1	Revision 3
2	Aluminum ion occupancy in the structure of synthetic saponites: effect on
3	crystallinity
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21 ABSTRACT

Two series of saponites with fixed (Si+Al)/Mg and Si/Mg ratios, respectively, were 22 synthesized by using hydrothermal methods. The obtained products were characterized 23 by XRD, XRF, ²⁷Al and ²⁹Si MAS NMR, SEM and TEM. XRD patterns showed that 24 well-ordered saponites were obtained in the initial Si/Al ratio range of 5.43 - 7.89. 25 Beyond this Si/Al ratio range, poorly crystallized saponites were obtained with small 26 crystallized particles, which can be seen from TEM images. When intercalating saponite 27 28 with surfactant, the intercalated products displayed strong and well-ordered (001) reflections, indicating that layered saponite has been successfully synthesized in the 29 present study. ²⁷Al MAS NMR spectra demonstrated that well crystallized synthetic 30 saponites had a higher Al(IV)/Al(VI) ratio than the poorly crystallized samples, which is 31 an important factor affecting the crystallinity of synthetic saponite. A one-to-one 32 substitution (i.e. $1 \text{ Al}^{3+} \rightarrow 1 \text{ Mg}^{2+}$) actually occurred in the octahedral sheet and this 33 substitution had a negative effect on the crystallinity of the synthetic saponites. After 34 grafting the synthetic saponites with silane, the decreased intensity of the ²⁹Si NMR 35 signal at -86 ppm and the increased intensity of Q^3 Si(0Al) and Q^3 Si(1Al) signals 36 strongly suggested that the signal at ca. -86 ppm corresponded to Q^2 Si at the laver edges 37 of saponite. 38

Keywords: Synthetic saponite, occupancy of aluminum ion, crystallinity, ²⁷Al and ²⁹Si
 MAS NMR, isomorphous substitution

41 **INTRODUCTION**

Saponite is a 2:1 type trioctahedral phyllosilicate of the smectite group of clay minerals. 42 The saponite structure is composed of a central octahedral sheet with essentially a brucite 43 [Mg₃(OH)₆] structure, in which four out of six OH⁻ groups are replaced by oxygen atoms. 44 These oxygen atoms are connected to two tetrahedral sheets consisting of Si⁴⁺ and O²⁻ 45 situated on both sites of the central octahedral sheet. The ideal structural formula of 46 saponite can be presented as $M_x^+[Mg_3][Si_{4-x}Al_x]O_{10}(OH)_2 \cdot nH_2O$, where M is the 47 48 exchangeable interlayer cation (Brigatti et al. 2006). Natural saponites are usually formed from weathering of Mg-containing rocks. Due to 49 the complexities of the chemical compositions of the mother rocks and physical-chemical 50 conditions in the geological process of saponite formation, isomorphous substitution of 51 Al^{3+} for Si^{4+} in the tetrahedral sheet and Mg^{2+} by metals with different valencies (e.g., 52 Al³⁺, Fe³⁺, Li⁺, Mn²⁺, Ni²⁺, Zn²⁺) in the octahedral sheet extensively occurred (Mackenzie 53 1957; Vicente Rodriguez et al. 1994). Generally, the isomorphous substitution of Al^{3+} for 54 Si⁴⁺ in the tetrahedral sheet is dominant and saponite is negatively charged, in which the 55 56 net negative charges are compensated by exchangeable interlayer cations. Because of its high surface acidity and thermal stability, saponite has been widely used 57 as a heterogeneous catalyst and catalyst support (Varma 2002; Casagrande et al. 2005; 58 Vogels et al. 2005a) as well as a filler in the preparation of polymer nanocomposites 59

- 60 (Giannelis et al. 1999; Alexandre and Dubois 2000; Zanetti et al. 2000). The surface
- 61 properties (e.g., acidity, interlayer swelling) of clay minerals are also highly dependent on

their chemical composition which, in turn, is strongly affected by the extent ofisomorphous substitution in their layer structure (Moronta, 2004).

For industrial applications, it is very important that the composition and properties of clay materials can be adjusted for different industries. But for a specific field, the composition and property are demanded to be homogeneous. The chemical composition and property of natural saponites can be extremely variable, which strongly depend on the chemical composition of the mother rock, the genesis process and the provenance (Utracki et al. 2007). This variability represents a strong limitation in their applications especially when surface properties have to be strictly controlled, such as in catalysis.

