

1 Revision 2

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3 **Fluorescence of Trivalent Neodymium in Various Materials Excited by a**  
4 **785-nm Laser**

5  
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10 **ABSTRACT**

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12 The nature of the fluorescence in the frequency-shift range of 1000-2500 cm<sup>-1</sup>  
13 observed in the Raman spectra of many minerals when excited by a 785-nm laser has  
14 been investigated. Among the trivalent rare earths only Nd<sup>3+</sup> has the combination of a  
15 good ionic-radius match to substitute for Ca<sup>2+</sup> and an arrangement of energy levels to  
16 produce fluorescence in the frequency-shift range of interest. Raman/fluorescence  
17 spectra of six calcium-based minerals, namely fluorite, calcite, powellite, scheelite,  
18 apatite, and grossular/tsavorite, have been obtained at both room temperature and  
19 liquid-nitrogen temperature and transition assignments made for the majority of  
20 fluorescence lines in fluorite, powellite, scheelite, and grossular/tsavorite. The room-  
21 temperature results agree closely with results on individual minerals obtained by  
22 previous workers for fluorite and scheelite. The liquid-nitrogen-temperature results as  
23 well as the transition assignments for powellite and grossular/tsavorite are new. The Nd  
24 concentration has been measured by laser-ablation induction-coupled-plasma mass  
25 spectrometry (LA-ICP-MS) and correlated with Nd<sup>3+</sup> fluorescence intensity where  
26 possible. For fluorite, the fluorescence intensity increases at least linearly with  
27 concentration at levels up to a few ppm and then saturates at higher levels due to  
28 concentration quenching. Analysis of room-temperature Raman/fluorescence spectra of  
29 a much larger group of minerals available on the RRUFF website shows that strong or

30 very strong Nd<sup>3+</sup> fluorescence is much more likely in calcium-based minerals than in  
31 non-calcium-based ones and is completely absent for minerals containing iron in the  
32 chemical formula. Nd<sup>3+</sup> fluorescence is best understood in fluorite and less well  
33 understood in the other five minerals. Further study of calcite, apatite and  
34 grossular/tsavorite is necessary to improve the understanding of the charge-  
35 compensation mechanisms and increase the number of identified transitions in calcite  
36 and apatite. The results of this work indicate that Nd<sup>3+</sup> fluorescence in calcium-based  
37 minerals, when excited by a 785-nm laser, has potential uses in three areas: mineral  
38 identification, structure characterization and determination of trace-element  
39 concentration.

40 **Keywords:** Raman spectrum fluorescence, neodymium fluorescence, rare-  
41 earth impurities in minerals, laser-ablation induction-coupled-plasma mass  
42 spectrometry, LA-ICP-MS

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## INTRODUCTION

### **Raman spectroscopy in mineral identification**

46 Raman spectroscopy is widely used in the identification of minerals and gems because  
47 each particular chemical species has a unique Raman spectrum, which is the result of its  
48 chemical composition and crystal structure. The technique is particularly useful for  
49 distinguishing members of a series in which the Raman spectra are similar but show  
50 small but measurable differences in the positions of the spectral lines. In gem  
51 identification Raman scattering is a quick and non-destructive method of distinguishing  
52 authentic gemstones from cheaper imitations (Jenkins and Larsen, 2004)

53 In order to reduce unwanted fluorescence, the infrared 785-nm (sometimes 780-  
54 nm) titanium-sapphire laser is often used. However, even with the 785-nm laser,  
55 fluorescence is often observed and has generally been attributed to the presence of rare-  
56 earth impurities. In this paper we analyze the Raman/fluorescence spectra of a number of  
57 minerals excited by a 785-nm laser and give strong evidence that most of the observed  
58 fluorescence in the frequency-shift region of 1000-2500  $\text{cm}^{-1}$  is due to the presence of a  
59 single type of rare-earth ion, namely  $\text{Nd}^{3+}$ . In some cases, the characteristic pattern  
60 produced by the crystal field is a reliable fingerprint of the host crystal and may itself be  
61 used for mineral identification where the true Raman spectrum is unreliable or masked by  
62 the fluorescence.

63

#### 64 **Energy levels of rare-earth ions**

65 The 4f energy levels of four trivalent rare-earth ions are shown in Fig. 1. When a  
66 rare-earth ion is incorporated into a crystal as an impurity, the  $2J+1$  degenerate levels of  
67 the ionic multiplet are split by the crystal field by an amount of up to a few hundred  
68  $\text{cm}^{-1}$ . For example, the ground state multiplet of  $\text{Nd}^{3+}$ , namely  $^4I_{9/2}$  has 10 degenerate  
69 levels and in all site symmetries other than cubic is split into 5 doubly degenerate  
70 Kramers doublets. Also shown on the energy-level diagram are lines representing the  
71 transition energy produced by a 785-nm laser ( $12739 \text{ cm}^{-1}$ ). These lines lie close to some  
72 energy level of each of the four rare-earth ions, namely the  $^4F_{5/2}$  level of  $\text{Nd}^{3+}$ , the  
73  $^6F_{5/2}$  level of  $\text{Dy}^{3+}$ , the  $^4I_{9/2}$  level of  $\text{Er}^{3+}$ , and the  $^3F_4$  level of  $\text{Tm}^{3+}$ . Absorption  
74 measurements of several samples of fluorite ( $\text{CaF}_2$ ) containing  $\text{Dy}^{3+}$  indicate that the

75 785-nm laser energy is just slightly too energetic to lie within the  ${}^6\text{H}_{15/2} \rightarrow {}^6\text{F}_{5/2}$   
76 absorption band of  $\text{Dy}^{3+}$ . The match of laser energy to transition energy is better for  $\text{Er}^{3+}$   
77 and  $\text{Tm}^{3+}$  but, in each case, non-radiative decay to the next-lowest 4f energy level,  
78 followed by subsequent fluorescence, would yield a frequency shift greater than  
79  $2500\text{ cm}^{-1}$ , which is outside of the range considered here. In  $\text{Nd}^{3+}$  the 785-nm laser  
80 excites the  ${}^4\text{I}_{9/2} \rightarrow {}^4\text{F}_{5/2}$  transition. The excitation is followed by non-radiative  
81 relaxation to the  ${}^4\text{F}_{3/2}$  multiplet and subsequent fluorescence to the ground state  ${}^4\text{I}_{9/2}$   
82 multiplet. A non-cubic crystal field splits the  ${}^4\text{F}_{3/2}$  multiplet into two doubly-degenerate  
83 levels so that there are  $2 \times 5 = 10$  possible fluorescence lines between the  ${}^4\text{F}_{3/2}$  and  ${}^4\text{I}_{9/2}$   
84 multiplets. Typically, due to weak transition strengths of some lines, fewer than 10 lines  
85 are actually observed. In the literature the five levels of the  ${}^4\text{I}_{9/2}$  multiplet are referred to  
86 as  $Z_1$ - $Z_5$  and the two levels of the  ${}^4\text{F}_{3/2}$  multiplet are referred to as  $R_1$  and  $R_2$ . Among  
87 all of the trivalent rare earth ions, only  $\text{Nd}^{3+}$  has the required arrangement of energy  
88 levels to absorb the laser light and produce fluorescence in the frequency-shift range of  
89  $1000$ - $2500\text{ cm}^{-1}$ .

90

### 91 **Substitution of rare-earth ions as impurities**

92 Substitution of rare-earth ions as impurities in minerals depends on having a close  
93 match of both ionic radius and charge between the rare-earth ion and the cation for which  
94 it is substituting. According to Goldschmidt's rules (Goldschmidt, 1926), free  
95 substitution can occur if the ionic radius differs by less than 15%, limited substitution can  
96 occur if the difference is 15-30% and little or no substitution can occur if the difference

97 is greater than 30%. The charge difference must not exceed 1. The rare-earth ionic radii  
98 exhibit the well-known lanthanide contraction, due to incomplete shielding by the 4f  
99 electrons. The ionic radius of  $\text{Nd}^{3+}$  (.983Å for six-fold coordination) is very close to that  
100 of  $\text{Ca}^{2+}$  (1.00 Å for six-fold coordination) (Withers et al., 2003). Thus  $\text{Nd}^{3+}$  can easily  
101 substitute for  $\text{Ca}^{2+}$  in many minerals. The necessary charge compensation can be  
102 provided by concurrent substitution of a lower valence cation, e.g.  $\text{Si}^{4+}$  for  $\text{P}^{5+}$ , or the  
103 addition of an extra anion such as  $\text{F}^-$  in an interstitial position. The compensation may be  
104 local, in which case it reduces the site symmetry of the  $\text{Nd}^{3+}$  impurity, or distant, in  
105 which case it does not.

106 Trivalent neodymium has both the required energy-level arrangement for 785-nm-  
107 excited fluorescence and the required ionic radius and minimal charge difference for  
108 ease of substitution for calcium, and it is the combination of these two properties which  
109 explain the widespread occurrence of fluorescence in the Raman/fluorescence spectra of  
110 calcium-based minerals.

111

## 112 **EXPERIMENTAL EQUIPMENT AND METHODS**

### 113 **Raman/fluorescence spectrometer**

114 Raman/fluorescence spectra covering the range of frequency shift from  $200\text{ cm}^{-1}$   
115 to  $2500\text{ cm}^{-1}$  were obtained at both room temperature (294 K) and liquid nitrogen  
116 temperature (77 K) for all samples with an RSI-3000 spectrometer system, which  
117 operates in the backscattering geometry and has a spot size of less than 1 mm. The  
118 samples were placed in a 2.0-cm-diameter, 20-cm-long plastic tube with a funnel at the  
119 top to hold liquid nitrogen and surrounded by styrofoam insulation. A wad of aluminum

120 foil was placed around the sample on one side so that one face of the sample was close to  
121 the wall of the plastic tube and the sample remained in position when liquid nitrogen was  
122 poured in. A 3/8-inch-diameter access hole cut through the insulation allowed the  
123 experimenter to position the laser probe very close to the plastic-tube wall and within a  
124 few mm of the sample. The tight fit of the laser probe into the access hole prevented air  
125 from getting onto the cold plastic tube and causing condensation.

