1   # REVISION 1

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3 ## Identifying the spin transition in Fe^{2+}-rich $MgSiO_3$

4 ## perovskite from X-ray diffraction and vibrational

5 ## spectroscopy

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21   ## Abstract

22   Based on numerical results from density-functional perturbation theory calculations,

23   we show that the magnetic spin transition in Fe^{2+}-rich $MgSiO_3$ perovskite can be

24   identified as changes in the powder X-ray diffraction (XRD) pattern and the

25   vibrational spectra. In particular theory predicts how the symmetry breaking and the

26   volume reduction associated with the spin transition affect both structural and

27   vibrational properties. The XRD measurements of $(Mg_{0.5}Fe_{0.5})SiO_3$ perovskite indeed

28   demonstrated that the new diffraction peaks and the peak broadening formed during

29   the spin transition can be explained by the associated symmetry breaking. We also

30   show computationally that certain vibrational peaks exhibit a shift at the transition;

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31 the Grüneisen parameters of certain modes are affected by the transition, thus bearing

32 on the thermodynamical properties. Raman and/or infrared measurements before and

33 after the spin transition could identify these changes.

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35 # Introduction

36   Fe-bearing perovskite, the major mineral of the Earth's lower mantle, exhibits

37 a magnetic spin transition under compression. The transition corresponds roughly to

38 the reduction of the number of unpaired d electrons of Fe^{2+} iron: from 4 unpaired

39 electrons for high-spin state to 0 unpaired electrons for the low-spin state. For Fe^{3+}

40 iron theses numbers decrease from 5 to 3 to 1 unpaired electrons into respectively the

41 high-spin, intermediate-spin and low-spin states. These numbers represent ideal

42 nominal molecular-like values; in a solid there are electronic bands and the magnetic

43 spin is given per unit cell as the difference between the total number of electrons with

44 the two different spins occupying the bands.

45   The transition depends on a series of factors, like pressure and temperature,

46 iron amount, iron crystallographic site and iron redox state. The ferrous iron on the

47 Mg site stays in high-spin up to large pressures (Bengtson et al. 2009; Hsu et al.

48 2010a, 2011) when in relatively low, mantle-like concentrations (Bengtson et al.

49 2008). The ferric iron can be incorporated in both the Mg and the Si sites, with the

50 one on the Si site undergoing a spin transition at very low pressures and the one on

51 the Mg site remaining in high-spin, until the transformation to post-perovskite (e.g.,

52 Stackhouse et al., 2007; Irifune et al., 2010; Caracas 2010; Hsu et al. 2011).

53   The high-spin to low-spin transition of ferrous iron has been documented

54 experimentally with several in situ measurements using nuclear or electronic

55 spectroscopies, like Mössbauer spectroscopy (Jackson et al. 2005; McCammon et al.

56 2008; Grocholski et al. 2009; Lin et al. 2012) or X-ray emission spectroscopy (XES)

57 (Badro et al. 2004; Li et al. 2004, 2006): a reduction in the local magnetization of the

58 iron atoms is seen either as a change in the Mössbauer pattern or as the disappearance

59 of the Fe $K\beta$ satellite peak in the XES spectra. Several reviews give some very good

60 overlooks of the recent literature on this subject (Hsu et al. 2010b; Lin et al. 2013).

61   In parallel with the experimental effort, first principles calculations based on

62 density functional theory showed that the transition is associated with a structural

63 distortion corresponding to the reduction in size of the Fe atoms (Umemoto et al.

64   2010). Such distortion breaks the site symmetry of the iron atoms, allowing a lateral

65   displacement that accommodates the reduction in volume. The electronic changes

66   connected to the magnetic transition have been extensively studied for various iron

67   concentration and configuration in a series of papers (Bengtson et al. 2009; Hsu et al.

68   2010a; Umemoto et al. 2010). In recent phonon calculations we identified dynamical

69   instabilities in the perovskite structure with orthorhombic symmetry and low-spin

70   configuration. Following the unstable vibrational modes we were able to determine on

71   a strong physical basis the structural distortions and the symmetry breaking associated

72   to the spin transition (Caracas et al. 2011).

73   The geophysical implications of the spin transition in perovskite are yet a

74   matter of investigation. It was suggested that its effect on the bulk seismic wave

75   velocities is weak, such as at realistic iron concentrations it would not be visible in

76   seismic data (Li et al. 2005). However, the changes in seismic anisotropy, like the

77   ratio of the seismic compressional (Vp) and shear (Vs) wave velocities or the shear

78   wave splitting |Vs1-Vs2|, are sensitive to the spin state of iron. The spin transition can

79   induce differences of anisotropy between the top and the bottom of the lower mantle

80   that are large enough to be visible in seismic data and tomographic studies (Caracas et

81   al. 2010).

