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Revision 4

Evaluation of residual pressure in an inclusion–host system using negative frequency
shift of quartz Raman spectra

Yui Kouketsu,^{1,*} Tadao Nishiyama,² Takeshi Ikeda,³ and Masaki Enami⁴

¹Department of Earth and Planetary Sciences, Nagoya University, Nagoya 464-8601,
Japan

²Department of Earth and Environment, School of Science, Graduate School of Science
and Technology, Kumamoto University, 2-39-1 Kurokami, Kumamoto 860-8555,
Japan

³Department of Earth and Planetary Sciences, Graduate School of Science, Kyushu
University, 33 Hakozaeki, Fukuoka 812-8581, Japan

⁴Center for Chronological Research, Nagoya University, Nagoya 464-8602, Japan

*Corresponding author:

Email address: kouketsu.yui@nagoya-u.jp

20 Present address: Geochemical Research Center, Graduate School of Science, The
21 University of Tokyo, Tokyo 113-0033, Japan

22 Running title: Evaluation of negative residual pressure of quartz in garnet

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ABSTRACT

25 Raman spectra of quartz inclusions in garnet hosts of low-pressure/temperature
26 metamorphic rocks from the Yanai district in the Ryoke belt (around 0.1–0.3
27 GPa/500–600 °C), Southwest Japan, exhibit frequency (peak position) shifts towards
28 lower wavenumbers as compared to those of a quartz standard measured at ambient
29 conditions. The observed negative frequency shifts indicate that tensile normal stress is
30 exerted on the quartz–garnet boundary and therefore, quartz inclusions are subjected to
31 negative residual pressure. Elastic modeling that assumed the constant elastic properties
32 of minerals cannot explain this negative residual pressure. This study estimated the
33 residual pressure based on a new scheme of elastic modeling with equation of state
34 (EOS) of quartz and garnet, which takes into account the pressure- and
35 temperature-dependency of compressibility and expansivity. The calculated residual
36 pressure was converted into frequency shifts of quartz Raman spectrum based on the

37 experimentally determined relation. The results showed that the quartz inclusions in
38 garnets retain residual pressure of about -0.3 GPa, and logically reproduced the
39 observed frequency shifts in the direction of lower wavenumbers. The new elastic
40 modeling also simulates positive frequency shifts retained by quartz inclusions in
41 garnets of high-pressure metamorphic rocks from the Sambagawa metamorphic belt in
42 Southwest Japan, and from the Motagua fault zone in Guatemala. The degree and
43 direction of Raman frequency shifts of quartz inclusion in garnet depend on
44 metamorphic conditions when the quartz was included in the host garnet. Conversely,
45 the metamorphic conditions prevailing when a set of a quartz inclusion and garnet host
46 was recrystallized can be inferred from Raman frequency shifts of quartz inclusion in
47 garnet. The proposed Raman spectroscopic analysis should be a powerful and useful
48 tool to decipher information at earlier stage of garnet growth even in samples of highly
49 recrystallized matrix phases during exhumation and retrograde stages.

50 **Keywords:** Raman spectroscopy; negative residual pressure; Ryoke metamorphic
51 rocks; quartz; garnet; equation of state

52

53

INTRODUCTION

54 Mineral or fluid inclusions within host minerals preserve information related to the
55 metamorphic history during the growth of the host mineral. In general, these
56 metamorphic conditions are constrained using mineral reactions or thermodynamic
57 calculations based on the analysis of the chemical compositions of the host and
58 inclusion (e.g., Whitney et al. 1995; Katayama et al. 2000; Tsunogae and Santosh 2006).
59 On the other hand, the physical properties of the host and inclusion can also be used to
60 estimate the metamorphic conditions. One of those techniques involves the analysis of
61 residual pressure. Each mineral has distinct compressibility and expansivity
62 characteristics. Consequently, after inclusion is incorporated to the host mineral, both
63 phases will follow different volume change paths according to change in surrounding
64 pressure (P)–temperature (T) conditions. This volume difference in the inclusion–host
65 system, designated as residual pressure (or internal stress), can be detected as a change
66 in the Raman frequencies (i.e. peak positions) and/or widths (e.g., Hemley 1987;
67 Parkinson and Katayama 1999; Nasdala et al. 2005; Kagi et al. 2009). Raman
68 spectroscopy can analyze confined materials without sample destruction if the sample is
69 transparent to visible light. Therefore, this technique is appropriate for detecting the
70 residual pressure preserved in the inclusion within the host mineral. Izraeli et al. (1999)
71 detected the residual pressure by measuring the Raman frequency shifts of olivine

72 inclusions in diamond from the Udachnaya mine in Siberia, and estimated the
73 metamorphic pressure using bulk modulus, shear modulus, and thermal expansion
74 parameters of inclusion and host minerals. Sobolev et al. (2000) also detected the
75 residual pressure by measuring the Raman frequency shifts of small coesite inclusions
76 in diamond from Venezuela, and estimated the initial pressure of the diamond formation.
77 The results of these two studies are consistent with the diamond stability field.
78 Enami et al. (2007) detected the residual pressure by measuring the Raman
79 frequency shifts of quartz inclusions in garnets metamorphosed at three different
80 metamorphic facies: amphibolite, epidote-amphibolite, and quartz-eclogite. They
81 confirmed that the Raman peaks of quartz inclusions shift to higher wavenumber side
82 with increasing the metamorphic pressure, which implies that the values of residual
83 pressures of quartz inclusions increase with increasing the metamorphic pressure. This
84 approach is very convenient because the combination of garnet and quartz is common in
85 metasedimentary rocks. However, several problems are inherent in the study reported by
86 Enami et al. (2007): (1) the degree of Raman frequency shifts of quartz inclusions in
87 garnets was compared only among three different metamorphic facies conditions,
88 whereas those of the other metamorphic conditions are unclear; and (2) the elastic
89 modeling applied to estimate the metamorphic pressure from the residual pressure was

90 too simplified and the accuracy for quantitative estimation of the metamorphic
91 condition has not been sufficiently verified yet.

92 Recently, some studies have examined the residual pressure of quartz inclusions in
93 garnets from high- to ultra-high temperature metamorphic rocks. Sato et al. (2009)
94 reported the Raman spectrum of a quartz inclusion in garnet from ultra-high temperature
95 metamorphic rock in Kumiloothu, southern India. The Raman peaks of this quartz
96 inclusion show lower wavenumbers than those of quartz at ambient conditions, which
97 implies that the interface between the quartz inclusion and garnet host is subjected to a
98 tensile normal stress, and that the quartz inclusion in garnet of ultra-high temperature
99 metamorphic rock preserves negative residual pressure. Nishiyama and Aikawa (2011)
100 also reported the negative frequency shifts of Raman spectra, i.e. negative residual
101 pressures, of quartz inclusions in garnets from high-*T* Higo metamorphic rocks,
102 Southwest Japan. In a study by Enami et al. (2007), the residual pressure of quartz in
103 garnet was numerically modeled with respect to the metamorphic *P–T* conditions, based
104 on a “spherical inclusion model” (Van der Molen 1981), in which the elastic properties
105 of minerals were treated as independent of pressure and temperature. By applying this
106 model to a quartz inclusion–garnet host system, the residual pressure becomes negative
107 only under conditions of extremely low-*P/T*. The estimated metamorphic condition of

108 the Higo terrane was not within this calculated negative range. Therefore, Nishiyama
109 and Aikawa (2011) attributed the negative frequency shifts of quartz Raman spectra of
110 their samples to drastic volume reduction of quartz in the transition from β to α phases
111 during the retrograde stage.

112 In this study, we discovered quartz inclusions showing negative Raman frequency
113 shifts in garnets from high- T and low- P metamorphic rocks in the Ryoke belt,
114 Southwest Japan, which never reached the β -quartz stability field. This feature can be
115 explained neither by phase transition nor by the spherical inclusion model with constant
116 elastic properties. Therefore, we recalculated the residual pressure of quartz in garnet,
117 considering the P - T dependency on compressibility and expansivity, by employing the
118 equation of state (EOS) of quartz and garnet (Holland and Powell 1998; Plymate and
119 Stout 1989). Subsequently, we converted the calculated residual pressure into Raman
120 frequency shifts based on the experimental dataset of Schmidt and Ziemann (2000) and
121 constructed the relationship diagram of pressure-temperature-Raman frequency shifts of
122 quartz inclusion in garnet. The accuracies of calculated residual pressure and Raman
123 frequency shifts were verified by comparing the measured Raman frequency shifts of
124 quartz inclusions reported here and in previous studies (Kouketsu et al., 2010; Endo et

125 al., 2012). Based on these discussions, we examined the quantitative constraint of
126 metamorphic conditions from the Raman frequency shifts of quartz inclusion in garnet.

127

128 **SAMPLE DESCRIPTION**

129 The Ryoke belt in Southwest Japan, which mainly comprises Cretaceous Ryoke
130 granitoids and associated metamorphic rocks of low-*P* facies series, has been regarded
131 as a typical example of a low-*P* and high-*T* metamorphic belt (Miyashiro 1961), where
132 andalusite and sillimanite occur in medium- and high-grade areas, respectively.

133 In the Yanai district, the Ryoke metamorphic rocks are derived mainly from pelites,
134 psammites, and cherts, with subordinate amounts of calcareous and basic rocks, which
135 are regarded as belonging to the Jurassic accretionary complex (Higashimoto et al.
136 1983). Cretaceous granitic rocks, which intruded extensively into these protoliths, are
137 divided into three suites: the Older Ryoke, the Younger Ryoke, and the San-yo granitic
138 rocks, in order of intrusion (Fig. 1). The first suite is syn-metamorphic whereas the
139 latter two suites are post-metamorphic (e.g., Suzuki and Adachi 1998). The dominant
140 pelitic rocks display metamorphic zonation on a regional scale. Ikeda (1998) defined the
141 following metamorphic zones in ascending metamorphic grade: chlorite (Chl),
142 chlorite-biotite (Chl-Bt), biotite (Bt), muscovite-cordierite (Ms-Crd),

143 K-feldspar-cordierite (Kfs-Crd), sillimanite-K-feldspar (Sil-Kfs), and garnet-cordierite
144 (Grt-Crd) zones (Fig. 1).

145 Ikeda (2004) determined P - T conditions of these zones using thermodynamic
146 methods of garnet-biotite geothermometers and garnet-Al-silicate-plagioclase (GASP)
147 geobarometers with the thermodynamic dataset of Holland and Powell (1998). In this
148 study, six garnet-bearing samples were studied: YEB5 from Chl-Bt zone; YBB7, YMA5,
149 YAM10 from Ms-Crd zone; and YAF16, YAF19 from Kfs-Crd zone (Fig. 1; Table 1).

150 The P - T condition of the sample YEB5 from Chl-Bt zone was estimated at 0.08
151 GPa/492 °C. The localities of the three samples from Ms-Crd zone (YBB7, YMA5,
152 YMA10) were within 200m from that of YBB6, for which 0.19 GPa/551 °C were
153 estimated. The P - T conditions of the samples YAF16 and YAF19 from Kfs-Crd zone
154 were estimated at 0.26 GPa/594 °C and 0.27 GPa/590 °C, respectively. The
155 metamorphic conditions of these samples are in the α -quartz stability field. The
156 uncertainties in these conditions are derived mainly from analytical errors in the
157 analyses of the mineral composition, and are 0.1 GPa and 35 °C (Ikeda 2004). All
158 samples are pelitic rocks except YAF16, which is siliceous.