126 For each sample, a series of runs were first made at room temperature. Liquid  
127 nitrogen was poured in and several minutes were allowed to pass until the boiling quieted  
128 down. Then several runs were made at liquid nitrogen temperature. The fluorescence  
129 signal was strong enough so that a 10-second integration time was usually sufficient and  
130 typically eight runs were made and averaged to improve the signal-to-noise ratio.  
131 Because any motion of the sample between the two sets of runs was very small, the pairs  
132 of Raman/fluorescence spectra presented below can be assumed to come from the same  
133 part of the sample.

134

### 135 **LA-ICP-MS system**

136 The concentrations of all of the rare-earth elements, transition metals in the ranges  
137 Sc-Fe, Y-Mo, and La-W, as well as those of Ca, Sr, Ba and U were measured for all  
138 samples by the LA-ICP-MS system at the Graduate School of Oceanography at the  
139 University of Rhode Island. The system used a 230-nm pulsed ultraviolet laser operating  
140 at a repetition rate of 10 Hz which burned a hole at a rate of 2  $\mu$  m/s for 60 s so that the  
141 hole depth was 120  $\mu$  m. A hole diameter of 160  $\mu$  m was used in most cases, except for  
142 some of the calibration samples, for which 80  $\mu$  m was used. In order to determine the

143 true concentration of the elements, the values of the net count rate during the signal  
144 portion of the count rate curves were compared to those from NIST glass calibration  
145 samples. In the LA-ICP-MS technique it is important to choose isotopes so that a  
146 combination of isotopes of lower mass does not masquerade as a single isotope of higher  
147 mass. (See Kelley, 2006)

148

## 149 **RAMAN/FLUORESCENCE SPECTRA I**

### 150 **Minerals chosen for study**

151 Six calcium-based minerals which exhibit fluorescence in the spectral-shift region  
152 of 1000-2500  $\text{cm}^{-1}$  were chosen for study in the present work. They are listed in Table 1  
153 along with the source or location of origin, which is unknown in a few cases. Of the  
154 UML samples, the synthetic fluoride samples intentionally doped with Dy had been  
155 purchased from Optovac, Inc. many years ago. The undoped sample of  $\text{CaF}_2$  was  
156 recently obtained from Fairfield Crystal Technology and is typical of their material sold  
157 as optical windows. The samples of calcite, powellite, scheelite, apatite and tsavorite  
158 were obtained from various mineral suppliers. The information on the RRUFF samples is  
159 listed on the RRUFF website. These samples had a variety of sources and locations of  
160 origin.

161 For each of the UML samples, peak positions and linewidths of both the observed  
162 Raman lines and  $\text{Nd}^{3+}$  fluorescence lines were determined, transition assignments were  
163 made, and the results were compared with those of previous work where possible. Each  
164 mineral is discussed individually below in this section. In the values for line position and  
165 energy level given in the figures and the tables below, the uncertainties are estimated to

166 be  $1\text{-}2\text{ cm}^{-1}$ . In addition, the results from the UML samples are compared with the results  
167 from the RRUFF samples in the section entitled Raman/Fluorescence Spectra II.

168

169

## 170 **Fluorite ( $\text{CaF}_2$ )**

171 Fluorite has a cubic crystal structure (space group  $Fm\bar{3}m$ ) and may be regarded as  
172 a simple cubic lattice of  $\text{F}^-$  ions with a  $\text{Ca}^{2+}$  ion at the center of every other cube. When  
173  $\text{Nd}^{3+}$  substitutes for  $\text{Ca}^{2+}$  in  $\text{CaF}_2$ , the main mechanism by which the necessary charge  
174 compensation is accomplished is the incorporation of an interstitial  $\text{F}^-$ .

175 The local site symmetry of the  $\text{Nd}^{3+}$  impurity depends on the location of the  $\text{F}^-$ :  
176 full eightfold-coordinated cubic  $m\bar{3}m$  ( $O_h$ ) symmetry for remote compensation,  
177 tetragonal  $4mm$  ( $C_{4v}$ ) symmetry for compensation at the central position of an adjacent  
178 cube along one of the crystal axes, and trigonal  $3m$  ( $C_{3v}$ ) symmetry for compensation at  
179 the central position of an adjacent cube along a body diagonal.

180 The actual distribution of site symmetries of the  $\text{Nd}^{3+}$  ions in  $\text{CaF}_2$  is probably a  
181 mixture of  $O_h$ ,  $C_{4v}$  and  $C_{3v}$  as well as some sites containing  $\text{Nd}^{3+}$  clusters, which  
182 become important at high impurity levels. However,  $4f \rightarrow 4f$  transitions in rare-earth ions,  
183 which are forbidden in the free ion, gain significant strength only when the local crystal  
184 field breaks the inversion symmetry. Thus,  $4f \rightarrow 4f$  transitions of  $\text{Nd}^{3+}$  in  $\text{CaF}_2$  are  
185 expected to be very weak at  $O_h$  sites, moderate at  $C_{3v}$  sites and strongest at  $C_{4v}$  sites  
186 because of the closeness of the charge-compensating  $\text{F}^-$  ion. It is the general consensus of



187 several studies over the years that the characteristic fluorescent spectrum of  $\text{Nd}^{3+}$  in  $\text{CaF}_2$   
188 presented below is due to  $\text{Nd}^{3+}$  ions at  $C_{4v}$  sites (Freeth and Jones, 1982; Payne et al.  
189 1991).

190 Raman/fluorescence spectra were obtained for five samples of synthetic  $\text{CaF}_2$   
191 and two samples of natural  $\text{CaF}_2$  and were all very similar. The spectra of a sample of  
192  $\text{CaF}_2 : 0.05\% \text{Dy}^{2+}$  are shown in Fig. 2. The  $\text{Nd}^{3+}$  fluorescence lines in the frequency-  
193 shift range of  $1000\text{-}2000 \text{ cm}^{-1}$  all narrow considerably at 77 K and three weak lines at  
194  $1044/1025 \text{ cm}^{-1}$ ,  $1122/1107 \text{ cm}^{-1}$  and  $1775/1776 \text{ cm}^{-1}$  decrease considerably in  
195 intensity. This decrease is a strong indication that these lines are due to transitions from  
196 the higher level ( $R_2$ ) in the upper multiplet, which is significantly depopulated at 77 K.  
197 Having spectra at both 294 K and 77 K helps considerably in making transition  
198 assignments. Guided by previous results, most of the lines in Fig. 2 can be identified. Out  
199 of the ten possible transitions from  $R_m$  to  $Z_n$ , six are observed at 294 K and seven at 77  
200 K.  $R_2 \rightarrow Z_3$  is buried in the upper part of the much stronger peak due to  $R_1 \rightarrow Z_2$ . The  
201 two transitions  $R_2 \rightarrow Z_4$  and  $R_1 \rightarrow Z_4$  are apparently too weak to be observed.

202 A comparison of energy levels of  $\text{Nd}^{3+}$  in  $\text{CaF}_2$  as deduced from the  
203 fluorescence spectra for previous work and the present work is given in Table 2 and  
204 generally shows very good agreement. The single significant discrepant value is that for  
205 the  $Z_5$  level obtained by Kiss (1962). The reason for this discrepancy is not known. The  
206 previous results were obtained from samples of  $\text{CaF}_2$  intentionally doped with  $\text{Nd}^{3+}$  for  
207 use as solid-state lasers. The present results show that the same fluorescence can occur in  
208 synthetic samples in which the Nd is an unintentional impurity at much lower

209 concentrations as well as in natural samples. Freeth and Jones (1982) analyzed their  
210 energy level values assuming  $C_{4v}$  site symmetry with a tetragonal crystal field having  
211 five parameters and including J-mixing, and obtained an excellent fit to the levels of the  
212  $^4I_{9/2}$  and  $^4I_{11/2}$  multiplets with an rms deviation of  $1.2 \text{ cm}^{-1}$ . Clearly  $C_{4v}$  symmetry  
213 appears to be the dominant site symmetry for  $\text{Nd}^{3+}$  in  $\text{CaF}_2$ .

214 Both spectra also show several weak unidentified lines. Possible origins of these  
215 lines are fluorescence from  $\text{Nd}^{3+}$  at sites of symmetry other than  $C_{4v}$ , e.g.  $C_{3v}$  sites or  
216 Nd-cluster sites (See Payne et al. 1991). Their relatively low intensities indicate that  
217 either such sites are rarer than the  $C_{4v}$  sites and/or the line strengths are weaker. Overall,  
218 the  $\text{Nd}^{3+}$  fluorescence spectra of fluorite, although displaying some minor variations, are  
219 very similar and distinctive and are a reliable indicator that the host material is fluorite.

220

### 221 **Calcite ( $\text{CaCO}_3$ )**

222 Calcite has a rhombohedral crystal structure (space group  $R\bar{3}c$ ) in which layers of  
223  $\text{Ca}^{2+}$  ions alternate with layers of  $\text{CO}_3^{2-}$  molecular complexes. The  $\text{Ca}^{2+}$  has trigonal  
224 3 ( $C_3$ ) site symmetry but previous fluorescence spectra of  $\text{Nd}^{3+}$  in calcite taken by  
225 Withers et al. (2003) indicate that the site symmetry of impurity atoms in calcite is not as  
226 distinct as it is with fluorite. The spectra reveal large inhomogeneous linewidths and  
227 smooth line profiles which are more characteristics of glassy hosts than crystalline ones.  
228 The authors attribute these features to a broad distribution of crystal-field environments  
229 caused by a variation in coordination number ( $6.6 \pm 1$ ), local lattice distortion, defects or  
230 other impurities.

