26</b>	<b>27</b>	<b>28</b>	<b>29</b>	<b>30</b>	<b>31</b>	<b>32</b>
	25% Illite	<b>33</b>	<b>34</b>	<b>35</b>	<b>36</b>	<b>37</b>	<b>38</b>	<b>39</b>	<b>40</b>
	50% Illite	<b>41</b>	<b>42</b>	<b>43</b>	<b>44</b>	<b>45</b>	<b>46</b>	<b>47</b>	<b>48</b>
North Atlantic	1% Chlorite	<b>49</b>	<b>50</b>	<b>51</b>	<b>52</b>	<b>53</b>	<b>54</b>	<b>55</b>	<b>56</b>
	5% Chlorite	<b>57</b>	<b>58</b>	<b>59</b>	<b>60</b>	<b>61</b>	<b>62</b>	<b>63</b>	<b>64</b>
	15% Chlorite	<b>65</b>	<b>66</b>	<b>67</b>	<b>68</b>	<b>69</b>	<b>70</b>	<b>71</b>	<b>72</b>
Gulf	1% Kaolinite	<b>73</b>	<b>74</b>	<b>75</b>	<b>76</b>	<b>77</b>	<b>78</b>	<b>79</b>	<b>80</b>
	5% Kaolinite	<b>81</b>	<b>82</b>	<b>83</b>	<b>84</b>	<b>85</b>	<b>86</b>	<b>87</b>	<b>88</b>
	15% Kaolinite	<b>89</b>	<b>90</b>	<b>91</b>	<b>92</b>	<b>93</b>	<b>94</b>	<b>95</b>	<b>96</b>
	1% Montmorillonite	<b>97</b>	<b>98</b>	<b>99</b>	<b>100</b>	<b>101</b>	<b>102</b>	<b>103</b>	<b>104</b>
	5% Montmorillonite	<b>105</b>	<b>106</b>	<b>107</b>	<b>108</b>	<b>109</b>	<b>110</b>	<b>111</b>	<b>112</b>
	15% Montmorillonite	<b>113</b>	<b>114</b>	<b>115</b>	<b>116</b>	<b>117</b>	<b>118</b>	<b>119</b>	<b>120</b>

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**Table 2.** Sources of material used to make the samples.

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**Source Materials**

Hematite	Pfizer R-1599 Pure red iron oxide	Lot E3044
CaCO <sub>3</sub>	Baker reagent 1288-05	Lot D29353
Silica (SiO <sub>2</sub> )	Aldrich	Lot 02315MX
Illite	API#35	Fifthian, IL
Chlorite (ripidolite)	Clay Mineral Repository	Flagstaff Hill, El Dorado City, CA
Kaolinite	API#9	Mesa Alta, NM
Montmorillonite (Na-Ca; beidellite)	API#31	Cameron, AZ

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**Table 3.** Results of visual test of hematite discrimination in different matrices. The matrices are arranged from lightest to darkest starting with 0% hematite. The Gulf matrix contains 15% montmorillonite and the North Atlantic matrix contains 15% chlorite. There were 24 participants in the test.

Matrix/ %Hematite	%Seeing Red
Carb 0%	0.0
Carb 0.01%	95.8
Carb 0.05%	100.0
Carb 0.1%	95.8
Carb 0.5%	100.0
Carb/Sil 0%	8.3
Carb/Sil 0.01%	62.5
Carb/Sil 0.05%	100.0
Carb/Sil 0.1%	100.0
Carb/Sil 0.5%	100.0
Gulf 0%	8.3
Gulf 0.01%	12.5
Gulf 0.05%	29.2
Gulf 0.1%	62.5
Gulf 0.5%	100.0
North Atlantic 0%	4.2
North Atlantic 0.01%	8.3
North Atlantic 0.05%	8.3
North Atlantic 0.1%	20.8
North Atlantic 0.5%	100.0

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### Figure Captions

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**Figure 1. (a)** Slides of mixed samples in 4 different matrices of calcium carbonate/silica,

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1:1, mixed with differing concentrations of illite and 8 different concentrations of hematite

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including 0%. Note that as the matrix gets darker with the addition of illite the detection limit of

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hematite increases. Samples 17 – 48 (Table 1) are illustrated on this figure. **(b)** Slides of mixed

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samples in the North Atlantic matrix (silica, carbonate, and illite in the ratio 4/3/3) with varying

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concentrations of hematite and chlorite. Samples 49 – 72 (Table 1) are illustrated on this figure.

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Similar to the experiments with increasing illite concentration, increasing chlorite concentration

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makes hematite more difficult to detect. The color of the samples shown on the following figures

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only approximates direct visual observation. The subtlety of the color variation shown by the

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samples is nearly impossible with to reproduce even adjusting for color temperature of the

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lighting and bracketing exposures. In addition, the fidelity of the images is further degraded

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preparing them for publication or by printing them.

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**Figure 2.** X-ray spectrum of 1% hematite in the Gulf matrix with 1% kaolinite (sample 78, Table

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1). Peaks attributable to quartz, calcite and ZnO are labeled. The primary hematite peaks are

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indicated by arrows. These peaks are so small that hematite would likely not be identified by

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most analysts. The 1% kaolinite in this sample is below the detection limit of XRD in this

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matrix.

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**Figure 3.** Example of changes in spectral percent reflectance curves with both changing matrices

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and hematite content. Zero percent hematite is the matrix with no hematite added to it. **(a)** is a

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light matrix of calcite and silica (samples 17 – 24, Table 1) whereas **(b)** is a dark matrix (samples

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65 – 72, Table 1).

815 **Figure 4. (a)** Percent hematite as a function of percent reflectance in the red color band, 630 –  
816 700 nm, for the seven data sets shown in Figure 1. **(b)** Percent hematite as a function of percent  
817 reflectance in the red color band for all 120 samples in the calibration data set. **(c)** Percent  
818 hematite as a function of percent reflectance in the orange color band, 590 - 630 nm, for all 120  
819 samples in the calibration data set. Note that both the  $r^2$  and SEE for orange are better than for  
820 the red color band.