82   The investigations of the effects of the spin transition on the ternary phase

83   diagram of perovskite - post-perovskite – magnesiowüstite and on the iron

84   partitioning between the lower-mantle minerals are still in their incipient phase with

85   no final answer reached so far (Wolf et al. 2008; Metsue and Tsuchiya 2012;

86   Grocholski et al. 2012), but might be important, and one expects important effort to

87   be allocated towards this topics in the near future, from both experiments and

88   calculations. Consequently a rapid and inexpensive method of identification of the

89   spin transition in perovskite is highly desirable.

90   Because both the electronic and the nuclear spectroscopic methods are rather

91   tedious to perform and require a considerable experimental setup, here we investigate

92   alternate possibilities for identifying the spin transition. We concentrate and discuss

93   only the case of ferrous iron on the A site. We base on the structural distortions and

94   the symmetry breaking that are associated with this spin transition to quantify the

95   potential signature of the transition on X-ray diffraction (XRD) patterns and on

96   vibrational spectra. We show that changes in these spectra would make them viable

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97   methods for identifying the spin transition if careful accurate measurements are

98   recorded.

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Methodology

101   We perform first-principles calculations based on the density functional theory

102   (DFT) in the ABINIT implementation (Gonze et al. 2002, 2009; Torrent et al. 2008).

103   First we employ the Planar Augmented Wavefunction (PAW) approach to

104   obtain the crystal structures and to investigate the symmetry breaking due to the spin

105   transition. In the PAW method an accurate, all-electron, representation of the

106   electronic system is placed in one-to-one correspondence with a pseudopotential-like

107   treatment of the valence electrons (Blochl 1994). We use the local density

108   approximation (LDA) for the exchange correlation energy. It was shown that the use

109   of more sophisticated exchange correlation formalisms, like the generalized gradient

110   approximation, does not change the physical description of the system, except for an

111   upward shift of the spin transition pressure (Hsu et al. 2010). We adopt 6×6×6 grids

112   of special high-symmetry $\mathbf{k}$ points (Monkhorst and Pack 1976) to sample the

113   electronic density in the reciprocal space. We employ a 16Ha (1 Ha=27.2116eV)

114   kinetic energy cut-off for the wave functions on the coarse mesh and a 36 Ha cut-off

115   for the wave functions on the finer grid inside the PAW spheres. This set of

116   parameters ensures an accuracy of the calculation better than 1GPa in pressure and

117   1mHa/unit cell in energy. We fully relax the structures (i.e. we minimize the

118   interatomic force constants and the non-hydrostatic stresses) at several pressures and

119   iron compositions, for both the high-spin and low-spin magnetic configurations. We

120   use the resulting theoretical structures to build the X-ray diffraction pattern, which we

121   compare with experimental patterns.

122   In a second set of calculations we analyze the lattice dynamical properties of

123   both high-spin and low-spin perovskite within the density-functional perturbation

124   theory (DFPT) (Baroni et al. 2002; Gonze et al. 2005). We use the theoretical

125   structures as determined above in PAW, to determine the phonons in the center of the

126   Brillouin zone. However, because of current implementation restrictions, we do not

127   use PAW for the dynamical calculations, but instead we need to employ Troullier-

128   Martins norm-conserving pseudopotentials. We relax the structures in the standard

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129   norm-conserving formalism, using the same 6×6×6 grids of special high-symmetry **k**

130   points and 40 Ha kinetic energy cutoff.

131   We compute the low-spin structures of the end-member $FeSiO_3$ term, and then

132   dilute Fe by Mg. Eventually we look at the theoretical X-ray diffraction patterns for

133    $Mg_{0.5}Fe_{0.5}SiO_3$, and at the vibrational pattern of $FeSiO_3$ and $Mg_{0.75}Fe_{0.25}SiO_3$.

134   Complementary to calculations we obtained in situ X-ray diffraction patterns

135   on powder $Mg_{0.5}Fe_{0.5}SiO_3$ perovskite in a diamond-anvil cell at the BL10XU of

136   SPring-8. Starting material was pyroxene with composition of $Mg_{0.5}Fe_{0.5}SiO_3$ (Tateno

137   et al. 2007). The $Fe^{3+}/\Sigma Fe$ ratio of the starting material was not directly measured but

138   could be ~0.07 according to Sinmyo et al. (2011), in which pyroxene was synthesized

139   in a similar procedure. We compacted the sample powder into pellets and then coated

140   the surface of the sample with gold on both sides, which served as a laser absorber.

141   This coating technique reduces temperature gradients, and thus minimizes chemical

142   heterogeneity caused by Soret diffusion (Sinmyo and Hirose 2010). It was loaded into

143   a hole in a pre-indented rhenium gasket, together with thermal insulation layers of

144   dried NaCl. The assembly was compressed by beveled diamond anvils with 150 µm

145   culet. Angle-dispersive XRD patterns were collected on an imaging plate. A

146   monochromatic incident X-ray beam with a wavelength of 0.41351 Å was collimated

147   to about 6-µm area (full-width of half maximum) on the sample. Two-dimensional

148   XRD images were integrated as a function of two-theta angle in order to have a

149   conventional one-dimensional diffraction profile using the IPAnalyzer (Seto et al.