231 Raman/fluorescence spectra were obtained for three samples of clear calcite, one  
232 sample of green calcite, and one sample of blue calcite. One sample of clear calcite and  
233 the sample of green calcite showed moderate or strong  $\text{Nd}^{3+}$  fluorescence. The  
234 fluorescence spectra of the clear calcite and the green calcite are quite different.

235 In the active sample of the clear calcite, the  $\text{Nd}^{3+}$  fluorescence was strong  
236 everywhere and showed a moderate variation (within a factor of two) over the sample.  
237 The fluorescence lines are in the range of frequency shift of  $1100\text{ cm}^{-1}$  to  $1840\text{ cm}^{-1}$ .  
238 Significant narrowing of the lines and reduction of the intensities of the transitions from  
239 the  $R_2$  allows identification of seven of the ten transitions. It appears that most of the  
240 fluorescence spectrum arises from a dominant type of impurity site with a minor  
241 contribution from a second type of impurity site. The very narrow lines at 77 K indicate  
242 a high degree of crystalline order and low level of strain broadening.

243 In the sample of green calcite, the  $\text{Nd}^{3+}$  fluorescence was confined to a light  
244 brownish band about 1 mm thick that ran through the sample. The sharp drop off in  
245 fluorescence intensity with position away from the center of the band correlated strongly  
246 with a similar drop off in Nd concentration as measured by the LA-ICP-MS method. The  
247  $\text{Nd}^{3+}$  fluorescence spectrum separates into two components: in the range of frequency  
248 shift of  $1150\text{ cm}^{-1}$  to  $1400\text{ cm}^{-1}$  a cluster of three lines at 294 K sharpens into four lines  
249 at 77 K, which can be identified as the four transitions from  $R_1/R_2$  to  $Z_1/Z_2$ . In the  
250 range from  $1450\text{ cm}^{-1}$  to  $1700\text{ cm}^{-1}$  a broad peak does not sharpen and resolve at 77K,  
251 and it is not possible to make transition assignments.

252 It is clear that the  $\text{Nd}^{3+}$  fluorescence spectra show a much greater variation, both  
253 within an individual sample, and between different samples, than they do in fluorite. The

254 probable causes are a greater number of impurity site types as well as a greater variety of  
255 formation conditions. Further study with a larger number of calcite samples from  
256 different origins are necessary to determine whether may be a set of reliable characteristic  
257  $\text{Nd}^{3+}$  fluorescence spectra for calcite. The results from the active sample of clear calcite  
258 in the present work indicate that such may be the case.

259

### 260 **Powellite ( $\text{CaMoO}_4$ )**

261 Powellite has a tetragonal crystal structure (space group  $I4_1/a$ ), and the  $\text{Ca}^{2+}$  ions  
262 have a tetragonal  $\bar{2}(S_4)$  site symmetry. Fluorescence of  $\text{Nd}^{3+}$  in powellite has been  
263 studied by Andrade et al. (2006) in a single crystal of  $\text{CaMoO}_4$  doped with  $\text{Nd}^{3+}$  and co-  
264 doped with  $\text{Nb}^{5+}$  to investigate its use as a laser host, whose broad and intense absorption  
265 bands permit easier excitation by a semiconductor diode laser. Emission from the  ${}^4F_{3/2}$   
266 to  ${}^4I_{11/2}$  multiplets was observed for seven different types of sites by site selective  
267 excitation, but the results are not directly comparable with the  ${}^4F_{3/2}$  to  ${}^4I_{9/2}$  fluorescence  
268 arising predominantly from a single type of site observed in the present work.

269 Raman/fluorescence spectra were obtained for one sample of natural powellite  
270 and are shown in Fig. 3. The spectra are very clean and transition assignments can be  
271 made for all seven peaks observed at 294 K and all eight peaks observed at 77 K. The  
272 resulting energy level values are given in the first and second data columns in Table 3.

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276 **Scheelite (CaWO<sub>4</sub>)**

277 Scheelite has a tetragonal crystal structure (space group  $I4_1/a$ ) and the Ca<sup>2+</sup> ions  
278 have tetragonal  $\bar{2}$  ( $S_4$ ) site symmetry. Fluorescence of Nd<sup>3+</sup> in scheelite has been  
279 studied in connection with the use of scheelite as a laser host for Nd using  ${}^4F_{3/2} \rightarrow {}^4I_{9/2}$   
280 transitions. Varona et al. (2006) were able to construct energy-level diagrams of the five  
281 levels of the  ${}^4I_{9/2}$  multiplet and two levels of the  ${}^4F_{3/2}$  multiplet. Bayrakceken et al.  
282 (2007) were able to determine the energy level values for the  ${}^4I_{9/2}$ ,  ${}^4I_{11/2}$ ,  ${}^4I_{13/2}$  and  
283  ${}^4I_{15/2}$  multiplets and compare them with theoretical values calculated from a crystal-  
284 field Hamiltonian for the  $S_4$  tetragonal site symmetry using 11 parameters. The fit for the  
285  ${}^4I_{9/2}$  multiplet was relatively poor (rms deviation = 26.1 cm<sup>-1</sup>) because the multiplets  
286 were considered individually and J-mixing was not included. However, the experimental  
287 values for the four higher levels of the  ${}^4I_{9/2}$  multiplet obtained by the two groups agree  
288 closely and are shown in the first two data columns of Table 4.

289 Raman/fluorescence spectra were obtained for three samples of natural scheelite.  
290 The spectra of a sample of scheelite from Strawberry Mine in California are shown in  
291 Fig. 4. Six transitions can be identified at 294 K and eight at 77 K. The resulting energy  
292 level values are given in the third and fourth data columns of Table 4 and agree closely  
293 with those of the two previous groups. The unidentified lines near 1340 cm<sup>-1</sup> (77 K) and  
294 1850 cm<sup>-1</sup> (77 K and 294 K) are probably due to Nd<sup>3+</sup> transitions at sites of symmetry  
295 different from the normal  $S_4$  symmetry.

296

297 **Comparison of powellite and scheelite**

298 A comparison of the spectra of powellite, shown in Fig. 3, and the spectra of  
299 scheelite, shown in Fig. 4, reveals the following information:

- 300 1. The positions of the Nd<sup>3+</sup> fluorescence peaks in scheelite occur at frequency  
301 shifts that are 5-20 cm<sup>-1</sup> lower than their counterparts in powellite.
- 302 2. The relative intensities of the fluorescence peaks are very similar in the two  
303 sets of spectra. The correlation coefficient of the intensities is 0.91 at 294 K  
304 and 0.93 at 77 K.

305 These results suggest a practical application of Raman/fluorescence spectra in  
306 determining the relative content of molybdenum vs. tungsten in natural powellite-  
307 scheelite rocks. Traditionally, visible fluorescence excited by shortwave UV radiation at  
308 254 nm has been used for this purpose (Vermaas; Modreski, 1978). However, because the  
309 fluorescence color changes quickly from bluish-white to yellow as the relative Mo  
310 content rises to more than 5%, it is not very useful for distinguishing rocks whose Mo  
311 content is in the range of 5-100%. In rocks containing rare-earth impurities, which are the  
312 common case, the positions of the peaks in the Nd<sup>3+</sup> fluorescence spectrum could be  
313 used as a measure of the relative Mo/W content, which varied more linearly throughout  
314 the entire range of 0% to 100%. Many more spectra with samples of different  
315 compositions are needed to verify that the Raman/fluorescence spectra can indeed be a  
316 reliable indicator of the relative Mo/W content.

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320 **Apatite** ( $\text{Ca}_5(\text{PO}_4)_3(\text{F}, \text{OH}, \text{Cl})$ )

321 Apatite has a hexagonal crystal structure (space group  $P6_3/m$ ). The cation may be  
322  $\text{F}^-$  (fluorapatite),  $\text{Cl}^-$  (chlorapatite) or  $\text{OH}^-$  (hydroxylapatite) and is usually some mixture  
323 of the three in natural minerals. There are two inequivalent sites for the  $\text{Ca}^{2+}$  ions in  
324 apatite. The Ca I sites have trigonal  $3 (C_3)$  symmetry and the Ca is surrounded by nine  
325 oxygen ions which form three equilateral triangles. The Ca II sites have monoclinic  $m$   
326 ( $C_{1h}$ ) symmetry and the  $\text{Ca}^{2+}$  is surrounded by six oxygen ions and one  $\text{F}^-/\text{Cl}^-/\text{OH}^-$   
327 ion. Most workers have assumed that because of ionic size constraints,  $\text{Nd}^{3+}$  substitutes  
328 for the  $\text{Ca}^{2+}$  in the Ca II site.

329 Energy level schemes for  $\text{Nd}^{3+}$  in fluorapatite have been determined by both  
330 Bruk et al. (1968), using both absorption and emission spectra, Kushida (1969) using  
331 emission spectra, and by Gruber et al. (1996), using site selective excitation and polarized  
332 absorption spectra. The three sets of results agree closely except for the  $Z_2$  level value  
333 from Bruk et al. (1968).

334 Raman/fluorescence spectra were obtained for four samples of apatite: one of  
335 yellow apatite from Durango, Mexico, one of green apatite and two of blue apatite from  
336 Madagascar. The chemical compositions of the yellow and green apatite samples were  
337 determined by using a JEOL 7401 FESEM equipped with the EDAX GENESIS EDS  
338 system. The atomic percentages of Ca, P, O and F+Cl were close to nominal, and the  
339 Cl/F ratio was about 5%. The Si and REE concentrations were each about .5% and no  
340 measurable Na was detected. The results strongly indicate that all four apatite samples are  
341 dominantly fluorapatite with REE impurities charge-compensated by  $\text{Si}^{4+}$  substituting for

342 P<sup>5+</sup>. The results are consistent with the picture given by Ransbo (1989) and Hughes et al.  
343 (1991), in which, in natural apatite, Nd<sup>3+</sup> can substitute equally well at either Ca site, and  
344 charge balance is maintained by either Si<sup>4+</sup> ⇒ P<sup>5+</sup> or Na<sup>+</sup> ⇒ Ca<sup>2+</sup>.