821 **Figure 5.** First derivative of the percent reflectance data (Figure 3) from the two matrix series  
822 presented (Figures 1a and b). Note that for each matrix the height of the first derivative peak  
823 increases with increasing hematite concentration up to 1% in a light matrix **(a)** and 4% in a  
824 darker matrix **(b)**.

825 **Figure 6.** Kubelka-Munk remission function applied to percent reflectance spectra from the two  
826 matrix series [carbonate/ silica matrix **(a)** and North Atlantic matrix **(b)**] illustrated on Figures 1  
827 and 3. Note how the K-M function compresses the red end of the spectrum and expands the blue  
828 end.

829 **Figure 7.** First derivative of the K-M function for the carbonate/ silica matrix **(a)** and North  
830 Atlantic matrix **(b)**. The lowest concentration of hematite that can be easily detected by the first  
831 derivative of the K-M function is 0.5% in contrast to first derivative curves (Figure 5) with a  
832 limit of 0.05% or less.

833 **Figure 8.** Second derivative of the K-M function for the carbonate/silica matrix **(a)** and North  
834 Atlantic matrix **(b)**.

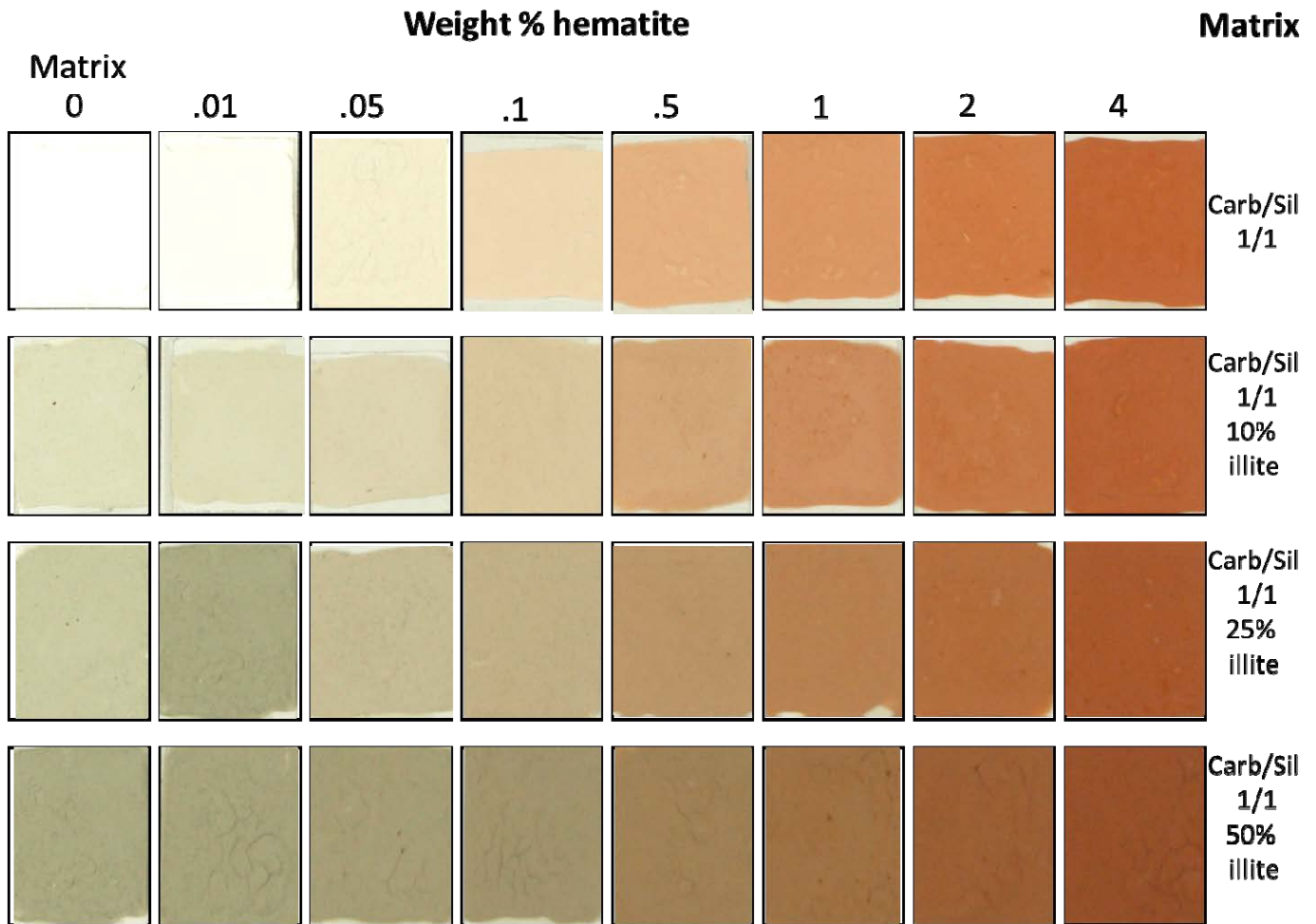
835 **Figure 9.** Examples of the “matrix effect”. All the illustrated samples (samples 4, 12, 28,60,  
836 84,and 108 on Table 1) contain 0.1% hematite, but are in different matrices. Note the variation in  
837 color and the changing ability to detect hematite in differing matrices.

838 **Figure 10.** Relationship between %hematite and the ratio of the percent reflectance in the green  
839 versus red color bands for all 120 samples. Taking the ratio of color bands slightly improves the  
840 ability to estimate hematite concentration compared to only the red color band. V1 in the  
841 equation refers to the ratio of green to red color bands.