150   2010). The sample was heated by a couple of fiber lasers using the double-sided

151   heating technique. Temperature was measured by fitting the thermal radiation

152   spectrum to the Planck radiation function using the spectro-radiometric method

153   (Ohishi et al. 2008). Pressure was determined from the unit-cell volume of gold, using

154   the equation of state proposed by Tsuchiya (2003). Pressure uncertainty arising from

155   the uncertainty in unit-cell volume was ±0.6 GPa.

156   We also recorded several X-ray emission spectra on samples undergoing the

157   spin transition. XES is an established technique widely used to study high-spin to

158   low-spin crossover in transition-metal elements and their compounds. For samples

159   containing iron in a high-spin state, the emission spectra consist of $K\beta_{1,3}$ main peak at

160   7058 eV and satellite $K\beta$' peak located at lower energy appearing as a result of the

161   exchange interaction between $3p$ core-hole and $3d$ in a final state of the emission

162   process. The iron spin crossover results in a loss of $3d$ magnetic moment, leading to a

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163 disappearance of such satellite peak. High-resolution X-ray emission spectra of the Fe

164 $K\beta$ line were obtained for $Mg_{0.5}Fe_{0.5}SiO_3$ perovskite at the BL12XU beamline of

165 SPring-8 (Ozawa et al. 2011). Such measurements were carried out at room

166 temperature in a DAC at pressures between ambient pressure and 100 GPa (run #2),

167 and 93 and 98 GPa (run #3). We used beveled anvils with 200 μm culet. Starting

168 material was prepared by heating $Mg_{0.5}Fe_{0.5}SiO_3$ gel to 1273 K under the oxygen

169 fugacity slightly above the iron-wüstite buffer in run #2. In run #3, the pyroxene

170 starting material same as that in run #1 was used. The gel starting material may have

171 higher $Fe^{3+}/\Sigma Fe$ ratio of ~0.2 according to Ohta et al. (2008). The sample coated with

172 gold was loaded together with thermal insulation layers of dried NaCl, into an X-ray

173 translucent gasket that was composed of cubic boron nitride and beryllium. Such

174 composite gasket can increase the thickness of the sample chamber and reduce the

175 contribution from iron impurity in beryllium. The sample was compressed to high

176 pressure at 300 K and then heated by laser from both sides with scanning the laser

177 beam. The high-pressure phase was subsequently identified by XRD measurements at

178 BL10XU. Pressure was determined at room temperature based on the Raman shift of

179 the T_{2g} mode of the culet of diamond anvil (Akahama and Kawamura 2004). We used

180 monochromatic X-rays at 11 keV as an incident beam for XES measurements. It was

181 collimated to ~15 μm in diameter at the sample. The pressure variations within the

182 area from which XES spectra were collected were less than 3 GPa. A 1m Rowland

183 circle type spectrometer, equipped with 1m spherical bent Ge (620) analyzer, was

184 used to acquire the emission spectra. These spectra were collected through the gasket

185 in order to avoid a loss of intensity due to absorption by the diamond. The spectra

186 covered the energy range from 7020 to 7080 eV with a resolution of 0.8 eV.

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189 # Results

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191 ## Structural implications of the spin transition

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193 In recent lattice dynamical calculations we showed that $FeSiO_3$ perovskite,

194 with orthorhombic *Pbnm* symmetry, in both the intermediate spin and the low spin

195 state exhibits unstable phonon modes (Caracas et al. 2011). These instabilities

196 correspond to displacements of the Fe sub-lattice accompanied by a rearrangement of

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197   parts of the O sub-lattice. The spin transition is also accompanied by a density

198   difference of 4.9% at 20 GPa going down quasi-linearly to 3.8% at 120 GPa. Based

199   on the two $MgSiO_3$ and $FeSiO_3$ end-members we are now able to construct the correct

200   guess structures for the intermediate compositions along the Mg-Fe join in both high-

201   spin and in low-spin magnetic configurations. Then using these initial geometries the

202   appropriate structural relaxation eventually yields the correct final structures. For the

203   high-spin case, the orthorhombic symmetry is preserved along the whole Mg-Fe join.

204   The local replacement pattern of the two cations breaks the local symmetry in specific

205   configurations, but the large-scale average structure remains orthorhombic. The

206   starting geometry can be obtained by replacing Mg with Fe in the $MgSiO_3$ structure or

207   Fe with Mg in the $FeSiO_3$ structure, the final result being the same. For the low-spin

208   case the structure exhibits distortions that lower its symmetry down to monoclinic or

209   triclinic even for the pure $FeSiO_3$ end-member term. Actually, in order to be able to

210   preserve these distortions, and prevent the code from finding a local minimum, along

211   the solid solution, we need to start with the distorted low-spin structure of $FeSiO_3$ and

212   replace Fe with Mg in the desired amount. Indeed, starting the simulations from

213   orthorhombic $FeSiO_3$ fails to find the appropriate global minimum and the correct

214   low-spin crystal structures (Bengtson et al. 2009; Hsu et al. 2010a; Umemoto et al.