345 The Raman/fluorescence spectra of all four apatite samples share several common  
346 features. First, Nd<sup>3+</sup> fluorescence is the strongest of any of the minerals studied in this  
347 work and is consistent with the high levels of Nd concentration detected by the LA-ICP-  
348 MS method shown in Fig. 6. Second, the peak positions of the fluorescence lines agree  
349 closely to within a few cm<sup>-1</sup>. Only the relative intensities are sometimes different. Third,  
350 the peak positions do not correspond to those that would be predicted by the energy level  
351 scheme mentioned above but occur at values of frequency shift lower by 100-300 cm<sup>-1</sup>.  
352 Fourth, although the lines sharpen somewhat at 77 K, it is not possible to make transition  
353 assignments.

354 The origin of the different Nd<sup>3+</sup> fluorescence spectra is very probably due to the  
355 different charge-compensating mechanisms and site occupancies in synthetic and natural  
356 apatite. Most studies of Nd<sup>3+</sup> fluorescence in apatite have been done on synthetic  
357 samples. Mackie and Young (1973) concluded that Nd<sup>3+</sup> site occupancy depends on the  
358 doping vehicle. The use of Nd<sub>2</sub>O<sub>3</sub> forces the Nd<sup>3+</sup> to occupy Ca II sites exclusively. In  
359 natural apatite both Ca I and Ca II sites may be occupied.

360 Further study with both natural and synthetic apatite will help to clarify the  
361 different types of Nd<sup>3+</sup> fluorescence spectra which can occur. However, even if the  
362 charge-compensation mechanism is restricted to Si<sup>4+</sup> substituting for P<sup>5+</sup> in an adjacent P  
363 site, there are six different possible crystal field environments for Nd<sup>3+</sup> in natural apatite.



364 Thus the fluorescence lines are inherently broader, and the fluorescence spectra are more  
365 difficult to interpret.

366

367 **Grossular/tsavorite ( $\text{Ca}_3\text{Al}_2\text{Si}_3\text{O}_{12}$ )**

368 Grossular has a cubic crystal structure (space group  $Ia\bar{3}d$ ) and the  $\text{Ca}^{2+}$  ions have  
369 orthorhombic  $222 (D_2)$  site symmetry. Tsavorite is a form of the garnet grossular having  
370 a green color due to trace amounts of chromium and/or vanadium substituting for  
371 aluminum. Most tsavorite comes from the Tsavo region in Kenya and it is widely used as  
372 a gemstone.

373 Raman/fluorescence spectra were obtained for two samples of grossular and two  
374 samples of tsavorite. The spectra for one of the samples of tsavorite are shown in Fig. 5.  
375 The main difference between the two sets of spectra is that the intensity of the  $\text{Nd}^{3+}$   
376 fluorescence lines, relative to that of the Raman lines, is about ten times larger in the  
377 tsavorite samples. In the region of frequency shifts from  $1000 \text{ cm}^{-1}$  to  $2500 \text{ cm}^{-1}$  eight  
378 strong  $\text{Nd}^{3+}$  fluorescence lines are observed at 294 K. As the temperature is lowered, the  
379 three lines at  $1118/1112 \text{ cm}^{-1}$ ,  $1360/1357 \text{ cm}^{-1}$ , and  $2024/2025 \text{ cm}^{-1}$  show a strong  
380 decrease in intensity while the other four lines show an increase. All lines get narrower.

381 Although the spectra of  $\text{Nd}^{3+}$  in grossular and tsavorite have not been reported in  
382 the literature previously, tentative transition assignments for the lines may be made under  
383 the following three assumptions:

- 384 1. The three lines which are significantly weaker at 77 K are due to  
385 transitions from the  $R_2$  level, which is depopulated at 77 K by more than  
386 an order of magnitude.

- 387                   2. The other four lines are due to transitions from the  $R_1$  level.
- 388                   3. The separation between the  $R_1$  and  $R_2$  levels is in the range of
- 389                   100-200  $\text{cm}^{-1}$ , which is comparable with that of other materials.

390                   The energy levels of  $\text{Nd}^{3+}$  in tsavorite deduced from this transition-assignment  
391 scheme are given in the third and fourth columns of Table 3. The scheme nicely explains  
392 all of the fluorescence lines except for the shoulder at 1541/1532  $\text{cm}^{-1}$  on the low-  
393 frequency-shift side of the most intense line due to  $R_1 \rightarrow Z_1$ . This shoulder is most likely  
394 due to the  $R_1 \rightarrow Z_1$  transition at sites of slightly different symmetry than the dominant  
395 (presumably 222 ( $D_2$ )) site symmetry.

396                   The fluorescence spectra of  $\text{Nd}^{3+}$  in grossular and tsavorite are the cleanest and  
397 most reliable indicator of the host material of those for the six minerals studied in this  
398 work. Owing to the greater crystal-field splitting of the  $R_1$  and  $R_2$  levels and larger  
399 depopulation of  $R_2$  at 77 K, the spectra are also the easiest to interpret. Although crystal  
400 field calculations have not yet been performed for  $\text{Nd}^{3+}$  in grossular or tsavorite, they  
401 have been done for  $\text{Nd}^{3+}$  in garnets used for laser hosts (Gruber et al., 1990). For these  
402 minerals the  $R_2 - R_1$  difference is most sensitive to the strength of the crystal field  
403 parameters  $B_2^0$  and  $B_2^2$ .

404

#### 405 **Raman lines**

406                   Although the main topic of this paper is  $\text{Nd}^{3+}$  fluorescence in the six minerals  
407 chosen for study, at least one Raman line is present in the Raman/fluorescence spectra of  
408 five of them, i.e. all except apatite. In addition, a significant change of frequency shift

409 with temperature occurs in both fluorite and calcite. Because the spectra presented in  
410 Fig. 2 through Fig. 5 start at  $1000\text{ cm}^{-1}$  in order to display the  $\text{Nd}^{3+}$  fluorescence lines  
411 clearly, most of these Raman lines are not shown. However, their frequency shifts and  
412 changes with temperature are briefly discussed in this subsection and compared with the  
413 results of other workers. Measurement and analysis of the Raman spectra of the five  
414 minerals is given by Russell (1965) for fluorite, Rutt and Nicola (1974) and Herman et  
415 al. (1987) for calcite, Crane et al. (2002) and Manjon et al (2006) for scheelite, Leroy et  
416 al. (2000) for apatite, and Kolesov (1998) for grossular.

417       Of the six minerals, fluorite has the simplest structure and has a single Raman-  
418 active  $T_{2g}$  mode at  $322\text{ cm}^{-1}$ . The other minerals have more complex structures and all  
419 contain molecular complexes, i.e.  $\text{CO}_3^{-2}$ ,  $\text{WO}_4^{-2}$ ,  $\text{PO}_4^{-3}$  and  $\text{SiO}_4^{-4}$ , which act as a unit in  
420 many of the vibrational modes. The modes can be divided into external modes, which  
421 involve motion of the cation with respect to the molecular complex, and internal modes,  
422 which involve motion within the molecular complex. The mode frequencies of the two  
423 groups separate fairly cleanly. External/internal mode frequencies generally lie  
424 below/above  $400\text{ cm}^{-1}$ .

425       The theory of the temperature dependence of the frequencies of Raman modes  
426 has been given by Balkanski et al. (1983) and Menindez and Cardona (1984). More  
427 recent results on semiconducting materials are given by Gasanly et al. (1999) and Sarswat  
428 et al. (2011) and the references cited therein. The temperature dependence of Raman  
429 mode frequencies consists of two parts: a contribution due to thermal expansion and a  
430 contribution due to anharmonic coupling to phonons of other branches. The thermal-  
431 expansion term may be either positive ( $\omega$  increases as  $T$  increases) or negative ( $\omega$

432 decreases as  $T$  increases). The anharmonic term is always negative and is usually the  
433 larger of the two. Although there do not exist many published results on the temperature  
434 dependence of Raman-mode frequencies in materials containing molecular complexes, it  
435 would be expected that the changes with temperature should be larger for the external  
436 modes than for the internal modes, owing to the stronger anharmonic coupling to other  
437 modes.

438         In the present work, in fluorite, the frequency of the  $T_{2g}$  mode is observed to  
439 decrease by  $3 \text{ cm}^{-1}$  ( $328 \text{ cm}^{-1}$  to  $325 \text{ cm}^{-1}$ ) as the temperature is raised from 77 K to 294  
440 K, yielding an average slope of  $1.3 \cdot 10^{-2} \text{ cm}^{-1}/\text{K}$ , a value compatible with that obtained  
441 by Mujaji and Comins (2007) at higher temperature. In calcite, the frequency of the  
442 external vibrational  $E_g$  mode decreases by  $4 \text{ cm}^{-1}$  ( $288 \text{ cm}^{-1}$  to  $284 \text{ cm}^{-1}$ ), an amount  
443 comparable to that measured by Sood et al. (1981). In contrast, those of the internal  $E_g$   
444 and  $A_{1g}$  modes remain unchanged at  $710 \text{ cm}^{-1}$  and  $1085 \text{ cm}^{-1}$ , respectively. Similarly,  
445 the frequencies of the internal  $A_g$  mode in scheelite and the internal  $A_{1g}$  mode in  
446 tsavorite remain unchanged at  $911 \text{ cm}^{-1}$  and  $880 \text{ cm}^{-1}$ , respectively. These results  
447 clearly indicate that the expected larger change of mode frequency with temperature for  
448 the external modes is correct. However, further studies of these minerals with a different  
449 laser wavelength and much reduced  $\text{Nd}^{3+}$  fluorescence intensity will be required in order  
450 to determine the degree of temperature dependence for the large number of external and  
451 internal modes.