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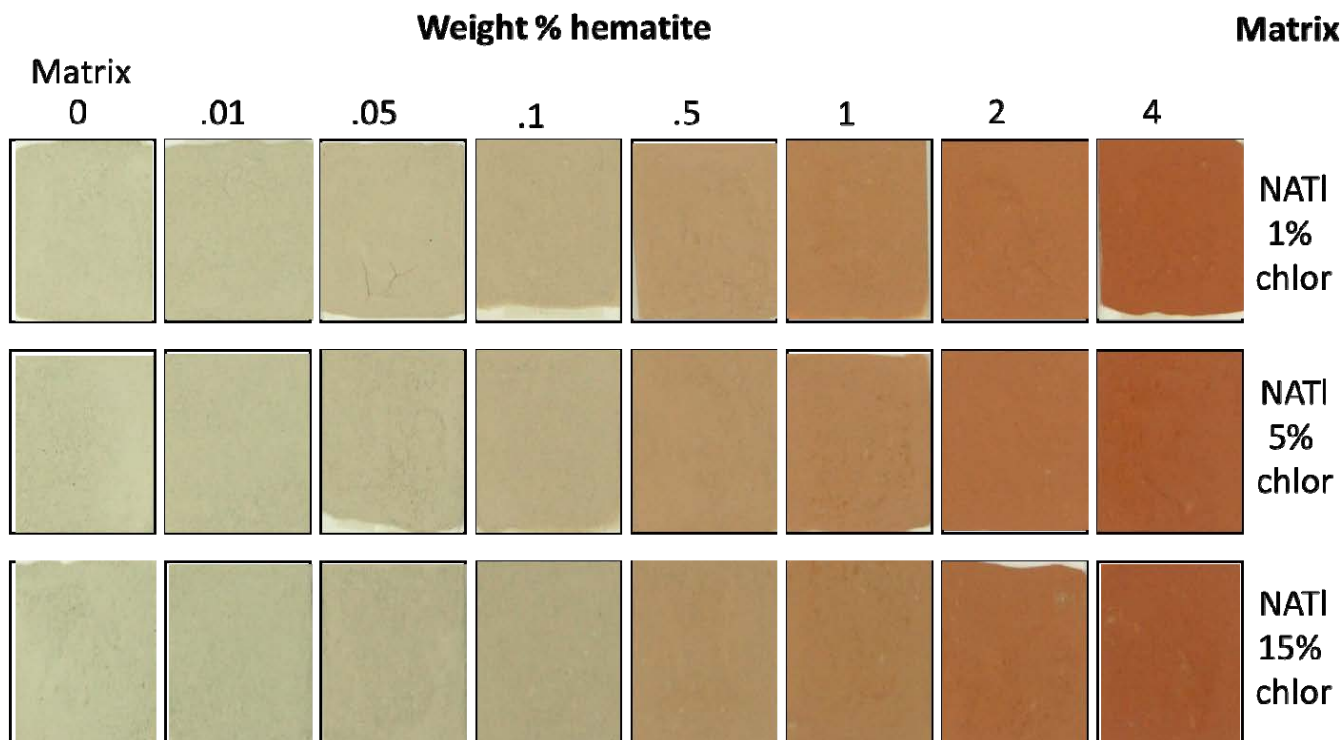
### Figures

Figure 1 (a)



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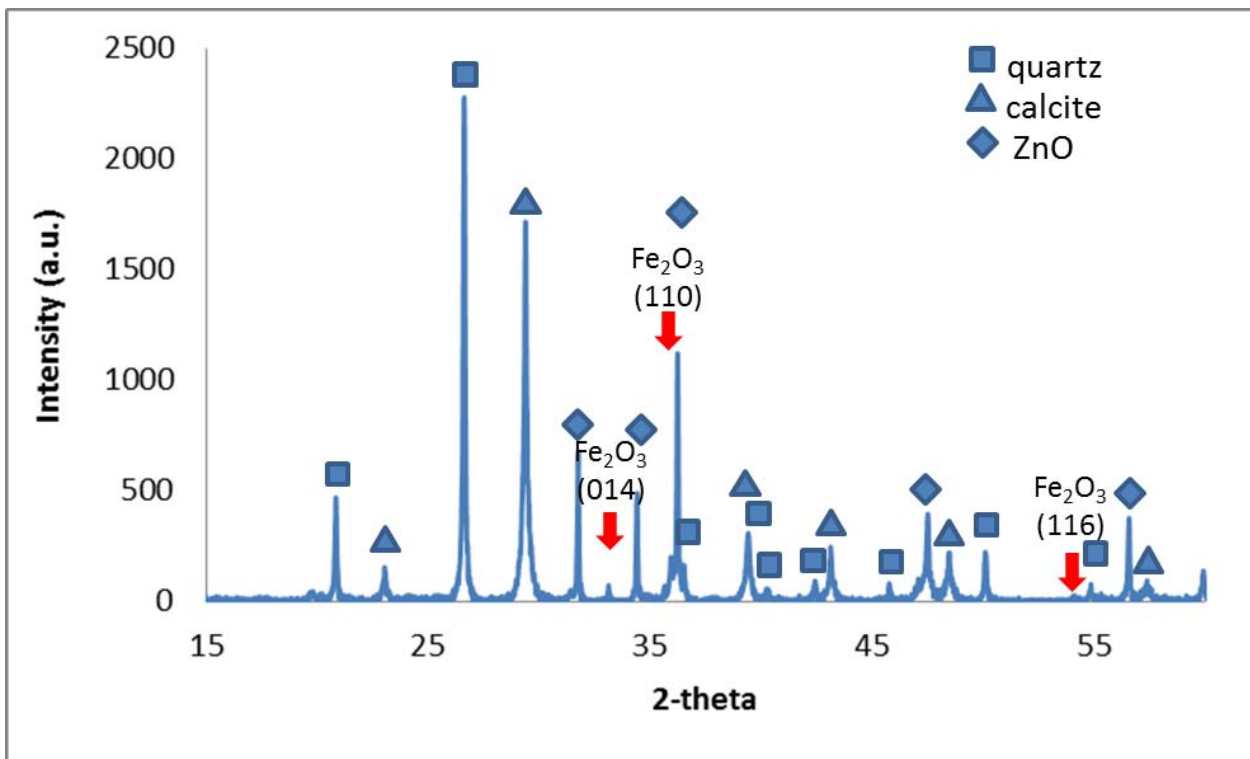