71 For this reason, different methods have been developed to prepare synthetic saponites with well-controlled chemical composition and property (Farmer et al. 1991, 1994; 72 Kloprogge et al. 1993, 1994a, 1994b; Vogels et al. 1997, 2005b; Kawi and Yao 1999; 73 74 Yao et al. 2005; Higashi et al. 2007; Bisio et al. 2008; Vicente et al. 2010; Xue and Pinnavaia 2010). For instance, Farmer et al. (1991, 1994) synthesized well-formed 75 saponite in hydrazine-water mixtures and found that the presence of Fe^{2+} in a calcareous 76 environment may promote the formation of saponite. Different NH₄⁺ saponites with 77 78 variable crystallinity, platelet dimensions, specific surface areas and cation exchange capacities were obtained by varying synthesis gel composition and crystallization 79 temperature (Kloprogge et al. 1993). Bisio et al. (2008) reported that different H₂O/Si 80 molar ratios of the gels strongly affected the surface properties of the saponite samples, 81 including texture, adsorption property, thermal stability, and acidity of surface species. 82

These studies paid attention mainly to the influences of experimental conditions on the crystallinity and properties of synthetic saponites (Zhang et al. 2010).

In addition to the effects of synthesis conditions (e.g., starting materials, temperature, 85 pressure, ageing time, microwave heating) (Kloprogge et al. 1999; Zhang et al. 2010), a 86 noteworthy problem is the occupancy of the substituting ions (e.g., Al^{3+}) in synthetic 87 saponites, which is the key factor controlling the structure and properties of synthetic 88 saponites. Isomorphous substitution will lead to a distortion of tetrahedral and octahedral 89 90 sheets and a further effect on the stacking order of saponite layers (Kloprogge et al. 91 1994a). On the other hand, different types of substitution can result in a significant effect 92 on net charges of synthetic saponites and a further effect on cation exchange capacity (CEC). For example, a muscovite substitution in the octahedral sheet (Vogels et al. 93 2005b), $2Al^{3+} + 1$ vacancy $\rightarrow 3Mg^{2+}$, has no effect on the total net charges of saponite 94 whereas a one-to-one (1 $Al^{3+} \rightarrow 1 Mg^{2+}$) substitution will create a positive charge 95 (Suquet et al. 1981). The latter compensates a negative charge created by the substitution 96 of Si⁴⁺ by Al³⁺ in the tetrahedral sheet and decreases the total net charge of saponite. 97

In this respect, Kloprogge and co-workers have conducted a series of investigations during the last two decades, by using a combination of various characterization techniques (Kloprogge et al. 1993, 1994a, 1994b; Vogels et al. 1997, 2005b). Al ions could occupy both tetrahedral and octahedral sites and the Al(IV)/Al(VI) ratio increased with increasing synthesis temperature (Kloprogge et al. 1994a, 1994b). A muscovite substitution $(2Al^{3+} + vacancy \rightarrow 3Mg^{2+})$ was proposed for the substitution of Al^{3+} for 104 Mg^{2^+} in the octahedral sheet of synthetic saponites (Vogels et al. 2005b). This is very 105 different from the model (1 $Al^{3^+} \rightarrow 1 Mg^{2^+}$) suggested by Suquet et al. (1981). These 106 findings are helpful for understanding the structure and property of synthetic saponites. 107 Unfortunately, further investigation about the relationship between the occupancy of the 108 substituting Al^{3^+} and the structure/property of synthetic saponite has not been reported so 109 far.

The main aim of this study is to investigate occupancy priority of Al ions between 110 111 tetrahedral and octahedral sites and its effect on the structure and crystallinity of synthetic 112 saponites. Hence, two series of saponites with fixed (Si+Al)/Mg and Si/Mg ratios, 113 respectively, were synthesized by using hydrothermal methods as described by Kawi and Yao (1999). The obtained saponites were characterized by X-ray diffraction (XRD), 114 X-ray fluorescence spectroscopy (XRF), ²⁷Al and ²⁹Si solid-state magic-angle-spinning 115 116 nuclear magnetic resonance spectroscopy (MAS NMR), scanning electron microscopy 117 (SEM) and transmission electron microscopy (TEM).

118 EXPERIMENTAL METHODS

Preparation methods

Synthesis of saponite. Synthetic saponite samples were prepared by modifying the literature method indicated by Kawi and Yao (1999). The ratios of Si, Al and Mg in the starting materials and the products are shown in Table 1. A general synthesis procedure is as follows: A buffer solution was prepared by dissolving 18.00 g of NaOH and 32.80 g of NaHCO₃ in 250 mL of deionized water. Then, desired amounts of sodium metasilicate

125	$(Na_2SiO_3 \cdot 9H_2O)$ solution were added to the buffer solution under vigorous stirring. The
126	obtained solution was noted as Solution A. Solution B was prepared by dissolving desired
127	amounts of AlCl ₃ ·6H ₂ O and 30.80 g of MgCl ₂ ·6H ₂ O in 25 mL of deionized water. Then,
128	Solution B was slowly added into Solution A with continuous stirring until a uniform gel
129	was eventually obtained. After that, the gel was transferred to a Teflon-lined autoclave
130	and treated at 160 °C for 24 h.