452

453

454 **Temperature dependence of energy levels and linewidths**

455           The energy levels of an impurity ion such as  $\text{Nd}^{3+}$  in a host crystal are governed  
456 by the local crystal field produced by both static strain and dynamic strain associated with  
457 lattice vibrations. It is the dynamic strain which causes temperature-dependent shifts of  
458 the energy levels and fluorescence lines and broadenings of the linewidths. Kushida  
459 (1969) reviewed the theory of these phenomena, measured fluorescence spectra of  $\text{Nd}^{3+}$   
460 in both yttrium aluminum garnet (YAlG) and fluorapatite (FAP) from 4.2 K to 500 K,  
461 and compared the results with theoretical predictions.

462           The theoretical expression for the shift of energy levels includes both direct  
463 (single- phonon) and Raman (multiphonon) terms. Among the lower-lying levels, there  
464 are more higher-lying levels for a given level than lower-lying ones so the energy-  
465 difference denominators appearing in the Raman term tend to be negative. If the Raman  
466 term is dominant, most energy levels are lowered in energy and the higher-lying ones are  
467 lowered more because the energy-difference denominators are smaller. Thus, most  
468 spectral lines show a redshift as the temperature is raised. Kushida's results bore out this  
469 prediction, where, among the  $R_m \rightarrow Z_n$  transitions, only  $R_1 \rightarrow Z_5$  showed a blueshift.

470           Table 5 gives the changes in energy levels from 77 K to 294 K for the four  
471 minerals of the present work for which most energy levels could be determined, as well  
472 as an overall average for the four. The chief prediction of the theory, namely that, as the  
473 temperature is raised, the higher-lying levels within a multiplet are lowered more and that  
474 most fluorescent lines show redshifts is clearly obeyed. Clear blueshifts occur only for  
475 the  $R_1 \rightarrow Z_5$  transition.

476 The theoretical expression for the linewidths includes a temperature-independent  
477 term due to inhomogeneous strain broadening, as well as direct and Raman terms. In  
478 Kushida's work the linewidths of most transitions ranged from  $4 \text{ cm}^{-1}$  to  $16 \text{ cm}^{-1}$  at low  
479 temperatures and increased by 25-50% at 300 K. The exceptions were transitions to the  
480  $Z_1$  level in YAIG, whose linewidths were less than  $1 \text{ cm}^{-1}$ . This difference results from  
481 the fact that in YAIG, unlike FAP and the five minerals listed in Table 5, charge  
482 compensation is not necessary when  $\text{Nd}^{3+}$  substitutes for  $\text{Y}^{3+}$  and the inhomogeneous  
483 strain broadening is very small. In the present work, the linewidths are somewhat larger  
484 ( $8\text{-}16 \text{ cm}^{-1}$  at 77 K and  $20\text{-}30 \text{ cm}^{-1}$  at 300 K), most likely due to somewhat greater  
485 inhomogeneous strain broadening as the result of charge compensation.

486

## 487 RARE-EARTH CONCENTRATIONS AND CORRELATION WITH 488 FLUORESCENCE

### 489 Rare-earth concentrations

490 Among the rare earth elements the isotopes chosen for determining the trace-  
491 element concentrations were as follows:  $^{139}\text{La}$ ,  $^{140}\text{Ce}$ ,  $^{141}\text{Pr}$ ,  $^{145}\text{Nd}$ ,  $^{146}\text{Nd}$ ,  $^{147}\text{Sm}$ ,  
492  $^{151}\text{Eu}$ ,  $^{155}\text{Gd}$ ,  $^{156}\text{Gd}$ ,  $^{157}\text{Gd}$ ,  $^{160}\text{Gd}$ ,  $^{159}\text{Tb}$ ,  $^{163}\text{Dy}$ ,  $^{165}\text{Ho}$ ,  $^{166}\text{Er}$ ,  $^{139}\text{Tm}$ ,  $^{169}\text{Yb}$  and  $^{175}\text{Lu}$ .  
493 Because Nd was particularly important in this study, both  $^{145}\text{Nd}$  and  $^{146}\text{Nd}$  were used as  
494 a check on the accuracy of the method. The concentration values determined from the  
495 two isotopes agreed closely for all samples except for the sample of  $\text{BaF}_2$ , probably due  
496 to Ba+B (from the glass) masquerading as  $^{146}\text{Nd}$ . In this case the lower concentration  
497 value obtained from  $^{145}\text{Nd}$  was used. In addition, Ba+O masqueraded as  $^{151}\text{Eu}$ ,  $^{155}\text{Gd}$  and  
498  $^{156}\text{Gd}$ .

499 Fig. 6 through Fig. 8 show the rare-earth-element (REE) concentration patterns  
500 for five minerals, normalized to chondrite to eliminate the variation with the odd/even  
501 atomic number and to create smooth curves. The only significant departures from  
502 smoothness are the negative Ce anomaly in the powellite, and the negative Eu anomaly,  
503 which is strong in the yellow apatite and weaker in the powellite, grossular and tsavorite.

504 Fig. 6 shows the REE concentration patterns for two of the samples of apatite and  
505 the purified sample of  $\text{CaF}_2$ . The pattern for the apatite is heavy-rare-earth-element-  
506 (HREE-) enriched by a factor of 2.5 relative to the standard pattern for Durango apatite  
507 and has a very strong Eu anomaly. The other two samples from Madagascar give patterns  
508 that are very close to the one shown for the blue apatite. The REE concentration values  
509 measured for the apatite samples are the highest for any of the six minerals studied in this  
510 work. The high Nd concentration correlates qualitatively with the high values of the  
511  $\text{Nd}^{3+}$  fluorescence intensity, which was so strong in these samples that the Raman lines  
512 were not observable. For the fluorite sample the purification process has apparently taken  
513 an originally light-rare-earth-element- (LREE-) enriched pattern and preferentially  
514 reduced the LREE content, leaving it middle-rare-earth-element- (MREE-) enriched.  
515 However, even a residual concentration of Nd ( $\approx 1$  ppm) is enough to cause strong  
516 fluorescence.

517 Fig. 7 shows the REE concentration patterns for samples of powellite and  
518 scheelite. The powellite curve exhibits a typical LREE-enriched pattern with significant  
519 negative Ce and Eu anomalies. The scheelite curve coming from a clear crystallite ( $\approx 1$   
520 mm size) embedded in a larger rock from Strawberry Mine, CA is remarkably similar to  
521 that of the artificially purified fluorite sample.

522 Fig. 8 shows the REE concentration patterns for samples of grossular and  
523 tsavorite. The tsavorite curve is significantly LREE-depleted relative to that for grossular,  
524 even though the mineral ( $\text{Ca}_3\text{Al}_2\text{Si}_2\text{O}_{12}$ ) is identical, indicating different conditions of  
525 formation. Comparing these two samples, the  $\text{Nd}^{3+}$  fluorescence intensity (relative to that  
526 of the Raman lines) is ten times stronger in the tsavorite, whereas the Nd concentration is  
527 about the same. An important factor may be iron, whose concentration is 50 times higher  
528 in the grossular sample and is known to have an inhibiting effect on the  $\text{Nd}^{3+}$   
529 fluorescence (See below.).

530

### 531 **Correlation of $\text{Nd}^{3+}$ fluorescence with Nd concentration**

532 The  $\text{Nd}^{3+}$  fluorescence intensity at  $1140\text{ cm}^{-1}$ , plotted vs. measured Nd  
533 concentration on a log-log plot, is shown for the six synthetic fluoride samples in Fig. 9.  
534 Except for the sample of  $\text{CaF}_2 : .05\% \text{ Dy}^{2+}$ , the data points can be closely fit by a  
535 straight line with a slope of 1.6, indicating that  $\text{Nd}^{3+}$  fluorescence intensity increases as  
536 Nd concentration to a power greater than one at concentration levels less than a few ppm.  
537 At higher concentration levels of Nd occurring in the other minerals ( $\approx 10^3$  ppm), the  
538  $\text{Nd}^{3+}$  fluorescence is only several times stronger than the highest levels shown in Fig. 9,  
539 suggesting that, for any mineral, the curve eventually bends over due to concentration  
540 quenching. The data for the sample of  $\text{BaF}_2$  show that  $\text{Nd}^{3+}$  fluorescence can occur in  
541 non-calcium-based minerals, but is likely to be much weaker due to the poor match of  
542 ionic radius and lower incorporation of  $\text{Nd}^{3+}$ .

543

544



545 **RAMAN/FLUORESCENCE SPECTRA II**

546 **UML and RRUFF spectra for six minerals**

547 In order to get some idea of how common Nd<sup>3+</sup> fluorescence is in both calcium-  
548 based and non-calcium-based minerals, a larger number of samples than those used in the  
549 current experiments is required. One of the leading on-line sources for Raman spectra is  
550 [rruff.info/](http://rruff.info/) which contains Raman spectra obtained with lasers of various wavelengths for  
551 hundreds of minerals and thousands of individual samples. The Raman spectra of greatest  
552 interest to the current work are the ones presented second in the RRUFF format, namely  
553 the ones entitled ‘Broad Scan with Spectral Artifacts’, in which the exciting laser  
554 wavelength is 785 nm or 780 nm. The RRUFF spectra taken with a 785-nm laser can be  
555 used directly to obtain peak positions of the Nd<sup>3+</sup> fluorescence lines, which can be  
556 compared with those of the UML samples. RRUFF spectra taken with a 780-nm laser  
557 must first undergo a correction of  $-75 \text{ cm}^{-1}$ .