159

160 **EXPERIMENTAL PROCEDURES AND QUARTZ RAMAN SPECTRA**

161 Measurements were performed using a Thermo Scientific Nicolet Almega XR
162 Raman spectrometer available at the Petrology Laboratory of Nagoya University. The
163 Raman spectra were collected with an Olympus BX51 automated confocal microscope,
164 using an Olympus Mplan-BD 100X (NA=0.9) objective. The Raman scattering was
165 excited with an Nd:YAG laser emitting at 532 nm. The output laser power was 25 mW,
166 while the irradiation power on the sample surface of approximately 10mW. The
167 suppression of the Rayleigh scattering was achieved with a notch filter. The scattered
168 light was analyzed with a grating of 2400 lines/mm and it was detected with a
169 Peltier-cooled CCD camera (256 × 1024 pixels; Andor Technology). The room
170 temperature was kept at 22 ± 1 °C. The lateral spatial resolution was about 1 μm. The
171 spectral resolution was about 1 cm^{-1} . The spectrograph was calibrated using the plasma
172 lines of a neon lamp.

173 As can be seen in Fig. 2, intense peaks in the Raman spectrum of α -quartz are near
174 464, 205, and 128 cm^{-1} at ambient condition (e.g., Dean et al. 1982; Schmidt and
175 Ziemann, 2000; Enami et al. 2007). The peaks near 464 and 205 cm^{-1} originate from A_1
176 modes, whereas the peak near 128 cm^{-1} arises from an E mode in α -quartz (Etchepare et
177 al. 1974). We refer to the peaks near 464, 205, and 128 cm^{-1} at ambient conditions as
178 $A_{1(464)}$, $A_{1(205)}$, and $E_{(128)}$, respectively (Fig. 2). The positions of these peaks shift to

179 higher wavenumbers when quartz is subjected to compressive stress, and shift to lower
180 wavenumbers when quartz is subjected to tensile stress (Gallivan and Gupta 1995).
181 Enami et al. (2007) evaluated the extent of frequency shifts of quartz Raman spectrum
182 using the difference of wavenumbers between α -quartz standard and sample to avoid
183 systematic errors: $\Delta\omega_1 = \omega_1^{\text{standard}} - \omega_1^{\text{sample}}$ and $\Delta\omega_2 = \omega_2^{\text{sample}} - \omega_2^{\text{standard}}$, where ω_1 and ω_2
184 denote the difference of wavenumbers between $A_{1(464)}$ and $A_{1(205)}$, and $A_{1(205)}$ and $E_{(128)}$,
185 respectively. In this study, the Raman spectrum of euhedral pegmatitic α -quartz reported
186 in Enami et al. (2007) was used as a standard. The values of $\Delta\omega_1$ and $\Delta\omega_2$ are positive
187 when quartz is subjected to compressive stress, and negative when quartz is subjected to
188 tensile stress. The standard deviations of $\omega_1^{\text{standard}}$ and $\omega_2^{\text{standard}}$ in α -quartz standard
189 are $\pm 0.3 \text{ cm}^{-1}$ (Enami et al. 2007).

190

191

SAMPLE MEASUREMENT

192 Raman spectra were measured from a petrographic thick section (about 100 μm
193 thick). Quartz inclusions that were exposed neither on the side of the polished surface
194 nor on the glass slide were selected. We also avoided quartz in the fractured host
195 because the frequency shift of such a sample becomes smaller than that of quartz
196 completely surrounded by unfractured host (Fig. 3; Enami et al. 2007).

197 Negative frequency shifts of quartz Raman spectra were obtained from all studied
198 samples (Fig. 4; Table. 1). The quartz inclusions of the sample YEB5 (Chl-Bt zone)
199 showed frequency shifts of $\Delta\omega_1 = -1.5$ to -4.5 cm^{-1} and $\Delta\omega_2 = -1.3$ to -4.2 cm^{-1} (Fig. 4a).
200 The quartz inclusions of Ms-Crd zone showed $\Delta\omega_1 = -0.1$ to -4.0 cm^{-1} and $\Delta\omega_2 = 0.5$ to
201 -3.1 cm^{-1} in YBB7; $\Delta\omega_1 = -0.2$ to -3.2 cm^{-1} and $\Delta\omega_2 = 0.4$ to -2.8 cm^{-1} in YMA5; and
202 $\Delta\omega_1 = -0.4$ to -2.9 cm^{-1} and $\Delta\omega_2 = -0.2$ to -1.7 cm^{-1} in YMA10 (Fig. 4b). The quartz
203 inclusions of Kfs-Crd zone showed $\Delta\omega_1 = 0.2$ to -3.9 cm^{-1} and $\Delta\omega_2 = 0.8$ to -3.0 cm^{-1} in
204 YAF16; and $\Delta\omega_1 = -0.3$ to -4.4 cm^{-1} and $\Delta\omega_2 = 1.0$ to -4.1 cm^{-1} in YAF19 (Fig. 4c).

205

206 ELASTIC MODELING OF RESIDUAL PRESSURE

207 Fundamental equation

208 To examine the generation mechanism of negative residual pressure, numerical
209 calculations based on elastic modeling were conducted. We evaluated the normal stress
210 (radial stress) component at the inclusion–host boundary as residual pressure. The
211 residual pressure in the inclusion–host system has been determined by numerical
212 simulation based on theory of linear elasticity in several studies using the relative
213 volume changes of inclusion and host minerals (e.g., Gillet et al. 1984; Van der Molen
214 and Van Roermund 1986; Zhang 1998; Guiraud and Powell 2006). We assumed that (1)

215 the inclusion and host are concentric spheres, (2) the inclusion and host are elastically
216 isotropic, (3) the size of inclusion is much smaller than that of the host mineral, and (4)
217 the inclusion is sufficiently distant from other inclusions and from the edge of the host.
218 Following the previous study of Guiraud and Powell (2006), the volume ratio of the
219 initial P - T condition (P_0, T_0) and arbitrary P - T condition (P, T) for the host mineral is
220 given by the following relation:

221

$$\frac{V_h(P, T)}{V_h(P_0, T_0)} = \frac{V_i(P_i, T)}{V_i(P_0, T_0)} - \frac{3}{4\mu}(P_i - P) \quad (\text{Equation 1})$$

223

224 where V represents volume and μ is the shear modulus of the host mineral. The
225 subscript “ h ” represents the host; “ i ” represents the inclusion; and “0” represents the
226 conditions at which the inclusion has been incorporated into the host mineral. Because
227 the inclusion and host minerals undergo different volume changes, the pressure on the
228 inclusion (P_i) at the arbitrary P - T condition differs from the external pressure (P). The
229 term P_i at standard condition corresponds to the residual pressure that we want to know.
230 In this study, we use this fundamental equation to estimate the residual pressure at

231 atmospheric pressure and room temperature (P_i at $P = 0.1$ MPa and $T = 25$ °C) from the
232 arbitrary metamorphic condition (P_0, T_0).

233

234 **Derivation of residual pressure**

235 In order to derive the residual pressure using Equation 1, the volumes of quartz and
236 garnet crystals have to be evaluated. The equation of state (EOS) model employed by
237 Holland and Powell (1998) was examined:

238

$$239 \quad V(P, T) = V(0.1\text{MPa}, 298\text{K}) \left[1 + a^\circ(T - 298) - 20a^\circ(\sqrt{T} - \sqrt{298}) \right] \\ \times \left[1 - \frac{4P}{\kappa \{ 1 - 1.5 \times 10^{-4}(T - 298) \} + 4P} \right]^{1/4} \quad (\text{Equation 2})$$

240

241 where a° is the thermal expansion parameter and κ is the bulk modulus at 298 K. The
242 relation between the thermal expansion parameter a° and thermal expansion coefficient
243 α is expressed as $\alpha = a^\circ(1 - 10/\sqrt{T})$. The datasets of the thermoelastic parameters in
244 Holland and Powell (1998) are $a^\circ = 0.65 \times 10^{-5} \text{ K}^{-1}$ and $\kappa = 75.0$ GPa for quartz; and $a^\circ =$
245 $4.03 \times 10^{-5} \text{ K}^{-1}$ and $\kappa = 169.0$ GPa for almandine (Table 2). The thermoelastic parameters
246 of almandine and the other garnet end-members in Holland and Powell (1998) are not
247 so different from those reported in other studies (e.g., Fei 1995; Wang and Ji 2001), and

248 calculated volume change of garnet well matched the experimental data (e.g., Zhang et
249 al. 1999; Wang and Ji 2001; Pavese et al. 2001). Therefore, we adopted the volume
250 change of almandine using the EOS and thermoelastic parameters of Holland and
251 Powell (1998) for the derivation of residual pressure. On the other hand, the
252 thermoelastic parameters of quartz in Holland and Powell (1998) are extremely different
253 from the others (e.g., Fei 1995; Plymate and Stout 1989; Dorogokupets 1995), where
254 the thermal expansion parameter is one order of magnitude smaller and the bulk
255 modulus is twice as large as those of the others (Table 2), and calculated volume change
256 of quartz using the EOS model and thermoelastic parameters of Holland and Powell
257 (1998) did not match the experimental data (e.g., Ackermann and Sorrell 1974;
258 Jorgensen 1978; Ogata et al. 1987; Carpenter et al. 1998). Therefore, we recalculated
259 the volume change of quartz using the different thermoelastic parameters reported in
260 Plymate and Stout (1989; Fig. 5a) and in Dorogokupets (1995; Fig. 5b). For comparison,
261 we additionally calculated the volume change of quartz using the EOS model and
262 thermoelastic parameters reported in Plymate and Stout (1989; Fig. 5c), which derived
263 the EOS for solids using five parameters based on an exponential temperature correction
264 of the isothermal Murnaghan equation. The volume of α -quartz was calculated using the

265 following equation with the dataset of $\alpha = 3.13 \times 10^{-5} \text{ K}^{-1}$, $\alpha' = 6.97 \times 10^{-8} \text{ K}^{-2}$,

266 $\kappa = 37.31 \text{ GPa}$, $\kappa' = 6.37$, and $\partial\kappa/\partial T = -0.98 \times 10^{-2} \text{ GPa/K}$.

267

$$V(P, T) = V(0.1 \text{ MPa}, 298 \text{ K}) \left[1 + \frac{\left\{ \kappa'(P - 0.1 \text{ MPa}) + \left(\frac{\partial\kappa}{\partial T} \right) (T - 298) \right\}}{\kappa} \right]^{-1/\kappa}$$

268

$$\times \exp \left[\left\{ \alpha + \frac{\left(\frac{\partial\kappa}{\partial T} \right)}{\kappa\kappa'} \right\} (T - 298) + \left\{ \alpha' - \frac{\left(\frac{\partial\kappa}{\partial T} \right)^2}{\kappa^2\kappa'} \right\} \frac{(T - 298)^2}{2} \right] \quad (\text{Equation 3})$$

269

270 Results obtained using these three calculations (Figs. 5a–5c) matched the experimental

271 data. Then we derived the residual pressure based on the calculated volumes of quartz in

272 these three cases. Although quartz transforms from α - to β -phase at 574 °C at 0.1 MPa

273 (e.g., Shen et al. 1993), we did not consider the phase transition of quartz because the

274 P – T range considered in this study (0.1 MPa–2.6 GPa/25–600 °C) is mostly within the

275 α -quartz stability field.