131 In order to exclude the excess electrolytes in the resultant products, the obtained products were washed 8 times with deionized water, then dried at 80 °C and ground 132 133 before characterizations. The series of synthetic saponites with fixed (Si+Al)/Mg (Series I) was prepared by adjusting the amounts of AlCl₃·6H₂O and Na₂SiO₃·9H₂O, and the 134 obtained products were denoted as SAP-I-X, in which X stands for Si/Al ratio in the 135 starting material. By the same method, the synthetic saponites with fixed Si/Mg (Series II) 136 were prepared by adjusting the added amounts of $AlCl_3 \cdot 6H_2O$. The obtained products 137 were marked as SAP-II-X (X = Si/Al ratio in the starting material). The cationic 138 exchange capacity (CEC) of the synthesized saponites was determined by the method 139 reported in the literature (Hu et al. 2000; Bisio et al. 2008). The synthetic saponites (500 140 mg) were exchanged with 30 mL of a 0.05 M solution of $[Co(NH_3)_6]^{3+}$. After separation 141 by centrifugation, the solution was analyzed by UV-Vis spectrophotometer (UV-7504). 142 The decrease of absorbance at 474 nm, typical of the CT transition of $[Co(NH_3)_6]^{3+}$, is 143 quantitatively related to the difference in concentration by means of a calibration with 144 standard solutions. 145

146	Intercalating saponite with surfactant. Intercalation experiments were carried out
147	with hexadecyltrimethylammonium bromide (HDTMAB) at a concentration to yield an
148	amount equivalent to the CEC of the saponite as described in the literature (He et al.
149	2010). A desired amount of HDTMAB was dissolved in 30 mL of distilled water. Then,
150	1.00 g of synthetic saponite (e.g., SAP-I-2.33, SAP-I-5.43, SAP-I-7.89) was added into
151	the prepared solution containing HDTMAB. The mixtures were stirred at 60 °C for 6 h.
152	All the products were washed with deionized water six times and dried at 80 °C. The
153	intercalated products prepared from SAP-I-2.33, SAP-I-5.43 and SAP-I-7.89 were
154	marked as SAP-I-2.33-1CEC, SAP-I-5.43-1CEC and SAP-I-7.89-1CEC, respectively.
155	Grafting saponite with silane. The grafting reaction was carried out in a mixture of
156	water/ethanol (25/75 by volume) as reported in the literature (He et al. 2005). 3.00 g of
157	synthetic saponite (SAP-I-5.43, SAP-I-12.30 and SAP-I-39.00) and 7.05 mL of
158	3-aminopropyltriethoxysilane (APTES, with a purity of 99%, from Aldrich) were mixed
159	by stirring in 60 mL of water/ethanol mixture at 80 °C for 24 h. The products were
160	filtered, washed six times using the mixture of water/ethanol and dried at 80 °C. Then, the
161	resultant product was grounded and placed in a sealed container for characterization. The
162	grafting products prepared from SAP-I-5.43, SAP-I-12.30 and SAP-I-39.00 were denoted
163	as SAP-I-5.43-APTES, SAP-I-12.30-APTES and SAP-I-39.00-APTES, respectively.
164	Analytical techniques

165 X-ray diffraction (XRD). Randomly oriented powder X-ray diffraction patterns
166 (XRD), to determine the basal spacing of the sample, were collected between 1° and 80°

167 (2 θ) at a scanning rate of 1° (2 θ) min⁻¹ on a Bruker D8 Advance diffractometer with 168 Ni-filtered CuK α radiation (λ =0.154 nm, 40 kV and 40 mA).

Transmission electron microscopy (TEM). TEM images were collected on a JEOL 2010 high resolution transmission electron microscope operated at an accelerating voltage of 200 kV. Specimens were prepared by dispersing the sample in ethanol and ultrasonically treating for 5 minutes. A drop of the resultant suspension was placed on a holey carbon film supported by a copper grid, after which the ethanol was evaporated. Chemical analysis was executed using the attached energy-dispersive X-ray (EDX) analyzer to obtain the molar ratio of Si, Al and Mg in the synthetic saponites.