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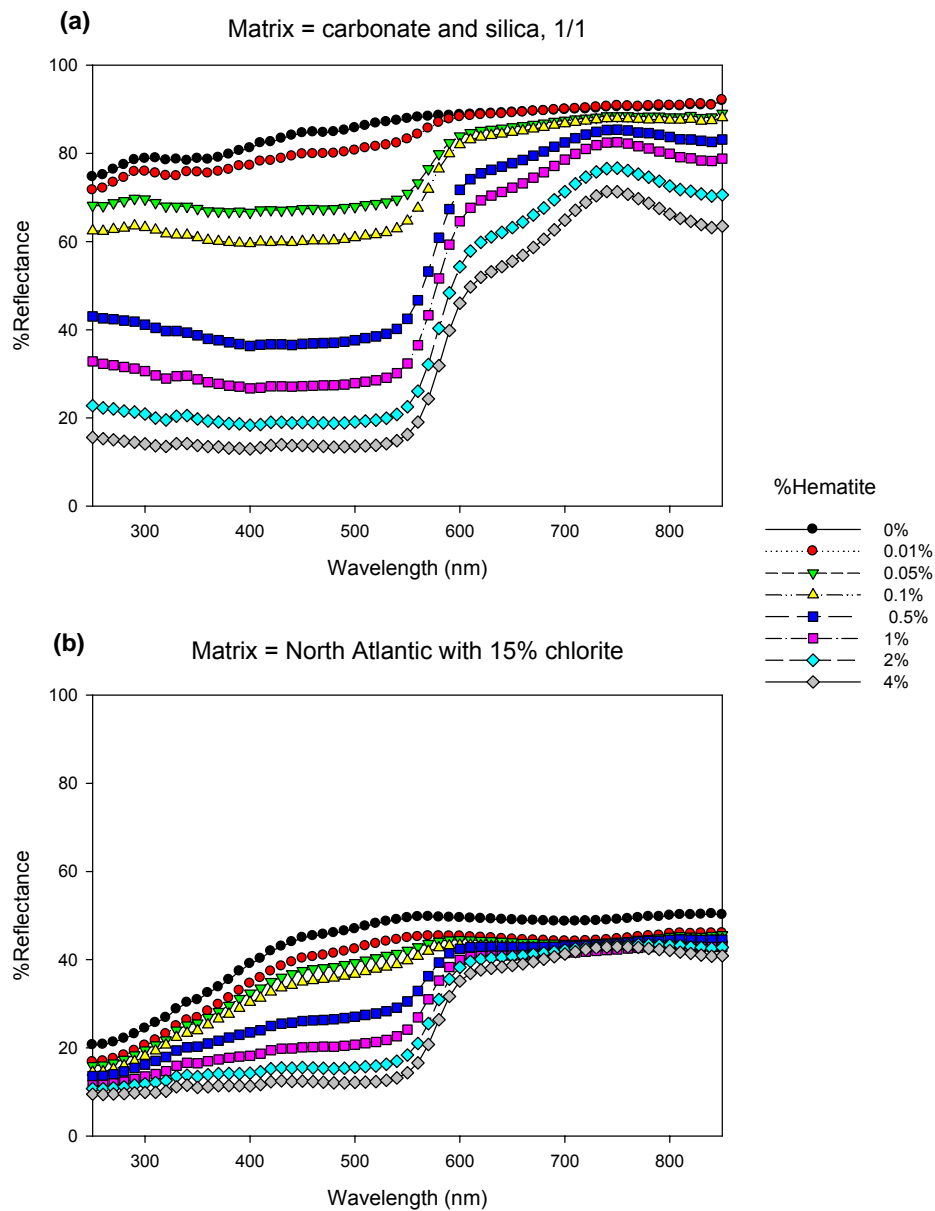
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Figure 2



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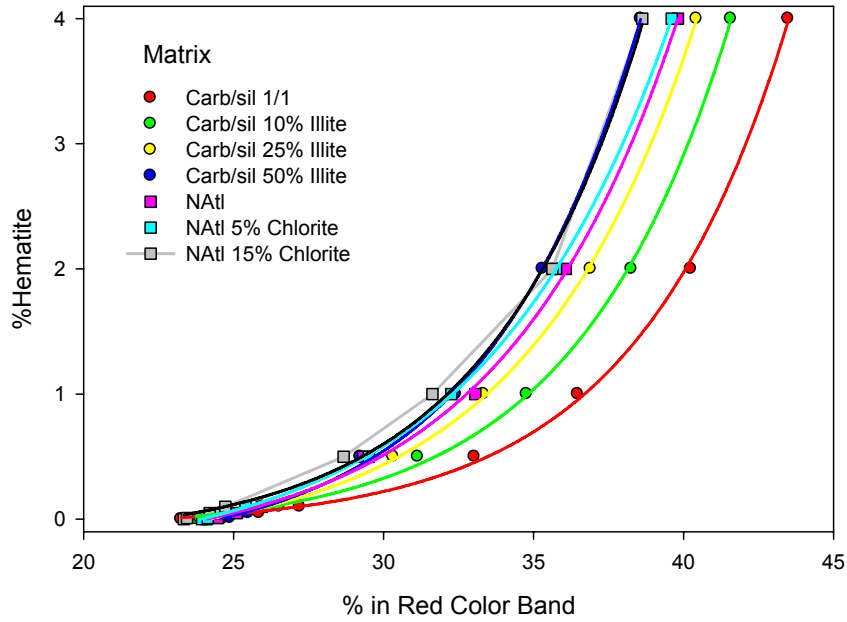
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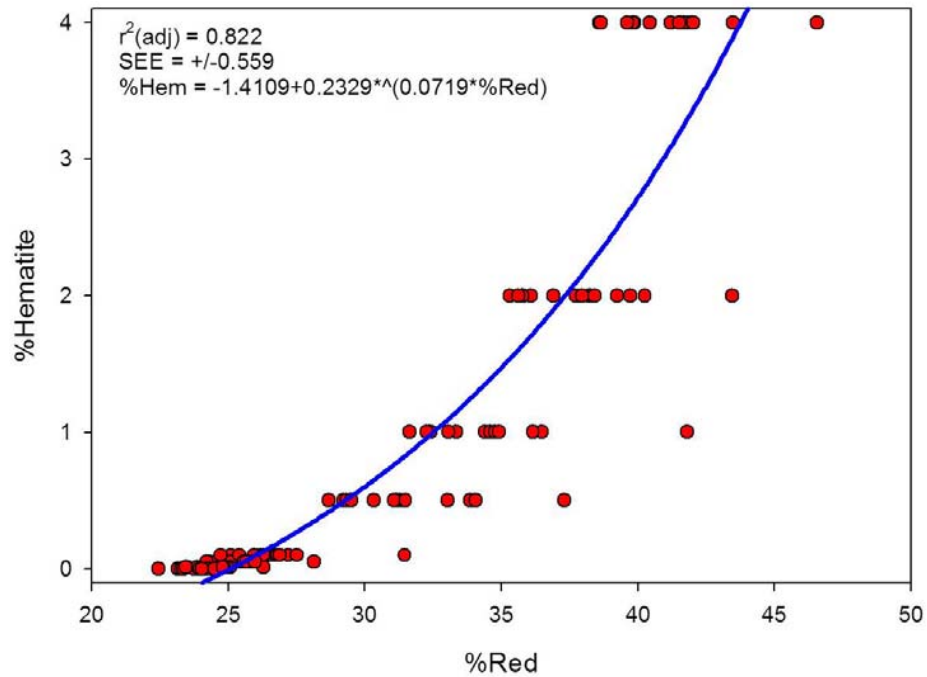
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880 Figure 4(a)