276 P_1 can be derived by substituting Equation 2 or 3 into Equation 1 (Figs. 5d–5f). In

277 those calculations, negative residual pressure appears at the low- P/T range. The

278 estimated metamorphic conditions of the measured samples are within this range. These

279 calculation results can explain the negative frequency shifts measured in Yanai Ryoke

280 metamorphic rocks. The calculation result of volume change of quartz using the EOS
281 model and thermoelastic parameters reported by Plymate and Stout (1989; Fig. 5c) was
282 most consistent with the experimental data (e.g., Ackermann and Sorrell 1974;
283 Jorgensen 1978; Ogata et al. 1987; Carpenter et al. 1998). Therefore, the calculation
284 result of residual pressure in Figure 5f will be used in the following discussion.

285

286 **Conversion into $\Delta\omega_1$**

287 The residual pressure P_i can be converted into $\Delta\omega_1$ and $\Delta\omega_2$. Schmidt and Ziemann
288 (2000) investigated in-situ frequency shifts of quartz Raman spectra using a
289 hydrothermal diamond-anvil cell. Following their experimental data, the relations
290 between pressure (P ; GPa) and frequency shifts ($\Delta\omega_1$ and $\Delta\omega_2$; cm^{-1}) are obtained:

291

$$292 \quad \Delta\omega_1 (\text{cm}^{-1}) = -3.47 P^2 + 18.3 P \text{ (correlation coefficient: } R^2 = 0.9995 \text{) (Equation 4)}$$

$$293 \quad \Delta\omega_2 (\text{cm}^{-1}) = -3.02 P^2 + 20.5 P \text{ (} R^2 = 0.9997 \text{)} \quad \text{(Equation 5)}$$

294

295 where $0.1 \text{ MPa} < P < 2.1 \text{ GPa}$ at $23 \text{ }^\circ\text{C}$ (Fig. 6). The above equations are based on the
296 frequency shift at 0.1 MPa and $23 \text{ }^\circ\text{C}$ as standard and derived to pass through the origin.
297 Although the experiment of Schmidt and Ziemann (2000) was conducted only under the

298 compressional condition, the tensile condition corresponding to negative residual
299 pressure is also calculated by extrapolating the relation between pressure and the
300 frequency shift in the lower compressional range. In a pressure range from 0.1 MPa to
301 0.45 GPa, the frequencies shift linearly with pressure (Fig. 6). They are expressed as
302 shown below.

303

$$304 \quad \Delta\omega_1 (\text{cm}^{-1}) = 17.1 P \quad (\text{Equation 6})$$

$$305 \quad \Delta\omega_2 (\text{cm}^{-1}) = 19.8 P \quad (\text{Equation 7})$$

306

307 By extrapolating this equation to the negative range, the negative residual pressure will
308 be evaluated.

309

310 **FACTORS AFFECTING THE RESIDUAL PRESSURE**

311 There are several factors that affect the value of residual pressure. We examined the
312 effect of the following aspects on the residual pressure: (1) the grain size and shape of
313 quartz inclusion, (2) the radius ratio between the inclusion and the host crystal, (3) the
314 chemical composition of garnet host, and (4) the hydrolytic weakening. Based on these
315 examinations, the variations of $\Delta\omega_1$ and $\Delta\omega_2$ values are considered.

316

317 **Grain size and shape of quartz inclusion**

318 Enami et al. (2007) reported a weak correlation between the frequency shifts and
319 grain size of quartz inclusions in garnets in the positive range. This result implies that
320 the negative frequency shifts of quartz inclusion are also affected by the grain size.
321 Therefore, we checked the relation between frequency shifts ($\Delta\omega_1$ and $\Delta\omega_2$) and mean
322 grain size (\sqrt{ab}), where a and b are longest and shortest lengths of quartz inclusions,
323 respectively (Figs. 7a and 7b). The mean grain size of measured quartz inclusions are
324 around 2–10 μm . No apparent correlation exists between the grain size and the values of
325 $\Delta\omega_1$ and $\Delta\omega_2$. In a study reported by Enami et al. (2007), the grain size of quartz
326 inclusions was 4–33 μm , which are larger than those in the present study. Therefore, the
327 effect of grain size might appear for quartz inclusions with mean grain size larger than
328 10 μm .

329 Burnley and Davis (2004) and Burnley and Schmidt (2006) reported that the volume
330 change of fluid inclusion that occurs with the pressure change is affected strongly by the
331 aspect ratio of the inclusion. Therefore, we also checked the relation between frequency
332 shifts and grain shape (aspect ratio; b/a) of quartz inclusions (Figs. 7c and 7d). The
333 shape of quartz inclusions varies, tending to show irregular shape in the lower-grade

334 zone, and tending towards spherical in the higher-grade zone (Figs. 3 and 7c,d).
335 However, no apparent correlation was identified between grain shape and the values of
336 $\Delta\omega_1$ and $\Delta\omega_2$. The effect of grain shape of solid inclusion might be much smaller than
337 that of fluid inclusion in magnitude and also in the areal extent, and was not detected by
338 the resolution of micro-Raman spectroscopy (Everall 2000a,b).

339

340 **Radius ratio between the inclusion and host**

341 Several studies have examined the effect of the radius of inclusion relative to the
342 radius of the host mineral (Gillet et al. 1984; Van der Molen and Van Roermund 1986;
343 Zhang 1998). Following the method of Zhang (1998), we recalculated the residual
344 pressure using the equation considering the radius ratio between the inclusion and host:

345

$$346 \quad \frac{V_h(P, T)}{V_h(P_0, T_0)} = \frac{V_i(P, T)}{V_i(P_0, T_0)} - \frac{(P_i - P)}{1 - x} \left[\frac{x}{\kappa_h} + \frac{3}{4\mu} \right] \quad (\text{Equation 8})$$

347

348 where $x = R_i^3/R_h^3$; R_i and R_h represent radii of the inclusion and host. When $x = 0$,
349 Equation 8 becomes equal to Equation 1, meaning that the host radius is infinitely larger
350 than that of the inclusion. When $x = 0.001$ and 0.01 , the residual pressure is almost

351 identical as that in the case of $x = 0$ (Fig. 8). The residual pressure becomes slightly
352 lower at positive, and higher at negative residual pressure region in the case of $x = 0.1$,
353 in which the radius of the host garnet is approximately twice that of the quartz inclusion.
354 The maximum differences in residual pressure in the cases of $x = 0$ and 0.1 were 0.05
355 GPa at 2.6 GPa/500 °C (Fig. 8a) and 0.04 GPa at 2.6 GPa/600 °C (Fig. 8b). In the
356 measured samples, the radii of quartz inclusions are around 2–10 μm , whereas host
357 garnet grains are around 50–300 μm . Therefore, the maximum value of x is close to
358 0.01, i.e., most of the quartz inclusions are sufficiently smaller than the host garnets.
359 Although the actual measurement condition differs from the assumed ideal condition, in
360 which the inclusion and host are concentric spheres and both phases are elastically
361 isotropic, the effect of the radius ratio is regarded as slight.

362

363 **Chemical composition of garnet host**

364 The residual pressures estimated using the parameters of garnet end-members,
365 almandine, pyrope, grossular, and spessartine, were compared. The datasets of thermal
366 expansion parameters and bulk moduli of the garnet end-members were referred from
367 Holland and Powell (1998), and shear moduli were from Wang and Ji (2001; Table 2).
368 The residual pressure in the case of spessartine host was comparatively higher than

369 those of the other end-members (Fig. 9). The maximum difference was observed at 2.6
370 GPa/600 °C in the P - T range of 0.1 MPa–2.6 GPa/25–600 °C. The residual pressure at
371 this condition was 1.10 GPa in the case of spessartine, whereas those of almandine,
372 pyrope, and grossular were 1.01 GPa, 1.05 GPa, and 1.01 GPa, respectively.

373 Representative chemical compositions of garnets from the Yanai district are shown
374 in Appendix Table 1. Since the content of spessartine component (X_{Sps}) in the garnets is
375 relatively high; that is around 0.3 in Ms-Crd and Kfs-Crd zones and reaches 0.6 in
376 Chl-Bt zone, the calculated residual pressure of quartz inclusion in garnet in the case of
377 the almandine end-member host might be less than the residual pressure converted from
378 the measured Raman frequency shifts of quartz inclusions from the Yanai district.

379

380 **Hydrolytic weakening**

381 The trace amount of water reduces the strength of silicates (e.g., Griggs 1967;
382 Rossman 1990; Johnson 2006; Cao et al. 2011). The possibility exists that the residual
383 pressure was released by hydrolytic weakening. However, Raman spectroscopy of the
384 host garnets showed no OH-bond around 3600 cm^{-1} .

385

386 It appears that the grain size and shape (factor 1), inclusion-host radius ratio (factor
387 2), and hydrolytic weakening (factor 4) do not influence the residual pressure value. The
388 chemical composition of garnet host (factor 3) affects the difference of residual pressure
389 up to 0.1 GPa in the case of almandine and spessartine host, and this difference
390 corresponds to around 1 cm^{-1} for $\Delta\omega_1$ and $\Delta\omega_2$ values. However, the frequency shifts of
391 quartz inclusions range from around 0 to -4 cm^{-1} in all samples (Fig. 4). The four factors
392 described above seem not to be a major cause of this large variation from 0 to -4 cm^{-1} .
393 The other factor is that a quartz inclusion with a frequency shift close to zero might
394 release part or all the residual pressure through invisible cracking or dislocation. As
395 described above, the cause for dispersion of the measured frequency shift is unclear in
396 this study. However, we regard the quartz grain with the maximum frequency shift as
397 preserving valuable information related to the residual pressure reflecting the condition
398 close to the peak metamorphic condition.

399

400

EVALUATION OF $\Delta\omega_1$ AND $\Delta\omega_2$

401 The results presented in Figure 5 can explain the negative Raman frequency shifts of
402 quartz inclusion in Yanai Ryoke metamorphic rocks. Figure 10 shows the calculated
403 $\Delta\omega_1$ and $\Delta\omega_2$ values converted from the calculated residual pressure in Figure 5f using

404 Equations 4–7. The plausibility of Figure 10 is evaluated by comparing the measured
405 frequency shifts of quartz Raman spectra in Yanai Ryoike metamorphic rocks and also
406 by comparing the measured frequency shifts of quartz Raman spectra in high-pressure
407 metamorphic rocks reported in previous studies.