Field Emission Scanning Electron Microscopy (FE-SEM). SEM images were recorded on a Sirion-200 field emission scanning electron microscope, using a tungsten filament as electron source. Samples were disaggregated by sonication in ethanol. A conductive coating of gold by low-pressure plasma was finally deposited to avoid the electronic charging on the insulating particles under the electron beam.

X-ray fluorescence spectroscopy (XRF). Elemental analysis was conducted on a Rigaku RIX 2000 X-ray fluorescence spectrometer (XRF). Saponite samples were ground using an agate mortar to 200 mesh. Loss-on-ignition was obtained by weight loss of the sample ignited in a furnace at 900 °C for 2 h and allowed to cool in a desiccator to minimize moisture absorption. About 500 mg calcined saponite sample and 4 g Li₂B₄O₇ were mixed homogeneously, and the mixture was digested in a Pt-Au alloy crucible at 1150 °C in a high-frequency furnace. The quenched bead was used for XRF measurements (Goto and Tatsumi 1994). The calibration line for Si/Al ratio used in quantification was produced by bivariate regression of the Si/Al data measured for 36 reference materials encompassing a wide range of silicate compositions, and analytical uncertainties were mostly between 1% and 5%.

Magic-angle-spinning nuclear magnetic resonance spectroscopy (MAS NMR). 192 Both ²⁷Al MAS NMR and ²⁹Si cross polarization (CP) MAS NMR measurements were 193 carried out on a Bruker AVANCE III 400 WB spectrometer. The resonance frequency of 194 29 Si was 79.5 MHz. Samples were packed in a 7 mm ZrO₂ rotor and spun at the magic 195 angle (54.7°), and the spin rate was 7 kHz. ²⁹Si CPMAS NMR spectra were recorded with 196 a contact time of 4.5 ms and a recycle delay of 2 s, using tetramethylsilane (TMS) as an 197 external reference. The corresponding resonance frequency of ²⁷Al was 104.3 MHz. 198 Samples were packed in a 4 mm ZrO_2 rotor and spun at the magic angle (54.7°), and the 199 200 spin rate was 15 kHz. Single pulse magic angle spinning spectra were acquired using a high power 0.5 μ s pulse, corresponding to a tip angle of 18° and a recycle delay of 0.5 s. 201 The ²⁷Al chemical shift was externally referenced to a 1.0 M aqueous solution of 202 Al(NO₃)₃. Peak component analysis was undertaken using a Gauss–Lorentz cross-product 203 204 function applied by the PEAKFIT software package. The minimum number of component bands was obtained with squared correlations ≥ 0.995 . 205

206 RESULTS AND DISCUSSION

207 Crystallinity and morphology of the synthetic saponites

Table 1 shows the molar ratios of Si, Al and Mg in the synthesis gels, CEC and 10

209 Al(IV)/Al(VI) ratios of the synthesized saponites. In the case of Series I, the added amount of Mg and the total amount of Si and Al were fixed (i.e., (Si+Al):Mg = 4:3) while 210 211 the Si/Al ratio was different from each other. For Series II, the added Si and Mg amounts 212 were fixed and that of Al was varied, keeping an identical Si/Al ratio to that of the corresponding one in Series I. That is to say, samples in Series II can be considered as a 213 214 case in which the added Mg amount was changed while the Si/Al ratio was identical to the corresponding one in Series I. This is helpful for us to well understand the influence 215 216 of the added amount of Mg on the occupancy of Al in the synthetic saponites. As shown 217 in Table 1, the nominal Si/Al ratios are similar to those measured, implying that the 218 synthesis reaction is almost complete.

The powder XRD patterns of the synthetic saponites are shown in Figure 1. A series of 219 reflections are recorded at ca. 1.33-1.41, 0.45-0.46, 0.26 and 0.153 nm, corresponding to 220 (001), (02,11), (13,20) and (060) reflections of the synthetic products, respectively 221 222 (Vogels et al. 2005b). The d value of (006) reflection at 0.153 nm is an indicative evidence for trioctahedral clay minerals. There were no obvious reflections of impurities, 223 indicating that pure saponites have been successfully synthesized. However, the broad 224 225 and weak (001) reflections suggest that the crystallinity of the synthetic saponites is 226 relatively poor when compared with natural ones.