215   2010, Caracas et al., 2011).

216   The spin transition has thus two different structural facets: symmetry breaking

217   and volume reduction. The correlation between the spin transition and the distortions

218   of the orthorhombic structure should be reflected in changes of the symmetry of

219   certain physical properties, like the Raman and infrared spectra or the XRD patterns.

220   These changes could be observed as possible appearances of new peaks and/or

221   broadening of the existent ones. The volume and interatomic bond distance reductions

222   associated with the spin transition should be reflected in shifts of the positions of the

223   peaks in vibrational spectra and in the XRD patterns. Accurate in situ Raman/infrared

224   and XRD measurements could then capture at least some of these features. Here we

225   analyze these different changes and illustrate them for several particular terms along

226   the solid solution.

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229   **X-ray powder diffraction pattern**

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230   Figures 1a and 1b show calculated X-ray diffraction patterns of the theoretical

231   structure of the middle term of the solid solution, $Mg_{0.50}Fe_{0.50}SiO_3$, in respectively

232   high-spin and low-spin configurations, computed at 90 GPa. We use one unit cell with

233   4 formula units, i.e. 4 A sites, where we replace half the A atoms with Mg and half

234   with Fe. Basic math tells us there are six possibilities, i.e. combinations of four by

235   two. In the high-spin phases, there are no distortions associated to the spin, the

236   orthorhombic symmetry is preserved (even though some forbidden reflections

237   conditions might be lifted), which makes the four crystallographic sites equivalent.

238   When we proceed with the Fe/Mg replacements, based on symmetry-determined

239   multiplicities, only three different Fe/Mg atomic configurations are needed to describe

240   the high-spin state. In the low-spin phase, there are distortions associated to the spin,

241   the orthorhombic symmetry is not preserved, and the four A sites are not equivalent

242   anymore. Eventually six configurations are needed to describe all possible Mg/Fe

243   distribution in one unit cell for the low-spin state.

244   For the high-spin magnetic cases we choose the ferromagnetic configuration,

245   as the structural differences between the ferromagnetic and the antiferromagnetic

246   orthorhombic $FeSiO_3$ are small enough not to be detectable in diffraction. Arrows in

247   panel *b* show the changes occurring due to the spin transition, like broadening of the

248   peaks and appearance of new weaker peaks and shoulders.

249   In a powder experiment of a solid solution crystal, each configuration would

250   contribute with its own diffraction pattern, to both peak position and intensities. For

251   our case, though within a limited configurational space, we may distinguish between

252   several such different atomic arrangements. The corresponding diffraction of each one

253   of them is represented with light red lines in Figures 1a and 1b. They are then

254   summed up to obtain the full powder diffraction spectrum, represented by the bold

255   blue lines.

256   All the major diffraction peaks preserve their position and intensity throughout

257   the spin transition. But the spectra before and after the transition are different in the

258   detail, as shown in Figures 1a and b due to symmetry breaking. Several new peaks

259   appear in the low-spin phase as a result of degeneracy lifting, and new shoulders

260   develop and grow to result in somewhat broader peaks than in the case of the high-

261   spin spectrum. This is due to the degeneracy lifting for the structural configurations.

262   In this way more individual contributions are recorded, and almost each one is but

263   slightly different from the others. This symmetry breaking lifts degeneracies between

264 diffraction peaks like the orthorhombic 110 and -110. They are the same in an

265 orthorhombic group, but different in a monoclinic or triclinic group occurring after

266 the spin transition. In the latter symmetry setup, this would result in two peaks. If the

267 non-orthorhombic distortions are small then they can overlap or appear as shoulders

268 and/or broadening of the same larger peak. Their intensity can increase too, as is the

269 case for the -110 peak at 7.4° 2θ angle and the 102 and 012 peaks above 9° 2θ angles.

270 The latter sets of peaks are invisible in MgSiO3 perovskite, become very weakly

271 visible in the high-spin configuration because of the Mg/Fe substitution that lifts the

272 ban of this phase extinction, and have their intensity enhanced in the low-spin phase

273 because of the symmetry breaking and associated structural distortions.

274   Similarly, a set of new weak peaks appears due to lifting and changing the

275 selection rules as a result the symmetry breaking. For example the orthorhombic

276 space group bans a series of reflections, like h00, 0k0, or 00l with h, k, or l even

277 numbers or the 0kl with l odd, namely peaks like 012, 102, etc. (Hahn and Arroyo

278 2006). Any distortion would then break at least some of these rules. Again, if the

279 distortion is small, the resulting new peaks are weak, but visible, and would occur

280 after the spin transition. Such peaks are the 02-1 around 11.5° 2θ angles, the 02-2

281 around 13° 2θ angles, and the 123 around 15.9° 2θ angles. They are all represented

282 with arrows in Figure 1b, and they all have their correspondence in both experiment

283 and theory.