408 In the samples of Yanai Ryoike metamorphic rocks, the maximum frequency shifts
409 (lowest values of $\Delta\omega_1$ and $\Delta\omega_2$) of quartz inclusions of the samples from Chl-Bt,
410 Ms-Crd, and Kfs-Crd zones are -4.5, -4.0, and -4.4 cm^{-1} for $\Delta\omega_1$ and -4.2, -3.1, and -4.1
411 cm^{-1} for $\Delta\omega_2$, respectively (Table 1). On the other hand, the calculated frequency shifts
412 are at around -3.0 to -6.5 for $\Delta\omega_1$ and -3.5 to -7.5 for $\Delta\omega_2$ in the estimated metamorphic
413 conditions of studied samples (Fig. 10). The measured $\Delta\omega_1$ and $\Delta\omega_2$ values are within
414 the calculated $\Delta\omega_1$ and $\Delta\omega_2$ values except for the $\Delta\omega_2$ value of Ms-Crd zone, which is
415 slightly higher than the calculated value. This difference might be attributable to the
416 composition of garnet host (see the detailed discussion in **Chemical composition of**
417 **garnet host**). The calculated $\Delta\omega_1$ and $\Delta\omega_2$ values in the case of spessartine host become
418 0.7 to 1.0 cm^{-1} higher than those in the case of almandine host, and the calculated $\Delta\omega_1$
419 and $\Delta\omega_2$ values using the thermodynamic dataset of spessartine are well consistent with
420 the measured frequency shifts of Yanai Ryoike metamorphic rocks. Alternatively, the
421 frequency of peak $E_{(128)}$ might not have been calibrated accurately because this peak is

422 distant from the calibration lines of a Ne lamp. In Figure 4, the line of $\Delta\omega_1$ – $\Delta\omega_2$ does
423 not pass through the origin (0, 0), and $\Delta\omega_2$ values reaches 1.0 cm^{-1} at most. This means
424 that the wavenumber of the peak $E_{(128)}$, which affects the value of $\Delta\omega_2$, was lower than
425 that in the accurate condition. The results presented above imply that care is necessary
426 to constrain the metamorphic condition from $\Delta\omega_2$ value.

427 We also compared the positive frequency shifts reported in previous studies.
428 Kouketsu et al. (2010) reported $\Delta\omega_1$ of up to 11.8 cm^{-1} and $\Delta\omega_2$ of up to 13.8 cm^{-1} from
429 omphacite-bearing metapelite in the Sambagawa metamorphic belt, Southwest Japan.
430 The equilibrium metamorphic condition of this sample was estimated at 1.8–1.9
431 GPa/495–530 °C using the garnet–clinopyroxene–phengite assemblage (Krogh Ravna
432 and Terry 2004). In this metamorphic range, $\Delta\omega_1$ is calculated about 11 – 12 cm^{-1} , and
433 $\Delta\omega_2$ is about 13 – 14 cm^{-1} (Fig. 10), which shows good agreement with the measured
434 values. Endo et al. (2012) reported $\Delta\omega_1$ of up to 15.5 cm^{-1} and $\Delta\omega_2$ of up to 17.9 cm^{-1}
435 from lawsonite eclogite in the southern Motagua fault zone, Guatemala. The peak
436 metamorphic condition was estimated at 2.5 GPa/520 °C using pseudosection modeling
437 (Connolly 1990; Connolly 2009). The $\Delta\omega_1$ value is calculated 15.3 cm^{-1} and $\Delta\omega_2$ is 18.1
438 cm^{-1} in this estimated metamorphic condition (Fig. 10), which also shows good
439 agreement with the measured values.

440 The above discussions substantiate the accuracy of the calculation results in Figure
441 10. Although the negative range especially in $\Delta\omega_2$ requires further study, it has been
442 clarified that the negative frequency shifts, i.e. negative residual pressure, of quartz
443 inclusions in garnets occur when the quartz included in the host garnet under the
444 metamorphic condition of low- P/T range without the phase transition of quartz.

445

446 **IMPLICATIONS**

447 We proposed a new technique to constrain the metamorphic condition applying the
448 Raman spectroscopy to quartz inclusions in garnet hosts. The conventional
449 geothermometry based on the thermodynamic calculations have problems that the
450 mineral assemblage and their chemical compositions have been easily changed during
451 the retrograde stage and the detection of peak metamorphic condition is difficult
452 especially in highly recrystallized metamorphic rocks. In this study, we focused on the
453 Raman spectroscopy that can detect the physical state of minerals, i.e. residual pressure
454 in the inclusion–host system, which is less affected by the retrograde metamorphism
455 than the conventional geothermometry. In particular, garnet tends to survive during the
456 retrograde stage and the information obtained from its inclusion should reflect the
457 earlier stage of garnet growth. This implies that the Raman spectroscopic analysis

458 combined with elastic modeling in this study can be used as a powerful and useful tool
459 to decipher information at prograde stage that was difficult for conventional method to
460 reveal even in highly recrystallized metamorphic rocks.

461

462 **ACKNOWLEDGEMENTS**

463 We are grateful to S. Wallis and H. Kagi for their constructive advice and to C.
464 Schmidt and anonymous referee for their careful reading and constructive suggestions,
465 which have led to considerable improvement of the manuscript. We also thank C.
466 Schmidt for providing unpublished data. The helpful editorial assistance of B.
467 Mihailova is also acknowledged. This study was financially supported by a Research
468 Fellowship for Young Scientists (Kouketsu: 22·7116) from the Japan Society for the
469 Promotion of Science (JSPS).

470

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621

622 **FIGURE CAPTIONS**

623 **FIGURE 1.** Map of metamorphic zones of the Ryoke metamorphic rocks in the Yanai
624 district, Southwest Japan, with sample localities. Abbreviations: Chl zone, chlorite zone;
625 Chl-Bt zone, chlorite-biotite zone; Ms-Crd zone, muscovite-cordierite zone; Kfs-Crd
626 zone, K-feldspar-cordierite zone; Grt-Crd zone, garnet-cordierite zone; Sil-Kfs zone,
627 sillimanite-K-feldspar zone; MTL, Median Tectonic Line.

628 **FIGURE 2.** Representative Raman spectra of α -quartz at atmospheric pressure and
629 room temperature. Symbols on the peaks are vibration mode in α -quartz. Numbers in
630 parentheses are the frequency of standard quartz. The direction of frequency shift of
631 each peak corresponding to the compression (C) and tension (T) is indicated by small
632 arrows. The extents of frequency shifts are expressed as ω_1 and ω_2 .

633 **FIGURE 3.** Photomicrographs of quartz inclusions in garnet hosts. (a) Chl-Bt zone, (b)
634 Ms-Crd zone, and (c) Kfs-Crd zone.

635 **FIGURE 4.** $\Delta\omega_1$ vs. $\Delta\omega_2$, which are the parameters of frequency shifts of quartz Raman
636 spectra. (a) Chl-Bt zone, (b) Ms-Crd zone, and (c) Kfs-Crd zone.

637 **FIGURE 5.** Calculated volume changes of quartz (a–c) and residual pressures (d–f) in
638 the range of 0.1MPa–2.6 GPa/25–600 °C. References of equation of states (EOS) and
639 thermoelastic parameters of quartz (Parameter) used in the calculations are shown in the
640 upper part. The gray areas in (d), (e), and (f) represent the negative residual pressure
641 ranges.

642 **FIGURE 6.** Relation between pressure (P ; GPa) and frequency shifts ($\Delta\omega_1$ and
643 $\Delta\omega_2$; cm^{-1}) at 23 °C in the experiment of Schmidt and Ziemann (2000). The negative
644 range was extrapolated from the lower compressional range.

645 **FIGURE 7.** (a), (b) Frequency shifts ($\Delta\omega_1$ and $\Delta\omega_2$) vs. quartz grain size. (c), (d)
646 Frequency shifts vs. quartz grain shape. The mean grain size was calculated as \sqrt{ab}
647 and grain shape as b/a , where a and b are longest and shortest lengths of quartz
648 inclusion.

649 **FIGURE 8.** Calculated residual pressure (P_i) vs. metamorphic pressure (P_0) considering
650 the effect of radii of inclusion quartz (R_i) and host garnet (R_h) at $T_0 = 500$ and 600 °C. x
651 $= R_i^3 / R_h^3$.

652 **FIGURE 9.** Calculated residual pressure (P_i) vs. metamorphic pressure (P_0) in the case
653 of four garnet end-members (almandine, pyrope, grossular, and spessartine) at $T_0 = 500$
654 and 600 °C.

655 **FIGURE 10.** Calculated $\Delta\omega_1$ and $\Delta\omega_2$ values of quartz inclusion in garnet in the range
656 of 0.1MPa – 2.6GPa / 25 – 600 °C. The $\Delta\omega_1$ and $\Delta\omega_2$ values are converted from P_i in
657 Figure 5f. The estimated metamorphic conditions in previous studies, Kouketsu et al.
658 (2010) and Endo et al. (2012), are shown as open square and star, respectively. The
659 metamorphic P – T conditions of Yanai Ryoke metamorphic rocks used in the present
660 study are shown as gray squares. The gray areas represent the negative residual pressure
661 ranges.

TABLE 1. Estimated metamorphic conditions and maximum frequency shifts of Raman spectrum of quartz in garnet of the Yanai Ryoke metamorphic rocks used in the present study.

| Sample no. | Zone | P (GPa) | T (°C) | $\Delta\nu_{A1(464)}$ (cm^{-1}) | $\Delta\nu_{A1(205)}$ (cm^{-1}) | $\Delta\nu_{E(127)}$ (cm^{-1}) | $\Delta\omega_1$ (cm^{-1}) | $\Delta\omega_2$ (cm^{-1}) |
|------------|---------|-----------------|---------------|---|---|--|--|--|
| YEB5 | Chl-Bt | 0.08 \pm 0.1 | 492 \pm 35 | -1.6 | -6.1 | -1.9 | -4.5 | -4.2 |
| YBB7 | Ms-Crd | 0.19 \pm 0.1* | 551 \pm 35* | -2.2 | -6.2 | -3.1 | -4.0 | -3.1 |
| YMA5 | Ms-Crd | 0.19 \pm 0.1* | 551 \pm 35* | -0.3 | -3.5 | -0.7 | -3.2 | -2.8 |
| YMA10 | Ms-Crd | 0.19 \pm 0.1* | 551 \pm 35* | -0.6 | -3.4 | -1.7 | -2.9 | -1.7 |
| YAF16 | Kfs-Crd | 0.26 \pm 0.1 | 594 \pm 35 | -2.1 | -6.0 | -3.0 | -3.9 | -3.0 |
| YAF19 | Kfs-Crd | 0.27 \pm 0.1 | 590 \pm 35 | -2.0 | -6.4 | -2.3 | -4.4 | -4.1 |

*Estimated condition of the sample YBB6 that was collected within 200m apart from samples YBB7, YMA5, and YMA10.