558 Of the six minerals studied in the present work, the peak positions and relative  
559 intensities of fluorescence lines measured from RRUFF spectra agree fairly well with  
560 those of the UML spectra for four minerals, namely fluorite, powellite, scheelite, and  
561 grossular/tsavorite. For calcite and apatite, there is considerably more disagreement.  
562 Clearly, the variability of the Nd<sup>3+</sup> fluorescence spectra is larger in the latter two  
563 minerals.

564

565 **Occurrence of Nd<sup>3+</sup> fluorescence in minerals**

566 The Raman/fluorescence spectra of a much larger group of minerals available on  
567 the RRUFF website were examined for the presence of Nd<sup>3+</sup> fluorescence in the

568 frequency-shift range of 1000-2500  $\text{cm}^{-1}$  and rated on a qualitative scale: zero, very  
569 weak, weak, moderate, strong, very strong, where strong denotes an intensity exceeding  
570 that of the strongest Raman lines. A summary of the  $\text{Nd}^{3+}$  fluorescence in the six  
571 minerals studied in the present work, taken from the RRUFF database, is given in  
572 Table 6. The occurrence of strong or very strong  $\text{Nd}^{3+}$  fluorescence in a larger group of  
573 minerals is summarized in Table 7 for calcium-based minerals and in Table 8 for non-  
574 calcium-based ones.

575         Of the thirteen groups of calcium-based minerals listed in Table 7, the majority  
576 (seven) exhibit a high occurrence of fluorescence, two exhibit a low occurrence and four  
577 exhibit no fluorescence at all. Generally, the occurrence of fluorescence is consistent  
578 within a group, the three exceptions being andradite (garnet group), hedenbergite  
579 (pyroxene group) and zoisite (epidote group). Andradite and hedenbergite both contain  
580 iron as a constituent and the presence of iron quenches the fluorescence as discussed  
581 below. Of the four groups of non-calcium-based minerals listed in Table 8, only one  
582 shows even a low occurrence of fluorescence, and for the other three it is either very low  
583 or non-existent. Clearly the presence of calcium in the host material and more likely  
584 incorporation of  $\text{Nd}^{3+}$  impurities is a strong determining factor in the occurrence of  
585  $\text{Nd}^{3+}$  fluorescence.

586         Table 9 summarizes the results for the five minerals which contain iron as a  
587 major constituent. There is absolutely no evidence for any  $\text{Nd}^{3+}$  fluorescence in any of  
588 the 46 samples. The mechanism of this fluorescence quenching by the iron is not  
589 presently understood and deserves further investigation.

590

591

## IMPLICATIONS

592 In Raman spectroscopy, the 785-nm titanium sapphire laser is often used instead  
593 of a visible laser to reduce unwanted fluorescence. Because Nd<sup>3+</sup> contains fluorescing  
594 levels easily excited by 785 nm, and because Nd frequently occurs as a trace element in  
595 many common minerals, Nd<sup>3+</sup> fluorescence is widespread, particularly in calcium-based  
596 minerals. Although there is some variation in the positions of the fluorescence lines due  
597 to different crystal field strengths, they usually lie in the range of Raman shifts of 1000  
598 cm<sup>-1</sup> to 2500 cm<sup>-1</sup>. Workers using 785-nm excitation should be aware that structure  
599 observed in this range of Raman shift may not belong to the true Raman spectrum but  
600 may be due to Nd<sup>3+</sup> fluorescence. Although it is not part of the Raman spectrum, the  
601 Nd<sup>3+</sup> fluorescence has potential uses in three areas.

602 In mineral identification, the Nd<sup>3+</sup> fluorescence spectra, like the Raman spectra,  
603 are often clean, reliable and distinctive enough to serve as an identifier of the host  
604 mineral, e.g. fluorite, powellite, scheelite and grossular/tsavorite in this work. In solid  
605 solutions such as powellite-scheelite, the positions of the fluorescence lines could be used  
606 to determine the relative proportion of the elements, e.g. molybdenum vs. tungsten. In  
607 some minerals containing a large amount of disorder, such as cubic zirconia, in which the  
608 Raman spectrum does not contain sharp lines, the Nd<sup>3+</sup> fluorescence may be a more  
609 reliable identifier of the mineral.

610 In structure characterization, the sharpness of the Nd<sup>3+</sup> fluorescence lines is a  
611 measure of the uniformity of the crystal and the homogeneity of the impurity sites. In  
612 this work the greatest variation of linewidth occurred in calcite. In some samples, at low  
613 temperature, the fluorescence lines became narrower than the instrument resolution,

614 whereas in others they remained broad. These results clearly warrant further studies of a  
615 large number of samples with a high-resolution Raman spectrometer and correlation with  
616 other methods of structure characterization.

617 In the determination of trace-element concentration, the intensity of the Nd<sup>3+</sup>  
618 fluorescence provides a quick and non-destructive method of estimating the Nd  
619 concentration level. From this value the concentration levels of the other rare-earth  
620 elements may often be estimated as well, since minerals having a similar origin often  
621 have similar rare-earth-element concentration patterns. Because fluorescence intensity  
622 depends on many factors, such as the concentration of quenching elements like iron, this  
623 method is currently semi-quantitative, but its accuracy may be improved with a more  
624 complete characterization of the mineral and its impurity content.

625

626

627

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### Figure Captions

764 **Figure 1.** The  $4f^n$  energy-level structure for four trivalent rare earth ions ( $\text{Nd}^{3+}$ ,  $\text{Dy}^{3+}$ ,  
765  $\text{Er}^{3+}$  and  $\text{Ho}^{3+}$ ) all have absorption bands close to 785 nm ( $12739 \text{ cm}^{-1}$ ) but only  $\text{Nd}^{3+}$   
766 produces fluorescent lines shifted from  $12739 \text{ cm}^{-1}$  by  $1000\text{-}2500 \text{ cm}^{-1}$ .  
767 **Figure 2.** Raman/fluorescence spectra of fluorite ( $\text{CaF}_2$ ) at 294 K (top) and 77 K (bottom).  
768 At 77 K all lines sharpen, two more transitions ( $\text{R}_2 \rightarrow \text{Z}_1$  and  $\text{R}_2 \rightarrow \text{Z}_3$ ) are visible, and the intensity  
769 of  $\text{R}_2 \rightarrow \text{Z}_5$  relative to that of  $\text{R}_1 \rightarrow \text{Z}_5$  is significantly reduced due to depopulation of the  $\text{R}_2$  level at  
770 low temperature.

771 **Figure 3.** Raman/fluorescence spectrum of powellite ( $\text{CaMoO}_4$ ) at 294 K (top) and 77 K  
772 (bottom). At 77 K all lines sharpen, one more transition ( $R_1 \rightarrow Z_4$ ) is visible and the  
773 intensities of  $R_2 \rightarrow Z_1/Z_3/Z_5$  relative to those of  $R_1 \rightarrow Z_1/Z_3/Z_5$  are significantly reduced  
774 due to depopulation of the  $R_2$  level at low temperature.

775 **Figure 4.** Raman/fluorescence spectrum of scheelite ( $\text{CaWO}_4$ ) at 294 K (top) and 77 K  
776 (bottom). The spectra are very similar to those of powellite with the line positions shifted  
777 downward by  $5\text{-}20\text{ cm}^{-1}$ .

778 **Figure 5.** Raman/fluorescence spectrum of tsavorite ( $\text{Ca}_3\text{Al}_2\text{Si}_3\text{O}_{12}$ ) at 294 K (top)  
779 and 77 K (bottom). At 77 K all lines sharpen and the intensities of  $R_2 \rightarrow Z_1/Z_3/Z_5$   
780 relative to those of  $R_1 \rightarrow Z_1/Z_3/Z_5$  are very strongly reduced due to the larger energy  
781 difference of the  $R_1$  and  $R_2$  levels and the very large depopulation of the  $R_2$  level at low  
782 temperature.

783 **Figure 6.** Chondrite-normalized concentrations of rare-earth elements for fluorite and  
784 apatite. The apatite samples have the highest REE concentrations and both show a  
785 LREE-enriched pattern, with a weaker enrichment in the blue apatite. The pattern for the  
786 fluorite sample (manufactured not natural) is depleted in both LREEs and HREEs. All  
787 three curves exhibit an Eu anomaly which is strongest (a factor of four) in the yellow  
788 apatite.

789 **Figure 7.** Chondrite-normalized concentrations of rare-earth elements for powellite and  
790 scheelite. The pattern for powellite is LREE-enriched and shows a significant Eu  
791 anomaly. The pattern for scheelite (natural) is remarkably similar in both shape and  
792 absolute values to that for fluorite (manufactured) shown in Fig. 6.

793 **Figure 8.** Chondrite-normalized concentrations of rare-earth elements for grossular and  
794 tsavorite. Both patterns show a steeply declining depletion for the LREEs as well as a  
795 gradually declining depletion for the HREEs. A weak Eu anomaly is evident in both  
796 curves.

797 **Figure 9.** Nd<sup>3+</sup> fluorescence vs. Nd concentration for fluoride samples. The  
798 dependence of Nd<sup>3+</sup> fluorescence intensity on measured Nd concentration is  
799 approximately linear on a log-log plot ( $I \sim n^{1.6}$ ) for four of the five samples shown. Data  
800 from other samples suggest that at higher concentration levels the curve bends over due  
801 to concentration quenching.