XRD patterns (Fig. 1) show that relatively strong (001) reflections were recorded in
 SAP-I-7.89, SAP-I-5.43 and SAP-I-4.50 in Series I, and SAP-II-7.89 and SAP-II-5.43 in
 Series II, indicating that well crystallized and ordered saponites were synthesized. With

230	an increase or decrease of Si/Al ratio, the (001) reflection was obviously weakened and
231	broadened, corresponding to poorly crystallized synthetic saponites. However,
232	considering the added amount of Mg in Series II is significantly more than that in the
233	corresponding sample in Series I (Table 1), we can conclude that the initial Si/Al ratio has
234	a more prominent effect on the crystallinity of the synthetic saponites than Mg does.
235	This is also evidenced by SEM and TEM observations as shown in Figure 2. In the
236	SEM images of SAP-I-5.43 (Fig. 2b) and SAP-I-7.89 (Fig. 2c), well crystallized layers of
237	saponites can be extensively observed. However, SAP-I-2.33 (Fig. 2a) and SAP-I-39.00
238	(Fig. 2d) displayed small particle aggregates and some discrete small saponite layers
239	could be observed among particles in SAP-I-39.00. As further indicated by TEM images,
240	the aggregated particles in SAP-I-2.33 (Fig. 2e) contained crystallized small saponite
241	layers with random orientation while SAP-I-5.43 (Fig. 2f) displayed large saponite layers.
242	A small amount of amorphous materials, as determined by selected area electron
243	diffraction (SAED), were indeed observed in the synthetic saponites. The Si/Al ratios in
244	SAP-I-2.33, SAP-I-5.43 and SAP-I-7.89, derived from energy-dispersive X-ray (EDX)
245	analysis, are ca. 2.4 (average of nine points), 5.9 (average of five points) and 8.1 (average
246	of six points), respectively. These values are comparable to those determined using X-ray
247	fluorescence (XRF) as shown in Table 1.

To further identify the successful synthesis of saponites, intercalation experiments with hexadecyltrimethylammonium bromide (HDTMAB) were conducted at a concentration to yield an amount equivalent to the CEC of the saponite and the XRD $\frac{12}{12}$

251	patterns of the intercalated products are shown in Figure 3. A strong and well ordered
252	(001) reflection with a basal spacing at ca. 2.24 nm for SAP-I-2.33-1CEC (Fig. 3b), 2.83
253	nm for SAP-I-5.43-1CEC (Fig. 3d), 2.32 nm for SAP-I-7.89-1CEC (Fig. 3f) were
254	recorded, reflecting a paraffin bilayer arrangement adopted by the intercalated surfactant
255	within the interlayer space. This is similar to HDTMAB modified 2:1 type clay minerals
256	reported in the literature (Lagaly et al. 1981; He et al. 2004). Interestingly, even those
257	poorly crystallized synthetic saponites (e.g. SAP-I-2.33) displayed a (001) reflection with
258	high intensity after intercalation with surfactant. The intercalation experiments clearly
259	showed that layered saponites have been successfully synthesized in this study.

As discussed above, both XRD and electron microscope analysis results showed that 260 saponites have been successfully synthesized and the initial Si/Al ratio is an important 261 factor affecting the order of lamellae stacking and the particle size of the synthetic 262 263 saponites (Costenaro et al. 2012). This is associated with the distortion extent of the structural units due to the isomorphous substitutions of Al^{3+} for Mg^{2+} in the octahedral 264 sheet and Al^{3+} for Si^{4+} in the tetrahedral sheet. 265

From Table 1, we can find that the measured Si/Al ratio in the synthetic products of 266 267 Series II was higher than that in the corresponding samples of Series I, due to a larger added amount of Mg in the starting materials of Series II. As Mg only occupies the 268 octahedral sites in the saponite structure, the difference of the measured Si/Al ratio 269 implies that there is an occupancy competition between Al and Mg, and Mg can readily 270 271 enter into the octahedral sites prior to Al. This leads to a significant influence on the

- occupancy of Al ions in octahedral and tetrahedral sites in the saponite structure, which is
- 273 further supported by MAS NMR spectra (see below).

274 Occupancy of Al ions in the synthetic saponites

The information about Al occupancy in the synthetic saponites can be obtained from the ²⁷Al MAS NMR spectra (Figs. 4A and 4B). For all samples in the two series, the resonance at approximately 65 ppm, corresponding to Al (IV), is much stronger than that at around 9 ppm, corresponding to Al (VI) (Woessner 1989). This suggests that Al ions prefer to occupy tetrahedral sites instead of octahedral ones.