TABLE 2. Comparison of thermal expansion coefficient (α), thermal expansion parameter (a°), bulk modulus (κ), and shear modulus (μ) of garnet end members and quartz at standard condition (0.1 MPa and 298 K).

| Mineral | $\alpha \times 10^{-5}$ (K ⁻¹) | $a^\circ \times 10^{-5}$ (K ⁻¹) | κ (GPa) | μ (GPa) |
|--------------|---|--|----------------------|---------------------|
| Garnet | | | | |
| Almandine | 1.70 | 4.03 ^[1] | 169.0 ^[1] | |
| | 1.57 ^[2] | 3.73 | 175.1 ^[3] | 92.1 ^[3] |
| Pyrope | 1.83 | 4.36 ^[1] | 173.7 ^[1] | |
| | 1.98 ^[2] | 4.71 | 170.1 ^[3] | 90.2 ^[3] |
| Grossular | 1.65 | 3.93 ^[1] | 168.0 ^[1] | |
| | 1.63 ^[2] | 3.87 | 166.3 ^[3] | 98.1 ^[3] |
| Sperssartine | 1.94 | 4.62 ^[1] | 179.0 ^[1] | |
| | 1.71 ^[2] | 4.06 | 171.8 ^[3] | 93.3 ^[3] |
| Quartz | | | | |
| | 0.27 | 0.65 ^[1] | 75.0 ^[1] | |
| | 2.38 ^[2] | 5.66 | | |
| | 3.13 ^[4] | 7.44 | 37.3 ^[4] | |
| | 3.53 ^[5] | 8.38 | 37.1 ^[5] | |

^[1] Holland and Powell (1998); ^[2] Fei (1995); ^[3] Wang and Ji (2001);

^[4] Plymate and Stout (1989); ^[5] Dorogokupets (1995)

APPENDIX TABLE 1. Representative analyses of the garnets. Samples YEB5, YBB7, and YAF19 were collected from the Ryoke belt in the Yani district, ZWK02 was collected from the Sambagawa belt, and GM13 was collected from the Motagua fault zone.

| Sample | YEB5 ^[1] Chl-Bt | YBB7 ^[2] Ms-Crd | YAF19 ^[1] Kfs-Crd | ZWK02 ^[3] Sambagawa belt | GM13 ^[4] Motagua Fault zone |
|--------------------------------|-------------------------------|-------------------------------|---------------------------------|---|--|
| SiO ₂ (wt.%) | 36.89 | 37.12 | 36.89 | 37.60 | 37.18 |
| Al ₂ O ₃ | 20.92 | 20.94 | 20.69 | 20.70 | 20.30 |
| FeO | 14.49 | 24.51 | 25.27 | 26.00 | 31.97 |
| MnO | 25.00 | 13.44 | 15.05 | 2.52 | 0.49 |
| MgO | 1.17 | 1.47 | 2.46 | 1.34 | 2.37 |
| CaO | 0.78 | 1.85 | 0.76 | 11.80 | 7.00 |
| Total | 99.25 | 99.33 | 101.12 | 99.96 | 99.31 |
| Si (pfu) | 3.02 | 3.02 | 2.98 | 3.00 | 3.00 |
| Al | 2.02 | 2.01 | 1.97 | 1.95 | 1.93 |
| Fe | 0.99 | 1.67 | 1.71 | 1.73 | 2.16 |
| Mn | 1.73 | 0.93 | 1.03 | 0.17 | 0.03 |
| Mg | 0.14 | 0.18 | 0.30 | 0.16 | 0.29 |
| Ca | 0.07 | 0.16 | 0.07 | 1.01 | 0.61 |
| Total | 7.97 | 7.97 | 8.04 | 8.01 | 8.02 |
| X _{Alm} | 0.34 | 0.57 | 0.55 | 0.56 | 0.70 |
| X _{Prp} | 0.05 | 0.06 | 0.10 | 0.05 | 0.09 |
| X _{Grs} | 0.02 | 0.05 | 0.02 | 0.33 | 0.20 |
| X _{Sps} | 0.59 | 0.32 | 0.33 | 0.06 | 0.01 |

^[1] Ikeda (2004); ^[2] Ikeda (1993); ^[3] Kouketsu et al. (2010); ^[4] Endo et al. (2012)

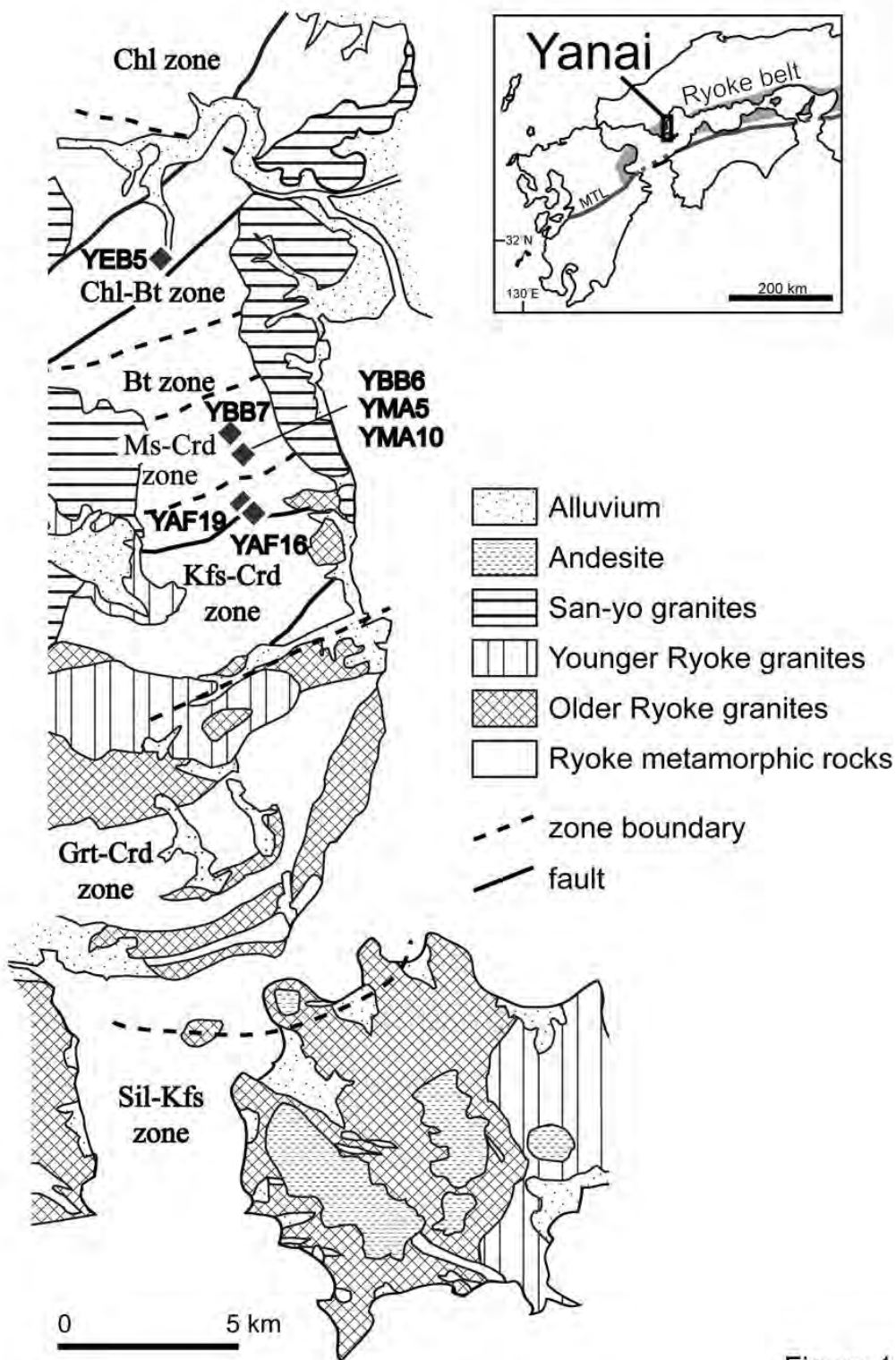


Figure 1

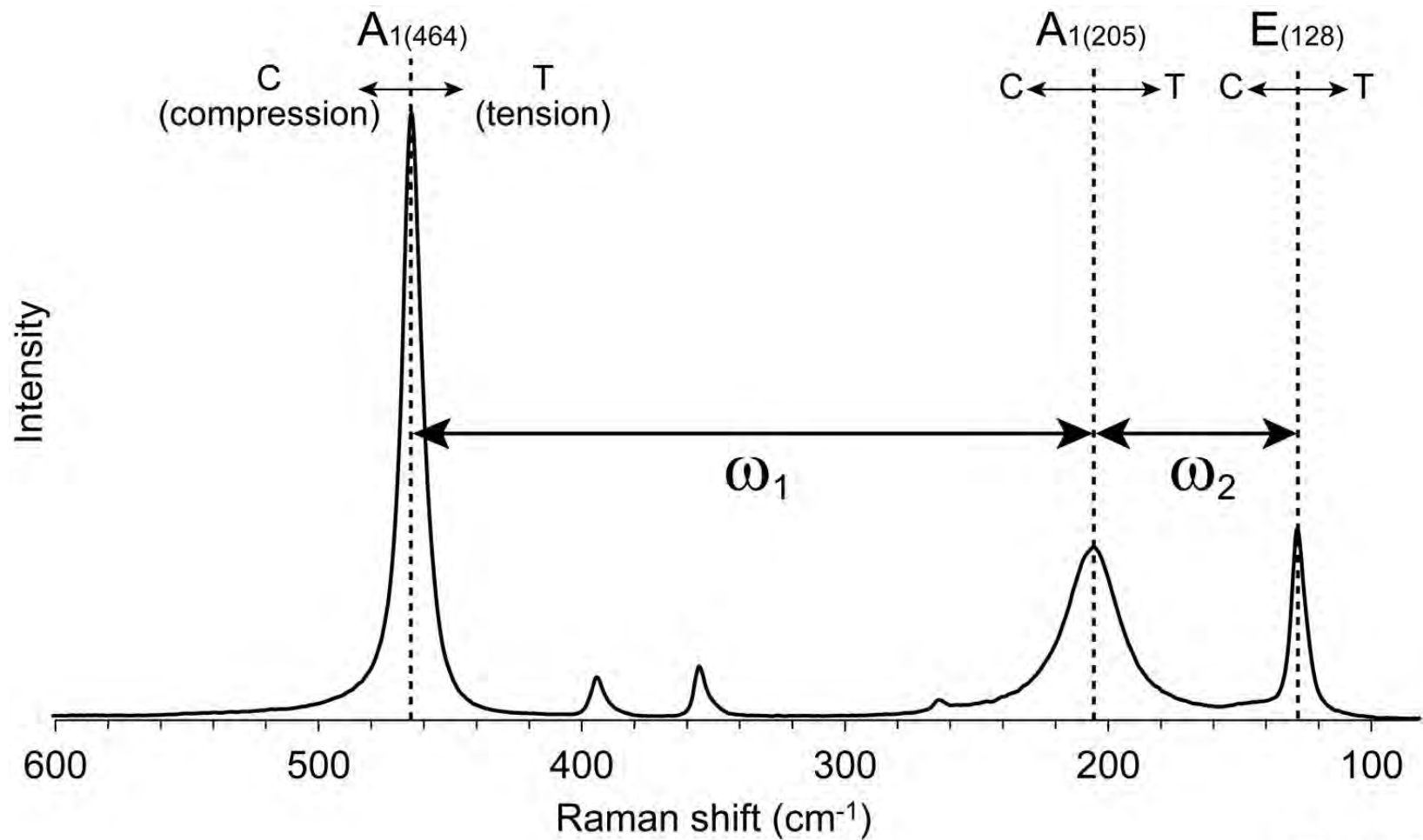
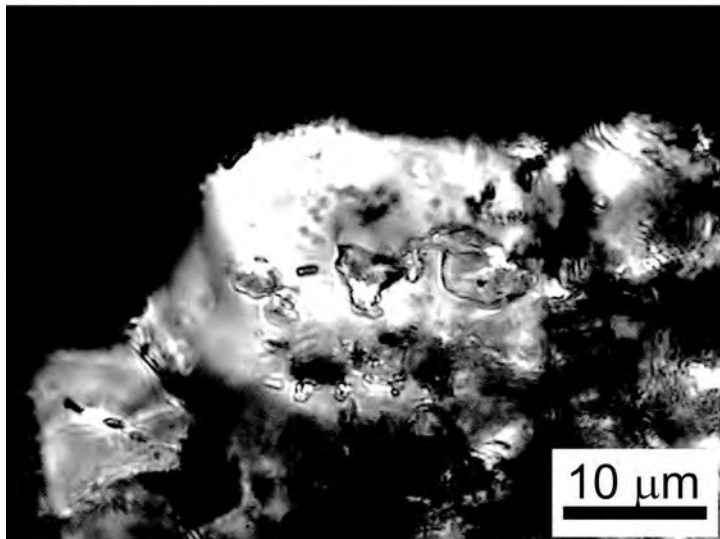
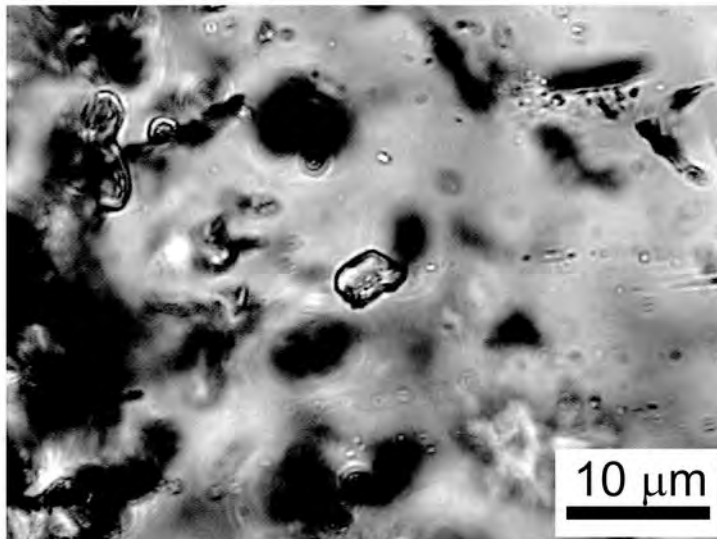