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814 **Table 1.** Minerals chosen for study

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### Tables

UML Samples			
Mineral	# Samples	Color	Source or Origin
CaF <sub>2</sub>	5	clear	Fairfield Crystal Technology, Optovac Inc.
	2	green	unknown
BaF <sub>2</sub>	1	clear	Optovac Inc.
CaCO <sub>3</sub>	1	green	unknown
	1	blue	unknown
	3	clear	unknown

CaMoO <sub>4</sub>	1	yellow	St. Louis Claims, AZ
CaWO <sub>4</sub>	1	clear	Strawberry Mine, CA
	1	clear	Trumbull, CT
	1	yellow	Emerald Lake, YU
Ca <sub>5</sub> P <sub>3</sub> O <sub>12</sub> F	1	yellow	Durango, Mexico
	1	green	Madagascar
	2	blue	Madagascar
Ca <sub>3</sub> Al <sub>2</sub> Si <sub>3</sub> O <sub>12</sub>	2	yellow	Kenya
	2	green	Tanzania

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RRUFF Samples			
Mineral	# Samples	Color	Source or Origin
CaF <sub>2</sub>	2	green	Hunan Province, China
CaCO <sub>3</sub>	1	clear	Durango, Mexico
CaWO <sub>4</sub>	1	orange	Sonora, Mexico
Ca <sub>5</sub> P <sub>3</sub> O <sub>12</sub> F	1	brown	Minas Gerais, Brazil
	1	clear	Kola Peninsula, Russia
Ca <sub>3</sub> Al <sub>2</sub> Si <sub>3</sub> O <sub>12</sub>	5	green	Wah Wah Mts. UT, Lolatema, Tanzania, Unknown, Mali

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821 **Table 2.** Energy levels ( $\text{cm}^{-1}$ ) of  $\text{Nd}^{3+}$  in fluorite as deduced from fluorescence spectra

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Level	Kiss (1962)	Freeth and Jones (1980)	Payne et al (1990)	Present Work	
	294 K	294 K	294 K	77 K	294 K
R <sub>2</sub>	-	11708	11705	11713	11703
R <sub>1</sub>	11594	11596	11601	11600	11602
Z <sub>5</sub>	784	746	738	747	740
Z <sub>4</sub>	-	-	-	-	-
Z <sub>3</sub>	212	201	210	201	206
Z <sub>2</sub>	109	81 (77 K)	101	82	97
Z <sub>1</sub>	0	0	0	0	0

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**Table 3.** Energy levels ( $\text{cm}^{-1}$ ) of  $\text{Nd}^{3+}$  in powellite and tsavorite as deduced from fluorescence spectra in the present work

Level	Powellite		Tsavorite	
	77 K	294 K	77 K	294 K
R <sub>2</sub>	11463	11457	11632	11627
R <sub>1</sub>	11394	11390	11435	11431
Z <sub>5</sub>	461	455	917	912
Z <sub>4</sub>	223	-	454	450
Z <sub>3</sub>	166	166	255	254
Z <sub>2</sub>	110	105	177	176
Z <sub>1</sub>	0	0	0	0

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**Table 4.** Energy levels ( $\text{cm}^{-1}$ ) of  $\text{Nd}^{3+}$  in scheelite as deduced from fluorescence spectra

Level	Varona et al. (2006)	Bayrukgen et al. (2007)	Present Work	
	294 K	294 K	77 K	294 K
R <sub>2</sub>	11480	-	11479	11475
R <sub>1</sub>	11415	-	11412	11407
Z <sub>5</sub>	475	471	474	463
Z <sub>4</sub>	232	230	234	-
Z <sub>3</sub>	160	161	163	159
Z <sub>2</sub>	119	114	114	-
Z <sub>1</sub>	0	0	0	0

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835 **Table 5.** Change of energy levels of Nd<sup>3+</sup> from 77 K to 294 K in four minerals  
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Level	Fluorite	Powellite	Scheelite	Tsavorite	Average
R <sub>2</sub>	-10	-8	-4	-5	-6.8
R <sub>1</sub>	2	-4	-5	-4	-2.8
Z <sub>5</sub>	-7	-6	-11	-5	-7.3
Z <sub>4</sub>	-	-	-	-4	-4.0
Z <sub>3</sub>	5	0	-4	-1	0
Z <sub>2</sub>	15	-5	-	-1	3.0
Z <sub>1</sub>	0	0	0	0	0

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842 **Table 6.** Summary of Raman/fluorescence spectra of six minerals from RRUFF  
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Chemical Formula	Number of Samples	Fluorescence						
		0	vw	w	m	s	vs	% s or vs
CaF <sub>2</sub>	3	0	0	0	0	0	3	100
CaCO <sub>3</sub>	12	7	3	0	0	0	2	17
CaMoO <sub>4</sub>	2	1	0	0	0	0	1	50
CaWO <sub>4</sub>	1	0	0	1	0	1	0	50
Ca <sub>5</sub> (PO <sub>4</sub> ) <sub>3</sub> F	17	0	0	2	0	1	14	88
Ca <sub>3</sub> Al <sub>2</sub> Si <sub>3</sub> O <sub>12</sub>	18	4	3	0	1	1	9	56

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**Table 7.** Occurrence of strong or very strong Nd<sup>3+</sup> fluorescence in RRUFF samples of calcium-based minerals listed by group

Range	Group	Number of Samples	% Occurrence
High ~50-100%	Fluorides	3	100
	Molybdates and Tungstates	3	67
	Phosphates	21	76
	Garnet group	20	60
	Olivine group	1	100
	Melilite group	6	50
	Pyroxene group	19	47
Low 10-25%	Carbonates	23	22
	Sulfates	15	13
Zero	Borates	4	0
	Epidote group	13	0
	Amphibole group	16	0
	Mica group	4	0

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849 **Table 8.** Occurrence of strong or very strong Nd<sup>3+</sup> fluorescence in RRUFF samples of  
850 non-calcium-based minerals listed by group  
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Range	Group	Number of Samples	% Occurrence
Low (10-25%)	Aragonite group	7	14
Very low (1-10%)	Calcite group	19	5
	Garnet group	34	3
Zero	Olivine group	31	0

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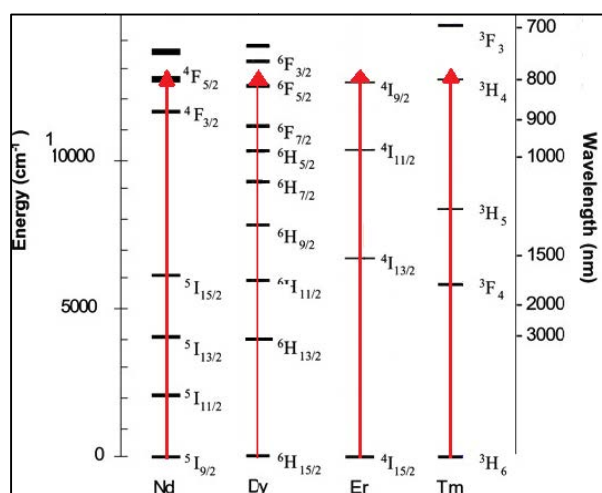
859 **Table 9.** Occurrence of any Nd<sup>3+</sup> fluorescence in RRUFF samples containing Fe  
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Ca Basis	Group	Mineral	Number of Samples	% Occurrence
Ca-based	Garnet group	Andradite	19	0
	Pyroxene group	Hedenbergite	4	0
Non-Ca-based	Calcite group	Siderite	5	0
	Garnet group	Almandine	11	0
	Olivine group	Fayalite	7	0

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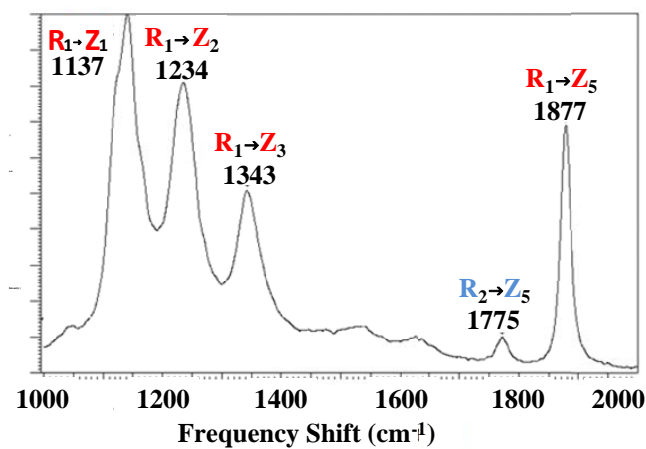
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### Figures



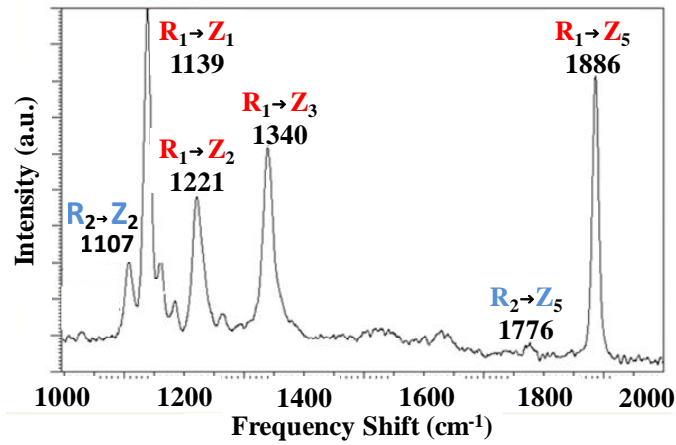
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Figure 1 (4 rare earths)



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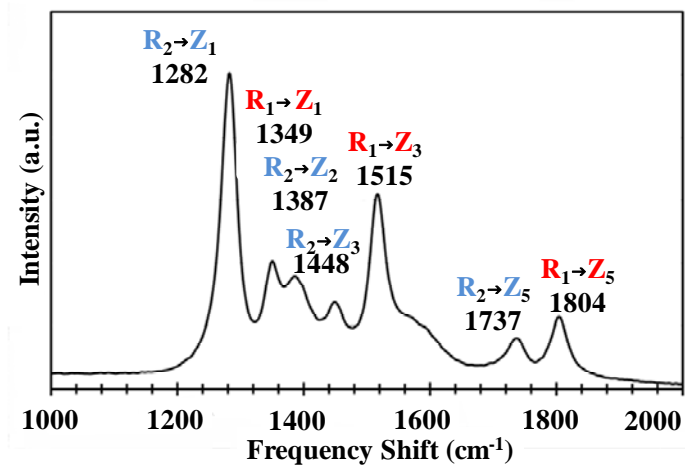