To investigate occupancy priority of Al in octahedral and tetrahedral sites, the ratios of 280 Al(IV)/Al(VI) in the synthetic saponites were calculated by deconvolution of ²⁷Al MAS 281 NMR spectra (Table 1). Well crystallized synthetic saponites (e.g., SAP-I-5.43, 282 SAP-I-7.89, SAP-II-5.43 and SAP-II-7.89) showed a higher Al(IV)/Al(VI) ratio than 283 284 poorly crystallized synthetic samples and the maximum values were reached in SAP-I-5.43 (Al(IV)/Al(VI) = 25.6) and SAP-II-5.43 (Al(IV)/Al(VI) = 25.3). It must be 285 noted that all the ²⁷Al MAS NMR spectra of the well crystallized samples (e.g., 286 287 SAP-I-5.43, SAP-I-7.89, SAP-II-5.43 and SAP-II-7.89) showed very low intensity of 288 Al(VI) signals while the intensity of Al(IV) signal is comparable to that of the samples 289 with low crystallinity. This suggests that Al(IV)/Al(VI) ratio has a significant effect on the crystallinity of the synthetic saponite i.e., Al occupancy in octahedral sites has a 290 291 negative effect on the crystallinity of the synthetic saponites.

The cation exchange capacities (CEC) of the synthetic saponites were determined and 14

shown in Table 1. With the substitutions of Al^{3+} for Si^{4+} and Al^{3+} for Mg^{2+} , the synthetic 293 saponites are negatively charged (Brigatti et al. 2006). It is noteworthy that those well 294 crystallized synthetic saponites had a relatively higher CEC value than other samples 295 (Table 1). The substitution of Al^{3+} for Si^{4+} in tetrahedral sheet will result in a negative 296 charge. However, there is two possible ways for substitution of Al^{3+} for Mg^{2+} in the 297 octahedral sheet, i.e., $1Al^{3+} \rightarrow 1Mg^{2+}$ (Suquet et al. 1981) and $2Al^{3+} + vacancy \rightarrow 3Mg^{2+}$ 298 (Vogels et al. 2005b). The case of $1Al^{3+} \rightarrow 1Mg^{2+}$ will result in a positive charge in the 299 synthetic product whereas $2Al^{3+}$ + vacancy $\rightarrow 3Mg^{2+}$ will not lead to any net charge. 300 From Table 1, we can find that the substituting Al^{3+} amount in the tetrahedral sheets 301 302 decreased with the increment of Si/Al ratio. This means that the CEC of the synthetic saponites should also decrease with the increment of Si/Al ratio if substitution of Al³⁺ for 303 Mg^{2+} in the octahedral sheet occurred as $2Al^{3+}$ + vacancy $\rightarrow 3Mg^{2+}$. But CEC 304 305 measurements showed that the saponites with both relatively high and low Si/Al ratio, corresponding to poorly crystallized samples, had low CEC values. This suggests that 306 substitution of $1Al^{3+} \rightarrow 1Mg^{2+}$ in the octahedral sheets occurred in the saponite synthesis 307 308 (Bisio et al. 2008).

On the other hand, ²⁹Si CPMAS NMR spectra also provided complementary evidences for Al occupancy (Figs. 4C and 4D). For the well crystallized synthetic saponites, two well resolved ²⁹Si signals were recorded at approximately –95 and –91 ppm, corresponding to Q³ Si(0Al) and Q³ Si(1Al) (Q^m(nAl), Q^m (m=0, 1, 2, 3, 4) refers to the polymerization state of Si, and nAl (n \leq m) to the number of Al in the next-nearest neighbor tetrahedral position), respectively (Lipsicas et al. 1984). With an increase or decrease of Si/Al ratio, the Q³ Si(0Al) and Q³ Si(1Al) signals merged into one broad signal, reflecting a decrease of local structural ordering around Si atoms and crystallinity of the synthetic saponites. This is in agreement with XRD and electron microscope analysis results.

As shown by the ²⁹Si CPMAS NMR spectra, the synthetic saponites with high Si/Al 319 ratio (e.g., SAP-I-39.00) displayed two well resolved broad signals centered at ca. -94 320 and -85 ppm, respectively. The broad and asymmetric signal centered at ca. -94 ppm is 321 an overlap of Q³ Si(0Al) signal at ca. –94 ppm and Q³ Si(1Al) at ca. –91 ppm as indicated 322 323 by spectral deconvolution (Fig. 5). With a decrease of Si/Al ratio, a prominent shoulder at ca. -91 ppm corresponding to Q³ Si(1Al) occurred in the less negative side of the main 324 signal of O^3 Si(0Al) centered at ca. -95 ppm. The two signals reached a best resolution in 325 326 SAP-I-5.43, SAP-I-7.89, SAP-II-5.43 and SAP-II-7.89, which are well crystallized as indicated by XRD and SEM observation. When the Si/Al ratio was further decreased, the 327 resolution between Q^3 Si(0Al) and Q^3 Si(1Al) signals decreased and the Q^3 Si(1Al) signal 328 became more prominent than Q^3 Si(0Al). This can be well explained by the change of 329 330 chemical composition in the synthetic saponites. A decrease of Si/Al ratio reflects more Al ions were incorporated into the saponite structure, resulting an increase of the O³ 331 Si(1Al) signal intensity. 332