Figure 2

(a) Chl-Bt



(b) Ms-Crd



(c) Kfs-Crd

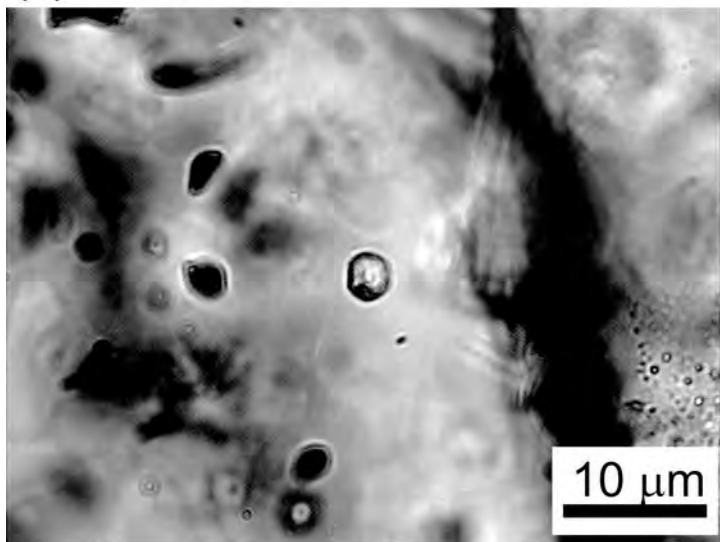


Figure 3

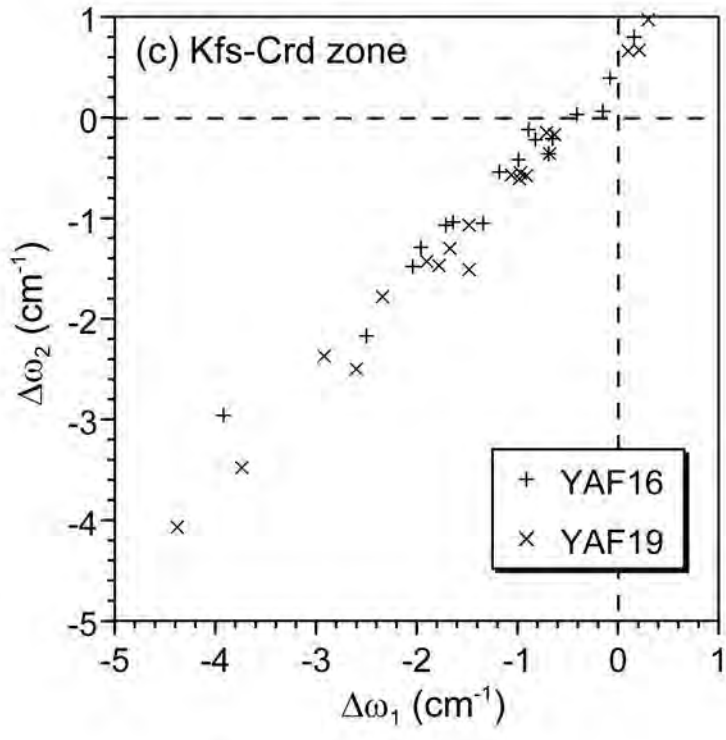
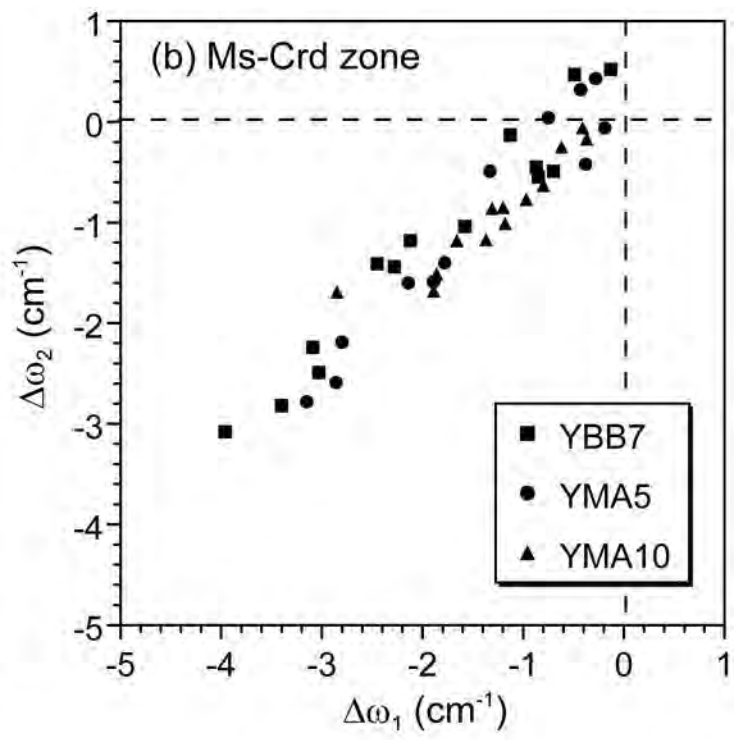
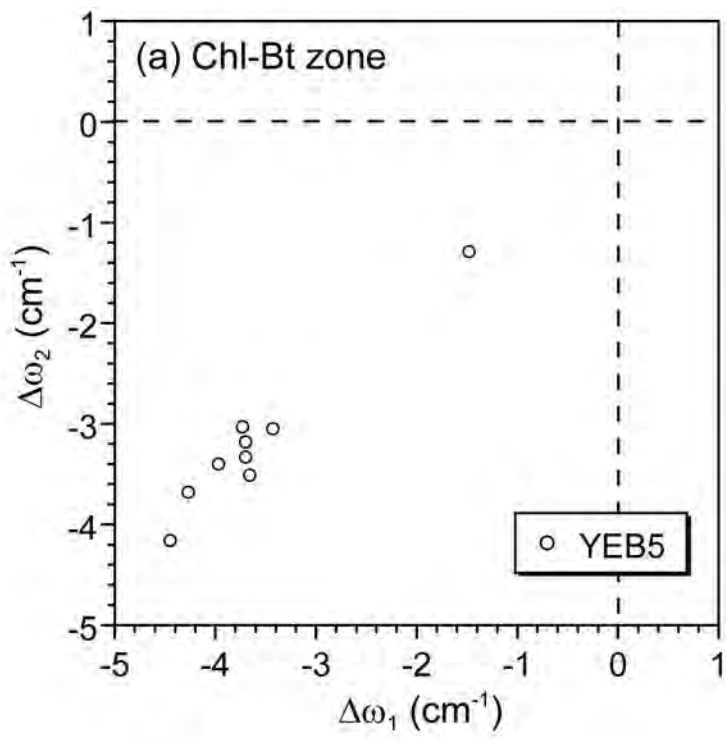