284   The experimental XRD pattern, recorded at 89 GPa and 300 K after heating at

285 around 2000 K for 1 hour is represented in Figure 1c. Both theoretical and

286 experimental patterns use 0.414 Å wavelength radiation. Refined experimental lattice

287 parameters are a=4.4395±0.0038 Å; b=4.6361±0.0027 Å; c=6.4144±0.0069 Å;

288 V=132.02±0.20 Å^3. These values are within 1% of the theoretical, which are

289 respectively 4.42 Å, 4.64 Å, and 6.39 Å at 90 GPa. Consequently, the exact position

290 and intensity of the diffraction peaks are only slightly different between the

291 theoretical and the experimental structures because of these differences in

292 pressure/volume, and because of the precise iron amount and iron distribution. In

293 order to further decrease these discrepancies for our comparison, we use the

294 experimental lattice parameters to model the theoretical spectra in Figure 1a and 1b.

295   Apart from intensity rescaling, there is an excellent one-to-one correlation

296 between all the major peaks of the theoretical and the experimental patterns. All these

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297   major peaks can be indexed using the orthorhombic setting. Stars in panel c denote

298   several weak peaks that cannot be indexed using the orthorhombic setting. This may

299   suggest that something happened to the structure, which affected the details of the

300   atomic arrangements, but not the general topology. At least two, possibly four, of

301   these peaks have a good match to the new theoretical peaks predicted to occur during

302   the spin transition. It is possible that the remaining unindexed peaks correspond to

303   particular Mg/Fe configurations that were not explicitly covered in our theoretical

304   analysis.

305   Finally we perform additional XES measurements (runs #2 and 3) to examine

306   the spin state of iron in $Mg_{0.5}Fe_{0.5}SiO_3$ perovskite. In the first set of experiments, the

307   sample was squeezed to 93 GPa at room temperature. The sample was then heated at

308   1700-2100K for 43 minutes and the diffraction peaks from the perovskite phase

309   appeared upon heating. Subsequently, the spin state was examined by XES at 88 GPa

310   and 300 K. Next we increased the pressure to 100 GPa and obtained the X-ray

311   emission spectrum. Afterwards, the spectra were collected with decreasing pressure

312   down to ambient pressure. In the second run, we first compressed the sample to 93

313   GPa. During subsequent heating at 2000-2300 K, the peaks of perovskite appeared.

314   After heating for 110 mins, the X-ray emission spectrum was obtained at 93 GPa and

315   room temperature. Next we increased the pressure and collected the spectrum at 98

316   GPa.

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319   **Vibrational spectra**

320   A second set of alternative methods proposed here for the identification of the

321   spin transition is represented by the vibrational spectroscopies, like Raman or

322   infrared. The volume collapse at the transition induces a hardening of the phonons

323   visible as an upward shift of all modes at the transition. Furthermore, the symmetry

324   breaking participates to changing the Grüneisen parameters and also may induce

325   changes of the mode characters, which in turn can be reflected in their activation for

326   either Raman or infrared spectroscopy.

327   Figure 2 shows the correspondence of the theoretical vibration modes in the

328   zone-center between the high-spin and the low-spin phases. The calculations were

329   done for the pure $FeSiO_3$ end-member term and for one configuration of the

330   intermediate term $(Mg_{0.75}Fe_{0.25})SiO_3$. The frequencies of the modes in the low-spin

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331 phases are represented on the horizontal axes and in the high-spin phase on the

332 vertical axes. If there were no changes at the spin transition, all the points would fall

333 on the black diagonal line. The spread and the negative shift of modes in the high-spin

334 with respect to the low-spin, suggest changes occur at the transition. These changes

335 are strong for the pure end-member term (panel a), but changes in specific peaks

336 could be visible even for large dilutions (panel b), with only 25% Fe on the Mg site.

337 For the end-member $FeSiO_3$ term, represented in Figure 2a there is a clear

338 shift of the majority of the phonon frequencies at the spin transition, e.g. a mode at

339 400 cm^{-1} in the high-spin phase is found in the low-spin not at 400 cm^{-1} (this would

340 fall on the black line), but above. This shift can actually be as large as 150 cm^{-1} or

341 more for some specific modes, notably the low-frequency modes dominated by the

342 displacements of the iron atoms. The shift in the higher-frequency modes, dominated

343 by O displacements is due to the modifications in the geometry and bond distances

344 within the Fe-O coordination polyhedral. The last highest-frequency modes are only

345 weakly affected by the spin transition as these modes are dominated by the breathing

346 modes of the octahedra, which do not suffer much from the magnetic collapse.

347 The same pattern is observed even for diluted systems, along the $MgSiO_3$-

348 $FeSiO_3$ join. Figure 2b shows the shifts for a composition of 75%Mg and 25%Fe, not

349 far from realistic iron concentrations. Even here the frequencies of the phonon modes

350 exhibit a raise in wavevector number. The most significant changes are for the modes

351 whose eigenvectors are dominated by the displacements of the iron atoms; in these

352 cases the upward shifts can reach almost 100 cm^{-1}.