In the ²⁹Si CPMAS NMR spectra of all samples, a signal at -84 - -86 ppm was recorded, which was attributed to Q³ Si(2Al) in the previous studies (Lipsicas et al. 1984;

335	Delevoye et al. 2003). Interestingly, this signal has never been reported for natural clay
336	minerals. Theoretically, the Q^3 Si(2Al) signal only occurs when the Si/Al ratio in the
337	tetrahedral sheet is less than 3:1. Hence, the relatively high intensity of the resonance
338	around -86 ppm in the synthetic saponites with high Si/Al ratio (e.g., SAP-I-39.00 and
339	SAP-I-14.50) is unlikely attributed to Q^3 Si(2Al) taking into account the low amount of
340	Al^{3+} and the very weak Q^3 Si(lAl) resonance. A most possible attribution of the signal at
341	ca. -86 ppm is to the Q ² Si(OAl) present at the layer edges of clay minerals (Vogels et al.
342	2005b).

To elucidate the origin of the signal at ca. -86 ppm, the synthetic saponites were 343 grafted with APTES in a mixture of ethanol-water (75/25, v/v). The obtained ²⁹Si 344 CPMAS NMR spectra of the saponites and grafting products were shown in Figure 5. 345 The occurrence of T^3 and T^2 signals at ca. -67.2 and -57.7 ppm indicated a successful 346 347 loading of APTES on the saponites and condensation among APTES molecules. After grafting saponites with silane, we can find that the intensity of the signal at ca. -86 ppm 348 decreased whereas those of Q^3 Si(0Al) and Q^3 Si(1Al) increased. If the signal at ca. -86 349 ppm corresponds to Q^3 Si(2Al), its intensity should be not decreased after the grafting 350 351 reaction. Hence, the decreased intensity of the signal at -86 ppm and increased intensity of Q^3 Si(0Al) and Q^3 Si(1Al) signals strongly suggest that the Q^2 Si was transformed to 352 Q^3 Si when silane was chemically bonded with the Si atoms at the layer edges (Herrera et 353 al. 2004; Daniel et al. 2008). This indicates that the signal at ca. -86 ppm should be 354 attributed to Q^2 Si at the clay layer edges. As shown by TEM and SEM observations, the 355 17

356	synthetic saponites are composed of small layers/particles with a high aspect ratio. In this								
357	case, the amount of Si atoms situated at the layer edges is relatively large and could be								
358	detected by MAS NMR (Daniel et al. 2008). The general absence of the Q^2 Si resonance								
359	in the ²⁹ Si CPMAS NMR spectra of phyllosilicates may be ascribed to the large particle								
360	size of naturally occurring samples (Vogels et at. 2005b).								
361	In addition, we found that the intensity increase of Q^3 Si(0Al) and Q^3 Si(1Al) signals in								
362	SAP-I-12.30-APTES and SAP-I-39.00-APTES was more prominent than that in								
363	SAP-I-5.43-APTES. Only a slight intensity increase could be seen for								
364	SAP-I-5.43-APTES attributed to the effect of particle size. As shown by SEM and TEM								
365	images, SAP-I-5.43 displayed larger saponite layers when compared with SAP-I-12.30								
366	and SAP-I-39.00. The higher aspect ratio of saponite in SAP-I-5.43 is a disadvantage for								
367	successful silane grafting.								

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484 Tables

485

486 Table 1. The molar ratios of Si, Al and Mg in the starting materials, CEC and 487 Al(IV)/Al(VI) ratios of the synthetic saponites.