Figure 4

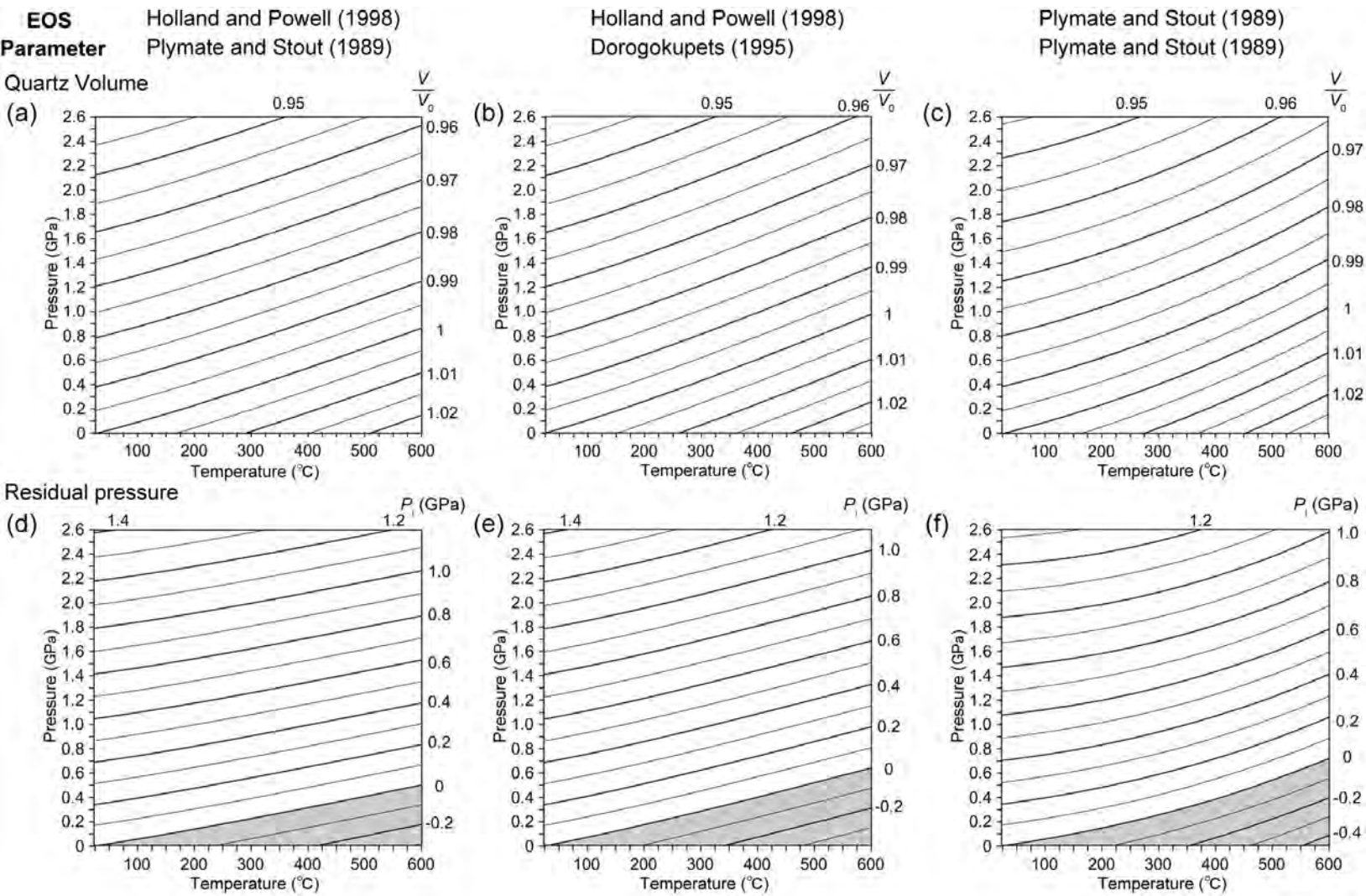


Figure 5

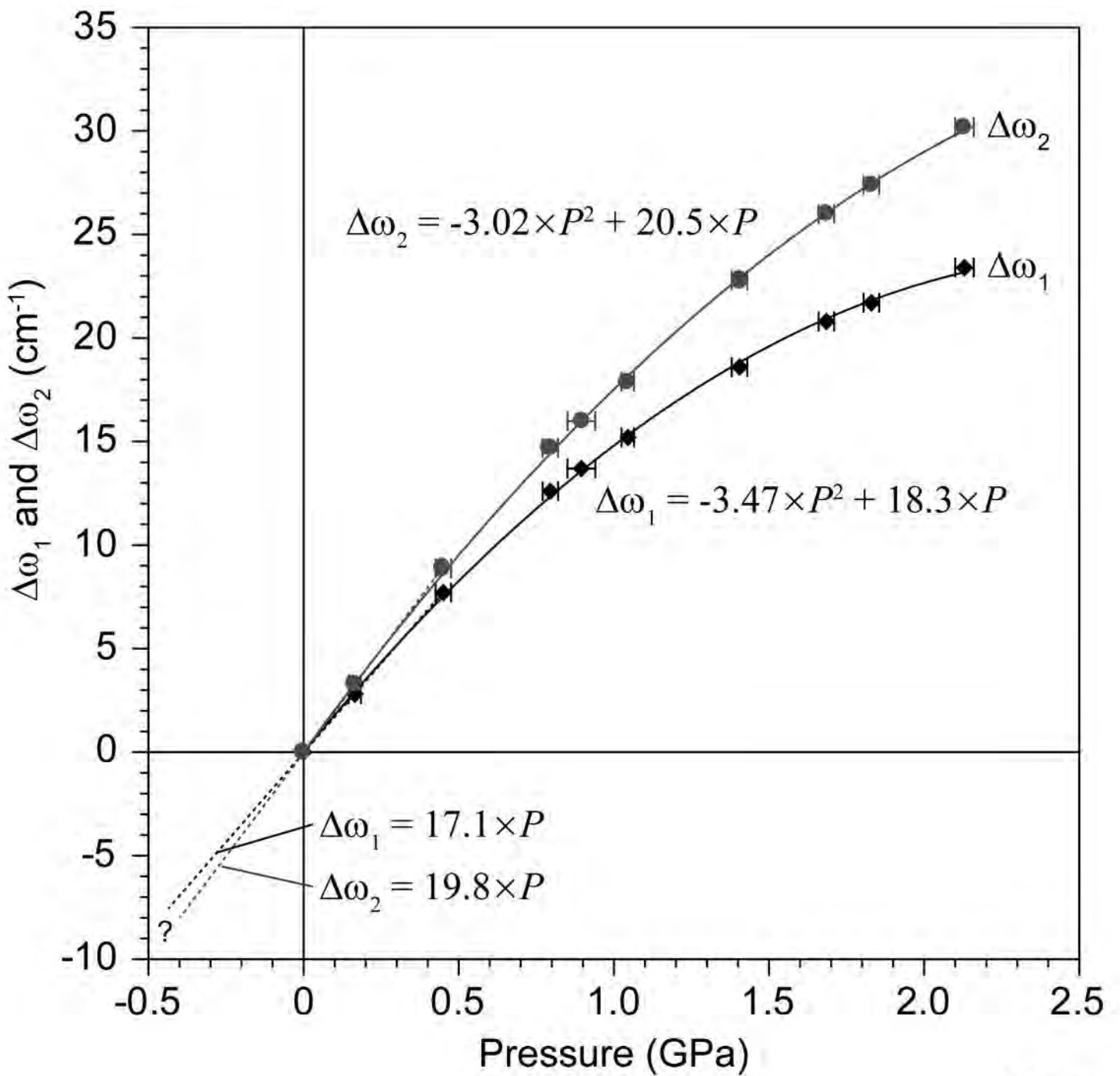
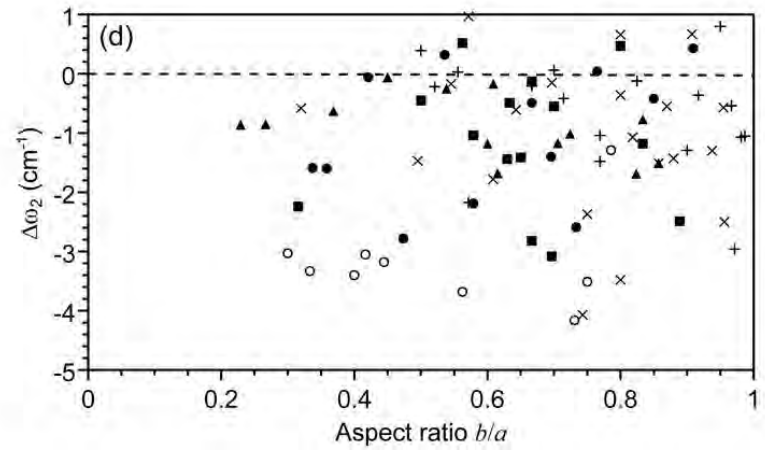
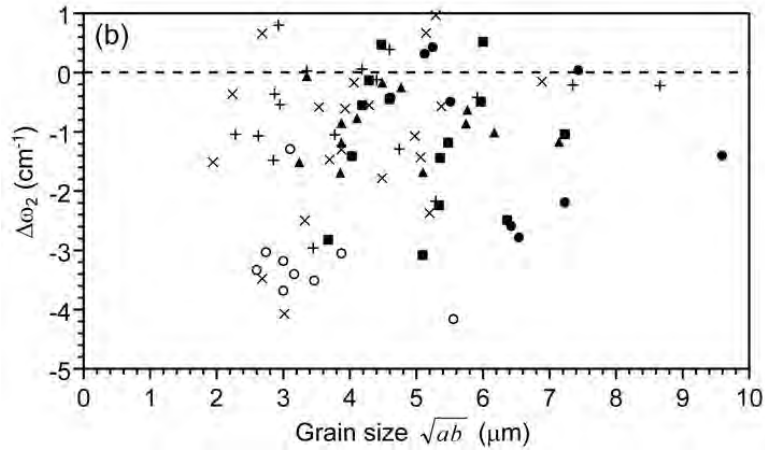
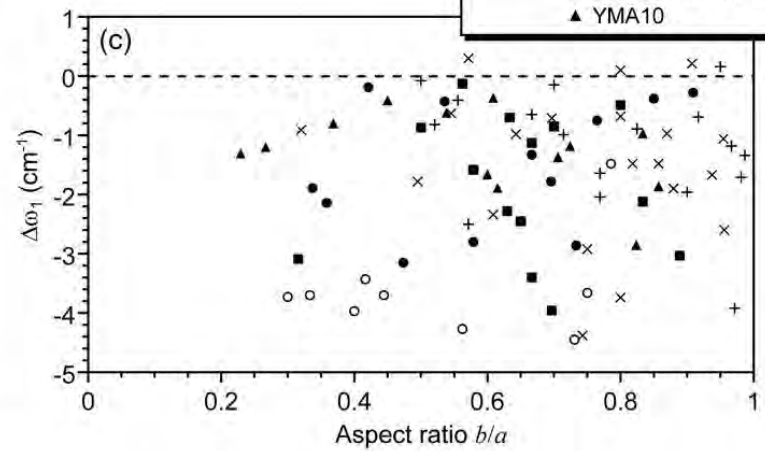
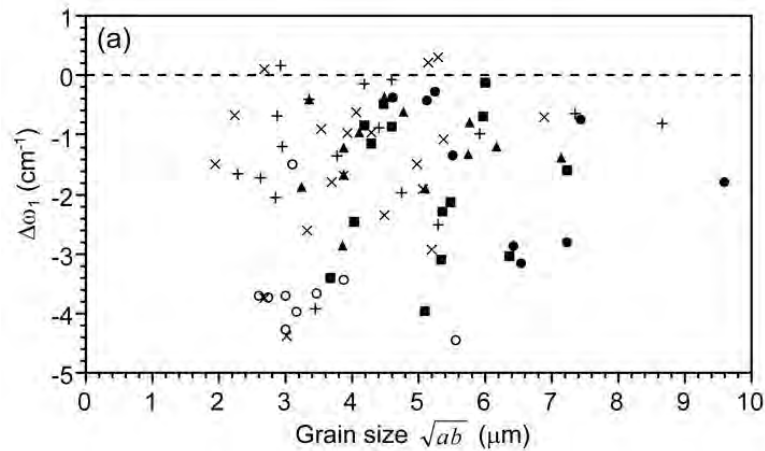
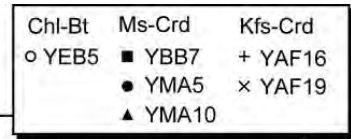
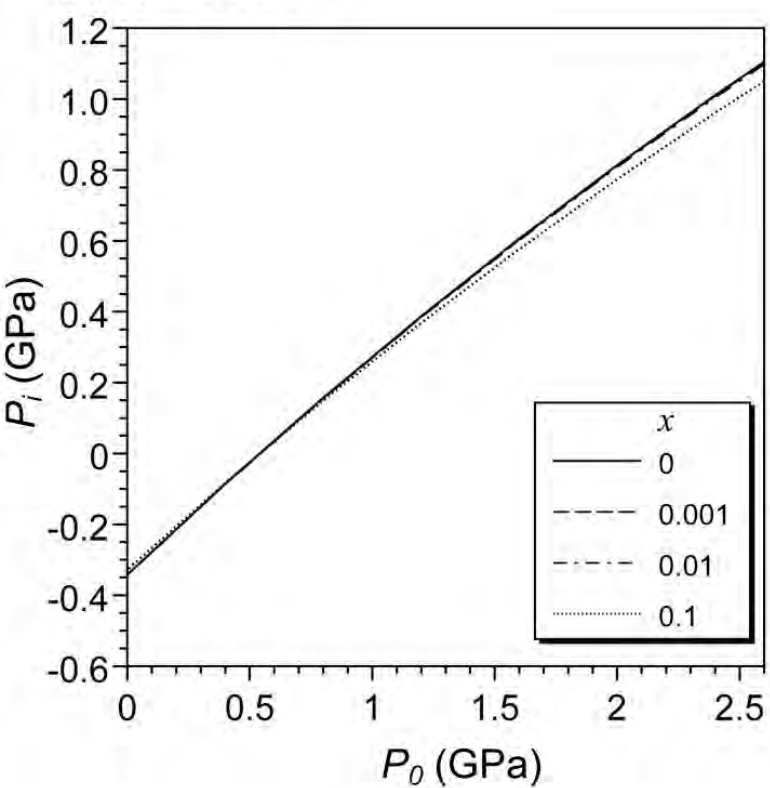