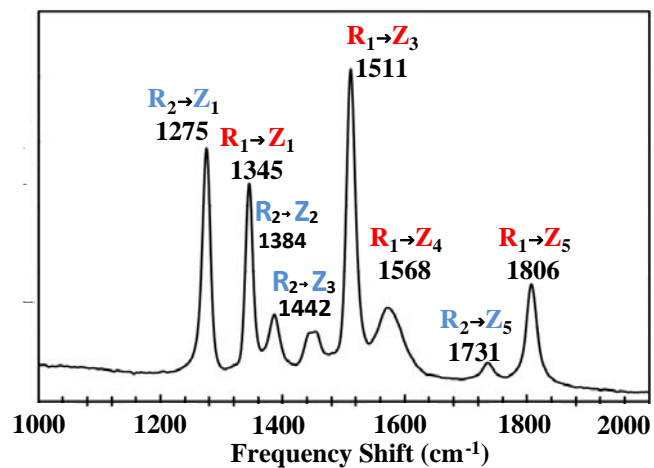
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Figure 2 (fluorite)

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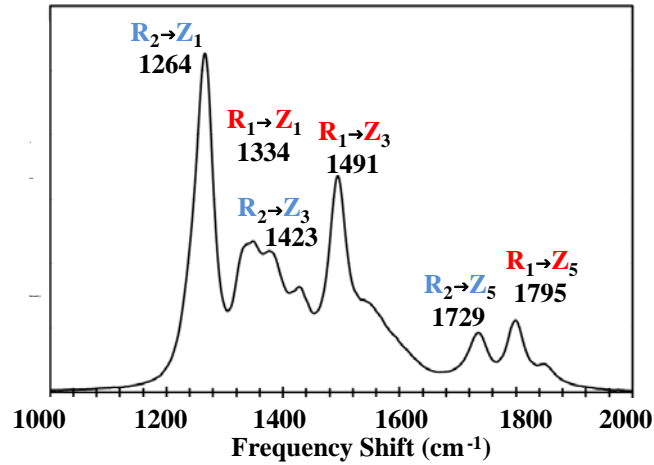
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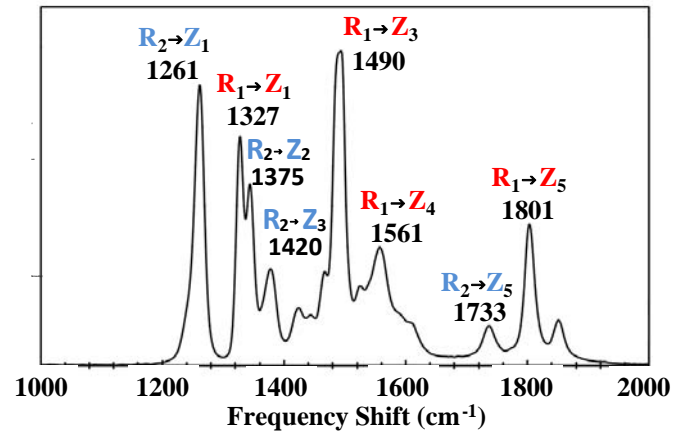
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Figure 3 (powellite)

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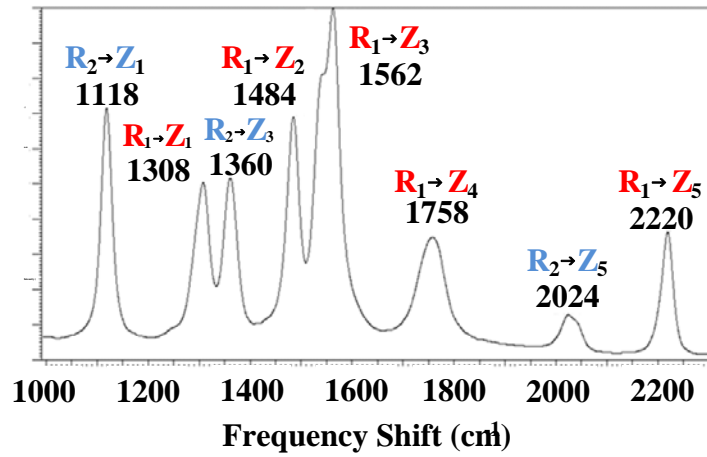
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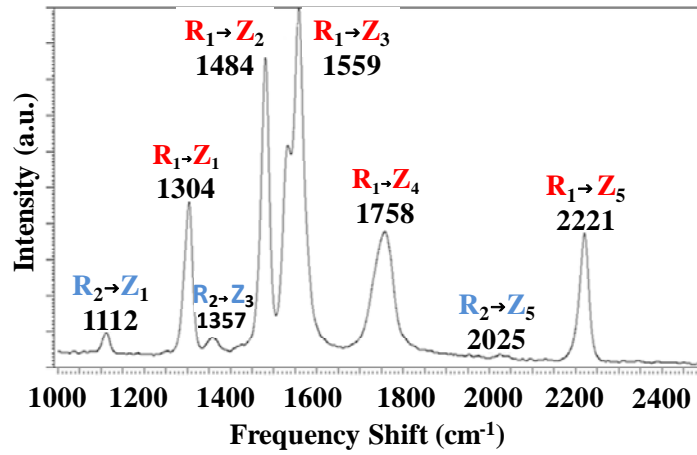
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Figure 4 (scheelite)

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Figure 5 (tsavorite)

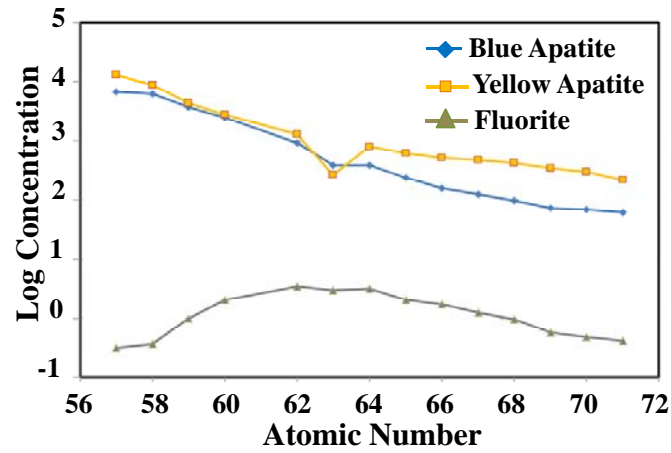


Figure 6 (apatite and fluorite)

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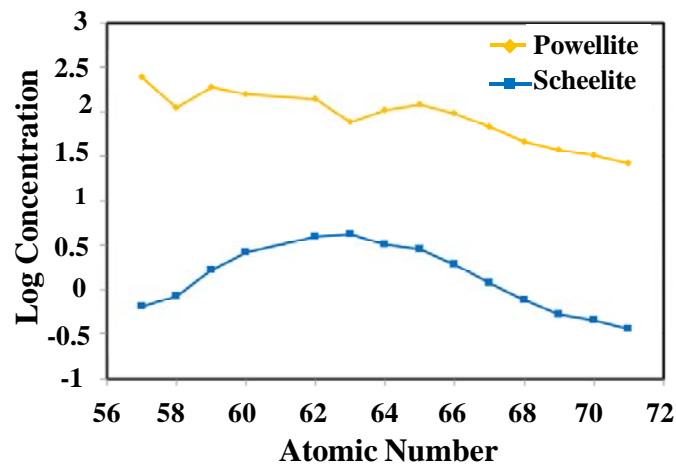


Figure 7 (powellite and scheelite)

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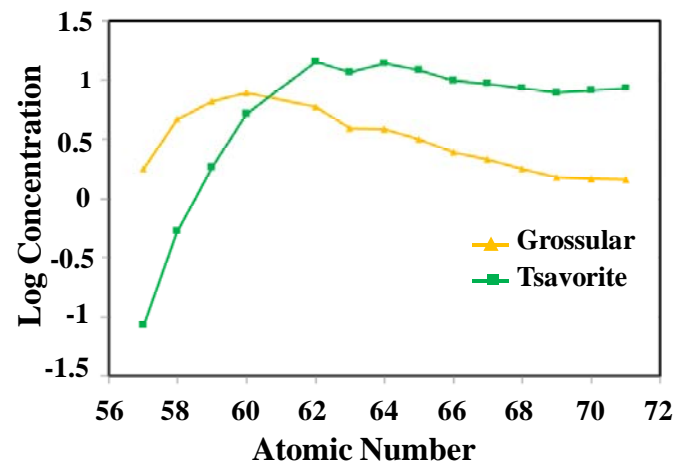


Figure 8 (grossular and tsavorite)

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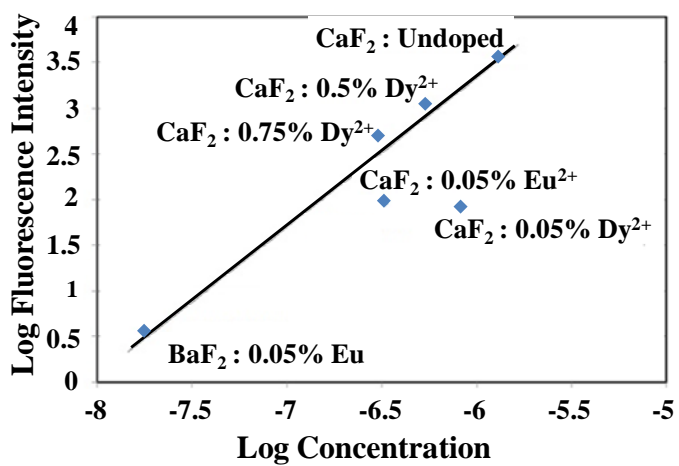


Figure 9 (fluorite)

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