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Figure 4(b)



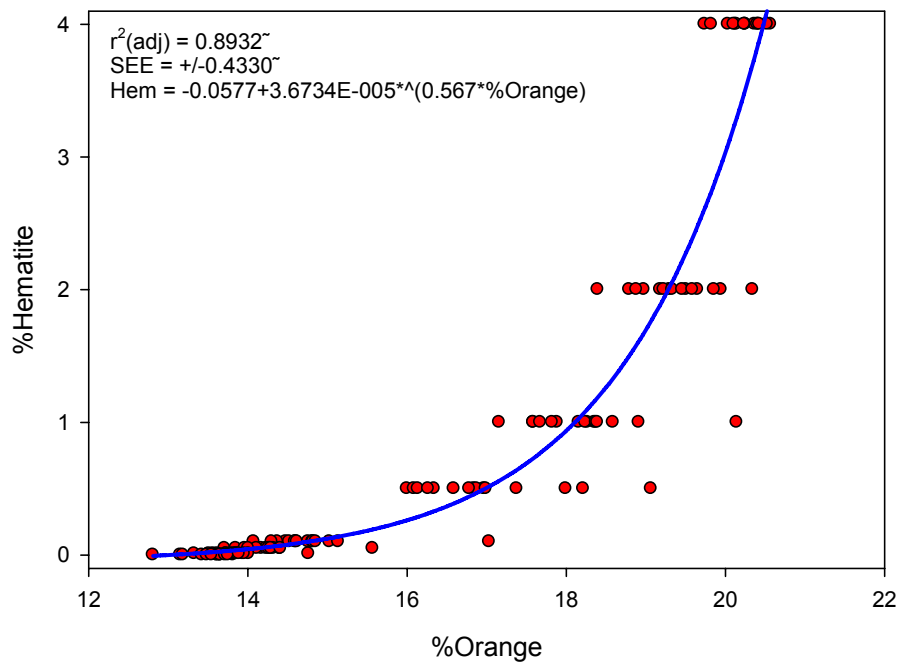
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886 Figure 4(c)