Figure 6



(a) $T_0 = 500 \text{ }^\circ\text{C}$



(b) $T_0 = 600 \text{ }^\circ\text{C}$

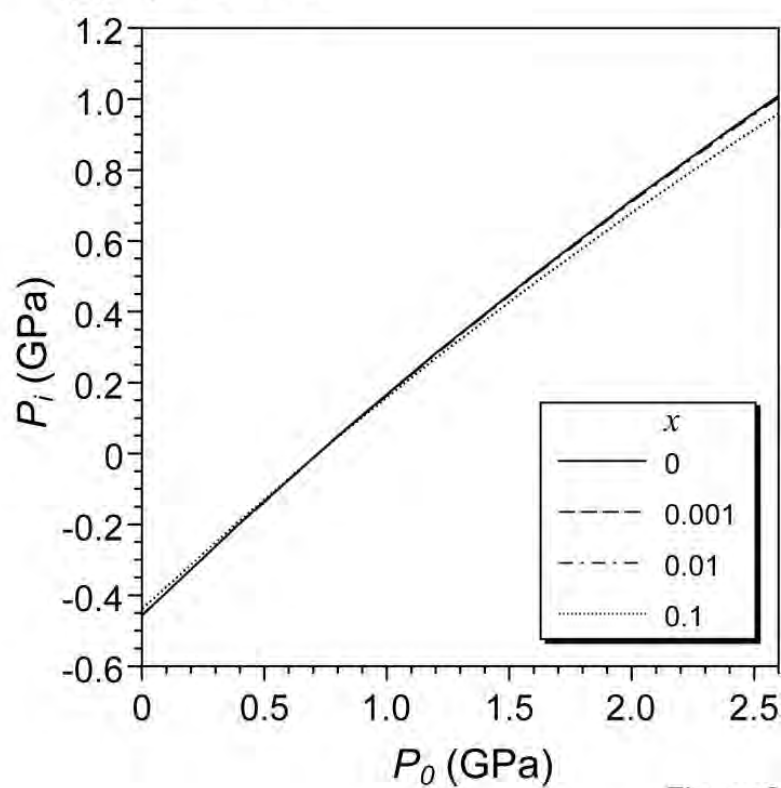
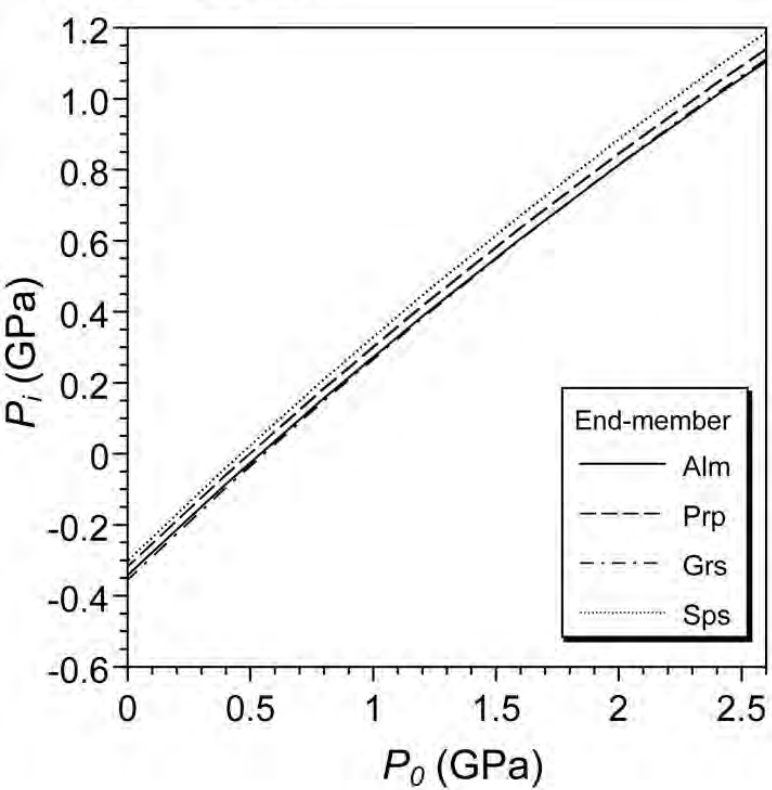


Figure 8

(a) $T_0 = 500 \text{ }^\circ\text{C}$



(b) $T_0 = 600 \text{ }^\circ\text{C}$

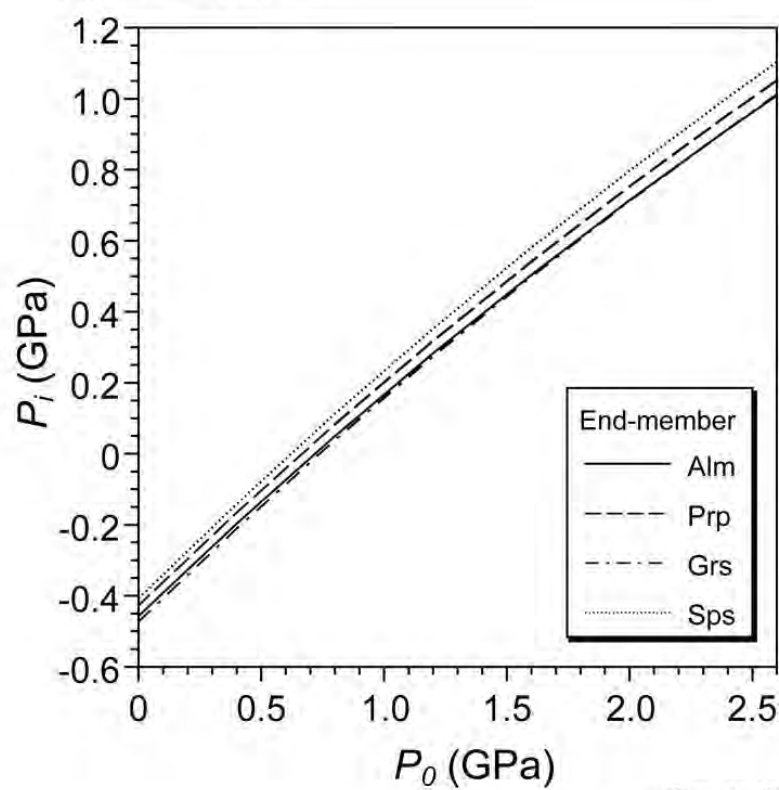


Figure 9

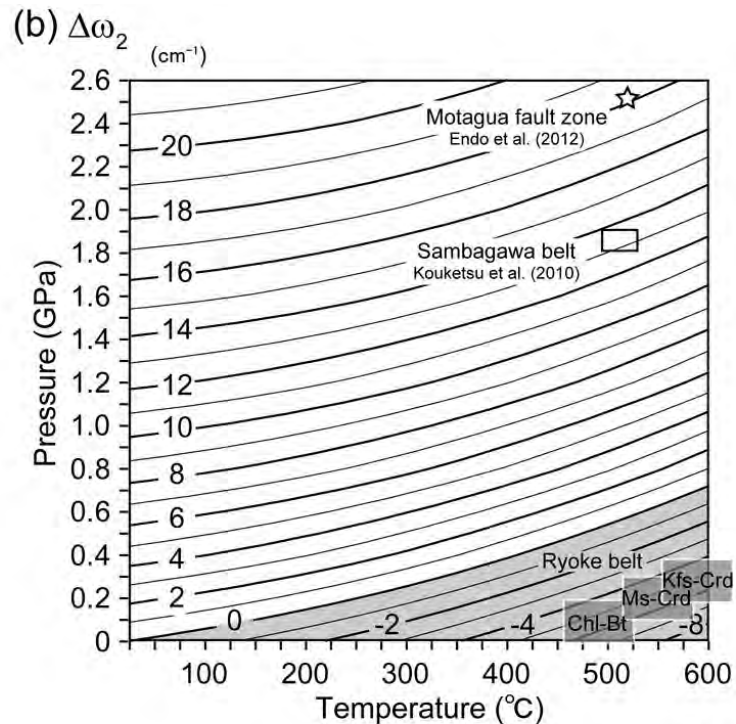
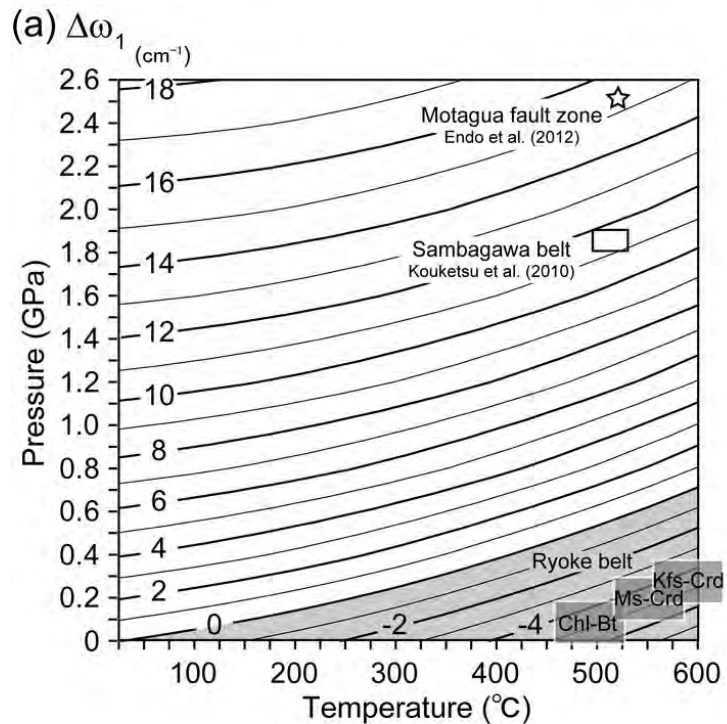


Figure 10