		G' 41 M	Nominal	Measured CEC ^c	CEC ^c		Al(IV) ^e
	samples	S1:AI:Mg	Si/Al ^a	Si/Al ^b	(mmol/100g)	$\mathbf{AI}(\mathbf{IV})/\mathbf{AI}(\mathbf{VI})^{T}$	(%)
	SAP-I-1.80	2.57:1.43:3.00	1.80	1.8	108	3.9	25.1
	SAP-I-2.13	2.72:1.28:3.00	2.13	2.2	118	5.0	22.6
	SAP-I-2.33	2.80:1.20:3.00	2.33	2.3	104	5.6	22.2
Somior	SAP-I-4.50	3.27:0.73:3.00	4.50	4.5	133	13.6	15.4
Jeries	SAP-I-5.43	3.38:0.63:3.00	5.43	5.6	133	25.6	13.7
1	SAP-I-7.89	3.55:0.45:3.00	7.89	7.9	120	16.7	10.2
	SAP-I-12.30	3.70:0.30:3.00	12.30	12.4	117	8.9	6.8
	SAP-I-14.50	3.74:0.26:3.00	14.50	13.6	116	6.1	6.0
	SAP-I-39.00	3.90:0.10:3.00	39.00	33.9	113	4.0	2.2
	SAP-II-1.80	2.72:1.53:3.00	1.80	1.9	113	5.2	24.8
	SAP-II-2.13	2.72:1.28:3.00	2.13	2.3	114	6.3	22.6
	SAP-II-2.33	2.72:1.17:3.00	2.33	3.3	135	8.8	19.1
Sorios	SAP-II-4.50	2.72:0.60:3.00	4.50	4.8	140	8.1	15.4
Series	SAP-II-5.43	2.72:0.50:3.00	5.43	7.5	125	25.3	10.8
п	SAP-II-7.89	2.72:0.35:3.00	7.89	10.8	116	10.4	7.7
	SAP-II-12.30	2.72:0.22:3.00	12.30	15.3	116	5.1	6.1
	SAP-II-14.50	2.72:0.19:3.00	14.50	14.2	103	5.4	5.3
	SAP-II-39.00	2.72:0.22:3.00	39.00	43.7	115	2.5	1.8

488 **Notes:**

b: Si/Al ratio in the synthetic saponites determined by XRF measurement, which hasmeasurement errors within 5%.

493 c: Accuracy of the CEC measurement is within 5%.

494 d: Determined by deconvolution of 27 Al MAS NMR spectra with uncertainty of $\pm 3\%$.

495 e: Calculated percentage of Al(IV)/[Al(IV)+Si] in tetrahedral sheet with uncertainty of $\pm 5\%$.

a: Nominal Si/Al ratio in the synthesis gel based on the chemical composition of the
 starting solutions for the synthesis.

498 Figure captions

499

- 500 FIGURE 1 XRD patterns of synthetic saponites in Series I (left) with Si/Al molar ratio
- of (a) 1.80, (b) 2.13, (c) 2.33, (d) 4.50, (e) 5.43, (f) 7.89, (g) 12.30, (h) 14.50 and (i) 39.00,
- and in Series II (right) with Si/Al molar ratio of (a) 1.80, (b) 2.13, (c) 2.33, (d) 4.50, (e)
- 503 5.43, (f) 7.89, (g) 12.30, (h) 14.50, and (i) 39.00.
- 504 FIGURE 2 SEM (a-d) and TEM (e, f) images of synthetic saponites: (a) SAP-I-2.33,
- 505 (b) SAP-I-5.43, (c) SAP-I-7.89, (d) SAP-I-39.00, (e) SAP-I-2.33, and (f) SAP-I-5.43.
- 506 FIGURE 3 XRD patterns of (a) SAP-I-2.33 and (b) SAP-I-2.33-1CEC, (c) SAP-I-5.43
- 507 and (d) SAP-I-5.43-1CEC, (e) SAP-I-7.89 and (f) SAP-I-7.89-1CEC.
- 508 **FIGURE 4** ²⁷Al MAS NMR (A, B) and ²⁹Si CPMAS NMR (C, D) spectra of synthetic
- saponites in Series I (A, C) with Si/Al molar ratio of (a) 1.80, (b) 2.13, (c) 2.33, (d) 4.50,
- 510 (e) 5.43, (f) 7.89, (g) 12.30, (h) 14.50 and (i) 39.00, and in Series II (B, D) with Si/Al
- 511 molar ratio of (a) 1.80, (b) 2.13, (c) 2.33, (d) 4.50, (e) 5.43, (f) 7.89, (g) 12.30, (h) 14.50,
- 512 and (i) 39.00.
- 513 FIGURE 5 ²⁹Si CPMAS NMR spectra of (a) SAP-I-5.43 (dash line) and (b)
- 514 SAP-I-5.43-APTES (solid line), (c) deconvoluted spectrum of SAP-I-5.43 (dash dot line)
- 515 (d) SAP-I-12.30 (dash line), (e) SAP-I-12.30-APTES (solid line), (f) deconvoluted
- 516 spectrum of SAP-I-12.30 (dash dot line), (g) SAP-I-39.00 (dash line), (h)
- 517 SAP-I-39.00-APTES (solid line) and (i) deconvoluted spectrum of SAP-I-39.00 (dash dot
- 518 line).





- **FIGURE 2**































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