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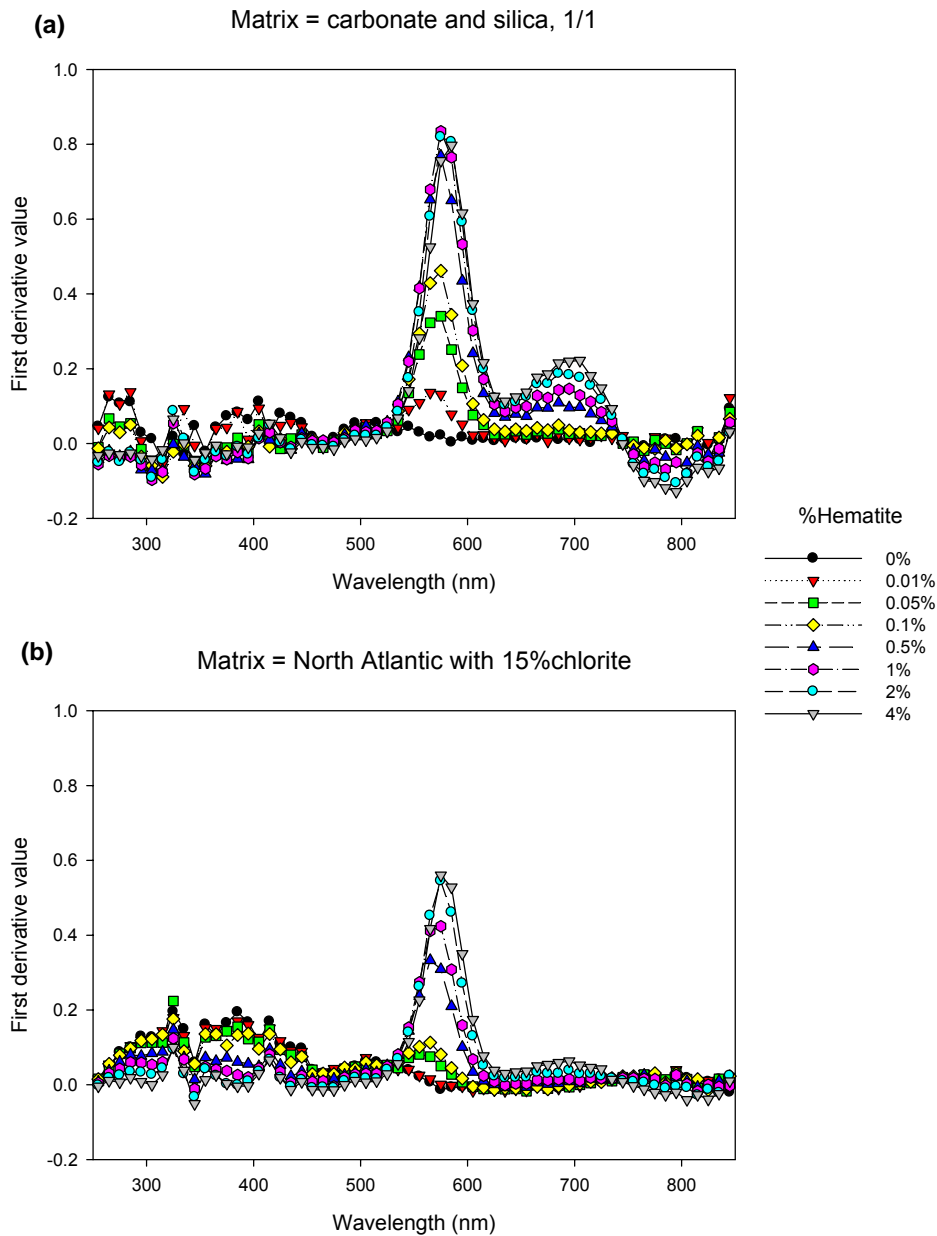
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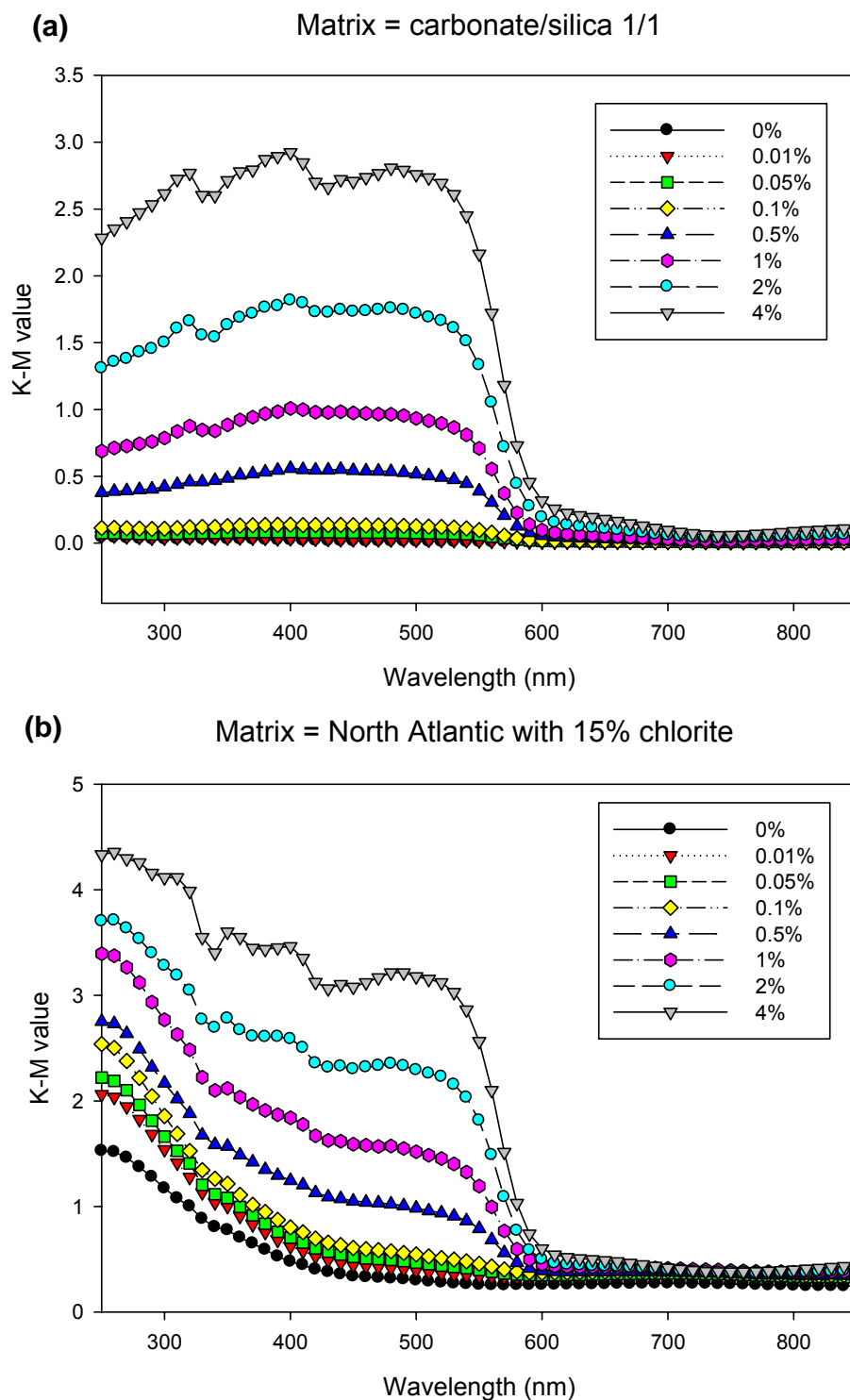
Figure 5.