353 The inset in Figure 2(b) shows the pressure dependence of the frequencies for

354 several modes, whose derivatives yield the Grüneisen parameters, clearly different

355 between the high-spin and the low-spin phases.

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Discussion

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360 The occurrence of the spin transition for an intermediate term in a solid

361 solution, like $MgSiO_3$-$FeSiO_3$, is a continuous process over a certain pressure (and

362 temperature) range (Tsuchiya et al. 2006). Consequently, the peak shifts of both

363 diffraction and vibrational patterns associated to the volume collapse due to the spin

364 transition are smoothed out; then one would not expect to be able to detect them.

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365   Similarly, other changes of the diffraction, like appearance of new peaks, broadening

366   of others, etc., are not sudden but continuous and would be hard to follow

367   experimentally. However differences should occur in the patterns before and after the

368   transition, i.e. between the high-spin and the low-spin phases, in terms of general

369   topology and/or pressure dependence.

370   The smoothness of such a transition is clearly observed in the experimentally

371   obtained X-ray emission spectra. The relatively low-pressure range of spin transition

372   of ferrous iron in Fe-rich perovskite is consistent with theoretical predictions

373   (Bengtson et al. 2008; Umemoto et al. 2008). Figure 3 shows such a spectrum

374   recorded in situ for pressures up to 100 GPa. The thermodynamic path was mostly

375   decompression. A satellite $K\beta$' peak is clearly observed occurring with decreasing

376   pressure, a mark for the presence of a high-spin state at low pressures. At higher

377   pressure the peak does not vanish completely, when compared to the FeO low-spin

378   reference. This suggests either a non-complete spin transition, or a possibility of some

379   residual Fe^{3+} contributing to the electronic density.

380   Finally the smoothness of the transition would make any shift in Raman or

381   infrared frequencies hardly visible in an experimental measurement. However, as

382   shown in the inset of Figure 2b, the pressure derivatives, i.e. the Grüneisen

383   parameters, of some particular modes are different in the high-spin and the low-spin

384   phases. This would make them preferred tracers of the spin transition: the Grüneisen

385   parameters of these modes would have two distinct values at low and high pressures

386   with an average intermediate trend as the transition advances.

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389   **Implications**

390   We have shown that the spin transition of iron in Fe^{2+}-bearing $MgSiO_3$

391   perovskite bears specific signatures in both XRD patterns and Raman/infrared spectra.

392   Our assertions are based on results from first-principles calculations performed within

393   the density-functional theory. The volume collapse and the symmetry breaking

394   associated to this transition make that new peaks may appear in diffraction.

395   Experimental recordings of powder diffraction data on iron-rich perovskite samples

396   after the spin transition support our numerical results.

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397   However, caution must be paid, because the relative low intensity of these

398   extra peaks makes them hard to observe in more Fe-diluted systems. Already for the

399   large Fe concentrations of our samples, the strong NaCl and Au reflections together

400   with a high background, make the observation and identification of such peaks a

401   difficult task (hence both solid and dashed arrows in Figure 1c). For example recent

402   single-crystal experimental data (Boffa Ballaran et al. 2012) on diluted samples (4

403   mol % Fe) did not observe the distortions seen here. Moreover some of the theoretical

404   peaks overlap with the reflections of Au, and thus cannot be observed experimentally.

405   Certain Raman and infrared modes exhibit an upward frequency shift during

406   the transition, and different Grüneisen parameters in the high-spin and the low-spin

407   phases. While this still waits for experimental confirmation, the predicted changes in

408   Grüneisen parameters could signify differences in the thermodynamical properties of

409   perovskite before and after the spin transition, differences not only due to volume, but

410   intrinsic to the structure.

411   This can have further implications for modeling the Earth's mantle, if such a

412   transition takes place at large depths. But even if the transition in perovskite occurs

413   beyond the thermodynamical limits of the Earth's mantle, our study suggests that

414   more attention should be paid to the effect of the spin transition on physical properties

415   of mantle minerals other than seismic.

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589   Figure 1. Theoretical X-ray diffraction patterns for the $(Mg_{0.5}Fe_{0.5})SiO_3$

590   perovskite in high- (a) and low- (b) spin configurations. The symmetry breaking that

591   is associated to the spin transition induces changes in the diffraction pattern, like

592   appearance of new peaks, shoulders and peak broadening. Red arrows in (b) point to

593   such new peaks. (c) Room-temperature XRD patterns of $Mg_{0.5}Fe_{0.5}SiO_3$ collected

594   after heating at 89 GPa. The diffraction peaks from $Mg_{0.5}Fe_{0.5}SiO_3$ perovskite were

595   indexed on an orthorhombic *Pbnm* cell. Pv, perovskite; Au, gold. In situ experimental

596   diffraction patterns show clearly the occurrence of such new peaks after the spin

597   transition. Red arrows in (c) point to the correspondence between the new diffraction

598   peaks predicted by calculations and the experimental peaks, which cannot be indexed

599   using a standard orthorhombic structure for perovskite: solid and dashed lines

600   correspond to certain and less certain correspondence. Theoretical diffraction patterns

601   are obtained using the experimental lattice parameters, and theoretical reduced atomic

602   positions, to account for the symmetry breaking. This minimizes the peak position

603   mismatch due to the standard discrepancies between DFT and experimental volumes

604   and pressures.