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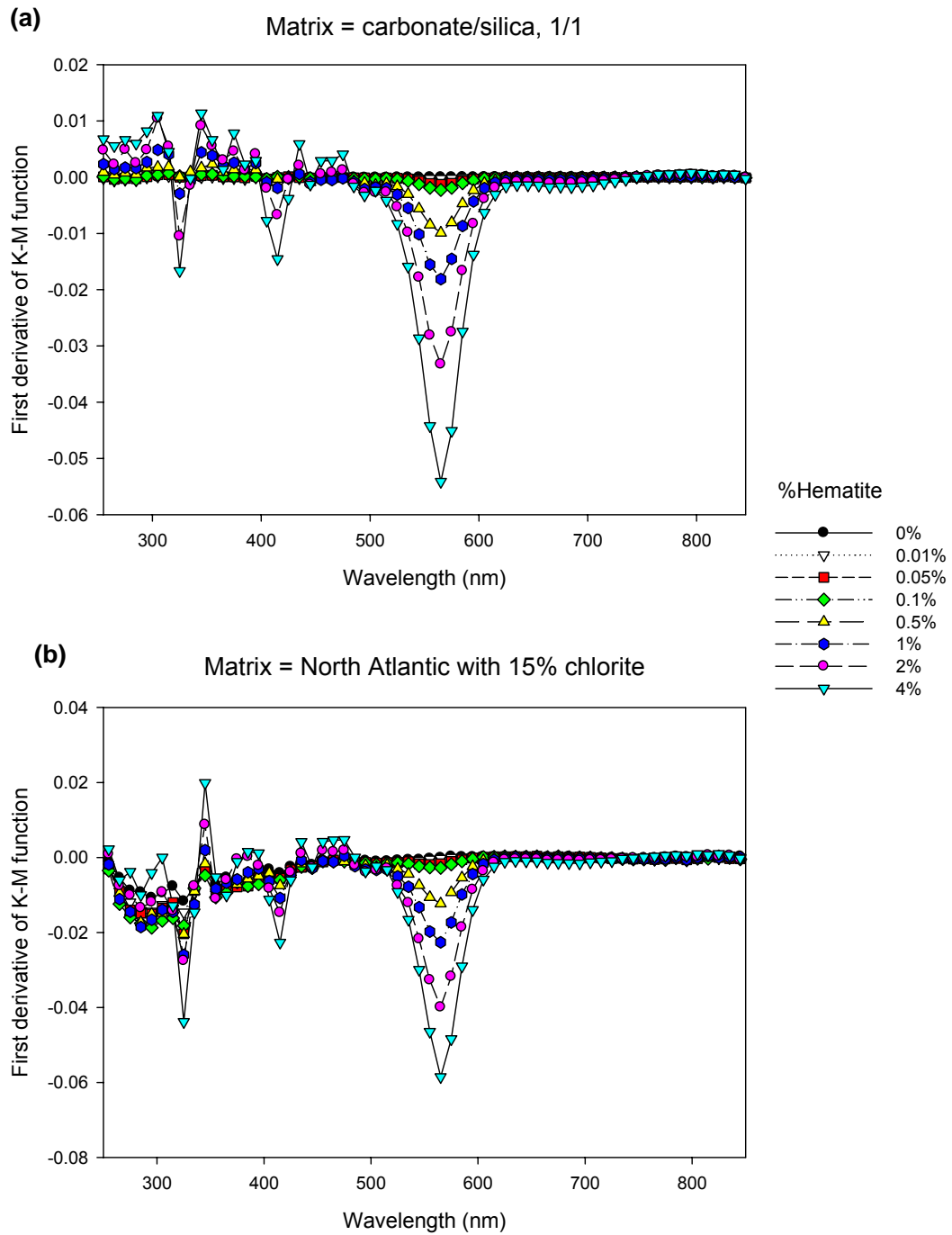
Figure 6.



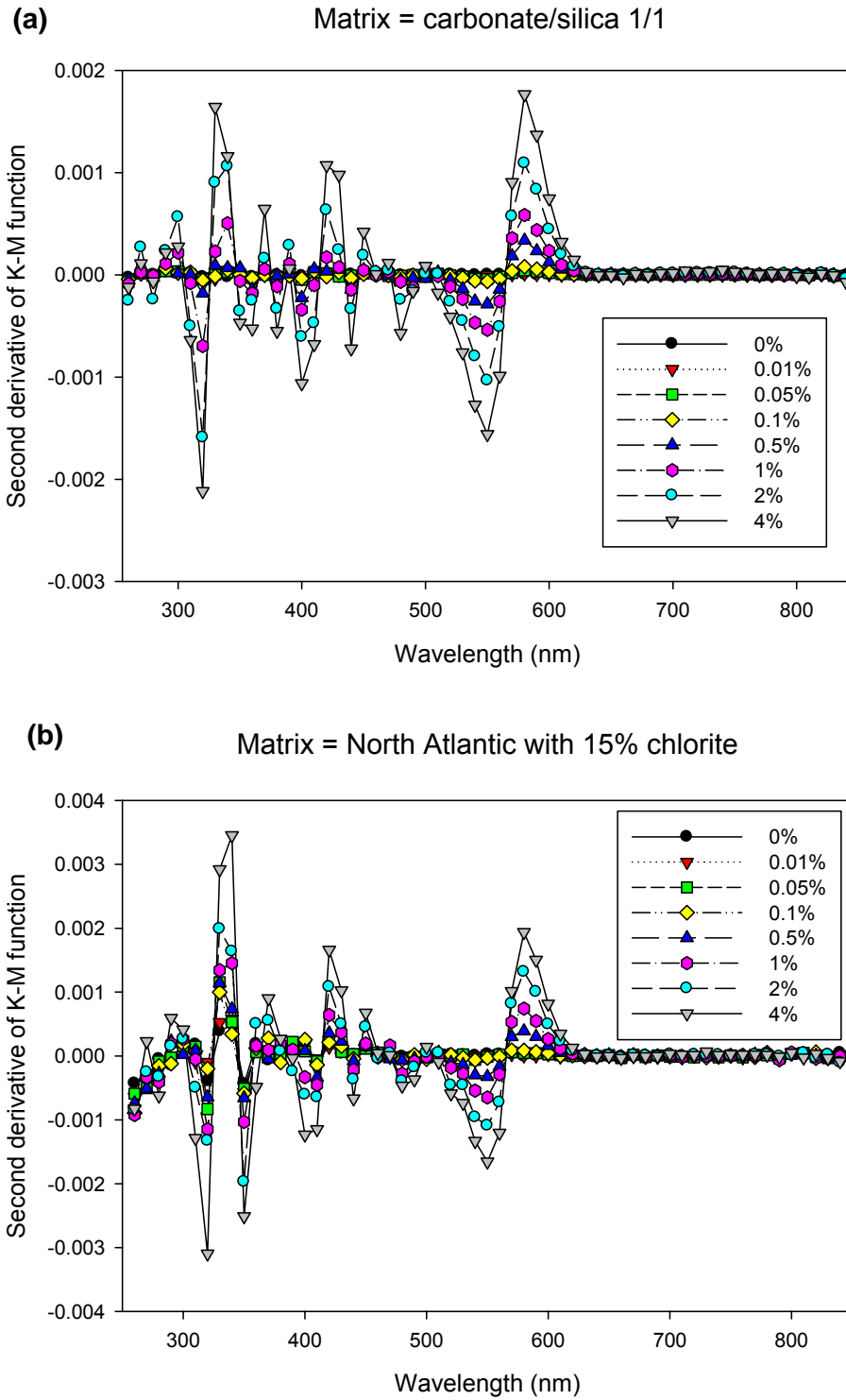
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Figure 7.