605

606   Figure 2. Correspondence between the theoretical frequencies of the vibrational mode

607   in zone center for pure $FeSiO_3$ perovskite (a) and for $(Mg_{0.75}Fe_{0.25})SiO_3$ perovskite

608   (b), computed at several pressures. The frequencies in the low-spin are on the

609   horizontal axis and in the high-spin are on the vertical axis. If there were no changes

610   due to the spin transition all the points would fall on the black lines, which is clearly

611   not the case. The inset in panel (b) shows the pressure (horizontal axis in GPa)

612   dependence of the frequencies (vertical axis in wavenumbers in cm^{-1}) of several

613   modes in both the high-spin (solid line) and the low-spin (dashed line) phases. Their

614   derivatives, i.e. the Grüneisen parameters, are clearly different between the two spin
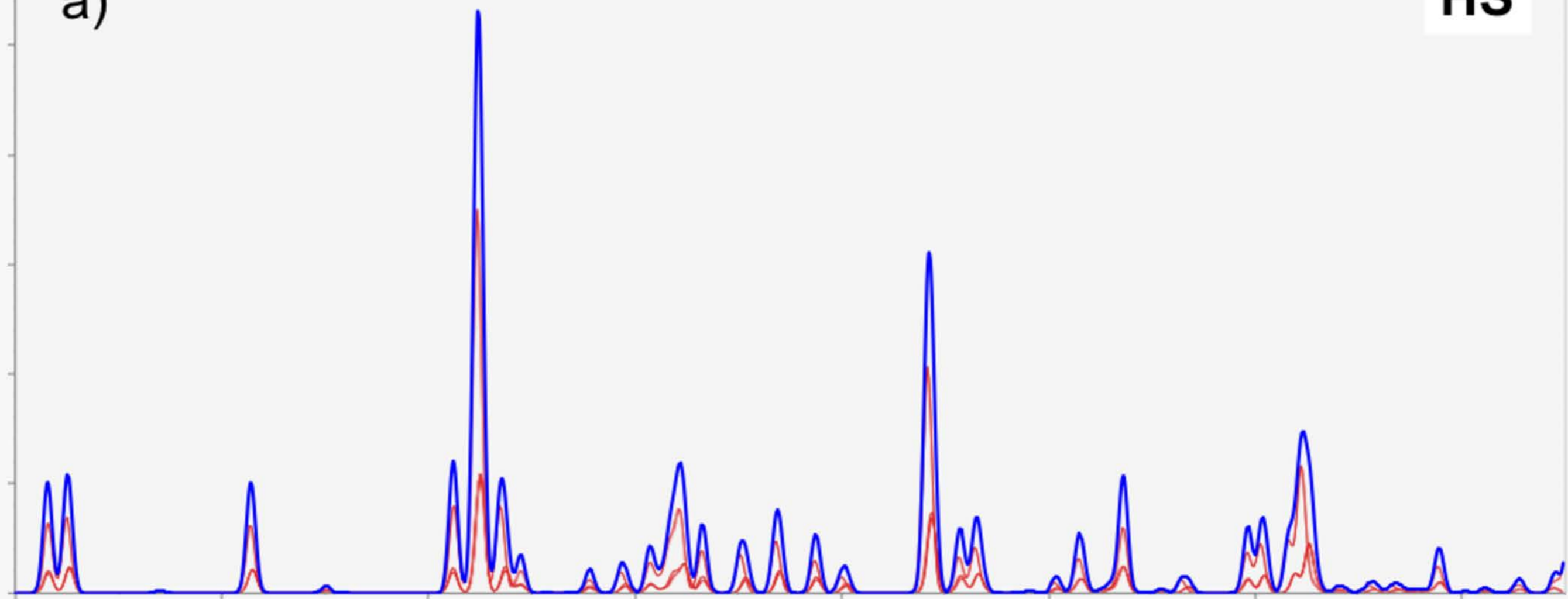
615   states.

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617   Figure 3. (a) Evolution of X-ray emission spectra of $Mg_{0.5}Fe_{0.5}SiO_3$ perovskite (solid

618   squares, run #2; open squares, run #3). Measurements were conducted at 300 K. All

619   spectra are normalized to transmitted intensity, and shifted so that the weighted

620   average of main ($K\beta$) plus satellite ($K\beta$') emission lines is set to 7058 eV. X-ray

621   emission spectrum of FeO at 146 GPa is also shown as a reference of Fe^{2+} in low-spin

622   state (Ozawa et al. 2011). (b) Normalized intensity of the $K\beta$' peak as a function of
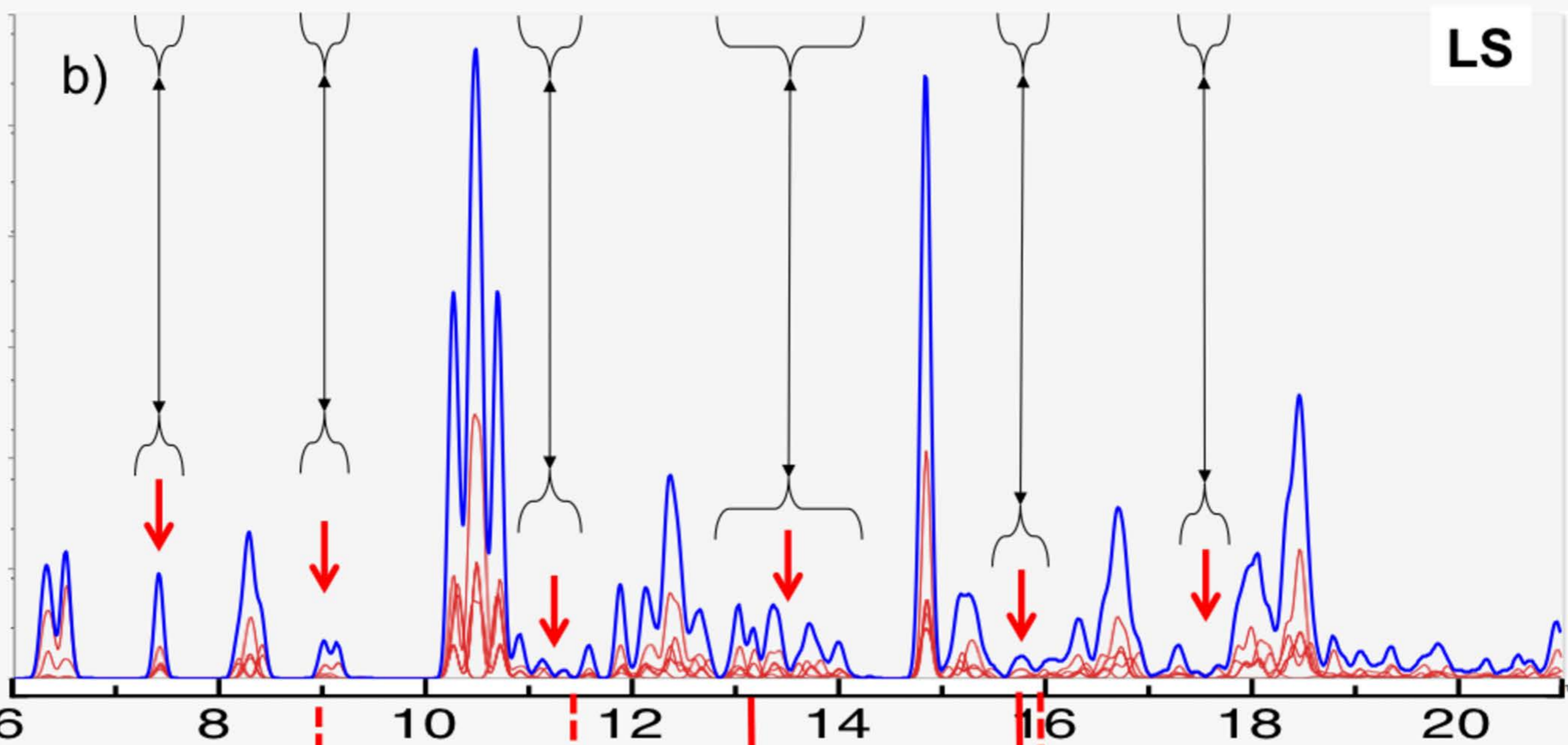
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623   pressure; same symbols as (a). The intensity of the satellite peak was obtained by

624   subtracting each spectrum from the reference spectrum shown in (a). The intensity of

625   the $K\beta$' peak is proportional to the relative abundance of the high-spin and low-spin

626   species (Badro et al. 2004). The intensity of the satellite Fe $K\beta$ peak clearly

627   diminishes with pressure, but fails to disappear completely, suggesting that some

628   residual iron did not yet undergo the spin transition.

20

a) HS

b) LS

c) Exp.

Pv 110 + 002
Pv 111
NaCl 100
Pv 020
Pv 112
Pv 200
Au 111
NaCl 110
Pv 211
Au 200
Pv 202
Pv 113
Pv 122
Pv 212
NaCl 111
Pv 220 + 004
Pv 023
Pv 221
Pv 130
Pv 222
Pv 131
NaCl 200
Au 220

89 GPa, 300 K

Intensity

Azimuthal angle (deg.)

2-theta angle (deg.)

a)

b)

a) Plot of Intensity versus Energy (eV), with curves labeled:
- 88 GPa
- 100 GPa
- 94 GPa
- 75 GPa
- 65 GPa
- 55 GPa
- 41 GPa
- 22 GPa
- 0 GPa
- 93 GPa
- 98 GPa
- FeO (LS)

b) Plot of Normalized intensity versus Pressure (GPa).