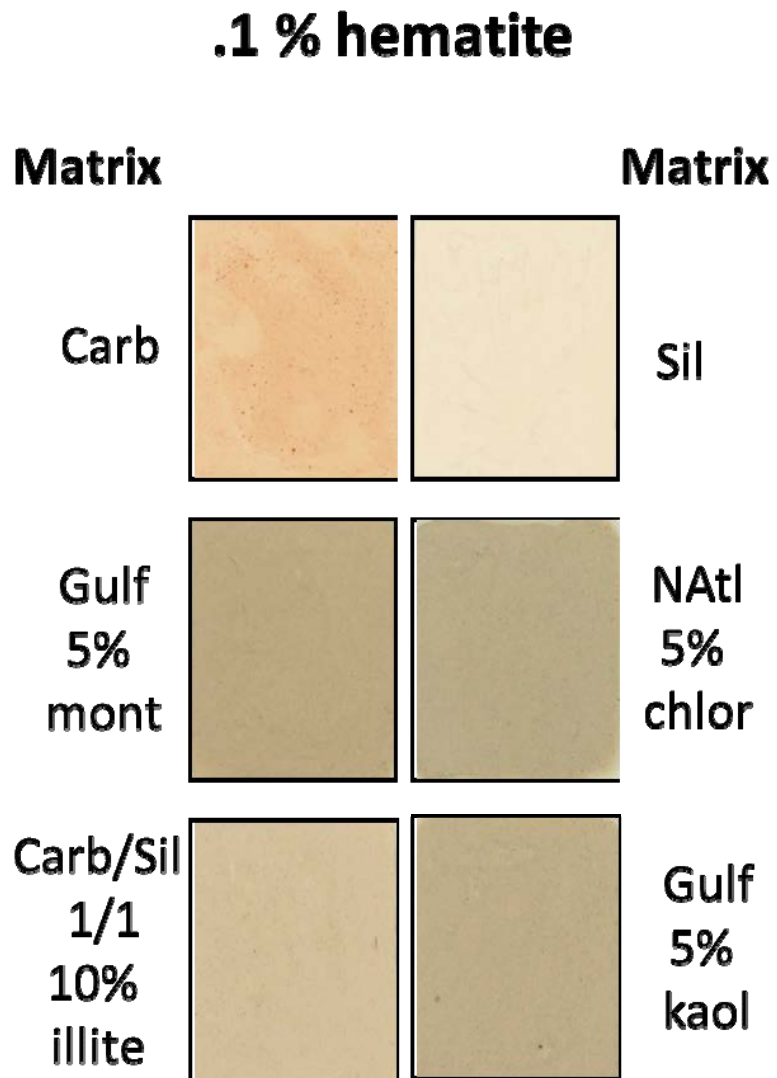
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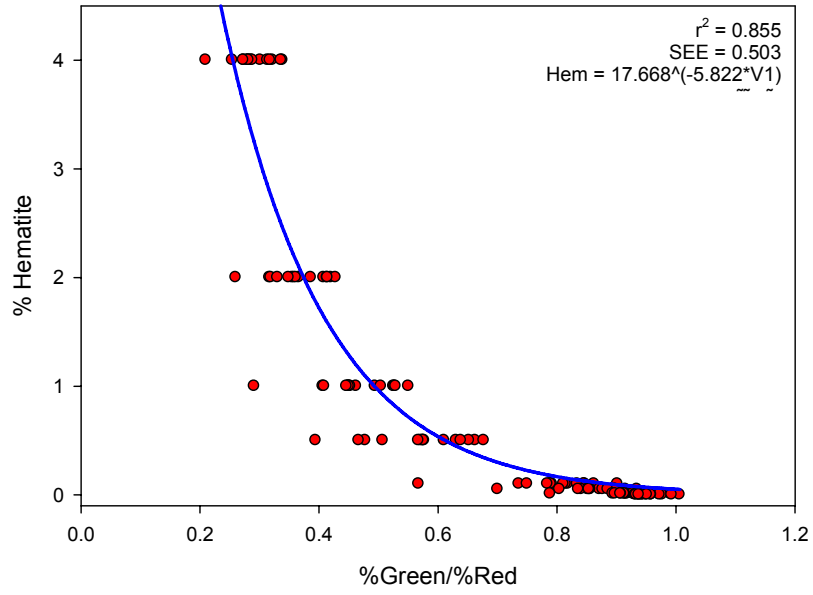
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1073 Figure 9.



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Figure 10.



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